# **Contribution of ground ice melting to the expansion of Selin Co (lake) on the Tibetan Plateau**

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- Abstract. Selin Co, located within permafrost regions surrounded by glaciers, has exhibited the greatest increase in water storage among all the lakes on the Tibetan Plateau over the last 50 years. Most of the increased lake water volume has been attributed to increased precipitation and the accelerated melting of glacier ice, but these processes are still not sufficient to achieveclose the water balancebudget with the expansion of Selin Co. Ground ice meltwater released by thawing permafrost due to continuous climate warming over the past several decades was regarded as another source of lake expansion. This study
- 20 presented the first attempt to quantify the water contribution of ground ice melting to the expansion of Selin Co by evaluating the ground surface deformation. We monitored the spatial distribution of surface deformation in the Selin Co basin using the SBAS-InSAR technique and compared the results with the findings of field surveys. Then, the ground ice meltwater volume in the watershed was calculated based on the cumulated settlement. Finally, this volume was compared with the lake volume change during the same
- 25 period, and the contribution ratio was derived. SBAS-InSAR monitoring during 2017–2020 illustrated widespread and large subsidence in the upstream section of the Zhajiazangbu subbasin, where widespread continuous permafrost is present. The terrain subsidence rate was normally between 5 and 20 mm/a, indicating rapid ground ice loss in the region. The ground ice melted water reachedwas released at a rate of <u>~</u>57.4×10<sup>6</sup> m<sup>3</sup>/a, and the rate of increase in lake water storage was <u>~</u>485×10<sup>6</sup> m<sup>3</sup>/a during the same period,
- 30 with ground ice meltwater contributing <u>11.8~12</u>% of the lake volume increase. This study is especially <u>helpful forcontributes to</u> explaining the rapid expansion of Selin Co and equilibrating the water balance at the watershed scale. More importantly, the proposed method can be easily extended to other watersheds underlain by permafrost and to help understand the hydrological changes in these watersheds.

Keywords: surface deformation, SBAS-InSAR, permafrost, ground ice, Selin Co, expansion

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#### 35 1. Introduction

within the scientific community.

More than 1200 lakes on the Tibetan Plateau (TP) span an area exceeding 1 km<sup>2</sup>, and the total lake area is greater than 46000 km<sup>2</sup> (Zhang et al., 2021b). The water in most of these lakes is more or less connected with widely distributed glaciers and permafrost. Recent studies have indicated that most of the lakes on the TP have manifested extensive changes (Qiao et al., 2019; Zhang et al., 2020). In particular, Selin Co (also known

as Siling Co, Serlin Co, and Serling CoCo is lake in Tibetan) exhibited the greatest increases in both lake area and water storage: its lake area expanded by ~40% from ~1700 km<sup>2</sup> in 1972 to ~2400 km<sup>2</sup> in 2020, and its water storage increased by 80% from 309.4×10<sup>8</sup> m<sup>3</sup> in 1972 to 558.4×10<sup>8</sup> m<sup>3</sup> in 2017 (Zhu et al., 2019b; Zhang et al., 2021b). Its lake area surpassed that of Nam Co in the early 2000s (Zhang et al., 2021b; Bian et al., 2010); consequently, and Selin Co is now the second largest saltwater lake in China. Such rapid changes
in Selin Co have significantly affected the regional environment and have thus attracted substantial interest

The entire Selin Co watershed covers a drainage area of  $4.4 \times 10^4$  km<sup>2</sup>, 18 times the lake surface. Accordingly, a lake water level increase  $\frac{1}{2}$  where  $\frac{1}{2}$  m/a corresponds to at least 1 cm/a of water collected uniformly over 50 the whole watershed area (neglecting evaporation). The entire watershed hosts 299 glaciers with a total area of 369.7 km<sup>2</sup> and ice reserves of 27.9 km<sup>3</sup> based on the second Chinese glacier inventory (Guo et al., 2015; Liu et al., 2012b); additionally, according to the new estimation by and an ice volume of 21.8 km<sup>3</sup> (Farinotti et al., 2019), the glacier volume reaches 21.8 km<sup>3</sup> in the watershed. (Zou et al., 2017). Zou et al. (2017) mapped the permafrost distribution on the Qinghai-Tibet Plateau (QTP) based on freezing and thawing 55 indices from Moderate Resolution Imaging Spectroradiometer (MODIS) land surface temperatures and validated their map using various ground-based datasets; according to this permafrost map, the permafrost area covers  $\sim 1.3 \times 10^4$  km<sup>2</sup>, accounting for 30.2% of the watershed. Continuous permafrost and seasonally frozen ground exist, with the former being widespread mainly in the northern part of the watershed (Zou et al., 2017). (Zhao and Sheng, 2019) Zhao and Sheng (2019) estimated the ground ice storage on the QTP based 60 on the ice content distribution characteristics from 164 drill core records deeper than 15 m, the above permafrost distribution map, a map of the Quaternary sedimentary types, and a permafrost thickness map; based on their map, the ground ice volume in the watershed reaches 132.3 km<sup>3</sup> (Zhao and Sheng, 2019), approximately five times the glacier ice volume in the Selin Co watershed.

- To reveal the potential reasons for the abovementioned rapid expansion of lakes on the TP, an accurate estimation of the basin water balance is urgently needed (Lei et al., 2014; Zhang et al., 2017; Li et al., 2014; Song et al., 2014). Glacial meltwater, the thawing of permafrost, precipitation (including snow) and changes in evapotranspiration all contribute to lake recharge. A model simulation of endorheic basins on the TP showed that increased net precipitation contributed the majority of the water supply (~70%) to the increased
- 10 lake volume (Zhang et al., 2017). In addition, recent research has revealed that glacial meltwater has contributed ~ 10% of the total water input to Selin Co since the 1970s (Lei et al., 2013; Tong et al., 2016;

Brun et al., 2020; Zhang et al., 2021a). The weakening of lake evaporation during 1972–2010 due to decreasing wind speeds also contributed to the accelerated expansion of Selin Co to some extent, but this contribution was reported to be very small (Guo et al., 2019).

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Significant permafrost degradation has been observed on the TP under the impacts of the warming climate. The monitoring of ten boreholes on the TP revealed that from 1981 to 2018, the active layer thickened at an average rate of 19.5 cm per decade; moreover, this thickening trend has been accelerating in recent years (Zhao et al., 2020). In the meantime, different permafrost regions across the TP experienced thaw settlements

(Daout et al., 2017; Chen et al., 2022). The ice content within the uppermost layer of permafrost is typically higher than the saturated water content after this permafrost layer thaws; hence, the thawing of this layer might result in terrain settlement (Streletskiy et al., 2016; Shiklomanov et al., 2013; Günther et al., 2015; Lantuit and Pollard, 2008; Kokelj and Jorgenson, 2013). The terrain settlement was attributed to the melting of ground ice from the ice-rich permafrost layer just below the permafrost table and the further release of this water into the hydrological cycle (Zhao et al., 2019).

The melting of ground ice released water into the hydrological cycle (Zhao et al., 2019; Jin et al., 2022), hence permafrost degradation might be a possible source of the water that is causing lakes to expand (Li et al., 2014), but this contribution has yet to be quantified. By separating hydrographs using isotopes, some researchers calculated the contributions of meltwater from ground ice to surface water runoff and found that the contribution ranged from 13.2% to 16.7% in the source region of the Yellow River (Yang et al., 2019), and that to nearby thermokarst lakes in the Beiluhe region reached  $\simeq 61.3\%$  (Yang et al., 2016). The amount of meltwater from permafrost degradation was also modeled by multiplying the active layer thickening rate and the average ground ice content (Zhang et al., 2017).

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Interferometric synthetic aperture radar (InSAR) analysis can exploit the phase changes in SAR signals to determine relative surface displacements on the order of millimeters to centimeters. Accordingly, InSAR monitoring can detect the terrain subsidence triggered by the thawing of ice-rich permafrost, e.g., (Daout et al., 2020; Zwieback and Meyer, 2021; Chen et al., 2018; Lu et al., 2020; Liu et al., 2012a). (e.g., Daout et al., 2020; Zwieback and Meyer, 2021; Chen et al., 2018; Lu et al., 2020; Liu et al., 2012a). Permafrost regions with higher ground ice contents have been shown to produce greater terrain subsidence on the TP (Wu et al., 2018; Daout et al., 2017; Chen et al., 2018). The subsidence rate is less than 5 mm/a in the northwestern TP, where the climate is cold and dry (Daout et al., 2017), while it is up to 30 mm/a on the ice-rich Eboling Mountain in the northeastern region of the TP (Chen et al., 2018).

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In this study, we conducted the first attempt to quantify the contribution of ground ice melting to the expansion of the Selin Co watershed through surface settlement. It is well known that the permafrost layer just below the permafrost table always contains ground ice higher than 50% in volume (Cheng, 1983; Mackay,

1983; French and Harbor, 2013; Zhao and Sheng, 2019). Therefore, we assumed that the amount of surface settlement would release the same amount of ground ice caused by compressing the thawing ice-rich permafrost layer. The spatial distribution of surface deformation was derived through InSAR analysis and compared with the findings of field surveys. Finally, the ground ice meltwater volume in the watershed was compared with the Selin Co volume increase, and the contribution ratio was determined.

#### 2. Study area and data resources

#### 115 **2.1 Study area**

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The Selin Co watershed, which is in the transitional zone between the Indian monsoon and the westerlies over the TP, is characterized by a cold semiarid monsoon climate with a mean annual temperature of approximately 0°C and average precipitation of ~350 mm (Tong et al., 2016). From 1979 to 2017, the average annual temperature increased at a rate of 0.049°C/a, and the average annual precipitation increased at a rate of 4.65 mm/a, with the main increase occurring after the mid-1990s (Zhu et al., 2019b).

This watershed basin extends over a total area of approximately  $4.4 \times 10^4$  km<sup>2</sup>, and the rivers and lakes within the basin are connected, forming an inland lake group. Ngoin Co is located south of Selin Co, while Wuru Co & Qiagui Co are located west of Selin Co; their locations are marked as (2) and (3), respectively, in Fig.

- 125 1. The main rivers draining into the lake are the Zhajiazangbu (from the north), the Zhagenzangbu and Alizangbu (from the west), and the Boquzangbu (from the east) (Tong et al., 2016), as shown in Fig. 1. The details of the four major subbasins and the inflows of the runoff from these rivers into the lake are listed in Table 1. The Zhajiazangbu river, with a length of 409 km, originates from the Tanggula Mountains and enters Selin Co from the north. Widespread continuous permafrost occurs mainly in the northern part of the basin,
- 130 whereas sporadic permafrost and seasonally frozen ground are found in the central and southern parts of the watershed. Among the four subbasins, the Zhajiazangbu has the largest permafrost distribution (10667 km<sup>2</sup> or 66.2% of the subbasin area), followed by the Zhagenzangbu (1967 km<sup>2</sup> or 12.3% of the subbasin area) (Zou et al., 2017).

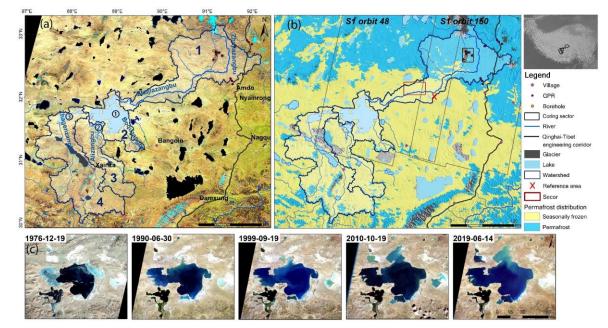


Figure 1 Study area. The base map in Fig. 1(a) is a Landsat 8 image acquired in October 2020 (red: SWIR1, green: NIR, blue: red). The base map in Fig. 1(b) is a permafrost map (Zou et al., 2017); the hillshade is calculated using a 1-arcsec SRTM DEM with the Sentinel-1 incidence angle and azimuth angle. The grey dashed lines delineate two tracks of Sentinel-1 used in this study. The red cross marks the position of our InSAR reference point. The locations of the GPR surveys and boreholes are shown with dots. Fig. 1(c) shows the lake areas from 1976 to 2019 on Landsat optical images.

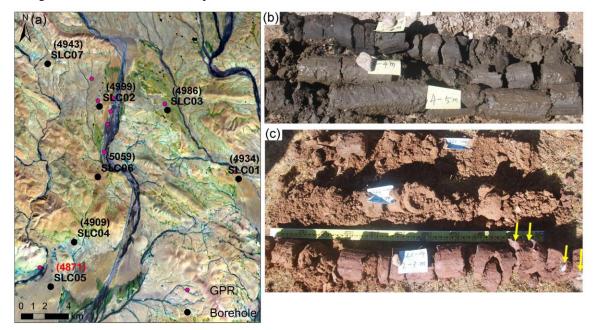
Table 1 Characteristics of the Selin Co basin. The glacier coverage information is from the second Chi	<u>nese glacier</u>
inventory (Guo et al., 2015; Liu et al., 2012b), and the permafrost coverage information is from Zou e	<u>t al. (2017).</u>

Basin		Area (km²)	Average elevation	<u>Glacier</u>	<u>coverage</u>	frost coverage	ige		
			(m a.s.l.)	Area (km <sup>2</sup> )	Percentage of subbasin area (%)	Percentage of the entire basin area (%)	<u>Area</u> (km <sup>2</sup> )	Percentage of subbasin area (%)	Percentage of the entire basin area (%)
1	Zhajiazangbu subbasin	16112	4963	<u>274.5</u>	<u>1.7</u>	<u>0.6</u>	10667	66.2	24
2	Boquzangbu subbasin	5474	4651	<u>0</u>	<u>0.0</u>	<u>0.0</u>	124	2.3	0.3
3	Alizangbu subbasin	6831	4951	<u>0</u>	<u>0.0</u>	<u>0.0</u>	646	9.5	1.5
4	Zhagenzangbu subbasin	16019	5022	<u>95.2</u>	<u>0.6</u>	<u>0.2</u>	1967	12.3	4.4
	Entire basin	44437	4944	369.7		0.8	13404		30.2

# 145 **2.2 Field data**

We conducted a field investigation of the permafrost in the study area in October 2019. Seven boreholes deeper than 20 m were drilled, and ground-penetrating radar (GPR) surveys were carried out in the upstream section of the Zhajiazangbu subbasin (locations are marked in Fig. 1). The survey was performed at the end of the thawing period, allowing us to estimate the maximum thawing depth and the location of the permafrost

- 150 table. The permafrost table was estimated from the cores of the boreholes, and the development of ground ice was described as well. Descriptions of the boreholes are provided in Table 2, and field photographs of the cores at borehole sites SLC01 and SLC04 are shown in Fig. 2.
- Twenty 50-m-long GPR profiles were carried out within or around the catchment, fourteen of which were within the basin. Based on the fourteen GPR profiles carried out within the basin, the permafrost table was at a maximum depth of 4.1 m, a minimum depth of 2.0 m, and an average depth of 3.2 m. The volumetric soil water content in the active layer ranged from a maximum of 46.4% to a minimum of 14.7%, with an average of 22.6% based on our interpretation of the GPR data.



- 160 Figure 2 (a) Locations of surveyed sites. The base map is Landsat 8 image acquired in October 2020. For borehole sites, their elevations (m a.s.l.) are labeled in brackets. Site SLC05 has no permafrost. Cores from borehole SLC01 at depths of 2–5 m (a) and SLC04 at depths of 0–3 m (b). Ice blocks were found in SLC04 at a depth of 2.4 m, as indicated by the arrows in (b).
- 165 Table 2 Borehole properties.

Site	Permafrost	Permafrost Topography Surface		Development of ground ice
	table depth			
	( <b>m</b> )			
SLC01	3.0	floodplain	alpine meadow	Not found

			veg coverage: 40%,	
			surface soil is sandy, dry	
SLC02	3.6	river terrace	degraded alpine steppe,	ice at depths of 3.6–5.5 m
			sparse vegetation,	
			surface soil is sandy, dry	
SLC03	3.0	river valley	alpine swamp meadow,	ice at 2.4-3.0 m, large amounts
			veg coverage: 90%	of liquid water found at 3.0-4.5
			high water content in the	m, ice lenses at 4.6-5.1 m
			active layer	
SLC04	< 2.4	river valley terrace,	alpine swamp meadows	ice at 2.4 m, pure ice layer (~3
		approximately 500 m	veg coverage: 90%	cm) at 4.2–4.3 m, ice lenses at 6–
		away from the river		7.7 m
SLC05	N/A	river valley in between	alpine steppe	not found
	seasonally	two rivers	veg coverage: 30%,	
	frozen ground		surface soil is sandy and	
			contains abundant gravel	
SLC06	unclear	top of slope	alpine meadow	ice at 6–7.8 m
			veg coverage: 50%,	
			surface soil is sandy and	
			contains abundant gravel	
SLC07	unclear	middle of a very gentle	degraded alpine meadow,	liquid water found at 2.5-6.5 m,
		slope	very sparse veg	a large amount found at 2.5–3.5
			surface soil is sandy and	m
			contains abundant gravel,	
			severely salinized	

## 2.3 Sentinel-1 SAR images

Sentinel-1 (S1) C-band SAR images (https://scihub.copernicus.eu/) were used to monitor the surface deformation. S1, a constellation comprising two satellites launched in 2014 (S1A) and 2016 (S1B) that orbit the Earth, is a C-band SAR mission that was developed and is operated by the European Space Agency (ESA)

as part of the Copernicus program. VV-polarized Level 1 single look complex (SLC) images acquired in interferometric wide-swath (IW) mode in descending orbit were used in the study. The study area is covered by two orbits of S1 acquisitions, the details of which are shownlisted in Appendix Table 3A1. In total, 95 acquisition dates for descending orbit 48 and 100 acquisition dates for descending orbit 150 from September
2017 to December 2020 were processed.

Table 3-Information on the S1 images processed to monitor the surface deformation.

Orbit 48	Orbit 150

Frame	<del>489, 484, 479</del>	<del>490, 485, 480</del>
Incidence angle range (degrees)	<del>31.85 46.32</del>	31.57 46.27
Acquisition period	<del>20170911 to 20201224</del>	<del>20170905 to 20201230</del>
Number of acquisition dates	<del>95</del>	<del>100</del>

# 2.4 SRTM DEM, ICESat-2 dataset, and Landsat 8 Operational Land Imager (OLI) images

180 A 1-arcsecond grid (~30-m) Shuttle Radar Topographic Mission (SRTM) digital elevation model (DEM) was used to calculate the slope, and-remove the topographic phase and implement geocoding during InSAR processing.

2.5 ICESat 2 dataset

Laser altimetry data from the ICESat-2 ATL13 product (https://nsidc.org/data/atl13) were applied to extract the water surface elevations of the lakes.

2.6 Landsat 8 Operational Land Imager (OLI) images

Optical Landsat 8 OLI images (https://glovis.usgs.gov/) were used to detect changes in the lake extents. Cloud-free images acquired during autumn or summer were selected, and the acquisition date and path/row of each image are listed in <u>Appendix</u> Table 4<u>A2</u>.

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#### Table 4-Information on the Landsat 8 images used.

Lake	<del>2015</del>	<del>2016</del>	<del>2017</del>	<del>2018</del>	<del>2019</del>	<del>2020</del>
Selin Co	<del>p140r037</del>	<del>p139r038</del>	<del>p139r038</del>	<del>p139r038</del>	<del>p139r038</del>	<del>p139r038</del>
	<del>D20151117</del>	<del>D20161112</del>	<del>D20170928</del>	<del>D20181001</del>	<del>D20190614</del>	<del>D20201006</del>
Ngoin Co	<del>p140r038</del>	<del>p139r038</del>	<del>p139r038</del>	<del>p140r038</del>	<del>p140r038</del>	<del>p140r038</del>
	<del>D20150930</del>	<del>D20161112</del>	<del>D20170928</del>	<del>D20181109</del>	<del>D20190925</del>	<del>D20201013</del>
Wuru Co &	<del>p140r038</del>	<del>p140r038</del>	<del>p140r038</del>	<del>p140r038</del>	<del>p140r038</del>	<del>p140r038</del>
<del>Qiagui Co</del>	<del>D20150930</del>	D20161103	<del>D20171005</del>	D20181109	D20190925	D20201013

"p" denotes the path, "r" denotes the row of the frame, and "D" denotes the acquisition date.

#### **3 Methodology**

#### 3.1 Workflow

195 An overview of the methodology employed in this study is illustrated in Fig. 3. The main steps are summarized as i) retrieval of lake water storage changes, ii) retrieval of deformation time series, iii) estimation of the ground ice meltwater volume from the long-term deformation rate, and iv) calculation of the ratio of the water volume contributed by permafrost ground ice melting to the increase in lake water storage. The processing steps are described in detail in the following subsections.

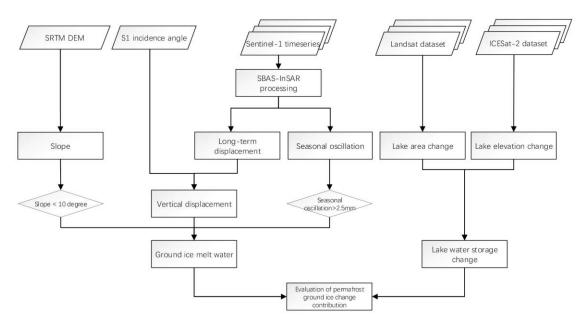


Figure 3 Workflow of estimating the water contribution by permafrost ground ice melting to the expansion of Selin Co.

## 205 **3.2 Lake water storage changes**

First, we retrieved water surface elevations through the ICESat-2 ATL13 laser altimetry product by extracting data values within each lake's area from 13 October 2018 to the end of 2020. For each year, the mean annual elevation was calculated from all data acquisitions during the year. The lake water level change rate was then estimated by a linear trend model.

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Then, we extracted the lake area extent using Landsat 8 OLI images in 2018, 2019, and 2020. Three bands (NIR, SWIR1, and SWIR2) were stacked to extract the lake area because lake water has extremely low reflectance in these bands and thus is easily separated from other land cover types. K-means clustering was then applied to classify the land cover types in the stacked image into two classes: water and other. After that, a  $3\times3$  window size majority filtering was applied as a postprocessing step, and we then converted the classified image into a shapefile and eliminated the spots outside the inlet and outlets of the lakes. The lake areas in 2015, 2016 and 2017 were obtained from a previous study (Zhang, 2019); we also modified the lakes

to have the same inlets and outlets. For lakes with irregular areas, their storage was approximately calculated according to the volume of a circular platform; thus, the change in the volume of the lake was calculated

from the difference between the volumes of two circular platforms (Zhang et al., 2019a), as described in Eq. (1):

$$\Delta V = \frac{1}{3} (H_2 - H_1) \times \left( S_1 + S_2 + \sqrt{S_1 \times S_2} \right) \tag{1}$$

where  $\Delta V$  is the change in lake water storage,  $S_1$  and  $S_2$  represent the lake areas during two periods (e.g., the lake areas in 2018 and 2020), and  $(H_2 - H_1)$  represents the water surface elevation change between the two periods.

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## 3.3 Deformation monitoring

#### 3.3.1 SBAS-InSAR processing

In this study, we implemented the small baseline subset (SBAS) time series InSAR analysis (SBAS-InSAR) technique, which deploys multiple master datasets to minimize the effects of spatiotemporal decorrelation

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(Berardino et al., 2002; Lanari et al., 2004; Usai, 2003) by selecting interferograms with small spatial and temporal baselines. Thus, this technique is suitable for permafrost environments prone to strong spatiotemporal decorrelation.

The Selin Co basin is covered by two orbits of S1 images. We processed the SBAS-InSAR analysis individually for each orbit. SBAS-InSAR processing in this study contains three main steps: i) InSAR processing of the images, including interferogram network selection, coregistration, differential interferogram phase generation and phase unwrapping; ii) deformation time series estimation; and iii)

reference point refinement and geocoding.

The main processing steps are described in detail as follows:

i) InSAR processing

To overcome the decorrelation caused by changes in the permafrost landscape (e.g., freeze-thaw transitions and vegetation phenology), we generated interferograms with only short time intervals. The precision state vectors obtained from the ESA were applied to reduce the effects of inaccurate baselines. All the SLC images were coregistered to the stack reference of 20180807 acquisition for orbit 150 and 20180801 acquisition for

- orbit 48. After generating a coregistered stack of SLC images, interferograms were generated by each SAR image with its two sequential acquisitions. The temporal baselines of the individual interferograms ranged from 12 to 36 days, and the perpendicular baseline of all the interferometric pairs was < 200 m. Multilooking with 3 pixels in azimuth and 13 pixels in range was then performed to form a square pixel (~40 m) and reduce noise. To remove the topographic phase, The topographic phase was simulated using the SRTM DEM and subtracted from the interferogram. Next, we applied an adaptive spectral filter to produce differential interferograms. To unwrap the differential phase, the SNAPHU Minimum Cost Flow (MCF) phase unwrapping algorithm (Chen and Zebker, 2002) was applied. Two orbits covering an area of approximately 450 km×500 km were processed using ISCE software (https://github.com/isce-framework/isce2). To</li>
- facilitate the processing of data over such a large area, coregistration and conversion between radar coordinates and geometric coordinate systems were accelerated by the aid of a graphics processing unit (GPU) under the Compute Unified Device Architecture (CUDA) framework.
  - ii) Deformation time series estimation

In this step, the network of unwrapped interferograms was inverted to construct a timeline of line-of-sight

- (LOS) displacement maps. A multilook 2 by 2 was applied to interferograms to reduce dataset size. Before the network inversion, we applied unwrapping error corrections by bridging reliable regions (Zhang et al., 2019b). The interferograms, generated by each SAR image with its two sequential acquisitions, all have averaged interferometric coherence above 0.7. Appendix Fig. A1 presents an overview of the interferogram network and the averaged interferometric coherence. We applied a weighted least square (WLS) estimator to invert the network of interferograms into a time series. During the inversion, interferograms were weighted by the inverse of the phase variance of the whole interferogram (Zhang et al., 2019b). Different from some studies conducted in permafrost environments that presupposed deformation models to help solve the phase time series (Li et al., 2015; Chen et al., 2018), we did not preset any deformation and instead obtained the raw phase time series by minimizing the phase residual. The time series of LOS displacements are relative to the first scene of the datasets and spatially relative to the reference point.
- The reference point was first set by selecting pixels with extremely high temporal coherence greater than 0.99.
- After the raw phase time series were obtained, tropospheric delay correction, phase deramping, and
  topographic residual correction were applied. The tropospheric delay was estimated in the satellite LOS direction using European Centre for Medium-Range Weather Forecasts (ECMWF) Fifth-generation Reanalysis (ERA-5) data. The processing was conducted in PyAPS software (Jolivet et al., 2014). The phase ramps, which might be caused by residual tropospheric and ionospheric delays, were estimated by a 2-D quadratic model based on reliable pixels and removed from the displacement time series at each acquisition.
  280 The systematic topographic phase residuals caused by DEM errors were estimated based on the
- proportionality with the perpendicular baseline time series (Fattahi and Amelung, 2013). The processing described above was implemented by MintPy (Zhang et al., 2019b) (<u>https://github.com/insarlab/MintPy</u>).
- Two indicators evaluated the quality of unwrapped phases and inverted raw phase time series: the phase
  closure of interferogram triplets and temporal coherence. The phase unwrapping algorithms add<u>an</u> integer number of 2π phase jumps to recover the unwrapped phase. Interferometric phase noise and discontinuities among different coherent regions may lead to <u>the</u> wrong 2π jumps added to the phase field known as unwrapping error. Unwrapping errors can bias the estimated time series. For an interferogram triplet (Δφ<sup>ii</sup>, Δφ<sup>ik</sup> and Δφ<sup>ik</sup>), unwrapping errors introduce a nonzero integer component C<sub>int</sub><sup>ijk</sup> in the closure phase C<sup>ijk</sup>.
  290 Therefore, the number of interferogram triplets with nonzero integer ambiguity T<sub>int</sub> can be used to detect
  - $C^{ijk} = \Delta \varphi^{ij} + \Delta \varphi^{jk} \Delta \varphi^{ik} \tag{2}$

$$C_{int}^{ijk} = \frac{C^{ijk} - wrap(C^{ijk})}{2\pi}$$
(3)

$$T_{int} = \sum_{i=1}^{T} \left( C_{int}^{ijk}! = 0 \right)$$
(4)

unwrapping errors:

295 where  $\Delta \varphi^{ij}$ ,  $\Delta \varphi^{jk}$  and  $\Delta \varphi^{ik}$  are the three unwrapped interferometric phases generated from the SAR acquisitions at t<sub>i</sub>, t<sub>j</sub> and t<sub>k</sub>, respectively; wrap is an operator that wraps each input number into  $[-\pi, \pi)$ ; and T is the number of interferogram triplets. A triplet without unwrapping errors has  $C_{int}^{ijk} \equiv 0$ . The second index, temporal coherence, represents the consistency of the time series with the network of

$$300 \qquad \gamma_{\text{temp}} = \frac{1}{M} \left| H^T \exp\left[ j (\Delta \phi - A \hat{\phi}) \right] \right| \tag{5}$$

where (for N SAR images and M interferograms)  $\Delta \phi$  is the unwrapped interferometric phase; A is the  $M \times (N-1)$  design matrix indicating the acquisition pairs used for interferograms generation (consisting of -1, 0 and 1 for each row with -1 for the reference acquisition, 1 for the secondary acquisition and 0 for all other acquisitions (Berardino et al., 2002));  $\hat{\phi}$  denotes the estimated time series; H is an M×1 all-ones column vector; and i is the imaginary unit

305 vector; and j is the imaginary unit.

interferograms (Pepe and Lanari, 2006):

Temporal coherence varies from 0 to 1: pixels with values closer to 1 are considered reliable, whereas pixels with values closer to zero are considered unreliable. A threshold of 0.7 is recommended to be used for a dense network of interferograms. In this study, we used a threshold of 0.85; the pixels with temporal coherence below this threshold were masked from the final result.

310

# iii) Reference point refinement and geocoding

In the natural environment, exposed bedrock in flat terrain is normally selected as the reference point; however, because such exposed bedrock is scarce in the study area, we took great care in the selection of reference points. We selected a reference point near the boundary of Selin Co watershed not affected by

315 permafrost in dry and flat terrain. The residual phases from atmospheric distortions and tectonic movements on the TP are difficult to remove completely in such a large-scale extent. However, the effect could be reduced by setting the reference near the study area. The reference area was homogeneous and had interferometric coherence close to 1. Thus, we adjusted the displacements relative to this reference area; the location of the reference area is marked in Fig. 1. Finally, we geocoded the deformation time series to the 320 WGS84 coordinate system with a grid spacing of 0.0009°×0.0009° and then reprojected it onto the Albers equal area conic system with a 100 m×100 m grid size. To minimize the effects of extreme values, we also

#### 3.3.2 Extraction of periodic (seasonal) amplitudes and inter-annual deformation rates

applied a 3-size moving window filtering to the deformation time series.

325

The surface deformation in permafrost terrain exhibits the characteristics of both long-term linear deformation and seasonal oscillations (uplift in winter and spring and subsidence in summer and autumn) (Daout et al., 2017; Li et al., 2015). Therefore, we applied a sinusoidal (seasonal) function plus a linear (interannual) trend to the displacement time series of each image pixel using Eq. (6).

$$d(t) = \mathbf{a} \cdot t + A \cdot \sin\left(\frac{2\pi}{T} \cdot t + \varphi\right) + \mathbf{c}$$
(6)

where t is the time interval with respect to the first SAR image acquisition date, a is the long-term 330 deformation rate, A is the periodic (seasonal) amplitude, T is the period of the seasonal undulation (assumed to be one year),  $\varphi$  is the initial phase, and c is the residual term. a, A,  $\varphi$  and c are the coefficients to be determined. The peak-to-peak periodic (seasonal) deformation is twice the periodic amplitude of A. The seasonal amplitude shown in this work refers to the amount of A, not the peak-to-peak deformation amount of 2A.

335

For each orbit, we extracted the LOS periodic (seasonal) amplitude and long-term deformation rate pixel by pixel from the deformation time series and then mosaiced the results from the two orbits together. The spatial grids of the incidence angles from the two orbits were mosaiced as well.

## 3.3.3 Deformation from the LOS direction to the vertical direction

340 For flat terrain, deformation is caused mainly by freeze-thaw cycles within the permafrost layer and occurs predominantly in the vertical direction. Hence, assuming no horizontal displacement, the observed deformation in the line of the sight (LOS) direction was converted into the vertical direction using Eq. (7) by dividing the deformation value by the cosine of the incidence angle (the incidence angle ranges of orbit 48 and orbit 150 of the S1 satellites are listed in <u>Appendix</u> Table <u>4A1</u>):

$$345 \qquad d_{\nu} = d_{LOS}/\cos\left(\theta\right) \tag{7}$$

where  $d_{LOS}$  is the observed deformation along the LOS direction,  $d_v$  is the deformation in the vertical direction, and  $\theta$  is the incidence angle of the sensor.

#### 3.4 Conversion from surface deformation into ground ice meltwater contributions

A considerable amount of ground ice is always buried in permafrost regions, especially just below the
permafrost table (Cheng, 1983; Mackay, 1983; French and Harbor, 2013). Thawing of the uppermost
permafrost layer is always accompanied by the compaction of sediment and subsidence of the ground surface
due to the melting of super-saturated ground ice (French, 2017). Hence, the higher the ice content in
permafrost, the larger the surface subsidence occuroccurred as it was thawed. In this study, we assume that
the cumulated long-term settlement is equal to the thickness of ground ice melted, and then releasereleased
to the hydrological cycle. We converted the deformation rate into the ground ice meltwater via the following
steps:

i) Masking areas with slope angles >10°

On steep slopes, deformation occurs mainly as downslope movements <u>are</u> driven by gravity (Buckel et al., 2021; Reinosch et al., 2020); thus, we considered only areas with slope angles below 10°. This threshold of 10° was adopted based on previous works (Reinosch et al., 2020; Buckel et al., 2021).

ii) Masking areas with the LOS periodic (seasonal) amplitudes  $\leq 1.5$  mm or long-term velocities  $\leq 2.5$  mm/a These thresholds were similarly set based on previous works (Daout et al., 2017; Buckel et al., 2021) and field surveys. The periodic seasonal threshold was used to mask areas that were unaffected by permafrost

activity (because they do not experience periodic frost heave and thaw subsidence) but exhibited continuous

365 surface uplift or subsidence. Areas with periodic (seasonal) amplitudes below 1.5 mm and long-term (interannual) velocities less than 2.5 mm/a were likely unmoving considering distortions in the InSAR phase and DEM error.

iii) Conversion from surface deformation into ground ice meltwater

Selin Co is located in the lowest area of the watershed, and the water released from thawing permafrost eventually enters the lake. The long-term deformation represents the elevation changes produced by ground ice change. Using Eq. (8), by multiplying the grid cell size, we can obtain the ice volume change for each grid cell and then sum among all the cells to estimate the total ice volume contribution. Because the density of water is ~1.0 g/cm<sup>3</sup> and that of ice is ~0.91 g/cm<sup>3</sup>, the ice volume amount is then multiplied by a factor of 0.91 to convert to the corresponding water volume:

375  $\Delta V_{water} = 0.91 \cdot \Delta V_{ice} = 0.91 \cdot \sum \Delta h \cdot C_{grid} = 0.91 \cdot \sum d \cdot C_{grid}$ (8)

where  $\Delta V_{ice}$  is the change in the ground ice volume,  $\Delta h$  is the elevation change produced by the ice change,  $C_{grid}$  is the area of a grid cell, and  $d_v$  is the long-term deformation rate in the vertical direction.

## 4. Results

## 4.1 Lake water storage changes

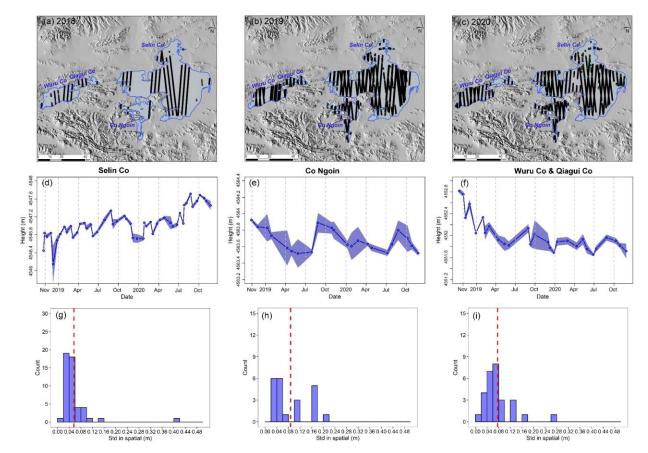
- We analyzed the changes in the lake water storage of three large lakes within the Selin Co watershed: Selin Co, Ngoin Co, and the combination of Wuru Co & Qiagui Co. Table 5 lists the surface extents of the investigated lakes on the Landsat acquisition dates. Selin Co expanded at a rate of 10.3 km<sup>2</sup>/a from 2015 to 2020. Fig. 4 illustrates the water surface elevation time series of the investigated lakes derived by ICESat-2 during October 2018 and 2020. The tracks of the elevation measurements in these years are plotted in Fig.
  During this period, the surface water increased at a rate of 0.2 m/a for 4(a-c). Table 3 lists the surface areas of the investigated lakes on the Landsat acquisition dates andSelin Co. Table 6 summarizes the averaged water surface elevation from each lake, considering all the elevation measurements within each year. Selin Co expanded at a rate of 10.3 km<sup>2</sup>/a from 2015 to 2020 and its surface water elevation increased at a rate of -0.2 m/a from 2018 to 2020.
- 390

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The tracks of the elevation measurements in these years are plotted in Fig.-2(a c). To calculate the changes in the lake water storage of Selin Co, Eq. (1) was applied taking the areas of 2408.1 km<sup>2</sup> in 2018 and 2441.2 km<sup>2</sup> in 2020 and taking the water surface elevation change of ~0.4 m between these two years; then, the change in lake volume from 2018 to 2020 was estimated, and finally, the annual volume change rate was obtained by dividing the results of these two years. The annual rate of change in the lake volume of Selin Co during 2018–2020 was ~485×10<sup>6</sup> m<sup>3</sup>/a.

Table 5 Lake areas from 2015 to 2020.

Lake	Area (km²)							
	2015	2016	2017	2018	2019	2020	Change	rate
							<del>(km²/a)</del>	
Selin-Co	<del>2398.7</del>	2377.1	<del>2393.8</del>	<del>2408.1</del>	2421.1	2441.2	<del>10.3</del>	
Co Ngoin	<del>276.6</del>	276.7	<del>281.8</del>	<del>281.6</del>	<del>285.2</del>	<del>284.1</del>	1.8	
Wuru Co & Qiagui Co	432.5	<del>437.2</del>	<del>432.5</del>	<del>452.5</del>	<u>447.2</u>	<del>443.0</del>	2.9	



400 Figure 4 Water surface elevations of the three lakes. Subfigures (a)-(c) show tracks of the elevation measurements for each year. Subfigures (d)-(f) show the ICESat-2 derived elevations. The solid lines indicate the average values of all elevation measurements within the lake on a given date. The light-colored areas show the mean ± one standard deviation. Subfigures (g)-(i) further show histograms of the standard deviations of the water surface elevation of the lake from all acquisition dates. The red dashed line indicates the mean value.

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Table 63 Lake <u>areas and surface water</u> elevations from . Lake elevations are 2018 to 2020, presented as the mean  $\pm$  one standard deviation of all elevation measurements of the lake within the year. The tracks of the ICESat-2 elevation measurements are shown in Fig. 4 (a)-(c).

Year	<u>Selin Co</u>		<u>Co Ngoin</u>		Wuru Co & Qiagui Co	
Lake	<u>Area (km²)</u>	Surface water	Area (km <sup>2</sup> )	Surface water	<u>Area (km²)</u>	Surface water
		elevation (m)		<u>elevation (m)</u>		elevation (m)
<u>2015</u>	<del>2018</del> 2398.7	<del>2019</del>	<del>2020</del> 276.6		<u>432.5</u>	

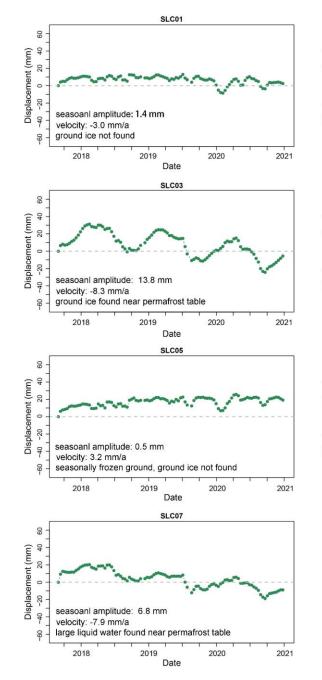
<del>Co<u>2020</u></del>	change rate:		change rate:	·····	change rate:	
<del>Wuru Co</del> <del>&amp; Qiagui</del>	<u>2441.2</u>	4 <del>552.51<u>4547.20</u> ±</del> 0.26	<u>284.1</u>	4 <del>551.97<u>4</u>563.63</del> ± 0. <del>20</del> 14	<u>443.0</u>	4551.87 ± 0.09
<del>Co<u>2019</u></del>		0. <del>05<u>17</u></del>				0. <u>1420</u>
Ngoin	<u>2421.1</u>	4 <del>563.86</del> 4546.97 ±	<u>285.2</u>	4563.74 ± 0.20	<u>447.2</u>	4 <del>563.63</del> 4551.97 ±
Co				0. <del>17<u>05</u></del>		0.26
<u>2018</u> Selin	<u>2408.1</u>	$4546.72 \pm 0.10$	<u>281.6</u>	4546.97 <u>4563.86</u> ±	<u>452.5</u>	4547.20 <u>4552.51</u> ±
<u>2017</u>	<u>2393.8</u>		281.8		<u>432.5</u>	
<u>2016</u>	<u>2377.1</u>		276.7		<u>437.2</u>	

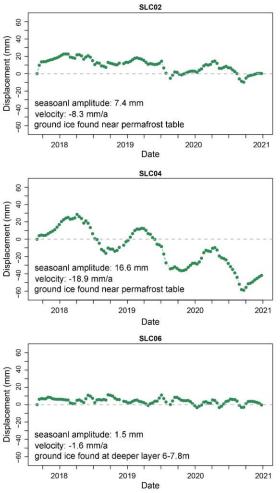
## 410 **4.2 Ground surface deformation**

# 4.2.1 Deformation at the borehole and GPR survey sites

The deformation time series at the seven borehole sites are shown in Fig. 5. The detected deformation properties are highly consistent with the field surveys. Sites SLC03 and SLC04 displayed the largest seasonal amplitudes of 13.8 mm and 16.6 mm, respectively; among all the borehole sites, these sites are the wettest and have the most developed vegetation cover, i.e., alpine swamp meadows with coverage higher than 90%.

- 415 and have the most developed vegetation cover, i.e., alpine swamp meadows with coverage higher than 90%. These seasonal amplitudes are, to a large extent, caused by the water–ice phase changes in the freeze–thaw cycle and are thus extremely sensitive to the water content in the active layer (Chen et al., 2020). Permafrost can hold more water in the active layer than seasonally frozen ground; this is why site SLC05 in seasonally frozen ground displayed the smallest seasonal oscillation of 0.5 mm. Excess ice was found in the SLC04
- 420 borehole; correspondingly, the largest subsidence velocity of 18.9 mm/a was observed at this site. Likewise, SLC02 and SLC03, in which ground ice was found, showed different levels of subsidence. Site SLC05, which is seasonally frozen and located in a river valley between two rivers, exhibited an uplift of 3.2 mm/a, which might be because of sedimentation.
- 425 We also examined the deformation characteristics at the GPR survey sites. Among the twenty GPR segments where permafrost existence was confirmed, the seasonal amplitudes were all larger than 2.0 mm, with a maximum amplitude of 16.2 mm and an average of 8.2 mm.





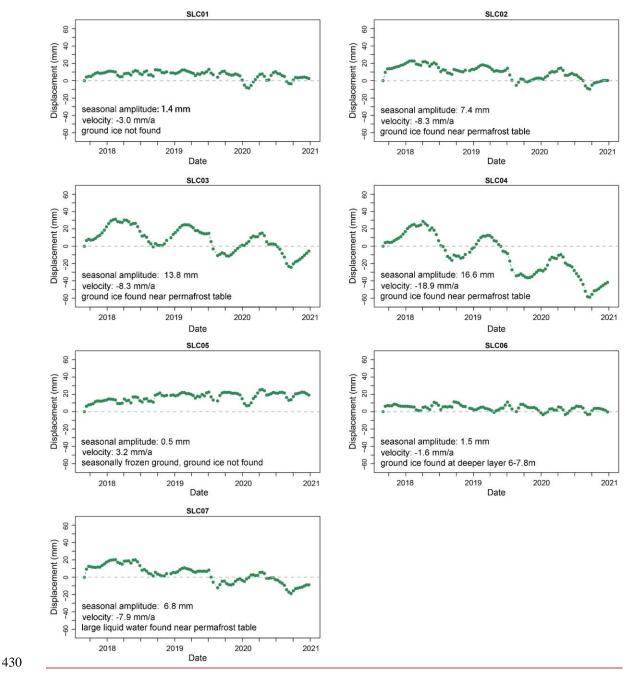
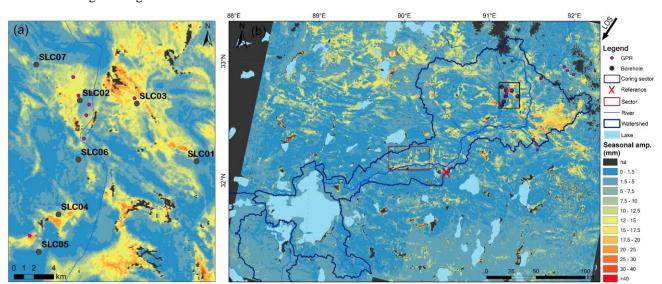


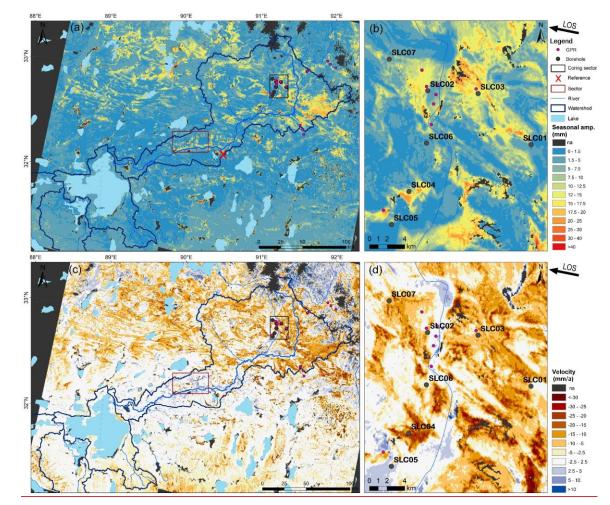
Figure 5 LOS deformation time series at the seven borehole sites. Positive values represent uplift<sub>a</sub> and negative values represent subsidence relative to the first scene of the S1 datasets.

## 4.2.2 Spatial distributions of the seasonal amplitude and long-term deformation rate

Fig. 6 and shows Fig. 7 show the spatial distributions of the periodic (seasonal) amplitude and long-term (inter-annual) deformation rate, both of which are in accordance with the permafrost distribution map (Fig. 1). The boundary in Fig. 1 differentiating continuous permafrost from seasonally frozen ground exactly

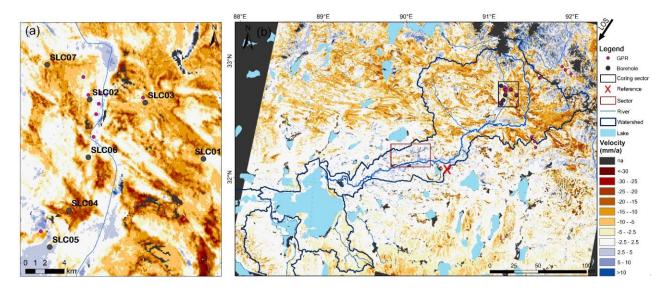
matches the boundaries observed foron the seasonal amplitudes in Fig. 6(a)(b) and the long-term deformation rates in Fig. 7-6(c)(d). As shown in Fig. 6–7, widespread and large subsidence and large seasonal amplitudes are located in the upstream portion of the Zhajiazangbu subbasin southeast of Mt. Geladandong, where widespread continuous permafrost is present. Appendix Fig. A3A2 provides the deformation map covering the field investigation region.





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Figure 6 Map(a)(b) maps of the periodic (seasonal) amplitude, (c)(d) maps of inter-annual deformation velocity, all in the satellite LOS direction. SubfigureSubfigures (b) shows)(d) show an enlarged view of the coring area (black sector in subfiguresubfigures (a)(c)). Dark grey colored "na" means the information could not be retrieved because of no data or decorrelation.



- 450 Figure 7 Map of the inter-annual deformation velocity in the satellite LOS direction. Subfigure (b) shows an enlarged view of the coring area (black sector in subfigure (a)). Dark grey colored "na" means the information could not be retrieved because of no data or decorrelation.
- Fig. 87 shows a density plot of the seasonal amplitude versus the deformation rate. Subsidence in the Selin
  Co watershed was normally between 5 and 20 mm/a (see the statistical details in Table 84) but reached 50 mm/a in certain regions, reflecting highly excessive volumes of ice and rapid ice loss in this region. The seasonal amplitude ranged between 0 mm and 60 mm within the watershed area. In the Zhajiazangbu subbasin with extensive permafrost, among the areas with deformation rates greater than 2.5 mm/a, 0.1% of them had seasonal amplitudes greater than 30 mm, 2.2% had amplitudes between 20 mm and 30 mm, 24.1% had amplitudes between 10 mm and 20 mm, 23% had amplitudes between 5 mm and 10 mm, and 50.6% had amplitudes of less than 5 mm; overall, the average seasonal amplitude was 6.9 mm.

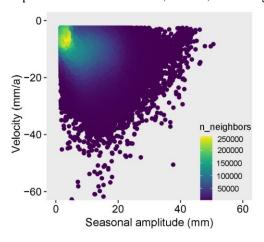


Figure 87 Distribution of the LOS seasonal amplitude versus the LOS deformation velocity within the Selin Co watershed, in all  $6.57 \times 10^5$  valid pixels.

## 465

Table 84 Characteristics of terrain subsidence in the Selin Co basin.

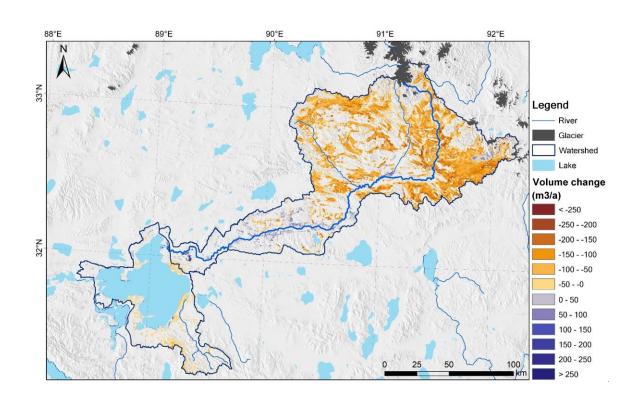
Basin	Average	Percentage of subsidence (%)					
	( <b>mm</b> )	-5 ~ -2.5	-10 ~ -5	-20 ~ -10	-30 ~ -20	< -30 mm	
		mm	mm	mm	mm		
Zhajiazangbu	-10.6	13.8	36.7	44.3	4.9	0.3	
subbasin							
Boquzangbu	-5.3	61.8	30.3	7.5	0.4	0	
subbasin							
Overall	-10.3	16.3	36.3	42.3	4.7	0.3	

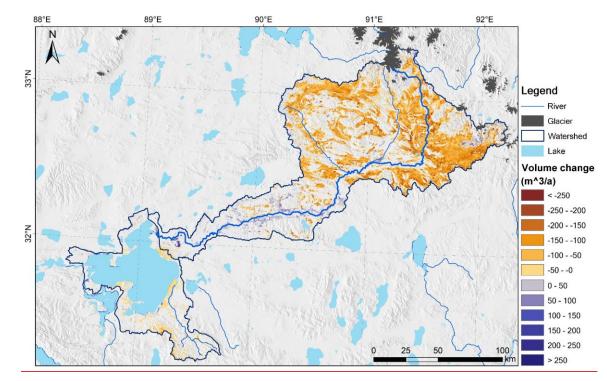
# 4.3 Water contributed by ground ice melting

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Fig. 98 shows a map of the potential volume of water contributed by changes in permafrost ground ice. Table 95 lists the statistics of the potential water contribution volume for the Selin Co basin. The main contribution comes from the Zhajiazangbu subbasin, where the permafrost coverage is 66.2%, whereas the contribution from the Boquzangbu subbasin is limited. The potential rate of ground ice meltwater generation was  $55.6 \times 10^6$  m<sup>3</sup>/a in the Zhajiazangbu subbasin and  $1.9 \times 10^6$  m<sup>3</sup>/a in the Boquzangbu subbasin, and the overall rate was  $57.4 \times 10^6$  m<sup>3</sup>/a. Compared to the rate of change in the lake water storage of Selin Co ( $485 \times 10^6$  m<sup>3</sup>/a), we obtained a ground ice meltwater contribution ratio of 11.8%. Converting the potential water contribution volume into the runoff depth within the watershed yields a 2.7 mm/a increase in the runoff depth.





480 Figure <u>98</u> Map of the potential ground ice melting water supply. The grid color represents the grid cell's yearly ground ice melting water supply volume, with negative values indicating ground ice loss and meltwater release.

Table <u>95</u> Yearly volume of ground ice meltwater contribution in the Selin Co watershed.

Basin	Water volume (10 <sup>6</sup> m <sup>3</sup> /a)	Runoff depth (mm)
Zhajiazangbu subbasin	55.6	3.5
Boquzangbu subbasin	1.9	0.3
Overall	57.4	2.7
Ratio of lake volume increasement	11.8%	

## 485 **4.4 Uncertainty analysis**

#### 4.4.1 Uncertainties and accuracies of lake volume changes

Fig. 4(d) clearly shows that the water surface elevation of Selin Co has increased gradually, although seasonal fluctuations are evident as well. Selin Co has 49 ICESat-2 acquisitions during the investigation period (Fig. 4(g)), and 69.4% of these acquisitions correspond to elevation measurements with a standard deviation

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smaller than 0.05 m within the lake. This means that the average values of all elevation measurements within the lake could represent the lake's elevation on a given date. To evaluate the accuracy of the resulting lake area extent, we validated the classification results by randomly selecting 1000 pixels from the Landsat images and visually examining the classification results. The classification accuracy reached approximately 0.98.

- 495 Our analysis shows that during the period of 2018 2020, the water level increased at a rate of ~0.2 m/a, the lake area increased at a rate of 10.3 km<sup>2</sup>/a, and the lake water storage increased at a rate of 485×10<sup>6</sup> m<sup>3</sup>/a. These values are compared with those recorded in previous studies in Fig. 10. Lake area change information are from (Zhu et al., 2019b; Qiao et al., 2019; Meng et al., 2012; Sun et al., 2020; Zhang et al., 2020; Deij et al., 2018); water level change information-are from (Meng et al., 2012; Lei et al., 2013; Doin et al., 2015; Sun et al., 2019; Zhang et al., 2019; Meng et al., 2019; Zhang et al., 2019; Analytic et al., 2019; Chang et al., 2019;
- volume information-are from (Zhu et al., 2019b; Qiao et al., 2019; Treichler et al., 2019; Sun et al., 2020;
   Zhang et al., 2013; Li et al., 2019). As illustrated in Fig.-10, the expansion of Selin Co was slow before 2000, with lake area and volume increases of 9.1 km<sup>2</sup>/a and 368×10<sup>6</sup> m<sup>3</sup>/a, respectively. Then, in the period of 2000–2005, the lake expanded extremely fast, with the water level increasing at an approximate rate of 1.0
   505 m/a and the lake area and volume increasing at rates of 60.7 km<sup>2</sup>/a and 1576×10<sup>6</sup> m<sup>3</sup>/a, respectively. After
- 2005, however, these rates of increase slowed down, with those of the lake area and lake water storage slowing to only 12.6 km<sup>2</sup>/a and 553×10<sup>6</sup> m<sup>3</sup>/a during 2005–2017, respectively, and the rate of increase in the water surface elevation slowing to 0.2 m/a during 2007–2011 (Doin et al., 2015). Overall, the values retrieved in this study are all within the ranges of the historical values and are closest to the values after the 2010s.

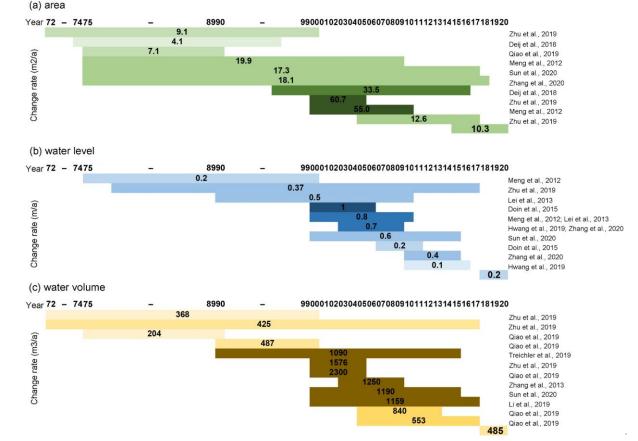


Figure 10 Comparisons of the changes in the lake area (subfigure a) water surface elevation (b) and lake volume (c) of Selin Co with historical values.

## 4.4.2 Uncertainties and accuracies of deformation

515 Two indicators evaluated the quality of unwrapped phases and inverted raw phase time series: the phase closure of interferogram triplets and temporal coherence. Fig. 149 shows the spatial distribution of the number of interferogram triplets with nonzero integer ambiguity  $T_{int}$  (Eq (4)), with the histogram illustrating the distribution of T<sub>int</sub> values within the Selin Co watershed excluding glaciers and water bodies. The areas having  $T_{int}$  smaller than three take part 95% of the watershed, while 72.3% of the watershed has  $T_{int}$  value 520 of zero (no wrapping error on all the interferograms). The  $T_{int}$  evaluated the quality of original

interferometric unwrapped phases, the unwrapping errors could be further reduced by bridging reliable

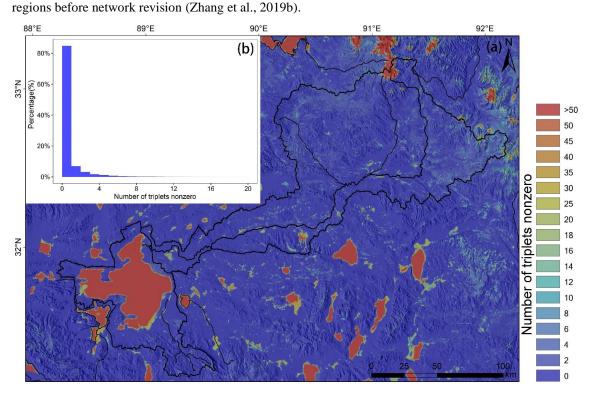


Figure  $\frac{119}{2}$  (a) Map of the number of interferogram triplets with nonzero integer ambiguity  $T_{int}$  (Eq(4)), (b) 525 histogram illustrating the distribution of T<sub>int</sub> values within the Selin Co watershed excluding glaciers and water bodies.

Fig. 1210 shows the spatial distribution of temporal coherence (Eq. (5)), which is used to evaluate the quality of raw phase time series. 99.0% of the watershed has temporal coherence higher than 0.8, 98.1% has temporal coherence higher than 0.85, 96.0% has temporal coherence higher than 0.9 and 89.1% has temporal coherence higher than 0.95.

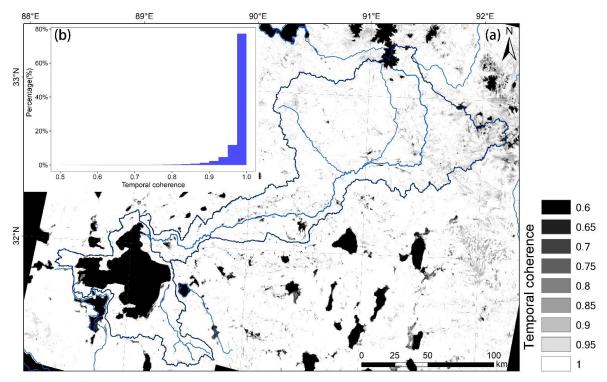


Figure  $\frac{1210}{12}$  (a) Map of temporal coherence (Eq(5)), (b) histogram illustrating the distribution of temporal coherence values within the Selin Co watershed excluding glaciers and water bodies.

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The Selin Co basin was covered by two orbits of S1 images: orbit 48 and orbit 150. Appendix Fig. A2A3 presents the amplitude and long-term velocity retrieved from orbit 48 and orbit 150, respectively. Fig. A4 shows the velocity distributions in the overlapping area. In the large overlapping area covering  $\frac{270250}{1000}$  km × 5550 km, the results from orbit 150 and orbit 48 reveal identical almost the same deformation characters, and value ranges (for long-term velocity  $-2.6 \pm 4.7$  mm/a for orbit 48 and  $-3.3 \pm 5.0$  mm/a for orbit 150). This confirms that the seasonal amplitudes and deformation rates extracted from the two orbits are robust even though the acquisitions from the two orbits are not the same (identical (Appendix Table <u>3A1</u>).

In addition, the deformation characteristics were compared with the observations from the boreholes, and 545 excellent agreement was achieved. We also validated the SBAS-InSAR-derived deformation (which has the same processing flow as applied in this study) with the in situ leveling measurements at the Wudaoliang site on the TP; the relative error was found to be 14.8% regarding the long-term deformation rate (Zhou et al., 2019). Furthermore, we are currently operating an automatic deformation monitoring device in the watershed, and it is expected to provide an independent validation reference.

#### 4.4.3 Uncertainties in the slope angle threshold in the estimation of ground ice meltwater

During the estimation process, we masked regions with slopes steeper than the threshold of  $10^{\circ}$ . We also tested the impact of setting slope thresholds of  $15^{\circ}$  and  $20^{\circ}$  on the results. When using the  $15^{\circ}$  or  $20^{\circ}$  threshold, the volume of meltwater released changed by less than 1%. Hence, the setting of the threshold does not greatly influence the final prediction.

5. Discussion

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## 5.1 Uplift displacement signal

- In addition to widespread subsidence detected in the upstream of Zhajiazangbu of the continuous permafrost environment, the uplift signal was also observed in the sporadic permafrost environment in the middle stream 560 of the Zhajiazangbu subbasin, also near some drained ponds. Fig. 1311 shows a sector (location marked in red rectangle in Fig. 1.6–7), in which both subsidence and uplift signals are detected. The mean annual air temperature is -2.0°C, calculated based on ERA5-Land air temperature hourly reanalysis data during 2017– 2020. For a better interpretation, Landsat optical image and elevation withoverlaid on hillshade were also presented. The spatial distribution pattern of deformation aligns with landscape and topography very well. 565 Large seasonal amplitude only appears in the vegetated and wet area, which indicates the water storage in the active layer in a certain way. Uplift signals are generally at the slope feet. Fig.  $\frac{1311}{(e)}$  (h) displays the deformation time series of four sites s1-s4 from high elevation to low elevation. Site s2 is stable, viewing from seasonal amplitude and long-term deformation velocity. The subsidence of site s3 is the result of ground ice melting, confirmed by the large periodic seasonal amplitude caused by frost heave and thaw subsidence 570 in the active layer. The uplift signals of site s1 and site 4 are worth exploring, especially site s4. Site s4 has
- a possibility of being related to ground ice aggradation since it also exhibits moderate seasonal amplitude, but it is more likely related to sediment accumulation or groundwater table rise regarding its location.

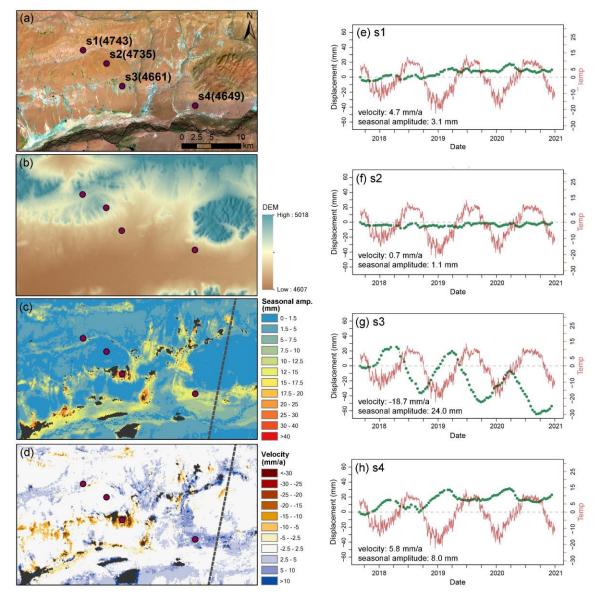


Figure 1311 Deformation characters. This sector is marked with the red rectangle in Fig. 1 and 7-8. (a) Landsat 8 575 image (red: SWIR1, green: NIR, blue: red). (b) DEM overlapped on the hillshade, which is calculated using DEM with the Sentinel-1 incidence angle and azimuth angle. (c)(d) are seasonal deformation amplitude and long-term deformation velocity, respectively. The grey dashed line delineates the track boundary of orbit 150. (e)-(h) are deformation time series of marked sites. The <u>elevetationsites' elevation</u> (m a.s.l.)-<u>of the sites</u> are labeled in brackets in subfigure (a). Air temperature in red color is from ERA5-Land air temperature reanalysis data. Deformations are in the LOS direction.

Previous research normally focuses on the thaw subsidence signal on the TP, and less attention has been given to the uplift signal. Some of the uplift signals might be related to deposition or the rise of the groundwater table. Some of the uplift signals might be caused by ground ice aggradation. A sufficient water supply accompanied by strong evaporation (cooling effect, energy is taken away) might facilitate the upward freezing of previously unfrozen (or seasonally frozen) sediment. Ground ice aggradation is slightly surprising

in the overall warming climate of the study area. However, the upward freezing of previously unfrozen (or

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seasonally frozen) sediment is still possible and may occur because of sediment accretion (e.g., deltaic and alluvial sedimentation) (French, 2017). A previous study (Daout et al., 2020) also detected a complex

- 590 deformation signal in the permafrost on the northeastern TP and hypothesized that the uplift deformation in lowland regions was caused by excess meltwater pooling, which triggered an increase in the segregation of ice near the permafrost table. On the TP, new permafrost forming is detected on the exposed bottom of Zonag Lake (Zhang et al., 2022). Thus, on the TP, it might be common for degradation and aggradation of ground ice to both occur in permafrost environments, with degradation representing the dominant pattern and
- 595 aggradation existing in local areas. Currently, most studies focus on permafrost subsidence signals, and few studies have studied permafrost ground ice aggradation and the causes of uplift signals in local environments. Nevertheless, the uplift signals in the permafrost environment on the TP are worthy of additional research, and further details on the Selin Co basin are expected to be unveiled and supplemented by the next field survey.

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# 5.2 Comparison of Selin Co's expansion during 2018–2020 with historical values

Our analysis shows that the lake area expanded at a rate of 10.3 km<sup>2</sup>/a during 2015–2020, the water level increased at a rate of  $\sim 0.2$  m/a, and the lake water storage increased at a rate of  $485 \times 10^6$  m<sup>3</sup>/a between 2018 and 2020. These values are compared with those recorded in previous studies in Fig. 12. Lake area change 605 information is from (Zhu et al., 2019b; Qiao et al., 2019; Meng et al., 2012; Sun et al., 2020; Zhang et al., 2020; Deij et al., 2018); water level change information is from (Meng et al., 2012; Lei et al., 2013; Doin et al., 2015; Zhang et al., 2013; Sun et al., 2020; Hwang et al., 2019; Zhang et al., 2020; Zhu et al., 2019a); and water volume information is from (Zhu et al., 2019b; Qiao et al., 2019; Treichler et al., 2019; Sun et al., 2020; Zhang et al., 2013; Li et al., 2019). As illustrated in Fig. 12, the expansion of Selin Co was slow before 2000, with lake area and volume increases of 9.1 km<sup>2</sup>/a and  $368 \times 10^6$  m<sup>3</sup>/a, respectively. Then, in the period 2000– 610 2005, the lake expanded extremely fast, with the water level increasing at an approximate rate of 1.0 m/a and the lake area and volume increasing at rates of 60.7 km<sup>2</sup>/a and  $1576 \times 10^6$  m<sup>3</sup>/a, respectively. After 2005, however, these rates of increase slowed down, with those of the lake area and lake water storage slowing to only 12.6 km<sup>2</sup>/a and 553×10<sup>6</sup> m<sup>3</sup>/a during 2005–2017, respectively, and the rate of increase in the water surface elevation slowing to 0.2 m/a during 2007-2011 (Doin et al., 2015). Overall, the values retrieved in 615

this study are all within the ranges of the historical values and are closest to the values after the 2010s.

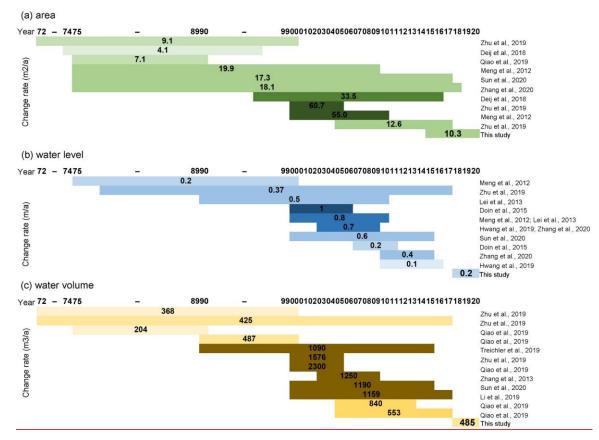


Figure 12 Comparisons of the changes in the lake area (subfigure a) water surface elevation (b) and lake volume (c) of Selin Co with historical values.

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# 5.23 Water contribution by permafrost ground ice melting in the Selin Co watershed

In this study, We assumed that the amount of surface settlement corresponds to the release of the same volume of ground ice as a result of compressing the thawing ice-rich permafrost layer and that the released water eventually enters Selin Co; the potential water contribution volume was estimated accordingly. However, the effect of permafrost degradation on the terrestrial water cycle is complicated (Ma et al., 2020). A gradually thickening active layer may retain more water in the soil layer; thus, endorheic basins may collect more water during the thawing season. Additionally, the increase in the active layer thickness due to the warming climate could lead to enhanced evaporation. Furthermore, in permafrost degradation, the rates of groundwater recharge

630 and discharge are expected to increase since the impermeable barrier provided by permafrost weakens. Ultimately, the melting of ground ice can lead to the infiltration of more surface water into groundwater aquifers in the basin, thereby increasing groundwater storage in the basin (Bense et al., 2012; Zhang et al., 2017). 635 Based on the detected deformation, we estimated that the volumetric rate of water being released due to the melting of ground ice could reach  $57.4 \times 10^6$  m<sup>3</sup>/a for the Zhajiazangbu and Boquzangbu subbasins. If the Alizangbu and Zhagenzangbu subbasins arewere included, the volume contributed by ground ice melting could be even larger. According to the permafrost distribution statistics (Table 1), the Zhajiazangbu and Boquzangbu subbasins together have a permafrost area of 10791 km<sup>2</sup>, while the other two subbasins, the 640 Alizangbu and Zhagenzangbu, have a combined permafrost area of only 2613 km<sup>2</sup>, approximately 25% of the permafrost area in the two northern subbasins. A map of the ground ice distribution on the TP (Zhao and Sheng, 2019) likewise illustrates that the ground ice volumes in the Alizangbu and Zhagenzangbu subbasins are small compared to that in the Zhajiazangbu subbasin. Moreover, the water released from ground ice melting does not directly supply Selin Co-in the Alizangbu and Zhagenzangbu subbasins does not directly 645 supply Selin Co; rather, this meltwater supplies Ngoin Co and Wuru Co & Qiagui Co first. In addition, during 2018–2020, the surface water level slightly dropped in Ngoin Co and Wuru Co & Qiagui Co (Fig. 4 and Table 53). Thus, the water supply from other lakes to Selin Co might be limited considering the circumstances stated above and thus was not incorporated into the calculation in the current study. In addition, there are some wet areas facing strong decorrelation (1.9% of the watershed area has temporal decorrelation smaller 650 than 0.85 and masked), where deformation information could not be retrieved. However, these areas usually experience very large subsidence. If taking into account of these areas, the ground ice meltwater contribution could be higher. If only the retrieved subsidence signal is considered, the ground ice meltwater volume contribution is  $57.4 \times 10^6$  m<sup>3</sup>/a, accounting for 11.8% of the lake volume increase. This result is consistent with a previous model simulation of the water supply of permafrost degradation to the lake volume increase 655 of the endorheic basins on the TP (Zhang et al., 2017), which estimated the water supply by multiplying the active layer thickening rate and the average ground ice content and revealed that permafrost degradation contributed ~12% of the water supply to the lake volume increase.

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Our three-year study period is relatively short compared to the continuous period of the growth of Selin Co from the 1970s to the present. The deformation rate and the lake water storage increasing rate in the other periods might be different from those in 2017-2020. C-band ERS, ENVISAT, and L-band ALOS PALSAR provide historical SAR data from the 1990s to the 2010s and will be processed and analyzed in a future study.

#### 6. Conclusions

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This is the first study to quantify the contribution of ground ice melting to the expansion of Selin Co. We monitored the surface deformation using the SBAS-InSAR technique over a large area of approximately 450 km×500 km across the Selin Co basin and then utilized the long-term deformation rates to estimate the potential volume of water being released via ground ice melting. Then, this amount was compared with the lake volume change during the same period, and the contribution ratio was derived. SBAS-InSAR monitoring during 2017–2020 exposed widespread and large subsidence in the upstream section of the Zhajiazangbu 670 subbasin, which is underlain by widespread, continuous permafrost. Subsidence normally occurred between

5 and 20 mm/a (78.6%), indicating highly excess ice and rapid ice loss in the region. During the same period, the lake water storage increased at a rate of approximately  $485 \times 10^6$  m<sup>3</sup>/a, and the potential rate at which water was being released due to ground ice melting reached  $57.4 \times 10^6$  m<sup>3</sup>/a, contributing 11.8% of the lake volume increase. The uplift signals in some lowland areas with a sufficient water supply and in drained ponds are worth noting, and more details will be revealed through future field surveys. This study is especially

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helpful for<u>contributes to</u> explaining the rapid expansion of Selin Co and equilibratingclosing the water balance at the watershed scale. More importantly, the proposed method can be-easily extended to other watersheds underlain by permafrost to help us better understand the hydrological changes in these watersheds.

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#### Data availability

685 Sentinel-1 Level 1 single-look complex (SLC) images can be accessed from the Alaska Satellite Facility (https://search.asf.alaska.edu/) or Copernicus Open Access Hub (https://scihub.copernicus.eu/). The lake area extents during 2015–2017 were from the datasets "The lakes larger than 1km<sup>2</sup> in Tibetan Plateau (V2.0)", provided by the National Tibetan Plateau Data Center.

## Author contribution

L. Wang and L. Zhao designed the study and wrote the manuscript. L. Zhao directed the project. L. Wang,
H. Zhou, S. Liu, and C. Li performed the surface deformation analysis; X. Li performed the lake water level analysis; E. Du conducted GPR survey and interpretation; L. Zhao, G. Liu, D. Zou, H. Zhou, Z. Sun, E. Du,
Y. Xiao, G. Hu, S. Liu, Z. Li, C. Wang, Y Qiao and T. Wu conducted the field works and borehole drillings.
L. Wang, L. Zhao, H. Zhou, S. Liu, D. Zou, G. Liu, E. Du interpretated interpreted and discussed the results.

#### 695 **Competing interests**

No conflict of interest.

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# Appendix

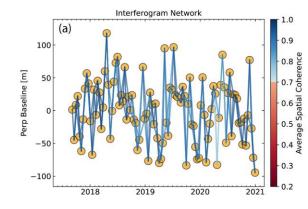
985 Table A1 Information on the S1 images processed to monitor the surface deformation.

	<u>Orbit 48</u>	<u>Orbit 150</u>
Frame	<u>489, 484, 479</u>	<u>490, 485, 480</u>
Incidence angle range (degrees)	<u>31.85–46.32</u>	31.57-46.27
Acquisition period	20170911 to 20201224	20170905 to 20201230
Number of acquisition dates	<u>95</u>	<u>100</u>

# Table A2 Information on the Landsat 8 images used.

Lake	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>	<u>2019</u>	<u>2020</u>
Selin Co	<u>p140r037</u>	<u>p139r038</u>	p139r038	<u>p139r038</u>	p139r038	<u>p139r038</u>
	D20151117	<u>D20161112</u>	D20170928	<u>D20181001</u>	D20190614	D20201006
<u>Ngoin Co</u>	<u>p140r038</u>	p139r038	p139r038	<u>p140r038</u>	p140r038	<u>p140r038</u>
	D20150930	<u>D20161112</u>	D20170928	<u>D20181109</u>	D20190925	<u>D20201013</u>
<u>Wuru Co &amp;</u>	<u>p140r038</u>	<u>p140r038</u>	<u>p140r038</u>	<u>p140r038</u>	p140r038	<u>p140r038</u>
<u>Qiagui Co</u>	<u>D20150930</u>	<u>D20161103</u>	<u>D20171005</u>	<u>D20181109</u>	<u>D20190925</u>	<u>D20201013</u>

"p" denotes the path, "r" denotes the row of the frame, and "D" denotes the acquisition date.



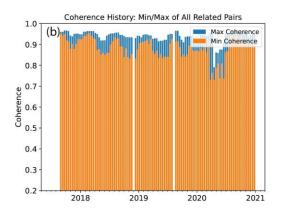


Fig. A1 (a) Network of interferograms for deformation time series estimation, color-coded by average coherence of the interferograms. Circles represent the acquisition dates, and lines represent the interferograms. (b) Average coherence of all related pairs for each SAR acquisition date.

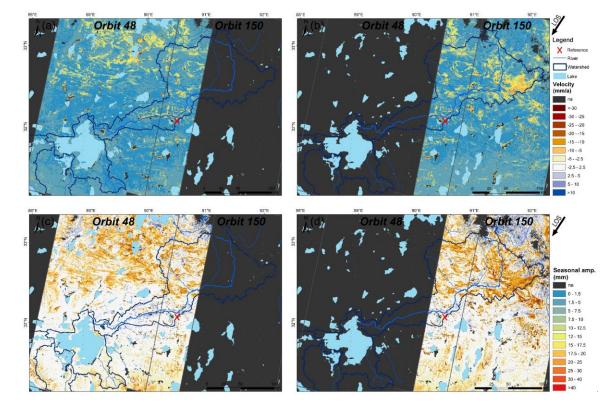
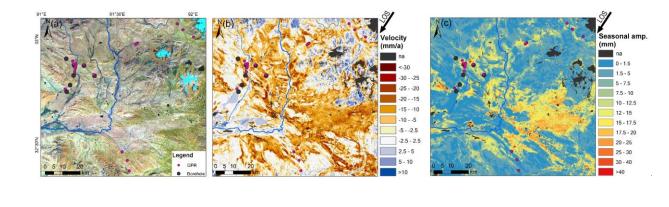


Fig. A2 Map of the periodic (seasonal) amplitude (a)(b) and long-term velocity (c)(d) retrieved from orbit 48 (a)(c) and orbit 150 (b)(d), respectively, all are in the satellite LOS direction.



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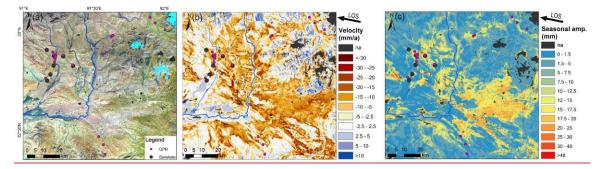
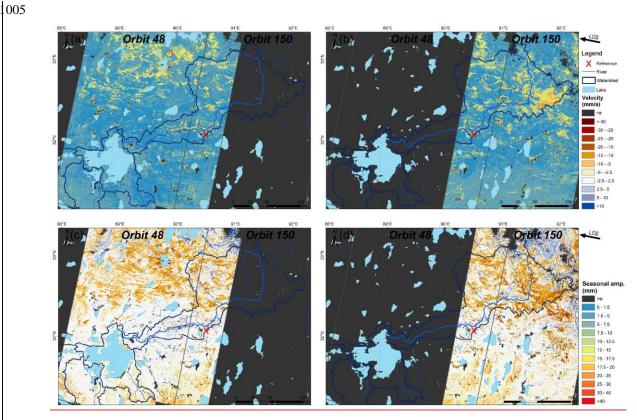


Fig. A2Fig. A3 Maps of the GPR and borehole regions, (a) Landsat 8 image acquired in October 2020 (red: SWIR1, green: NIR, blue: red), with GPR and borehole sites marked, (b) long-term deformation velocity, (c) seasonal deformation amplitude.



**<u>Fig.</u>** A3 Map of the periodic (seasonal) amplitude (a)(b) and long-term velocity (c)(d) retrieved from orbit 48 (a)(c) and orbit 150 (b)(d), respectively, all are in the satellite LOS direction.

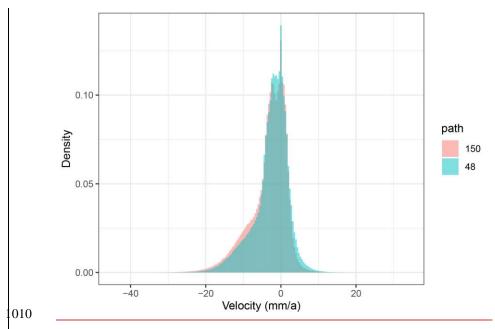


Fig. A4 The distribution of long-term velocity values in the satellite LOS direction from orbit 48 and orbit 150 in their overlapping area.