~~Simulation of the current and future dynamics of permafrost near the northern limit of permafrost on the Qinghai–Tibet Plateau~~

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Abstract: Permafrost has been warming and thawing globally, with subsequent effects on the climate, hydrological, and ecosystem. However, the permafrost thermal state variation in the northern lower limit of the permafrost zone (Xidatan) on the Qinghai−Tibet Plateau (QTP) is unclear. This study attempts to explore the changes and variability of this permafrost using historical (1970–2019) and future projection datasets from remote sensing–based Land Surface Temperature product (LST) and climate projections from ~~Global Climate Model (GCM)~~ Earth System Model (ESM) outputs of Coupled Model Intercomparison Project Phase 5 and 6 (CMIP5, CMIP6). Our model considers phase change processes of soil pore water, thermal properties difference between frozen and ~~thawed~~ unfrozen soil, geothermal flux flow, and ground ice effect. ~~The results indicate that 1)~~ Our model can consistently reproduce the vertical ground temperature profiles and active layer thickness (ALT), ~~is superior in~~

recognizing permafrost boundaries, and capture the evolution of the permafrost thermal regime. The spatial distribution of permafrost and its thermal conditions over the study area were controlled by elevation with a strong influence of slope orientation. From 1970 to 2019, the mean annual ground temperature (MAGT) in the region warmed by 0.49 °C in the continuous permafrost zone and 0.40 °C in the discontinuous permafrost zone. The lowest elevation of the permafrost boundary (on the north–facing slopes) rose approximately 47 m, and the northern boundary of discontinuous permafrost has retreated southwards approximately 1-2 km, while the lowest elevation of the permafrost boundary remains unchanged for the continuous permafrost zone. The warming rate in MAGT is projected to be more pronounced under Shared Socioeconomic Pathways (SSPs) than that of Representative Concentration Pathways (RCPs), but no distinct discrepancies in the areal extent of the continuous, discontinuous permafrost and seasonally frozen ground among SSP and RCP scenarios. This study highlights the slow delaying process in the response of permafrost in QTP to a warming climate, especially in terms of the areal extent of permafrost distribution.

1 Introduction

Permafrost is one of the crucial components of the cryosphere that is largely sensitive to climate change (Li et al., 2008; Nitze et al.,2018; Smith et al., 2022). Owing to its high elevation (mean elevation above 4000 m above sea level (a.s.l.)) and extreme cold climate, the QTP is considered the largest and highest elevational permafrost region (occupies a permafrost area of 1.06×10^6 km^2 or 40 % of the total area of the QTP) which is located in the mid- to low- latitude regions (Zhou et al., 2000; Yang et al., 2010; Zou et al., 2017; Zhao et al., 2020). Since the 20th century, climatic warming has been evident on the QTP, particularly in the permafrost regions, which has significantly impacted the permafrost, manifested by rising ground temperatures, increase in ALT, thinning of permafrost, melting of ground ice, and disappearing of permafrost ultimately (Wang et al., 2000; Cheng and Wu, 2007; Wu et al.,2008; Jin et al., 2011; Li et al., 2012; Zhao et al., 2020; Zhang et al., 2022). Changes in the permafrost have substantial impacts on the hydrological process (Cheng et al., 2013; Zhao et al., 2019), the energy exchange between land and atmosphere (Xiao et al., 2013; Hu et al., 2017), natural hazards (Hjort et al., 2022), carbon budget (Schädel et al., 2016; Miner et

al.,2022; Hjort et al., 2022; Fewster et al., 2022), and ecological environments (Yi et al., 2014; Jin et al., 2021). Therefore, it has become a pressing issue for research to diagnose how and at what rate permafrost responds to global warming. It has prompted a great concern among geocryologists, cold regions engineers, and international society (Schuur et al., 2011; IPCC, 2019).

The northern fringe of the continuous permafrost zone of QTP is exceptionally vulnerable to climatic variability, as characterized by permafrost and seasonally frozen ground coexistence, and a thicker active layer, much thinner and warmer permafrost in this region compared with permafrost in the interior of the QTP (Wu et al., 2005; Liu et al., 2020). Considering the location of the northern lower limit of the continuous permafrost zone of the QTP, detailed permafrost environmental investigation and monitoring have been started systematically since 1987 (Zhao et al., 2021), and latest information on remote sensing products with a high resolution (e.g., Zou et al., 2014, 2017; Li et al., 2015b) is readily available, the Xidatan constitutes an ideal region to assess the response of marginal permafrost to a warming climate. To aid infrastructure construction of the Qinghai–Tibet Highway (QTH), multiple field investigations and borehole monitoring were started in the late 1960s, documenting that warming and thawing of permafrost have been striking in this study region (Jin et al., 2000, 2006; Cheng et al., 2007). There is little knowledge about the spatial variations, as the logistics of borehole installation is highly expensive and challenging in some remote areas (e.g., remote alpine mountain areas with steep and complex topography). The high spatial heterogeneity (e.g., permafrost and seasonally frozen ground coexist) strongly influence permafrost distribution (Cheng et al., 2004), and a simple point observation representing regional conditions is problematic. Therefore, it is difficult to accurately delineate the permafrost distribution margin by traditional cartographic techniques based on the limited field survey data, aerial photographs, satellite images, and topographic features dataset (Ran et al., 2012; Zou et al., 2017). It highlights the demand for a spatial study approach to achieve a realistic picture of permafrost distribution for further study of thermal state and dynamics in response to climate variability.

Models have the potential to overcome the shortage of in-situ data and field surveying in mapping permafrost conditions and change studies (Riseborough et al., 2008). A variety of models can be applied for the quantitative assessment of the response of marginal permafrost to the warming

climate (Cheng et al., 1984; Li et al., 2008; Lawrence et al., 2012; Guo et al., 2016; Lu et al., 2017; Chang et al., 2018; Wang et al., 2019; Ni et al., 2021). However, most models are poor at interpreting marginal permafrost, especially true in the region of northern or southern permafrost boundaries, such as the Xidatan. Such challenges, in part, are attributed to the effect of local factors (e.g., topography, vegetation, snow cover, thermal properties of the surface soil, etc.). Near the lower limit of permafrost, the permafrost and seasonally frozen ground coexist. High spatial heterogeneities of the land surface make it a challenging area for permafrost modeling (Cheng et al., 2004; Zou et al., 2017, Luo et al., 2018; Yin et al., 2021). Due to the lack of detailed field observations, most existing simulation results have not considered the effects of water phase change and ground ice and the thermal state of deep permafrost. Hence, there is a considerable discrepancy among their results on the timing, rate, and magnitude of permafrost degradation (Zhao et al., 2020; Smith et al., 2022). Thus, it hardly makes us agree on a quantitative assessment of the response of marginal permafrost to warming climate. To address these issues, Sun et al. (2019) proposed a transient numerical heat conduction permafrost model and successfully simulating the evolution and dynamics of the permafrost thermal regime from 1962 until the end of this century at a monitoring borehole (QT09) located in the Xidatan comprehensive observation site.

In this work, we attempt to upscale our model for the whole region, aiming to accurately simulate mountain permafrost spatial distribution and dynamics. The objective includes the production of high-resolution (1 km×1 km) data for the period of 1970–2019 and anticipate possible changes by 2100 under different climate change scenarios, forced by improved remote sensing-based spatial product (LST) and CMIP5 (under RCP 2.6, RCP4.5 and RCP8.5) and CMIP6 (under SSP1–2.6, SSP2–4.5, and SSP5–8.5) projections. Our model fully considers the thermal properties difference between frozen and thawed soil, the phase variations of the unfrozen water in frozen soil, the distribution of the ground ice, and geothermal heat flow. We aim that this study will simulate the distribution of marginal permafrost on the QTP, quantitatively assess the thermal regime spatiotemporal dynamics under climate change, and anticipate changes for future climate scenarios. The findings are fundamental prerequisites for a reasonable understanding of the patterns and processes of permafrost degradation on a hinterland QTP or global scale, thus supporting policy–makers and researchers to develop strategies for the cold regions in environmental management,

Move this part to conclusion.

hazard mitigation, adaptation, stability of engineering foundations design, conservation of land and water resources, etc.

2 Study area, materials, and methods

2.1 Study area

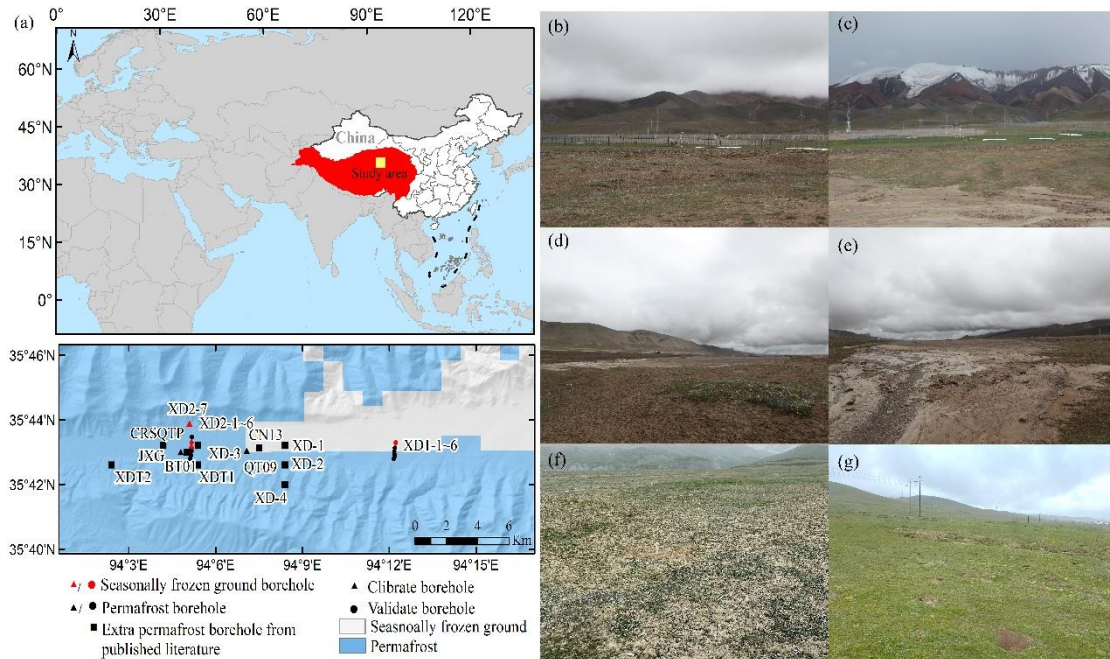
The study focuses on the Xidatan of QTP, situated in a narrow down–faulted basin at the northern foot of the Eastern Kunlun Mountains within the northern limit of the permafrost on the QTP (Fig. 1a). The region encompasses a land area of ~220 km^2 and is characterized by discontinuous permafrost (Wu et al., 2005; Liu et al., 2020; Yin et al., 2021). Some periglacial landforms, such as block fields, stripes, and stone rings, have developed in the mountainous terrain (Luo et al., 2018). Several glaciers extend from the peaks of the East Kunlun Mountains downwards along the valley in the southern area (Fig. 1b). The elevation varies from 4100 m a.s.l. in the east to 5700 m a.s.l. in the west. Topographic relief in the majority (~90 %) is minimal (slopes lower than 5°), with some exceptions in mountainous areas. The plant community composition is mainly dominated by sparse alpine steppe, and the alpine desert consists of >10 m thick soil layer of gravel, fluvial sand, and silt (Wang et al., 2000; Jin et al., 2000; Yue et al., 2013; Yin et al., 2021) (Fig. 1b–g). According to the comprehensive observation site (COS) (Fig. 1b), from 2004 to 2018, the mean annual air temperature and mean annual precipitation were -3.6 °C and 384.5 mm, respectively. In 2017, permafrost thickness was approximately 26 m, with the MAGT at zero annual amplitude (ZAA, where the annual difference in ground temperature is less than 0.1 °C) was approximately -0.66 °C and ALT is about 1.60 m (Zhao et al., 2021).

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Figure 1. **The geographical location of the Xidatan on the QTP, its topography** and **the location of 24 borehole sites (a). Surface conditions at monitoring borehole sites (b–g): view over the Xidatan comprehensive observation site (b), QT09, view towards the south (c), QT09, view towards the northeast (d), view from the vicinity of QT09 towards the east (e), XD2–1~2–7, view towards the south (f), XD1–1~1–6, view towards the east (g) (the spatial distribution of frozen ground types are derived from Zou et al. (2017); topography was generated by the Digital Elevations Model constructed (DEM) from the Shuttle Radar Topography Missions (SRTM) with a 1-arcsecond (~30 m) (Jarvis et al., 2008), Tibet Plateau boundary was from National Tibetan Plateau Data Center (Zhang et al., 2019). all photographs were taken during the field investigation from 23 July 2021 to 2 Auges 2021).**

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2.2 Materials

2.2.1 Field monitoring and borehole observation datasets

There are fifteen monitoring boreholes with long–term observations (for the last 10 years) established in the Xidatan (Fig. 1a). A COS is in the central part of the Xidatan, where the ground surface is composed of sparse dry alpine meadows, and the soil layer is made of fluvial sand and gravel (Fig. 1b). A monitoring borehole QT09 (30 m in deep at 4538 m a.s.l.) and an automatic weather station (AWS) automatically recorded long–term observed basic meteorological elements

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(May2004 to December 2018), the soil moisture content in the active layer (October 2009 to December 2018), and soil temperature at various depths (January 2005 to December 2017). Approximately 4 km from the COS, another 30 m deep borehole BT01 (4530 m a.s.l.) was drilled in sparse dry steppe with considerable coarse sand and gravel, where continuous soil temperature measurements were taken continuously at depths of 0.5 to 30 m span from 2004 to 2017. In these two sites, the soil moisture content in shallow layers (<1.1 m) ranged from 15 to 39 % and from 4 to 15 %, respectively, and the organic matter content of 4.2 % and 1.68 %, respectively (Liu et al., 2020).

In addition, during August 2012, thirteen boreholes from 8 to 15 m depth (XD1–1~XD1–6, XD2–1~XD2–7) were drilled along parallel altitudinal transects at the east (3.15 km length) and west (3.86 km length) part of the Xidatan (Luo et al., 2018). The soil temperature records are available at these borehole locations covering November 2012 to September 2017. The six boreholes (XD1–1~XD1–6) are located in dry and sparse grassland on the eastern altitudinal transect between 4368 m a.s.l. and 4380 m a.s.l. (Fig. 1g), among which the XD1–1~XD1–4 boreholes are all 15 m deep, and the two other boreholes (XD1–5~XD1–6) are 8 m depth. A frozen layer has been observed in the five uppermost boreholes (XD1–1~XD1–5), while it was absent in the lowermost borehole XD1–6 (Luo et al., 2018). Similarly, seven boreholes were drilled at the western side of the Xidatan, resulting in an altitudinal transect from 4490 m a.s.l. (on the north) down to 4507 m a.s.l. (on the south). The first three boreholes (XD2–1 to XD2–3) and XD2–6 is 15 m deep in sparse grassland. Similarly, boreholes XD2–4, XD2–5, and XD2–7, are 15 m, 15 m, and 8 m deep and located in river erosion-induced sand-rich sediment (Fig. 1e). The ground temperature monitoring results showed that permafrost existed in boreholes XD2–1 to XD2–3 and XD2–6, but there is no permafrost in boreholes XD2–4 to XD2–5 and XD2–7 (Luo et al., 2018; Yin et al., 2021).

The air temperature (height of 2, 5, and 10 m) and the volumetric unfroze soil water content in the active layer were recorded by a CR1000/CR3000 data acquisition instrument (Campbell Scientific Inc., USA, with ± 0.5 °C accuracy), and by a hydra–soil moisture sensor connecting to a CR1000 datalogger (Campbell Scientific, USA, with an accuracy of ± 2.5 %). A cable equipped with 20 to 30 high accuracy (± 0.1 °C) thermistors (SKLFSE, CAREERI, CAS) chain is connected

to a CR3000/CR1000 (Campbell Scientific, Logan, UT, USA) data loggers and vertically arranged at depths from 0 to 30 m (the depths are not the same for all sites, details are given Table A1). The ground temperature has been recorded automatically every 1 or 4 h at different depths. A more detailed description of the dataset, as well as the thermistor set up and installations can be found in Luo et al. (2018) and Zhao et al. (2021). Before further proceeding, errors in the sensor were identified and fixed, and the outliers were replaced with valued generated by the data before and after (see Zhao et al. (2021) for more details on the quality control procedures). Then, the data were re-sampled for the daily average which is used to calibrate and validate the model performance. The spatial distribution of these borehole sites is displayed in Fig. 1a, and the crucial information about these boreholes employed for model calibration and validation is summarized in Tab. A1.

2.2.2 Meteorological observations from Chinese Meteorological Administration

The observed temperature dataset from China Meteorological Administration (CMA) ground–based meteorological stations was used to extend the land surface temperature (LST) series since the 1970s. For that, observed daily mean air temperature data for the 1970 to 2019 period at two AWS of CMA nearby (Wudaoliang:35°13′ N, 93°05′ E and Golmud:36°25′ N, 94°55′ E) was downloaded from China Meteorological Data Sharing Service System (http://data.cma.cn/).

2.2.3 Remotely sensed land surface temperature datasets

Modified Moderate Resolution Imaging Spectroradiometers Land Surface Temperature (MODIS LST) product is used to force transient heat flow model for spatial modeling of alpine permafrost distribution. The MODIS onboard the Terra and Aqua satellites have provided LST measurements at a spatial resolution of 1 km×1 km since 2003 (https://modis.gsfc.nasa.gov/). Here, we employ clear–sky MOD11A2 (Terra MODIS) and MYD11A2 (Aqua MODIS) products (processing version 6), which contain two night–time measurements per day for the same pixel (Zou et al., 2017). Before proceeding, time series of irregularly spaced observations owing to clouds or other factors were identified, and gaps were filled by the Harmonic Analysis Time-Series (HANTS) algorithm (Obu et al.,2019). An empirical model (Zou et al.,2014, 2017) was subsequently established to get mean daily values from Aqua and Terra daytime and nighttime transient LST. Notably the model validation was quite well over the Xidatan, with the square of the correlation

coefficients (R^2) above 0.9, $P<0.01$. Details of these algorithms can be found in Xu et al. (2013) and Zou et al. (2014).

2.2.4 Additional validation datasets

The comprehensive investigation of permafrost and its environments in the Xidatan was conducted in 1975 and 2012, respectively (Nan et al., 2003; Luo et al., 2018). The lowest elevation of permafrost boundary in 1975 and 2012 was approximately 4360 m a.s.l and 4388 m a.s.l., respectively, by ground–penetrating radar (GPR) profiles combined with drilling boreholes. Subsequently, permafrost distribution in this region was delineated on a topographic map at a scale of 1:50000, by hand empirically using contour elevations line based on the field survey data, aerial photographs, and satellite images (Fig. 2a–b). In addition, one benchmark map of permafrost distribution in 2016 was accomplished by Zou et al. (2017), simulated by the temperature at the top of the permafrost (TTOP) model (Fig. 2c). The abovementioned three maps were used as the validation data to evaluate model performance in permafrost distribution. Furthermore, the long–term continuous ALT observed dataset in the BT01, QT09, XD–1, XD2–4, and XD2–6 interpolated from the in–situ soil temperature profile (Liu et al., 2020; Yin et al., 2021) were also used to evaluate the model performance. Moreover, the observed permafrost distribution of boreholes (CRSQTP, JXG, XD1, XD2, XD3, XD4, XDT1, XDT2, CN13) was used to assist in determining whether permafrost exists or not.

2.3 Methods

2.3.1 Model description

We simulated the subsurface temperature dynamics along the soil column by numerically solving the one–dimensional transient Fourier's law heat conduction equation. The physical basis and operational details of the model are documented in Sun et al. (2019), and only a brief overview of the model properties for a single grid cell is given here. Ground temperature T changes over time t and depth Z through heat conduction, as described by:

$$C_{eff}(z,T)\frac{\partial T}{\partial t} - \frac{\partial}{\partial z}\left(k(z,T)\frac{\partial T}{\partial z}\right) = 0 \tag{1}$$

A constant geothermal heat flow of Q_{geo}=0.08 W m^{-2} (Wu et al., 2010) as the lower boundary condition, and LST as the upper boundary condition. The thermal properties of the ground are described in terms of heat capacity C, thermal conductivity k and total volumetric water/ice content VWC. The latent heat effects of the water–ice phase transition is accounted for in terms of an effective heat capacity C_{eff} (z, T). The heat transfer equation (Eq.1) was discretized along with a soil domain to 100 m depth using finite differences. Subsequently, the trapezoidal rule was applied to numerically solve moderately stiff ordinary differential equations (Schiesser, 1991; Westermann et al., 2013). With comprehensive consideration of the modeling precision and computation cost, we choose the calculated time step to be one day and set a total of 282 vertical levels for each soil column, with the vertical resolution configurations of 0.05m (the upper 4 m) and 0.5m (remaining soil layer to 100 m).

2.3.2 Model calibration and validation

We selected four borehole sets (Fig. 1a), which represented different soil type classes with various thermal properties for the initial model calibration, and the remaining sites for cross-validation. The sites were selected based on surface deposits, vegetation coverage, and soil types at a 1 km×1 km spatial resolution (Li et al., 2015b, Luo et al., 2018). Thermophysical properties (e.g., stratigraphies, texture, ground ice content, organic matter content, dry bulk density) of distinct soil layers were measured or assessed from field surveys, laboratory and on-site measurements of soil samples obtained from fifteen borehole cores (depths between 8~30 m). These boreholes were specific for each soil class and geographical location. The detailed information for the bulk density and moisture content measurements of soil samples, are referred to Zhao et al. (2015). Furthermore, a time series of observed soil water content dataset in the active layer (Sun et al., 2019, 2022; Zhao et al., 2021) vicinity of the site (QT09) and the ground ice distribution maps accomplished by Zhao et al. (2010) is used for water content estimates of each soil type. And then, we pre-selected narrow ranges of plausible values of typical soil thermophysical parameters (thermal conductivity and heat capacity, details see Table A2), and fine-adjusted during model calibration. The manual stepwise optimization procedures were used to adjust parameters based on the suggestions by Hipp et al. (2012). Specifically, calibration was performed by systematically changing k over the given plausible ranges to improve the agreement between the simulated and observed ground temperature

at different depth levels. Subsequently, minor adjustments were made to C to promote the model's performance.

270   The model was initialized by cyclical forcing of the first year LST data until the soil temperature profile reached a steady state to estimate an initial temperature profile. The number of spin–up cycles was between 2000 to 3300, and the criterion of soil temperature profile reached equilibrium under the upper and lower boundary condition was set at less than 0.0001 ℃/cycle. The last-day ground temperature profile was subsequently used as the initial condition for subsequent

275   modeling. The agreement between the model grid and borehole monitoring site was quantified at each depth in terms of the mean absolute error (MAE) and root mean square error (RMSE) (Willmott and Matsuura, 2005; Jafarov et al., 2012):

$$MAE = \frac{1}{n} \sum_{i=1}^{n} |Ob_i - Sm_i| \tag{2}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^{n} (Ob_i - Sm_i)^2}{n}} \tag{3}$$

where Ob_i, Sm_i is observation and simulation value, respectively. And n is the total amount of data. The *MAE* shows an overall error between observing and simulating when the *RMSE*

280   emphasizes an error variation.

2.3.3 Historical and future long–term LST series

     We extended LST by establishing statistical relationships between local LST and air temperature (AT) from nearby AWS to derive historical and future LST series for each grid from historical (1970–2019) AT observation and the multi-model ensemble AT projection by 2100 under

285   different climate change scenarios.

     The AT_cma, AT, and LST denote the air temperature from the CMA, air temperature at 2 m from our COS and ground surface temperature derived from modified MODIS LST, respectively. Firstly, we established a linear regression between LST and AT from the measured period of 2004

to 2018, where the temperature variability was highly correlated between LST and AT with $R^2 = 0.83$, $P<0.01$; secondly, the daily AT series from 1970 to 2019 were generated utilizing a stepwise linear regression between measured AT from 2004 to 2018 and those extracted from CMA meteorological stations (AT_cma) nearby which worked well with $R^2 = 0.88$ $P<0.01$; In the third, we generated a time series of LST staring from 1970 based on the AT–LST linear regression model induced in step 1 and extending series AT in step 2.

For future AT projections, the Sixth Assessment Report of Intergovernmental Panel on Climate Change Work Group1 (IPCC WG 1 AR6) (Iturbide et al., 2020; IPCC, 2021) has evaluated and projected climate change over the QTP during the 21st century (https://interactive-atlas.ipcc.ch). The model estimated warming between 1995–2014 and 2081–2100 in mean annual AT in QTP under three RCPs scenarios is 0.013 °C a^{-1} (RCP2.6, low concentration of emissions), 0.028 °C a^{-1} (RCP4.5, stable concentration of emissions) and 0.060 °C a^{-1} (RCP8.5, high concentration of emission), respectively, calculated from multi-model ensemble median (21–29 model outputs) of CMIP5. The mean warming rate is 0.017 °C a^{-1} (SSP1–2.6, strong climate change mitigation), 0.032 °C a^{-1} (SSP2–4.5, moderate mitigation), and 0.064 °C a^{-1} (SSP5–8.5, no mitigation), estimated from CMIP6 ensemble median of 31–34 model outputs. Using the AT–LST linear regression relationship model, we obtained a mean LST warming rate of 0.012 (RCP2.6), 0.025 (RCP4.5), and 0.050 °C a^{-1} (RCP8), and a mean LST increase rate of 0.015 (SSP1–2.6), 0.030 (SSP4–4.5) and 0.057 °C a^{-1}(SSP5–8.5).

2.3.4 Spatial modeling

The extended and projected LST were used to force our calibrated model for simulating the spatial distribution of permafrost in the Xidatan. The ground thermal regime was simulated for a specific ground stratigraphy under boundary conditions from a one–dimensional multilayer soil profile down to the depth of 100 m at each grind point. The thermos–physical parameters of multilayer soil columns were specified and assigned for each soil type based on the soil type map at 1km×1km spatial resolution (Li et al., 2015b). If the maximum temperature of any soil layer in the grid point was ≤ 0°C for two consecutive years, the model cells were identified as permafrost. In contrast, the seasonally frozen ground was defined from the not–yet–assigned cells, in which the

minimum soil temperature of any layer in the same two years was ≤ 0 °C. The remaining cells were unfrozen ground (Wu et al., 2018). The continuous permafrost zone is defined as the region where the area coverage of permafrost is more than 90 % (of the total accounts area). Otherwise, it was demarcated as a discontinuous permafrost zone (Qin et al., 2014). The simulation domain comprises about 280 km^2 with a horizontal resolution of 1 km ×1 km, corresponding to 280 independent runs.

3 Result

3.1 Model evaluation

Simulated ground temperatures results demonstrate relatively larger bias (with the MAE ranging from 0.69 to 2.02 °C as well as RMSE ranging from 0.87 to 2.46 °C) for surface soil layer to 1 m in depth at all calibration sites (Fig. A1). These could be explained by frequent fluctuation and complex variation pattern of ground temperature itself at shallow depth greatly affected by local factors (e.g., terrain, water bodies, snow cover, vegetation, etc.). However, these discrepancies between simulated and observed ground temperature gradually reduce with the increase of soil depth. Most calibration boreholes showed a good correspondence between modelled and measured ground temperature at the intermediate (3 m, 8 m) and deep (15 m, 30 m) layers (Fig. A2), with an MAE of 0.05–0.52 °C, 0.04–0.38 °C, as well as an RMSE of 0.06 –0.58 °C, 0.04–0.38 °C, respectively (Tab.1). The same pattern appeared at validation sites (Fig. A3–4). Ground temperature in validation sites was equally well reproduced by the calibrated model, yielding an MAE of 0.86–1.27 °C (RMSE of 1.15–1.63 °C) in the 0.5 and 1 m and 0.01–0.52 °C (RMSE of 0.08–0.80 °C) in 3 and 15 m (Tab.1). Generally, the consistent daily fluctuations of the simulated and observed soil temperature at all observational depths for most calibration and validation sites indicated the satisfactory simulation by our calibrated model.

Site XD2–6 has relatively poor performance in the deep layer (8 m and 15 m) compared with the shallow layers (Fig. A2). The deviation between measured and simulated soil temperature in this special case might be caused by micro-scale heterogeneity in terms of surface cover, topography, and soil stratigraphy at the sub-grid scale, which led to more difficulty in accurate modeling. Nevertheless, the deviation between this site-modelled results and measured values is within 0.38 °C

at the deep layer (15 m). Furthermore, the permafrost at this site was simulated to disappear in the

345 mid–late 2010s, which was in line with the observation (Yin et al., 2021).

Table 1. Error metrics for the assessment of daily average ground temperature at different depths, which derived from the observed with simulated for individual calibration and validation site (good criteria values<0.20 °C are displayed in italics).

Criteria	Site	0.5 m	1 m	3 m	8 m	15 m	30 m
MAE (°C)	BT01	1.04	1.04	0.52	0.41	*0.19*	*0.09*
	XD2-7	2.02	1.46	0.38	*0.05*		
	QT09	1.06	0.89	0.23	*0.16*	*0.18*	*0.04*
	XD2-6	1.42	0.69	0.23	0.22	0.38	
	XD2-1	1.05	0.95	0.41	*0.13*	*0.19*	
	XD2-4	1.01	0.86	0.21	*0.14*	*0.01*	
	XD1-1	1.27	1.18	0.52	0.25	*0.19*	
	XD1-4	1.11	0.92	0.44	*0.19*	*0.08*	
RMSE (°C)	BT01	1.36	1.38	0.72	0.41	*0.19*	*0.09*
	XD2-7	2.46	1.79	0.58	*0.06*		
	QT09	1.40	1.48	0.40	*0.17*	*0.18*	*0.04*
	XD2-6	1.78	0.87	0.30	0.23	0.38	
	XD2-1	1.36	1.20	0.54	0.24	*0.19*	
	XD2-4	1.31	1.15	0.35	*0.14*	*0.02*	
	XD1-1	1.63	1.48	0.80	0.25	*0.19*	
	XD1-4	1.41	1.19	0.62	0.20	*0.08*	

To better estimate the model performance in spatial modeling, we compared our simulations

350 with three permafrost maps investigated in 1975, 2012, and 2016. Based on the validation of the

various maps against the permafrost and seasonally frozen ground observation at 24 boreholes (Fig.

2), we found that both the1975 and 2012 maps can well interpret the continuous permafrost zone at

the central–western Xidatan. However, there are many erroneous (12.5 % for 1975, and 16.6 % for

2012) in recognitions of seasonally frozen ground at the discontinuous permafrost zone. It indicated

355 that these two permafrost maps could not well represent the historical permafrost distribution status

in the permafrost and seasonally frozen ground coexist zones of the study region. In addition, these

two maps are strongly inconsistent with the 2016 map and our simulations (Fig. 2a–b). The 2016

map and our simulations showed a consistent permafrost distribution pattern and correctly identified

almost all continuous permafrost locations (Fig. 2c–f). However, the slight discrepancy existed

360 between the 2016 map and our simulation in permafrost (8.3 %) and seasonally frozen ground (8.3 %)

locations over margins of the discontinuous continuous permafrost zone. Our simulated results were

consistent will the investigated results, and indicated its well recognition of the seasonally frozen

ground in this region.

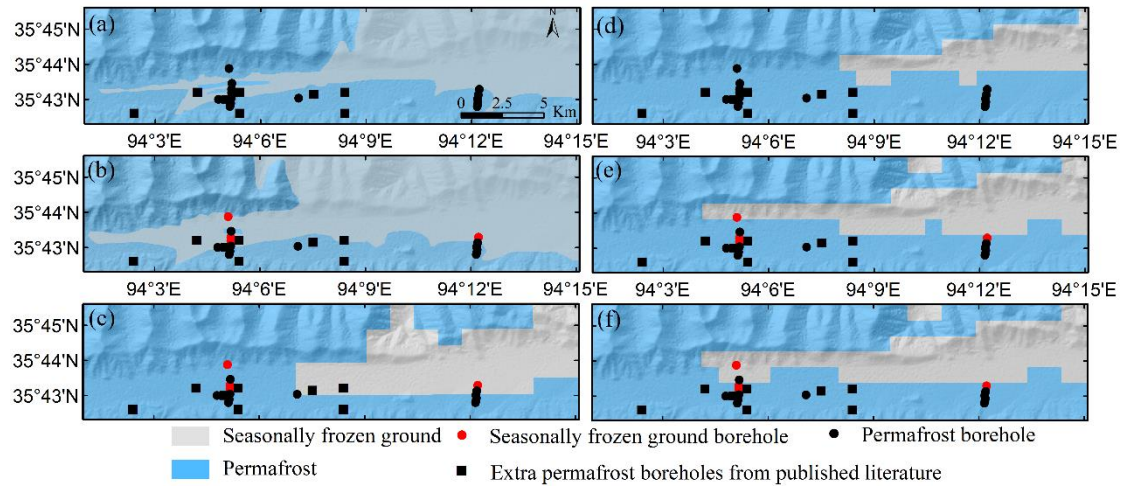



Figure 2. Geographic distribution of permafrost and seasonally frozen ground across the Xidatan for three permafrost maps accomplished in 1975, 2012 and 2016 (left panels 1975 (a), 2012 (b), 2016 (c), published in Nan et al. (2003), Luo et al. (2018) and Zou et al.(2017)) compared to corresponding modelled outputs (right panels, 1975 (d), 2012 (e), 2016 (f)).

Continuous multi-year ALTs derived from five monitoring sites were compared with those from the model-simulated (Fig. 3). The results indicated that there is a strong positive correlation between the simulated and observed ALT (R^2=0.98, P<0.01), and the simulation bias in the ALT from these sites are within ± 0.25m. In terms of geographical structure, the spatial characteristics of ALT across the study area are well captured by our model. Both observed and simulated ALT in XD2–6 varied from 4.15 to 4.31 m, which is higher than other sites (BT01 of 2.55 to 2.85 m; the QT09 of 1.45 m to 1.60 m; the XD2–1 of 2.30 to 2.48 m; the XD2–3 of 2.95 to 3.05 m).

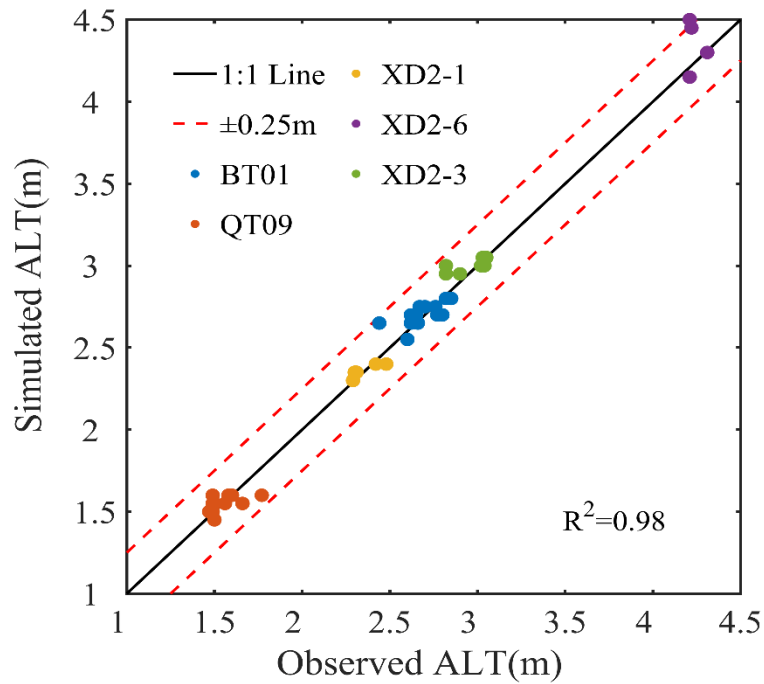



Figure 3. Comparison between annually observed ALT and simulated at different sites (the TB01 and QT09 (Liu et al. (2019), Zhao et al. (2021) observed from period 2005 to 2017, 2005 to 2018 are available, respectively, observation period at the XD2-1, XD2-3, and XD2-6 (Yin et al. (2021)) are from 2013 to 2019, 2013 to 2017, respectively. The solid line is a 1:1 line and the dashed line shows biases within ±0.25 m, dots are colored to represent the different sites).

3.2 Historical permafrost evolution

Our simulation outputs were combined with topographic data (elevation and slopes) derived from 30 m-DEM to analyse the permafrost distribution and its dynamics. MAGT at the depths of ZAA, permafrost table, permafrost base, and permafrost thickness are defined from temperature vertical profiles, as well as critical parameters to describe the permafrost thermal regime, which was also chosen for analysis and discussion. Areas with the seasonally frozen ground were excluded from the subsequent studies.

3.2.1 Initial situation of permafrost distribution

The simulation results (Tab.2, Fig. 4) showed the initial situation in 1970. The lower limit of continuous permafrost modelled was ca.4525 and 4732 m a.s.l., respectively, on the north–and south–facing slopes. While the lowest elevation of the permafrost boundary simulation was 4138 m

a.s.l (on the north–facing slopes) and 4357 m a.s.l (on the south–facing slopes). Approximately 80 %

395   of the total counting area was underlain by permafrost (33.93 % was continuous, and 46.07 % was

discontinued) in the Xidatan. Regionally, the distribution characteristics of permafrost conditions

are predominantly controlled by elevations. With altitude ascending westward gradually, permafrost

temperature and permafrost table show a decreasing trend, whereas the position of permafrost base

and permafrost thickness increase. Furthermore, local topographic factors in slope also governs

400   permafrost distribution in the study area. Permafrost temperature on the north–facing slopes were

far colder than that of on south–facing slopes within the same elevations (Fig. 4a). On the south–

facing slopes (with high altitudes above 4500 m a.s.l.) and north–facing slopes modelled shows a

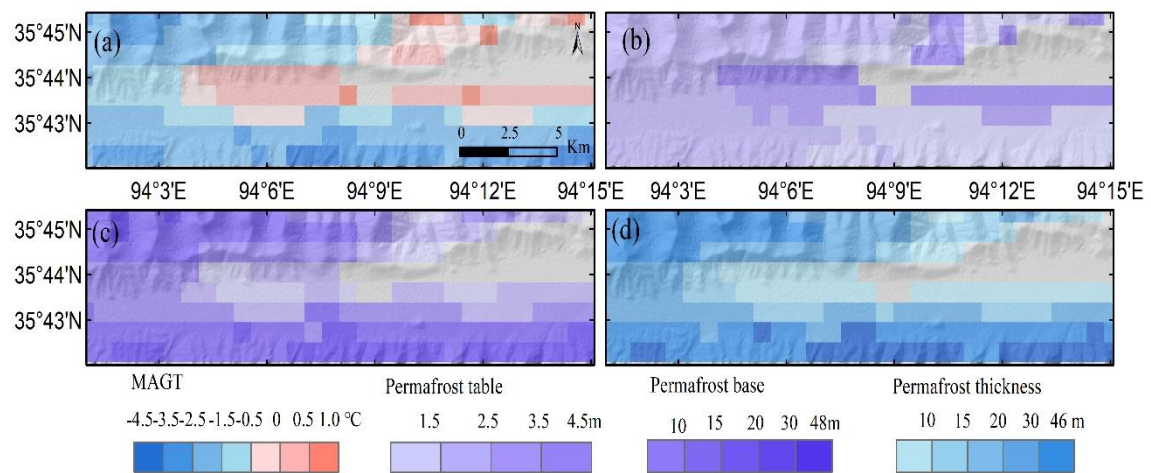
comparatively cold permafrost temperature (MAGT ranges from -0.5 to -4.5 ℃). Simulated

permafrost table was less than 2.5 m, permafrost base of 20 to 48 m and permafrost of up to 46 m

405   at the maximum. Whereas on the south–facing slopes with low altitudes below 4500 m a.s.l., MAGT

modelled is higher than -0.5 ℃, the position of the permafrost table modelled varies from2.5 to 4.5

m, permafrost base is at a depth of fewer than 20 m, and permafrost thickness of approximately 4 m

at the thinnest.

410   **Figure 4. Spatial distributive features of MAGT (a), permafrost table (b), permafrost base (c), and permafrost**

thickness (d) for the initial simulation of the 1970s over the Xidatan (grey areas with the seasonally frozen

ground were excluded).

3.2.2 Changes in permafrost conditions

From 1970 to 2019, the simulation results indicate that the lower limit of the continuous

permafrost zone remained unchanged over the study areas. The lowest elevation of the permafrost boundary has a remarkable rise of 47 m on the north–facing slopes, while that remained unchanged on south–facing slopes. Correspondingly, around 12.86 % of the discontinuous permafrost zone has transformed into seasonally frozen ground (Tab. 2), which caused the northern boundary of the discontinuous permafrost zone to have approximately retreated southwards 1~2 km, but that is unchanged for the continuous permafrost zone (Fig. 5).

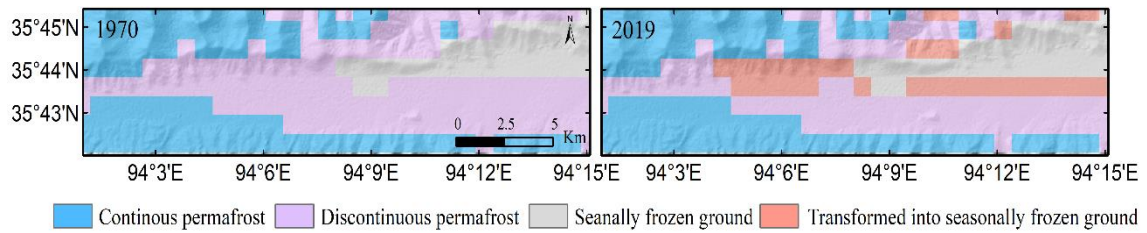



Figure 5. Spatial distributive changes of continuous and discontinuous permafrost, and seasonally frozen ground zone over the Xidatan from 1970 to 2019.

Table 2. Variations of the permafrost boundary and areal extent of frozen ground type over the Xidatan for 1970-2019, and that of projected variations by 2100 under different climate change scenarios.

	The lower limit or the lowest elevation of permafrost boundary (m a.s.l.)		Areal extent (%)		
	North–facing	South–facing	Con.	Disc.	Seas.
1970	4525(4138)	4732 (4357)	33.93	46.07	20.00
2019	4525(4185)	4732 (4357)	33.93	33.21	32.86
SSP1–2.6 (2100)	4567 (4308)	4732 (4516)	28.57	30.36	41.07
SSP2–4.5 (2100)	4567 (4308)	4732 (4516)	28.57	28.57	42.86
SSP5–8.5 (2100)	4567 (4309)	4754 (4570)	27.14	21.79	51.07
RCP2.6 (2100)	4567 (4308)	4732 (4416)	28.57	30.36	41.07
RCP4.5 (2100)	4567 (4308)	4732 (4516)	29.29	27.50	43.21
RCP8.5 (2100)	4567 (4309)	4737 (4558)	28.93	22.50	48.57

Note: Outside brackets were the lower limit of the continuous permafrost zone, while in brackets were the lowest elevation of the permafrost boundary. Con., Disc., and Seas. was indicated as continuous permafrost, discontinuous permafrost, and seasonally frozen ground, respectively.

For permafrost characteristics, the regional–average MAGT has increased by 0.44 °C over the past 50 years. With temperature warming, we found a gradual decline with a mean amplitude of 0.36 m in the position of the permafrost table whereas a drastic moved-up permafrost base is 1.12 m. Correspondingly, permafrost had thawed an average of nearly 1.54 m in thickness. Spatially, the

mean MAGT warmed up to 0.49 ℃, and the average permafrost table declined by 0.37 m for the continuous permafrost zone, but its permafrost base (around -0.80 m) and thickness (around -1.18 m) variations were comparatively slight. By comparison, comparatively low variations in MAGT (0.40 ℃) and in the permafrost table (average declined by 0.76 m), but dramatic changes of -4.23 m occurred in the discontinuous permafrost zone, which is roughly twice compared to changes on the continuous permafrost area. Correspondingly, an average of about -1.96 m in thick permafrost had quickly thawed, owing to a remarkably rising effect of the permafrost base.

Table 3. Changes in characteristics of frozen ground type over the Xidatan for the period 1970 to 2019, and projected changes by the 2090s, relative to the 2010s, under different climate change scenarios.

	Types	1970–2019	SSP1–2.6	SSP2–4.5	SSP5–8.5	RCP2.6	RCP4.5	RCP8.5
MAGT (℃)	Con.	0.49	0.73	0.94	1.03	0.65	0.91	1.06
	Disc.	0.40	0.53	0.66	0.96	0.48	0.65	0.86
Permafrost table (m)	Con.	0.37	0.56	1.76	6.24	0.44	1.23	4.95
	Disc.	0.35	0.87	3.13	7.02	0.64	2.26	6.13
Permafrost base (m)	Con.	-0.80	-3.52	-3.87	-3.99	-3.41	-3.81	-4.13
	Disc.	-1.60	-4.87	-5.09	-5.17	-4.80	-5.08	-5.17
Permafrost thickness (m)	Con.	-1.18	-4.11	-5.23	-10.38	-3.87	-5.11	-9.42
	Disc.	-1.96	-5.78	-7.94	-12.76	-5.46	-7.44	-11.65

Note: Con., Disc., and Seas. was indicated as continuous permafrost, discontinuous permafrost, and seasonally frozen ground, respectively.

3.3. Projection of permafrost condition

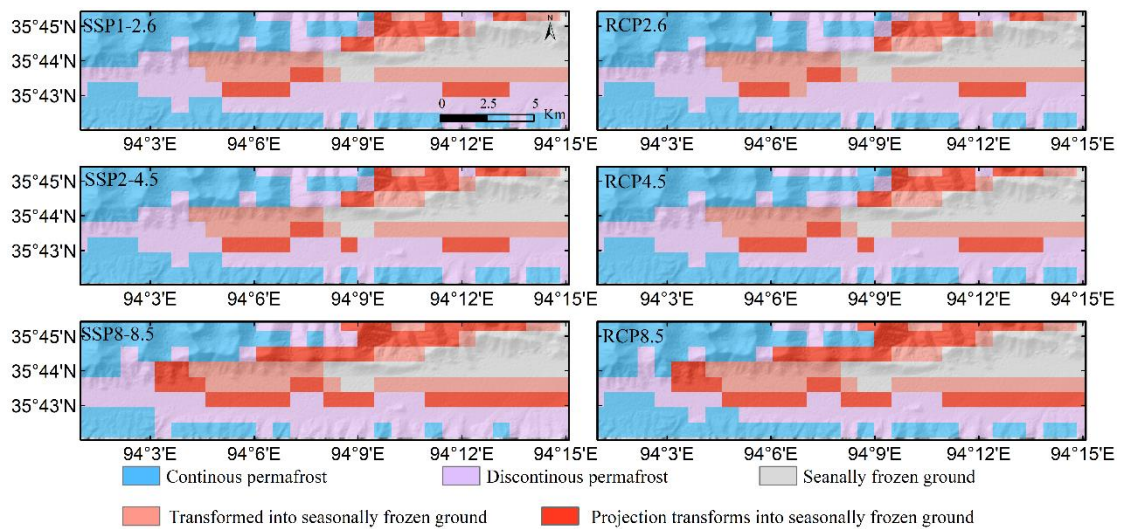
The projected changes in the lower limit of the continuous permafrost zone, the lowest elevation of permafrost boundary, and the spatial distribution of continuous, discontinuous permafrost, and seasonally frozen ground as well as its characteristics (MAGT, permafrost table, permafrost base, and permafrost thickness), are presented in Tab.2-3 and Fig.6.

The result indicates that the lower limit of continuous permafrost zone on the north–facing slopes is projected to increase by 42 m until 2100, relative to 2019, under all RCPs or SSPs. On the

south-facing slopes, this value is about 22 m under very high emission scenarios (SSP5–8.5 or RCP8.5), which is far smaller than the changes in the lowest elevation of the permafrost boundary. The lowest elevation of the permafrost boundary on the north–facing slopes is projected to increase by 123 m by 2100, relative to 2019, under both low and medium emission scenarios, and by 124 m

455   under very high emission scenarios SSP5–8.5 or RCP8.5. South-facing slopes are projected to increase by 159 m by 2100, compared to 2019, under both low and medium emissions of RCP or SSP scenarios. Still, a more pronounced increase of around 213 and 201 m is projected under SSP5-8.5 and RCP8.5. Relative to 2019, the areal extent of continuous permafrost zone is projected to decrease by 5.36 (5.36), 5.36 (4.64), and 6.79 (5.00) %, respectively, by 2100, under SSP1–2.6

460   (RCP2.6), SSP2–4.5 (RCP4.5), and SSP5–8.5 (RCP8.5), respectively. Compared with the decrease of 3.57 (2.85), 4.64 (5.71), and 11.42 (10.71) % for discontinuous permafrost zone. In contrast, the areal extent of seasonally frozen ground is projected to increase by 8.93 (8.21), 10.00 (10.36), and 18.21 (15.71) %, respectively, by 2100, relative to 2019, under SSP1–2.6 (RCP2.6), SSP2–4.5 (RCP4.5), and SSP5–8.5 (RCP8.5). The northern limit of the continuous permafrost zone is

465   projected to retreat southwards around 1~2 km under SSP1–2.6 (RCP2.6) or SSP2–4.5 (RCP4.5) or RCP8.5, and about 1~3 km under SSP5–8.5. By comparison, the northern boundary of the discontinuous permafrost zone is anticipated to shift southward around 1 km under SSP1–2.6 (RCP2.6) or SSP2–4.5 (RCP4.5), and around 1~2 km under SSP5–8.5 (RCP8.5).

470   **Figure 6. Projected spatial distributive changes of frozen ground type over the Xidatan in the future period by 2100 under RCPs and SSPs scenarios (left column, from top to bottom, each row shows under SSP1–2.6,**

Under global climate warming scenarios, the permafrost temperature is anticipated to increase further, but its variation lags substantially behind the changes in air temperature. Relative to 2010s, he regional–average MAGT is projected to warm by 0.63, 0.81, and 0.99 ℃, respectively, by 2090s, under SSP1–2.6, SSP2–4.5, SSP5–8.5, which is slightly higher than that of RCP scenarios (0.56, 0.78, and 0.98 ℃, respectively). Along with MAGT rising, relative to the 2010s, the permafrost table is projected to further decline by 0.72 to 6.70 m under SSP scenarios (0.72 m, 2.48 m, and 6.70 m, respectively, under SSP1–2.6, SSP2–4.5, and SSP5–8.5), and decline by 0.54 to 5.47 m under RCP scenarios (0.54 m, 1.73 m, and 5.47 m, respectively, under RCP2.6, RCP4.5, and RCP8.5), at the end–century (the 2090s). The average permafrost base is projected to rise by 4.22, 4.54, and 4.56 m, respectively, by the 2090s, compared to the 2010s, under SSP1–2.6, SSP2–4.5, and SSP5–8.5. Meanwhile, a relative decrease in permafrost base of 4.14, 4.43, and 4.60 m, are estimated under RCP2.6, RCP4.5, and RCP8.5. An average thinning in the permafrost thickness is projected to be 4.97, 6.66, and 11.74 m, respectively, under SSP1–2.6, SSP2–4.5, and SSP5–8.5, and that would be 4.71, 6.26, and 10.43 m, respectively, under RCP2.6, RCP4.5, and RCP8.5.

Spatially, the average MAGT is projected to rise by 0.73 (0.65), 0.94 (0.91), and 1.03 (1.06) ℃, respectively, for continuous permafrost zone, under SSP1–2.6 (RCP2.6), SSP2–4.5 (RCP4.5), and SSP5–8.5 (RCP8.5). Compared with the rising of 0.53 (0.48), 0.66 (0.65), and 0.96 (0.86) ℃, respectively, for discontinuous permafrost zone. As for the permafrost table, both continuous and discontinuous permafrost zone is projected to gradual decline under SSP1–2.6 (0.56 and 0.87 m), and RCP2.6 (0.44 and 0.64 m), but a remarkable decline is projected under medium and very high emission scenarios, and a more pronounced decline are anticipated under SSPs scenarios than that projection under RCPs scenarios. The average permafrost table in continuous permafrost zone is projected to decline by 1.76 (1.23) and 6.24 (4.95) m, respectively, under SSP2–4.5 (RCP4.5) and SSP5–8.5 (RCP8.5). Compared with the decline of 3.13 (2.26) and 7.02 (6.13) m, respectively, under SSP2–4.5 (RCP4.5), and SSP5–8.5 (RCP8.5) for continuous permafrost zone. Permafrost base is projected to move remarkably up under all scenarios. For continuous permafrost zone,

500   permafrost base is projected to rise by 3.52 (3.41), 3.87 (3.81), and 4.13 (3.99) m, respectively,

under SSP1–2.6 (RCP2.6), SSP2–4.5 (RCP4.5), and SSP5–8.5 (RCP8.5), which is slightly smaller

than that projected for discontinuous permafrost zone (4.87 (4.80), 5.09 (5.08), and 5.17 (5.17) m,

respectively, under SSP1–2.6 (RCP2.6), SSP2–4.5 (RCP4.5), and SSP5–8.5 (RCP8.5)). The

average permafrost thickness of continuous and discontinuous permafrost zone is projected to thing

505   4.11 (3.87) and 5.78 (5.46) m, respectively, under SSP1–2.6 (RCP2.6) as the main effect of

permafrost base move up. Whereas a more prominent decrease of 5.23 (5.11) and 7.94 (7.44) m,

respectively, under SSP2–4.5 (RCP4.5), 10.38 (12.76), and 9.42 (11.65) m, respectively, under

SSP5–8.5 (RCP8.5), owing to both effect of permafrost table declining and the permafrost base

rising.

510   **4 Discussion**

4.1 Comparison with previous studies

In this work, our simulated distribution of continuous permafrost zone had a substantial

agreement with three permafrost maps investigated in 1975, 2012, and 2016. Still, a remarkable

difference in the discontinuous permafrost zone where permafrost and seasonally frozen ground

515   coexist (Fig. 2). Compared with the 2016 map and our simulated results, the 1975 and 2012 maps

underestimated the permafrost area in the discontinuous permafrost zone. This contradiction might

be due to differences using data, methods, study periods, spatial resolutions, etc. (Yang et al., 2010;

Ran et al., 2012; Zou et al., 2017). The 1975 and 2012 maps were plotted on a topographic map at

a 1:50000 scale based on field investigations, aerial photographs, and satellite images (Nan et al.,

520   2003; Luo et al., 2018). These coarse-resolution maps can't accurately consider the effect of local

factors since they cannot describe variations in ground conditions over a short distance (Zhang et

al., 2013). Moreover, comparing them with field observations makes the result difficult to validate.

Although the 1975 and 2012 maps may represent the corresponding permafrost status in that year,

they are limited by field investigations, and there is not a clear understanding of whether permafrost

525   existed in the northeaster high-altitude areas or not. In the 2012 map, these isolated top mountain

areas are uniformly considered as the seasonally frozen ground when permafrost mapping (Luo et

al., 2018), which is unreasonable and underestimated in the areal coverage of the permafrost in that

area. Furthermore, the artefactual errors were hard to control when mapping permafrost distribution by conventional cartographic techniques that delineated the permafrost boundaries on the topographic maps manually (Zou et al., 2017; Ran et al., 2012). These factors inevitably led to existing uncertainties in the 1975 and 2012 maps.

By comparison, the 2016 map and our simulation results have a much higher spatial resolution (1 km×1 km) than field-investigated–based ones (e.g., 1975 and 2012 maps) by improved MODIS LST application. In addition, it showed higher and more accuracy in identifying both permafrost and seasonally frozen ground boreholes and performed better at recognizing the seasonally frozen ground in regions with complex terrain. This finding highlights the potential advantage of remote sensing-based data in improving the spatial modeling of marginal permafrost simulations on the QTP. Overall, our simulated distribution of continuous permafrost and discontinuous permafrost zone was similar to that of the 2016 map. Differences mainly due to the TTOP model did not consider the thermal state of the deep permafrost. Therefore, the areal extent of permafrost distribution in the 2016 map likely was slightly underestimated compared with our simulation results. Moreover, the 2016 map assumes that permafrost is in equilibrium with the long-time climate. However, the ground temperature observations of permafrost on the QTP have increased during the past several decades (Zou et al., 2017; Zhao et al., 2010, 2020; Yao et al., 2019; Ehlers et al., 2022), and this means a disequilibrium of permafrost under ongoing climate warming. So, a map based on a contemporary climate forcing is likely to underestimate the extent of permafrost (Zou et al., 2017). By contrast, in our study, we used a transient numerical heat conduction permafrost model, which integrated climate and ground condition variables to quantify the change in permafrost. Our model performed well in modeling the disappeared evolution of two permafrost islands since 1975, and shifting the northern boundary of discontinuous permafrost (Fig. 2d–f), which can be confirmed by direct observation (Jin et al., 2006; Luo et al., 2018; Yin et al., 2021). These phenomena implied our model could accurately capture marginal permafrost thermal state dynamics under a warming climate.

Furthermore, using our model, we quantified the spatial distribution of permafrost over the study area. We simulated a striking elevation dependence in permafrost distribution. Specifically,

permafrost temperature decreases, decreases in thickness, and the permafrost table becomes thinner with the elevation increase, which are consistent with previous observed–based studies (Cheng et al., 1984; Wu et al., 2010; Zhao et al., 2010, 2019, 2020; Li et al., 2012; Jin et al., 2011; Luo et al., 2018). Moreover, Cheng et al. (2019) indicated the MAGT varied from -5 to 0.5℃, and the average permafrost thickness was approximately 26 m here, as deduced by considerable monitoring and field investigation dataset. And the monitoring network of ALT along the Qinghai–Tibet Highway (QTH) by Li et al. (2012) has demonstrated that the mean ALT was 218 cm, ranging from 100 to 320 cm from 1981 to 2010. This evidence strongly corroborates our simulation results accuracy, giving us more confidence in upscaling our model to the study area to investigate spatiotemporal dynamics and anticipate possible changes in permafrost.

4.2 Process of permafrost degradation

In this paper, we simulated a slow response of the permafrost thermal state to a warming climate in the northern lower limit of the permafrost zone (Xidatan) on the QTP. As shown in our simulation, from 1970 to 2019, we simulated that roughly 12.86 % of the discontinuous permafrost zone over the study area has ultimately converted into seasonally frozen ground, which is very close to observed facts (13.8 %) here in 2012 (Luo et al., 2018) Permafrost distribution and its thermal conditions over the study area were spatially controlled by elevational. In addition, due to the orientation of slopes influenced the amount of solar radiation received by the ground surface (Cheng et al., 2004). Specifically, much thicker, colder permafrost and a thinner ALT on the north-facing slopes than on the south-facing slopes within the same elevation. So, a distinct spatial discrepancy of permafrost thermal regimes in response to a warming climate as different thermal states. Over the past 50 years, the rising rate of MAGT for the continuous permafrost zone was relatively faster (regional–average warmed by 0.49 ℃) due to more energy being available to heat the ground. By contrast, as permafrost temperature is close to the thawing point (about 0 ℃), accumulated energy is enormously consumed by melting ground ice, and MAGT for the discontinuous permafrost zone slowly rises (regional–average warmed by 0.40 ℃). Meanwhile, both the continuous (regional–average declined by 0.37 m) and discontinuous permafrost zone (regional–average declined by 0.35 m) displayed a gradual decline in the position of the permafrost table. But we simulated a drastically risen permafrost base, especially in the discontinuous permafrost zone, due to heat transfer in strata

from top to bottom, leading the geothermal gradients in permafrost to keep dropping. When the geothermal gradient in permafrost temperature drops to less than that of the underlying thawed soil layers, the geothermal heat flux from the deep stratum is completely used to thaw the permafrost base. Hence, permafrost thaws from bottom to top and moves upward. As permafrost was relatively warm and thin and geothermal flow relatively high over the Xidatan (Wu et al., 2010, Sun et al., 2019), the mainly degradation mode of permafrost over this region is simulated to be upward thawing from the permafrost base. This degradation mode is also confirmed by several monitoring boreholes across this region (Jin et al., 2006, 2011; Cheng and Wu. 2007; Liu et al., 2020). In general, the pattern of permafrost degradation over the Xidatan from 1970 to 2019 can be summarized like this: the continuous permafrost zone has gradually converted to warm permafrost, whereas the discontinuous permafrost zone has been upward thawing remarkably. Notably, the margin of the discontinuous permafrost zone has converted to seasonally frozen ground.

As for the projections under different climate change scenarios, the latest generation of ~~GCMs~~ ESMs from CMIP6 projected a substantially warmer climate by 2100 than the previous generation, for instance, CMIP5 (Fewster et al., 2022). In our study, MAGT is anticipated further increase, and the warm rate is projected to be slighter higher under SSP than RCP, but very small discrepancies among SSP and RCP scenarios in projected changes of permafrost distribution extent. This further verified the response of permafrost to climate warming is a slow and nonlinear process, and its variation lags substantially behind the changes in air temperature. But contrary findings are reported by some previous studies. Based on the empirical equilibrium model, Lu et al. (2017) predicted that extensive reduction of permafrost area on the QTP by the end of the 21st century under RCP2.6 (22.44 %) and RCP8.5 (64.31 %), and permafrost would retreat into the Qiangtang Plateau hinterland. Likewise, Chang et al. (2018) suggested that the permafrost area on the QTP is projected to shrink by 9.7 %, and 10.7 %, under RCP4.5 and RCP8.5, respectively, in the next 20 years, and that is projected to by 26.6 % and 32.7 %, respectively, in the next 50 years. Guo and Wang (2016) projected almost no permafrost on the QTP by 2080 to 2099 under RCP8.5. In addition, Yin et al. (2021) projected around 26.9 %, 59.9 %, and 80.1 % of permafrost on the QTP is likely to disappear, by the end of the 21st century under SSP1–2.6, SSP2–4.5, and SSP5–8.5 scenarios. For numerical modeling, Guo et al. (2012) applied the Community Land Model4 (CLM4) to project an

approximately 81% reduction in near-surface (<4.5 m) permafrost area on the QTP by the end of the 21st century under the A1B emission scenario. Additionally, the deep permafrost depths of 10 and 30 m would be largely degraded by the year 2030-2050. Zhang et al. (2022) applied Noah LSM to project much of 44 ± 4%, 59 ± 5%, and 71 ± 7% permafrost is likely to degrade in the late 21st century, under SSP2-4.5, SSP3-7.0, and SSP5-8.5 scenarios, respectively.

The abovementioned results and our projections unanimously projected that further degradation trend in permafrost on the QTP under warming climate scenarios, but a considerable discrepancy among results on the magnitude of permafrost degradation. This discrepancy can partly be attributed to those approaches that established a simple statistical relationship between the current permafrost distribution and air temperature based on the surface energy balance theory. However, permafrost in the QTP formed over a long period of cold paleoclimate and developed an energy state characterized by low ground temperature and ground ice in permafrost (Buteau et al., 2004; Jin et al., 2011; Sun et al., 2019; Zhao et al., 2020). The present state of permafrost is a response to historical climate changes and impacts future development (Wu et al., 2010; Cao et al., 2014). However, the current project of permafrost degradation does not consider the historical energy accumulation in permafrost and the impact of ground ice conditions buried below 1 m underground (Zhao et al., 2020; Smith et al., 2022). For example, most of LSMs studies mainly focused on optimizing parametrization schemes for shallow soil layer (<4 m) and simply extending the soil column simulation depth, whereas it was poor considering the effecting of the thermal state of deep permafrost and effecting of ground ice existence (Lee at al., 2014; Sun et al., 2019). Furthermore, ignoring the geothermal heat flux by setting zero flux or constant temperature as the bottom boundary condition (Wu et al., 2010; Xiao et al., 2013). However, these factors play a crucial role in the long-term evolution of permafrost in general (Zhao et al.,2020). Thus, the relationship between the decrease in the areal extent of permafrost and the warming air temperature over the present-day permafrost region is approximately linear simulated by these empirical statistics or LSMs. Such high rates of permafrost loss are not observed, indicating a too-high sensitivity for those models predicting such losses (Zhao et al., 2020).

In comparison, our model considers the thermal properties difference between frozen and

thawed soil, the phase variations of the unfrozen water in frozen soil, the distribution of the ground ice, and geothermal heat flow. Thereby, well describe the heat transfer process in permafrost and reasonably capture the attenuation and time lag of heat transfer in deep permafrost as water or ice content and ground is a poor conductor of heat. Moreover, our model is characterized by vertical modeling domains of one hundred meters with a vertical resolution of 0.05 m within the active layer (the upper 4 m) and provides sufficient accuracy to resolve the annual dynamics of active layer thawing and refreezing, as well as the evolution of ground temperatures in deeper layers. The model results were carefully validated against considerable long–term continuous monitoring of soil temperatures at various depths, ALT, and observed permafrost distribution of boreholes as well as three existing permafrost distribution maps investigated in 1975, 2012, and 2016. Our simulation results in compliance with the observed facts. And the magnitude and evolution of permafrost degradation projections on the QTP derived from our transient simulations were agree well with that of the heat conduction permafrost model, accounting for the thermal state of deep ground ice (Li et al., 1996; Li et al., 2008; Sun et al., 2019 and 2022). It can be noted that existing studies largely ignore the thermal properties of deeper permafrost. Our findings highlight initial permafrost thermal state is influenced by historical climate, stratigraphic thermal properties, ground ice distribution, geothermal heat flow, and propagation of the phase–transition interface plays a critical role in permafrost degradation.

4.3 Model uncertainties

In this study, there are may have uncertainties, including the extended MODIS LST series used as the model inputs, soil parameters heterogeneity at sub-grid in terms of surface cover, topography, and soil stratigraphy, and the permafrost model's physics. Due to a significant linear relationship between LST and AT over the study area, moreover, in this work, we mainly focus on the long–trend permafrost temperature over the foreseeable future. The biases of the estimated LST by simple regression relationship of AT–LST cannot affect the long–term mean change trend in LST. Furthermore, the limitation of the current model is one-dimensional, which assumes each grid cell to be uniform without lateral exchange. Our simulations, therefore, are considered as conservatively changes in the ground temperature in areas with lateral water fluxes, such as flood land in the valley (Bense et al., 2012; Westermann et al., 2016; Sjöberg et al., 2016). The representation of the

horizontal fluxes exchange of heat and water deserves increased attention in future modeling approaches, and coupling the current model with this physical process of heat transfer could be an important step toward better simulation results of high-resolution in the next generation of permafrost models. Moreover, we projected the possible fate of permafrost over Xidatan by 2100, under an area-mean warming rate scenario of QTP. Hence, the anticipated permafrost degradation in this study, may not be the actual overview, as it does not consider the regional-level or small-scale-based future climate change. However, we believe that our simulation results can provide a relatively reasonable projection of marginal permafrost degradation magnitude on the QTP under the different climate change scenarios in the foreseeable future. Meanwhile, high-resolution climate models and improved numerical representations of atmospheric circulation systems and land-atmosphere interactions over the heterogeneous QTP region could be crucial in improving the climate model performance, which will improve the accuracy in the projection of permafrost degradation in the future.

5 Conclusions

This study applied a new transient numerical permafrost model to simulate permafrost distribution and its thermal dynamics at 1 km×1 km resolutions near the northern limit of permafrost on the QTP for current (1970–2019) and future (2020–2100) climatic conditions. Overall, we simulated vertical ground temperature profiles and ALT closely matching with the long-term continuous field observations over the study area. Our model well-describe the heat transfer process in permafrost and reasonably capture heat attenuation and time lag in deep permafrost. Furthermore, we accurately identified permafrost boundaries, and can realistically capture the evolution of the permafrost thermal regime. According to the simulations, permafrost distribution and its thermal conditions over the study area were controlled by elevational with a strong influence of slope aspects. From 1970 to 2019, the lowest elevation of permafrost (north–facing slope aspect) rose approximately 47 m, and the northern boundary of discontinuous permafrost retreated southwards, approximately 1~2 km. But that remains unchanged for the continuous permafrost area. Meanwhile, the regional average MAGT warmed by 0.44 ℃, and 0.49 ℃, respectively, on continuous and discontinuous permafrost zone. In general, over the past 50 years, the continuous permafrost zone over the study

area has gradually warmed, whereas the discontinuous permafrost zone has

been upward thawing remarkably, and the margin of the discontinuous permafrost zone is reduced

by about 12.86%. Under gradual warming climate scenarios, the MAGT is anticipated to rise further,

and the warming rate is projected to be slightly higher under SSP than RCP. However, there are no

distinct discrepancies in projection changes in the areal extent of permafrost among SSP and RCP

scenarios. These findings highlight the slow process and delays in the response of permafrost in QTP

to a warming climate, and the projected rate of change in the permafrost extent is far lower

than those that do not account for the effects of water phase change, historical

climate change, and the thermal state of deep permafrost. In summary, our study provides improved

simulations for permafrost distribution and thermal regime dynamics in marginal permafrost on the

QTP at decadal to centennial time scales. More importantly, these results may give a better

understanding of degradation processes and mechanisms of marginal permafrost on the QTP, and

guidelines for the further accurate evaluation of changes in the areal extent of the permafrost on the

QTP hinterland.

Appendix A

Table A1. A list of monitoring boreholes in the study area and a summary of the ground properties are shown.

Borehole (altitude/ m a.s.l.)	Coordinates	Sensor depths (m)	Frozen ground type	Soil stratigraphy
QT09 (4538)	35°43′02″ 94°07′05″	0.5–5 m (0.5 m intervals) 5–20 m (1 m intervals) 20–30 m (2 m intervals)	Permafrost	Loam (0–0.2 m) Sandy loam (0.2–1.4 m) Sandy loam with gravel (1.4–2.4 m) Sandy with gravel (2.4–10 m) Rock (10–21 m)
TB01 (4530)	35°43′00″ 94°04′09″	Same as QT09	Permafrost	Sandy loam (0–1.2 m) Sand (1.3–3 m) Sand with gravel (3–10 m) Weathered mudstone (>10 m)
XD1–1 (4379)	35°41′55″ 94°12′05″	0.5–10 m (0.5 m intervals) 10–15 m (1 m intervals)	Permafrost	Sandy cobble (0–4.5 m) Fluvial sand (4.5–15 m)
XD1–2 (4377)	35°41′59″ 94°12′07″	Same as XD1–1	Permafrost	Sandy cobble (0–4.5 m) Fluvial sand (4.5–15 m)
XD1–3 (4576)	35°42′04″ 94°12′07″	Same as XD1–1	Permafrost	Sandy cobble (0–5 m) Fluvial sand (5–15 m)
XD1–4 (4374)	35°42′10″ 94°12′07″	Same as XD1–1	Permafrost	Sandy cobble (0–5.5 m) Fluvial sand (5.5–15 m)
XD1–5 (4370)	35°42′16″ 94°12′08″	0.5–8 m (0.5 m intervals)	Permafrost	Sandy cobble (0–5.5 m) Fluvial sand (5.5–10 m)
XD1–6 (4368)	35°42′24″ 94°12′09″	Same as XD1–5	Seasonally frozen	Sandy cobble (0–4.5 m) Fluvial sand (4.5–8 m)

				ground
XD2–1 (4508)	35°41′56″ 94°05′08″	Same as XD1–1	Permafrost	Sand (0–2.5 m) Sand with massive ground ice (2.5–7 m) Clay (7–9 m) Weathered mudstone (9–15 m)
XD2–2 (4503)	35°42′01″ 94°05′09″	Same as XD1–1	Permafrost	Sand (0–2.8m) Sand with massive ground ice (2.5–6 m) Weathered mudstone (6–15 m)
XD2–3 (4500)	35°42′10″ 94°05′09″	Same as XD1–1	Permafrost	Sand cobble (0–4 m) Fluvial sand (4–15 m)
XD2–4 (4498)	35°42′18″ 94°05′09″	Same as XD1–1	Seasonally frozen ground	Sandy cobble (0–4 m) Fluvial sand (4–15 m)
XD2–5 (4493)	35°42′26″ 94°05′10″	Same as XD1–1	Seasonally frozen ground	Sandy cobble (0–4 m) Fluvial sand (4–15 m)
XD2–6 (4490)	35°42′36″ 94°05′11″	Same as XD1–1	Permafrost	Sandy cobble (0–4 m) Fluvial sand (4–15 m)
XD2–7 (4492)	35°43′00″ 94°05′05″	Same as XD1–5	Seasonally frozen ground	Sand (0–4.5 m) Sandstone (4–8 m)
JXG (4530)	35°43′12″ 94°04′01	1–10 m (1 m intervals) 10–30 m (2 m intervals)	Permafrost	--
CRSQTP (4530)	35°43′ 94°05′	0.4 m 1.6 m 4–10 m (2 m intervals) 10–18 m (4 m intervals) 18–20 m (2 m intervals) 20–29 m (3 m intervals)	Permafrost	--
XD1 (4427)	35°43′12″ 94°08′24″	--	Permafrost	--
XD2 (4530)	35°43′12″ 94°04′14″	--	Permafrost	--
XD3 (4480)	35°43′12″ 94°05′24″	--	Permafrost	--
XD4 (4427)	35°42′00″ 94°08′24″	--	Permafrost	--
XDT1 (4602)	35°42′36″ 94°02′24″	--	Permafrost	--
XDT2 (4530)	35°42′36″ 94°05′24″	--	Permafrost	--
CN13 (4448)	35°42′12″ 94°07′48″	--	Permafrost	--

715 Note: The symbol "--"is field-observed frozen ground types collected from previously published literature (Wang et al., 2000; Jin et al., 2000,2006; Cheng et al., 2007).

Table A2. Calibration thermophysical parameters of different soil layers used for soil temperature modeling

Texture	K (W m^{-1} °C^{-1})		C (kJ m^{-3} °C^{-1})		VWC (%)
	Frozen	Thawed	Frozen	Thawed	
Loam	1.25–1.57	0.85–1.28	1639–1879	2208–2475	15–20
Clay	0.83–1.30	0.61–1.03	1756–1907	1881–2191	15–20
Sandy loam	1.31–1.93	1.17–1.71	1844–2107	2258–2634	10–20

Loamy sand	1.02–1.38	1.11–1.24	2040–2208	2541–2676	15–20
Sand cobble	1.0–1.29	0.89–1.10	1639–1739	2007–2208	13–15
Fluvial sand	1.32–1.60	1.09–1.30	1288–1413	1568–1819	6–10
Sand	1.86–2.15	1.48–1.64	1505–1639	1940–2208.1	10–14
Sandstone	0.94–1.91	0.77–1.47	1317–1459	1493–1777	2–6
Sand with Gravel	1.91–2.20	1.47–1.68	1459–1601	1777–2061	6–10
Weathered mudstone	2.27	1.71	1543	1881	6
Rock	0.33	0.33	1940	1940	2

Note: *K* is the thermal conductivity; *C* is the volumetric heat capacity; as well as *VWC* represents total volumetric water/ice content. Soil texture information was collected from Luo et al. (2018) and Liu et al. (2021), and the values of thermal conductivity and heat capacity were from the Construction of the Ministry of PRC. (2011) and Yershov. (2016), and fine–adjusted during the calibration, water content was determined by the soil samples of the borehole cores combined with the observation dataset vicinity of QT09 and the ground ice distribution maps from Zhao et al. (2010).

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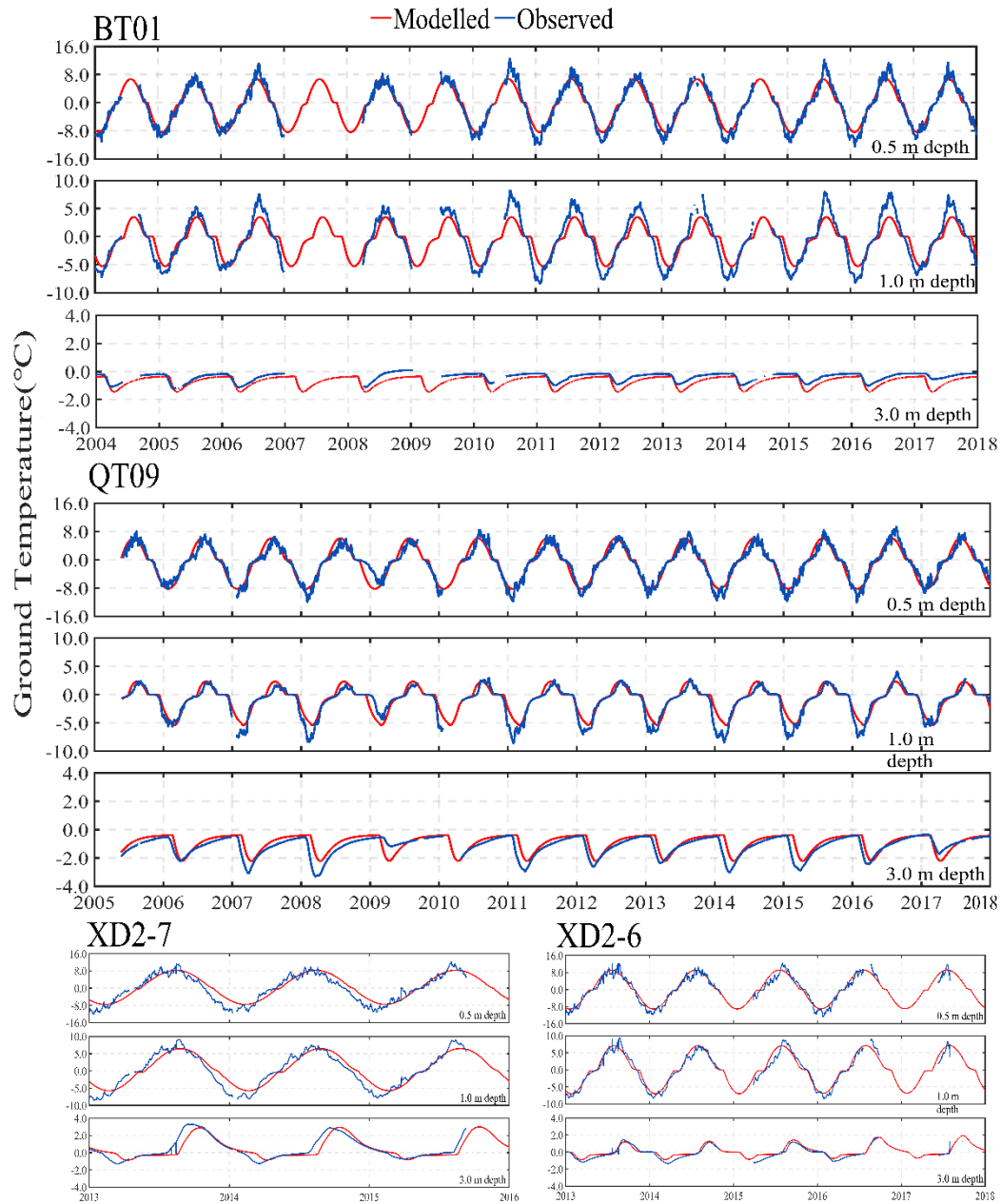


Figure A1. Comparison of the simulated (red lines) to observed (blue lines) daily mean ground temperature at 0.5 m, 1.0 m, 3.0 m depth in four calibration boreholes (BT01, XD2-7, QT09, and XD2-6) during the observation period (There were some data gaps due to temperature probe failure in some years, at the BT01, the data gaps in the record mainly occurred at 0.5-15 m in 2007-2008, and at 15-30 m during 2005-2007 and 2011-2018, at the QT09, observations at 15-30 m of 2006-2008, 2011-2013, and 2015-2018 are not available, at the XD2-6, the data gap in the record in 2016-2017).

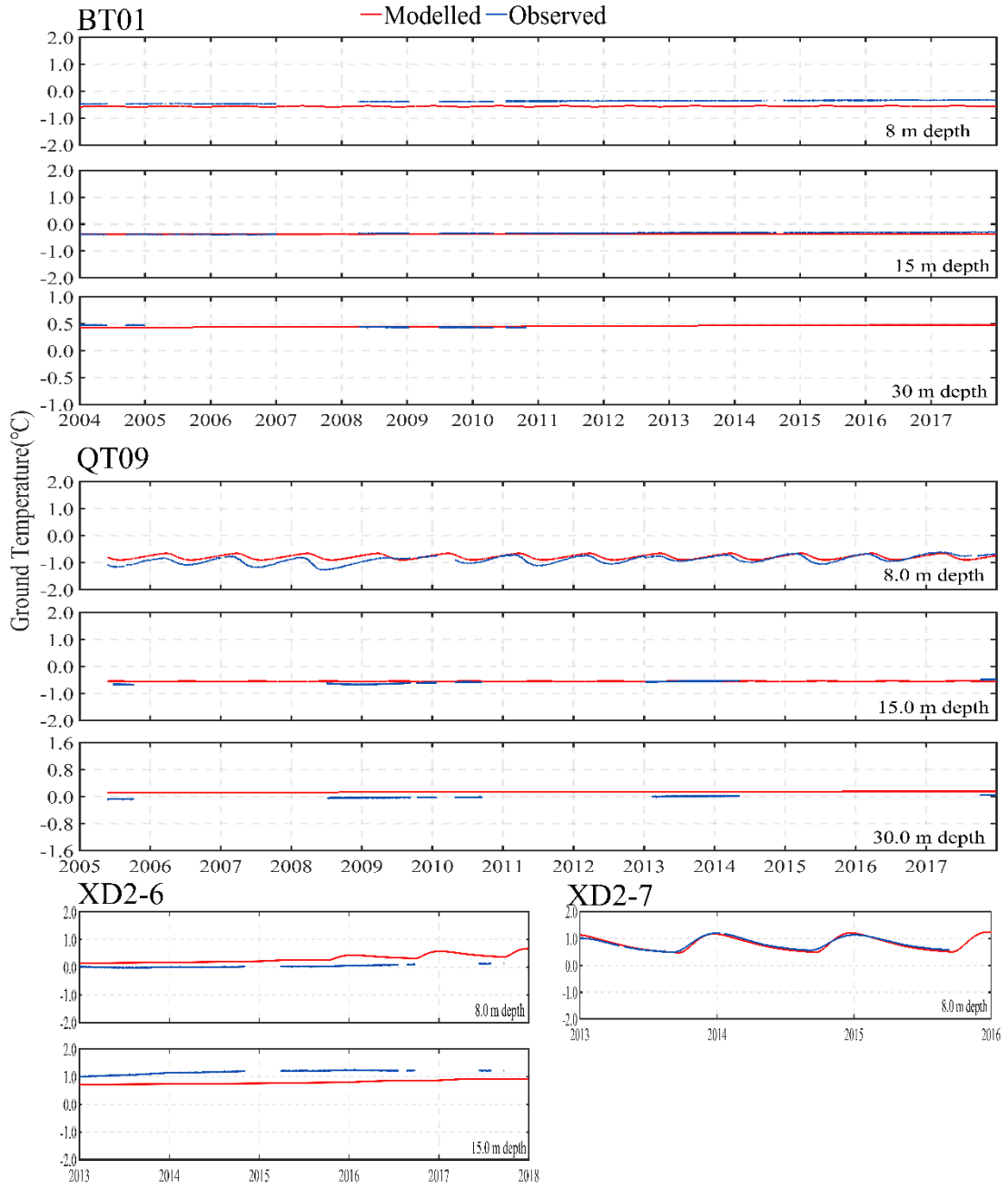



Figure A2. Same as Figure 2. but for the daily mean ground temperature at 8 m, 15 m, and 30 m.

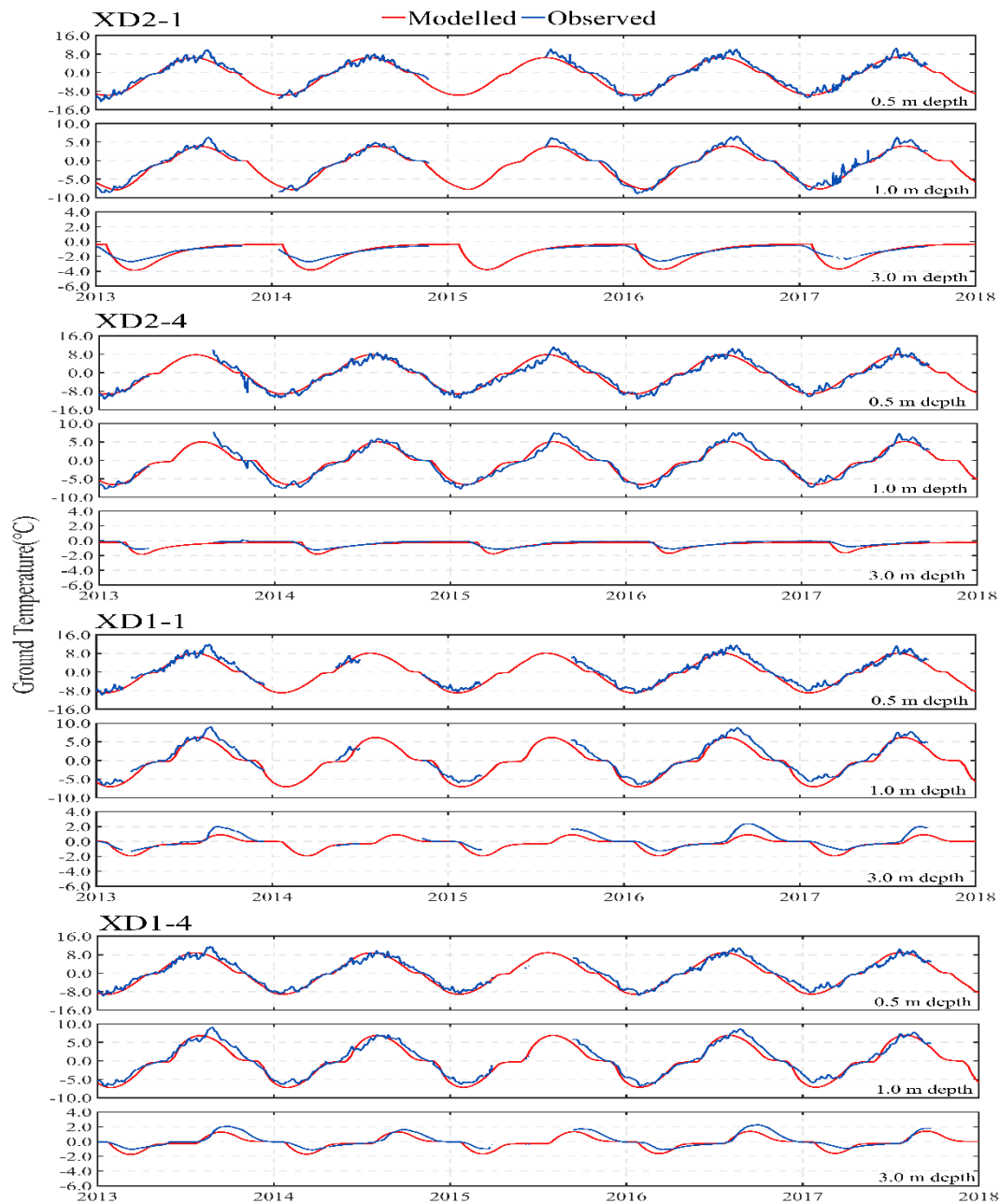



Figure A3. Comparison of the simulated (red lines) to observed (blue lines) daily mean ground temperature

735 **at 0.5 m, 1.0 m, and 3.0 m depth in four validation boreholes (XD2-1, XD2-4, XD1-1, and XD1-4) during the**

observation period from 2013 to 2018 (There were some data gaps due to temperature probe failure in some

years, at the XD2-1, the data gaps in the record mainly occurred at 0.5-3.0 m in the first half of 2015, at the

XD1-1, the data gap in the record at 0.5-3.0 in 2014-2015, at 8-15 m during 2013-2015, at the XD1-4, the data

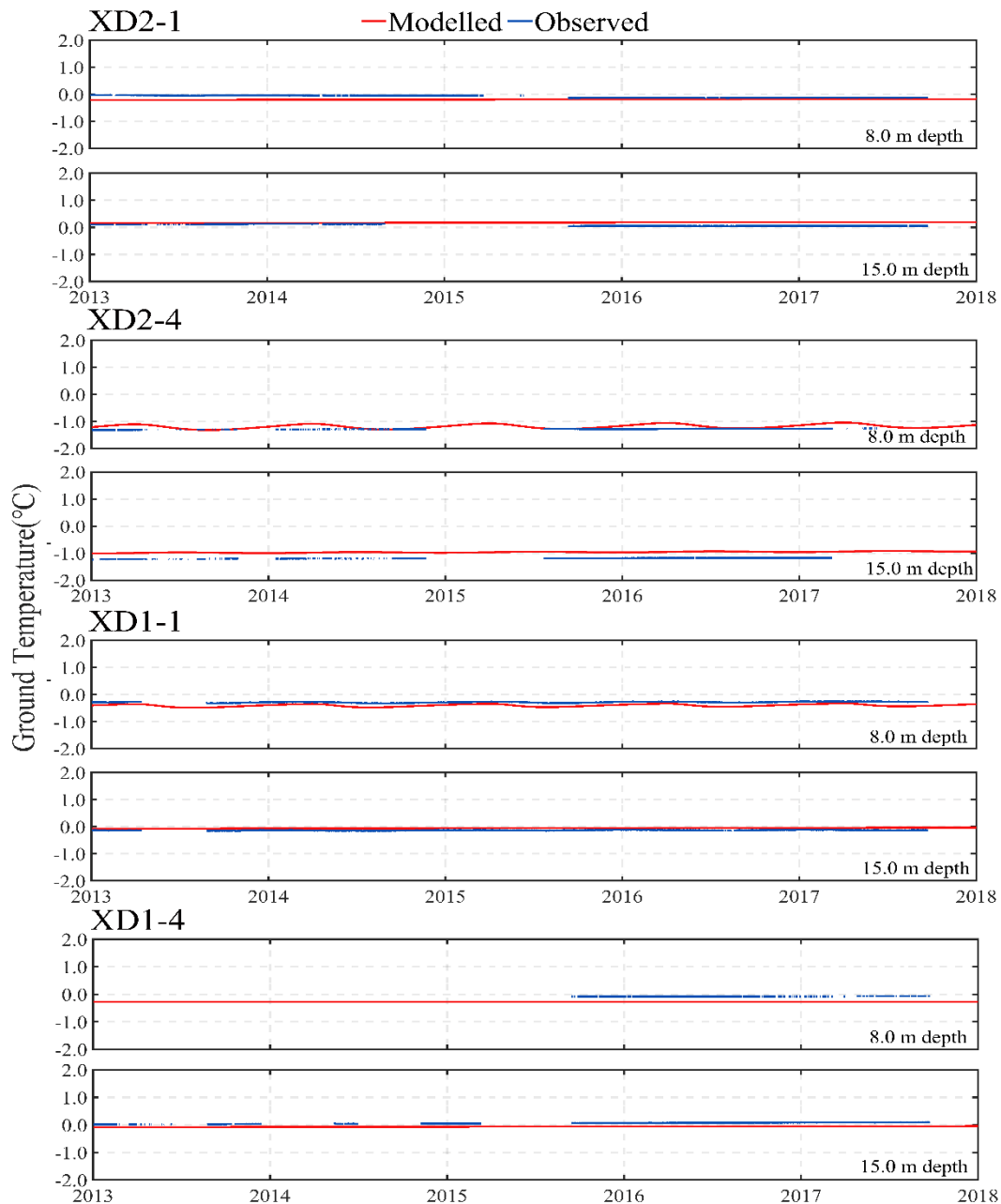
gap in the record in the first half of 2015).

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Figure A4. Same as Figure 4. but for daily mean ground temperature at 8 m, and 15 m

Code and data availability. Monitoring data *in-situ* from the field observation sites provided by the Cryosphere Research Station on Qinghai-Xizang Plateau of the Chinese Academy of Sciences (CAS), is available online https://data.tpdc.ac.cn/en/disallow/789e838e-16ac-4539-bb7e-906217305a1d/ (Zhao et al., 2021), and https://doi.org/10.1007/s11629-017-4731-2, (Luo et al., 2018), respectively. Improved MODIS LST data were provided by (Zou et al., 2017) (https://doi.org/10.5194/tc-11-2527-2017). The historical official observed daily AT series are

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available from the China Meteorological Data Sharing Service System (http://data.cma.cn/data/cdcdetail/dataCode/A.0012.0001.html). Climate projections of CMIP5 and CMIP6 data are freely available online at (https://interactive-atlas.ipcc.ch) (Iturbide et al., 2020). The Shuttle Radar Topography Mission (SRTM) with a 1-arcsecond (~30 m) DEM data were from Hole-filled seamless SRTM data V4, International Centre for Tropical Agriculture (CIAT), available at: http://srtm.csi.cgiar.org (Jarvis et al., 2008). Integration dataset of Tibet boundary was provided by National Tibetan Plateau Data Center (Zhang et al.,2019), are freely available online at (http://data.tpdc.ac.cn/zh-hans/). Three existing permafrost distribution maps investigated in 1975, 2012, and 2016 were available by Nan et al. (2003) (https://doi.org/10.1007/s11629-017-4731-2), Luo et al. (2018) (https://doi.org/10.1007/s11629-017-4731-2), and Zou et al. (2017) (https://doi.org/10.5194/tc-11-2527-2017). The new permafrost model source code is available on request form the first authors or corresponding authors or co-authors of this study: Jianting Zhao, jt.zhao@nuist.edu.cn; Lin Zhao, lzhao@nuist.edu.cn; Zhe Sun, sunzhe@lzb.ac.cn.

Author contribution. LZ conceived and conceptualized the idea; JZ and ZS developed the methodology; LZ, ZS, and GH supervised the study; JZ, MX, LY, and SW performed data processing and analyses. LZ and FN acquired the funding and provided the resources; FN, DZ, GL, DE, CW, YQ, JS, and HZ participated in the fieldwork and maintained the observation sites; JZ wrote the draft version, and ZS, FN, GH, LW, YZ, JG, YW, YL, WY, and ZX reviewed and edited the writing.

Competing interests. The author has declared that neither they nor their co-authors have any conflict of interest.

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