Incorporating InSAR kinematics into rock glacier inventories: Insights from eleven regions worldwide

Aldo Bertone^{1,2*}, Chloé Barboux², Xavier Bodin³, Tobias Bolch⁴, Francesco Brardinoni¹, Rafael Caduff⁵, Hanne H. Christiansen⁶, Margaret M. Darrow⁷, Reynald Delaloye², Bernd Etzelmüller⁸, Ole Humlum^{6,8}, Christophe Lambiel⁹, Karianne S. Lilleøren⁸, Volkmar Mair¹⁰, Gabriel Pellegrinon¹, Line Rouyet¹¹, Lucas Ruiz¹², Tazio Strozzi⁵

- ¹ Department of Biological, Geological and Environmental Sciences, University of Bologna, Bologna, 40126, Italy
- ² Department of Geosciences, Geography, University of Fribourg, Fribourg, 1700, Switzerland
- ³ Laboratoire EDYTEM, CNRS/Université Savoie Mont-Blanc, Le Bourget-du-Lac, 73370, France
- 10 ⁴ School of Geography & Sustainable Development, University of St Andrews, St Andrews, KY16 9AL, United Kingdom
 - ⁵ Gamma Remote Sensing, Gümligen, 3073, Switzerland
 - ⁶ Arctic Geology Department, The University Centre in Svalbard, Longyearbyen, 156 N-9171, Svalbard
 - ⁷ Department of Civil, Geological, and Environmental Engineering, University of Alaska Fairbanks, Fairbanks, Alaska, 99775-5900. USA
- 15 8 Department of Geosciences, University of Oslo, Oslo, 0316, Norway
 - ⁹ Institute of Earth Surface Dynamics, University of Lausanne, Lausanne, 1015, Switzerland
 - ¹⁰ Office for Geology and Building Materials Testing, Autonomous Province of Bolzano, Bolzano, 39100, Italy
 - ¹¹ Department of Technology, NORCE Norwegian Research Centre AS, Troms, 9019, Norway
 - ¹² Argentine Institute of Nivology, Glaciology and Environmental Sciences, CCT CONICET Mendoza, Mendoza, 5500, Argentina

Correspondence to: Aldo Bertone (aldo.bertone@unibo.it)

Abstract.

Rock glaciers are landforms related to permafrost creep, which are sensitive to climate variability and change. Their spatial distribution and kinematic behaviour can be critical for managing water resources and geohazards in periglacial areas. Rock glaciers have been inventoried for decades worldwide, often without assessment of their kinematics. The availability of remote sensing data makes however the inclusion of kinematic information potentially feasible, but requires a common methodology in order to create homogeneous inventories. In this context, the International Permafrost Association (IPA) Action Group on rock glacier inventories and kinematics (2018-2023), with the support of the European Space Agency (ESA) Permafrost Climate Change Initiative (CCI) project, is defining standard guidelines for the inclusion of kinematic information within inventories. Here, we demonstrate the feasibility of applying common rules proposed by the Action Group in eleven regions worldwide. Spaceborne interferometric synthetic aperture radar (InSAR) is used to characterize identifiable moving areas related to rock glaciers. Subsequently, these areas are used to assign a kinematic information to rock glaciers in existing or newly compiled inventories. More than 5,000 moving areas and more than 3,600 rock glaciers are classified according to their kinematics. The method and the preliminary results are analysed. We identified drawbacks related to the intrinsic limitations of InSAR and to various applied strategies regarding the integration of non-moving rock glaciers in some investigated regions.

This is the first internationally coordinated work that incorporates kinematic attributes within rock glacier inventories at a global scale. The results show the value of designing standardized inventorying procedures for periglacial geomorphology.

1 Introduction

Rock glaciers are creeping masses of frozen debris in the mountain periglacial landscape. Morphologically, they are characterised by a distinct front, lateral margins, and often by ridge-and-furrow surface topography (Barsch, 1996; Haeberli et al., 2006; Berthling, 2011). These landforms are frequently used as a proxy for permafrost occurrence in cold mountain regions (Haeberli, 1985; Boeckli et al., 2012; Schmid et al., 2015; Marcer et al., 2017; Scotti et al., 2017), and can be important for ice (water) storage estimation (Corte, 1976; Bolch et al., 2009; Azócar and Brenning, 2010; Jones et al., 2018a), geohazard management (Delaloye et al., 2013; Kummert et al., 2018), as well as climate reconstruction (Konrad et al., 1999; Kääb et al., 2007, 2021).

The spatial distribution of rock glaciers is generally investigated with the support of geodatabases defined as inventories. Initiatives have risen for decades for inventorying rock glaciers in the main periglacial mountain regions of the world, such as in Asia (e.g., Gorbunov et al., 1998; Schmid et al., 2015; Wang et al., 2017; Jones et al., 2018b; Blöthe et al., 2019; Bolch et al., 2019; Reinosch et al., 2021), North America (e.g., Liu et al., 2013; Charbonneau and Smith, 2018; Munroe, 2018), South America (e.g., Rangecroft et al., 2014; Falaschi et al., 2015; Barcaza et al., 2017; Villarroel et al., 2018; Zalazar et al., 2020), New Zealand (e.g., Sattler et al., 2016; Lambiel et al., 2019), European Alps (e.g., Guglielmin and Smiraglia, 1998; Delaloye et al., 2010; Cremonese et al., 2011; Krainer and Ribis, 2012; Seppi et al., 2012; Scotti et al., 2013; Barboux et al., 2015; Colucci et al., 2016; Wagner et al., 2020), Carpathians (e.g., Necsoiu et al., 2016) and Scandinavia (e.g., Lilleøren and Etzelmüller, 2011; Lilleøren et al., 2013).

In 2018, Jones et al. (2018) provided an overview of available rock glacier inventories at a global scale, counting more than 130 inventories worldwide, of which over 90 % were produced after the year 2000. The authors merged all these available inventories to create a global inventory, in order to provide a first-order approximation of volumetric ice content contained within rock glaciers. Their analyses highlighted several limitations on the current inventories, namely the absence of an accessible open-access database, the heterogeneities/variabilities of the existing inventories (due to unequal availability of data sources and on variable local geomorphological skills and institutional support), and the subjectivity in the manual identification of rock glaciers, as also observed by Brardinoni et al. (2019). The authors noted that the main limitation is the absence of a common methodology to provide standardized inventories, making it challenging to create a homogeneous global inventory. Nowadays, the international cooperation of the scientific community represents the key element to solve these open questions.

The International Permafrost Association (IPA) Action Group on Rock Glacier Inventories and Kinematics, launched in 2018 (Delaloye et al., 2018), intends to sustain the establishment of widely accepted baseline concepts and standard guidelines for inventorying rock glaciers in mountain permafrost regions (RGIK - baseline concepts, 2022). For the IPA community, a crucial

element to include in standardized rock glacier inventories is the kinematic information. Indirect kinematic information frequently imprecise because related to the operator's interpretations – are often derived from visual observation of morphological (e.g., front slope angle) and vegetation-related indicators (Barsch, 1992; Brardinoni et al., 2019). More precise and accurate approaches based on remote sensing data (e.g., satellite interferometry with Sentinel-1 images; Yague-Martinez et al., 2016) were developed to characterize the rock glacier kinematics at a large-scale (Necsoiu et al., 2016; Wang et al., 2017; Villarroel et al., 2018; Strozzi et al., 2020; Brencher et al., 2021). These latter approaches are nevertheless based on different criteria and still lack standardized outputs (Jones et al., 2018a; Brardinoni et al., 2019), essential to integrate kinematic information in standardized rock glacier inventories. In this context, a part of the European Space Agency (ESA) Permafrost Climate Change Initiative (Permafrost CCI) project so-called CCN2 (https://climate.esa.int/en/projects/permafrost/; last access: 10 October 2021) – following the baseline concepts proposed by the IPA Action Group (RGIK - baseline concepts, 2022; RGIK - kinematic, 2022) – developed specific guidelines (RGIK - kinematic approach, 2020) to systematically integrate kinematic information within rock glacier inventories, exploiting spaceborne Interferometric Synthetic Aperture Radar (InSAR) data. The guidelines define common rules, intended at reducing subjectivity, which is a potential source of uncertainty and variability. To summarize the workflow, moving areas identified with actual movements are first delineated and characterized in terms of velocity class based on interferometric data. The inventoried moving areas are then used to assign kinematic information to rock glaciers. Existing rock glacier inventories or newly compiled inventories are exploited to circumscribe the identification of moving areas.

In this work, we present the guidelines developed within the ESA Permafrost_CCI project in collaboration with the IPA Action Group (RGIK - kinematic approach, 2020). Our main aim is to explore and demonstrate the feasibility of an international joint effort to include kinematic information in rock glacier inventories (RoGI). To achieve this goal, we apply the aforementioned guidelines in eleven regions of the world. Most existing inventories do not include kinematic information (Jones et al., 2018a). Here we are the first to consistently derive semi-quantitative standardized kinematic information on rock glaciers in many regions all around the globe. This paper includes the description of the guidelines, a collection of results, as well as general considerations based on the observations. A product validation is also conducted with independent measurements in some specific cases. As this paper is the result of a large cooperative work and builds on recently published guidelines, it does not present definitive results and conclusions. At this stage we are not concerned with the exhaustive interpretation and comparison of the eleven investigated RoGIs. We focus here on discussing the advantages, limitations and potentials of the proposed standardized approach to support the integration of kinematic information in inventories at a global scale.

2 Study areas and dataset

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We investigate eleven periglacial regions with various environmental parameters distributed around the world (Fig. 1, Table 1), covering eight major mountain ranges over five continents. Their spatial extent ranges from 250 to 7200 km² (Table 1),

with an approximate median of 1600 km². All study areas have permafrost conditions, but in some places only in the higher 100 parts of the landscape.

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Three study sites are located in the European Alps: Western Swiss Alps (Switzerland), Southern Vinschgau/ Venosta Valley (Italy), Vanoise Massif (France); five study sites are located in the sub-Arctic to high Arctic regions: Troms and Finnmark (Northern Norway), Nordenskiöld Land (Svalbard), Disko Island (Greenland), Brooks Range (Alaska, USA); one site is in Central Asia: Northern Tien Shan (Kazakhstan); one site is in South America: Central Andes (Argentina); one site is in Oceania: Central Southern Alps (New Zealand).

In Nordenskiöld Land (Svalbard), an entirely new rock glacier inventory is generated. For the remaining ten regions, existing inventories or partial inventories were available. Detailed information on the geographical parameters of these investigated regions and references to the available rock glacier inventories are presented in Table 1. Additional information for each region is included in Supplementary material A "Description of study areas".

110 Synthetic aperture radar (SAR) data from the Sentinel-1 (S1) and ALOS-2 satellites used in the present work (Table 1) are collected in both SAR geometries (i.e., ascending and descending) to ensure the best line-of-sight (LOS) orientation (Liu et al., 2013; Barboux et al., 2014). As snow cover is a severe limitation for InSAR (Klees and Massonnet, 1998), only snow-free periods are considered (from July to September and from January to March for Northern and Southern Hemisphere, respectively). Residual snow periods are detected by identifying extended interferometric decorrelation on InSAR data (e.g. 115 Touzi et al., 1999). Sentinel-1 images are acquired in Interferometric Wide swath mode with a 250 km swath at 5 m (range) by 20 m (azimuth) spatial resolution. ALOS-2 images are collected in Fine mode with a swath width of 70 km and spatial resolution of about 10 m (both range and azimuth). The information on the satellite data used and the time intervals considered for the investigated regions are presented in Table 1.

Depending on availability in each region, additional data such as aerial orthoimages, digital terrain models (DTMs) and DTM-120 derived products (e.g., hillshade) are included. The complete list of data used for each investigated region is included in Supplementary material A "Description of study areas". Additional kinematic measurements from differential global navigation satellite system (DGNSS) available for 17 rock glaciers in the Western Swiss Alps (Delaloye and Staub, 2016; Kummert and Delaloye, 2018; PERMOS, 2019; Strozzi et al., 2020), Vanoise (Marcer et al., 2020), Nordenskiöld Land (Matsuoka et al., 2019), Central Andes (Blöthe et al., 2021), Central Southern Alps regions, and for four frozen debris lobes (FDLs) in the Brooks Range (Darrow et al., 2016) are used for qualitative validations. For the purposes of this study, measurements of FDLs are not differentiated from rock glaciers for the Brooks Range study area. Feature tracking measurements on optical aerial photographs are also available for nine rock glaciers in the Troms (Eriksen et al., 2018), Nordenskiöld Land, and Northern Tien Shan (Bolch and Strel, 2018; Kääb et al., 2021) regions.

130 Table 1. Descriptions of geographic settings, rock glacier inventory (RoGI) references and InSAR data used for each investigated region.

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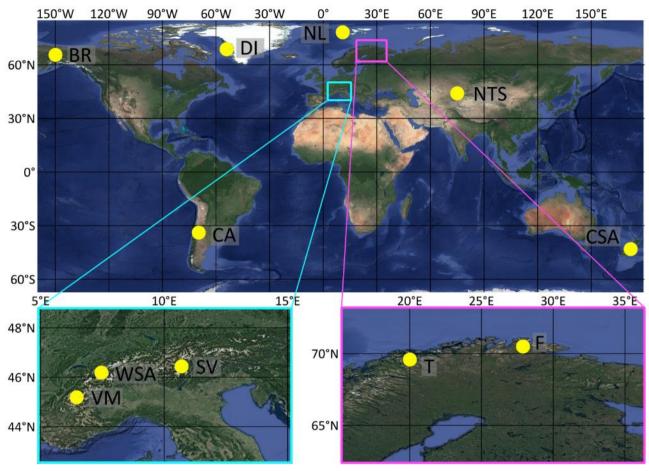


Figure 1. Location of the eleven investigated regions (yellow dots): Nordenskiöld Land (NL), Disko Island (DI), Brooks Range (BR), Northern Tien Shan (NTS), Central Andes (CA) and Central Southern Alps (CSA). Western Swiss Alps (WSA), Southern Venosta (SV), Vanoise Massif (VM), Troms (T) and Finnmark (F) are visible in two enlarged panels. Orthoimages from © Google Earth 2019.

3 Methods

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3.1 Workflow and input data

The technical aspects related to rock glaciers and presented below are contained in the documents of the IPA Action Group (RGIK - baseline concepts, 2022; RGIK - kinematic, 2022). These documents are constantly discussed and updated by the scientific community, and are therefore susceptible to changes, evolutions and improvements. This work is in accordance with the versions produced in March and May 2022. A rock glacier inventory consists of a geodatabase, containing the rock glaciers' locations as points and additional information such as activity rates and geomorphological parameters. Optional information, such as the geomorphological delineations with polygons, can be included. Hereafter, the term rock glacier refers to the rock glacier unit (RoG), defined as a single lobate structure that can be unambiguously discerned according to morphologic evidence

such as frontal slope, lateral margins, and ridge-and-furrow topography (RGIK - baseline concepts, 2022). The spatial connection from other (adjacent or overlapping) units can be determined by distinguishing different generations of landforms (e.g., overlapping lobes), different connections to the upslope unit, or specific activity rates. A rock glacier "unit" is differentiated from a rock glacier "system" (i.e., a landform identified as a rock glacier), which is composed of either one single or multiple rock glacier "units" that are spatially connected either in a toposequence or in coalescence. Because of practical and technical limitations, the minimum size of a considered rock glacier unit is about 0.01 km².

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The systematic procedure contained in the guidelines (RGIK - kinematic approach, 2020) follows the baseline concepts (RGIK - baseline concepts, 2022; RGIK - kinematic, 2022) and consists of three phases described below and illustrated in Fig. 2. The aim of this procedure is to implement the kinematic information in the inventories, especially to the rock glaciers affected by movement, to reduce the subjectivity of operators' interpretations and thus have a more accurate and standardized classification. The first phase consists of the identification of rock glaciers. For this purpose, existing rock glacier inventories or other forms of information such as from the literature are used. When inventories are not available over the investigated region (e.g., Nordenskiöld Land), the landform identification is performed following the baseline concepts proposed by the IPA Action Group (RGIK - baseline concepts, 2022); systematic visual analysis of the landscape with satellite or airborne optical images (orthoimages and DTM-derived products), field visiting, or supervised/unsupervised methods that allow a systematic identification of rock glaciers can be used (Marcer, 2020; Robson et al., 2020). Within this work, the identified rock glaciers are distinguished using manually positioned dots on each landform, able to discriminate each rock glacier clearly without ambiguity (e.g., in the center of the lobe of the RoG). This initial phase is very important, because the greater the completeness of the rock glaciers identified, the greater the completeness of the products obtained in the following two phases.

165 The second phase consists of identifying, outlining, and assigning velocity classes to moving areas (MA) – i.e., areas identified as having slope movement with InSAR data – related to the previously identified rock glaciers. Moving areas are included within inventories using polygons. This phase is conducted in parallel with the rock glacier identification, because additional landforms potentially missed can be identified when characterized by moving areas through an iterative process between the identifications of moving areas and rock glaciers.

170 The third phase consists of assigning kinematic attributes to rock glaciers by exploiting the velocity classes and extents of the moving areas that cover the rock glaciers. This information is then implemented within the inventory. Thus, a kinematic attribute represents the overall movement rate of a rock glacier, while the moving areas document the detailed velocity distribution within the rock glacier.

In this work, further phases of semi-quantitative assessments are conducted on specific rock glaciers to verify the correctly assigned kinematic categories, comparing the moving area velocity classes and the rock glacier kinematic attributes with independent measurements acquired during the same time frame. An additional effort adopted to further reduce the subjectivity, misclassifications, errors and increase the overall reliability of the products consists of multiple phases of correction and adjustment conducted by a second operator. The results produced by the first operator are checked by a second

operator. In order to optimize the work, operators with both InSAR and geomorphological backgrounds are involved, and study areas already known by the operators are considered to make the best use of the operators' knowledge.

Below in Sect. 3.2 we introduce the basic principles of InSAR. Subsequently in Sect. 3.3 we describe the details of the InSAR methods used to produce the moving area inventories. The procedure to assign a kinematic attribute to a rock glacier is described in Sect. 3.4. More details are further described in the practical guidelines (RGIK - kinematic approach, 2020) and in Table S1 and S2.

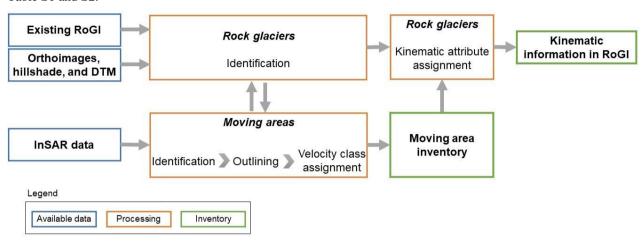


Figure 2. Conceptual diagram of the standardized method for producing a moving area inventory and a rock glacier inventory (RoGI) that includes kinematic information. The analysis is performed in a GIS environment.

3.2 Basic principles of Interferometric Synthetic Aperture Radar (InSAR)

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InSAR is a powerful and consolidated technique to detect and map ground movement at the regional scale (Klees and Massonnet, 1998; Massonnet and Feigl, 1998). Systematic acquisitions and wide spatial coverage of the new generation of satellites such as Sentinel-1 make InSAR the most suitable tool for the global mapping objectives of this work (Yague-Martinez et al., 2016).

InSAR processing consists of computing the interferometric phase differences (i.e., the interferograms) from pairs of images with different time intervals (from a few days up to annual) (Massonnet and Souyris, 2008; Yague-Martinez et al., 2016). After geometric, topographic, and atmospheric corrections, interferograms provide quantitative measurements of the superficial movements (Klees and Massonnet, 1998; Yague-Martinez et al., 2016).

Despite the potential of InSAR, some limitations apply. First, InSAR provides the observation of the 3D surface deformation component projected along the radar look direction (i.e., the line of sight, LOS), and the measurement is not sensitive to displacements oriented perpendicular to the LOS orientation (Liu et al., 2013; Barboux et al., 2014; Strozzi et al., 2020). Therefore, displacements towards north or south are more affected by geometric distortions and the magnitude of the displacements might be largely underestimated (Klees and Massonnet, 1998; Liu et al., 2013). Second, steep terrain is masked by geometric distortions known as layover and shadow in mountainous areas (Klees and Massonnet, 1998; Barboux et al.,

2014). To reduce the above limitations, both ascending and descending geometries are used in this work, allowing the selection of the best geometry according to the orientation of each rock glacier (Barboux et al., 2014; Strozzi et al., 2020). Third, the rate of terrain movement that can be detected depends on the time interval of the interferogram, the spatial resolution, and the wavelength of the satellite (Massonnet and Feigl, 1998; Barboux et al., 2014; Villarroel et al., 2018; Strozzi et al., 2020). Lastly, artefacts due to uncompensated atmospheric delays (Yu et al., 2018) and decorrelation or phase bias due to changes in physical properties of the surface (e.g., vegetation, snow, soil moisture; Klees and Massonnet, 1998; Zwieback et al., 2016) can mask the displacement measurements. To reduce these limitations, it is important to rely on a slack of several interferograms from different time periods.

3.3 Moving area inventory with InSAR

A moving area is defined in the guidelines as an area at the surface of a rock glacier in which the observed flow field (direction and velocity) is uniform (spatially consistent and homogenous). The moving area represents the movement rate of the rock glacier or part of it, detected along the one-dimensional LOS. Each moving area is related to (i) a specific "observation time window" (e.g., summer or annual) during which the movement is measured and to (ii) a specific "temporal frame" (year(s)) during which the periodic measurements are repeated and aggregated. The minimal observation time window is one month during snow-free periods, detected within a temporal frame of at least two years. These time intervals are intended to average possible short, seasonal and multi-annual variations in the dynamics of rock glaciers (Wirz et al., 2016; Kellerer-Pirklbauer et al., 2018) that can distort the measurements. Observation time windows and temporal frames are documented in the produced moving area inventories.

Moving areas are identified and outlined by means of polygons, when the signal of movement is detectable on InSAR data. A moving area does not necessarily fit the geomorphological outline of the rock glacier (Fig. 3). For instance, a moving area can override the geomorphological limits of a rock glacier, several polygons of moving areas can be related to the same landform, and a slower moving area that incorporates one or more faster areas can exist (Fig. 3).

Standardized velocity classes are assigned to each moving area. They are meant to (i) facilitate the subsequent assignment of kinematic attributes to the rock glaciers, and (ii) reduce the error and the degree of operator's subjectivity in assigning a specific velocity. A small number of defined classes reduces the variability in choosing one class over another, despite generating a loss of information (i.e., precise velocities) and creating biased information when the velocities are close to the class boundaries. As the guidelines are intended to obtain as standardized results as possible, six main velocity classes are chosen to balance the above rationale. Following recent studies (e.g., Barboux et al., 2014), the velocity classes, listed in order of increasing velocity, include: "<1 cm/yr," "1-3 cm/yr," "3-10 cm/yr," "10-30 cm/yr," "30-100 cm/yr," and "> 100 cm/yr." Two additional classes include: "Undefined" when velocity cannot be reliably assessed and "Other" when a more accurate velocity can be assigned. The boundaries between the classes are selected taking into account the investigative capabilities of the InSAR, as interferograms with shorter time intervals allow for detection of high velocities, while interferograms with longer time intervals detect lower velocities. For this reason, the velocity classes are related to the time intervals at which movements

are detected by a coherent signal. For example, following Barboux et al., 2014, a coherent signal visible on annual Sentinel-1 interferograms allows for documenting velocities ranging from 0.2 cm/yr to 3 cm/yr. Moreover, the InSAR signals are frequently affected by large spatial and temporal variability (e.g., Fig. 3c-3e). In order to reduce possible errors, the assigned velocity classes represent the mean movement rate in time (i.e., within the minimum observation time window and temporal frame defined above) and in space (i.e., within the outlines), and not a single intra-annual episode nor an extreme value. In this work, the moving areas with large variability of InSAR signal are annotated.

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The production of moving area inventories can be accomplished following several approaches, such as manual interpretations of InSAR data (e.g., Liu et al., 2013; Barboux et al., 2014, 2015; Necsoiu et al., 2016; Villarroel et al., 2018), or supervised/unsupervised methods based on SAR images (e.g., Barboux et al., 2015; Rouyet et al., 2021). Below we describe the manual and semi-automated methods used in this work. Clearly, the two methods are different and rely on different criteria. However, the relevant moving areas obtained share the same definition and the same velocity classes as defined above. In this paper, we do not aim to compare the two methods. We exploit the moving areas obtained with the manual and semi-automated methods to similarly assign standardized kinematic attributes to rock glaciers.

With the manual approach we mainly considered wrapped differential interferograms, which can be computed using time intervals from a few days to a few years. Manual analyses of geospatial data, although time-consuming, is a common approach in geomorphology and has the advantage of allowing interpretation of decorrelated regions (Barboux et al., 2014), which would be excluded in phase unwrapping. In addition, errors in phase unwrapping – which are inevitable in rough terrain with significant motion and not easily identified by the non-InSAR specialist - can bias the interpretation of fast-moving objects (Barboux et al., 2015). Nevertheless, weighted averaging (stacking; Sandwell and Price, 1998) of unwrapped 6/12 days Sentinel-1 interferograms are also computed to facilitate the interpretation of single-wrapped interferograms. Moving areas are identified by looking at the textural image features from interferograms, according to three typical InSAR signal patterns: (1) no change defined by a plain pattern, (2) smooth change characterized by a (partial) fringe pattern, and (3) a decorrelated signal expressed by a noisy pattern (Fig. 3c). The combined visualization of a large set of interferograms allows a user to draw fast moving area outlines from interferograms with shorter time intervals (e.g., 6 days for Sentinel-1) and shorter wavelengths. By increasing the time intervals, the drawn outlines are refined, and additional outlines (with lower velocities) are identified and drawn (Fig. 3d and 3e). As the manual method is based on a set of wrapped interferograms, focusing on single pixels risks to overrepresent small and striking patterns. To avoid unrepresentative patterns, moving areas are outlined when the signal of movement is detectable for at least 20 to 30 pixels of InSAR data. The velocity classes are assigned by counting the number of fringe cycle(s) from a point assumed as stable (outside the moving area) to the detected moving area. The number of fringe cycle(s) is counted exploiting the change of color in the resulting interferograms, following Fig. 3f. A complete fringe is equivalent to a change of half a wavelength in the LOS direction between two SAR images acquired at different times. The displacement obtained by knowing the wavelength of the satellite and the number of fringe cycle(s) is converted to velocity by dividing by the time interval of the interferogram. Detailed examples are included in Figure S1 of Supplementary material. For the Norway and Svalbard study regions, a semi-automated multiple temporal baseline InSAR stacking procedure is applied (Rouyet et al., 2021). The procedure aims to combine the strengths of the single interferogram analysis and multi-temporal InSAR techniques by averaging unwrapped interferograms with five complementary ranges of temporal intervals (336–396, 54–150, 18–48, 6–12 and 6 days) and complementing velocity information with mapping decorrelated signals associated with fast movement. The approach aims to semi-automate the analysis to include a large number of interferograms (tens to hundreds for each stack) from both SAR geometries, and to combine complementary datasets with different detection capabilities, while avoiding large unwrapping errors for fast-moving landforms (Rouyet et al., 2021). The outputs of this processing are mean velocity maps with a resolution of 40 meters. The velocity classes are assigned to each pixel and all pixels are merged into a composite raster map over the whole area. Each pixel is the summary of the entire multi-annual set of interferograms and therefore considered to be a representative signal of averaged movement.

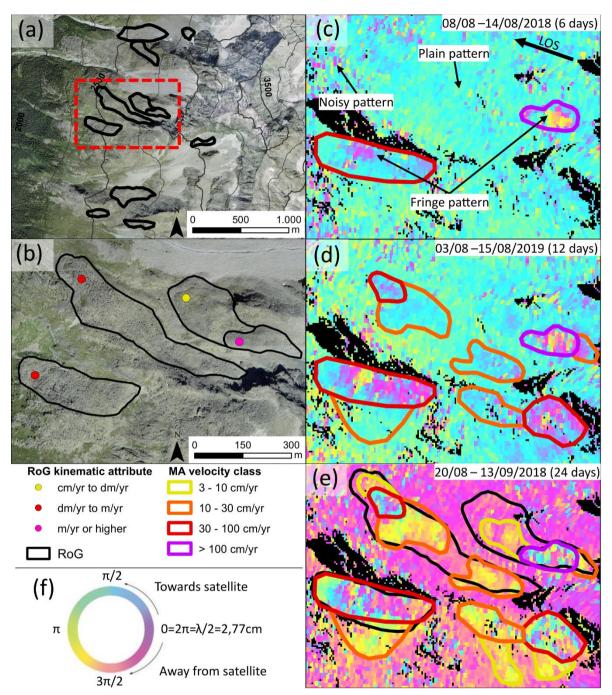


Figure 3. Example of a RoGI in the Arolla region (location: 46°2'24"N 7°30'36"E, 2750 m a.s.l.), Swiss Alps (a); outlines of RoG are in black, and the location of an investigated area (a) is in red. (c – e) Sentinel-1 interferograms from the descending orbit, including examples of InSAR signal patterns; layover and shadow areas are masked out (black). Two MAs are detected on the 6-days interferogram (c). Using 12- and 24-days, additional MAs are visible (d and e). This is an example where the MA outlines do not fully match the geomorphological outline of the RoGs. MAs (SE-border) not related to RoG are visible and mapped. Based on MAs, the kinematic attributes are assigned to RoGs (b). Fringe cycle related to the change of color (f); a complete fringe cycle is equivalent to a change of half a wavelength (2.77 cm for Sentinel-1) in the LOS direction.

3.4 Kinematics in the rock glacier inventory

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A kinematic attribute is defined in the guidelines as semi-quantitative (order of magnitude) information, representative of the movement rate of an inventoried rock glacier. It is assigned only when spatially representative of the rock glacier, i.e., when the rock glacier is documented by consistent kinematic information on a significant part (i.e., at least half) of its surface. Kinematic attributes refer to a multi-annual validity time frame of at least two years (same temporal frame as for moving area inventory) to minimize the potentially large inter-annual variations of rock glacier movement rate (Wirz et al., 2016; Kellerer-Pirklbauer et al., 2018).

One kinematic attribute is assigned to each rock glacier unit, based on the extent, velocity class and time interval of the moving area identified within each rock glacier (Table 2; RGIK - kinematic approach, 2020; RGIK - kinematic, 2022). When a rock glacier is covered by multiple moving areas (Sect. 3.3) a set of specific decision rules is followed. In case of two equally dominant moving areas, characterized by contiguous velocity classes, the velocity class of the most representative moving area (e.g., the one closest to the front, according to Barsch, 1996) is favored for the attribution of kinematic attribute to the rock glacier. In the case of a higher number of equally dominant velocity classes on the same rock glacier, the median class is retained. Heterogeneities of moving areas inside a rock glacier can also indicate the need to refine/redefine the delineation of the initial geomorphological units, following an iterative process between geomorphology and kinematics.

A manual transfer from velocity classes of moving areas to kinematic attributes of rock glaciers is done, depending on the observation time windows of the moving areas (RGIK - kinematic approach, 2020; RGIK - kinematic, 2022). If the velocity class of a dominant moving area is characterized by an annual or multi-annual observation time window, a kinematic attribute "< cm/yr" or "cm/yr" is assigned with the respective velocity class of "< 1 cm/yr" and "1-3 cm/yr" (Table 2). The kinematic attribute "< cm/yr" is assigned even in the absence of detectable movement (i.e., without detected moving area(s)). If the velocity class of a dominant moving area is characterized by an observation time window shorter than one year (at least one month in the snow-free period), the kinematic attribute is assigned according to Table 2. These categories aim to obtain kinematic attributes as standardized as possible and reduce the operator's subjectivity. The conversion from velocity classes to kinematic attributes considers the expected seasonal variations of rock glacier movement rate, generally higher during summer periods, with minimum velocity occurring in early spring, velocity peaks in late spring and maximum velocity in late autumn (Berger et al., 2004; Delaloye and Staub, 2016; Wirz et al., 2016; Kenner et al., 2017; Cicoira et al., 2019). The "undefined" category is chosen when (i) no (reliable) kinematic information is available (e.g., north/south-facing slopes, no data due to layover/shadow), (ii) the rock glacier is mainly characterized by a moving area of undefined velocity, or (iii) the heterogeneities of moving areas within rock glacier are too large.

For each rock glacier, additional information is documented, such as the multi-year validity time frame (i.e., the years to which the kinematic attributes apply) and the activity degree based on kinematic interpretation. According to the baseline concepts (RGIK - baseline concepts, 2022), "active" is assigned with coherent movement over most of the rock glacier surface (displacement rate from decimeter to several meters per year), "transitional" with little to no movement over most of the rock

glacier surface (displacement rate less than decimeter per year in an annual mean), and "relict" without detectable movement over most of its surface. This purely kinematic classification does not consider the permafrost content, which is instead considered by other classifications proposed in the literature (e.g., Barsch, 1996). Information of the moving area(s) used to assign kinematic attributes is also documented, such as the time characteristics (e.g., observation time window and temporal frame) and the spatial representativeness, i.e., the percentage of moving area(s) surface inside the rock glacier compared to the total area of the rock glacier (e.g., < 50 %, 50-75 % and > 75 %, also qualitatively estimated if the rock glacier outline is not available from the existing inventory).

Table 2. Description of the kinematic attribute categorization from the moving areas and the associated velocity classes, according to the IPA Action Group (RGIK - baseline concepts, 2022; RGIK - kinematic, 2022).

Observation	Associated velocity	Order of magnitude of	RoG kinematic	A ativity do ana	
time window	class to MA	RoG velocity	attribute	Activity degree	
≥ 1 year(s)	Undefined	-	Undefined	Undefined	
$\geq 1 \text{ year(s)}$	< 1 cm/yr	No/little movement	< cm/yr	Relict	
< 1 year	1-3 cm/yr	$\approx 0.01~\text{m/yr}$	cm/yr	Transitional	
< 1 year	3-10 cm/yr	$\approx 0.05~\text{m/yr}$	cm/yr to dm/yr	Transitional	
< 1 year	10-30 cm/yr	$\approx 0.1 \text{ m/yr}$	dm/yr	Active	
< 1 year	30-100 cm/yr	$\approx 0.5 \text{ m/yr}$	dm/yr to m/yr	Active	
< 1 year	$> 100 \text{ cm/yr}$ $\approx 1 \text{ m/yr or more}$		m/yr or higher	Active	
	-	Potential velocity	Other	-	

4 Results

The moving areas and kinematic attributes compiled in the eleven investigated regions are shown in Fig. 4-6. A total of 5,077 moving areas covering about 5,140 km² are inventoried over 31,500 km² of investigated areas. The two different approaches used to map and classify the moving areas (i.e., manual and semi-automated) show some differences. In Troms, Finnmark and Nordenskiöld Land regions we observe a greater number of small, highly fragmented moving area outlines that fit InSAR pixel boundaries without any smoothing (semi-automated approach, Fig. 5). In the other regions investigated with a manual approach, outlines fit the detected slope movements with smooth outlines, and small moving areas (with slow velocities) are frequently not mapped (Fig. 4 and 6).

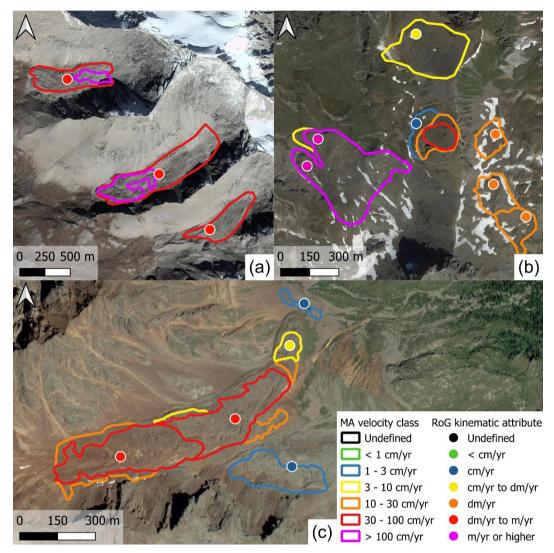


Figure 4. Examples of moving areas and rock glacier kinematic attributes produced for Vanoise (a, location: $45^{\circ}16'10''N 7^{\circ}03'00''E$, 2900 m), Western Swiss Alps (b, location: $46^{\circ}10'25''N 7^{\circ}30'45''E$, 2700 m), and Southern Venosta (c, location: $46^{\circ}28'20''N 10^{\circ}48'00''E$, 2500 m). Orthoimages from © Google Earth 2019.

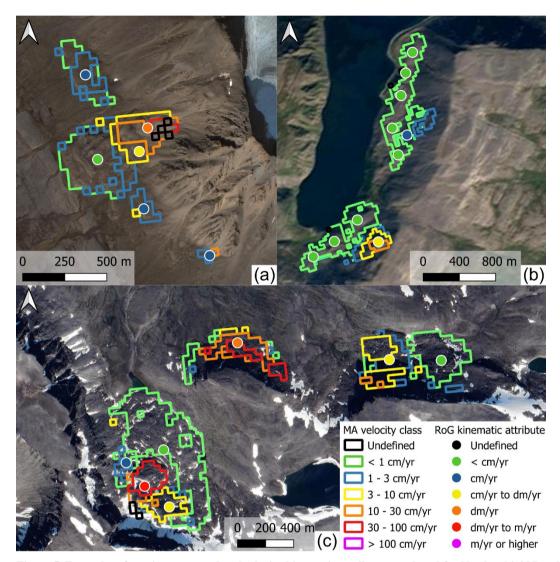


Figure 5. Examples of moving areas and rock glacier kinematic attributes produced for Nordenskiöld Land (a, location: 77°53'25"N 13°55'35"E, 300 m), Finnmark (b, location: 70°44'50"N 28°01'50"E, 100 m), and Troms (c, location: 69°26'45"N 20°42'40"E, 920 m) based on a semi-automated multiple temporal baseline InSAR stacking procedure (Rouyet et al., 2021). Orthoimages from Norwegian Mapping Authority (https://www.norgeibilder.no/; last access: 10 October 2021).

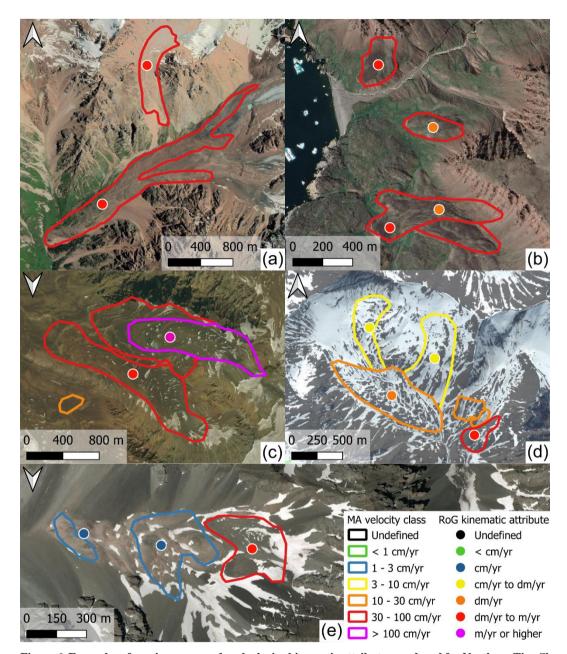


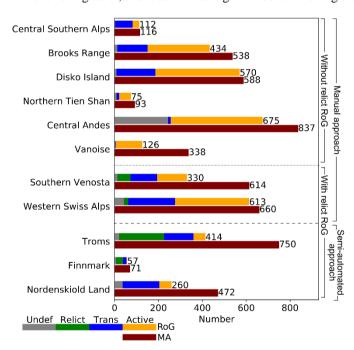
Figure 6. Examples of moving areas and rock glacier kinematic attributes produced for Northern Tien Shan (a, location: 43°06'00"N 77°12'20"E, 3400 m), Disko Island (b, location: 69°15'50"N 53°37'20"W, 100 m), Central Andes (c, location: 33°00'10"S 69°35'00"W, 4400 m), Brooks Range (d, location: 68°06'25"N 150°00'18"W, 1700 m) and Central Southern Alps (e, location: 43°35'40"S 170°44'00"E, 2000 m). Orthoimages from © Google Earth 2019.

The number of mapped moving areas and their extent are quite different between the investigated regions. The number of mapped moving areas range from 71 (Finnmark) to 837 (Central Andes), sometime without a proportional increase in the total extent covered by moving areas (Fig. 7 and 8a). Central Andes, Disko Island and Brooks Range are the regions with a high

number of moving areas and a high total extent covered by moving areas, while Troms, Western Swiss Alps and Southern Venosta have a high number of moving areas and a low total extent covered by moving areas (Fig. 8a). Accordingly, the first three regions have the largest moving areas visible from the boxplots of the area distributions (Fig. 8b), while the last three regions have smaller moving areas.

For Disko Island, Brooks Range, Vanoise, Central Andes, Northern Tien Shan, and the Western Swiss Alps region, most of the moving areas are classified with fast velocity classes (i.e., "30–100 cm/yr" and "> 100 cm/yr"; Fig. 9a). In these regions, with the exception of Western Swiss Alps, few moving areas (less than 12 %) are classified with slow velocity classes (i.e., "< 1 cm/yr" and/or "1–3 cm/yr"). In the other regions, slow moving areas are prevalent, at the expense of faster ones. Therefore, in each region, the faster or slower moving areas seem to prevail over their counterparts.

The number of classified rock glaciers is proportional with the number of detected moving areas, but there are fewer rock glaciers than moving areas (Fig. 7). Therefore, this suggests each rock glacier often contains more than one moving area, as illustrated in Fig. 3. The maximum number of moving areas associated with a rock glacier goes up to 12 (Fig. 8b). Southern Venosta, Troms, Nordenskiöld Land and Central Andes are the regions with the highest number of moving areas associated with one rock glacier, and also with a large number of moving areas mapped (Fig. 7 and 8).



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Figure 7. Number of inventoried moving areas (brown bars), and rock glaciers classified as undefined (grey bars), relict (green bars), transitional (blue bars) and active (orange bars) for each investigated region. The length of the bars is proportional to the number of observations (x axis). Numbering indicates the total number of moving areas and rock glaciers. Regional inventories are separated according to (i) the method used for mapping the MA (i.e., manual and semi-automated) and (ii) the inclusion/exclusion of relict rock glaciers. Detailed information on assigned moving area velocity classes and rock glacier kinematic attributes are included in Table S3 and S4 of Supplementary material.

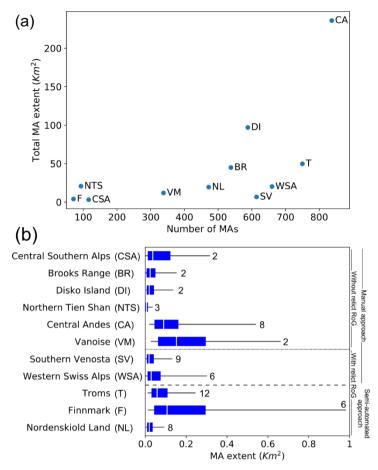


Figure 8. (a) Scatterplot of the total area covered by the moving areas (y axis) as a function of the number of mapped moving areas (x axis). (b) Boxplots show the area distribution of the moving areas. Bars enclose interquartile ranges, whiskers show 5 and 95 percentiles. On the right, the maximum number of MAs associated with one RoG for each region. Regional inventories are separated according to (i) the method used for mapping the MA (i.e., manual and semi-automated) and (ii) the inclusion/exclusion of relict rock glaciers.

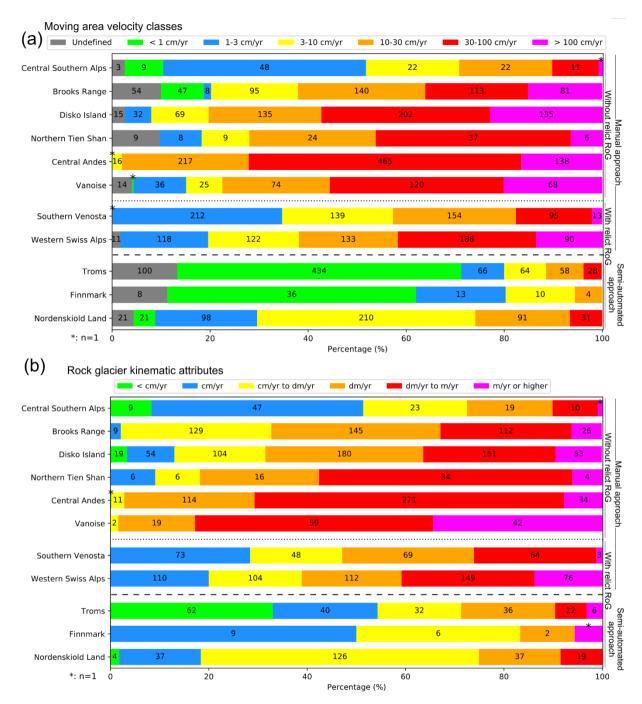


Figure 9. Assigned moving area velocity classes (a) and rock glacier kinematic attributes (b) for each investigated region. Relict landforms are not showed in panel b. The length of the bars is proportional to the percentage (x axis), the values inside the bars indicate the numbers for each category. Regional inventories are separated according to (i) the method used for mapping the MA (i.e., manual and semi-automated) and (ii) the inclusion/exclusion of relict rock glaciers. Detailed information on assigned moving area velocity classes and rock glacier kinematic attributes are included in Table S3 and S4 of Supplementary material.

Kinematic attributes are assigned at 3,666 rock glaciers investigated in the study regions. The number of classified rock glaciers range between a maximum of 675 in Central Andes and a minimum of 57 in Finnmark (Fig. 7). Rock glaciers with an "undefined" kinematic attribute are less than 15%, with the exception of Central Andes (36%). Most of the rock glaciers are classified as active and transitional in all the regions investigated, with the exception of Troms (46 %) and Finnmark (32 %). Relict rock glaciers (i.e., without detected movements) are classified only in Troms (205; 49 %), Southern Venosta (60; 18 %), Finnmark (32; 56%), and Western Swiss Alps (19; 3%), while in the other regions they are not mapped in this work (Fig. 7) for specific motivations explained below. In the Western Swiss Alps region, the initial rock glacier inventory used to identify rock glaciers has been compiled following a "kinematic approach" (RGIK - baseline concepts, 2022), i.e., identifying and inventorying only rock glaciers with a detectable signal of movement, thus excluding relict landforms (Barboux et al., 2015). Consequently, when compiling the kinematic attributes in this region, a limited number of rock glaciers without detectable movements are classified. In the other regions, the initial inventories used to identify rock glaciers have been compiled with geomorphological approaches (RGIK - baseline concepts, 2022), i.e., recognizing and inventorying rock glaciers by a systematic visual inspection of geomorphological evidence on imaged landscape, DTM-derived products, as well as local field visits, thus including relict landforms (Ellis and Calkin, 1979; Humlum, 1982; Gorbunov, 1983; Mair et al., 2008; Lilleøren and Etzelmüller, 2011; Sattler et al., 2016; Marcer et al., 2017; Zalazar et al., 2020). In the Nordenskiöld Land region – characterised by continuous permafrost – there is no identified relict rock glacier. In the Vanoise, Brooks Range, Disko Island, Northern Tien Shan and Central Andes regions, kinematic analyses are conducted only on landforms identified by a clear InSAR signal of movement, thus without carrying out a thorough and comprehensive kinematic investigation of rock glaciers and excluding relict landforms. Also for this reason, slow-moving rock glaciers (i.e., "< cm/yr" and/or "cm/yr") are not mapped in Vanoise, and few slow-moving landforms are classified in the Brooks Range and Central Andes regions (Fig. 9b). In Brooks Range and Disko Island regions, analyses on rock glaciers without a clear signal of movement are also not conducted due to the lack of high-resolution optical imagery. In Central Southern Alps, a comprehensive kinematic investigation is conducted, but only rock glaciers with detectable movements are included in the inventory here presented.

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Looking in detail at the classifications, the kinematic attributes of rock glaciers reflect the velocity classes of moving areas, with most of rock glaciers in Disko Island, Brooks Range, Vanoise, Central Andes, Northern Tien Shan, and Western Swiss Alps regions classified with fast kinematic attributes (i.e., "dm/yr to m/yr" and "m/yr or higher"), and a consistent portion of slow-moving rock glaciers (i.e., "< cm/yr" and/or "cm/yr") in the other regions (Fig. 9b). In Southern Venosta, Troms and Finnmark a large number of slow moving areas (i.e., "< 1 cm/yr" and/or "1-3 cm/yr") are associated to slow-moving rock glaciers.

Morphological characteristics of rock glaciers such as the upslope connections are examined and six main classes of upslope connection are assigned (Fig. 10) according to the IPA baseline concepts: talus, debris mantle, landslide, glacier, glacier forefield and poly connected (i.e., multiple connections) (RGIK - baseline concepts, 2022). Unclear upslope connection is classified as "Undefined." This classification is not performed in Disko Island and Brooks Range, because the available optical data have too low resolution (greater than 10 m) to document this attribute. In the Central Andes region, the classification is

not provided because of many cases with unclear upslope connection, and only glacier upslope connection is separated from non-glacier upslope connection. For the Western Swiss Alps, Southern Venosta, Vanoise, Troms, Finnmark, Nordenskiöld Land, and Central Southern Alps regions, the highest number of rock glaciers is classified with an upslope connection of talus type (at least 67 %). Only for the Tien Shan region is the glacier upslope connection type identified as the main class (43 %).

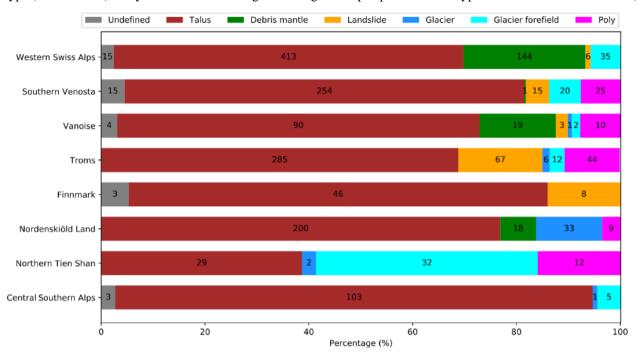


Figure 10. Upslope connection classes for most of the investigated regions. The size of the horizontal bars is proportional to the percentage (x axis), the values inside the bars are the numbers for each upslope connection class. Disko Island and Brooks Range regions are not shown because of lack of high-resolution optical data needed to document this attribute. The Central Andes region is not shown because of many cases with undefined upslope connection.

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The validation of the assigned kinematic information is conducted on 30 rock glaciers with available DGNSS and feature tracking measurements acquired during the same time frame of InSAR measurements. The assigned moving area velocity classes sometimes do not fully cover the velocity ranges recorded by DGNSS measurements (Table 3). For 24 rock glaciers, the assigned rock glacier kinematic attributes are in agreement with the available kinematic measurements. For four rock glaciers the assigned kinematics are slightly underestimated with InSAR, and for two rock glaciers the kinematics are slightly overestimated (Table 3). Detailed results obtained from the validation are included in Supplementary material B "Description of conducted validation".

Table 3: Validation conducted between the detected kinematic information (i.e., MA velocity classes and RoG kinematic attributes) and the independent datasets available for some regions.

Region	MA velocity classes [cm/yr]	RoG kinematic attribute	Validation dataset	Velocity recorded [m/yr]	Disagreement
Western Swiss Alps	> 100	m/yr or higher	DGNSS	0.7 - 2	
	> 100	m/yr or higher	DGNSS	0.7 - 2	
	30 - 100	dm/yr to m/yr	DGNSS	0.1 - 2.2	Underestimation
	1 - 3	cm/yr	DGNSS	0.025 - 0.035	
	> 100	m/yr or higher	DGNSS	0.75 - 0.8	Overestimation
	> 100	m/yr or higher	DGNSS	1.3	
	30 - 100	dm/yr to m/yr	DGNSS	1.9	Underestimation
	> 100	m/yr or higher	DGNSS	2.5 – 11	
	> 100	m/yr or higher	DGNSS	0.9 - 1.1	
	> 100	m/yr or higher	DGNSS	1.2 - 2.8	
	3 - 10 and > 100	m/yr or higher	DGNSS	0.012 - 2.8	
Vanoise	10 - 30 and > 100	m/yr or higher	DGNSS	0.5 - 2	
Troms	> 100	m/yr or higher	Feature tracking	1 - 2	
	30 - 100	dm/yr to m/yr	Feature tracking	0.5 - 1	
	30 - 100	dm/yr to m/yr	Feature tracking	0.5 - 1	
Nordenskiöld Land	1 - 3 and $3 - 10$	cm/yr to dm/yr	DGNSS	0.024 - 0.05	
	< 1 and 1 - 3	< cm/yr	Feature tracking	0 - 0.02	
Brooks Range	> 100	m/yr or higher	DGNSS	13	
	> 100	m/yr or higher	DGNSS	2.1	
	> 100	m/yr or higher	DGNSS	5.7	
	> 100	m/yr or higher	DGNSS	0.9	Overestimation
Northern Tien Shan	> 100	m/yr or higher	Feature tracking	1 - 4	
	30 - 100	dm/yr to m/yr	Feature tracking	0.5 - 1	
	30 - 100	dm/yr to m/yr	Feature tracking	0.4 - 1	
	30 - 100	dm/yr to m/yr	Feature tracking	0.1 - 1.2	
	> 100	m/yr or higher	Feature tracking	2.3 - 2.8	
Central Andes	> 100	m/yr or higher	DGNSS	0.5 - 3.5	
	30 – 100	dm/yr to m/yr	DGNSS	> 1.5	Underestimation
Central Southern Alps	< 1	< cm/yr	DGNSS	0 - 0.03	Underestimation
	3 – 10	cm/yr to dm/yr	DGNSS	0.02 - 0.14	

5 Discussion

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5.1 Subjectivity of the method

The main problem for integrating standardized kinematic information within inventories compiled from different operators is the subjectivity of the operator in carrying out the work. The inherent degree of subjectivity is a typical source of uncertainty and variability within inventories compiled from remotely sensed imagery (Jones et al., 2018a; Brardinoni et al., 2019). The proposed guidelines contain specific rules to guide the operator and reduce the operator's freedom to make specific choices, thus limiting the subjectivity. Unlike other techniques, the InSAR signal provides an accurate measurement of movement, but the interpretation of the signal can still be affected by some degree of variability. Therefore, multiple phases of correction and adjustment were conducted by a second operator to further reduce the subjectivity and increase the overall reliability of the results. In addition, the assigned moving area velocity classes and rock glacier kinematic attributes refer to a range and not a precise value, which contributes to further reduce the degree of subjectivity.

Despite the guidelines adopted, some degree of subjectivity can still occur. A large heterogeneity of the kinematics is often related to large spatial and temporal variability of the InSAR signal, interpreted in different ways by the operators. Therefore, before starting the work on the eleven investigated sites, the operators independently tested the guidelines on two regions in the Western Swiss Alps. Such an inter-comparison exercise has shown to be a useful approach to evaluate the operator subjectivity (Brardinoni et al., 2019). Results of this inter-comparison exercise are included in a specific document of the ESA Permafrost_CCI project (ESA - PVIR report, 2021). Outcomes show an increase in variability (i) in delineation and velocity classification of moving areas affected by large temporal- and spatial variations in interferograms, and (ii) in the kinematic classification of rock glaciers affected by a greater velocity heterogeneity of the related moving areas. However, often the same landforms have been classified with similar or adjacent classes, especially for fast landforms, because fast-moving classes include wider velocity ranges than slow-moving classes with smaller velocity ranges (ESA - PVIR report, 2021). Two examples are included in this paper (Fig. 11) to show the contrast between a simple rock glacier and a more complex one, characterized by higher variability of InSAR data. Results of the inter-comparison exercise were also useful in establishing more strict and clear rules to further reduce the subjectivity, and releasing the most refined version of the guidelines presented here. Furthermore, this initial stage conducted on two limited regions improved the knowledge and confidence of the method proposed by researchers.

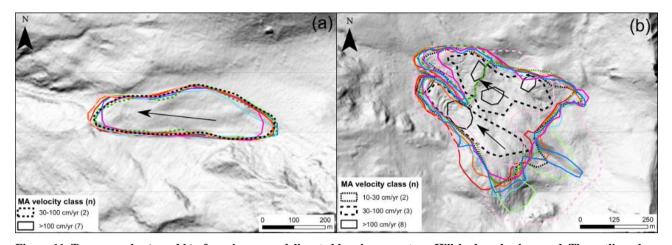


Figure 11. Two examples (a and b) of moving areas delineated by nine operators. Hillshade as background. The outlines drawn on the simple rock glacier (a) are very similar, and moving areas are classified as ">100 cm/yr" by seven operators and as "30-100 cm/yr" by two operators, due to temporal variations in interferograms. According to the mapped moving areas, this rock glacier is classified as "m/yr or higher" (also in agreement to GNSS data) by seven operators and as "dm/yr to m/yr" by two operators. Greater heterogeneity is observed on rock glacier affected by larger temporal- and spatial variations in interferograms (b), with more heterogeneous outlines of moving areas and different assigned velocity classes (">100 cm/yr", "30-100 cm/yr" and "10-30 cm/yr"). However, despite the larger heterogeneity, this rock glacier is classified as "m/yr or higher" by six operators and as "dm/yr to m/yr" by three operators; these kinematic attributes are in line with GNSS data, ranging from about 0.2 to 2 m/yr. Some related interferograms are included in Figures S2-S4 of Supplementary material.

5.2 Dependencies related to moving area inventories

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The guidelines presented here define rules that can be followed using different remote sensing techniques. In this work we used the InSAR technology, and therefore moving area inventories are affected by limitations related to radar interferometry (Klees and Massonnet, 1998; Barboux et al., 2014; Strozzi et al., 2020). The use of the same sensors (i.e., Sentinel-1 and ALOS-2) that share the same technical limits in all the investigated regions, however, simplifies the evaluation between the moving area inventories.

The first limit related to InSAR is the general underestimation of displacements measured in the moving areas (Klees and Massonnet, 1998; Massonnet and Souyris, 2008). The downslope direction is generally assumed to represent the real 3D movement of rock glaciers (Barboux et al., 2014), therefore the magnitude of displacement of moving areas on north- and south-facing slopes is more underestimated, even if both ascending and descending geometries are used.

The second limit related to InSAR concerns moving areas with slow movements (i.e., with velocities slower than 3 cm/yr), mainly investigated using annual interferograms with Sentinel-1 and ALOS-2 (Barboux et al., 2014; Yague-Martinez et al., 2016). With long time intervals (i.e., annual), the quality of the interferograms is lower due to loss of phase coherence (Klees and Massonnet, 1998; Touzi et al., 1999; Barboux et al., 2014; Bertone et al., 2019). Slow movements are therefore more complicated to be assessed with enough precision, and the reliability is consequently lower. For this reason, (i) the faster moving areas seem to prevail over their counterparts in some regions (Fig. 9a), and (ii) moving areas with velocity class "< 1 cm/yr" are probably not mapped in the Central Andes, Northern Tien Shan, Disko Island, Vanoise, Southern Venosta, and the

Swiss Alps regions (Fig. 9a), where the focus is set on the more active landforms. In Troms and Finnmark regions, the large number of slow moving areas is related to the semi-automated method, able to better derive slow movements by exploiting a large set of interferograms with long time intervals.

Despite the limitations, InSAR is an appropriate tool for this exercise aimed at compiling kinematic inventories in as many representative periglacial regions worldwide as possible (Yague-Martinez et al., 2016). The InSAR image processing effort has been split across many sites. More advanced interferometric processing strategies such as Persistent Scatterer Interferometry (Ferretti et al., 2001; Crosetto et al., 2016) would allow to derive slow surface motion precisely, but the processing load is much more significant, and special attention has to be paid to the long-lasting snow cover and the atmospheric stratification at high altitudes (Barboux et al., 2015; Osmanoğlu et al., 2016).

To reduce the intrinsic limitations of InSAR, additional or alternative techniques may be used to support kinematic classification. For example, feature tracking (Monnier and Kinnard, 2017) and image cross correlation (Kääb, 2002; Necsoiu et al., 2016; Kääb et al., 2021) conducted on high resolution optical imagery acquired from airborne or spaceborne platforms represent viable alternatives or complements to obtain kinematic information on large areas. Similarly, the differencing of sequential high resolution DTMs has been successfully used to quantify surface displacement and vertical change in particular (Kaab, 2008; Avian et al., 2009). These techniques, although extremely useful for detecting large movements (i.e., topographic changes) with high accuracy over seasonal to annual and decadal time scales, rely heavily on the timing of costly repeat surveys, which typically have lower temporal resolution compared to SAR satellite-based acquisitions.

5.3 Characteristics related to the kinematic of rock glaciers

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The guidelines used for assigning kinematic attributes to rock glaciers aim to be technology independent. However, (i) the kinematic information assigned refers only to periods documented by InSAR data (snow-free seasons), and (ii) the inherent dynamic characteristics of the rock glacier can have impacts on the results. The seasonal variability (Berger et al., 2004; Delaloye and Staub, 2016; Wirz et al., 2016; Kenner et al., 2017; Cicoira et al., 2019) is considered when the velocity classes of moving areas assigned during an observation time window of a few months are converted to kinematic attributes of rock glaciers (the latter refer to a multi-annual validity time frame, Table 2). However, the kinematic information might still be overestimated in cases where the rock glacier undergoes a strong seasonal acceleration. Furthermore, due to observation time window of a few months (snow-free periods), local effects such as residual snow can further reduce the amount of available interferometric data. Coherent 6-days winter interferograms with Sentinel-1 could be used in the future to highlight the seasonal fluctuations (Strozzi et al., 2020).

In addition to the kinematic information, the high-quality optical data and the investigated connections of rock glaciers with other landforms (Fig. 10) provide useful information (Seppi et al., 2012; Necsoiu et al., 2016; Kääb et al., 2021; RGIK - baseline concepts, 2022;). For example, in glacier-connected and glacier-forefield connected landforms, the distinction between rock glaciers and debris-covered glaciers is sometimes complicated (Berger et al., 2004; Bosson and Lambiel, 2016; Bolch et al., 2019), and analyses of high-quality optical data help to discriminate the upper glacial part from the real lower

rock glacier part. In the Central Andes region – where the upslope connections are often unclear - the distinctions between rock glaciers and debris-covered glaciers have not always been possible. Furthermore, the identification of glacier-connected and glacier-forefield connected rock glaciers is important to interpret the kinematic information, because these landforms are frequently characterized by ice melting that induce subsidence in summer (Delaloye and Staub, 2016). The portion of rock glaciers potentially affected by consistent subsidence (i.e., glacier- and glacier-forefield upslope connected) is however lower than 13 % in the investigated regions, except in Northern Tien Shan region where it is around 45 %.

5.4 Kinematic analysis of produced moving areas and rock glaciers

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In the study region, a total of 5,077 moving areas were inventoried. These provide information on surface deformation associated to rock glacier activity. Application of manual and semi-automated methods has yielded some differences. We observe fragmented moving areas outlines that reflect the jagged edges of pixels (down to single-pixel moving areas) in Norway and Svalbard (Rouyet et al., 2021), whereas manually smoothed outlines characterize the moving areas in the other study regions. Clearly, moving areas obtained in the semi-automated method (based on averaged unwrapped interferograms) are not directly comparable with manual counterparts (based on visual inspection of wrapped interferograms). The choice between manual rather than semi-automated methods should be made according to the region extent and the available time, favoring semi-automated methods mainly for very large regions, where manual approaches take too much time.

Despite the slight differences detected in the moving area inventories, the kinematic attributes were successfully assigned to 3,666 rock glaciers, exploiting standardized rules to translate kinematic information from moving areas to rock glaciers. The main discrepancies are related to the absence of rock glaciers classified as relict in the Vanoise, Disko Island, Brooks Range, Northern Tien Shan, Central Andes, and Central Southern Alps regions (Fig. 7). Only Southern Venosta, Troms and Finnmark regions include a consistent number of rock glaciers without detectable movements. In Western Swiss Alps, relict rock glaciers were not mapped because the method used to produce the rock glacier inventory exclude the landforms without movement. Therefore, the completeness of the inventories used to identify rock glaciers is essential to obtain a thorough and comprehensive kinematic investigation. In Vanoise, Disko Island, Brooks Range, Northern Tien Shan, Central Andes, and Central Southern Alps regions relict rock glaciers were not classified because the mapping effort was placed only on faster moving landforms. The choice not to carry out analyses on rock glaciers without a clear signal of movement in these regions are due to two reasons. First, the aim of this approach is to implement the kinematic information on rock glaciers in an active state, without paying too much attention to the slowest landforms. Second, rock glaciers without movements or with slow movements are more difficult to investigate because the quality of the InSAR signal of yearly interferograms is generally lower (Touzi et al., 1999; Barboux et al., 2014; Bertone et al., 2019). Consequently, relict and slow-moving rock glaciers are more easily omitted or classified as undefined. For the above reasons, some compiled inventories only provide preliminary results, which still need improvement to be fully comprehensive. However, the use of InSAR data allowed to update the inventories, leading to a more accurate classification, especially for active and transitional landforms.

The results show a number of challenges that need to be addressed by the scientific community. For this reason, it is not possible to conduct detailed interpretations and comparisons between the investigated regions, which would require further investigations. However, we discuss some preliminary considerations below. We observed a large number of fast-moving rock glaciers (i.e., with kinematic attributes of "dm/yr to m/yr" and "m/yr or higher") in the Vanoise, Central Andes, and Northern Tien Shan regions (Fig. 9b). Higher velocity rates of rock glaciers in Northern Tien Shan and Central Andes than in the Alps have already been observed (Roer et al., 2005; Kääb et al., 2021). However, as already documented by other authors (Roer et al., 2008; Delaloye et al., 2010, 2013; Delaloye and Staub, 2016; Marcer et al., 2019; Seppi et al., 2019), there are also fast-moving rock glaciers situated on steep slopes in the Alps. In Troms, Finnmark and Nordenskiöld Land, the high number of slow-moving rock glaciers (i.e., "< cm/yr", "cm/yr" and "cm/yr to dm/yr") has also been observed in other studies (Rouyet et al., 2019; Lilleøren et al., 2022). In contrast, little attention had been paid to the dynamics and evolution of rock glaciers in Brooks Range, Disko Island, and Central Southern Alps regions (Calkin, 1987; Sattler et al., 2016). This work therefore presents first results on the kinematics of rock glaciers in these study areas.

The quality of the assigned kinematic information was evaluated according to recent work (Strozzi et al., 2020; Kääb et al., 2021) on thirty landforms in all the investigated regions, except for Southern Venosta, Finnmark and Disko Island (Table 3). With reference to the main objective of this work, that is assigning a robust and reproducible kinematic attribute to rock glaciers, our classification resulted being correct in most cases. The four landforms underestimated may be related to the limits of the InSAR technique, such as the LOS orientation explained above, which generates underestimation especially on north-and south-facing slopes. On the other hand, the two landforms overestimated may be related to the high seasonal variability of rock glaciers and the different observation time windows used to measure the movements between InSAR (i.e., summer) and the validation dataset (i.e., annual). As explained above, the seasonal variability is considered when the velocity classes of moving areas are converted to kinematic attributes of rock glaciers. However, if the rock glacier undergoes a strong seasonal acceleration, the assigned kinematic attributes might still be higher than the kinematic information available from the validation dataset.

5.5 Further application potential

This method holds potential for gaining new insight on rock glacier dynamics at a global scale. The spatial distribution of rock glaciers is frequently used as proxy for the past or present occurrence of permafrost (Haeberli, 1985; Boeckli et al., 2012; Schmid et al., 2015; Marcer et al., 2017), and the kinematics of these landforms can be used to derive indirect information about permafrost state. The methodology proposed in this work promotes the assignment of standardized kinematic attributes to rock glaciers, and therefore fosters the compilation of consistent information on permafrost at a global scale. Possible applications that will benefit from the proposed approach include the calibration of permafrost numerical models (Cremonese et al., 2011; Boeckli et al., 2012; Lilleøren et al., 2013; Schmid et al., 2015; Sattler et al., 2016; Marcer et.al., 2017; Westermann et al., 2017) and artificial intelligence algorithms for assessing rock glacier activity over large areas (Frauenfelder et al., 2008; Boeckli et al., 2012; Kofler et al., 2020; Robson et al., 2020). Furthermore, indirect information on ice content within rock

glaciers (Schmid et al., 2015; Marcer et al., 2017) may be used for water storage estimation (Bolch et al., 2009; Jones et al., 2018a).

The kinematic attributes assigned in this work are not intended for any monitoring purpose. In most cases, the kinematics of the rock glacier do not change much over the decades and a change by more than one kinematic class is unlikely to occur. Monitoring activities on rock glaciers are conducted using specific and more precise techniques (Delaloye et al., 2013; Fey and Krainer, 2020; Strozzi et al., 2020; Kääb et al., 2021). In this context, the approach here described can only support (i) the identification of sites to start monitoring activities and (ii) the large-scale assessment to identify rock glaciers that may be a source of natural hazard (Delaloye et al., 2013; Kummert et al., 2018).

6 Conclusions

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The method and the products presented here are the first results of an internationally coordinated work in which researchers from nine institutes applied common guidelines on eleven regions worldwide, using spaceborne interferometric synthetic aperture radar measurements, to systematically integrate kinematic information within rock glacier inventories. Periglacial regions with different environmental settings in both the Northern and Southern Hemisphere have been investigated. Despite the regional heterogeneity and the intensive manual effort, the definition and application of common rules to include a rock glacier kinematic attribute within inventories have been feasible. It was possible to assign kinematic information to a majority of the investigated rock glaciers. However, in some regions, a larger number of landforms need to be validated, and rock glaciers with slow movements or without movements require further investigation. The promising results derived from the application of the InSAR-based standardized procedure open up new possibilities for understanding rock glacier dynamics and the impact of climate change on permafrost degradation. Currently, these tasks are mainly based on in-situ measurements of a limited number of landforms. The compiled inventories, even if still preliminary, will provide valuable data for training, validation, and testing of artificial intelligence and numerical modelling algorithms on rock glaciers using satellite imagery. Further research – in both remote-sensing and fieldwork-based approaches – is needed to reduce the limitations associated with InSAR. Both the guidelines and the inventories still need improvement, and more advanced InSAR processing strategies such as multi-temporal interferometric approaches, or different remote sensing technologies such as feature tracking on optical airborne images could be applied. The lessons learned from the current study are critical in refining the proposed method and applying it widely to more regions.

Supplementary material

The supplementary material includes the description of study areas (A), the tables of the attributes assigned to the moving areas (Table S1) and rock glaciers (Table S2), examples of moving areas classification (Figure S1), detailed tables of the

moving area velocity classes (Table S3) and rock glacier kinematic attributes (Table S4), the description of the conducted validation (B) and examples of subjectivity observed (Figure S2, S3 and S4).

Data Availability

The produced inventories are available at https://www.unifr.ch/geo/geomorphology/en/research/cci-permafrost.html, last access: 12 October 2021.

Author Contributions

CB, RD, LR and TS designed the study and managed the project. AB analysed data and wrote the manuscript. CB, RD, LR and TS contributed to analyse data and wrote the manuscript. AB, CB, XB, TB, FB, RC, HC, MD, RD, BE, OH, CL, KL, GP, LR, LRu produced the inventories. TS and LR produced the interferometric data. All authors contributed to the writing of the final version of the manuscript.

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Competing interests

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest. Some authors are members of the editorial board of The Cryosphere. The peer-review process was guided by an independent editor.

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