



# Antarctic surface climate and surface mass balance in the Community Earth System Model 2 (1850-2100)

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**Abstract.** Antarctic Ice Sheet (AIS) mass loss can be mitigated by an increase in surface mass balance (SMB) which is impacted by the ice sheet's surface climate. Because of Antarctica's remoteness, in-situ observations of its surface climate are spatially and temporally sparse, limiting our understanding of how the surface climate, and therefore SMB, are changing. To that end, Earth System Models (ESMs) fill an important gap, allowing us to explore components of the AIS climate system, both historically and under future climate change scenarios. Here, we present and analyze output of the most recent version of the National Center for Atmospheric Research's ESM: the Community Earth System Model version 2 (CESM2). We compare AIS surface climate and SMB as simulated by CESM2 with regional climate models and observations. We find that CESM2 substantially better represents AIS near-surface temperature, wind speed, and surface melt compared with its predecessor, CESM1, which is likely a result of the inclusion of new cloud microphysical parameterizations and changes made to the snow model. However, CESM2 SMB is shown to be biased high, particularly because of excessive precipitation. ESMs such as CESM2 are used as forcing for ice sheet models, which provide estimates and projections of ice sheet contribution to sea level rise. Thus, it is important to fully understand the limitations and biases of this climate model forcing. This study provides a comprehensive analysis of the strengths and weaknesses of CESM2 representation of AIS surface climate, which will be especially useful in preparation for CESM3, which plans to incorporate a coupled Antarctic Ice Sheet that interacts with the ocean and atmosphere.

## 1 Introduction

The Antarctic Ice Sheet (AIS) is the largest freshwater body on Earth, storing enough ice to raise the global mean sea level by 58.3 m if melted entirely (Church et al., 2013). The mass balance of the AIS is equivalent to the difference between surface mass balance (SMB), which is *precipitation - evaporation/sublimation - runoff*, and ice discharge, or the ice flux across the grounding line. Observations indicate that the AIS has been losing mass since the late 1970s, implying that ice discharge has exceeded mass gain due to SMB. AIS mass loss has increased from  $40 \pm 9$  Gt yr<sup>-1</sup> in 1979–1990 to  $252 \pm 26$  Gt yr<sup>-1</sup> in 2009–2017 (Rignot et al., 2019). This mass loss is focused in the Amundsen Sea sector and the Antarctic Peninsula, combined attributing to 81% of the total AIS mass loss between 2003 and 2013 (Velicogna et al., 2014). These regions are among the fastest warming areas on Earth (Vaughan et al., 2003; Bromwich et al., 2013), with increasing temperatures contributing to



25 thinning ice shelves, thus reducing their buttressing effect and leading to increased ice discharge (Selley et al., 2021; Rignot et al., 2019; Shepherd et al., 2018; Mougnot et al., 2014).

SMB is important for AIS mass balance because, when increasing, it can counteract increased discharge and mitigate the ice sheet's contribution to sea level rise. Precipitation dominates the AIS SMB signal and is variable from year to year, impacted by modes of variability (Hansen et al., 2021; Marshall et al., 2017), stratospheric ozone depletion (Lenaerts et al., 2018; Chemke et al., 2020; Schneider et al., 2020), and increasing greenhouse gas emissions (Palermé et al., 2017). Studies have shown that historical increases in AIS SMB indicate that some of this mass loss mitigation may already be happening (Medley and Thomas, 2019); however, uncertainty remains as to what extent this will continue in the future (Lenaerts et al., 2016; Barthel et al., 2020; Gorte et al., 2020).

While increasing snowfall is important for mitigating AIS mass loss due to increased discharge, other surface processes, such as surface melt and rain, will also play a growing role in the future of the AIS. Surface meltwater impacts ice shelves, which surround 75% of the Antarctic coastline, and provide a buffer from the inland flow of ice to the ocean (Fürst et al., 2016). Surface meltwater ponding can lead to hydrofracture (Banwell et al., 2019; Dunmire et al., 2020), or the rapid vertical drainage of water, a process which can be a driver for ice-shelf instability and break-up (Gilbert and Kittel, 2021; Robel and Banwell, 2019; Banwell et al., 2013; Scambos et al., 2009).

Because of Antarctica's remoteness, in-situ observations are limited both spatially and temporally, limiting our understanding of how the surface climate and SMB are changing. Accordingly, we use additional products to assess the AIS surface climate, each with its own set of limitations. Satellite remote sensing products provide observations across the ice sheet but are not continuous, only exist for a short period of time, and cannot directly measure SMB (and indirect remote-sensing measurements of SMB come with large uncertainties). Reanalysis models, such as ERA5 and MERRA-2, and SMB reconstructions, such as that from Medley & Thomas (2019), approximate observations as best as possible, but only exist for the historical period. Regional climate models (RCMs) can be useful tools for analysing AIS surface climate and surface mass balance (Mottram et al., 2021) but are expensive to run and require lateral boundary forcing from global products. These limitations highlight the important gap that Earth System Models (ESMs) fill. ESMs represent many components of the climate system, allowing for the analysis of climate interactions, feedbacks, and internal variability. Further, ESMs are integrated in the most recent Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016), which provides future climate projections under a combination of different radiative forcing (RCP) and socioeconomic pathways (SSPs), and are used as forcing for ice sheet models (e.g. Seroussi et al., 2020)

The spread of how well various ESMs within CMIP6 capture AIS SMB is very large. CMIP6 modeled annual SMB values between 1950 and 2000 range between 1525 and 3378 Gt yr<sup>-1</sup>, with a mean of 2127 Gt yr<sup>-1</sup>. To better understand this spread in CMIP6 models and help inform future decisions regarding forcing for ice sheet models, ESM evaluation exercises are important. Here, we present and investigate output from the most recent version of National Center for Atmospheric Research's ESM: the Community Earth System Model version 2 (CESM2, Danabasoglu et al., 2020). We compare this model with its predecessor (CESM1) to highlight model improvements. We also compare CESM2 surface climate output with observations from autonomous weather stations (AWSs) across the AIS, satellite observations, and output from reanalysis models and an



60 SMB reconstruction to emphasize potential areas of improvement for the next model version. Finally, we explore historical and future trends in the model, relating to surface mass balance.

## 2 Methods and Data

### 2.1 Community Earth System Model

#### 2.1.1 CESM2

65 Here, we analyzed output from the Community Earth system Model Version 2 (CESM2), the National Center for Atmospheric Research's Earth System Model. CESM2 is an open-source community model consisting of coupled ocean, atmosphere, land, sea-ice, land-ice, river, and wave models at  $\sim 1^\circ$  horizontal resolution. In this study, we analyzed model output from the CMIP6 archive, which includes 11 ensemble members covering the historical period (1850-2015), as well as 3 ensemble members covering the remainder of the 21st century (2015-2100) following three different future socioeconomic pathways  
70 (SSPs), SSP1-2.6, SSP3-7.0, and SSP5-8.5.

We used near-surface air temperature, near-surface wind speed, incoming longwave radiation, incoming shortwave radiation, latent heat flux, sensible heat flux, sea level pressure, and geopotential height output from the atmosphere model, the Community Atmosphere Model Version 6 (CAM6). Runoff, solid and liquid precipitation, evaporation/sublimation, and melt output were obtained from the land model, the Community Land Model Version 5 (CLM5, Lawrence et al., 2019). For the CESM2  
75 mean and uncertainty of these output variables we calculated the ensemble average mean and standard deviation.

We also compared CESM2 Antarctic SMB output (as part of CMIP6) with the 100-member CESM2 Large Ensemble Project (CESM2-LENS, Rodgers et al., 2021). However, we used the 11-member CESM2 output for the majority of the analysis in this work because it contains output from 3 different future scenarios where-as CESM2-LENS only contains output from SSP3-7.0.

#### 2.1.2 Model differences from CESM1

80 We evaluated the impact of three major changes that were made to CESM2's predecessor, the CESM1 Large Ensemble (CESM1-LENS, CESM1 hereafter) (Kay et al., 2015). First, the inclusion of new cloud microphysical parameterizations such as ice nucleation and prognostic precipitation allow for a better representation of clouds in polar regions and therefore led to improved modeled air temperatures, incoming longwave and shortwave radiation, and surface melting (Lenaerts et al., 2020). Secondly, changes made to the snow model over land, such as implementing new parameterizations for fresh snow density, destructive metamorphism, and compaction by overburden pressure and wind redistribution and allowing for a deeper firn layer  
85 have improved the representation of perennial snow in polar regions and have implications for simulated surface meltwater production, refreezing, and runoff (van Kampenhout et al., 2017). Thirdly, CESM2 includes a new parameterization for boundary layer form drag (Beljaars et al., 2004), which has been shown to improve the representation of orographic precipitation, near-surface wind, and turbulent heat and moisture fluxes over Greenland (van Kampenhout et al., 2020).



## 90 2.2 Observational Products

To evaluate CESM2, we compared model output to in-situ observations, remote sensing products, atmospheric reanalysis models, RCMs, and an SMB reconstruction product, described below.

### 2.3 In-situ observations

We used near-surface temperature and wind speed observations from a collection of 133 automatic weather stations (AWSs) across the Antarctic Ice Sheet (Gossart et al., 2019). This collection was downsized to only include stations that contained 10 or more full years of temperature or wind speed data. Ultimately, we used near-surface temperature observations from 116 different AWSs and near-surface wind speed observations from 96 different AWSs.

### 2.4 Remote sensing products

We used melt observations which were empirically derived from radar backscatter from the QuikSCAT (QSCAT) satellite (Trusel et al., 2013). QSCAT observations are available at a horizontal scale of  $27.2 \text{ km}^2$  and were upscaled to the same grid as CESM2 using bilinear regridding.

### 2.5 Atmospheric reanalysis, RCM, and SMB reconstruction products

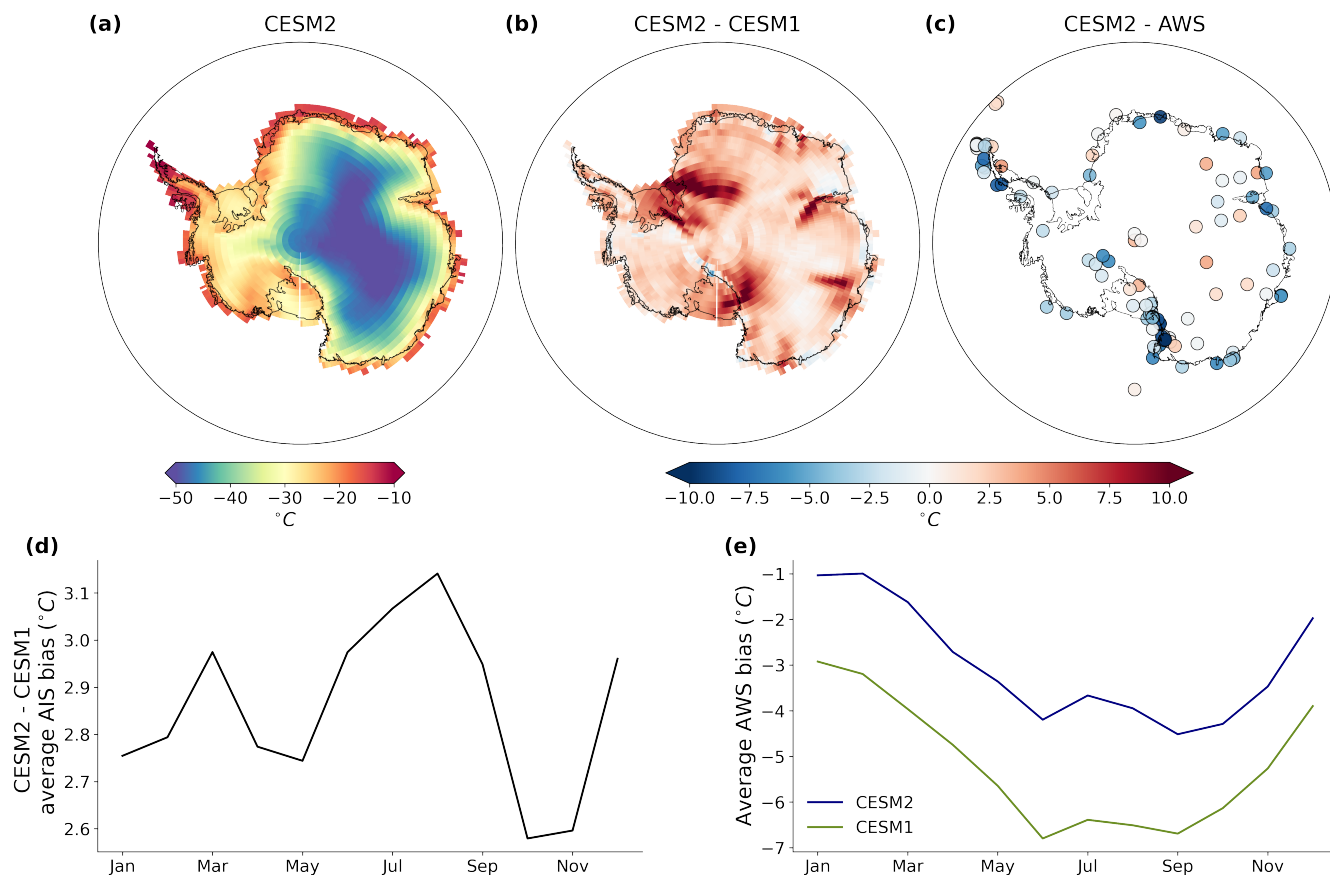
We also compared CESM2 AIS surface climate output to a collection of other modelling products including ERA5, MARv3, and RACMO2.3. ERA5 (Hersbach et al., 2020) is an atmospheric reanalysis product produced by the European Center for Medium-range Weather Forecasts (ECMWF) that assimilates observations at a horizontal resolution of  $\sim 30 \text{ km}$ . We used monthly averaged near-surface temperature, and SMB (approximated by precipitation minus evaporation/sublimation) output from 1979 to 2015, to compare with the CESM2 historical period. Alongside, we compared CESM2 results to RCM output from the latest versions of RACMO2.3 (van Wessem et al., 2017) and MAR (version 3.11, Kittel et al., 2021).

Finally, we compared CESM2 SMB with an AIS SMB reconstruction generated by Medley and Thomas (2019), an SMB product covering 1801-2000 that synthesizes ice core records with reanalysis products. In this study we used the MERRA-2 based SMB reconstruction as it most closely resembles observations (Medley and Thomas, 2019). We will refer to this product as "the reconstruction".

## 3 Results

### 3.1 Near-surface temperature

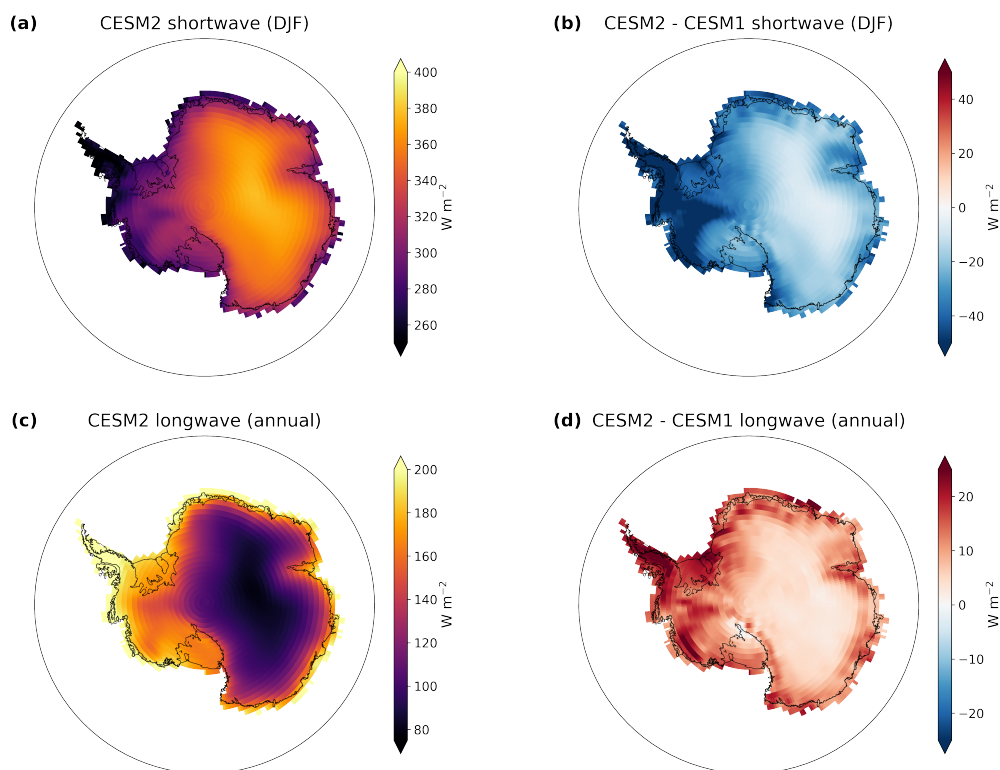
115 Modeled annual AIS near-surface ( $2 \text{ m}$ ) air temperature in CESM2 between 1979 and 2015 ranges from  $-52 \text{ }^\circ\text{C}$  in the high-elevation interior to  $-7 \text{ }^\circ\text{C}$  along the coast (Fig.1a). Average annual near-surface air temperature in CESM2 is  $2.86 \pm 0.66 \text{ }^\circ\text{C}$  warmer than in CESM1 (Fig. 1b), with the largest temperature increase between model versions during the austral winter season (Fig. 1d). However, modeled near-surface air temperature in CESM2 is still generally underestimated relative to observations



**Figure 1.** Comparison of CESM2 (1979-2015) AIS 2 m air temperature with CESM1 (1979-2005) and observations. (a) Average annual 2 m air temperature across the AIS from CESM2. (b) CESM2 - CESM1 modeled average annual 2 m temperature across the AIS. (c) Bias between CESM2 modeled 2 m air temperature and observations at 116 AWS locations. (d) Difference in monthly average 2 m air temperature between CESM2 and CESM1. (e) Difference in monthly average 2 m air temperature between models (CESM2, CESM1) and AWS observations.

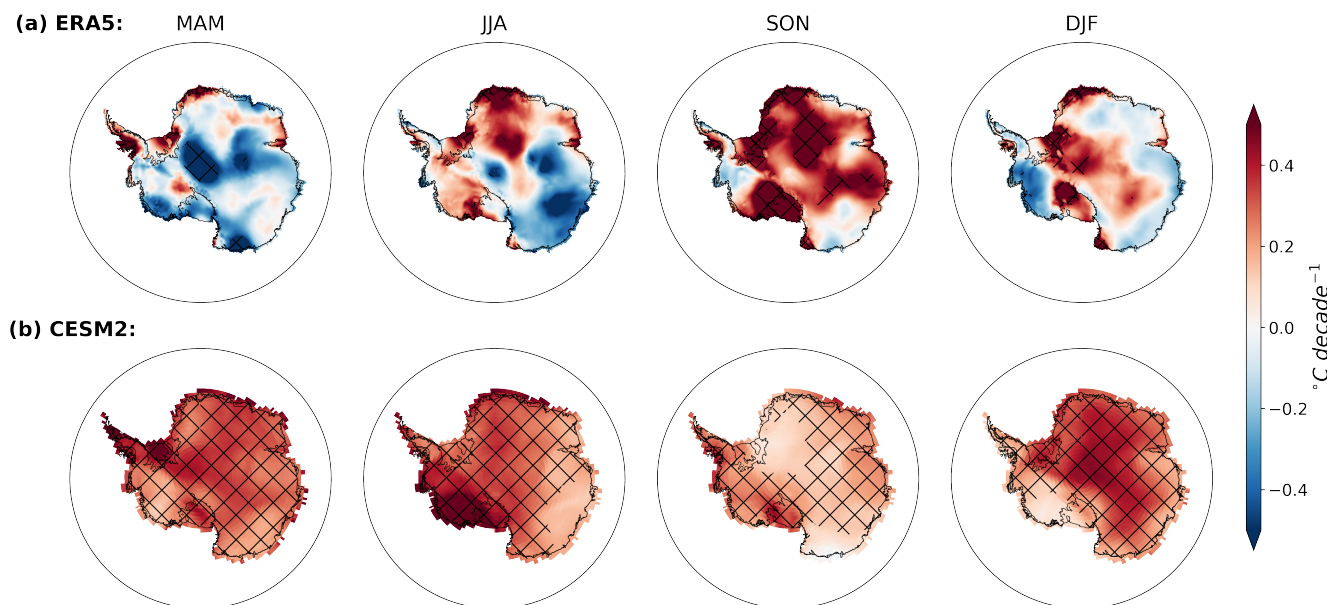
across the AIS (Fig. 1c). The average annual temperature bias between CESM2 and observations at 116 different AWSs is 120  $-2.98\text{ }^{\circ}\text{C}$ , an improvement from  $-5.18\text{ }^{\circ}\text{C}$  in CESM1. Similar to CESM1, near-surface air temperature in CESM2 is positively biased in the high elevation interior and negatively biased along the coast (Lenaerts et al., 2016). The bias between CESM2 and AWS observations at sites with an elevation  $> 2000\text{m}$  is  $+0.82\text{ }^{\circ}\text{C}$ , which is significantly different ( $p < 0.05$ ) from the  $-3.59\text{ }^{\circ}\text{C}$  average bias at sites with an elevation  $< 2000\text{m}$ . There are relatively more AWSs at low elevation sites, which leads to the overall average negative bias between CESM models and AWS observations.

125 Both models show similar seasonality in their bias with respect to AWS observations, with better agreement during the austral summer and the highest bias during the austral winter (Fig. 1e), which is likely due to an underestimation of inversion strength, a common issue for climate models (Vignon et al., 2018).



**Figure 2.** Comparison of incoming radiation components between CESM2 (1979-2015) and CESM1 (1979-2005). (a) CESM2 average austral summer incoming shortwave radiation. (b) CESM2 - CESM1 average austral summer incoming shortwave radiation. (c) CESM2 average annual incoming longwave radiation. (d) CESM2 - CESM1 average annual incoming longwave radiation.

A likely reason for the improvement in modeled near-surface air temperature in CESM2 compared to CESM1 is the enhanced cloud liquid water over high latitudes (Lenaerts et al., 2020), including Antarctica. Liquid-containing clouds enhance shortwave radiation blocking, but are efficient absorbers of longwave radiation, leading to a decrease in incoming shortwave radiation (Fig. 2b) and an increase in incoming longwave radiation (Fig. 2d) across the entire AIS in CESM2, compared with CESM1. In polar regions, typically the longwave affect of clouds dominates because (1) incoming shortwave radiation only plays a role during the summer months whereas incoming longwave radiation impacts the surface energy balance year round, and (2) the high albedo of snow reflects much of the incoming shortwave radiation back to space regardless. This phenomenon is evident in the model as an increase in longwave radiation and a decrease in shortwave radiation, overall leads to an increase in net radiation and a consequent increase in 2 m air temperature across the AIS (Fig. 1b), indicating that the longwave affect of clouds is dominant in CESM2.



**Figure 3.** 1979 - 2015 seasonal temperature trends from (a) ERA5 and (b) CESM2.

### 3.1.1 Historical temperature trends

Historical AIS near-surface temperature trends from CESM2 are in clear disagreement with those from ERA5. In ERA5, near-surface temperatures have warmed significantly ( $p < 0.05$ ) in the austral fall (MAM) over the western Antarctic Peninsula ( $\sim 70^\circ\text{W}$ ) and coastal Dronning Maud Land ( $\sim 20^\circ\text{W} - 45^\circ\text{E}$ , DML), in the austral winter (JJA) over coastal DML, in the austral spring (SON) over much of East Antarctica and the Ross ice shelf ( $\sim 150^\circ\text{W} - 160^\circ\text{E}$ ) and in the austral summer (DJF) over the eastern edge of the Transantarctic mountains and coastal DML (Fig. 3a). Additionally, ERA5 near-surface temperatures have cooled significantly in MAM over small areas of East Antarctica. In contrast, CESM2 suggests significant near-surface warming across nearly the entire AIS in every season (Fig. 3b). While the austral fall (SON) has the smallest increasing temperature trend of  $+0.18^\circ\text{C decade}^{-1}$  in CESM2, this season sees the largest warming trend of  $+0.35^\circ\text{C decade}^{-1}$  in ERA5. In other seasons, ERA5 AIS temperature trends are  $-0.12$ ,  $+0.03$ , and  $+0.09^\circ\text{C decade}^{-1}$  for MAM, JJA, and DJF, respectively, while CESM2 AIS temperature trends for these same seasons are  $+0.31$ ,  $+0.30$ , and  $+0.28^\circ\text{C decade}^{-1}$ , respectively.

### 3.2 Near-surface wind speed

Near-surface ( $10\text{ m}$ ) wind speed on the AIS is greatest in the escarpment areas in East Antarctica, where steep slopes lead to more intense katabatic winds, a signal that is well represented in the CESM2 annually averaged near-surface wind speed (Fig. 4a). Compared with CESM1, the spatially averaged annual AIS near-surface wind speed is  $2.15 \pm 0.07\text{ m s}^{-1}$  higher in CESM2 (Fig. 4b). The largest wind speed increase between model versions occurs during the austral winter and spring



155 (Fig. 4d), when wind speeds are typically the highest across the ice sheet. The overall wind speed increase in CESM2 leads to a better agreement with AWS observations (4c,e). In CESM2, the average annual near-surface wind speed bias between the model and observations at 96 different AWS locations is  $+0.35 \text{ m s}^{-1}$  (+5.0% relative bias), an improvement from an average bias of  $-1.59 \text{ m s}^{-1}$  in CESM1 (-22.6% relative bias). The wind speed bias is consistently small ( $<0.5 \text{ m s}^{-1}$ ) throughout the year (Fig. 4e), indicating that CESM2 accurately portrays wind speed seasonality.

160 An improvement in wind speed from CESM1 to CESM2 also has implications for turbulent heat fluxes. The average annual latent heat flux across the AIS averaged from 1979 - 2015 in CESM2 is  $1.6 \pm 0.1 \text{ W m}^{-2}$ ,  $1.1 \pm 0.1 \text{ W m}^{-2}$  greater than the latent heat flux from CESM1 (Fig. B1a,b). The AIS average annual sensible heat flux in CESM2 is  $-23.3 \pm 0.3 \text{ W m}^{-2}$ ,  $4.0 \pm 0.4 \text{ W m}^{-2}$  less than the sensible heat flux from CESM1 (Fig. B1c,d). The spatial changes in sensible heat flux between model versions has further implications for near-surface air temperature. Where wind speed increases are minimal (e.g. edge  
165 of Filchner ice shelf, inland Amery ice shelf), more sensible heat is directed into the ice sheet, corresponding with relatively larger increases in temperature at these locations between the model versions.

### 3.3 Surface melt

#### 3.3.1 Comparison with QSCAT satellite observations

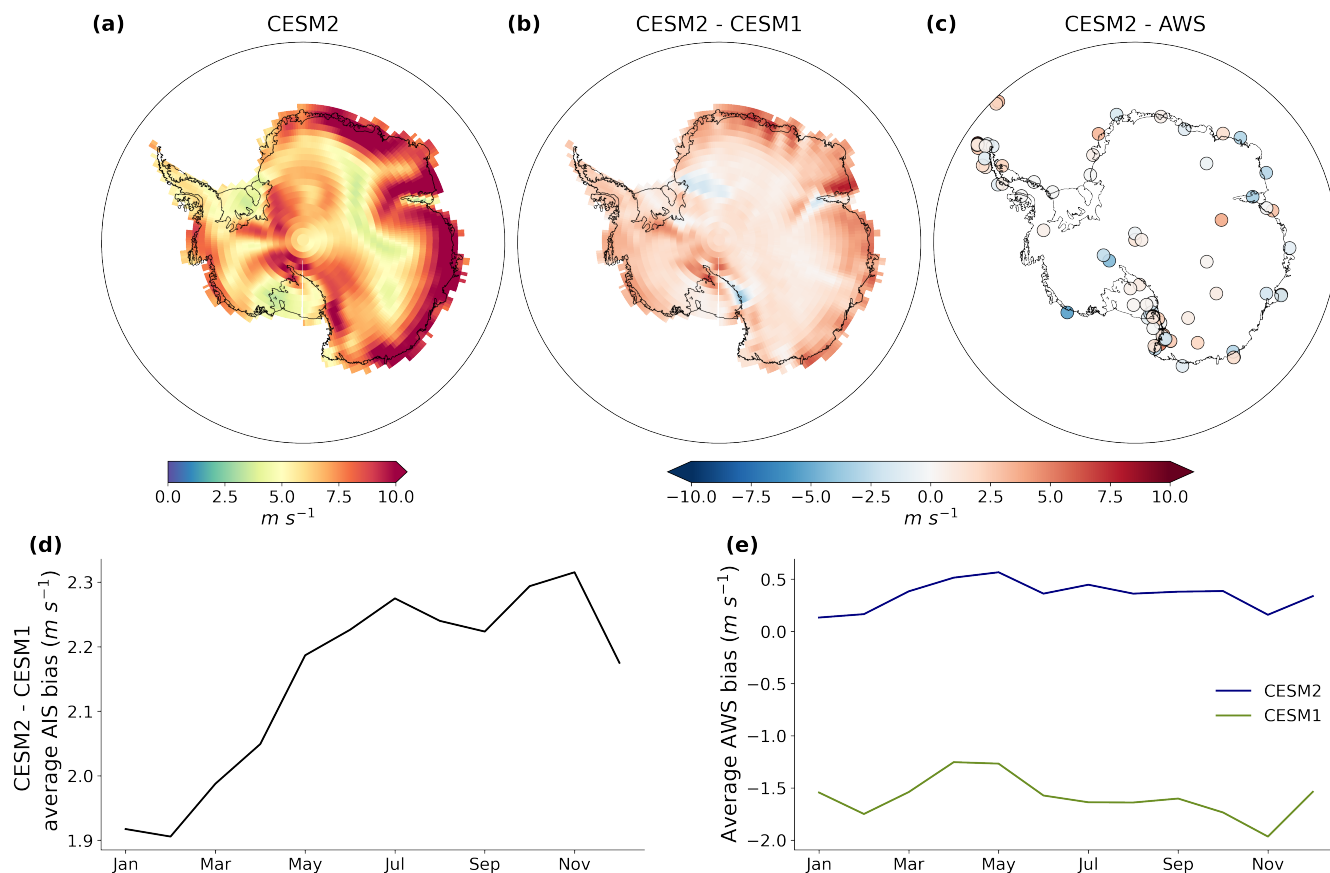
The average annual surface melt in CESM2 between 1979 and 2015 is  $176.7 \pm 37.1 \text{ Gt yr}^{-1}$  (Fig. 5b). While this is a  
170 substantial improvement from the annual CESM1 surface melt ( $299.0 \pm 49.9 \text{ Gt yr}^{-1}$ , Fig. 5a), it is still  $72.3 \text{ Gt yr}^{-1}$  greater than the average annual surface melt derived from the QSCAT satellite ( $104.3 \text{ Gt yr}^{-1}$ , Fig. 5c). Total AIS surface melt from CESM2 is  $69 \pm 35\%$  greater than observations, while AIS surface melt from CESM1 is  $186 \pm 48\%$  greater than observations.

#### 3.3.2 Spatial melt patterns

In addition to showing a reduced bias in AIS annual surface melt magnitude, CESM2 is also much improved from CESM1 in  
175 representing spatial patterns of surface melt (Fig. 5). From QSCAT satellite-derived observations of surface melt, the Antarctic Peninsula (AP), West Antarctica (the West Antarctic Ice Sheet not including the AP, henceforth referred to as WAIS), and the East Antarctic Ice Sheet (EAIS) have  $47.6$ ,  $13.2$ ,  $43.5 \text{ Gt yr}^{-1}$  of surface melt, respectively. CESM1 annual surface melt over the AP and WAIS is  $25.0$  and  $5.2 \text{ Gt yr}^{-1}$  (47% and 60% less than observations, respectively), while annual surface melt from EAIS is  $268.6 \text{ Gt yr}^{-1}$  (517 % larger than observations). Meanwhile, annual CESM2 surface melt from the AP,  
180 WAIS, and EAIS is  $77.0$  (62% larger than observations),  $38.6$  (193% larger than observations),  $61.1 \text{ Gt yr}^{-1}$  (40% larger than observations), respectively. While EAIS surface melt is much more realistic in CESM2 than in CESM1, there has been a substantial increase in WAIS surface melt between the two model versions, which can be attributed to too melt on the Ronne-Filchner and Ross ice shelves.

Additionally, CESM2 shows a much more realistic distribution of surface melt over ice shelves vs. the grounded ice sheet.  
185 Both QSCAT observations and CESM2 indicate that the majority of surface melt occurs on ice shelves, with  $72.2 \text{ Gt yr}^{-1}$  ice shelf melt from QSCAT and  $124.1 \text{ Gt yr}^{-1}$  from CESM2 (72% larger than observations). By contrast, in CESM1 most



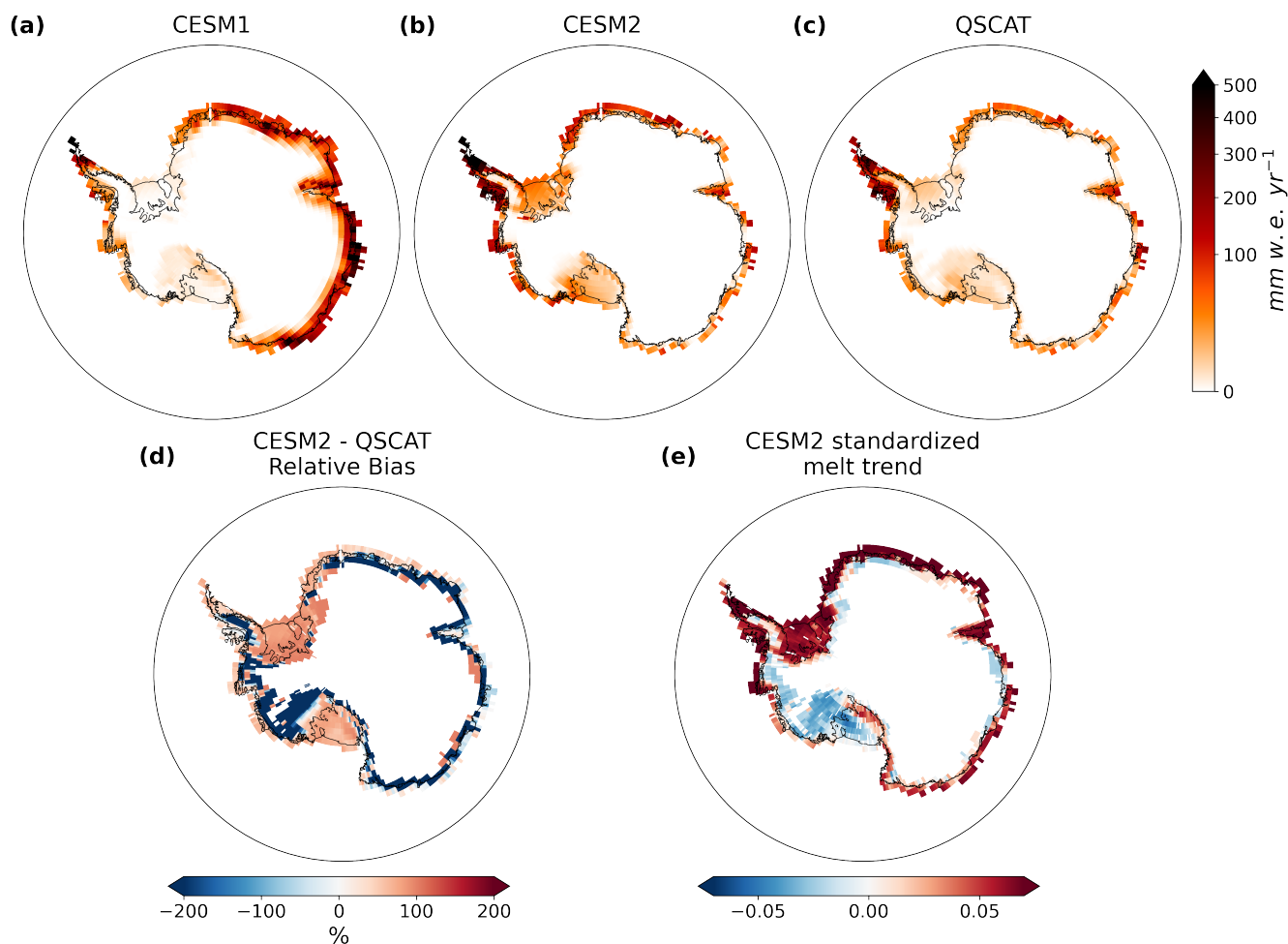


**Figure 4.** Comparison of CEM2 (1979-2015) AIS 10 *m* wind speed with CEM1 (1979-2005) and observations. (a) Average annual 10 *m* wind speed across the AIS from CEM2. (b) CEM2 - CEM1 modeled average annual 10 *m* wind speed across the AIS. (c) Bias between CEM2 modeled 10 *m* wind speed and observations at 98 AWS locations. (d) Difference in monthly average 10 *m* wind speed between CEM2 and CEM1. (e) Difference in monthly average 10 *m* wind speed between models (CEM2, CEM1) and AWS observations.

surface melt occurs on the grounded ice sheet. Ice shelf melt from CEM1 is 65.6 Gt yr<sup>-1</sup> (9% less than observations) while grounded ice sheet melt is 233.2 Gt yr<sup>-1</sup>, 626% larger than QSCAT observations suggest. While CEM2 has a substantially improved ratio of ice shelf to grounded ice sheet melt, CEM2 surface melt typically does not extend as far into the interior ice sheet as observations suggest (Fig. 5d). This lack of modeled interior melt is relatively small compared to the melt that occurs closer to the coast and is likely due to coarse model resolution.

### 3.3.3 Historical melt trends

Historical (1979-2015) surface melt in CEM2 has increased across much of the AIS. However, a trend dipole exists in WAIS, whereby surface melt has increased over the Ronne-Filchner, Pine Island, and Thwaites ice shelves, and decreased inland



**Figure 5.** Melt from CESM1, CESM2 and observations. (a) 1979-2005 average annual surface melt from CESM1. (b) 1979-2015 average annual surface melt from CESM2. (c) 1999 - 2009 average annual surface melt derived from the QSCAT satellite (Trusel et al., 2013). (d) CESM2 - QSCAT relative bias. (e) 1979-2015 standardized historical trend in melt from CESM2.

195 and over the Ross ice shelf (Fig. 5e). A similar pattern in austral summer (DJF) near-surface temperature trends exists (Fig. 3b), with near-surface temperature increasing relatively less over inland WAIS and the Ross ice shelf. The surface melt and near-surface temperature trend dipole is caused by an increasing Southern Annular Mode (SAM) which is due, in part, to intensifying Antarctic ozone depletion (Lenaerts et al., 2018). The increasing DJF SAM is evident in CESM2 by increasing DJF meridional sea level pressure gradient, whereby sea level pressure is decreasing close to the AIS and increasing at lower 200 latitudes near 50°S (Fig. B4a), and in decreasing DJF geopotential height surrounding the AIS (B4b) and increasing DJF westerly winds around 60°S (Fig. B4c).



### 3.4 Surface mass balance

#### 3.4.1 Comparison with other products

In CESM2, the annual average surface mass balance (SMB) between 1979 and 2015 is  $2269 \pm 120 \text{ Gt yr}^{-1}$  (Fig. 6a), significantly ( $p < 0.05$ ) greater than the average annual SMB from CESM1 ( $1790 \pm 99.0 \text{ Gt yr}^{-1}$ ), ERA5 ( $1974 \pm 105 \text{ Gt yr}^{-1}$ ), RACMO2.3 ( $1997 \pm 92 \text{ Gt yr}^{-1}$ ), and insignificantly greater than MAR ( $2209 \pm 98 \text{ Gt yr}^{-1}$ ) and the reconstruction ( $1953 \pm 322 \text{ Gt yr}^{-1}$ ). We also compared CESM2 (from CMIP6) with the 100-member CESM2-LENS and found that both models produce similar estimates of AIS SMB (Fig. 6a).

#### 3.4.2 Spatial SMB patterns

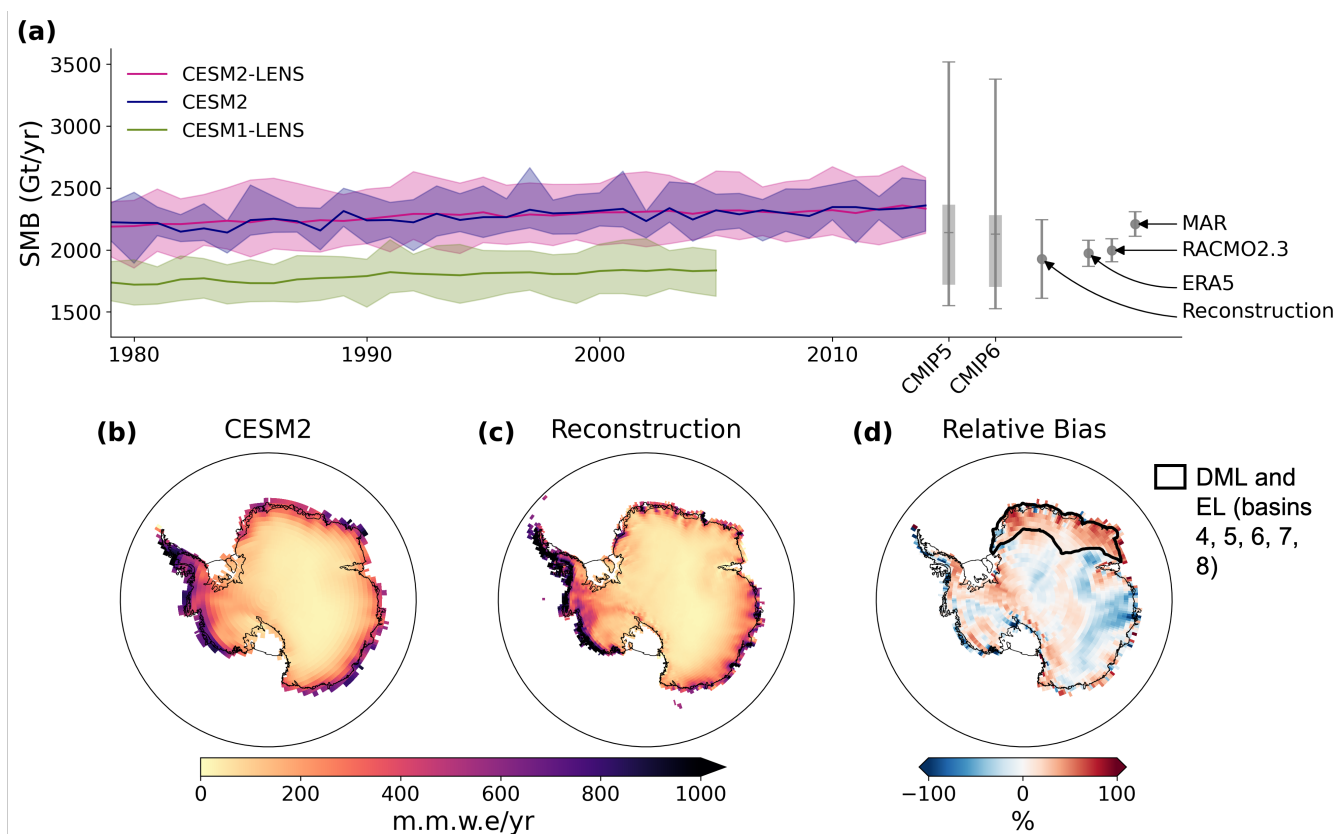
Spatially, SMB increases from the dry, high elevation interior of the AIS to the coastal regions and ice shelves that receive more annual precipitation (Fig. 6b,c). Spatially averaged annual SMB in CESM2 is the largest in the AP at 572 mm water equivalent (w.e.) per year, followed by WAIS ( $303 \text{ mm w.e. yr}^{-1}$ ). EAIS, being drier than both WAIS and the AP, has the lowest modeled average SMB ( $105 \text{ mm w.e. yr}^{-1}$ ). DML and Enderby Land ( $45^\circ\text{E} - 60^\circ\text{E}$ , EL) are the primary regions responsible for the increased SMB in CESM2 compared to the reconstruction (Fig. 6d). Combined, QML and EL drainage basins 4, 5, 6, 7, and 8 (Zwally et al., 2012, Fig. A1) have  $195 \text{ Gt yr}^{-1}$  (+34%) higher SMB in CESM2 than in the reconstruction (Fig. 6d).

#### 3.4.3 Historical SMB trends

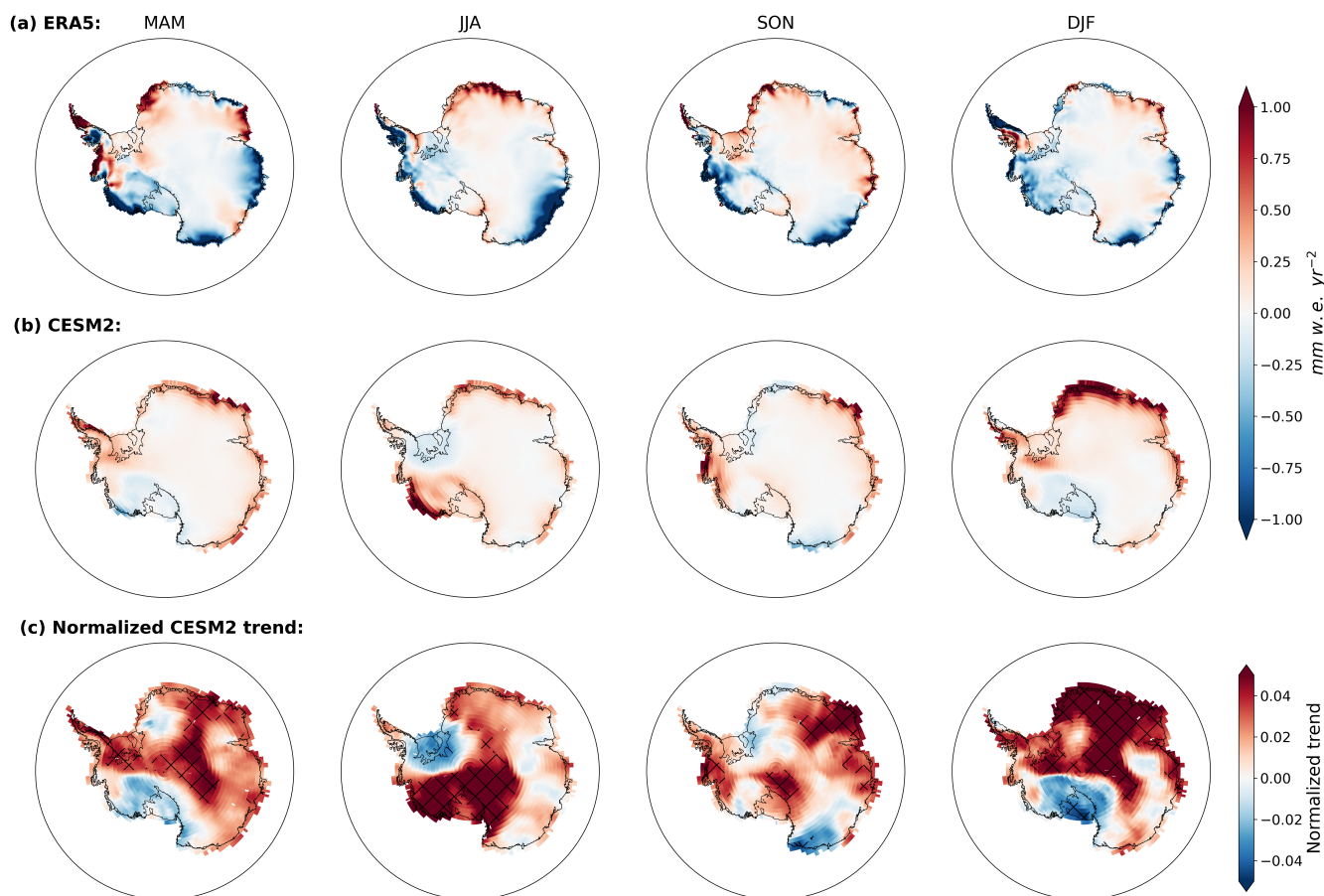
A major difference in SMB between CESM2 and the reconstruction, reanalysis, and regional climate models is that there is a positive SMB trend in CESM2 (as well as in CESM1) that is absent in any other products used in this study. Prior to 1971, CESM2 has a significantly positive ( $p < 0.05$ ) AIS SMB trend of  $0.53 \text{ Gt yr}^{-2}$ . After 1971, the model has a significantly positive SMB trend of  $4.69 \text{ Gt yr}^{-2}$ . We consider 1971 as a 'breakpoint year' because the change in SMB trend between preceding and subsequent 30 year time periods is the greatest in the 1850-2015 year period (Fig. B2).

The positive SMB trend in CESM2 is driven by increasing precipitation, particularly in DJF and in QML, East Antarctica (Fig. 7b,c). Along the coast of QML, DJF precipitation has increased significantly ( $p < 0.05$ ), upwards of  $1 \text{ mm w.e. yr}^{-2}$  since 1979. In WAIS, a precipitation trend dipole (similar to the melt and temperature trend dipole discussed above) appears in CESM2 in MAM, and even more so in DJF, where precipitation has decreased over the Ross ice shelf and surroundings and increased over eastern WAIS, including the Amundsen ( $\sim 105^\circ\text{W}$ ) and Bellinghousen ( $\sim 80^\circ\text{W}$ ) sea regions, and the Ronne-Filchner ice shelf (Fig. 7c). DJF precipitation has decreased insignificantly in WAIS basins 18 and 19 (Zwally et al., 2012) by  $0.96 \text{ Gt yr}^{-2}$  from 1979 to 2015 (Fig. B3). Meanwhile, neighboring basins 1, 22, and 23 have seen a significant ( $p < 0.05$ ) increase in DJF precipitation of  $2.52 \text{ Gt yr}^{-2}$  during this same period (Fig. B3). In comparison with ERA5, the precipitation dipole appears stronger in ERA5 in MAM and is non-existent in ERA5 in DJF (Fig. 7a).

AIS historical precipitation trends are largely driven by the increasing SAM and intensifying Antarctic ozone depletion. Strong increasing DJF precipitation trends (as a result of ozone depletion) are found over the inland eastern WAIS, western



**Figure 6.** Modeled AIS SMB. (a) 1979–2015 time series of annual AIS SMB from CESM2-LENS, CESM2, and CESM1-LENS with ensemble mean plotted with the solid line and ensemble spread shaded. The average annual SMB spread for all CMIP5 and CMIP6 models is shown on the right with grey box and whiskers plots. Also shown is the average annual SMB from the reconstruction with error bars representing reconstruction error and the average annual SMB from MAR, RACMO2.3, and ERA5 with error bars representing 1 standard deviation. (b) 1979–2015 annual AIS SMB from CESM2. (c) 1979–2000 annual AIS SMB from the reconstruction. (d) Relative bias between CESM2 and reconstruction SMB.



**Figure 7.** 1979-2015 trend in seasonal precipitation from (a) ERA5, and (b) CESM2. (c) CESM2 seasonal precipitation trend normalized at each grid cell to the average amount of seasonal precipitation that grid cell receives.

coastal DML ( $\sim 30^\circ\text{W} - 0^\circ\text{W}$ ), and the Amery drainage basin ( $\sim 60^\circ\text{E}$  to  $70^\circ\text{E}$ ), while significant ozone-depletion-forced decreasing DJF precipitation trends exist in western WAIS and over the Transantarctic mountains (Lenaerts et al., 2018).  
235 Further, decreasing geopotential height within CESM2 (Fig. B4b) has likely led to increasing precipitation across much of the AIS.

Differences in historical precipitation trend between ERA5 and CESM2 exist across much of the AIS, but particularly in Wilkes Land and Princess Elizabeth Land ( $\sim 75^\circ\text{E} - 136^\circ\text{E}$ ), with precipitation largely decreasing in ERA5 but increasing in CESM, and over the eastern AP ( $\sim 63^\circ\text{W}$ ) in DJF, with precipitation decreasing strongly in ERA5 but remaining roughly  
240 constant in CESM2. The difference in precipitation trend over the AP may be due to unresolved topography in the larger CESM2 grid cells.

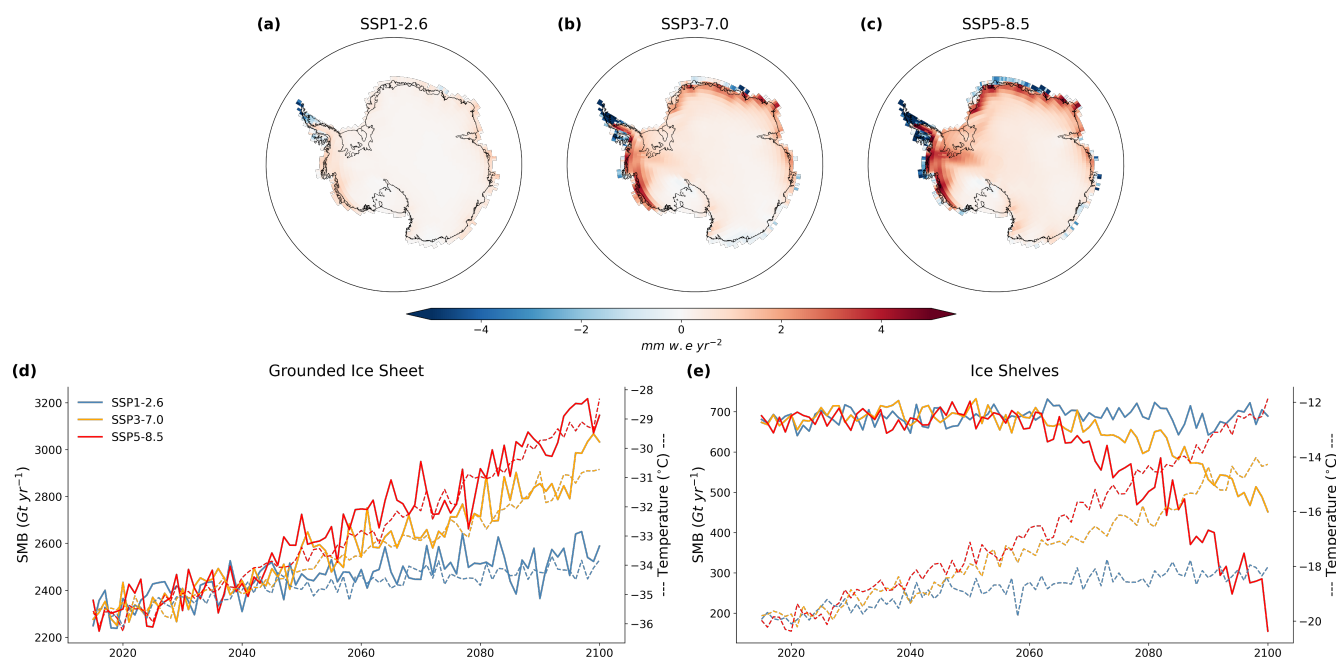


### 3.5 Future model trends

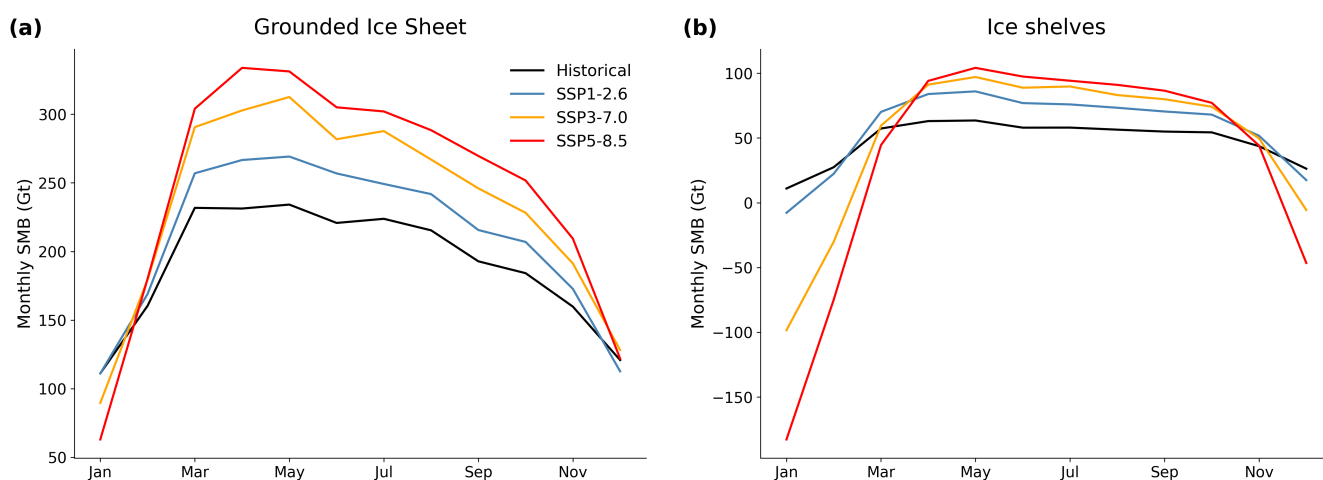
Keeping historical CESM2 model biases in AIS surface climate means and trends in mind, here we investigate future simulations of AIS SMB under three different climate change scenarios. According to CESM2, increasing atmospheric temperatures throughout the 21st century are expected to lead to increased precipitation across the AIS, and thus to corresponding future increases in AIS SMB. Forced with the high-emission scenario (SSP5), near-surface air temperature increases by  $6.5\text{ }^{\circ}\text{C}$  from the first ten years of the future scenario (2015-2025) to the final ten years of the scenario (1990-2100), while annual SMB increases by  $599\text{ Gt yr}^{-1}$ . In the middle- and low-emission scenarios (SSP3 and SSP1, respectively), the near surface air temperature increases by  $4.5\text{ }^{\circ}\text{C}$  and  $1.5\text{ }^{\circ}\text{C}$  and the annual SMB increases by  $557$  and  $173\text{ Gt yr}^{-1}$ . The rate of change of SMB with temperature ( $\frac{dSMB}{dT}$ ) is  $+92\text{ Gt yr}^{-2}\text{ }^{\circ}\text{C}^{-1}$  from SSP5,  $+125\text{ Gt yr}^{-2}\text{ }^{\circ}\text{C}^{-1}$  from SSP3, and  $+173\text{ Gt yr}^{-2}\text{ }^{\circ}\text{C}^{-1}$  from SSP1.

A diverging future SMB trend on ice shelves and the grounded ice sheet, of which CESM2 agrees with previous studies (Kittel et al., 2021), is responsible for the varying  $\frac{dSMB}{dT}$  between different emission scenarios. On the grounded ice sheet, SMB increases approximately linearly with increasing temperatures (Fig. 8a-d, B6) at rates of  $+121$ ,  $+140$ , and  $+158\text{ Gt yr}^{-2}\text{ }^{\circ}\text{C}^{-1}$  for the SSP5, SSP3, and SSP1 scenarios respectively. In contrast, on ice shelves, SMB begins to decrease with increasing temperatures around the year 2060 in the SSP3 and SSP5 scenarios (Fig. 8e, B6). As temperature increases, melt and rainfall increase non-linearly, depleting the pore space in the ice-shelf firn, and increasing runoff, which begins to dominate the SMB signal. Forced with SSP5, CESM2 indicates that approximately 40% of AIS liquid production (melt and rainfall) leaves the ice sheet as meltwater runoff by 2100, compared with only 10% at the beginning of the simulation (Fig. B5). On ice shelves specifically, more than 50% of the total meltwater produced at the surface runs off, indicating that runoff has surpassed refreezing by the end of the century. Increasing runoff on ice shelves can explain a more-than-linear decrease in ice shelf SMB (Fig. 8e, B6). Interestingly, this divergence in SMB trend on ice shelves is not projected to occur in the low-emission scenario, in which increasing snowfall is sufficient to mitigate enhanced melt and prevent firn pore space depletion, thus limiting runoff in this scenario.

The SMB seasonal cycle also changes in future scenarios, becoming more amplified with increased warming (Fig. 9). For both ice shelves and the grounded ice sheet, increased JJA temperatures increase solid precipitation and therefore SMB, as melt and liquid precipitation remain confined to the austral summer season. Average JJA SMB increases by  $\sim 79\text{ Gt yr}^{-1}$  from the last 10 years of the historical simulation (2005-2015) to the last ten years of the future SSP5 simulation (2090-2100) over the grounded ice sheet, and increases by  $\sim 35\text{ Gt yr}^{-1}$  over ice shelves in the same scenario. In contrast, during DJF, atmospheric warming leads to decreased SMB as melt, and therefore runoff, increases. On ice shelves, we see increasingly negative DJF SMB in the three future scenarios. For example, from the last 10 years of the historical simulation to the last 10 years of the future SSP5 simulation, DJF ice shelf SMB decreases from  $\sim 22\text{ Gt yr}^{-1}$  to  $\sim -101\text{ Gt yr}^{-1}$ , further amplifying SMB seasonality.



**Figure 8.** Future (2015-2100) SMB in CESM2. (a-c) SMB trend from low (SSP1-2.6), middle (SSP3-7.0) and high (SSP5-8.5) socioeconomic pathways. (d) Timeseries of annual grounded SMB (left axis) and temperature (right axis) from different CESM2 SSPs. (e) Timeseries of annual SMB and temperature over ice shelves.



**Figure 9.** Seasonality of SMB in the last decade of historical (2005-2015) and future (2090-2100) SSP model output over the grounded ice sheet (a) and ice shelves (b).



#### 4 Discussion and conclusions

275 Overall, model updates between CESM1 and CESM2, particularly in cloud physics, snow model, and orographic drag representation, result in a lower CESM2 bias, compared to CESM1, with regards to near-surface temperature, wind, surface melt, and incoming radiation. One major improvement in CESM2 is that it shows a reduction in overall AIS surface melt volume and a more realistic spatial distribution of melt compared with CESM1 (Fig. 5). We attribute this to improvements in the snow component of the land model (van Kampenhout et al., 2017). Although melt in CESM2 is much improved, total annual melt  
280 volume across the AIS is still substantially higher than observations, indicating that further improvements with the snow model or the atmospheric forcing of surface melt are necessary.

Another CESM2 improvement is that near-surface temperatures are closer to observations (Fig. 1). This improvement is a result of CESM2 enhanced cloud liquid water due to upgraded cloud microphysical parameterizations in polar regions (Lenaerts et al., 2020). These model upgrades have also led to a relatively small decrease in incident shortwave radiation (Fig. 2b) and a  
285 larger increase in incident longwave radiation (Fig. 2d) across the AIS, resulting in net increased cloud radiative forcing and net surface warming, which results in these more realistic near-surface temperatures.

However, changes in cloud microphysical parameterizations have simultaneously increased annual precipitation in CESM2, resulting in annual precipitation that is too high and unrealistic when compared with observations. Average annual precipitation in CESM2 between 1979 and 2015 is  $29 \pm 7.3\%$  higher than in CESM1,  $15 \pm 6.8\%$  higher than in ERA5, and  $13 \pm 6.3\%$  higher  
290 than in RACMO2.3 (compared with CESM1 which is  $11 \pm 6.2\%$  lower than ERA5, and  $13 \pm 5.6\%$  lower than RACMO2.3). Excessive precipitation results in an unrealistically high SMB and highlights an area of improvement for future model versions.

A second unrealistic behavior of CESM2 is the historical trend in precipitation, and therefore SMB, that cannot be reconciled with observations. From 1971 to 2015, CESM2 SMB increased at a rate of  $4.69 \text{ Gt yr}^{-1}$ , a trend that is absent from other reanalysis, reconstruction, and regional climate modeling products used in this study. The unrealistic precipitation increase  
295 is likely due to the high climate sensitivity of CESM2 (Gottelman et al., 2019) and/or an unobserved shift in atmospheric westerlies driving unrealistic sea ice loss in CESM2 (DuVivier et al., 2020).

In this paper we have analyzed the surface climate in different regions of Antarctica, including the Antarctic Peninsula (AP). However, since the AP consists of complex topography that is challenging to resolve with the CESM2 horizontal resolution, caution is warranted regarding the simulation of the AP climate in CESM2. To advance our understanding of the AP surface  
300 climate, improved model resolution is necessary (van Lipzig et al., 2004; Van Wessem et al., 2016; Turton et al., 2017; Datta et al., 2018).

One of the primary benefits of ESMs is their utilization to understand future climate under different emission scenarios. Here, we find an important divergence in simulated SMB trend between ice shelves and the grounded ice sheet in future scenarios. While SMB over the grounded ice sheet continues to increase linearly with temperature in all future scenarios, ice-shelf SMB  
305 begins to decrease rapidly beginning in approximately 2060 due to a non-linear increase in melt and runoff. Although we acknowledge the positive melt bias in CESM2 during the historical period which likely impacts the representation of melt and runoff in future scenarios, this is a phenomenon that has similarly been modeled with MAR (Gilbert and Kittel, 2021;



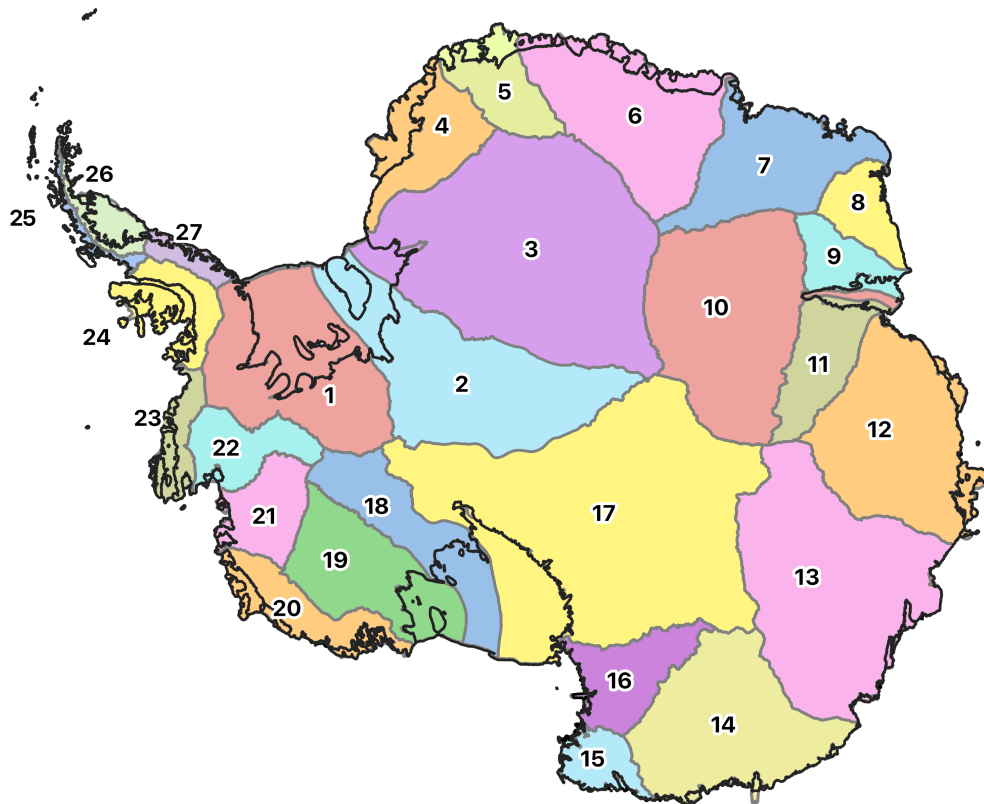


310 Kittel et al., 2021). This rapid SMB decline on ice shelves is important because ice shelves buffer the inland flow of ice from the grounded ice sheet, mitigating its contribution to sea level rise, and with decreasing SMB, are vulnerable to collapse in a warming climate. While CESM2's firn model has improved substantially (van Kampenhout et al., 2017), it still only allows for a ~20-30 meters deep firn column, which likely results in an underestimation of meltwater storage capacity in the firn across much of the AIS. In a future warming climate with non-linearly increasing meltwater production on Antarctic ice shelves, CESM2 may exaggerate runoff as a result of this shallow firn column, highlighting the need for a continued development of the snow model to better understand future SMB changes.

315 Even in the latest iteration of estimating future AIS contribution to sea level rise, Antarctic ice sheet models are simulated as a stand-alone, meaning they require climate forcing (Seroussi et al., 2020). CMIP6 ESMs such as CESM2 will be used as this forcing for ice sheet models. Further, CESM2 does not have an interactive AIS; however, this is a high priority for the CESM community as it prepares for the next version, CESM3. With this goal in mind, the model will need realistic climate forcing. Here we show that CESM2 sees an improvement in near-surface temperature and wind speed, melt, and incoming radiation  
320 components compared with CESM1 due to an improved snow model and upgraded cloud microphysical parameterizations. However, CESM2 has a corresponding downgrade in annual precipitation amount, with exaggerated precipitation compared with other other reanalysis, reconstruction, and regional climate modeling products. Similarly, a significantly positive precipitation trend between 1971 and 2015 does not match observations and highlights the high climate sensitivity of CESM2. These two factors (exaggerated precipitation and strong historical precipitation trend) should be future areas of focus when preparing  
325 for CESM3.



## Appendix A: Drainage Basins Map

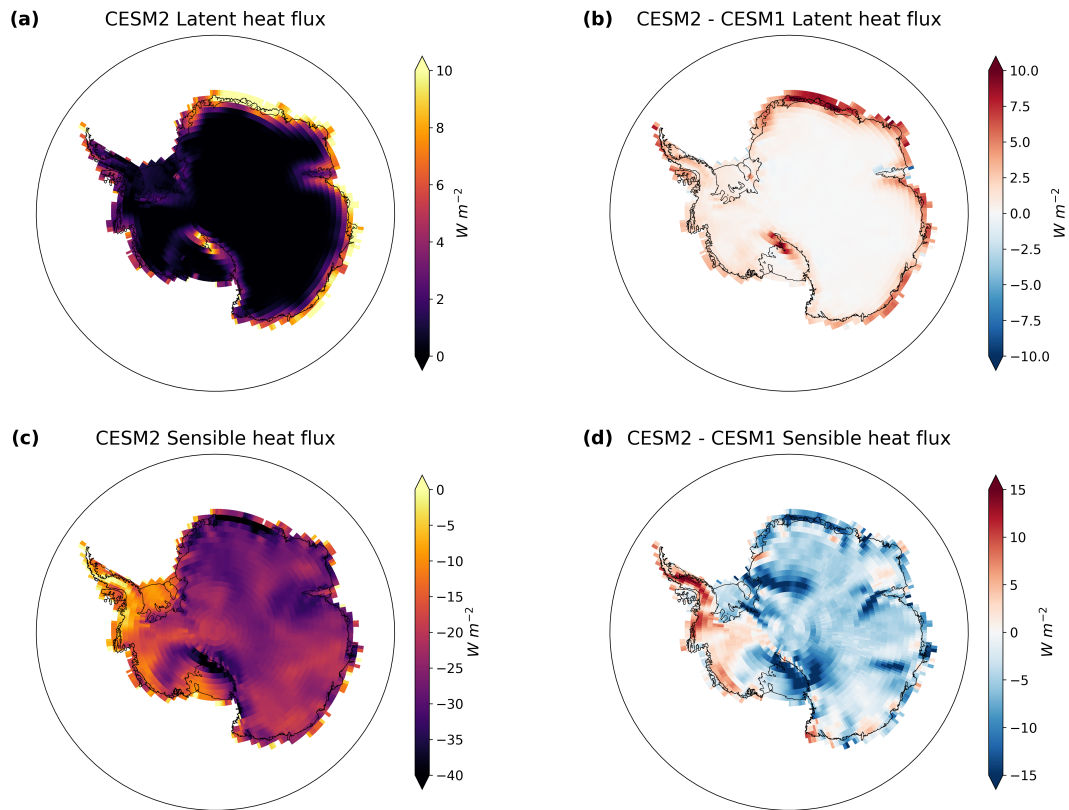


**Figure A1.** Labelled AIS drainage basins (Zwally et al., 2012).

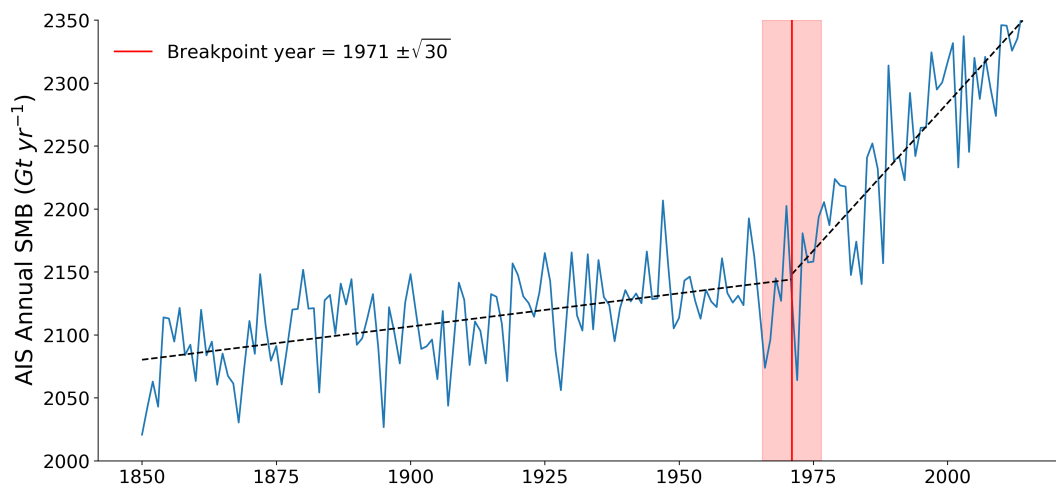


## Appendix B: Results

### B1 Near-surface measurements

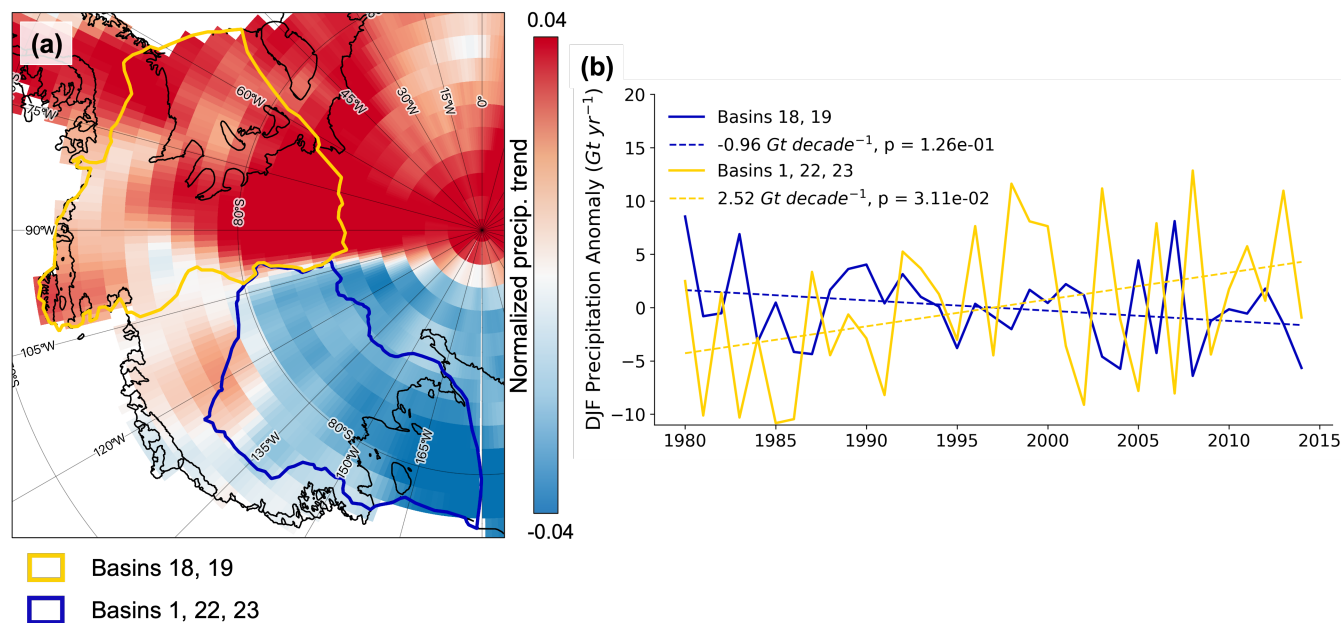


**Figure B1.** CESM2 turbulent fluxes (1979-2015). (a) Average annual latent heat flux from CESM2. (b) Latent heat flux change in CESM2 from CESM1. (c) Average annual sensible heat flux from CESM2. (d) Sensible heat flux change in CESM2 from CESM1.



**Figure B2.** SMB breakpoint year, indicating the year with the greatest SMB change between preceding and subsequent 30 year periods. Uncertainty, shaded in red is defined as  $\sqrt{n}$ , which in this case is  $\sqrt{30}$ .

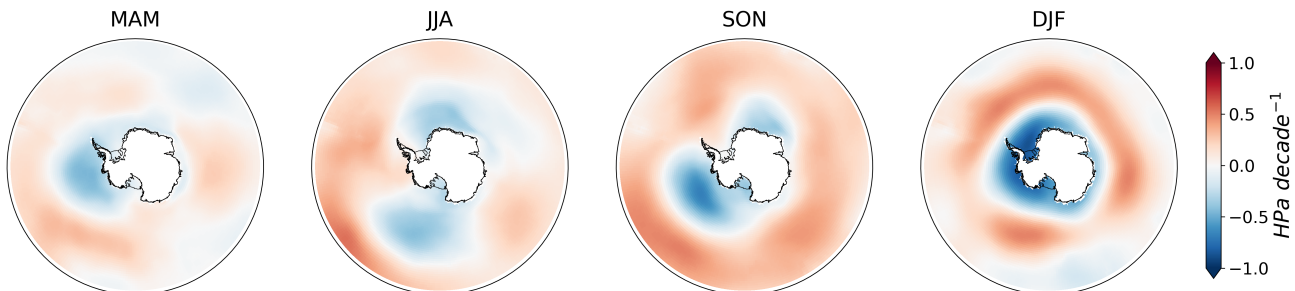
## B2 Historical model trends



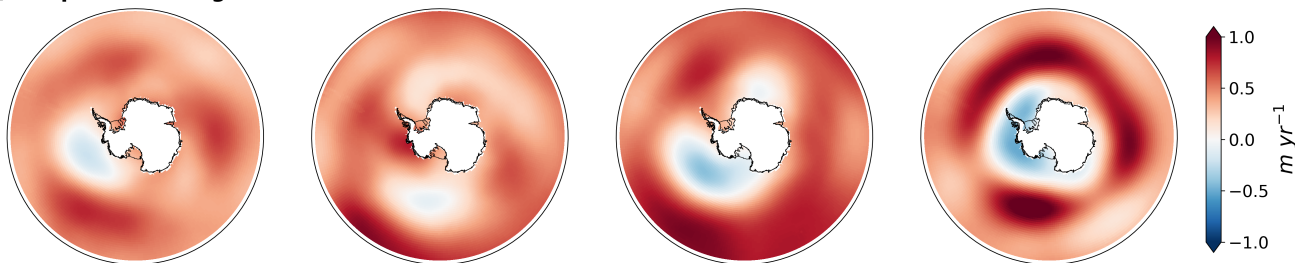
**Figure B3.** (a) Normalized 1979-2015 DJF trend in total precipitation from CESM2 with basins 18 and 19 outlined in blue and basins 1, 22, and 23 outlined in yellow. (b) Timeseries of yearly DJF precipitation anomaly with trend lines for areas outlined in (a).



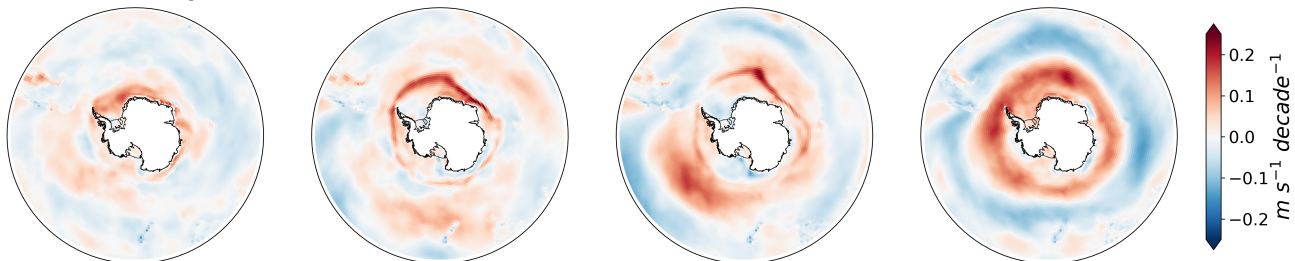
**(a) Sea level pressure**



**(b) Geopotential height**



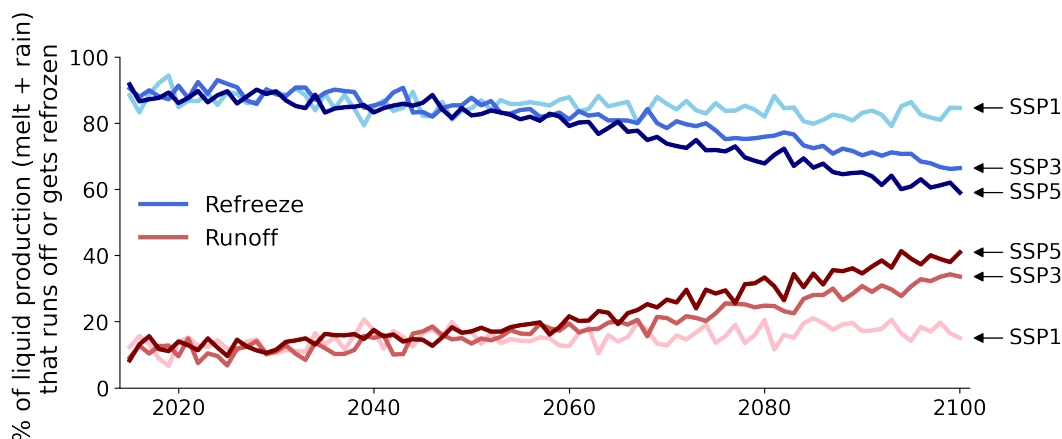
**(c) Surface wind speed**



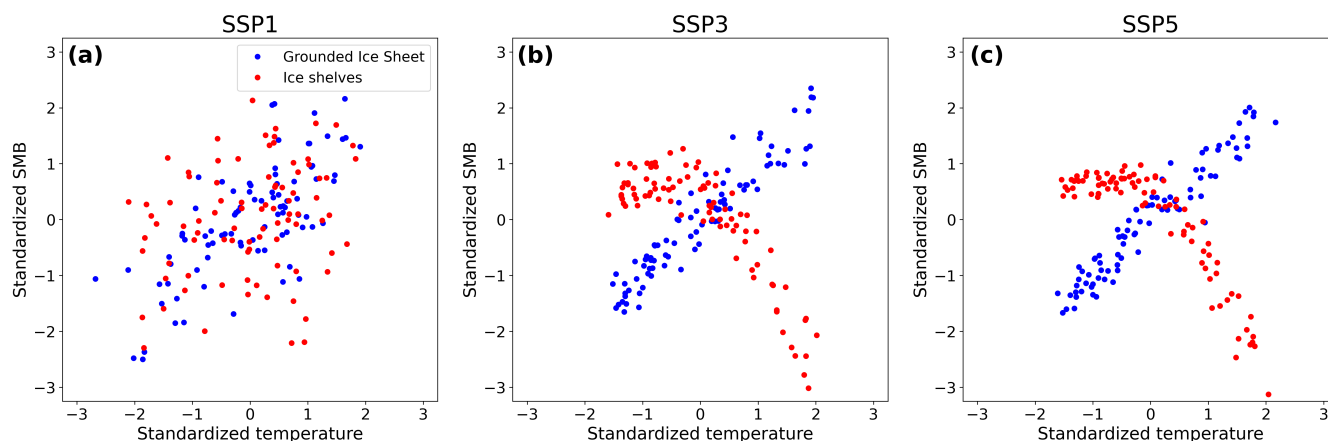
**Figure B4.** 1979-2015 CESM2 seasonal trends in (a) sea level pressure around the AIS, (b) 500 hPa geopotential height, and (c) surface wind speed over the Southern Ocean.



330 **B3 Future model trends**



**Figure B5.** The percent of total AIS liquid production (melt + rainfall) that runs off (red) or gets refrozen (blue) in each future emission scenario.



**Figure B6.** Annual average standardized temperature vs. annual average standardize SMB over ice shelves and the grounded ice sheet for every year from 2015-2100 from (a) SSP1, (b) SSP3, and (c) SSP5

*Data availability.* The QuikSCAT surface melt (Trusel et al., 2013) and RACMO2.3 SMB van Wessem et al. (2017) products used in this study are a part of Quantarctica which can be downloaded at <https://www.npolar.no/quantarctica/#toggle-id-15>. The MAR SMB product is  $year - MAR_{ERA5} - 1979 - 2019_z.en.nc2$  and can be found at <https://doi.org/10.5281/zenodo.4459259> Kittel et al. (2021). The MERRA2 reconstruction Medley and Thomas (2019) product can be found at <https://earth.gsfc.nasa.gov/index.php/cryo/data/antarctic-accumulation-reconstructions>. AWS observation data Gossart et al. (2019) can be found at <https://doi.org/10.5281/zenodo.6309896>. ERA5 reanalysis output can be down-



loaded at <https://cds.climate.copernicus.eu/#!/search?text=ERA5&type=dataset>. Information about data from the CESM Large Ensemble project (Kay et al., 2015; Rodgers et al., 2021) can be found at <https://www.cesm.ucar.edu/projects/community-projects/LENS/data-sets.html> and CESM2 CMIP6 data can be found at <https://esgf-node.llnl.gov/projects/cmip6/>

*Code availability.* Code used to analyze all model output and make all figures in this manuscript can be found at [https://github.com/drdunmire1417/CESM2\\_analysis](https://github.com/drdunmire1417/CESM2_analysis).  
340

*Author contributions.* JL conceived of the study. Data collection was done by DD, JL, and TG and analysis was done primarily by DD with help from JL and RTD. All authors contributed to the writing and editing of the manuscript.

*Competing interests.* The authors declare that they have no conflict of interest.

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