# Microstructure-based modelling of snow mechanics: experimental evaluation on the cone penetration test

<sup>3</sup> Clémence Herny<sup>1,2</sup>, Pascal Hagenmuller<sup>1</sup>, Guillaume Chambon<sup>2</sup>, Isabel Peinke<sup>1</sup>, Jacques Roulle<sup>1</sup>
 <sup>1</sup>Univ. Grenoble Alpes, Univ. de Toulouse, Météo-France, CNRS, CNRM, Centre d'Etude de la Neige, Grenoble, FranceFrance,
 <sup>2</sup>Univ. Grenoble Alpes, CNRS, INRAE, IRD, Grenoble INP, IGE, Grenoble, France

6 Correspondence to: Clémence Herny (clemence.herny@gmail.com)

7 Abstract. Snow is a complex porous material presenting various variety of microstructural patterns. This microstructure largely controls the mechanical properties of snow, and this control still needs although the relation between the micro and 8 9 macro properties remains to be better understood. Recent numerical developments based on three dimensional tomographic 10 data have provided new insights into snow mechanical behaviour. In particular, the the discrete element method combined 11 with the snow microstructure captured by tomography(DEM) and the mechanical properties of ice has been used to three-12 dimensional microtomographic data make it possible to reproduce numerically the brittle properties mechanical behaviour, of 13 snow. However, -these developments lack experimental evaluation so far. In this study, we evaluate a DEM numerical model 14 based on the discrete element method withby reproducing cone penetration tests on centimetric snow samples. This test is 15 commonly used to characterise the snowpack stratigraphy but also brings into play complex mechanical processes and 16 deformation patterns. We measured the snow microstructure on The microstructures of different natural snow samples were 17 captured with X-ray microtomography before and after athe cone penetration test-with X-ray tomography. The, from which 18 the grain displacements induced by the cone test was could be inferred. The tests were conducted with thea modified Snow 19 MicroPenetrometer (5 mm cone diameter), which recorded the force profile at a high resolution. The initial microstructure 20 andIn the ice properties fed thenumerical model, which can reproduce the exact same test numerically. We evaluated the model 21 on the measured force profile and the displacement field an elastic brittle cohesive contact law between snow grains was used 22 to represent the cohesive bonds. The initial positions of the grains and their contacts were directly derived from the difference 23 between the initial and final microstructures. The model reasonably tomographic images. The numerical model was evaluated 24 by comparing the measured force profiles and the grain displacement fields. Overall, the model satisfactorily reproduced the 25 force profiles in terms of averagemean macroscopic force, force standard deviation, and (mean relative error of about 11%) 26 and the amplitude of force fluctuations (mean relative error of about 21%), while the correlation length of the force fluctuations-27 When the contact law describing ice mechanics is adjusted in the range of reasonable values for ice, the agreement becomes good on all three parameters. The model also well reproduced was more difficult to reproduce (mean relative error of about 28 29 38%). These characteristics were, as expected, highly dependent on the tested sample microstructure, but they were also

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30	sensitive to the choice of the micro-mechanical parameters describing the contact law. A scaling law was proposed between
31	the mechanical parameters, the initial microstructure characteristics and the mean macroscopic force obtained with the DEM
32	numerical model. The model could also reproduce the measured deformation around the cone tip, which is less sensitive (mean
33	grain displacement relative error of about 57% along the horizontal axis), with a smaller sensitivity, to the contact law
34	parameterization. Overall, the model is capable of distinguishing the different microstructural patterns tested.
35	Therefore parametrisation in this confrontation of case. These detailed comparisons between numerical results with and
36	experimental measurements for this configuration gives results give confidence in the reliability of the numerical modelling
37	strategy. The model could be further applied with different boundary conditions, and usedopens promising prospects to
38	characteriseimprove the understanding of snow mechanical behaviour of the snow better,
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#### 39 1 Introduction

40 Snow is a brittle and porous material existing on Earth close to its melting point. The thermodynamical conditions in the clouds 41 govern the snowflake morphology and, once deposited on the ground, snow continues to evolve via metamorphism. The snow 42 material is thus characterised by a large variety of microstructural patterns (grain size, grain shape, density) classified into 43 different snow types (Fierz et al., 2009). It has been established that the snow microstructure controls the properties of snow 44 (Shapiro et al., 1997; Johnson and Schneebeli, 1999; Schneebeli, 2004). For instance, weak layers involved in avalanche triggering (Schweizer et al., 2003) are usually constituted of specific snow types (depth hoar, surface hoar, precipitation 45 46 particle, faceted crystals) characterised by low cohesion and low strength (Jamieson and Johnston, 1992). The link between 47 the snow microstructure and its properties, especially its mechanical properties, is still not well understood, even if it is crucial 48 for many applications, such as for avalanche forecasting (Schweizer et al., 2003, Jamieson and Johnston, 1992), snowpack 49 modelling (Calonne et al. 2014), ice core interpretation (Montagnat et al. 2020) or geotechnics (Shapiro et al., 1997). In 50 particular, the brittle failure occurring at high shear raterates (>  $10^{-4}$  s<sup>-1</sup>) during the release of an avalanche remains represented 51 by very coarse empirical laws (Brun et al., 1992; Bartelt, et al. 2002; Vionnet et al. 2012) and lacks of relevant microstructural 52 proxies (Shapiro et al., 1997).2012). In this elastic-brittle regime (rapid and large deformations), the mechanical behaviour of 53 snow is thought to be mainly controlled by bond failurefailures and grain rearrangements (Narita, 1983).

54 The snow microstructure and its evolution can be captured at high resolution (tens of micronstypically 10-50 µm) with X-ray 55 micro tomography imaging (µCT) (Coléou et al., 2001; Freitag et al., 2004; Schneebeli, 2004; Heggli et al., 2011). This non-56 destructive method preserves the snow microstructure and resolves the shape of snow grains, grain bonds and porosity which 57 is of primary importance for mechanical studies. In particular structural properties of snow, such as density, specific surface area (SSA), correlation length, bond characteristics, can be evaluated from tomographic data (e.g. Schneebeli, 2004; 58 59 Schneebeli et al., 2004; Hagenmuller et al., 2014a; Calonne et al., 2014; Proksch et al., 2015). The tomographic data are also 60 used as a basis for numerical modelling (Schneebeli, 2004; Schneebeli et al., 2004; Hagenmuller et al., 2015) or 61 calibration/validation data of statistical empirical models retrieving grain-scale physical and mechanical properties from other

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62	measurements (e.g. Proksch et al., 2015; Reuter et al., 2019). However, this method tomographic imaging is time-expensive		
63	and not adapted to routine measurements in the field.		
64	An objective and relatively easy to set up method to measure the The mechanical properties of snow is the are commonly		
65	derived from Cone Penetration Test (CPT) measurements, which is an objective and relatively easy-to-set-up method		
66	(Schneebeli and Johnson, 1998). This method has been widely used to characterise soil stratigraphy (Lunne et al., 1997) and	[	Foi
67	adapted to snowpack stratigraphy (Gubler, 1975; Schaap and Fohn, 1987; Dowd and Brown, 1986; Schneebeli and Johnson,		
68	1998; Mackenzie and Payten, 2002; McCallum, 2014). The CPT provides a force profile by measuring the resisting force		
69	exerted on a conic tip penetrating, at a constant rate, into a material. The development of high-resolution digital penetrometers		
70	dedicated to snow studies (Schneebeli and Johnson, 1998; Mackenzie and Payten, 2002; McCallum, 2014) have has provided		
71	the possibility to resolve the force profile at a microscopic scale and capture the high-frequency fluctuations of the force signal		
72	up to a metre depth. The Such force penetration profile contains profiles contain valuable information on the snow structural		
73	parameters at macro- and micro-scale (Löwe and van Herwijnen, 2012).		
74	Interpretation of the CPT requires a good comprehension <u>understanding</u> of the interaction <u>interactions</u> between the cone tip and		
75	the snow grains-and bonds. Several studies aimed to investigate the grainsgrain displacement field around the tip. Particle		
76	Image Velocimetry (PIV) imaging was performed along on snow-to quantify the 2D displacement field of snow grains while		
77	the tip penetrates into the snowmaterial (Floyer and Jamieson, 2010; Herwijnen, 2013; LeBaron et al., 2014). Peinke et al.		
78	$(2020)$ developed a grain tracking algorithm to reconstruct from $\mu$ CT the 3D displacement field of snow grains due to induced		
79	by a CPT. All these studies revealed the development of a compaction zone (CZ) in front of the tip that cannot be neglected		Foi
80	while interpreting force profiles.		
81	Mechanical Various mechanical or statistical models have been developed to interpret the <u>CPT</u> penetration signal in terms of		
82	mechanical properties. The cavity expansion model (CEM) (Bishop et al., 1945; Yu and Carter, 2002) is commonly used to		
83	interpret CPT measurements and has been applied to snow by Ruiz et al. (2016) and Peinke et al. (2020). The CEM This model		
84	<u>considers snow as a continuum and</u> describes the elastic-plastic deformation of the material around the tip. <u>Macroscopic in</u>		
85	order to retrieve macroscopic material properties can be retrieved from this model (Ruiz(cohesion, friction, etc.). The		
86	continuum assumption becomes invalid for a ratio between cone diameter and mean grain diameter lower than 20 typically		
87	(Bolton et al., 2016; Peinke et al., 2020). The. 1993), leading to potentially erroneous interpretations of the CPT results.		Foi
88	Alternatively, the shot noise model interprets the force signal and its fluctuations as a superposition of independent elastic-		
89	brittle ruptures occurring next to the tip (Schneebeli and Johnson, 1999; Marshall and Johnson, 2009; Löwe and van Herwijnen,		Foi
90	2012)-) and retrieves microstructural properties (bond rupture force, etc.) The penetration process is heregenerally modelled		
91	byas a Homogeneous Poisson Process (HPP) with a constant intensity (Löwe and van Herwijnen, 2012). Peinke et al. (2019)		
92	have generalised the HPP method in order to account for the transient statephase of the penetration process, attributed to the		
93	development of the CZ (Peinke et al., 2019). They These authors used a Non-Homogeneous Poisson Process (NHPP)		
94	considering a depth dependency of the intensity, i.e. (number of bond failures per penetration increment. Both models are		
95	based on different assumptions. First). Yet, the CEM considers snow as a continuum, while the HPP considers the discrete		Foi

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96	nature of bond failures. The continuum assumption reaches its limit for a cone diameter to mean grain ratio lower than 20	
97	(Bolton et al. 1993), leading to a potentially erroneous interpretation of the CPT results. This configuration can be reached for	
98	CPT measurements in snow (Herwijnen, 2013; Peinke et al. 2020). Second, the force signal is influenced by the CZ (Herwijnen,	
99	2013; LeBaron et al., 2014; Peinke et al. 2020), which is considered in the CEM approach but not in the HPP approach. This	
100	may lead to diverging estimations of the absolute value of some macroscopic snow properties (Ruiz et al., 2017)-Despite the	
101	NHPP can retrieve snow microstructural properties from transient force profiles (Herwijnen, 2013; Peinke et al., 2019), the	
102	interpretation of the force profiles, resulting of independent contributions of elastic-brittle failure, neglectingrupture events	
103	essentially neglects the development of a CZ-remains challenging (Johnson and Schneebeli, 1999; Schneebeli, 2001;	
104	Herwijnen, 2013; LeBaron et al., 2014; Ruiz et al. 2017). Therefore, none of thethese two methods models appear to fully	
105	satisfyaccount for the specificity of snow deformation induced by CPT. Additional investigations are required to better	
106	understand the tip interaction with snow and the meaning of the derived structural proxiesbetter interpret the force	
107	measurements.	
108	The snow properties and its strong dependence on environmental conditions make it difficult to study it experimentally (in the	
109	laboratory and in situ) in a systematic and controlled manner. Recently, numerical approaches have been developed to study	
110	the mechanical response of snow by explicitly accounting for the microstructure (Johnson and Hopkins, 2005; Gaume et al.,	
111	2015, 2017; Hagenmuller et al., 2015; Wautier et al., 2015; Mede et al. 2018b, 2020; Bobillier et al., 2020, 2021). Snow is	
112	described as a granular material for which the mechanical behaviour can be and modelled by the discrete element method	
113	(DEM) in a high shear rate regime (Hansen and Brown, 1988),. The complexity of the snow microstructure can be	
114	considered <u>taken into account</u> by feeding the DEM simulations with high-resolution 3D reconstructions of the snow sample	
115	obtained with µCT. These simulations have provided new insights into the snow mechanical behaviour, such as the dependence	
116	of snow strength to microstructure properties (Hagenmuller et al., 2015) or the identification of different failure modes in shear	
117	loading (Mede et al., 2018b, 2020), The downside of this method is that it is time-consuming, and simulations can only be	
118	performed on small samples (up to a few centimetres). These simulations have nevertheless provided new insights on the snow	
119	mechanical behaviour, such as distinct resistance to confined compression for different microstructure properties (Hagenmuller	
120	et al., 2015) or identification of failures mode in a mixed mode loading (Mede et al., 2018b, 2020). Although these models	
121	appear capable of accounting for the role of the microstructure on the mechanical response, they still lack experimental	
122	confrontationFurthermore, these numerical models still lack direct experimental evaluation.	
123	ThisIn this context, the aim of this study aimed was to evaluate a microstructure-based DEM model with using recent CPT	
124	experimental data of cone penetration tests. To address this goal, we modelled CPT onperformed in a realistic	
125	representation controlled environment (Peinke et al., 2020). The dataset includes µCT images of the snow samples with DEM	_
126	numerical simulations. The acquired before and after the tests. The deformation induced by the CPT-configuration (strain rate	
127	of about 10 <sup>2</sup> s <sup>-1</sup> , Reuter et al., 2019) belongs to the elastic-brittle regime (Narita, 1983; Floyer and Jamieson, 2010) and is	
128	therefore suitable for DEM simulation. The model has been designed to account for the snow properties and the snow	
129	microstructure acquired by µCT (Hagenmuller et al., 2015; Mede et al., 2018b, 2020). The results of the numerical model are	

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Formatted: Font colour: Auto Formatted: Font colour: Auto data in terms of (1) the macroscopic force profile with relevant structural parameters and associated statistical indicators and (2) the grain displacements induced by the cone penetration. A systematic sensitivity analysis to <u>DEM</u> mechanical parameters of the contact law, including Young's modulus, the cohesion and the friction anglecoefficient, was also performed with <u>DEM</u> to evaluate their influence on the mechanical behaviour and find the combinations of parameters that best combination of mechanical parameters to reproduce experimental results. Finally, the role of the microstructure iswas also investigated by performing the <u>DEM</u> simulations withfor different snow types. The evaluation of the numerical model provides the opportunity to better understand the mechanisms at workplay during the snow deformation in an elastic-brittle regime and better interpret

eonfronted to results performed experimentally on snow samples (Peinke et al., 2020). We directly compared to experimental

138 CPT profiles.

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139 We first present the experimental data set<u>dataset</u> and the numerical model used to perform CPT<u>methods</u>. The data processing

140 used to compare experimental and numerical results is also explained. The results of the DEM, the sensitivity analysis to

141 mechanical parameters and the comparison to experimental results are then presented. The relevance of the DEM model and 142 the limits of our approach are eventually discussed before concluding.

#### 143 2 Methods

# 144 2.1 ExperimentsExperimental measurements

The experimental <u>data setdataset</u> used in this study has been acquired by Peinke et al. (2020) and is only briefly presented in this paper. The methodology comprises collection and preparation of snow samples, acquisition of high\_resolution microtomographic images and cone penetration tests (CPT).

# 148 2.1.1 Snow sample preparation

Blocks of natural snow were sampled in the French Alps near Grenoble and stored at -20°C in a cold room. The materials collected arewere representative of the variety of seasonal snow types (Table 1), namely rounded grains (RG), large rounded grains (RGlr), depth hoar (DH) and precipitation particles (PP), with distinct bulk densities and specific surface areas (SSA). The samples were then prepared in a cold room at -10°C by sieving the different snow types into-aluminium cylinders, suitable for X-ray tomography (high thermal conductivity and relatively low X-ray absorption), of 2 cm20 mm height and 2 cm20 mm diameter. All samples were prepared at least 24 hours before the measurements in order for the bonds between grains to rebuild after sieving.

# 156 2.1.2 Micro-Tomography (μCT)

Tomographic scans of each sample were acquired before and after performing the CPT to capture, respectively, the initial and final microstructure of the snow, respectively. An X-ray tomograph (DeskTom130, RX Solutions) operating at a pixel size of

159 15 µm pix<sup>-1</sup>, a voltage of 80 kV and a current of 100 µA was used. During tomographic scanning, the samples were maintained

160 at a constant and uniform temperature of -10°C in a cryogenic cell (CellDyM, Calonne et al. (2015)). Each scan, consisting of

161 1440 2D radiographs, was reconstructed to obtain 3D grayscale images representing the attenuation coefficients of the different

162 materials composing the samples. The grayscale images were then transformed into binary (ice matrix – pore space) segmented

163 images using an energy-based segmentation algorithm (Hagenmuller et al., 2013).

# 164 2.1.3 Cone Penetration Test (CPT)

Posterior to<u>After</u> the initial micro-tomography scan, a CPT was performed on the snow samples using a modified SnowMicroPenetrometer (SMP version 4, Schneebeli and Johnson, 1998). The specific rod used by Peinke et al. (2020) displays a conic tip with an apex angle <u>a</u> of 60° and a maximum cone radius equal to the rod radius *R* of 2.5 mm. The rod was inserted vertically into the snow sample at a constant penetration speed <u>y</u> of 20 mm s<sup>-1</sup>. The resisting force applied on the penetrometer (cone and rod) was recorded at every 4  $\mu$ m of penetration increment (i.e., 5 kHz frequency). The SMP sensor ( Kistler sensor type 9207) measurescan measure forces up to 40 N with a resolution of 0.01 N. The tip was stopped at depths

between 7 and 15 mm, i.e., 5- to 13 mm above the sample bottom, to avoid boundary effects (Peinke et al., 2020). The

experimental force profiles are presented in Figure S26.

# 173 2.2 Numerical modelling

Snow is here considered as a granular cohesive material. Indeed, the The high strain rate (>  $10^{-4}$  s<sup>-1</sup>) induced by the tip penetration in the snow sample leads considered to lead to brittle deformations, with inter-granular damage and grain rearrangements (Narita, 1983; Johnson and Hopkins 2005; Hagenmuller et al., 2015). We adopted an approach based on the discrete element method (DEM) to simulate the cone penetration tests in the measured snow samples. The mechanical model is, based uponon YADE software (Šmilauer et al., 2015), is adapted from the work of Hagenmuller et al. (2015) and Mede et

179 al., (2018a, b and 2019) and is performed with YADE solver (Šmilauer et al., 2015).

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180 This modelling approach is composed The setting-up of three main the simulations involves different steps:, namely the
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- [81] generation of the initial conditions based on the measured snow microstructures, the definition of the contact law laws between
- the snow grains, and the setting of the boundary conditions to reproduce the -CPT configuration.

# 183 2.2.1 Grain segmentation and grain shape representation

The DEM model was fed by the 3D ice-air images obtained with X-ray tomography.derived from  $\mu$ CT. The continuous ice matrix was first segmented into individual grains based on geometrical criteria, as described by Hagenmuller et al. (2013).

- matrix was first segmented into individual grains based on geometrical criteria, as described by Hagenmuller et al. (2013).
   The main idea of the approach is to -detect potential mechanical weakness <u>zones</u> (i.e., the bonds) based on the principal minimal
- 187 curvature  $\kappa_T$  and the contiguity parameter between the grains  $\rho_T$ . The threshold on curvature  $\kappa_T$  was set atto 1.0 for RG, RGIr
- and DH samples and to 0.7 for PP sample (see Hagenmuller et al., 2013 for details). The; the contiguity parameter was set to
- 189 0.1 for all the samples (see Hagenmuller et al. (..., 2013) for details).

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190	The To construct the DEM sample, the irregular shape of the grains was approximated by filling the grain volume with a
191	population of overlapping spheres (Fig. 1). The position of these spheres werewas derived from the medial axis of the structure
192	(Coeurjolly and Montanvert, 2017et al., 2007; Mede et al., 2018a) and redundant spheres were discarded based on a power
193	diagram filter (Coeurjolly et al., 2007). and Montanvert, 2017). The This grain shape representation by a multitude of spheres
194	preserves the capability of YADE to handle sphere-sphere contact detection. However, a high number of spheres slows the
195	numerical simulation down the simulations. We thus further decimated the number of spheres by approximating the grain
196	volumeshape. We only selected the spheres with a radius larger than -Ra threshold L (voxel) and covering (in the sense of with
197	a relative coverage larger than S (i.e., the ice volume associated with the sphere according to the power diagram) a large
198	proportion of the grain should be larger than S times the sphere volume (parameter S). Coeurjolly et al., 2007). A trade-off must
199	be found between the error of thethis grain shape approximation, influencing the mechanical behaviour simulation accuracy,
200	and the number of spheres influencing the numerical cost of the simulations Eventually, the spheres belonging to the same
201	grain were clumped together in rigid aggregates constituting single discrete elements (DE). A detailed sensitivity analysis to
202	this grain representation was conducted (see supplementary material, Table S1, and Fig. S1) to determine the optimal Rvalues
203	of L and S parameters. The Note that this grain shape approximation might also lead to delete the smallest grains in the
204	numerical samples, as they cannot be covered with the chosen R parameters L and S. The grain number difference and shape
205	approximation of the numerical sample compared to initial the segmented µCT image can be quantified by computing the
206	volumetric error $E_V$ . The final chosen L and S values for each snow type, with the associated with the volumetric $E_V$ and
207	mechanical $E_M$ errors, (defined in Sect. S1.1), can be found in Table 1. Eventually, the spheres belonging to the same grain
208	were clumped together in rigid aggregate and constitute a single discrete element (DE).
209	

Sample name	Snow type	Sieve size (mm)	Bulk density (kg m <sup>-3</sup> )	SSA (m² kg <sup>-1</sup> )	R L (vx)	s	Number of spheres	Number of <del>clumpsgrai</del> <u>ns</u>	Number of initial cohesive <u>interactioni</u> <u>nteractions</u> between <del>clumpsgrain</del> §	<u>Initial</u> contact density <u>v</u>	Ev _(%)	Ем (%)
RG	Rounded Grains	1.6	289	23.0	5	0.3	514917	27560	47736	<u>0.55</u>	42.3	<del>18.0</del> <u>5.3</u>
RGlr	Large Rounded Grains	1	530	10.1	5	0.3	270143	8488	24005	<u>1.63</u>	14.6	<u>94</u> .2
DH	Depth Hoar	1.6	364	15.9	5	0.2	743546	11211	24258	<u>0.86</u>	24.7	<del>12.7</del> <u>14.3</u>
PP	Precipitat ion Particle	1.6	91.3	53.5	2	0.5	1797567	95022	125805	<u>0.13</u>	32.2	<del>9.6<u>1</u> 0.3</del>

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 Table 1: Overview of the snow samples analysed in this study and the respectiveparameters of DEM grain shape representation

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 chosen. The sample. Sample names were given according to the snow type classification (Fierz et al., 2009). The sample density and

212	specific surface area (SSA) were derived from the micro-tomographic images (Peinke et al., 2020). The initial contact density was	
213	computed according to Eq. 10. The minimum radius of the sphere RL and the minimum sphere coverage S were determined	
214	bythrough a sensitivity analysis presented in Sect. S1.1. The associated resulting number of spheres, grains and cohesive grain-grain	
215	interactioninteractions are indicated. Finally, as well as the volumetric error $E_V$ and the mechanical error $E_M$ for associated with	
216	each grain shape representation <del>were calculated</del> .	$\frown$

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#### 218 2.2.2 Interactions and contact law

219 The contacts between adjacent grains were identified during the grain segmentation phase. In the DEM simulations, theeach 220 grain contacts werecontact is represented by several sphere-sphere interactions. The interactions between spheres wereare 221 described by an elastic brittle cohesive contact law. The adhesion A, Eq. (1), characterised by four parameters, namely the 222 normal contact stiffness  $K_{N_x}$  Eq. (2) and the shear contact stiffness  $K_N$  and  $K_N$  Eq. (3) were initially set the adhesion A, and the 223 friction angle  $\varphi$ . The normal force  $F_N$  between two spheres is computed as proportional to the distance between the two sphere 224 surfaces  $x_N$ , and limited by the adhesion value in the tensile regime  $(x_N > 0)$ ; 225  $F_N A = D \times C$ ,  $= K_N x_N \leq A$ . 226 (1)  $K_{\rm N} = \frac{D \times E}{r_{\rm mean}},\tag{2}$ 

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<u>The shear force  $F_{\mathcal{S}}K_{\mathcal{F}} = \nu \times K_{\mathcal{H}}$ ,</u> 228 (3) 229

shear  $F_{M} = K_{M} x_{M} \leq A$ ,

230	with D the contact area between two spheres (m <sup>2</sup> ), weighting the bond magnitude between grains according to the spheres size,
231	C the cohesion (Pa) of ice, E Young's modulus (Pa) of ice, rmean (mm) the mean sphere radius of the numerical sample, which
232	constitute a characteristic length of the grain shape representation of the sample and is used to scale the normal stiffness in
233	order that all the sphere sphere interactions between two grains fails at the same moment, and finally, v the Poisson's ratio of
234	the material.

The forces acting on the spheres in contact depend on the stiffness of the material (Mede et al., 2020). The tensile force F<sub>N7</sub>

The contact between spheres exists as long as F<sub>N</sub> remains below the adhesion value A. Once the cohesion is broken in tension, 4

the bond is not cohesive anymore also in the shear direction. The shear force  $F_{s}$  Eq. (5), display a linear dependency to the

relative displacement of the sphere to the considered neighbouring sphere between the spheres x5.a with a maximal shear force

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 $F_S = K_S x_S \le A + F_N \times tan tan tan(\varphi)$ 

\_\_\_\_\_<del>(5</del>).

Eq. (4), is proportional to the distance between two considered spheres  $x_{N}$ :

limitedvalue given by the sum of adhesion and Mohr Coulomb friction:

(2)

(4)

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244	where $\phi$ is the friction angle. If the force exceeds the threshold, either in tension or in shear, the conestive bond is broken. As	-1
245	long as the spheres remain in contact after the cohesion has been bond is broken, Mohr-Coulomb friction remains active in	-(
246	shear.	$\sim$
247	The contact force, stiffness and adhesion of grains in contact correspond to the sum of the respective values of all the spheres	
248	in contact. At In the initial step of the simulation, all contactsstate, all interactions in the numerical sample, are considered	(
249	cohesive. While the numerical sample deforms, new clumps positions are computed with the momentum conservation	
250	equation. Grain motion can potentiallygrain displacements lead to progressive breakage of the initial cohesive interactions	$\overline{\langle}$
251	failure and the potential creation of new contacts. These new interactions. New interactions created during the computation	$\searrow$
252	are frictional only (no cohesion )., meaning that sintering mechanisms are not considered in this study.	
253	The force of a given intergranular cohesive contact corresponds to the sum of all the associated sphere-sphere interactions.	
254	Based on the total contact surface between two grains (obtained from the µCT image) and the number of associated sphere-	
255	sphere interactions, each sphere-sphere interaction <i>i</i> can be associated with a representative contact surface <i>D<sub>i</sub></i> . In order to	
256	recover the correct cohesion strength between two grains, the adhesion parameter A was defined for each sphere-sphere	Ľ
257	interaction as:	
258	$A_i = D_i C, \tag{3}$	
259	with C (Pa) the cohesion of ice. In YADE, by default, the contact stiffnesses are computed based on the radii of the spheres in	
260	interaction and two elastic material parameters, namely the Young's modulus E and the Poisson ratio v. For our computations,	
261	to ensure that all cohesive sphere-sphere interactions between two grains break at the same separation distance, the computation	
262	of the normal stiffness was redefined as:	
263	$K_{N,i} = \frac{D_i E}{r_{mean}},\tag{4}$	
264	where r <sub>mean</sub> (m) is a characteristic length constant for all the interactions in the numerical sample, taken as the mean sphere	
265	radius. The shear stiffness is then defined as:	
266	$K_S = \nu \times K_N $ (5)	
267	Note that due to the rather arbitrary characteristic length considered in the definition of the normal stiffness [Eq. (4)], which	
268	depends on the grain shape approximation, as well as to the simple linear relation considered for the normal force [Eq. (1)],	
269	the contact-level YADE Young's modulus E should not be regarded as the "true" Young's modulus of the material, but rather	
270	as a representative parameter of the elastic properties at the contacts.	
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# 272 2.2.3 Boundary conditionsSimulation setup and critical time step

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273 In order to evaluate the DEM model, we have implemented a CPT configuration similar to the experimental set-upsetup used 274 by Peinke et al. (2020) (Fig. 1). The snow sample is contained in a rectangular box openedopen at the top. The box displays 275 the following dimensions, is about 12.4 mm along the x<sub>-</sub> and y<sub>-</sub>axis and about 15 mm along the z<sub>-</sub>axis. The box size along 276 theyertical and horizontal plane W has beenbox sizes were reduced compared to the 20 mm height and 20 mm diameter 277 respectively of the sample holder used by Peinke et al. (2020). This choice has been motivated first to simplifyby (1) 278 simplifying the geometry with a rectangular numerical sample, (2) matching the sample height imaged with  $\mu CT_{a}$  and second 279 to reduce the number of spheres decreasing(3) reducing the computational time. A sample size sensitivity analysis has been 280 performed to ensure that border effects are not introduced by reducing the sample size (Fig. S2). The penetrometer tip displays

surface, <u>it is travelling downward, displaced downwards</u> through the sample, at a constant speed of 20 mm s<sup>-1</sup>. The simulation stops when the tip reaches the bottom of the box. The walls (box and tip) are represented by facets with rigid boundary conditions. The gravity is set to 9.81 m s<sup>-2</sup>.

a maximal radius FR of 2.5 mm and an apex angle a of 60°. The tip, initially Initially Initially in a centred centered position at the box

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294 (6) based on the propagation speed of elastic waves in the sample (Zhao, 2017):

295	$\Delta t = min \left(\frac{m_i}{m_i}\right)^{0.5}$	(6)	1	Formatted: Font: Italic
275	$L_{CT} = har(K_{N,i}), \qquad (K_{N,i})$			Formatted: Font: Italic
296	with $m_i$ and $K_i K_{N,i}$ the mass and <u>normal</u> stiffness of the discrete element DE <i>j</i> . The grain mass $m_i$ or, equivalently the stiffness of the discrete element DE <i>j</i> .	ne material		Formatted: Font: Italic
297	density $\rho_{\mathbf{x}}$ can be artificially increased to increase the time step (Hagenmuller et al., 2015). A numerical sensitivity	ty analysis	$\square$	Formatted: Font: Italic
298	(Fig. S3) has shown that increasing the massdensity by a factor f ofequal to 100 does not affect the simulation resu	ults <del>., while</del>	$\searrow$	Formatted: Font: Italic
299	significantly reducing the computing time. Finally, a Cundall's non-viscous damping coefficient A was applied to t	he particle	$\searrow$	Formatted: Font colour
300	acceleration to dissipate kinetic energy and avoid numerical instabilities (Šmilauer et al. 2015). A value of	0.05 was	۲	Formatted: Font: Italic
301	appliedchosen according to the results of a numerical sensitivity analysis (Fig. S4).			
1				
302	2.2.4 Input parameters			
303	The In view of the preceding paragraph, the density of the ice grains was set to $\rho = f \times 917$ kg m <sup>-3</sup> . The contact law	parameters		Formatted: Font: Italic
304	were derived from typical values measured on ice. The Poisson coefficient *P was set to 0.3 (Schulson and Duval,	2009). The	$\neg$	Formatted: Font: Italic
305	typical Young's modulus $E$ , the cohesion strength $C$ and the friction angle coefficient $tan(\varphi)$ values for the ice a	are usually		Formatted: Font: Italic
306	evaluated respectively around 1 x 10 <sup>10</sup> Pa, 1 x 10 <sup>6</sup> Pa and 0.2- <u>, respectively (Gammon et al., 1983; Schulson and Du</u>	val, 2009).	$\searrow$	Formatted: Font: Italic
307	For this study, we performed a sensitivity analysis of the simulation to the values of these parameters was perfor	med to get	$\bigvee$	Formatted: Font: Italic
308	insights into the model behaviour. The mechanical parameters were either directly derived from the values obtaine	d on ice or	//	Formatted: Font colour
309	adjusted to fit their influence and best adjust simulation results to the experimental measurements. We performed the	no analysis	Y	Formatted: Font colour
310	augusted to fit the infinite of the second	ivaly Nota		Formatted: Font colour
510	$\frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_1}{\partial t} = \frac{\partial \nabla e_2}{\partial t} = \frac{\partial \nabla e_2}$	very. Note		Formatted: Font: Italic
311	that the range of <u>Youngthe Young's</u> modulus <u>E ensures small grain overlap</u> , which satisfies <u>overlaps</u> , i.e. compliand	<u>e with the</u>	$\langle / \rangle$	Formatted: Font: Italic
312	rigid grain assumption (Fig. S5). We must mention that, due to longer computing times, fewer parameter value	s could be	$\langle \rangle$	Formatted: Font: Italic
313	explored for large Young's modulus values. For the PP sample, no numerical simulations could be performed for	<u>a Young's</u>	Υ	Formatted: Font: Italic
314	modulus of 1 x 10 <sup>10</sup> Pa, as computing times were unreasonable ( $E = 1 \times 10^8$ Pa, $t \sim 4$ months and $E = 1 \times 10^9$ Pa, $t \sim 10^{-10}$ Pa, $t \sim $	10 months		
315	on a 72 cores machine with 2.6 GHz Intel Xeon processors (2.6 GHz) and 500 GB RAM. YADE scripts enable para	allelisation		
				The second se

- 316 317 on up to 5 cores).

Bounda	ry conditions	Simulation setup
Sample width	W	13 mm
Sample height	H	<u>15 mm</u>
Tip radius	R	2.5 mm
Cone apex	a,	60°
Tip velocity	V	20 mm s <sup>-1</sup>
Gravity	8	9.81 m s <sup>-2</sup>
	Numerical pa	arameters
Time step	dt	~ 1 x 10 <sup>-6</sup> -1 x 10 <sup>-8</sup> s
Mass factor	f	100
Non-viscous damping coefficient	<u> д Л</u>	0.05
	Material pr	operties
Grain density	<b>ρ</b> ρ,	917 x 10 <sup>2</sup> kg m <sup>-3</sup>

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	Poisson coefficient	<u>₩</u> <u>P</u>	0.3	 -(	Formatted: Font: Italic
				ĉ	
	Friction anglecoefficient	$tan(\varphi)$	0.2-0.5 (default value $0.2$ )		Formatted: Font: Italic
	Young's modulus	E	1 x 10 <sup>8</sup> -1 x 10 <sup>10</sup> (default value 1 x 10 <sup>9</sup> ) Pa	7	The second of the first
	Cohesion	С	5 x 10 <sup>5</sup> -5 x 10 <sup>6</sup> (default value +2 x 10 <sup>6</sup> ) Pa		Formatted: Font: Italic
318	Table 2: Input parameters used for	or the <del>simu</del>	ationsimulations presented in this paper.		Formatted: Font: Italic

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# 320 2.3 Data processing

The main outputs of the DEM simulations are the resisting force exerted by the grains on the penetrometerpenetrating rod and the displacement of the grains-induced by the cone penetration. These results can be directly compared to the experimental measurements to evaluate the DEM model.

# 324 2.3.1 Force sampling

325 The sum of the forces along the z-axis applied on all the facets constituting the tippenetrometer (cone and rod) is recorded at 326 each time step. To match the sampling frequency The characteristics of the SMP (i.e., 4 µm), theraw, numerical values force 327 profiles depend on the numerical parameters (notably the time step), and are not necessarily suited for direct comparison with 328 experimental results. To obtain numerical profiles that can be compared to their experimental counterparts, the simulated force 329 values were averaged over windows corresponding to displacement increments of 4 µm-, thus matching the sampling frequency 330 of the SMP. This smoothingaveraging is also useful to avoidsmooth out high-frequency fluctuations linked to the very small 331 time stepsteps used in DEM. To ensure a relevant comparisonFinally, numerical and experimental force profiles are then re-332 sampled by linear interpolation over a regular grid with a step of 4 µm over the same depth. The profiles span from a depth of 0 mm (initial contact between the cone and the sample surface) to the chosen maximum depth, which, in our study, is set to 7 333 334 mm (i.e., 1750 points). This value corresponds to the minimum depth reached by the penetrometer during the experimental 335 CPT tests for the selected samples.

# 336 2.3.2 Statistical indicators

337	Quantitatively, the DEM numerical model is evaluated by a comparison comparison with experimental force profiles_in terms	V
338	of three statistical indicators: the mean <u>macroscopic</u> force $F_{\tau}\overline{F}$ (N), the standard deviation $\sigma_{amplitude}$ of the force fluctuations,	4
339	$\sigma$ (N), and the correlation length $l_{\tau}$ (mm). The standard deviation indicator $\rho$ is calculated on a as the variance of the detrended	
340	force profile obtained by subtracting the mean force value as follows:	1
341	$\sigma = \overline{\tilde{F}}^2, \qquad \tilde{F} = \frac{F - F_{sm}}{F_{sm}} $ (7)	
342	with $\tilde{F}$ ([Eq. (5)], Peinke et al. 2019), the detrended force profile, $F$ , the force profile and $F_{sm}$ , the averaged force profile	
343	calculated over a rolling window $\Delta z_{x} = 3 \text{ mm}$ , to take only into account the force fluctuations and not the global trend of the	
344	profile. The correlation length $l$ (mm) is also computed on the detrended force profiles profile (Peinke et al. 2019). In our	

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348 al. 2019). TheyIn addition, they constitute key parameters to derive additional microstructural properties based on Poisson 349 shot noise models (Löwe and van Herwijnen, 2012; Peinke et al. 2019). 350 To select the set of model mechanical parameters  $(E, C \text{ and } tan(\varphi))$  providing the best fit to the experimental measurements 351 among the tested values (Table 2), the total, a global error RE<sub>int</sub> is computed as the root square of the addition according to:  $REtot = \left[ 2 RE_{F}^{2} + RE_{\sigma}^{2} + RE_{l}^{2} \right]$ 352 (8)353 with  $RE_k$  the relative error calculated for the three statistical indicators,  $k = (F, \sigma, l)$ , as:  $RE_{k} = \frac{\log(\text{measured value}_{k} - \text{computed value}_{k})}{\log(\text{measured value}_{k} - \text{computed value}_{k})}$ 354 (9) log(measured valuek) 355 Given the difficulties in reproducing the correlation length with the DEM model for two out of four samples and the fact that 356 the values of the squared statistical indicators vary over several orders of magnitude (see Section 3.2), the relative errors of the 357 structural parameters obtained with numerical modelling compared to the ones obtained for experimental measurements. REk 358 were computed with the log of the considered values, We attribute have attributed a weight factor of 2 to the relative error  $RE_F$ 359 related to the mean macroscopic force-relative error as we assume it is the main parameter to reproduce. The, to put more 360 emphasis on the correct reproduction of this quantity. Hence, for each snow sample, the set of mechanical parameters for which 361 the lowest value of total error is obtained, is considered as the most representative of the physical characteristics of the different 362 types of snow samples. minimising the total error REtot was determined.

study, the snow samples exhibit a rather homogeneous structure allowing us to consider that *J* is constant over the depth (Peinke

et al., 2019). These three statistical indicators have been chosen because they are easily quantifiable and commonly used to

describe force profiles obtained by CPT in snow (Johnsson and Schneebeli, 1999; Löwe and van Herwijnen, 2012; Peinke et

# 363 2.3.3 Grain displacement analysis

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364 The grain position isof all grains was recorded every ~0.4 mm of penetration in the DEM simulations. The total 365 displacement displacements and the displacement pathtrajectories can therefore be reconstructed for each grain. Due to the 366 thermodynamically active nature of the snow, the incremental record of the snow sample state during the interrupted 367 experimental CPT wastests were not possible. Therefore we feasible and only measured the initial (before CPT) and the final 368 statestates (after CPT) of the snow sample could be imaged by µCT. Grain tracking, applied to the micro-tomographic images, 369 has been performed by Peinke et al. (2020)), providing the total displacement of the identified grains. We thus compared the 370 total displacement between the CPT experiments and the DEM simulations at the same penetration depth, i.e., at the maximal 371 penetration measured experimentally. Note that grain tracking could not be performed for the PP sample due to the small size 372 of the grains.

The profiles of vertical and radial displacements were averaged around the cone axis and <u>onover</u> the height of an area located between the top section of the cone and the sample surface. A displacement threshold of 0.03 mm <u>iswas</u> set to define the <del>deformation zone (DZ)CZ</del> (Peinke et al., 2020). Only the radial profiles were compared to the experimental results, as we suspect the vertical profiles derived from µCT scans might be misleading (Peinke et al. 2020). Indeed, before acquiring the Formatted: Font: Italic
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β77 post-CPT μCT scans, the tip was removed from the snow. This procedure iswas performed about one hour after the tip

B78 penetration in order, to allow for the bonds between ice grains to re-form by sintering to and limit the grains displacement while

379 thegrain displacements during tip is removed. Despiteremoval. However, despite this precaution, some grains in contact with

the tip might behave been dragged upward due to the tip grain friction, with the tip. Therefore, the grain trajectory observed

381 on the pre- and post CPT μCT scans could enhance the upward component of the vertical displacement formight have been

382 <u>overestimated in</u> the experimental results, especially for the larger grains.

## 383 3 Results

# 384 3.1 Simulated Cone Penetration Tests on numerical samples with DEM

385 This section showspresents an example of CPT simulation results obtained for a DEM simulation the case of the CPT on the 386 numerical RG snow sample RG with the following mechanical parameters:  $E = 1 \times 10^9$  Pa,  $C = 5 \times 10^6$  Pa and  $tan(\phi) = 0.2$ 387 other S2.1. (Table 3). The results for the snow samples are shown in Sect.Section 388

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Figure 3: (a) Simulated grain displacement map offor the RG sample. The red arrows indicate the grain trajectories while the tip is penetrating (sampling = 0.4 mm). White grains correspond to grains <u>that are</u> not represented in the DEM simulation. The final tip position is indicated by the black solid lines. The horizontal black dashed line indicates the cone top. (b) Radial (upper panel) and vertical (lower panel) displacement profiles (<u>red curves</u>) for the RG sample. These profiles represent averages computed from <u>the</u> sample surface to the cone top. By convention, downward (respectively upward) movement corresponds to positive (respectively negative) values of vertical displacement. <u>Results/The shadowed areas around the solid lines represent the standard deviation of</u> grain displacements. The results are obtained with the mechanical parameters <u>givenindicated</u> in Table 3.

424

425 DEM simulations also allow tracking grain positions while the tip is penetrating into the numerical sample. Figure 3a.3 (a) 426 shows the total displacement of the grains and their respective as well as grain trajectories for the RG sample. The largest 427 displacements (up to several mm) are observed for grains initially located on the trajectorypath of the tip. Around the tip, the 428 displacements are < 1 mm and are mainly localised close to the tip. Grain trajectories indicate that grains are pushed downward</p>

from each side of the tip. Grains initially located on the <u>penetrometertip</u> axis display <u>a</u>-quasi-straight vertical trajectorytrajectories. The trajectories become more radial and curved away from the tip medial axis,- with the-grains <u>also</u> being also pushed aside. Around the cone, grain trajectories are predominantly straight, with an almost radial orientation at the cone top and a more vertical orientation near the tip. Both radial and vertical displacement profiles show a pronounced decreasing trend<sub>7</sub> and reach <u>almost zero values</u> at a radial position of about 1.7<u>R7-1.8*R*</u> (Fig. 3 (b)). The vertical profile attests of a dominant downward movement of the grains close to the tip. Similar observations are made for- the DH <u>sample</u> (Fig. S9);) and PP (Fig. S11) <u>samples</u>. In contrast,- for the RGIr sample, vertical displacements are smaller and oriented slightly

436 <u>upwardsupward</u> on average, for the mechanical parameters chosen here (Fig. S7).

# 437 3.2 Sensitivity to mechanical parameters

The influence of the mechanical parameters (Young's modulus, cohesion, friction angle) describingcoefficient) involved in the contact law, on the simulations has been systematically explored. The For the RG sample, the force profiles obtained for the different values of the parameters within the explored ranges (Table 2) are presented for the RG sample in Figure 4, and a synthetic plotplots of the sensitivity of the statistical indicators to these parameters is are presented in Figure 5. The results for the other snow samples as well ascan be found in Sect. S2.3, Table S2 summarising all S3 also summarises the values of statistical indicators obtained, can be found in Sect. S2.3 in all cases.





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452 First, we observed that increasing Young's modulus decreases the mean macroscopic force (Figs. 4 (a) and 5 453 (a)) and the correlation length (Fig. 5 (ac)). The influence of Young's modulus on the standard deviation amplitude of force 454 fluctuations is more complex and displays a co-dependency with the cohesion values (Fig. 45 (b)). FromFor low to(respectively, 455 high) cohesion values, the standard deviation evolves from amplitude of force fluctuations shows a decreasing to 456 an(respectively, increasing) trend with Young's modulus, respectively. The influence of Young's modulus on the correlation 457 length is weak (Fig. 4 (c)), as we observe mainly quasi-constant values over Young's modulus values. However, we notice a 458 decreasing trend for large Young's modulus and low cohesion., Regarding the influence of the cohesion and friction angle, it 459 is observed that increasing these parametersthis parameter increases the three statistical indicators. 460 Aside from Finally, increasing the friction coefficient, generally also leads to an increase of the three statistical indicators. Note 461 however that, over the range of explored friction coefficient values (0.2-0.5), the sensitivity to this parameter is less important 462 than for the other two mechanical parameters (where E is varied over two orders of magnitude and C is varied over one order 463 of magnitude). Despite changes in absolute force values, the evolution of the force profiles (Figs. S14, S18 and S22) and 464 statistical indicators (Figs. S15, S19 and S23) with the mechanical parameters follow similar trends for all the samples, attesting 465 to a moderate influence of the snow type. Nevertheless, it has to be noticed that the influence of Young's modulus on the 466 correlation length is more pronounced for the RGIr (Figs. S14, S15) and the DH (Figs. S18, S19) samples. We must highlight 467 that due to large computing times, fewer parameter values have been explored for large Young's modulus. It is the case 468 especially for the PP sample for which no numerical simulations for a Young's modulus of 1 x 10<sup>10</sup> Pa has been performed as 469 computing times were too long (E = 1 x  $10^8$  Pa, t ~ 4 months and E = 1 x  $10^8$  Pa, t ~ 10 months) to be achieved. 470

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The number of broken bonds with-per increment of tip penetration depth appears rather insensitive to Young's modulus (Figs.
S12 (a), S16 (a), S20 (a), S24 (a)) and is only slightly reduced when cohesion increases (Figs. S12 (b), S16 (b), S20 (b), S24

(b)). Conversely, <u>this quantity is significantly affected by the friction angle shows a pronounced influence coefficient</u> with an
 increase in <u>of the average</u> bond failures failure rate when this parameter <u>tan(\varphi)</u> increases (Figs. S12 (c), S16 (c), S20 (c), S24
 (c)).

Finally, <u>it is observed that</u> the influence of <del>all</del> the mechanical parameters on the radial grain displacement profiles is negligible
 (Figs. S13, S17, S21, S25). Young's modulus <u>hasshows</u> no influence on the vertical grain displacement <u>either</u>. Cohesion only
 playsappears to play a role onin the vertical displacement profile for the RGIr sample, by enhancing upward movements.
 Larger friction anglescoefficients tend to increase the downward movement of the grains close to the tip for all the snow types.

487 3.3 Comparison of DEM results with experimental measurements

 488
 The results of the DEM numerical model are compared to the experimental results to evaluate its predictive capability. First,

 489
 it can be notedA first noticeable observation is that, for the values of the mechanical parameters tested, the orders of magnitude

- 490 of the statistical indicators<sub>a</sub> obtained numerically and experimentally are similar for are consistent with the experimental results
- in most of the cases (Figs. 5, S15, S19, S23, Table S2), proving, Table S3). This demonstrates that the DEM model can
   reproduce is indeed capable of reproducing the main characteristics of the CPT force profile characteristics. (Fig. S26, Table
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Formatted: Font colour: Auto Formatted: Font colour: Auto Formatted: Font: Italic 493 S2). However, we highlight the difficulty to match of matching the three statistical indicators at once for a given combination 494 of the three mechanical parameters studied. Hence, for the RG sample (Fig. 5), the DEM simulations fit well with simulation 495 can reproduce the experimental mean macroscopic force and the standard deviation of the measured amplitude of force 496 profiles, fluctuations but tendtends to underestimate overestimate the correlation length, by a factor of 8 for the best combination 497 of mechanical parameters. For the RGIr and DH samples (Figs. S15, S18), all the experimental statistical indicators can be 498 reproduced individually, but not for one single combination of the mechanical parameters-tested. For the PP sample, the 499 experimental mean macroscopic force, and the amplitude of force fluctuations can be well-reproduced numerically, but the 500 correlation length is underestimated (Fig.systematically overestimated by a factor of at least 8 (Fig. S23). The standard deviation can be approached for large Young's modulus and cohesion values. However the dataset is incomplete to provide a 501 502 strong insight.

503

Sample	E (Pa)	C (Pa)	tan(q)	Error FREE	Error GRE	Error I <u>RE</u>	Total errorREtot	
RG	1 x 10 <sup>9</sup>	5 x 10 <sup>6</sup>	0.2	$\frac{-5.01.2}{10^{-21}}$ x	<u>31,2 x 10-1</u>	<u>-8.05.2</u> x 10 <sup>-1</sup>	85,6 x10x 10 <sup>-1</sup>	
RGlr	1  x $10^{10} 10^9$	<u>21</u> x 10 <sup>6</sup>	0. <del>2</del> 3	- <u>2.9</u> <u>5.5</u> x 10 <sup>-<u>+2</u></sup>	<u>-5.54.6</u> x 10 <sup>−1</sup>	<u>1.21 x 10<sup>-</sup></u>	<del>7.04.8</del> x 10 <sup>-</sup>	
DH	1  x $10^9 10^{10}$	2 <u>5</u> x 10 <sup>6</sup>	0. <u>32</u>	$\frac{1.2.0 \text{ x } 10^{-1}}{1}$	<del>-3.3<u>1.1</u> x</del> 10 <sup>-1</sup>	- <u>6.72.3</u> x10 <sup>-1</sup>	<del>8.0<u>3.1</u> x 10<sup>-</sup> 1</del>	•
РР	1  x $\frac{10^8}{10^9}$	2 x 10 <sup>6</sup>	0. <u>25</u>	4 <u>.5-1.3</u> x 10 <sup>-<u>21</u></sup>	<u>-3.91.6</u> x 10 <sup>−1</sup>	<u>-9.96.5</u> x 10 <sup>-1</sup>	$\frac{1.16.9}{10^{9}}$ x	

Table 3: Selected combination of mechanical parameters for RG, RGIr, DH and PP samples. The <u>indicated</u> values of Young's modulus *E*, cohesion *C* and friction <u>anglecoefficient</u>  $fan(\varphi)$  correspond to the <u>combinations</u> that <u>yieldsyield</u> the lowest total error <u>*RE*<sub>loc</sub> on the statistical indicators (mean force *F*, standard deviationmacroscopic force *F*, amplitude of force fluctuations correlation length\_1) measured experimentally. Error values for all the mechanical <u>parametersparameter</u> combinations tested are indicated in Table \$253.</u>

509

510 Based on the -sensitivity analysis (Sect. 3.2.3.), we selected for each sample the combination of the three mechanical 511 parameters that minimises the total error for the different samples RE<sub>tot</sub> (Tables 3, S2S3). The associated corresponding 512 simulated force profiles produced by the DEM simulations (referred to as 'Numerical simulation 1') are compared with the 513 experimental profiles in Fig. 6. From a qualitative point of view, a good, matchoverall agreement is obtained observed between, 514 these numerical and experimental force profiles. For the RG sample, the experimental mean macroscopic force is well 515 reproduced but the standard deviation is slightly overestimated by 20% by the numerical result, the amplitude of force 516 fluctuation is overestimated by ~70% and the correlation length is slightly underestimated largely overestimated by a factor of 517 ~8 (Figs. 5, 6 (a), Table 3). Note that the mean force obtained numerically is underestimated in the first 3.5 mm of penetration 518 compared to the experimental data. The experimental profile appears quasi-linear in this upper section, and does not display 519 the S-shape observed on the numerical profile (Sect. 3.1.) for the range of depth presented here. However, observing the force 520 profile over a larger depth range allows us to observe this 'S' shape (Fig. S26). Both the experimental and numerical force 521 profiles then reach a quasi-steady-state value at about the same depth (~ 6 mm, <u>\$27</u>). For the RGIr sample, the correlation

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522	length is well reproduced, while the mean force is slightly underestimated and the standard deviation is underestimated by a
523	factor of ~ 0.5 (Figs. S15 and 6 (b), Table 3). It appeared difficult to reproduce experimental mean macroscopic force is fairly
524	reproduced ( $RE_F = 5\%$ ), the amplitude of force fluctuations visible is underestimated by ~60% and the correlation length is
525	overestimated by ~ 35% (Figs. S15 and 6 (b), Table 3). We note that the slope change between 2.5 and 3 mm penetration
526	depth is reproduced numerically. However, it appeared difficult to reproduce numerically the amplitude of force fluctuations
527	in the upper section (from 0 to 4 mm) of the experimental profile. Both experimental and numerical profiles present a 'S' shape
528	with a first slope change at around 3 mm of penetration. The simulations do not reproduce the force decrease after 6 mm
529	observed in the experiments. For the DH sample, the experimental mean macroscopic force is well reproduced.overestimated
530	by 25%. The standard deviation experimental amplitude of force fluctuations is slightly underestimated by 28% and the
531	correlation length is underestimated by more than 60% about half of the experimental value (Figs. S19, 6 (c), Table 3). The
532	numerical results missminimise, the large force peaks observed in the upper part of the profile. Yet, it appears that the
533	simulations fairly reproduce the general shape of the experimental profile.experimental profile (above 3 mm) but reproduce
534	fairly well the main features of the amplitude of force fluctuations, especially the force "jump" at 3 mm depth. Finally, for the
535	PP sample, the <u>experimental</u> mean macroscopic force is <del>well reproduced, while the standard deviation (<u>underestimated by</u></del>
536	~30%, while the experimental amplitude of force fluctuations is underestimated by <u>60%) and %</u> . In this case, the experimental
537	correlation length (1 order of magnitude) are underestimated could not be reproduced at all, with values overestimated by a
538	factor of at least 80 (Figs. S23 and 6 (d), Table 3).
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542 543 544 545 Figure 6: Experimental (grey) and numerical (coloured) CPT force profiles obtained for (a) RG, (b) RGlr, (c) DH, and (d) and PP samples. The "Numerical simulation 1" profiles correspond to the best fit of the mechanical parameters determined for each sample (Table 3), while "Numerical simulation 2" profiles correspond to thean overall best fit of the mechanical -parameters for the four samples  $\frac{RG}{RG}$ ,  $\frac{RG}{RG}$ ,  $\frac{DH}{DH}$  and  $\frac{PP}{E}$  ( $E = 1 \times 10^9 \text{ Pa}$ ,  $C = 2 \times 10^6 \text{ Pa}$  and  $tan(\phi) = 0.2$ , Table  $\frac{S2S3}{E}$ .

547 In additionFor comparison, we also selected the single set of mechanical parameters that minimises the combined total error. 548 <u>*RE*tor</u> on RG, RGIr, DH and PP samples. Corresponding values are:  $E = 1 \times 10^9$  Pa,  $C = 2 \times 10^6$  Pa and  $tan(\varphi) = 0.2$ . The 549 respective errors for each sample can be found in Table S2S3. In general, the associated corresponding simulated, force profiles 550 computed numerically agree fairly well with the experimental results ('(referred to as 'Numerical simulation 2' in Fig. 6).6)

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Figure 7: Total displacement maps obtained experimentally with  $\mu$ CT (left panelpanels) and numerically with DEM simulation (right panelpanels) for the RG, RGIr, DH and PP samples. A displacement threshold etof 0.03 mm has been set to define the deformation zone (Peinke et al., 2020). White grains correspond to non-trackable grains in  $\mu$ CT scans (Peinke et al., 2020) and deleted grains not represented in the DEM simulations. The final tip position is indicated with black solid lines. The horizontal black dashed line indicates the cone top. Displacement profiles shown in Fig. 8 are computed from the sample surface to the cone top. Numerical results are obtained with the combination of mechanical parameters indicated in Table 3. No<u>The experimental map is presented displacement field could not be determined</u> for the PP sample due to the difficulties to apply the grain tracking algorithm for this sample.

 QualitativelyAs shown in Fig. 7, the numerical and DEM simulations also proved capable of reproducing, at least qualitatively,

the experimental grain displacement fields present similar patterns derived from µCT scans for allthe four, snow types and

581 linear trend compared to the arcuate shape of the experimental radial displacement profile (Fig. 8). For the DH sample, the 582 radial extension of the deformation zone is well reproduced by the simulations, but the vertical extension tends to be 583 overestimated. Finally, the numerical results obtained for the PP sample could not be compared to experimental measurements 584 as the grain tracking algorithm is not applicable on these small grains (Peinke et al., 2020). The numerical results attest of the accordance of the deformation zone with other numerical profiles obtained for other snow types. The largest discrepancies are 585 586 observed for the RGIr sample, for which the radial and vertical extensions of the deformation zone are overestimated compared 587 to the experimental data.

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Radial Similarly, the radial displacement profiles obtained withfrom the DEM numerical modelsimulations are overall in good agreement with their experimental counterparts (FigFigs. 8 and S29). Consistently with the displacement maps, the largest discrepancy is observed for the RGIr sample. In particular, the abrupt slope break seen in the experimental profile at a radial position of about 1.5 is not reproduced in the numerical profile. Note however that, due to a relatively low number of trackable grains (Fig. 7), the standard deviation of the grain radial displacements is larger in the experimental measurements, which may

combination of mechanical parameters indicated in Table 3.

displacement and exhibit the variability of the radial displacement of grains. The numerical results are obtained with the

Ċ	601	result	in a	a la	rger	uncertainty	on v	the	average	profile.	In	contrast,	simulations	on	the	RG	and	DH	samples	show	a v	very	good
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- 602 agreement with the experiments. The DZ (CZ (defined with displacement threshold set at 0.03 mm) obtained from numerical
- 603 simulations extendextends radially up to 1.7R6R, 2.2R, 2.2R0R and 1.8R5R for the RG, RGIr, DH and PP samples, 604 respectively. The DZ obtainedIn comparison, the CZ derived from CTµCT scans extend upextends radially up to 1,8R7R,
- 605 1.7R5R and 2.2R1.9R for the sameRG, RGIr and DH samples, respectively (no measurement for PP sample),

#### 606 **4 Discussion**

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#### 607 4.1 Evaluation of the DEM model

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608 We used three mechanical parameters, namely Young's modulus, the cohesion and the friction anglecoefficient, to adjust the 609 simulated force profiles to the experimental results. The Overall, the numerical model could satisfactorily reproduce relatively 610 well the mechanical response of all studied numerical samples with a single set of mechanical parameters ( $E = 1 \times 10^9$  Pa, C 611 = 2 x 10<sup>6</sup> Pa and  $tan(\varphi) = 0.2$ ) (Fig. 6), indicating that the <del>characteristics of differences in</del> the force profiles among the samples 612 are mainly dependent of the snow microstructure.

613 It should also be noted that the values of the mechanical parameters obtained by adjusting the model on the experimental data 614 (either globally for all samples, or for each sample individually, Table 3) are reasonably close to the mechanical properties of ice. Young's modulus of ice is measured between 9 x 10<sup>9</sup> Pa and 10 x 10<sup>9</sup> Pa (Gammon et al., 1983), while our selected values 615 616 range between 1 x 10<sup>9</sup> Pa and 1 x 10<sup>10</sup> Pa (except for PP sample). In practice. Recall that, in YADE, the numerical-Young's 617 modulus is a numerical parameter used in YADE software to parameterized efine the normal contact stiffness-does, and is not 618 directlyexpected to necessarily correspond to the physical Young's modulus of the material. In particular, the numerical 619 Young's modulus may depend on the grain shape representation and/or the choice of the contact law. (Sect. 2.2.2). 620 Nevertheless, the fact that the numerical value of E is close to that in the same range of magnitude as the elastic properties of 621 ice provides good confidence that the DEM model and the used contact law (Eq([Eqs. (1), Eq. (2), Eq. (3)))-(5)) correctly 622 capture the physical processes at play. Similarly, the numerical cohesion values, ranging between  $21 \times 10^6$  Pa and  $5 \times 10^6$  Pa. 623 are in agreement with typical cohesion values measured on ice (in the range 2 x 10<sup>6</sup> Pa to 6 x 10<sup>6</sup> Pa, Schulson and Duval, 624 2009). Finally, numerical friction anglescoefficients appear to be on the order of 0.2-0.35, while values- measured 625 experimentally are generally rangingrange from 0.02 to 1 (Fish and Zaretsky, 1997; Maneno and Arakawa, 2004). All these 626 results reinforce the confidence in the relevance of the DEM model-credibility.

627 We acknowledge that the mechanical parameters obtained from minimising the errors on the statistical indicators do not 628 necessarily represent optimal values, in the sense that only a limited number of parameter sets could be tested. In particular, 629 due to a high computational cost, few simulations performed with  $E = 1 \times 10^{49}$  Pa were achieved for RG, RGIr and DH 630 samples. Based on the sensitivity analysis, a more proper inversion procedure- could be developed to retrieve true optimal 631 values of the mechanical parameters. This would certainly provide more robust elements as to determine whether a single set 632 of mechanical parameters can be used to fitrepresent the experimental results of all snow types, or whether these mechanical Formatted: Font: Italic Formatted: Font: Italic Formatted: Font: Italic

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parameters differ according to the snow types. In ourtype. Our current analysis, the cannot provide a conclusive answer to this question remains ambiguous, Note that ice is a polycrystalline material, whose mechanical behaviour can be strongly anisotropic depending on the ice structure- (Fish and Zaretsky 1997; Thorsteisson, 2001; e.g. Maeno and Arakawa, 2004). If <u>Therefore, it</u> is thus not unlikely that ice bonds between grains <u>eancould</u> be characterised by different mechanical properties depending on the specific conditions of snow formation and evolution.

638 As further proof of **DEM's goodDEM** predictive capabilities, we could also observe that the grain displacement fields measured 639 for the different snow types were overall well reproduced by the simulations (Figs. 7 and 8). In particular, the model captures 640 the radial extent of the deformation zone, i.e. of which is on the order of 1.5R-2-2.5-R.2R. A discrepancy between the numerical 641 and experimental profiles of radial displacement profiles was observed for the RGIr sample. It should, however, it 642 can be noted that these experimental radial displacement profiles for the RGIr sample are also those withshow the largest 643 divergence compared towith the prediction of the cavity expansion model (CEM) (Yu and Carter, 2002), as shown by Peinke 644 et al. (2020). In fact, the radial profile predicted by the CEM for this sample is similar to the radial profile obtained numerically 645 in this study.

#### 646 4.2 Interpretation

# 647 4.2.1 MechanicalSensitivity to the mechanical parameters sensitivity

648 The sensitivity analysis revealed a strong influence of the mechanical parameters on the simulation results. In particular, a 649 clear dependence of the mean macroscopic force with Young's modulus E was observed, suggesting that a significant part of 650 the sample undergoes elastic deformation, while brittle failures are confined in a region close to the tip. Note that a similar 651 dependence to E with a cohesive contact law has been observed in DEM modelling of soil compression (De Pue et al., 2019) 652 and snow compression (Bobillier et al., 2020). The larger-mean macroscopic force, the amplitude of force fluctuations and the 653 correlation length all increase with the cohesion C and, to a smaller extent, with the friction angle, also tend to increase the 654 mean force, the standard deviation and the correlation length-coefficient  $tan(\phi)$ . This can be related to the fact that increasing 655 cohesion and friction between grains increase bond strength. It is also observed that cohesion tends to prevent bond failures, 656 and to favour the upward movement of grains for samples with the largesta large initial density, such as RGIr. In contrast, 657 increasing the friction anglecoefficient enhances the bond failure rate and the downward movement of grains (Figs\_ S12, S16, 658 S20, S24). When sliding between grains is inhibited, a grain dragged by the tip movement will drag downentrain surrounding 659 grains more easily, thus enlarging the deformation zone and triggering additional bond failures. Finally, radial grain 660 displacements and the radius of the deformation zone areappeared to be mostly insensitive to the mechanical parameters, 661 indicating that thethese features are mainly controlled by CPT configuration and snow type mainly control these 662 featuresmicrostructure.

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#### 663 4.2.2 Compaction zone development

664 For all snow types, the force profiles computed numerically display a 'S' shape (Figs. 1, S6, S8, S10). We attribute this shape 665 to the development of a compaction zone (CZ) in front of the tip during its progressive penetration into the numerical sample. 666 More specifically, the first stage of the force profiles (slope increase) might be progressive entry 667 of the cone penetration, before the cylindrical part reaches into the sample. The second stage (constant slope) is attributed to 668 the development of the CZ in front of the tip. The third stage (quasi-constant force value) suggests that a steady-state regime, 669 with a fully-developed CZ, is reached. Depending on the snow type, the numerical results suggestindicate that full development 670 of the CZ occurs for 5.56 mm to 8 mm of penetration depth. These results agree with the experimental profiles for the RG, DH 671 and PP samples. For the RGIr sample, the experimental penetration profile did not reach the steady-state stage. Globally, we 672 can highlight that the DEM simulations are able to reproduce fairly well the global shape of the experimental profiles, and thus 673 to correctly capture the development of the DZCZ. 674 Nevertheless, in another experimental study, the CZ has been reported to be fully developed only for around 40 mm of depth 675 penetration (Herwijnen, 2013), which is significantly deeper than the experimental and numerical results obtained in this study. 676 A first hypothesis to explain this observationdiscrepancy is that if since the maximum depth of our CPT force profiles reach a 677 maximum depth of is 10 mm, we might thus miss information on the full CZ development. A second hypothesis explanation 678 could be related to the differences in the experimental set-upsetups. Indeed, Peinke et al. (2020) performed CPT on snow 679 samples contained in cylinders of  $\frac{2}{2}$  em20 mm diameter and  $\frac{2}{2}$  em20 mm height, which is significantly smaller than the 680 decimetric snow samples considered by Herwijnen (2013). Boundary effects might thus play a role- in limiting the development 681 of the CZ. Finally, the tip geometry also differs- between the two studies. Peinke et al. (2020) used a plain tip, while Herwijnen 682 (2013) used the original SMP tip geometry with a cone radius larger than the rod. A sensitivity analysis comparing the two 683 geometries showed an influence over the upper 12 mm of the force profiles (Peinke, 2020). The plain tip geometry

values. This <u>sensitivityeffect</u> might <u>also</u> influence the characteristics of the CZ development, <u>which could be studied in the</u> future using the presented numerical model,

producedresulted in larger values of the mean macroscopic force and standard deviation the amplitude of force fluctuations

#### 687 4.2.3 Grain-tip interaction

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The sensitivity analysis to the grain shape representation <u>(Sect. S1.1)</u> provides interesting insights into the interpretation of force profiles. In particular, the study highlighted that the grain shape representation could be relatively coarse (high volumetric error  $E_V$ ) but still produce a force profile with an acceptable mechanical error  $E_M$  compared to a reference profile obtained for a fine grain shape representation ( $E_V < 10\%$ ) (Fig. S1, Table S1). This is notably the case for the RG sample, asfor which the selected grain shape representation ( $R_L = 5$ , S = 0.3) corresponds to a value of  $E_V$  of about 40%. LargerLarge values of  $E_V$ often imply grain loss, as the smallest grains identified in the  $\mu$ CT scans cannot be represented by the DEM in this case. Despite this losswith coarse spherical elements. Yet, the similarity of the force profile to the reference force profile indicates the limited Formatted: Font colour: Auto

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contribution of these smallest grains to the -macroscopic force\_compared to the largest grains with stronger bonds. The loss of grains and bonds might nevertheless directly affect the force fluctuations, providing a potential explanation foras to DEM model underestimates the correlation length obtained experimentally for the samples with the smallest grain sizes (RG and PP) (Figs. 5, S23).

# 699 <u>4.2.3 Scaling relation for the mean macroscopic force</u>

To try and synthesise the large number of simulation results obtained in this study, scaling relations describing the evolution 700 701 of the statistical indicators as a function of the main simulation parameters can be looked for. We focused in particular on the 702 mean macroscopic force  $\overline{F}$ , which was observed to depend both on the mechanical parameters E, C and  $tan(\varphi)$ , as well as on 703 sample microstructure. Since the range of friction coefficient values (between 0.2-0.5) that we could explore remained limited 704 compared to the ranges of E and C, the parameter  $tan(\varphi)$  was not included in this analysis and the results presented below 705 correspond to a single value  $tan(\varphi) = 0.3$ . 706 First, inspection of our results (see Figs. 5 (a), S15 (a), S19 (a), S23 (a)) indicates that the dependencies of the mean 707 macroscopic force  $\overline{F}$  to the Young's modulus E and cohesion C appear to be consistent across the four tested samples (see also Table S4). More precisely,  $\overline{F}$  scales with E according to a power law of the form  $\overline{F} \sim C^{-\alpha}$ , with an exponent  $\alpha$  on the order of 708 709 1/2. Similarly,  $\overline{F}$  scales with C according to a power law of the form  $\overline{F} \sim C^{\beta}$ , with  $\beta$  on the order of 3/2. 710 Second, we can expect  $\overline{F}$  to be also related to the rate of cohesive broken bonds per unit penetration depth. In particular, it is 711 observed (see Figs. S12, S16, S20, S24) that the slope  $\lambda$  of the cumulative proportion of broken bonds as a function of depth 712 is essentially independent of the Young's modulus and cohesion. Conversely, as shown in Fig. 9 (a), this slope  $\lambda$  is linearly 713 related to the initial contact density  $\nu$  defined as: 714  $v = z\Phi$ (10)715 with z the coordination number (number of initial cohesive interactions between grains divided by the number of grains, see Table 1) and  $\Phi$  the volume fraction of the sample (ice density = 917 kg m<sup>-3</sup>, see Table 1). 716 717 From these different observations, the following scaling law for the mean macroscopic force  $\overline{F}$  can be proposed: 718  $\overline{F} = B T C \left(\frac{C}{E}\right)^{\alpha} f(\nu)_{-}$ 719 (11)720 with B a dimensionless constant, T (m<sup>2</sup>) the surface area of the cone (with a radius R and a cone apex a, Table 2) in contact 721 with the sample, and f a function to be determined. Figure 9 (b) shows the dimensionless quantity  $\overline{F}T^{1}E^{1/2}C^{3/2}$  plotted against 722 the initial contact density  $\nu$ . We observe that all the simulation results for the four snow types and the different values of 723 Young's modulus and cohesion nicely merge on a unique logarithmic trend. Note, however, that a relatively larger dispersion 724 is observed for RGlr ( $\nu = 1.63$ ) compared to the other samples.

P25 Equation (11) encapsulates in a single relation the main physics controlling the mean macroscopic force recorded by P26 the penetrometer. In particular, this relation indicates that the influence of snow microstructure can be captured, at



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Figure 9: (a) Initial contact density  $\psi$  versus the slope  $\lambda$  of the proportion of cohesive bonds broken per unit depth (mm<sup>-1</sup>) for each snow type. The values of initial contact density  $\psi$  were computed with Eq. (10) and the values indicated in Table 1. The slopes  $\lambda$  were computed from the evolution of the cumulative proportion of cohesive bonds broken (Figs. S12, S16, S20, S24) over a window of 7 mm depth. (b) Dimensionless quantity  $\overline{F}T^{-1}E^{1/2}C^{-3/2}$  (see Eq. (11)) versus the initial contact density  $\psi$  for all simulation results. All the results are provided for a friction coefficient  $tan(\varphi)$  of 0.3.

ν

 $\lambda$  (% of cohesive bonds broken  $mm^{-1}$ )

745 <del>2007).-Including this subsecond sintering\_in the numerical simulations shall be considered in future works to study its ← 746 role on the mechanical response of the samples.</del> Formatted: Heading 1

#### 747 5 Conclusion

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748 We have evaluated a numerical model based on DEM that reproduces the mechanical behaviour of snow in the brittle regime.

T49 The DEM model is takingtakes into account the ice properties and the snow microstructure captured by tomography. The

751 reproduced with the DEM model. Three parameters namely, the mean macroscopic force, the standard deviation amplitude of 752 the force fluctuations and the correlation length, were used to quantify the similitude of the numerical and experimental 753 profiles. The grains displacement field was computed and compared to the experimental displacement field derived from µCT 754 scans acquired before and after the CPT. 755 The DEM model has demonstrated overall a good capability to simulatereproduce the mechanical responses of CPT performed 756 in different snow types. The computed force profiles satisfactorily reproduce the main characteristics of the experimental force 757 profiles. The results revealed that the force profiles profile characteristics are mainlystrongly dependent on the microstructure. 758 A sensitivity analysis provedalso demonstrated the dependence of the mechanical response to the mechanical parameters of 759 the contact law. In particular, a simple scaling law could be derived relating the mean macroscopic force computed by the 760 DEM to the mechanical parameters E (Young's modulus) and C (cohesion) and to the microstructure characteristics captured 761 by the initial contact density. The displacement fields are also well reproduced by the model, except for the RGIr sample 762 showing a larger extent for the numerical results. The agreement in terms of radial displacement profiles is very good. The 763 grains are mainly travelling downward during the CPT, although for the RGIr sample, the upward movement of the 764 grainsmovements close to the surface is are not negligible. The CPT implies a complex deformation field with a compression 765 zone around the apex and an expansion zone close to the surface (Peinke et al., 2020). Therefore being able to reproduce the 766 force profiles (including high-frequency fluctuations) and displacement profiles fields for this mechanical test 767 constituteconstitutes a strong validation of the reliability of the DEM model. 768 The CPT modelling via DEM model brings advantages to reproduce and interpret the snow mechanical behaviour to CPT 769 compared to others interpretation models as it is able to take into account the high frequency fluctuations and predict the 770 displacement field and. However, a major downside of the DEM method is theits high computational cost, (simulation times 771 ranging between 1 week to several months according todepending on the physical and numerical parameters for the chosen 772 CPT configuration, preventing us from exploring all), which limited the range of mechanical parameters chosen that could be 773 explored for all the snow types. Besides, the DEM model could be improved by adding time dependent parameters in the 774 contact laws to take into account the sintering process. 775 The developed DEM model nonetheless constitutes a versatile approach that cancould be applied to various materials and 776 configurations- in future studies. In particular, it will be possible to use the DEM model can be used to study to gain more 777 physical insights into the interaction between the tip and the grains of the numerical sample, in order to better interpret the 778 CPT force profiles. PertinentSuch analyses will provide ways to test and derive relevant macro- and micromechanical 779 parameters could be derived to characterise the microstructure properties from the CPT force signal solely. EspeciallyIn 780 particular, the validity of the assumptions made by the HPP-NHPP method, as well as the influence of the CZ development 781 will be tested. Critical structural parameters driving the mechanical behaviour, could be identified and a parameterization 782 developed in order to include the effect of snow microstructure in macroscale numerical models studying snowpack and

experimental configuration of the CPT measurements conducted on different snow types by Peinke et al. (2020) has been

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783	avalanches.,	will be assessed.	Future stud	ies may	also con	sider refining	g the used c	contact laws to	o investigate	e, e.g	the influence

784 of sintering processes on CPT results.

# 785 Code availability

786 Codes can be provided by the corresponding authorsauthor upon request.

# 787 Data availability

All data can be provided by the corresponding authorsauthor upon request.

# 789 Author contribution

- 790 CH, PH and GC developed the numerical model, CH performed simulations and evaluated the numerical model, IP, PH, GC,
- 791 JR designed experiment, IP acquired experimental data, IP processed and analysed experimentation measurements, CH
- analysed and interpreted numerical results, CH wrote the manuscript draft, PH and GC reviewed and edited the manuscript.

# 793 Competing interests

GC is a member of the editorial board of The Cryosphere. The peer-review process was guided by an independent editor, and
 the authors have also no other competing interests to declare.

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