# Fusion of Landsat 8 OLI and COCI for hourly monitoring surface morphology of lake ice with high resolution in Chagan Lake of Northeast China

Qian Yang<sup>1, 2</sup>, Xiaoguang Shi<sup>1, 2</sup>, Weibang Li<sup>1</sup>, Kaishan Song<sup>2</sup>, Zhijun Li<sup>3</sup>, Xiaohua Hao<sup>4</sup>, Fei Xie<sup>3</sup>, Nan Lin<sup>1</sup>, Zhidan Wen<sup>2</sup>, Chong Fang<sup>2</sup> and Ge Liu<sup>2, \*</sup>.

- <sup>1</sup> Jilin Jianzhu University, School of Geomatics and Prospecting Engineering, Changchun, 130118, China
- <sup>2</sup> Northeast Institute of Geography and Agroecology, Chinese Academy of Sciences, Remote Sensing and Geographic Information Research Center, Changchun, 130102, China
- <sup>3</sup> Dalian University of Technology, State Key Laboratory of Coastal and Offshore Engineering, Dalian, 116024, China
- <sup>4</sup> Northwest Institute of Eco-Environment and Resources, Chinese Academy of Sciences, Lanzhou, 730000, China

Correspondence to: Ge Liu (liuge@iga.ac.cn)

Abstract. The surface morphology of lake ice remarkably changes under the combined influence of thermal and mechanical forces. However, research on the surface morphology of lake ice and its interaction with climate is scarce. A large-scale linear structure has repeatedly appeared on satellite images of Chagan Lake in recent years. The Geostationary Ocean Color Imager (GOCI) with a 1-hour revisit and Landsat 8 Operational Land Imager (OLI) with a spatial resolution of 30 m provide the possibility to study the hourly changes in the large-scale linear structure. We merged the Landsat and GOCI images using an enhanced spatial and temporal adaptive reflectance fusion model (ESTARFM), and extracted the lengths and angles of the linear structure. We monitored the hourly changes in the surface morphology during the cold season from 2018 to 2019. The average length of the linear structure in the completely frozen period was  $21141.57 \pm 68.36$  m. The average azimuth angle was  $335.48^{\circ} \pm 0.23^{\circ}$ , nearly perpendicular to the domain wind in winter. Through two field investigations during the two recent cold seasons, we verified the linear structure as ice ridges. The evolution of surface morphology is closely associated with air temperature, wind, and shoreline geometry.

Keywords: remote sensing, lake ice, GOCI, surface morphology, wind, ESTARFM

## 1 Introduction

Lake ice is one of the essential climate variables in the cryosphere (Bojinski et al., 2014) and is closely associated with lake environments, ecological regulation, public transportation, and the safety of human activities (Hampton et al., 2017; Magnuson et al., 2000; Leppäranta, 2015; Brown and Duguay, 2010; Arp et al., 2020). The shortening of ice cover duration and thinning of ice thickness have been common trends throughout the world (IPCC, 2021; IPCC SROCC, 2019; Murfitt and Duguay, 2021). Recent work using remote sensing mainly focused on lake ice phenology (Weber et al., 2016; Zhang et al., 2021; Xie et al., 2020; Murfitt and Duguay, 2020; Du et al., 2017), lake ice classification (Tom et al., 2020; Hoekstra et al., 2020), ice

thickness (Murfitt et al., 2018b; Kang et al., 2014; Gogineni and Yan, 2015) and ice albedo (Li et al., 2018; Lang et al., 2018). However, previous work on the surface morphology of lake ice is scarce. The surface morphology, i.e., ice ridges and fractures, is controlled by the dynamic processes of lake ice, which have attracted widespread concern in academia and the public. In this study, we monitored the surface morphology of Chagan Lake in Northeast China by combining high spatial-temporal remote sensing data and the results of field investigations and explored the potential influences of climate factors.

Satellite remote sensing is macroscopic, multi-source, and wide-range and has been successfully applied in the global remote sensing monitoring of lake ice (Murfitt and Duguay, 2021; Doernhoefer and Oppelt, 2016; Du et al., 2019). Visible light and multispectral data were first used to monitor lake ice based on the spectral difference between ice and water; however, the best period to monitor lake ice changes is prone to be missed due to the influences of clouds, fog, and light (Howell et al., 2009; Cai et al., 2019; Yang et al., 2019; Qi et al., 2020). Active microwave data are used to identify ice through the differences in the backscatter of water and ice, while passive microwave data are through the differences in bright temperature (Cai et al., 2017). Microwave remote sensing can penetrate the dry snow on the surface of lake ice, and observe the internal structure and stratification of lake ice (Jones et al., 2013) from the early stage of qualitative differentiation between ground ice and floating ice to the quantitative inversion of the phenology and thickness of lake ice (Ke et al., 2013; Jeffries et al., 2013; Howell et al., 2009; Kang et al., 2014). Although the temporal resolution of active microwave remote sensing data has been improved from 30 days (ERS) to daily return visits (Radasat-2), the optimized technique is too costly and more suitable for case studies of small or medium lakes (Murfitt et al., 2018; Geldsetzer et al., 2010). With high temporal resolution and temporal coverage, passive remote sensing data can detect ice covers under all weather conditions and are limited by their low spatial resolution and significant mixed image effects. Thus, passive remote sensing is more suitable for monitoring large-scale lake ice (Du et al., 2017; Qiu et al., 2018). Although multi-source remote sensing is available to monitor lake ice processes, single-sensor remote sensing data cannot simultaneously achieve accurate remote sensing monitoring and high frequency.

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The growth and decay processes of lake ice change very fast, requiring high temporal resolution to capture surface morphology. Satellite sensors with moderate spatial resolution, such as visible infrared imaging radiometer (VIIRS) and moderate resolution imaging spectroradiometer (MODIS), can monitor the temporal changes in lake ice daily but fail to reflect the spatial details of surface morphology. Satellite sensors with medium spatial resolution, such as Landsat and Sentinel, can provide fine texture but have no frequent images to capture fast changes. Fusion methods include the unmixing method, weight function method, and dictionary-pair learning method (Sisheber et al., 2022; Zhu et al., 2016). The most common weight function method includes the spatial and temporal adaptive reflectance fusion model (STARFM) (Feng et al., 2006), spatial and temporal adaptive algorithm (STAARCH) (Hilker et al., 2009), enhanced spatial and temporal adaptive reflectance fusion model (ESTARFM) (Zhu et al., 2010), and flexible spatiotemporal data fusion (FSDAF) (Zhu et al., 2016). Previous studies have proved that these spatial-temporal fusion methods can improve the monitoring abilities of remote sensing for specific applications but fail to monitor the abrupt changes in landscapes and spectral differences. All these models are derived by pairs of coarse and fine resolution, e.g., one pair for the STARFM and two pairs for the ESTARFM. The ESTRAFM performs better than the STRAFM in heterogeneous landscapes (Zhu et al., 2010; Knauer et al., 2016; Wang et al., 2021b; Jarihani et al., 2014).

When monitoring spatial changes, the STAARCH strictly requires two pairs of bases, including one before and one after the changes, which limits its wide application. The FSDAF is more robust than the other three methods but has limitations in detecting tiny changes (Zhu et al., 2016), making it difficult to monitor the changes in surface morphology. Therefore, we generated fusion images with the high spatial-temporal resolution based on the ESTARFM for further exploration.

The evolution of a lake ice season is mainly a thermodynamic process influenced by thermal and mechanical forces. Thermal forces enable the surface to melt and freeze at the turn of the day and night, and the mechanical strength of winds and currents makes the ice bulk move and collide, causing water courses, ice ridges, and ice fractures to appear, develop and disappear. Therefore, the surface morphology of lake ice exhibits a periodical spatial-temporal difference, which differs significantly from the flat and smooth surface of lake ice. The horizontal and linear structures of lake ice are monitored by optical satellites for large lakes in Europe and have been explained by ice displacement (Leppäranta, 2015). High-resolution satellite-airborne synthetic aperture radar (SAR) images have been used to monitor the surface deformation of sea ice, such as ice ridges (Dierking, 2010). Moreover, airborne aerial platforms, such as unmanned aerial vehicles (UAVs) (Li et al., 2020), airborne radar (Jeffries et al., 2013), and ground-penetrating radar (Gusmeroli and Grosse, 2012), have effectively complemented remote sensing data sources to monitor the changes in the morphology of lake ice. The spatial distribution of the surface morphology of lake ice is complex, variable and discontinuous, which is characterized by highlighting linear features on remote sensing images. Currently, there are few studies on the changes in the surface morphology of lake ice and the influence factors. It is meaningful to develop a quantitative method to describe the surface morphology and explore the potential influences. This study proved the capability of high spatial-temporal remote sensing images for monitoring the surface morphology of lake ice in Chagan Lake, Northeast China. Our work aimed to (1) generate high spatial-temporal satellite images using Landsat and Geostationary Ocean Color Imager (GOCI); (2) monitor the hourly spatial changes in the surface morphology, including length and angle, of Chagan Lake; (3) discuss the beneficial climate conditions during the formation of the surface morphology of lake ice.

#### 2 Materials

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#### 2.1 Study area

As one of the ten largest lakes in China, Chagan Lake (124°03′–124°34′E, 45°09′–45°30′N) (Figure 1) plays an essential role in fisheries, agricultural irrigation, and winter recreation in the surrounding areas (Wen et al., 2020). The average and maximum water depths are 2.5 and 4.5 m, respectively (Duan et al., 2007; Song et al., 2011). The lake has a water area of 329.72 km² and a perimeter of 201.03 km according to Landsat 8 OLI on January 10, 2019. The salinity of lake water ranges from 0.31‰ to 0.78‰ (Liu et al., 2020). The catchment of Chagan Lake is characterized by semi-arid and sub-humid continental monsoons with air temperature, precipitation, and evaporation of 5.5°C, 430 mm, and 1496 mm, respectively (Song et al., 2011). The recharge sources mainly comprise precipitation, groundwater, and adjacent irrigation discharge (Liu et al., 2019). Salinized soil farmland and grassland pastures are widely distributed in the catchment area. Chagan Lake is a typical lake with seasonal

ice cover, and the ice covers exist from November to April each cold season, with the maximum ice thickness ranging from 0.8 to 1.1 m (Liu et al., 2020; Hao et al., 2021). We conducted two field investigations on December 30–31, 2020, and January 2–4, 2022 to verify the results from remote sensing. We measured the ice thicknesses using an electronic digital calliper with a resolution of 0.01 mm. Moreover, we measured the water depths using a hand-held sonar detector (SpeedTech SM-5) with a resolution of 0.1 m and compared the depths of fall (September 17, 2021) and winter (January 2–4, 2022).

#### [Figure 1 is added here]

#### 2.2 Materials

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## 2.2.1 GOCI

The GOCI is the first satellite for detecting ocean color from a geostationary orbit and has been widely applied in deriving optical, biological, and biogeochemical properties (Ryu et al., 2012; Ryu and Ishizaka, 2012). The GOCI data have been available since April 2004, covering about 2500 km × 2500 km around the Korean Peninsula. It has six visible bands and two near-infrared bands, and Table 1 provides the detail of band information. The GOCI provides eight hourly observations from 8:30 to 15:30 local time, with a spatial resolution of 500 m. The COCI has the most significant advantage of high temporal resolution with eight images daily, which can offer the details of freeze-up and break-up processes. A total of 96 GOCI images during the cold season from 2018 to 2019 were used in this study (Table 2). The atmospheric correction was performed by GOCI data processing software (GDPS).

[Table 1 is added here]

[Table 2 is added here]

### 115 **2.2.2 Landsat**

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The Landsat 8 satellite was launched on February 11, 2013. It carries the Operational Land Imager (OLI) and the Thermal Infrared Sensor (TIRS), which have been widely used to monitor lake and river ice (Wang et al., 2021; Yang et al., 2020). The OLI has nine bands, with a spatial resolution of 30 m for Bands 1–7 and Band 9 and a spatial resolution of 15 m for Band 8. It has a temporal resolution of 16 d. Six Landsat images during the cold season from 2018 to 2019 were prepared for data fusion with the GOCI. The capture dates were November 6, 2018, November 22, 2018, December 8, 2018, February 26, 2019, March 14, 2019, and April 15, 2019. The path and row are 119 and 29, respectively. We downloaded the Landsat calibrated surface reflectance Tier 1 collection for Landsat 8 OLI ('LANDSAT/LC08/C01/T1\_SR') from the Google Earth Engine (GEE) for further work. Table 1 compares the band information on Landsat and GOCI. From it, we found that the band range of Band 3 of the GOCI (480–500 nm) overlapped with that of Band 2 of the Landsat 8 OLI (450–515 nm). The water body had relatively strong reflectance in the blue band (400–480 nm), and the blue band images clearly displayed the linear structure. Therefore, we merged Band 3 of the GOCI and Band 2 of the Landsat 8 OLI and generated the 96 hourly fusion images for further work.

#### 2.2.3 Auxiliary data

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The lake ice phenology of the cold season from 2018 to 2019 was extracted from the combined time series of the surface temperature of lake water provided by MOD11A1 and MYD11A1 products (Song et al., 2016; Hao et al., 2021). The freeze-up date is defined as the first day when the surface temperature of lake water is below 0°C in winter; the break-up date is defined as the first day when the surface temperature of lake water is above 0°C in spring. We utilized the daily air temperatures, precipitation, wind directions, and wind speeds of Qian'an station (ID: 50948) to explain the influence of climate on lake ice from 2010 to 2021. Qian'an has a longitude and latitude of 124.011° E and 44.998° N, respectively, with an elevation of 146.3 m. Sixteen directions with an interval of 22.5° were used to describe the wind directions, including north (N), north-northeast (NNE), northeast (NE), east-northeast (ENE), east (E), east-southeast (ESE), southeast (SE), south-southeast (SSE), south (S), south-southwest (SSW), southwest (SW), west-southwest (WSW), west-northwest (WNW), northwest (NW), and north-northwest (NNW). The climate records were used to explain the relationship between lake ice and climate.

#### 3 Methods

### 3.1 The framework of methodology

Figure 2 presents the flow chart of our work. We pre-processed the Landsat 8 OLI and GOCI and prepared the reflectance images of Band 2 of the Landsat 8 OLI and Band 3 of the GOCI. Then, we merged the GOCI and Landsat 8 OLI using the ESTARFM and generated new fusion data with a spatial and temporal resolution of 30 m and 1 hour, respectively. After that, the geographic location of the linear structure on the surface of lake ice was identified, and the morphological parameters were extracted, including lengths and angles.

#### [Figure 2 is added here]

## 3.2 The ESTARFM fusion

The ESTARFM, in which two pairs of Landsat and GOCI images were used to generate spatial-temporal fusion data, was proposed by Zhu et al. (2010) based on the STARFM. Firstly, the coarse GOCI data were projected and resampled to a fine Landsat image at two known times  $t_m$  and  $t_n$ . Secondly, similar neighborhood pixels were searched with a moving window by setting spectral differences. Thirdly, we calculated the normalized weight of each similar pixel by considering the spatial, spectral, and temporal differences. Then, the coarse GOCI values were transferred to fine Landsat data using the pixel-based conversion coefficients in the linear regression. Finally, the coarse GOCI data at the same time were used to calculate the fine fusion data at the predicted time ( $t_p$ ), expressed as follows (Liu et al., 2021; Bai et al., 2017; Zhu et al., 2010):

$$L_{b}\left(x_{w/2}, y_{w/2}, t_{p}\right) = T_{m} \times L_{bm}\left(x_{w/2}, y_{w/2}, t_{p}\right) + T_{n} \times L_{bn}\left(x_{w/2}, y_{w/2}, t_{p}\right) \tag{1}$$

where  $L_b$   $(x_{w/2}, y_{w/2}, t_p)$  is the final predicted fine-resolution reflectance at the prediction time  $t_p$ ; w represents the size of the moving window, and the corresponding center is  $(x_{w/2}, y_{w/2})$ ;  $L_{bk}$   $(x_{w/2}, y_{w/2}, t_p)$  is the fine-resolution reflectance at  $t_k$  (k = m or n)

at the base date;  $T_k$  is the time weight, calculated from the magnitude of the detected change in the reflectance of the coarse spatial resolution image between  $t_m$  and  $t_n$  and the prediction moment  $t_p$ ;

$$T_{k} = \frac{1/\left|\sum_{j=1}^{w} \sum_{i=1}^{w} C(x_{j}, y_{i}, t_{k}) - \sum_{j=1}^{w} \sum_{i=1}^{w} C(x_{j}, y_{i}, t_{p})\right|}{\sum_{k=m,n} \left(1/\left|\sum_{j=1}^{w} \sum_{i=1}^{w} C(x_{j}, y_{i}, t_{k}) - \sum_{j=1}^{w} \sum_{i=1}^{w} C(x_{j}, y_{i}, t_{p})\right|\right)}, (k = m, n)$$
(2)

where  $C(x_j, y_i, t_k)$  and  $C(x_j, y_i, t_k)$  denote the image element values of similar image elements  $(x_i, y_j)$  within the moving window of the coarse spatial resolution image at the reference moment  $t_k$  and prediction moment  $t_p$ , respectively.

#### 3.3 The quantitative analysis of linear structures

In the beginning, the Landsat-GOCI fusion images were transformed into binary images. We extracted the original linear network by the Canny edge detection algorithm (Canny, 1986) and then conducted edge detection to remove the outer boundaries. The morphological processing, including opening, filling, and eroding sequentially, was implemented for the inner part of the linear network. Then, the linear structure was derived from the largest connected domain of the linear network without boundaries, and the length is calculated by the shortest path of the largest connected domain. We connected the northmost and southmost ends into a straight line. The angle followed the definition of wind direction above. We compared auto-extraction and visual interpretation in our previous work (Hao et al., 2021). The R<sup>2</sup> values of the length and angle of 0.96 and 0.98, and proved the good performance of auto-extraction algorithm.

#### 4 Results

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## 4.1 The performance of ESTARFM

We predicted the fine images from two pairs of fine Landsat and coarse GOCI data to fill the data gap caused by the low revisit frequency of the Landsat. The two known pairs of data in the freeze-up process were captured on November 6, 2018, and December 8, 2018, and 53 fine ESTARFM fusion images were predicted from coarse GOCI images. The two known pairs of data of the break-up process were captured on February 26, 2019, and April 15, 2019, and 43 fine ESTARFM fusion images were predicted. Figure 3 compares the spatial distribution of the original images and predicted images on November 22, 2018. In the predicted images, the texture of the ground objects was maintained, and enlargement figures in Figure 3 (c) and Figure 3 (d) clearly display the distribution of linear structure. The predicted images were well consistent with the original images, and indicate a good fusion effect of ESTARFM. Figure 4 illustrates the scatter plots of the actual and predicted reflectance values along the 1:1 line. The  $R^2$  value is 0.935, indicating that the predicted image was highly correlated with the actual image. The ranges of predicated and actual images were consistent; their mean reflectance values were both  $0.10 \pm 0.03$ . The performance of the ESTARFM results was limited by (1) the limited image pairs available during the cold season from 2018

to 2019; (2) the time lag between the predicted and actual images; (3) the inconsistency of capture time between the predicted images and two pairs of input images (Lu et al., 2019; Liu et al., 2018). Therefore, the ESTARFM fusion images had a good performance and can provide reliable materials for further exploration.

## [Figure 3 and 4 is added here]

## 190 4.2 The changes in surface morphology

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We extracted the surface morphology of Chagan Lake from 96 fusion images during the cold season from 2018 to 2019. Figure 5 displays the spatial changes in the linear structure of Landsat images in the freeze-up and break-up processes. Figures A1 and A2 present the original images of the GOCI with a resolution of 500 m, the fusion images of the Landsat and GOCI with a spatial resolution of 30 m, the network structure and the linear structure in the freeze-up and break-up processes, providing more details of the extraction process. The linear structure appeared on images from southeast to northwest, lasting from November 22, 2018, to November 30, 2018. The linear structure disappeared from northwest to southeast, lasting from March 15, 2019, to March 24, 2019. Figure 6 shows the average daily lengths of ice ridges based on the Landsat and GOCI remote sensing data. We monitored the growth and recession process of the linear structure via 96 Landsat-GOCI fusion images and monitored the stable process via four Landsat images. The growth stage lasted for 9 days, from November 22, 2018, to November 30, 2018. The ice ridges had a length range of 5211.17-18042.15 m and an average value of  $12680.32 \pm 4472.37$ m, extending from southeast to northwest. The azimuth angles of the ice ridges in the growth stage ranged from 331.54° to  $338.17^{\circ}$ , with an average value of  $334.38^{\circ} \pm 2.08^{\circ}$ . The lengths in the stable process ranged from 21052.78 m to 21227.53 m with an average value of  $21141.57 \pm 68.26$  m, and the angles changed from  $335.15^{\circ}$  to  $335.77^{\circ}$  with an average value of 335.48± 0.20° The recession stage lasted for 10 days, from March 15, 2019, to March 24, 2019. The ice ridges had a length range of 19178.18-5924.03 m and an average value of  $13288.59 \pm 4907.89$  m, disappearing from northwest to southeast. The azimuth angles of the ice ridges in the recession stage ranged from  $329.84^{\circ}$  to  $336.16^{\circ}$ , with an average value of  $332.90^{\circ} \pm 2.54^{\circ}$ . The changing rates in the growth and recession stages were 1425.66 and 1325.42 m per day, respectively, indicating that growth was slightly faster than recession. The large-scale structure extending from northwest to southeast repeatedly appeared on Landsat images since 1986, which has been reported in our previous work (Hao et al., 2021).

210 [Figure 5 is added here]

[Figure 6 is added here]

## 4.3 The field investigation

Considering the safety of traveling on ice, we conducted two field investigations during the two recent cold seasons, from December 30 to 31, 2020, and January 2 to 4, 2022, respectively. We divided the lake area into three regions according to the surface morphology of lake ice. Region 1 was distributed along the linear structures. The surface of lake ice is uneven, and ice fractures and ice ridges were widely distributed. Region 2 was distributed along the northeastern coast, where the Ice and Snow

Fishing and Hunting Cultural Tourism Festival of Chagan Lake has been held at the end of December each year since 2001. Region 3 covered the southern part of Chagan Lake. The lake ice in Regions 2 and Region 3 was flat and smooth, and snow cover was sporadically distributed. The color of lake ice along the linear structure was distinguished from lake ice in the neighborhood. We infer that the frozen time of linear structure was later than the lake ice in the neighborhood. The difference between ice fractures and ice ridges was the vertical height. Ice ridges were elevated sections formed on the upper and lower surfaces of lake ice, consisting mainly of ridge sails and keels. We located ten sampling points along the linear structure on the satellite images and collected field photos of ice ridges and fractures (Figure 1). We further verified the large-scale fractures on the images as ice ridges.

We also measured the ice thicknesses and water depths of 16 sampling points (Figure 7). The ice thicknesses in the winter of 2021 ranged from 437.55 mm to 668.25 mm, with an average value of 582.24 ± 58.14 mm. The average ice thicknesses of Regions 1, 2, and 3 were 551.58, 547.75, and 645.74 mm, respectively. The average water depths of Regions 1, 2, and 3 were 3.48, 2.99, and 3.00 m, respectively. Among the three regions, Region 2 had the smallest average values of ice thickness and water depth. The differences in water depth between the fall of 2021 and the winner of 2021 had an average value of 0.12 ± 0.05 m and a maximum value of 0.2 m. The water depth in winter was lower than that in fall, and the decreasing water level also was a cause of lake ice fracturing in winter (Leppäranta, 2015). The ice features first formed in the nearshore area of the southeast coast, where the water depth was relatively smaller than that in other regions in Figure 7. The ice thicknesses and water depths showed spatial coherence with the surface morphology.

#### [Figure 7 is added here]

#### 235 4.4 The climate condition

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Lake ice processes are governed by the complex interaction of hydraulics, thermodynamics, and mechanics. The heat loss due to the decreasing air temperature exceeds the heat gained from surface water in late fall and early winter. When the water temperature falls below the freezing point, the cooled water provides a beneficial condition for ice crystals. Then, the volume of lake ice expands, and the amount increases, followed by the formation of ice. We analyzed the wind roses daily average and maximum wind speeds from November 1, 2018, to April 15, 2019 (Figure 8). The freeze-up and break-up dates of Chagan Lake in the cold season of 2019 derived from MODIS daily LST products were November 14, 2018, and March 24, 2019, respectively. The ice ridges appeared on November 22, 2018, 5 days after the freeze-up date; they disappeared on March 24, 2019, and were consistent with the break-up date.

The domain wind in the growth process was NW, WNW, W, and WSW; the domain wind in the stable process was WNW, 245 WSW, and NNE; the domain wind in the recession process was NW, WNW, and WSW. WNW and WSW direction was the domain wind direction for all three stages. The frequency in the WSW direction in the growth, stability, and recession stages was 22.22%, 30%, and 14.23%, respectively. The angles between the WSW direction and ice ridges were 87.98°, 86.88°, and 85.40° for the three stages. The nearly perpendicular relationship was consistent with our previous study (Hao et al., 2021). Our previous used the yearly average values of wind from 2013 and 2020, and the work herein just exploited the wind in the

cold season from 2018 to 2019. Besides, the wind speed also contributed to the formation of ice cracks and ridges. The daily average wind speed in the growth process and recession process was 3.88 m/s and 3.63 m/s, and the average values for the whole cold season were 2.97 m/s. Both the daily maximum wind speed in the growth process and recession process was 3.88 m/s and 3.63 m/s 7.05 m/s and 6.86 m/s, and the average values for the whole cold season were 5.65 m/s. Not only daily average values but also the maximum value was higher than the average level and revealed that the changing processes of ice fractures and ridges require relatively strong action of wind. Therefore, wind speeds and directions played crucial roles in the development of ice ridges.

#### [Figure 8 is added here]

## 5 Discussion

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The lake ice experiences different types during the freezing and thawing cycle, including the phases of ice crystals, frazil ice, nails, pancake ice, and ice layers (Leppäranta, 2015). Lake ice expands and contracts as the air temperature rises and drops during cold seasons. The temperature difference between night and day results in the thermal expansion and contract of lake ice, which differ significantly within a given lake. Furthermore, long and narrow cracks are generated and likely to evolve into ice ridges under pressure when lake ice bulk, collides, and piles up. The definitions of lake ice are limited by the view ranges of field measurements, and satellite remote sensing provides a new perspective for surface morphology in a larger-scale observation. The large-scale linear structure has been found on remote sensing images during the cold season from 2018 to 2019. Similar phenomena have also been found in lakes and reservoirs in Northeast China (Liu et al., 2018b). In our previous work, we used 4 Landsat 8 OLI images to monitor the monthly changes due to the limitation of temporal resolution (Hao et al., 2021). In this study, we took advantage of the hourly revisit of the GOCI and generated 53 and 43 ESTARFM fusion images in the freeze-up and break-up processes, respectively. This makes it possible to explore the linear structure in detail. The recurrent large-scale linear structure was further verified as ice fractures and ice ridges in the fieldwork. The spatial scales of ice fractures and ice ridges is a changeling work when considering the data source. The UAV is suitable to monitor the lake ice fractures at small scales (0-100m), and the satellite sensors are suitable to monitor the ice ridges at large scales (10-100 km), both of which are suitable to monitor the horizon changes of the lake ice surface.

Besides the thermal forces, the lake ice fractures and ridges are also a dynamic process under the control of mechanical forces. The wind above ice covers and water currents beneath ice covers force the shift of ice bulk (Tan et al., 2012). Wang et al. (2006) compared the machinal changes of leads and ice covers based on modelling results and satellite monitoring (Wang et al., 2006; Leppäranta, 2010) and revealed the influence of winds on the drift of ice. In the freeze-up process, the winds and water currents can push the ice toward the shore, preventing ice covers from freezing; in the break-up process, the wind can break ice covers and accelerate melting. The lake ice fractures were mainly controlled by thermal forces, and the ice ridges were mainly controlled by mechanical forces. The ice ridges underwent three stages during the cold season of 2018-2019, in which the wind directions and speeds exhibited remarkable differences. The ice ridges grew from southeast to northwest with

an average direction of 334.38° and decayed from northwest to southeast with an average direction of 332.90°. The WSW direction frequently happened in all three stages, revealing the crucial role of winds in the development of ice ridges. The direction of the ice ridges was nearly perpendicular to the WSW direction (247.5°), which followed the principal laws of mechanics. The air temperature created a cold environment for the ice cover to freeze, the wind provided a mechanical force for the ice bulk to shift, and ice ridges and ice fractures formed. In addition, the direction of the ice ridges had a similar shape to the southwest shoreline, and the stable shoreline geometry could explain the recurrent ice ridges with a specific direction, which was reported in previous studies (Leppäranta, 2015).

Linear structures are common natural phenomena on the surfaces of sea ice and lake ice and profoundly influence light transfer and ice ecology. Lake ice ridges alter surface roughness and light transfer, and then contribute to the thickness and volume of ice. People in cold regions have skilfully taken advantage of frozen ice covers for fishing, food storage, and commercial transportation. The capacity and stability of floating ice can be evaluated by the ice thickness and the spatial distribution of ice fractures and ridges (Tan et al., 2012). Generally, 30 cm is the thickness suggested for safe human activities on the ice (Leppäranta, 2015). Ice fractures and ice ridges potentially threaten human activities. In the field investigations, we measured the ice thicknesses along the linear structure when the ice covers were steady. The ice thicknesses along the ice ridges were supposed to be thinner than in other areas (Leppäranta, 2015), but no significant difference in ice thickness had been found in our field measurements. Thus, the surface morphology of lake ice would be a reliable sign of dangerous traveling. Besides, we monitored the horizon changes in lake ice ridges using optical satellite images but ignored the vertical heights of ice ridges, which need to consider in future work.

#### **6 Conclusion**

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We generated high spatial-temporal remote sensing data of the Landsat and GOCI using the ESTARFM to fill the gap in the fine monitoring of lake ice dynamics in Lake Chagan. We compared the reflectance of the fusion images and the original images on November 22, 2018. The R<sup>2</sup> value between the actual images and predicted images is up to 0.935, indicating the predicted images were highly correlated with the actual images. Moreover, the consistency of the texture of the ground objects was maintained between the predicated images and the original images. Therefore, the ESTRAFM fusion images provided reliable materials for further exploration.

We calculated the lengths and the angles of the linear structure on the fusion images in the freeze-up and break-up processes during the cold season from 2018 to 2019. Based on the satellite images, the linear structure experienced growth, stability, and recession stages. The growth stage lasted for 9 days ranging from November 22 to November 30, 2018. The recession stage lasted for 10 days ranging from March 15 to March 22, 2019. From southeast to northwest, the linear structure was 5211.17 to 18042.15 m long during growth; from northwest to southwest, it disappeared. The average length of the ice ridges in the completely frozen period was  $21141.57 \pm 68.36$  m. The average azimuth angle was  $335.48^{\circ} \pm 0.23^{\circ}$ .

We performed field investigations and verified the linear structure as ice ridges. The direction of the ice ridges was nearly perpendicular to the southwest wind direction, which is the dominant wind direction in winter. The deformation of the surface morphology was related to the meteorological conditions before the freeze-up process, including winds and air temperatures. This work demonstrated the capability of monitoring large-scale surface morphology using multi-source remote sensing and has profound implications for traveling safety on ice and ice engineering. We also plan to extend our findings to other large lakes in China and fill the knowledge gap of the surface morphology of lake ice.

## 320 Appendices:

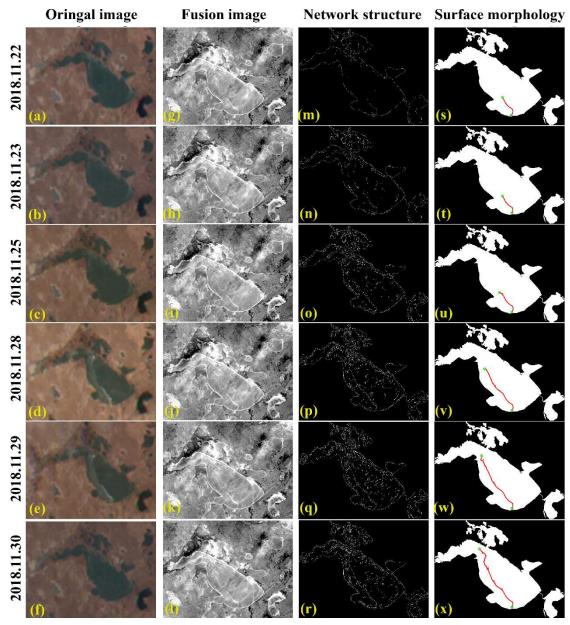


Figure A1 The changes of ice ridges during the freeze-up process from November 22 to November 30, 2019: (a-f) the original images from GOCI; (g-l) the fusion images from Landsat and GOCI; (m-r) the network structure of surface morphology; (s-x) the surface morphology.

Figure A2 The changes of ice ridges during the break-up process from March 15 to March 24, 2019: (a-e) the original images from GOCI; (f-j) the fusion images from Landsat and GOCI; (k-o) the network structure of surface morphology; (p-t) the surface morphology.

## Data/code availability

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330 All raw data and code can be provided by the corresponding author upon request.

## **Author contribution:**

YQ, XF, and LZJ planned the field investigation; SXG and LWB processed the remote sensing data; FC and LN analyzed the data; YQ and SKS wrote the manuscript draft; HXH, LZJ, WZD, and LG reviewed and edited the manuscript.

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# Tables

Table 1 The comparison of band range between GOCI and Landsat. The selected bands for merging Landsat and GOCI are marked with red.

	GOCI		Landsat 8 OLI	
Band	Band centre	Bandwidth	Band centre	Bandwidth
	(nm)	(nm)	(nm)	(nm)
Band 1	402-422	20	443-453	20
Band 2	433-453	20	450-515	65
Band 3	480-500	20	525-600	75
Band 4	545-565	20	630-680	50
Band 5	650-670	20	845-885	40
Band 6	675-685	10	1560-1660	100
Band 7	735-755	20	2100-2300	200
Band 8	845-885	40	500-680	180

# Table 2 The usage of GOCI images from November 2018 to March 2019.

Date	Image number	Date	Image number
2018.11.22	8	2019.03.16	0
2018.11.23	7	2019.03.17	8
2018.11.24	0	2019.03.18	8
2018.11.25	8	2019.03.19	0
2018.11.26	0	2019.03.20	0
2018.11.27	7	2019.03.21	0
2018.11.28	8	2019.03.22	4
2018.11.29	8	2019.03.23	8
2018.11.30	7	2019.03.24	7
2019.03.15	8	Total	96

## **Figures**

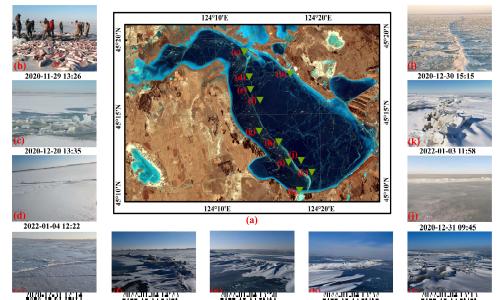


Figure 1 The spatial distribution of Chagan Lake and field photographs. Figure 1 (a) is provided Landsat 8 OLI on February 10, 2019 with the band composite: R(5) + G(4) + B(3). Figure 1 (b)- (h) displays the field photographs captured in field investigations.

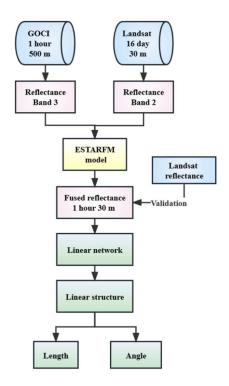


Figure 2 The workflow of this study.

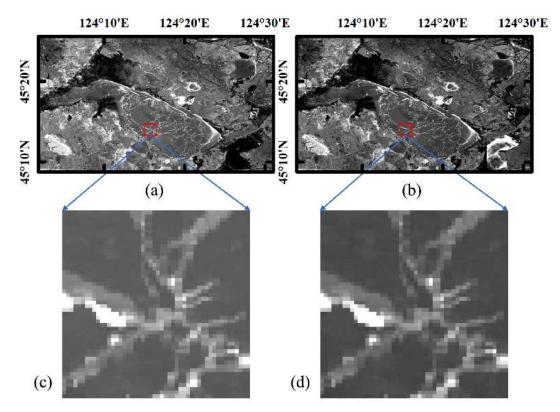


Figure 3 The actual image observed on November 22, 2018 (a) and its prediction images by the ESTARFM (b). The lower row images 505 (c) and (d) display the enlargement figure of red rectangle in upper row images (a) and (b).

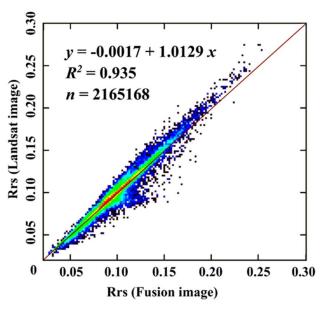
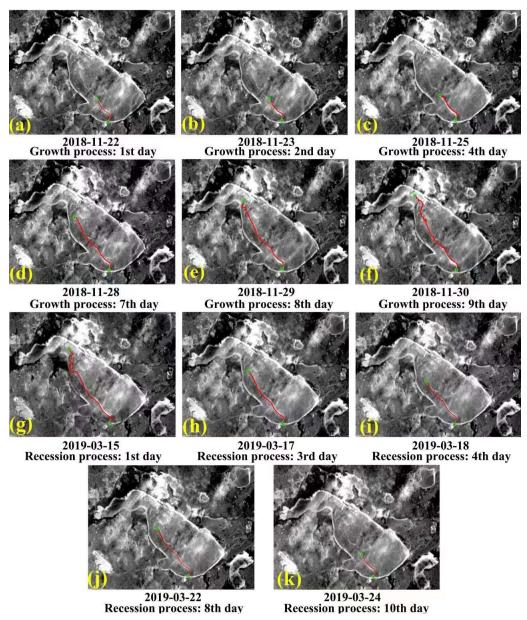


Figure 4 Scatter plot of the real and the predicted reflectance by the ESTARFM for the blue band. The capture date was November 22, 2018.



510 Figure 5 The spatial and temporal changes of linear structure on fusion images of Lake Chagan during the cold season of 2018-2019.

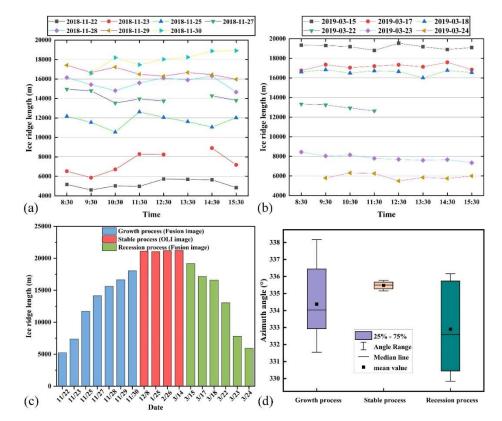


Figure 6 The changes of ice ridges during the cold season of 2018-2019: (a) length changes during the growth process from November 22 to November 30, 2018 measured from 53 ESTARFM fusion images; (b) length changes during the recession process from March 15 to 24, 2019, measured from 43 ESTARFM fusion images; (c) the daily average length; (d)the angles of ice ridges in different stages.

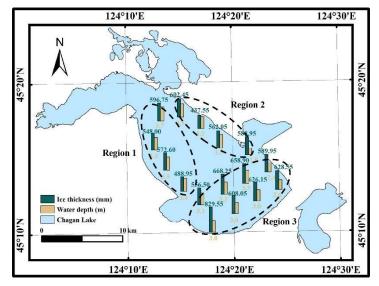


Figure 7 The ice thickness (mm) and water depth (m) of Chagan Lake was measured during the periods from January 2 to 4, 2022.

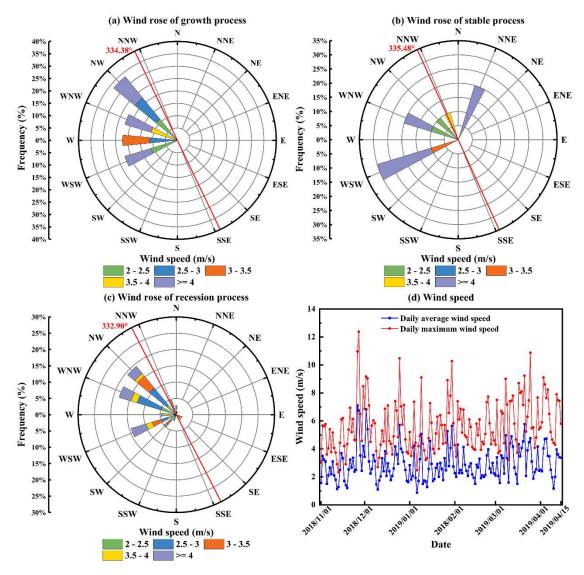


Figure 8 The wind field of Chagan Lake during the cold season of 2018: (a) growth process from November 22, 2018, to November 30, 2018; (b) stable process; (c) recession process from March 15 to March 24, 2019; (4) daily average and maximum weed speed from November 1, 2018, to April 15, 2019.