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2	Three different glacier surges at a spot:
3	What satellites observe and what not
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6	Frank Paul ¹ , Livia Piermattei ² , Desiree Treichler ² , Lin Gilbert ³ , Luc Girod ² , Andreas Kääb ² , Ludi-
7	vine Libert ⁴ , Thomas Nagler ⁴ , Tazio Strozzi ⁵ , Jan Wuite ⁴
8	
9	
10	1 Department of Geography, University of Zurich, 8057 Zurich, Switzerland
11	2 Department of Geosciences, University of Oslo, P.O. Box 1047, 0316 Oslo, Norway
12	3 UCL-MSSL, Department of Space and Climate Physics, Mullard Space Science Laboratory
13	Holmbury St Mary, Surrey RH5 6NT, UK
14	4 ENVEO IT GmbH, Fürstenweg 176, 6020 Innsbruck, Austria
15	5 Gamma Remote Sensing, 3073 Gümligen, Switzerland
16	
17	Corresponding author: Frank Paul (frank.paul@geo.uzh.ch)
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20 Abstract

21 In the Karakoram, dozens of glacier surges occurred in the past two decades, making the region 22 one of its global hotspots. Detailed analyses of dense time series from optical and radar satellite 23 images revealed a wide range of surge behaviour in this region: from slow advances longer than a 24 decade at low flow velocities to short, pulse-like advances over one or two years with high veloci-25 ties. In this study, we present an analysis of three currently surging glaciers in the central Karako-26 ram: North and South Chongtar Glaciers and an unnamed glacier referred to as NN9. All three 27 glaciers flow towards the same small region but differ strongly in surge behaviour. A full suite of 28 satellites (e.g. Landsat, Sentinel-1 and 2, Planet, TerraSAR-X, ICESat-2) and digital elevation 29 models (DEMs) from different sources (e.g. SRTM, SPOT, HMA-DEM) are used to (a) obtain 30 comprehensive information about the evolution of the surges from 2000 to 2021 and (b) to com-31 pare and evaluate capabilities and limitations of the different satellite sensors for monitoring rela-32 tively small glaciers in steep terrain. A strongly contrasting evolution of advance rates and flow 33 velocities is found, though the elevation change pattern is more similar. For example, South Chongtar Glacier had short-lived advance rates above 10 km y⁻¹, velocities up to 30 m d⁻¹ and sur-34 35 face elevations increased by 200 m. In contrast, the neighbouring and three times smaller North Chongtar Glacier had a slow and near linear increase of advance rates (up to 500 m y⁻¹), flow ve-36 37 locities below 1 m d⁻¹ and elevation increases up to 100 m. The even smaller glacier NN9 changed from a slow advance to a full surge within a year, reaching advance rates higher than 1 km y⁻¹. It 38

39 seems that, despite a similar climatic setting, different surge mechanisms are at play and a transi-40 tion from one mechanism to another can occur during a single surge. The sensor inter-comparison 41 revealed a high agreement across sensors for deriving flow velocities, but limitations are found on 42 small and narrow glaciers in steep terrain, in particular for Sentinel-1. All investigated DEMs have 43 the required accuracy to clearly show the volume changes during the surges and elevations from 44 ICESat-2 ATL03 data fit neatly to the other DEMs. We conclude that the available satellite data 45 allow for a comprehensive observation of glacier surges from space when combining different sen-46 sors to determine the temporal evolution of length, elevation and velocity changes.

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49 **1. Introduction**

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51 Glacier surges in the Karakoram are widespread (e.g. Sevestre and Benn, 2015) and have been 52 thoroughly documented using historic literature sources and time series of satellite images (Cop-53 land et al., 2011; Bhambri et al., 2017; Paul, 2020). A large number of publications provide in-54 sights into decadal elevation changes (e.g. Bolch et al., 2017; Berthier and Brun, 2019; Brun et al., 55 2017; Gardelle et al., 2013; Rankl and Braun, 2016; Zhou et al., 2017) and mean annual flow ve-56 locities (e.g. Dehecq et al., 2015; Rankl et al., 2014) at a regional scale. Using various satellite da-57 tasets, several studies have also investigated individual glacier surges at high temporal resolution 58 (e.g. Bhambri et al., 2020; Mayer et al., 2011; Paul et al., 2017; Quincey et al., 2015; Round et al., 59 2017; Steiner et al., 2018).

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61 This increasing interest is in part due to the hazard potential of glacier surges, in particular when 62 river damming creates lakes that might catastrophically drain in so-called glacier lake outburst 63 floods (GLOFs) with far reaching impacts (e.g. Bazai et al., 2021; Bhambri et al., 2019 and refer-64 ences therein; Iturrizaga, 2005), but also due to the increased availability of satellite data for char-65 acterizing surges in detail (e.g. Dunse et al., 2015; King et al., 2021; Nuth et al., 2019; Rashid et 66 al., 2020; Wang et al., 2021; Willis et al., 2018). The still limited understanding of surges in the 67 Karakoram region (e.g. Farinotti et al., 2020) and the high diversity of observed surge characteris-68 tics (e.g. Bhambri et al., 2017; Hewitt, 2007; Paul, 2015; Quincey at al., 2015) also contribute to 69 the recent efforts. These studies found that both main types of glacier surges can be found in the 70 Karakoram, sometimes side-by-side: The Alaska type, which might be triggered by a change in the 71 basal hydrologic regime, creates pulse-like surges of a short duration (2-3 years), whereas the 72 thermally-controlled Svalbard type has often active surge durations of many years (e.g. Jiskoot, 73 2011; Murray et al., 2002; Raymond, 1987; Sharp, 1988). Although the physical reasons for the 74 differences and variability of surges in the Karakoram are yet unknown (e.g. glacier properties, 75 thermal regime, mass balance history), many glaciers in the Karakoram have surged repeatedly, 76 sometimes at surprisingly constant intervals and over centuries (e.g. Bhambri et al., 2017; Paul, 2020). On average, surges in the central Karakoram repeat after 40 to 60 years, but intervals can
range from less than 20 to more than 80 years.

79

80 In the thermally controlled case, it is sometimes difficult to distinguish a regular advance from a 81 surge, as the transition can be gradual (Lv et al., 2020). Whether an advance (stimulated by a posi-82 tive mass budget) is indeed a surge might be determined by comparison with the behaviour of 83 neighbouring glaciers. As thresholds on advance rates or ice flow speedup might not be efficient to 84 distinguish (slow) surges from advances in the Karakoram, the typical mass redistribution pattern 85 of a surge (from an upper reservoir to a lower receiving zone) as obtained from differencing digital 86 elevation models (DEMs) acquired a few years apart (e.g. Gardelle et al., 2013) is a more reliable identifier (Lv et al., 2019; Goerlich et al., 2020). Usually, the surface in the upper regions of a 87 88 glacier does not lower significantly during a regular advance (Lv et al., 2020). A further method to 89 discriminate surges from a usual advance is related to a strong increase in crevassing and devel-90 opment of shear margins. However, these are only visible in very high-resolution satellite images 91 or time-series of SAR data (Leclercq et al., 2021).

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93 In this study, we present (a) a comparative analysis of the on-going surges of three glaciers in the 94 central Karakoram: North and South Chongtar Glacier and a small, unnamed glacier referred to 95 here as NN9. We present a comparative analysis of their changes in length, advance rates, flow 96 velocities and surface elevations to elucidate the respective similarities and differences in surge 97 behaviour. As a second aim of this study, we (b) investigate the feasibility of various satellite sen-98 sors and DEMs to follow the temporal evolution of the surges comprehensively. Included are opti-99 cal (Sentinel-2, Landsat, Planet cubesats) and synthetic aperture radar (SAR) imaging sensors 100 (Sentinel-1, TerraSAR-X), altimeter data from ICESat-2 and DEMs from the Shuttle Radar To-101 pography Mission (SRTM), the Satellite Pour l'Observation de la Terre (SPOT), the High Moun-102 tain Asia DEM (HMA-DEM) and the Advanced Spaceborne Thermal Emission and reflectance 103 Radiometer (ASTER). The latter is an external dataset provided by Hugonnet et al. (2021).

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106 2. Study region

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The study region is located in the central Karakoram, north of the Baltoro Glacier, at about 35.94° N and 76.33° E (Fig. 1). East of the study region stands the second highest mountain in the world, the 8611 m high K2. Slopes of the surrounding terrain are very steep and snow avalanches from the surrounding rock walls are a major source of glacier nourishment. Mass changes over the past 20 years derived from satellite data using the geodetic method show more or less constant nearzero mass budgets in the study region (Hugonnet et al., 2021), confirming the continuation of the 'Karakoram Anomaly' (i.e. the balanced mass budgets) in this region (Farinotti et al., 2020).

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Fig. 1: Overview study region

Most precipitation in the study region is brought by westerly air flow during winter, but the monsoon brings moist air from the southeast also during summer (Maussion et al., 2014), falling as snow at the high elevations of the rock walls surrounding most glaciers. However, due to the good protection from nearly all directions, the amount of snowfall in the study region is limited and a dry-continental climate can be expected (e.g. Sakai et al., 2015). As surge-type glaciers are abundant (Copland et al., 2011; Bhambri et al., 2017) and repeat intervals are comparably short (Paul, 2020), several glaciers in the Karakoram are typically actively surging at any given time.

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126 The three glaciers investigated here (North/South Chongtar, NN9) have mean elevations around 127 5500 m and are surrounded by mountain ridges with elevations between 6000 and 7500 m above 128 sea level. South Chongtar Glacier (shortened to South Chongtar in the following) is the largest 129 with an area of $\sim 31 \text{ km}^2$ and a length of more than 14 km at minimum extent, but it has a narrow 130 tongue with a near-constant width of about 800 m. The glacier is mainly east-west oriented in its 131 upper part, bending towards south-north near the terminus. North Chongtar lies north of South 132 Chongtar and is connected to it in its accumulation area. It flows from southeast to northwest, co-133 vers an area of $\sim 10 \text{ km}^2$, has a length of 4.5 km at minimum extent and is about 400 m wide. The 134 unnamed glacier NN9 is located on the opposite side of the main valley and flows roughly from 135 west to east. The glacier is about 3.5 km long at minimum extent with an area of 4 km² and a \sim 300 136 m wide tongue. Table 1 summarises further characteristics and topographic properties.

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Table 1: Basic properties of the three investigated glaciers

140 At their historically recorded maximum extent the three glaciers reach Sarpo Laggo Glacier, a 141 compound-basin valley glacier with a size of 122.3 km². This glacier experienced a massive surge 142 shortly before 1960 (Paul, 2020) and a smaller, more internal one (i.e. not reaching the terminus), 143 between 1993 and 1995 (e.g. Paul, 2015; Bhambri et al., 2017). According to Paul (2020), South 144 Chongtar had a rapid advance during a surge that started in 1966 with a short active phase of about 145 two years followed by a quiescent phase with continuous down-wasting and retreat. During this 146 surge it partly compressed the ice from Sarpo Laggo and deformed a moraine from the Moni Glac-147 ier tributary (see Fig. 1), leaving an impressive surge mark. In contrast, North Chongtar started 148 advancing about 55 years ago but has not yet reached Sarpo Laggo. The Shipton map from 1937 149 (Shipton, 1938) shows North Chongtar in contact with it, indicating that the terminus might reach 150 it again. The glacier NN9 had its last surge from about 1961 to 1971 (leaving a small surge mark 151 on Sarpo Laggo) and retreated in its quiescent phase until 2000, when it started to advance slowly. 152 The two glaciers to the south of NN9 (NN7 and NN8 in Paul, 2020) both surged around 1955, and

again in 1998 and 1980, respectively. NN8 also surged after 2002, indicating a surge cycle of only
20-25 years. The next surge of NN8 can thus be expected in a few years, at least if environmental
conditions prevail.

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158 **3. Datasets**

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160 In this section, we describe the satellite and auxiliary datasets used to derive time series of glacier 161 outlines, surface flow velocities and elevation changes in the study region. Figure 2 shows the 162 temporal coverage of each dataset, and the periods selected for the analysis. Changes in glacier 163 extent have been mapped for the active (advance) phases of the three glaciers, starting with Land-164 sat Multispectral Scanner (MSS) images from 1973 for North Chongtar Glacier. The earliest da-165 tasets used to derive flow velocities and elevation changes were acquired in 2000, based on the 166 Landsat 7 Enhanced Thematic Mapper plus (ETM+) panchromatic band and the SRTM DEM, re-167 spectively.

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- 169 170

Fig. 2: Timeline of datasets used

171 **3.1 Glacier extent and centrelines**

We used glacier outlines from the updated Glacier Area Mapping for Discharge from the Asian Mountains (GAMDAM2) inventory by Sakai (2019) as a starting point for all glacier extents. This dataset was locally improved (removing rock outcrops and seasonal snow) using a Landsat 8 image acquired on 21 October 2020 (Fig. 1). Given the unknown final length of the glaciers, we digitized likely maximum extents for the three glaciers, avoiding overlapping polygons in their terminus regions. The virtual extents were guided by maximum extents of previous surges described by Paul (2020).

179

180 Changes in extent were derived from time series of spatially consistent Landsat data (MSS, TM, 181 ETM+ and Operational Land Imager (OLI)) from path-row 148-35. The slightly shifted Sentinel-2 182 scenes (sensor Multi Spectral Imager, MSI) from tile 43SFV were used to bridge a gap in availa-183 bility of cloud-free Landsat scenes after February 2021. The shift of about 50 m was manually sub-184 tracted to obtain a correct time series of length changes. The spatial resolution of the optical sen-185 sors used for this purpose is 60 m (MSS), 30 m (TM), 15 m (ETM+, OLI) and 10 m (MSI). The 186 list of satellite scenes used for determination of geometric changes (outlines, length changes) is 187 given in Table S1 of the Supplemental Material.

188

189 The centrelines for NN9, South and North Chongtar were manually digitized starting from the 190 highest points of each glacier down to the virtual maximum extent. The centrelines were divided

- 191 into equidistant points of 100 m at which values for velocity and elevation were extracted.
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193 **3.2.** Flow velocity

194 Time series of optical and SAR data were used to derive glacier flow fields (see Table S2). Land-195 sat 7 and 8 scenes, Sentinel-2 and TerraSAR-X (TSX) were used (Fig. 2) to determine pre-surge 196 flow velocities of South Chongtar and advance/surge phase velocities for all glaciers. Images from 197 Planet cubesats were used for a comparison of results with Sentinel-2 and some gap filling in the 198 time series rather than for a full documentation of the active surge of South Chongtar. The related 199 optical images were acquired in summer or autumn for the pre-surge phase of South Chongtar and 200 all year during its surge (Table S2).

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202 From TSX co-registered single-look slant range complex (SSC) images acquired in StripMap 203 mode, with across- and along-track resolution of up to 3 m are used. The selected image pairs are 204 from two different tracks, cover the study region in the descending direction and were acquired in 205 winter 2011, autumn 2012 and spring 2014 (Table S2). The three SAR image pairs from TSX were 206 acquired in winter, autumn and spring. Time series of Sentinel-1 single-look complex (SLC) data 207 acquired in interferometric wide (IW) swath mode were used to test its feasibility to derive flow 208 velocities and to create an animation of the surge that is unobstructed by clouds. The Sentinel-1 IW SLC data have a nominal ground resolution of 5 m x 20 m. 209

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211 **3.3 Elevation information**

212 To follow elevation changes of the glaciers before and during the surge, we analysed several 213 DEMs from both optical and SAR sensors (Table 2). We used the following DEMs with known 214 acquisition dates: The SRTM1 DEM at 1 arcsec (~30 m) resolution from February 2000 (USGS, 215 2017), a SPOT5-HRS DEM from October 2010 (Gardelle et al., 2013; we used their version v2 for 216 rugged areas), a SPOT6 DEM from October 2015 (Berthier and Brun, 2019), and a SPOT7-217 derived DEM from October 2020 that was generated for this study. In addition, we used the HMA-218 DEM mosaic (Shean, 2017) as a reference for DEM co-registration analysis due to its superior 219 spatial resolution and accuracy over stable terrain (off-glacier) compared to the other DEMs (Fig. 220 S1). The HMA-DEM is composed of various DEM datasets mostly acquired during 2015 (Feb., 221 April, July, and Aug.) in this region. Elevation values along the centrelines are extracted from the-222 se DEMs and DEM differences are calculated for the periods 2000-2010, 2010-2015 and 2015-223 2020. For comparison, we also analysed elevation changes derived from ASTER time series by 224 Hugonnet et al. (2021). These provide additional information about the periods 2000-2004 and 225 2005-2009 (full calendar years) as well as from 2000 to 2019, before the surge of South Chongtar. 226

227

Table 2: Overview DEM characteristics

- 229 We also analysed whether altimetry data from ICESat-2 could be used to reveal elevation changes 230 at a higher temporal resolution. The Advanced Topographic Laser Altimeter System (ATLAS) 231 instrument on-board ICESat-2 acquires elevation profiles at a 91-day temporal resolution since 232 October 2018. Each satellite overpass results in three beam pairs that are separated by 3.3 km and 233 90 m between/within pairs, respectively (Markus et al., 2017). The ICESat-2 ATL06 dataset pro-234 vides geolocated land ice surface heights with 40 m spatial resolution in profile direction. Figure 235 S2 shows the ATL06 dates and elevations of data points crossing North and South Chongtar, and 236 the two closest repeating pairs of tracks on South Chongtar. Due to the systematic off-pointing at 237 mid-latitudes, ICESat-2 tracks are not repeated exactly in our study area and the ATL06 data alone 238 proved too sparse, both geographically and temporally, for further analysis of the surges.
- 239

The ICESat-2 ATL03 Global Geolocated Photon Data (Neumann et al., 2021), from which the ATL06 dataset is a higher-level derivative, provides surface elevation measurements from individual photons every 0.7 m along the elevation profiles, revealing details of the surface topography of the glaciers. The ICESat-2 surface elevations fall into the time gap of the DEMs between 2015 and 2020, thus providing additional temporal information on the surge development. In total, we found 42 intersections with the centrelines of the three investigated glaciers: 23 on South Chongtar (from seven dates), 13 on North Chongtar (from six dates), and 6 on NN9 (from three dates).

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249 **4. Methods**

250 **4.1 Glacier extent**

251 The timing of the selected images used to digitize glacier extents varies strongly depending on the 252 advance rates. To have at least a two-pixel change in frontal position (which is sufficient for sound 253 change detection), it varies from several years for the slow advance of North Chongtar to about 16 254 days for the surge phase of South Chongtar. For North Chongtar also the spatial resolution of the 255 sensor matters to some extent, as two pixels translate to a required advance of 120 and 60 m for 256 MSS and TM, respectively. Due to frequent cloud cover, different scenes had to be used for the 257 individual glaciers (Table S1). For the digitization, the polygon referring to the virtual maximum 258 extent of each glacier was split into a multi-polygon by digitizing the smaller extents visible on the 259 respective satellite images.

260

Length changes between two terminus positions from t_1 and t_2 were derived manually using the distance tool in ArcGIS. Several values were obtained for each change and a suitable average assigned (values usually varied by about ±10 m). We only used the Landsat 7 and 8 time series for this as the Landsat Collection 1 data had a spatial shift compared to Sentinel-2 (e.g. Paul et al., 2016). The length change values from t_1 to t_2 were divided by the temporal difference ($t_2 - t_1$), con-

verted to mean annual advance rates and assigned to the date that is halfway between t_1 and t_2 .

- 267 Cumulative changes were obtained by summing up the individual length changes.
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269 **4.2 Velocities**

270 Flow velocities typically span two to three orders of magnitude, e.g. from <0.1 m d⁻¹ for near stag-271 nant glaciers to >10 m d⁻¹ during a surge. When using offset-tracking (e.g. Strozzi et al., 2002) 272 When using offset-tracking (e.g. Strozzi et al., 2002) for both Sentinel-1 and TSX or image corre-273 lation for optical data (Debella-Gilo and Kääb, 2012), this range can to some extent be accounted 274 for by varying the search window size or the time between the acquisition dates of the image pair. 275 If glaciers with very different flow velocities are in the study region, it might be required to use 276 images from different dates for the analysis or an adaptive search window (Debella-Gilo and Kääb, 277 2012). In the following, we describe some basics of the processing lines applied for optical and 278 SAR sensors.

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280 The normalized cross-correlation algorithm implemented in the correlation image analysis soft-281 ware (CIAS, Kääb and Vollmer, 2000) is used to calculate the glacier surface displacement be-282 tween optical satellite image pairs (Fig. S3 is illustrating the workflow). The satellite images were 283 not co-registered as we assume that they are corrected for topographic distortion, and therefore the 284 displacement calculated between two images is the actual horizontal displacement without any 285 influence of topography. To check co-registration, abundant stable terrain was included in the cor-286 relation. The displacements are estimated at a spatial resolution of 100 m while the size of the 287 search area is set in relation to the maximum displacement estimated between two satellite scenes. 288 Dividing the displacement by the temporal difference between the image pairs (Table S2) gives 289 velocity in m d^{-1} .

290

291 With optical data, clouds, cast shadows and changes in snow cover lead to false detections or bi-292 ased measurements of the calculated displacement fields. These mismatches are removed in post-293 processing by setting a threshold of the maximum correlation coefficient (<0.5) and velocity. For 294 Sentinel-2 data, elevated objects such as clouds are detected by applying CIAS between band 4 295 and band 8 of the same Sentinel-2 scene. The calculated perspective displacements (both bands are 296 recorded at slightly different positions of the sensor) are then used to mask the clouds. For all sat-297 ellite data, spatial filtering based on a moving median window as well as temporal filtering are ap-298 plied to remove additional outliers and noise (Fig. S3).

299

300 Surface flow velocities for TSX data were derived by an iterative offset-tracking technique devel-301 oped for SAR data (Wuite et al., 2015). This method does not require coherence and is thus also

- 302 capable of acquiring flow velocity data over longer time spans and in regions with fast flow. The
- 303 method is based on cross-correlation of templates in SAR amplitude images and provides both the
- 304 along-track and line-of-sight velocity components from a single image pair. We used a template

size of 96×96 pixels for generating velocity maps with 50 m grid spacing and applied a 9x9 inverse-distance median filter in the post-processing step to remove outliers and fill in small gaps. For Sentinel-1, the same method was applied but tests with various image template sizes were performed with an image pair acquired on 4. and 16. November 2020 during the peak of the surge (Fig. S4).

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311 **4.3 Elevation data**

312 We used the MicMac software to generate the SPOT 2020 DEM from the raw imagery (Rupnik et 313 al., 2017). The pre-processing of all DEMs follows the standard processing steps for DEM differ-314 encing: All DEMs were projected to UTM 43N (EPSG 32643), elevations were vertically trans-315 formed to the WGS 84 ellipsoid and DEMs were co-registered to the HMA DEM using OPALS 316 (Pfeifer et al., 2014). Specifically, we applied least squares matching to estimate the full 3D affine 317 transformation parameters that minimize the errors with respect to the reference DEM over com-318 mon stable areas. These were manually digitized off-glacier excluding slope values larger than 40 319 degrees (Fig. S5). Because of data voids, we also had to exclude large parts of the accumulation 320 areas of some glaciers in the case of the SPOT 2010 and 2015 DEMs.

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All DEMs were resampled, clipped and aligned to the same 30 m grid and a high-resolution 5 m grid for the HMA DEM and SPOT 2020. We did not correct the SRTM DEM for microwave penetration into ice and snow (Gardelle et al., 2012), as the effect is small compared to the elevation differences caused by the surges and uncertain, i.e. it is not systematic and any for example elevation dependent correction can not be justified either. Elevation values were extracted along the centrelines and subtracted from SRTM.

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To estimate volume changes resulting from the surges, volume gain and loss (i.e., summing up all positive and negative values within the tongues) were calculated for each glacier tongue with adjusted extents and glacier-specific epochs (Fig. S5). For comparison, we included the glaciers NN7 and NN8 (see Fig. 1) in the analysis as they also surged during the study period.

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334 As the ICESat-2 ATL06 datasets did not provide useful results, only the ATL03 dataset was fur-335 ther processed using python libraries geopandas (Jordahl et al., 2021), rasterio (Gillies et al., 2021) 336 and shapely (Gillies et al., 2021a). The photon elevations were filtered to only retain elevation 337 samples classified as likely land or ice surfaces (parameters signal conf ph / sig-338 nal conf ph landice >1) and classified into glacier and off-glacier samples using maximum glaci-339 er outlines. On the bright glacier surface, both the weak and strong laser beams yield sufficient 340 photon returns for complete elevation profiles. This is less true for moraines / rocky areas (profile 341 3 in Fig. S6), where the weak beam yields considerably fewer surface returns.

- 343 Elevation values were sampled for all elevation points (containing a DEM cell) and the AMES
- 344 stereo pipeline (version 2.7.0, Shean et al., 2016) was used to co-register the elevation profiles (on-
- 345 ly off-glacier samples) with the already co-registered DEMs used in this study (no co-registration
- 346 offset was found). The profiles were intersected with the glacier centrelines to compare the ATL03
- 347 elevation samples with the DEMs. The median of all elevation samples on each profile within a 10
- 348 m buffer from the centreline are used as surface elevations at the intersection points.
- 349

350 **4.4 Uncertainties**

The uncertainty of the length change data has been determined by measuring for each glacier and each time step different points at the terminus. From the range of values, a reasonable mean value was determined manually. Glacier terminus positions were digitized only once and used only for a qualitative illustration (outline overlay) of the changes, i.e. we have not explicitly calculated uncertainties of glacier extents. As a range of sensors with different spatial resolutions is used for the digitizing (e.g. Landsat MSS, ETM+, OLI and Sentinel-2), the uncertainty varies with the sensor.

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358 Based on the assumption that measurement errors over glaciers and other terrain are common 359 (Paul et al., 2017), we assessed the uncertainties of glacier flow velocities from stable terrain ve-360 locity observations, where flow velocities are supposed to be zero, using the same stable areas as 361 used for DEM co-registration (Fig. S5). Uncertainties are derived as measures of median and a 362 robust standard deviation based on the median absolute deviation (MAD), which is a bit less sensi-363 tive to outliers (e.g. Dehecq et al., 2015). Co-registration accuracy of the DEMs was computed 364 from elevation differences calculated over stable terrain (off glacier) with slopes smaller than 40° 365 (Fig. S5).

366 367

368 **5. Results**

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370 **5.1 Changes in glacier extent and morphology**

371 In Fig. 3 the temporal evolution of terminus positions is depicted as an overlay of extents showing 372 slow advances of NN9 (starting in 2000) and North Chongtar (since 1973) along with a rapid ad-373 vance of South Chongtar (starting mid-2020). For better visibility, the retreat phase of South 374 Chongtar from 2000 to mid-2020 is not shown. Snapshots of the geometric evolution can be found 375 in Fig. S7 for the time period before the surge of South Chongtar (1993-2019) and in Fig. S8 for 376 the time during its surge (2020-2021). The related cumulative length changes for all three glaciers 377 are shown in Fig. 4a for their advance phases, whereas Fig. 4b only shows advance rates for the 378 glaciers NN9 and North Chongtar (they were out of scale for South Chongtar).

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Fig. 3: Multi-temporal outline overlay advance phase

South Chongtar entered its quiescent phase after its 1966/67 surge and exhibited constant thinning with limited frontal retreat over several decades. After 30 years (in 2000) the former surge lobe was still largely ice filled, though increasingly debris covered. Driven by further thinning, a clear retreat of the terminus (remaining clean ice) became visible after 2000, reaching about -800 m by 2009 and -2300 m by mid-2020. During this retreat phase, its middle part always showed some residual flow, i.e. it was not completely stagnant. In 1993 a deformation of the medial moraine started moving forward, about 300 m by 2009 and 500 m by 2019.

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390 In 2017, a new surge developed with the typical funnel-shaped appearance of the front. While the 391 lowest part of the glacier was still thinning and retreating in 2019, the surge front reached the ter-392 minus in July 2020 and the front started advancing by about 3 km in 10 months (Fig. 4a) with ad-393 vance rates of up to 12.6 km yr⁻¹ (35 m d⁻¹) in early Nov. 2020. During this time the lower part 394 widened massively and the entire surface became heavily crevassed. The front advanced into its 395 former surge mark on Sarpo Laggo Glacier and pushed the ice surrounding it towards the opposite 396 side of the valley. By June 2021 advance rates decreased considerably, but the terminus was still 397 advancing.

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Fig. 4: Cumulative length changes and advance rates

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401 **North Chongtar** on the other hand, advanced at a more or less constant rate of 30 m y⁻¹ until 2004 402 when it passed a total of 800 m since 1973 (earliest MSS image, see Table S1). A very high-403 resolution satellite image from 2001 is available in Google Earth and shows some crevassing near 404 the terminus but not a surging glacier. There is no indication of a melt water stream leaving the 405 glacier snout. After 2005, advance rates increased linearly and we assign this as the onset of the 406 surge phase. This increase resulted in a nearly completely crevassed surface and widespread shear 407 margins. Both are also visible in the 15 m resolution Landsat panchromatic bands, and, even bet-408 ter, in very high-resolution images from 2011 and 2016 available in Google Earth. In 2013 the 409 terminus reached a step in the valley slope, creating a deep transverse crevasse that seemed to sep-410 arate the lowest part of the tongue but actually didn't. By 2021 nearly the entire surface was still 411 crevassed and the glacier had advanced by a further 1600 m since 2004, i.e. 2.4 km in total.

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The small valley **glacier NN9** slowly retreated until 1998 and started advancing a year later at about a constant rate of 40 m y⁻¹ until 2016 (Fig. 4). Up to this year, its lowest parts had some crevasses but looked otherwise like a usual advancing glacier. This changed a year later when the glacier thickened considerably, developed shear margins and started advancing at a much higher rate of up to 1000 m y⁻¹ in 2021, indicating the start of the surge phase. The increasingly crevassed surface also became visible in Sentinel-2 images and with the 15 m Landsat 8 band. The total ad-

- 419 vance from 1999 to 2018 was 800 m followed by a further 500 m until June 2021. In July the 420 frontal advance accelerated further reaching nearly 3 km y⁻¹ in August 2021, whereby the lower 421 part of the tongue separated from the main glacier and slid down the remaining kilometre in about 422 a month. More ice is following from higher elevations, possibly leading to some interaction with 423 the still advancing terminus of South Chongtar.
- 424

425 **5.2 Flow velocities**

426 5.2.1 NN9 and North Chongtar

427 Selected flow velocity maps for the two glaciers are shown in Fig. 5 and related velocity profiles 428 along the centreline of the main trunk can be seen in Figs. 6a and b for NN9 and North Chongtar, 429 respectively. The c. 300-400 m wide tongue of NN9 is at the edge of the possibilities for deriving 430 flow velocities with offset-tracking (and a 100 m grid) from the optical sensors, but the high reso-431 lution of TSX StripMap acquisitions provides near-complete spatial coverage (Fig. 5b). Due to 432 local cloud cover several of the optical image pairs selected for South Chongtar could not be used 433 for NN9 and North Chongtar. 434

435 Though scattered, the values derived from Landsat 7 (Fig. 5a), Sentinel-2 (Fig. 5c) and Landsat 8 436 (Fig. 5d) look reasonable. Pre-surge values are around 0.1 m d⁻¹ with Landsat 7 (2000-2002) and 437 TSX (2011 and 2012) and a bit higher (up to 0.2 m d^{-1}) with Sentinel-2 in 2017 (Fig. 6a). After-438 wards values in the lower part of NN9 (between 2.5 and 4 km) start increasing to 0.4 m d⁻¹, reach-439 ing 0.8 m d⁻¹ between August and October 2020. The upper glacier started accelerating in autumn 440 2020 with a near-linear increase up to the terminus (Fig. 6a), indicating surge activation in the 441 lower part of the glacier. The increased crevassing of NN9 is also visible in the higher intensity 442 values of the Sentinel-1 animation towards the latest images (see Supplemental Material).

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- 444

Fig. 5: 2D flow velocity maps 2000-2019 for all glaciers

445

446 For the larger North Chongtar, a slightly better coverage can be obtained from the optical sensors 447 than for NN9. The most homogenous flow fields are derived by TSX (Fig. 5b) indicating higher 448 flow velocities of up to 0.4 m d⁻¹ in its lower two thirds up to the terminus in 2012. The profiles in 449 Fig. 6b from Landsat 7 show similar values. Velocities derived from Sentinel-2 between 2016 and 450 2019 are lower in the region from 3 to 5.5 km. There is a zone with very low velocities between 451 4.5 and 5 km and acceleration further down. From August 2019 to October 2020 flow velocities 452 are between 0.8 and 1 m d^{-1} near the terminus, indicating that this region is fast flowing and ad-453 vancing, whereas the upper regions are still moving with 0.2 to 0.4 m d⁻¹.

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Fig. 6: 1D centre-line velocity profiles NN9 & South Chongtar

457 **5.2.2 South Chongtar**

458 The much larger South Chongtar glacier was adequately captured by the optical sensors so that a 459 more continuous flow field could be derived (Fig. 5) and pre-surge flow evolution could be fol-460 lowed in detail (Fig. 7a). Comparing the maps in Fig. 5, a slow but steady increase of flow veloci-461 ties from 2000 to mid-2019 over large parts of the glacier can be seen, starting at about 0.15 m d⁻¹ 462 and ending at 0.4 m d⁻¹. These values are similar to the other two glaciers, but affect a larger re-463 gion. The temporal evolution shown in Fig. 7a confirm this observation: pre-surge flow velocities 464 are highest (up to 0.4 m d⁻¹) near the middle of the glacier (around 8 to 10 km) and decrease gradu-465 ally to 0 m d⁻¹ at its highest and lowest points. In the region between 11 and 14 km the gradual in-466 crease of flow velocities can be followed from 2000/02 (with Landsat 7) to 2014 (with TSX). 467 Mean annual values with Landsat 8 from 2013 to 2014 match perfectly with mean monthly TSX 468 values from April to May 2014. Landsat 8 velocities from 2013 to 2016 and Sentinel-2 from 2016 469 to 2019 show the continuation of the slow velocity increase over the entire glacier length, reaching 470 0.4 m d^{-1} in 2018/19. A direct comparison with Landsat 8 over nearly the same period (grey dots in 471 Fig. 7a) is shown on top of the curve from Sentinel-2, indicating again a near-perfect match. In 472 August 2019 the gradual increase changed at first rapidly to 0.8 m d⁻¹ and then more slowly to 1.1 473 m d⁻¹ between September 2019 and June 2020. With the stagnant terminus still at 13.5 km, the 474 strong velocity increase behind the front marks the onset of the surge around August 2019.

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- 476 477

Fig. 7: Velocities South Chongtar

478 The last curve from Fig. 7a is repeated in Fig. 7b (dark blue at the bottom), as we had to switch the 479 scale for better visibility of velocities during the surge phase. Flow velocities increased to about 4 480 m d⁻¹ by July 2020. In August 2020 we could derive detailed flow fields from Sentinel-2 images 481 acquired only 5 days apart. A sharp surge front with maximum velocities formed, reaching values of more than 25 m d⁻¹ in August/September 2020. With peak velocities near 30 m d⁻¹ as derived 482 483 locally from Planet imagery (Fig. S10), South Chongtar Glacier had likely one of the highest flow 484 velocities ever measured in the Karakoram region. Behind this maximum, flow velocities de-485 creased about linearly back to km 3 along the centre line. When the surge front reached the termi-486 nus in July 2020, a rapid advance started (see 5.1). Velocities dropped to 15 m d⁻¹ by November 2020 and below 10 m d⁻¹ by January 2021. Afterwards, maximum velocities are found near km 15 487 488 and decreased only slowly at this location and over large parts of the glacier length (back to km 6) 489 at about the same rate, indicating that the active surge was on-going. Around km 10 along the cen-490 tre line, velocity is still around 5 m d⁻¹ in early May 2021 or 40 times higher than during the quies-491 cent phase (Fig. 7b). The related Hovmöller diagram for the surge phase in Fig. 7c confirms the 492 strong pulse-like acceleration in August 2020 with a rapid decline afterwards. The corresponding 493 2D plots of flow velocities during the surge phase of South Chongtar (Fig. 8) also reveal the rapid 494 velocity increase by September 2020 and the decrease afterwards.

495	
496	Fig. 8: 2D maps of surface velocities South Chongtar
497	
498	The spatial distribution of highest flow velocities of Figs. 8b and c are not symmetric to the centre
499	line, indicating that the deformation-related maximum flow velocity in the centre of a glacier has
500	reduced relevance here. This somehow counterintuitive behaviour indicates that during a surge
501	basal sliding is the process dominating over deformation. Other possibilities are a decreased re-
502	sistance of the valley floor or because of the topography redirecting the mass flow from northwest
503	to north. The cross-profile flow velocities (Fig. 9) reveal that this pattern persists throughout the
504	entire surge.
505	
506	Fig. 9: 1D cross-profile velocities South Chongtar (surge phase)
507	
508	5.3 Elevation changes
509	In the three panels of Fig. 10 we show differences in elevation between the SRTM DEM and the
510	other four DEMs along the centrelines of the three glaciers. Additionally, differences from selected
511	ICESat-2 ATL03 points are plotted. Figure 11 shows related elevation change maps for 2000 -
512	2010, 2010 - 2015, 2015 - 2020 and 2000 - 2020. DEM differences obtained from ASTER in an
513	independent study (Hugonnet et al., 2021) have been used for comparison.
514	
515	The elevation data for NN9 (Fig. 10a) show virtually no change in its upper part down to 3.5 km
516	where the terminus was located in 2000. The ICESat-2 data adds no further information here, as all
517	available data points are located in the upper part. Below this region, the 'elevation gain' due to
518	the advancing snout can be followed down to km 4.5 in 2020. The small region of elevation gain
519	by the advancing tongue is also visible in each of the maps in Fig. 11. The elevation differences
520	between the two high-resolution DEMs from 2015 and 2020 in Fig. 11c reveal some surface low-
521	ering in the upper part (about 10-15 m), but over the longer period 2000 to 2020 (Fig. 11d) this
522	lowering nearly disappears (i.e. is smaller than the SRTM uncertainty). So for NN9 the typical
523	mass transfer of a surge could not be observed until October 2020 and elevation changes look like
524	expected for a usual advance rather than a surge.
525	
526	For North Chongtar (Fig. 10b) the situation is similar, but a surface lowering of about 40 m can
527	be observed at higher elevation. The SPOT data from October 2015 and ICESat-2 data points from
528	December 2018 at 4.2 km indicate that the largest changes happened between 2015 and 2018. Ac-
529	cordingly, this change is well visible in the high-resolution 2015-2020 DEM difference (Fig. 11c)
530	and the differences over the full 2000-2020 period (Fig. 11d). However, also here the elevation
531	gain in the lower glacier part is comparably localized and largely due to the advance of the termi-

532 nus.

535

- 536 South Chongtar shows profiles (Fig. 10c) and surface change patterns (Fig. 11) that are in line 537 with a typical surge, maybe apart from the fact that the thickening of the upper glacier regions is 538 limited. The 2000 to 2010 change map (Fig. 11a) shows a slightly bluish upper part and some arte-539 facts. Over the longer 2000 to 2015 period the elevation gain from 4 to 12 km is about 20-30 m 540 (Fig. 10c), but further down a significant surface lowering (>50 m) can be observed between 13 541 and 18 km. This lowering is also visible in the 2D map of Fig. 11a, marking at its upper point the 542 position where the active ice starts, i.e. where the surface lowering is compensated by the mass 543 flux. The 2020 surge moved ice between 3 and 8 km towards its lower part between 10 and 16 km, 544 causing a surface elevation decrease of 20-40 m in the reservoir zone and an increase of up to 130 545 m at km 14.
- 546

547 The ICESat-2 data points constrain the surface elevation evolution in time (Fig. 10c): The tongue 548 was still only slightly thicker at 12 km in March 2019, as surface lowering of the upper part (at 5.7 549 km) had not started in February 2020 and the terminus had not advanced by March 2020. Between 550 6 and 14 km we find a smooth linear increase of the elevation differences (Fig. 10c) - but the ICE-551 Sat-2 data points at 9.5 km show a slight surface lowering between December 2019 and August 552 2020, indicating that the surge front passed this part of the glacier already before the end of August 553 2000. The 2015 to 2020 elevation change map (Fig. 11c) reveals that elevation changes mostly 554 occurred over this time period. Due to the opposite elevation change pattern before 2015, elevation 555 changes over the full period 2000-2020 are less pronounced. The constantly down-wasting Sarpo 556 Laggo Glacier in the valley floor shows an elevation loss of up to 100 m over this period.

557 558

Fig. 11: 2D elevation change maps

559

560 **5.4 Volume changes**

In Table 3 the results of the calculated volume changes are listed, differentiated for the gain and loss part. They add some quantitative information over a larger part of the glacier surface (see Fig. S5). With the timing of the DEMs not always synchronous with the start/end of a surge, the calculated values can be underestimated due to the overlap of surge phases. For example, the volume gain in the lower part of South Chongtar from 2000 to 2020 includes the volume loss between 2000 and 2019. For this reason we only analyse the 2015 to 2020 changes for South Chongtar

567

For NN9 no mass transfer from an upper region is found. We have a near zero mass loss compared to a clear volume gain of 0.03 km³. For the continuous advance/surge of North Chongtar the volume gain is a bit higher than the loss resulting in a small overall volume gain over the full 20-year 571 period (Fig. 11d). However, Fig. 11c reveals that compensation effects are included: Between 572 2015 and 2020 some of the volume gain from the period before has already started thinning. The 573 volume gain part for South Chongtar is about 10 times higher compared to North Chongtar and 574 NN9. However, there is also considerable volume loss at higher elevations compensating about 575 half of the gain. To put just the volume gains of these five glaciers (+0.46 km³) into perspective, 576 the (uncompensated) volume loss of Sarpo Laggo Glacier over the full period (-0.47 km³) is the 577 same.

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- 579 580

Table 3: Volume changes

581 **5.5 Uncertainty assessment**

582 5.5.1 Glacier length changes

583 Uncertainties of the length changes are estimated to be in the order of one image pixel, i.e. 60 m 584 for MSS, 30 m for TM, 15 m for OLI pan and 10 m for Sentinel-2. As frontal advances have only 585 been measured for a change of at least 3 to 4 pixels, the given values should be well outside the 586 uncertainty range in most cases. However, the calculated frontal advance rates for glacier NN9 and 587 North Chongtar (Fig. 4b) show fluctuations. These can be attributed to the measurement uncertain-588 ties so that in reality the increase might have been smoother and more gradual. There is thus some 589 caution to not over-interpret the details of the change rates.

590

591 5.5.2 Flow velocities

592 The displacements measured by Landsat over the selected stable areas show median values close 593 to the expected value of 0 m d^{-1} , with a MAD between 0.01 and 0.04 m d^{-1} , as reported in Table S2. 594 Among the Landsat data, Landsat 7 shows the smallest standard deviation based on the MAD. For 595 Sentinel-2, the uncertainties of the displacement on stable terrain are lower for the pairs with a 596 time interval of approximately a year. For these pairs, the median and the MAD of the velocity are 597 of the same order of magnitude as the Landsat results. For shorter time intervals (5 to 45 days), the 598 Sentinel-2 velocity shows medians between 0.15 and 1.58 m d⁻¹ with a maximum MAD of 1.39 m d⁻¹. Displacement from Planet data gives the largest error with medians and MAD values ranging 599 600 from 0.3 m d⁻¹ to 2.50 m d⁻¹. One pair showed a significantly higher error with a median value of 601 8.64 m d⁻¹ and a corresponding MAD value of 4.76 m d⁻¹, which is in a similar order of magnitude 602 to the displacement measured in the centre line of the glacier (13.89 m d^{-1}) . TSX revealed the low-603 est uncertainty with values of both median and MAD close to 0 m d^{-1} .

604

605 5.5.3 Elevation data

The median elevation differences on stable bedrock to the reference DEM (HMA DEM) are 1.02 m (SRTM), 1.03 m (SPOT 2010), -0.12 m (SPOT 2015) and 1.08 m (SPOT 2020), with standard

608 deviations of 3-15 m (Table S3). Also mean elevation differences, which are more sensitive to ex-

- 609 treme values, are <1.4 m for all DEM difference pairs except for the SPOT 2020-SRTM2000 610 DEM pair (2.4 ±8.8 m). These are small differences and fully within the range of expected uncer-611 tainties (after successful co-registration), considering the very steep and rugged terrain. We found 612 no indication of remaining horizontal shifts between the DEMs (this would be visible as an aspect-613 dependent pattern in Fig. 11). The comparison of the SPOT 2015 and HMA 2015 DEM (Fig. S13) 614 shows a minor tiling effect caused by the composite nature of the HMA DEM in the upper accu-615 mulation areas of North and South Chongtar. The mean uncertainty of the ATL06 ICESat-2 data 616 was ±5.37 m. However, we assume that ATL03 elevation uncertainties are in the order of decime-617 tres on the relatively smooth glacier surface.
- 618

619 **5.6 Sensor inter-comparison**

620 **5.6.1** Velocities

621 As can be seen in Fig. 7a, velocity values derived from the (optical) 15 m resolution Landsat 8 622 panchromatic band for the period July 2018 to July 2019 are about the same as from (also optical) 623 10 m resolution Sentinel-2 data for the period August 2018 to August 2019. Both lines are basical-624 ly on top of each other. The same applies to the Landsat 8 velocities for the period September 2016 625 to October 2017 compared to Sentinel-2 values over the period November 2016 to November 626 2017. The velocities derived from the (SAR) TSX sensor over the short period April to May 2014 627 also compare well with the annual mean values from Landsat 8 over the period July 2013 to July 628 2014. In Fig. S9 we show the related velocity differences with respect to the distance along the 629 centre line for all three comparisons, revealing that they are largely within ± 0.03 m d⁻¹ for the re-630 gion between km 9 and the terminus. They are therefore as small as the stable terrain uncertainties 631 listed in Table S2. Between km 0 and 9 only a few values are available for the optical sensors and 632 these are subject to outliers. The differences are thus higher but in most cases still smaller than the 633 flow velocity.

634

635 The (optical) Planet cubesat images cover only the lower part of the glacier. Here, the Planet ve-636 locity (Fig. S10) reveals the same increase/decrease pattern as the Sentinel-2 velocity profile (Fig. 637 7b). Direct comparison of the flow velocities reveals much larger differences, but compared to the 638 much higher flow velocities still only small differences (Fig. S11). These can be related to slightly 639 different time intervals and the rapidly changing crevasse pattern at high flow velocities. On the 640 other hand, the differences are large when comparing velocities derived from Sentinel-1 (SAR) with the optical Sentinel-2 (Fig. S12). The large image template sizes of 128 x 64 (450 m x 900 m) 641 642 for South Chongtar (tongue width 800 m) result in a strong underestimation of Sentinel-1 veloci-643 ties with errors much greater than those reported in previous studies for larger Arctic glaciers (Paul 644 et al., 2017; Strozzi et al., 2017). The information density is also very low compared to Sentinel-2, 645 indicating that Sentinel-1 data do not reveal sufficient detail about the surge.

647 **5.6.2 Elevation changes**

648 Of the seven analysed elevation datasets, ICESat-2 elevation profiles show most detail compared
649 to the DEMs and is also resolving small surface features such as crevasses and séracs (Fig. S6).
650 Both the weak and the strong laser beams of ICESat-2's three beam pairs provide equally good
651 data in the snow-covered accumulation areas (Fig. S6a and b). On darker and more rugged surfac-

- 652 es the weak beam yields considerably fewer photon returns than the strong beam (bottom panels in
- 653 Fig. S6e and f).
- 654

Elevation differences between the HMA DEM and the SPOT DEM from 2015 are depicted in Fig. S13. A small advance of North Chongtar and a slight elevation increase on South Chongtar within the few months' time gap is visible. The latter is confirmed by the cross transects in Fig. S6c and d. In contrast, the elevations of the two 2015 DEMs agree very well for the transects in the upper accumulation area (top panels) and the down-wasting tongue in the main valley (bottom panels). Apart from artefacts and local differences in very steep terrain, elevations of the DEMs from 2015 agree very well both on and off glaciers.

662

The elevation changes derived from the ASTER DEM time series by Hugonnet et al. (2021) shown in Fig. S14 are similar to the time series we analysed from SRTM, SPOT and the HMA DEMs (Fig. 11). The ASTER DEMs have more artefacts and local differences, in particular in very steep terrain. In contrast, the strong spatial filtering inherent in the ASTER dataset, smoothens artefacts and data gaps off and to some degree also details on glaciers. Locally, the ASTER data set is less complete, e.g., the advance of North Chongtar is not well covered and the advance of the glacier NN8 is not visible.

670

There are no further insights when splitting the 2000-2010 period into a 2000-2004 and 2005-2009 period, but the 2000 to 2019 period from ASTER (Fig. S14f) reveals the up to 40 m elevation increase in the upper region of South Chongtar. This 'reservoir zone' seemingly stretches over the entire upper glacier rather than being an isolated region. In 2019 the surge has not started, so the strong elevation loss in its lower part from post-surge down-wasting of the previous surge is also very prominent. Elevation gain from 2000 to 2019 is also visible for the upper part of Sarpo Laggo Glacier and the lower part of Moni Glacier.

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680 **6. Discussion**

681

682 **6.1 Interpretation of the surges**

The contrasting surge behaviour of North and South Chongtar glacier is remarkable in that the twoglaciers with probably the highest (South Chongtar) and lowest (North Chongtar) flow velocities

685 and advance rates during a surge (in the entire Karakoram) can be found side-by-side. At first 686 glance, it seems that the sudden, short-lived surge of South Chongtar is hydrologically controlled 687 (Alaska type), whereas the neighbouring North Chongtar surge seems thermally controlled (Sval-688 bard type). However, as Quincey et al. (2015) noted, this simplified picture does not work well for 689 many glacier surges in the Karakoram, which often show characteristics of both types. For exam-690 ple, the South Chongtar surge reached its maximum flow velocities in summer rather than winter 691 and its drop is very slow rather than fast. For a hydrologically controlled surge one would expect a 692 surge start in winter (when efficient basal hydrology switches to inefficient) and a sudden end of 693 the surge in summer (when basal water can again be released efficiently) (e.g. Kamb et al., 1985; 694 Raymond, 1987; Sharp, 1988). Moreover, flow velocities increased slowly, steadily and over large 695 parts of the glacier rather than being located at a clearly localized surge front. These observations 696 fit better with a thermally controlled surge (e.g. Fowler et al., 2001) and imply that both mecha-697 nisms apply and the surge mechanism could be named 'hybrid'.

698

699 The slow and near constant advance of North Chongtar and NN9 might not even be classified as a 700 surge, but given that both glaciers also developed nearly all characteristics of a surge at some point 701 (e.g. a heavily crevassed surface, shear margins, strong increase in flow velocity, high frontal ad-702 vance rates, mass transfer from a reservoir to a receiving zone), the former advance phase might be 703 seen as a part of the surge. Still, from the evolution of advance rates or flow velocities alone it is 704 nearly impossible to pin down the exact surge onset for North Chongtar. Morphological changes 705 (heavy crevassing, shear margins) indicate that this might have happened around 2010, but consid-706 ering the near linear increase of advance rates after 1996, one might assign the onset also to that 707 year. In any case, the more or less constant advance for more than 30 years before 1996 is excep-708 tional and only comparable to the very slow advance of Maedan Glacier in the neighbouring Pan-709 mah region that also started in the 1960s, before advance rates considerably increased in the mid-710 1990s and the glacier started surging (Bhambri et al. 2017; Paul, 2020). Such prolonged advances 711 might also be a consequence of a positive mass balance that one glacier converted to a continuous 712 advance and another one to a surge (Lv et al., 2020). At least the elevation change pattern of North 713 Chongtar over the 2000-2020 period reveals a clear and typical redistribution of mass from a high-714 er reservoir zone to a lower receiving zone.

715

This is different for NN9, which only shows elevation increase in its lower part over this period without any measurable surface lowering higher up. This rather unique criterion for surge identification fails here and would exclude the glacier from being surge type. However, a different impression emerges when looking at the temporal evolution of advance rates and flow velocities. In 2016 the former increased considerably from about 40 m y⁻¹ to more than 1 km y⁻¹ and the morphology of the surface changed from rather smooth to highly crevassed. Measurable flow velocities increased in 2019 from 0.2 to 0.8 m y⁻¹ and the Landsat 8 image pair from 2018 to 2019 (Fig. 5d) also reveals an increase. With its recent rapid advance, the glacier has now reached its former 1971 maximum extent and also looks the same in terms of a completely crevassed surface (Paul, 2020). The slow advance might have resulted from a positive mass balance but could also be a thermally controlled surge. However, the recent increase in advance rates could also be due to a hydrologically controlled surge and/or due to the steep slope and dynamic effects. Compared to North Chongtar, the switch from advance to surge occurred much more sudden.

729

730 For South Chongtar the situation is clearer as its rapid advance and more than 100-fold increase in 731 flow velocities (from 0.2 to more than 25 m/d) is typical for a hydrologically controlled surge with 732 increasing basal water pressure. We assume that its thin lowest part was frozen to the bed (e.g. 733 Obu et al., 2019), effectively blocking water release for some time. The interesting points of the 734 current surge are: (a) the gradual increase of flow velocities in the region above its fixed terminus 735 (at km 13.5), (b) the extreme velocity increase from July to September 2020, (c) the high maxi-736 mum velocities of 30 m d^{-1} , (d) the location of the maximum away from the centre line, and (e) the 737 more or less constantly high flow velocities over large parts of its length from January to May 738 2021. The latter is responsible for the ongoing mass transport and advance of the terminus and im-739 plies that basically the entire glacier was activated by the surge. As mentioned above, points (a) 740 and (e) are more typical for thermally controlled surges so with both characteristics this surge can 741 be classified as hybrid. That velocities increase from the centre to the boundary of a glacier (Fig. 742 9) is likely rather unique. We assume this is caused by the surrounding topography, i.e. the change 743 of flow direction from northwest to north imposed by the mountain walls. The centre of the ad-744 vancing terminus collided with the southern rock wall and was then diverted to a different direc-745 tion. As the glacier was likely sliding over its full width, the resistance at the boundaries was likely 746 limited.

747

Maximum surface flow velocities of 30 m d⁻¹ are only visible with Planet and to the edge of the 748 749 glacier (Fig. 9), Sentinel-2 values peak at 27 m d⁻¹. This is likely due to the higher resolution of 750 Planet compared to Sentinel-2 and hence to the smaller region used for spatial averaging. Also the 751 shorter time period considered (3 days) might play a role. Whereas high flow velocities of about 752 15 m d⁻¹ have been reported previously (Quincey et al., 2015; Paul et al., 2017; Bhambri et al., 753 2020), values above 25 m d⁻¹ are only rarely observed in the Karakoram (Rashid et al., 2020). The 754 latter study reports values near 50 m d⁻¹ for the last surge of Shispar Glacier (derived from 3 m 755 Planet data), but the flow fields look a bit 'bumpy' and image processing artefacts might have con-756 tributed to the high values. We assume that the rapid increase in flow velocities during Ju-757 ly/August was due to additional lubrication from summer surface melt water.

758

In principle, a surge simply moves mass downstream implying that the net volume change should
be about zero. However, if surges take place over very long periods (>5 years) there will also be a

- 761 signal from the usual ablation and accumulation. Moreover, for DEMs derived from optical sen-762 sors problems in snow covered or steep terrain (shadow) exists that might create data gaps in the 763 region where the mass has been removed (or where mass gain took place before a surge). Both 764 effects can create biases leading to over- or underestimation of calculated volume changes. These 765 apply also to the changes calculated over ten-year periods and the SPOT DEM from 2010 that had 766 data voids in the steep upper regions of some glaciers. In consequence, volume changes calculated 767 with this DEM are incomplete and need to be interpreted with care. However, as both positive and 768 negative changes take place in regions of increased uncertainty, the net effect is likely small.
- 769

770 **6.2 Uncertainties**

The one-pixel uncertainty in deriving terminus positions and length changes translates into an uncertainty of the calculated advance rates. How large the uncertainties are, depends on the sensor resolution and the time period between two measurements. It is assumed that at least a part of the short-term variations in the advance rates of NN9 and North Chongtar are due to these uncertainties rather than real variability.

776

With the exception of the Planet data, the uncertainty of the velocity measured over stable terrain by all sensors is one or two orders of magnitude smaller than the maximum displacement observed on the glacier along the centreline, even for the two small glaciers NN9 and North Chongtar. For them, cloud cover has been identified as a major challenge for optical sensors. In fact, the selection of the satellite pair prioritized the reduction of cloud cover on South Chongtar rather than NN9 and North Chongtar, which were rarely cloud-free. Hence, it is not only spatial resolution that is responsible for data limitations.

784

785 In general, the uncertainties of glacier flow velocity measurements are mainly related to co-786 registration accuracy, orthorectification, the time interval between image pairs, surface conditions 787 (shadow, snow, etc.) and the spatial resolution of the images. The larger the time window between 788 two pairs, the smaller the uncertainty of the measured velocity. Despite the higher resolution, the 789 uncertainty is higher for Planet than for Sentinel-2. For Sentinel-2, the orthorectification error is 790 minimized because the imagery comes from the same relative orbit (Kääb et al., 2016). On the 791 contrary, we have different orbital paths between Planet image pairs and therefore further geomet-792 ric corrections may be needed to minimize this error, as also suggested by Kääb et al. (2017) and 793 Millan et al. (2019). Also the very small stable terrain uncertainties of TSX are likely due to the 794 accurate co-registration of the image pairs.

795

796 The observed elevation changes exceed the DEM elevation uncertainties by an order of magnitude 797 or more, which makes our elevation change analyses very robust. For volume change studies, data 798 gaps in the DEMs and remaining blunders/bias from clouds or other sources cause greater uncer-

- tainties than the elevation uncertainties themselves (McNabb et al. 2019). Data gaps occur, how-
- 800 ever, mostly in the accumulation areas due to reduced contrast over snow, more persistent cloud
- 801 cover and steeper terrain. Moreover, surface elevation tends to change much less here than it is the
- 802 case for the tongues, and uncertainties might become as large as the changes. The elevation accu-
- 803 racy of the ICESat-2 ATL03 product is clearly superior to all DEMs analysed within this study.
- 804

805 **6.3 Sensor capabilities and limits**

806 The sensor intercomparison revealed a very good agreement between the velocity data derived 807 from both TSX StripMap mode and Sentinel-2 with Landsat 8 (Fig. 7a), as well as between Senti-808 nel-2 and Planet (Fig. S11). This confirms that all three optical sensors can be used to derive the 809 temporal evolution of flow velocities – cloud cover, snow conditions and cast shadow permitting. 810 The key point is the choice of the temporal baseline of image pairs as a function of glacier surface 811 changes, sensor resolution and the targeted velocity field. At 20 m d^{-1} a 5-day interval is equivalent 812 to a change by 10 pixels with Sentinel-2 and 20 pixels with Planet over 3 days (assuming a 3 m 813 resolution). At 0.1 m d^{-1} the displacement is about 35 m (3 Sentinel-2 pixels) after a year, which is 814 at the lower end of what is detectable with offset-tracking.

815

816 For SAR data the typical limitations are layover/foreshortening, radar shadow, SAR penetration 817 and decorrelation. Actually, none of these created problems for the TSX and Sentinel-1 image 818 pairs used here. However, Sentinel-1 performed poorly even on the largest glacier, South Chong-819 tar. This was mostly due to the fact that this glacier has a long and narrow tongue (width less than 820 800 m) situated between steep mountain flanks. Because of the relatively large size needed for the 821 matching window (Fig. S4), too many non-moving off-glacier pixels are included affecting the 822 velocity retrieval considerably (Fig. S12). Also, the large and fast surface changes on the rapidly 823 surging glacier might have changed the backscatter patterns too much to be tracked over time 824 (Strozzi et al., 2017). The minimum width of a glacier to be reliably monitored with Sentinel-1 in 825 the Himalayas is likely around 2 km. On the contrary, TSX yielded dense and consistent velocity 826 values for all three glaciers (pre-surge-phase). As it seems, the map in Fig. 5b captures nicely the 827 flow acceleration of North Chongtar in 2012, which decreased afterwards (Fig. 6b). The much 828 noisier values from Landsat 8 in this figure (compared to Sentinel-2 and TSX) revealed that the 15 829 m resolution of the Landsat panchromatic band is seemingly insufficient to track displacements 830 precisely. Note, though, that these comparisons are not strict as the sensors have different resolu-831 tions, and the datasets cover different phases of the surges and thus different surface conditions.

832

The compared DEMs are of similar quality over glaciers, but the SPOT 2010 DEM used by Gardelle et al. (2013) suffered from strong artefacts at steep slopes. The elevation values of the SPOT 2015 and HMA DEM (which is also from 2015 in this region) are basically identical apart

836 from individual raster cells (e.g. showing the advancing terminus of North Chongtar). So elevation

changes from 2000 (SRTM) to 2015 (HMA DEM) can also be derived from freely available
DEMs. The SPOT 2020 DEM is of superb quality but the raw image pair had to be purchased. For
a study looking at specific glaciers this is certainly worthwhile, but does typically hamper larger
regions to be covered.

841

842 The surface elevation detail and accuracy of the freely available ICESat-2 ATL03 photon data sur-843 passes all other datasets, including the SPOT 2020 DEM (Fig. S6). When combined with one or 844 several DEMs, the higher temporal resolution provides additional information on how the eleva-845 tion changed in-between DEM time stamps. This may be very useful for slower changes or to fur-846 ther constrain the onset/end of a rapid change, such as a surge. However, ICESat-2 only provides 847 elevation profiles with varying locations, which makes this data type more demanding to analyse. 848 The footprints of the ICESat-2 ATL06 time series alone are too sparse to derive any useful trends 849 in glacier surface elevation.

850

851 The DEM time series from ASTER images (Fig. S14) derived by Hugonnet et al. (2021) shows the 852 same trends as from the DEMs used here. They provide further information over the 2000-2005 853 and 2005–2010 periods, but miss the surge of South Chongtar as they end in 2019. On the other 854 hand, they cover a much larger area and clearly reveal the increase in surface elevation of South 855 Chongtar over the full 2000-2019 period. The coverage of the smaller glaciers is noisier with AS-856 TER than with the DEMs we have used and locally values are missing, but the temporal evolution 857 over several larger glaciers can be well followed. Deriving further DEMs from future ASTER ste-858 reo scenes might thus help to determine total volume changes after all surges have come to an end, 859 including the not yet visible volume loss in the reservoir zone of NN9.

860

861 **7.** Conclusions

862

863 We have identified and presented an analysis of three glacier surges in the central Karakoram, all 864 taking place in the same small region but with very different characteristics and possibly forcing 865 mechanisms. South Chongtar showed advance rates of more than 10 km y⁻¹, velocities up to 30 m 866 d^{-1} and surface elevations rose by 200 m all within a surge duration of about 2-3 years. The three 867 times smaller and neighbouring North Chongtar Glacier had a slow and almost-linear increase of 868 advance rates (up to 500 m y⁻¹) over a period of almost 50 years, flow velocities below 1 m d⁻¹ and 869 elevation increases of up to 100 m. A more active phase from 2010 to 2015 was followed by a 870 continuation of its slow advance. The even smaller glacier NN9 changed from a slow advance to a 871 full surge within a year, reaching advance rates higher than 1 km y⁻¹, but showing the typical sur-872 face lowering higher up only recently. Total length changes reached between 2 and 2.7 km for the 873 three glaciers and the size of NN9 changed by more than 20%. For South Chongtar, maximum 874 flow velocities are found near its southern boundary rather than in the centre.

876 At first glance, the surge of South Chongtar clearly resembles the classical Alaska type surge (hy-877 drologically controlled), whereas North Chongtar and NN9 better fit to the Svalbard type (thermal-878 ly controlled). However, the summer onset and slow velocity decay of the South Chongtar surge 879 and the sudden change in frontal advance rates of NN9 hint to the respective other type, resulting 880 in a change of characteristics. North Chongtar has not changed type but surge onset is difficult to 881 determine as advance rates increased linearly, morphological changes developed slowly and a 50-882 year advance might also be called a surge. When the definition of a surge is stricter, we would as-883 sign the surge onset of NN9, North and South Chongtar to 2017, 2005-2010 and August 2019, re-884 spectively. We speculate that the thin, lower part of South Chongtar was cold ice frozen to the bed, 885 reducing possibilities for the terminus to advance and causing basal pressure to strongly increase.

886

875

887 The sensor intercomparison revealed that Landsat 8 and Sentinel-2 are difficult to be used jointly 888 for determination of geometric changes as their geolocation differs (>30 m). Flow velocities 889 agreed well across sensors for South Chongtar, except for Sentinel-1 that had problems due to its 890 narrow tongue (800 m). However, the backscatter intensity images provided a time-series of surge 891 evolution at a near constant interval that is undisturbed by clouds. At the two smaller glaciers NN9 892 and North Chongtar, the optical sensors still provided reasonable and consistent flow velocities, 893 but limits due to spatial resolution and cloud cover became visible (more noise). The TerraSAR-X 894 acquisitions in StripMap mode revealed by far the best results and depicted the surge of North 895 Chongtar accurately.

896

897 After proper co-registration, all DEMs provided useful results to track elevation and volume 898 changes, independent of glacier size. The two SPOT DEMs from 2010 and 2015 suffered from 899 artefacts at steep slopes, but the latter compared very well to the HMA-DEM. The high-resolution 900 SPOT6 DEM from Oct 2020 had impressive quality and allowed an accurate calculation of the 901 volume change of all glaciers up to this point in time. The very precise ICESat-2 elevation profiles 902 provided additional information in space (glacier surface details) and time (between the DEMs) 903 that matched well to the other datasets. The ASTER DEM time series missed detecting local 904 changes of smaller glaciers, but provided a larger overview and complementary information on 905 cumulative elevation changes shortly before the surge of South Chongtar started.

906

907 All three glaciers are still advancing and South Chongtar and NN9 are now colliding. The bulldoz-908 ing of the South Chongtar terminus into the down-wasting ice of Sarpo Laggo Glacier is already 909 creating interesting morphological changes. North Chongtar might again reach the floor of the 910 main valley as in the 1930s, but this could take some more years. We conclude that the past and 911 further evolution of these and other glacier surges can be well observed from satellite data, at best 912 by combing all available datasets.

914 Supplement

- 915 The supplement related to this article is available on-line at: TBD
- 916

917 Author contributions

F.P. detected the surges, lead the writing and analysed changes in extent and morphology. L.P.
contributed equally, derived the optical velocity data and prepared all related tables and figures;
D.T. derived and combined the elevation change data. All authors contributed to the writing, dis-

- 921 cussion and editing of the text.
- 922

923 Code and data availability

Data processing has been performed using freely available (e.g. CIAS, MicMac, geopandas/rasterio/shapely) or in-house software (for SAR offset-tracking). In addition, most of the datasets used here are freely available (e.g. Landsat, Sentinel-1/-2, ICESat-2, SRTM and HMA DEMs, glacier outlines) or can be obtained with a quota (Planet). TerraSAR-X data were ordered from DLR and the SPOT2020 DEM was purchased from Airbus. The SPOT DEMs from 2010 and 2015 were provided by E. Berthier.

930

931 Competing interests

- 932 The authors declare that they have no conflict of interest.
- 933

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938 USGS, Planet from Planet, the SRTM DEM from USGS, the HMA DEM from NSIDC, and glaci-

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940

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Tables

- 1175 Table 1: Characteristics of the three investigated glaciers using outlines modified from the
- 1176 GAMDAM2 glacier inventory (Sakai et al. 2019) and digitized in this study. Elevations refer to the
- 1177 SRTM DEM. Values given for 'min/max' refer to the minimum and maximum extent of a glacier
- *shortly before and after a surge, respectively.*

	NN9	North Chongtar	South Chongtar
Size (min / max)	3.93 / 4.78 km ²	9.16 / 10.15 km ²	31.09 / 34.23 km ²
Size change (km ² / percent)	+0.85 km ² / +21.6%	+0.99 km ² / +10.9%	+3.14 km ² / +10.0%
Elevation (highest / mean)	6450 / 5620 m	6810 / 5860 m	7230 / 5920 m
Lowest elevation (min / max)	4430 / 5075 m	4440 / 5015 m	4545 / 4400 m
Length (min / max)	3.25 / 5.5 km	4.75 / 6.8 km	14.4 / 17.1 km
Changes (min. elev. / length)	645 / 2250 m	575 / 2050 m	145 / 2700 m
Slope / Aspect	31.5 / SE	28.8 / NW	25.1 / NW
Previous surge	1961-1971	1920s	1966-68
Surge repeat cycle	40-50 y	90 y?	54 y
This surge	2000-today	1965-today	2020-today
Characteristics	Compact dual-basin valley glacier with prominent medial moraine	Dual-basin valley glacier with one major tributary forming a prominent medial moraine	Long and flat single-basin valley glacier with three tributaries (one resulting in a short medial moraine)

1181 Table 2: Overview of the DEMs used to determine elevation changes of the glaciers in the study

1182 region and the additional ICESat-2 dataset.

	Name		Reso-			
Nr.	(short)	Туре	lution	Date	Source	Comments
1	SRTM 1	SAR	30 m	Feb. 2000	USGS, doi: 10.5066/f7pr7tft	C-band w/ penetration
2	HMA-DEM	OPT	8 m	Feb-Aug. 2015	NSIDC, doi:	7 months composite
					10.5067/KXOVQ9L172S2	
3	SPOT 2010	OPT	30 m	31 Oct 2010	Gardelle et al. 20013	SPOT 5 HRS
4	SPOT 2015	OPT	30 m	13 Oct 2015	Berthier & Brun 2019	SPOT 6
5	SPOT 2020	OPT	10 m	20 Oct 2020	Ordered from Airbus	SPOT 6
6	ASTER	OPT	30 m	2000-2019	Hugonnet et al. 2021	5y elevation changes
7	ICESat-2	LIDAR	0.7 m	3.12. 2018 –	NSIDC, nsidc.org/data/icesat-	Version 4, 14 tracks,
				5.11.2020	2/data-sets	over glaciers only

- 1185 Table 3: Calculated volume changes (in km³) for six glaciers and different periods as obtained

1186 from the respective DEMs. Gray numbers in italics denote results that might be impacted by

artefacts. See Fig. S5 for the location of the zones used to determine volume changes.

Nr.	Glacier	Period	Gain	Loss	Total
1	South Chongtar	2015-2020	0.3444	-0.1760	0.1684
2	North Chongtar	2000-2020	0.0466	-0.0365	0.0102
3	NN9	2000-2020	0.0356	-0.0017	0.0339
4	NN8	2000-2010	0.0175	-0.0167	0.0008
5	NN7	2000-2010	0.0146	-0.0304	-0.0158
6	Sarpo Laggo	2000-2020	0.0024	-0.4708	-0.4684

1190 Figures

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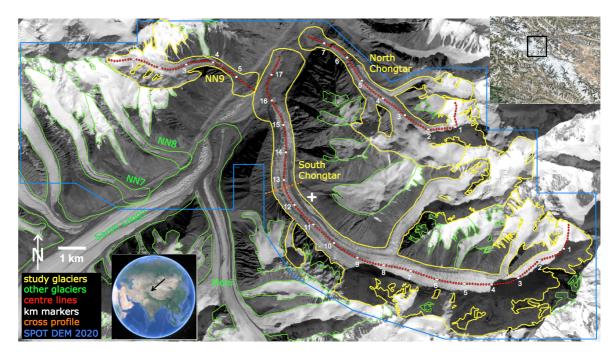




Fig. 1: Overview of the study region showing the location of the Karakoram Mountains (inset,
lower left) and of the study region (inset upper right), outlines of the investigated glaciers (yellow),
other glaciers (green), centre lines (red), km markers (white) cross-profile line (orange) and extent
of the SPOT 2020 DEM perimeter (blue). The white + near the centre marks the coordinates
35.9°N and 76.34°E. The satellite image in the background is the Landsat 8 panchromatic band
acquired on 21 Oct 2020. Credits: Landsat: earthexplorer.usgs.gov, the two insets are screenshots
from Google Earth, © Google Earth).

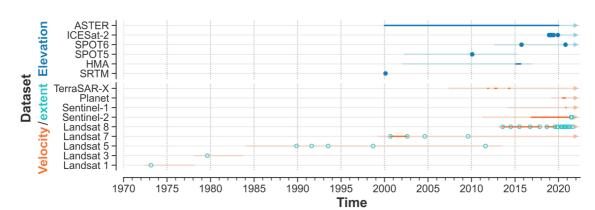




Figure 2. Timeline of the temporal coverage of the satellite sensors used (light line) and dates and time series selected for the analysis (lines or dots). Lines and dots in dark blue indicate the elevation change analysis, orange lines the velocity analysis, and green dots the glacier extents.

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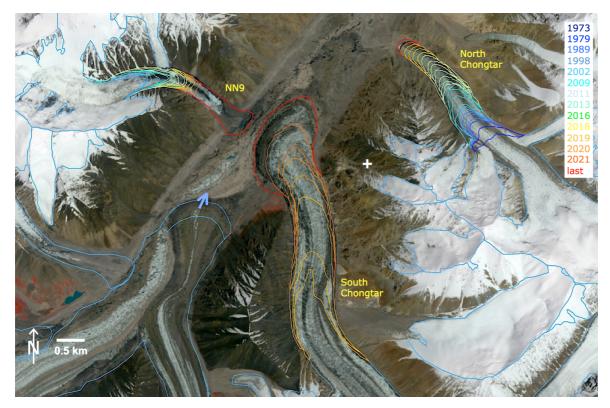




Fig. 3: Temporal evolution (colour-coded dates) of glacier extent for the three glaciers (NN9, North Chongtar, South Chongtar) investigated here. For comparison, the displacement of the terminal lobe of Moni Glacier from 2000 to 2020 is also shown (arrow). 'Last' is referring to 30 September 2021, the + sign near the centre marks the coordinates 35.93°N and 76.34°E. Background: Sentinel-2 image acquired on 16 July 2021 with bands 8/4/3 as RGB (Copernicus Sentinel data 2021).

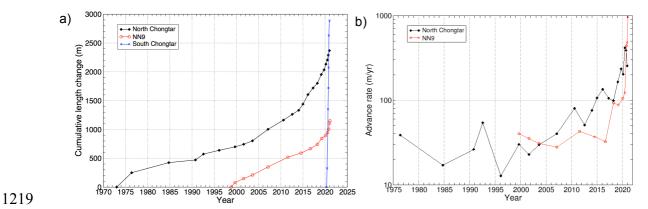
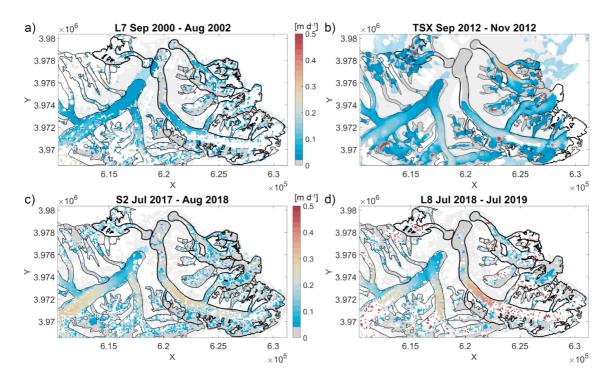


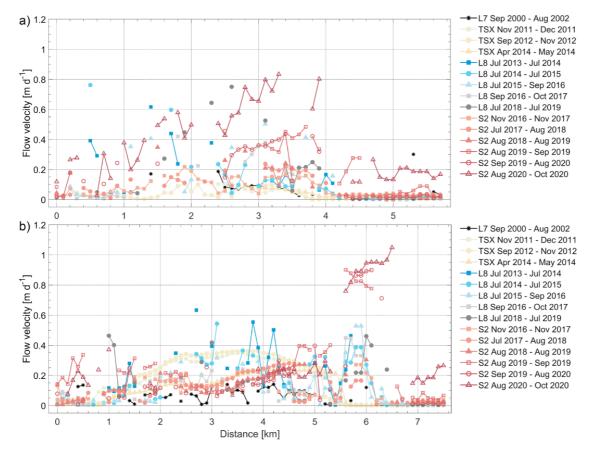
Fig. 4: Terminus changes for the investigated glaciers. a) Cumulative length changes (the retreat
phase of South Chongtar before 2020 is not shown), b) advance rates.

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- 1223





1225Fig. 5: Temporal evolution of 2D surface flow velocities for the three glaciers before 2020 derived1226from a) Landsat 7, b) TerraSAR-X, c) Sentinel-2, and d) Landsat 8. The dates of the compared1227images are given at the top of each panel. Grey values refer to velocities smaller than the1228uncertainty (see Table S2), i.e. <0.01 m d⁻¹ for panels a) and b) and <0.02 m d⁻¹ for c) and d).



1229

1230 Fig. 6: Temporal evolution of 1D flow velocities along a centre-line starting at the highest point of

1231 each glacier for a) glacier NN9 and b) North Chongtar. Satellite names: L7/L8: Landsat 7/8, TSX:

1232 Terra-SAR-X, S2: Sentinel-2.

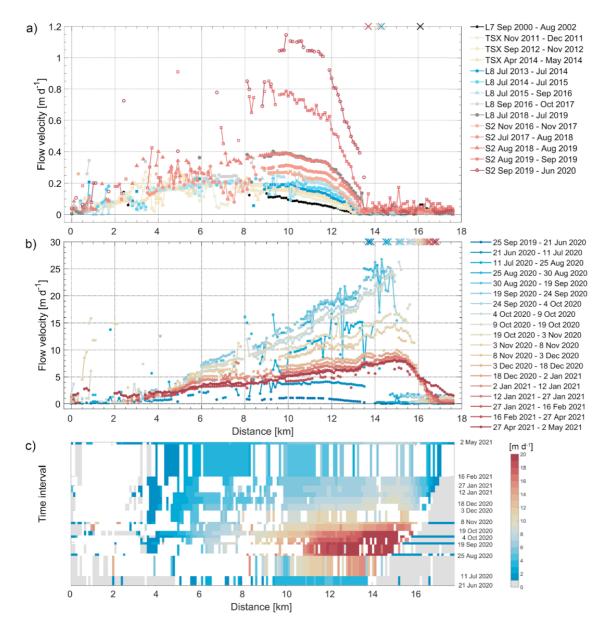
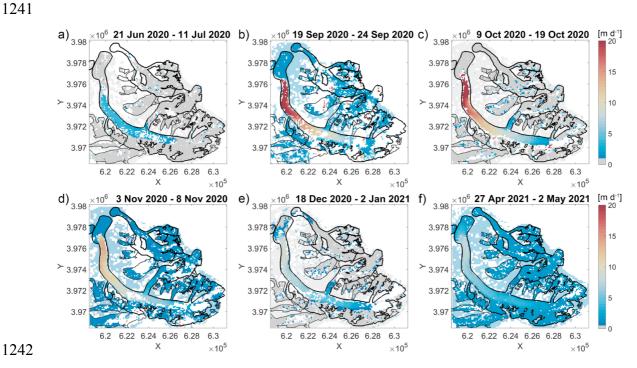
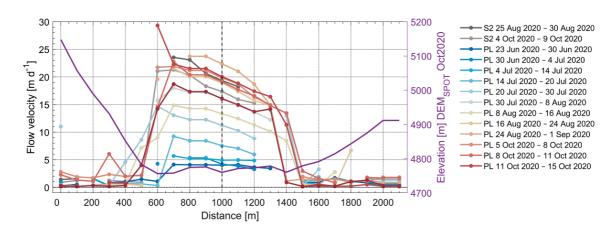
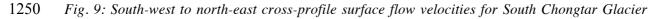


Fig. 7: Temporal evolution of flow velocities for South Chongtar Glacier from its highest point to
its terminus, its location is indicated by an 'x' at the top of panels a) and b). a) Pre-surge values
along the centre-line as derived from different satellites (names see Fig. 6). b) As a) but during the
surge and derived from Sentinel-2 only, c) Hovmöller diagram of the surge phase. In this plot grey
values are below 1 m d⁻¹, white indicates no data.



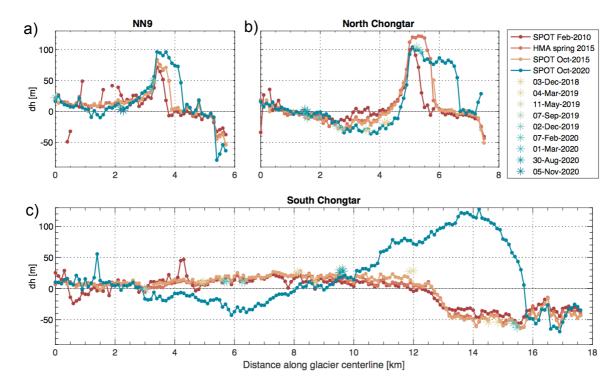
1243Fig. 8: Temporal evolution of 2D flow velocities for South Chongtar Glacier during its surge as1244derived from Sentinel-2. The dates of the respective Sentinel-2 pairs are given at the top of each1245panel. Grey values refer to velocities smaller than one standard deviation (see Table S2), i.e. <1 m</td>1246 d^{-1} for panels a) to c) and <0.5 m d^{-1} for d) to f).





1251 derived from Planet and comparison with Sentinel-2. The vertical dash line indicates the location

- 1252 of the centerline. See Fig. 1 for location of the cross profile.



1254

Fig. 10: Elevation differences along the glacier centerlines in respect to the SRTM DEM from 2000 for the three investigated glaciers, namely a) NN9, b) North Chongtar, and c) South Chongtar glaciers. The star (*) markers and dates in the legend correspond to ICESat-2 elevation differences with respect to the SRTM DEM. Note that due to the different track locations, only some of the dates shown in the legend are present in each panel.

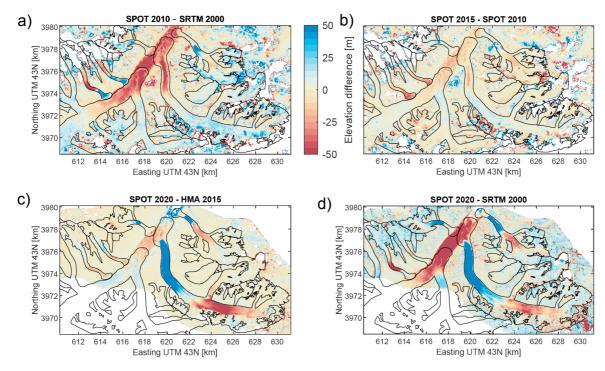


Fig. 11: 2D elevation difference maps over the study region. a) SPOT 2010 - SRTM 2000, b)
SPOT 2015 - SPOT 2010, c) SPOT 2020 - HMA 2015, d) SPOT 2020 - SRTM 2000. A comparison
between the SPOT 2015 and the HMA DEM from 2015 is shown in Fig. S13.