Sentinel-1 snow depth retrieval at sub-kilometer resolution over the European Alps

Hans Lievens[1], Isis Brangers[1], Hans-Peter Marshall[2], Tobias Jonas[3], Marc Olefs[4], and Gabriëlle De Lannoy[1]

[1]Department of Earth and Environmental Sciences, KU Leuven, Leuven, Belgium
[2]Department of Geosciences, Boise State University, Boise, ID, USA
[3]WSL - Institute for Snow and Avalanche Research SLF, Davos, Switzerland
[4]ZAMG - Zentralanstalt für Meteorologie und Geodynamik, Vienna, Austria

Correspondence: Hans Lievens (Hans.Lievens@KULeuven.be)

Abstract. Seasonal snow is an essential water resource in many mountain regions. However, the spatio-temporal variability in mountain snow depth or snow water equivalent (SWE) at regional to global scales is not well understood due to the lack of high-resolution satellite observations and robust retrieval algorithms. We investigate the ability of the Sentinel-1 mission to monitor snow depth at sub-kilometer (100 m, 500 m and 1 km) resolutions over the European Alps, for 2017–2019. The Sentinel-1 backscatter observations, especially in cross-polarization, show a high correlation with regional model simulations of snow depth over Austria and Switzerland. The observed changes in radar backscatter with the accumulation or ablation of snow are used in an empirical change detection algorithm to retrieve snow depth. The algorithm includes the detection of dry and wet snow conditions. Compared to in situ measurements at 743 sites in the European Alps, dry snow depth retrievals at 500 m and 1 km resolution have a spatio-temporal correlation of 0.89. The mean absolute error equals 20–30% of the measured values, for snow depths between 1.5 and 3 m. The performance slightly degrades for retrievals at the finer 100 m spatial resolution, as well as for retrievals of shallower and deeper snow. The results demonstrate the ability of Sentinel-1 to provide snow estimates in mountainous regions where satellite-based estimates of snow mass are currently lacking. The retrievals can improve our knowledge of seasonal snow mass in areas with complex topography and benefit a number of applications, such as water resources management, flood forecasting and numerical weather prediction. However, future research is recommended to further investigate the physical basis of the sensitivity of Sentinel-1 backscatter observations to snow accumulation.

1 Introduction

In the European Alps, the release of precipitated water to discharge is delayed by storage in snow and glaciers. During the spring and summer, when water demand is high, snow and glacier meltwater sustains the more than 14 million inhabitants across 8 countries within the Alpine region, e.g., by supplying water for domestic use, industry, hydropower production, agriculture,

etc. However, climate change causes mass loss of most glaciers (Zemp et al., 2019) and perturbs snowmelt dynamics (Bormann et al., 2018; Pulliainen et al., 2020), changing the timing and magnitude of water availability (Immerzeel et al., 2020). An improved monitoring of snow water resources can help strengthening our understanding of these changing hydrological processes in mountain regions.

5   Remote sensing can play an essential role in the monitoring of snow water resources. The current operational satellite retrievals of snow depth (or snow water equivalent, SWE, using auxiliary snow density information) rely primarily on passive microwave observations, either based only on remote sensing data (Kelly et al., 2003), or in combination with in situ measurements as in GLOBSNOW (Takala et al., 2011). Unfortunately, passive microwave observations have shortcomings, especially in mountain areas. The coarse footprints ($\sim$25 km) cannot resolve the high spatial variability in snow depth imposed by complex topography (Dozier et al., 2016), and the microwave observations have a tendency to saturate at $\sim$0.8 m snow depth, which is often exceeded in mountains (Foster et al., 2005; Tedesco and Narvekar, 2010). Furthermore, missing details about the snow microstructure and layering complicate the physically-based retrievals (Lemmetyinen et al., 2018). Therefore, new and robust satellite observations are critically needed to fill the mountain-snow observation gap (Bormann et al., 2018).

Active microwave observations from Synthetic Aperture Radar (SAR) show promise for mapping snow depth or SWE at

15   a high spatial resolution. The optimal frequencies for global-scale snow observations are likely within X- to Ku-band ($\sim$8–18 GHz) (Rott et al., 2010), based on their strong scattering within the snow volume (Yueh et al., 2009; King et al., 2015). Unfortunately, high-resolution Ku-band satellite observations are not available at present. Alternatively, the use of SAR interferometry (InSAR) (Guneriussen et al., 2001; Leinss et al., 2015; Conde et al., 2019) allows for tracking changes in SWE from changes in the radar signal phase that are caused by refractions in the snowpack. For this approach, lower frequencies such

20   as L-band (1-2 GHz) are preferred, maintaining a better coherence between repeat observations. A number of future missions are addressing the use of L-band InSAR for snow applications, such as the National Aeronautics and Space Administration (NASA) - Indian Space Research Organisation (ISRO) SAR (NISAR) and potentially the Radar Observing System for Europe - L-Band (Rose-L).

Currently, routine SAR backscatter observations with a high spatial resolution ($\sim$20 m) and frequent revisit ($<$weekly) are

25   only available at C-band (5.4 GHz) from the European Space Agency (ESA) and Copernicus Sentinel-1 (S1) mission. Despite the availability of these routine observations, limited attention has been drawn to the use of C-band backscatter for snow monitoring, after earlier satellite observations had shown a limited sensitivity (Rott and Nagler, 1993; Bernier and Fortin, 1998; Bernier et al., 1999; Shi and Dozier, 2000). However, these studies were mostly focused on relatively shallow snow depths (below $\sim$1 m) and were investigating the use of backscatter observations in co-polarization. At C-band, co-polarized

30   backscatter is generally dominated by (i) the scattering from the ground surface (depending on the moisture content and the temperature or freeze-thaw state of the soil) in dry snow conditions, or by (ii) the absorption by liquid water in wet snow conditions (Baghdadi et al., 1997; Nagler and Roth, 2000; Luojus et al., 2007; Nagler et al., 2016).

Although further research is needed to improve our basic understanding of the physical scattering mechanisms, we hypothesize that cross-polarized observations at C-band are more sensitive to dry snow accumulation than co-polarized observations

35   for two main reasons. First, the surface scattering from the ground is significantly weaker in cross-polarization due to the lim-

ited depolarization that occurs at the ground surface, especially for smooth surfaces. Second, dry snow is expected to enhance scattering in cross-polarization. More specifically, dry snow represents a multi-layered, dense medium of irregularly shaped (anisotropic) ice crystals that can form larger-scale clusters. Enhanced signal depolarization can for instance occur due to scattering within the dense anisotropic snow volume, multiple scattering on snow layer interfaces and snow-ground scattering interactions (Du et al., 2010; Chang et al., 2014; Leinss et al., 2016). Hence, in cross-polarized observations, the scattering from the ground surface may no longer dominate over the scattering from the snow (Shi and Dozier, 2000; Pivot, 2012), especially for deep snow that is often encountered in mountain regions.

Recently, Lievens et al. (2019) demonstrated the sensitivity of S1 cross-polarized backscatter observations to dry snow accumulation and developed an empirical change detection algorithm to retrieve snow depth at 1 km spatial resolution over all mountain ranges in the Northern Hemisphere. The retrievals are based on a number of assumptions that, in the case of C-band, are likely more valid for deeper snow. That is, (i) an increase in snow depth causes an increase in snow scattering especially in cross-polarization, (ii) the snow scattering contribution is not negligible compared to the ground scattering contribution, and (iii) the ground scattering contribution remains relatively constant during the winter period because of the limited changes in soil temperature (due to the insulating properties of snow), soil moisture and surface roughness, such that (iv) the main changes in backscatter over time can be related to changes in the snowpack.

Here, we further refine the S1 snow depth retrievals and evaluate them at 100 m, 500 m and 1 km resolutions over the European Alps for August through April 2017–2018 and 2018–2019. First, The sensitivity of the S1 backscatter observations in co- and cross-polarization is evaluated using regional model simulations of snow depth over Austria and Switzerland. Thereafter, the S1 snow depth retrievals are compared against the model simulations, stratified by elevation and forest cover fraction. Finally, the accuracy of the retrievals is estimated based on independent time series measurements at 743 in situ locations across the Alps.

The results of this study contribute to improving our knowledge of seasonal snow mass in areas with complex topography and thereby addresses a long-standing observation gap in the remote sensing of the cryosphere. A strong asset is the assured long-term continuity of S1 C-band SAR observations over the coming decades, which will allow for analyzing trends in snow mass impacted by climate variability or climate change. Finally, the S1 snow depth retrievals could be of high value for data assimilation into land surface models (Girotto et al., 2020). Not only could the assimilation ensure improved and continuous (in time and space) estimates of various snow variables, it is likely to also benefit applications such as flood forecasting (Dechant and Moradkhani, 2011; Griessinger et al., 2019) or numerical weather prediction (de Rosnay et al., 2014). Future research is recommended to further investigate the physical scattering mechanisms in snow at C-band, including the impacts of snow microstructure and stratigraphy, and to extend the validation over regions with different soil, vegetation and snow conditions, also using validation data at the matching scale of the satellite retrievals.

2 Data and methods

2.1 Sentinel-1 observations

The S1 mission is a constellation of two satellites, S1A and S1B, launched in April 2014 and 2016, respectively. Each of the satellites has an exact 12-day repeat cycle, completing 175 orbits per cycle. As both satellites share the same orbital plane with a 6-day offset, the two-satellite constellation offers an exact 6-day repeat cycle. Depending on the region, more frequent observations (every 2–3 days on average) are available due to orbits with partly overlapping swaths and the combination of ascending and descending tracks. Note that over repeating cycles, acquisitions from a given orbit consistently observe the same grid cell with the same incidence and azimuth angle, and should thus provide repeated samples that are not impacted by the satellite viewing geometry. However, observations from different orbits can be biased relative to each other due to the different viewing geometries.

Over land and outside the polar regions, S1 routinely operates in the Interferometric Wide Swath (IW) mode, acquiring backscatter observations at $\sim$20 m resolution in vertical-vertical (VV) and vertical-horizontal (VH) polarizations. We processed the IW S1A and S1B ground-range detected (GRD) data over the European Alps for 2017 to 2019 ($\sim$4600 images). The processing was performed using the ESA Sentinel Application Platform (SNAP) toolbox, applying standard processing techniques: precise orbit file application, GRD border noise removal, thermal noise removal, radiometric calibration, terrain flattening to backscatter as γ^0 (Small, 2011; Small et al., 2021), range-Doppler terrain correction, aggregation to 100 m by averaging in linear scale, and projection onto the World Geodetic System WGS84 geopgraphic coordinate system. The terrain flattening and terrain correction were applied using digital elevation data from the Shuttle Radar Topography Mission (SRTM) at 1 arcsecond resolution, which is similar to the original resolution of S1. Observations with a local incidence angle $> 70°$ were excluded to reduce radar shadowing effects. The 100 m grid cell size in the processed output was chosen to reduce the speckle noise inherent to radar observations, to reduce the impacts of geometric distortions within the complex topography of the Alps (e.g., radar foreshortening, layover, shadowing, and inaccuracies in the geo-referencing), and to enhance the feasibility of the processing by reducing the processing time and storage requirements.

2.2 Snow depth retrievals

The snow depth retrieval algorithm is based on the assumption that part of the microwave signal depolarizes in the snowpack, for instance by the scattering on anisotropic clusters of snow crystals within the snow volume (Chang et al., 2014), by the multiple scattering between snow layer interfaces (Du et al., 2010), or by snow-ground scattering interactions. A deeper snowpack is likely to result in more opportunities for signal depolarization and in stronger scattering in cross-polarization. Hence, the strength of the cross-polarized scattering can be related with snow depth. While higher microwave frequencies (such as X- and Ku-band) are likely more suitable to detect snow scattering in shallow snow environments, we hypothesize that the contribution at C-band is large enough for application to the typically deep snow regimes in mountain areas. The algorithm further assumes that snow scattering generally has a stronger contribution in cross-polarized (VH in the case of S1) compared to co-polarized (VV) observations, whereas scattering impacts from soil moisture, soil freeze/thaw or soil temperature are expected to be more

similar in co- and cross-polarization. Therefore, the use of a ratio (in linear scale, or difference in dB scale) between cross- and co-polarization γ^0 observations can reduce the impacts of ground, vegetation and surface geometry properties, and enhance the sensitivity to snow depth (Lievens et al., 2019). As auxiliary input, the algorithm requires snow cover (SC) absence/presence observations, which we derived from the 1 km resolution Interactive Multisensor Snow and Ice Mapping System (IMS; National Ice Center (2008)), glacier cover derived from the Randolph Glacier Inventory 6.0 (RGI Consortium, 2017), and forest cover fraction (FCF) derived from the 100 m Copernicus PROBA-V dataset (Buchhorn et al., 2020).

The empirical retrieval method based on change detection builds further on Lievens et al. (2019) and the main differences with this study are highlighted in this section. Firstly, the algorithm has been modified to account for the observation that, for sparsely vegetated areas, a ratio of VH- and VV-polarized γ^0 (cross-polarization ratio; CR) shows a higher temporal correlation with snow depth, whereas in forested areas, the VV-polarized γ^0 shows a higher temporal correlation (see Fig. 6 below). The latter may be caused by the relatively stronger scattering contribution from forests (and vegetation in general) in VH-polarization (Vreugdenhil et al., 2020), decreasing the sensitivity to the underlying snowpack. Therefore, two backscatter changes ($\Delta\gamma^0_{\mathrm{CR}}$ and $\Delta\gamma^\circ_{\mathrm{VV}}$; in dB) are calculated for each location i between the observations at time t and t_{pri} (either 6, 12, 18, or 24 days ago) from the same relative orbit:

$$\Delta\gamma^0_{\mathrm{CR}}(i,t) = \gamma^0_{\mathrm{CR}}(i,t) - \gamma^\circ_{\mathrm{CR}}(i,t_{\mathrm{pri}}) \tag{1}$$

$$\Delta\gamma^0_{\mathrm{VV}}(i,t) = \gamma^0_{\mathrm{VV}}(i,t) - \gamma^\circ_{\mathrm{VV}}(i,t_{\mathrm{pri}}) \tag{2}$$

where γ^0_{CR} represents a weighted combination of cross-polarized γ^0_{VH} and co-polarized γ^0_{VV} observations (in dB) of the general form:

$$\gamma^0_{\mathrm{CR}} = A\gamma^0_{\mathrm{VH}} - \gamma^0_{\mathrm{VV}} \tag{3}$$

with A being a fitting parameter. Further, $\Delta\gamma^0_{\mathrm{CR}}$ and $\Delta\gamma^\circ_{\mathrm{VV}}$ are scaled and combined based on the PROBA-V forest cover fraction (FCF (-); ranging from 0 to 1):

$$\Delta\gamma^0 = (1 - \mathrm{FCF}) \cdot \Delta\gamma^0_{\mathrm{CR}} + \mathrm{FCF} \cdot B \cdot \Delta\gamma^0_{\mathrm{VV}} \tag{4}$$

with B an empirical parameter to scale the CR and VV contributions. $\Delta\gamma^0$ is clipped to ± 3 dB, to avoid that γ° outliers propagate into the retrieval. In glaciated areas, $\Delta\gamma^0$ is reduced by an empirical scaling coefficient, which linearly increases from 0.1 (strong reduction) on August 1 to 1 (no reduction) from January onwards. This reduces the impacts of typically strong increases in γ^0 during early autumn, which we hypothesize are likely caused by the freezing of glacial meltwater. Future research is needed to investigate the validity and performance of the retrieval approach in glaciated areas.

The snow change detection index (SI; in dB) at time t is then calculated by adding $\Delta\gamma^0$ (in dB) to the prior estimate of SI at t_{pri}:

$$\mathrm{SI}(i,t) = \mathrm{SI}(i,t_{\mathrm{pri}}) + \Delta\gamma^0(i,t) \tag{5}$$

SI(i,t) is set to zero in case of negative values, or when snow cover is absent. SI(i,t_{pri}) is the weighted average SI over the previous repeat cycle (i.e., $t_{\text{pri}}\pm5$ days):

$$\text{SI}(i,t_{\text{pri}}) = \frac{1}{\sum_{k=t_{\text{pri}}-5}^{t_{\text{pri}}+5} w_k} \cdot \sum_{k=t_{\text{pri}}-5}^{t_{\text{pri}}+5} w_k \text{SI}(i,k) \tag{6}$$

with weights (w_k) given by the inverse distance in time from t_{pri}. This ensures that SI(i,t) also includes information obtained
5 from different S1 orbits. Finally, SI (in dB) is translated into snow depth (SD; in m) by multiplication with an empirical scaling factor C:

$$\text{SD}(i,t) = C \cdot \text{SI}(i,t) \tag{7}$$

The three algorithm parameters in Equations 3 to 7 were iterated over $A \in [1:1:3]$, $B \in [0:0.1:1]$ and $C \in [0:0.01:1]$, and optimized based on model simulations of snow depth (optimization not shown; simulations described in Section 3.1).
10 A and B were optimized by maximizing the spatio-temporal correlation between simulations and retrievals, whereas C was optimized by minimizing the bias. This resulted in $A = 2$, $B = 0.5$ and $C = 0.44$, which are further used in this study over the entire time period and study domain. A caveat to the optimization based on model simulations is that simulation uncertainties can propagate into the retrieval.

Secondly, as an extension to Lievens et al. (2019), we here include a wet snow detection algorithm that allows to flag the S1
15 retrievals in wet snow conditions. Wet snow is known to absorb a large part of the radar signal, causing a strong decrease in backscatter (e.g., Baghdadi et al., 1997; Nagler and Roth, 2000; Luojus et al., 2007; Nagler et al., 2016; Marin et al., 2020). Therefore, the snow is classified as wet if $\Delta\gamma^0_{\text{CR}}$ (in locations where FCF<0.5) or $\Delta\gamma^0_{\text{VV}}$ (in locations where FCF>0.5) is lower than a certain threshold set to -2 dB. The snow also remains wet if the previous time step (t_{pri}) was classified as wet and γ^0 did not increase by more than 2 dB (e.g., due to refreezing). Note that $\Delta\gamma^0$ is calculated between consecutive observations
20 from the same relative orbit. Therefore, a dry/wet snow retrieval from a given relative orbit does not impact the retrieval from another relative orbit. However, it is possible that dry and wet snow retrievals alternate between different relative orbits.

An additional wet snow detection criterion mainly addresses regions where no strong decrease in γ^0 is observed due to a lower sensitivity to snow wetness. This is for instance expected in regions with shallow or patchy snow cover where the soil scattering contribution may dominate, or in forested regions where the snow signal is attenuated and the vegetation contributes
25 to the scattering. There, the snow state is classified as wet if the SD retrieval becomes lower than zero while snow cover is present. If the snow was classified as wet for >50% of the observations within the past two S1 repeat cycles (24 days), then the wet snow classification is retained until the snowpack has completely melted, to avoid that uncertainties induced by wet snow accumulate into subsequent retrievals.

Note that we refrain from detecting wet snow based on the difference in γ^0 with a constant dry snow reference value, as often
30 done in the literature (e.g., Baghdadi et al., 1997; Nagler et al., 2016; Tsai et al., 2019; Manickam and Barros, 2020), because of the difficulty to accurately define the dry snow reference value (Manickam and Barros, 2020). For instance, an average summer γ^0 is often used as an approximation of the dry snow reference (Tsai et al., 2019; Manickam and Barros, 2020). However,

in regions with dense vegetation, summer γ^0 can be high due to vegetation contributions, causing an over-detection of wet snow in winter if γ^0 is systematically lower. Conversely, in bare or sparsely vegetated regions, snow accumulation can cause a significantly higher γ^0 (by several dB) compared to the summer reference, requiring a large decrease from wet snow to reach the threshold below the summer reference (see below, e.g., Fig. 3). The use of a fixed dry snow reference value is here avoided by thresholding the decrease rate of γ^0 over time instead.

Using the empirical framework outlined above, we processed snow depth retrievals for August through April 2017–2018 and 2018–2019 over the entire European Alps. The retrievals are performed at 100 m spatial resolution, but outputs are produced at three different spatial resolutions, i.e., 100 m, 500 m and 1 km, to assess the impact of the spatial resolution on the retrieval performance. The 500 m and 1 km snow depth retrievals are obtained by linearly averaging the 100 m retrievals, with a reduced weight (1/3) assigned to wet snow pixels. The coarser-scale retrievals are omitted if only less than 30% of the enclosed 100 m grid cells contain data, and are classified as wet if less than 30% remains after excluding the wet snow pixels.

2.3 Model simulations

Output from two regional snow models for Austria (SNOWGRID-CL) and Switzerland (OSHD) is used to assess the S1 backscatter and to optimize the snow depth retrievals. Fig. 1 shows that the joint simulation domain covers a large part of the Alps. In addition, simulations by the Noah-Multiparameterization (Noah-MP) land surface model (Niu et al., 2011) are used to assess the impacts of soil moisture and soil temperature on S1 backscatter.

SNOWGRID-CL (Olefs et al., 2020) is the climate version of the spatially distributed snow model ran operationally at ZAMG with a daily timestep on a 1 km grid over Austria. The model outputs snow depth and SWE, and is forced with daily 1 km gridded meteorological data of air temperature, precipitation and evaporation that take into account the high variability of these variables in complex terrain (Hiebl and Frei, 2016, 2018; Haslinger and Bartsch, 2016). The model solves the shortwave radiation balance following Pellicciotti et al. (2005) and uses a simple 2-layer snow scheme, considering settling, the exchange of heat and liquid water content, and energy addition by rain. Lateral snow mass redistribution is included as a function of snow and terrain properties (Frey and Holzmann, 2015). The accuracy of the daily snow depth simulations (correlation of 0.83, root-mean-square error (RMSE) of 14.11 cm, and bias of -3.12 cm) has been assessed for the period from November through April 2011–2018 (Olefs et al., 2020).

The OSHD (operational snow-hydrological service) multi-model framework provides daily SWE and snowmelt estimates for Switzerland at 1 km spatial resolution. It consists of a suite of spatially distributed snow models integrated with three-dimensional sequential assimilation of snow monitoring data from several hundred sites (Magnusson et al., 2014; Winstral et al., 2019). The models include procedures to account for unresolved variability at the sub-grid scale, two of which are: (1) fractional snow-covered area is parameterized using terrain parameters derived at 25 m spatial resolution according to Helbig et al. (2015); (2) snow distribution and redistribution at small scales is considered using slope- and aspect-dependent transfer functions that were derived from a set of high-resolution snow depth maps from airborne lidar (Grünewald and Lehning, 2015). For this study we use output from the JULES Investigation Model (JIM; Essery et al. (2013)), which is one of the OSHD members. JIM is forced using a combination of numerical weather prediction output from COSMO-1 (www.cosmo-
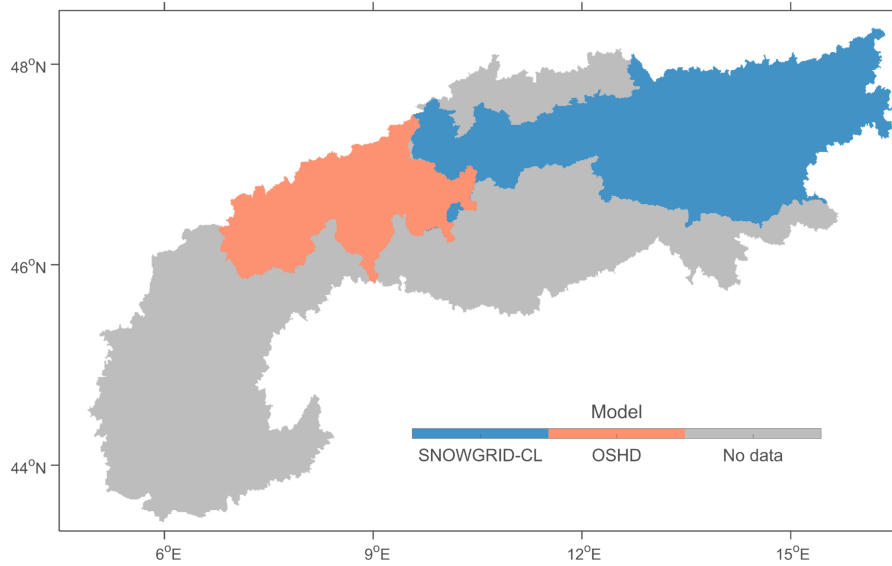
Figure 1. The domains of the 1 km resolution SNOWGRID-CL and OSHD model simulations of snow depth.

model.org) and reanalysis data as detailed in Winstral et al. (2019), and includes data assimilation from 344 Swiss snow monitoring stations. The assimilation improves the accuracy of the daily snow depth simulations from an RMSE of 21.3-42.4 cm and bias of 4.08-26.1 cm (varies between the years 2014, 2015 and 2017) to an RMSE of 17.3-25.6 cm and bias of 0.8-2.5 cm.

5   Additional simulations with the Noah-MP land surface model are performed to provide information on soil conditions (SNOWGRID-CL and OSHD are strictly snowpack models). These simulations cover the regional model domain at the 1 km spatial and daily temporal resolution, and are forced using the globally-available Modern-Era Retrospective analysis for Research and Applications, Version 2 dataset (Gelaro et al., 2017). The analyzed model outputs include soil moisture (in $m^3\,m^{-3}$) for the top soil layer (0–0.1 m) and soil temperature (in K) for four soil layers (0–0.1 m, 0.1–04 m, 0.4–1 m and 1–2 m).

10   **2.4   In situ measurements**

Daily in situ measurements of snow depth for the period of the satellite retrievals were collected from 743 sites, managed by Météo France, WSL–SLF and ZAMG. The locations of the sites are shown in Fig. 2 on top of SRTM elevation and PROBA-V land cover. The minimum, mean and maximum elevations of the measurement sites are 230 m, 1395 m and 3114 m, respectively. The in situ time series are used to evaluate the temporal and spatial variability of the S1 retrievals in terms of

15   Pearson correlation (R), as well as the bias and mean absolute error (MAE).

An inevitable problem in the comparison between S1 observations and in situ measurements is that, particularly in mountain areas, the point-scale snow conditions at the in situ sites not always resemble the grid-scale conditions represented by the satellite data. The local variability in conditions can be large due to differences in elevation, slope and aspect, as well as wind
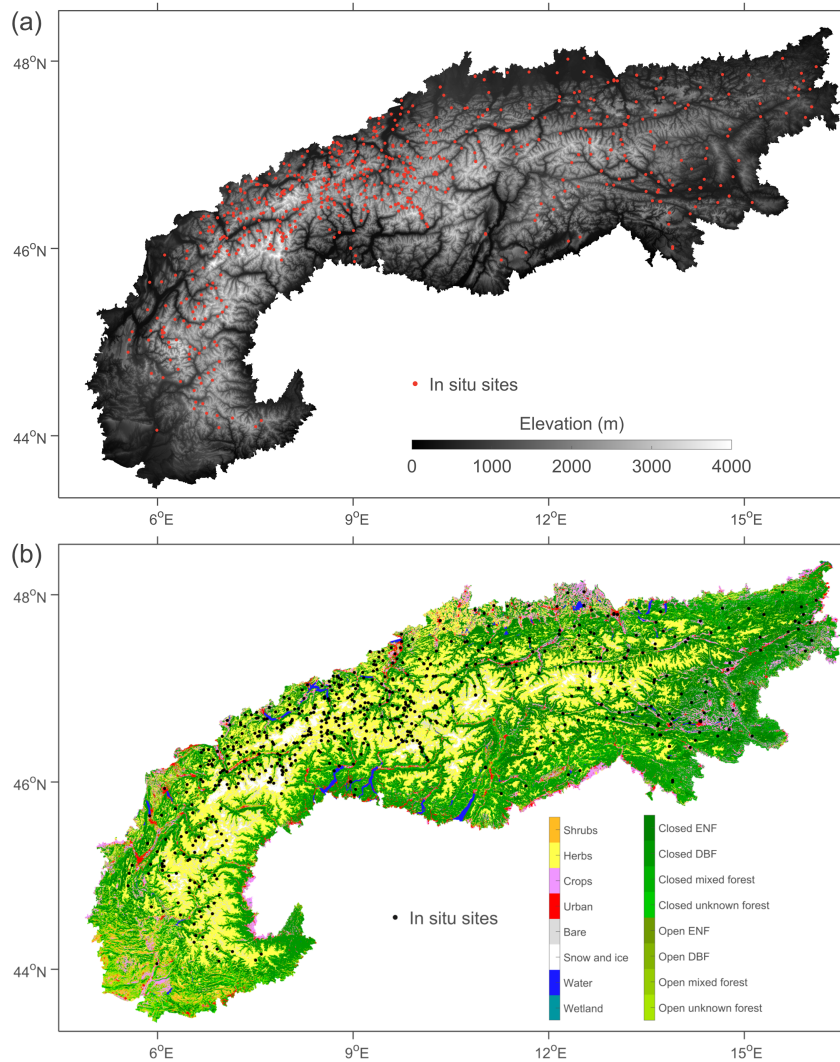
8

Figure 2. The in situ measurement locations within the European Alps on top of (a) elevation (m) and (b) land cover class (-). ENF stands for evergreen needleleaf forest, DBF for deciduous broadleaf forest.

and vegetation impacts on snow distribution (Blöschl, 1999; Seidel et al., 2016; Schattan et al., 2017). Moreover, in situ sites are preferentially located in relatively flat and non-forested terrain, often not representative of the surrounding area displaying large variations in slope and forest cover (Meromy et al., 2013; Grünewald and Lehning, 2015; Dozier et al., 2016), and sites are underrepresented at high elevations (see Fig. 2).
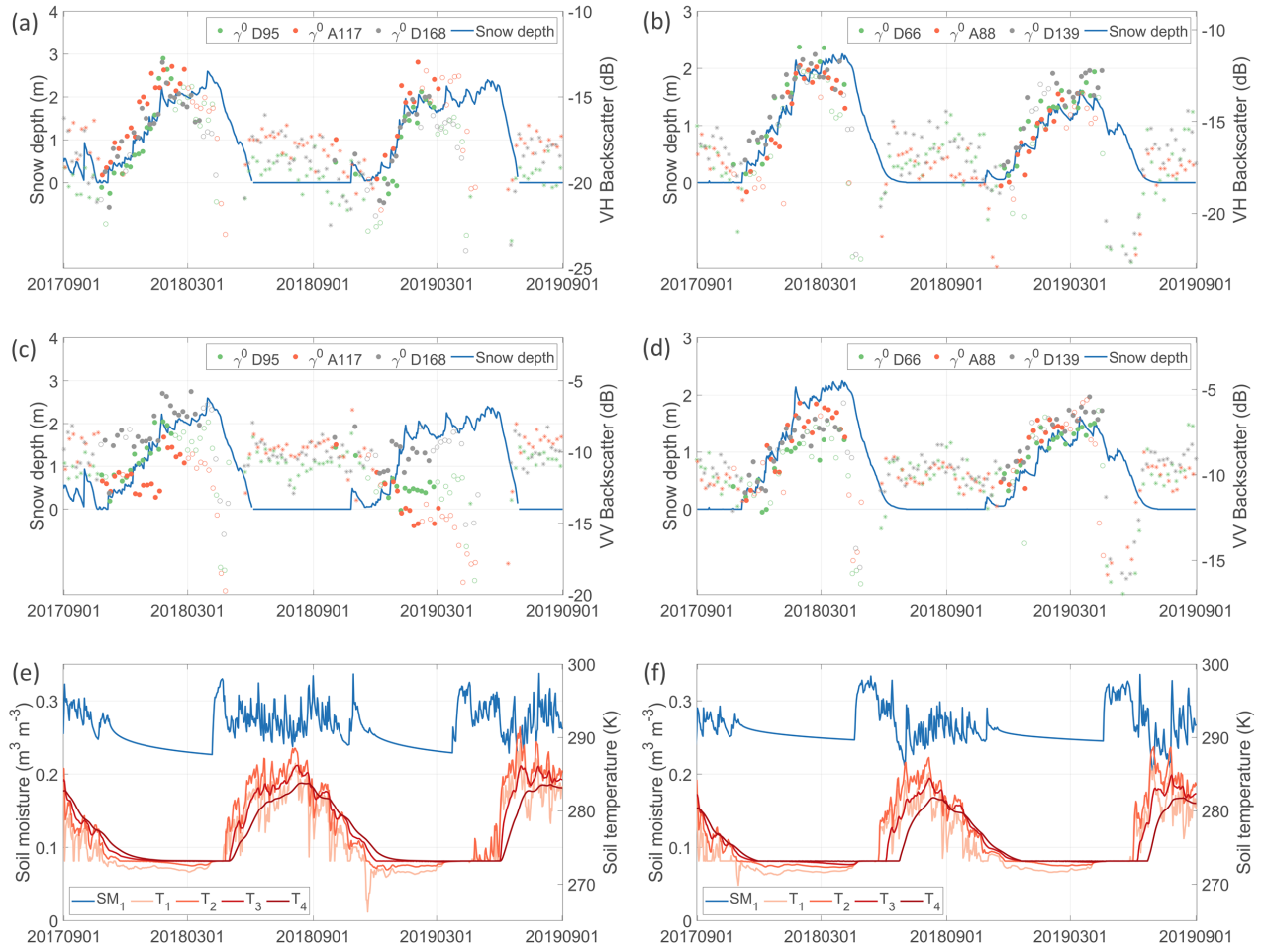
Figure 3. Time series of S1 γ^0 (dB) for three different orbits, in (a,b) VH-polarization and (c,d) VV-polarization, compared against model simulations of snow depth (m) for a grid cell in (a,c) Austria and (b,d) Switzerland. The γ^0 observations during snow-free conditions (based on IMS SC) are displayed by $*$ and those during wet snow conditions (based on S1) by open circles. The γ^0 axes are scaled to enhance visualization. (e,f) show corresponding model simulations of soil moisture (SM1: 0–0.1 m; in $m^3\,m^{-3}$) and soil temperature (T1: 0–0.1 m, T2: 0.1–04 m, T3: 0.4–1 m and T4: 1–2 m; in K) from the Noah-MP land surface model.

3   Results and discussion

3.1   Comparison of S1 observations with snow model simulations

3.1.1   S1 backscatter

Figure 3 shows time series examples for two locations, one in Austria (47.1379°N, 11.5923°E, 2355 m) and one in Switzerland
5   (46.0962°N, 7.2569°E, 2140 m). The time series compare 100 m γ^0 observations in VH- and VV-polarization with the nearest

1 km model simulations of snow depth from SNOWGRID-CL (Austria) and OSHD (Switzerland), and soil moisture and soil temperature from Noah-MP. The γ^0 observations in Austria are shown separately for three selected orbits, i.e., descending orbit 95 (D95), ascending orbit 117 (A117) and D168, corresponding with local incidence angles of 26.5°, 61.9° and 17.8°, respectively. The γ^0 observations in Switzerland are shown for D66 (55.8°), A88 (32.9°) and D139 (46.9°).

5   The γ^0_{VH} observations (Fig. 3a,b) show a consistent and strong correspondence with dry snow accumulation at both locations and for both winter seasons. Limited differences are observed between the orbits, which can be explained by the different local incidence angles (also impacting the travel path lengths through the snow), azimuth angles, and overpass times (6 am for D, 6 pm for A). The evolution in γ^0_{VH} is unlikely to be related to changes in soil moisture or soil temperature (Fig. 3e,f). Soil moisture shows a decrease throughout the snow accumulation period, and this would result, if it would have anywere of

10 impact, in a decrease in γ^0. Soil temperature remains nearly constant throughout the snow accumulation period due to the insulating properties of snow. Near the peak of the snow season, the strong decrease in γ^0 is caused by the increase in liquid water content within the snowpack that absorbs the radar signal. The wet snow detection algorithm effectively tracks the onset of the wet snow conditions, and allows for masking the subsequent observations (shown by open circles). Note that the first wetting of the snow typically starts before the actual melting phase with shrinking of the snowpack (Marin et al., 2020). The

15 γ^0_{VV} observations (Fig. 3c,d) correspond reasonably well with the snow depth simulations for the location in Switzerland, but less for the location in Austria, in particular during the winter of 2018–2019. There, the γ^0_{VV} is likely impacted more strongly by the conditions of the ground surface, while also larger differences in backscatter are observed between orbits.

     Further, the impact of snow accumulation on S1 backscatter is investigated over the spatial domain covered by the regional models. Figure 4 shows the maximum snow depth (m) between August and April (yearly maximums, averaged over 2017–

20 2018 and 2018–2019) based on the 1 km model simulations from SNOWGRID-CL and OSHD, along with 100 m fractional forest cover from PROBA-V. Correspondingly, Fig. 5 shows the 100 m S1 backscatter changes ($\Delta\gamma^0$) between the days with simulated maximum snow depth and first snow cover, excluding wet snow conditions detected by S1. Note that the day with maximum simulated snow depth varies by location. $\Delta\gamma^0$ is first calculated per relative orbit, and subsequently averaged across the different orbits.

25   In regions with shallow maximum snow depths (<1 m), γ^0_{VV} generally remains relatively constant, with changes typically within ± 2 dB (Fig. 5a). Most of the regions with significant snow accumulation, outside glaciated areas, show a slight increase in γ^0_{VV} (about 1–3 dB). The underlying physical mechanisms that cause this increase are still uncertain, but we hypothesize that, in addition to snow volume scattering, the frequent occurrence of snow melt/refreeze cycles may lead to a more complex snowpack with pronounced layering (incl. ice crusts and frozen percolation features) that increases scattering in co-polarization.

30 The increase is mainly observed in areas with a lower γ^0_{VV} during snow-free conditions (not shown). In other regions, particularly at high elevations (above ~2400 m, but not in glaciated areas), a slight decrease in γ^0_{VV} is observed. At these elevations, melt/refreeze cycles are much less frequent, causing a more homogeneous snowpack from which the scattering contributions in co-polarization are lower compared to the contributions from the ground surface. The slight decrease in γ^0_{VV} in these regions is likely caused by the attenuation of the ground surface scattering by the snowpack. Finally, in glaciated areas, a moderate to
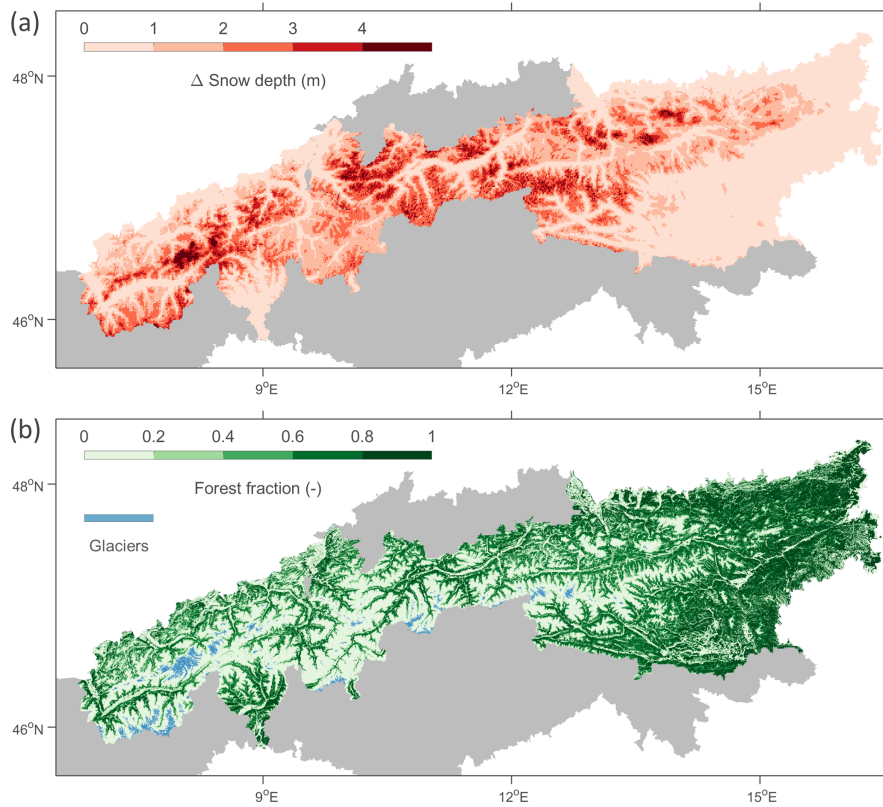
Figure 4. (a) The simulated yearly maximum snow depth (m) at 1 km resolution from August through April, averaged over two years (2017–2018 and 2018–2019). (b) The PROBA-V forest cover fraction (-) and RGI glacier cover.

strong increase (4–5 dB or more) is observed, which is likely due to the gradual freezing of glacial meltwater during winter, reducing the absorption of the radar signal through winter.

These results are in close agreement with several previous studies. Rott and Nagler (1993) found a slight decrease in European Remote Sensing (ERS) VV-polarized backscatter observations over a shallow snowpack, but a strong increase over

5   glaciated areas. Bernier and Fortin (1998) and Arslan et al. (2006) observed mostly an increase in co-polarized backscatter during dry snow accumulation, based on RADARSAT and airborne observations, respectively. Shi and Dozier (2000) and Pivot (2012) observed an increase in RADARSAT and ERS co-polarized backscatter (up to several dB) with dry snow accumulation in regions where snow volume scattering was dominating over ground surface scattering, and a slight decrease in regions where the dominant effect was the attenuation of the ground surface scattering by the snowpack.

10   The signature in γ_{VH}^0 (Fig. 5b) shows a more pronounced spatial pattern compared to γ_{VV}^0, with a consistent and moderate to strong increase in γ_{VH}^0 (about 2–4 dB) in regions where snow depth reaches above 1 m. We hypothesize that for cross-polarized observations the scattering from the snowpack is more consistently dominating over the (attenuated) ground surface scattering. The ground scattering contribution is relatively lower, since it is mostly in the form of surface scattering with limited
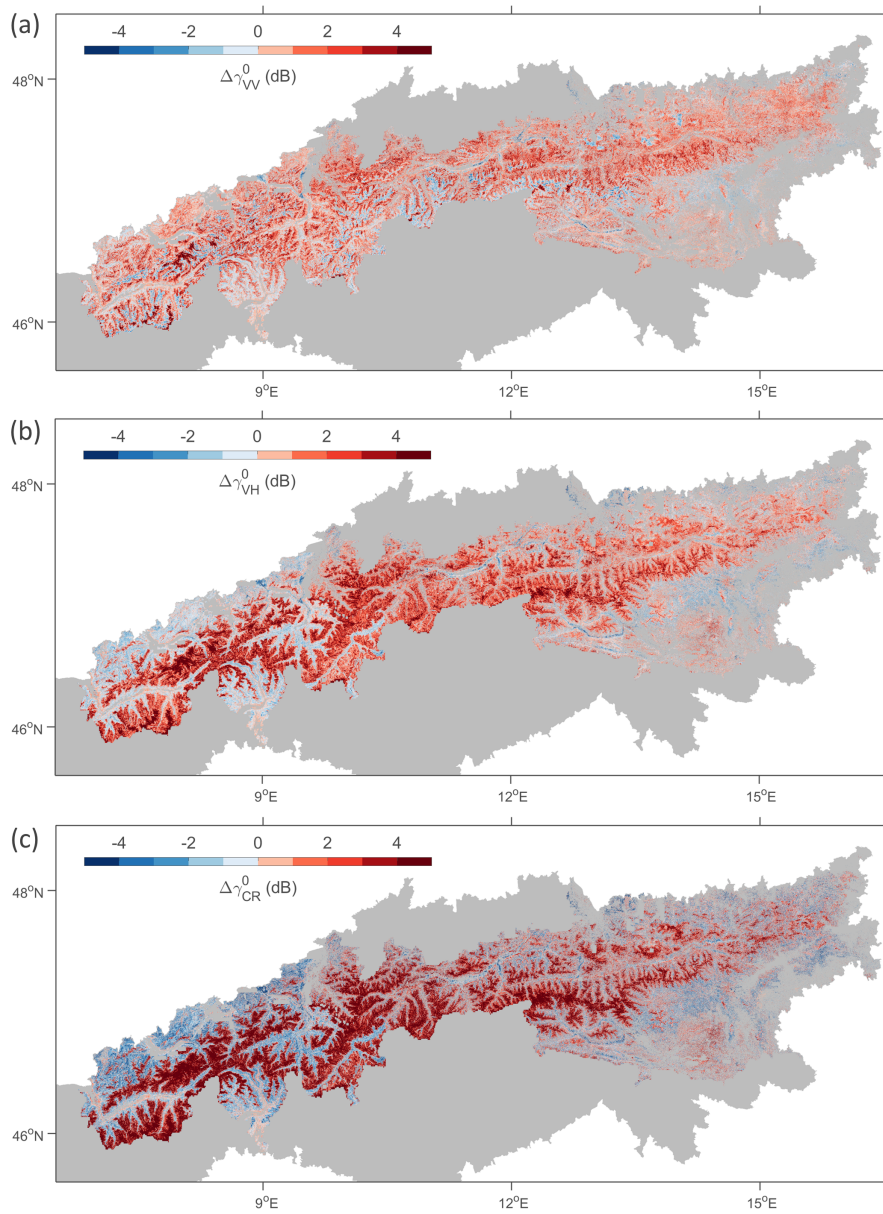
Figure 5. Changes in 100 m S1 backscatter (γ^0; in dB) with snow accumulation: the γ^0 of the day with the maximum simulated snow depth (between August and April) minus the γ^0 of the first day with snow cover, for (a) VV polarization, (b) VH polarization and (c) the cross-polarization ratio (CR). Wet snow conditions detected by S1 are excluded.

depolarization (especially for smooth and sparsely vegetated surfaces). The dry snow scattering contribution is stronger, most likely because depolarization occurs after volume scattering on anisotropic crystals or clusters of crystals, multiple scattering on snow layer interfaces, and snow-ground scattering interactions. As in the γ^0_{VV} observations, the largest increases in γ^0_{VH}
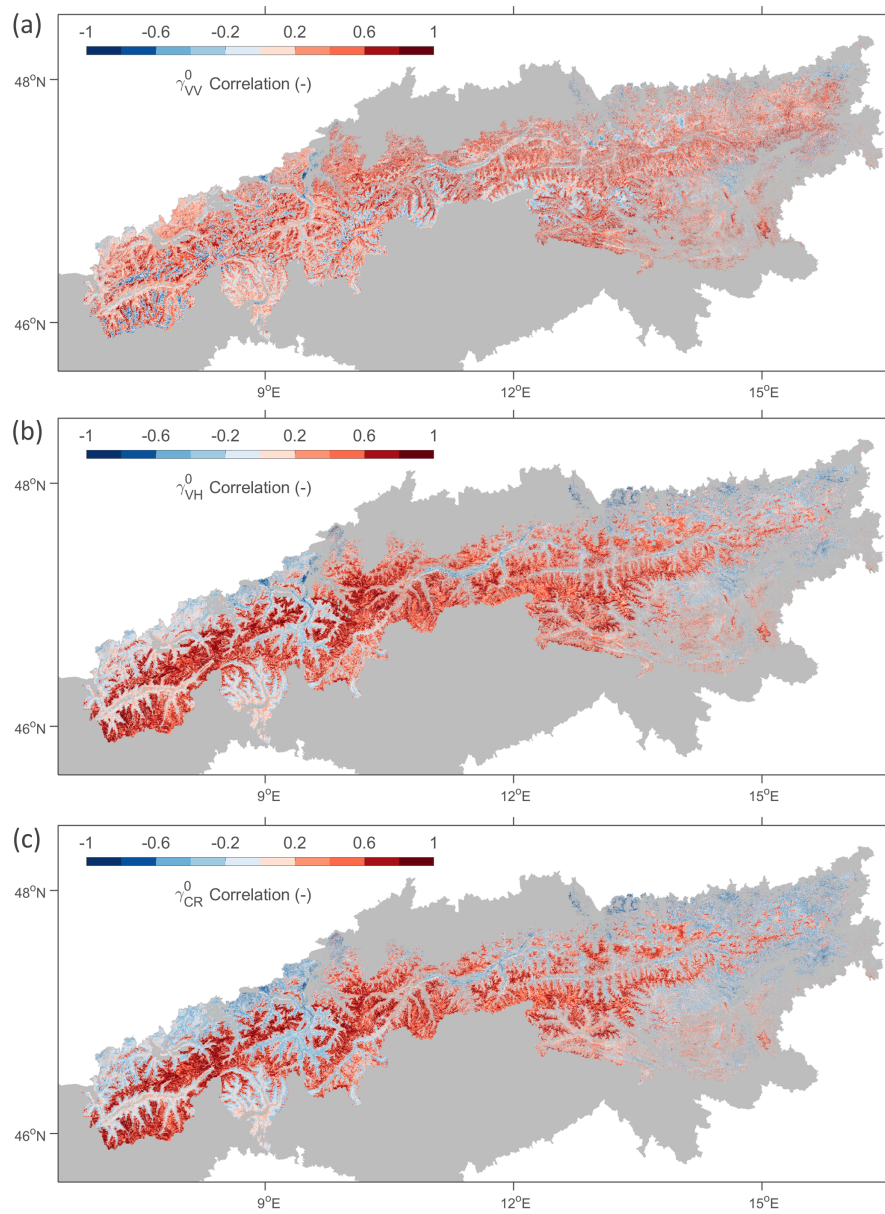
Figure 6. The time series correlations between snow depth simulations (m) and 100 m S1 γ^0 observations (dB) for August through April 2017–2018 and 2018–2019, for (a) VV polarization, (b) VH polarization, and (c) the cross-polarization ratio (CR). Zero snow depths (based on the model simulations) and wet snow conditions (detected by S1) are excluded.

(>5 dB) are again observed over glaciated areas, and are likely caused by the combination of freezing glacial meltwater and snow accumulation.

Only a few previous studies have investigated satellite or airborne cross-polarized backscatter observations at C-band in relation with snow depth or snow mass. Some of the heritage satellite missions, such as ERS, did not have the capability to measure in cross-polarization. Moreover, since the 90's, there has been a focus shift towards the use of higher-frequency microwave observations (e.g., in X- and Ku-band), in part due to the limited potential of C-band found with co-polarization, but also in preparation for higher-frequency satellite mission candidates (e.g., CoReH2O). Nevertheless, our results are in agreement with Arslan et al. (2006), who observed a stronger increase in cross-polarization than in co-polarization at C-band with dry snow accumulation. More recently, Lievens et al. (2019) demonstrated the sensitivity of S1 cross-polarized backscatter observations to snow depth over all Northern Hemisphere mountain ranges.

An exception to the overall stronger snow signal in S1 γ^0_{VH} is observed in regions with both substantial snow accumulation and dense forest cover. Here, slightly larger differences are found for γ^0_{VV}. We hypothesize this may be caused by the relatively stronger scattering from vegetation in cross-polarization (compared to co-polarization), reducing the sensitivity to the scattering contributions from the snowpack. At the same time, forested regions in the Alps are mostly present at lower elevations in the valleys (below $\sim 2500\,\mathrm{m}$), where an increased occurrence of melt/freeze cycles could result in a more complex and layered snow structure that impacts the polarimetry. The γ^0_{CR} (Fig. 5c) generally enhances the impact of snow, showing larger increases in backscatter for regions with deep snow compared to γ^0_{VH}. The spatial distribution is however similar to that of γ^0_{VH}, also showing slight decreases in γ^0_{CR} in regions with shallow snow and forest cover, particularly in the western part of the model domain.

Figure 6 illustrates the time series correlations between the 1 km model snow depth simulations (linearly interpolated to 100 m) and the corresponding 100 m S1 γ^0 observations over the model domain, for August through April 2017–2018 and 2018–2019. Note that the analysis excludes the time steps with zero snow depth (based on the model simulations) and time steps with wet snow (detected by S1). Consistent with the results shown in Figs. 3 and 5, the correlations for areas with a maximum snow depth above $\sim 1\,\mathrm{m}$ are considerably higher for γ^0_{VH} (Fig. 6b) than for γ^0_{VV} (Fig. 6a). The use of γ^0_{CR} further enhances the time series correlation in areas at high elevation. However, γ^0_{VV} results in higher correlations at lower elevations including forested regions. Therefore, these results support the use of different polarization combinations (i.e., CR and VV) for snow depth retrieval depending on the forest cover fraction (see Section 2.2).

3.1.2 S1 snow depth

Figure 7 shows the time series correlations between the 1 km model snow depth simulations (linearly interpolated to 100 m) and the corresponding 100 m S1 snow depth retrievals. These are generally higher than the corresponding correlations between snow depth and γ^0 shown in Fig. 6. This mainly results from the re-initialization (i.e., SD=0) at the start of every snow season, which removes differences in γ^0 levels between years. Furthermore, Figs. 7a and b compare scenarios with and without the inclusion of zero snow depths. It shows that the inclusion of zero snow depths results in higher correlations mainly in regions with shallow and occasional snow (e.g., in eastern Austria). Nevertheless, it is important to remark that the S1 γ^0 observations in these regions only show a weak (if any) correspondence with snow depth, because of the weak scattering contributions from shallow snow, frequent wet snow and melt conditions, and the frequent disappearance and re-appearance of snow cover.
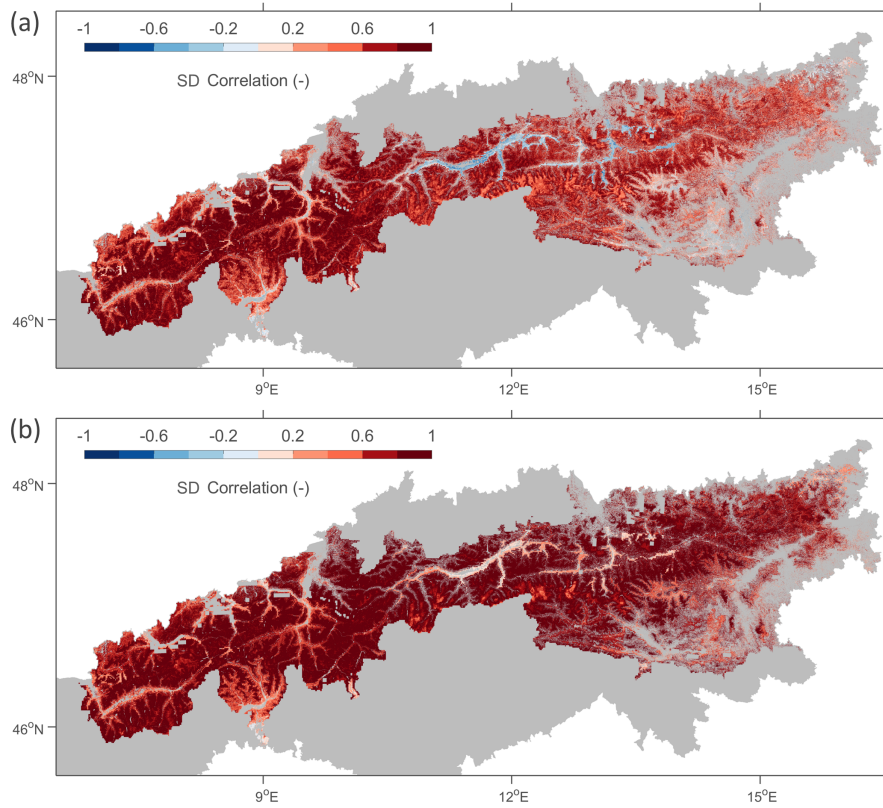
Figure 7. The time series correlations between snow depth simulations (m) and 100 m S1 snow depth retrievals (m) for August through April 2017–2018 and 2018–2019, with (a) exclusion of zero snow depths (based on the model simulations) and (b) inclusion of zero snow depths. Wet snow conditions (detected by S1) are excluded in both cases.

The higher correlation values are therefore mainly attributed to the effectiveness of the IMS snow cover data set, used as auxiliary input in the retrieval, to discern between the absence and presence of snow, and should thus not be attributed to the S1 observations.

Figure 8 stratifies the time series correlations between the 1 km S1 snow depth retrievals and 1 km model snow depth simulations by the snow depth range (based on the model simulations), as well as elevation (from SRTM) and forest cover fraction (from the Copernicus PROBA-V dataset). The correlation generally exceeds 0.8 when the range in snow depth exceeds ∼1 m, except in areas with an elevation below ∼1000 m or a forest cover fraction above ∼80%. The S1 retrievals are thus expected to be more accurate for the high snow regime (with snow depths reaching above 1 m), and in areas with lower forest cover fraction.

Finally, the dry snow depth retrievals from S1 at 1 km resolution are compared against the corresponding model simulations for August through April 2017–2018 and 2018–2019 in Fig. 9. The density plot comprises more than 16 million data pairs, which reduces to ∼5.8 million if zero snow depths are excluded. The spatio-temporal correlation between the simulations and
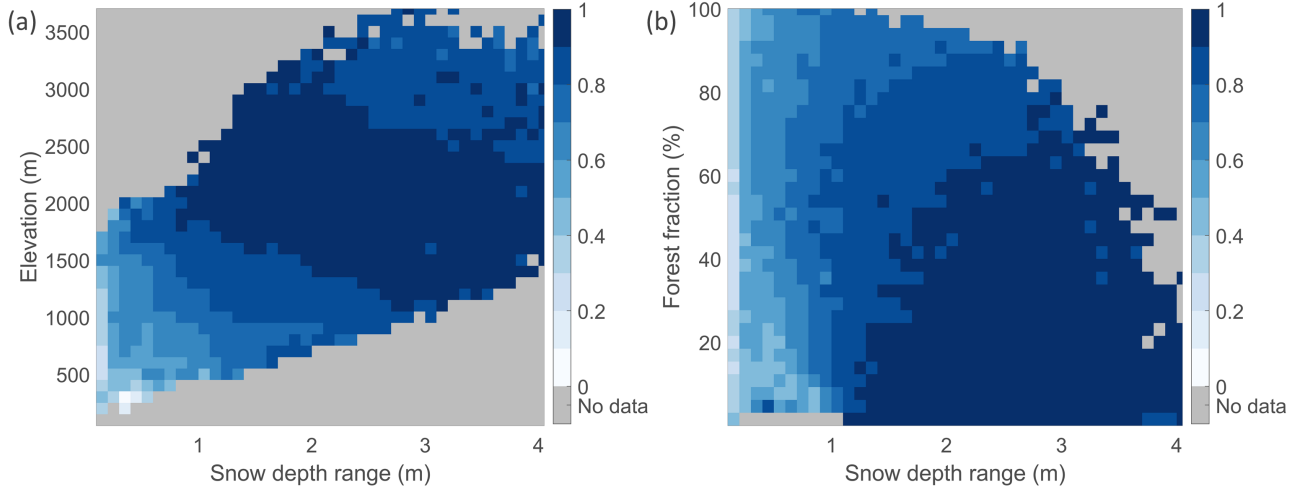
Figure 8. The time series correlation between simulated and retrieved snow depth (m) at 1 km resolution, stratified by (a) elevation (m) and snow depth range (m), and (b) forest cover fraction (%) and snow depth range (m).
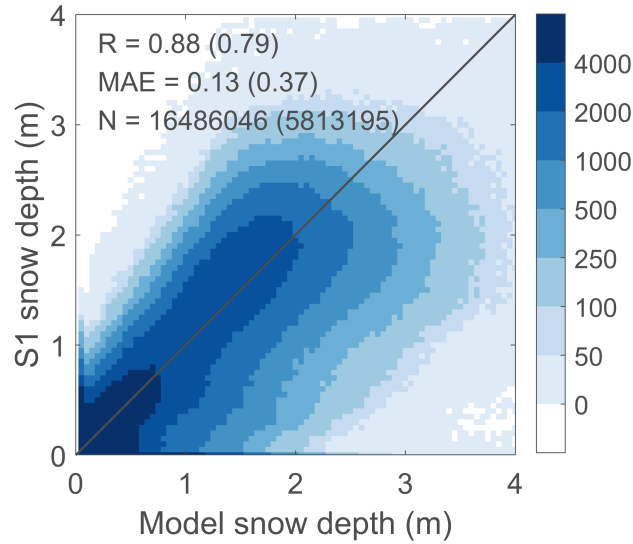

Figure 9. Density plot comparing 1 km S1 retrievals of snow depth (m) with corresponding model simulations (m) for August through April 2017–2018 and 2018–2019 (wet snow excluded). The spatio-temporal Pearson correlation R (-), the MAE (m) and number of observations (N) are shown on top left, both for scenarios with and (between brackets) without the inclusion of zero values.

retrievals is 0.88 (0.79 with zero depths excluded), and the MAE is 0.13 m (0.37 m). The density plot indicates a slightly more frequent occurrence of underestimation in S1 snow depth as opposed to overestimation. This can for instance be due to (i) undetected wet snow that decreases the backscatter, (ii) above-snow vegetation that reduces the sensitivity of backscatter to

snow, but also (iii) uncertainties in the model simulations. Nevertheless, the results overall demonstrate that the S1 retrievals represent the spatio-temporal distribution of the snow depth simulations well.

3.2 Validation of S1 retrievals with in situ measurements

Figure 10 shows examples of S1 retrievals of snow depth and snow state (dry or wet) over the Alps at the 500 m spatial resolution for three dates: 08/11/2017, 29/01/2018 and 18/02/2019. The S1 retrievals for instance capture the strong increase in snow depth during winter 2017–2018 (Fig. 10a,c). The Alps experienced several episodes of extreme snowfall in January 2018, caused by a low-pressure system over the western Mediterranean that brought moist air northwards and resulted in an anomalously deep snowpack. The winter 2018–2019 was generally warmer than average, caused by a persistent high pressure system over western Europe. The warm temperatures led to extensive wet snow conditions during February, that were detected by S1 (Fig. 10f) and can be used for masking the corresponding snow depth retrievals. Unlike in the northern Alps, where wet snow occurrence is widespread on 18/02/2019, wet snow is mostly limited to the valleys of the southern Alps.

In Fig. 11, the S1 snow depth retrievals at the three different spatial resolutions (100 m, 500 m and 1 km) are compared against independent point-scale in situ measurements over the periods August through April 2017–2018 and 2018–2019, both with and without wet snow masking. Although the more detailed retrievals at the finer 100 m resolution have the potential to better represent the local point-scale conditions at the in situ sites, a higher accuracy is obtained at the coarser 500 m and 1 km resolutions. The lower accuracy for the finer-scale retrievals can be caused by the larger impacts of: (i) radar speckle that is inherent to radar measurements, (ii) geolocation errors and geometric distortions (foreshortening, layover) in the radar images causing location mismatches in γ^0 time series, and (iii) local heterogeneity in topography (elevation, slope, aspect), land surface properties (land cover, soil moisture, soil temperature and surface roughness) and snow variables (stratigraphy and microstructure). The 500 m retrievals offer a potential balance between resolution and accuracy. The resolution is sufficiently high to capture the high spatial variability in snow depth at the hill-slope scale, while the accuracy (spatio-temporal R=0.89, MAE=0.18 m, for dry snow) is comparable to that of the coarser 1 km scale (R=0.89, MAE=0.17 m). However, the resolution requirement may depend on the envisaged application. For instance, the 1 km resolution may be too coarse for operational water management, but more than sufficient for regional numerical weather prediction.

Figure 12 shows the temporal correlation and spatial correlation between the S1 retrievals and in situ measurements of snow depth, stratified by the range of the in situ measurements. In agreement with the comparison against model simulations (Section 3.1), the temporal correlation increases with the snow depth range, reaching consistently high values (>0.8) for sites with snow depths reaching above 1 m. Similarly, the spatial correlation increases with increasing snow depth range, reaching values of ∼0.6–0.8 when snow depths above 1 m are included.

Figure 13 shows the MAE and bias (measurements minus retrievals), both as absolute values and relative values to the measured snow depth. The MAE remains relatively constant around 0.3–0.4 m for snow depths of 0.5–2 m, followed by an increases for deeper snow towards ∼1.3 m at 3.5 m depth. The relative MAE ranges between 20% and 30% for snow depths of 1.5–3 m, and is larger for shallower (40–70%) and deeper (∼40%) snow. The absolute bias indicates a minor overestimation by S1 for shallow snow. However, the corresponding relative biases for shallow snow can be large (up to ∼30%) due to the
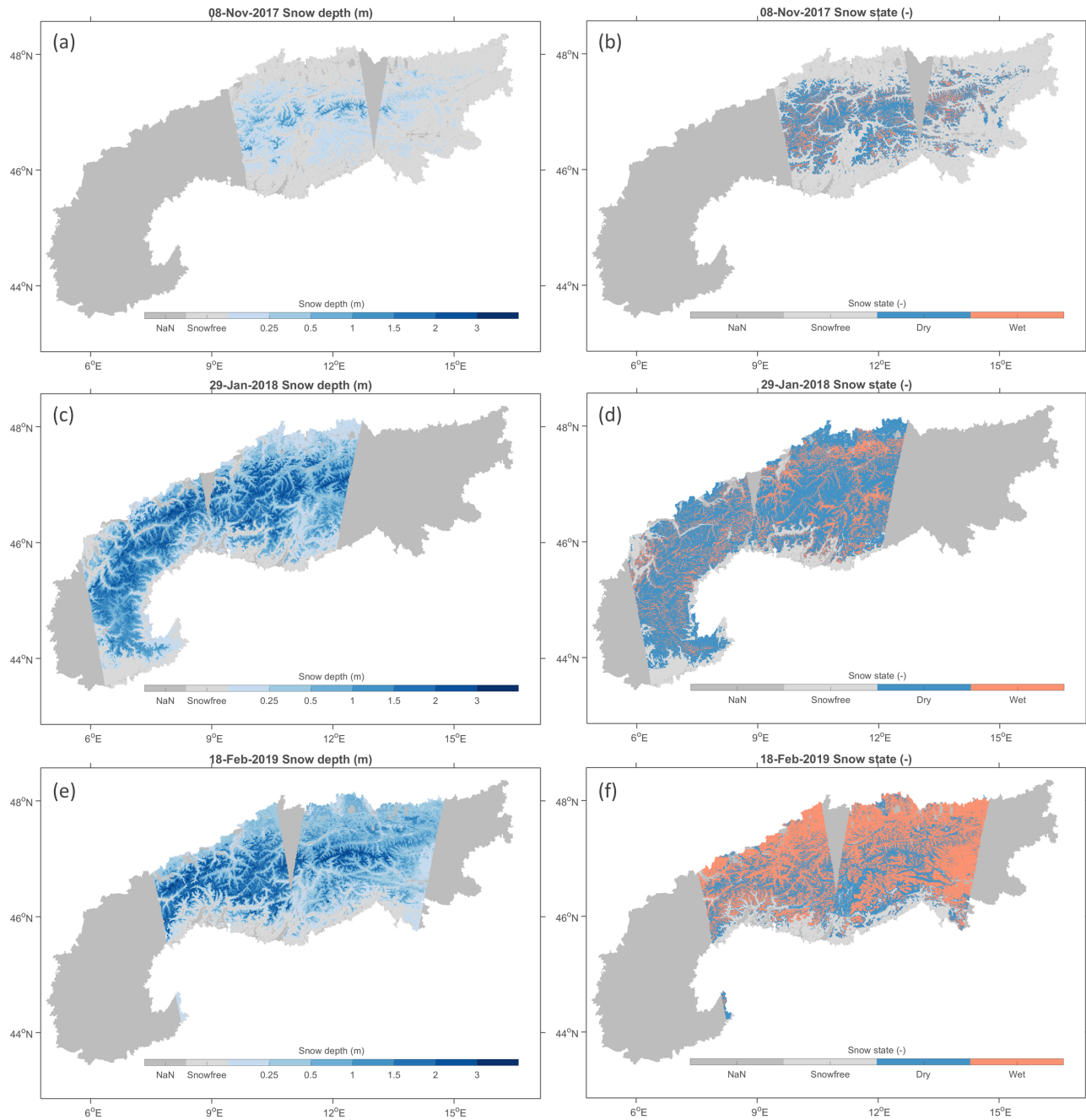
Figure 10. S1 snow depth (m) and snow state (dry or wet) retrievals at 500 m spatial resolution over the Alps, for (a,b) 08/11/2017, (c,d) 29/01/2018 and (e,f) 18/02/2019.
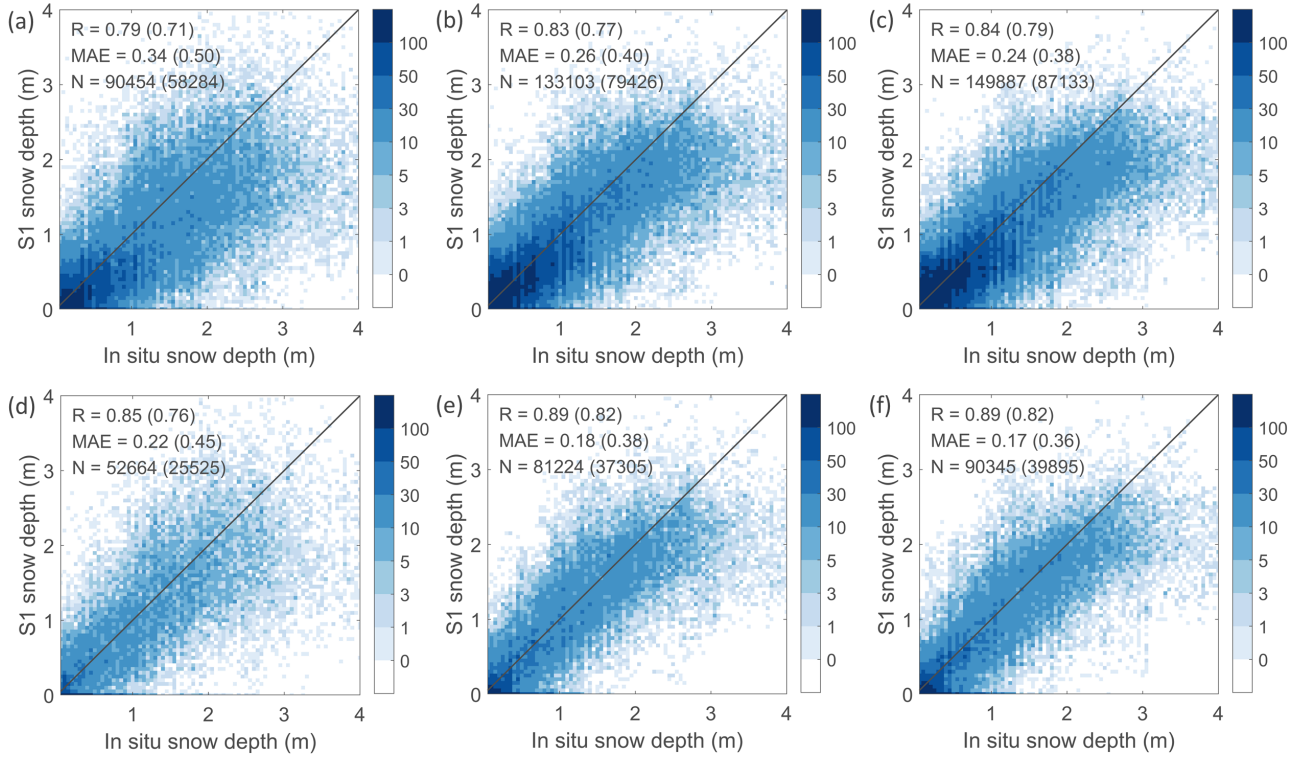
Figure 11. Density plots comparing S1 retrievals of snow depth (m) with corresponding in situ measurements (m) for August through April 2017–2018 and 2018–2019. The comparison is shown for retrievals at (a,d) 100 m, (b,e) 500 m and (c,f) 1 km resolution, with (a–c) excluding wet snow masking and (d–f) including wet snow masking. The spatio-temporal Pearson correlation R (-), the MAE (m) and number of observations (N) are shown on top left, both for scenarios with and (between brackets) without the inclusion of zero values.

low reference measurements. The bias reduces with increasing snow depths to result in bias-free estimates at 1.5–2 m depths. Deeper snow is increasingly underestimated, reaching ∼1.2 m bias at 3.5 m depth (35%). A caveat to the assessment is that only few measurements are available for snow depths above 3 m. Furthermore, part of the biases (and errors) can be due to the scaling of the retrievals based on model simulations and not based on in situ measurements (see Section 2.2). Indeed, the model

5   simulations also show a very similar underestimation of the high snow depth measurements (data not shown). Furthermore, part of the biases and errors can be due to representativeness differences between the point-scale in situ measurements and the grid-scale satellite retrievals. For instance, in situ sites typically correspond with open flat field locations and often overestimate the mean snow depth of the surrounding terrain (Grünewald and Lehning, 2015). Intuitively, one would expect an improvement in skill for retrievals at a finer spatial scale (e.g., 100 m), although statistically, it can be proven that fine-scale errors are

10   reduced after aggregation to coarser-scale estimates. Our results show that the skill improves with coarser scales, although more when retrievals are aggregated from 100 m to 500 m, than from 500 m to 1 km. In general, this indicates that the potential improvement brought by the finer spatial scale of the retrieval can be offset by an increase in noise. In order to investigate
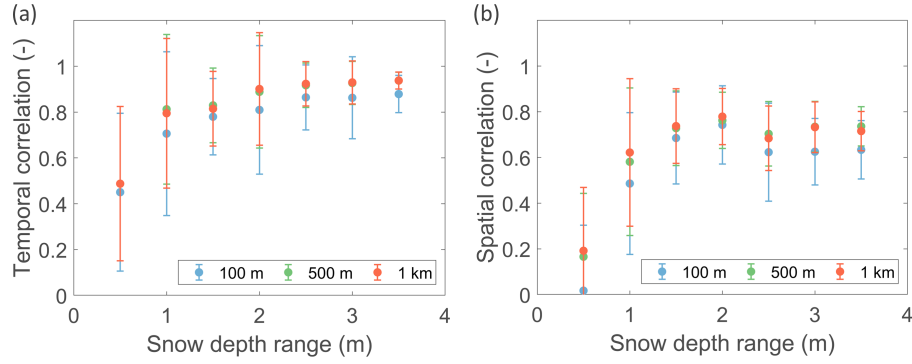
Figure 12. The (a) temporal and (b) spatial correlation between 100 m, 500 m and 1 km S1 retrievals and in situ measurements for August through April 2017–2018 and 2018–2019. Zero snow depths and wet snow detected by S1 are excluded. The metrics are stratified by the snow depth range (or maximum) of the in situ measurements, and represent the mean value and standard deviation per 0.5 m interval. Note that for the temporal correlation, the mean and standard deviation are calculated from different locations in space, whereas for the spatial correlation, the metrics are calculated from different time steps.
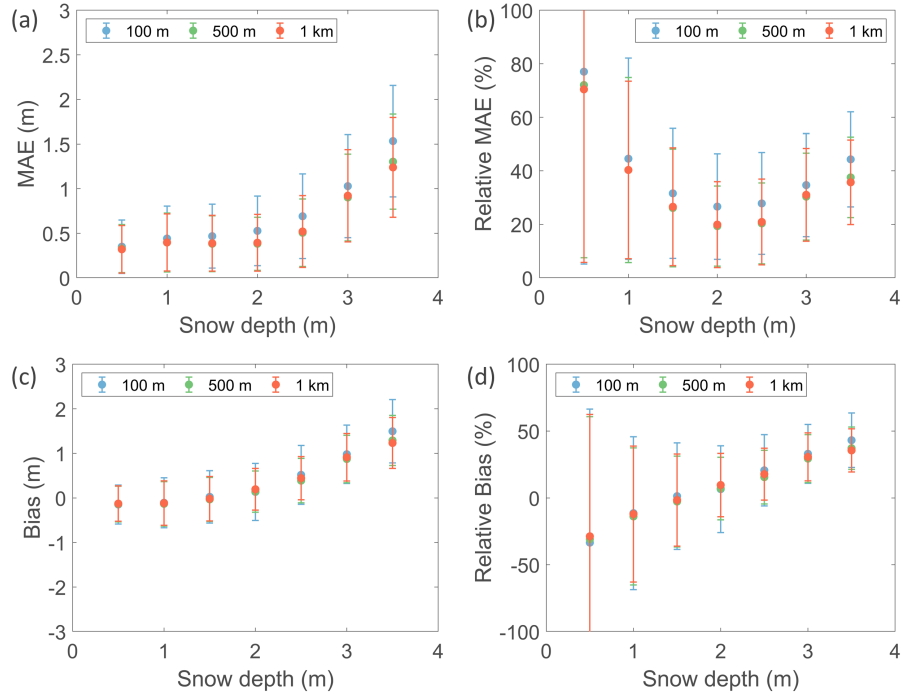
Figure 13. The (a) MAE (m), (b) relative MAE (%), (c) bias (m) and (d) relative bias (%) between 100 m, 500 m and 1 km S1 retrievals and in situ measurements for August through April 2017–2018 and 2018–2019. Bars indicate ± one standard deviation. Wet snow detected by S1 is excluded. The metrics are stratified by the measured snow depth value (not by the range as in Fig. 12), with intervals of 0.5 m.

the impacts of representativeness differences, future research is recommended to compare the S1 snow depth retrievals with validation data at the matching scale, such as snow depth estimates from LiDAR.

4   Conclusions

Sentinel-1 (S1) backscatter observations, particularly in VH-polarization, correlate well with regional model simulations of snow depth over Austria and Switzerland. Using a combination of cross-polarized and co-polarized backscatter as input to an empirical orbit-dependent change detection algorithm, S1 snow depth retrievals at 100 m, 500 m and 1 km spatial and less-than-weekly temporal resolution are presented over the European Alps for the periods from August through April 2017–2019. The accuracy of the retrievals is validated using independent local time series measurements of snow depth at 743 locations across the Alps. Despite the typically large representativeness differences between these point-scale in situ measurements and grid-scale satellite retrievals, good skill metrics are obtained. At the 500 m and 1 km resolutions and for dry snow conditions, the spatio-temporal Pearson correlation coefficient equals 0.89 and the relative mean absolute error ranges between ∼20–30% for snow depths between 1.5 and 3 m. A slightly lower accuracy is observed at the 100 m resolution, which is likely caused by increased impacts of measurement noise, geolocation errors and geometric distortions, and the local heterogeneity in topography, land surface and snow properties, such as microstructure and stratigraphy.

The main uncertainties in the S1 snow depth retrievals are expected to be caused by wet, shallow and occasional snow cover and forest cover. Wet snow is known to cause a strong decrease in radar backscatter due to signal absorption. Although a wet snow detection algorithm is implemented, undetected wet snow (for instance due to an insufficient decrease in the backscatter) may cause underestimation in the snow depth retrievals. Uncertainties can also be large in regions with shallow and occasional snow cover, where the backscatter observations can be dominated by scattering contributions from the ground surface, resulting in a weak (or even negative) correlation with snow depth. For shallow snow conditions, backscatter observations at higher frequencies (e.g., X- or Ku-band), or potentially also using InSAR phase changes at lower (e.g., L- or P-band) frequencies, could be more suitable to detect short-term snow depth changes. Higher uncertainties in the S1 retrievals may also be found in regions with large open water (lake) areal coverage, and in densely forested regions, where the attenuation of the radar signal may reduce the sensitivity to snow. The comparison of S1 retrievals with regional model simulations of snow depth reveals that sensitivity is generally strong (e.g., time series correlations >0.8) if the maximum snow depth reaches above ∼1 m, at an elevation above ∼1000 m or with a forest cover fraction below ∼80%.

The S1 retrievals can provide important information on the spatial distribution of snow depth in regions with complex topography, which are typically excluded in passive microwave retrievals. Therefore, the results of this study may contribute to improving our knowledge on the terrestrial snow mass budget. An asset of the approach is the confirmed long-term availability of S1 observations, with continuity by S1C and S1D over the coming decades. This will allow for generating the long time series that are required for investigating the potential impacts of climate variability or climate change on snow mass in mountain regions and for assessing the corresponding impacts on water availability to society. Finally, the combination of the S1 retrievals with land surface model estimates through data assimilation is expected to be rewarding. Not only could the assimilation ensure

improved and continuous (in time and space) estimates of mountain snow mass, it is also likely to benefit associated applications such as operational water management, natural hazard (avalanche and flood) prediction or numerical weather prediction.

Further research is needed to investigate the physical basis of C-band radar backscatter sensitivity to snow, for instance based on tower radar measurements and corresponding detailed measurements of snowpack properties, including snow microstructure and stratigraphy. Also, future research is recommended to investigate the validation of the S1 snow depth retrievals using data at the matching scale, for instance from LiDAR, and to further investigate the performance of the approach in other regions with different soil substrate types, vegetation conditions and snow climate conditions.

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