33 Wind Transport Redistribution of Snow Impacts the Ka- and Ku-

34 band Radar Signatures on Arctic Sea Ice

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65 Abstract: Wind-driven redistribution of snow on sea ice-transport alters its topography and microstructure, yet through snow redistribution controlled by deposition and erosion. tThe impact of these processes on radar signatures is poorly understood. 66 67 Here, we examine the effects of snow redistribution overn Arctic sea ice onfrom radar waveforms and backscatter signatures 68 obtained from a surface-based, fully-polarimetric Ka- and Ku-band radar, waveforms and backscatter signatures, acquired 69 using a surface based, fully-polarimetric Ka and Ku band radar at incidence angles between 0° (nadir) and 50°. Two 70 Measurements were obtained during two-wind events in November 2019 during the MOSAiC International Arctic Drift 71 Eexpedition are evaluated. During both events, changes in Ka- and Ku-band radar waveforms and backscatter coefficients at 72 nadir are observed, coincident with surface topography changes measured by a terrestrial laser scanner-are observed. At both 73 frequencies, redistribution caused snow densification at the surface and the uppermost layers, increasingevents increased the 74 scattering at the air/snow interface at nadir viewing angles and its prevalence as the dominant radar scattering surface. 75 to wind-induced snow densification at the snow surface densifying the snow surface and uppermost layers. At both 76 frequencies, snow redistribution events increased the dominance of the air/snow interface at nadir as the dominant radar 77 scattering surface, due to wind densifying the snow surface and uppermost layers. The radar waveform data also detected 78 the presence of previous air/snow interfaces, buried beneath newly deposited snow. The additional scattering from previous 79 air/snow interfaces could therefore affect the range retrieved from Ka- and Ku-band satellite radar-altimeters. With increasing 80 incidence angles. The relative scattering contribution of the air/snow interface decreases, and the snow/sea ice interface 81 scattering increases with increasing incidence angles. Relative to pre-wind event conditions, azimuthally averaged backscatter 82 at nadir during the wind events increases by up to 8 dB (Ka-band) and 5 dB (Ku-band). Results show Binned backscatter 83 within 5° azimuth bins reveals substantial backscatter variability within the radar scan area at all incidence angles and 84 polarizations, in response to increasing wind speed and changes in wind direction. The sensitivity of the co-polarized phase 85 difference is linked to changes in snow settling and temperature gradient induced grain metamorphism, demonstrating the potential of the radar to discriminate between newly deposited and older snow on sea ice. Our results havedocument the 86 87 impact of wind reveal the importance of wind, through its geophysical impact on Ka and Ku band radar signatures of snow 88 covered sea ice, which and has implications for reliable interpretation of airborne and satellite radar measurements of snow-89 covered sea ice.

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96 1 Introduction

97 Wind plays an important role in shaping the spatial distribution of snow depth and snow water equivalent (SWE) over sea ice 98 (Moon et al., 2019; Jacozza & Barber, 2010). Wind alters snow temperature gradients through wind pumping (Colbeck, 99 1989), structural anisotropy (Leinss et al., 2020), and snow grain geometry (Löwe et al., 2007). Furthermore, wind affects 100 the residence and sintering time of snow close to the surface, facilitating depositional snow dune growth and erosional 101 processes (Trujillo et al., 2016). Fluctuating wind speeds and directions thus modify snow surface topography and density 102 via wind scouring and compaction of snow (Lacroix et al., 2009). Depending on the ice surface roughness (e.g., level ice, 103 pressure ridges, hummocks etc.), wind will result in the formation of heterogeneities at different scales, from heterogeneously 104 distributed cm scale ripple marks to snow bedforms and drifts on the scale of 10's of meters (Filhol & Sturm, 2015; Sturm et 105 al., 1998). This further alters the geometric, aerodynamic, and radar-scale roughness on sea ice (Savelyev et al., 2006; Fung 106 & Eom, 1982).

107 Since wind redistribution of snow impacts snow depth distribution and SWE, this can in turn alter Ka and Ku band radar 108 backscatter signatures used in the airborne and satellite based retrievals of snow depth, sea ice freeboard and thickness. 109 Under cold and calm snow conditions, a common assumption in radar altimetry is that the dominant scattering surfaces of 110 co-polarized Ka- and Ku-band radar signals correspond to the air/snow and snow/sea ice interfaces, respectively (e.g. 111 Armitage et al., 2015; Tilling et al., 2018). - due to dominant surface scattering from these interfaces (Fung & Eom, 1982). 112 For synthetic aperture radar (SAR) and scatterometry, variations in snow grain microstructure or from inclusions within the 113 sea ice-influence the proportion of surface and volume scattering to the total radar backscatter (Nandan et al., 2017 Fung, 114 1994). Winds can roughen/-orsmoothen the snow surface on relatively short time scales, alteringmodifying the Ka- and Kuband surface and/or volume scattering contributions to the dominant scattering surfaces and total radar backscatter. 115

116 Very little is known about how wind redistribution of snow impacts snow depth, SWE, and ice thickness retrievals from 117 airborne and satellite radars (e.g., Yackel & Barber, 2007; Kwok & Cunningham, 2008; Kurtz et al., 2009; Kurtz & Farrell, 118 2011; Glissenaar et al., 2021). Due to repeat airborne and satellite ground-tracks often occurring weeks/months apart and sea 119 ice drift, it is challenging to measure radar backscatter changes resulting from wind redistribution-, on the same area of ice 120 over time. Nevertheless, Kurtz & Farrell (2011) assumed snow redistribution caused an anomalous snow depth decrease in 121 2009 over multi-year sea ice in the Canadian Archipelago (CA), retrieved from two Operation IceBridge (OIB) snow radar 122 flights, acquired three weeks apart. Yackel & Barber (2007) speculated that snow redistribution on first-year sea ice in the 123 CA was, in part, responsible for a-caused a change in retrieved SWE of up to 7 cm, derived from two C-band RADARSAT-124 1 images , acquired 45 days apart. To represent small scale spatial variability due to snow redistribution that are not captured 125 in the large scale satellite products, studies have developed snow redistribution functions, designed for high resolution laser 126 altimetry data (e.g., Kwok & Cunningham, 2008; Kurtz et al., 2009). However, Glissenaar et al. (2021) applied the snow 127 redistribution scheme developed by Kurtz et al. (2009) on the OIB derived radar freeboard and snow depth products for the

128 Arctic Ocean and found no correlation between radar freeboard and snow depth estimates averaged over the footprint-scale

of the CryoSat 2 (300 m) and ENVISAT (2 km) radar altimeters. They concluded that applying a snow redistribution scheme
 on radar altimetry freeboard data would not improve the sea ice freeboard to thickness conversion.

131 Toverall, to better understand the impact of snow redistribution on Ka- and Ku-band radar signatures, we require 132 unambiguous in-situ measurements of snow physical properties and meteorological observations during wind events, sampled 133 coincidentally with surface-based radar measurements. This bridges a fundamental knowledge gap, and potentially allows 134 improved modelling of Ka- and Ku-band radar waveforms and backscatter at multiple polarizations and incidence angles. 135 This in turn may improve interpretation of Ka- and Ku-band radar signatures from presently operational SARAL/AltiKa 136 (Guerreiro et al., 2016), CryoSat-2 (Lawrence et al., 2018), Sentinel-3 (Lawrence et al., 2021), ScatSat-1 (Singh & Singh, 137 2020) and the upcoming Ka-/Ku-band CRISTAL altimetry (Kern et al., 2020) and SWOT satellite missions (Armitage & 138 Kwok, 2021).

139 In thisour study, we investigate wind-induced changes toin snow physical properties and topography on Ka- and Ku-band 140 dominant scattering surfaces and backscatter using a surface-based, fully-polarimetric, Kua- and Kau-band radar (KuKa 141 radar; see Stroeve et al., 2020) that was deployed during the 2019-20 Multidisciplinary drifting Observatory for the Study of 142 Arctic Climate (MOSAiC) expedition (Krumpen et al., 2020). WHere, we present the analysis of data fromgathered between 143 9 toand 16 November 2019, assessing the effects of two separate Wind Events (<u>+WE1²</u> and <u>+WE2²</u>). First, we describe the 144 KuKa radar system, the time series of meteorological observations, snow physical properties, and snow surface topography. 145 Next, we investigate the impact of snow redistribution on KuKaKa and Ku band radar echograms and waveforms, examining 146 changes in dominant scattering surfaces and, radar backscatter-and co-polarized phase difference. Finally, we discuss the 147 relevance of our findings to improving retrievals of snow/sea ice geophysical variables by airborne and satellite radars.

148 **2. Data and Methods**

149 **2.1 Surface-Based Ka- and Ku-band Polarimetric Radar** (KuKa Radar)

During the MOSAiC expedition, the German-research icebreaker *R/V Polarstern* drifted with a sea ice floe across the central Arctic Ocean over a full annual cycle (See Figure 1 in Nicolaus et al., 2022). The floe was dominated by second-year ice withincluding ~ 60% refrozen melt ponds making up ~ 60% of the surface area (Krumpen et al., 2020). The Remote Sensing Site (RSS) was first established on the floe on 18 October 2019, where the KuKa radar was deployed on_ ~ 80 cm thick,

154 laterally <u>homogeneous</u>homogenous, and undeformed sea ice.









163 The KuKa radar transmits at Ka- (30-40 GHz) and Ku-band (12-18 GHz) frequencies and measures the return radar power (in 164 dBm) as a function of range (Stroeve et al. 2020). The radar acquires data_across a fixed azimuth (θ_{az}) range, at discrete 165 incidence angle (θ_{inc}) intervals. The radar operates in all vertical (V) and horizontal (H) linear polarization transmit and receive

166 combinations: VV, HH, HV, and VH. As such, it is fully-polarimetric, enabling derivation of many polarimetric parameters
 167 including the co-polarized phase difference (CPD), analysed here.

168 The central frequency of the radar chirps were set to be close toto match the Ka-band of AltiKa (35 GHz) and the Ku-band of 169 CryoSat-2 (13.575 GHz). The KuKa radar bandwidth is considerably higher than the bandwidth of AltiKa and CryoSat-2, 170 allowing improved range resolution of 1.5 cm for Ka-band and 2.5 cm for Ku-band relative to 30 cm and 46 cm for AltiKa 171 and CryoSat-2, respectively. The radial distance and range from the pedestal, the scan area diameter, and scan area from nadir 172 to $\theta_{inc} = 0^{\circ} - 50^{\circ}$ are <u>illustrated</u> shown in Figure 1. <u>During MOSAiC</u>, the KuKa radar scanned so over a 90° continuous θ_{az} range width for every 5° interval in θ_{inc} . The KuKa radar takes ~ 16 seconds (i.e. 5.7° per second) over a 90° θ_{az} width to 173 174 acquire data across an incidence angle scan line and ~ 2.5 minutes for one complete scan between $\theta_{inc} = 0^{\circ} - 50^{\circ}$. However, 175 there is a $\sim 20^{\circ}$ offset between the individual radar antennas and the radar positioner axis origin. Therefore, the Ku-band 176 antenna scans between -65° to $+25^{\circ} \theta_{az}$ range (region between purple lines) from -25° to $+65^{\circ}$ for Ka-band (region between 177 green lines) (Figure 1(b), (e) and (f)). This also means that the Ku- and Ka-band scan area overlap for a given radar 'shot' is 178 θ_{inc} dependent. The yellow region between green and purple lines in Figure 1b between -25° and +25° is the overlapping Ku-179 and Ka-band scan area. The antenna beamwidth (6 dB two-way) is 16.9° and 116.9° for Kua- and Kau- bands, respectively. 180 Therefore, the size of the radar scan area on the snow is dependent on frequency, height of the antenna above the snow surface, 181 and θ_{inc} . Further description of the radar specifications, signal processing, polarimetric calibration routine, signal-to-noise and 182 error estimation is documented in Stroeve et al. (2020).

At the RSS, the radar acquired scans every 30 mins over the 90° θ_{az} width and θ_{inc} discrete increments, called a scan line, between these nadir (0°) and $\theta_{inc} = 50^{\circ}$ at 5° discrete increments. Between 9 and 15 November, a total of 325 scans were collected. TFollowing WE2, the ice supporting the RSS broke up on 16 November, and the measurements were stopped until it was safe to redeploy the radar.

187 2.2 Meteorological and Snow Property Data

A 10-m tall meteorological station installed ~ 100 m away from the RSS monitored air temperature (°C), relative humidity (%), air pressure (hPa), wind speed (m/s) and wind direction (°), all at 2 m heights from the surface. Wind direction is denoted denoted with respect to geographic north (0°). Measurements were acquired and logged every second (Cox et al., 2021) and resampled to 30-minute averages, to match the radar scan intervals.

A thermal infrared (TIR) camera (Infratec VarioCam HDx head 625, assuming emissivity 0.97 at 7.5-14 μm wavelength; Spreen et al., 2022) measured snow surface temperature (°C), every 10 minutes. Two digital thermistor chains (DTC) installed close to the RSS measured near-surface, snow, and sea ice temperature evolution at 2 cm vertical intervals. No destructive snow sampling was done underneath the KuKa radar scan area. Instead, snow depth measurements were <u>madesampled using</u> a metre stick close to the radar on 4 and 14 November. Profiles of the penetration resistance force of the snow were collected before, during and after WE1 and WE2 using a Snow Micro-penetrometer (SMP; Johnson & Schneebeli, 1999) at the ⁴Snow1²,
⁴Snow2² and the RSS sites (see locations in Figure 4). Five SMP profiles per pit were recorded weekly. To compare initial
density and SSA between the RSS and the Snow1 and Snow2 locations at the beginning of November, one SMP profile from
the RSS was taken on 4 November. The force profiles were converted into density and specific surface area (SSA) following
King et al. (2020) and Proksch et al. (2015) parameterizations, respectively, that <u>also</u> worked well for snow-during the MOSAiC
winter (Wagner et al., 2022).

203 2.3 Snow Surface Topography

204 An optical Footage from a visual surveillance closed circuit television (CCTV) camera was used to visualise snow surface 205 topography changes within the radar scan area (Spreen et al., 2021). In addition, Terrestrial Laser Scanning (TLS) data of snow 206 surface topography were collected on 1, 8 and 15 November using a Riegl VZ1000, which generated point clouds of the snow 207 surface topography in the radar scan area. Scan positions were registered in RiSCAN (Riegl's data processing software) using 208 reflectors permanently frozen to the ice and levelled based on the VZ1000's built-in inclination sensor. Wind-blown snow 209 particles were removed from the data by FlakeOut filtering (Clemens-Sewall et al., 2022). Filtered data were aligned to one 210 another by matching reflectors and other tie-points. To transform the TLS data into the KuKa radar's reference frame, the 211 outlines of the radar's pedestal column and the antenna arms were manually picked in the TLS data.

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A non-linear least squares optimization method using SciPy (Virtannen et al 2020) was then implemented to estimate the best fitting circle and rectangle to match the pedestal column and the antenna arms, respectively. The centre of the pedestal was used as the horizontal origin, the centre of the antennas was used for orientation, and the antenna height at nadir position was used as the vertical origin. Within the radar's reference frame, a polar grid was defined with radial increments of 0.25 m and azimuthal increments of 10°. The surface height in the radar reference frame (a.k.a. the vertical distance from the surface to the radar antennas at nadir) for each grid cell was calculated by averaging the vertical position of each TLS point within that grid cell.

220 2.5 Radar Waveforms and , Backscatter and Co-polarized Phase Difference

Radar waveform analysis is performed to determine how WE1 and WE2 affected the surfaces and volumes detected by the radar, especially the dominant scattering surface. Waveforms from each sampling time across the θ_{az} range wereare recorded and overlaid with the TLS data to <u>identifyaid in interpretation of</u> where in the snow/sea ice the Ka- and Ku-band backscatter originated from (Section 3.2). DFor the waveform analysis, deconvolved waveforms wereare used (described in-Stroeve et al., $20202)_{z}$. To summarise, data are deconvolved-using waveforms from a refrozen lead located close to the RSS in January 2020 (see Stroeve et al, 2020), to which providged a specular return useful for reducing the appearance of sidelobes that result from non-ideal behaviour of the RF electronics, as well as internal reflections in the radar. Waveform echograms wereare used to illustrate how the return waveforms from within the overlapping scan area changed <u>betweenover</u> WE1 and WE2. The normalized radar cross section per unit area (NRCS) <u>wasis</u> calculated based on the range-power profiles following the standard beam-limited radar range equation (Ulaby et al., 2014), given by:

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$$NRCS = \frac{8ln (2)h^2 \sigma_c}{\pi R_c^4 \theta_{3dB}^2 \cos \theta} \left(\frac{P_r}{\overline{P_{rc}}}\right)$$

where *h* is the antenna height, R_c is the range to the corner reflector, θ_{3dB} is the one-way half-power beamwidth of the antenna and \tilde{P}_r and \tilde{P}_{rc} are the received power from the snow and the corner reflector, respectively.

234 The peak power in the radar waveforms used for calculating NRCS is determined by locating the highest peak in the waveform 235 averaged across all polarisations. For waveform analysis, we calculated the NRCS values at nadir for the air/snow and snow/ice 236 interfaces by integrating the power over the waveform peaks within +/-2 dB either side from the overlapping scan area depicted 237 in Figure 1(b) (Section 3.2). Next, we calculated the NRCS value integrated over the entire snow volume based on the power 238 contained within this peak over an incidence angle scan line, by integrating over the range bins where the power falls below a 239 threshold, are-set to -50 dB on either side of the peak for Ka-band data, and -20 dB (-40 dB) on the on the smaller-range (larger-240 range) sides for Ku-band data. The NRCS was averaged across the overlapping scan area across the entire 90° θ_{az} range, at 241 discrete $\theta_{inc} = 0^{\circ}$, 15°, 35° and 50°, to demonstrate scan area-scale variability in backscatter during the two wind events 242 (section 3.3). This NRCS calculation is derived from the The return power is integrated over the entire snow volume, so the 243 NRCS values include scattering contributions at the air/snow and snow/ice interfaces as well as from within the snow volume. 244 First, we calculate the NRCS values for the air/snow and snow/ice interfaces by integrating the power over the waveform peaks 245 within +/ 2 dB either side from the overlapping footprint area depicted in Figure 1(b) (Section 3.2). Next, we calculate the 246 NRCS averaged across the overlapping footprint areaentire $90^{\circ} \theta_{az}$ range, at discrete $\theta_{inc} = 0^{\circ}, 15^{\circ}, 35^{\circ}$ and 50° , to demonstrate 247 footprint scale variability in backscatter during the two wind events (section 3.3).

248 To investigate the sub-scan area scale backscatter variability caused by surface heterogeneity, as well as the range to the 249 dominant scattering surface that could have changed before, during samplingand after WE1 and WE2, we used azimuth 'sectoring' and analysed the NRCS averaged at 5° wide θ_{az} bins (i.e., negative θ_{az} sectors between -45° to -40°... -5° to 0° 250 and positive θ_{az} sectors between 0° to +5°... +40° to +45°) (Figure 1(e) and (f)b). Azimuth 'sectoring' has an impact on the 251 252 number of independent samples in range along a 5° θ_{az} bin, since a smaller area is used for averaging (Table 1). The number 253 of independent samples is estimated based on the following steps: a) determine the distance between the 6 dB points below 254 the radar range peak on either side of the peak, b) divide the 6 dB range by the range resolution. This is a measure of the 255 number of independent samples in range, c) divide the azimuth width (90 $^{\circ}$ and 5 $^{\circ}$ in our study) by the azimuth beamwidth and 256 multiply by 2, and d) the total number of independent samples would then be the number of independent samples in range 257 multiplied by the number of independent samples in azimuth (Doviak & Zrnić, 1984). by dividing half the antenna beamwidth 258 by the θ_{nz} angular width (90° and 5° in this study) width, then multiplied by the number of range gates (Geldsetzer et al., 2007). **Table 1**: Number of independent samples at Ka- and Ku-band frequencies at nadir and $\theta_{inc} = 50^{\circ}$ at $\theta_{az} = 90^{\circ}$ and along a 5° bin

Frequency	Nadir		$ heta_{inc} = 50^{\circ}$	
	$\theta_{az} = 90^{\circ}$	$\theta_{az} = 5^{\circ}$	$\theta_{az} = 90^{\circ}$	$\theta_{az} = 5^{\circ}$
Ka-band	487	48	1609	439
Ku-band	198	34	1252	376

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Within every θ_{inc} scan, VV, HH and HV are derived from the complex covariance matrix (second order derivative of the 262 263 scattering matrix containing amplitude and phase), while VH is discarded based on the observed reciprocity of cross-polarized 264 channels (i.e., HV ~ VH) (Ulaby et al., 2014). We also use the derived co-polarized phase difference (CPD) given by arctan $\left[\frac{Im(S_{HH}S_{VV})}{Re(S_{HH}S_{VV})}\right]$ uniformly distributed over [π, π] (Ulaby et al., 2014). CPD is sensitive to the snow structural anisotropy changes 265 (e.g. Leinss et al., 2016) resulting from snow residence and settling time, as well as snow metamorphic change resulting from 266 267 the snow temperature gradient (Löwe et al., 2011; Leinss et al., 2020). Studies on terrestrial snow from X band SAR show that 268 new snow has a horizontal alignment of snow crystals that results in greater anisotropy and CPD (i.e., positive phase shift) 269 (Voglimacci Stephanopoli et al., 2022; Leinss et al., 2016). With subsequent temperature gradient induced metamorphism, the 270 growth of vertical structures overpowers the build up of horizontal structures during snow settling, decreasing the anisotropy 271 and CPD (i.e., negative phase shift) (Leinss et al., 2016). In Section 3.3.2, we show the changes in backscatter signature <u>variability</u> signatures and CPD variability across the KuKa radar scan area at 5° wide θ_{az} bins at specific timesstamps on 9, 11 272 273 and 15 November.

274 **3. Results**

275 **3.1 Meteorological and Snow Conditions**

276 **3.1.1 WE1 and WE2**

277 The floe experienced two wind events between 11 and 16 November 2019. WE1 started ~ 0745 UTC occurred on 11 November 2019 and lasted until ~ 0800 UTC on 12 November when winds ~12 m/s originated-blew_from the SW to SE directions (Figure 2 and 3c). WE2 started ~ 0900 UTC on 15 November, when a low-pressure system began to intensify (Figure 3ba). The wind direction shifted from SW to W, and speeds increased to ~ 15 m/s and continued until ~ 1900 UTC on 16 November (Figure 2 and 3c). During WE2, the strong low-pressure system dropped just-below 995 hPa (Figure 3da) and the air temperatures

282 reached as high as -5.5°C (Figure 3a). The warm air advection was accompanied by a steep increase in relative humidity tothat

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283 reached > 90% (Figure 3db).









10-Nov



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Time of Day



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Figure 2: Line plots illustrate daily, 30-min averages of 2 m air temperature (MET tower) and snow surface temperature measurements from the TIR camera, MET tower and DTC sensors; acquired between 9 and 16 November. Wind rose plots illustrate corresponding wind

3.1.2 Snow Temperature, Density and Microstructure





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295 Figure 3: Line plots show daily, 10-min averaged 2-m (a) air temperature, (b) air pressure, (c) wind speed and (db) relative humidity, 296 recorded by the MET tower between 9 and 16 November. 2D colorSurface plots show DTC-derived hourly-averaged temperature gradient 297 of (ee) near-surface, snow, sea ice and ocean; and (fd) sub-section of panel (e) showing the snow volume from volume from 298 the RSS. Yellow represents larger temperature gradients within the snowpack. Dotted red, black and white lines represent approximate 299 locations of the estimated air/snow, snow/sea ice and sea ice/ocean interfaces. Yellow pixels represent snow volume. DTC temperature 300 sensors are spaced by every 2 cm, with the top 20 cm representing the height above the air/snow interface distance between the first sensor 301 located above the air/snow interface and at the air/snow interface. Red and orange boxes in (a) to (d) indicate WE1 and WE2 windows. 302 Note the different temperature gradient scales for (e) and (f).



Figure 4: The upper 10 cm of the horizontally averaged density and SSA profiles of the snowpack over time derived from the SMP force signals (where the average consists of 5 SMP profiles at each location), from (a & b) Snow 1 - A1, (c & d) Snow 1 - A5, and (e & f) Snow 2 - A2 locations. In each subplot, the horizontally averaged profile measured at the RSS measured on 4 November 2019 is <u>illustrated shown</u> for comparison (blue dashed line). Map shows the immediate surroundings of the study site. The RSS is <u>illustrated shown</u> with a red dot, colored lines show the extent of Snow1 and Snow2 sites, and SMP locations within these sites in colored shapes. The background is preliminary quicklook-processed surface elevation data from the airborne laser scanner, where the whiter colors indicate high elevations of $\geq 2 \text{ m}$

During WE1, the surface-air temperature increased on 11 November from ~ -32° C (0800 UTC) to ~ -16° C (~ 2000 UTC) (Figure <u>3a</u>). During WE2, surface air temperature increased to ~ -4° C by ~ 1800 UTC on <u>15 November</u> and remained relatively warm until the end of <u>WE2</u> (Figure 3a). These changes clearly influenced the temperature gradient<u>s</u> across the snowpack<u>, with</u> <u>ameasured by the <u>DTC</u> thermistor string (Figure 3e & f). A _large, vertical temperature gradient of ><u>73</u>°C/cm was produced early in WE1, whereas the average gradient decreaseingd by half_to ~ 3°C/cm during WE2 (Figure 3<u>f</u>e & d). <u>SDuring this</u> period, snow temperature gradients consistently exceeded <u>2.50.25</u>°C/m, suggesting temperature gradient-driven hoar metamorphism was occurring throughout the snowpack (e.g. Colbeck, 1989).</u>

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321 SMP-derived density and SSA profiles demonstratemeasured at all Snow1 and Snow2 locations exhibit an increase in density 322 and decrease in SSA density and SSA over time, respectively, in from the uppermost (2 cm) snow layers (Figure 4). An 323 increase in snow density in the uppermost 2 cm layer in the snowpack is visible for all three locations (left panels). The density 324 increase at Snow 1 - A5 until 26 November is most distinct. The density and SSA profile from the RSS measured on 4 325 November correlates well with those from Snow1 and Snow2, indicating representative snowpack evolution-conditions 326 between RSS and Snow 1 and 2 locations. The average density change of the upper 2 cm between the last and the first 327 measurement at each location is +30.7 kg/m³ at Snow 1 - A1, +79.3 kg/m³ at Snow 1 - A5, and +22.9 kg/m³ at Snow 2 - A2 328 (Figure 4). The SSA change is -2.0 mm⁻¹ at all snow pit locations (right panels). Based on the 5 SMP profiles, we computed 329 the snow depth-changes, finding where we found a slight increase over time for each location. At Snow 1, the increase was 1.7 330 cm and 0.2 cm at A1 and A5 locations, respectively, with a 1.2 cm increase in snow depth from the A5 location, sampled 331 between 4 and 26 November. At Snow2 - A2, the overall increase was 0.3 cm, with a 0.8 cm increase recorded between 13 332 and 20 November.

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The increase in <u>surface</u> snow <u>surface</u> density is typical for strong wind action on the snow (Lacroix et al., 2009; Savelyev et al., 2006). <u>W</u>Substantially warmer air temperatures during the observed wind events, compared to pre-wind conditions (Figure 2) also increase the likelihood for snow grains to sinter (e.g., Colbeck, 1989), favouring snow surface compaction. A**#** SSA decrease indicates the reduction in surface area, <u>caused by roundingeaused_rounding of snow grains</u>, followed by sintering during_by the breakup of snow particles during wind transport (King et al., 2020).

339 **3.1.3 Snow Surface Topography Dynamics**

340 **3.1.3.1 Snow bedform evolution**

Wind events caused WE1 and WE2 resulted in a dynamic evolution of snow bedforms features in the radar scan area (Figure 5 and Supplemental Video 1). On 9 and 10 November (Figure 5a & b), the snow cover wasis characterised by bedform features (white stars) in negative θ_{az} sectors, as well as crag and tail features and patterned tail markings in positive θ_{az} sectors (yellow star), both typically found on relatively level sea ice (Filhol & Sturm, 2015).² The major axis of these bedforms is predominantly oriented parallel to the radar azimuthal scan direction. These features are typically found on relatively level sea ice (Filhol & Sturm, 2015).

347 Between 11 November until ~ 0800 UTC on 12 November, winds blew snow both radially and azimuthally relative to the 348 radar scan area at different times. Because the radar sled forms an aerodynamic obstacle, the snow drifted unevenly in the lee 349 of the sled (red star in Figure 5c-f and Supplemental Video 1). While snow depth could not be measured inwithin the radar's 350 scan area-without disturbing the snow, considering the 30 cm radar sled height, snow drifts covering the edges of the sled 351 indicate an increase in snow depth to > 30 cm directly in front of the radar. Blowing snow buried the existing bedforms from 352 9 and 10 November, creating a new drift with its major axis oriented parallel to the azimuthal radar scans direction positive 353 θ_{az} -sectors, and with an increasing slope (greater snow depth) with increasing θ_{inc} (black star in Figure 5e-g). A new sastrugi 354 also developed as a result of WE1-in the negative θ_{az} sectors (brown star in Figure 5e & f). WE2 on 15 November caused the 355 rapid formation of two new snow drifts <u>in the negative θ_{az} -sector</u>, oriented parallel to the prevailing wind direction (purple 356 stars in Figure 5g). A small pit-like feature also formed in the depression between the two drifts (dark blue star in Figure 5g), 357 while the drift (black star) that formed during WE1 is still visible in the positive θ_{az} sectors.

358

















Figure 5: <u>ICCTV images offrom</u> the RSS scan area between (a) 9 November and (g) 15 November. <u>ICCTV images</u> were selected during times of the day when the ship's floodlight was illuminating the scanning area. The KuKa radar is on the far right on the images, while an

L-band Scatterometer is on the upper right. Coloured stars represent major snow bedforms within the KuKa radar scan area, while orange
 arrows show the orientation of the bedforms in response to prevailing wind direction. All times are UTC.

365 3.1.3.2 Snow Surface Heights from TLS





370 Figure 6: TLS data (plan view) from 1, 8 and 15 November, from -90° to + 90°, where the angle indicates the azimuth of the radar 371 positioner, and radial horizontal distance measured from the centre of the radar pedestal. The top panels show the topography as measured 372 downwards (increasing negative) from the middle of the radar antenna arms. Black indicates no data recordings in that bin. Projections of 373 the centres of the radar scan area are illustrated shown for 0° and 50° radar incidence inclination angles between -65° to + 65° azimuth 374 range, superimposed on the TLS data in magenta and green vellow and red for radar observations, respectively, and buff-orange where the 375 two overlap, as per Figure 1. The bottom panels indicate the number of TLS data points within each bin. Surface depressions resulting in 0 376 counts in the TLS data are due to obscuration by adjacent high areas due to snow/sea ice topography and human-made objects, as viewed 377 from the TLS's oblique viewpoint some distance away.

The TLS-derived snow surface height data from 1, 8 and 15 November are <u>illustratedshown</u> in Figure 6 along with superimposed <u>greenred</u>, <u>bufforange</u>, and <u>magentayellow</u> lines, indicating the centres of the radar scan area. Data from 1 November are included for context (left panel), indicating that the surface topography was similar to 8 November (middle panel). The TLS data <u>illustrateshow</u> considerable surface height variability within the radar scan area between 8 and 15 November, with snow surface height increasing (middle and right panel), as also indicated by the raised snow drift (black star in Figure 5e-g) at approximately 0° to 45° azimuth in the CCTV images.

384 3.2 Radar Waveforms

385 Figure 7 shows the temporal progression of Ka- and Ku-band radar waveforms at nadir, overlaid with spatially coincident 386 TLS-derived surface heights and averaged into individual 5° azimuth sectors. The TLS data and the waveforms are both 387 averaged into individual 5° azimuth sectors, with the highest peak power overlaid in blue. In the supplement, we provide an 388 animation (Supplemental Video 2) that includes all radar data from obtained during the two wind events, whereas here, we 389 show we show whereas here., In this section, we only show and discuss four date/time frames to illustrate the radar response. 390 As TLS data were acquiredgathered weekly, there are only these data available to overlay; in addition, as relatively few data 391 points were available for 8 November, we also show data from 1 November - before the snow redistribution during the wind 392 events.- All-TLS data from all three dates are overlaidare shown overlaid on all KuKa radar plots to demonstrate the time 393 evolution of air/snow interface elevations in the two datasets.

394

Prior to WE1, radar waveforms from 9 and 10 November (top left and right panels in Figure 7) remained stable, with only small power variations in each azimuthal bin-over time. The radar-peak power at VV and HH generally corresponds to the air/snow interface in most θ_{az} bins, as also confirmed by detected by the radar corresponds to the TLS-derived heights detected by the TLS on 1 and 8 November., indicating that both Ka- and Ku-band frequencies detect the air/snow interface as the dominant scattering surface at VV and HH in most θ_{az} bins.

400 A lower scattering interface is also visible at ~ 20 to 40 cm below the air/snow interface, especially prominent in the HV data 401 in both frequencies, but also visible in the VV and HH data. The range values indicated in the radar waveforms are based on 402 the speed of light in free space. Correcting for a reduction of 80% for snow (Willatt et al., 2009), the lower interfaces lay ~ 16 403 to 32 cm below the air/snow interface. To better understand this, we consider the HV waveform characteristics and local snow 404 depth. Snow depth measured behind the KuKa radar-scan area during 4 and 14 November varied between 21 and 29 cm (not 405 illustratedshown). Note that these measurements were not taken within the radar scan area close to the instruments to not 406 disturb the radar measurements, and therefore, snow depth in the radar scan area may differ (see also Figure 6 for snow height 407 variability). The range values indicated in the radar waveforms are based on the speed of light in free space, and the speed of 408 propagation of the EM radiation would reduce to approximately 80% of that value in the snow (Willatt et al., 2009). Taking 409 this correction into account and assuming similar snow depths at nadir, the lower interface in the waveforms lay ~ 16 to 32 cm 410 below the air/snow interface. Based on the very small amount of radiation scattered from larger ranges, negligible penetration 411 considering little penetration of Ku- and Ka-band signals into sea ice (Fung et al., 1994), and the consistency with local snow 412 depth, we can conclude that this interface in the HV data is very likely is the snow/ice interface. A small amount of returned 413 powerradiation is expected from ranges beyond due tothis interface caused by snow and ice backscattering from the perimeter 414 of the 30-50 cm radar scan area and sidelobes.

- 415
- 416



Figure 7: Progression of Ka- and Ku-band radar power-depth profiles at nadir <u>between -65° to +25° (Ku-band) and -25° to +65° (Ka-band)</u> (azimuth ranges following Figure 1(e) and (f)). Range (y-axis) is given from the antenna phase centre, and the antenna azimuth angles (xaxis) are the angles for that individual antenna. The highest power peak (averaged across all polarisations) is indicated with a blue line, and the surface height in the spatially coincident TLS data is superimposed on top (coloured circles).

During WE1, radar waveforms at nadir in Figures 7 and 8 show that the peak power at the air/snow interface shifted upwards due to snow deposition at ~ 1800 UTC on 11 November (Figure 5c). This is followed by a snow scouring/erosion event, which is seen in the downward movement of the peak power (Supplemental Video 2), followed by and then a second deposition event at approximately 0800 UTC on 12 November (Figure 5d), which again sees and upward movement of the peak power (Figure 8). It is interesting to note that the Ka- and Ku-band scattering can still be seen from the previous air/snow interface onfrom 9 and 10 November (yellow arrows on Figure 8), as well as from the snow/ice interface, more prominent in the Ku-band. After WE1, the new air/snow interface remains the dominant scattering surface for all polarizations and θ_{az} sectors.

429

During WE2, after accumulation of newly redistributed snow, the air/snow interface moved upwards to a closer range from
the antenna phase centre (bottom right panel in Figures 7 and 8). Scattering from the previously detected air/snow interface
(corresponding to the TLS data from 1 and 8 November) is still visible in both Ka- and Ku-band data (Figure 8). In addition,
the air/snow interface from 11 November remains visible in the Ka-band data in all polarisations (bottom left panel in Figure 7).

435

436 Next, we examined the highest amplitude peak (under which the backscatter is calculated) at nadir, and how this varies with 437 frequency and polarisation, through time. Prior to WE1, depending on the θ_{az} -sector, the highest power peak fluctuated 438 between-originated from boththe air/snow and snow/ice interfaces at both frequencies (top panels in Figure 7), suggesting 439 variability in snow density (Figure 4) and surface topography (Figure 5) across the θ_{az} sector within the nadir scan area. During 440 and after WE1 and WE2, the highest peak power remains almost always at the air/snow interface for both frequencies (bottom 441 panels in Figure 7). This means that the backscatter values in the following Figures 8 to 10 correspond to the air/snow or 442 snow/ice interfaces, depending on the θ_{az} sector and $\theta_{inc_{a}}$; i.e., changes in backscatter could correspond to scattering from 443 different interfaces, rather than a change in backscatter from one interface. -The TLS and radar waveforms also indicate a ~ 2-444 5° slope in the radar scan area especially at nadir (See Figures 6 and 7). Sloped surfaces of 2-5° will significantly affect the 445 total backscatter amplitude magnitude. However, since surface scattering is the dominant scattering mechanism at nadir, 446 slightly sloped surfaces observed from the radar scan area likely do not affect the relative distribution of scattering between 447 the air/snow and the snow/ice interfaces.





451 Figure 8: Progression of the power-depth distributions over the commonly sampled area of the scan area between -25° and $+25^{\circ}$ (-5 to $+45^{\circ}$) 452 θ_{az} for Ku band, and 45 to +5 θ_{az} for Ka band). The top panels a) - d) indicate the full time series from 2-15 November with the current 453 air/snow, buried previous air/snow, and snow/ice interfaces indicated in red and black, respectively. Sketched yellow arrows show how 454 buried air/snow interfaces remain visible through time. Individual air/snow and snow/sea ice interface NRCS values are determined by 455 integrating power between the red/black dashed/dotted lines, which cover the range bins where the power is within 2 dB from the air/snow 456 and snow/sea ice interface peak. Time series of the interface NRCS values are illustrated shown below the echograms (panels e and f)). The 457 timings of WE1 and WE2 are indicated with grey lines and labels across panels a) to f). The bottom panels g) to j) show a temporal 'zoom 458 in' of WE1. Panels k) to n). Right show line plots of the waveforms at the given times corresponding to the vertical dashed lines on the 459 echograms in g) to j).

460

469

461 Figure 8 illustrates demonstrates the effect of WE1 and WE2 on HH-polarized waveform shapes and at nadir using echoes 462 averaged across the Ka- and Ku-band overlapping area. HH data shows that the air/snow interface is always the dominant 463 scattering surface in both frequencies. In the HV data, the snow/ice interface is the dominant scattering surface, but both 464 interfaces are visible in both frequencies and all polarisations. Previous air/snow interfaces are also visible as in Figure 7. The 465 sketched vellow arrows on the Ku-band HH plot show how the previous air/snow interfaces that remain visible when additional 466 snow accumulates on top and remain visible throughout the timeseries. These buried interfaces, along with the snow/ice 467 interface, appear at greater range when covered with thicker snow due to the reduced wave propagation speed in snow relative 468 to air, increasing the two-waytwoway travel time back to the radar receiver.

470 For the Ka- and Ku-band HH data, there are relatively small changes to the NRCS associated with the snow/ice interface 471 (Figure 8e and f) and However, changes to the NRCS associated with the air/snow interface are much larger. Perior to WE1, 472 the Ka-band air/snow interface NRCS reduces from -5 to -10 dB before increasing during, and afterfollowing WE1 to -3 dB. 473 At Ku-band, a similar pattern is observed with the air/snow NRCS reducing from -5 to -8 dB, then increasing to -3 dB following 474 WE1. MThis indicates that most of the observed changes to overall-NRCS from wind eventsduring and after WE1 and WE2 475 relate to backscatter changes from the air/snow interface-and only minimally to the snow/ice interface. The Ka-band HV data 476 show the air/snow interface NRCS decreasing prior to WE1, increasing during the wind events and then reducing to a lower 477 value than previously, whilest the Ku-band data show the air/snow interface NRCS increasing during the wind events and 478 remaining higher than previously. The different behaviour at the two frequencies indicates that this could relate to roughness, 479 i.e., the change in roughness is dependent on length scales. This is illustrated shown by further detail in the waveform line plots 480 which indicate how the waveform shape changed with more variability relating to the air/snow interface and snow above the 481 snow/ice interface in both frequencies and polarisations. Both the Ka- and Ku-band HV show the snow/ice interface becoming 482 brighter during the wind events and remaining brighter afterwards; we speculate that this may be related to temperature-483 gradient driven metamorphism of basal-snow., however, we are not able to confirm whether temperature gradient driven snow 484 metamorphism caused this.

485 3.3 Radar Backscatter and Co-Polarized Phase Difference

486

This section The waveform analysis described in Section 3.2 illustrates how the locations of the peak power evolved during WE1 and WE2. We now focuses on the backscatter response from the overlapping area by using analysing the azimuthallyaveraged Ka- and Ku-band backscatter time series at discrete $\theta_{inc} = 0^\circ$, 15°, 35° and 50°. Included in the analyses are radar echograms at $\theta_{inc} = 15^\circ$ and 35° during WE1 over the <u>25° to +25° θ_{az} </u> overlap area, to support backscatter interpretation at higher θ_{inc} . Next, we make 2D interpolations of the spatial radar response along θ_{inc} and across 5° θ_{az} bins over both Ka- and Ku-band scan area separately are also used to and analyse backscatter changes and CPD variability at specific times on 9, 11 and 15 November.

494 3.3.1 Azimuthally-averaged Backscatter

During pre-wind conditions, both Ka- and Ku-band backscatter are relatively stable<u>-at all θ_{inc} </u>-(Figure 9a & b). <u>At nadir</u>-VV and HH returns primarily originates <u>from surface scattering at</u> the air/snow interface. With higher values of θ_{inc} increases, air/snow interface scattering decreasesreduces due to thestrong specular component of the backscattering not returning to the radar detector. The signal is therefore increasinglyaway from the radar and is dominated by , and secondarily from snow volume scattering and <u>incoherent</u> surface scattering at the snow/sea ice interface. HV backscatter originates primarily from the snow/sea ice interface (top panels in Figure 7).





Figure 9: Azimuthally averaged (a) Ka- and (b) Ku-band backscatter at 0°, 15°, 35° and 50° incidence angles between 9 and 16 November, from the overlapping -25° to +25° θ_{az} area. Red and orange indicate the WE1 and WE2 time window. Yellow circles correspond to times of the day (in UTC) when the CCTV camera captured snapshots of radar scans. Panels (c) and (d) show time series of Ka- and Ku-band radar echograms at (c) $\theta_{inc} = 15^\circ$ and (d) $\theta_{inc} = 35^\circ$ during WE1.

- 506 During WE1, nadir backscatter increases significantly, with a greater Ka-band increase of ~ 8 dB (VV and HH), compared to 507 a Ku-band increase of ~ 5 dB (VV and HH) (Figure 9a & b). The waveform analysis in Figures 7 and 8 indicates that the 508 amount of scattering from the snow/sea ice interface changed very little during WE1, while the scattering contribution to the 509 backscatter from the air/snow interface increased significantly due to snow redistribution, increasing nereasingmodifying the 510 snow density (Figure 4) and decreasing surface/interface-radar-scale roughness (Figure 5). This increase is accompanied by 511 additional VV and HH backscatter from the previous, now-buried air/snow interface from the pre-wind conditions (Figure 8). 512 HV peak power shifts from the snow/sea ice interface to the air/snow interface and the buried within-snow interface (Figure 513 8). This is clearly seen in the two significant HV increases at nadir, by up to 5 dB (Ka-band) and by up to 4 dB (Ku-band) 514 during WE1 (Figure 9a & b), coinciding with two short-term snow depositional events at ~ 1800 UTC on 11 November and 515 around 0700 UTC on 12 November (Figure 5c & d and Supplemental Video 1).
- 516

517 At $\theta_{inc} = 15^{\circ}$ and 35° , the peak power interfaces during WE1 are much less obvious than at nadir but do exist (Figure 9c & d). 518 However, the bulk of the peak power moves from the air/snow interface to the snow/sea ice interface at all polarizations. The 519 shifting of peak power from the air/snow interface to the snow/sea ice interface coincides with a decrease in Ka-band VV and HH backscatter by up to 2 dB at $\theta_{inc} = 15^{\circ}$ due to reduced air/snow interface roughness. The effect is less at $\theta_{inc} = 35^{\circ}$ due to 520 521 the reduced effect of air/snow interface roughness and potential snow volume scattering becoming more dominant compared 522 to surface/interface scattering at due to the slanting cross section at more oblique angles. The waveform analysis shows that the 523 relative contribution of the snow/sea ice interface, snow volume scattering and increased radar propagation delay due to 524 increased snow accumulation becomes more important at shallow angles (Leinss et al., 2014) and the air/snow interface 525 becomes relatively less prominent due to lower surface roughness after WE1. This feature is more observable in the HV data 526 where the air/snow interface scattering is subtle, and the snow/sea ice interface is brighter, with potential snow and ice volume 527 scattering from the snow grains (middle panels in Figure 9c & d). Ku-band at non-nadir incidence angles show negligible change in <u>HV</u> backscatter (more stable in HV at $\theta_{inc} = 35^{\circ}$ and 50°), compared to Ka-band and pre-wind conditions (Figure 528 529 9b). It is expected that the HV backscatter is dominated by volume scattering processes and that volume scattering is more 530 prominent in Ka-band than in Ku band because of the shorter wavelength.

531

During WE2, Ka- and Ku-band backscatter at all θ_{inc} remains relatively stable (Figure 9a & b). Around ~ 2100 UTC on 15 November, a short-term snow depositional event (Supplemental Video 1) causes the Ka-band nadir backscatter to increase by ~ 2 dB. The Ka-band waveform analysis shows scattering contributions from the air/snow interface during the snow deposition and also from previously detected air/snow interface from 11 November (Figure 8 and lower right panels in Figure 7), causing the additional 2 dB increase. Similar to WE1, Ku-band backscatter at θ_{inc} = 35° and 50° almost remain remains nearly the same throughout WE2 (Figure 9b). During WE2 it is likely that there is a slight snow surface roughness increase with a small nadir backscatter decrease and a small off-nadir increase. Next, we show changes in the spatial varying backscatter and co-polarized

- phase difference signatures within each 5° θ_{az} -sector acquired at specific date/times during pre-wind conditions, WE1 and WE2.
- **3.3.2 Backscatter Response** and Co-Polarized Phase Difference at $\Delta \theta_{az} = 5^{\circ}$
- **3.3.2.1 Change in Backscatter**











- Figure 10: Polar plot panels (a) to (f) show the relative change in averaged Kua- and Kau-band backscatter at 5° azimuth sectors, as a function of θ_{inc} , between WE1 and pre-wind conditions, acquired on 11 (WE1) and 9 November, at 2337 UTC and 0013 UTC, respectively. Panels (g) to (l) show the same between windy conditions, acquired on 115 (WE12) and 154 (WE24) November, at 23378 UTC and 23387 UTC, respectively. Green arrows in (a) and (g) denotes the prevailing wind direction on 11 and 15 November, respectively. The scan times also correspond to yellow circles in Figure 9 and CCTV images in Figure 5a & c. Note: The 11 November CCTV image in Figure 5c is acquired at 1736 UTC for image clarity showing blowing snow.
- 554 Changes in the spatial variation of the varying backscatter within each 5° θ_{az} sector acquired at specific date/times during pre-wind 555 conditions, WE1 and WE2 are shown in Figure 10. Compared to azimuthally-averaged Ka- and Ku-band backscatter (Figure 9), spatial variability in Ka- and Ku-band backscatter in response to wind events is evident at all polarizations and θ_{inc} (Figure 556 557 10s 10 and 11) in response to wind events. From pre-wind conditions to WE1, the most striking feature is the development of 558 a drifted snow dune directly in front of the sled (red star in Figure 5) at $\theta_{inc} < 10^\circ$, which led to an increase in Ka- and Ku-559 band backscatter by up to 9 dB, at nadir throughout all θ_{az} sectors. Beyond $\theta_{inc} = 10^{\circ}$, the change in Ka-band VV and HH backscatter are primarily negative, with spatially heterogeneous areas of positive change, primarily in the positive θ_{az} sectors 560 561 $\geq 20^{\circ}$ at $\theta_{inc} \geq 30^{\circ}$ (Figure 10(d) and (e)) and 40°. The change in Ka-band HV backscatter at $\theta_{inc} < 10^{\circ}$ is more consistently 562 positive at θ_{az} sectors $< 0^{\circ} a \theta_{inc} < 10^{\circ}$ between 0° and $30^{\circ} \theta_{az}$ sectors, and it agrees well with the strong HV backscatter 563 increase related to deeper snow (Figure 9) during the first snow depositional event that occurred halfway through WE1 on 11 564 November (Figure 5 and Supplemental Video 1).
- 565

566 WE2 produces a stronger response in Ka- and Ku-band backscatter across the θ_{az} sectors (Figure <u>10 (g) to (l)</u>11), compared 567 to WE1. Ka-band VV and HH backscatter change is primarily negative (up to a reduction of 7 dB) at $\theta_{inc} > 30^\circ$, while Ka-568 and Ku-band HV backscatter shows strong positive change (up to 9.5 dB) at $\theta_{inc} > 40^{\circ}$. <u>Images in Figure 5-CCTV images</u> (Figures 5d g) and TLS scans from 8 and 15 November acquired between WE1 and WE2 illustrate changes in surface heights, 569 570 due to the drifts that formed towards the left side of the KuKa radar in the negative θ_{az} sectors (purple stars in Figure 5), and <u>the deeper snow this</u> appears to be captured by a strongly enhanced Ku-band HV response at θ_{az} sectors < 0° (Figure 10(i)1f) 571 572 . The large backscatter changes along these sectors the negative θ_{az} sector aligns with the wind direction also indicates change 573 in snow topography from snow blowing entrained from behind the radar.



Figure 11: Polar plot panels show the relative change in averaged Ka and Ku band backscatter at 5° azimuth sectors, as a function of θ_{inc} , between windy conditions, acquired on 15 (WE2) and 11 (WE1) November, at 2338 UTC and 2337 UTC, respectively. Green arrow denotes the prevailing wind direction on 15 November. The scan times also correspond to yellow circles in Figure 9 and CCTV images in Figure 5c & g..

580 3.3.2.2 Co-polarized Phase Difference

Prior to WE1, Ka band CPD is primarily negative and Ku band CPD is positive (Figure 12a & b), suggesting stable snow metamorphism during pre-wind conditions. During WE1, Ka- and Ku-band CPD increase from pre-wind conditions at $\theta_{inc} \ll$ $\sim 35^{\circ}$, in positive θ_{az} sectors (Figure 12c & d). This suggests a short term wind effect on the snow structure, likely due to newly deposited snow aligned with the prevailing wind direction (black star in Figure 5e). Also, the horizontal alignment of dunes or newly deposited snow crystals would make new snow layers structurally anisotropic, causing a CPD increase (Leinss

et al., 2016). At $\theta_{inc} > 35^{\circ}$ (Ka band) and > 45° (Ku band), the snow located in these sectors appears to have been minimally affected by the wind (Figures 5a-e and Supplementary Video 1). However, the cold temperatures prior to WE1 (Figure 3c & d) likely led to significant snow metamorphism in these incidence angle sectors, changing the snow structure alignment from horizontal towards vertical, causing the CPD to become negative (Leinss et al., 2016).



591

Figure 12: Polar plot panels show averaged Ka- and Ku-band co-polarized phase difference at 5° θ_{az} sectors, as a function of : (a) & (b) calm conditions on 9 November (~ 0030 UTC); (c) & (d) WE1 on 11 November (~ 1810 UTC); and (e) & (f) WE2 on 15 November (~

594 2338 UTC). Green arrow denotes the prevailing wind direction on 11 and 15 November. The scan times also correspond to yellow circles
 595 in Figure 9 and CCTV images in Figure 5.

596 During WE2, CPD shifts are increasingly negative in the positive θ_{az} -sectors at all θ_{inc} , indicating minimal snow deposition 597 in these sectors during WE2 (Figure 12e & f and Supplemental Video 1). Compared to Ku-band, CPD values are more negative 598 in Ka band in these sectors, due to its stronger sensitivity to continuous snow metamorphism throughout WE1 and WE2. 599 Compared to WE1, in the negative θ_{az} -sectors, Ka and Ku band CPD exhibits phase reversal and stronger positive shift at 600 $\theta_{inc} \leftarrow 40^{\circ}$ (Figure 12e & f). This is likely the result of additional snow redistribution and the resultant formation of two drifts 601 in this sector (purple stars in Figures 5g, and Supplemental Video 1), and with the new snow having horizontal crystal 602 alignment and corresponding phase shift and positive CPD values, stronger at Ka band.

603 4. Discussion

604 **4.1 Impact of Snow RedistributionRedistributed Snow** on Radar Signatures

605 Our analyses demonstrate that Ka- and Ku-band backscatter and waveforms are sensitive to wind-induced snow redistribution 606 at all polarizations, and incidence angles. During pre-wind conditions, the dominant radar scattering surface at nadir for both 607 frequencies at the co-polarised channels switches between the air/snow and snow/sea ice interfaces depending on local 608 variations in snow surface density and roughness. while the HV backscatter surface changes as a function of snow depth the 609 strength of the scattering response between these surfaces. This is illustrated shown by the waveform analysis, with the range 610 to the air/snow interface confirmed by georeferencing the radar and TLS data (Figures 7 and 8 and Supplementary Video 2), 611 and the range to the snow/sea ice interface inferred from local snow depth measurements and the strong interface contrast 612 evident in backscatter in the radar waveforms and the opposite changes (increase/decrease) in the nadir and off-nadir 613 backscatter. Following WE1, the air/snow interface becomes the dominant scattering surface at nadir at all polarizations due 614 to the smoothening of the snow surface combined with the increased snow surface density. At satellite scales, this may 615 upwardly shift the retracked elevation and resulting sea ice freeboard retrievals by radar altimeters -when that assume assuming 616 that the snow/sea ice interface is the dominant scattering surface., This would introduce an overestimating bias on the sea ice 617 thickness estimate, however a number of other uncertainties are also at play in this process, meaning this may move the retrieval 618 closer or further from the true value. Our surface-based findings are consistent with recent satellite-based work by Nab et al. 619 (2023), who showed a temporary lifting of CryoSat-2-'s derived radar freeboard in response to snow accumulation, but also higher wind speeds and warmer air temperatures. Our results in this regard call for careful and therefore, warrants careful 620 interpretation of waveforms and backscatter at nadir. Due to snow surface smoothening, Aat non-nadir incidence angles, the 621 622 relative scattering contribution of the snow/sea ice interface compared to the air/snow interface increases, and the air/snow interface gradually becomes invisible (Figure 9). and therefore, our observations are crucial towards reliable interpretation of 623

These observations provide contextual information for reliably interpreting backscatter across all polarizations, incidence
 angles and azimuth ranges.

626 The Ku- and Ka-band radar backscatter is still sensitive to the presence of buried and historical air/snow interfaces within the 627 snowpack (Figures 7-9), which indicates that snow density and/or surface roughness contrasts (Figure 4) existing prior to wind 628 events continue to influence scattering even once additional snow is deposited on top (Figure 8). This is an important finding, 629 because even if an interface is not the dominant scattering surface, it can affect the waveform shape and consequently 630 assumptions about the surface elevation retrieved from airborne and satellite radar altimetry data when there is no a priori 631 information on the snow geophysical history. In future studies, gathering TLS data on the snow surface roughness at high 632 spatial (radar) and temporal (e.g., daily or hourly) resolution would provide valuable information on the role of roughness. In 633 addition, collecting near-coincident measurements of snow density would provide information on the role of density affecting 634 radar waveforms. We would therefore recommend collecting these coincident datasets in future similar studies.

635

636 The relatively small backscatter observed from the snowpack at $\theta_{inc} = 15^{\circ}$ and 35° (Figure 9c & d) indicates dominant 637 scattering away from the radar. At-Additionally, at these angles, most of the backscatter is associated with the snow/sea ice 638 interface, and that deeper snow is causing an increasing slant-range delay. This absence of volume scattering change (due to 639 wind driven snow microstructural changes) at non-nadir θ_{inc} , in combination with the observed nadir sensitivity, suggests that 640 surface scattering is the dominant changing scattering mechanism at nadir. The air/snow interface is directly impacted by the 641 wind, experiencing compaction to higher snow density and reduced lower surface roughness changes (Figures 4 and 5). The 642 NRCS associated with the air/snow interface increased by more than 5 dB during and following the wind events (Figure 8). 643 Thus, utilising time-series backscatter at both near- and off-nadir incidence angles may be useful for retrieving snow surface 644 roughness and/or density changes, though it may be difficult to separate these variables.

645

646 This study does not replicate airborne- and satellite-scale conditions (e.g., beam geometry, snow cover and ice type variability 647 on satellite-scaless (e.g. SAR scale), due to the experimental setup and scan area of the KuKa radar. Therefore, the waveform 648 shape, return peak power and measured backscatter from the KuKa radar will be different from airborne and satellite radar 649 altimeters and spaceborne scatterometers or altimetersSAR. Also of note is the highly localised nature of the radarthelocalized 650 KuKa radar backscatter, which is a two scale function of small-scale microscale surface roughness combined with local θ_{inc} 651 that includes some steep angles due to snow drifts and bedforms in the scan area. Even at nadir viewing geometry, the beam-652 limited KuKa radar scan area covers an angular range of 12-17° which is many an orders of magnitude larger than the 653 beamwidth of a satellite altimeter's antenna and larger still than two orders of magnitude larger the equivalent-beamwidthe 654 maximum θ_{inc} -of the altimeter's pulse-limited footprint, which for CryoSat-2 is around 0.1° (Wingham et al., 2006).

656 The relative dominance of coherent versus non-coherent snow and sea ice backscattering mechanisms over non-657 coherent backscatter mechanisms can vary significantly within the envelope of KuKa's beamwidth alone between these 658 incidence angles, with coherent reflections from near-specularsmooth surfaces dominating the radar response more easily at 659 satellite scales (Fetterer et al., 1992). However, even from a satellite viewing geometry, a smooth rough-air/snow interface 660 should produce sufficient backscattering at Ku-band to modify the leading edge of the altimeter waveform response (Landy et 661 al., 2019). The larger satellite footprints may also include undeformed or deformed topography and different scattering surfaces 662 not included in the KuKa radar scan area, such as pressure ridges, rafting and rubble fields, hummocks, smoother-refrozen leads, level first-year sea ice floes-and open water. The effects of small scalemicroscale roughness, larger scale topography 663 664 and sub-beamwidth θ_{inc} would combine in different ways for larger footprints, such as from satellites operating at large θ_{inc} , where the distribution of local θ_{inc} may be less extreme and the signal would be dominated by the smooth parts of the 665 666 surface (e.g. Segal et al., 2020).

As <u>mentioneddiscussed</u> earlier, the KuKa radar has a much higher vertical resolution than CryoSat-2 (2.5 cm vs 46 cm) and AltiKa (1.5 cm vs 30 cm). This means that although the individual interfaces would not be resolved in the satellite data, the waveform shape and hence retrieved elevation could be affected by current, recent (days), and historical (weeks or longer) timescales of wind-driven redistribution changes to the snow topography and physical properties. Satellite altimetry sea ice **R**+**R**etracking algorithms do not yet factor in the potential <u>leftwards migration (shortening range)</u>broadening of the waveform leading edge that could be caused by <u>multiple 'blurred'</u> radar responses from <u>the snow surface and historically</u> buried snow interfaces with a vertical scale smaller than the range resolution of the sensor.

675 4.2 The <u>Azimuth Sectoring Approach and</u> Interdependence of Wind and Snow Properties on Backscatter

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Azimuth sectoring provides an assessment of the backscatter heterogeneity across the radar scan area, here linked to the
 dynamic evolution of snow bedforms during wind events. Our results show how sensitive the KuKa backscatter
 isbackscatterradar is to development of snow bedforms and changing snow surface heights within the scan area with a
 directionality corresponding to prevailing wind speed and direction.

<u>The demonstrated This study highlights the</u> influence of snowscape evolution <u>fromduring</u> wind events <u>on backscatter</u>, prompt<u>sing</u> the need for further investigation of the relative contributions of snow density, surface roughness and snow <u>grain</u> size temperature gradients on Ka- and <u>Ku-bBandKuband</u> backscatter. There are three main considerations: 1) <u>'radar scale'</u> measurement and parameterization of snow surface roughness <u>on the scale of the radar wavelength</u> are poorly understood, especially <u>with regard toits</u> temporal variability; 2) wind induces rapid <u>density</u> evolution <u>at the snow surface of snow density</u> (Filhol & Sturm, 2015); and 3) strong covariance exists between snow-temperature, <u>surface</u> density and <u>snow temperature</u> gradient metamorphosis and snow grain sizeroughness (Colbeck, 1989). Although there is no time series of density profiles available for the RSS, we show a clear increase in density of the upper snowpack within profiles at comparable locations nearby the RSS (Figure 4). As a snow surface <u>densifies</u>becomes denser, surface scattering increases due to the enhanced air/snow-dielectric contrast. Moreover, as snow <u>warmsbecomes warmer</u>, temperature-gradient driven metamorphism leads to snow surface and volume density changes, which can <u>alsoin turn</u> modify the roughness of surface and/or internal interfaces, resulting in changes to backscatter (Lacroix et al., 2009).

692

693 The waveform analysis does provides some insights formation on the effects of wind vs temperature. In a previous study, the 694 significant increase in C-band backscatter after a storm was attributed to enhanced radar-scale snow surface roughness and 695 increasing moisture content in snow with temperatures $> -6^{\circ}C$ (Komarov et al., 2017). Strong contributions from snow grain 696 volume scattering at C-band prior to the storm were masked by dominant surface scattering after wind roughening and 697 mechanical break-up of the snow grains during wind redistribution. In our study, the air and snow surface temperature did not 698 reach -12°C until late on 11 November (Figures 2 and 3), but the increasing wind speeds during WE1 (Figure 2) were already 699 switching the dominant scattering surface from being a mixture of the air/snow and snow/ice interface (prior to the wind 700 events), to almost exclusively the air/snow interface, and increasing the backscatter associated with the air/snow interface by 701 \sim 5 dB (Figure 8). The action of the wind on the snow surface dominated the change in the scattering surface, and not the 702 increase in air and snow temperature which followed. Therefore, we suggest the effect of the wind on the snow roughness 703 and/or on the snow density (wind compaction of the top layer) (Figure 4) causes the air/snow interface to increasingly become 704 the dominant scattering surface at Ka- and Ku-band frequencies.

705 4.3 Azimuth Sectoring and Phase Difference

706 Azimuth sectoring provides an assessment of the backscatter heterogeneity across the radar footprint, linked to the dynamic 707 evolution of snow bedforms produced during WE1 and WE2 (Figures 10 and 11). Our results show how sensitive the KuKa 708 radar is to development of snow bedforms and changing snow surface heights along distinct azimuth sectors within the 709 footprint with a directionality trend in backscatter, as a function of prevailing wind speed and direction.

Wind induced snow deposition and snow metamorphism due to high temperature gradients modified the Ka and Ku band CPD signatures as a function of snow structural anisotropy (Figure 12). This anisotropy induces scale-dependent snow thermal and dielectric properties (Leinss et al., 2016), further altering the snow surface and interface roughness regimes, and in turn modifies backscatter and CPD signatures. In general, Ka band CPD values are higher than Ku band. At higher frequencies, more wavelengths fall within the radar wave propagation path length through the snowpack, and the derived CPD becomes larger (Voglimacci Stephanopoli et al., 2022; Leinss et al., 2016).

- 716 We also observed strong reversals in the CPD following WE2 (Figure 12). CPD reversals could be linked to the wind
- 717 roughening of the air/snow interface during WE2, increasing the chances for multiple scattering/Fresnel reflection in shorter
- 718 Ka and Ku band wavelengths (Ulaby et al., 1987). The observed phase shift reversals suggest the utility of Ka and Ku band

719 CPD to detect and discriminate newly deposited snow and older snow that has undergone temperature gradient metamorphism.
720 Positive phase shifts indicate newly deposited snow (e.g. negative sectors during WE2), while negative phase shifts indicate
721 older/metamorphosed snow (e.g. positive sectors throughout WE1 and WE2). In this study, CPD shifts due to two way
722 propagation through the snow are not considered because the measured range distances for VV and HH are not significantly
723 different.

724 **5. Conclusions**

This study details the impact of two wind events on surface-based Ka- and Ku-band radar signatures of snow on Arctic sea ice, collected during the MOSAiC expedition in November 2019. Our results represent the first-ever recording of the impact of snow redistribution on the Ka- and Ku-band radar signatures of snow on sea ice. The formation of snow bedforms and erosion events in the radar scan area modified the snow surface heights, and this was recorded consistently by the radar instrument, a terrestrial laser scanner and <u>opticalCCTV</u> imagery.

Analysis of radar waveforms demonstrated that the air/snow and snow/sea ice interfaces are visible in both frequencies and, all polarisations and incidence angles. During wind events, we show that, and that buried air/snow interfaces remain <u>clearly</u> detectable <u>at nadir</u>, following new snow deposition. This shows that the historical conditions under which a snow cover evolves, rather than only current conditions, affect_backscatter.

We conclude that wind action and its effect on snow density and surface roughness, rather than temperature_a (which remained <-10°C during the first recorded backscatter shifts), caused the <u>observed</u> change in the dominant scattering interface from a mixture of air/snow and snow/sea ice interfaces, to predominantly the air/snow interface and nadir backscatter at the air/snow interface increased by up to 5 dB. This effect would likely also be manifest in waveforms detected by satellite altimeters operating at the same frequencies, e.g., AltiKa or CryoSat-2.

739 Compared to pre-wind conditions, nadir backscatter across the full radar azimuth increased by up to 8 dB (Ka-band) and by 740 up to 5 dB (Ku-band) during the wind events. This was caused by the formation of snow bedforms within the radar scan area. 741 which increased the snow surface roughness and/or density. Azimuth sectoring at Azimuth sectoring in 5° bins reveals the 742 sSpatial variability in backscatter was evident across the radar scan area, and that variability in responded se to the formation 743 and evolution of snow bedforms, which in turn was driven by -caused by increasing wind speeds and changing wind direction. 744 Ka and Ku band co-polarized phase difference signatures demonstrate the impact of wind redistributed snow on phase shifts 745 and its utility to differentiate newly deposited snow from metamorphosed snow on sea ice. We link this detectability to phase 746 shifts and their dependence on temperature gradient driven snow metamorphism, and its effect on snow crystal structural 747 anisotropy.

748 Overall, our results from the KuKa radar provide a process-scale understanding of how wind redistribution 749 of redistribution transport of snow on sea ice eaffects can affect its topography and physical properties, and how these changes 750 in turn can affect the radar properties of the snow cover. Our results are relevant to both satellite -altimetry and scatterometry 751 through changes to radar waveforms and backscatter during, and after wind events. However, -more investigation is needed to 752 deduce how much wind (i.e., conditions/thresholds across space and time) is needed to impact satellite waveforms. OurOur 753 findings however cannot be applied directly to satellite instruments without considering the differences in footprint sizes, 754 incidence angles, and the snow and sea ice properties sampled. However, we do provide first-hand information on the 755 frequency, incidence angle and polarisation responses of snow on sea ice, that are -vitally-important for modelling scattered 756 radiation over an airborne and satellite footprint.

757 In future field-based experiments, we will aim to combine near-coincident KuKa radar data and, snow depth measurements 758 (Stroeve et al., 2020), and terrestrial laser scanner measurements of snow surface roughness and snow density profiles to better 759 characterisze the effect of these variables on the radar range measurements. Forthcoming KuKa radar deployments -campaigns 760 on Antarctic sea ice will produce further can further shed valuable insights into <u>complex</u>-snow geophysical processes (e.g. 761 presence of slush, melt/refreeze layers, snow-ice formation etc.) that may affect snow depth and sea ice thickness retrievals 762 from satellite radar altimetry. In a windy Arctic and the Antarctic, these methodsour findings will facilitate improved insights 763 towards better quantifying the impact of snow redistribution on accurate retrievals of snow/sea ice parameters from satellite 764 radar missions such as SARAL/AltiKa, CryoSat2, Sentinel-3A, Sentinel-6, SWOT, CRISTAL, and ScatSat-1.

Code and Data availability: Data used in this manuscript was produced as part of the international Multidisciplinary drifting
 Observatory for the Study of the Arctic Climate (MOSAiC) with the tag MOSAiC20192020 and the Project ID: AWI PS122
 KuKa radar data are available at http://data.bas.ac.uk/full-record.php?id=GB/NERC/BAS/PDC/01437. Meteorological
 data collection was funded by National Science Foundation (#OPP-1724551).

Video supplement. Time-lapse video of wind events acquired by the CCTV camera (Supplemental Video 1) and animation of
 radar+TLS waveforms during the wind events (Supplemental Video 2) are included in the Appendix.

Author contributions. VN processed the KuKa radar data and wrote the manuscript with input from co-authors. RW processed and analyzed the KuKa radar waveforms including NRCS calculations of interfaces, overlaid TLS data on radar waveforms and produced the radar+TLS time series animation. RM processed and plotted the DTC data. DCS processed the TLS data from the raw format and wrote code for plotting and analysis of TLS data used in this paper. AJ produced the floe map for the paper. DW and DK processed the SMP data and DW produced the SMP plot. JS, RS, TG and JL provided extensive inputs and reviews to the paper. RT, JY, TN, DJ, MH, JM, GS, SH, RR, MT, MM, MS, DW, MG, CD, IR, CP, IM, and MH provided valuable editorial comments, Many co-authors helped collect data during MOSAiC. 779 Acknowledgements. This work was carried out as part of the international Multidisciplinary drifting Observatory for the Study 780 of the Arctic Climate (MOSAiC), MOSAiC20192020 and was funded to JS in part by the Canada 150 Chair program 781 (#G00321321), the National Science Foundation (NSF) Grant #ICER 1928230, and the Natural Environment Research Council 782 (NERC) Grants #NE/S002510/1 (also funded RW), and #NE/L002485/1. Funding was also provided to JS by the European 783 Space Agency (grant no. PO #5001027396). This project has received funding from the European Union's Horizon 2020 784 research and innovation programme (# 01003826). VN was additionally supported by Canada's Marine Environmental 785 Observation, Prediction and Response Network (MEOPAR) postdoctoral funds. MG was supported by the DOE Atmospheric 786 System Research Program (#DE-SC0019251, #DE-SC0021341). GS, MH, AJ and SH were supported by the the German 787 Ministry for Education and Research (BMBF) through the MOSAiC IceSense projects (#03F0866B to GS and MH; 788 #03F0866A to AJ and SH) and by the Deutsche Forschungsgemeinschaft (DFG) through the International Research Training 789 Group IRTG 1904 ArcTrain (#221211316). MS and DW were supported by the European Union's Horizon 2020 research and 790 ARICE (#730965) for MOSAiC berth fees associated with the DEARice participation; and to Swiss Polar Institute grant 791 SnowMOSAiC (#EXF-2018-003). CD was funded through the EUMETSAT MOSAiC project (#4500019119). We thank all 792 scientific personnel and crew members involved in the expedition of the Research Vessel Polarstern during MOSAiC in 2019-793 2020 (AWI_PS122_00) and Thomas Johnson (University College London) for advising on plots and animations.

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