

1 **Modulation of the seasonal cycle of the Antarctic sea ice extent by sea ice**
2 **processes and feedbacks with the ocean and the atmosphere**

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24 **Abstract**

25 The seasonal cycle of the Antarctic sea ice extent is strongly asymmetric, with a relatively slow increase
26 after the summer minimum followed by a more rapid decrease after the winter maximum. This cycle
27 is intimately linked to the seasonal cycle of the insolation received at the top of the atmosphere but
28 sea ice processes as well as the exchanges with the atmosphere and ocean may also play a role. To
29 quantify these contributions, a series of idealized sensitivity experiments have been performed with
30 an eddy-permitting (1/4°) NEMO-LIM3 Southern Ocean configuration, including a representation of ice
31 shelf cavities, in which the model was either driven by an atmospheric reanalysis or coupled to the
32 COSMO-CLM² regional atmospheric model. In those experiments, sea ice thermodynamics and
33 dynamics as well as the exchanges with the ocean and atmosphere are strongly perturbed. This
34 perturbation is achieved by modifying snow and ice thermal conductivities, the vertical mixing in the
35 ocean top layers, the effect of freshwater uptake/release upon sea ice growth/melt, ice dynamics and
36 surface albedo. We find that the evolution of sea ice extent during the ice advance season is largely
37 independent of the direct effect of the perturbation and appears thus mainly controlled by initial state
38 in summer and subsequent insolation changes. In contrast, the melting rate varies strongly between
39 the experiments during the retreat, in particular if the surface albedo or sea ice transport are modified,
40 demonstrating a strong contribution of those elements to the evolution of ice coverage through spring
41 and summer. As with the advance phase, the retreat is also influenced by conditions at the beginning
42 of the melt season in September. Atmospheric feedbacks enhance the model winter ice extent
43 response to any of the perturbed processes, and the enhancement is strongest when the albedo is
44 modified. The response of sea ice volume and extent to changes in entrainment of subsurface warm
45 waters to the ocean surface is also greatly amplified by the coupling with the atmosphere.

46 **Short Summary** (500 characters)

47 Using idealized sensitivity experiments with a regional atmosphere-ocean-sea ice model, we show that
48 the sea ice advance is constrained by initial conditions in March while the retreat season is influenced
49 by the magnitude of several physical processes, in particular by the ice-albedo feedback and ice
50 transport. Atmospheric feedbacks amplify the response of the winter ice extent to perturbations while
51 some negative feedbacks related to heat conduction fluxes act on the ice volume.

52

53 **1. Introduction**

54 The sea ice extent in the Southern Ocean, defined as the ocean surface covered by at least 15% of
55 sea ice, displays a very pronounced seasonal cycle with a minimum in February of about 3 million km²
56 and a maximum in September of more than 18 million km² on average over the last decades (Parkinson,
57 2014, 2019; Handcock and Raphael, 2020) (Fig. 1). In contrast to the Arctic, where multiyear ice
58 accounted for a significant fraction of the total ice extent –at least until the end of the 20th century–
59 the Antarctic sea ice cover is mainly seasonal, with sea ice only present in summer in some regions
60 close to the coast, in particular in the Weddell and Ross Seas.

61 The seasonal cycle of Antarctic sea ice extent is highly asymmetric, with a minimum around Julian
62 day 50 (February 19) and a maximum on average close to day 260 (September 18) (Stammerjohn et
63 al., 2008; Massom et al., 2013; Handcock and Raphael, 2020; Raphael et al., 2020; Roach et al., 2022).
64 The advance season, defined as the time between the minimum and maximum ice extents, is thus
65 about two months longer than the retreat season, defined as the time from maximum to minimum.

66 It has been suggested that this asymmetry is related to the variations of the mean position of the
67 westerly winds that blow over the Southern Ocean associated with the Semi Annual Oscillation (SAO)
68 (Enomoto and Ohmura, 1990; Watkins and Simmonds, 1999; Eayrs et al., 2019). This mode of variability
69 of the Antarctic climate induces a larger divergence of the sea ice pack in spring and thus a rapid
70 melting, while the divergence is weaker in autumn, leading to a slower expansion of the pack. A
71 complementary mechanism explaining the rapid seasonal retreat of the sea ice is the positive ice-
72 albedo feedback, in which a decrease in ice concentration yields a larger absorption of solar radiation
73 and enhances the ice melting (Gordon, 1981; Nihashi and Cavalieri, 2006). A possible role of the
74 oceanic heat input has also been proposed (Gordon, 1981). However, the vertical ocean heat transport
75 from the relatively warm ocean below the mixed layer to the surface is higher in autumn and winter
76 when the stratification is weak than in spring and summer when it is strong (Gordon, 1981; Martinson,
77 1990). The seasonality of the vertical oceanic transport alone could thus not explain the asymmetry in
78 the seasonal cycle of the sea ice extent (Eayrs et al., 2019), but it could have an indirect effect, for
79 instance through its effect on the ice thickness (Martinson, 1990; Goosse et al., 2018; Wilson et al.,
80 2019).

81 Nevertheless, a recent study based on idealized climate models has demonstrated that the
82 asymmetry of the seasonal cycle of the ice extent is due to the seasonal cycle of incoming solar
83 radiation (Roach et al., 2022). The period with relatively high incoming solar radiation in spring and
84 summer induces a rapid melting season and a fast retreat of the sea ice, while a long period with low
85 insolation in autumn and winter favors a longer growing season. This relatively direct mechanism is
86 very robust and explains why the asymmetry is observed each year and is reproduced by a wide range
87 of models, from very simple ones to the most complex Earth System Models (Eayrs et al., 2019; Roach
88 et al., 2022).

89 Identifying the seasonal cycle of insolation as the main contributor to the asymmetry of the
90 seasonal cycle of the Antarctic sea ice extent is a major achievement. However, the atmosphere, sea
91 ice and ocean dynamics still play a role and may modulate the magnitude of the asymmetry.
92 Furthermore, the seasonal cycle of the sea ice extent is characterized by many other elements in
93 addition to this asymmetry, such as its amplitude or the timing of the maximum retreat. Factors
94 controlling those characteristics also need to be analyzed to quantify how the seasonal cycle of the
95 Antarctic sea ice influences the dynamics of the climate at high southern latitudes. Models still have
96 large biases on those aspects and a better understanding is necessary for model improvement (Downes
97 et al., 2015; Eayrs et al., 2019; Roach et al., 2020; Raphael et al., 2020; Schroeter and Sandery, 2022).

98 Several studies have addressed the role of sea ice processes and atmosphere and ocean feedbacks
99 on Antarctic sea ice extent, focusing both on the mean seasonal cycle and the interannual variability
100 (e.g., Fichefet and Morales Maqueda, 1997; Holland and Kimura, 2016; Hobbs et al., 2016; Kushara et
101 al., 2019). An instructive diagnostic is to decompose the contribution of the dynamics, including the
102 transport of sea ice, from the one of thermodynamics that influences the local formation or melting of
103 sea ice. This decomposition is not always straightforward, as for example winds control both the sea
104 ice transport and the advection of warm or cold air masses that impacts thermodynamic processes.
105 The results may also depend on the definition of the dynamics and thermodynamics contributions.
106 Nevertheless, a common conclusion is that the thermodynamic processes play a strong role nearly all
107 year long, with a clearly dominant contribution during the advance period, while the impact of the
108 winds becomes more important later in the season, in particular during the retreat (Fichefet and
109 Morales Maqueda, 1997; Holland and Kimura, 2016; Kushara et al., 2019; Eayrs et al., 2020).

110 Despite those advances, many uncertainties remain around the processes controlling the seasonal
111 cycle of the Antarctic sea ice, in particular because the majority of existing studies address only some
112 of the processes, forbidding a comparison between different factors, or are devoted to the variability
113 and trends, not to the seasonal cycle itself. As a consequence, our goal here is to propose an analysis
114 of the different processes in a single framework, using sensitivity experiments designed to the study
115 of the seasonal cycle. Specifically, we perform sensitivity experiments with a sea-ice-ocean model
116 driven by an atmospheric reanalysis and the same model coupled to a regional atmospheric model,
117 disabling or strongly perturbing key processes related to sea ice dynamics and thermodynamics as well
118 as the exchanges between the atmosphere and ocean.

119 The goal of those sensitivity experiments is not to impose realistic changes or to improve
120 agreement with observations but rather to determine the role of the associated processes. In contrast
121 to many existing sensitivity studies performed with sea ice-ocean models, the experiments with the
122 coupled model will address the limitations associated with a prescribed atmospheric state, which tends
123 to damp the changes imposed by the perturbation as the location of the sea ice edge is strongly
124 controlled by the atmospheric forcing, in particular in winter (e.g., Urrego-Blanco et al, 2016).
125 Furthermore, the comparison between the experiments with and without coupling with the
126 atmosphere will, for the first time, quantify the regional atmospheric feedbacks in response to the
127 imposed perturbation. The sensitivity experiments last only two years and are not analyzed at
128 equilibrium for two reasons. First, the drift of the model state after several years in response to the
129 perturbation can be large. The relative importance of the various processes, which may depend of the
130 mean state, can thus be very different from the one in the current climate. Second, by comparing the
131 first years of each experiment, which start with identical conditions at the beginning of the season,
132 and the second year, for which the perturbation has already acted during one year, we can determine
133 the contribution of the initial state and the one of the processes occurring during the sea ice advance
134 and retreat seasons. This approach is also instructive for understanding observed changes and for
135 predictions as this distinction between initial conditions and ongoing perturbations is key in
136 interpreting the observed variability. Many studies have demonstrated that large spatial variations are
137 present between the different sectors of the Southern Ocean (e.g., Parkinson et al., 2019; Kushara et
138 al., 2019; Kacimi and Kwok, 2020). Analyzing them is necessary to have a full picture of the dynamics
139 of the system. Nevertheless, we will focus here first on the ice extent integrated over the whole
140 Southern Ocean, keeping the regional changes for future work except when critically needed to
141 interpret the integrated changes. The models used and the perturbation applied are described in
142 Section 2. Section 3 presents the main results of the sensitivity experiments. Section 4 is devoted to
143 the atmospheric feedbacks. Section 5 includes a discussion and a synthesis of our main results.

144

145 **2 Methodology**

146 Model description

147 The simulations are performed with a regional circum-Antarctic configuration of the sea-ice-ocean
148 model NEMO-LIM3 version 3.6 (Rousset et al., 2015) driven by the ERA5 atmospheric reanalysis
149 (Hersbach et al., 2020) and with NEMO-LIM3 coupled to the COSMO-CLM² regional atmospheric model
150 (Pelletier et al., 2022a). The model set-up and forcing are identical to Verfaillie et al. (2022) for NEMO-
151 LIM3 driven by ERA5 and to Pelletier et al. (2022a) for NEMO-LIM-COSMO-CLM², except that, for the
152 latter, a bug in the interpolation of the winds in the coupling between the ocean and atmosphere has
153 been corrected (Pelletier et al., 2022b). The version of NEMO-LIM3 driven by ERA5 will hereafter be
154 referred to as NEMO and the version coupled to COSMO-CLM² as PARASO following Pelletier et al.
155 (2022a).

156 NEMO (Nucleus for European Modelling of the Ocean, Madec et al., 2017) includes the OPA ocean
157 model (Océan PARallélisé) coupled with the Louvain-la-Neuve sea ice model (Vancoppenolle et al.,
158 2012; Rousset et al., 2015). Our configuration has an explicit representation of Antarctic ice shelf
159 cavities using the implementation of Mathiot et al. (2017). The free-surface oceanic component is
160 hydrostatic and applies finite differences to solve the equations on an Arakawa C-grid. Vertical mixing
161 is computed using a turbulent kinetic energy (TKE) scheme (Gaspar et al., 1990), while lateral diffusion
162 of momentum is carried out with a bi-Laplacian viscosity and isopycnal diffusion of tracers with a
163 Laplacian operator. Oceanic convection is represented using an enhanced vertical diffusivity, triggered
164 under unstable vertical stratification (Lazar et al., 1999). The sea ice component uses an elastic-viscous-
165 plastic rheology (Bouillon et al., 2013) and a five-category ice-thickness distribution (Bitz et al., 2001;
166 Massonnet et al., 2019). Each of those categories is covered by snow, with one snow thickness per
167 category. The energy conserving sea ice thermodynamics follows Bitz and Lipscomb (1999) and
168 includes an explicit representation of the evolution of salt content and its impact on the sea ice
169 properties (Vancoppenolle et al., 2009). The albedo of sea ice depends on snow and ice thickness,
170 surface temperature and cloud cover (Grenfell and Perovich, 2004; Brandt et al., 2005).

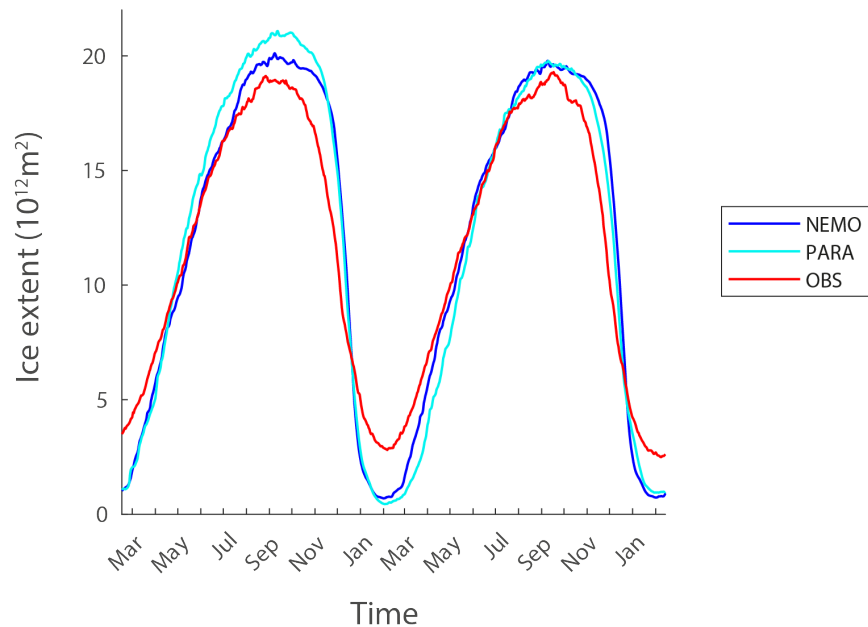
171 The model grid is ePERIANT025 (Mathiot et al., 2017) that has a nominal horizontal resolution of $\frac{1}{4}$
172 of a degree with an isotropic spacing, meaning that the resolution is about 24 km at 30°S but increases
173 up to 3.8 km over the Antarctic continental shelf. A z-coordinate is applied on the vertical using 75
174 levels, with a thickness of about 1m at surface reaching 200m at depth and partial steps in the bottom
175 layer (and in the top layer beneath ice shelves). In the uncoupled simulations, NEMO is driven at the
176 surface by the fluxes computed by the CORE bulk formulas (Large et al., 2004) using 3-hourly fields
177 derived from the ERA5 reanalysis (Hersbach et al., 2020). The conditions at the northern boundary of
178 the domain (30°S) are prescribed from the ORAS5 ocean reanalysis (Zuo et al., 2019).

179 In PARASO, NEMO is coupled to COSMO-CLM², which includes the version 5.0 of the COnsortium
180 for Small-scale MOdeling (COSMO) regional atmospheric model (Rockel et al., 2008) and the
181 Community Land Model version 4.5 (Oleson et al., 2013). COSMO is a non-hydrostatic model using
182 generalized terrain-following height coordinates with 60 levels (Doms and Baldauf, 2018). The version
183 utilized here includes parameter calibration adapted to polar regions and a new snow scheme
184 (Souverijns et al., 2018). Furthermore, the computation of the fluxes is separated over land, ocean and
185 sea ice surfaces for the coupling with NEMO (Pelletier et al., 2022a). The conditions at the lateral
186 boundary of the domain are obtained from ERA5. COSMO-CLM² uses a rotated latitude-longitude grid
187 with a horizontal resolution of 0.22°, which corresponds to about 25 km. The domain is smaller than

188 the one of NEMO, with a northern boundary located between 50°S and 40°S. In the areas not simulated
189 by COSMO-CLM², NEMO is forced by ERA5 fields as in the uncoupled configuration.

190 Experimental design

191 NEMO is driven by the ERA5 reanalysis using every year the forcing from the period 1st May 1990
192 to 30th April 1991, which is considered a normal period regarding the major modes of climate
193 variability (Stewart et al., 2020; Verfaillie et al., 2022). The forcing thus has no interannual variability
194 in order to focus specifically on the seasonal cycle, while keeping conditions close to the model
195 climatology. The two-year simulations analyzed here follow a 10-year spin-up, which is sufficient to
196 have a quasi-equilibrium for the surface variables (Verfaillie et al., 2022). The PARASO simulation has
197 been initialized in 1985 and we discuss here two-year simulations following a 10-year spinup, meaning
198 that the analyses start in 1995. The conditions are thus slightly different in the two configurations.
199 Nevertheless, the mean states of the coupled and uncoupled models are also different, forbidding a
200 direct comparison between them anyway (Fig. 1). Both configurations underestimate the sea ice extent
201 in summer, and tend to overestimate it in winter. They also have a delayed and too rapid retreat
202 season. Those biases are similar to those found in many other coupled and uncoupled models (Downes
203 et al., 2015; Eayrs et al., 2019; Roach et al., 2020; Raphael et al., 2020; Schroeter and Sandery, 2022).
204 Each sensitivity experiment will be compared to the reference simulation using the same model
205 configuration and initial state. This standard method implicitly assumes that the biases remain nearly
206 constant in those pairs of experiments and the effect of those biases on the quantification of the
207 response to the perturbation is largely removed by performing the difference between the experiment
208 results. However, even with this procedure, the biases can still have in some cases a clear impact on
209 the quantification of feedbacks, as discussed in section 4 for the summer sea ice extent. Additionally,
210 in NEMO alone, the model internal variability is very low for the surface variables analyzed in the
211 present study because of the strong constraint provided by the atmospheric forcing. Due to the
212 inclusion of an interactive atmosphere, PARASO can develop some internal variability within its domain
213 despite the fixed condition imposed at the boundaries. Ideally, an ensemble of simulations should be
214 performed for each of the coupled experiments, but this exceeds available computing capacities. Tests
215 with identical configurations but slight perturbations of the initial state indicate that the difference in
216 ice extent due to this internal variability of the model is usually much smaller than 0.2 million km², i.e.
217 less than the response to the perturbation in the majority of the experiments, but the possibility that
218 some of the differences between the experiments are just occurring by chance must be kept in mind.



219

220 Figure 1. Seasonal cycle of the Antarctic sea ice extent (in 10^{12} m^2) in observations (Fetterer et al.,
 221 2017) and in the reference experiments with NEMO and PARASO (starting in March). For observations
 222 and PARASO, the period from March 1995 to February 1997 is shown while for NEMO the forcing
 223 corresponds to the 'normal period' from May 1990 to April 1991 that is applied twice.

224 Set-up of the sensitivity experiments

225 Identical perturbations are applied in NEMO and PARASO on the 1st of March and 1st of September
 226 in the 2-year sensitivity experiments (see Table 1). The first two experiments are devoted to the role
 227 of the exchanges between sea ice and the oceanic mixed layer. In the first one (Mix100), the ocean
 228 temperatures and salinities are homogenized from the surface to 100m depth at each time step by
 229 completely mixing the corresponding grid boxes in each column. This depth roughly corresponds to
 230 the seasonal maximum depth of the mixed layer in the model in most ice-covered regions except over
 231 the continental shelf (e.g., Barthélemy et al., 2015). The effect of this mixing scheme perturbation is
 232 that the seasonal summer shoaling of the mixed layer due to freshening is removed. The goal is to
 233 determine whether such deep summer mixing favors heat storage at the surface and delays the sea
 234 ice advance. In the second experiment (NoMassFlux), sea ice growth and melt is no longer associated
 235 with freshwater uptake and release. In practice, we thus set all the mass fluxes at the sea ice-ocean
 236 interface to zero in NoMassFlux but this is equivalent to assuming that sea ice salinity is the same as
 237 the ocean surface salinity. Therefore, the surface ocean salinity no longer responds to sea ice formation
 238 and melting. This modification disables the negative ice production-entrainment feedback (Martinson,
 239 1990) in which the upper ocean salinity increase due to ice formation induces a mixed layer deepening
 240 and entrainment of deeper warmer water towards the surface that reduces ice formation. The absence
 241 of this negative feedback in NoMassFlux could thus potentially accelerate the sea ice advance.

242 The second group of experiments is devoted to sea ice physics and properties. As sea ice thickness
 243 is a key characteristic of the pack that strongly controls its behavior, the first two experiments
 244 artificially increase (ThickIce) and decrease (ThinIce) the ice thickness. This is achieved by increasing
 245 the thermal conductivities of the ice and snow by a factor of five in ThickIce and by decreasing the
 246 thermal conductivities of the ice and snow by a factor of five in ThinIce. With low conductivity, ice
 247 becomes a much better insulator for the ocean that loses less heat to the atmosphere in fall and winter,
 248 inducing a slower increase in ice thickness (Maykut, 1986; Bitz and Roe, 2004). From the results of

249 ThicIce and ThinIce, we intend to test the hypothesis that a thinner ice will melt faster in spring,
 250 accelerating the ice retreat. As the ice-albedo feedback is expected to be a dominant element of the
 251 seasonal sea ice retreat (Gordon, 1981; Nihashi and Cavalieri, 2006), setting both the albedo of the
 252 snow and ice to the ocean value in AlbOce should accelerate the retreat.

253 We also quantify the impact of ice dynamics by disabling it (NoIceDyn). The ice dynamics are
 254 expected to favor a faster sea ice advance in fall by transporting sea ice from the colder regions, where
 255 it is quickly replaced because of strong ice formation, to the north where it can survive because of the
 256 relatively low temperature. It also accelerates the retreat in spring by transferring sea ice to regions
 257 where it is warm enough during this season to quickly melt and by creating leads within the pack that
 258 enhances the ice-albedo feedback (Fichefet and Morales Maqueda, 1997; Holland and Kimura, 2016;
 259 Kusahara et al., 2019; Eayrs et al., 2020). Suppressing ice dynamics should thus reduce the amplitude
 260 of the seasonal cycle of the sea ice extent (e.g., Fichefet and Morales Maqueda, 1997). For technical
 261 reasons, the implementation of sea-ice dynamics suppression differs in uncoupled and coupled
 262 experiments: in the former, all the sea-ice dynamic components of the model are disabled; in the latter,
 263 solely the velocity and large-scale transport is set to zero in PARASO (other mechanisms such as ridging
 264 are active).

265 Although no sensitivity experiment includes explicit modifications of atmospheric parameters or
 266 processes, all of the applied perturbations affect indirectly the exchanges between the ocean-sea-ice
 267 system and the atmosphere by modifying the surface conditions. Comparing the coupled and
 268 uncoupled configurations quantifies then the contribution of the atmospheric feedbacks. While the
 269 perturbations can potentially influence the atmospheric dynamics, and thus winds for instance, we will
 270 focus on the feedbacks related to heat exchanges at the surface as they are more directly impacted in
 271 the sensitivity experiments.

272

273 Table 1. List of experiments. Each experiment is performed for 2 years with NEMO and PARASO
 274 and for two starting dates, March 1 and September 1. For references in the text, NEMO and PARASO
 275 experiments have the additional suffixes NEMO and PARA, respectively, while for the two starting
 276 dates we use the suffixes Mar and Sep.

Short name	Description
Ref	Reference experiment without perturbation
Mix100	Ocean mixed over the top 100m of the ocean all year long
NoMassFlux	No mass flux associated with the sea ice formation or melting
ThicIce	Sea ice and snow thermal conductivities multiplied by 5
ThinIce	Sea ice and snow thermal conductivities divided by 5
AlbOce	Sea ice and snow albedos equal to that of the ocean (=0.088)
NoIceDyn	Ice dynamics disabled (uncoupled mode); or sea ice velocity equals zero (coupled mode).

277

278 **3 Results**

279 *First advance season*

280 In the sensitivity experiments starting in March, the perturbations applied to the model physics
 281 have very little impact on the sea ice advance until August (Fig. 2ab and Fig. S1), both in the coupled
 282 and uncoupled model configurations. When starting from identical initial conditions, the sea ice
 283 advance seems thus controlled by external conditions imposed by the seasonal evolution of the

284 insolation and does not depend much on the sea ice physics or on the interactions between sea ice,
285 the ocean and the atmosphere. Even the absence of sea ice transport (experiment
286 NoIceDyn_NEMO_Mar and NoIceDyn_PARA_Mar) has a weak effect on the total sea ice extent during
287 this period, confirming previous studies indicating that the sea advance is mainly of thermodynamic
288 nature (e.g., Fichfet and Morales Maqueda, 1997; Kusahara et al., 2019). The impact on the sea ice
289 volume is more immediate, with a difference that can reach more than a factor two in August between
290 some experiments such as ThickIce_NEMO_Mar and Thin_NEMO_Mar (Fig. 3a). Nevertheless, this
291 change in volume has little impact on the extent, showing a decoupling between the two variables in
292 our experiments during this first advance season.

293 The different experiments have varying ice growth rates, consistent with the differences in ice
294 volume, but the temporal evolution is relatively similar during the advance season (Fig. 4 and Fig. S2).
295 ThickIce and NoMassflux stand as exceptions. In ThickIce, the ice production-entrainment feedback is
296 very active as a consequence of the large sea ice formation and brine release that destabilizes the
297 water column. The oceanic mixed layer depth (Fig. S3) is thus much larger than in the other
298 experiments and the associated vertical ocean-to-ice sensible heat transfer compensates early in the
299 season for a significant fraction of the cooling imposed at surface, explaining the early peak in the
300 freezing rate (for instance the peak occurs in day 166 in ThickIce_NEMO_Mar compared to day 220 in
301 the corresponding reference simulation). In NoMassFlux, by contrast, as the ice production-
302 entrainment feedback is inactive by design, the oceanic mixing is much weaker and strong ice
303 formation can be sustained until the end of the growth season, particularly in the PARASO
304 configuration, with a peak in ice formation in NoMassFlux_PARA_Mar on day 247 compared to day
305 187 in the corresponding reference simulation.

306 *Maximum extent and retreat season*

307 The modification of the ice volume imposed by the perturbations has only a weak impact on the
308 sea ice extent until August, as indicated above. However, the experiments with thicker ice tend to have
309 a larger sea ice extent after August, a longer plateau with an extent close to the maximum, and a slower
310 retreat. This impact of the ice thickness is well illustrated by the comparison between
311 ThickIce_NEMO_Mar and Thin_NEMO_Mar, which have a difference of ice volume in winter of more
312 than $20 \cdot 10^{12} \text{ m}^3$. ThickIce_NEMO_Mar has a maximum ice extent that is higher than in
313 Thin_NEMO_Mar by 1.2 million km^2 , a timing of the maximum extent delayed by 42 days compared to
314 Thin_NEMO_Mar, and an extent that is larger than in Thin_NEMO_Mar by 3.3 million km^2 at the end
315 of November (Fig. 2a and Fig. S1). The impact of volume differences on the date of the maximum extent
316 itself is generally weak for most of the other experiments (see Tables 2 and 3), but a link between the
317 maximum volume and the date at which the sea ice extent decreases to 95% of its maximum is clear
318 in most of them (Fig. 5a).

319 Thicker ice in September tends thus to delay sea ice retreat, as expected. However, the conditions
320 in September (which integrate the effect of the perturbation in model physics since March in the
321 simulations started at that time) are not the only reason for the difference between the experiments
322 during the retreat season. The experiments starting in September from identical initial conditions tend
323 to diverge nearly immediately, indicating a larger control of sea ice physics on the evolution of the ice
324 extent at this time of the year compared to the advance season (Fig. 2cd).

325 This large role for sea ice physics in the melt season is illustrated by the larger differences between
326 the experiments for the timing of maximum of the ice melting than for the timing of maximum ice
327 growth rate (Fig. 4 and Fig. S2). The maximum ice melting rate spans a range of up to 50 days between
328 the experiments that have the earliest melting (AlbOce) and the latest one (ThickIce, NoMassFlux and

329 NolceDyn). The faster and earlier melting occurs in experiments AlbOce, as the low albedo in those
330 experiments allows a stronger absorption of incoming solar radiation and thus a larger amount of melt
331 as soon as the Sun is high enough above the horizon. In AlbOce experiments, a large part of the retreat
332 is already achieved by the end of November. This early and fast retreat leads to a difference in ice
333 extent that can reach more than 10 million km² compared to the reference experiments at this time
334 and thus a sea ice extent corresponding to the one simulated only in early January in these reference
335 experiments (Fig. 2). The ThinIce experiments also display an earlier melting than ThickIce ones
336 because of a more efficient ice-albedo feedback, reinforcing the direct effect of the initially thinner ice
337 in winter: it is easier to melt thin sea ice, leading to a higher amount of open water and thus a larger
338 absorption of incoming solar radiation and an intensified melting.

339 *Minimum extent, subsequent advance season and amplitude of the seasonal cycle*

340 Experiments with earlier melt onset and larger melt rates show faster retreat and lower minimum
341 extent, leading to a larger difference between the experiments in the first summer than in the first
342 winter. In the experiments starting in March, the range of ice extent across all experiments at the first
343 maximum reaches 1.2 million km² for NEMO and 1.9 million km² for PARASO. For the following
344 minimum in the same experiments, it reaches 3.6 million km² and 3.8 million km², respectively. The
345 numbers for the summer minimum are relatively similar for the experiments starting in September
346 compared to those starting in March, which suggests that processes in the summer season are more
347 important than the state of the sea ice-ocean-atmosphere system in September (Tables 2 and 3).

348 By contrast, the state of the sea ice-ocean-atmosphere system in March (i.e. the second year for
349 the experiments starting in March but already the first year for the experiments starting in September)
350 has a dominant influence during the whole sea ice advance season (Marchi et al., 2020). Despite the
351 strong control from the insolation and the limited direct impact of sea ice physics and feedbacks with
352 the ocean and the atmosphere during the first advance season (see above), the model physics
353 influences thus the evolution of the sea ice extent for several months during the second advance
354 season through their effect on the state of the system in March. This is illustrated in Fig. 5b by the
355 association between positive minimum sea ice extent anomaly and the subsequent positive maximum
356 extent anomalies present in most experiments, with the notable exception of NolceDyn experiments
357 as discussed below. In this figure, the minimum sea ice extent is chosen as a proxy for the state of the
358 sea ice and ocean system in summer but a similar link can be found for other variables, such as the
359 mean summer sea surface temperature southward of 60°S (Fig. S4).

360 The role of the state of the system in March can be illustrated for example using the Mix100
361 experiments. Increasing the vertical oceanic mixing in the sensitivity experiments redistributes the
362 available energy over the top 100 meters without modifying the vertically integrated heat content.
363 This does not have a large influence initially in the experiments starting in March (Fig. 2a). However,
364 the second year in the experiments starting in March is different from the first year as a deeper mixed
365 layer allows a larger heat uptake in summer. Consequently, the Mix100 experiments tend to have a
366 smaller ice extent than the reference experiments during the second sea ice advance season (Fig. 2a
367 and Fig. S1).

368 More generally, for both the coupled and uncoupled experiments, the summer extent influences
369 the whole advance season and the maximum extent. However, the difference in sea ice extent
370 between the experiments with NEMO tends to decrease with time because of the restoring imposed
371 by a fixed atmospheric state. For instance, the range in the maximum extent for the second year of the
372 experiments beginning in March reaches 2.1 million km² while it was 3.6 million km² the previous
373 summer (Fig. 2a). By contrast, the range between experiments increases during the sea ice advance

374 season in the PARASO experiments, reaching 4.8 million km² for the maximum extent in winter (25%
375 more than for the summer minimum).

376 While the majority of the experiments displaying a large winter ice extent also have a larger
377 summer ice extent, inducing relatively modest changes in the amplitude of the seasonal cycle, this is
378 not the case in the NolceDyn experiments. Those experiments are characterized by a reduced
379 amplitude of the seasonal cycle of the sea ice extent, with a smaller extent in winter and a larger one
380 in summer compared to the reference experiments. At the end of the advance season, the ice edge
381 position is set by the advection of sea ice from the south. Sea ice then melts in regions which are too
382 warm to sustain local production (e.g., Holland and Kimura, 2016; Nie et al., 2022). Neglecting ice
383 transport thus leads to an earlier maximum extent and onset of the retreat (Fig. 2). Later during the
384 retreat season, ice is transported northward where it melts and this transport also enhances the
385 formation of leads within the ice pack that increases solar absorption. Therefore, ice dynamics plays
386 an important role in accelerating the ice retreat, as shown in earlier studies (Fichefet and Morales
387 Maqueda, 1997; Holland and Kimura, 2016, Kusahara et al., 2019; Eayrs et al., 2020), and neglecting
388 this effect in NolceDyn induces an increase in the minimum ice extent of several million km² (Tables 2
389 and 3).

390 *Sensitivity to the starting date in NoMassFlux*

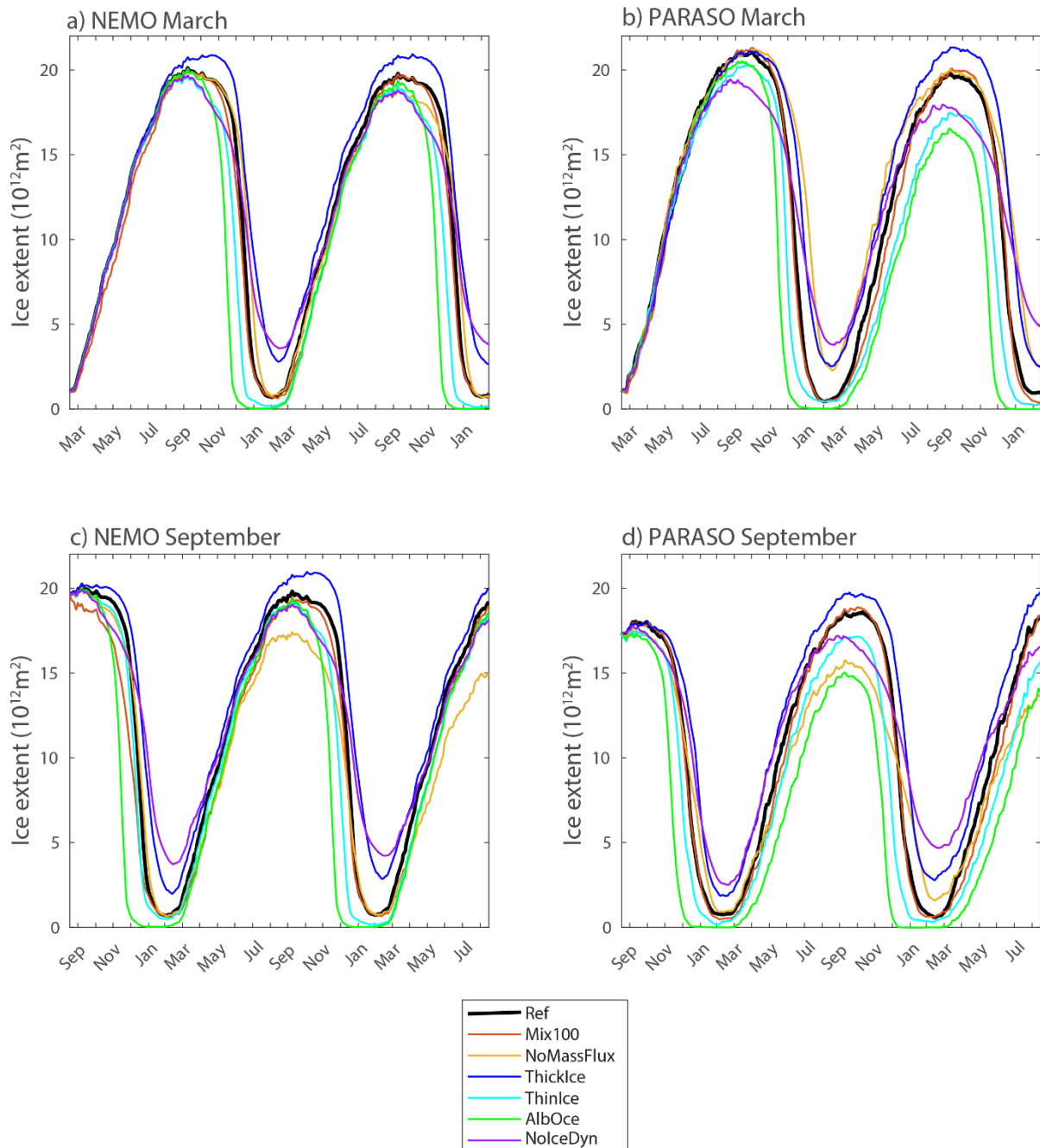
391 Neglecting brine release during ice formation (experiments NoMassFlux) reduces the heat input
392 from the deeper oceanic layer to the surface and results in a clear increase in ice production and
393 volume in the experiments started in March (Fig. 4), in particular in coupled mode. It only has a limited
394 influence on the sea ice extent during the first winter as, by definition, it can only act after sea ice is
395 already present (Martinson, 1990) (Fig. 2). The effect can only be seen indirectly during the sea ice
396 retreat season (when entrainment no longer plays a clear direct role) and the second year, through
397 the influence of the perturbation on the sea ice volume. In particular, this leads to an increase in sea
398 ice extent in NoMassFlux_PARA_Mar of nearly 2.0 million km² compared with the corresponding
399 reference experiment in summer (Fig. 2 and Fig. S1).

400 The NoMassFlux experiments starting in September have a different behavior than the
401 experiments beginning in March. As the model has a very low sea ice volume in March, assuming that
402 sea ice has the same salinity as the ocean does not substantially impact the salt and freshwater balance
403 of the model. In contrast, for the experiments starting in September, because of the much larger initial
404 sea ice volume, the NoMassFlux experiments imply a large artificial salt input in the system. The salt
405 input weakens the upper ocean stratification, enhances mixing and triggers open ocean convection
406 and the formation of open ocean polynyas (Fig. 6). This brings a large amount of heat to the ocean
407 surface, reducing both the sea ice volume and winter sea ice extent in NoMassFlux_NEMO_Sep and
408 NoMassFlux_Par_Sep compared with the corresponding reference experiments.

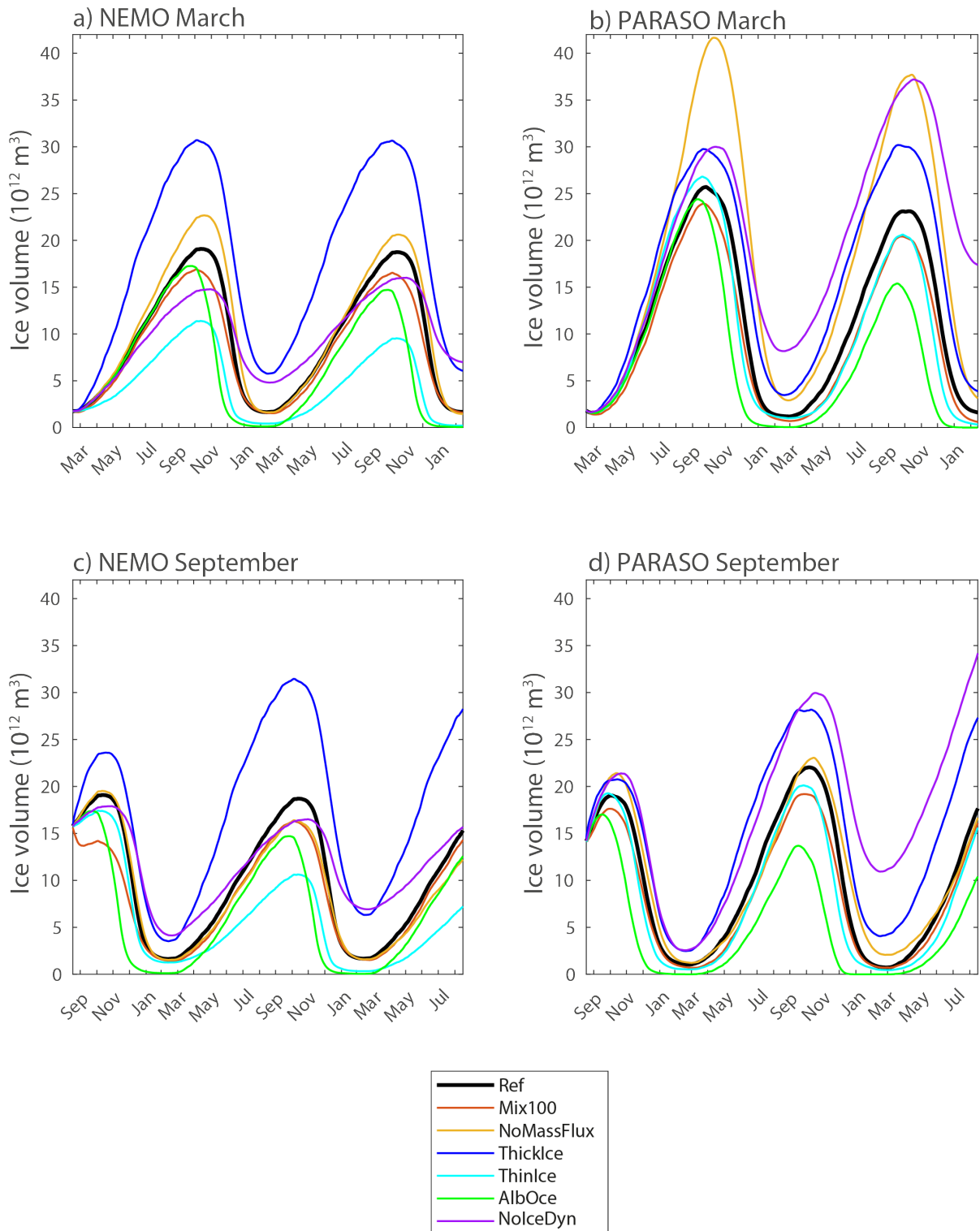
409 *Timing of the maximum and minimum extents*

410 Overall, as expected based on previous studies, the effect of the perturbations prescribed in our
411 sensitivity experiments is relatively modest on the timing of the minimum and maximum ice extents.
412 The largest signal arises in the sea ice dynamics perturbation, which tends to advance the date of
413 maximum in the coupled experiments (14 days and 12 days for the second maximum in
414 NolceDyn_PAR_Mar and NolceDyn_PAR_Sep, respectively), and in the experiment with perturbed
415 heat conduction, as the thicker pack can delay the maximum by up to 25 days (in ThickIce_NEMO_Sep).
416 Open ocean convection can also bring forward the date of the maximum with a third maximum already
417 achieved in day 230 and day 239 the second year in NoMassFlux_NEMO_Sep and
418 NoMassFlux_PAR_Sep (36 and 28 days earlier compared to the previous year of the same experiment,

419 respectively). The summer minimum can be advanced by up to 43 days in AlbOce_PAR_Sep through
 420 the albedo decrease, and delayed by up to 18 days in the sea-ice dynamics deprived experiment
 421 NoIceDyn_NEMO_Sep. Note that some values in Tables 2 and 3 should be taken with caution as the
 422 evolution of sea ice extent is relatively flat close to the maximum and small differences can produce
 423 large shifts in the specific day of the maximum (e.g. in Mix100_PAR_Sep and ThinIce_PAR_Sep).

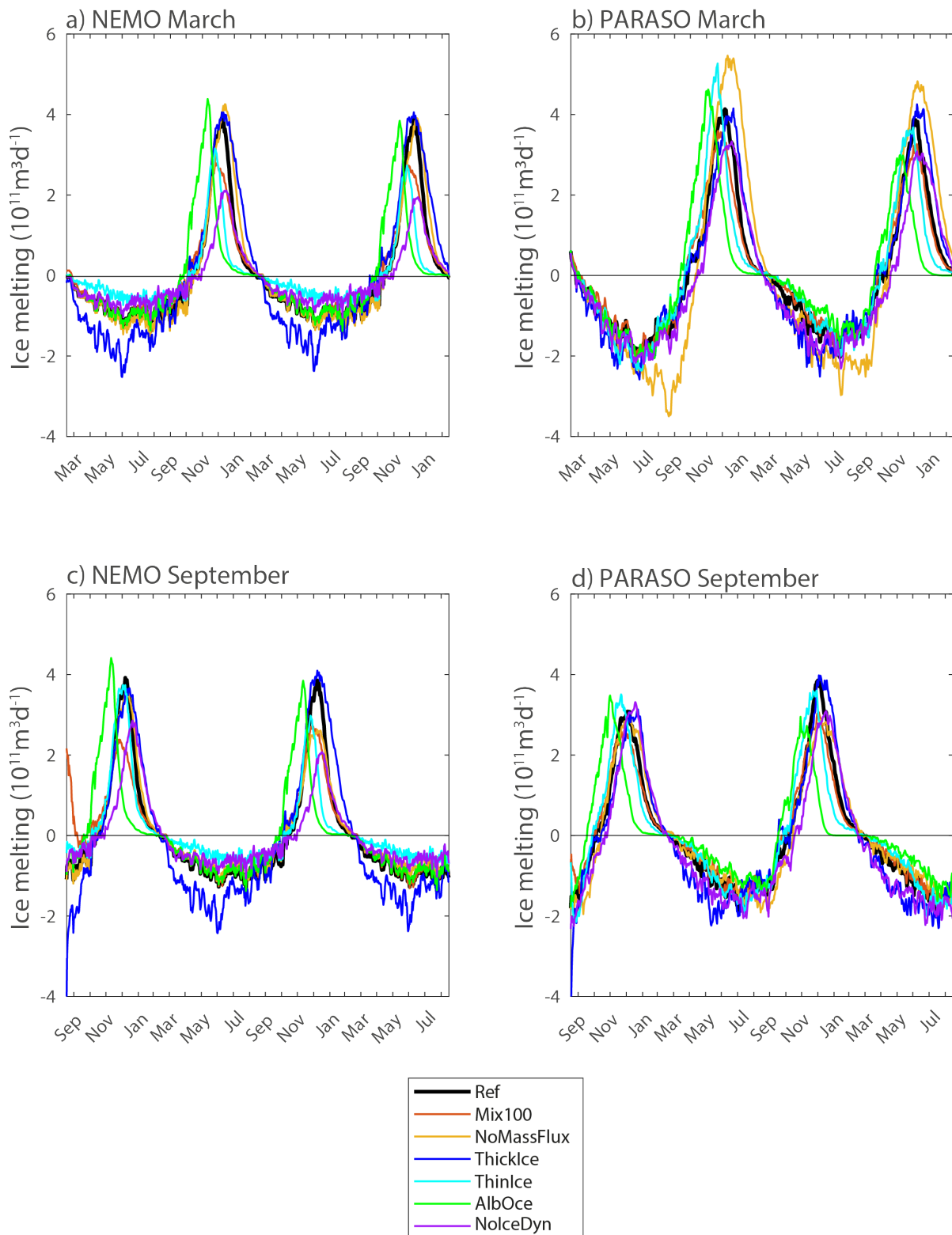


424
 425 Figure 2. Antarctic sea ice extent (in 10^{12} m^2) in the group of experiments starting in March
 426 (top row) and September (bottom row) for the NEMO (left column) and PARASO configurations
 427 (right column). The equivalent figure showing the anomaly compared to the corresponding
 428 reference simulation is provided in Fig. S1.
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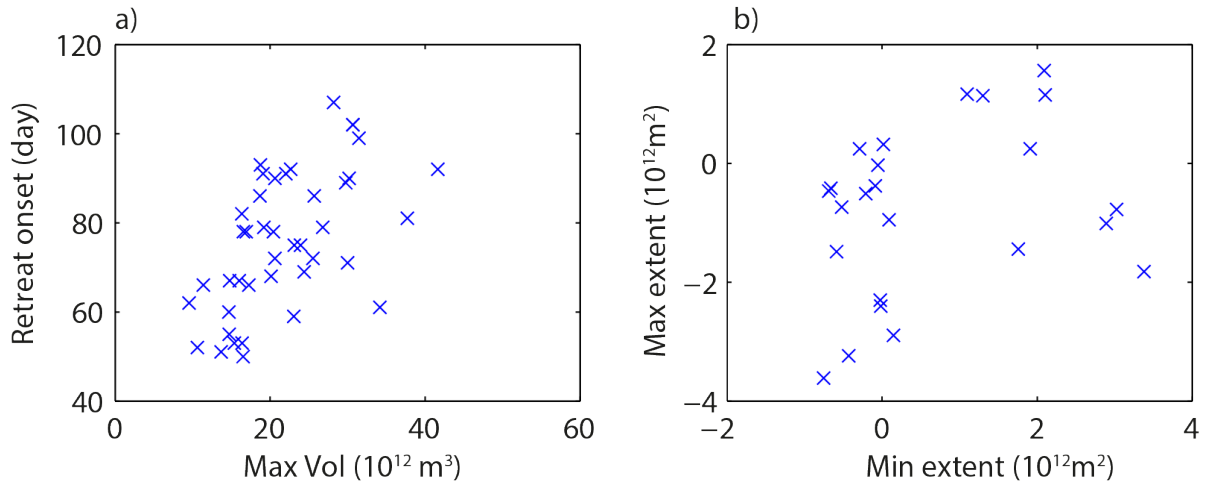
Figure 3. Antarctic sea ice volume (in 10^{12} m^3) in the group of experiments starting in March (top row) and September (bottom) for the NEMO (left column) and PARASO configurations (right column).



434

435 Figure 4. Mass flux due to sea ice growth and melt (counted positive for melting) integrated over
 436 the Southern Ocean (in $10^{11} \text{ m}^3 \text{ d}^{-1}$) in the group of experiments starting in March (top row) and
 437 September (bottom) for the NEMO (left column) and PARASO configurations (right column). This
 438 diagnostic is evaluated online in NEMO from the different contributions to ice formation and melting
 439 but is equivalent to the time derivative of the ice volume. The equivalent figure showing the anomaly
 440 compared to the corresponding reference simulation is provided in Fig. S2.

441



442

443 Figure 5. a) Onset of significant seasonal sea ice retreat (in day), defined as the number of days
 444 after the maximum at which the Antarctic sea ice extent has decreased to 95% of its maximum value
 445 as a function of the maximum ice volume (in $10^{12}m^3$). b) Maximum sea ice extent anomaly (in $10^{12}m^2$)
 446 compared to the reference experiment as a function of the anomaly in the previous minimum (in
 447 $10^{12}m^2$) for the second year of the experiments starting in March and for the first minimum and second
 448 maximum of the experiments starting in September.

449

450 Table 2. Values and timings of the maximum and minimum sea ice extents for the two years of the
 451 sensitivity experiments starting in March. Extents are given in $10^{12} m^2$ and timings in Julian days.

	Year 1				Year 2			
	Max	Min	Day Max	Day Min	Max	Min	Day Max	Day Min
Ref_NEMO_Mar	20.1	0.70	265	46	19.8	0.73	266	47
Mix100_NEMO_Mar	20.0	0.64	265	57	19.8	0.66	266	57
NoMassFlux_NEMO_Mar	20.0	0.79	265	46	18.8	0.70	266	49
ThickIce_NEMO_Mar	20.9	2.80	307	58	20.9	2.66	291	58
ThinIce_NEMO_Mar	19.7	0.17	265	48	19.0	0.12	266	47
NoIceDyn_NEMO_Mar	19.7	3.59	265	59	18.8	3.58	266	60
AlbOce_NEMO_Mar	20.1	0.03	265	43	19.4	0.01	266	43
Ref_PAR_Mar	21.1	0.45	269	48	19.8	0.59	267	60
Mix100_PARA_Mar	21.3	0.46	286	48	20.1	0.37	269	57
NoMassFlux_PARA_Mar	21.2	2.36	294	59	20.0	2.29	267	63
ThickIce_PARA_Mar	21.1	2.54	294	59	21.3	2.45	267	59
ThinIce_PARA_Mar	20.3	0.42	276	48	17.5	0.23	264	50
NoIceDyn_PARA_Mar	19.4	3.82	249	59	17.9	3.79	253	65
AlbOce_PARA_Mar	20.5	0.02	269	57	16.5	0.00	265	30

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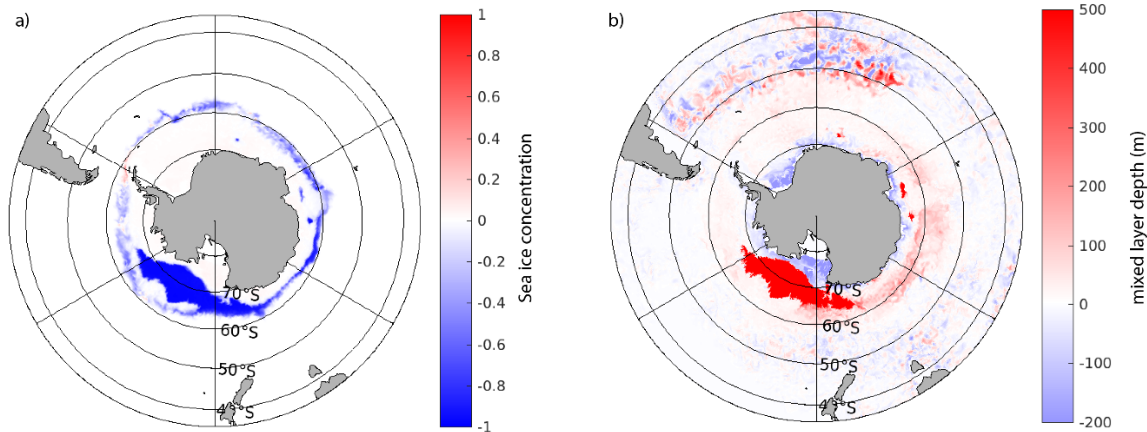
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455

456 Table 3. Values and timings of the maximum and minimum sea ice extents for the two years of the
 457 sensitivity experiments starting in September. Extents are given in 10^{12} m² and timings in Julian days.

	Year 1				Year 2			
	Max	Min	Day Max	Day Min	Max	Min	Day Max	Day Min
Ref_NEMO_Sep	20.1	0.69	265	44	19.8	0.73	266	45
Mix100_NEMO_Sep	19.6	0.60	244	57	19.4	0.66	266	55
NoMassFlux_NEMO_Sep	19.9	0.67	265	49	17.4	0.69	266	47
ThickIce_NEMO_Sep	20.3	1.99	265	57	20.9	2.66	286	56
ThinIce_NEMO_Sep	20.0	0.48	265	44	19.3	0.12	266	44
NoIcDyn_NEMO_Sep	20.0	3.71	265	59	19.0	3.58	266	63
AlbOce_NEMO_Sep	20.0	0.03	265	44	19.3	0.01	266	41
Ref_PARA_Sep	18.0	0.76	268	56	18.6	0.58	267	58
Mix100_PARA_Sep	18.0	0.46	269	50	18.9	0.61	290	56
NoMassFlux_PARA_Sep	17.7	0.90	262	57	15.7	1.58	267	58
ThickIce_PARA_Sep	18.0	1.85	287	61	19.8	2.78	275	58
ThinIce_PARA_Sep	17.5	0.16	269	47	17.2	0.32	289	54
NoIcDyn_PARA_Sep	17.8	2.52	262	63	17.2	4.68	255	65
AlbOce_PARA_Sep	17.4	0.00	244	64	15.0	0.00	267	15

458



459

460 Figure 6. Differences in a) ice concentration and b) mixed layer depth (in m) in August of the second
 461 year of simulation between NoMassFlux_NEMO_Sep and the corresponding reference experiment.

462

463 4 Atmospheric feedbacks

464 The results discussed in Section 3 have highlighted differences between the NEMO and PARASO
 465 experiments and the role of the coupling with the atmosphere is further quantified here. In NEMO, the
 466 surface energy budget has only one degree of freedom (the surface temperature). Therefore, the
 467 surface readily adjusts to the forcing, so that the surface temperature closely follows the air
 468 temperature, which can be seen as a form of restoring. In PARASO, the surface energy budget responds
 469 to both sea ice and atmospheric processes. Another degree of freedom is now that the atmosphere
 470 warms or cools in response to changes in sea ice, which in turn affects non-solar (downward longwave
 471 and turbulent) fluxes.

472 This effect of the changes in the atmosphere is evaluated by computing atmospheric feedback
 473 factors in response to the perturbation for each pair of coupled and uncoupled model experiments.
 474 Feedbacks can be evaluated in different ways. A methodology that is consistent for a wide range of
 475 feedbacks, including the standard radiative ones involved in computation of the so-called climate
 476 sensitivity as well as non-radiative feedbacks, is to define the feedback factor γ as (Goosse et al., 2018):

$$477 \quad \gamma = \frac{\textit{Total Response} - \textit{Reference Response}}{\textit{Total Response}} \quad (1)$$

478 where the *Total Response* corresponds to the response of the model to some perturbation imposed in
 479 the system when all the feedbacks are active, while the *Reference Response* is the response of the
 480 model to the same imposed perturbation when one feedback or process to be studied (for instance
 481 sea ice dynamics) has been left out. As our specific goal is to study the impact of atmospheric coupling,
 482 this leads to:

$$483 \quad \gamma = \frac{\textit{Coupled Response} - \textit{Uncoupled Response}}{\textit{Coupled Response}} \quad (2)$$

484 and for sea ice extent specifically:

$$485 \quad \gamma_{SIE} = \frac{\Delta SIE_{PARA} - \Delta SIE_{NEMO}}{\Delta SIE_{PARA}} \quad (3)$$

486 where ΔSIE_{PARA} and ΔSIE_{NEMO} are the changes between the sensitivity experiments and the reference
 487 experiments in the PARASO and NEMO configurations, respectively.

488 The feedback factor can be related to the feedback gain G (e.g., Goosse et al. 2018) defined here
 489 as the ratio between the response in coupled mode and the one in uncoupled mode:

$$490 \quad G = \frac{\Delta SIE_{PARA}}{\Delta SIE_{NEMO}} = \frac{1}{1 - \gamma_{SIE}} \quad (4)$$

491 A negative value of γ thus corresponds to a negative feedback (changes in PARASO smaller than in
 492 NEMO, feedback gain smaller than 1, and the feedback dampens the response to a perturbation); a
 493 value between 0 and 1 corresponds to a positive feedback (changes in PARASO larger than in NEMO,
 494 feedback gain larger than 1, the feedback amplifies the perturbation); a value of 1 implies an infinite
 495 gain and values of γ larger than 1 imply a change in the sign of the response between coupled and
 496 uncoupled model experiments (negative feedback gain). In the following, we start by discussing the
 497 feedback factors lower than 1 (positive and negative feedbacks and positive feedback gains) that are
 498 the easiest to interpret in a linear framework, while non-linearities and values of γ larger than one
 499 (negative feedback gain) will be discussed in the last paragraphs of the section. We must recall here
 500 that we were not able to perform ensembles of simulations for our sensitivity experiments, leading to
 501 some uncertainties in the evaluation of the model response to the perturbations and thus in the
 502 estimate of the feedback parameters. Consequently, we have not analyzed the feedback factors when
 503 the coupled response is smaller than 0.2 million km² for sea ice extent or 0.2 thousand km³ for sea ice
 504 volume, corresponding to very small changes in the system and large feedback factors (the coupled
 505 response appears in the denominator of γ). Consistently, we have focused the analyses on the second
 506 year of the experiments, as for the first year the changes in several experiments are too small.
 507 Nevertheless, even with those criteria, the small model internal variability has still an influence on the

508 estimate of the feedback parameters and, in particular, it may also contribute to the non-linearities
509 and values of γ larger than one discussed below.

510 *Atmospheric feedbacks on the maximum ice extent.*

511 The feedback factors are always positive for the maximum sea ice extent (Fig. 7a), indicating that
512 the coupling with the atmosphere amplifies the wintertime response to perturbations (for the
513 feedback factors smaller than 1, for the ones larger than 1 see below). This matches well our
514 understanding of the system, where sea ice acts as an insulator between the atmosphere and the
515 ocean. An increase in sea ice extent resulting from a perturbation thus cools the atmosphere, which
516 amplifies the initial change, giving a positive feedback. The same positive feedback mechanism applies
517 in the context of an initial decrease in ice extent, leading to atmospheric warming and additional
518 decrease in extent. For example, in AlbOce_PARA_Mar, the surface air temperature is higher than in
519 the reference experiment all year long. The difference reaches 1.5K on average over the two years of
520 the simulations for the oceanic region south of 60°S, and more than 2.5K in the second winter (Fig. 8,
521 Fig. S5).

522 Among all the experiments, AlbOce displays the largest feedback gain for the winter ice extent
523 (i.e. $\gamma < 1$ and closest to 1), with values of $\gamma = 0.87$ (Fig. 7a) in both experiments started in March and
524 September and hence a feedback gain of 7.7 (Fig. S6a). This is not surprising as the albedo changes
525 associated to sea ice variations are usually considered as a key characteristic of polar marine climates.
526 The sea-ice albedo feedback is already active in the NEMO configuration as a change in sea-ice
527 concentration affects the surface albedo and thus the net solar radiation absorbed at surface: in
528 AlbOce_NEMO_Mar and AlbOce_NEMO_Sep, the ocean-sea ice surface south of 60 S have a net solar
529 absorption higher than in their reference counterparts of 13 W m⁻² in annual mean (Fig. S7). This is
530 even higher than in AlbOce_PARA_Mar and AlbOce_PARA_Sep, where the change reaches only
531 7 W m⁻². The higher values in the NEMO configuration might be due to differences in the mean state
532 between the coupled and uncoupled model configurations or to feedbacks related to clouds in
533 PARASO, but investigating those effects in more detail is out of the scope of the present study.
534 Nevertheless, the main difference between the coupled and uncoupled experiments comes from the
535 non-solar heat fluxes (Fig. S8), which is the net downward flux associated with incoming and outgoing
536 longwave radiation, and latent and sensible heat exchange with the atmosphere. In
537 AlbOce_NEMO_Mar and AlbOce_NEMO_Sep, as the atmospheric state is prescribed, the reduction in
538 sea ice extent and surface warming induce a large increase in non-solar heat losses that reaches 10
539 and 13 Wm⁻² averaged over the area south of 60°S, respectively. In other words, the artificial restoring
540 to the observed atmospheric state in uncoupled mode makes the non-solar heat loss at the surface
541 nearly compensate for the additional solar heat input. By contrast, the atmospheric warming in
542 AlbOce_PARA_Mar and AlbOce_PARA_Sep only leads to a moderate increase of the non-solar heat
543 losses, with annual mean values of 1 and 4 Wm⁻², respectively. This explains the larger changes in ice
544 extent in coupled mode (Fig. 2) and the strong drift of the system to a warmer state (Fig. 8).

545 *Atmospheric feedbacks on maximum ice volume.*

546 The feedback factor for the winter volume is also positive in many experiments (Fig. 7b). In
547 particular, the value of γ in NoMassFlux_Mar equals 0.87, corresponding to a feedback gain G of 7.7.
548 In NoMassFlux experiments, the heat input from the ocean to the surface is reduced because of the
549 absence of the ice production–entrainment feedback. This increases ice production and thus ice
550 thickness. In the coupled model integration, the downward non-solar (net LW and turbulent) fluxes
551 can respond to thicker ice and colder surface, which further decreases the surface air temperature by
552 more than 3K on average over the oceanic region south of 60°S during the sea ice growth season. This

553 cooling further enhances the ice production and leads then to a very strong positive atmospheric
554 feedback.

555 By contrast, the atmosphere provides a negative feedback in the case of the ThinIce and ThickIce
556 experiments. Larger snow and ice thermal conductivities in ThickIce imply larger heat losses from the
557 ocean to the atmosphere in ice-covered regions and thus larger winter sea ice production in all the
558 ThickIce experiments (Fig. 4). In the PARASO configuration, the increased heat conduction from the
559 ice-ocean system warms the lower atmosphere in winter within the ice pack by more than 3K,
560 integrating over the region south of 60°S (Fig. 8). Consequently, the non-solar atmosphere-ice heat
561 fluxes can increase in coupled mode, moderating the increase in sea ice volume compared to the
562 NEMO experiments. In ThinIce, the smaller heat conduction fluxes tend to induce an atmospheric
563 cooling in winter but this effect is not strong enough to decrease the temperature in the majority of
564 regions, likely because of a dominant effect of the albedo reduction in this experiment. However, a
565 cooling is still found close to the continent (Fig. S5). As this is the region where the largest changes in
566 sea ice thickness occur compared to the reference experiment, this dominates the effect of the
567 coupling on the total ice volume. (For more information on the difference between the temperature
568 responses in ThickIce and ThinIce, see the supplementary discussion).

569 The experimental design in ThickIce and ThinIce may appear counterintuitive as our modifications
570 to the model physics warm the atmosphere when the ice is thicker. Such perturbations highlight a
571 coupling between heat conduction in the ice and non-solar downward atmospheric heat fluxes. When
572 the full system is considered in the real world, we rather experience the effects of the strong coupling
573 between thickness and heat conduction, often referred to as the ice growth-thickness feedback in
574 which an anomalously thin sea ice cover will lose more energy by conduction in winter, leading to a
575 thicker and colder ice, reducing the initial anomaly (Maykut, 1986; Bitz and Roe, 2004; Goosse et al.,
576 2018).

577 *Atmospheric feedbacks on minimum ice extent and volume.*

578 Positive feedback factors associated to the coupling with the atmosphere would also be expected
579 for the minimum ice extent (Fig 7c), in particular because of the amplifying role of the ice-albedo
580 feedback and its impact on air temperature. This interpretation is consistent with the highest summer
581 air temperature in the two experiments with the lowest summer ice extent (ThinIce and AlbOce, Fig.
582 8). Accordingly, positive values are found in several experiments. However, negative values are also
583 obtained for others. Those positive values may be surprising in particular for AlbOce but this can be
584 considered as an artefact related to the methodology used to compute γ . All the sea ice melts in
585 summer in the experiments AlbOce (Figs. 2 and 3). The response is thus equal to the summer sea ice
586 extent (or volume) in the corresponding reference experiments. As this reference extent (and volume)
587 is slightly higher in NEMO configuration than in PARASO (Figs. 1 and 2), the response is larger in NEMO,
588 leading to a negative value of γ by definition (Eq. 3).

589 For ThinIce and ThickIce experiments, the negative atmospheric feedback factors obtained for the
590 summer ice volume (Fig 7d) are a direct consequence of the negative values discussed above for winter
591 ice volume in the same experiments, the winter sea ice thickness anomalies persisting until the
592 summer. As those anomalies are particularly large close to the coast, they affect the melting in those
593 regions and thus the feedback factor for the summer sea ice extent, leading to a negative value in
594 ThinIce and values very close to zero in the ThickIce experiments (Fig. 7c).

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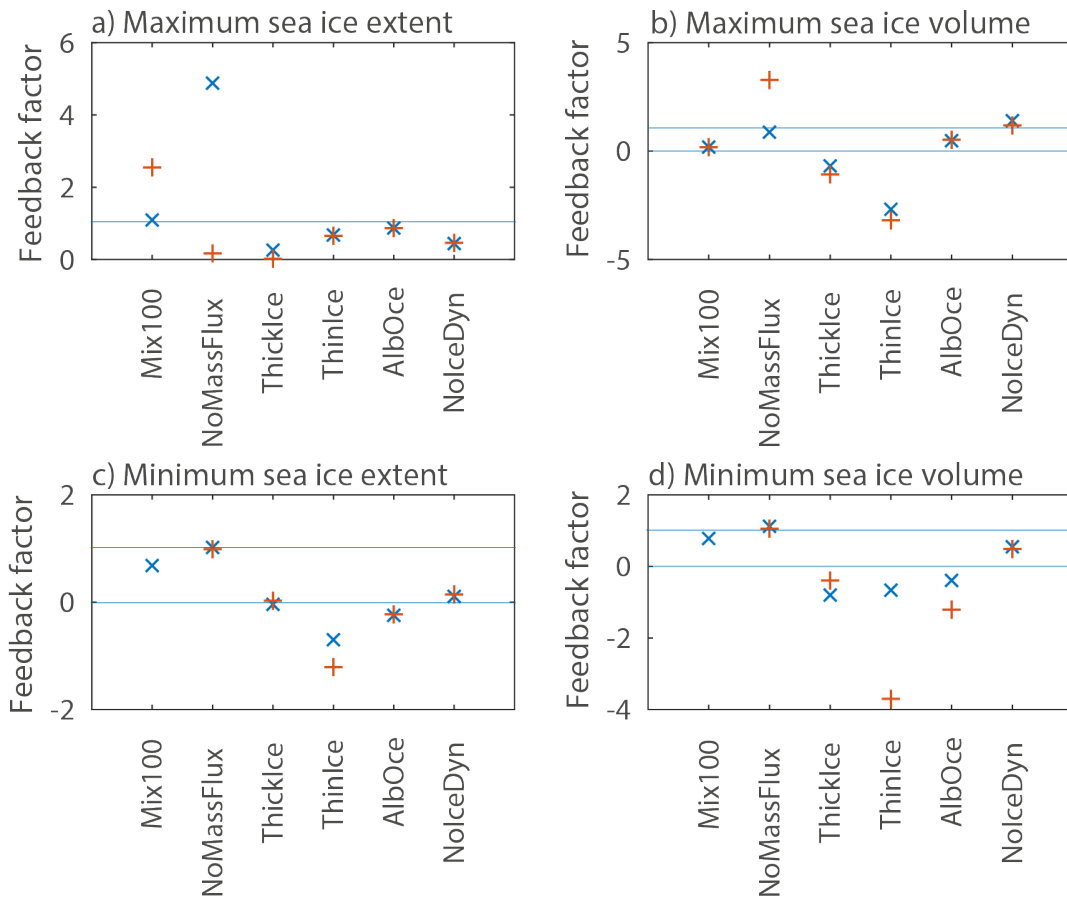
597 *Feedback factors larger than one: impact of the spatial distribution of the response.*

598 The analyses of the feedback factors illustrate the nonlinearity of the system, for example when
599 comparing the very different values of γ for an increase or a decrease in the conductivity in ThickIce
600 and ThinIce. Values of γ higher than one also suggest more complex dynamics than a simple
601 amplification or damping of the response by interactions with the atmosphere as even the sign of the
602 response is different between coupled and uncoupled model configurations. In many cases, this
603 different sign of the response integrated over the whole Southern Ocean, as measured on the anomaly
604 of total sea ice extent or ice volume, is due to a spatially heterogenous response in uncoupled mode.
605 The coupling amplifies or damps the response locally as described by the feedback framework.
606 However, this may change the balance between positive and negative contributions and thus modify
607 the sign of the response integrated over the whole Southern Ocean compared to the uncoupled mode,
608 explaining the value of γ higher than 1.

609 We will not discuss here all the experiments displaying a value of γ higher than 1, especially
610 because in some cases the difference in the response to the coupling is small and thus probably not
611 very meaningful. Nevertheless, two examples seem illustrative and are detailed below. In NoIceDyn,
612 the sea ice thickness increases in winter close to the coast and decreases close to the ice edge
613 compared to the reference experiment, both in coupled and uncoupled mode (Fig. S9). The integrated
614 volume response is thus a balance between the changes in the two regions and, depending on their
615 relative strength, the sign of the change in ice volume can change. In coupled mode, the very large
616 increase in thickness close to the coast associated with strong local positive feedbacks with the
617 atmosphere dominates, while in the uncoupled mode, the offshore decrease dominates, then leading
618 to γ greater than 1 for winter ice volume.

619 At the time of the winter maximum in sea ice extent, sea ice is transported to the ice edge where
620 it tends to melt. The associated freshwater release increases the upper ocean stratification in the
621 reference experiment, reducing the oceanic heat input to the surface thus favoring the advance of the
622 pack. (This positive feedback at the ice edge at the time of the maximum ice extent can be contrasted
623 with the negative ice production-entrainment feedback within the pack). In NoMassFlux_NEMO_Mar,
624 the absence of freshwater release during ice melt leads to a weaker upper ocean stratification close to
625 the ice edge, allowing deeper mixed layers, with a difference that can reach more than 100m. As a
626 consequence, the heat input from the ocean to the ice is higher. This is sufficient to limit the seasonal
627 sea ice advance and the maximum ice extent is lower in NoMassFlux_NEMO_Mar than in the reference
628 experiment by about 1 million km² in the second year of the experiments (Fig. 2a). By contrast, the
629 large increase in ice thickness and volume in NoMassFlux_PARA_Mar discussed previously dominates
630 the response even at the ice edge, leading to a positive anomaly in the maximum ice extent. As a
631 consequence, the atmospheric feedback factor is greater than one. This effect is only seen in the
632 experiments starting in March, as those starting in September are dominated by the consequences of
633 deep mixing and polynya formation within the pack.

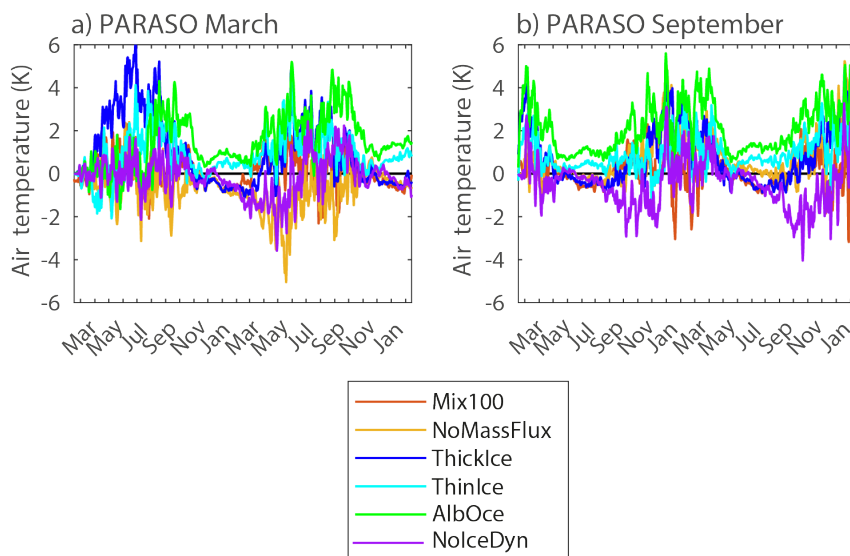
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635

636 Figure 7. Atmospheric feedback factor for experiments starting in March (blue x) and September
 637 (red +) for a) the maximum sea ice extent, b) maximum sea ice volume, c) minimum sea ice extent and
 638 d) minimum sea ice volume. Light blue lines are drawn at values of 0 and 1 (with positive feedback
 639 between those two lines). The equivalent figure for the feedback gain G is given as Fig. S6.

640



641

642 Figure 8. Anomaly of surface air temperature (in K) averaged over the oceanic region south of
 643 60°S compared to the corresponding reference simulation in the group of experiments starting a) in
 644 March and b) in September for the PARASO configuration.

645

646 **5 Discussion and conclusions**

647 We have performed a series of 24 sensitivity experiments to analyze the role of sea ice processes
648 and coupling mechanisms between sea ice, ocean and atmosphere in driving the seasonal cycle of the
649 Antarctic sea ice extent. In order to obtain clear signals and identify the mechanisms at play,
650 deliberately strong and idealized perturbations have been used in our simulations. One limiting aspect
651 arising from making such a design choice is the resulting lack of ability to directly compare the
652 experiments with observational datasets. Furthermore, our quantitative results may be model-
653 dependent, as they can be influenced by the way physical processes are represented in the models
654 and by the biases in the model mean state, which can have a strong influence on the response of
655 models to perturbations (e.g. Goosse et al., 2018; Massonnet et al., 2018). Additionally, the
656 experimental design itself may have an impact on the way some of the processes are evaluated.
657 However, we consider that the relative importance of the different processes and their description are
658 robust and we will thus focus on those aspects here.

659 Recall that all the simulations used the same atmospheric forcing (for NEMO simulations) or the
660 same conditions at the boundaries of the domain of the regional atmospheric model that significantly
661 constrain the seasonal evolution of the sea ice (PARASO simulations). Changes in the large-scale
662 atmospheric conditions or in the passage of synoptic storms close to the ice edge are known to have a
663 strong impact on the evolution of the ice extent (e.g., Handcock and Raphael, 2020). While this role of
664 the atmospheric variability is not addressed here, the analyses of the processes at play could provide
665 insight for understanding how the ice-ocean system responds to interannual variations of the
666 atmospheric conditions. In particular, our results are consistent with the large role attributed to the
667 sea ice dynamics, and thus to the interannual variability in winds, in driving sea ice extent anomalies
668 during the retreat season (e.g., Holland 2014; Kushahara et al., 2019; Eayrs et al., 2020) as well as with
669 the impact of changes in spring on the sea ice extent trends observed in autumn (Holland 2014).
670 Conversely, the magnitude and relative importance of the different processes and feedbacks
671 investigated in this study may vary from one year to another, as a function of the state of the system
672 or of the large-scale forcing (e.g., Goosse et al. 2018). It would thus be instructive to repeat the analyses
673 performed here for various years and conditions to determine how this affects the value of the
674 feedback parameters.

675 Our experiments are too idealized to provide explicit recommendations for model improvements
676 but the identification of the key processes can help to target the changes that might have the largest
677 impact. In particular, the delayed onset of the seasonal sea ice retreat after the maximum present in
678 our simulations can possibly be related to a too thick ice cover close to the winter ice edge, which may
679 be associated with a misrepresentation of processes in the marginal ice zone (Roach et al., 2018, 2019;
680 Alberello et al., 2020; Horvat, 2021). Additionally, the too fast ice retreat in our control runs is likely
681 impacted by the model biases in the sea ice transport because of the dominant role of this process
682 during spring (Holland and Kwok 2012; Lecomte et al. 2016; Kushahara et al., 2019; Eayrs et al., 2020,
683 Sun and Eisenman 2021).

684 We have focused on the sea ice extent integrated over the whole Southern Ocean, although the
685 net influence of a process may be the result of opposite effects between sectors of the Southern Ocean
686 or between coastal regions and the open ocean. For instance, removing ice dynamics tends to increase
687 the ice thickness close to the coast and decrease it at the sea ice edge because of a reduced ice
688 transport, with a clear impact on the temperature changes. This is an illustration that our conclusions
689 derived for the whole ice pack are not necessarily valid for a specific region.

690 Overall, our results confirm the earlier finding that the model physics have only a moderate effect
691 on the timings of the maximum and minimum Antarctic sea ice extents, which are rather controlled by
692 the insolation cycle (Roach et al., 2022). Deactivating the sea ice dynamics in our models induces an
693 earlier maximum and a tendency towards a later minimum, but the shift is at maximum of the order
694 of one week or two, which is within the range of year-to-year fluctuations in the observed record.
695 Thicker ice can delay the maximum and a lower albedo lead to an earlier minimum, but similarly this
696 does not strongly modify the shape of the seasonal cycle, in particular its asymmetry. Our experiments
697 are only 2 years in length and there is a possibility that the shifts would become larger at equilibrium,
698 but in the experiments featuring a clear drift (such as NolceDyn_PAR and AlbOce_PAR), we observe a
699 change in the values of the maximum and minimum ice extents from the first to the second year rather
700 than on their timing. The only exception is related to strong open ocean convection that can stop the
701 ice advance season efficiently when it is triggered in the model.

702 Nevertheless, our results demonstrate that sea ice physics and interactions with the atmosphere
703 and ocean control many other aspects of the seasonal cycle of the ice extent, such as the values of the
704 maximum and minimum and the speed of the retreat. They thus strongly modulate the overall impact
705 of the sea ice in the climate system, in particular on the radiative balance through the modification of
706 the surface albedo and on the exchanges of heat and carbon between the ocean and atmosphere.

707 Our sensitivity experiments have also illustrated clear distinctions between the dynamics of the
708 sea ice advance and retreat seasons. The sea ice extent advance from March to August is nearly
709 insensitive to the perturbations applied, with nearly identical evolution of the sea ice extent in our
710 experiment over this period if they start from the same initial conditions in March. If the conditions
711 are different in March (e.g., inherited from differences during the previous melting season), this has
712 an effect during the whole advance season. We can interpret those results in the following way. The
713 very weak incoming solar radiation between March and August imposes a large heat loss over the
714 Southern Ocean and the response of the system depends more on the heat available in March (and
715 thus of conditions at that time) than on any other element in the system. However, the sea ice
716 processes during the ice advance season can have an indirect effect by changing the sea ice thickness
717 and modifying the sea ice extent later in the year. This is the case for the ice production-entrainment
718 feedback that limits the ice growth in winter. During the ice advance season, this has no major impact
719 on the ice extent itself as it modulates the characteristics of sea ice that is already present, but the
720 modification of the thickness has an influence later during the retreat.

721 The timing of the beginning of the seasonal sea ice retreat and its rate also depend on the late
722 winter conditions, with thicker ice melting later. However, the retreat rate differs strongly between
723 the experiments, and this may have a larger impact on the spring and summer ice extents than the
724 conditions in September. Among all the processes influencing the retreat rate, the ice albedo feedback
725 is the dominant one, with a lower albedo, whether it is induced directly by a change in albedo (AlbOce)
726 or indirectly by a thinner ice (ThinIce) that melts faster, strongly accelerating the ice retreat. The ice
727 transport also plays a clear role by transporting sea ice northward where it melts. Neglecting this
728 process therefore leads to a large increase in summer ice extent. This larger dependence on several
729 key physical processes during the seasonal ice retreat is consistent with the larger climate model
730 sensitivity to changes in parameters in spring and early summer than during the ice advance season
731 (e.g., Urrego-Blanco et al., 2016; Schroeter and Sandery, 2022) and with the larger interannual
732 variability in the melt rates observed over the satellite period than in the growth rates (e.g., Eayrs et
733 al., 2020).

734 From a prediction point of view, the findings of this paper are also consistent with the idea that
735 the seasonal predictability of Antarctic sea ice extent depends on the season itself (Chevallier et al.,

736 2019; Marchi et al., 2020). A diagnostic predictability study using satellite data has revealed that
737 February is the month for which the sea ice extent anomalies exhibit the largest autocorrelations for
738 all lead times up to 55 days (Chevallier et al., 2019). This higher autocorrelation for February is in line
739 with our findings showing that the seasonal development of sea ice extent during the growing season
740 is minimally controlled by physics but rather by insolation and initial conditions. By contrast, the lowest
741 autocorrelations of sea ice extent anomalies are reached in the melting season, with complete loss of
742 predictability in mid-November. This is again in line with our results that multiple physical factors
743 control the dynamics of sea ice melt.

744 The impact of all the sea ice and oceanic processes investigated here on the ice extent in winter
745 are amplified by the coupling with the atmosphere and our experimental design allows us to quantify
746 this amplification. The largest winter atmospheric feedback occurs for perturbations in albedo, as this
747 strongly modifies atmospheric temperature and humidity, amplifying the response of the ice. The
748 effect of the ice production-entrainment feedback is also strongly amplified by the atmospheric
749 coupling, as it brings thermal energy to the surface that melts ice but also warm up the atmosphere,
750 increasing the response of sea ice. By contrast, negative atmospheric feedbacks can develop for the
751 ice thickness and volume. In particular, larger heat losses due to higher conductive heat fluxes through
752 the sea ice can lead to greater sea ice formation. This induces a larger thermal energy transfer from
753 the ice-ocean system to the atmosphere that reduces the initial heat loss, resulting in a negative
754 atmospheric feedback on the thickness and potentially on the summer extent.

755 Roach et al. (2022) identified the role of insolation in controlling the observed asymmetry in the
756 growing and melting of Antarctic sea ice. Our idealized sensitivity experiments show that within this
757 robust cycle, the melt rate and maximum and minimum sea ice extents can be affected by sea ice-
758 ocean exchanges, sea ice processes, and ice dynamics. We also demonstrated quantitatively how
759 atmospheric feedback can enhance the effect of perturbations, but also in some cases dampen it.
760 Although it is an idealized study, it highlights the major role of albedo and sea ice transport in the sea
761 ice extent seasonal cycle and as key processes to target in model development and process
762 understanding.

763 **Code and data availability**

764 As described in detail in Pelletier et al. (2022a), the PARASO sources can be obtained by CLM-
765 Community members on their RedC (<https://redc.clm-community.eu/>) then “COSMO-CLM” then
766 “Downloads”). All PARASO sources, except the COSMO routines, are publicly available for didactic
767 purposes at <https://doi.org/10.5281/zenodo.5576201> (Pelletier et al., 2021) as well as the files to run
768 the model in the same configuration as here (Pelletier and Helsen, 2021). The NEMO3.6 version is
769 available from <https://forge.ipsl.jussieu.fr/nemo/browser/branches/UKMO> (Mathiot and Storkey,
770 2018).

771 **Supplement link:**

772 Supplementary information is available as a separate file.

773 **Author contributions.** HG initiated the study and designed the sensitivity experiments after
774 discussions with all the co-authors. FK performed the simulations. FK and PVH prepared the model
775 outputs for the analyses. HG made the analyses and the figures and all the co-authors contribute in
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777 **Competing interests:**

778 The authors declare that they have no conflict of interest.

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