

1 In this document, we respond to the comments of reviewer 3 one by one. We also refer to  
2 the other documents with comments of the other reviewers to prevent repetition.

3 Whenever some entirely new text has been added to the manuscript, it has been added in  
4 italics and in red below.

5  
6 In the proposed revised manuscript, which is added at the bottom of this document, the  
7 textual changes have been added in red.

8  
9 **Reviewer 3 – Alexander Raphael Groos**

10  
This is an interactive comment on the manuscript by Lander Van Tricht et al. (hereafter the authors) entitled “Estimating surface mass balance patterns from UAV measurements on the ablation area of the Morteratsch-Pers glacier complex (Switzerland)”. In their study, the authors investigate the potential of surface elevation change and surface velocity data obtained from repeated UAV surveys in combination with ice thickness data to produce high-resolution ice flux divergence and surface mass balance maps of the ablation zone of alpine valley glaciers. This contribution is very welcome as it introduces an approach to determine spatial variations of the glacier mass balance, which can hardly be assessed by stake measurement alone. In view of the ever-increasing number of high-resolution topographic data, UAV-based surface mass balance investigations seem to be a viable complementation to glaciological mass balance observations. Moreover, the described method might also be useful to investigate the surface mass balance of glaciers that are difficult to access. Whether the UAV-based approach (especially when relying on GCPs rather than on RTK) is less time-consuming than classical ablation stake measurements is, however, questionable. The manuscript is well-structured, and the methods are clearly described. However, I have some remarks and questions, mainly concerning the presented UAV data. I have tried not to repeat the reviewers' comments, but there may still be some overlaps.

We thank Alexander Raphael Groos for his positive appreciation of the paper. We have addressed the questions and comments below and updated the manuscript where needed. Our revised version now contains more detailed information regarding the UAV surveys and data processing.

11  
**[CC1.1]** Did you experience any difficulties or problems during the areal surveys that should be considered by other groups when applying this method in the future?

We have been doing the same surveys for several years (actually since 2014) and have found a clear workflow. It is of course crucial to cover the whole area under observation with the UAV surveys. Morteratsch-Pers glacier is quite large and complex, which requires us to split the flight plan into several parts. It is thereby crucial to let the areas overlap so that they can be easily attached to each other afterwards. We also provided always GCPs in the overlapping area in order to georeference two connected flight areas with the same points.

We clarified this in the text in lines 134-135:

*"We ensured in every case sufficient overlap between the different flight plans so that they could be easily attached to each other afterwards"*

and in lines 155-156:

*"Specific attention was paid to the distribution of GCPs in the overlapping areas of the different flight areas in order to be able to georeference two neighbouring flight areas with the same points."*

12

**[CC1.2]** On which days were the aerial surveys performed. Did the illumination conditions (e.g. cloud cover) change during the 4-6 days field work period and did this affect the image processing?

We performed our flights always between 25 September and 2 October. During most of the flights the weather was sunny. During cloudy moments, we always checked if there was enough contrast, but this was always the case. We never adjusted our image processing and apparently, this did never influence the amount of keypoints detected on the images in Pix4D.

13

**[CC1.3]** Can you estimate the melt rate and surface lowering during these days? If 4 to 6 days passed between the aerial surveys and the melt rate was in the order of 3-4 cm day<sup>-1</sup>, this would translate into surface an elevation change in the order of 12 to 24 cm (if ice flow is ignored). Did this affect the image processing and the generated digital surface models in any way?

We refer to our answer in RC2.9 for more information about this.

14

**[CC1.4]** How were the GCPs distributed across the ablation area? Could you include the position of the GCPs in one of the overview maps (e.g. Fig. 2), at least exemplarily for one year?

Done. We added the GCPs for 2019 in Fig. 2

15

**[CC1.5]** How many of the GCPs were used for "calibration" and how many for "validation" (GVPs)? Better distinguish between GCPs and GVPs from the beginning.

Done. We reformulated some sentences in section 2.1 to already introduce the concept of GVPs in this part. Typically, we used about 20% of our GCPs as a GVP.

16

**[CC1.6]** I think the major drawback is that the "stable terrain" outside the glacier area was not considered to assess the accuracy of the DMSs. You mentioned that the vertical accuracy of the Trimble 7 GeoXH RTK GPS used to measure the GCPs is in the order of 20-30 cm. As stated in Table 2, the mean absolute error (MAE) of each DSM is less than 10 cm. This means that the DSM are self-consistent and very accurate (at least relative to the considered GCPs), but the MAE does not

tell you anything about the xyz-offset between the DSMs of the different years. Therefore, I would suggest to compare the DSMs over stable terrain (in case you covered such an area during your surveys).

We thank the reviewer for these suggestions which are much appreciated. We added a section in 4.1 in which we use stable terrain for an error analysis concerning the elevation changes. See our answer in RC1.G4.

**[CC1.7]** In 2020, you used a UAV with RTK. I assume that in this case you considered the distributed GCPs only for validation. Is this correct?

We did use some GCPs for the 2020 surveys. This would improve the accuracy of the DSMs. Nevertheless, we distributed less GCPs (see Table 2).

**[CC1.8]** Abstract: The acronym SMB is defined in the abstract, but the acronym UAV not. Define both in the abstract or introduction.

Done.

**[CC1.9]** L68: Maybe use a gender-neutral term such as “Unoccupied Aerial Vehicle (UAV)” that have been introduced recently (Joyce et al. 2021) and become more and more popular in the community.

Done. We used “Unoccupied Aerial Vehicle”.

**[CC1.10]** L69-72: Maybe mention here that repeated UAV surveys have been conducted before by other groups in different regions to derive surface velocities (e.g. Kraaijenbrink et al., 2016; Benoit et al., 2019) or to compare surface elevation change with ablation stake measurements (e.g. Groos et al., 2019\*), emphasising the need for or potential of a transferable method to use such topographic data to determine the SMB distribution.

Thank you for suggesting this. We included it in the introduction.

**[CC1.11]** Figure 1: It's a personal preference, but I think for international readers geographic coordinates (LatLon) would be more informative.

We understand the comment, but we prefer the use of the local date as it allows intuitive estimation of distances.

**[CC1.12]** L117-119: Where no surveys performed in 2017 or was the photogrammetric processing not successful? Other studies have shown that the SFM-technique in principle also works for snow-covered areas (e.g. Bühler et al., 2016). Or was it impossible to distribute GCPs under this circumstances?

It was a combination of both. Our method of spreading GCPs consists of covering the area of the flight plan with GCPs as best as possible, then performing the flight, then measuring and removing the GCPs. During the scheduled days in summer 2017, foggy conditions and snowfall prevented us from monitoring the upper part of Pers glacier. The periods during which we could have flown were too short and spreading GCPs was not possible, so we chose to perform only the SMB measurements on the stakes. We did try it for a while, but due to our relatively high flight altitude above the surface, low clouds occurred between the surface and the drone several times during the test flight.

**[CC1.13]** L126: Can you estimate the uncertainty? See general comment.

We refer to our answer in RC2.9. Concerning surface melt, we expect a maximum of up to 10 centimeters at the front of Vadret da Morteratsch, which is within the uncertainty bounds of the measurements.

**[CC1.14]** Table 1: Could you provide some more information here: e.g. flight dates, range of height above ground level, no. of images acquired, size of surveyed area...

We added some additional details (especially for 2020) in this table. As far as the exact dates are concerned, it seems for us somewhat too detailed to mention them in this table for all 4 years.

**[CC1.15]** L134: Can you include the position of the GCPs (at least exemplarily for one year) in one of the overview maps, in Fig. 2?

Done. We added the GCPs measured in 2019 in Figure 2.

**[CC1.16]** L141: In case of the 2020 surveys, were the GCPs only used for validation? Is it realistic that the P4RTK system is more accurate than the Trimble 7 GeoXH RTK GPS? Any comparative tests on stable terrain?

See our answer in CC1.7. We did not perform some specific comparative tests on stable terrain. However, because we used some GCPs (measured with the Trimble GPS, we are confident that the accuracy is similar.



27

28

**[CC1.17]** L148: Can you provide the total number of ablation stakes rather than the number of measurements?

Done. We provided the average number of stakes in each year (~ 15).

29

**[CC1.18]** Figure 3: The two reviewers already commented on that. Why is the average of both datasets the best choice? Would there be any arguments for using one over the other. Anyway, the sensitivity analysis is appreciated. Would it be possible to include the conducted radar measurements pathways in panel a and panel b? It would be helpful to use the empty lower right panel to include a difference map of THIZ and THIL to highlight areas of good agreement and areas with larger uncertainties.

We refer to our answer in RC1.GC4. Further, we added a panel in Figure 3 to show the difference.

30

**[CC1.19]** L248: Why did you choose the old Swiss Grid (CH1903 LV03) rather than the new one (CH1903+ LV95)?

We updated our figures to the new Swiss Grid.

31

**[CC1.20]** L250: How many of the GCPs were used as GVPs? It would be fair to provide some more details and, if possible, indicate them in one of the maps (e.g. in Fig. 2).

Done. See our answers in CC1.5 and in CC1.15.

32

**[CC1.21]** L252: Sometimes you use 5-10 cm and sometimes 0.05-0.10 m. Try to be consistent.

Rectified.

33

**[CC1.22]** L431-432: The stated MAE defines the accuracy of a DSM relatively to the used GCPs, but it does not tell you anything about the “absolute” accuracy. This can only be assessed by considering data from “stable terrain” outside the glacierised area. The vertical accuracy of the Trimble 7 GeoXH RTK GPS was stated to be in the order of 20-30 cm, so it is likely that the difference between DSMs from different acquisition dates is larger than the stated MAE in Table 2.

See our answer in CC1.6

**[CC1.23]** Table 2: Does the GCP density also include the points used as GVPs?

It does. But as you can see on Figure 2, we used a very large amount of GCPs.

**[CC1.24]** L453-457: Did you place GCPs in the relatively steep area? If not, do you think the observed positive surface elevation changes between 2019 and 2020 could be the result of inaccuracies of the DSMs (especially at the margin of your study area) rather than a mass gain related to increased avalanche activity? It's not necessarily the case here, but DSMs are prone to large-scale distortions (e.g. warping) if no GCPs are distributed at the margin of the study area (e.g. James and Robson, 2014; Groos et al., 2019\*).

This is indeed an issue which we observed during our first campaigns in 2014-2016. However, during the 2017-2019 field campaigns, we always ensured a very large number of GCPs that were distributed as homogeneously as possible, also at the edge of flight plans. The highest part of the ablation area of Pers glacier, where we observed the positive dhdt, is relatively flat, which allowed us to maintain the same GCP density in this region (see Fig. 2). In addition, we observed old avalanches and firn fields during fieldwork, giving us confidence in the elevation differences presented in this region.

**[CC1.25]** I would suggest to include a discussion section to elaborate on the implications of your study and recommendations for future work. Regarding the transferability of the presented approach, it would be interesting to discuss the uncertainties related to the use of modelled ice thickness data (e.g. Farinotti et al., 2021) when applying your method to determine spatial SMB variations of glaciers in data-scarce regions. Are there any limitations or challenges that should be considered when applying this method to mountain glaciers with a different setting (e.g. varying geometry, varying surface velocities, varying debris cover extent, presence of ponds and ice cliffs). Moreover, glaciers, for which multiannual high-resolution topographic data from repeated UAV surveys already exist (e.g. Kraaijenbrink et al., 2016; Benoit et al., 2019 Groos et al., 2019\*), could be briefly mentioned as potential sites for the further testing of the presented method. Recommendations regarding best practices for the implementation of UAV-surveys in mountainous terrain could also be included here.

We thank the reviewer for giving these suggestions. The other reviewers also mentioned this. We added a discussion section in which we elaborated among others on the suggested topics.

# Estimating surface mass balance patterns from UAV measurements on the ablation area of the Morteratsch-Pers glacier complex (Switzerland)

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**Abstract.** The surface mass balance of a glacier (SMB) provides the link between the glacier and the local climate. For this reason, it is intensively studied and monitored. However, major efforts are required to determine the **point** SMB on a sufficient number of locations to capture the heterogeneity of the SMB pattern. Furthermore, because of the time-consuming and costly nature of these measurements, detailed SMB measurements are carried out on only a limited number of glaciers. In this study, we investigate how to accurately determine the SMB in the ablation zone of Vadret da Morteratsch and Vadret Pers (Engadin, Switzerland) using the continuity-equation method, **based on the expression of conservation of mass for glacier flow with constant density. An** elaborate dataset (spanning the 2017-2020 period) of high-resolution data derived from **Unoccupied Aerial Vehicle** (UAV) measurements (surface elevation changes and surface velocities) is combined with reconstructed ice thickness fields (based on radar measurements). To determine the performance of the method, we compare modelled SMB with measured SMB values at the position of stakes. Our results indicate that with annual UAV surveys, it is possible to obtain SMB estimates with a mean absolute error **smaller than 0.5 meters** of ice equivalent per year. Yet, our study demonstrates that to obtain these accuracies, it is necessary to consider the ice flow over spatial scales of several times the local ice thickness, **accomplished in this study by applying an exponential decay filter.** Furthermore, our study **highlights** the crucial importance of the ice thickness, which must be sufficiently well known in order to **accurately** apply the method. The latter currently seems to hamper the application of the continuity-equation method to derive detailed SMB patterns on regional to global scales.

## 1 Introduction

The surface mass balance of a glacier is determined by the processes adding mass to the surface (e.g. snow fall, freezing rain), and those removing mass from the surface (e.g. snow and ice melt, sublimation). These processes are strongly driven by the **energy budget** and precipitation over the glacier. As a result of increased global mean temperatures, SMBs are becoming increasingly negative, leading to an unprecedented shrinkage of glaciers during the last decade (Zemp et al., 2019; Wouters et al., 2019). Because of the direct link with the local climatic signal, determining the glacier surface mass balance and its distribution is crucial to monitor, understand and model the reaction of glaciers to climate change. Traditionally, a stake and snow pit network are used to determine the SMB followed by an **interpolation and extrapolation** to obtain the glacier **mean** specific mass balance (Braithwaite, 2002). This can result in large errors for glaciers where the heterogeneity of the SMB cannot be captured sufficiently by the available measurements (Zemp et al., 2013). Further, because of the time-consuming and costly nature of these measurements, detailed SMB measurements are carried out on only a limited number of glaciers.

Geodetic methods provide an alternative, and have been applied **since many decades** in numerous studies, to monitor mass balances for individual glaciers at local to regional scales (e.g. Brun et al., 2017; Davaze et al., 2020; Sommer et al., 2020). These methods all involve comparing digital elevation models (DEMs), mainly created using airborne and satellite data, over a given period to determine local elevation changes. These local elevation changes result from an interaction between mass balance and ice flow processes and do therefore not allow to directly determine the mass balance distribution over glaciers (Berthier and Vincent., 2012). However, having such a mass balance distribution over glaciers is of large interest, as this can be used to accurately calibrate mass balance models used in large-scale glacier modelling efforts to allow for example for an accurate calibration of mass balance gradients (Zekollari et al., 2018; Marzeion et al., 2020).

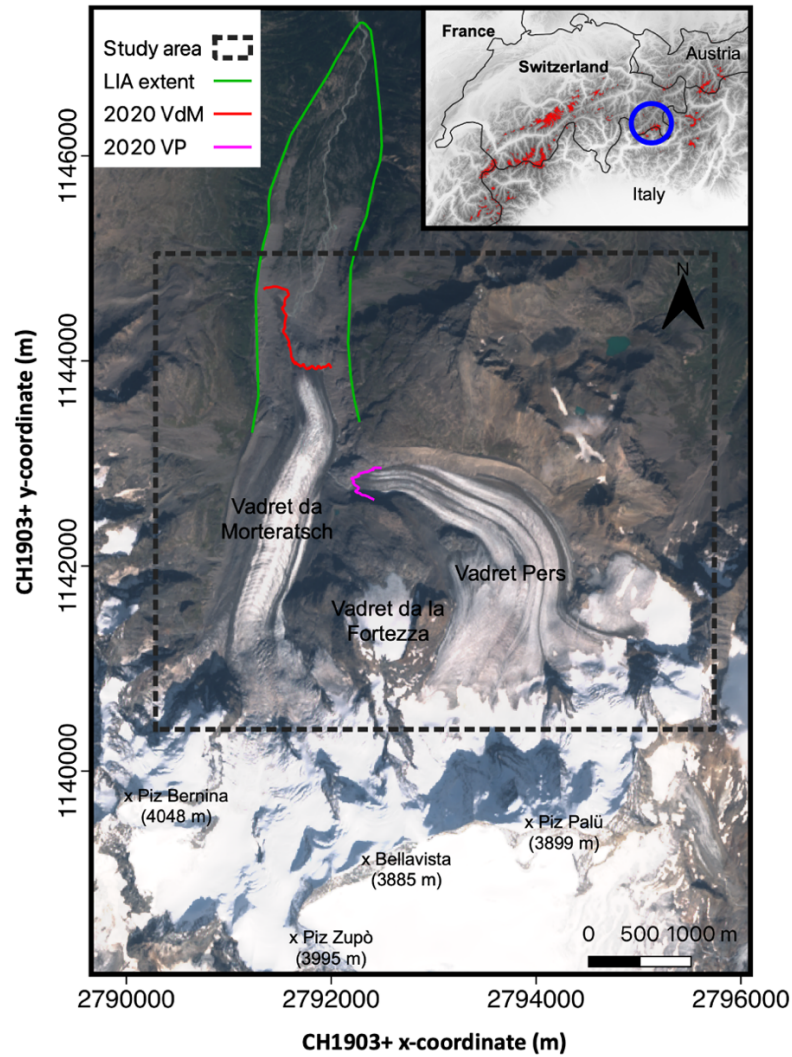
Several studies have attempted to determine patterns of SMB from surface elevation changes by implementing either mass-continuity, a kinematic boundary condition at the surface or through 3D ice flow modelling (Kääb and Funk, 1999; Gudmundsson and Bauder, 1999; Hubbard et al., 2000; Reeh et al., 2002; Nuimura et al., 2011; Vincent et al., 2016; Brun et al., 2018; Bisset et al., 2020; Wagnon et al., 2021; Miles et al., 2021). In essence, these are all based on the principle of combining surface elevation changes with the ice flux divergence. While the former can be measured directly, the latter is calculated by combining various types of measurements. Most of previous studies stumbled on the necessity, resulting from the discretization of ice flow processes and spatial resolution of the data, to smooth the input data or the ice flux divergence or to resample to much lower resolutions of several hundreds of metres. **Other studies used cross-sectional flux gates to simplify the problem and calculate a uniform flux divergence across a glacier area downstream of such a flux gate or between two flux gates** (Berthier and Vincent., 2012; Vincent et al., 2016). Further, a recurring drawback in previous studies attempting to detect local and small-scale variations of the SMB was the lack of satellite observations with a sufficiently high spatial and temporal resolution (Ryan et al., 2015). However, with the emergence of UAVs, it turned out to be possible to detect small scale variations at unprecedented centimetre resolution. **Accordingly, several studies have been conducted to derive surface velocities from repeated UAV surveys** (Immerzeel et al., 2014; Ryan et al., 2015; Kraaijenbrink et al., 2016; Rossini et al., 2018; Benoit et al., 2019) while other studies focussed on the generation

of surface elevation changes from multiple UAV surveys, and the comparison with stake measurements (Groos et al., 2019; Yang et al., 2020). In recent years, studies have also attempted to determine the SMB at the location of individual ablation stakes using an UAV and with measured vertical velocities (e.g. Vincent et al., 2021). However, to our knowledge, no research has yet been carried out to derive a transferable method to determine the SMB distribution over an entire ablation zone using multiannual UAV measurements. Furthermore, the optimal ways to calculate the ice flux divergence, and how to represent the spatial scales over which ice flow occurs without resampling to a much lower resolution, remain a topic of discussion.

The aim of this study consists of deriving and applying a method to determine the SMB in the entire ablation zone of two glaciers by combining UAV acquired 3D data and ice thickness measurements through the continuity-equation method. We pay particular attention to the spatial scales that need to be considered in this framework and how these influence the modelled SMB. The performance is evaluated through an in-depth comparison with measured SMB at stakes. To allow the method to be applied for other glaciers, with different data availability, we also perform a comprehensive uncertainty analysis, from which we determine which data is crucial in the application of the method and its accuracy.

## 2 Study area and fieldwork

The current study focuses on the Morteratsch - Pers glacier complex situated in the Bernina massif in the south-eastern part of Switzerland (European Alps) (Figure 1). Vadret da Morteratsch, with a current length of approximately 6 km, is the main glacier and flows from the south to the north. Vadret Pers, which flows towards Vadret da Morteratsch from the southeast, became a separate glacier in 2015, when both glaciers disconnected (Zekollari and Huybrechts, 2018). At present, the two glaciers cover an area of about 15 km<sup>2</sup> with a volume of ca. 1 km<sup>3</sup> making it the largest continuous ice area in the Bernina region. Vadret da Morteratsch reached its maximum Little Ice Age (LIA) extent between 1860 and 1865 (Zekollari et al., 2014). Since 1878, the glacier front has retreated more than three kilometres and is nowadays located at an altitude of 2200 m above sea level (a.s.l.). The peculiar shape of the front of the Vadret da Morteratsch is caused by a combination of a large amount of debris on the western side that kept the ice below more insulated during the past years, and shading effects from the surrounding mountains. Since the 2000s, the front of the glacier has retreated significantly, but a large area of stagnant ice remained protruded to the north on the western side of the valley (Figure 1). The upper parts of the glacier complex reach 4000 m a.s.l, originating at peaks such as Piz Bernina and Bellavista (Figure 1). The glacier complex has been studied and monitored intensively with SMB stake measurements performed annually at the end of the ablation season since 2001 (Zekollari and Huybrechts, 2018). In addition, the geodetic mass balance of the 1980-2010 period was determined to be between -0.7 and -0.8 metre water equivalent per year (Fischer et al., 2015). The SMB stake measurements served to calibrate a mass balance model (Nemec et al., 2009) which was coupled to a higher-order ice flow model to simulate the future evolution of the glacier complex (Zekollari et al., 2014) and to study its response time (Zekollari and Huybrechts, 2015). Furthermore, the ice thickness in the ablation area has been measured twice (Zekollari et al., 2013; Langhammer et al., 2019) and again in 2020 for specific parts of Vadret da Morteratsch.



**Figure 1.** Map of the Morteratsch-Pers glacier complex in southeastern Switzerland. The different coloured lines represent the extent of the glacier at the end of the Little Ice Age (LIA, 1860-1865) and in 2020. The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020. The highest mountains are labelled and indicated with a black cross. The inset shows a DEM of the Shuttle Radar Topography Mission (SRTM) and boundaries of the countries of the central and western European Alps. The areas indicated with a red colour are glaciers according to the Randolph Glacier Inventory (RGI) version 6. The location of the Morteratsch-Pers glacier complex is indicated with a blue circle.

## 2.1 UAV surveys

Between 2017 and 2020, we conducted annual UAV surveys at the end of the ablation season (late September). Hence, the focus in this research is on three mass-balance years (2017-2018, 2018-2019 and 2019-2020). In 2017, above normal snow cover and severe weather conditions prevented drone mapping on the upper parts of the ablation area of Vadret Pers (>2800 m) due to inadequate visual content for accurate keypoint detection (Gindraux et al., 2017), and the inability to distribute control points. This resulted in a smaller study area in 2017-2018. The observations consist of images acquired by repeated UAV surveys with a DJI Phantom 4 Pro (P4PRO) (in 2017,



2018 and 2019) and a DJI Phantom 4 RTK (P4RTK) (in 2020) quadcopter, both equipped with a 20-megapixel camera. For flight planning and UAV piloting, DJI GS Pro was used. The flight plans were designed to limit the variation in ground sampling distance (GSD) by flying parallel to the main surface slope and by subdividing the study area into smaller sections. We ensured in every case sufficient overlap between the different flight plans so that they could be easily attached to each other afterwards. Additional technical details of the flights are given in Table 1. The different flights within one field campaign were performed on multiple days. However, because of the short fieldwork periods (4-6 days), the difference between the SMB measurements and surface elevation changes caused by not surveying simultaneously is estimated to be at most up to 10 centimeters, which is within the uncertainty bounds of the measurements. Therefore, no correction was applied for the difference in acquisition dates.

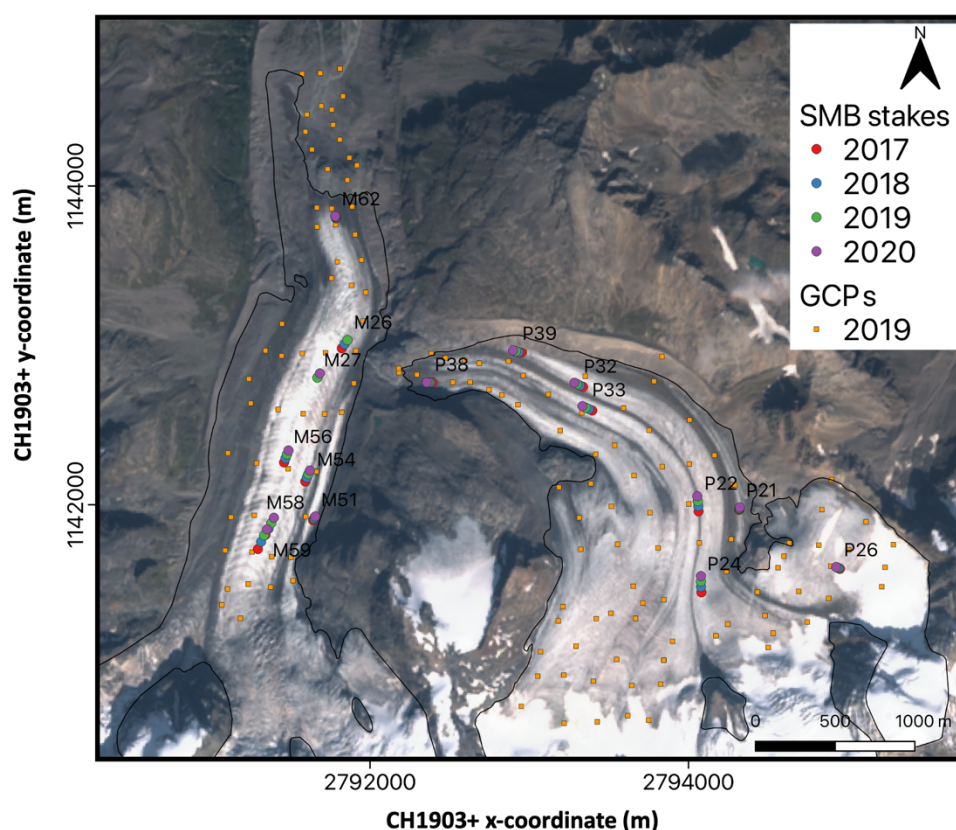
**Table 1.** Technical details and characteristics of the UAV flights.

Setting / characteristic	Value
Flight height above the surface	On average 180 m
Ground resolution	0.05-0.09 m
View angle	90°
Flight speed	5 m/s
Capture interval	4 s
Frontal overlap	90%
Side overlap	70%
Surveyed area (2020)	14.5 km <sup>2</sup>
Number of images (2020)	8107
Average number of keypoints / image	65000-70000
Average matched keypoints / image	32000-36000

To ensure sufficient horizontal and vertical accuracy, ground control points (GCPs) were distributed over the area of interest (see Figure 2). The GCPs, plastic orange squares of 40x40 cm, were measured with a Trimble 7 GeoXH RTK GPS (horizontal average accuracy of 0.10-0.20 m, vertical average accuracy of 0.20-0.30 m) by relying on the Swiss Positioning Service (swipos) GIS/GEO. The GCPs were spread over different locations on the glacier following density and distribution guidelines from the literature (Tahar et al., 2012; Goldstein et al., 2015; Long et al., 2016; Tonkin et al., 2016; Gindraux et al., 2017). We ensured homogeneous distribution in almost every case, except where crevasses, moulins or a fresh layer of snow (especially in 2017) impeded this with an average density of 10-20 GCPs km<sup>-2</sup>. Specific attention was paid to the distribution of GCPs in the overlapping areas of the different flight areas in order to be able to georeference two neighbouring flight areas with the same points. In 2020, a smaller number of GCPs was placed because the P4RTK can achieve centimetre-level accuracy without a large number of GCPs as a result of an on-board differential GPS system (Zhang et al., 2019; Kienholz et al., 2020). Each year, some of the GCPs (typically 20%) were not used for the georeferencing process, but as a ground validation point (GVP) to assess the quality of the reconstructed DSM.

## 2.2 In situ surface mass balance data

A total of 287 annual mass balance stake measurements (158 on Morteratsch, 129 on Pers) were performed between 2001 and 2020 in the ablation area of the glacier complex, with an average number of 15 stakes in each year. All the stakes were located between 2100 and 2600 m a.s.l. on the Morteratsch glacier's ablation tongue and between 2450 and 3050 (approximately the ELA) on Vadret Pers. Zekollari and Huybrechts (2018) summarized these measurements and found the SMB rate on Pers glacier to be significantly lower (-2.1 to -2.5 meters of ice equivalent (m i.e.)  $\text{yr}^{-1}$ ) compared to Vadret da Morteratsch at similar elevation. This has been mainly attributed to differences in orientation and the associated daily insolation cycle over the two glaciers. For the period of concern in this study (2017-2020), SMB measurements from 16 individual stakes (8 on each glacier) are available for every year on the debris-free part of the ablation areas (Figure 2). The stakes were measured, and replaced if necessary, annually at the end of each ablation season, during the UAV surveys.



**Figure 2.** Satellite image of the study area with the location of the measured ablation stakes in 2017, 2018, 2019 and 2020. The GCPs of 2019 are indicated with an orange square. The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020. The different locations of the stakes in 2017-2018-2019-2020 show the movement of the stakes with the glacier flow. The labels refer to the stake names as used in the fieldwork programme. In 2019, stake M26, which was located in the middle of a crevasse field, was replaced by stake M27.

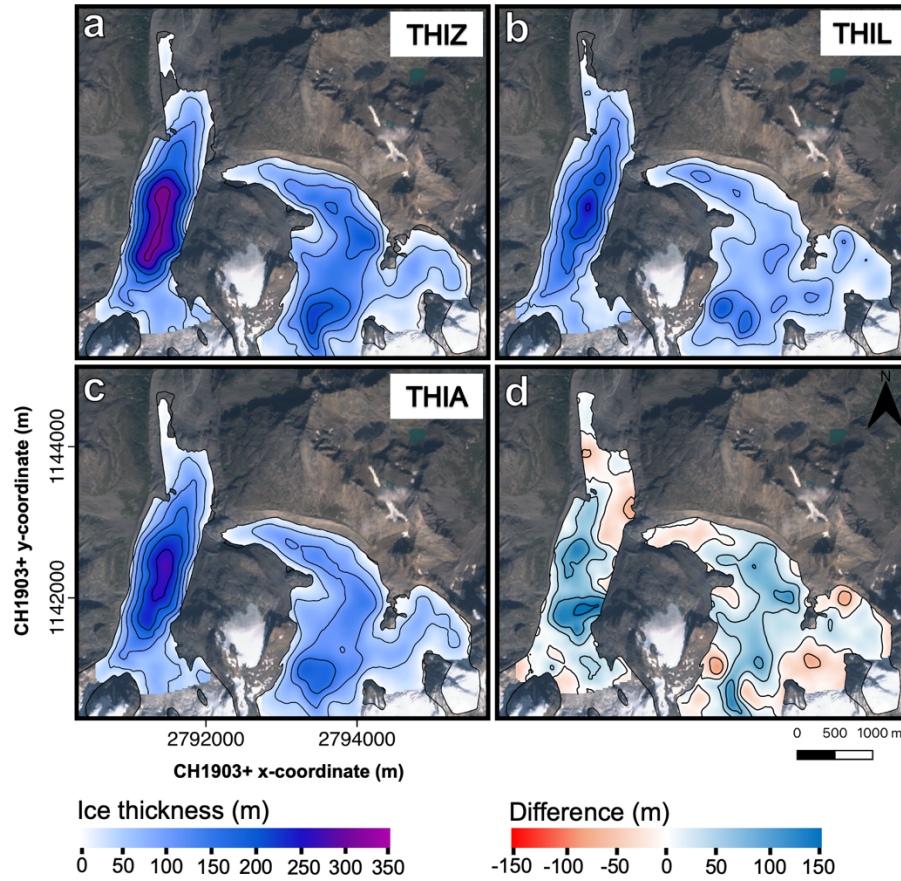


## 2.3 Ice thickness data

To calculate the local ice volume flux divergence (see section 3.4), a distribution of the ice thickness is required. There are two published datasets of the ice thickness of the Morteratsch – Pers glacier complex, derived from radar measurements (especially in the ablation area) and modelling (especially in the accumulation area). The first one is the dataset of Zekollari et al. (2013), hereafter referred to as THIZ. This distribution was reconstructed by combining measured ice thicknesses (~30 ground borne GPR profiles in the early 2000s) with different modelling constraints. The second ice thickness distribution is the dataset of Langhammer et al. (2019), hereafter referred to as THIL. This distribution was produced by combining measured ice thicknesses (41 helicopter borne GPR profiles with a total of 53247 points measured in 2017) and modelling constraints with the Glacier Thickness Estimation (GlaTE) inversion scheme (Langhammer et al., 2019). We correct both datasets for glacier geometry changes to refer to the glacier state in 2018, 2019 and 2020 respectively by subtracting the amount of local surface lowering. This concerns the local height difference between the UAV created DSMs of 2018-2019-2020 and the DEM used for the respective ice thickness datasets. The latter are two DEMs provided by SwissTopo: DHM25 valid for 1991 (used for THIZ) and SwissALTI3D valid for 2015 (used for THIL).

Although both ice thickness distributions (Figure 3a and Figure 3b) have a similar pattern (location of overdeepenings, location of maximum ice thickness), large local differences exist (Figure 3d). The ice thickness maximum, corrected to represent the ice thickness in 2020, for instance occurs at a similar location but is about 310 m for THIZ and 250 m for THIL. This corresponds to a difference of 18-24% which is however still within the error bounds of the datasets. Conversely, there are also certain zones (especially higher up Vadret da Morteratsch) where the difference between the two datasets is about 150 metres.

Because of the significant differences between both ice thickness distributions, the choice for a particular distribution has a considerable effect for the calculations in this study. To verify the maximum ice thickness of Vadret da Morteratsch, we measured the ice thickness once again in the thickest region in 2020 with a Narod Radio Echo Sounding (RES) system, similar to Zekollari et al. (2013) and Van Tricht et al. (2021). We preferred to use a low frequency of 5 MHz to limit the amount of attenuation due to disturbances in the glacier such as water inclusions, repeatedly observed during the fieldwork. We found a maximum value of 296 metres which is relatively close to the maximum thickness from the THIZ dataset. We can therefore certainly not ignore this dataset, despite the smaller number of measurements and the older date of creation. Therefore, for further calculations, we decided to use the mean ice thickness from THIZ and THIL at every grid cell, hereafter referred to as THIA (Figure 3c). The effect of using THIA, as opposed to relying on THIZ or THIL, is examined as a part of the sensitivity analysis (see section 5.1). For the areas where the current elevation is lower than the bedrock inferred from THIZ and THIL, and where there is no ice as a result within the current glacier outline, we assume a minimal ice thickness of 5 m as in Zekollari et al. (2013).



**Figure 3.** Ice thickness distribution of the ablation area of the Morteratsch-Pers glacier complex in 2020. (a) THIZ (ice thickness distribution of Zekollari et al. (2013)), (b) THIL (ice thickness distribution of Langhammer et al. (2019)) and (c) THIA (average ice thickness distribution). The difference between THIZ and THIL is shown in panel d. Contour lines represent 50 m intervals. Note that at the front of Vadret da Morteratsch and locally at the front of Vadret Pers, the glacier outline is larger than the ice thickness dataset. The current surface elevation of these areas is lower than the bedrock elevation inferred from THIL and THIZ. The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020.

### 3 Methods

#### 3.1 Continuity-equation method

The continuity equation for glacier flow with constant density (Eq. 1) links the local mass balance ( $b$ ) and the ice flux divergence per unit width in the local vertical ice column ( $\nabla \cdot \vec{q}$ ) with local changes in the ice thickness ( $\frac{\partial H}{\partial t}$ ), all expressed in m i.e.  $\text{yr}^{-1}$  (see e.g. Hubbard et al., 2000; Berthier and Vincent, 2012).

$$\frac{\partial H}{\partial t} = b - \nabla \cdot \vec{q} \quad (1)$$

Eq. 1 shows that the difference between the local mass balance and ice flux divergence must be compensated by a change in local ice thickness. If the bedrock elevation is assumed to be constant and compaction is negligible, which is the case in the ablation area (with ice throughout the entire column), the local ice thickness (H) in Eq. 1 can be replaced by the elevation of the surface (h). Further, **because** basal and internal mass balance in the ablation area are predominantly much smaller compared to the surface mass balance ( $b_s$ ) (Kaser et al., 2003; Huss et al., 2015),  $b$  can be replaced by  $b_s$ , which after a reorganisation leads to the following expression:

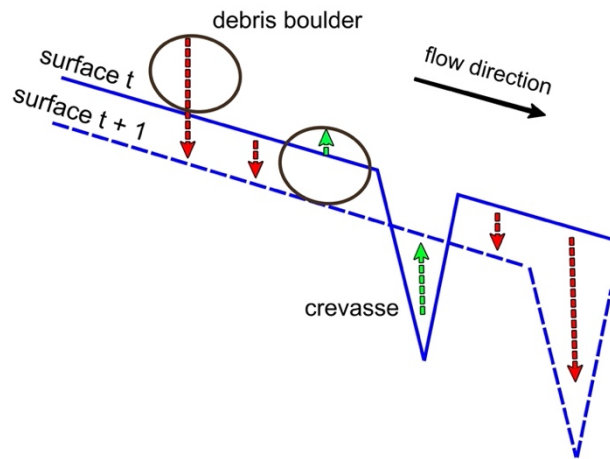
$$b_s = \frac{\partial h}{\partial t} + \nabla \cdot \vec{q} \quad (2)$$

By determining the local elevation changes (section 3.2) and the components that make up for the ice flux divergence per unit width (from now on referred to as ice flux divergence; sections 3.3-3.4), the SMB pattern can then be derived.

### 3.2 DSM generation and surface elevation changes ( $\partial h / \partial t$ )

First, all the data from the UAV surveys are used to generate DSMs on a common local coordinate system (**CH1903+ LV95**). All the images collected during the UAV surveys are processed into orthophotos and DSMs using the photogrammetry workflow implemented in Pix4D. The accuracy of the reconstructed DSMs is assessed using the GVPs **and the stable terrain outside the glacierized areas**. Subsequently, surface elevation changes are directly computed from these DSMs by subtracting the DSMs from each other (2018-2017, 2019-2018, 2020-2019). Initially, all the DSMs are generated with a very high resolution of 0.05-0.09 m. But eventually, the surface elevation changes are resampled to 25 m resolution for further calculations using a block moving average filter. This corresponds to the resolution of the ice thickness datasets and will therefore be the grid size for all of the following calculations.

A commonly observed feature on surface elevation change maps derived from high resolution DSMs are alternating positive and negative differences. These are caused by the advection of local glacier topography such as crevasses, moulins, **supraglacial melt streams**, and large ice or rock boulders (Figure 4) (Brun et al., 2018; Rounce et al., 2018; Yang et al., 2020). The above-mentioned variations are not caused by reduced or increased melt or accumulation and therefore **need to be corrected for ice flow** to make a correct SMB estimate.



**Figure 4.** The advection of surface topography (such as debris boulders and crevasses) can alter surface elevation changes between timestep  $t$  and  $t+1$  (red = negative  $\partial h/\partial t$ , green = positive  $\partial h/\partial t$ ). The net effect on the surface elevation depends on the amount of lowering and the vertical extent of the moving features on the glacier surface.

The different DSMs are treated considering the motion of the ice, following the method applied in e.g. Brun et al. (2018). This method consists of projecting each point on the glacier back to its original position in the previous year, based on the local velocity in  $x$  and  $y$  direction and taking into account the vertical displacement produced by flow along the longitudinal slope. The latter is determined by taking the average slope over all the different years for which a DSM was made, smoothed with a Gaussian filter.

### 3.3 Horizontal surface velocity

Glacier surface velocities, which are needed to calculate the ice flux divergence (section 3.4) and to flow correct the surface elevation changes (section 3.2), are computed for the three individual mass balance years. Velocity grids are derived by applying the Image Geo Rectification and Feature Tracking toolbox (ImGRAFT), an open-source tool in MATLAB (Messerli and Grinsted, 2015). Specific settings for the template matching of ImGRAFT are the use of the orientation correlation (OC) method and a large search height and search width of 200 m, to guarantee that all possible areas are covered. Instead of using visual image data with variable illumination and snow cover, the feature tracking algorithm is run on hillshades (relief DSMs) of the original DSMs resampled to a resolution of 2 m (Messerli and Grinsted, 2015). The latter turned out to improve the correlation success (Rounce et al., 2018).

Velocity maps are computed and corrected for differences in acquisition dates to obtain values in metre per year. Then, a series of filters is applied to the output of the cross-correlation to exclude poorly correlated pixels and those with unrealistic displacements or flow directions (Ruiz et al., 2015). The latter is a common issue for snow

covered areas, debris covered areas or complex crevassed areas where no accurate displacements can be detected. As a first filter, after verifying average zero displacement for stable areas outside the glacier, all the computed velocity vectors outside the glacierized areas are removed by using the digitized glacier outlines (Heid and Kääb, 2012). The latter are manually digitized based on drone and satellite images and correspond to the end of the summer of every balance year under consideration. Then, a median filter is applied which removes velocity components that deviate too much from the surrounding grid cells (Heid and Kääb, 2012; Nagy et al., 2019). Finally, we impose a maximum velocity threshold derived from the modelled velocities in Zekollari et al. (2013), which are constrained with field observations. In other words, we limit the maximum surface velocity at every grid cell to the velocity modelled in Zekollari et al. (2013) + 20%. The latter is a margin to take into account potential errors present in the study of Zekollari et al. (2013). However, after analysis this proved not to be necessary as these high values did not occur. The raw velocity maps containing gaps after filtering are then interpolated using a cubic spline interpolation (Ruiz et al., 2015). As validation, we compare the obtained velocities with measured velocities from stakes.

### 3.4. Ice flux divergence from ice thickness and surface velocities

The ice flux divergence (in m i.e. yr<sup>-1</sup>) corresponds to the local upward or downward flow of ice relative to the glacier surface. It represents the difference between the ice supplied from upstream and lost downstream at a particular position. It is defined to be negative for upward motion (mass supplied to the surface, also referred to as the emergence velocity) and positive for downward motion (mass is removed from the surface, also referred to as the submergence velocity).

Different approaches exist to calculate the ice flux divergence ranging from the use of 3D ice flow models (Seroussi et al., 2011; Vincent et al., 2021) to the use of simple geometric calculations and flux gates (Nuimura et al., 2011; Berthier and Vincent, 2012). The important distinction is the simplicity and the resolution with which they can be calculated. In this study, the ice flux divergence is computed for each grid cell, by combining surface velocities and ice thickness according to Eq. 3:

$$\nabla \cdot \vec{q} = F \left( u_{s,x} \frac{\partial H}{\partial x} + u_{s,y} \frac{\partial H}{\partial y} + H \frac{\partial u_{s,x}}{\partial x} + H \frac{\partial u_{s,y}}{\partial y} \right) \quad (3)$$

The right side of Eq. 3 contains two horizontal ice flux components of which the first corresponds to the ice thickness divergence and the second one to the velocity divergence (Reeh et al., 2003). Both are computed from the relevant derivatives. F is the depth averaged glacier velocity ratio ( $\bar{u}/u_s$ ). For an isothermal glacier, with negligible basal sliding and Glen's flow coefficient  $n = 3$ , F is 0.8. However, the Morteratsch-Pers glacier complex is assumed to be a temperate alpine glacier complex which is accompanied by basal sliding. According to Zekollari et al. (2013), internal deformation accounts glacier wide on average for 70% of the flow and basal sliding for the remaining 30%. However, in the ablation area the contribution of internal deformation is most likely even higher.

Therefore, for the standard runs in this study, we take an F-value of 0.9. However, the F-value may vary locally (Zekollari et al., 2013) and varying this parameter will therefore be part of the sensitivity analysis.

### 3.4 Spatial scales of the ice flux divergence

In contrast to the surface elevation changes, for which the accuracy is expected to be high, the computed ice flux divergence is subject to larger uncertainties. This uncertainty directly relates to the large uncertainties in the reconstructed ice thickness field. Moreover, a considerable part of the uncertainty also originates from the spatial scales that need to be considered: due to longitudinal stresses, the local ice dynamics do not only depend on the local glacier geometry (an assumption of the Shallow Ice Approximation, see e.g. Hutter and Morland (1984)), but also on the surrounding geometry, typically over scales corresponding to several times the local ice thickness (accounted for in higher-order and Full Stokes models, see e.g. Zekollari et al. (2014), where such a model was applied for this glacier complex). Local gradients of the ice thickness and the velocity are often magnified when they are determined on a numerical grid with a central difference scheme. This is directly reflected in the ice flux divergence pattern. Therefore, to make the ice flux divergence solution independent of the resolution and to take non-local stresses into account, larger scales must be considered (Reeh et al., 2003; Rounce et al., 2018). **To date, studies applying the continuity equation method, usually resampled the ice flux divergence grid to a much lower resolution (Nuimura et al., 2011; Seroussi et al., 2011; Rounce et al., 2018) or considered a flux gate approach (Berthier and Vincent., 2012; Vincent et al., 2016; Brun et al., 2018).** However, as we aim for a high resolution of the SMB determination in this study **and to obtain spatial variations in the ice flux divergence field**, we opt to retain the 25 m resolution and to consider larger scales for the calculation of the ice flux divergence.

Different filters have been applied, of which most are constant box filters (e.g. mean, median) with a strong variation in size (up to 10000 metres) (Kääb and Funk, 1999; Seroussi et al., 2011; Rounce et al., 2018). Such filters give equal weights to cells within the box irrespective of their distance from the point in consideration (Kamb and Echelmeyer, 1986; Le Brocq et al., 2006). **In this way, the effects of local phenomena (such as an ice fall or an acceleration in the glacier flow) are spread out uniformly when these filters are applied over larger distances, which implies that there are no localized peaks in the flux divergence field.** Also, these filters have an abrupt cut-off point where the weighting becomes zero (Le Brocq and others, 2006). Furthermore, effects of perturbations in for example ice thickness have been demonstrated to fade out exponentially for ice flow (Kamb and Echelmeyer, 1986). Because of all these reasons, we apply **in this study** a local exponential decay filter (Eq. 4):

$$\nabla \cdot \vec{q}_{[x,y]} = \sum_{i=-\text{dist}}^{\text{dist}} \sum_{j=-\text{dist}}^{\text{dist}} W_{[x+i,y+j]} \nabla \cdot \vec{q}_{[x+i,y+j]} \quad (4)$$



In Eq. 4, dist is the maximum distance of a cell which is taken into account in the exponential decay filter (set at 2.5 km), i and j are indices, and W represents the weight of a particular cell at position [x+i, y+j] (Le Brocq et al., 2006):

$$W_{[x,y]} = e^{-\frac{1}{sl} \sqrt{(x'-x)^2 + (y'-y)^2}} \quad (5)$$

In Eq. 5, x and y are the coordinates of the point being filtered while x' and y' are the coordinates of the weighted points. sl is the scaling length which is a crucial parameter determining how fast the exponential filter fades. This parameter directly relates to the length scale over which the longitudinal stresses determine the local ice flow. Theoretically, this scaling length is in the range of 1-3 times the local ice thickness for valley glaciers and 4-10 times for ice sheets (Kamb and Echelmeyer, 1986; Le Brocq et al., 2006). For our experiments, we vary the scaling length depending on A times the local ice thickness (Eq. 6). In this way, we incorporate variations within the study area into the exponential decay filter and we account for non-local flow coupling. The latter was shown to be an improvement compared to fixed-size filters (Le Brocq et al., 2006). In this way, the ice flux divergence in areas with a larger ice thickness is considered over a larger distance compared to areas with a smaller ice thickness.

$$sl[x, y] = A * H[x, y] \text{ with } A \in \{0: 1: 10\} \quad (6)$$

To provide for conservation of mass, the filtered result in each grid cell is multiplied by the ratio of the net flux divergence (sum of all ice flux divergences) before and after filtering. To determine the optimal procedure to include the effects of (longitudinal) stresses and uncertainty in the input data, we perform multiple experiments with the exponential decay filter. For this, we examine whether (i) the ice flux divergence, (ii) the gradients of velocity and ice thickness or (iii) both should be considered over larger spatial scales for optimal results in the determination of the SMB.

First, the ice flux divergence is considered over larger spatial scales by applying the exponential decay filter to the ice flux divergence field. Second, to compensate solely for the effects of large gradients, the gradients are considered over larger spatial scales. We therefore apply the exponential filter to the ice thickness and velocity gradients and calculate the ice flux divergence using these smoothed gradients. Third, to compensate for the negative effects of very large scaling lengths for both previous experiments and the biases related to both, we filter twice. Hence, both filters are applied after each other. In this way, the smoothness of the ice flux divergence field increases while the top values are not damped as much as applying a filter once with a long scaling length. This tends to reduce the distance over which the filtering must be performed, which is favourable to preserve local variations.

For every experiment, the modelled and measured SMB values at the position of the stakes are compared (see section 2.2). As metrics to quantify the performance of the procedure, the mean absolute error (MAE) and the root mean square error (RMSE) are used (Eq. 7 and 8). The MAE is defined as the absolute difference between the modelled and the measured SMB. The RMSE on the other hand takes into account the variance of the errors.  $n$  is the number of stake measurements. The uncertainty of the SMB measurements is estimated to be  $\pm 0.2$  m i.e.  $\text{yr}^{-1}$  and is also considered in the analysis. More specifically, for each filter option we carry out 100 versions in which we perturb the measured SMB. This is done by randomly adding a value between -0.2 and 0.2 distributed around 0. Then, for the option under consideration, the average MAE and RMSE are calculated from Eqs. 7 and 8, where  $n$  is the number of SMB measurements for every year (see section 2.2).  $i$  is an index ranging from 1 to  $n$  (16),  $x_i$  and  $y_i$  are the Cartesian coordinates of the different stakes under consideration.

$$\text{MAE} = \frac{1}{n} \left( \left| \sum_{i=1}^n b_{s,\text{modelled}}(x_i, y_i) - b_{s,\text{measured}}(i) \right| \right) \quad (7)$$

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (b_{s,\text{modelled}}(x_i, y_i) - b_{s,\text{measured}}(i))^2}{n}} \quad (8)$$

## 4 Results

### 4.1 Surface elevation changes and filtering

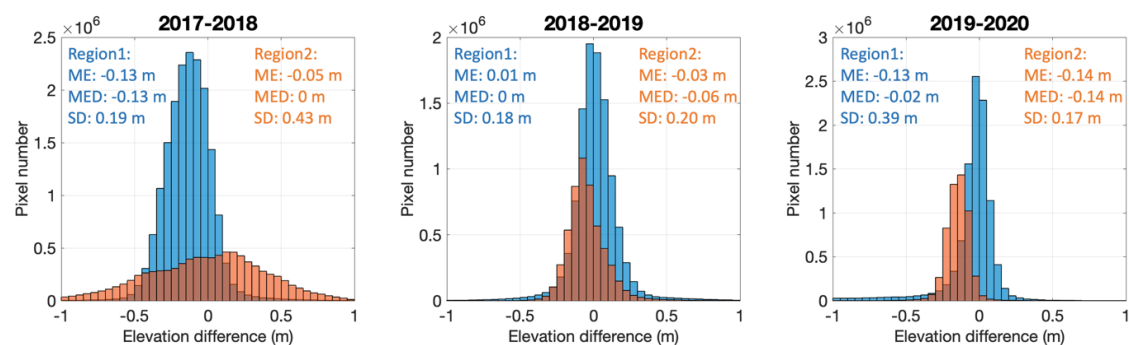
The accuracy of the elevation product is **first** assessed by comparing the photogrammetrically created DSMs with GVPs, randomly selected over the study area. Mean absolute errors (MAE) between measured and modelled elevation are in the order of a few cm (Table 2), which is similar to values found in other studies (Whitehead et al., 2013; Immerzeel et al., 2014; Wigmore and Mark, 2017; Zhang et al., 2019). As such, the accuracy of the created DSMs is high. Furthermore, the mean error (ME) is close to zero which indicates that the created DSMs are not biased. For 2017, the slightly larger MAE is probably caused by a smaller number of GCPs combined with a reduced visual content because of fresh snow on the glacier surface. Further, the small MAE and RMSE of the DSM in 2020 highlight the advantage of using a RTK equipped with an RTK GPS (P4RTK) for which a smaller amount of GCPs is needed to reach similar or better accuracies compared to a classic setup (UAV without RTK correction).



**Table 2.** Mean absolute error (MAE) and root mean square error (RMSE) of the elevation differences between the DSMs and the GVPs in different years. (Units are in m).

Year	MAE	ME	RMSE	GCP density (km <sup>-2</sup> )	UAV used
2017	0.09	-0.07	0.16	11	P4PRO
2018	0.06	-0.02	0.22	24	P4PRO
2019	0.08	0.03	0.16	18	P4PRO
2020	0.07	0.03	0.10	11	P4RTK

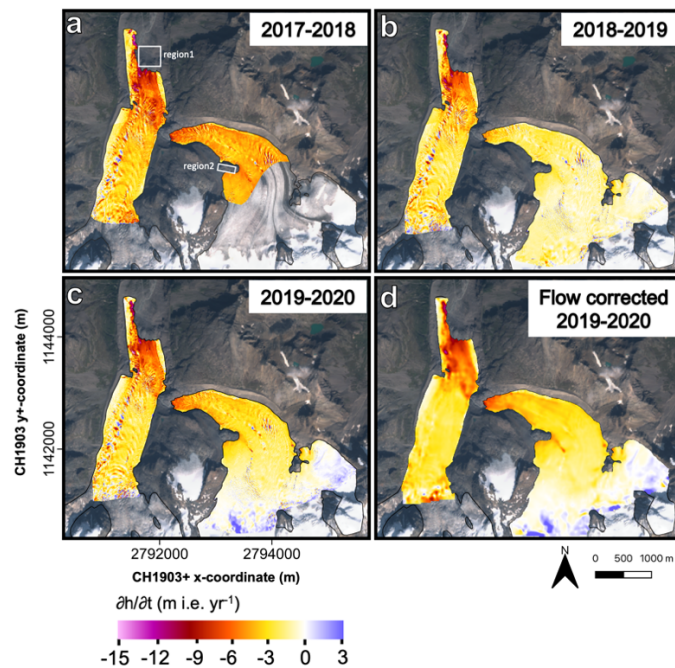
Then, the accuracy of the surface elevation changes is assessed by comparing the elevation differences in rectangular areas outside the glacierized areas for two regions (see Figure 6), where the topography is assumed to be mostly stable (Yang et al., 2020). The histograms for the three different balance years in consideration show that most of the differences range between -0.2 and 0.2 m, which indicates that there is no large absolute offset between the DSMs of the different years (Fout! Verwijzingsbron niet gevonden.). For region 2, we find a larger standard deviation (SD) for the 2017-2018 difference which appears to be caused by a small horizontal shift which produces larger elevation differences (both negative and positive) in this steeper area. For the gentler sloping glacier surface, the effects are negligible. The larger SD for the 2019-2020 difference in region 1 is caused by an area where a part of the bare surface was eroded by a melt river, producing a tail of negative elevation differences. In general, the above analysis reveals that the vertical uncertainties resulting from the DSM differencing are limited.



**Figure 5.** Histograms of elevation differences for the different balance years considered. The mean error (ME), median error (MED), and standard deviation (SD) are added for each region.

The different maps for the three balance years display a very detailed pattern of surface elevation changes (Figure 6). For example, alternating positive and negative surface elevation changes are noticeable and can be explained by the advection of local glacier surface topography due to glacier movement (see also Figure 4). In addition, significant less negative  $\partial h/\partial t$  values at the left side of Vadret da Morteratsch, especially where the glacier protrudes towards the north, are likely the result of a debris cover insulating the ice below and decreasing the

melting rate (Reznichenko et al., 2010; Rounce et al., 2018; Rossini et al., 2018). The latter was also observed by the study of Rossini et al. (2018) focussing on the elevation differences on the front of Vadret da Morteratsch in the summer of 2016. Only at the terminal ice cliff, significantly higher  $\partial h/\partial t$  values can be observed (Immerzeel et al., 2014). Given that the ice fluxes can be assumed to remain relatively constant over the three years in consideration, the elevation changes indicate that 2017-2018 was the year with the most negative SMB (which is confirmed by field measurements (see Figure 11). Further, large positive surface elevation changes can be distinguished for 2019-2020 at the highest part of Vadret Pers. This is probably the result of avalanches originating from the steep accumulation area and persistent snow cover in these areas. This is striking as surface elevation changes further down on the glacier are generally more negative compared to 2018-2019: i.e. the SMB gradient on Vadret Pers is steeper in 2019-2020 compared to 2018-2019.

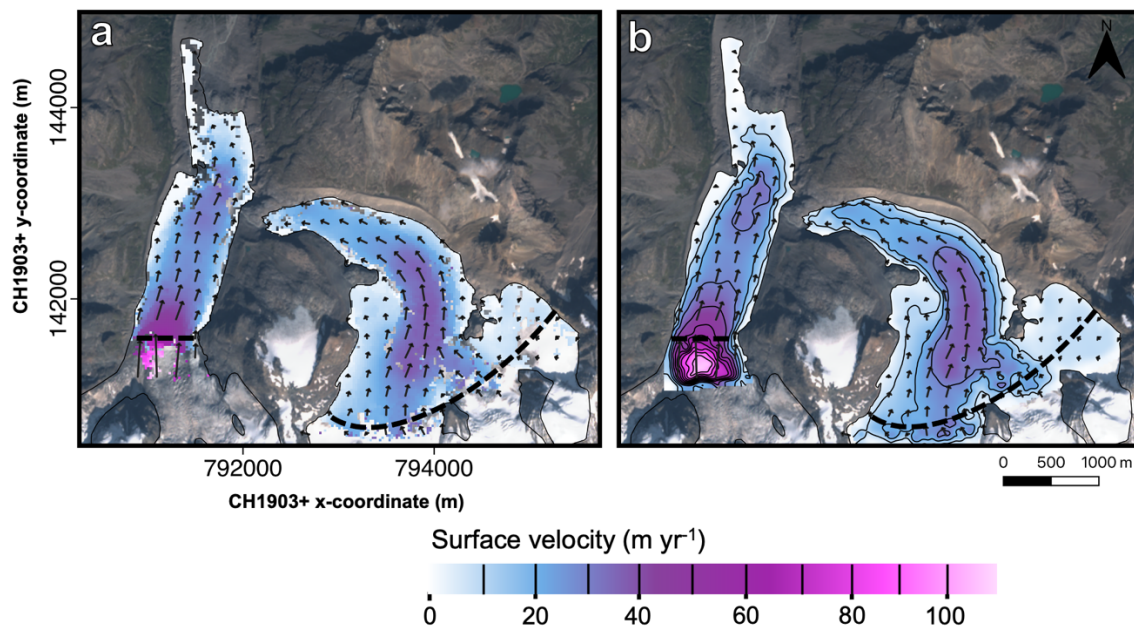


**Figure 6.** Surface elevation changes for (a) 2017-2018, (b) 2018-2019 and (c) 2019-2020. The spatial resolution is equal to 8 cm. Panel d shows the surface elevation changes for 2019-2020 after flow correction. The outline of the glacier corresponds to the latest year of observation for every balance year in consideration. The two white boxes (region1 and region2) are stable areas which are used for error analysis. The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020.

Alternating positive and negative surface elevation changes are evident, caused by the advection of surface topography (Figure 4 and Figure 6). We correct for these non-SMB features by flow correcting the DSMs (see section 3.2). The alternating pattern has clearly faded (Figure 6d). At the top of the ablation area of Vadret da Morteratsch, near the ice fall, the  $\partial h/\partial t$  values at the margin of the area are unrealistic because of inaccurate velocity calculations (see Figure 7) and margin effects of the longitudinal slope. However, this area lies outside the area under investigation (see Figure 7).

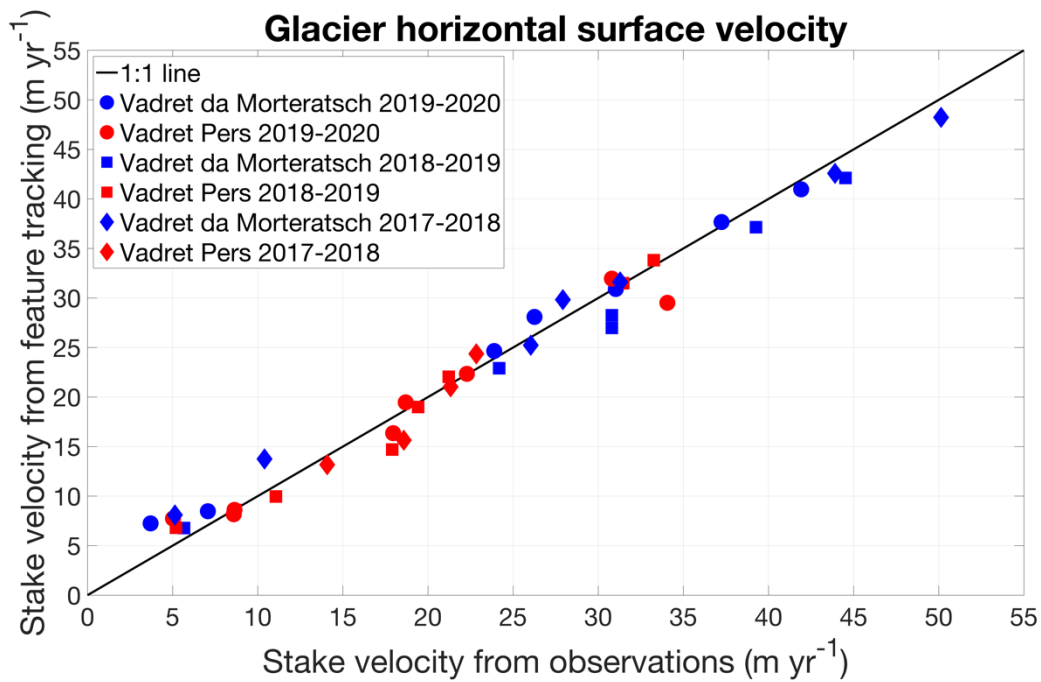
## 4.2 Glacier surface velocity

The velocity map derived from feature tracking and filtered for errors (see section 3.3), contains several voids (Figure 7a). This is caused by a lack of correlation between the two years, which is typically related to deformations of the surface, **repositioning of supraglacial melt streams**, and the presence of snow cover or debris. The latter hampers an accurate tracking between two consecutive years. To fill these gaps, cubic spline interpolation is used (Figure 7b).



**Figure 7.** Horizontal surface velocities derived from feature tracking between 2019 and 2020. (a) filtered surface velocity, (b) void-filled filtered surface velocity. The black arrows indicate the flow direction. The dashed black line indicates the limit of the glacier area where the velocity is modelled without many gaps (area used for further analyses, e.g. when calculating ice flux divergences). The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020. The contour lines are added for every 10 m and they are indicated in the colorbar.

Comparison with the velocities obtained by field measurements using ablation stakes (based on high-precision RTK GPS system, estimated accuracy of approx.  $0.1 \text{ m yr}^{-1}$ ) reveals a good agreement. We find the ME and RMSE to be  $0.01 / 1.7 \text{ m yr}^{-1}$  for 2017-2018,  $0.99 / 1.9 \text{ m yr}^{-1}$  for 2018-2019 and  $-0.37 / 1.8 \text{ m yr}^{-1}$  for 2019-2020 (Figure 8).



**Figure 8.** Comparison between surface velocities at stakes from field observations (high precision GPS measurement at stakes) and from feature tracking.

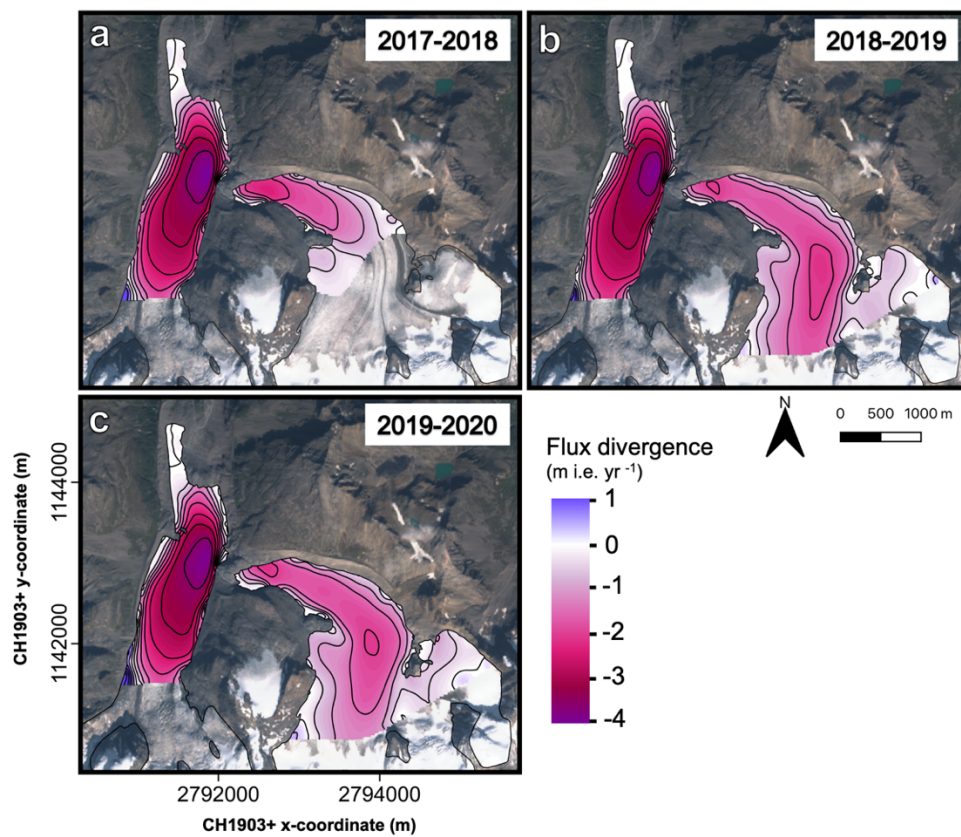
The surface velocities of 2017-2018 are higher compared to 2018-2019. Because the surface velocities in 2019-2020 also appear to be slightly larger compared to 2018-2019, it might be due to a larger amount of basal sliding in these two balance years. The latter can be caused by an increased supply of meltwater lubricating the glacier's base which can be linked to a more negative  $\partial h / \partial t$  in 2017-2018 and 2019-2020 compared to 2018-2019 (see also Figure 6).

### 4.3 Ice flux divergence

After considering various spatial scales and filter procedures to determine the ice flux divergence, we find optimal results (lowest MAE, RMSE and minimal scaling length, see section 5.2) when both filters are applied after each other for a scaling length of  $4xH$  to smooth the ice thickness and velocity gradients and a scaling length of  $1xH$  to smooth the ensuing ice flux divergence (see section 3.4). These values are close to the theoretical values of the fundamental longitudinal scaling length for valley glaciers, mentioned in Kamb and Echelmeyer (1986). It shows that the data needs to be considered over large spatial scales which implies that the ice flux divergence field must be sufficiently smooth to give accurate results.

The computed ice flux divergence is clearly characterized by negative values over both glacier tongues (Figure 9). This represents horizontal compression and associated vertical extension which is generally expected for ablation areas (e.g. Kääb and Funk, 1999). This horizontal compression translates into an ice supply towards the surface

(emergence velocity), which counters the effect of the negative mass balance on the local ice thickness change (and neutralizes it in case the glacier is in equilibrium with the local climatic conditions). The ice flux divergence reaches a minimum between 500 and 1000 m from the terminus of the Vadret da Morteratsch with values close to  $-4 \text{ m i.e. yr}^{-1}$  (Figure 9). In this area, both the ice thickness (Figure 3) and the surface velocity (Figure 7) decrease significantly which leads to large negative gradients and hence upward motion (negative ice flux divergence). It is therefore not surprising that there is a complex pattern of transverse crevasses in this area (see Figure 2). The maximum ice flux divergence at the eastern side of Vadret da Morteratsch near the former confluence area with Vadret Pers is suspicious. This is likely caused by the very large ice thickness gradient **because of the contribution of the underestimated ice thickness in the THIZ dataset**. In this area, the THIZ dataset revealed zero ice thickness because of an overestimation of the bedrock elevation (Figure 3).



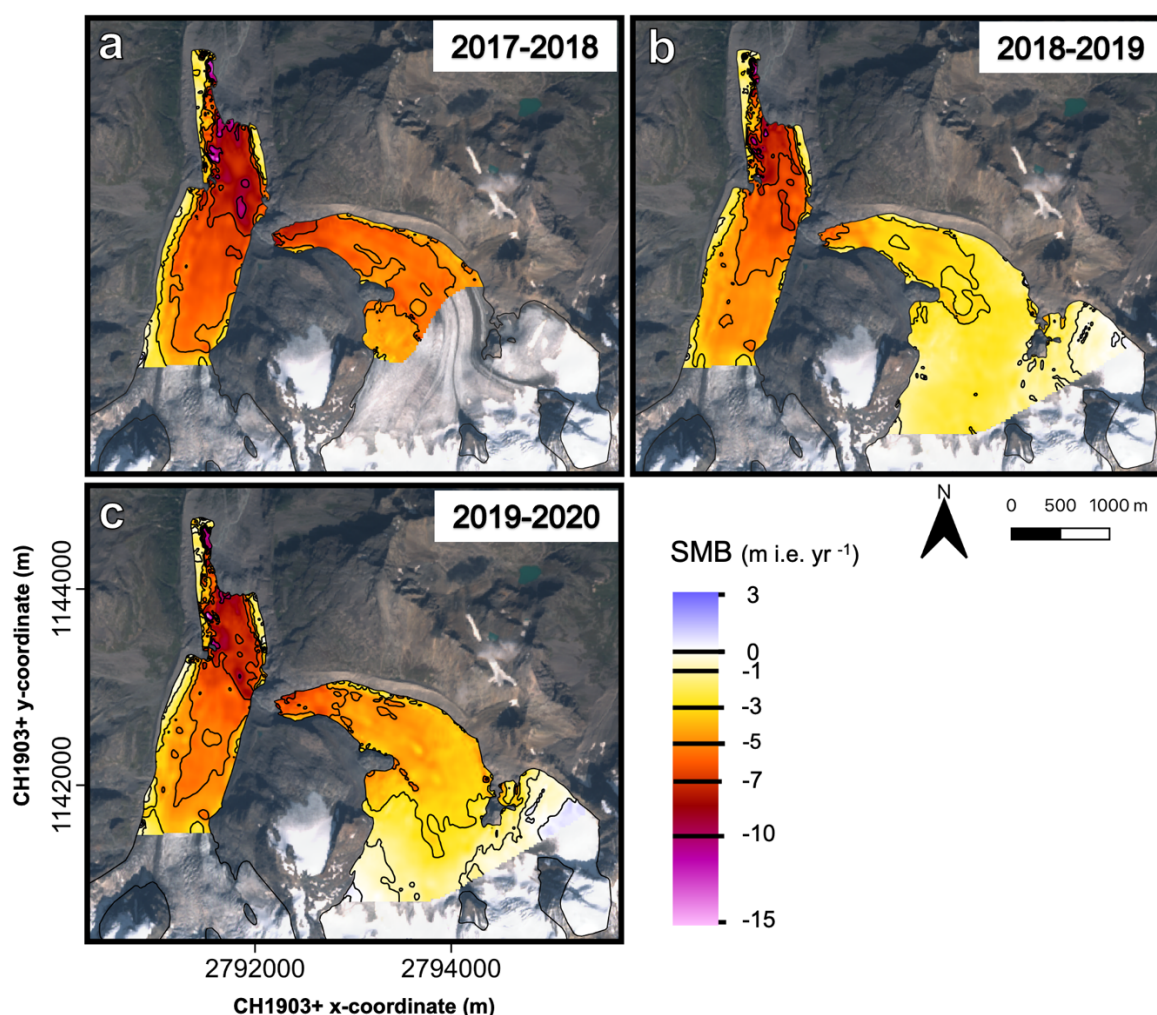
**Figure 9.** Maps of the modelled ice flux divergence for the different years under consideration. Contours are added for every 0.5 metre per year. The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020.

#### 4.4 SMB from UAV

The final step of the method is to add the surface elevation changes (see Figure 6) and the computed ice flux divergence (Figure 9) to obtain the SMB (see Eq. 1). The final product, the modelled SMB for the three balance



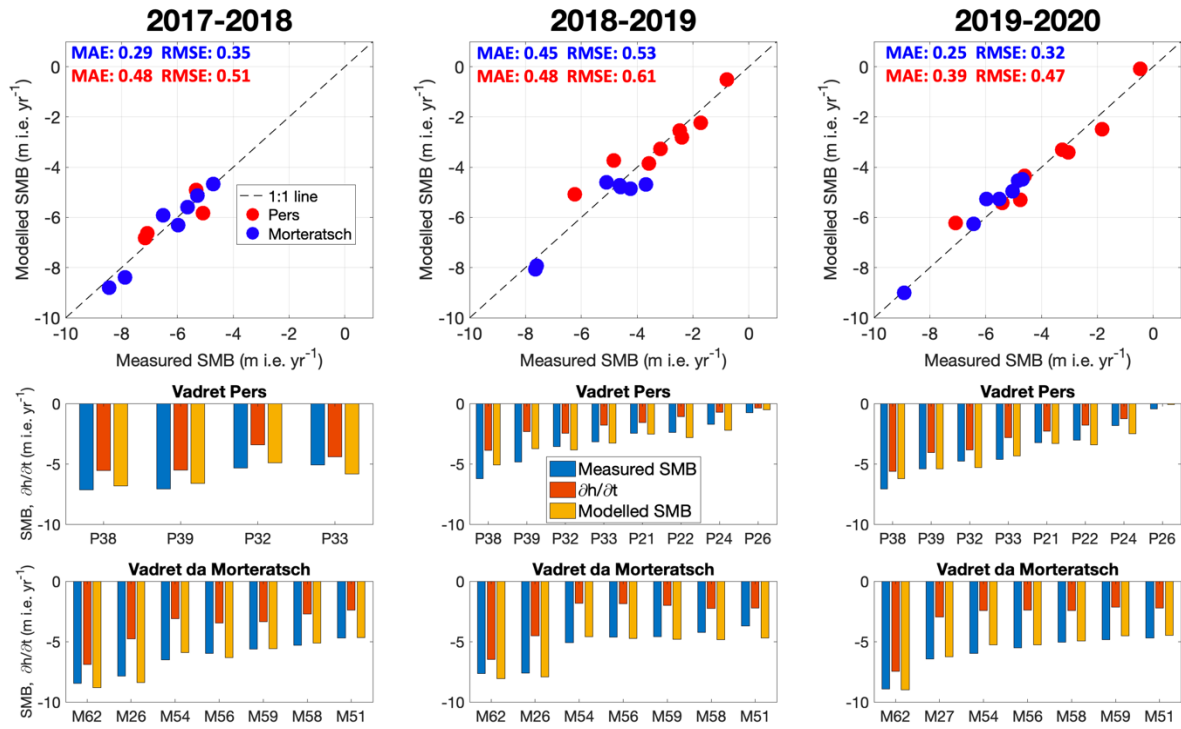
years, is shown in Figure 10. The three years in consideration clearly show a rather similar pattern. Areas with a less negative SMB are located at the same locations (e.g. western margin of Vadret da Morteratsch). Areas with the most negative SMB are also at the same location (e.g. glacier fronts). The ice flux divergence is prominently not able to compensate for the very negative SMB so that there is net mass loss everywhere and the altitude changes are less than zero. The most negative SMB (up to  $-13 \text{ m i.e. yr}^{-1}$ ) is found at the front of Vadret da Morteratsch in 2017-2018 which is in accordance with the SMB measurements. This concerns the lowest area which is also quasi-stagnant with a very limited supply of ice from upstream (see Figure 9). The presence of certain irregular patches with a smaller or larger SMB is caused by artefacts in the flow correction method (see section 3.2 and Figure 6d). This is because the flow correction method cannot trace all displacements exactly (rocks fall over, crevasses deform, supraglacial melt streams are positioned on different locations etc.), and because the velocity field is not fully accurate, and the calculation of the longitudinal slope also affects the result.



**Figure 10.** Maps of the modelled SMB fields. Contours are added for specific values (indicated on the colorbar). The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020.

The associated point-by-point comparison between modelled and measured SMB confirms the good match with deviations generally smaller than  $0.5 \text{ m i.e. yr}^{-1}$  (Figure 11). The largest differences are found at the front of Vadret Pers (Figure 11). Near the glacier front, the ice flux divergence is likely underestimated as a result of overestimated ice thickness in this area of the THIZ dataset. The latter decreases the ice thickness gradient in this area substantially.

In 2019, stake M26 (see Figure 2) was replaced by M27 (and installed at a higher location) because it was located in the middle of a field of transverse crevasses during the survey in 2019. It is noticeable that this location was characterised by a more negative SMB compared to the surrounding areas in both 2017-2018 and 2018-2019 (see Figure 10 and Figure 11) which is probably caused by a more exposed position on an ice serac.

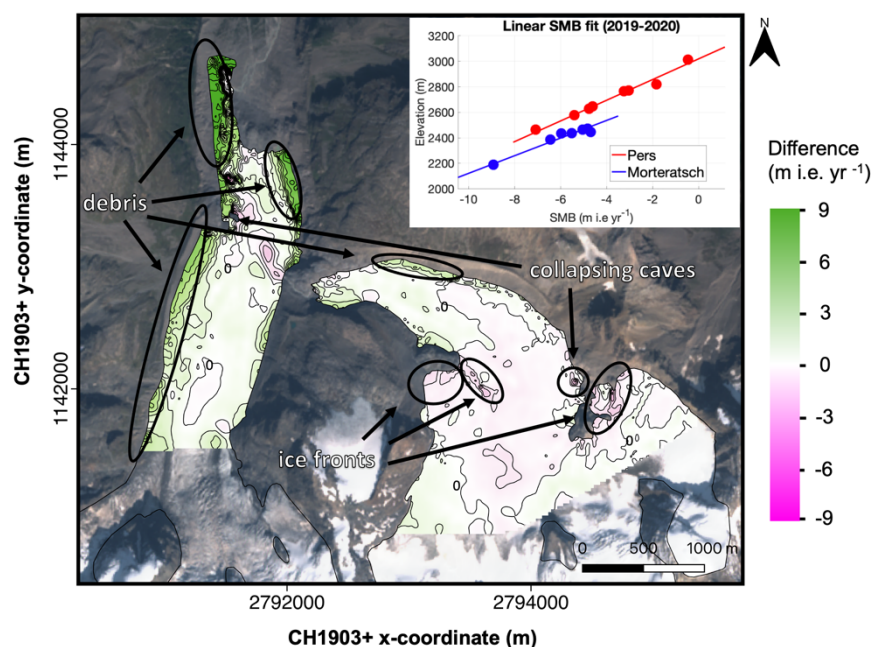


**Figure 11.** Point-by-point comparison of modelled and measured SMB. In the lower panels, the difference between the modelled SMB (yellow bar) and the local elevation change (red bar) results from the modelled ice flux divergence. The labels refer to the stake names as used in the fieldwork programme. The MAE and RMSE of the modelled SMB are added in the upper panels (Values are in  $\text{m i.e. yr}^{-1}$ ).

For the optimal SMB fields, a minimum MAE of  $0.25 \text{ m i.e. yr}^{-1}$  is obtained for Vadret da Morteratsch in 2019-2020 (Figure 11). The MAE and RMSE are somewhat larger for Vadret Pers with a maximum of  $0.61 \text{ m i.e. yr}^{-1}$  in 2018-2019 which is mainly caused by the larger deviation for the lowest two stakes (Figure 11).

#### 4.5 Lateral variations in the SMB pattern

Using the continuity method based on close-range (UAV) remote sensing data clearly reveals a much more detailed picture of SMB than is possible from a stake network on a limited number of locations. This is evident in the lateral heterogeneity of SMB, which is often overlooked when considering elevation as the prime variable to plan the stake locations. In Figure 12, the difference is shown between the UAV-derived SMB field and a SMB field only determined by elevation as derived from a linear fit of the stake measurements with altitude (see the inset of Figure 12). In general, the largest differences occur close to the margin of the glaciers, and around their front. The differences at the glacier margin of Vadret da Morteratsch are mainly related to a thick debris cover, which when sufficient in thickness, has an insulating effect that reduces the glacier melt (e.g. Rounce et al., 2018; Verhaegen et al., 2020). For example, for the heavily-debris covered area where Vadret da Morteratsch protrudes towards the north, the SMB is  $-1.5 \text{ m i.e. yr}^{-1}$  below the debris and up to  $-12 \text{ m i.e. yr}^{-1}$  for the ice next to the debris. A thin layer of debris, and the terminal ice cliff (Immerzeel et al., 2014), further reinforces the melt here, explaining these very high ablation rate (Rossini et al., 2018). The melt ratio is accordingly equal to 0.125, which means that there is 87.5% less melt under the debris at this location. This corresponds to a debris thickness of more than 50 cm when a typical average value of the characteristic debris thickness is used (Anderson and Anderson, 2016; Rounce et al., 2018). Such thicknesses are very likely in this area. During the fieldwork campaigns, boulder supply from the moraines was observed on several occasions, which was also documented in the Himalaya by Van Woerkom et al. (2019).



**Figure 12.** The inset shows a linear SMB fit based on stake measurements. The main figure shows the difference between the UAV-derived SMB and the linearly derived SMB. The background image is a Sentinel-2 true colour composite satellite image from 13 September 2020. Contour lines are added for every metre.



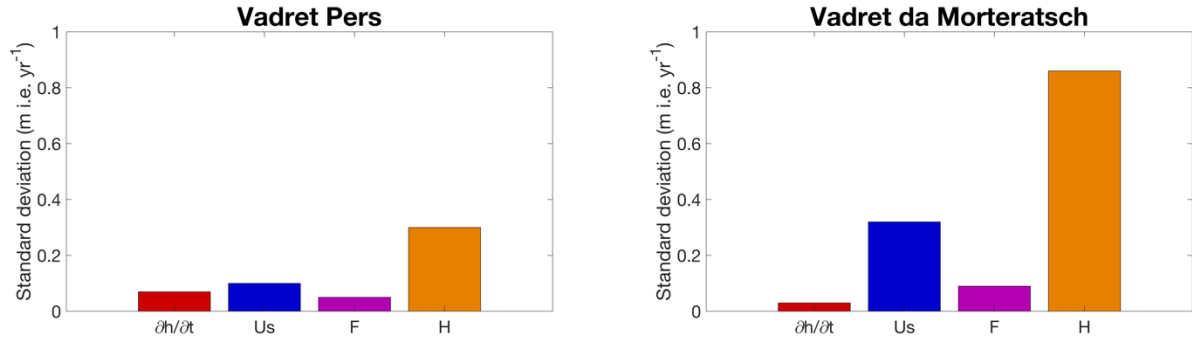
Another major feature is the presence of patches with a clearly more negative SMB when relying on the UAV-derived SMB field. These differences relate to stationary areas at local ice fronts or collapsing ice caves. While the first are real SMB variations (caused by more irradiation and/or less snow), the latter ones are not caused by the SMB. These collapsing ice caves clearly appear to occur near stagnant ice and at the bottom of the glacier where melt water forms a cave below the ice (Figure 12). These caves collapse when the overlying ice becomes too thin due to melting at the surface. For the other areas, the difference between the SMB fields is generally smaller, between -0.5 and 0.5 m i.e.  $\text{yr}^{-1}$ . This is in the same order as the MAE and the RMSE and is slightly larger than the expected accuracy of the SMB measurements.

## 5 Uncertainty analysis of the selected data, parameters, and filters

The surface elevation changes and the ice flux divergence contribute separately to the surface mass balance, implying that errors and uncertainties in both terms do not influence each other but directly affect the determined SMB (Eq. 4). It is therefore crucial to determine to which extent the uncertainty of both affects the results of the applied method. In addition, we evaluate the results of the different filter procedures of the ice flux divergence.

### 5.1 Dependence on the data and F-value used

To study the dependence of the applied method to the uncertainty attributable to the used data and F-value, we create 100 perturbed fields of the surface elevation changes, the surface velocity, the F-value and the ice thickness. After that, we do the calculations, using the perturbed data for one dataset and the original data for the other ones. Next, the MAE of modelled versus measured SMB is calculated 100 times and for all years and the standard deviation of this MAE is determined. For the analysis, the different input fields are perturbed using random patches with a diameter (uniformly distributed) between 50 m (local errors at grid level) and 500 m with perturbation values normally distributed with a standard deviation of half the error estimate. The uncertainty in the surface elevation changes is assumed to be correlated in space, i.e. depending on a pattern across the glacier (e.g. Fisher et al., 2015). Therefore, the  $\partial h / \partial t$  fields are perturbed with perturbations of maximum 0.5 m (plausible maximum value for UAV data). Regarding surface velocity, we use error estimates of 10% of the observed velocity, similar to the expected accuracy (see section 4.2). Besides velocity itself, the assumption of a constant F-value is rather unrealistic (Zekollari et al., 2013). Ice flow over basal irregularities causes variations in F. Previous research showed for example F to be larger over basal highs and smaller over basal lows and glacier areas with more basal sliding might as well be characterized by a larger F-value (Reeh et al., 2003). Therefore, we apply perturbations using an F-value between 0.85 and 0.95. Concerning ice thickness, we apply perturbations with an error estimate of 30%, which is mentioned by Zekollari et al. (2013) as an estimate of the accuracy.



**Figure 13.** Standard deviation of the MAE for the perturbed versions of the surface elevation changes, the surface velocity ( $u_s$ ), the  $F$ -value and the ice thickness ( $H$ ).

The effect of perturbation of  $\partial h/\partial t$  on the determined SMB is small with a standard deviation of less than 0.1 m i.e. yr<sup>-1</sup> for both glaciers (Figure 13). As a result, the results of the applied method are not very dependent on (small) perturbations in  $\partial h/\partial t$ . Changes in the  $F$ -value and surface velocity also appear to have minor contribution, especially concerning Vadret Pers. Regarding Vadret da Morteratsch, the surface velocity has a SD of 0.3 m i.e. yr<sup>-1</sup>, which is not negligible (Figure 13). The ice thickness distribution, however, is for both glaciers undoubtedly most crucial for the determination of the SMB, and even more so for Vadret da Morteratsch.

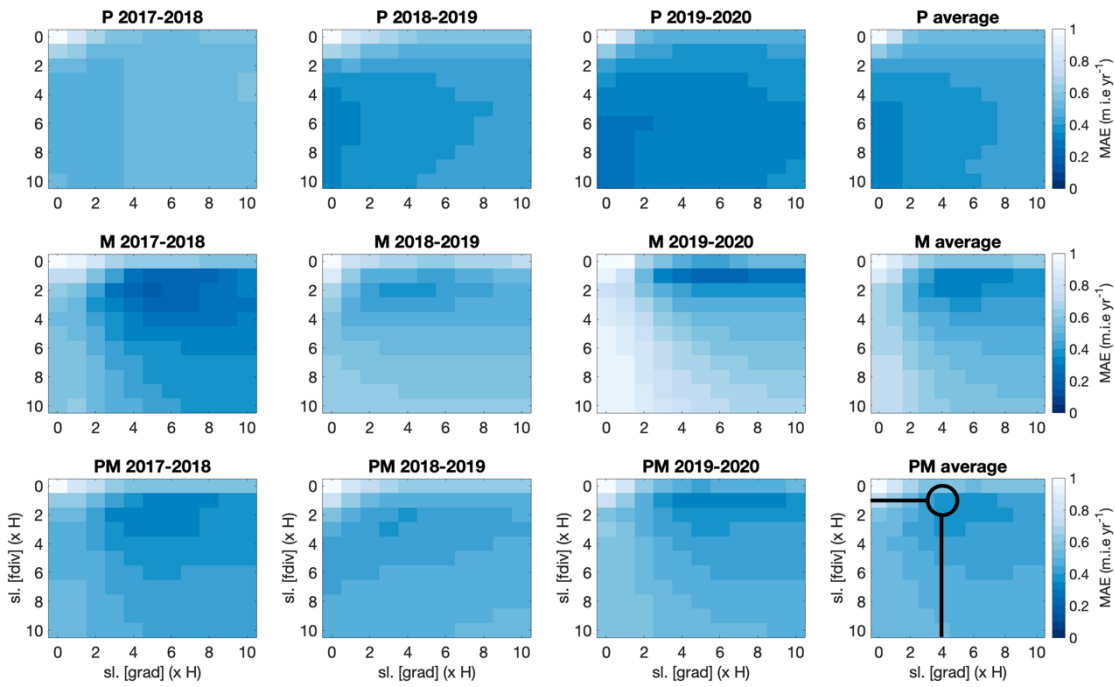
We therefore conducted some additional tests with both (THIZ and THIL) individual ice thickness datasets and for different combinations. For Pers glacier, the Langhammer dataset proved to be slightly better, while for Morteratsch, the Zekollari dataset performed better. But in general, all combinations tested gave poorer results, which encouraged us to use the average ice thickness in this study. It should be mentioned that the above analysis is valid for the glacier wide mean SMB deviations. For local point measurements, the uncertainty related to the used data, or the  $F$ -parameter, might be larger.

## 5.2 Evaluation of procedures to filter the ice flux divergence

As the ice flow at a given location is determined by the surrounding glacier geometry (i.e. not only by its local geometry) and to avoid non-physical oscillations in the flux divergence field (as a result of fine grid spacing), it appears to be necessary to consider various spatial scales over which the ice flux divergence needed to be determined and different filtering procedures (see section 3.4). The results of each possible combination are shown in Figure 14.

By only applying the exponential decay filter to the ice flux divergence field (moving down in the matrix), we find minimum MAE and RMSE values when the scaling length is equal to five times  $H$  (MAE for Morteratsch-Pers is 0.51 m i.e. yr<sup>-1</sup>). Such a large scaling length indicates that the ice flux divergence field must be smoothed significantly and therefore becomes entirely smeared with limited variation in the ablation area. One of the reasons for this high value are large ice thickness and velocity gradients resulting from solving ice flow processes on a

high-resolution numerical grid. To compensate for the effects of large gradients, the second option is to consider the gradients over larger spatial scales. We do this by applying the exponential filter to the ice thickness and velocity gradients and calculate the ice flux divergence using these smoothed gradients (moving to the right in the matrix). A minimum MAE of 0.54 m i.e.  $\text{yr}^{-1}$  is reached as soon as the velocity and ice thickness gradients are considered over six times  $H$ . The solution to compensate for the negative effects of a very large scaling length for both previous filters and the biases related to both is to filter twice, as was elucidated in section 3.4 and shown in section 4.3. As soon as the ice thickness and velocity gradients and the ice flux divergence are smoothed, the MAE becomes significantly smaller (Figure 14). The MAE is now much below 1 m i.e.  $\text{yr}^{-1}$ .



**Figure 14.** Mean absolute error between measured and modelled SMB for various scaling lengths. The scaling length depends on the local ice thickness ( $\times H$ ). Smoothing the ice thickness and velocity gradients (grad) or smoothing the ice flux divergence (fdiv) is represented by the horizontal axis and vertical axis, respectively. M represents Vadret da Morteratsch, P represents Vadret Pers and PM represents the entire glacier complex. The plots entitled with average concern the average over all balance years. The selected combination with which the ice flux divergence is calculated as shown in Figure 9 is encircled with black.

Another observation standing out is that concerning Vadret Pers, the MAE mostly decreases when the ice flux divergence is smoothed while for Vadret da Morteratsch, smoothing the gradients has a larger impact (Figure 14). Our explanation for these peculiar difference is that the gradients (both in terms of ice thickness and surface velocity) for Vadret Pers are already significantly smaller compared to those of Vadret da Morteratsch. The latter glacier has both a higher velocity and a larger ice thickness. Consequently, smoothing of the gradients for Vadret da Morteratsch is more decisive, whereas for Vadret Pers it is the other way around.

Other filters such as a mean filter or a Gaussian filter were explored, but all gave (slightly) less accurate results. However, our tests with a Gaussian filter showed only slightly larger errors for similar spatial scales. A Gaussian filter could therefore be a possible alternative to the exponential filter. Furthermore, the stakes used for validation were not entirely homogeneously distributed throughout the study area. The accuracy analysis may therefore have been biased towards these locations, which may also have influenced the choice of the optimal filter (length).

## 6 Transferability of the method

Our study shows that with the continuity equation method, the SMB at the position of the stakes can be accurately estimated. It therefore seems to be a promising method for supplementing SMB stake measurements on glaciers. To convert the results presented here to the conventional unit of mass balance, assumptions must be made for density. In the ablation area, to which our study area was limited, the SMB could have been converted directly to metres of water equivalent using an average ice density such as 900 kg/m<sup>3</sup>. However, when the presented method would be applied to for example the accumulation area, specific attention is required for each quantity of the continuity equation (Miles et al., 2021).

The applicability of the method to other, less well studied glaciers, depends on how closely the elevation changes, the surface velocities and the ice thickness can be estimated for these ice bodies. In recent years, a new series of methods have arisen from which surface elevation changes and surface velocities can be rather accurately derived over glaciers (Brun et al., 2017; Paul et al., 2017; Braun et al., 2019; Nagy et al., 2019; Dussaillant et al., 2019; Sommer et al., 2020). This seems encouraging to investigate the applicability using satellite data with a resolution which should preferably be smaller than 10 m for accurate velocity determination. However, our analysis also showed that especially the ice thickness should be known well. So far, the ice thickness has only been measured for less than 0.5% of all glaciers on Earth. As shown in a recent study on four Central Asian glaciers, large-scale thickness estimates may capture the general pattern of the ice thickness distribution and total volume well, yet exhibit significant deviations at the local scale (Van Tricht et al., 2021). To apply the proposed method on glaciers where the ice thickness has not been measured, estimated ice thickness such as the consensus estimate might seem a viable option (Farinotti et al., 2019). To evaluate the use of the consensus estimate, we also computed the SMB using our method and the consensus estimate, for our optimal settings. Concerning Vadret Pers, the MAE and SEE were quite similar while for Vadret da Morteratsch, the MAE and SEE were considerably higher. The latter is likely the result of the ice thickness being significantly too small in the consensus estimate (223 meters while we measured 297 m in 2020). This leaves the question open whether the method can be applied to glaciers where the ice thickness is not measured or known well. Further testing could be performed on other glaciers for which multiannual high-resolution topographic data already exist from repeated UAV surveys (e.g. Immerzeel et al., 2014; Kraaijenbrink et al., 2016; Wigmore et al., 2017; Benoit et al., 2019; Groos et al., 2019; Yang et al., 2020; Vincent et al., 2021).

## 7 Conclusions

In this study, a method was presented to estimate the surface mass balance pattern from UAV observations, a known ice thickness field, and the principles of mass continuity. Annual surface elevation changes and surface velocities were quantified from UAV and were shown to have centimetre accuracy. The method was applied to the entire ablation zone of Vadret da Morteratsch and Vadret Pers (Switzerland) for three individual balance years between 2017 and 2020. For the well-studied Morteratsch-Pers glacier complex, we were able to closely reproduce SMB surveyed at about 16 stake locations. A major advantage of using close-range (UAV) remote sensing data is that a significantly more detailed SMB pattern can be obtained over the entire ablation area at a high spatial resolution, which is not feasible with a (small) number of stakes. This avoids the need for interpolation and extrapolation from a limited number of stakes, which can introduce several significant errors when the heterogeneity of the glacier surface cannot be captured well from the installed stake positions.

The analysis did not demonstrate a simple consensus on how to consider the ice flux divergence for optimal results on both glaciers. It proved to be necessary to consider large spatial scales concerning the ice flux divergence to apply the continuity-equation method and closely reproduce the SMB at the position of ablation stakes. By using an exponential decay filter to the ice thickness and velocity gradients and the ensuing ice flux divergence over several times the local ice thickness (at least  $2x$ ), the mean absolute error between modelled and measured SMB decreased to 0.25-0.61 m i.e.  $\text{yr}^{-1}$  for the three balance years. Considering the uncertainty of the data and the stake measurements themselves, this is quite accurate. Our study therefore offers a transferable method that can be used, as long as the ice thickness is sufficiently well known, to estimate the SMB pattern from UAV data. With the increasing resolution of satellite data, we are convinced that the method presented here for UAV data will eventually be able to be used for satellite data as well, allowing it to be easily transferred to other glaciers and regions.

### Code and data availability

The model code written in MATLAB, which was used as the basis for this research, can be found and downloaded from <https://github.com/LanderVT/SMB-from-UAV>. The other input datasets and the UAV created DSMs will be provided on request by Lander Van Tricht.

### Author contribution

LVT developed the method, performed the experiments, and wrote the manuscript. PH provided guidance in implementing the research and interpreting the results and assisted during the entire process. JVB and AV contributed to the fieldwork, collaborated in developing the method and improved the manuscript throughout the entire process. KVO assisted during the fieldwork and introduced LVT in using UAVs for research purposes. HZ

participated in the fieldwork for many years and contributed throughout the entire process to developing the method and optimising and refining the research.

### **Competing interests.**

The authors declare that they have no conflict of interest.

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