



1 **Dynamic and Thermodynamic Processes Related to Sea-Ice Surface**
2 **Melt Advance in the Laptev Sea and East Siberian Sea**

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ABSTRACT

18 Arctic summer sea ice has shrunk considerably in recent decades. This study
19 investigates sea-ice surface melt onset in springtime in the Laptev Sea and East Siberian
20 Sea, which are key seas along the Northeast Passage. Melt Advance, which is defined
21 as the areal percentage of a sea that has experienced sea-ice surface melting before the
22 end of May, is used instead of region-mean melt onset. Four representative scenarios of
23 Melt Advance in the region are identified. Each scenario is driven by a distinct
24 circulation in the lower troposphere in May, which regulates sea ice dynamics and air
25 mass transport, further influencing surface energy balance and Melt Advance. In
26 general, concurrent with faster Melt Advance are warm and wet atmosphere, reduced
27 sea ice cover, and surface energy gains in spring. Melt Advance, as well as sea ice cover
28 in May, is significantly correlated with summer sea ice over. This study implicates the
29 interannual flexibility of spring circulation in the lower troposphere and the significance
30 of seasonal evolution in the Arctic.

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33 1. Introduction

34 Since the 1970s, satellites have enabled global detection of the Earth. Arctic
35 summer sea ice extent is found to have decreased dramatically in the past four decades
36 (Petty et al., 2020; Stroeve & Notz, 2018), which is a prominent indicator of global
37 warming. In fact, the Arctic has a faster warming trend than elsewhere on the planet,
38 especially in the lower troposphere during the cold season. This phenomenon, called
39 Arctic Amplification, results from reduced sea ice cover and enhanced oceanic energy
40 release toward the atmosphere (Cohen et al., 2014; Serreze et al., 2009). Some research
41 has indicated that the mid-latitudes may frequently experience severe winters due to the
42 Arctic Amplification which reduces the meridional temperature gradient and in turn
43 amplifies the planetary Rossby wave and makes it more stationary (Francis & Vavrus,
44 2015). In the Arctic, positive ice-albedo feedback is active in the melt season (Budyko,



45 1969; Kashiwase et al., 2017; Sellers, 1969): after sea ice begins to melt in spring,
46 surface albedo decreases substantially, which favors more solar radiation absorption
47 and promotes further sea ice melting. Based on this notion, some studies have tried to
48 predict Arctic summer sea ice cover by sea-ice surface Melt Onset (MO) in spring, i.e.,
49 the date when the sea ice surface begins to form liquid water (Petty et al., 2017; Wang
50 et al., 2011). Currently, satellite remote sensing helps us construct the pan-Arctic sea
51 ice MO, which is not possible with only in-situ field observations. For sea ice lateral
52 and bottom melting, satellites are less useful and buoys are widely employed (Lei et al.,
53 2022).

54 Many studies have touched on sea ice MO in springtime (Bliss & Anderson, 2014;
55 Crawford et al., 2018; Drobot & Anderson, 2001; Horvath et al., 2021). Generally, sea
56 ice MO is becoming earlier in most parts of the Arctic, which is consistent with the
57 Arctic warming trend. Another notable feature of MO is its regionality. For example,
58 the Barents Sea, Kara Sea, Laptev Sea, and East Siberian Sea are around the same
59 latitudes along the Siberian coast, but the MO trends were -7.1, -5.2, -2.8, and -1.8 days
60 per decade from 1979 to 2013, respectively (Stroeve et al., 2014). Liang and Su (2021)
61 investigated the interannual early/late relationship of MO between the Laptev Sea and
62 East Siberian Sea, which is related to the large-scale atmospheric pattern of the Barents
63 Oscillation (Skeie, 2000). Locally, synoptic processes are regarded as responsible for
64 interannual variability. Mortin et al. (2016) argued that sea ice MO is generally
65 associated with higher surface air temperature (SAT), total-column water vapor (TWV),
66 and cloud cover, which promotes downward longwave radiation.

67 Focusing on the Laptev Sea and East Siberian Sea, which usually have the heaviest
68 ice block in the Northeast Passage, this study aims to demonstrate the springtime
69 processes related to different MO scenarios and explore the linkage between springtime
70 MO and summertime sea ice coverage.

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73 **2. Data and Methods**

74 Sea ice Melt Onset (MO) is the date when the sea ice surface begins to melt in
75 spring, which is retrieved from satellite passive microwave signals (Markus et al., 2009).
76 Liquid water has greater emissivity than ice/snow, so surface melting invokes changes
77 in passive microwave signals. The dataset is distributed by the National Aeronautics
78 and Space Administration (NASA) Cryospheric Sciences Research Portal. We use the
79 yearly MO from 1979 to 2018, with a spatial resolution of ~25 km. Following the
80 method in Liang and Su (2021), we fill in the missing MO values based on surface air
81 temperature (SAT) datasets from the International Arctic Buoy Programme/Polar
82 Exchange at the Sea Surface (IABP/POLES) for 1979-2004 and the Atmospheric
83 InfraRed Sounder (AIRS) for 2005-2018.

84 The sea ice concentration (SIC) dataset, called Ocean and Sea Ice Satellite
85 Application Facility (OSI SAF), is from the European Organisation for the Exploitation
86 of Meteorological Satellites (EUMETSAT) (Lavergne et al., 2019). We use the monthly
87 SIC from 1979 to 2018, with a resolution of 25 km.

88 The atmospheric variables and surface energy fluxes are from the ERA5 reanalysis
89 by the European Centre for Medium-Range Weather Forecasts (ECMWF) (Hersbach et
90 al., 2020), which replaces the ERA-Interim reanalysis that ceased production in 2019.
91 The variables used in this study are monthly downward longwave radiation (DLR), net
92 longwave radiation (NLR), downward shortwave radiation (DSR), net shortwave
93 radiation (NSR), surface latent heat flux (SLHF), surface sensible heat flux (SSHF),
94 total-column water vapor (TWV), and SAT and wind fields at the 850-hPa level, from
95 1979 to 2018. The spatial resolution of ERA5 used in this study is $0.25^{\circ} \times 0.25^{\circ}$, less
96 than 30 km in the region of the Laptev Sea and East Siberian Sea.

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99 **3. Results**

100 *3.1 Distinct Melt Advance Scenarios in the Laptev Sea and East Siberian Sea*

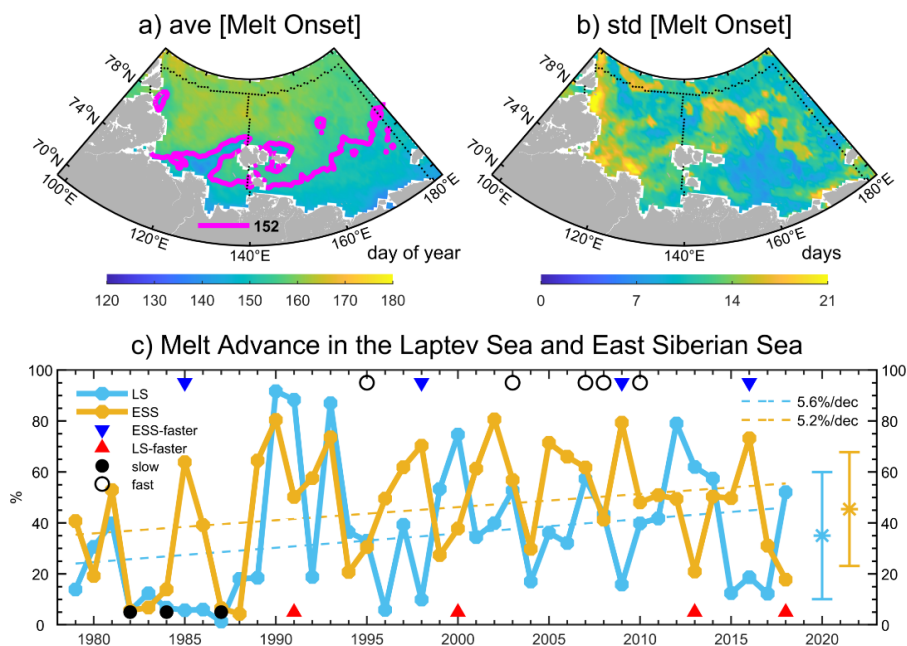


101 The Laptev Sea (LS) and East Siberian Sea (ESS) are marginal seas of the Arctic
102 Ocean, north of Siberia along the Northeast Passage (Fig. S1). The longitude-latitude
103 ranges are around 70°N-80°N and 100°E-180°, covering 0.66 and 1.14 million km² for
104 the LS and ESS, respectively. These two seas are among the regions where sea ice
105 decline in September during the past four decades has been the most prominent, and
106 they are key regions for safe transportation across the Northeast Passage. Meanwhile,
107 sea-ice surface Melt Onset (MO) in spring generally tends to be earlier. In spring, sea
108 ice almost completely covers these two seas, while in summer, sea ice substantially
109 retreats off the coast. Sea ice first begins to melt at the surface in spring when solar
110 radiation increases and the atmosphere warms. On average, the sea ice surface begins
111 to melt during May and June (Fig. 1a). Naturally, sea ice melting advances northward.
112 Interannually, MO is expected to change within one month (Fig. 1b). In order to
113 demonstrate the progress of MO in different years, melt advance (MA) is defined by
114 calculating the areal percentage of an individual sea that has experienced MO at the end
115 of May (see magenta contour line in Fig. 1a). In this way, we can detect whether sea-
116 ice surface melting advances slowly or quickly in a specific year, as well as the spatial
117 patterns. For the seasonal prediction of summer sea ice, this metric is date-dependent
118 and useful, unlike the region-mean MO, which necessitates waiting for complete
119 melting throughout the whole sea.

120 Figure 1c shows the time series of MA for the LS and ESS during 1979-2018. The
121 variability is large, ranging from near zero to 100%. This implies changeable spring
122 conditions on the interannual scale. On average, MA is around 40% for each sea,
123 meaning that ~40% of the sea area has experienced sea-ice surface melting at the end
124 of May. In the context of global warming, MA has an increasing tendency in both seas
125 although this tendency is not quite significant (less than 6% per decade). This indicates
126 that we sometimes need to pay more attention to the interannual variability than to the
127 long-term linear tendency. We can also notice that relatively slow MA in the 1980s
128 contributes considerably to the positive tendency.



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Fig. 1. (a, b) Climatology and standard deviation of sea ice Melt Onset, and (c) Melt Advance time series in the Laptev Sea and East Siberian Sea, 1979-2018. The magenta lines in panel (a) are contours of 152 (day of year), representing the end of May. The areal percentage of sea ice Melt Onset earlier than 152 (day of year) is defined as Melt Advance. In panel (c), only the trend of Melt Advance in the ESS is statistically significant at the 90% confidence level. The average and standard deviation of the Melt Advance in the LS and ESS are $35\% \pm 25\%$ and $45\% \pm 22\%$, respectively. Four categories of sample years are marked (see Table 1).

Another feature is related to the relationship of MA between the LS and ESS. In some years, MA in both the LS and ESS is slow, as in the 1980s; in other years, MA in both seas may be fast; and in other years, MA can be substantially different in the two seas. Thus, four categories of sample years are selected for further composite analysis (Table 1 and markers in Fig. 1c; MA difference between the LS and ESS is shown in Fig. S2), which represent four basic scenarios of MA in this region. Specifically, years with significantly faster MA in the ESS than in the LS ($\delta > 48\%$) are grouped as the ESS-faster-scenario, while years with significantly faster MA in the LS than in the ESS ($\delta > 33\%$) are classified as the LS-faster-scenario. The slow-scenario includes years



149 when MA in both seas is slow (below 20%), while the fast-scenario consists of years
 150 when MA in both seas is relatively fast (between 30% and 60% at the same time). So,
 151 two pairs of contrasting categories are formed (ESS-faster-scenario vs. LS-faster-
 152 scenario, slow-scenario vs. fast-scenario). Note that to some extent the latter two
 153 scenarios represent the contrast between the 1980s and subsequent decades. Such
 154 categorization reflects the large variability of MA in spring from the interannual
 155 perspective.

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Category	Years	Description
ESS-faster-scenario	1985, 1998, 2009, 2016	significantly faster Melt Advance ($\delta > 48\%$) in the ESS than in the LS
LS-faster-scenario	1991, 2000, 2013, 2018	significantly faster Melt Advance ($\delta > 33\%$) in the LS than in the ESS
slow-scenario	1982, 1984, 1987	similar but slow Melt Advance ($\delta < 8\%$, but below 20%)
fast-scenario	1995, 2003, 2007, 2008, 2010	similar but fast Melt Advance ($\delta < 9\%$, but between 30% and 60%)

157 **Table 1** List of years under different scenarios of Melt Advance.

158 Note: Practically, the ESS-faster-scenario and LS-faster-scenario are selected based on
 159 one standard deviation of the difference in Melt Advance between the Laptev Sea and
 160 East Siberian Sea. The slow-scenario and fast-scenario include years when Melt
 161 Advance in the two seas is quite close. All years listed here are marked in Fig. 1c.

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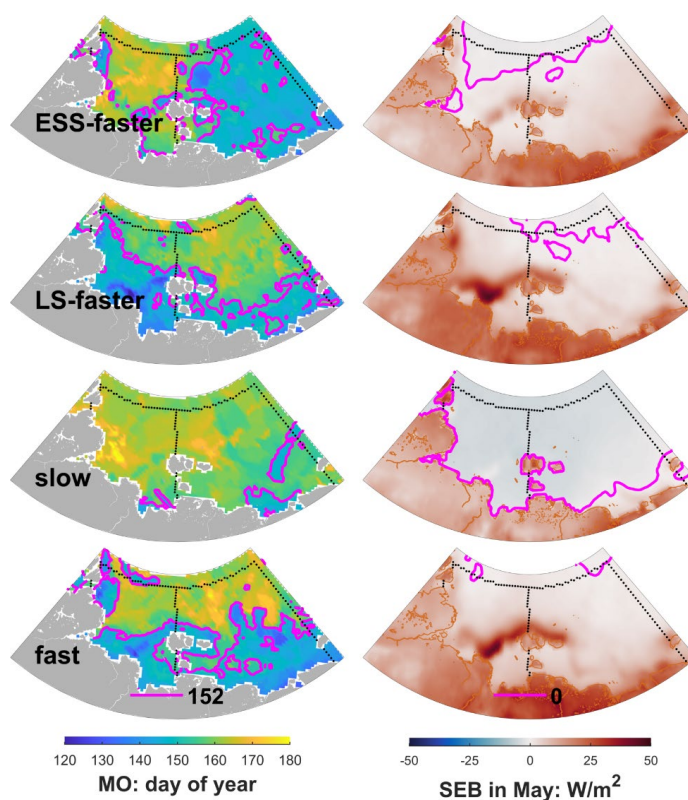
163 Composite results show that the ESS-faster-scenario has substantially earlier MO,
 164 i.e., faster MA in the ESS than in the LS, while the LS-faster-scenario has a somewhat
 165 opposite signal (indicated by the magenta line in Fig. 2). For the slow-scenario, little
 166 area in either sea has experienced MO until the end of May, indicating slow MA; for
 167 the fast-scenario, nearly half of both seas has begun to experience sea-ice surface
 168 melting, indicating fast MA at almost the same pace. From the surface energy balance
 169 (SEB) in May, we find consistent patterns. With the zero lines of SEB as a reference,
 170 the ESS-faster-scenario has relatively more positive SEB in the ESS than in the LS,
 171 while the opposite is true for the LS-faster-scenario. For the slow-scenario, SEB is
 172 negative over most of the two seas, while for the fast-scenario, SEB is positive in both



173 seas. This fits well with common sense. Although MA-related albedo changes may
174 amplify the SEB signals in a two-way interaction, it is fair to say that SEB in May
175 drives different patterns of MA (see individual years in Fig. S3).

176 In the next section, we investigate systematic processes under different MA
177 scenarios that involve the atmosphere, sea ice, and surface fluxes. Note that the four
178 components of SEB include longwave radiation, shortwave radiation, surface latent
179 heat flux (SLHF), and surface sensible heat flux (SSHF).

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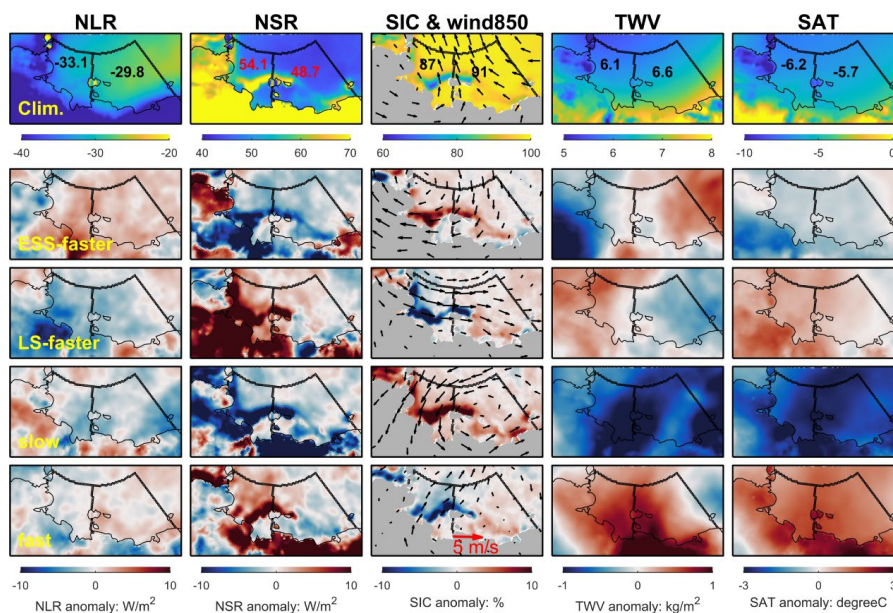
182 **Fig. 2.** Composites of MO and surface energy balance (SEB) in May for the four
183 scenarios. The left column shows the MO patterns marked by magenta contour lines
184 with the value of 152 (day of year) which represents the end of May. The right column
185 is the SEB in May, with magenta contour lines of zero. Black dots denote the boundaries
186 of the LS and ESS.

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189 3.2 Dynamic and Thermodynamic Processes under Different Melt Advance Scenarios
 190 Climatologically, SEB is basically positive ($\sim 5 \text{ W/m}^2$) across the two seas in May
 191 (see first row in Fig. S4). Among the components, it is positive net shortwave radiation
 192 (NSR) that compensates for losses from net longwave radiation (NLR), SLHF, and
 193 SSHF. This implies that on average the atmosphere receives energy from the surface
 194 through the latter three components in May. SAT is around -6°C , while sea ice almost
 195 fully covers the ocean ($\sim 90\%$) (see first row in Fig. 3). In the lower troposphere (850
 196 hPa), southeasterlies blow across the region, which to some extent explains the
 197 existence of polynyas in the middle LS, i.e., regions where sea ice concentration is
 198 below 75%. Note that Fig. 3 shows only selected vital variables; other relevant factors
 199 can be found in Fig. S4-S7.
 200



201
 202 **Fig. 3.** Climatology (first row) and composite anomalies for the four scenarios (lower
 203 four rows) of relevant atmospheric and sea ice variables in May: NLR, NSR, SIC, winds
 204 at 850 hPa, TWV, and SAT. Numbers within the LS and ESS are the region-mean values,
 205 respectively.
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207 In the ESS-faster-scenario (see second row in Fig. 3 and blue bars in Fig. 4),

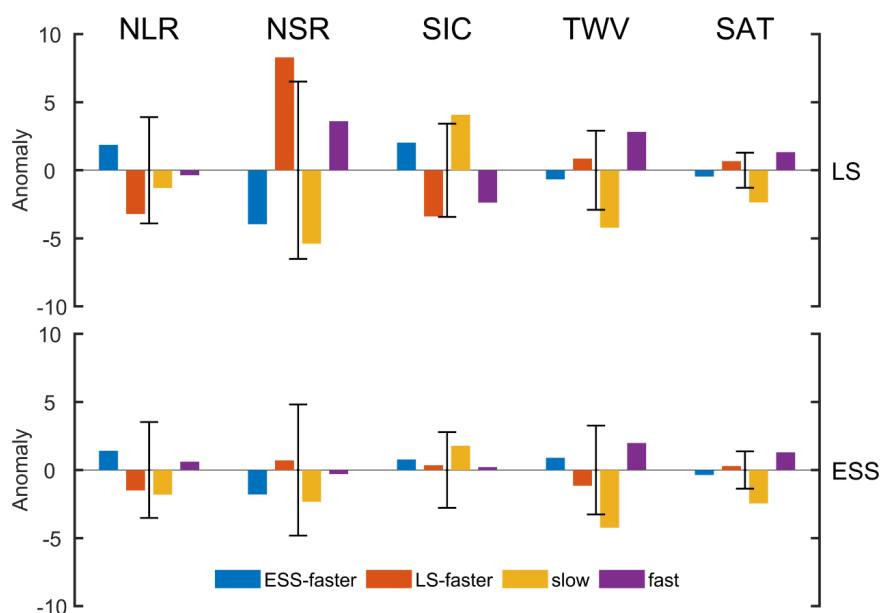


208 prevailing northeasterlies in the lower troposphere push and consolidate the sea ice
209 against the land, especially for the LS, which increases surface albedo and decreases
210 solar radiation absorption. The northeasterlies seem to also bring slightly cool air
211 masses to the region, and slightly moist air masses to the ESS. As a whole, the
212 atmospheric state is close to climatology as shown by small SAT and TWV anomalies.
213 Given that sea ice cover is more packed, longwave radiation loss from the surface to
214 the atmosphere is reduced, which to some extent compensates for the reduced solar
215 radiation absorption. Due to the greater reduction of solar radiation absorption in the
216 LS, the net surface energy balance is a loss in the LS, but a gain in the ESS. In addition,
217 sea-ice surface melting is usually preconditioned by increased water vapor in the
218 atmosphere (Mortin et al., 2016). So, faster Melt Advance in the ESS is expected as
219 TWV is increased in the ESS.

220 For the LS-faster-scenario (see third row in Fig. 3 and red bars in Fig. 4), wind fields
221 at 850-hPa show unified westerlies over the LS and northwesterlies over the ESS, which
222 account for the reduced sea ice cover in the LS and the slightly packed sea ice in the
223 ESS. So, we see a substantial increase in solar radiation absorption (beyond one
224 standard deviation) in the LS. Given that longwave radiation loss is somehow enhanced,
225 the net surface energy balance is still a gain for the LS and a loss for the ESS. The
226 westerlies may also contribute to positive anomalies of TWV and SAT in the LS, which
227 promotes faster MA. It is also possible that reduced sea ice cover in the LS enables
228 more moisture to be released from the exposed ocean.

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232 **Fig. 4.** Region-mean composite anomalies in the LS and ESS for the four scenarios
233 shown in Fig. 3. The error bars denote the corresponding standard deviation for 1979-
234 2018. The variables of NLR, NSR, SIC, TWV, and SAT have units of W/m^2 , W/m^2 , %,
235 kg/m^2 , and K, respectively. Here, SIC is represented by the areal percentage of sea ice
236 cover relative to the whole sea. To facilitate viewing, TWV is scaled by a factor of 5.

237

238 For the slow-scenario, with three sample years from the 1980s (see fourth row in
239 Fig. 3, and orange bars in Fig. 4), a cyclonic anomaly in the lower troposphere, which
240 is centered on the ESS, pushes sea ice against the southern coast in the LS. More sea
241 ice cover in both seas decreases solar radiation absorption. Meanwhile, this circulation
242 also brings this region under the influence of cold and dry air masses (beyond one
243 standard deviation), which induce a large loss of longwave radiation and SSHF from
244 the surface. As a whole, we see unified surface energy deficits in the LS and ESS
245 (beyond one standard deviation). Slow MA is also expected for this region.

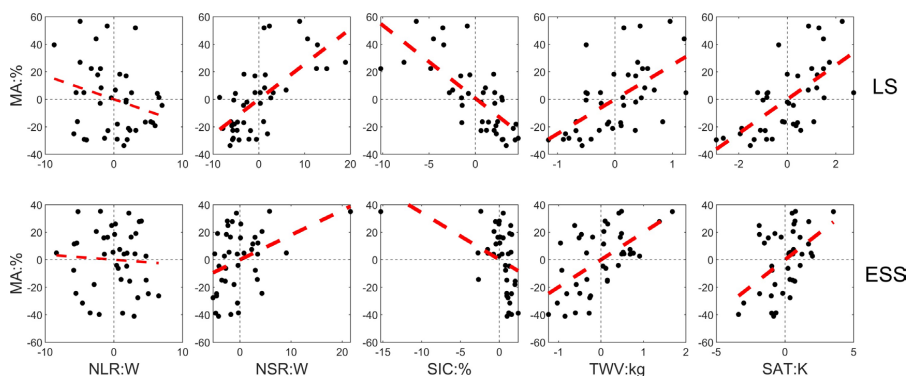
246 For the fast-scenario, with sample years after the 1980s (see last row in Fig. 3, and
247 purple bars in Fig. 4), southerlies in the lower troposphere blow mainly across the LS,
248 which drive sea ice off the coast and in turn increase shortwave radiation absorption.
249 At the same time, the southerlies bring warm and wet air masses to this region, which



250 substantially reduce the SSHF loss from the surface. As a result, we see a positive net
251 surface energy balance in this region and relatively fast MA.

252 The composite analysis above indicates that circulation in the lower troposphere in
253 spring in this region can be quite changeable (see individual years in Fig. S8), which
254 can have two effects: one is related to sea ice dynamics; the other involves moisture
255 and warm air advection. The former produces strong regulation of NSR due to albedo
256 changes, while the latter has everything to do with the atmospheric state, which favors
257 sea-ice surface melting when the atmosphere is warm and wet.

258 Figure 5 further shows the statistical correlation related to MA, incorporating years
259 from 1979 to 2018. In general, we see that faster MA is accompanied by warm and wet
260 atmosphere. The related atmospheric circulation in the lower troposphere may also
261 drive reduced SIC and subsequent increased solar radiation absorption. In addition,
262 previous studies have argued that on a synoptic scale, increased water vapor in the
263 atmosphere favors stronger DLR, which promotes sea-ice surface melting. The result
264 here suggests that on the subseasonal scale longwave radiation has little connection
265 with MA (see first column in Fig. 5). Other relevant variables can be found in Fig. S9.
266



267
268 **Fig. 5.** Scatter plots for 1979-2018 between the MA anomaly and region-mean
269 anomalies of factors shown in Figs. 3 and 4. Thick dashed red lines denote linear fits
270 above the 95% confidence level.

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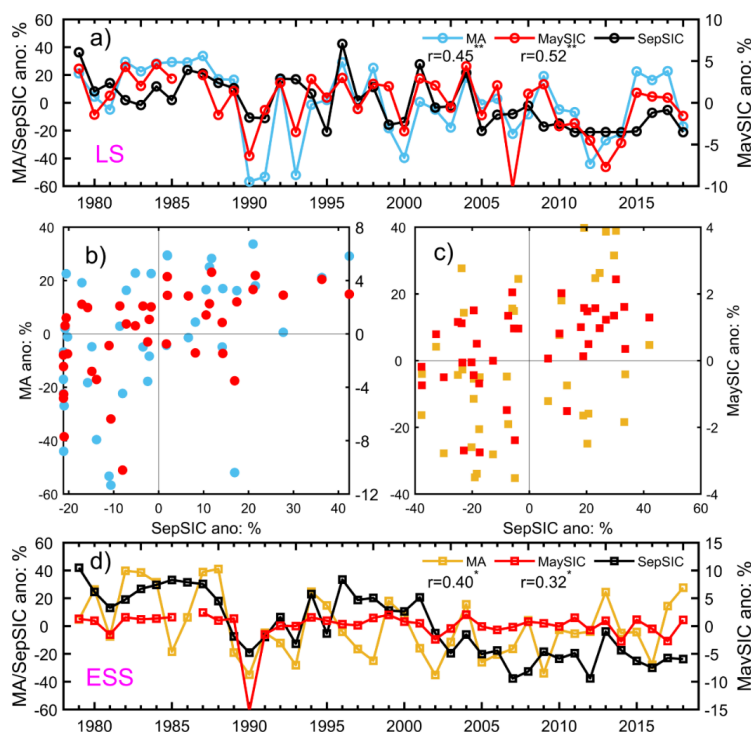
273 4. Discussion

274 In this study, sampling for different scenarios of sea ice Melt Advance is based on
275 the Melt Onset dataset, which is a satellite observation product. To our knowledge,
276 ERA5 to some extent incorporates the sea ice concentration dataset of OSI SAF, but
277 not the Melt Onset dataset (Hersbach et al., 2020). ERA5 atmospheric reanalysis and
278 different Melt Advance patterns can be seen as independent sources of information and
279 their consistency should provide more confidence.

280 In fact, the concept of Melt Advance can be used for the whole Arctic, and can
281 describe how sea-ice surface melting advances in spring. As mentioned above, Melt
282 Advance can also be used as relatively independent information with reference to an
283 atmospheric reanalysis dataset. In previous work, three modes of Melt Onset in the LS
284 and ESS are identified by EOF decomposition (Liang and Zhou, 2023, in press), and to
285 some extent correspond to the different Melt Advance scenarios in this work.

286 To what extent do different sea-ice surface melting scenarios in spring have
287 implications for sea ice cover in summer? Could we gain seasonal prediction skill based
288 on detection of sea-ice surface melting in spring? A simple way to address this is to put
289 aside the processes linking spring and summer and directly investigate the statistical
290 relationship between sea-ice surface melting scenarios in spring and sea ice states in
291 summer. Figure 6 shows that in both the LS and ESS, Melt Advance in spring is
292 significantly correlated with sea ice cover in September, which is consistent with
293 previous studies utilizing Melt Onset as a predictor of summer sea ice (Petty et al., 2017;
294 Wang et al., 2011). However, it has no stronger prediction skill than SIC in May.
295 Beyond this, for the prediction of summer sea ice cover, the seasonal evolution from
296 spring to summer is still a challenge as it is not fully understood. Processes during the
297 melting season may strongly disturb the signal from the Melt Advance (Fig. S10). More
298 study of seasonal evolution in the Arctic is needed in the future.

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300
 301 **Fig. 6.** Sea-ice surface Melt Advance, SIC in May and September sea ice cover in the
 302 Laptev Sea (subplot a and b) and East Siberian Sea (subplot c and d), 1979-2018.
 303 September sea ice cover is denoted by the areal percentage of sea ice cover relative to
 304 the whole sea. To facilitate viewing, Melt Advance is timed by -1. Correlation
 305 coefficients with double asterisks denote 99% confidence, while those with a single
 306 asterisk denote 90% confidence.

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309 5. Conclusions

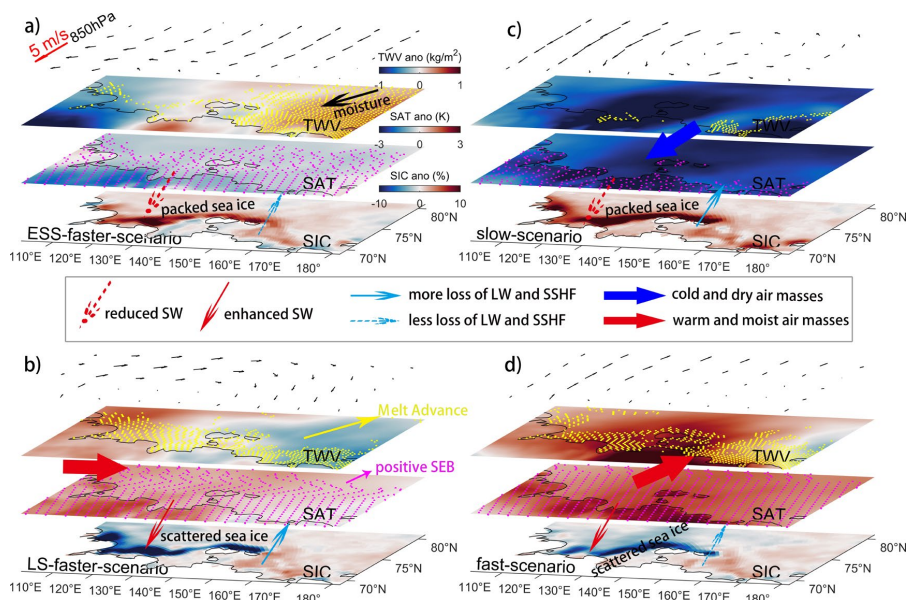
310 In this study, the metric of Melt Advance (MA) is used to measure sea-ice surface
 311 melting instead of region-mean Melt Onset. MA is defined as the areal percentage of a
 312 sea in which the sea ice surface has begun to melt at the end of May, in this case the
 313 Laptev Sea (LS) and East Siberian Sea (ESS). This metric has the potential to help
 314 seasonally predict summer sea ice, as this can be a temporally changing variable. Melt
 315 Advance is also a potential metric for the whole Arctic.

316 Four representative scenarios of Melt Advance in the LS and ESS are identified: the



317 ESS-faster-scenario, LS-faster-scenario, slow-scenario, and fast-scenario. Composite
 318 analyses reveal that the dominant driver is circulation in the lower troposphere, which
 319 regulates sea ice dynamics as well as air mass advection. The surface energy balance
 320 and sea ice Melt Advance are then influenced. The main conclusions are demonstrated
 321 in the schematic in Fig. 7.

322 Although sea ice Melt Advance as well as sea ice cover in May are both statistically
 323 correlated with sea ice cover in September, seasonal evolution can to a large extent
 324 disturb this linkage. This study suggests a need to further investigate the changeable
 325 spring circulation in the lower troposphere and seasonal evolution in the Arctic.
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327
 328 **Fig. 7.** Schematic processes under the four scenarios of sea ice Melt Advance in the LS
 329 and ESS

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332 *Data Availability Statement.*

333 The sea ice MO dataset is from NASA's Cryospheric Sciences Research Portal
334 (<https://earth.gsfc.nasa.gov/cryo/data/arctic-sea-ice-melt>). SAT of IABP/POLES can be
335 accessed at <https://arcticdata.io/catalog/view/doi:10.18739/A2J598>, and SAT of AIRS
336 at https://disc.gsfc.nasa.gov/datasets/AIRS3STD_006/summary. The SIC dataset of
337 OSI SAF was downloaded from the websites below:
338 <ftp://osisaf.met.no/reprocessed/ice/conc/v2p0/> and
339 <ftp://osisaf.met.no/reprocessed/ice/conc-cont-reproc/v2p0/>.

340 The ERA5 reanalysis dataset was retrieved at
341 [https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset&keywords=\(\(%20%2020%20Product%20type:%20Reanalysis%22%20\)\)](https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset&keywords=((%20%2020%20Product%20type:%20Reanalysis%22%20))). In this study, we used ERA5 monthly
342 averaged data at single levels and pressure levels.

344

345 *Author Contribution*

346 Hongjie Liang [Formal analysis; Writing original draft]. Wen Zhou [Funding
347 acquisition; Supervision].

348

349 *Competing interests*

350 The authors declare that they have no conflict of interest.

351

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