



1 **Mechanism and effects of warming water in ice-covered Ngoring**
2 **Lake of Qinghai-Tibet Plateau**

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17 **Abstract.** Ngoring Lake is the largest freshwater lake in the Qinghai-Tibet Plateau (TP).
18 The lake water temperature was observed to be generally rising during the ice-covered
19 period from November 2015 to April 2016. This phenomenon appeared in the whole
20 water column, with slowing in deep water and accelerating in shallow water before ice
21 melting. The process is different from low-altitude boreal lakes. There are few studies
22 on its mechanism and effects on lake-atmosphere interaction. Based on the observation
23 data of Ngoring Lake Station, ERA5-Land data, MODIS surface temperature data, and
24 precipitation data of Maduo Station of China Meteorological Administration, the
25 characteristics of water temperature rise in the ice-covered Ngoring Lake are analyzed.
26 LAKE2.3 model, which is currently little used for TP lakes, is applied to explore the
27 influence of local climate characteristics and the main physical parameters on the
28 radiation transfer in water body. The study questions are the continuous rise of water
29 temperature in the ice-covered period, and the effects of different water temperature
30 profiles prior to ice breakup on the lake heat storage per unit area and sensible and latent
31 heat release. The results show that LAKE2.3 represents well the temperature evolution
32 and thermal stratification in Ngoring Lake, especially in the ice-covered period. The
33 strong downward short-wave radiation plays a dominant role, low precipitation gives



34 positive feedback, and smaller downward long-wave radiation, lower temperature and
35 larger wind speed give negative feedback. Increase of ice albedo and ice extinction
36 coefficient reduces the heating rate of water temperature before reaching the maximum
37 density temperature, and increases the maximum temperature that can be reached
38 during ice-covered period, while increasing the water extinction coefficient has little
39 influence on water temperature. The lake temperature in Ngoring Lake rising during
40 the ice-covered period, and the temperature at the upper layer of lake body was higher
41 than that at the maximum density temperature before ice breaking. Compared with the
42 characteristics of three typical ice-covered periods which the lake temperature remained
43 fixed in each layer, and the lake temperature was less than or equal to the maximum
44 density temperature, the difference of heat release after ice breaking lasted for 59-97
45 days. The higher the lake temperature before breakup, the more heat is stored in the
46 lake, and the more sensible heat and latent heat is released when the ice melts
47 completely and the faster is the heat release.

48

49 **1 Introduction**

50 The Tibetan Plateau (TP), with an average altitude of 4000-5000 m, is known as the
51 "roof of the world". It is the highest plateau on Earth. There are many alpine lakes in
52 TP constituting the largest number, largest area and highest altitude plateau lake group
53 in China, known as the "Asian water tower" (Immerzeel et al., 2010). There are more
54 than 1400 lakes with an area of more than 1 km², and the total area of lakes is more
55 than 5 × 10⁴ km², accounting for 57.2 % of the total lake area in China (Wan et al., 2016;
56 Zhang et al., 2019).

57 Lakes are sensitive to climate change and therefore act as indicators of climate change
58 (Adrian et al., 2009; Qin et al., 2009). Under the background of global warming, the
59 surface temperature of lakes has been rising (O'Reilly et al., 2015; Schmid et al., 2014;
60 Sharma et al., 2015; Zhang et al., 2014). The warming rate of the TP is twice the global
61 average. Among the 52 plateau lakes surveyed, the surface temperature of 60 % of the
62 lakes shows an upward trend, while the surface temperature of some lakes shows a
63 downward trend due to the melting of glaciers (Duan and Xiao, 2015; Yang et al., 2014;
64 Zhu et al., 2020). The surface temperature affects the thermal stratification and the
65 length of the ice-covered period, not only on the stability and vertical convection of the
66 lake. Also the material and energy exchange between the lake and the atmosphere
67 depend on the surface temperature (Efremova et al., 2013; Ramp et al., 2015; Rösner et
68 al., 2012) that has impact on the local climate (Gerken et al., 2013; Li et al., 2016a; Wen
69 et al., 2015; Xu and Liu, 2015; Yang and Wen, 2012).

70 At the same time, lake temperature is an important indicator of lake ecosystem



71 restricting the biochemical process inside the lake. The temperature not only changes
72 the content of dissolved oxygen, nitrogen, phosphorus and other nutrients but also
73 changes the rate of biochemical reactions, thus affecting the water quality and
74 distribution of aquatic organisms in the lake (Dokulil, 2013; Hardenbicker et al., 2016;
75 Li et al., 2015a; Weitere et al., 2010). Lake ecology is an important part of the Tibetan
76 Plateau ecosystem. Therefore, it is of great significance to study the characteristics of
77 lake temperature for understanding the plateau aquatic ecosystem in the local weather
78 and climate conditions.

79 Due to the variations of latitude, altitude and depth, the lake temperature characteristics
80 are different in lakes of different geographical regions. Tropical and subtropical lakes
81 possess high temperature throughout the year, and in winter, they do not freeze and are
82 not affected by ice. For example, Kivu Lake, a tropical lake located in central Africa,
83 has a winter temperature greater than 20 °C (Thiery et al., 2014), and Taihu, a
84 subtropical lake located in the middle and lower reaches of the Yangtze River in China,
85 and Manguera Lake, a subtropical lake located in Rio Grande do Sul, have a winter
86 temperature greater than 5 °C (Tavares et al., 2019; Chen et al., 2021). However, the
87 boreal lakes located in middle and high latitudes usually freeze in winter, and the
88 increase of the lake surface albedo due to ice cover affects the radiation transfer into
89 water body and, consequently, the lake temperature (Erm et al., 2010). Among lakes
90 located in middle and high latitudes but at a low altitude, the under-ice water of some
91 lakes is evenly mixed in winter, and the temperature of the entire lake is basically
92 maintained at a temperature between 0- $T_{\rho,max}$ ($T_{\rho,max}$ is the maximum density, $T_{\rho,max} =$
93 3.98 °C for fresh water). Such lakes are, for example, Sunapee Lake, a northern
94 temperate lake in New Hampshire, Simcoe Lake in southern Ontario, Canada, and
95 Mendota Lake in the United States (Bruesewitz et al., 2015; Yang et al., 2017; Yang et
96 al., 2021). Another class of mid- and high-latitude lakes have an inverse winter
97 stratification. The ice-water interface temperature is at the freezing point, and the deeper
98 water temperature is the highest, at most $T_{\rho,max}$, and the temperature in each layer is
99 maintained at a temperature between the freezing point and $T_{\rho,max}$. Temperature
100 increases from the upper layer to the lower layer in such lakes as Thrush Lake in
101 Northeastern Minnesota (Fang and Stefan, 1996), Pääjärvi Lake located in southern
102 Finland (Saloranta et al., 2009) and Valkea-Kotinen Lake (Li et al., 2016b). Their
103 vertical temperature profiles are different, but the temperature is between the freezing
104 point and $T_{\rho,max}$ during ice-covered period. Therefore, we present the question: what are
105 the winter temperature characteristics of high-altitude lakes?

106 Due to the harsh environment of the TP and difficulties in collecting field observations,
107 field studies have been limited to several large lakes such as Nam Co, Bangong Co,
108 Qinghai Lake, Ngoring Lake, and most of them have been performed in ice-free period



109 (Lazhu et al., 2015; Su et al., 2020; Huang et al., 2019; Song et al., 2020). It has been
110 found out that in several lakes in TP the temperature rises during the ice-covered period.
111 The temperature of Bangong Co and Nam Co Lakes have risen from freezing to melting,
112 but the rise is greater in the latter due to the difference in lake depth. The temperature
113 in Dagze Co Lake remained fixed in each layer in the early ice-covered period and
114 began to rise in the late ice-covered period, because this lake is meromictic with high
115 salt content (Wang et al., 2014; Lazhu et al., 2021; Wang et al., 2021). Ngoring Lake is
116 the largest and relatively shallow freshwater lake on the TP. Its temperature has been
117 rising throughout the whole ice-covered period, and studies show that solar radiation
118 transfer plays an important role in this process (Wang et al., 2021; Kirillin et al., 2021).
119 Although previous studies have revealed the warming phenomenon of TP lakes during
120 ice-covered period with qualitative analysis pointing out that temperature rise is
121 affected by salinity and depth (Lazhu et al., 2021), they did not consider the influence
122 of ice, meteorological conditions, and physical processes in the warming.

123 Numerical models are often used to reveal the phenomena and mechanisms of TP lakes.
124 At present, the lake models widely used in the TP are the Flake model (Freshwater Lake
125 Model) and the lake scheme coupled in the CLM model (Community Land Model)
126 CoLM (Common Land Model), and WRF (Weather Research and Forecasting Model)
127 (Fang et al., 2017; Lazhu et al., 2016; Wen et al., 2016; Song et al., 2020; Dai et al.,
128 2018; Huang et al., 2019; Wu et al., 2021). However, the simulated water temperatures
129 in winter by the two models kept stationary and could not reproduce the observed rising
130 temperature (Lazhu et al., 2016; Wen et al., 2016; Huang et al., 2019).

131 This paper 1) applies the LAKE model for a typical TP lake to evaluate its capability to
132 simulate the rising lake temperature in ice-cover period; 2) uses the LAKE model to
133 analyze the influence of the meteorological driving factors and the main parameters that
134 affect the radiation transmission on the warming process during the ice season in
135 Ngoring Lake; 3) discusses the influence of temperature distribution prior to ice
136 breakup on lake heat storage and lake-air heat transfer.

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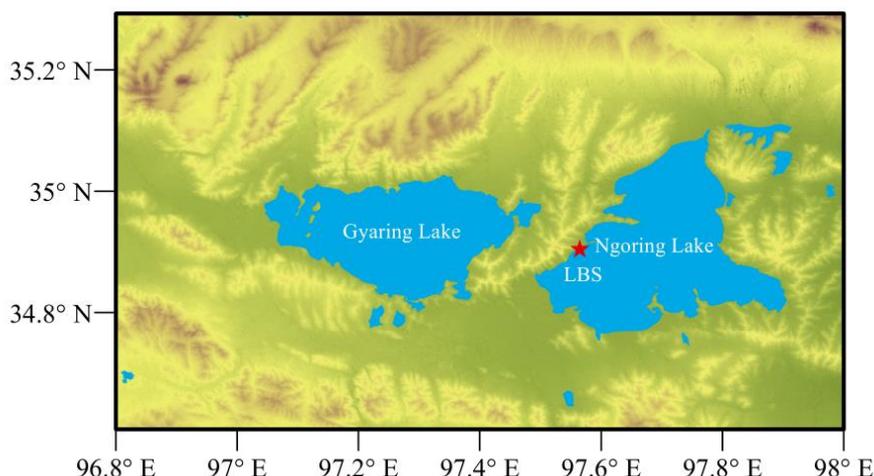
138 **2 Study Area and Data**

139 **2.1 Study Area**

140 Ngoring Lake (34.76° N-35.08° N, 97.53° E-97.90° E, Fig. 1) is located in the western
141 valley of Maduo County on the eastern TP, with an average lake surface elevation of
142 4274 m a.s.l. It is the largest freshwater lake in the Yellow River source region. Its
143 surface covers an area of 610 km², and the maximum and average depth are 32 and 17
144 m, respectively. The pH is 8.49 and there are only few fish in the lake. Aquatic plants



145 grow only in the riparian area. The lake is thermally stratified in summer and ice-
146 covered from the end of November or early December to late April (Wen et al., 2016).
147 Ngoring Lake basin is dominated by the cold, semi-arid continental climate, which is
148 sensitive to the Westerly jet, Indian monsoon and Asian monsoon (Zhang et al., 2013).
149 According to observation data from 1953 to 2016 at Maduo Station (34.9° N, 98.2° E)
150 of China Meteorological Administration, the average annual precipitation was 322.4
151 mm, mostly concentrated in May to September. The average annual air temperature was
152 -3.53 °C, and the maximum and minimum air temperature were 24.3 °C and -48.1 °C,
153 occurred in July 20, 2006 and January 2, 1978, respectively.



154 **Figure 1. Location of Ngoring Lake, and the pentagram denotes the lake border**
155 **station (LBS).**

156

157 2.2 Data

158 2.2.1 LBS Station Data

159 The long-term autonomous lake border station (LBS) has an altitude of 4282 m above
160 sea level, installed at 34.91° N and 97.55° E in October 2012 (Fig. 1). It provided 10 m
161 altitude wind speed, 2 m altitude air temperature, specific humidity and air pressure,
162 and 1.5 m altitude downward shortwave (SR) and longwave radiation (LR) from
163 September 22, 2015 to September 22, 2016 as the driving data for the model (Li et al.,
164 2020). Li et al. (2015b) and Wen et al. (2016) are referred for the detailed information
165 about the site configuration and measured quantities. Precipitation intensity was
166 calculated according to the accumulated precipitation of Maduo Station from 20:00 to
167 20:00 the next day in the daily value data set (V3.0) of Chinese surface climate data



168 (<http://data.cma.cn>). The water temperature profile was observed in the northern part of
169 Ngoring Lake, where the water depth was 23-25 m in 2015-2016 (Li et al., 2020).

170

171 **2.2.2 MODIS Lake surface temperature**

172 The Land Surface Temperature 8-Day L3 Global product (MYD11C2), which is
173 derived from the data of Moderate Resolution Imaging Spectroradiometer (MODIS),
174 was used to determine the ice-covered period in the Ngoring Lake and to verify the
175 simulated results, due to the lack of ice thickness and water surface temperature
176 observations. MODIS provides daily global coverage with high spatial resolution on a
177 long-term basis. The product which is obtained by synthesizing and averaging values
178 from the corresponding eight MYD11C2 daily files provides the land surface
179 temperature (LST) at a resolution of 0.05° latitude/longitude (5600 m at the equator)
180 for Climate Modeling Grid (CMG) (<https://ladsweb.nascom.nasa.gov/search>) (Wan et
181 al., 2004).

182

183 **2.2.3 ERA5-Land Data**

184 ERA5-Land is produced as an enhanced global dataset for the land component of the
185 fifth generation of European ReAnalysis (ERA5) by the European Centre for Medium-
186 Range Weather Forecasts (ECMWF), framed within the Copernicus Climate Change
187 Service (C3S) of the European Commission. It is available for hourly ERA5-Land
188 record for 40 years from 1981 to the present, and ERA5-Land back extension (1950-
189 1980) is in preparation. Compared to ERA5 (31 km) and ERA-Interim (80 km), ERA5-
190 Land has enhanced horizontal resolution of 9 km (~0.08°). It is convenient for users to
191 interpolate data into the longitude and latitude grid of 0.1° spacing
192 (<https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land?tab=form>)
193 (Hersbach et al., 2020; Muñoz-Sabater et al., 2021).

194

195 **3 Methods**

196 **3.1 LAKE Model**

197 The one-dimensional lake model LAKE, including thermodynamic, hydrodynamic and
198 biogeochemical processes, is used to solve the horizontally averaged transfer equations
199 of gases, heat, salinity and momentum in an enclosed water body (Stepanenko et al.,
200 2016; Stepanenko et al., 2011). The vertical heat transfer is simulated, and the
201 penetration of SR in water layers (Heiskanen et al., 2015), ice, snow and bottom



202 sediments (Cao et al., 2020) is taken into account. The exchange between water and
203 inclined bottom is modelled explicitly because the model equations have been averaged
204 over horizontal sections of a water body. The κ - ϵ parametrization of turbulence is
205 applied (Stepanenko et al., 2016).

206

207 3.1.1 Heat transfer in water body

208 The water temperature is calculated according to the one-dimensional thermal diffusion
209 equation:

$$210 \quad c_w \rho_w \frac{\partial T_w}{\partial t} = -c_w \rho_w \frac{1}{A} \int_{\Gamma_A} T_w (u_h \cdot n) dl + \frac{1}{Ah^2} \frac{\partial}{\partial \xi} \left(AK_T \frac{\partial T_w}{\partial \xi} \right) - \frac{1}{Ah} \frac{\partial AS}{\partial \xi} +$$
$$211 \quad c_w \rho_w \frac{dh}{dt} \frac{\xi}{h} \frac{\partial T_w}{\partial \xi} + \frac{1}{Ah} \frac{\partial A}{\partial \xi} [S_b(\xi) + F_{iz,b}(\xi)] , \quad (1)$$

212 where c_w is heat capacity of water, ρ_w is density of water, T_w is temperature of water,
213 $h(t)$ is lake depth, t is time, $\xi = z/h$ is a normalized vertical coordinate, $z = 0$ ($z \in$
214 $[0, h]$) is located at the free surface of the lake, S is downwelling shortwave radiation,
215 A is the z -dependent cross-sectional area of water, K_T is thermal diffusivity coefficient
216 equal to the sum of molecular and turbulent diffusivities, $S_b(\xi)$ is shortwave radiation
217 flux, $F_{iz,b}$ is soil heat flux at the level z , n is an outer normal vector to the boundary Γ_A
218 of the horizontal cross section A and u_h is horizontal vector in water (Stepanenko et al.,
219 2016; Guseva et al., 2016). The solar radiation penetrated into the water is calculated
220 using the Beer-Lambert law (Stepanenko and Lykossov, 2005; Stepanenko et al., 2019):

$$221 \quad S(\xi) = S(0) \exp(-a_e h \xi) , \quad (2)$$

222 where a_e is extinction coefficient. In order to solve the temperature in Eq. (1), it is
223 necessary to specify the top and bottom boundary conditions and to give the method to
224 calculate the edge heat flux at each depth z . The atmospheric turbulent heat flux
225 schemes are based on the Monin-Obukhov similarity theory, and the top boundary
226 condition is a perfect heat balance equation (Stepanenko et al., 2016). When the lake is
227 covered with ice, the temperature of the last layer of ice and the first layer of layer of
228 water are equal and fixed to the melting point temperature (Stepanenko et al., 2019),
229 which is calculated by the following formula:

$$230 \quad T_{mp} = -C * \left| \partial T_{mp} / \partial C \right| , \quad (3)$$

231 where T_{mp} is the melting point temperature ($^{\circ}\text{C}$), C is salinity at the water-ice interface,
232 $\left| \partial T_{mp} / \partial C \right| = 66.7 \text{ }^{\circ}\text{C}$ is assumed constant.

233

234



235 **3.1.2 Heat transfer in snow cover**

236 Snow cover is formed by accumulation of precipitation during the cold season. It is
 237 characterized by liquid water content and temperature. The equations are as follows:

238
$$c_{sn}\rho_{sn}\frac{\partial T_{sn}}{\partial t} = \frac{\partial}{\partial z}\lambda_{sn}\frac{\partial T_{sn}}{\partial z} + \rho_{sn}LF_{fr} - \frac{\partial S}{\partial z}, \quad (4)$$

239
$$\frac{\partial W}{\partial t} = -\frac{\partial \gamma}{\partial z} - F_{fr}, \quad (5)$$

240 where c_{sn} is specific heat of snow, ρ_{sn} is density of snow, T_{sn} is temperature of snow,
 241 λ_{sn} is thermal diffusivity of snow, L is latent heat of melting, F_{fr} is rate of freezing, W
 242 is liquid water content and γ is filtration flux of liquid water (Stepanenko and Lykossov,
 243 2005).

244

245 **3.1.3 Heat transfer in ice cover**

246 The heat conduction equation in ice cover follows the equation:

247
$$c_i\rho_i\frac{\partial T_i}{\partial t} = c_i\rho_i\frac{\xi}{h_i}\frac{dh_i}{dt}\frac{\partial T_i}{\partial \xi} - c_i\rho_i\frac{1}{h_i}\frac{dh_{i0}}{dt}\frac{\partial T_i}{\partial \xi} - \frac{1}{h_i}\frac{\partial S}{\partial \xi} + \frac{1}{A_i h_i^2}\frac{\partial}{\partial \xi}\left(A_i\lambda_i\frac{\partial T_i}{\partial \xi}\right) + \frac{1}{A_i h_i}\frac{\partial A_i}{\partial \xi}F_{T,b} -$$

 248
$$L\rho_i\frac{dp}{dt}, \quad (6)$$

249 where c_i is specific heat of ice, ρ_i density of ice, T_i is temperature of ice, λ_i is thermal
 250 conductivity of ice, h_i is ice thickness, $\frac{dh_{i0}}{dt}$ is the increment of ice thickness on its
 251 surface, $F_{T,b}$ is the heat flux at the ice-sediment boundary, A_i is the z-dependent cross-
 252 sectional area of the ice cover determined by the basin morphometry, L is the
 253 freezing/thawing heat of water and p is ice porosity (Stepanenko et al., 2019).

254 Based on the study of Leppäranta (2014), the albedo regulates the surface energy
 255 budget, and the extinction coefficient controls the vertical distribution of radiation
 256 energy in the medium. In the LAKE model, the albedo of water (A_w) is 0.06, and the
 257 extinction coefficient of snow (E_s) decreases with the increase of snow density. Snow
 258 accumulation in the Ngoring Lake area is basically zero, and therefore, only the A_i ,
 259 the E_i and E_w are analyzed in this study. The model used in this article is version 2.3
 260 of the LAKE model, called LAKE2.3.

261 This model has been widely used. Thiery et al. (2014) compared and evaluated seven
 262 models in LakeMIP by using Kivu Lake, one of the five Great Lakes in Africa. It was
 263 found that the LAKE model can better simulate the vertical mixing process and internal
 264 thermal stratification of Kivu Lake than Flake and Hostetler models. Stepanenko et al.
 265 (2016) found that the LAKE model can reproduce the temperature of Kuivajärvi Lake
 266 and the vertical distribution of dissolved gases in summer.



267 3.2 Validation Methods

268 The indexes to evaluate the accuracy of the model are the root mean square error
269 (*RMSE*), *BIAS*, and correlation coefficient (*CC*):

$$270 \quad RMSE = \sqrt{\frac{1}{n} \sum_{i=0}^n (m_i - o_i)^2} \quad , \quad (7)$$

$$271 \quad BIAS = \bar{m} - \bar{o} \quad , \quad (8)$$

$$272 \quad CC = \frac{\text{Cov}(M,O)}{\sqrt{\text{Var}(M)\text{Var}(O)}} \quad , \quad (9)$$

273 where m_i represents the simulations and o_i represents the observations, \bar{m} is the
274 average value of simulations and \bar{o} is the average value of observations. $\text{Cov}(M, O)$ is
275 the covariance of observed and simulated values. $\text{Var}(M)$ and $\text{Var}(O)$ are the variances
276 of simulated and observed values, respectively.

277

278 3.3 Calculation Method of Heat Storage

279 The evolution of the heat storage per unit area in the water is calculated by using the
280 changes of water temperature profiles

$$281 \quad \Delta Q = c\rho \sum_{i=1}^n T_i \Delta z_i \quad , \quad (10)$$

282 where $c = 4192 \text{ J kg}^{-1} \text{ K}^{-1}$ and $\rho = 10^3 \text{ kg m}^{-3}$, n is the number of depths, Δz_i is the depth
283 interval between two layers and ΔT_i is the temperature change in layer i (Gan and Liu,
284 2020; Nordbo et al., 2011).

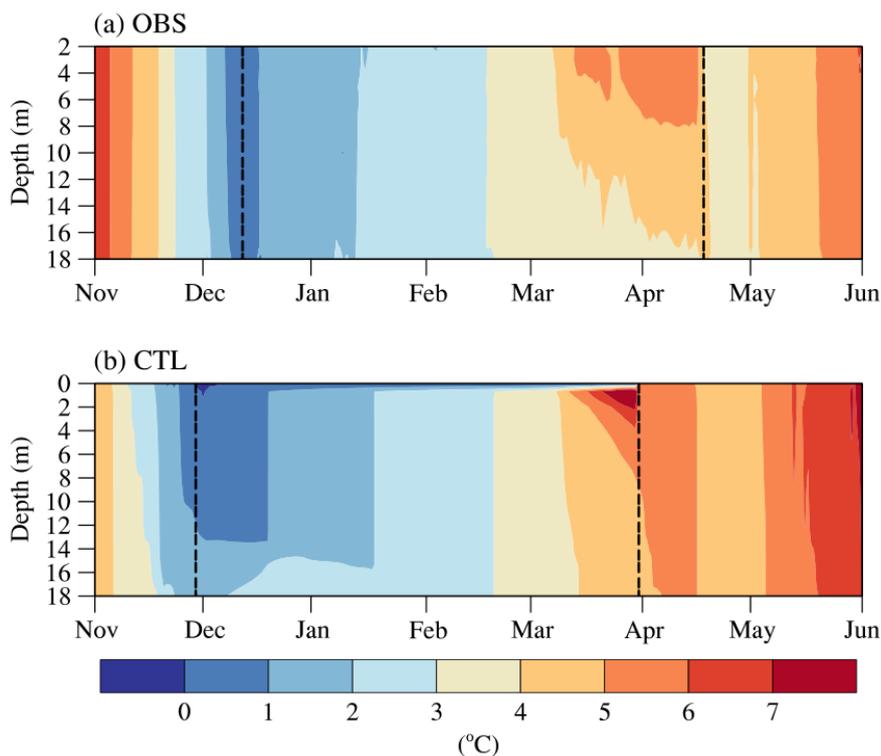
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286 4 Characteristics Analysis

287 4.1 Characteristics of Observed Water Temperature

288 4.1.1 Ngoring Lake

289 According to the observations in Ngoring Lake, the water temperature has increased
290 continuously during the winter ice-covered period (Wang et al., 2021; Kirillin et al.,
291 2021). From the observed water temperature profile (Fig. 2a), it can be seen that the
292 temperature reached its lowest point in early December 2015, then the lake froze over,
293 and the water under ice warmed and was completely mixed in the early stage of the ice
294 season. From mid-March onward, the water body showed weak stratification with
295 temperature decreasing downward with $5.2 \text{ }^\circ\text{C}$ at 2 m dept and $3.9 \text{ }^\circ\text{C}$ at the bottom. By
296 mid-April, the ice melted completely, and after that full mixing took place.



297

298 **Figure 2. The daily average water temperature (a) observed and (b) simulated in**
299 **CTL from November 2015 to June 2016. Ice-covered period is represented between**
300 **the two black dotted lines.**

301

302 In order to more intuitively analyze the changes of lake temperature over time, the
303 observed water temperature of Ngoring Lake was averaged daily (Fig. 3a). The lake
304 was mixed completely in early November 2015, and the water temperature decreased
305 gradually. In late November, the temperature oscillated and the difference between 2 m
306 and 22 m was less than 1 °C. On December 12, the water temperature reached the lowest
307 point, at 2 m it was 0.47 °C. At this time, the lake was completely frozen, and the air
308 temperature at 2 m height was -7.79 °C. Thereafter, the lake was mixed, and then the
309 temperature showed new oscillations from early January for about one month (Kirillin
310 et al., 2021). In mid-February, the lake was again fully mixed, and the water temperature
311 continued to rise, reaching $T_{p,max}$ on March 7. Thereafter, as the water continued to
312 absorb the strengthening solar radiation, the lake began to stratify, since absorption of
313 radiation decays with depth according to the Beer-Lambert law. The water temperature
314 continued to rise in the upper layer (2-6 m) by the rate ~ 0.052 °C d⁻¹, which was higher



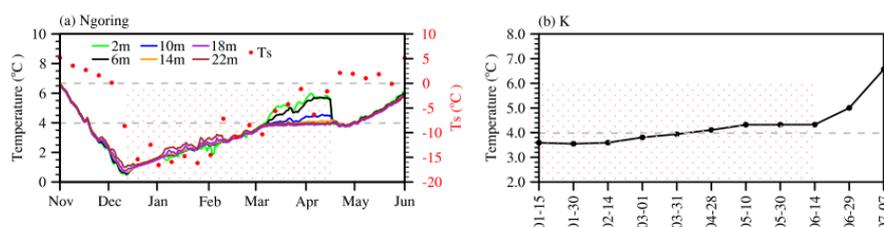
315 than before March 7 ($\sim 0.035 \text{ }^\circ\text{C d}^{-1}$). On April 18, the ice had melted completely, and
316 the water temperature rose to a maximum of $5.83 \text{ }^\circ\text{C}$ at 2 m while remaining at $T_{\rho,max}$
317 below 10 m depth. Having reached the open surface state, full mixing took place rapidly,
318 and then the lake warmed gaining heat from the atmosphere.

319

320 4.1.2 Kilpisjärvi Lake

321 Different from Ngoring Lake, the temperature of some lakes can remain fairly stable in
322 each layer during ice-covered period, such as Thrush Lake, Valkea-Kotinen Lake,
323 Pääjärvi Lake and Kilpisjärvi Lake (Fang and Stefan, 1996; Saloranta et al., 2009; Li
324 et al., 2016b; Tolonen, 1998). Among these lakes, we paid special attention to
325 Kilpisjärvi Lake.

326 Kilpisjärvi Lake (K Lake, 69.05° N , 20.83° E , 473 m a.s.l.) is an Arctic tundra lake
327 located in northern Finland. The latitude difference is about 34° , the longitude
328 difference is about 77° and the altitude difference is about 3800 m between Ngoring
329 Lake and K Lake. The temperature decreases with altitude at a rate of $6 \text{ }^\circ\text{C km}^{-1}$ (Jiang
330 et al., 2016), and according to present general climatology, in Eastern Europe/Central
331 Asia the latitudinal and longitudinal decreases in winter are about $1.2 \text{ }^\circ\text{C deg}^{-1}$ and $0.3 \text{ }^\circ\text{C}$
332 deg^{-1} , respectively. Therefore, an increase of 1 km in altitude is equivalent to an increase
333 of 5° in latitude or 20° in longitude. Between Ngoring and K Lake, the effects of altitude,
334 latitude and longitude on air temperature offset each other, and there was only little
335 difference in air temperature between the two sites (Fig. 4f). However, apart from the
336 surface layer, the water temperature of K Lake basically maintained at $T_{\rho,max}$ during the
337 ice-covered period (Fig. 3b). Therefore, we suspected that the warming characteristics
338 of Ngoring Lake were related to the local climate, and to show that we shall analyze
339 the climate characteristics of the two sites in Sect. 4.2.



340 **Figure 3. (a) The daily average water temperature observations of Ngoring Lake**
341 **at the surface (T_s), 2 m, 6 m, 10 m, 14 m, 18 m and 22 m from November 2015 to**
342 **June 2016, and (b) the average water temperature observations of K Lake from 5**
343 **m to 30 m in 1993. T_s is MODIS surface water temperature. The gray reference**
344 **lines denote $T_{\rho,max} = 3.98 \text{ }^\circ\text{C}$ and $0 \text{ }^\circ\text{C}$, respectively. The pink shaded areas denote**



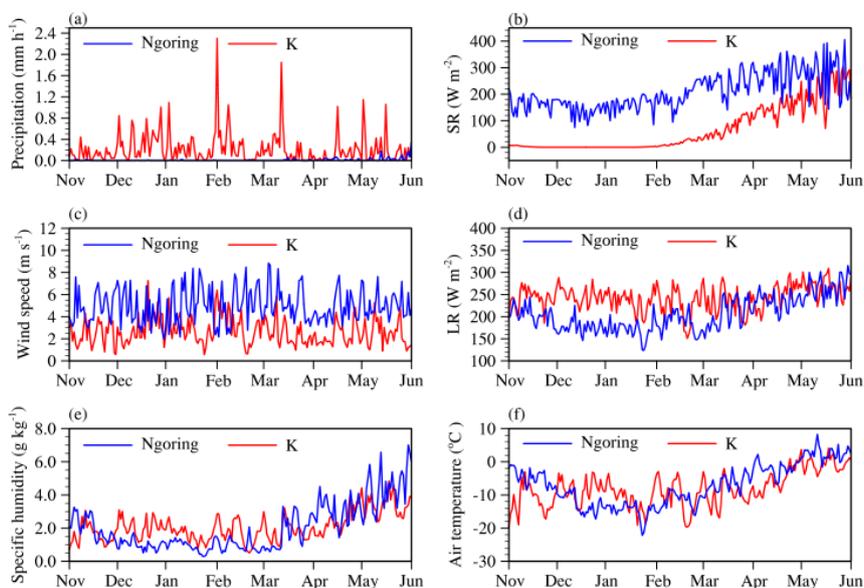
345 **ice-covered period.**

346

347 **4.2 Characteristics of Local Climate**

348 The daily averages of meteorological variables near the two lakes are shown in Fig. 4
349 during November to June, and the ranges and averages from December 12 to April 18
350 of the next year (ice-covered period of Ngoring Lake) were compared (Table 1). The
351 differences in the average air temperature, specific humidity and downward LR
352 between Ngoring Lake and K Lake were $-0.42\text{ }^{\circ}\text{C}$, -0.38 g kg^{-1} and 41.9 W m^{-2} ,
353 respectively. The wind speed of Ngoring Lake was 1.7 times that of K Lake, and the
354 downward SR was 159.0 W m^{-2} greater in Ngoring Lake than in K Lake. However, the
355 precipitation was much less in Ngoring Lake than in K Lake, by the factor of 0.037.

356 In general, there were not many differences in temperature, specific humidity and
357 downward LR between the two places, but there was lower precipitation, and higher
358 downward SR and wind speed in the TP. Since the surface pressure has little effect on
359 water temperature, this paper does not consider that.



360 **Figure 4. Comparison of daily average values of the climate field for Ngoring Lake**
361 **from November 2015 to June 2016 and for K Lake with the same month from 1992**
362 **to 1993. (a) precipitation, (b) downward SR, (c) wind speed at 10 m height, (d)**
363 **downward LR, (e) specific humidity and (f) air temperature at 2 m height. The**
364 **driving field of K Lake was extracted from ERA5-Land data.**



365 **Table 1.** The range and mean values of the driving meteorological variables of Ngoring
 366 Lake (2015-2016) compared with K Lake (1992-1993) during the ice-covered period
 367 (12.12-4.18).

Meteorologic variables	Ngoring Lake		K Lake	
	Range	Average	Range	Average
Precipitation (mm h ⁻¹)	< 0.072	0.0044	< 1.15	0.12
Downward SR (W m ⁻²)	73.98-356.29	199.41	< 186.84	40.46
Wind speed (m s ⁻¹)	1.95-8.85	4.93	0.56-7.28	2.83
Downward LR (W m ⁻²)	123.92-271.60	191.73	150.61-289.59	233.62
Specific humidity (g kg ⁻¹)	0.29-4.52	1.40	0.50-3.23	1.78
Air temperature (°C)	-22.16-2.24	-10.25	-19.69--2.01	-9.83

368

369 **5 Simulation Setup**

370 In order to reveal the mechanism of water temperature increase during ice-covered
 371 period in Ngoring Lake and its influences, we set up one control simulation (CTL) and
 372 28 experimental simulations (SIM) in this study (Table 2).

373

374 **5.1 Setup in CTL**

375 The depth of Ngoring Lake was set as 26.5 m, which is the depth at the water
 376 temperature site, and the vertical stratification was described by 35 layers. The
 377 simulation period was from September 2015 to September 2016. The initial vertical
 378 profile of water temperature, and the mixed layer and the bottom temperature were set
 379 according to the observations (Fig. 2a). The albedo of snow and ice and the extinction



380 coefficients of ice and water were set as, respectively, $A_s = 0.7$, $A_i = 0.25$, $E_i = 2.5 \text{ m}^{-1}$
 381 and $E_w = 0.15 \text{ m}^{-1}$ on the basis of previous investigations (Lei et al., 2011; Li et al.,
 382 2018; Li et al., 2020; Shang et al., 2018). The driving meteorological input variables
 383 were air pressure, wind speed, specific humidity, air temperature, precipitation,
 384 downward SR and LR. The driving data time step was 30 minutes, and the model time
 385 step was 15 seconds.

386

387 5.2 Setup in SIM

388 In order to explore the influences of climate field, the simulation called SIM_KinN was
 389 set up where all the forcing variables were replaced by those of K Lake on the basis of
 390 CTL. In order to explore the influence of a single meteorological variable, SIM_*
 391 simulations (* is SR, Precip, LR, U, Tair or q) were set up, where the * variable was
 392 replaced by the K Lake variable on the basis of CTL. These scenarios were quite
 393 artificial because climate variables are actually closely correlated. Nevertheless, using
 394 the sensitivity simulations can shed light on the influence of climate on lake temperature
 395 evolution during ice-covered period.

396 In order to explore the influence of main physical parameters, SIM_# (# is the value of
 397 A_i , E_i or E_w) is set up, representing the simulation when A_i , E_i or E_w was equal to # on
 398 the basis of CTL, respectively.

399 SIM_E* (* represents 1, 2 or 3) is set for exploring the effects of different water
 400 temperature profiles before ice-melting on the lake heat storage and heat fluxes, which
 401 represents three different vertical lake water temperature profiles on March 25, 5 days
 402 before the ice breaking.

403

404 **Table 2.** Names, explanations and corresponding numbers of all experiments.

Experiment name	Experiment introduction	Number
CTL	Control simulation	1
SIM_KinN	The simulation when all the drive variables are replaced by those of K Lake on the basis of CTL.	1
SIM_*	The simulation when the * variable is replaced by that of K Lake on the basis of CTL.	6
(* represents meteorological variables)		
SIM_#	The simulation when the physical variable is	18



(# represents values of A_i , E_i or E_w)	equal to # on the basis of CTL, respectively.
SIM_E*	The simulation when using three different initial temperature profiles on the basis of CTL.
(* represents 1, 2, and 3)	3

405

406 6 Simulation Results

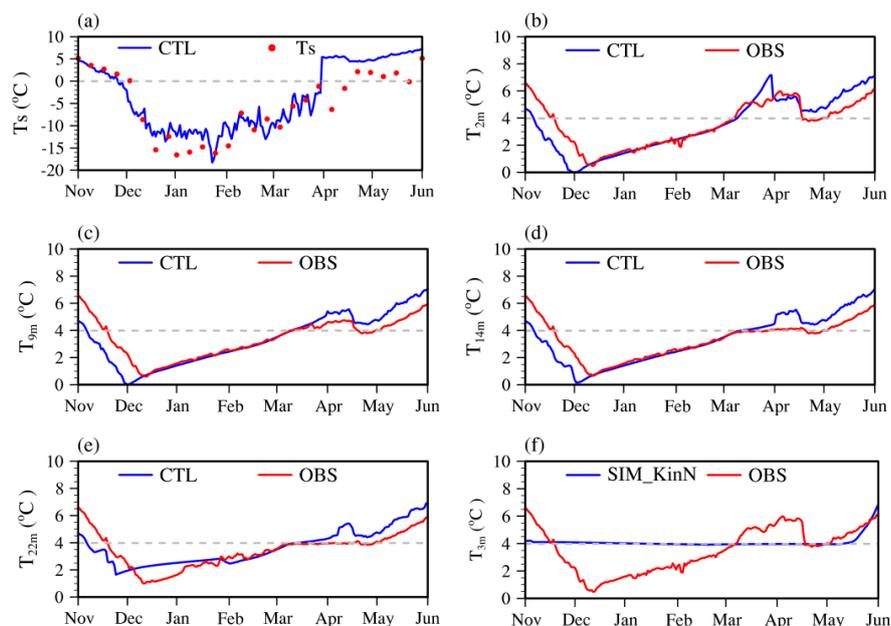
407 6.1 Model Validation

408 Compared with the observations (Fig. 2a), the simulation results of CTL (Fig. 2b) were
409 basically consistent with the observations, but the whole ice season was shifted to occur
410 about half a month earlier than observed. The water temperature was slightly higher
411 from mid-March to the end of May in the model compared with observations, and there
412 were differences in the simulation of deep layers. After the ice had melted, the simulated
413 temperature rose faster and was about 1 °C higher than the observed value. In general,
414 LAKE2.3 can reproduce the thermal stratification at the end of ice season in Ngoring
415 Lake.

416 The simulation was evaluated by comparing *RMSE*, *BIAS*, *CC* (Table 3) of the
417 simulated and observed water temperature at lake surface, 2 m, 9 m, 14 m and 22 m in
418 Ngoring Lake from November 2015 to June 2016 (Fig. 5a-e). It can be seen that *CC* of
419 each layer was greater than or equal to 0.95, and the *CC* of 2 m, 9 m and 14 m were as
420 high as 0.98, but *RMSE* and *BIAS* of lake surface were larger, 3.25 °C and 1.42 °C,
421 respectively. The surface temperature error was largely owing to the inaccuracy of the
422 MODIS data (Donlon et al., 2002; Tavares et al., 2019). The absolute value of *BIAS* of
423 other layers was less than 0.01 °C, and *RMSE* was less than 0.95 °C. In addition, it can
424 be concluded that the simulation of the temperature rise in the ice-covered period was
425 good, and the maximum temperature was 0-1 °C higher than the observed value.

426 In conclusion, LAKE model can simulate the lake warming phenomenon under ice
427 cover good in Ngoring Lake, because in the presence of ice and snow cover, the water
428 temperature of the first layer (0 m) is determined by the freezing point (Eq. 3) and does
429 not depend on air-lake heat exchange, and the solar energy is transferred from the first
430 layer to ice bottom or to the second layer. With the increase of depth, the solar energy
431 absorption decays. Thus, the second layer gains the most of solar heating, while the
432 deeper water temperature maintains at $T_{\rho,max}$. The upper layer is less dense, the
433 stratification is stable, and convection does not occur.

434



435 **Figure 5.** The daily average water temperature observed and simulated in CTL of
 436 (a) the surface (T_s), (b) 2 m, (c) 9 m, (d) 14 m and (e) 22 m in Ngoring Lake from
 437 November 2015 to June 2016. (f) Comparison of simulated in SIM_NinK and
 438 observed 3 m water temperature in Ngoring Lake. The dotted line represents $T_{\rho,max}$
 439 $= 3.98$ °C.

440

441 **Table 3.** *BIAS*, *RMSE* and *CC* between simulation and observation corresponded to Fig.
 442 5.

	T_s	T_{2m}	T_{9m}	T_{14m}	T_{22m}
<i>BIAS</i> (°C)	1.42	0.04	0.09	-0.05	0.06
<i>RMSE</i> (°C)	3.25	0.92	0.89	0.87	0.89
<i>CC</i>	0.96	0.98	0.98	0.98	0.95

443

444 6.2 Influences of Local Climate on Water Temperature

445 In order to explore the influences of local climate characteristics on the warming, water
 446 temperature and stratification of lakes, we designed 7 simulations, namely, SIM_* (*
 447 represents 6 meteorological variables) and SIM_KinN (Table 1). Since the water



448 temperature at different depths changed consistently with time, the water temperature
449 at 3 m was selected to analyze the simulation results.

450 SIM_SR was the simulation of Ngoring Lake when the downward SR of K Lake was
451 substituted for the forcing. During the ice-covered period, the downward SR of CTL
452 was strong, with an average of 199.41 W m^{-2} , while SIM_SR was 40.46 W m^{-2} , with a
453 difference of 158.95 W m^{-2} with CTL. In the sensitivity experiment SIM_SR, the water
454 temperature of 3 m was stable keeping in the range of $0\text{-}0.1 \text{ }^\circ\text{C}$ (Fig. 6a). The date of
455 ice formation was earlier and the melting date was delayed, which led to the growth of
456 the whole ice-covered period. Compared with CTL, the depth of the mixed layer
457 increased (Fig. 6d). Thus, during the ice period, the strong downward SR on the TP
458 caused the water temperature to rise, because SR in Ngoring Lake transferred more heat
459 through the ice, resulting in the accumulation of heat and continuous warming of the
460 lake.

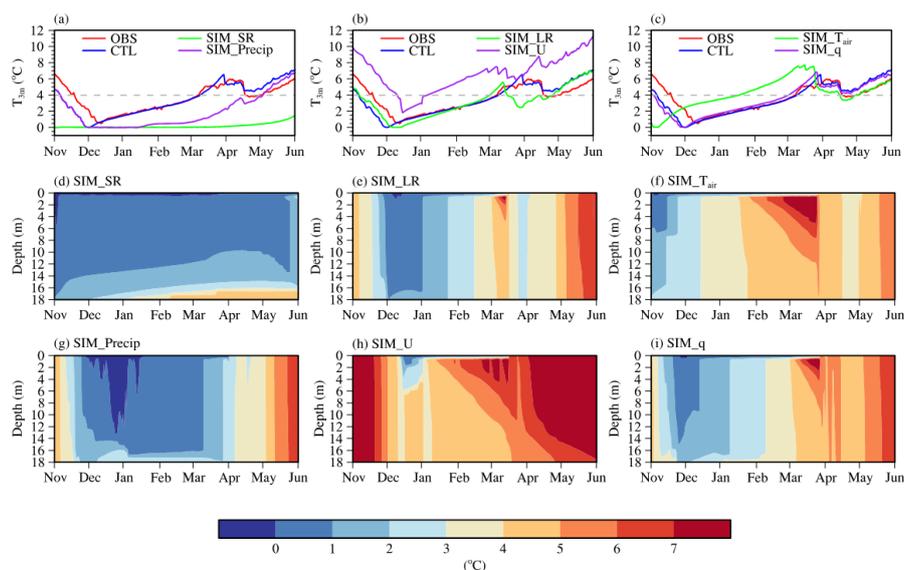
461 In SIM_Precip simulation, the precipitation of K Lake was substituted for Ngoring Lake.
462 In the sensitivity experiment SIM_Precip, the water temperature at 3 m kept horizontal
463 in the early and then increased but did not exceed $T_{\rho,max}$ (Fig. 6a). Compared with CTL
464 (Fig. 2b), the layering and the temperature maximum centers between March and April
465 disappeared, and the lake was fully mixed (Fig. 6g). This was because the mean
466 precipitation of SIM_Precip (0.12 mm h^{-1}) was approximately 30 times higher than in
467 the CTL (0.004 mm h^{-1}) during the ice-covered period. The increase in precipitation led
468 to more snowfall, more radiation reflected and absorbed by snow and less radiation
469 entering water. More precipitation damped the rise in water temperature.

470 In SIM_LR simulation, the downward LR of K Lake was substituted for Ngoring Lake.
471 In the ice-covered period, the average downward LR of SIM_LR was 233.62 W m^{-2} ,
472 which was larger than in CTL (191.73 W m^{-2}). In SIM_LR, the water temperature at 3
473 m still kept rising, and the time of complete melting of ice was earlier than in CTL, in
474 the end of February or early March. After the ice breakup, the air temperature was lower,
475 and the lake transferred heat to the atmosphere, and water temperature underwent a
476 cooling process ($2 \text{ }^\circ\text{C}$) until reaching a new equilibrium with the atmosphere (Fig. 6b).
477 Compared with the CTL, mixing in the ice-covered period was more uniform, the
478 stratification between March and April was weakened, and the temperature maximum
479 center was about 15 days earlier (Fig. 6e).

480 In SIM_U simulation, the wind speed of K Lake was substituted for Ngoring Lake. The
481 wind speed of SIM_U was less than in CTL for the whole simulation period, and the
482 average wind speed in ice-covered period was 2.21 m s^{-1} , smaller than in CTL. In the
483 sensitivity experiment SIM_U, the water temperature at 3 m was rising, but it was about
484 $3 \text{ }^\circ\text{C}$ higher than in the CTL in the whole simulation period (Fig. 6b). Due to the
485 decrease of wind speed, the depth of mixed layer decreased, and the stability of the lake



486 stratification increased (Fig. 6h).
 487 In SIM_T_{air} simulation, the air temperature of K Lake was substituted for Ngoring Lake.
 488 The average air temperature difference between SIM_T_{air} (-9.83 °C) and CTL (-
 489 10.25 °C) was small, especially during the ice-covered period, about 0.42 °C. In the
 490 sensitivity experiment SIM_T_{air}, the water temperature decreased faster than in CTL,
 491 and at the end of October, the lake began to freeze no longer releasing energy to the
 492 atmosphere. The air temperature of K Lake fluctuated while the temperature of Ngoring
 493 Lake was continuously decreasing (Fig. 6f). The lake stratification was enhanced, and
 494 the maximum center of water temperature was about 10 days ahead of time (Fig. 6f).
 495 In SIM_q simulation, the specific humidity of K Lake was substituted for Ngoring Lake.
 496 The difference of specific humidity between SIM_q and CTL were 0.38 g kg⁻¹ during
 497 the ice-covered period. In the sensitivity experiment SIM_q, the simulation results were
 498 similar to the CTL, and thus the specific humidity had little effect on the water
 499 temperature (Figs. 6c and 6i).
 500 On the whole, the stronger downward SR and lower precipitation in the TP played a
 501 positive role to increase the water temperature during the ice-covered period in Ngoring
 502 Lake. Less downward LR, lower air temperature and larger wind speed has an opposite
 503 effect, and specific humidity had no significant influence.



504 **Figure 6. The simulated 3 m daily average water temperature in (a) (d) SIM_SR,**
 505 **(a) (g) SIM_Precip, (b) (e) SIM_LR, (b) (h) SIM_U, (c) (f) SIM_T_{air}, (c) (i) SIM_q**
 506 **experiments from November 2015 to June 2016 are compared with the CTL and**
 507 **the observation, and the change of vertical stratification is shown. The dotted line**



508 represents $T_{p,max} = 3.98$ °C.

509

510 **6.3 Influences of Main Physical Parameters on Water Temperature**

511 The radiation transfer, depending on the albedo and extinction coefficient, plays a
 512 decisive role on the water temperature. The Ngoring Lake has less snow, and therefore
 513 the influence of A_i , E_i and E_w on the lake temperature simulation are discussed with
 514 sensitivity experiments. When the lake is covered by snow, the albedo of dry and light
 515 snow-covered ice is as high as about 0.9 (Leppäranta, 2014; Perovich and Polashenski,
 516 2012). According to previous observations, A_i 's observed in the TP were mostly less
 517 than 0.12, and the albedo of clear blue ice was only 0.075 (Li et al., 2018). The range
 518 of A_i without snow cover was set as 0.1-0.8 with an interval of 0.1 in the experiments
 519 SIM_ A_i . E_i has not been observed on the TP, but surveys in Finnish lakes show that the
 520 value of bare ice varies between 1-4 m^{-1} , while the value of snow-covered ice can reach
 521 5 m^{-1} (Lei et al., 2011). In SIM_ E_i simulations E_i was equal to 1-5 m^{-1} with an interval
 522 of 1 m^{-1} . For the E_w , Zolfaghari et al. (2017) found that the FLake model is particularly
 523 sensitive at $E_w \leq 0.5$ m^{-1} . Shang et al. (2018) observed that E_i varies from 0.11 to 0.67
 524 m^{-1} in a few TP lakes. Therefore, we performed the sensitivity SIM_ E_w in which the
 525 E_w varied from 0.1 to 0.5 m^{-1} with an increment step of 0.1 m^{-1} . The experimental
 526 settings are shown in Table 4.

527

528 **Table 4.** Numerical experimental design of sensitive parameters affecting radiative
 529 transfer.

Parameter	CTL	SIM_ A_i	SIM_ E_i	SIM_ E_w
A_i	0.25	0.1/0.2/0.3/0.4/0.5 /0.6/0.7/0.8	0.25	0.25
E_i (m^{-1})	2.5	2.5	1.0/2.0/3.0/4.0 /5.0	2.5
E_w (m^{-1})	0.15	0.15	0.15	0.1/0.2/0.3/0.4 /0.5

530

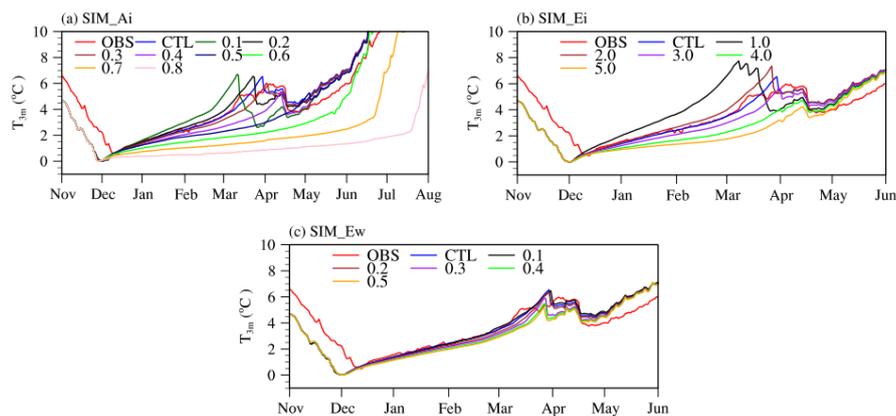
531 In the sensitivity experiment SIM_ A_i , the water temperature at 3 m decreased with the
 532 increase of ice albedo, which was approximately equal to 1 °C for every step of 0.1 of
 533 the albedos. When the albedo had increased to 0.80, the rise of water temperature had
 534 decreased from 0 °C to 2 °C. The increase of ice albedo does not affect the date of ice



535 formation, but it delayed the time of ice melting remarkably, thus prolonging the ice-
536 covered period. When the albedo increased from 0.1 to 0.8, the increase was equivalent
537 to 0.1-step, and the ice-covered period was extended for 15-30 days (Fig. 7a).
538 In the sensitivity experiment SIM_E_i, changes of extinction coefficient of ice did not
539 all give a continuous rising of the water temperature, but at 3 m depth the temperature
540 decreased by 1-2 °C for the increase of ice extinction coefficient by 1 m⁻¹ (Fig. 7b). The
541 greater was the extinction coefficient of ice, the more heat the ice absorbed, and the less
542 heat entered the lake water under ice.
543 In order to further explore the influence of A_i on lake temperature in ice-covered period.
544 We divided ice-covered period into two periods in CTL and the sensitivity experiment
545 SIM_A_i and SIM_E_i, respectively Period-A and Period-B. Period-A ranged from
546 freezing point to $T_{\rho,max}$, and Period-B ranged from $T_{\rho,max}$ to maximum temperature
547 (T_max). The duration and heating rate of the two periods and the T_max of Period-B
548 was calculated (Table 5 & 6). The duration of Period-A is longer than that of Period-B,
549 and the temperature heating rate of Period-B are 10 orders of magnitude greater than
550 that of Period-A. The reason is that lake is completely covered by ice, and the inner part
551 of the lake is evenly mixed in Period-A, while the ice thickness decreases and the
552 radiation absorbed by the ice decreases in Period-B. The upper layer absorbs more heat
553 than the deeper layer, and the temperature of the upper layer increases rapidly. When
554 A_i and E_i increases, the heating rate decreases and the duration increases in Period-A,
555 the T_max decreases, the heating rate and duration fluctuate in Period-B. When A_i ≥
556 0.6, the heating rate during ice-covered period decreases and will not rise to $T_{\rho,max}$, so
557 the heating rate and duration of the entire ice-covered period are shown in Table 5.
558 In the sensitivity experiment SIM_E_w, the water extinction coefficient had just little
559 influence on winter water temperature, which was shown as the late ice temperature
560 decrease with the increase of E_w (Fig. 7c). The main reason was that in the later period
561 the ice melted and the ice thickness decreased. The higher was the extinction coefficient
562 of water, the more heat was absorbed by shallow water and the less heat reached deep
563 layer.
564



565



566 **Figure 7. Comparison of the simulated daily average water temperature of 3 m**
 567 **with the observed value under different (a) A_i , (b) E_i , (c) E_w .**

568

569 **Table 5.** The ice-covered period is divided into two periods which Period-A is from
 570 freezing point to $T_{\rho,max}$, Period-B is from $T_{\rho,max}$ to T_{max} . The duration heating rate of
 571 two periods and the T_{max} of Period-B is counted in SIM_ A_i .

SIM_ A_i	T_{max} (°C)		Heating Rate (°C d ⁻¹)		Duration (day)	
	Period-B	Period-A	Period-B	Period-A	Period-B	Period-B
0.1	6.70	0.048	0.144	82	19	
0.2	6.57	0.043	0.124	92	21	
0.25 (CTL)	6.54	0.040	0.122	99	21	
0.3	6.04	0.037	0.120	106	17	
0.4	5.36	0.032	0.127	123	11	
0.5	5.29	0.028	0.115	145	11	
0.6	-	0.021			175	
0.7	-	0.019			207	
0.8	-	0.011			235	

572 *Here dashes (–) indicate no values.

573



574 **Table 6.** The ice-covered period is divided into two periods which Period-A is from
 575 freezing point to $T_{\rho,max}$, Period-B is from $T_{\rho,max}$ to T_{max} . The duration heating rate of
 576 two periods and the T_{max} of Period-B is counted in SIM_Ei.

SIM_Ei (m ⁻¹)	T_max (°C)		Heating Rate (°C d ⁻¹)		Duration (day)	
	Period-B	Period-A	Period-B	Period-A	Period-B	
1	7.53	0.059	0.101	67	35	
2	7.36	0.044	0.130	91	26	
2.5 (CTL)	6.54	0.040	0.122	99	21	
3	5.62	0.037	0.134	109	12	
4	4.73	0.033	0.052	121	14	
5	4.25	0.030	0.084	132	3	

577

578 **6.4 Influences of Water Temperature on Lake-Atmosphere Exchange**

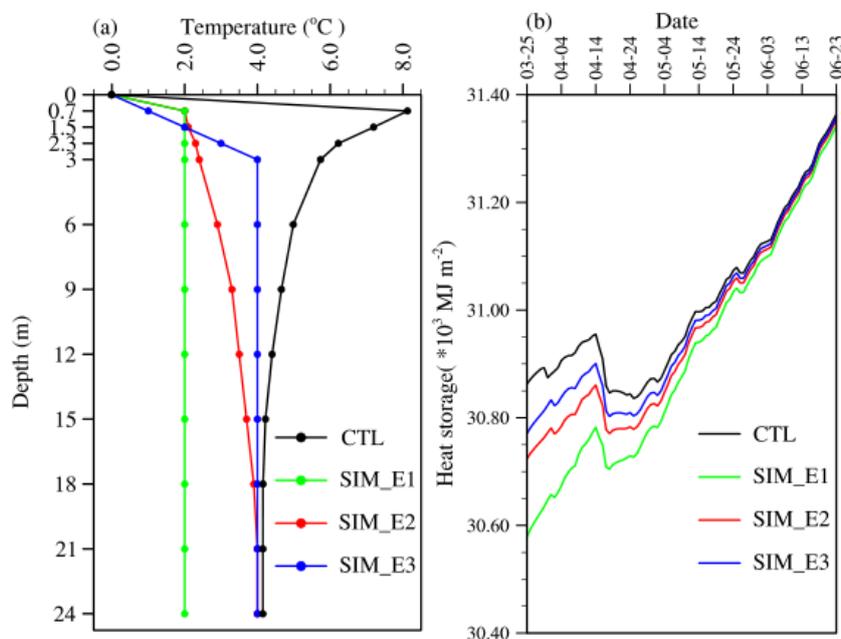
579 The thermal conditions in an ice-covered lake just before ice melting have significant
 580 influence on the air-lake energy exchange. In order to explore the effects of lake
 581 temperature characteristics on the atmosphere at ice melting, three experiments –
 582 SIM_E1, SIM_E2 and SIM_E3 (Table 1) – were set up based on the CTL and the
 583 observed lake temperature profile on March 25, 2016, 5 days before the ice had
 584 completely melted (Fig. 8a). The characteristics of the initial water temperature profile
 585 were:

- 586 - SIM_E1. The stratification was weak, the temperature of the first layer was at
 587 the melting point, and, from the second layer down, the water temperature was
 588 set as 2 °C corresponding to Bangong Co (Wang et al., 2014).
- 589 - SIM_E2. The temperature was strongly stratified. The first layer was at the
 590 melting point, and the temperature increased linearly reaching $T_{\rho,max}$ at the
 591 bottom, corresponding to Valkea-Kotinen Lake (Bai et al., 2016).
- 592 - SIM_E3. The temperature of the first layer was at the melting point, and the
 593 temperature gradually increased with the depth from the second layer to the
 594 middle layer, and the temperature in the middle layer increased to $T_{\rho,max}$
 595 corresponding to Thrush Lake (Fang and Stefan, 1996).

596 In the CTL, the first layer was at the melting point, and the second layer reached the
 597 maximum temperature on March 25. The deeper the layer, the lower was the
 598 temperature, until the temperature reached the higher was the temperature until reached
 599 $T_{\rho,max}$.



600 Under the different initial temperature profiles, the heat storage per unit area of Ngoring
601 Lake was different after ice breakup, and the difference lasted about two months (Fig.
602 8b). In CTL, from one day before complete melting (March 30) to complete melting
603 (March 31), the lake heat content per unit area ranged from 30893.02 MJ m⁻² to
604 30874.51 MJ m⁻², and the heat released was 18.51 MJ m⁻². In the three experiments, in
605 the last day before ice complete melting (April 1 to 2), the heat content of the lake
606 changed from 30657.51 MJ m⁻² to 30651.67 MJ m⁻² in SIM_E1, from 30781.07 MJ m⁻²
607 to 30769.91 MJ m⁻² in SIM_E2, and from 30833.28 MJ m⁻² to 30822.42 MJ m⁻² in
608 SIM_E3, and the heat release was 5.84 MJ m⁻², 11.16 MJ m⁻², and 10.86 MJ m⁻²,
609 respectively (Fig. 8b). Although the initial lake temperature profiles were different
610 before complete melting, the higher the lake temperature was, the earlier and faster the
611 ice melted. The heat storage per unit area of Ngoring Lake varied from March 25 to
612 May 24, and the heat release rate of the lake was different under different circumstances.
613 After the late May, the heat balance between the lake and the atmosphere was the same,
614 and so the heat storage per unit area of the lake is basically the same after that.

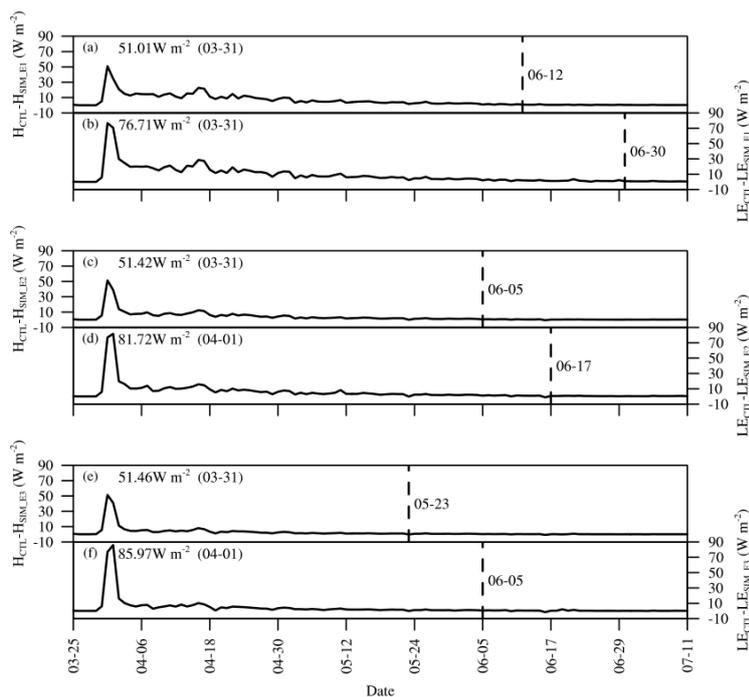


615 **Figure 8. (a) The initial water temperature profile in the model is set on March 25,**
616 **2016 and the corresponding daily average (b) lake heat storage per unit area is**
617 **simulated. SIM_E1, SIM_E2 and SIM_E3 are three different initial water**
618 **temperature profiles.**



619

620 The temperature of the lake surface also affected the sensible and latent heat release
 621 from the lake surface. The sensible and latent heat differences between CTL and the
 622 three experimental simulations were calculated (Fig. 9). The influence of different
 623 initial water temperature profiles started in March 31, that is, when the ice had melted
 624 completely in CTL, and when the sensible and latent differences between CTL and three
 625 experimental simulations was less than 0.1 W m^{-2} for three consecutive days, we judged
 626 that the influence ended. The maximum differences of the sensible heat (51.0 W m^{-2})
 627 and latent heat (76.7 W m^{-2}) between SIM_E1 and CTL appeared on March 31 and
 628 ended on June 12 and 30, respectively (Fig. 9a). In SIM_E2 the corresponding numbers
 629 were 51.4 W m^{-2} (March 31 to June 5) for sensible heat and 81.7 W m^{-2} (April 1 to June
 630 17) for latent heat (Fig. 9b), and in SIM_E3 they were 51.5 W m^{-2} (March 31 to May
 631 23) for sensible heat and 86.0 W m^{-2} (April 1 to June 5) for latent heat (Fig. 9c).
 632 Compared with the three lake temperature characteristics, the heating characteristics of
 633 Ngoring Lake made the heat release higher and faster during ice breakup. The duration
 634 of heat release difference was 59 (to May 23)-97 (to June 30) days, and for the latent
 635 heat release the situation lasted about 12-18 days longer than for the sensible heat
 636 release.



637 **Figure 9. The daily averaged difference between the simulated sensible and latent**



638 **heat and the CTL under three different initial water temperature profiles in**
639 **SIM_E1, SIM_E2 and SIM_E3.**

640

641 **7 Conclusions**

642 In Ngoring Lake, the largest freshwater lake on the TP, we have observed a significant
643 increase in lake temperature during the ice-period, and this phenomenon not only occurs
644 in Ngoring Lake but also in other TP lakes such as Bangong Co, Gongzhu Co, Zhari
645 Namco, Dagze Co and Nam Co. The situation is largely different from the low-altitude
646 northern lakes where the air temperature is comparable. We used the LAKE model
647 combined with observed and sensitivity forcing data to study the under-ice water
648 temperature evolution, revealing the cause, formation mechanism and impact of the
649 warming phenomenon. The main conclusions are as follows.

650 During the period from the beginning of freezing to the complete melting of ice, the
651 water temperature of Ngoring Lake continued to rise. The upper water temperature (2-
652 10 m) was more than $T_{\rho,max}$, at highest 5.83 °C during 2015 to 2016, while the highest
653 temperature in deep water was $T_{\rho,max}$.

654 Different with other tested models (Flake, and the lake scheme coupled in the CLM and
655 WRF), LAKE2.3 could simulate the vertical thermal stratification during the ice-
656 covered period in Ngoring Lake well, and the continuous rising of water temperature
657 was simulated more accurately. Compared with MODIS surface temperature data, the
658 *BIAS*, *RMSE* and *CC* were 1.42 °C, 3.25 °C and 0.96, respectively. The absolute values
659 of *BIAS* and *RMSE* were less than 0.1 °C and 1 °C in 2 m, 9 m 14 m and 22 m. The *CC*
660 of simulated and observed water temperature at 2 m, 9 m and 14 m were as high as 0.98,
661 and the *CC* of simulated and observed water temperature at 22 m was 0.95.

662 Sensitivity simulations with perturbed local climate data showed that strong downward
663 SR in TP played a dominant role in the water temperature rise during the ice-covered
664 period in Ngoring Lake, and also the low precipitation played a positive feedback role.
665 The smaller downward LR, lower air temperature and larger wind speed had negative
666 feedback to the water temperature.

667 The sensitivity simulation results of the main physical parameters that affect the
668 radiation transfer showed that with the increase of the albedo of ice, the rising trend of
669 water temperature decreased and the length of the ice season increased. When albedo
670 increased to 0.6, the lake water temperature no longer rose but tended to remain on a
671 stable level. With the increase of extinction coefficient of ice, the increase of the
672 temperature of the lake in the ice-covered period of Ngoring Lake decreased. The
673 extinction coefficient of water had just a minor effect on water temperature under ice.

674 Compared with three more stable lake temperature profiles, the warming of Ngoring



675 Lake ice-covered period caused the maximum sensible and latent heat releases after ice
676 melting, and the difference of sensible and latent heat releases lasted for 59-97 days
677 between the lakes with the characteristics of three typical ice-covered periods which
678 the water temperature remained fixed in each layer or was less than or equal to the
679 maximum density temperature and Ngoring Lake. The distribution of water temperature
680 affected the heat storage and heat transfer of lake surface after ice melting. The higher
681 the water temperature, the higher the heat storage per unit area of the lake, and the
682 greater were the sensible and latent heat release from the melting ice.

683

684 *Data availability.* The daily precipitation data from Chinese surface stations are
685 available for purchase from the China Meteorological Data Service Center (CMDSC,
686 <http://data.cma.cn/en/>). The MODIS LST product are available from National
687 Aeronautics and Space Administration (NASA) (<https://earthdata.nasa.gov/>). ERA5-
688 Land data is available with funding from the European Union's Copernicus Climate
689 Change Service (<https://cds.climate.copernicus.eu/>). Lake temperature data of Ngoring
690 Lake in 2015 and 2016 were uploaded to Zenodo by Georgiy Kirillin
691 (<http://doi.org/10.5281/zenodo.4750910>). The weather observation data of Ngoring
692 Lake can be obtained from the website (<https://nimbus.igb-berlin.de/index.php/s/Moqxgn29DbNFyr8>).

694

695 *Author contributions.* MW and LW conceived the study. MW performed the modelling
696 with contributions from VS, LW and ZL. YZ, RN and LY processed some data. MW,
697 LW, ML and GK analyzed the model output. MW wrote the paper, with contributions
698 from all co-authors.

699

700 *Competing interests.* The authors declare that they have no conflict of interest.

701

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