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2	Three different glacier surges at a spot:
3	What satellites observe and what not
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20	Abstract
21	In the Karakoram, dozens of glacier surges occurred in the past two decades, making the region
22	one of its global hotspots. Detailed analyses of dense time series from optical and radar satellite
23	images revealed a wide range of surge behaviour in this region: from slow advances longer than a
24	decade at low flow velocities to short, pulse-like advances over one or two years with high veloci-
25	ties. In this study, we present an analysis of three currently surging glaciers in the central Karako-
26	ram: North and South Chongtar Glaciers and an unnamed glacier referred to as NN9. All three
27	glaciers flow towards the same region but differ strongly in surge behaviour. A full suite of satel-
28	lite sensors and digital elevation models (DEMs) from different sources are used to (a) obtain
29	comprehensive information about the evolution of the surges from 2000 to 2021 and (b) to com-
30	pare and evaluate capabilities and limitations of the different satellite sensors for monitoring rela-
31	tively small glaciers in steep terrain. A strongly contrasting evolution of advance rates and flow
32	velocities is found, though the elevation change pattern is more similar. For example, South
33	Chongtar Glacier had short-lived advance rates above 10 km y $^{\text{-1}}$ , velocities up to 30 m d $^{\text{-1}}$ and sur-
34	face elevations increased by 200 m. In contrast, the neighbouring and three times smaller North
35	Chongtar Glacier had a slow and near linear increase of advance rates (up to 500 m $y^{-1}$ ), flow ve-
36	locities below 1 m d <sup><math>-1</math></sup> and elevation increases up to 100 m. The even smaller glacier NN9 changed
37	from a slow advance to a full surge within a year, reaching advance rates higher than 1 km $y^{-1}$ . It





38 seems that, despite a similar climatic setting, different surge mechanisms are at play and a transi-39 tion from one mechanism to another can occur during a single surge. The sensor inter-comparison 40 revealed a high agreement across sensors for deriving flow velocities, but limitations are found on 41 small and narrow glaciers in steep terrain, in particular for Sentinel-1. All investigated DEMs have 42 the required accuracy to clearly show the volume changes during the surges and elevations from 43 ICESat-2 ATL06 data fit neatly. We conclude that the available satellite data allow for a compre-44 hensive observation of glacier surges from space when combining different sensors to determine 45 the temporal evolution of length, elevation and velocity changes.

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# 48 **1. Introduction**

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50 Glacier surges in the Karakoram are widespread (e.g. Sevestre and Benn, 2015) and have been 51 thoroughly documented using historic literature sources and time series of satellite images (Cop-52 land et al., 2011; Bhambri et al., 2017; Paul, 2020). A large number of publications provides in-53 sights into decadal elevation changes (e.g. Bolch et al., 2017; Berthier and Brun, 2019; Brun et al., 54 2017; Gardelle et al., 2013; Rankl and Braun, 2016; Zhou et al., 2017) and mean annual flow ve-55 locities (e.g. Dehecq et al., 2015; Rankl et al., 2014) at a regional scale. Using various satellite da-56 tasets, several studies have also investigated individual glacier surges at high temporal resolution 57 (e.g. Bhambri et al., 2020; Mayer et al., 2011; Paul et al., 2017; Quincey et al., 2015; Round et al., 58 2017; Steiner et al., 2018).

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60 This increasing interest is in part due to the hazard potential of glacier surges, in particular when 61 river damming creates lakes that might catastrophically drain in so-called glacier lake outburst 62 floods (GLOFs) with far reaching impacts (e.g. Bazai et al., 2021; Bhambri et al., 2019 and refer-63 ences therein; Iturrizaga, 2005), but also due to the increased availability of satellite data for char-64 acterizing surges in detail (e.g. Dunse et al., 2015; King et al., 2021; Nuth et al., 2019; Rashid et 65 al., 2020; Wang et al., 2021; Willis et al., 2018). The still limited understanding of surges in the 66 Karakoram region (e.g. Farinotti et al., 2020) and the high diversity of observed surge characteris-67 tics (e.g. Bhambri et al., 2017; Hewitt, 2007; Paul, 2015; Quincey at al., 2015) also contribute to 68 the recent efforts. These studies found that both main types of glacier surges can be found in the 69 Karakoram, sometimes side-by-side: The Alaska type, which might be triggered by a change in the 70 basal hydrologic regime, creates pulse-like surges of a short duration (2-3 years), whereas the 71 thermally-controlled Svalbard type has often active surge durations of many years (e.g. Jiskoot, 72 2011; Murray et al., 2002; Raymond, 1987; Sharp, 1988). Although the physical reasons for the 73 differences and variability of surges in the Karakoram are yet unknown (e.g. glacier properties, 74 thermal regime, mass balance history), many glaciers in the Karakoram have surged repeatedly, 75 sometimes at surprisingly constant intervals and over centuries (e.g. Bhambri et al., 2017; Paul,





76 2020). On average, surges in the central Karakoram repeat after 40 to 60 years, but intervals can77 range from less than 20 to more than 80 years.

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79 In the thermally controlled case, it is sometimes difficult to distinguish a regular advance from a 80 surge, as the transition can be gradual (Lv et al., 2020). Whether an advance (stimulated by a posi-81 tive mass budget) is indeed a surge might be determined by comparison with the behaviour of 82 neighbouring glaciers. As thresholds on advance rates or ice flow speedup might not be efficient to 83 distinguish (slow) surges from advances in the Karakoram, the typical mass redistribution pattern 84 of a surge (from an upper reservoir to a lower receiving zone) as obtained from differencing digital 85 elevation models (DEMs) acquired a few years apart (e.g. Gardelle et al., 2013) is a more reliable 86 identifier (Lv et al., 2019; Goerlich et al., 2020). Usually, the surface in the upper regions of a 87 glacier does not lower significantly during a regular advance (Lv et al., 2020). A further method to 88 discriminate surges from a usual advance is related to a strong increase in crevassing and devel-89 opment of shear margins. However, these are only visible in very high-resolution satellite images 90 or time-series of SAR data (Leclercq et al., 2021).

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92 In this study, we present (a) a comparative analysis of the on-going surges of three glaciers in the 93 central Karakoram: North and South Chongtar Glacier and a small, unnamed glacier referred to 94 here as NN9. We present a comparative analysis of their changes in length, advance rates, flow 95 velocities and surface elevations to elucidate the respective similarities and differences in surge 96 behaviour. As a second aim of this study, we (b) investigate the feasibility of various satellite sen-97 sors and DEMs to follow the temporal evolution of the surges comprehensively. Included are opti-98 cal (Sentinel-2, Landsat, Planet cubesats) and synthetic aperture radar (SAR) imaging sensors 99 (Sentinel-1, TerraSAR-X), altimeter data from ICESat-2 and DEMs from the Shuttle Radar To-100 pography Mission (SRTM), the Satellite Pour l'Observation de la Terre (SPOT), the High Moun-101 tain Asia DEM (HMA-DEM) and the Advanced Spaceborne Thermal Emission and reflectance 102 Radiometer (ASTER) by Hugonnet et al. (2021).

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# 105 2. Study region

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107 The study region is located in the central Karakoram, north of the Baltoro Glacier, at about 5.94° N and 76.33° E (Fig. 1). East of the study region stands the second highest mountain in the world, 109 the 8611 m high K2. Slopes of the surrounding terrain are very steep and snow avalanches from 110 the surrounding rock walls are a major source of glacier nourishment. Mass changes over the past 111 20 years derived from satellite data using the geodetic method show more or less constant near-112 zero mass budgets in the study region (Hugonnet et al., 2021), confirming the continuation of the 113 'Karakoram Anomaly' (i.e. the balanced mass budgets) in this region (Farinotti et al., 2020).





114	
115	Fig. 1: Overview study region
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117	Most precipitation in the study region is brought by westerly air flow during winter, but the mon-
118	soon brings moist air from the southeast also during summer (Maussion et al., 2014), falling as
119	snow at the high elevations of the rock walls surrounding most glaciers. However, due to the good
120	protection from nearly all directions, the amount of snowfall in the study region is limited and a
121	dry-continental climate can be expected (e.g. Sakai et al., 2015). As surge-type glaciers are abun-
122	dant (Copland et al., 2011; Bhambri et al., 2017) and repeat intervals are comparably short (Paul,
123	2020), several glaciers in the Karakoram are typically actively surging at any given time.
124	
125	The three glaciers investigated here (North/South Chongtar, NN9) have mean elevations around
126	5500 m and are surrounded by mountain ridges with elevations between 6000 and 7500 m above
127	sea level. South Chongtar Glacier (shortened to South Chongtar in the following) is the largest
128	with an area of $\sim 31 \text{ km}^2$ and a length of more than 14 km at minimum extent, but it has a narrow
129	tongue with a near-constant width of about 800 m. The glacier is mainly east-west oriented in its
130	upper part, bending towards south-north near the terminus. North Chongtar lies north of South
131	Chongtar and is connected to it in its accumulation area. It flows from southeast to northwest, co-
132	vers an area of ~10 km <sup>2</sup> , has a length of 4.5 km at minimum extent and is about 400 m wide. The
133	unnamed glacier NN9 is located on the opposite side of the main valley and flows roughly from
134	west to east. The glacier is about 3.5 km long at minimum extent with an area of 4 $\rm km^2$ and a ${\sim}300$
135	m wide tongue. Table 1 summarises further characteristics and topographic properties.
136	
137	Table 1: Basic properties of the three investigated glaciers
138	
139	At their maximum extent the three glaciers reach Sarpo Laggo Glacier, a compound-basin valley
140	glacier with a size of 122.3 km <sup>2</sup> . This glacier experienced a massive surge shortly before 1960
141	(Paul, 2020) and a smaller, more internal one (i.e. not reaching the terminus), between 1993 and
142	1995 (e.g. Paul, 2015). According to Paul (2020), South Chongtar had a rapid advance during a
143	surge that started in 1966 with a short active phase of about two years followed by a quiescent
144	phase with continuous down-wasting and retreat. During this surge it partly compressed the ice
145	from Sarpo Laggo and deformed a moraine from Moni Glacier (see Fig. 1), leaving an impressive
146	surge mark. In contrast, North Chongtar started advancing about 55 years ago but has not yet
147	reached Sarpo Laggo. The Shipton map from 1937 (Shipton, 1938) shows North Chongtar in con-
148	tact with it, indicating that the terminus might reach it again. The glacier NN9 had its last surge
149	from about 1961 to 1971 (leaving a small surge mark on Sarpo Laggo) and retreated in its quies-
150	cent phase until 2000, when it started to advance slowly. The two glaciers to the south of NN9
151	(NN7 and NN8 in Paul, 2020) both surged around 1955, and again in 1998 and 1980, respectively.





- 152 NN8 also surged after 2002, indicating a surge cycle of only 20-25 years. The next surge of NN8
- 153 can thus be expected soon, at least if environmental conditions prevail.
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- 155

# 156 3. Datasets

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158 In this section, we describe the satellite and auxiliary datasets used to derive time series of glacier 159 outlines, surface flow velocities and elevation changes in the study region. Figure 2 shows the 160 temporal coverage of each dataset, and the periods selected for the analysis. Changes in glacier 161 extent have been mapped for the active (advance) phases of the three glaciers, starting with Land-162 sat Multispectral Scanner (MSS) images from 1973 for North Chongtar Glacier. The earliest da-163 tasets used to derive flow velocities and elevation changes were acquired in 2000, the Landsat 7 164 Enhanced Thematic Mapper plus (ETM+) panchromatic band and the SRTM DEM. 165 166 Fig. 2: Timeline of datasets used 167 168 3.1 Glacier extent and centrelines 169 We used glacier outlines from the updated Glacier Area Mapping for Discharge from the Asian Mountains (GAMDAM2) inventory by Sakai (2019) as a starting point for all glacier extents. This 170 171 dataset was locally improved (removing rock outcrops and seasonal snow) using a Landsat 8 im-172 age acquired on 21 October 2020 (Fig. 1). Given the unknown final length of the glaciers, we dig-173 itized possible virtual maximum extents for the three glaciers, avoiding overlapping polygons in 174 their terminus regions. The virtual extents were guided by maximum extents of previous surges 175 described by Paul (2020). 176 177 Changes in extent were derived from time series of spatially consistent Landsat data (MSS, TM, 178 ETM+ and Operational Land Imager (OLI)) from path-row 148-35 as well as a couple of Sentinel-179 2 scenes (tile 43SFV) required to bridge sparse cloud-free Landsat scenes after February 2021. The 180 list of satellite scenes used for determination of geometric changes (outlines, length changes) is 181 given in Table S1 of the Supplemental Material. 182 183 The centrelines for NN9, South and North Chongtar were manually digitized starting from the 184 highest points of each glacier down to the virtual maximum extent. The centrelines were divided 185 into equidistant points of 100 m at which values for velocity and elevation were extracted. 186

### 187 3.2. Flow velocity

- 188 Time series of optical and SAR data were used to derive glacier flow fields (listed in Table S2).
- 189 Landsat 7 and 8 scenes, Sentinel-2 and TerraSAR-X (TSX) were used (Fig. 2) to determine pre-





- 190 surge flow velocities of South Chongtar and advance/surge phase velocities for all glaciers. Images 191 from Planet cubesats were used for a comparison of results with Sentinel-2 and some gap filling in 192 the time series rather than for a full documentation of the active surge of South Chongtar. Veloci-193 ties from Landsat 8 were also compared with Sentinel-2.
- 194

From TSX co-registered single-look slant range complex (SSC) images acquired in StripMap mode, with across- and along-track resolution of up to 3 m are used. The selected image pairs are from two different tracks, cover the study region in the descending direction and were acquired in 2011, 2012 and 2014 (Table S2). Time series of Sentinel-1 single-look complex (SLC) data acquired in interferometric wide (IW) swath mode were used to test its feasibility to derive flow velocities and to create an animation of the surge that is unobstructed by clouds. The Sentinel-1 IW SLC data have a nominal ground resolution of 5 m x 20 m.

202

#### 203 **3.3 Elevation information**

204 To follow elevation changes of the glaciers before and during the surge, we analysed several 205 DEMs from both optical and SAR sensors (Table 2). We used the following DEMs with known 206 acquisition dates: The SRTM1 DEM at 1 arcsec (~30 m) resolution from February 2000 (USGS, 207 2017), a SPOT5-HRS DEM from January 2010 (Gardelle et al., 2013; we used their version v2 for 208 rugged areas), a SPOT6 DEM from October 2015 (Berthier and Brun, 2019), and a SPOT7-209 derived DEM from October 2020 that was generated for this study. In addition, we used the HMA-210 DEM mosaic (Shean, 2017) as a reference for DEM co-registration analysis due to its superior 211 spatial resolution and accuracy over stable terrain (off-glacier) compared to the other DEMs (Fig. 212 S1). The HMA-DEM is composed of various DEM datasets mostly acquired during 2015 (Feb., 213 April, July, and Aug.) in this region. Elevation values along the centrelines are extracted from the-214 se DEMs and DEM differences are calculated for the periods 2000-2010, 2010-2015 and 2015-215 2020. For comparison, we also analysed elevation changes derived from ASTER time series by 216 Hugonnet et al. (2021). These provide additional information about the 2000-2005 and 2005-2010 217 period as well as from 2000 to 2019 (before the surge of South Chongtar).

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- 219

#### Table 2: Overview DEM characteristics

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We also analysed whether altimetry data from ICESat-2 could be used to reveal elevation changes at a higher temporal resolution. The Advanced Topographic Laser Altimeter System (ATLAS) instrument on-board ICESat-2 acquires elevation profiles at a 91-day temporal resolution since October 2018. Each satellite overpass results in three beam pairs that are separated by 3.3 km and 90 m between/within pairs, respectively (Markus et al., 2017). The ICESat-2 ATL06 dataset provides geolocated land ice surface heights with 40 m spatial resolution in profile direction. Figure S2 shows the ATL06 dates and elevations of data points crossing North and South Chongtar, and





the two closest repeating pairs of tracks on South Chongtar. Due to the systematic off-pointing at mid-latitudes, ICESat-2 tracks are not repeated exactly in our study area and the ATL06 data alone proved too sparse, both geographically and temporally, for further analysis of the surges.

231

The ICESat-2 ATL03 Global Geolocated Photon Data (Neumann et al., 2021), from which the ATL06 dataset is a higher-level derivative, provides surface elevation measurements from individual photons every 0.7 m along the elevation profiles, revealing details of the surface topography of the glaciers. The ICESat-2 surface elevations fall into the time gap of the DEMs between 2015 and 2020, thus providing additional temporal information on the surge development. In total, we found 42 intersections with the centrelines of the three investigated glaciers: 23 on South Chongtar (from seven dates), 13 on North Chongtar (from six dates), and 6 on NN9 (from three dates).

239 240

#### 241 4. Methods

# 242 **4.1 Glacier extent**

The timing of the selected images used to digitize glacier extents varies strongly depending on the advance rates. To have at least a two-pixel change in frontal position, it varies from several years for the slow advance of North Chongtar to about 16 days for the surge phase of South Chongtar. Due to frequent cloud cover, different scenes had to be used for the individual glaciers (Table S1). For the digitization, the polygon referring to the virtual maximum extent of each glacier was split into a multi-polygon by digitizing the smaller extents visible on the respective satellite images.

249

Length changes between two terminus positions from  $t_1$  and  $t_2$  were derived manually using the distance tool in ArcGIS. Several values were obtained for each change and a suitable average assigned (values usually varied by about ±10 m). We only used the Landsat 7 and 8 time series for this as the Landsat Collection 1 data had a spatial shift compared to Sentinel-2 (e.g. Paul et al., 2016). The length change values from  $t_1$  to  $t_2$  were divided by the temporal difference ( $t_2 - t_1$ ), converted to mean annual advance rates and assigned to the date that is halfway between  $t_1$  and  $t_2$ . Cumulative changes were obtained by summing up the individual length changes.

257

### 258 4.2 Velocities

Flow velocities typically span two to three orders of magnitude, e.g. from <0.1 m d<sup>-1</sup> for near stagnant glaciers to >10 m d<sup>-1</sup> during a surge. When using offset-tracking (e.g. Strozzi et al., 2002) this range can to some extent be accounted for by varying the search window size or the time between the acquisition dates of the image pair. If glaciers with very different flow velocities are in the study region, it might be required to use images from different dates for the analysis or an adaptive search window (Debella-Gilo and Kääb, 2012). In the following, we describe some basics of the processing lines applied for optical and SAR sensors.





# 266

267 The normalized cross-correlation algorithm implemented in the correlation image analysis soft-268 ware (CIAS, Kääb and Vollmer, 2000) is used to calculate the glacier surface displacement be-269 tween optical satellite image pairs (Fig. S3 is illustrating the workflow). The satellite images were 270 not co-registered as we assume that they are corrected for topographic distortion, and therefore the 271 displacement calculated between two images is the actual horizontal displacement without any 272 influence of topography. To check co-registration, abundant stable terrain was included in the cor-273 relation. The displacements are estimated at a spatial resolution of 100 m while the size of the 274 search area is set in relation to the maximum displacement estimated between two satellite scenes. 275 Dividing the displacement by the temporal difference between the image pairs (Table S2) gives 276 velocity in m d<sup>-1</sup>.

277

278 With optical data, clouds, cast shadows and changes in snow cover lead to false detections or bi-279 ased measurements of the calculated displacement fields. These mismatches are removed in post-280 processing by setting a threshold of the maximum correlation coefficient (<0.5) and velocity. For 281 Sentinel-2 data, elevated objects such as clouds are detected by applying CIAS between band 4 282 and band 8 of the same Sentinel-2 scene. The calculated perspective displacements (both bands are 283 recorded at slightly different positions of the sensor) are then used to mask the clouds. For all sat-284 ellite data, spatial filtering based on a moving median window as well as temporal filtering are ap-285 plied to remove additional outliers and noise (Fig. S3).

286

287 Surface flow velocities for TSX data were derived by an iterative offset-tracking technique devel-288 oped for SAR data (Wuite et al., 2015). This method does not require coherence and is thus also 289 capable of acquiring flow velocity data over longer time spans and in regions with fast flow. The 290 method is based on cross-correlation of templates in SAR amplitude images and provides both the 291 along-track and line-of-sight velocity components from a single image pair. We used a template 292 size of 96×96 pixels for generating velocity maps with 50 m grid spacing and applied a 9x9 in-293 verse-distance median filter in the post-processing step to remove outliers and fill in small gaps. 294 For Sentinel-1, the same method was applied but tests with various image template sizes were per-295 formed with an image pair acquired on 4 and 1611. 2020 during the peak of the surge (Fig. S4).

296

# 297 4.3 Elevation data

We used the MicMac software to generate the SPOT 2020 DEM from the raw imagery (Rupnik et al., 2017). The pre-processing of all DEMs follows the standard processing steps for DEM differencing. All DEMs were projected to UTM 43N (EPSG 32643), elevations were vertically transformed to the WGS 84 ellipsoid and DEMs were co-registered to the HMA DEM using OPALS (Pfeifer et al., 2014). Specifically, we applied least squares matching to estimate the full 3D affine transformation parameters that minimize the errors with respect to the reference DEM over com-





mon stable areas. These were manually digitized off-glacier excluding slope values larger than 40
degrees (Fig. S5). We also excluded data voids from further analyses. This removes large parts of
the accumulation areas of some glaciers in the case of the SPOT 2010 and 2015 DEMs, but had
little impact for the other DEMs.

308

All DEMs were resampled, clipped and aligned to the same 30 m grid and a high-resolution 5 m grid for the HMA DEM and SPOT 2020. We did not correct the SRTM DEM for microwave penetration into ice and snow (Gardelle et al., 2012), as the effect is small and uncertain compared to the elevation differences caused by the surges. Elevation values were extracted along the centrelines and subtracted from SRTM.

314

To estimate volume changes resulting from the surges, volume gain and loss (i.e., summing up all positive and negative values within the tongues) were calculated for each glacier tongue with adjusted extents and glacier-specific epochs (Fig. S5). For comparison, we included the glaciers NN7 and NN8 (see Fig. 1) in the analysis as they also surged during the study period.

319

320 As the ICESat-2 ATL06 datasets did not provide useful results, only the ATL03 dataset was fur-321 ther processed using python libraries geopandas (Jordahl et al., 2021), rasterio (Gillies et al., 2021) 322 and shapely (Gillies et al., 2021a). The photon elevations were filtered to only retain elevation 323 surfaces classified likely land samples as or ice (parameters sig-324 nal conf ph/signal conf ph landice >1) and classified into glacier and off-glacier samples using 325 maximum glacier outlines. On the bright glacier surface, both the weak and strong laser beams 326 yield sufficient photon returns for complete elevation profiles. This is less true for moraines / 327 rocky areas (profile 3 in Fig. S6), where the weak beam yields considerably fewer surface returns.

328

Elevation values were sampled for all elevation points (containing a DEM cell) and the AMES stereo pipeline (version 2.7.0, Shean et al., 2016) was used to co-register the elevation profiles (only off-glacier samples) with the already co-registered DEMs used in this study (no co-registration offset was found). The profiles were intersected with the glacier centrelines to compare the ATL03 elevation samples with the DEMs. The median of all elevation samples on each profile within a 10 m buffer from the centreline are used as surface elevations at the intersection points.

335

# 336 4.4 Uncertainties

The uncertainty of the length change data has been determined by measuring for each glacier and each time step different points at the terminus. From the range of values, a reasonable mean value was determined manually. Glacier terminus positions were digitized only once and used only for a qualitative illustration (outline overlay) of the changes, i.e. we have not explicitly calculated uncertainties of glacier extents. As a range of sensors with different spatial resolutions is used for the





342 digitizing (e.g. Landsat MSS, ETM+, OLI and Sentinel-2), the uncertainty varies with the sensor.

343

344 Based on the assumption that measurement errors over glaciers and other terrain are common 345 (Paul et al., 2017), we assessed the uncertainties of glacier flow velocities from stable terrain ve-346 locity observations, where flow velocities are supposed to be zero, using the same stable areas as 347 used for DEM co-registration (Fig. S5). Uncertainties are derived as measures of median and a 348 robust standard deviation based on the median absolute deviation (MAD), which is a bit less sensi-349 tive to outliers (e.g. Dehecq et al., 2015). Co-registration accuracy of the DEMs was computed 350 from elevation differences calculated over stable terrain (off glacier) with slopes smaller than 40° 351 (Fig. S5).

352

353

## 354 **5. Results**

355

### 356 5.1 Changes in glacier extent and morphology

357 In Fig. 3 the temporal evolution of terminus positions is depicted as an overlay of extents showing 358 slow advances of NN9 (starting in 2000) and North Chongtar (since 1973) along with a rapid ad-359 vance of South Chongtar (starting mid-2020). For better visibility, the retreat phase of South 360 Chongtar from 2000 to mid-2020 is not shown. Snapshots of the geometric evolution can be found 361 in Fig. S7 for the time period before the surge of South Chongtar (1993-2019) and in Fig. S8 for 362 the time during its surge (2020-2021). The related cumulative length changes for all three glaciers 363 are shown in Fig. 4a for their advance phases, whereas Fig. 4b only shows advance rates for the 364 glaciers NN9 and North Chongtar (they were out of scale for South Chongtar).

365 366

#### Fig. 3: Multi-temporal outline overlay advance phase

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South Chongtar entered its quiescent phase after its 1966/67 surge and exhibited constant thinning with limited frontal retreat over several decades. After 30 years (in 2000) the former surge lobe was still largely ice filled, though increasingly debris covered. Driven by further thinning, a clear retreat of the terminus (remaining clean ice) became visible after 2000, reaching about -800 m by 2009 and -2300 m by mid-2020. During this retreat phase, its middle part always showed some residual flow, i.e. it was not completely stagnant. In 1993 a deformation of the medial moraine started moving forward, about 300 m by 2009 and 500 m by 2019.

375

In 2017, a new surge developed with the typical funnel-shaped appearance of the front. While the lowest part of the glacier was still thinning and retreating in 2019, the surge front reached the terminus in July 2020 and the front started advancing by about 3 km in 10 months (Fig. 4a) with advance rates of up to 12.6 km yr<sup>-1</sup> (35 m d<sup>-1</sup>) in early Nov. 2020. During this time the lower part





380 widened massively and the entire surface became heavily crevassed. The front advanced into its 381 former surge mark on Sarpo Laggo Glacier and pushed the ice surrounding it towards the opposite 382 side of the valley. By June 2021 advance rates decreased considerably, but the terminus was still 383 advancing.

385 386

#### Fig. 4: Cumulative length changes and advance rates

387 North Chongtar on the other hand, advanced at a more or less constant rate of 30 m y<sup>-1</sup> until 2004 388 when it passed a total of 800 m since 1973 (earliest MSS image, see Table S1). A very high-389 resolution satellite image from 2001 is available in Google Earth and shows some crevassing near 390 the terminus but not a surging glacier. There is no indication of a melt water stream leaving the 391 glacier snout. After 2005, advance rates increased linearly and we assign this as the onset of the 392 surge phase. This increase resulted in a nearly completely crevassed surface and widespread shear 393 margins. Both are also visible in the 15 m resolution Landsat panchromatic bands, and, even bet-394 ter, in very high-resolution images from 2011 and 2016 available in Google Earth. In 2013 the 395 terminus reached a step in the valley slope, creating a deep transverse crevasse that seemed to sep-396 arate the lowest part of the tongue but actually didn't. By 2021 nearly the entire surface was still 397 crevassed and the glacier had advanced by a further 1600 m since 2004, i.e. 2.4 km in total.

398

399 The small valley glacier NN9 slowly retreated until 1998 and started advancing a year later at 400 about a constant rate of 40 m y<sup>-1</sup> until 2016 (Fig. 4). Up to this year, its lowest parts had some cre-401 vasses but looked otherwise like a usual advancing glacier. This changed a year later when the 402 glacier thickened considerably, developed shear margins and started advancing at a much higher 403 rate of up to 1000 m y<sup>-1</sup> in 2021, indicating the start of the surge phase. The increasingly crevassed 404 surface also became visible in Sentinel-2 images and with the 15 m Landsat 8 band. The total ad-405 vance from 1999 to 2018 was 800 m followed by a further 500 m until June 2021. In July the 406 frontal advance accelerated further reaching nearly 3 km y<sup>-1</sup> in August 2021, whereby the lower 407 part of the tongue separated from the main glacier and slid down the remaining kilometre in about 408 a month. More ice is following from higher elevations, possibly leading to some interaction with 409 the still advancing terminus of South Chongtar.

410

#### 411 **5.2 Flow velocities**

### 412 5.2.1 NN9 and North Chongtar

413 Selected flow velocity maps for the two glaciers are shown in Fig. 5 and related velocity profiles 414 along the centreline of the main trunk can be seen in Figs. 6a and b for NN9 and North Chongtar, 415 respectively. The c. 300-400 m wide tongue of NN9 is at the edge of the possibilities for deriving 416 flow velocities with offset-tracking (and a 100 m grid) from the optical sensors, but the high reso-417 lution of TSX StripMap acquisitions provides near-complete spatial coverage (Fig. 5b). Due to





418	local cloud cover several of the optical image pairs selected for South Chongtar could not be used
419	for NN9 and North Chongtar.
420	
421	Though scattered, the values derived from Landsat 7 (Fig. 5a), Sentinel-2 (Fig. 5c) and Landsat 8
422	(Fig. 5d) look reasonable. Pre-surge values are around 0.1 m d <sup>-1</sup> with Landsat 7 (2000-2002) and
423	TSX (2011 and 2012) and a bit higher (up to 0.2 m d <sup>-1</sup> ) with Sentinel-2 in 2017 (Fig. 6a). After-
424	wards values in the lower part of NN9 (between 2.5 and 4 km) start increasing to 0.4 m d <sup>-1</sup> , reach-
425	ing 0.8 m d <sup>-1</sup> between August and October 2020. The upper glacier started accelerating in autumn
426	2020 with a near-linear increase up to the terminus (Fig. 6a), indicating surge activation in the
427	lower part of the glacier. The increased crevassing of NN9 is also visible in the higher intensity
428	values of the Sentinel-1 animation towards the latest images (see Supplemental Material).
429	
430	Fig. 5: 2D flow velocity maps 2000-2019 for all glaciers
431	
432	
433	For the larger North Chongtar, a slightly better coverage can be obtained from the optical sensors
434	than for NN9. The most homogenous flow fields are derived by TSX (Fig. 5b) indicating higher
435	flow velocities of up to 0.4 m d <sup>-1</sup> in its lower two thirds up to the terminus in 2012. The profiles in
436	Fig. 6b from Landsat 7 show similar values. Velocities derived from Sentinel-2 between 2016 and
437	2019 are lower in the region from 3 to 5.5 km. There is a zone with very low velocities between
438	4.5 and 5 km and acceleration afterwards. From August 2019 to October 2020 flow velocities are
439	between 0.8 and 1 m d <sup>-1</sup> near the terminus, indicating that this region is fast flowing and advanc-
440	ing, whereas the upper regions are still moving with 0.2 to 0.4 m $d^{-1}$ .
441	
442	Fig. 6: 1D centre-line velocity profiles NN9 & South Chongtar
443	
444	5.2.2 South Chongtar
445	The much larger South Chongtar glacier was adequately captured by the optical sensors so that a
446	more continuous flow field could be derived (Fig. 5) and pre-surge flow evolution could be fol-
447	lowed in detail (Fig. 7a). Comparing the maps in Fig. 5, a slow but steady increase of flow veloci-
448	ties from 2000 to mid-2019 over large parts of the glacier can be seen, starting at about 0.15 m d $^{-1}$
449	and ending at 0.4 m d <sup>-1</sup> . These values are similar to the other two glaciers, but affect a larger re-
450	gion. The temporal evolution shown in Fig. 7a confirm this observation, pre-surge flow velocities
451	are highest (up to 0.2 m d <sup>-1</sup> ) near the middle of the glacier (around 8 to 10 km) and decrease gradu-
452	ally to 0 m d <sup>-1</sup> at its highest and lowest points. In the region between 11 and 14 km the gradual in-
453	crease of flow velocities can be followed from 2000/02 (with Landsat 7) to 2014 (with TSX).

- 454 Mean annual values with Landsat 8 from 2013 to 2014 match perfectly with mean monthly TSX
- 455 values from April to May 2014. Landsat 8 velocities from 2013 to 2016 and Sentinel-2 from 2016





456 to 2019 show the continuation of the slow velocity increase over the entire glacier length, reaching 457 0.4 m d<sup>-1</sup> in 2018/19. A direct comparison with Landsat 8 over nearly the same period (grey dots in 458 Fig. 7a) is shown on top of the curve from Sentinel-2, indicating again a near-perfect match. In 459 August 2019 the gradual increase changed at first rapidly to 0.8 m d<sup>-1</sup> and then more slowly to 1.1 460 m d<sup>-1</sup> between September 2019 and June 2020. With the stagnant terminus still at 13.5 km, the 461 strong velocity increase behind the front marks the onset of the surge around August 2019. 462 463 Fig. 7: Velocities South Chongtar 464 465 The last curve from Fig. 7a is repeated in Fig. 7b (dark blue at the bottom), as we had to switch the 466 scale for better visibility of velocities during the surge phase. Flow velocities increased to about 4 m d<sup>-1</sup> by July 2020. In August 2020 we could derive detailed flow fields from Sentinel-2 images 467 468 acquired only 5 days apart. A sharp surge front with maximum velocities formed, reaching values 469 of more than 25 m d<sup>-1</sup> in August/September 2020. With peak velocities near 30 m d<sup>-1</sup> as derived 470 locally from Planet imagery (Fig. S9), South Chongtar Glacier had likely one of the highest flow 471 velocities ever measured in the Karakoram region. Behind this maximum, flow velocities de-472 creased about linearly back to 3 km along the centre line. When the surge front reached the termi-473 nus in July 2020, a rapid advance started (see 5.1). Velocities dropped to 15 m  $d^{-1}$  by November 474 2020 and below 10 m d<sup>-1</sup> by January 2021. Afterwards, maximum velocities near km 15 changed 475 only slowly over the entire glacier length, indicating that the active surge was on-going. Around 10 476 km along the centre line, velocity is still around 5 m d<sup>-1</sup> in early May 2021 or 40 times higher than 477 during the quiescent phase (Fig. 7b). The related Hovmöller diagram for the surge phase in Fig. 7c 478 confirms the strong pulse-like acceleration in August 2020 with a rapid decline afterwards. The 479 corresponding 2D plots of flow velocities during the surge phase of South Chongtar (Fig. 8) also 480 reveal the rapid velocity increase by September 2020 and the decrease afterwards. 481 482 Fig. 8: 2D maps of surface velocities South Chongtar 483 484 The spatial distribution of highest flow velocities of Figs. 8b and c are not symmetric to the centre 485 line, indicating that the deformation-related maximum flow velocity in the centre of a glacier has 486 reduced relevance here. This somehow counterintuitive behaviour indicates that during a surge 487 basal sliding is the process dominating over deformation. Other possibilities are a decreased re-488 sistance of the valley floor or because of the topography redirecting the mass flow from northwest 489 to north. The cross-profile flow velocities (Fig. 9) reveal that this pattern persists throughout the 490 entire surge. 491 492 *Fig. 9: 1D cross-profile velocities South Chongtar (surge phase)* 

493

13





# 494 **5.3 Elevation changes**

In the three panels of Fig. 10 we show differences in elevation between the SRTM DEM and the
other four DEMs along the centrelines of the three glaciers. Additionally, differences from selected
ICESat-2 ATL03 points are plotted. Figure 11 shows related elevation change maps for 2000 –
2010, 2010 – 2015, 2015 – 2020 and 2000 – 2020. DEM differences obtained from ASTER in an
independent study (Hugonnet et al., 2021) have been used for comparison.

500

501 The elevation data for NN9 (Fig. 10a) show virtually no change in its upper part down to 3.5 km 502 where the terminus was located in 2000. The ICESat-2 data adds no further information here, as all 503 available data points are located in the upper part. Below this region, the 'elevation gain' due to 504 the advancing snout can be followed down to km 4.5 in 2020. The small region of elevation gain 505 by the advancing tongue is also visible in each of the maps in Fig. 11. The elevation differences 506 between the two high-resolution DEMs from 2015 and 2020 in Fig. 11c reveal some surface low-507 ering in the upper part, but over the longer period 2000 to 2020 (Fig. 11d) this lowering nearly dis-508 appears (i.e. is smaller than the SRTM uncertainty). So for NN9 the typical mass transfer of a 509 surge could not be observed until October 2020 and elevation changes look like expected for a 510 usual advance rather than a surge.

511

For North Chongtar (Fig. 10b) the situation is similar, but a surface lowering of about 40 m can be observed at higher elevation. The SPOT data from October 2015 and ICESat-2 data points from December 2018 at 4.2 km indicate that the largest changes happened between 2015 and 2018. Accordingly, this change is well visible in the high-resolution 2015-2020 DEM difference (Fig. 11c) and the differences over the full 2000-2020 period (Fig. 11d). However, also here the elevation gain in the lower glacier part is comparably localized and largely due to the advance of the terminus.

- 519
- 520 521

#### Fig. 10: 1D profile elevation changes compared to SRTM

522 South Chongtar shows profiles (Fig. 10c) and surface change patterns (Fig. 11) that are in line 523 with a typical surge, maybe apart from the fact that the thickening of the upper glacier regions is 524 limited. The 2000 to 2010 change map (Fig. 11a) shows a slightly bluish upper part and some arte-525 facts. Over the longer 2000 to 2015 period the elevation gain from 4 to 12 km is about 20-30 m 526 (Fig. 10c), but further down a significant surface lowering (>50 m) can be observed between 13 527 and 18 km. This lowering is also visible in the 2D map of Fig. 11a, marking at its upper point the 528 position where the active ice starts, i.e. where the surface lowering is compensated by the mass 529 flux. The 2020 surge moved ice between 3 and 8 km towards its lower part between 10 and 16 km, 530 causing a surface elevation decrease of 20-40 m in the reservoir zone and an increase of up to 130 531 m at km 14.





# 532

533	The ICESat-2 data points constrain the surface elevation evolution in time (Fig. 10c): The tongue
534	was still only slightly thicker at 12 km in March 2019, as surface lowering of the upper part (at 5.7
535	km) had not started in February 2020 and the terminus had not advanced by March 2020. Between
536	6 and 14 km we find a smooth linear increase of the elevation differences (Fig. 10c) - but the ICE-
537	Sat-2 data points at 9.5 km show a slight surface lowering between December 2019 and August
538	2020, indicating that the surge front passed this part of the glacier already before the end of August
539	2000. The 2015 to 2020 elevation change map (Fig. 11c) reveals that elevation changes mostly
540	occurred over this time period. Due to the opposite elevation change pattern before 2015, elevation
541	changes over the full period 2000-2020 are less pronounced. The constantly down-wasting Sarpo
542	Laggo Glacier in the valley floor shows an elevation loss of up to 100 m over this period.
543	
544	Fig. 11: 2D elevation change maps
545	
546	5.4 Volume changes
547	In Table 3 the results of the calculated volume changes are listed, differentiated for the gain and
548	loss part. They add some quantitative information over a larger part of the glacier surface (see Fig.
549	S5). With the timing of the DEMs not always synchronous with the start/end of a surge, the calcu-
550	lated values can be underestimated due to the overlap of surge phases. For example, the volume
551	gain in the lower part of South Chongtar from 2000 to 2020 includes the volume loss between
552	2000 and 2019. For this reason we only analyse the 2015 to 2020 changes for South Chongtar
553	
554	For NN9 no mass transfer from an upper region is found. We have a near zero mass loss compared
555	to a clear volume gain of 0.03 km <sup>3</sup> . For the continuous advance/surge of North Chongtar the vol-
556	ume gain is a bit higher than the loss resulting in a small overall volume gain over the full 20-year
557	period (Fig. 11d). However, Fig. 11c reveals that compensation effects are included. Between
558	2015 and 2020 some of the volume gain from the period before has already started thinning. The
559	volume gain part for South Chongtar is about 10 times higher compared to North Chongtar and
560	NN9. However, there is also considerable volume loss at higher elevations compensating about
561	half of the gain. To put just the volume gains of these five glaciers (+0.46 $\rm km^3)$ into perspective,
562	the (uncompensated) volume loss of Sarpo Laggo Glacier over the full period (-0.47 $\rm km^3)$ is the
563	same.
564	
565	Table 3: Volume changes
566	
567	5.5 Sensor intercomparison
568	5.5.1 Velocities

569 As can be seen in Fig. 7a, velocity values derived from the 15 m resolution Landsat 8 panchro-





570 matic band for the period July 2018 to July 2019 are the same as from 10 m resolution Sentinel-2 571 data for the period August 2018 to August 2019. Both lines are basically on top of each other and 572 the average differences are insignificant. The same applies to TSX velocities from April to May 573 2014 compared to annual mean values from Landsat 8 over the July 2013 to July 2014 period, the 574 latter curve being on top of TSX in Fig. 7a (apart from the region between 8 and 9 km).

575

576 The Planet cubesat images cover only the lower part of the glacier. Here, the Planet velocity (Fig. 577 S9) reveals the same increase/decrease pattern as the Sentinel-2 velocity profile (Fig. 7b). Direct 578 comparison of flow velocities reveals only small differences (Fig. S10) that can be related to 579 slightly different time intervals. This is different when comparing Sentinel-1 derived velocities 580 with Sentinel-2 (Fig. S11). The large image template sizes of 128 x 64 (450 m x 900 m) for South 581 Chongtar (tongue width 800 m) result in a strong underestimation of Sentinel-1 velocities with 582 errors much greater than those indicated in previous studies for larger Arctic glaciers (Paul et al., 583 2017; Strozzi et al., 2017). The density of the information is also very low compared to Sentinel-2, 584 indicating that Sentinel-1 data do not reveal sufficient detail about the surge.

585

#### 586 5.5.2 Elevation changes

587 Of the seven analysed elevation datasets, ICESat-2 elevation profiles show most detail compared 588 to the DEMs and is also resolving small surface features such as crevasses and séracs (Fig. S6). 589 Both the weak and the strong laser beams of ICESat-2's three beam pairs provide equally good 590 data in the snow-covered accumulation areas (top panels in Fig. S6). On darker and more rugged 591 surfaces the weak beam yields considerably fewer photon returns than the strong beam (bottom 592 panels in Fig. S6).

593

Elevation differences between the HMA DEM and the SPOT DEM from 2015 are depicted in Fig. S12. A small advance of North Chongtar and a slight elevation increase on South Chongtar within the few months' time gap is visible. The latter is confirmed by the cross transects in the middle panels in Fig. S6. In contrast, the elevations of the two 2015 DEMs agree very well for the transects in the upper accumulation area (top panels) and the down-wasting tongue in the main valley (bottom panels). Apart from artefacts and local differences in very steep terrain, elevations of the DEMs from 2015 agree very well both on and off glaciers.

601

The elevation changes derived from the ASTER DEM time series by Hugonnet et al. (2021) shown in Fig. S13 are similar to the time series we analysed from SRTM, SPOT and the HMA DEMs (Fig. 11). The ASTER DEMs have more artefacts and local differences, in particular in very steep terrain. In contrast, the strong spatial filtering inherent in the ASTER dataset, smoothens artefacts and data gaps off and to some degree also details on glaciers. Locally, the ASTER data set is less complete, e.g., the advance of North Chongtar is not well covered and the advance





608 of the glacier NN8 is not visible.

609

There are no further insights when splitting the 2000-2010 period into a 2000-2004 and 2005-2009 period, but the 2000 to 2019 period from ASTER (Fig. S13f) reveals the up to 40 m elevation increase in the upper region of South Chongtar. This 'reservoir zone' seemingly stretches over the entire upper glacier rather than being an isolated region. In 2019 the surge has not started, so the strong elevation loss in its lower part from post surge down-wasting is also very prominent. Elevation gain from 2000 to 2019 is also visible for the upper part of Sarpo Laggo Glacier and the lower part of Moni Glacier.

617

### 618 5.6 Uncertainty assessment

### 619 5.6.1 Glacier length changes

620 Uncertainties of the length changes are estimated to be in the order of one image pixel, i.e. 60 m 621 for MSS, 30 m for TM, 15 m for OLI pan and 10 m for Sentinel-2. As frontal advances have only 622 been measured for a change of at least 3 to 4 pixels, the given values should be well outside the 623 uncertainty range in most cases. However, the calculated frontal advance rates for glacier NN9 and 624 North Chongtar (Fig. 4b) show fluctuations. These can be attributed to the measurement uncertain-625 ties so that in reality the increase might have been smoother and more gradual. There is thus some 626 caution to not over-interpret the details of the change rates.

627

#### 628 5.6.2 Flow velocities

629 The displacements measured by Landsat over the selected stable areas show median values close 630 to the expected value of 0 m  $d^{-1}$ , with a MAD between 0.01 and 0.04 m  $d^{-1}$ , as reported in Table S2. 631 Among the Landsat data, Landsat 7 shows the smallest standard deviation based on the MAD. For 632 Sentinel-2, the uncertainties of the displacement on stable terrain are lower for the pairs with a 633 time interval of approximately a year. For these pairs, the median and the MAD of the velocity are 634 of the same order of magnitude as the Landsat results. For shorter time intervals (5 to 45 days), the 635 Sentinel-2 velocity shows medians between 0.15 and 1.58 m d<sup>-1</sup> with a maximum MAD of 1.39 m 636 d<sup>-1</sup>. Displacement from Planet data gives the largest error with medians and MAD values ranging 637 from 0.3 m  $d^{-1}$  to 2.50 m  $d^{-1}$ . One pair showed a significantly higher error with a median value of 638 8.64 m  $d^{-1}$  and a corresponding MAD value of 4.76 m  $d^{-1}$ , which is in a similar order of magnitude 639 to the displacement measured in the centre line of the glacier (13.89 m d<sup>-1</sup>). TSX revealed the low-640 est uncertainty with values of both median and MAD close to 0 m d<sup>-1</sup>.

641

#### 642 5.6.3 Elevation data

- The median elevation differences to the reference DEM (HMA DEM) are 1.02 m (SRTM), 1.03 m (SPOT 2010), -0.12 m (SPOT 2015) and 1.08 m (SPOT 2020), with standard deviations of 3-15 m
- 645 (Table S3). Also mean elevation differences, which are more sensitive to extreme values, are <1.4





646 m for all DEM difference pairs except for the SPOT 2020-SRTM2000 DEM pair (2.4 ±8.8 m). 647 These are small differences and fully within the range of expected uncertainties (after successful 648 co-registration), considering the very steep and rugged terrain. We found no indication of remain-649 ing horizontal shifts between the DEMs (this would be visible as an aspect-dependent pattern in 650 Fig. 11). The comparison of the SPOT 2015 and HMA 2015 DEM (Fig. S12) shows a minor tiling 651 effect caused by the composite nature of the HMA DEM in the upper accumulation areas of North 652 and South Chongtar. The mean uncertainty of the ATL06 ICESat-2 data was ±5.37 m. However, 653 we assume that ATL03 elevation uncertainties are in the order of decimetres on the relatively 654 smooth glacier surface.

655 656

657 6. Discussion

658

#### 659 **6.1 Interpretation of the surges**

660 The contrasting surge behaviour of North and South Chongtar glacier is remarkable as the two 661 glaciers with the likely highest (South Chongtar) and lowest (North Chongtar) flow velocities and 662 advance rates during a surge (in the entire Karakoram) can be found side-by-side. At first glance it 663 seems that the sudden and short-lived surge of South Chongtar is hydrologically controlled (Alas-664 ka type), whereas the neighbouring North Chongtar surge seems thermally controlled (Svalbard 665 type). However, as Quincey et al. (2015) noted, this simplified picture does not hold for many 666 glacier surges in the Karakoram, which often show characteristics of both types. For example, the 667 South Chongtar surge reached its maximum flow velocities in summer rather than winter and their 668 drop is very slow rather than fast. Moreover, flow velocities increased slowly, constantly and over 669 large parts of the glacier rather than being located at a clearly localized surge front. These observa-670 tions better fit to a thermally controlled surge and implies that both mechanisms apply and the 671 surge mechanism could be named 'hybrid'.

672

673 The slow and near constant advance of North Chongtar and NN9 might not even be classified as a 674 surge, but given that both glaciers also developed nearly all characteristics of a surge at some 675 point, the former advance phase might be seen as a part of the surge. Still, from the evolution of 676 advance rates or flow velocities alone it is nearly impossible to pin down the exact surge onset for 677 North Chongtar. Morphological changes (heavy crevassing, shear margins) indicate that this might 678 have happened around 2010, but considering the near linear increase of advance rates after 1996, 679 one might assign the onset also to that year. In any case, the more or less constant advance for 680 more than 30 years before 1996 is exceptional and only comparable to the very slow advance of 681 Maedan Glacier in the neighbouring Panmah region that also started in the 1960s, before advance 682 rates considerably increased in the mid-1990s and the glacier started surging (Bhambri et al. 2017; 683 Paul, 2020). Such prolonged advances might also be a consequence of a positive mass balance that





one glacier converted to a continuous advance and another one to a surge (Lv et al., 2020). At least
the elevation change pattern of North Chongtar over the 2000-2020 period reveals a clear and typical redistribution of mass from a higher reservoir zone to a lower receiving zone.

687

688 This is different for NN9, which only shows elevation increase in its lower part over this period 689 without any measurable surface lowering higher up. This rather unique criterion for surge identifi-690 cation fails here and would exclude the glacier from being surge type. However, a different im-691 pression emerges when looking at the temporal evolution of advance rates and flow velocities. In 692 2016 the former increased considerably from about 40 m y<sup>-1</sup> to more than 1 km y<sup>-1</sup> and the mor-693 phology of the surface changed from rather smooth to highly crevassed. Measurable flow veloci-694 ties increased in 2019 from 0.2 to 0.8 m  $y^{-1}$  and the Landsat 8 image pair from 2018 to 2019 (Fig. 695 5d) also reveals an increase. With its recent rapid advance, the glacier has now reached its former 696 1971 maximum extent and also looks the same in terms of a completely crevassed surface (Paul, 697 2020). The slow advance might have resulted from a positive mass balance but could also be a 698 thermally controlled surge. However, the recent increase in advance rates could also be due to a 699 hydrologically controlled surge and/or due to the steep slope and dynamic effects. Compared to 700 North Chongtar, the switch from advance to surge occurred much more sudden.

701

702 For South Chongtar the situation is clearer as its rapid advance and more than 100-fold increase in 703 flow velocities (from 0.2 to more than 25 m/d) is typical for a hydrologically controlled surge with 704 increasing basal water pressure. We assume that its thin lowest part was frozen to the bed (e.g. 705 Obu et al., 2019), effectively blocking water release for some time. The interesting points of the 706 current surge are: (a) the gradual increase of flow velocities in the region above its fixed terminus 707 (at 13.5 km), (b) the extreme velocity increase from July to September 2020, (c) the high maxi-708 mum velocities of 30 m  $d^{-1}$ , (d) the location of the maximum away from the centre, and (e) the 709 more or less constantly high flow velocities over large parts of its length from January to May 710 2021. The latter is responsible for the ongoing mass transport and advance of the terminus and im-711 plies that basically the entire glacier was activated by the surge. As mentioned above, points (a) 712 and (e) are more typical for thermally controlled surges so with both characteristics this surge can 713 be classified as hybrid. That velocities increase from the centre to the boundary of a glacier (Fig. 714 9) is likely rather unique. We assume this is caused by the surrounding topography, i.e. the change 715 of flow direction from northwest to north imposed by the mountain walls. The centre of the ad-716 vancing terminus collided with the southern rock wall and was then diverted to a different direc-717 tion. As the glacier was likely sliding over its full width, the resistance at the boundaries was likely 718 limited.

719

Maximum surface flow velocities of 30 m d<sup>-1</sup> are only visible with Planet and to the edge of the glacier (Fig. 9), Sentinel-2 values peak at 27 m d<sup>-1</sup>. This is likely due to the higher resolution of





722 Planet compared to Sentinel-2 and hence to the smaller region used for spatial averaging. Also the 723 shorter time period considered (3 days) might play a role. Whereas high flow velocities of about 724 15 m d<sup>-1</sup> have been reported previously (Quincey et al., 2015; Paul et al., 2017; Bhambri et al., 725 2020), values above 25 m  $d^{-1}$  are only rarely observed in the Karakoram (Rashid et al., 2020). The 726 latter study reports values near 50 m d<sup>-1</sup> for the last surge of Shispar Glacier (derived from 3 m 727 Planet data), but the flow fields look a bit 'bumpy' and image processing artefacts might have con-728 tributed to the high values. We assume that the rapid increase in flow velocities during Ju-729 ly/August was due to additional lubrication from summer surface melt water.

730

731 In principle, a surge simply moves mass downstream implying that the net volume change should 732 be about zero. However, if surges take place over very long periods (>5 years) there will also be a 733 signal from the usual ablation and accumulation. Moreover, for DEMs derived from optical sen-734 sors problems in snow covered or steep terrain (shadow) exists that might create data gaps in the 735 region where the mass has been removed (or where mass gain took place before a surge). Both 736 effects can create biases leading to over- or underestimation of calculated volume changes. These 737 apply also to the changes calculated over ten-year periods and the SPOT DEM from 2010 that had 738 data voids in the steep upper regions of some glaciers. In consequence, volume changes calculated 739 with this DEM are incomplete and need to be interpreted with care. However, as both positive and 740 negative changes take place in regions of increased uncertainty, the net effect is likely small.

741

# 742 6.2 Sensor capabilities and limits

743 The sensor intercomparison revealed a very good agreement between the velocity data derived 744 from TSX StripMap mode and Sentinel-2 with Landsat 8 (Fig. 7a), as well as between Sentinel-2 745 and Planet (Fig. S10). This confirms that all three optical sensors can be used to derive the tem-746 poral evolution of flow velocities - cloud cover, snow conditions and cast shadow permitting. The 747 key point is the choice of the temporal baseline of image pairs as a function of glacier surface 748 changes, sensor resolution and the targeted velocity field. At 20 m d<sup>-1</sup> a 5 (3) day interval is equiv-749 alent to a change by 10 pixels with Sentinel-2 (20 with Planet in 3 days). At 0.1 m d<sup>-1</sup> the dis-750 placement is about 35 m (3 Sentinel-2 pixels) after a year, which is at the lower end of what is de-751 tectable with offset-tracking.

752

Unfortunately, Sentinel-1 performed poorly on South Chongtar, mostly due to the fact that it is a narrow tongue (width less than 800 m) situated between steep mountain flanks. Because of the relative large size needed for the matching window (Fig. S4), too many non-moving off-glacier pixels are included affecting the velocity retrieval considerably (Fig. S11). Also, the large and fast surface changes on the rapidly surging glacier might have changed the backscatter patterns too much to be tracked over time (Strozzi et al., 2017). The minimum width of a glacier to be reliable monitored with Sentinel-1 in the Himalayas is likely around 2 km. On the contrary, TSX yielded





dense and consistent velocity values for all three glaciers (pre-surge-phase). As it seems, the map in Fig. 5b captures nicely the flow acceleration of North Chongtar in 2012, which decreased afterwards (Fig. 6b). The much noisier values from Landsat 8 in this figure (compared to Sentinel-2 and TSX) revealed that the 15 m resolution of the Landsat panchromatic band is seemingly insufficient to track displacements precisely. Note, though, that these comparisons are not strictly as the sensors have different resolutions, and the datasets cover different phases of the surges and thus different surface conditions.

767

The compared DEMs are of similar quality over glaciers, but the SPOT 2010 DEM used by Gardelle et al. (2013) suffered from strong artefacts at steep slopes. The elevation values of the SPOT 2015 and HMA DEM (which is also from 2015 in this region) are basically identical apart from individual raster cells. So elevation changes from 2000 (SRTM) to 2015 (HMA DEM) can also be derived from freely available DEMs. The SPOT 2020 DEM is of superb quality but the raw image pair had to be purchased. For a study looking at specific glaciers this is certainly worthwhile, but does typically hamper larger regions to be covered.

775

776 The surface elevation detail and accuracy of the freely available ICESat-2 ATL03 photon data sur-777 passes all other datasets, including the SPOT 2020 DEM (Fig. S6). When combined with one or 778 several DEMs, the higher temporal resolution provides additional information on how the eleva-779 tion changed in-between DEM time stamps. This may be very useful for slower changes or to fur-780 ther constrain the onset/end of a rapid change, such as a surge. However, ICESat-2 only provides 781 elevation profiles with varying locations, which makes this data type more demanding to analyse. 782 The footprints of the ICESat-2 ATL06 time series alone are too sparse to derive any useful trends 783 in glacier surface elevation.

784

785 The DEM time series from ASTER images (Fig. S13) derived by Hugonnet et al. (2021) shows the 786 same trends as from the DEMs used here. They provide further information over the 2000-2005 787 and 2005-2010 periods, but miss the surge of South Chongtar as they end in 2019. On the other 788 hand, they cover a much larger area and clearly reveal the increase in surface elevation of South 789 Chongtar over the full 2000-2019 period. The coverage of the smaller glaciers is noisier with AS-790 TER than with the DEMs we have used and locally values are missing, but the temporal evolution 791 over several larger glaciers can be well followed. Deriving further DEMs from future ASTER ste-792 reo scenes might thus help to determine total volume changes after all surges have come to an end, 793 including the yet not visible volume loss in the reservoir zone of NN9.

794

# 795 6.3 Uncertainties

The one-pixel uncertainty in deriving terminus positions and length changes translates into an uncertainty of the calculated advance rates. How large the uncertainties are, depends on the sensor





resolution and the time period between two measurements. It is assumed that at least a part of the variation in the advance rates of NN9 and North Chongtar are due to these uncertainties rather than real variability.

801

With the exception of the Planet data, the uncertainty of the velocity measured over stable terrain by all sensors is one or two orders of magnitude smaller than the maximum displacement observed on the glacier along the centreline, even for the two small glaciers NN9 and North Chongtar. For them, cloud cover has been identified as a major challenge for optical sensors. In fact, the selection of the satellite pair prioritized the reduction of cloud cover on South Chongtar rather than NN9 and North Chongtar, which were rarely cloud-free. Hence, it is not only spatial resolution that is responsible for data limitations.

809

810 In general, the uncertainties of glacier flow velocity measurements are mainly related to co-811 registration accuracy, orthorectification, the time interval between image pairs, surface conditions 812 (shadow, snow, etc.) and the spatial resolution of the images. The larger the time window between 813 two pairs, the smaller the uncertainty of the measured velocity. Despite the higher resolution, the 814 uncertainty is higher for Planet than for Sentinel-2. For Sentinel-2, the orthorectification error is 815 minimized because the imagery comes from the same relative orbit (Kääb et al., 2016). On the 816 contrary, we have different orbital paths between Planet image pairs and therefore further geomet-817 ric corrections may be needed to minimize this error, as also suggested by Kääb et al. (2017) and 818 Millan et al. (2019). Also the very small stable terrain uncertainties of TSX are likely due to the 819 accurate co-registration of the image pairs.

820

821 The observed elevation changes exceed the DEM elevation uncertainties by an order of magnitude 822 or more, which makes our elevation change analyses very robust. For volume change studies, data 823 gaps in the DEMs and remaining blunders/bias from clouds or other sources cause greater uncer-824 tainties than the elevation uncertainties themselves (McNabb et al. 2019). Data gaps occur, how-825 ever, mostly in the accumulation areas due to reduced contrast over snow, more persistent cloud 826 cover and steeper terrain. Moreover, surface elevation tends to change much less here than it is the 827 case for the tongues, and uncertainties might become as large as the changes. The elevation accu-828 racy of the ICESat-2 ATL03 product is clearly superior to all DEMs analysed within this study. 829

830

### 831 7. Conclusions

832

We have identified and presented an analysis of three glacier surges in the central Karakoram, all taking place in the same region but with very different characteristics and possibly forcing mechanisms. South Chongtar showed advance rates of more than 10 km y<sup>-1</sup>, velocities up to 30 m d<sup>-1</sup> and





surface elevations rose by 200 m. The three times smaller North Chongtar has a slow and almostlinear increase of advance rates (up to 500 m y<sup>-1</sup>), flow velocities below 1 m d<sup>-1</sup> and elevation increases of up to 100 m. The even smaller glacier NN9 changed from a slow advance to a full surge within a year, reaching advance rates higher than 1 km y<sup>-1</sup>, but showing the typical surface lowering higher up only recently. Total length changes reached between 2 and 2.7 km for the three glaciers and the size of NN9 changed by more than 20%. For South Chongtar, maximum flow velocities are found near its southern boundary rather than in the centre.

843

844 At first glance, the surge of South Chongtar clearly resembles the classical Alaska type surge (hy-845 drologically controlled), whereas North Chongtar and NN9 better fit to the Svalbard type (thermal-846 ly controlled). However, the summer onset and slow velocity decay of the South Chongtar surge 847 and the sudden change in frontal advance rates of NN9 hint to the respective other type, resulting 848 in a change of characteristics. North Chongtar has not changed type but surge onset is difficult to 849 determine as advance rates increased linearly, morphological changes developed slowly and a 50-850 year advance might also be called a surge. When the definition of a surge is stricter, we would as-851 sign the surge onset of NN9, North and South Chongtar to 2017, 2005-2010 and August 2019, re-852 spectively. We speculate that the thin, lower part of South Chongtar was cold ice frozen to the bed, 853 reducing possibilities for the terminus to advance and causing basal pressure to strongly increase. 854

855 The sensor intercomparison revealed that Landsat 8 and Sentinel-2 are difficult to be used jointly 856 for determination of geometric changes as their geolocation differs (>30 m). Flow velocities 857 agreed well across sensors for South Chongtar, except for Sentinel-1 that had problems due to its 858 narrow tongue (800 m). However, the backscatter intensity images provided a time-series of surge 859 evolution at a near constant interval that is undisturbed by clouds. At the two smaller glaciers NN9 860 and North Chongtar, the optical sensors still provided reasonable and consistent flow velocities, 861 but limits due to spatial resolution and cloud cover became visible (more noise). The TerraSAR-X 862 acquisitions in StripMap mode revealed by far the best results and depicted the surge of North 863 Chongtar accurately.

864

865 After proper co-registration, all DEMs provided useful results to track elevation and volume 866 changes, independent of glacier size. The two SPOT DEMs from 2010 and 2015 suffered from 867 artefacts at steep slopes, but the latter compared very well to the HMA-DEM. The high-resolution 868 SPOT6 DEM from Oct 2020 had impressive quality and allowed an accurate calculation of the 869 volume change of all glaciers up to this point in time. The very precise ICESat-2 elevation profiles 870 provided additional information in space (glacier surface details) and time (between the DEMs) 871 that matched well to the other datasets. The ASTER DEM time series missed detecting local 872 changes of smaller glaciers, but provided a larger overview and complementary information on 873 cumulative elevation changes shortly before the surge of South Chongtar started.





# 874

875	All three glaciers are still advancing and South Chongtar and NN9 are now colliding. The bulldoz-
876	ing of the South Chongtar terminus into the down-wasting ice of Sarpo Laggo Glacier is already
877	creating interesting morphological changes. North Chongtar might again reach the floor of the
878	main valley as in the 1930s, but this could take some more years. We conclude that the past and
879	further evolution of these and other glacier surges can be well observed from satellite data, at best
880	by combing all available datasets.
881	
882	Supplement
883	The supplement related to this article is available on-line at: TBD
884	
885	Author contributions
886	F.P. detected the surges, lead the writing and analysed changes in extent and morphology. L.P.
887	contributed equally, derived the optical velocity data and prepared all related tables and figures;
888	D.T. derived and combined the elevation change data. All authors contributed to the writing, dis-
889	cussion and editing of the text.
890	
891	Code and data availability
892	Data processing has been performed using freely available (e.g. CIAS, MicMac, geopan-
893	das/rasterio/shapely) or in-house software (for SAR offset-tracking). Also most of the datasets
894	used here are freely available (e.g. Landsat, Sentinel-1/-2, Planet, ICESat-2, SRTM and HMA
895	DEMs, glacier outlines), except TerraSAR-X data (ordered from DLR) and the SPOT2020 DEM
896	(ordered form Airbus). The SPOT DEMs from 2010 and 2015 were provided by E. Berthier.
897	
898	Competing interests
899	The authors declare that they have no conflict of interest.
900	
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904	We also acknowledge free access to Sentinel-1 and -2 data from Copernicus, Landsat from USGS,
905	Planet from Planet, the SRTM DEM from USGS, the HMA DEM from NSIDC, and glacier out-
906	lines from GLIMS. This study would not have been possible otherwise.
907	
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#### 1137 Tables

- 1138
- 1139 Table 1: Characteristics of the three investigated glaciers using outlines modified from the
- 1140 GAMDAM2 glacier inventory (Sakai et al. 2019) and digitized in this study. Elevations refer to the
- 1141 SRTM DEM. Values given for 'min/max' refer to the minimum and maximum extent of a glacier
- 1142 *shortly before and after a surge, respectively.*

	NN9	North Chongtar	South Chongtar	
Size (min / max)	3.93 / 4.78 km <sup>2</sup>	9.16 / 10.15 km <sup>2</sup>	31.09 / 34.23 km <sup>2</sup>	
Size change (km <sup>2</sup> / percent)	+0.85 km <sup>2</sup> / +21.6%	+0.99 km <sup>2</sup> / +10.9%	+3.14 km <sup>2</sup> / +10.0%	
Elevation (highest / mean)	6450 / 5620 m	6810 / 5860 m	7230 / 5920 m	
Lowest elevation (min / max)	4430 / 5075 m	4440 / 5015 m	4545 / 4400 m	
Length (min / max)	3.25 / 5.5 km	4.75 / 6.8 km	14.4 / 17.1 km	
Changes (min. elev. / length)	645 / 2250 m	575 / 2050 m	145 / 2700 m	
Slope / Aspect	31.5 / SE	28.8 / NW	25.1 / NW	
Previous surge	1961-1971	1920s	1966-68	
Surge repeat cycle	40-50 y	90 y?	54 y	
This surge	2000-today	1965-today	2020-today	
Characteristics	Compact dual-basin	Dual-basin valley glacier	Long and flat single-basin	
	valley glacier with	with one major tributary	valley glacier with three	
	prominent medial	forming a prominent	tributaries (one resulting in	
	moraine	medial moraine	a short medial moraine)	

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# 1145 Table 2: Overview of the DEMs used to determine elevation changes of the glaciers in the study

1146 region and the additional ICESat-2 dataset.

	Name		Reso-			
Nr.	(short)	Туре	lution	Date	Source	Comments
1	SRTM 1	SAR	30 m	Feb. 2000	USGS, doi: 10.5066/f7pr7tft	C-band w/ penetration
2	HMA-DEM	OPT	8 m	Feb-Aug. 2015	NSIDC, doi:	7 months composite
					10.5067/KXOVQ9L172S2	
3	SPOT 2010	OPT	30 m	31 Oct 2010	Gardelle et al. 20013	SPOT 5 HRS
4	SPOT 2015	OPT	30 m	13 Oct 2015	Berthier & Brun 2019	SPOT 6
5	SPOT 2020	OPT	10 m	20 Oct 2020	Ordered from Airbus	SPOT 6
6	ASTER	OPT	30 m	2000-2019	Hugonnet et al. 2021	5y elevation changes
7	ICESat-2	LIDAR	0.7 m	3.12. 2018 –	NSIDC, nsidc.org/data/icesat-	Version 4, 14 tracks,
				5.11.2020	2/data-sets	over glaciers only

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- 1149 Table 3: Calculated volume changes (in  $km^3$ ) for six glaciers and different periods as obtained
- 1150 from the respective DEMs. Grey umbers in italics denote results that might be impacted by
- 1151 artefacts.

Nr.	Glacier	Period	Gain	Loss	Total
1	South Chongtar	2015-2020	0.3444	-0.1760	0.1684
2	North Chongtar	2000-2020	0.0466	-0.0365	0.0102
3	NN9	2000-2020	0.0356	-0.0017	0.0339
4	NN8	2000-2010	0.0175	-0.0167	0.0008
5	NN7	2000-2010	0.0146	-0.0304	-0.0158
6	Sarpo Laggo	2000-2020	0.0024	-0.4708	-0.4684

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- 1154 Figures
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Fig. 1: Overview of the study region showing the location of the Karakoram Mountains (inset,
lower left) and of the study region (inset upper right), outlines of the investigated glaciers (yellow),
other glaciers (green), centre lines (red), cross-profile line (orange) and extent of the SPOT 2020
DEM perimeter (blue). The satellite image in the background is the panchromatic band from
Landsat 8 acquired on 21 Oct 2020 (Landsat data: earthexplorer.usgs.gov), the two insets are
screenshots from © Google Earth.

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> ASTER ICESat-2 SPOT6 SPOT5 НМА SRTM TerraSAR-X Sensor Planet Sentinel-1 Sentinel-2 Landsat 8 Landsat 7 Landsat 5 Landsat 3 Landsat 1 1984 1988 2020 1972 1976 1980 1992 1996 2000 2004 2008 2012 2016 Time

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1166 Figure 2. Timeline of the temporal coverage of the satellite sensors used (light line) and dates and 1167 time series selected for the analysis (lines or dots). Lines and dots in dark blue indicate the

1168 elevation change analysis, orange lines the velocity analysis, and green dots the glacier extents.

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Fig. 3: Temporal evolution (colour-coded dates) of glacier extent for the three glaciers (NN9, North Chongtar, South Chongtar) investigated here. For comparison, the displacement of the terminal lobe of Moni Glacier from 2000 to 2020 is also shown (arrow). 'Last' is referring to 30
September 2021. Background: Sentinel-2 image acquired on 16 July 2021 with bands 8/4/3 as RGB (Copernicus Sentinel data 2021).

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1182 Fig. 4: Terminus changes for the investigated glaciers. a) Cumulative length changes (the retreat

1183 phase of South Chongtar before 2020 is not shown), b) advance rates.

 $\begin{array}{c}1184\\1185\end{array}$ 







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1187 Fig. 5: Temporal evolution of 2D surface flow velocities for the three glaciers before 2020 derived

1188 from a) Landsat 7, b) TerraSAR-X, c) Sentinel-2, and d) Landsat 8. The dates of the compared

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1192 Fig. 6: Temporal evolution of 1D flow velocities along a centre-line starting at the highest point of

1193 each glacier for a) glacier NN9 and b) North Chongtar. Satellite names: L7/L8: Landsat 7/8, TSX:

1194 Terra-SAR-X, S2: Sentinel-2.

<sup>1189</sup> *images are given at the top of each panel.* 





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#### 1196

1197Fig. 7: Temporal evolution of flow velocities for South Chongtar Glacier from its highest point to1198its terminus, its location is indicated by an 'x' at the top of panels a) and b). a) Pre-surge values1199along the centre-line as derived from different satellites (names see Fig. 6). b) As a) but during the1200surge and derived from Sentinel-2 only, c) Hovmöller diagram of the surge phase. In this plot grey1201values are below 1 m  $d^{-1}$ , white indicates no data.

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Fig. 8: Temporal evolution of 2D flow velocities for South Chongtar Glacier during its surge as
derived from Sentinel-2. The dates of the respective Sentinel-2 pairs are given at the top of each
panel.

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1212 Fig. 9: Cross-profile surface flow velocities for South Chongtar Glacier derived from Planet and

1213 comparison with Sentinel-2. The vertical dash line indicates the location of the centerline.

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Fig. 10: Elevation differences along the glacier centerlines in respect to the SRTM DEM from
2000 for the three investigated glaciers, namely a) NN9, b) North Chongtar, and c) South
Chongtar glaciers. The star (\*) markers and dates in the legend correspond to ICESat-2 elevation
differences in respect to the SRTM DEM. Note that due to the different track locations, only some
of the dates shown in the legend are present in each panel.

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1225 comparison between the SPOT 2015 and the HMA DEM from 2015 is shown in Fig. S13.