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| 5  | Multidecadal Variability and Predictability  |
| 6  | of Antarctic Sea Ice in GFDL SPEAR_LO Model  |
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### 29 Abstract

30 Using a state-of-the-art coupled general circulation model, physical processes underlying 31 Antarctic sea ice multidecadal variability and predictability are investigated. Our model 32 simulations constrained by atmospheric reanalysis and observed sea surface temperature 33 broadly capture the observed sea ice extent (SIE) variability with a low sea ice state (late 1970s-34 1990s) and a high sea ice state (2000s-early 2010s), although the model overestimates the SIE 35 decrease in the Weddell Sea around the 1980s. The low sea ice state is largely due to the 36 deepening of the mixed layer and the associated deep convection that brings subsurface warm 37 water to the surface. During the high sea ice period (post-2000s), the deep convection 38 substantially weakens, so that surface wind variability plays a greater role in the SIE variability. 39 Decadal retrospective forecasts started from the above model simulations demonstrate that the 40 Antarctic sea ice multidecadal variability can be skillfully predicted 6-10 years in advance, 41 showing a moderate correlation with the observation. Ensemble members with a deeper mixed 42 layer and stronger deep convection tend to predict a larger sea ice decrease in the 1980s, 43 whereas members with a larger surface wind variability tend to predict a larger sea ice increase 44 after the 2000s. Therefore, skillful simulation and prediction of the Antarctic sea ice 45 multidecadal variability require accurate simulation and prediction of the mixed layer, deep 46 convection and surface wind variability in the model.

47

## 48 Keywords

Antarctic sea ice, Multidecadal variability, Predictability, Coupled general circulation model
 50

#### 52 **1. Introduction**

53 Antarctic sea ice plays key roles in exchanging heat, momentum, freshwater, and gases 54 between the atmosphere and the ocean in the Southern Ocean. Formation of sea ice near the 55 Antarctic coast generates high-salinity dense water or high-salinity shelf water that flows into 56 the bottom of the Southern Ocean (e.g., Antarctic Bottom Water; Orsi et al., 1999) and affects 57 global thermohaline circulation. Antarctic sea ice extent (SIE) undergoes substantial seasonal-58 to-interannual variations (e.g., Yuan and Martinson, 2000; Cavalieri et al., 2003), and shows a 59 slightly increasing trend until 2015 (e.g., Yuan et al., 2017; Parkinson, 2019). This contrasts 60 with a significant SIE decrease in the early and middle twentieth century, estimated from a 61 century-long reconstructed SIE data (Fogt et al., 2022). This implies that low-frequency 62 variability beyond a decadal timescale may exist in the Antarctic SIE. Satellite observation 63 shows that the Antarctic SIE reaches a record high in 2014 but abruptly declines and reaches a 64 record low in early 2022 (Simpkins 2023). The Weddell Sea contributes the most to the total 65 sea ice decrease (Turner et al., 2020). The recent Antarctic SIE decrease is attributed to several physical processes, including the upper Southern Ocean warming (Meehl et al., 2019; Zhang 66 et al., 2022b), anomalous warm air advection from the north (Turner et al., 2017) associated 67 68 with atmospheric teleconnection from the tropics (Wang et al., 2019), and weakening of the 69 midlatitude westerlies (Stuecker et al., 2017; Schlosser et al., 2018) linked to a negative phase 70 of Southern Annual Mode (SAM; Thompson and Wallace, 2000) induced by the weakening of 71 polar stratospheric vortex (Wang et al., 2019). It is still unclear whether the recent SIE decrease 72 is a part of interannual or lower-frequency variability or climate change (Eavrs et al., 2021).

73 Most coupled general circulation models (CGCMs) simulate a decreasing trend of the 74 Antarctic SIE in response to both increasing greenhouse gases and stratospheric ozone 75 depletion. The positive SIE trend observed in the past three decades until 2015 cannot be solely 76 explained by anthropogenic forcings, but may be attributed to natural variability (Polvani and 77 Smith, 2013). For example, Goosse and Zunz (2014) discussed the role of positive ice-ocean 78 feedback in the amplification of sea ice increase using a CGCM simulation. Once the sea ice 79 starts to increase, the brine released from the sea ice can be transported downward to deeper 80 layers and not incorporated back into the mixed layer. This leads to a decrease in the surface 81 salinity, an increase in the surface stratification, and thus the reduced vertical ocean heat 82 transport, resulting in a further increase in the sea ice.

Open-ocean deep convection (Gordon, 1978; Killworth, 1983; Akitomo et al., 1995) in
the Southern Ocean is also important for Antarctic sea ice variability. For example, Goosse and
Fichefet (2001) examined the role of the deep convection in the formation of Weddell polynya

86 (i.e., open water area enclosed by sea ice) using an ocean-ice model. They found that the 87 surface salinity increase owing to the brine release during the sea ice formation period tends to 88 induce the deep convection and entrain the relatively warm water from the subsurface ocean to 89 the surface mixed layer, responsible for the sea ice decrease. The link between the Weddell 90 polynya and the open-ocean deep convection is widely discussed in the observational and 91 modeling studies (e.g., Gordon et al., 2007; Cheon et al., 2014, 2015). Recently, Zhang et al. 92 (2019) pointed out that the observed SST cooling and sea ice increasing trends in the Ross and 93 Weddell Seas can be reproduced in the CGCM where they start the simulations from an active 94 phase of the deep convection.

95 On the other hand, surface wind variability also contributes to Antarctic sea ice 96 variability. Turner et al. (2016) attributed the positive SIE trend in the Ross Sea to stronger 97 southerly winds associated with a deepening of the Amundsen Sea Low, which are linked to a 98 negative phase of the Interdecadal Pacific Oscillation (IPO; Power et al., 1999, Meehl et al., 99 2016) and a positive phase of the Atlantic Multidecadal Oscillation (AMO; Li et al., 2014). 100 The stronger southerly winds tend to enhance northward sea ice advection and increase sea ice 101 concentration in the Ross Sea (Holland and Kwok, 2012). Using a CGCM constrained by 102 atmospheric reanalysis surface winds and observed SST, Blanchard-Wrigglesworth et al. 103 (2021) confirmed the influences of surface winds and SST in the Southern Ocean on the 104 Antarctic SIE trend and variability. However, their simulations could not well reproduce the 105 low sea ice state in the 1980s and the increasing SIE trend afterwards. Sun and Eisenman (2021) 106 modified the simulations by replacing the model sea ice velocity with the observed sea ice 107 motion and found that their new simulations can capture the Antarctic sea ice increasing trend 108 from 1992 to 2015. The failure of the model in simulating the increasing sea ice trend may be 109 due to the model biases in the sea ice drift velocity.

110 Several studies have reported skillful predictions of regional and pan-Antarctic SIE 111 variability at seasonal-to-interannual timescales (Guemas et al., 2014, 2016; Marchi et al., 112 2019; Morioka et al., 2019, 2021; Bushuk et al., 2021), and prediction skills of summertime 113 sea ice are generally lower than those of wintertime sea ice. However, few studies have 114 examined the multi-year to decadal predictability of the Antarctic SIE. Yang et al. (2016) provided a broad assessment of the Antarctic SIE predictability using decadal hindcasts from 115 116 eleven Coupled Model Intercomparison Project Phase 5 (CMIP5) models. They concluded that 117 most of the CMIP5 models do not show promising prediction skills for the Antarctic SIE 118 anomalies. The prediction skills are much lower than the persistence prediction using the 119 observed SIE anomalies. Most of the CMIP5 models cannot predict the increasing Antarctic 120 SIE trend in the past three decades. When a linear trend is removed from the SIE anomalies, the prediction skills become higher in the Ross Sea and the Weddell Sea. The prediction skills 121 122 in the initialized hindcasts tend to be higher than those in the uninitialized hindcasts. These 123 results are consistent with a former study (Zunz et al., 2015) that showed a skillful prediction 124 of the multi-year Antarctic SIE variability by initializing the model surface air temperature. 125 Recently, Morioka et al. (2022) demonstrated that ocean and sea ice initializations in their 126 CGCM improve decadal sea ice prediction skills in the west Antarctic Seas. They discussed 127 the improvement of prediction skills for the regional sea ice, in particular after 2005 when the 128 subsurface ocean observations increased. However, the model could not capture the sea ice 129 decrease in the 1980s owing to lack of subsurface ocean observations used for their data 130 assimilation, so we need further efforts to improve our understanding of multidecadal sea ice 131 variability and predictability.

132 In this study, we attempt to address the following two scientific questions: what are 133 relative importance of the Southern Ocean deep convection and the atmospheric variability in the Antarctic SIE multidecadal variability? How far and skillfully can the Antarctic sea ice 134 135 multidecadal variability be predicted and what are the underlying physical processes? To this 136 end, we examine the Antarctic sea ice multidecadal variability and predictability using the 137 GFDL (Geophysical Fluid Dynamics Laboratory) newly developed Seamless System for 138 Prediction and Earth System Research (SPEAR; Delworth et al., 2020) model. We compare 139 the observation data and model simulations constrained by atmospheric reanalysis surface 140 winds and temperature and observed SST to evaluate how reasonably the model simulates the 141 observed SIE variability. We also clarify the relative importance of atmosphere and ocean in 142 the Antarctic SIE multidecadal variability. Using the decadal retrospective forecasts started 143 from the above constrained model simulations, we attempt to understand to what extent the 144 multidecadal sea ice variability can skillfully be predicted. This paper is organized as follows: 145 Sect. 2 describes the observational data and model experiments performed in this study. In Sect. 146 3, we provide all the observational and model results. In Sect. 4, we put them into historical 147 context and discuss the remaining issues to be addressed in future work.

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### 149 **2. Methodology**

#### 150 **2.1. Observation Data**

We obtained monthly sea ice concentration (SIC) from the Hadley Centre Global Sea Ice and Sea Surface Temperature version 1 (HadISST1; Rayner et al., 2003) and version 2 (HadISST2; Titchner and Rayner, 2014) which have a horizontal resolution of one degree. We analyzed the SIC data during 1958-2020 for HadISST1 and 1958-2019 for HadISST2 to compare with the SPEAR simulations described below. Since HadISST1 covers a slightly longer period than HadISST2, we used the SIC data from HadISST1 to perform a persistence decadal retrospective forecast in which the observed SIC anomaly for each year of 1961-2011 is assumed to persist over the next 10 years.

159 It should be noted that HadISST2 provides more consistent sea ice record than HadISST1, because HadISST2 employs new data sources, new bias adjustments, and new 160 161 methods to estimate the sea ice concentration based on the sea ice edge information. These 162 updates lead to higher sea ice concentration and larger extent for some regions and periods in 163 HadISST2 than HadISST1 (Titchner and Rayner, 2014). Also, both HadISST1 and HadISST2 164 derive the SIC data indirectly using the monthly climatology of the observations for each 165 decade before the advent of satellite imagery in 1973. Therefore, the SIC data does not include 166 any interannual variability before the early 1970s, but gives a general indication of sea ice 167 variations on decadal timescales. Due to these bias adjustments, HadISST2 tends to show larger 168 SIE after 1973 than HadISST1 (Titchner and Rayner, 2014). Since there is a large uncertainty 169 in the SIC data before the satellite period (Hobbs et al., 2016), we discuss physical processes 170 underlying the multidecadal sea ice variability for the post-satellite (post-1973) period.

171 To compare the sea ice variations obtained from HadISST1 and HadISST2 in the satellite period, we used another monthly SIC data which are recently released from the 172 173 National Oceanic and Atmospheric Administration (NOAA) and the National Snow and Ice 174 Data Center (NSIDC) (NOAA/NSIDC; Meier et al., 2021). The SIC data from NOAA/NSIDC 175 is based on the passive microwave data from several satellites and covers a period of 1979-176 2020 on the polar stereographic grid with a high resolution of 25 km. We horizontally 177 interpolated the high resolution SIC data onto HadISST1 data grid. To compare the subsurface 178 ocean conditions, we used monthly objective analyses of ocean temperature and salinity from 179 EN4 dataset (Good et al., 2013). For all of these datasets, we calculated monthly anomalies by 180 removing the monthly climatology and a linear trend using a least squares method.

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## 182 **2.2 CGCM Experiments**

The fully coupled climate model we used in the present study is the SPEAR low resolution (SPEAR\_LO; Delworth et al., 2020) model. The SPEAR\_LO consists of the AM4 atmospheric and LM4 land surface components (Zhao et al., 2018a, b) and the MOM6 ocean and SIS2 sea ice components (Adcroft et al., 2019). The atmospheric component of the

SPEAR LO has a horizontal resolution of approximately 100 km and 33 vertical levels with 187 the model top at 1 hPa. The ocean and sea ice components have a nominal 1° horizontal 188 resolution, which increases to  $1/3^{\circ}$  in the meridional direction toward the tropics. The ocean 189 190 model has 75 layers in the vertical which include 30 layers in the top 100 m with a finer 191 resolution. The ocean model uses a hybrid vertical coordinate which is based on a function of 192 height in the upper ocean, transitioning to isopycnal layers in the interior ocean. The depth of 193 transition to isopycnal layers is shallower in the tropics and deeper in the high latitudes (Adcroft 194 et al., 2019). More details of the SPEAR LO are described in a paper by Delworth et al. (2020).

195 The SPEAR LO is then partly constrained by observation and reanalysis to mimic more 196 realistic observational evolutions. Since the ocean observational data, in particular the 197 subsurface ocean, is relatively sparser than the atmosphere, we nudged the atmospheric model 198 winds and temperature in all vertical levels to atmospheric reanalysis and the model SST to 199 observed SST in the SPEAR LO decadal coupled initialization/reanalysis system 200 (SPEAR LO DCIS; X. Yang et al., 2021). The atmospheric and SST nudging approach allows 201 the model to generate realistic air-sea fluxes that subsequently drives the ocean (X. Yang et al., 202 2021). The SPEAR LO DCIS covers a period of 1958-2020 and has 30 ensemble members, 203 starting from ocean, atmosphere, and sea ice conditions in the control simulation with 204 preindustrial atmospheric radiative forcing, called SPEAR large-ensemble simulation 205 (SPEAR LES; Delworth et al., 2020), at model years 101, 121, 141, and every 20 years 206 thereafter until model year 681. We find that the model initial years are associated with 14 high 207 Antarctic SIE years and 16 low SIE years (Supplementary Fig. S1), respectively. Since the 208 initial years are not in the same phase, our selection of the initial years does not much affect 209 the simulation of the Antarctic sea ice (c.f., Bushuk et al. 2019). In the SPEAR LO DCIS, we 210 nudged the atmospheric model winds and temperature in all vertical levels toward the 6-hourly 211 atmospheric product from the Japanese 55-year Reanalysis (JRA-55; Kobayashi et al., 2015). 212 We also nudged the model SST toward the NOAA Extended Reconstructed Sea Surface Temperature version 5 (ERSSTv5; Huang et al., 2017) data. We applied the SST nudging 213 within 60°S-60°N at a rate of 240 W m<sup>-2</sup> K<sup>-1</sup>, which corresponds to a 10-day nudging timescale 214 215 for a 50-m mixed-layer depth. The strength of SST nudging is tapered linearly from 1.0 at 55°S 216 (55°N) to 0.0 at 60°S (60°N). Here we nudged the SST within 60°S-60°N, because the ERSSTv5 217 has a warm bias in the polar region as compared to the satellite observation (Huang et al., 2017) 218 and this may affect the sea ice distribution and ocean circulation in the model. The SPEAR LO DCIS is forced by the time-varying natural and anthropogenic radiative forcing 219 220 which is the same as in the SPEAR LES. Here we employed a historical forcing for the period of 1958-2014, whereas we adopted a projection forcing with the Shared Socioeconomic
Pathway 5-8.5 (SSP5-8.5) scenario (Kriegler et al., 2017; Riahi et al., 2017) afterwards.
Volcanic aerosol forcing and solar irradiance changes are also included in the model.

224 To examine prediction skills of the Antarctic sea ice multidecadal variability, we also 225 conducted SPEAR LO decadal retrospective forecasts (SPEAR LO DRF; X. Yang et al., 226 2021) starting every 1st January of 1961-2020 from the SPEAR LO DCIS. We used 20 227 members of the SPEAR LO DCIS as the initial conditions and integrated the model without 228 atmospheric and SST nudging over 10 years with the time-varying natural (e.g., solar 229 variability and volcanic aerosols) and anthropogenic (e.g., CO2 concentration and aerosols) 230 radiative forcing based on the observations and developed in support of Coupled Model 231 Intercomparison Project Phase 6 (CMIP6) Project (Eyring et al., 2016). More details on the 232 SPEAR LO DCIS and SPEAR LO DRF can be seen in a paper written by X. Yang et al. 233 (2021).

234 To derive monthly anomalies, we removed the monthly climatology and a linear trend using a least squares method for the SPEAR LO DCIS. On the other hand, for the 235 236 SPEAR LO DRF, we subtracted a lead-time (i.e., 120 months lead) dependent climatology 237 (model drift) and linear trend from the output. For example, we calculated monthly climatology 238 and linear trend for 1-month lead prediction from every January 1st of 1961-2011, then 239 subtracted them from raw values to calculate the monthly anomalies for 1-month lead 240 prediction. Removing the linear trend allows us to assess the sea ice prediction skills 241 originating from natural variability. We also assess the prediction skills by using anomaly 242 correlation (ACC) between the observation and the model prediction and compare with the 243 signal-to-noise (S/N) ratio in the model to check whether the model prediction is underdispersive and over-confident (Eade et al. 2014; Scaife and Smith 2018), 244

245 
$$S/N = \sqrt{\sigma_{ens}^2 / \sigma_{ind}^2}$$
(1)

where  $\sigma_{ens}^2$  is the signal variance of the model ensemble mean and  $\sigma_{ind}^2$  is the average variance of the individual members. The S/N ratio indicates the model skills in predicting itself and if the S/N ratio is above (below) the ACC, the model prediction is under-dispersive and overconfident (over-dispersive and under-confident).

To gain more insight into possible impacts of atmosphere model resolutions on representation of the Antarctic sea ice multidecadal variability, we compared two 1000-yr control simulations forced with atmospheric composition fixed at levels of preindustrial era between the SPEAR\_LO model and SPEAR medium-resolution (SPEAR\_MED; Delworth et al., 2020) model. The SPEAR\_MED model has a higher atmospheric and land resolution
(approximately 50 km) but has the same ocean and sea ice models with the SPEAR\_LO model.
Details on the differences in simulation of the Southern Ocean multidecadal variability between
the two models are given in a recent paper by Zhang et al. (2022a).

258 We estimated the strength of Southern Ocean deep convection (DCV) by the maximum 259 absolute value of meridional overturning streamfunction in the density coordinate south of 60°S 260 (c.f., Zhang et al., 2019) to explore a possible role of Southern Ocean deep convection in the 261 sea ice variability. We also defined the mixed-layer depth at which the ocean density increases 262 by 0.03 kg m<sup>-3</sup> from its value at the ocean surface. Furthermore, we evaluated the upper ocean 263 heat balance using the model output stored at each model grid. In the SPEAR model, the total 264 ocean heat tendency was calculated by a sum of horizontal advection, vertical advection, 265 parameterized mesoscale diffusion and dianeutral mixing, and surface heat fluxes.

266

## 267 **3. Results**

#### 268 **3.1 Antarctic Sea Ice Multidecadal Variability Simulated in SPEAR\_LO Model**

269 We show in Fig. 1 the annual mean SIC from HadISST1 and SPEAR LO DCIS. The 270 observation (Fig. 1a) shows high SIC above 70 % in the Pacific and Atlantic sectors during 271 1958-2020. The SPEAR LO DCIS (Fig. 1b) captures the high SIC in these two regions, 272 although the simulated SIC is somewhat lower than the observed SIC. Since the monthly 273 climatology of the Antarctic SIE during austral summer for the SPEAR LO DCIS 274 (Supplementary Fig. S2) is lower than the observations, the underestimation of the annual mean 275 SIC in the SPEAR LO model is mostly due to that of the summertime SIC, which is also reported in other CGCMs contributing to the CMIP6 (Roach et al., 2020). We find a similar 276 277 pattern for the satellite period of 1979-2020, although the monthly climatology of the Antarctic 278 SIC during austral winter for the SPEAR LO DCIS is higher than that for NOAA/NSIDC 279 (Supplementary Fig. S2; see also Bushuk et al., 2021).

Standard deviation of 5-yr running mean SIC anomalies from the observation (Fig. 1c) shows a large sea ice variability near the edge of sea ice in the Pacific sector and also near the coastal region of the eastern Weddell Sea. Here we employed a 5-yr moving average of the monthly SIC anomalies to extract low-frequency variability with a period longer than 5 years. The large sea ice variability near the edge of sea ice in the Pacific sector is mostly due to that during austral autumn-spring (Supplementary Figs. S3c, e, g), while the large sea ice variability in the coastal region of the eastern Weddell Sea is attributed to that during austral springautumn (Supplementary Figs. S3a, c, g). This represents seasonal differences in the decadal
sea ice variability over different regions. The SPEAR\_LO\_DCIS (Fig. 1d) also exhibits a large
sea ice variability in these two regions, but the simulated SIC variability is much larger in the
Weddell Sea. This is mostly due to the larger SIC variability simulated in the eastern Weddell
Sea during austral winter and spring (Supplementary Figs. S3f, h), although the
SPEAR\_LO\_DCIS tends to capture the observed SIC variability there during austral summer
and autumn (Supplementary Figs. S3b, d).

294 In the coastal region of the eastern Weddell Sea, successive polynya events occurred 295 during the austral winter of 1974-1976 (Carsey, 1980). The Weddell polynya are generated 296 through various processes (Morales Maqueda et al., 2004) such as the upwelling of deep warm 297 water as a result of salinity-driven vertical convection (Martinson et al., 1981) and wind-driven 298 sea ice divergence (Goosse and Fichefet, 2001). Two polynya events are recently reported 299 during 2016-2017, partly contributing to the record-low sea ice in the Weddell Sea (Turner et 300 al., 2020). A weaker ocean stratification and increased ocean eddy activities are suggested to 301 provide favorable conditions for these polynya events (Cheon and Gordon, 2019), although 302 synoptic atmospheric variability such as polar cyclones and atmospheric rivers may trigger 303 these events (Francis et al., 2019, 2020). We find that the SPEAR LO DCIS captures the 304 negative SIC anomalies associated with these polynya events in the eastern Weddell Sea during 305 1974-1976 and 2016-2017 (Supplementary Fig. S4), and the simulated amplitudes are weaker 306 than the observed ones. It is difficult to attribute the 1974-1976 polynya events only to the large 307 sea ice variability there. There are other reasons for the large sea ice variation in the eastern 308 Weddell Sea of the SPEAR LO DCIS, which will be discussed later.

Time series of the pan-Antarctic SIE anomalies from the observation (Fig. 2a) show 309 310 multidecadal variability with a low sea ice state (late 1970s-1990s) and a high sea ice state 311 (2000s-early 2010s). A high sea ice state before the early 1970s is also reported in several 312 studies, including the ones using the satellite images of Nimbus 1 and 2 in the 1960s (Meier et 313 al., 2013; Gagne et al., 2015), the past 200-yr sea ice edge latitude data reconstructed by ice 314 core and fast-ice records (J. Yang et al., 2021), and a century-long SIE data reconstructed by 315 major climate indices (Fogt et al., 2022). However, there is a large degree of uncertainty in the 316 sea ice data before the satellite period. The SPEAR LO DCIS exhibits a similar multidecadal 317 variability and has a significantly high correlation (0.72) with the observed SIE anomalies from 318 HadISST1. Here we used 12 degrees of freedom to evaluate the statistical significance of the 319 correlation coefficient, because we applied a 5-yr running mean filter to 63-yr long data. The 320 model overestimates negative SIE anomalies between the late 1970s and early 1980s (Fig. 2a).

This is mostly due to the model overestimation of negative SIE anomalies in the Weddell Sea (Fig. 2b), and the correlation value with HadISST1 is statistically significant (0.63), slightly lower than that for the pan-Antarctic SIE. This can also be inferred from the model bias in capturing the large SIC variability in the Weddell Sea (Fig. 1d).

325 Since the ensemble spreads of the negative SIE anomalies are large, some members (5 326 out of 30 members) in the SPEAR LO DCIS are found to produce more reasonable SIE 327 anomalies over the pan-Antarctic and Weddell Sea as in the observation (Fig. 2a-b). The 328 ensemble spreads seem to decrease after the late 1990s when the sea ice starts to increase (Fig. 329 2b). This may be related to different processes controlling the ensemble spreads of the SIE 330 anomalies before and after the 1990s, which will also be discussed later. Other Antarctic Seas 331 such as the Ross and Amundsen-Bellingshausen Seas also show a good agreement of the SIE 332 anomalies between HadISST1 and SPEAR LO DCIS with significant correlations of 0.50 and 333 0.84, respectively (Fig. 2c-d). It should be noted that HadISST1 shows larger negative SIE 334 anomalies in the Amundsen-Bellingshausen Seas between 1980-1985 and larger positive SIE anomalies after 2010 than NOAA/NSIDC (Fig. 2d). This may be related to the SIC 335 336 reconstruction of HadISST1 that uses different sea ice datasets (US National Ice Center, NASA, 337 and NCEP) before and after the mid-1990s which tend to show higher SIE in the latter period 338 (Rayner et al., 2003).

339

#### 340 **3.2** Physical Processes on the Simulated Antarctic Sea Ice Multidecadal Variability

341 To explore physical mechanisms underlying the Antarctic sea ice multidecadal 342 variability in the SPEAR LO DCIS, we focus on the Weddell Sea (60°W-0°, south of 55°S) 343 which contributes the most to the total sea ice variability in the SPEAR LO DCIS (Fig. 2a-b). 344 Time series of 5-vr running mean wind stress and curl anomalies (Fig. 3a) show that a 345 significant SIE decrease between the late 1970s and early 1980s is associated with stronger 346 westerlies and negative wind stress curl anomalies. These surface wind anomalies tend to 347 induce anomalous upwelling of warm water from the subsurface ocean on decadal and longer 348 timescales (Ferreira et al., 2015), contributing to the sea ice decrease. The westerly and 349 negative curl anomalies coincide with a positive phase of the SAM (Fig. 3b), although 350 ensemble spreads of the SAM index (Gong and Wang, 1999) are relatively large except some 351 periods (early 1960s, late 1970s, and early 1990s). The IPO index (Fig. 3b), which is defined 352 as the 13-yr running mean of the SST tripole index in the tropics and subtropics (Henley et al., 353 2015), is negative around 1975 when the westerly and negative curl anomalies start to appear, 354 but turns to positive values after 1980. This out-of-phase relationship indicates that the surface

355 wind variability in the Weddell Sea is more related to the SAM than the IPO. On the other 356 hand, the net surface heat flux (Fig. 3c) shows negative (upward) anomalies between the late 357 1970s and early 1980s. As a result of the decrease in sea ice, more heat is released from the 358 ocean surface. We obtain an opposite but similar process for the high sea ice state after the 359 2000s when weaker westerlies and positive wind stress curl anomalies appear. These wind 360 anomalies are found to have almost the same amplitude as those in the low sea ice period. This 361 implies that the surface wind variability cannot fully explain the large negative SIE anomalies 362 in the SPEAR LO DCIS during the late 1970s and early 1980s.

363 The substantial SIE decrease between the late 1970s and the early 1980s is associated 364 with positive SST anomalies (Fig. 3d). A positive peak of the SST anomalies in the early 1980s 365 is associated with a positive peak of the mixed layer depth anomalies followed by that of the 366 deep convection anomalies. The deepening of the mixed layer and the associated deep 367 convection tend to entrain more warm water from the subsurface ocean. This plays a crucial 368 role in the development of extremely low sea ice in the Weddell Sea. Moreover, the negative 369 SIE anomalies are accompanied by positive sea surface salinity (SSS) anomalies (Fig. 3e). Net 370 salt flux into the ocean at the surface associated with sea ice formation shows positive 371 anomalies, but the amplitude is much smaller than positive anomalies of the precipitation minus 372 evaporation corresponding to net surface water flux into the ocean. As a result of the decrease 373 in sea ice, more freshwater goes into the ocean, but this cannot explain the SSS increase in the 374 Weddell Sea. Rather, the SSS increase is driven by other oceanic processes, that is, the deeper 375 mixed layer and the associated deep convection that entrain relatively high salinity water from 376 the subsurface ocean.

377 To highlight a possible role of subsurface ocean variability, we describe time series of 378 ocean temperature and salinity anomalies averaged in the Weddell Sea as a function of depth 379 (Fig. 4). Observation data (Fig. 4a) shows that sea ice decrease between the late 1970s and 380 early 1980s is accompanied by positive temperature anomalies in the upper 200 m and negative 381 temperature anomalies below 200 m. The SPEAR LO DCIS (Fig. 4b) captures this dipole 382 structure of the positive and negative temperature anomalies, although the amplitude is much 383 larger than that in the observation. Interestingly, both the observation and SPEAR LO DCIS 384 (Fig. 4a-b) show that the positive temperature anomalies in the upper 200 m start to appear in 385 the early 1970s and are preceded by positive temperature anomalies below 200 m in the 1960s, 386 although the SPEAR LO DCIS has uncertainty in simulation of the subsurface temperature 387 anomalies in the Weddell Sea during the early 1960s because of the slow oceanic response to 388 the prescribed atmospheric forcing since 1958. The anomalous heat buildup in the subsurface 389 ocean during the 1960s may have links to the subsequent surface warming in the 1970s. On the 390 other hand, the salinity anomalies between the late 1970s and the early 1980s are positive in 391 the upper 200 m and negative below 200 m for both the observation (Fig. 4c) and 392 SPEAR\_LO\_DCIS (Fig. 4d). Since both the temperature and salinity anomalies exhibit the 393 dipole structure in the vertical, vertical ocean processes are expected to operate for inducing 394 these anomalies.

395 The observed ocean density shows positive anomalies from the surface to the deeper 396 ocean around 1980 (Fig. 5a). The SPEAR LO DCIS also shows the higher density around 397 1980, although the amplitude is larger than the observation (Fig. 5b). Associated with the 398 positive density anomalies, the mixed layer anomalously deepens. The observed ocean 399 stratification, which is estimated by squared Brunt Väisälä frequency, shows negative 400 anomalies in the upper 200 m around 1980. (Fig. 5c). The SPEAR LO DCIS also shows 401 weaker stratification (Fig. 5d), which starts to appear below 100 m in the 1960s and provides 402 favorable conditions for deepening of the mixed layer. We decomposed the density anomalies 403 into the anomalies solely dependent on temperature anomalies and other ones accompanied by 404 salinity anomalies. We find that the negative density anomalies below 200 m in the 1960s are 405 driven by the warm temperature anomalies (Figs. 4b, 5e), whereas the positive density 406 anomalies in the upper 200 m during the late 1970s and early 1980s arise from those associated 407 with the positive salinity anomalies (Figs. 4d, 5f). Both the subsurface heat buildup and the 408 surface salinity increase contribute to the deepening of the mixed layer from the 1960s to the 409 early 1980s that results in warmer SST and sea ice decrease.

410 To further investigate how the surface temperature and salinity anomalies are generated, 411 we evaluated ocean heat and salinity tendency anomalies in the upper 200 m (Fig. 6). Here we 412 combine both contributions from the dianeutral mixing and mesoscale diffusion into one term, 413 because the contribution from the mesoscale diffusion is found to be much smaller than that 414 from the dianeutral mixing. The heat tendency anomalies in the upper 200 m (Fig. 6a) are 415 positive in the late 1970s and early 1980s when the positive SST and negative SIE anomalies 416 develop (Fig. 3d). The positive heat tendency anomalies are mostly due to the vertical 417 advection, although they are partly contributed by the vertical mixing and horizontal advection. 418 Similarly, the positive salinity tendency anomalies in the upper 200m during the period are 419 mostly explained by the vertical advection. These results indicate that the deepening of mixed 420 layer (Fig. 3d) entrains more warm and saline water from below the mixed layer to increase 421 the ocean temperature and salinity at surface. We obtain a similar but opposite process for 422 negative temperature tendency anomalies after the late 1990s when the positive SIE anomalies423 develop (Fig. 3d).

424

## 425

## **3.3 Ensemble Spreads of the Simulated Antarctic Sea Ice Multidecadal Variability**

426 We have so far discussed physical processes for ensemble mean fields of the 427 SPEAR LO DCIS, but this analysis does not provide any explanation for the ensemble 428 spreads representing model uncertainty. To explore the underlying causes of ensemble spreads 429 in a simple way, we performed inter-member correlation analysis for 30 ensemble members of 430 the SPEAR LO DCIS. Here we calculated the correlation between the anomalies of SIE and 431 other variables simulated from the 30 ensemble members, assuming that initial differences in 432 the anomalies of other variables lead to those in the SIE anomalies. Figure 7a shows time series 433 of inter-member correlation coefficients between the 5-yr running mean SIE anomalies and the 434 leading zonal wind stress anomalies in the Weddell Sea as a function of lead years. Zonal wind 435 stress anomalies have significantly large negative correlations with SIE anomalies by 3-4 lead 436 years during the 1970s and the 1980s when the sea ice anomalously decreases. This means that 437 ensemble members with stronger westerlies than the ensemble mean tend to simulate larger 438 sea ice decrease 3-4 years later. We find weakly positive correlations in around 1980s with 439 lead times longer than 6 years, but these cannot explain the sea ice decrease because the weaker 440 westerlies tend to suppress upwelling of warm water from the subsurface and contribute to sea 441 ice increase. The negative correlations with the zonal wind stress become stronger with longer 442 lead times after the late 1990s when the sea ice anomalously increases. This represents more 443 influence of weaker westerlies on the anomalous sea ice increase. We obtain similar but 444 opposite correlations between the SIE anomalies and the leading wind stress curl anomalies 445 (Fig. 7b). Ensemble members with more negative wind stress curl anomalies than the ensemble 446 mean tend to simulate larger sea ice decrease in the 1970s and the 1980s, and vice versa after 447 the late 1990s.

448 Inter-member correlations between the SIE anomalies and the leading MLD anomalies 449 (Fig. 7c) show significantly large and negative values by 3-4 lead years in the 1970s and the 450 1980s and by 5-6 lead years after the late 1990s. This indicates that ensemble members with 451 deeper mixed layer than the ensemble mean tend to simulate larger sea ice decrease 3-4 years 452 later in the 1970s and the 1980s, whereas the members with shallower mixed layer than the 453 ensemble mean tend to simulate larger sea ice increase 5-6 years later after the late 1990s. It 454 should be noted that we used spatially averaged values over the whole Weddell Sea (60°W-0°), 455 so the results also include the destratified process near the coast dominated by sea ice

456 production. However, the SIE variability in the Weddell Sea is pronounced in the open water 457 (Fig. 1d), so the results mostly represent the open-water stratified process of cold/fresh water 458 over warm/salty circumpolar deep water. Similarly, we find negative correlations between the 459 SIE anomalies and the 1-2 yr leading deep convection anomalies (Fig. 7d), but the links with 460 the deep convection are much weaker than the mixed layer. Therefore, the large uncertainty in 461 the simulated amplitude of the mixed layer contributes more to that in the sea ice decrease in 462 the late 1970s and 1980s, while the model uncertainty in the surface wind variability 463 contributes more to that in sea ice increase after the late 1990s (Fig. 7a-c).

464

#### 465 **3.4 Skillful Prediction of Antarctic Sea Ice Multidecadal Variability**

466 For quantitative assessment of prediction skills of the Antarctic sea ice multidecadal 467 variability, we first calculated anomaly correlations (ACCs) of the 5-yr mean SIC anomalies 468 for the persistence prediction using the observed SIC anomalies from HadISST1 (Figs. 8a, b). 469 Here we used 50 degrees of freedom to evaluate statistical significance of the ACC, because 470 we performed decadal reforecasts independently starting from each year of 1961-2011. 471 Persistence prediction shows very limited prediction skills of the sea ice variability in the 472 Antarctic seas at a lead time of 1-5 years (Fig. 8a). The ACCs significantly drop below zero at 473 a lead time of 6-10 years (Fig. 8b), indicating that the observed SIC anomalies cannot persist 474 beyond five years. This can also be seen in the year-to-year ACCs (Supplementary Fig. S5) 475 that shows the highest values in lead year 1, quickly decay in lead year 2, then vanish afterward.

476 To compare with the persistence prediction skills, we calculated the ACCs between the 477 5-yr mean observed SIC anomalies and the ensemble mean (i.e., simple average of 20 478 members) of the predicted SIC anomalies from the SPEAR LO DRF for the prediction lead 479 times of 1-5 yr and 6-10 yr, separately (Figs. 8c, d). For example, in the case of 1-5 yr lead 480 prediction for the targeted observation period of 2010-2014, we used the predicted anomalies 481 starting from 2005-2009 to 2009-2013, respectively. The ACCs in the SPEAR LO DRF are 482 significantly high at a lead time of 1-5 years (Fig. 8c), as compared to the persistence prediction 483 (Fig. 8a). In particular, the ACCs become higher in the Amundsen-Bellingshausen Seas, 484 Weddell Sea, and Indian sector. These regions well correspond to those with higher ACCs of 485 the SST in the model (see Fig. 10 in X. Yang et al., 2021), indicating a close relationship 486 between the SIC and SST variations. The ACCs in these regions become smaller but remain 487 significant for a lead time of 6-10 years (Fig. 8d).

The high ACCs at a lead time of 1-5 years are mostly due to those during austral autumn-spring (Supplementary Fig. S6c, e, g), while the significant ACCs at a lead time of 6490 10 years are mainly attributed to those during austral winter and spring (Supplementary Fig. 491 5f, h). Decadal sea ice predictability during austral summer (Supplementary Fig. S6a-b) is the 492 lowest, because the sea ice extent reaches its minimum and the decadal SIC variability is 493 confined near the Antarctic coast occupying smaller areas (Supplementary Fig. S3a). We can 494 also find that the year-to-year prediction skills of the ACC from the SPEAR LO DRF 495 (Supplementary Fig. S7) are higher than those from the persistence prediction (Supplementary 496 Fig. S5), although the amplitude of the ACC (Supplementary Fig. S7) is lower than the 497 prediction skills of 5-yr mean SIC (Fig. 8c-d) probably due to large interannual variations of 498 the Antarctic SIC.

499 To further demonstrate the spatio-temporal evolution of prediction skills in the 500 SPEAR LO DRF, we calculated the ACCs of the 5-yr area-weighed mean SIC anomalies in 501 the pan-Antarctic region and the Weddell Sea as a function of lead times (Fig. 9a-b). The ACCs 502 of the pan-Antarctic SIC anomalies in the SPEAR LO DRF are significantly higher than those 503 in the persistence prediction for any lead times from 1-5 years to 6-10 years (Fig. 9a). A few 504 ensemble members have low ACCs comparable to the persistence prediction, but the ACCs of 505 the ensemble mean SIC anomalies are high at around 0.4-0.6. We also find that the ACCs are 506 relatively high compared to the average of the individual ACCs. The S/N ratio in the model 507 also exceeds the ACCs of the ensemble mean. These results indicate that the model prediction 508 is under-dispersive and over-confident. We obtain similar results for the ACCs of the SIC 509 anomalies in the Weddell Sea (Fig. 9b), but the ACCs become insignificant after a lead time 510 of 6-10 years. Overall, the ACCs in the Weddell Sea are lower than those in the pan-Antarctic 511 region.

512 Since the ACCs do not evaluate skills for the amplitude of the predicted anomalies, we 513 plotted time series of the 5-yr running mean SIC anomalies in the pan-Antarctic region and the 514 Weddell Sea for different lead times from 1-5 years to 6-10 years (Fig. 9c-d). The 515 SPEAR LO DRF better captures the observed SIC anomalies in the pan-Antarctic region than 516 the Weddell Sea (Fig. 9c-d). As the lead times increase, the amplitude of the predicted anomalies decreases and gets closer to the observation, particularly during the 1980s (Fig. 9c-517 518 d). Since the time series of the predicted anomalies for the 1-5 year leads resembles that of the 519 initial sea ice anomalies in the model (Fig. 2a-b), the influence of the Southern Ocean deep 520 convection initialized in the model during the 1980s remains stronger for the earlier lead times, 521 as will be discussed below, causing larger differences in the observed and predicted amplitudes. 522 The predicted SIC anomalies return to neutral at around 2000 and become positive afterwards, 523 although the model underestimates the positive SIC anomalies observed in the early 2010s. We find similar results for the Antarctic SIC anomalies normalized by standard deviation, but the predicted amplitude gets closer to the observation (Fig. S8a). For the SIC anomalies in the Weddell Sea (Figs. 9d, S8b), the observed anomalies largely fluctuate on a shorter timescale, so the model cannot capture positive SIC anomalies in the early 1990s and negative SIC anomalies in the mid-1970s and late 1990s.

529

# 530 **3.5 Potential Sources of Antarctic Sea Ice Multidecadal Predictability**

531 The temporal evolution of the pan-Antarctic SIC anomalies in the SPEAR LO DRF 532 (Fig. 10a) shows that positive and negative SIC anomalies predicted at a lead time of 1-5 years 533 tend to persist over five years up to a lead time of 6-10 years. Negative SIC anomalies predicted 534 in the 1980s for a lead time of 1-5 years gradually weaken as the lead time increases, but remain 535 the same in the late 1980s and early 1990s for a lead time of 6-10 years. The negative SIC 536 anomalies in the late 1980s and early 1990s for a lead time of 6-10 years are associated with 537 positive zonal wind anomalies and negative meridional wind anomalies (Fig. 10b-c). The 538 stronger westerlies act to induce northward Ekman current anomalies on interannual and 539 shorter timescales, but on decadal and longer timescales, the associated upwelling of warm 540 water from the subsurface ocean tends to reduce the SIC (Ferreira et al., 2015). Also, the 541 northerly anomalies contribute to the SIC decrease by bringing more warm air from the north 542 during the period. The wind stress curl (Fig. 10d) shows positive anomalies in the 1980s for a 543 lead time of 1-5 years and also in the late 1980s and early 1990s for a lead time of 6-10 years. However, the positive wind stress curl anomalies tend to weaken the upwelling of warm water 544 545 from the subsurface ocean and decrease the upper ocean temperature. This is inconsistent with 546 sea ice decrease during that period.

547 The westerly anomalies in the late 1980s and early 1990s for a lead time of 6-10 years 548 are associated with a positive phase of the SAM (Fig. 10e), while the IPO index changes from 549 the positive to negative values (Fig. 10f). The closer link of the surface wind variability with 550 the SAM is consistent with the previous discussion in the Weddell Sea (Fig. 3b). The net 551 surface heat flux (Fig. 10g) also shows negative anomalies in the 1980s and early 1990s for all 552 lead times. This represents more heat release from the ocean surface as a result of the sea ice 553 decrease. We obtain similar but opposite processes for the positive SIC anomalies after the 554 2000s.

555 To elucidate a potential role of ocean variability, we plotted temporal evolution of 5-yr 556 running mean anomalies of predicted ocean variables (Fig. 11). The Southern Ocean SST (Fig. 557 11a) shows positive anomalies in the 1980s for a lead time of 1-5 years and in the late 1980s and early 1990s for a lead time of 6-10 years. This represents persistence of the predicted SST anomalies over five years. The positive SST anomalies are strongly accompanied by positive mixed-layer depth anomalies in the 1980s (Fig. 11c) and positive deep convection anomalies in the late 1980s (Fig. 11e) for lead times between the 1-5 years and 3-7 years. As explained earlier, the deepening of the mixed layer and the associated deep convection contribute to the positive SST anomalies by entraining warm water from the subsurface ocean into the surface mixed layer.

565 During that period, SSS anomalies (Fig. 11b) are positive in association with the slightly positive anomalies of the net salt flux into the ocean (Fig. 11d). Precipitation minus 566 567 evaporation corresponding to the net surface water flux into the ocean (Fig. 11f) shows slightly 568 negative anomalies. This represents more evaporation from the ocean surface as a result of sea 569 ice decrease. Given that the amplitude of the freshwater flux anomalies is much larger than the 570 salt flux anomalies, the evaporation from the ocean surface contributes partly to the SSS 571 increase in the 1980s. In addition to the effect of surface evaporation, the deepening of the 572 mixed layer and the associated deep convection help increase the SSS during that period. We 573 obtain similar but opposite processes for the sea ice increase after the 2000s. More freshwater 574 input (Fig. 11f) and anomalous heat input (Fig. 10g) into the surface mixed layer may 575 contribute to the shallower mixed layer. This indicates more active roles of atmosphere-ocean 576 interaction at the surface than the subsurface ocean variability.

577 To further examine possible links with atmosphere and ocean variability among 578 ensemble members, we calculated the temporal evolution of inter-member correlations 579 between the SIC anomalies for a lead time of 6-10 years and the SST anomalies for each lead 580 time from 1-5 years to 6-10 years (Fig. 12a). For example, the correlation coefficient in 1980 581 with a lead time of 1-5 years is the one between the SIC anomalies predicted in 1986-1990 and 582 the SST anomalies predicted in 1981-1985. The SIC anomalies predicted at a lead time of 6-583 10 years show significantly negative correlations with the SST anomalies in the 1980s for a 584 lead time of 1-5 years. This means that ensemble members with larger positive SST anomalies 585 in the 1980s tend to predict larger negative SIC anomalies five years later. Zonal and 586 meridional wind stress anomalies (Fig. 12b-c) show significantly negative and positive 587 correlations with the SIC anomalies, respectively, as expected from the ensemble mean results 588 (Fig. 10b-c). However, the links with the wind stress curl anomalies (Fig. 12d) are much weaker. 589 On the other hand, the mixed-layer depth and deep convection anomalies in the 1980s

(Fig. 12e-f) show significantly negative correlations with the SIC anomalies for a lead time of6-10 years, although the deep convection shows a weaker correlation than the mixed layer

depth. This indicates that ensemble members with a deeper mixed layer and stronger deep convection than the ensemble mean tend to predict a larger sea ice decrease and vice versa. However, the links with deep convection becomes weaker after the 2000s when the sea ice anomalously increases. These results suggest that subsurface ocean variability contributes to skillful and long lead-time prediction of sea ice decrease in the 1980s, while atmosphere-ocean interaction at the surface plays more important roles in predicting sea ice increase after the 2000s.

599

## 600 **4. Summary and Discussion**

601 This study has examined the relative importance of the Southern Ocean deep 602 convection and surface winds in the Antarctic sea ice multidecadal variability and predictability 603 using the GFDL SPEAR LO model. Observed SIE anomalies show a multidecadal variability with a low sea ice state (late 1970s-1990s) and a high sea ice state (2000s-early 2010s). The 604 605 increasing SIE trend from the late 1990s to the early 2010s is reported in many studies (e.g., 606 Yuan et al. 2017; Parkinson 2019), and this is in contrast to a significant SIE decrease in the 607 early and middle twentieth century, estimated from century-long SIE reconstructed data (Fogt 608 et al. 2022). These results suggest that a low-frequency variability beyond a decadal timescale 609 exists in the Antarctic SIE.

610 When the SPEAR LO model is constrained with atmospheric reanalysis winds and 611 temperature and observed SST (i.e., SPEAR LO DCIS), the model reproduces the overall 612 observed multidecadal variability of the Antarctic SIE. The broad SIE decrease in the Southern 613 Ocean from the late 1970s to the 1990s mainly occurred in the Weddell Sea. This is driven by 614 the deepening of the mixed layer and the associated deep convection in the Southern Ocean 615 that brings subsurface warm water to the surface. The role of subsurface ocean variability has 616 not been well explored in previous studies on the Weddell Sea decadal variability (Venegas 617 and Drinkwater, 2001; Hellmer et al., 2009; Murphy et al., 2014; Morioka and Behera, 2021). 618 We have also demonstrated that the deeper mixed layer and the associated deep convection 619 simulated in the model are important for the skillful prediction of the Antarctic sea ice decrease 620 from the late 1970s to the 1990s. Ensemble members with the deeper (shallower) mixed layer 621 and stronger (weaker) deep convection than the ensemble mean tend to predict the higher 622 (lower) SST and larger (smaller) sea ice decrease in the 1980s. Our results are in good 623 agreement with a previous study by Zhang et al., (2019). They have demonstrated that a gradual 624 weakening of the deep convection in their CGCM simulation initiated from an active phase of the deep convection is the key to a realistic representation of the Southern Ocean cooling andthe associated Antarctic SIE increase in recent decades.

627 It should be noted that our model prediction is under-dispersive and over-confident. 628 This may be related to a small number of ensemble members, a lack of ensemble spread, and 629 systematic errors in predicted signals (Eade et al. 2014, Scaife and Smith 2018). Also, the 630 results presented here can be model dependent. SPEAR LO DRF shows similar prediction 631 skills with the other model (SINTEX-F2; see Fig. 1f in Morioka et al. 2022), but the prediction 632 skills in the Indian sector is higher (Fig. 8d). SPEAR LO employs the SST and atmospheric 633 initializations since the 1960s, while SINTEX-F2 uses the SST, sea ice cover and subsurface 634 ocean temperature/salinity initializations since the 1980s (Morioka et al. 2022). However, it is 635 difficult to directly compare the model prediction skills and discuss the reasons for the 636 differences because of large differences in the model physics, initialization schemes and 637 hindcast periods between the two models. We need further comparison studies using different 638 models with the same initialization schemes and hindcast periods.

639 The SPEAR LO DCIS well captures the observed multidecadal variability of the 640 Antarctic SIE (Fig. 2), but overestimates the SIE decrease in the Weddell Sea around the 1980s 641 (Fig. 2b). In the 1970s, the Weddell Sea experienced three consecutive polynya events during 642 austral winters between 1974 and 1976 in observations. Strengthening of westerly winds and 643 the associated stronger deep convection bring more warm water to the surface and cause 644 significant sea ice loss in the Weddell Sea (Cheon et al., 2014, 2015). Since the model is 645 constrained by atmospheric reanalysis winds and temperature and observed SST, the 646 overestimation of the SIE decrease in the Weddell Sea is attributed to that of the deep 647 convection in the model. In fact, the simulated ocean temperature anomalies in the 648 SPEAR LO DCIS (Fig. 4b) are larger than the observed temperature anomalies (Fig. 4a), 649 although the observed temperature may have some uncertainty due to an insufficient number 650 of subsurface ocean observations. Consistently, ensemble members with a weaker deep 651 convection than the ensemble mean tend to capture a smaller SIE decrease than that observed in the Weddell Sea (Fig. 2b). Therefore, realistic simulation of the Southern Ocean deep 652 653 convection is the key for reproducing the Antarctic SIE decrease from the late 1970s.

The use of convective models may be helpful for accurate simulation and prediction of the SIE decrease in the 1980s and the increasing SIE trend afterwards. For example, using a 'non-convective' CGCM that cannot simulate open water deep convection in the Southern Ocean where the wintertime mixed-layer depth exceeds 2000 m (de Laverage et al., 2014), Blanchard-Wrigglesworth et al. (2021) demonstrated that even with the surface winds and SST 659 initializations in the Southern Ocean, the model could not reproduce the Antarctic SIE decrease 660 in the 1980s and did not well capture the increasing SIE trend afterwards. They have suggested 661 that the results may be conditioned by the feature of the model that does not simulate the deep 662 convection in the Southern Ocean, while some of the model failure in simulating the increasing 663 sea ice trend may be due to the model biases in the sea ice drift velocity (Sun and Eisenman 664 2021). Therefore, in this study, we used the SPEAR LO model that is classified as a 665 'convective' model (de Laverage et al., 2014) to demonstrate the role of deep convection in the 666 low-frequency sea ice variability.

667 A recent study by Zhang et al. (2022a) has reported that the SPEAR MED model 668 (Delworth et al., 2020) with a higher atmospheric resolution tends to simulate a weaker deep 669 convection variability in the Southern Ocean than the SPEAR LO model. The standard 670 deviation of 5-yr running mean SIC anomalies from the 1000-yr SPEAR LO control 671 simulation (SPEAR LO CTL) with the preindustrial atmospheric radiative forcing (Fig. 13a) 672 is larger in the Pacific and Atlantic sectors than that from the SPEAR MED control simulation 673 (SPEAR MED CTL; Fig. 13b). This makes the SIC variability in the SPEAR MED CTL 674 closer to the observed one (Fig. 1c) and appears to have links to smaller mixed-layer depth 675 variability in the SPEAR MED CTL (Fig. 13d) than in the SPEAR LO CTL (Fig. 13c). The 676 weaker deep convection variability in the SPEAR MED CTL appears to contribute to the 677 weaker mixed-layer variability and hence the weaker sea ice variability. Decadal reforecasts 678 using the SPEAR MED model may demonstrate more reasonable prediction skills of the 679 Antarctic sea ice low-frequency variability than those using the SPEAR LO model. 680 Furthermore, the increase in the ocean resolution may help better represent the mean state and 681 variability of the Southern Ocean which involves rich mesoscale eddies (Hallbert et al. 2013) 682 thereby improving the decadal predictions, but this is beyond the scope of this study.

683 This study has further identified that the Southern Ocean deep convection gradually 684 weakens after the 1990s and the surface wind variability starts to play a greater role in the 685 Antarctic SIE increase after the 2000s. Weakening of the deep convection may be attributed to 686 both an internal Southern Ocean multidecadal variability (Zhang et al., 2021) and a surface 687 freshening owing to anthropogenic forcing (de Lavergne et al., 2014). On the other hand, more 688 frequent occurrence of a positive phase of the SAM tends to strengthen the westerly winds and 689 induce northward transport of cold water and sea ice, leading to the Antarctic sea ice increase 690 at a shorter timescale (e.g., J. Yang et al., 2021; Crosta, 2021). In addition, the southerly wind 691 anomalies associated with the deepening of the Amundsen Sea Low assist in bringing more 692 cold air from the Antarctica and enhancing sea ice formation in the Ross Sea (Turner et al.,

693 2016; Meehl et al., 2016). Although the sources of decadal predictability remain unclear, 694 skillful prediction of the Antarctic SIE increase after the 2000s, which is not well reproduced 695 in most of the CMIP5 models (Polvani and Smith, 2013; Yang et al., 2016), requires better 696 representation of atmospheric circulation variability as well as ocean and sea ice variability in 697 the Southern Ocean (Morioka et al., 2022).

698 The Antarctic SIE has experienced a slightly increasing trend over the past decades 699 (Yuan, 2017; Parkinson, 2019). However, it has suddenly declined since 2016 and reached a 700 record low in early 2022 (Simpkins 2023). Several studies attributed the recent sea ice decrease 701 to various factors including the upper Southern Ocean warming (Meehl et al., 2019; Zhang et 702 al., 2022b), the anomalous warm air advection from the north (Turner et al. 2017; Wang et al. 703 2019), and the weakening of the midlatitude westerlies (Stuecker et al., 2017; Schlosser et al., 704 2018; Wang et al., 2019). However, it is unclear whether the sea ice decrease reflects an 705 interannual or low-frequency variability or climate change (Eavrs et al., 2021), owing to a short 706 observation record. We need to wait for this to be verified using more observational data when 707 it becomes available in the future.

708

### 709 Code Availability

- All codes to generate the figures can be provided upon the request to the corresponding author.
- 711

### 712 Data Availability

713Monthly SIC data from HadISST1 and HadISST2 can be obtained from here:714https://www.metoffice.gov.uk/hadobs/hadisst/data/download.htmland715https://www.metoffice.gov.uk/hadobs/hadisst2/data/download.html, respectively. Anotherand716monthly SIC data from the NOAA/NSIDC Climate Data Record website is also available here:https://nsidc.org/data/G02202/versions/4. Monthly ocean temperature and salinity data are718downloaded from the EN4 website: https://www.metoffice.gov.uk/hadobs/en4/download.html.

## 720 Author Contributions

Y. M. performed data analysis and wrote the first draft of the manuscript, while L. Z. provided
the reconstructed data and X. Y. and F. Z. performed the model experiments. All authors
commented on previous versions of the manuscript and approved the final manuscript.

724

### 725 **Competing Interests**

- The authors declare that they have no conflict of interest.
- 727

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# 1072 Figures



Figure 1 (a) Annual mean sea ice concentration (SIC in %) observed during 1958-2020. (b)
Same as in (a), but for the simulated SIC from the SPEAR\_LO\_DCIS. (c) Standard deviation
of 5-yr running mean SIC (in %) observed during 1958-2020. (d) Same as in (c), but for the
simulated SIC from the SPEAR\_LO\_DCIS.

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Figure 2 (a) Time series of 5-yr running mean sea ice extent (SIE in  $10^6$  km<sup>2</sup>) anomalies in the 1080 1081 pan-Antarctic region during 1958-2020. Observations from HadISST1 (black solid), 1082 HadISST2 (black dotted) and the NOAA/NSIDC (blue) are shown, whereas the 1083 SPEAR LO DCIS is shown with a red line. Orange shades indicate one and minus one standard deviations of the SIE anomalies simulated from 30 ensemble members of 1084 1085 SPEAR LO DCIS. Gray arrows correspond to a low sea ice period (late 1970s-1990s) and a 1086 high sea ice period (2000s-early 2010s). Correlation coefficient between HadISST1 and the 1087 SPEAR LO DCIS is shown in the bottom left where the asterisk indicates the statistically significant correlation at 90 % confidence level using Student's *t*-test. (**b-d**) Same as in (**a**), but 1088 1089 for the SIE anomalies in the Weddell Sea (60°-0°W), Ross Sea (180°-120°W), and Amundsen-Bellingshausen Sea (120°-60°W), respectively. 1090



Figure 3 (a) Time series of 5-yr running mean SIE (black line in 10<sup>6</sup> km<sup>2</sup>), zonal (Taux; red in 1093 10<sup>-2</sup> Pa) and meridional (Tauy; blue in 10<sup>-2</sup> Pa) wind stress, and wind stress curl (Curl; purple 1094 in 10<sup>-8</sup> Pa m<sup>-2</sup>) anomalies averaged in the Weddell Sea (60°W-0°, south of 55°S) during 1958-1095 1096 2020. Shades indicate one and minus one standard deviations of the anomalies from 30 1097 ensemble members of the SPEAR LO DCIS. Positive wind stress curl anomalies correspond 1098 to downwelling anomalies in the ocean. (b) Same as in (a), but for the 5-yr running mean SAM 1099 index (red in 5 hPa) and 13-yr running mean IPO index (blue in °C). (c) Same as in (a), but for the SIE (black in  $10^6$  km<sup>2</sup>) and the net surface heat flux (Qnet; red in  $10^{-1}$  W m<sup>-2</sup>) anomalies. 1100 Positive surface heat flux anomalies correspond to more heat going into the ocean. (d) Same 1101 as in (a), but for the SIE (black in 10<sup>6</sup> km<sup>2</sup>), sea surface temperature (SST; purple in °C), mixed-1102 1103 layer depth (MLD; red in 200 m), and deep convection (DCV; blue in 5 Sv) anomalies. (e)

- 1104 Same as in (a), but for the SIE (black in  $10^6$  km<sup>2</sup>), sea surface salinity (SSS; purple in PSU),
- 1105 salt flux (Salt; red in  $10^{-8}$  kg m<sup>-2</sup> s<sup>-1</sup>), and precipitation minus evaporation (PmE; blue in  $10^{-6}$
- 1106 kg m<sup>-2</sup> s<sup>-1</sup>) anomalies. Positive salt flux anomalies correspond to anomalous salt going into the
- 1107 ocean at the surface associated with sea ice formation, whereas the positive PmE anomalies
- 1108 mean more freshwater going into the ocean.
- 1109



Figure 4 (a) Temporal evolution of 5-yr running mean ocean temperature (in °C) anomalies averaged in the Weddell Sea as a function of depth (in m). (b) Same as in (a), but for the ocean temperature anomalies simulated from the SPEAR\_LO\_DCIS. (c) Temporal evolution of ocean salinity (in 10<sup>-1</sup> PSU) anomalies averaged in the Weddell Sea as a function of depth (in m). (d) Same as in (a), but for the ocean salinity anomalies simulated from the SPEAR\_LO\_DCIS.





Figure 5 (a) Temporal evolution of 5-yr running mean ocean density anomalies (in  $10^{-1}$  kg m<sup>-</sup> <sup>3</sup>) averaged in the Weddell Sea from the EN4 as a function of depth (in m). (b) Same as in (a), but for the SPEAR\_LO\_DCIS. A black line indicates a mixed-layer depth at which the ocean density increases by 0.03 kg m<sup>-3</sup> from the one at the ocean surface. (c) Same as in (a), but for the ocean stratification (squared Brunt-Väisälä frequency in  $10^{-6}$  s<sup>-2</sup>) anomalies. Positive stratification anomalies indicate a higher stability of sea water. (d) Same as in (c), but for the

1125 SPEAR\_LO\_DCIS. A black line indicates a mixed-layer depth at which the ocean density 1126 increases by 0.03 kg m<sup>-3</sup> from the one at the ocean surface. (e) Same as in (b), but for the ocean 1127 density anomalies (in  $10^{-1}$  kg m<sup>-3</sup>) driven by the ocean temperature anomalies independent of 1128 the ocean salinity anomalies. (f) Same as in (b), but for the ocean density anomalies (in  $10^{-1}$  kg 1129 m<sup>-3</sup>) driven by the ocean salinity anomalies independent of the ocean temperature anomalies. 1130



- $10^{-6}$  kg m<sup>-2</sup> s<sup>-1</sup>) anomalies.



Figure 7 (a) Temporal evolution of inter-member correlation between the 5-yr running mean 1140 1141 SIE anomalies and the 5-yr running mean zonal wind stress (Taux) averaged in the Weddell Sea from 30 ensemble members of the SPEAR LO DCIS as a function of lead years (y-axis). 1142 1143 Positive lead years mean that the Taux anomalies lead the SIE anomalies by the number of years. Correlation coefficients that are statistically significant at 90 % using Student's *t*-test are 1144 1145 shown in color. (b) Same as in (a), but for the inter-member correlation between the SIE 1146 anomalies and the wind stress curl (Curl) anomalies. (c) Same as in (a), but for the intermember correlation between the SIE anomalies and the mixed-layer depth (MLD) anomalies. 1147 1148 (d) Same as in (a), but for the inter-member correlation between the SIE anomalies and the 1149 deep convection (DCV) anomalies.



**Figure 8 (a)** Anomaly correlation (ACC) of the SIC anomalies from the persistence prediction at a lead time of 1-5 years. Positive ACCs that are statistically significant at 90 % using the Student's *t*-test are colored. **(b)** Same as in **(a)**, but for the ACC from the persistence prediction at a lead time of 6-10 years. **(c)** Same as in **(a)**, but for the ACC between the observed SIC anomalies and the ensemble mean SIC anomalies predicted at a lead time of 1-5 years in the SPEAR\_LO\_DRF. **(d)** Same as in **(c)**, but for the ACCs of the ensemble mean SIC anomalies predicted at a lead time of 6-10 years in the SPEAR\_LO\_DRF.



Figure 9 (a) ACC and signal-to-noise (S/N) ratio of pan-Antarctic (area-weighted mean) SIC 1161 anomalies predicted at lead times from 1-5 years to 6-10 years. The ACCs from the persistence 1162 1163 prediction (black) and the SPEAR LO DRF ensemble mean (ENS; red), which are statistically 1164 significant at 90 % using Student's *t*-test, are described with open circles. The average (AVG; 1165 blue) of individual ACCs from the SPEAR LO DRF is shown with its one standard deviation (shade in light blue). The S/N ratio from the SPEAR LO DRF (purple) is also plotted. (b) 1166 1167 Same as in (a), but for the ACC and S/N ratio of the SIC anomalies averaged in the Weddell Sea. (c) Time series of 5-yr running mean pan-Antarctic SIC (in %) anomalies during 1961-1168 1169 2020. Black lines show the observed SIC anomalies from HadISST1 (solid) and HadISST2 1170 (dotted), whereas other colored lines correspond to the ensemble mean SIC anomalies 1171 predicted at lead times from 1-5 years to 6-10 years in the SPEAR LO DRF. (d) Same as in 1172 (c), but for the SIC anomalies averaged in the Weddell Sea.



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1175 Figure 10 (a) Temporal evolution of ensemble mean pan-Antarctic SIC anomalies predicted at lead times from 1-5 years to 6-10 years in the SPEAR LO DRF as a function of 1176 1177 initial/predicted years (x-axis) and lead years (y-axis). A black arrow indicates the correlations 1178 with the same initial year for different lead times, while the corresponding x-axis indicates the 1179 predicted years. (b-d) Same as in (a), but for the zonal wind stress (Taux; 10<sup>-2</sup> Pa), meridional wind stress (Tauy; 10<sup>-3</sup> Pa), and wind stress curl (Curl; 10<sup>-8</sup> Pa m<sup>-2</sup>) anomalies averaged in the 1180 Southern Ocean (south of 55°S). Positive wind stress curl anomalies correspond to 1181 1182 downwelling anomalies in the ocean. (e-f) Same as in (a), but for the SAM (in hPa) and IPO (in °C) indices, respectively. (g) Same as in (b), but for the net surface heat flux anomalies (in 1183 W m<sup>-2</sup>). Positive surface heat flux anomalies indicate more heat going into the ocean. 1184 1185



1187 Figure 11 (a) Temporal evolution of ensemble mean Southern Ocean (south of 55°S) SST 1188 anomalies predicted at lead times from 1-5 years to 6-10 years in the SPEAR LO DRF as a 1189 function of initial/predicted years (x-axis) and lead years (y-axis). A black arrow indicates the 1190 correlations with the same initial year for different lead times, while the corresponding x-axis indicates the predicted years. (b-f) Same as in (a), but for the SSS (in 10<sup>-1</sup> PSU), mixed-layer 1191 depth (MLD; in m), salt flux (in 10<sup>-9</sup> kg m<sup>-2</sup> s<sup>-1</sup>), deep convection (DCV; in Sv), and 1192 precipitation minus evaporation (P-E; in 10<sup>-6</sup> kg m<sup>-2</sup> s<sup>-1</sup>) anomalies averaged in the Southern 1193 1194 Ocean, respectively.



1197 Figure 12 (a) Temporal evolution of inter-member correlation between the pan-Antarctic SIC 1198 anomalies predicted at a lead time of 6-10 years and the Southern Ocean SST anomalies 1199 predicted at lead times from 1-5 years to 6-10 years for the 20 ensemble members of the 1200 SPEAR LO DRF as a function of initial/predicted years (x-axis) and lead years (y-axis). A black arrow indicates the correlations with the same initial year for different lead times, while 1201 1202 the corresponding x-axis indicates the predicted years. Correlation coefficients that are statistically significant at 90 % using Student's *t*-test are colored. (b-f) Same as in (a), but for 1203 1204 the inter-member correlation with the zonal wind stress, meridional wind stress, wind stress 1205 curl, mixed-layer depth, and deep convection anomalies averaged in the Southern Ocean.



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Figure 13 (a) Standard deviation of 5-yr running mean SIC (in %) anomalies from the SPEAR\_LO\_CTL with the preindustrial atmospheric radiative forcings. (b) Same as in (a), but for the SPEAR\_MED\_CTL. (c) Standard deviation of 5-yr running mean mixed-layer depth (MLD, in %) anomalies from the SPEAR\_LO\_CTL. (d) Same as in (c), but for the SPEAR\_MED\_CTL.