Wind Redistribution of Snow Impacts the Ka- and Ku-band Radar Signatures of Arctic Sea Ice

Vishnu Nandan[1,2*], Rosemary Willatt[3], Robbie Mallett[1,3], Julienne Stroeve[1,3], Torsten Geldsetzer[2], Randall Scharien[4], Rasmus Tonboe[5], John Yackel[2], Jack Landy[6], David Clemens-Sewall[7], Arttu Jutila[8], David N. Wagner[9,10], Daniela Krampe[8], Marcus Huntemann[11], Mallik Mahmud[2], David Jensen[1], Thomas Newman[3], Stefan Hendricks[8], Gunnar Spreen[11], Amy Macfarlane[9], Martin Schneebeli[9], James Mead[12], Robert Ricker[13], Michael Gallagher[14], Claude Duguay[15,16], Ian Raphael[7], Chris Polashenski[7], Michel Tsamados[3], Ilkka Matero[8] and Mario Hoppmann[8].

[1]Centre for Earth Observation Science (CEOS), University of Manitoba, Canada
[2]Department of Geography, University of Calgary, Canada
[3]Centre for Polar Observation and Modeling, Earth Sciences, University College London, UK
[4]Department of Geography, University of Victoria, Canada
[5]DTU Space, Technical University of Denmark, Denmark
[6]Centre for Integrated Remote Sensing and Forecasting for Arctic Operations (CIRFA), UiT The Arctic University of Norway, Tromsø, Norway
[7]Thayer School of Engineering, Dartmouth College, USA
[8]Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Bremerhaven, Germany
[9]WSL Institute for Snow and Avalanche Research (SLF), Davos, Switzerland
[10]CRYOS, School of Architecture, Civil and Environmental Engineering, EPFL, Lausanne, Switzerland
[11]Institute of Environmental Physics, University of Bremen, Germany
[12]ProSensing Inc, Amherst, MA, USA
[13]Norce Norwegian Research Centre AS, Bergen, Norway
[14]Physical Sciences Laboratory, NOAA, USA
[15]Department of Geography and Environmental Management, University of Waterloo, Canada
[16]H2O Geomatics Inc., Waterloo, Canada

Correspondence: Vishnu Nandan (Vishnu.Nandan@umanitoba.ca)

Abstract: Wind-driven redistribution of snow on sea ice alters its topography and microstructure, yet the impact of these processes on radar signatures is poorly understood. Here, we examine the effects of snow redistribution over Arctic sea ice on radar waveforms and backscatter signatures obtained from a surface-based, fully-polarimetric Ka- and Ku-band radar, at incidence angles between 0° (nadir) and 50°. Two wind events in November 2019 during the MOSAiC International Arctic Drift Expedition are evaluated. During both events, changes in Ka- and Ku-band radar waveforms and backscatter coefficients at nadir are observed, coincident with surface topography changes measured by a terrestrial laser scanner. At both frequencies, redistribution caused snow densification at the surface and the uppermost layers, increasing the scattering at the air/snow interface at nadir and its prevalence as the dominant radar scattering surface. The waveform data also detected the presence of previous air/snow interfaces, buried beneath newly deposited snow. The additional scattering from previous air/snow interfaces could therefore affect the range retrieved from Ka- and Ku-band satellite altimeters. With increasing incidence angles, the relative scattering contribution of the air/snow interface decreases, and the snow/sea ice interface scattering increases. Relative to pre-wind event conditions, azimuthally averaged backscatter at nadir during the wind events increases by up to 8 dB (Ka-band) and 5 dB (Ku-band). Results show substantial backscatter variability within the scan area at all incidence angles and polarizations, in response to increasing wind speed and changes in wind direction. Our results have implications for reliable interpretation of airborne and satellite radar measurements of snow-covered sea ice.

1 Introduction

Wind plays an important role in shaping the spatial distribution of snow depth and snow water equivalent (SWE) over sea ice (Moon et al., 2019; Iacozza & Barber, 2010). Wind alters snow temperature gradients through wind pumping (Colbeck, 1989), structural anisotropy (Leinss et al., 2020), and snow grain geometry (Löwe et al., 2007). Furthermore, wind affects the residence and sintering time of snow close to the surface, facilitating depositional snow dune growth and erosional processes (Trujillo et al., 2016). Fluctuating wind speed and direction modify snow surface topography and density via wind scouring and compaction of snow (Lacroix et al., 2009). Depending on the ice surface roughness (e.g., level ice, pressure ridges, hummocks etc.), wind will result in the formation of heterogeneities at different scales, from ripple marks to snow bedforms and drifts (Filhol & Sturm, 2015; Sturm et al., 1998). This further alters the geometric, aerodynamic, and radar-scale roughness (Savelyev et al., 2006; Fung & Eom, 1982).

Under cold snow conditions, a common assumption in radar altimetry is that the dominant scattering surfaces of co-polarized Ka- and Ku-band radar signals correspond to the air/snow and snow/sea ice interfaces, respectively (e.g., Armitage et al., 2015; Tilling et al., 2018). For synthetic aperture radar (SAR) and scatterometry, variations in snow grain microstructure influence the proportion of surface and volume scattering to the total radar backscatter (Nandan et al., 2017). Winds can roughen/smoothen the snow surface on relatively short time scales, altering the Ka- and Ku-band surface and/or volume scattering contributions to total radar backscatter.

Very little is known about how wind redistribution of snow impacts snow depth, SWE, and ice thickness retrievals from airborne and satellite radars (e.g., Yackel & Barber, 2007; Kwok & Cunningham, 2008; Kurtz et al., 2009; Kurtz & Farrell, 2011). Due to repeat airborne and satellite ground-tracks often occurring weeks/months apart and sea ice drift, it is challenging to measure radar backscatter changes resulting from wind redistribution, on the same area of ice over time. Nevertheless, Kurtz & Farrell (2011) assumed snow redistribution caused an anomalous snow depth decrease in 2009 over multi-year sea ice in the Canadian Archipelago (CA), retrieved from two Operation IceBridge (OIB) snow radar flights, acquired three weeks apart. Yackel & Barber (2007) speculated that snow redistribution on first-year sea ice in the CA was, in part, responsible for a change in retrieved SWE of up to 7 cm, derived from two C-band RADARSAT-1 images 45 days apart.

To better understand the impact of snow redistribution on Ka- and Ku-band radar signatures, we require unambiguous in-situ measurements of snow physical properties and meteorological observations during wind events, sampled coincidentally with radar measurements. This bridges a fundamental knowledge gap, and potentially allows improved modelling of Ka- and Ku-band radar waveforms and backscatter. This in turn may improve interpretation of Ka- and Ku-band radar signatures from presently operational SARAL/AltiKa (Guerreiro et al., 2016), CryoSat-2 (Lawrence et al., 2018), Sentinel-3 (Lawrence et al., 2021), ScatSat-1 (Singh & Singh, 2020) and the upcoming Ka-/Ku-band CRISTAL altimetry (Kern et al., 2020) and SWOT satellite missions (Armitage & Kwok, 2021).

93   In this study, we investigate wind-induced changes to snow physical properties and topography on Ka- and Ku-band dominant

94   scattering surfaces and backscatter using a surface-based, fully-polarimetric, Ku- and Ka-band radar (KuKa radar; see Stroeve

95   et al., 2020) deployed during the 2019-20 Multidisciplinary drifting Observatory for the Study of Arctic Climate (MOSAiC)

96   expedition (Krumpen et al., 2020). We present data from 9 to 16 November 2019, assessing the effects of two separate Wind

97   Events (WE1 and WE2). First, we describe the KuKa radar system, the time series of meteorological observations, snow

98   physical properties, and snow surface topography. Next, we investigate the impact of snow redistribution on KuKa radar

99   echograms and waveforms, examining changes in dominant scattering surfaces and radar backscatter. Finally, we discuss the

100   relevance of our findings to improving retrievals of snow/sea ice geophysical variables by airborne and satellite radars.

101   **2. Data and Methods**

102   **2.1 Surface-Based Ka- and Ku-band Polarimetric Radar (KuKa Radar)**

103   During the MOSAiC expedition, the research icebreaker *R/V Polarstern* drifted with a sea ice floe across the central Arctic

104   Ocean over a full annual cycle (Nicolaus et al., 2022). The floe was dominated by second-year ice with refrozen melt ponds

105   making up ~ 60% of the surface area (Krumpen et al., 2020). The Remote Sensing Site (RSS) was first established on the

106   floe on 18 October 2019, where the KuKa radar was deployed on ~ 80 cm thick, laterally homogeneous, and undeformed sea

107   ice.
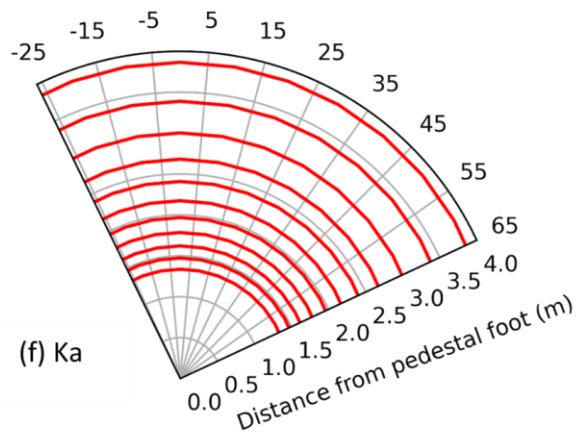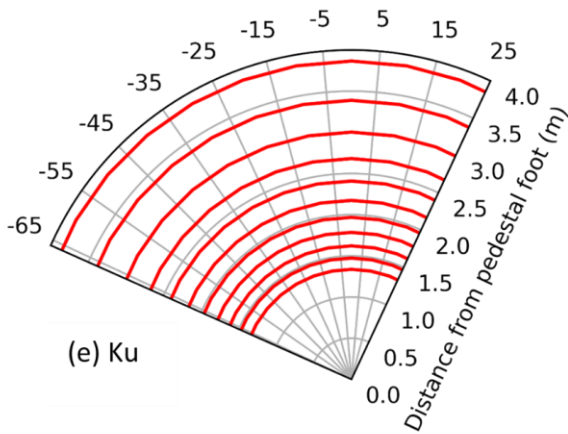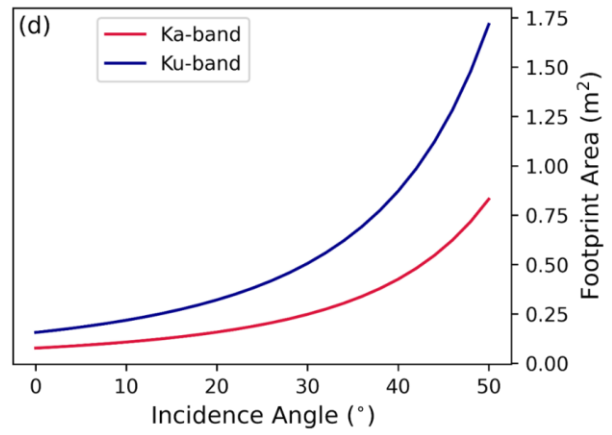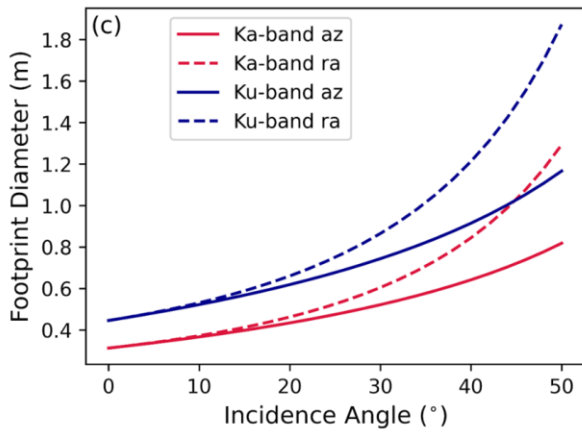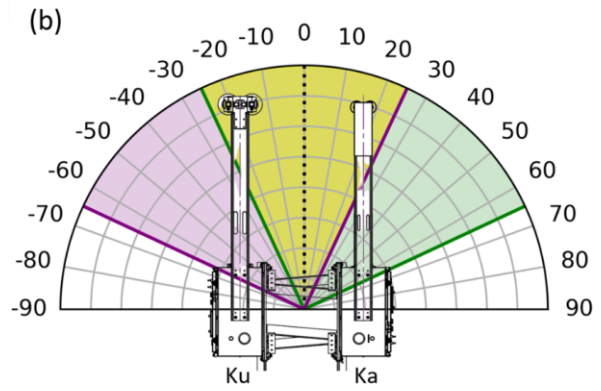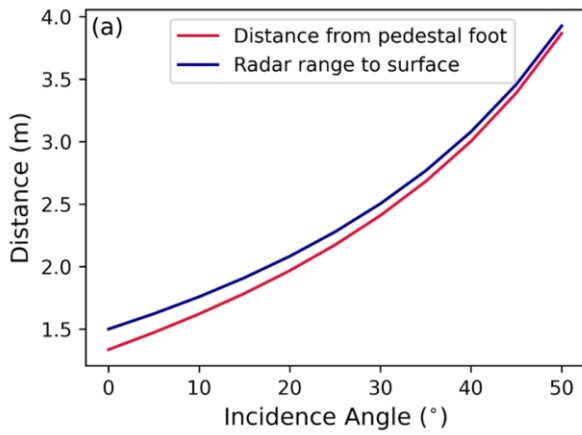
Figure 1: KuKa radar geometry illustrating (a) radial distance and radar range from the pedestal foot; (b) KuKa radar azimuth scan pattern projected based on the positioner axis coordinate system (b) scan pattern of radar projected onto a level surface; (c) diameter of radar scan area, measured radially ('ra') and azimuthally ('az'); (d) area of radar scan area; (e) and (f) Ku- and Ka-band scan area of the KuKa radar, respectively. In panel (b), the region between purple and green lines are the respective Ku- and Ka-band scan area (separately illustrated in panels (e) and (f), while the yellow region in (b) is the overlapping scan area.

The KuKa radar transmits at Ka- (30-40 GHz) and Ku-band (12-18 GHz) frequencies and measures the return radar power (in dBm) as a function of range (Stroeve et al. 2020). The radar acquires data across a fixed azimuth (θ_{az}) range, at discrete incidence angle (θ_{inc}) intervals. The radar operates in all vertical (V) and horizontal (H) linear polarization transmit and receive combinations: VV, HH, HV, and VH.

The central frequency of the radar chirps were set to be close to the Ka-band of AltiKa (35 GHz) and the Ku-band of CryoSat-2 (13.575 GHz). The KuKa radar bandwidth is considerably higher than the bandwidth of AltiKa and CryoSat-2, allowing improved range resolution of 1.5 cm for Ka-band and 2.5 cm for Ku-band relative to 30 cm and 46 cm for AltiKa and CryoSat-2, respectively. The radial distance and range from the pedestal, the scan area diameter, and scan area from $\theta_{inc} = 0°$ - 50° are illustrated in Figure 1. During MOSAiC, the KuKa radar scanned over a 90° continuous θ_{az} range width for every 5° interval in θ_{inc}. The KuKa radar takes ~ 16 seconds (i.e., 5.7° per second) over a 90° θ_{az} width to acquire data across an incidence angle scan line and ~ 2.5 minutes for one complete scan between $\theta_{inc} = 0°$ - 50°. However, there is a ~ 20° offset between the individual radar antennas and the radar positioner axis origin. Therefore, the Ku-band antenna scans between -65° to +25° θ_{az} range (region between purple lines) from -25° to +65° for Ka-band (region between green lines) (Figure 1(b), (e) and (f)). This also means that the Ku- and Ka-band scan area overlap for a given radar 'shot' is θ_{inc} dependent. The yellow region between green and purple lines in Figure 1b between -25° and +25° is the overlapping Ku- and Ka-band scan area. The antenna beamwidth (6 dB two-way) is 16.9° and 11.9° for Ku- and Ka- bands, respectively. Therefore, the size of the radar scan area on the snow is dependent on frequency, height of the antenna above the snow surface, and θ_{inc}. Further description of the radar specifications, signal processing, polarimetric calibration routine, signal-to-noise and error estimation is documented in Stroeve et al. (2020).

At the RSS, the radar acquired scans every 30 mins over the 90° θ_{az} width and θ_{inc} discrete increments. Between 9 and 15 November, a total of 325 scans were collected. The ice supporting the RSS broke up on 16 November, and the measurements were stopped until it was safe to redeploy the radar.

2.2 Meteorological and Snow Property Data

A 10-m tall meteorological station installed ~ 100 m away from the RSS monitored air temperature (°C), relative humidity (%), air pressure (hPa), wind speed (m/s) and wind direction (°), all at 2 m height. Wind direction is denoted with respect to geographic north (0°). Measurements were acquired every second (Cox et al., 2021) and resampled to 30-minute averages, to match the radar scan intervals.

A thermal infrared (TIR) camera (Infratec VarioCam HDx head 625, assuming emissivity 0.97 at 7.5-14 μm wavelength; Spreen et al., 2022) measured snow surface temperature (°C), every 10 minutes. Two digital thermistor chains (DTC) installed close to the RSS measured near-surface, snow, and sea ice temperature evolution at 2 cm vertical intervals. No destructive snow sampling was done underneath the KuKa radar scan area. Instead, snow depth measurements were made close to the radar on 4 and 14 November. Profiles of the penetration resistance force of the snow were collected before, during and after WE1 and WE2 using a Snow Micro-penetrometer (SMP; Johnson & Schneebeli, 1999) at the Snow1, Snow2 and the RSS sites (see locations in Figure 4). Five SMP profiles per pit were recorded weekly. To compare initial density and SSA between the RSS and the Snow1 and Snow2 locations at the beginning of November, one SMP profile from the RSS was taken on 4 November. The force profiles were converted into density and specific surface area (SSA) following King et al. (2020) and Proksch et al. (2015) parameterizations, respectively, that also worked well during the MOSAiC winter (Wagner et al., 2022).

2.3 Snow Surface Topography

An optical camera was used to visualise snow surface topography changes within the radar scan area (Spreen et al., 2021). In addition, Terrestrial Laser Scanning (TLS) data of snow surface topography were collected on 1, 8 and 15 November using a Riegl VZ1000. Scan positions were registered in RiSCAN (Riegl's data processing software) using reflectors permanently frozen to the ice and levelled based on the VZ1000's built-in inclination sensor. Wind-blown snow particles were removed from the data by FlakeOut filtering (Clemens-Sewall et al., 2022). Filtered data were aligned to one another by matching reflectors and other tie-points. To transform the TLS data into the KuKa radar's reference frame, the outlines of the radar's pedestal column and the antenna arms were manually picked in the TLS data.

A non-linear least squares optimization method using SciPy (Virtannen et al 2020) was then implemented to estimate the best fitting circle and rectangle to match the pedestal column and the antenna arms, respectively. The centre of the pedestal was used as the horizontal origin, the centre of the antennas was used for orientation, and the antenna height at nadir position was used as the vertical origin. Within the radar's reference frame, a polar grid was defined with radial increments of 0.25 m and azimuthal increments of 10°. The surface height in the radar reference frame (a.k.a. the vertical distance from the surface to the radar antennas at nadir) for each grid cell was calculated by averaging the vertical position of each TLS point within that grid cell.

2.5 Radar Waveforms and Backscatter

Waveforms from each sampling time across θ_{az} were recorded and overlaid with the TLS data to identify where the Ka- and Ku-band backscatter originated from (Section 3.2). Deconvolved waveforms were used (Stroeve et al., 2020), using waveforms from a refrozen lead located close to the RSS in January 2020 to provide a specular return useful for reducing the appearance of sidelobes that result from non-ideal behaviour of the RF electronics, as well as internal reflections in the radar. Waveform echograms were used to illustrate how the return waveforms from within the overlapping scan area changed between WE1 and WE2. The normalized radar cross section per unit area (NRCS) was calculated based on the range-power profiles following the standard beam-limited radar range equation (Ulaby et al., 2014), given by:

$$NRCS = \frac{8 ln\,(2) h^2 \sigma_c}{\pi R_C^4 \theta_{3dB}^2 \cos\theta} \left(\frac{\widetilde{P_r}}{\widetilde{P_{rc}}} \right)$$

where h is the antenna height, R_C is the range to the corner reflector, θ_{3dB} is the one-way half-power beamwidth of the antenna and $\widetilde{P_r}$ and $\widetilde{P_{rc}}$ are the received power from the snow and the corner reflector, respectively.

The peak power in the radar waveforms used for calculating NRCS is determined by locating the highest peak in the waveform averaged across all polarisations. For waveform analysis, we calculated the NRCS values at nadir for the air/snow and snow/ice interfaces by integrating the power over the waveform peaks within +/- 2 dB either side from the overlapping scan area (Section 3.2). Next, we calculated the NRCS value integrated over the entire snow volume based on the power contained within this peak over an incidence angle scan line, by integrating over the range bins where the power falls below a threshold, set to -50 dB on either side of the peak for Ka-band data, and -20 dB (-40 dB) on the on the smaller-range (larger-range) sides for Ku-band data. The NRCS was averaged across the overlapping scan area across the entire 90° θ_{az} range, at discrete $\theta_{inc} = 0°$, 15°, 35° and 50°, to demonstrate scan area-scale variability in backscatter during the two wind events (section 3.3).

To investigate the sub-scan area scale backscatter variability caused by surface heterogeneity, as well as the range to the dominant scattering surface that could have changed during sampling, we used azimuth 'sectoring' and analysed the NRCS averaged at 5° wide θ_{az} bins. Azimuth 'sectoring' has an impact on the number of independent samples in range along a 5° θ_{az} bin, since a smaller area is used for averaging (Table 1). The number of independent samples is estimated based on the following steps: a) determine the distance between the 6 dB points below the radar range peak on either side of the peak, b) divide the 6 dB range by the range resolution. This is a measure of the number of independent samples in range, c) divide the azimuth width (90° and 5° in our study) by the azimuth beamwidth and multiply by 2, and d) the total number of independent samples would then be the number of independent samples in range multiplied by the number of independent samples in azimuth (Doviak & Zrnić, 1984).

Table 1: Number of independent samples at Ka- and Ku-band frequencies at nadir and $\theta_{inc} = 50°$ at $\theta_{az} = 90°$ and along a 5° bin

| Frequency	Nadir		$\theta_{inc} = 50°$	
	$\theta_{az} = 90°$	$\theta_{az} = 5°$	$\theta_{az} = 90°$	$\theta_{az} = 5°$
Ka-band	487	48	1609	439
Ku-band	198	34	1252	376

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200 Within every θ_{inc} scan, VV, HH and HV are derived from the complex covariance matrix, while VH is discarded based on

201 reciprocity of cross-polarized channels (i.e., HV ~ VH) (Ulaby et al., 2014). In Section 3.3.2, we show the changes in

202 backscatter signature variability across the KuKa radar scan area at 5° wide θ_{az} bins at specific times on 9, 11 and 15

203 November.

204 **3. Results**

205 **3.1 Meteorological and Snow Conditions**

206 **3.1.1 WE1 and WE2**

207 WE1 started ~ 0745 UTC on 11 November and lasted until ~ 0800 UTC on 12 November when winds ~12 m/s originated from

208 the SW to SE (Figure 2 and 3c). WE2 started ~ 0900 UTC on 15 November, when a low-pressure system began to intensify

209 (Figure 3b). The wind direction shifted from SW to W, and speeds increased to ~ 15 m/s and continued until ~ 1900 UTC on

210 16 November (Figure 2 and 3c). During WE2, the strong low-pressure system dropped below 995 hPa (Figure 3d) and the air

211 temperature reached -5.5°C (Figure 3a). The warm air advection was accompanied by a steep increase in relative humidity to

212 > 90% (Figure 3d).

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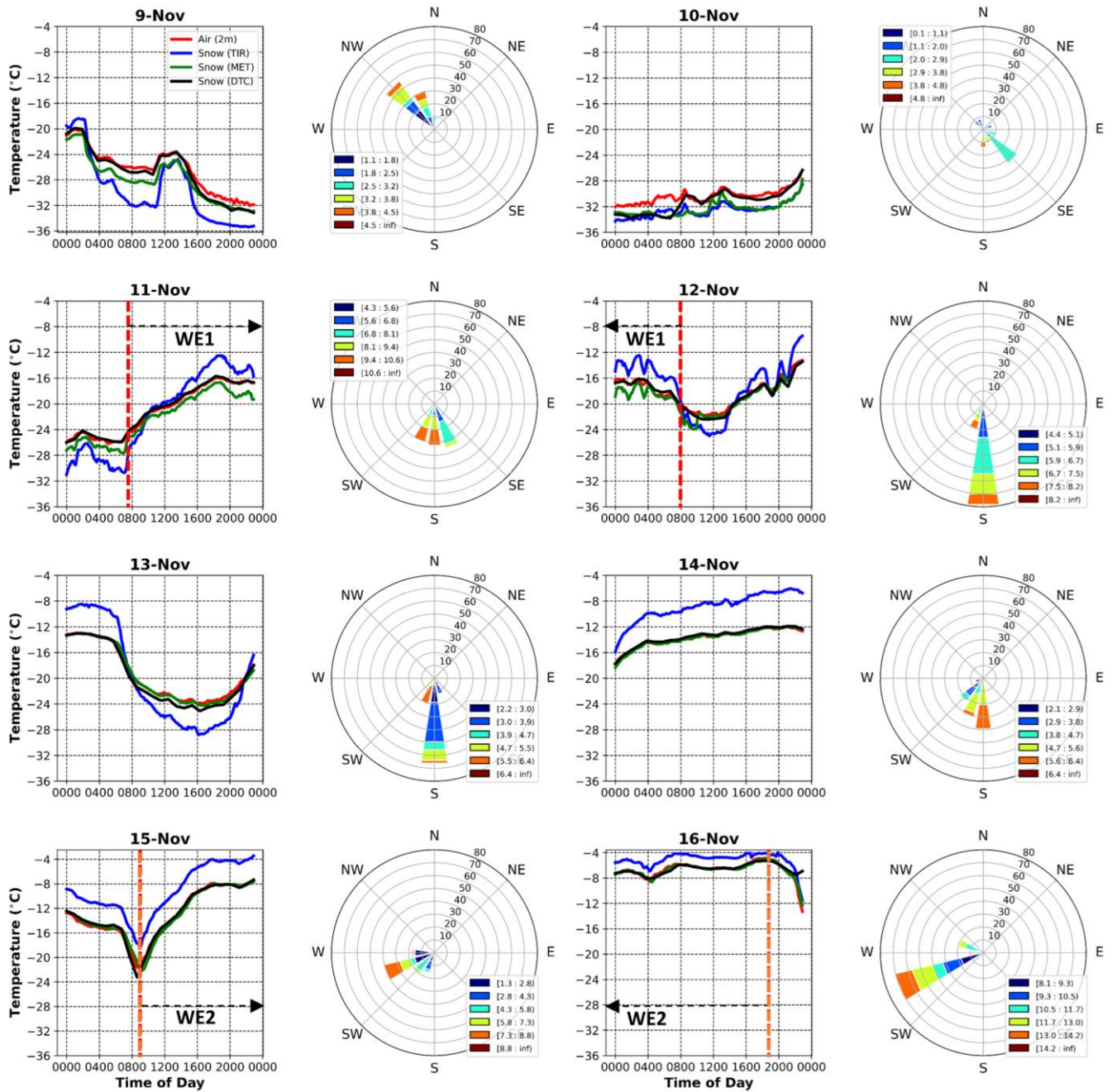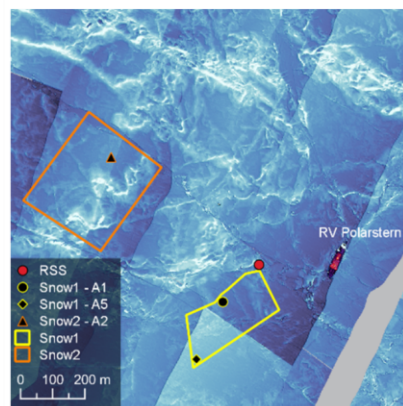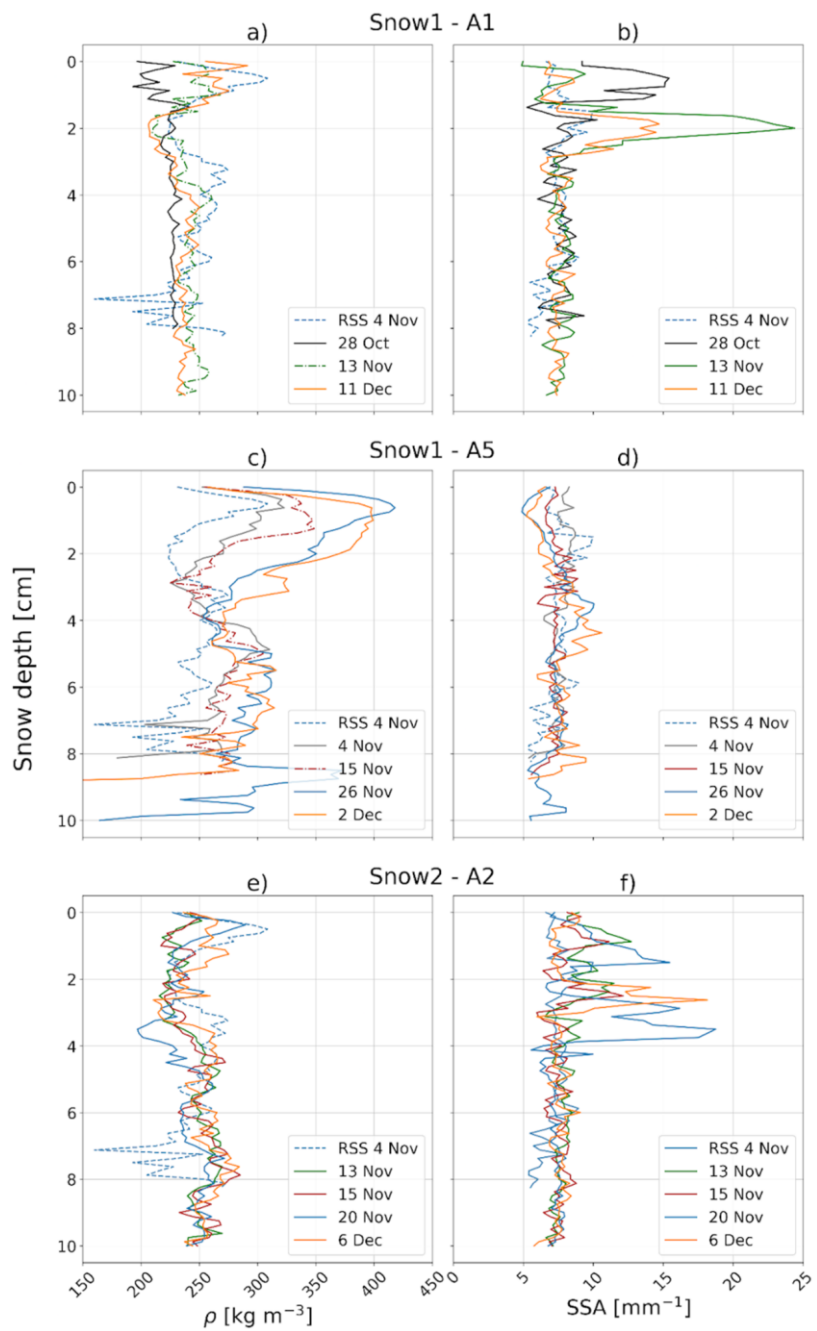
Figure 2: Line plots illustrate daily, 30-min averages of 2 m air temperature (MET tower) and snow surface temperature measurements
from the TIR camera, MET tower and DTC sensors; acquired between 9 and 16 November. Wind rose plots illustrate corresponding wind
speed (m/s) and direction (°) measurements recorded by the MET tower. All times are UTC. Dotted red and orange lines indicate the onset
of WE1 and WE2, respectively, supported by black arrows.

3.1.2 Snow Temperature, Density and Microstructure
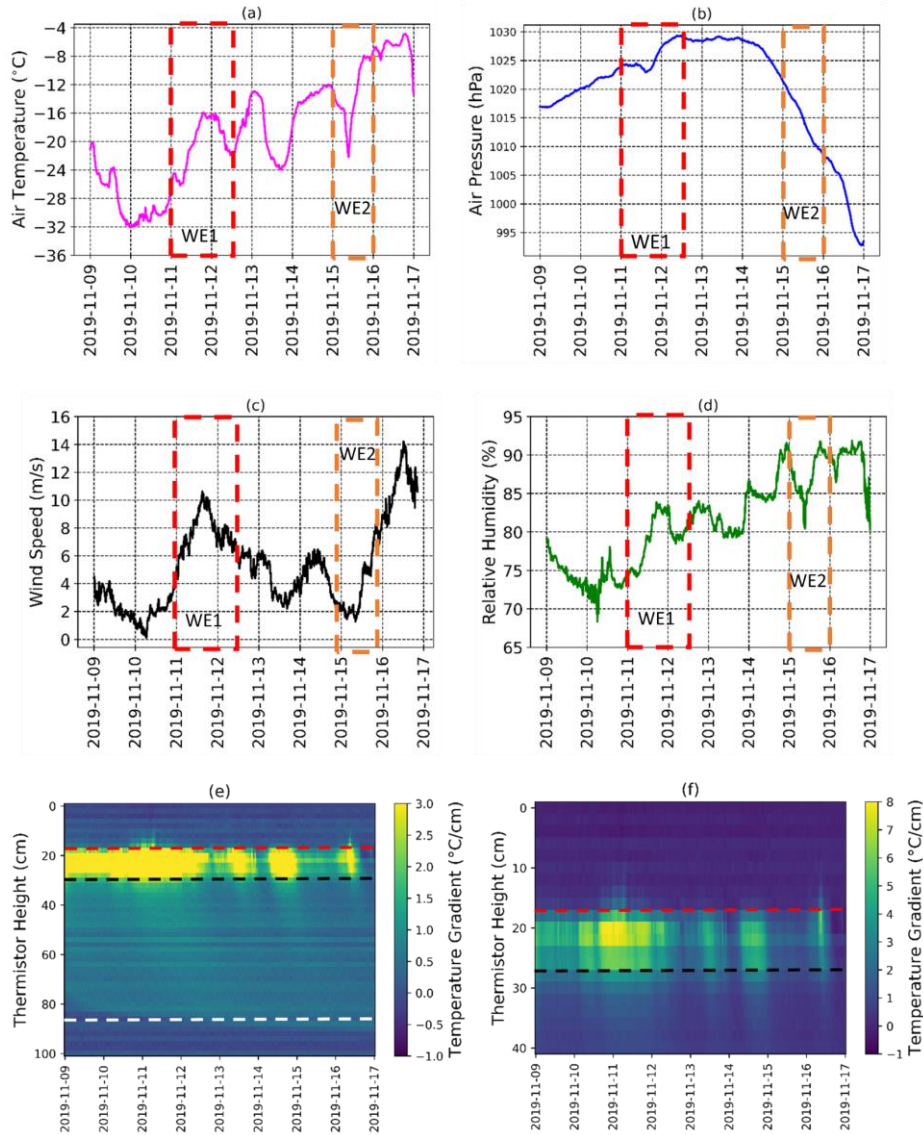
Figure 3: Line plots show daily, 10-min averaged 2m (a) air temperature, (b) air pressure, (c) wind speed and (d) relative humidity, recorded by the MET tower between 9 and 16 November. 2D color plots show DTC-derived hourly-averaged temperature gradient of (e) near-surface, snow, sea ice and ocean; and (f) sub-section of panel (e) showing the snow volume from the RSS. Yellow represents larger temperature gradients within the snowpack. Dotted red, black and white lines represent approximate locations of the estimated air/snow, snow/sea ice and sea ice/ocean interfaces. DTC temperature sensors are spaced by 2 cm, with the top 20 cm representing the height above the air/snow interface. Red and orange boxes in (a) to (d) indicate WE1 and WE2 windows. Note the different temperature gradient scales for (e) and (f).

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During WE1, the air temperature increased from ~ -32°C (0800 UTC) to ~ -16°C (~ 2000 UTC) (Figure 3a). During WE2, air
temperature increased to ~ -4°C by ~ 1800 UTC and remained relatively warm until the end (Figure 3a). These changes clearly
influenced the temperature gradients across the snowpack, with a large, vertical temperature gradient of > 7°C/cm early in
WE1 decreasing to ~ 3°C/cm during WE2 (Figure 3f). Snow temperature gradients consistently exceeded 2.5°C/m, suggesting
temperature gradient-driven hoar metamorphism was occurring throughout the snowpack (e.g., Colbeck, 1989).

SMP-derived density and SSA profiles demonstrate an increase in density and decrease in SSA over time, in the uppermost (2
cm) snow layers (Figure 4). The density increase at Snow 1 - A5 until 26 November is most distinct. The density and SSA
profile from the RSS measured on 4 November correlates well with those from Snow1 and Snow2, indicating representative
snowpack conditions between RSS and Snow 1 and 2 locations. The average density change of the upper 2 cm between the
last and the first measurement at each location is +30.7 kg/m^3 at Snow 1 - A1, +79.3 kg/m^3 at Snow 1 - A5, and +22.9 kg/m^3
at Snow 2 - A2 (Figure 4). The SSA change is -2.0 mm^{-1} at all snow pit locations (right panels). Based on the 5 SMP profiles,
we computed the snow depth, finding a slight increase over time for each location. At Snow 1, the increase was 1.7 cm and
0.2 cm at A1 and A5 locations, respectively, with a 1.2 cm increase in snow depth from the A5 location, sampled between 4
and 26 November. At Snow2 - A2, the overall increase was 0.3 cm, with a 0.8 cm increase recorded between 13 and 20
November.

The increase in surface snow density is typical for strong wind action on the snow (Lacroix et al., 2009; Savelyev et al., 2006).
Warmer air temperatures during the observed wind events, compared to pre-wind conditions (Figure 2) also increase the
likelihood for snow grains to sinter (e.g., Colbeck, 1989), favouring snow surface compaction. A SSA decrease indicates the
reduction in surface area, caused by rounding of snow grains, followed by sintering during wind transport (King et al., 2020).

3.1.3 Snow Surface Topography Dynamics

3.1.3.1 Snow bedform evolution

Wind events caused a dynamic evolution of snow bedforms in the radar scan area (Figure 5 and Supplemental Video 1). On 9 and 10 November (Figure 5a & b), the snow cover was characterised by bedform features (white stars), as well as crag and tail features and patterned tail markings (yellow star), both typically found on relatively level sea ice (Filhol & Sturm, 2015). The major axis of these bedforms is predominantly oriented parallel to the radar azimuthal scan direction.

Between 11 November until ~ 0800 UTC on 12 November, winds blew snow both radially and azimuthally relative to the radar scan area at different times. Because the radar sled forms an aerodynamic obstacle, the snow drifted unevenly in the lee of the sled (red star in Figure 5c-f and Supplemental Video 1). While snow depth could not be measured in the radar scan area, considering the 30 cm radar sled height, snow drifts covering the edges of the sled indicate an increase in snow depth to > 30 cm directly in front of the radar. Blowing snow buried the existing bedforms from 9 and 10 November, creating a new drift with its major axis oriented parallel to the azimuthal radar scans, and with an increasing slope (greater snow depth) with increasing θ_{inc} (black star in Figure 5e-g). A new sastrugi also developed as a result of WE1 (brown star in Figure 5e & f). WE2 on 15 November caused the rapid formation of two new snow drifts, oriented parallel to the prevailing wind direction (purple stars in Figure 5g). A small pit-like feature also formed in the depression between the two drifts (dark blue star in Figure 5g), while the drift (black star) that formed during WE1 is still visible.
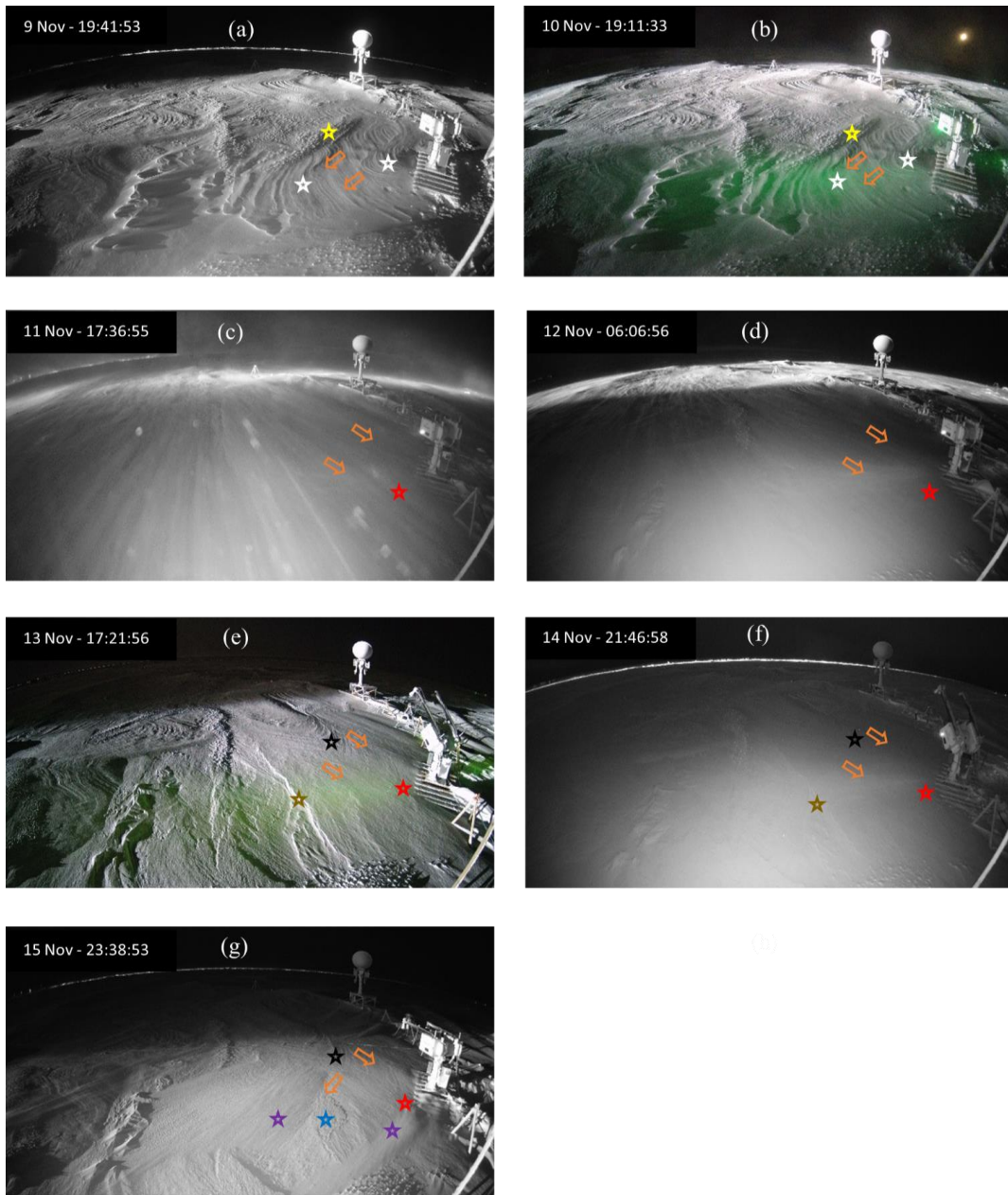
Figure 5: Images of the RSS scan area between (a) 9 November and (g) 15 November. Images were selected during times of the day when the ship's floodlight was illuminating the scanning area. The KuKa radar is on the far right on the images, while an L-band Scatterometer is on the upper right. Coloured stars represent major snow bedforms within the KuKa radar scan area, while orange arrows show the orientation of the bedforms in response to prevailing wind direction. All times are UTC.

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3.1.3.2 Snow Surface Heights from TLS

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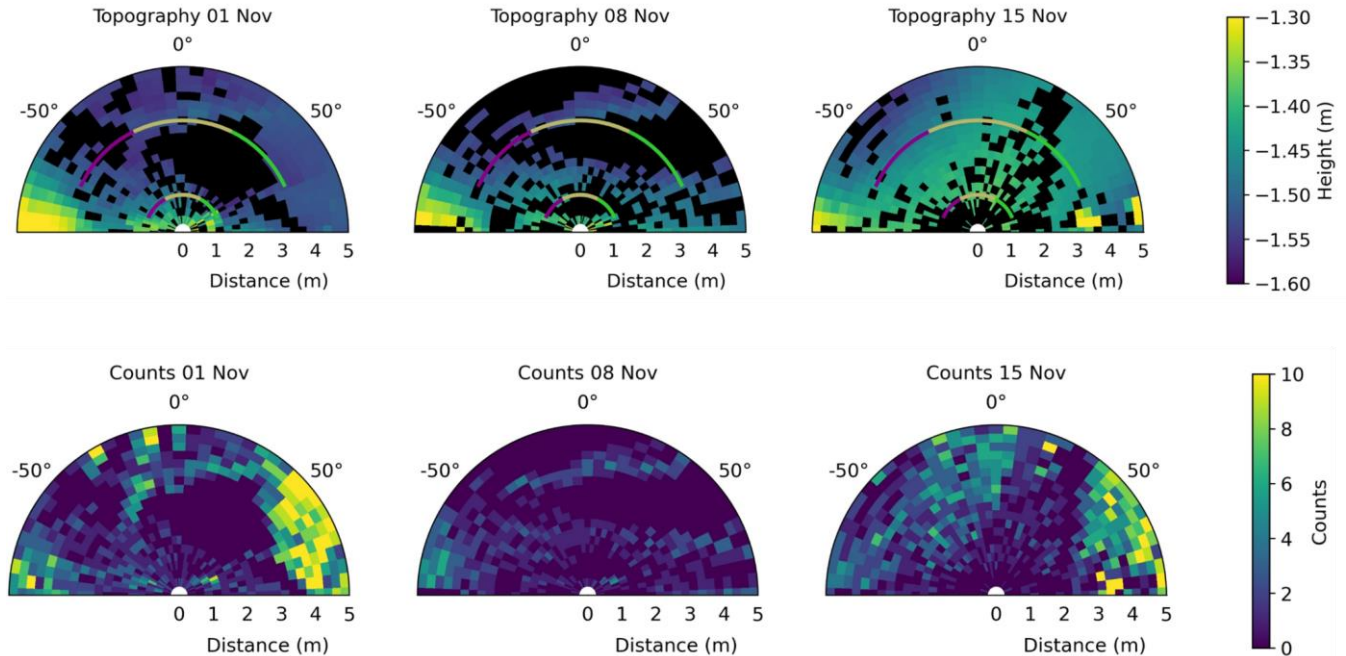
Figure 6: TLS data (plan view) from 1, 8 and 15 November, from -90° to + 90°, where the angle indicates the azimuth of the radar positioner, and radial horizontal distance measured from the centre of the radar pedestal. The top panels show the topography as measured downwards (increasing negative) from the middle of the radar antenna arms. Black indicates no data recordings in that bin. Projections of the centres of the radar scan area are illustrated for 0° and 50° radar incidence angles between -65° to + 65° azimuth range, superimposed on the TLS data in magenta and green for radar observations, respectively, and buff where the two overlap, as per Figure 1. The bottom panels indicate the number of TLS data points within each bin. Surface depressions resulting in 0 counts in the TLS data are due to obscuration by adjacent high areas due to snow/sea ice topography and human-made objects, as viewed from the TLS's oblique viewpoint some distance away.

The TLS-derived snow surface height data from 1, 8 and 15 November are illustrated in Figure 6 along with superimposed green, buff, and magenta lines, indicating the centres of the radar scan area. Data from 1 November are included for context (left panel), indicating that the surface topography was similar to 8 November (middle panel). The TLS data illustrate considerable surface height variability within the radar scan area between 8 and 15 November, with snow surface height increasing (middle and right panel), as also indicated by the raised snow drift (black star in Figure 5e-g) at approximately 0° to 45° azimuth in the CCTV images.

## 3.2 Radar Waveforms

Figure 7 shows the temporal progression of Ka- and Ku-band radar waveforms at nadir, overlaid with spatially coincident TLS-derived surface heights and averaged into individual 5° azimuth sectors. In the supplement, we provide an animation (Supplemental Video 2) that includes all radar data from the two wind events, whereas here, we show four timeframes to illustrate the radar response. As TLS data were acquired weekly, there are only these data available to overlay; in addition, as relatively few data points were available for 8 November, we also show data from 1 November - before the wind events. TLS data from all three dates are overlaid on all KuKa radar plots to demonstrate the time evolution of air/snow interface elevations in the two datasets.

Prior to WE1, radar waveforms remained stable, with only small power variations over time. The peak power at VV and HH generally corresponds to the air/snow interface in most θ_{az} bins, as also confirmed by the TLS-derived heights. A lower scattering interface is also visible at ~ 20 to 40 cm below the air/snow interface, especially prominent in the HV data in both frequencies, but also visible in the VV and HH data. The range values indicated in the radar waveforms are based on the speed of light in free space. Correcting for a reduction of 80% for snow (Willatt et al., 2009), the lower interfaces lay ~ 16 to 32 cm below the air/snow interface. To better understand this, we consider the HV waveform and local snow depth. Snow depth measured behind the scan area during 4 and 14 November varied between 21 and 29 cm. Based on the very small amount of radiation scattered from larger ranges, negligible penetration of Ku- and Ka-band signals into sea ice (Fung et al., 1994), and the consistency with local snow depth, this interface in the HV data is very likely the snow/ice interface. A small amount of returned power is expected from beyond due to snow and ice backscattering from the perimeter of the 30-50 cm radar scan area and sidelobes.

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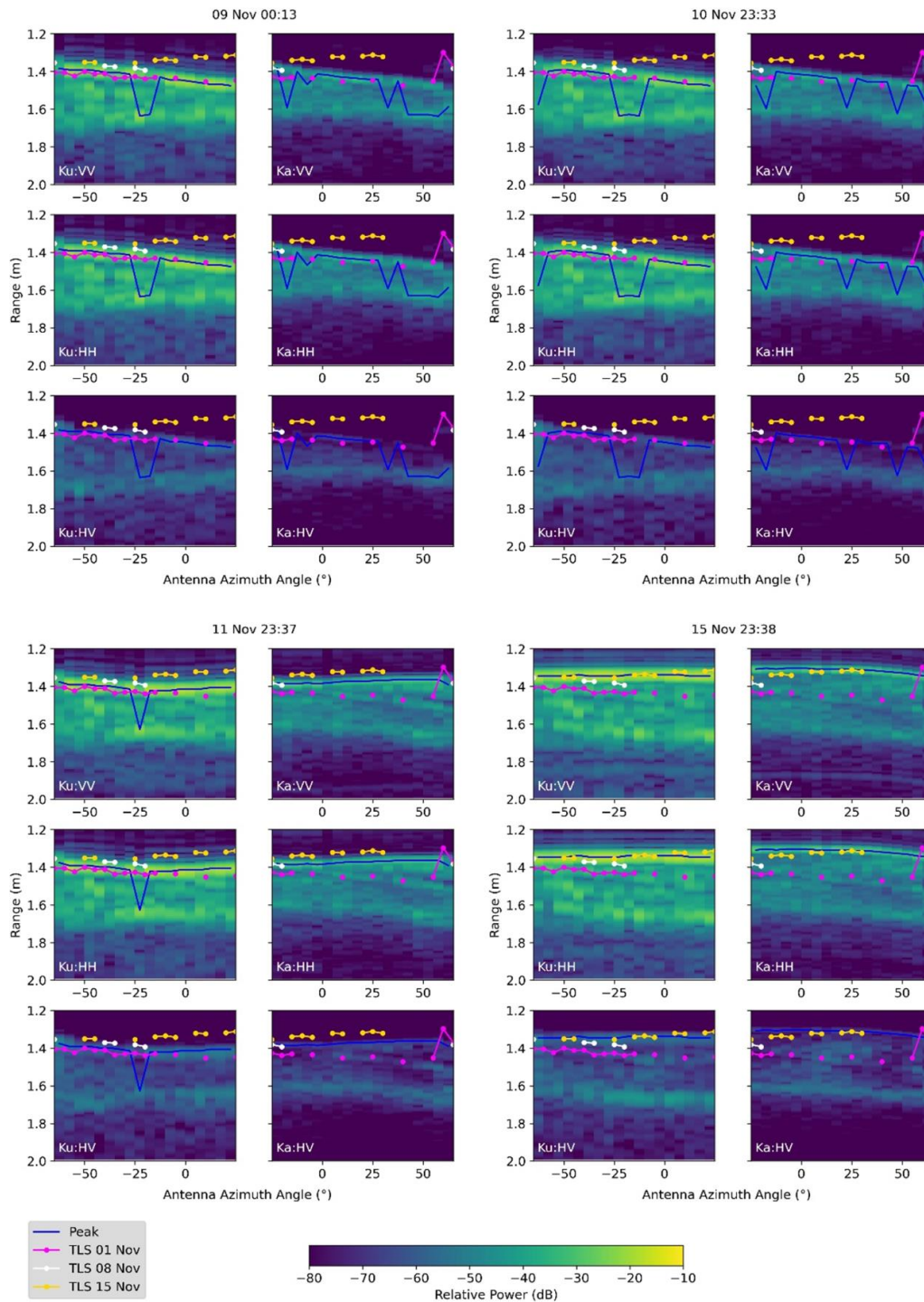
Figure 7: Progression of Ka- and Ku-band radar power-depth profiles at nadir between -65° to +25° (Ku-band) and -25° to +65° (Ka-band) (azimuth ranges following Figure 1(e) and (f)). Range (y-axis) is given from the antenna phase centre, and the antenna azimuth angles (x-axis) are the angles for that individual antenna. The highest power peak (averaged across all polarisations) is indicated with a blue line, and the surface height in the spatially coincident TLS data is superimposed on top (coloured circles).

During WE1, radar waveforms at nadir show that the peak power at the air/snow interface shifted upwards due to snow deposition at ~ 1800 UTC on 11 November (Figure 5c). This is followed by a snow scouring/erosion event, seen in the downward movement of the peak power (Supplemental Video 2), followed by a second deposition event at approximately 0800 UTC on 12 November (Figure 5d) and upward movement of the peak power (Figure 8). It is interesting to note that the Ka- and Ku-band scattering can still be seen from the previous air/snow interface on 9 and 10 November (yellow arrows on Figure 8), as well as from the snow/ice interface, more prominent in the Ku-band. After WE1, the new air/snow interface remains the dominant scattering surface for all polarizations and θ_{az} sectors.

During WE2, after accumulation of newly redistributed snow, the air/snow interface moved upwards to a closer range from the antenna phase centre (bottom right panel in Figures 7 and 8). Scattering from the previously detected air/snow interface (corresponding to the TLS data from 1 and 8 November) is still visible in both Ka- and Ku-band data (Figure 8). In addition, the air/snow interface from 11 November remains visible in the Ka-band data in all polarisations (bottom left panel in Figure 7).

Next, we examined the highest amplitude peak at nadir, and how this varies with frequency and polarisation, through time. Prior to WE1, the highest power peak originated from both air/snow and snow/ice interfaces at both frequencies (top panels in Figure 7), suggesting variability in snow density (Figure 4) and surface topography (Figure 5) across the scan area. During and after WE1 and WE2, the highest peak power remains almost always at the air/snow interface for both frequencies (bottom panels in Figure 7). This means that the backscatter values in the following Figures 8 to 10 correspond to the air/snow or snow/ice interfaces, depending on the θ_{az} sector and θ_{inc}, rather than a change in backscatter from one interface. The TLS and radar waveforms also indicate a ~ 2-5° slope in the radar scan area especially at nadir (See Figures 6 and 7). Sloped surfaces of 2-5° will significantly affect the total backscatter amplitude. However, since surface scattering is the dominant scattering mechanism at nadir, slightly sloped surfaces observed from the radar scan area likely do not affect the relative distribution of scattering between the air/snow and the snow/ice interfaces.

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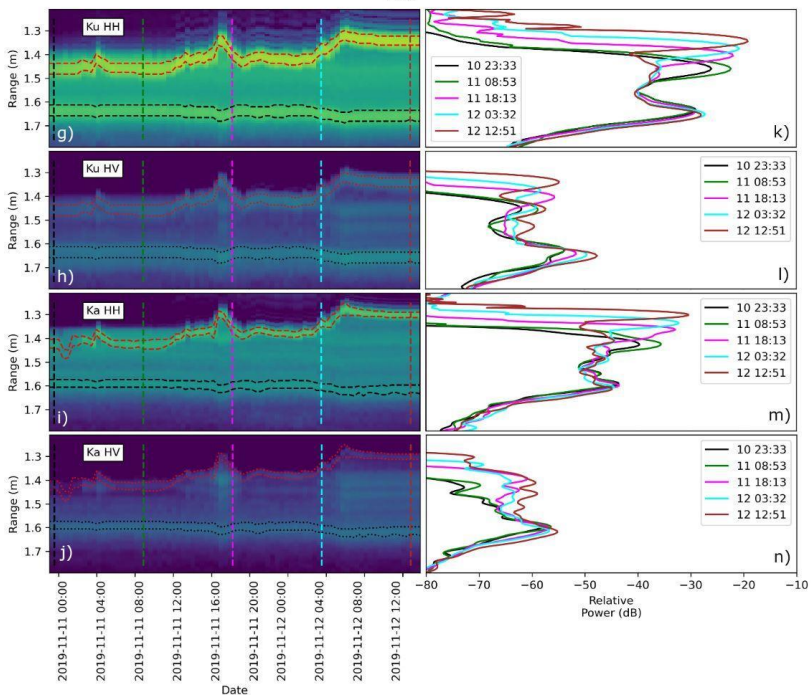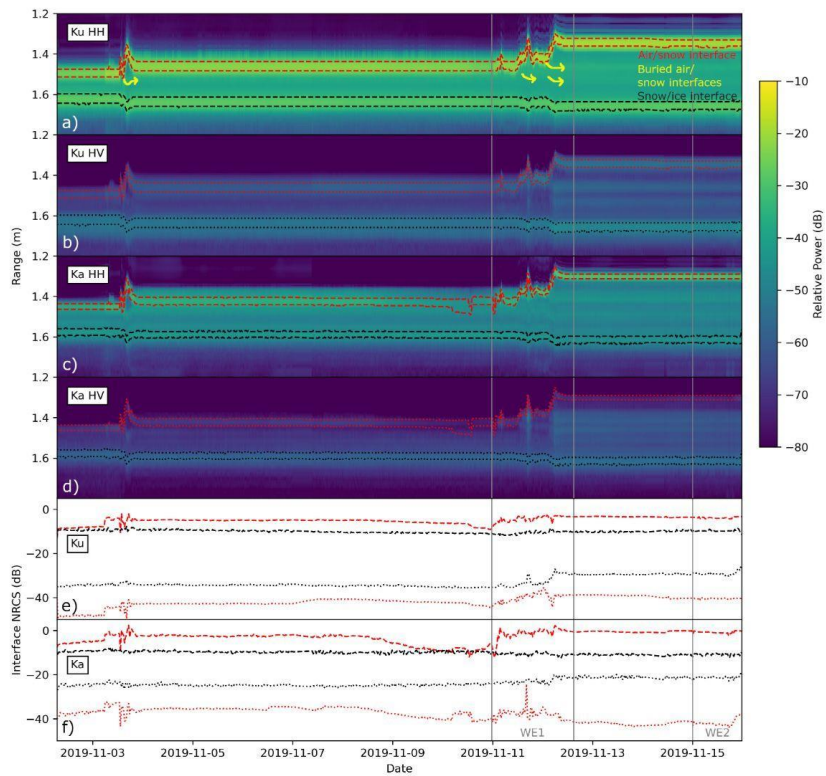
Figure 8: Progression of the power-depth distributions over the commonly sampled area of the scan area between -25° and +25° θ_{az}. The top panels a) - d) indicate the full time series from 2-15 November with the current air/snow and snow/ice interfaces indicated in red and black, respectively. Sketched yellow arrows show how buried air/snow interfaces remain visible through time. Individual air/snow and snow/sea ice interface NRCS values are determined by integrating power between the red/black dashed/dotted lines, which cover the range bins where the power is within 2 dB from the air/snow and snow/sea ice interface peak. Time series of the interface NRCS values are illustrated below the echograms (panels e and f)). The timings of WE1 and WE2 are indicated with grey lines and labels across panels a) to f). The bottom panels g) to j) show a temporal 'zoom in' of WE1. Panels k) to n) show line plots of the waveforms at the given times corresponding to the vertical dashed lines on the echograms in g) to j).

Figure 8 illustrates the effect of WE1 and WE2 on HH-polarized waveform shapes and shows that the air/snow interface is always the dominant scattering surface in both frequencies. In the HV data, the snow/ice interface is the dominant scattering surface, but both interfaces are visible in both frequencies and all polarisations. Previous air/snow interfaces are also visible as in Figure 7. The yellow arrows on the Ku-band HH plot show how the previous air/snow interfaces that remain visible when additional snow accumulates. These buried interfaces, along with the snow/ice interface, appear at greater range when covered with thicker snow due to the reduced wave propagation speed in snow relative to air, increasing the two-way travel time back to the radar receiver.

For the Ka- and Ku-band HH data, there are relatively small changes to the NRCS associated with the snow/ice interface (Figure 8e and f) and changes associated with the air/snow interface are much larger. Prior to WE1, the Ka-band air/snow interface NRCS reduces from -5 to -10 dB before increasing during, and after WE1 to -3 dB. At Ku-band, a similar pattern is observed with the air/snow NRCS reducing from -5 to -8 dB, then increasing to -3 dB following WE1. Most changes to NRCS from wind events relate to backscatter changes from the air/snow interface. The Ka-band HV data show the air/snow interface NRCS decreasing prior to WE1, increasing during the wind events and then reducing to a lower value than previously, while the Ku-band data show the air/snow interface NRCS increasing during the wind events and remaining higher than previously. The different behaviour at the two frequencies indicates that this could relate to roughness, i.e., the change in roughness is dependent on length scales. This is illustrated by further detail in the waveform line plots which indicate how the waveform shape changed with more variability relating to the air/snow interface and snow above the snow/ice interface in both frequencies and polarisations. Both the Ka- and Ku-band HV show the snow/ice interface becoming brighter during the wind events and remaining brighter afterwards; we speculate that this may be related to temperature-gradient driven metamorphism of basal-snow.
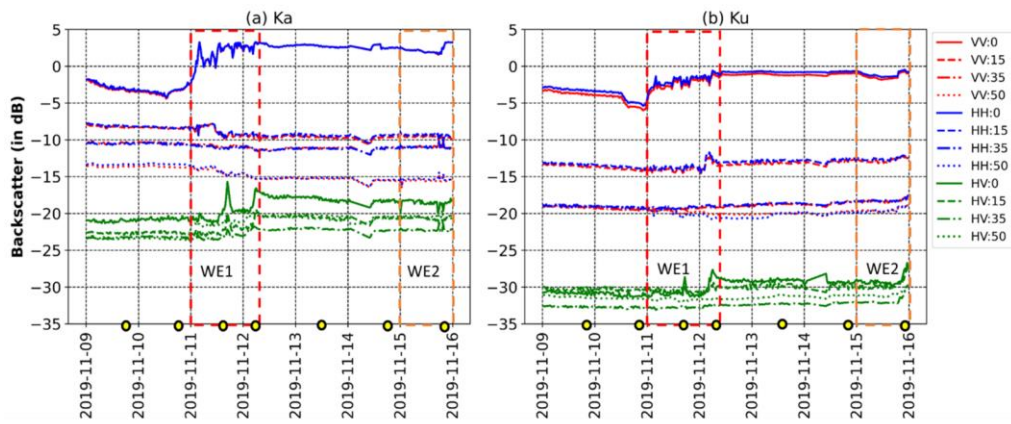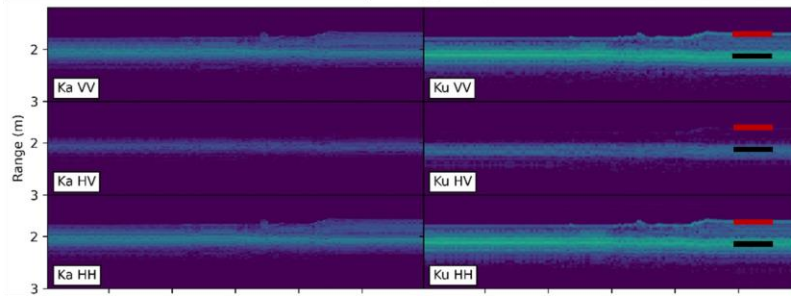
3.3 Radar Backscatter

This section focuses on the backscatter response from the overlapping area using azimuthally-averaged Ka- and Ku-band backscatter time series at discrete θ_{inc}= 0°, 15°, 35° and 50°. Included are radar echograms at θ_{inc}= 15° and 35° during WE1, to support backscatter interpretation. 2D interpolations of the spatial radar response along θ_{inc} and across 5° θ_{az} bins over both Ka- and Ku-band scan area separately are also used to analyse backscatter changes at specific times on 9, 11 and 15 November.
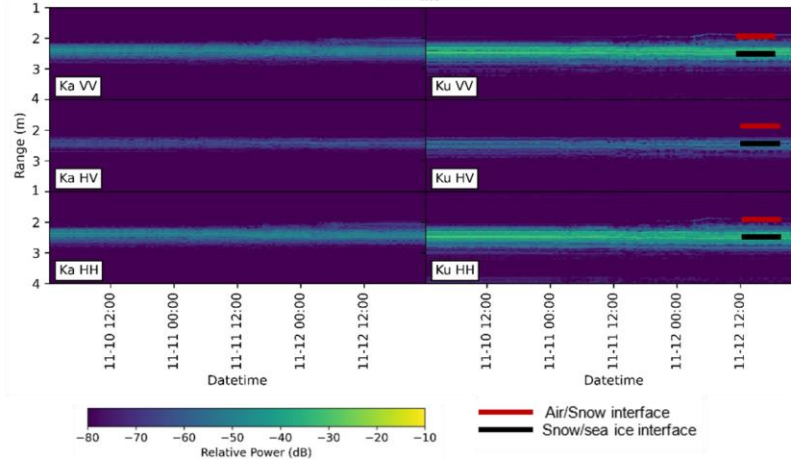
3.3.1 Azimuthally-averaged Backscatter

During pre-wind conditions, both Ka- and Ku-band backscatter are relatively stable (Figure 9a & b). At nadir, VV and HH returns primarily originate from the air/snow interface. With higher values of θ_{inc} , air/snow interface scattering decreases due to the specular component of the backscattering not returning to the radar detector. The signal is therefore increasingly dominated by snow volume scattering and incoherent surface scattering at the snow/sea ice interface. HV backscatter originates primarily from the snow/sea ice interface (top panels in Figure 7).

Figure 9: Azimuthally averaged (a) Ka- and (b) Ku-band backscatter at 0°, 15°, 35° and 50° incidence angles between 9 and 16 November, from the overlapping -25° to +25° θ_{az} area. Red and orange indicate the WE1 and WE2 time window. Yellow circles correspond to times of the day (in UTC) when the CCTV camera captured snapshots of radar scans. Panels (c) and (d) show time series of Ka- and Ku-band radar echograms at (c) $\theta_{inc} = 15°$ and (d) $\theta_{inc} = 35°$ during WE1.
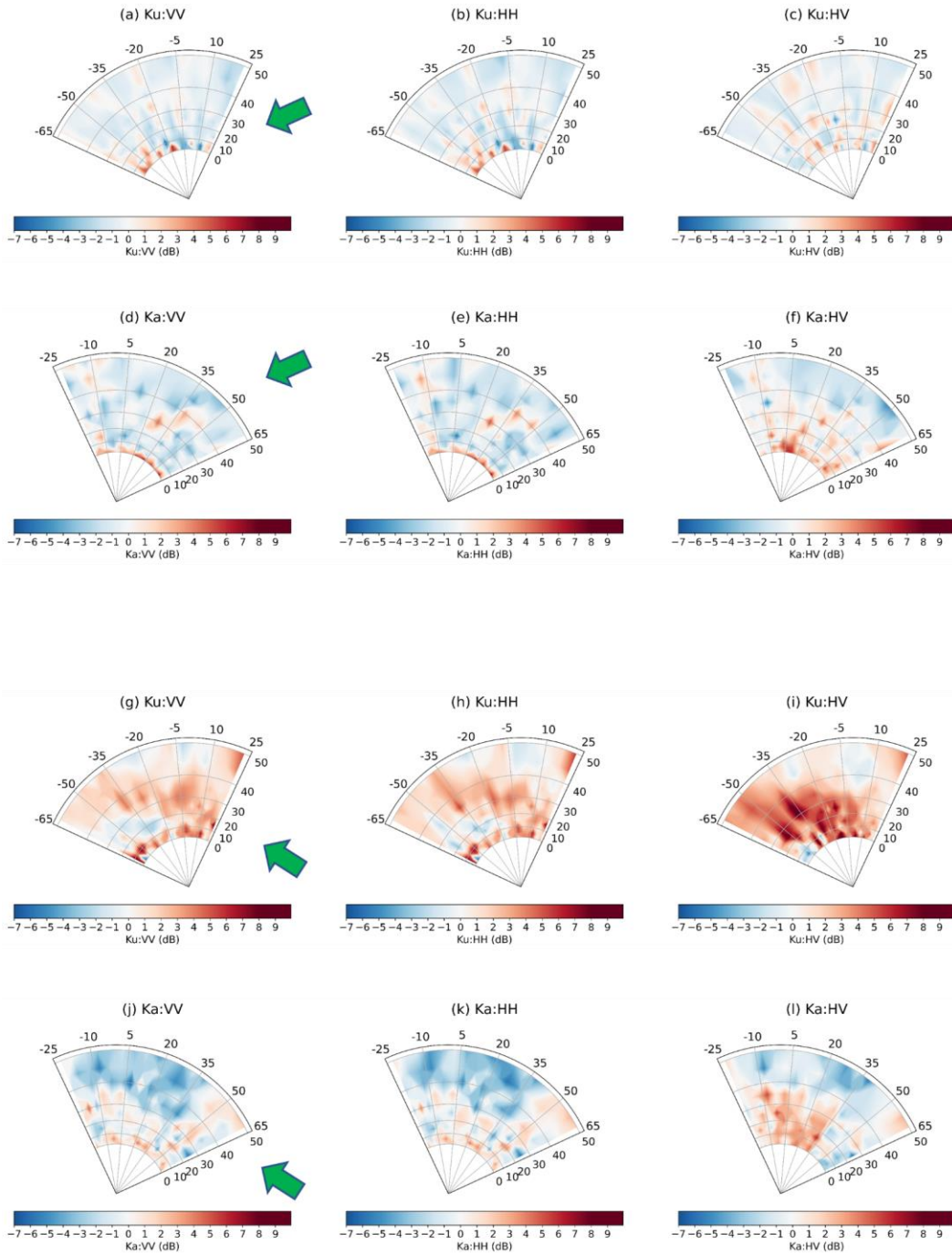
During WE1, nadir backscatter increases significantly, with a greater Ka-band increase of ~ 8 dB (VV and HH), compared to a Ku-band increase of ~ 5 dB (VV and HH) (Figure 9a & b). The waveform analysis in Figures 7 and 8 indicates that the amount of scattering from the snow/sea ice interface changed very little during WE1, while the scattering contribution to the backscatter from the air/snow interface increased significantly due to increasing snow density (Figure 4) and decreasing radar-scale roughness (Figure 5). This increase is accompanied by additional VV and HH backscatter from the previous, now-buried air/snow interface (Figure 8). HV peak power shifts from the snow/sea ice interface to the air/snow interface and the buried within-snow interface (Figure 8). This is clearly seen in the two significant HV increases at nadir, by up to 5 dB (Ka-band) and by up to 4 dB (Ku-band) during WE1 (Figure 9a & b), coinciding with two short-term snow depositional events at ~ 1800 UTC on 11 November and around 0700 UTC on 12 November (Figure 5c & d and Supplemental Video 1).

At θ_{inc}= 15° and 35°, the peak power interfaces during WE1 are much less obvious than at nadir but do exist (Figure 9c & d). However, the bulk of the peak power moves from the air/snow interface to the snow/sea ice interface at all polarizations. The shifting of peak power from the air/snow interface to the snow/sea ice interface coincides with a decrease in Ka-band VV and HH backscatter by up to 2 dB at θ_{inc}= 15° due to reduced air/snow interface roughness. The effect is less at θ_{inc}= 35° due to the snow volume scattering becoming more dominant compared to surface/interface scattering at the slanting cross section at more oblique angles. The waveform analysis shows that the relative contribution of the snow/sea ice interface, snow volume scattering and increased radar propagation delay due to increased snow accumulation becomes more important at shallow angles (Leinss et al., 2014) and the air/snow interface becomes relatively less prominent due to lower surface roughness after WE1. This feature is more observable in the HV data where the air/snow interface scattering is subtle, and the snow/sea ice interface is brighter, with potential snow and ice volume scattering (middle panels in Figure 9c & d). Ku-band at non-nadir incidence angles show negligible change in HV backscatter (more stable in HV at θ_{inc}= 35° and 50°), compared to Ka-band and pre-wind conditions (Figure 9b). It is expected that the HV backscatter is dominated by volume scattering processes and that volume scattering is more prominent in Ka-band because of the shorter wavelength.

During WE2, Ka- and Ku-band backscatter at all θ_{inc} remains relatively stable (Figure 9a & b). Around ~ 2100 UTC on 15 November, a short-term snow depositional event (Supplemental Video 1) causes the Ka-band nadir backscatter to increase by ~ 2 dB. The Ka-band waveform analysis shows scattering contributions from the air/snow interface during the snow deposition and also from previously detected air/snow interface from 11 November (Figure 8 and lower right panels in Figure 7), causing the additional 2 dB increase. Similar to WE1, Ku-band backscatter at θ_{inc}= 35° and 50° remain nearly the same throughout WE2 (Figure 9b). During WE2 it is likely that there is a slight snow surface roughness increase with a small nadir backscatter decrease and a small off-nadir increase.

432   **3.3.2 Backscatter Response at $\Delta\theta_{az} = 5°$**

433   **3.3.2.1 Change in Backscatter**

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Changes in the spatial variation of the backscatter within each 5° θ_{az} sector acquired at specific date/times during pre-wind conditions, WE1 and WE2 are shown in Figure 10. Compared to azimuthally-averaged Ka- and Ku-band backscatter (Figure 9), spatial variability in Ka- and Ku-band backscatter in response to wind events is evident at all polarizations and θ_{inc}. From pre-wind conditions to WE1, the most striking feature is the development of a drifted snow dune directly in front of the sled (red star in Figure 5) at $\theta_{inc} < 10°$, which led to an increase in Ka- and Ku-band backscatter by up to 9 dB, at nadir throughout all θ_{az} sectors. Beyond $\theta_{inc} = 10°$, the change in Ka-band VV and HH backscatter are primarily negative, with spatially heterogeneous areas of positive change, primarily in the θ_{az} sectors $> 20°$ at $\theta_{inc} > 30°$. The change in Ka-band HV backscatter at $\theta_{inc} < 10°$ is more consistently positive at θ_{az} sectors $< 0°$, and it agrees well with the strong HV backscatter increase related to deeper snow during the first snow depositional event that occurred halfway through WE1 on 11 November (Figure 5 and Supplemental Video 1).

WE2 produces a stronger response in Ka- and Ku-band backscatter across the θ_{az} sectors, compared to WE1. Ka-band VV and HH backscatter change is primarily negative (up to 7 dB) at $\theta_{inc} > 30°$, while Ka- and Ku-band HV backscatter shows strong positive change (up to 9.5 dB) at $\theta_{inc} > 40°$. Images in Figure 5 and TLS scans from 8 and 15 November illustrate changes in surface heights, due to the drifts that formed towards the left side of the KuKa radar (purple stars in Figure 5), and the deeper snow appears to be captured by a strongly enhanced Ku-band HV response at θ_{az} sectors $< 0°$. The large backscatter changes along these sectors aligns with the wind direction also indicates change in snow topography from snow blowing entrained from behind the radar.

4. Discussion

4.1 Impact of Snow Redistribution on Radar Signatures

Our analyses demonstrate that Ka- and Ku-band backscatter and waveforms are sensitive to wind-induced snow redistribution. During pre-wind conditions, the dominant radar scattering surface at nadir for both frequencies at the co-polarised channels switches between the air/snow and snow/sea ice interfaces depending on local variations in snow surface density and roughness. HV backscatter surface changes as a function of snow depth. This is illustrated by the waveform analysis, with the range to the air/snow interface confirmed by georeferencing the radar and TLS data (Figures 7 and 8 and Supplementary Video 2), and the range to the snow/sea ice interface inferred from local snow depth measurements and the strong interface contrast evident in backscatter in the radar waveforms and the opposite changes (increase/decrease) in the nadir and off-nadir backscatter. Following WE1, the air/snow interface becomes the dominant scattering surface at nadir at all polarizations due to the smoothening of the snow surface combined with the increased snow surface density. At satellite scales, this may upwardly shift the retracked elevation and resulting sea ice freeboard retrievals by radar altimeters that assume the snow/sea ice interface is the dominant scattering surface. This would introduce an overestimating bias on the sea ice thickness estimate; however, a number of other uncertainties are also at play in this process, meaning this may move the retrieval closer or further from the true value. Our surface-based findings are consistent with recent satellite-based work by Nab et al. (2023), who showed a temporary lifting of CryoSat-2-derived radar freeboard in response to snow accumulation, but also higher wind speeds and warmer air temperatures. Due to snow surface smoothening at non-nadir incidence angles, the relative scattering contribution of the snow/sea ice interface compared to the air/snow interface increases, and the air/snow interface gradually becomes invisible (Figure 9).

The Ku- and Ka-band radar backscatter is still sensitive to the presence of buried and historical air/snow interfaces within the snowpack (Figures 7-9), which indicates that snow density and/or surface roughness contrasts (Figure 4) existing prior to wind events continue to influence scattering even once additional snow is deposited (Figure 8). This is an important finding, because even if an interface is not the dominant scattering surface, it can affect the waveform shape and assumptions about the surface elevation retrieved from airborne and satellite radar altimetry data when there is no a priori information on the snow geophysical history. In future studies, gathering TLS data on the snow surface roughness at high spatial (radar) and temporal (e.g., daily or hourly) resolution would provide valuable information on the role of roughness. In addition, collecting near-coincident measurements of snow density would provide information on the role of density affecting radar waveforms. We would therefore recommend collecting these coincident datasets in future similar studies.

The relatively small backscatter observed from the snowpack at $\theta_{inc} = 15°$ and $35°$ (Figure 9c & d) indicates dominant scattering away from the radar. At these angles, most of the backscatter is associated with the snow/sea ice interface, and that deeper snow is causing an increasing slant-range delay. The air/snow interface is directly impacted by the wind, experiencing

compaction to higher snow density and reduced surface roughness (Figures 4 and 5). The NRCS associated with the air/snow interface increased by more than 5 dB during and following the wind events (Figure 8). Thus, utilising time-series backscatter at both near- and off-nadir incidence angles may be useful for retrieving snow surface roughness and/or density changes, though it may be difficult to separate these variables.

This study does not replicate airborne- and satellite-scale conditions (e.g., beam geometry, snow cover and ice type variability on satellite-scales. Therefore, the waveform shape, return peak power and measured backscatter from the KuKa radar will be different from airborne and satellite radar altimeters and scatterometers. Also of note is the highly localised nature of the radar backscatter, which is a function of small-scale surface roughness combined with local θ_{inc} that includes some steep angles due to snow drifts and bedforms in the scan area. Even at nadir viewing geometry, the beam-limited KuKa radar scan area covers an angular range of 12-17° which is many orders of magnitude larger than the beamwidth of a satellite altimeter's antenna and two orders of magnitude larger the equivalent-beamwidth of the altimeter's pulse-limited footprint, which for CryoSat-2 is around 0.1° (Wingham et al., 2006).

The relative dominance of coherent over non-coherent backscatter mechanisms can vary significantly within the KuKa beamwidth alone, with coherent reflections from near-specular surfaces dominating the radar response more easily at satellite scales (Fetterer et al., 1992). However, even from a satellite viewing geometry, a smooth air/snow interface should produce sufficient backscattering at Ku-band to modify the leading edge of the altimeter waveform response (Landy et al., 2019). The larger satellite footprints may also include different surfaces, such as pressure ridges, rafting and rubble fields, hummocks, refrozen leads, level first-year sea ice and open water. The effects of small scale roughness, larger scale topography and sub-beamwidth θ_{inc} would combine in different ways for larger footprints, such as from satellites operating at large θ_{inc}, where the distribution of local θ_{inc} may be less extreme and the signal would be dominated by the smooth parts of the surface (e.g. Segal et al., 2020).

As mentioned earlier, the KuKa radar has a much higher vertical resolution than CryoSat-2 and AltiKa. This means that although the individual interfaces would not be resolved in the satellite data, the waveform shape and hence retrieved elevation could be affected by current, recent (days), and historical (weeks or longer) timescales of wind-driven redistribution changes to the snow topography and physical properties. Retracking algorithms do not yet factor in the potential leftwards migration (shortening range) of the waveform leading edge that could be caused by radar responses from the snow surface and historically buried snow interfaces.

4.2 The Azimuth Sectoring Approach and Interdependence of Wind and Snow Properties on Backscatter

Azimuth sectoring provides an assessment of the backscatter heterogeneity across the radar scan area, here linked to the dynamic evolution of snow bedforms during wind events. Our results show how sensitive the KuKa backscatter is to development of snow bedforms and changing snow surface heights within the scan area with a directionality corresponding to prevailing wind speed and direction.

The demonstrated influence of snowscape evolution from wind events prompts the need for further investigation of the relative contributions of snow density, surface roughness and snow grain size on Ka- and Ku-band backscatter. There are three main considerations: 1) measurement and parameterization of snow surface roughness on the scale of the radar wavelength are poorly understood, especially with regard to temporal variability; 2) wind induces rapid density evolution at the snow surface (Filhol & Sturm, 2015); and 3) strong covariance exists between snow density and snow temperature gradient metamorphosis and snow grain size (Colbeck, 1989). Although there is no time series of density profiles available for the RSS, we show a clear increase in density of the upper snowpack within profiles at comparable locations nearby the RSS (Figure 4). As a snow surface densifies, surface scattering increases due to the enhanced dielectric contrast. Moreover, as snow warms, temperature-gradient driven metamorphism leads to density changes, which can also modify the roughness of surface and/or internal interfaces, resulting in changes to backscatter (Lacroix et al., 2009).

The waveform analysis provides some insights on the effects of wind vs temperature. In a previous study, the significant increase in C-band backscatter after a storm was attributed to enhanced radar-scale snow surface roughness and increasing moisture content in snow with temperatures > -6°C (Komarov et al., 2017). Strong contributions from snow grain volume scattering at C-band prior to the storm were masked by dominant surface scattering after wind roughening and mechanical break-up of the snow grains during wind redistribution. In our study, the air and snow surface temperature did not reach -12°C until late on 11 November (Figures 2 and 3), but the increasing wind speeds during WE1 (Figure 2) were already switching the dominant scattering surface from being a mixture of the air/snow and snow/ice interface (prior to the wind events), to almost exclusively the air/snow interface, and increasing the backscatter associated with the air/snow interface by ~ 5 dB (Figure 8). The action of the wind on the snow surface dominated the change in the scattering surface. Therefore, we suggest the effect of the wind on the snow roughness and/or on the snow density (wind compaction of the top layer) (Figure 4) causes the air/snow interface to increasingly become the dominant scattering surface at Ka- and Ku-band frequencies.

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5. Conclusions

This study details the impact of two wind events on surface-based Ka- and Ku-band radar signatures of snow on Arctic sea ice, collected during the MOSAiC expedition in November 2019. The formation of snow bedforms and erosion events in the radar scan area modified the snow surface heights, and this was recorded consistently by the radar instrument, a terrestrial laser scanner and optical imagery. Analysis of radar waveforms demonstrated that the air/snow and snow/sea ice interfaces are visible in both frequencies and all polarisations and incidence angles. During wind events, buried air/snow interfaces remain clearly detectable at nadir, following new snow deposition. This shows that the historical conditions under which a snow cover evolves, rather than only current conditions, affect backscatter.

We conclude that wind action and its effect on snow density and surface roughness, rather than temperature, which remained < -10°C during the first recorded backscatter shifts, caused the observed change in the dominant scattering interface from a mixture of air/snow and snow/sea ice interfaces, to predominantly the air/snow interface and nadir backscatter at the air/snow interface increased by up to 5 dB. This effect would likely also be manifest in waveforms detected by satellite altimeters operating at the same frequencies, e.g., AltiKa or CryoSat-2.

Compared to pre-wind conditions, nadir backscatter across the full radar azimuth increased by up to 8 dB (Ka-band) and by up to 5 dB (Ku-band) during the wind events. This was caused by the formation of snow bedforms within the radar scan area, which increased the snow surface roughness and/or density. Spatial variability in backscatter was evident across the radar scan area, and that variability responded to the formation and evolution of snow bedforms, which in turn was driven by increasing wind speeds and changing wind direction.

Overall, our results from the KuKa radar provide a process-scale understanding of how wind redistribution of snow on sea ice can affect its topography and physical properties, and how these changes in turn can affect the radar properties of the snow cover. Our results are relevant to both satellite altimetry and scatterometry through changes to radar waveforms and backscatter during, and after wind events. However, more investigation is needed to deduce how much wind (i.e., conditions/thresholds across space and time) is needed to impact satellite waveforms. Our findings cannot be applied directly to satellite instruments without considering differences in footprint sizes, incidence angles, and the snow and sea ice properties sampled. However, we do provide first-hand information on the frequency, incidence angle and polarisation responses of snow on sea ice, that are important for modelling scattered radiation over an airborne and satellite footprint.

In future field-based experiments, we will aim to combine near-coincident KuKa radar data and snow depth measurements (Stroeve et al., 2020), terrestrial laser scanner measurements of snow surface roughness and snow density profiles to better characterise the effect of these variables on the radar range measurements. Forthcoming KuKa radar deployments on Antarctic sea ice will produce further insights into snow geophysical processes (e.g. presence of slush, melt/refreeze layers, snow-ice

formation etc.) that may affect snow depth and sea ice thickness retrievals from satellite radar altimetry. In a windy Arctic and the Antarctic, these methods will facilitate improved insights towards better quantifying the impact of snow redistribution on accurate retrievals of snow/sea ice parameters from satellite radar missions such as SARAL/AltiKa, CryoSat2, Sentinel-3A, Sentinel-6, SWOT, CRISTAL, and ScatSat-1.

Video supplement. Time-lapse video of wind events acquired by the CCTV camera (Supplemental Video 1) and animation of radar+TLS waveforms during the wind events (Supplemental Video 2) are included in the Appendix.

Author contributions. VN processed the KuKa radar data and wrote the manuscript with input from co-authors. RW processed and analyzed the KuKa radar waveforms including NRCS calculations of interfaces, overlaid TLS data on radar waveforms and produced the radar+TLS time series animation. RM processed and plotted the DTC data. DCS processed the TLS data from the raw format and wrote code for plotting and analysis of TLS data used in this paper. AJ produced the floe map for the paper. DW and DK processed the SMP data and DW produced the SMP plot. JS, RS, TG and JL provided extensive inputs and reviews to the paper. RT, JY, TN, DJ, MH, JM, GS, SH, RR, MT, MM, MS, DW, MG, CD, IR, CP, IM, and MH provided valuable editorial comments. Many co-authors helped collect data during MOSAiC.

Competing Interests: None

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