

An Evaluation of Antarctic Sea-Ice Thickness from the Global Ice-Ocean Modeling and Assimilation System based on In situ and Satellite Observations

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Abstract. Antarctic sea ice is an important component of the Earth system. However, its role in the Earth system is still unclear due to limited Antarctic sea-ice thickness (SIT) data. A reliable sea-ice reanalysis can be useful to study Antarctic SIT and its role in the Earth system. Among various Antarctic sea-ice reanalyses products, the Global Ice-Ocean Modeling and Assimilation System (GIOMAS) output is widely used in the researches of Antarctic sea ice. As more Antarctic SIT observations with quality control are released, a further evaluation of Antarctic SIT from GIOMAS is conducted in this study based on in situ and satellite observations. Generally, though only sea-ice concentration is assimilated, GIOMAS can basically reproduce the observed variability of sea-ice volume and its changes in the trend before and after 2013, indicating that GIOMAS is a good option to study the long-term variation of Antarctic sea ice. However, due to deficiencies in the model and asymmetric changes in SIT caused by assimilation, GIOMAS underestimates Antarctic SIT especially in deformed ice regions, which has an impact on not only the mean state of SIT but also the variability. Thus, besides the further development of the model, assimilating additional sea-ice observations (e.g., SIT and sea-ice drift) with advanced assimilation methods may be conducive to a more accurate estimation of Antarctic SIT.

1 Introduction

Antarctic sea ice plays an important role in the Earth system. Firstly, Antarctic sea ice can influence the Earth climate system. For instance, changes in Antarctic sea ice could affect freshwater flux of the

26 Southern Ocean that directly influences the stratification of the ocean (Goosse and Zunz, 2014; Haumann
27 et al., 2016). Besides, Antarctic sea ice acts as a protective buffer for Antarctic ice shelves, with the
28 thinning or absence of sea ice increasing the possibility of ice shelf disintegration (Robel, 2017; Massom
29 et al., 2018). Secondly, Antarctic sea ice has a significant impact on the biosphere of the Earth system.
30 Studies have shown that the variation of Antarctic sea-ice thickness (SIT) will affect the maximum
31 biomass of algae in different ice layers, which will influence the food web of the Southern Ocean
32 (Massom and Stammerjohn, 2010; Schultz, 2013). Thirdly, Antarctic sea ice has impacts on human
33 activities such as shipping and fishery management (Dahood et al., 2019; Mishra et al., 2021). Hence,
34 studies on Antarctic sea ice are of great scientific and socio-economic importance.

35 To truly understand changes in sea ice of the Southern Ocean, SIT is needed to estimate the sea-ice
36 volume (SIV), since it is through volume changes that sea ice has its greatest impact on the water column
37 (Maksym et al., 2012; Hobbs et al., 2016). Although changes in Antarctic sea-ice extent (SIE) have been
38 investigated extensively (Turner et al., 2015; Parkinson, 2019), they may not be a robust proxy of large-
39 scale changes in SIV as there are differences between the variation of SIV and SIE in some regions of
40 the Antarctic (e.g., Kurtz and Markus, 2012). Many studies related to Antarctic sea ice are limited by the
41 lack of reliable SIT data. For example, freshwater flux of the Southern Ocean, which affects the
42 stratification of ocean, cannot be accurately estimated as part of the freshwater flux comes from sea-ice
43 melting and growth (Haumann et al., 2016). In addition, the skill of sea-ice prediction cannot meet the
44 need of human activities in the Antarctic (Mishra et al., 2021). Studies have shown that the skill of
45 Antarctic sea-ice prediction could be improved with better SIT initialization (Bushuk et al., 2021). So
46 far, the commonly used types of the Antarctic SIT data are observations, model data, and reanalyses
47 products and each type of data has its own limitations.

48 Antarctic SIT observations can be divided into in situ and satellite observations. In situ observations can
49 provide the local state of Antarctic SIT. However, the sparse distribution of in situ SIT observations pose
50 considerable challenges to understand the large-scale characteristics of SIT (Worby et al., 2008a). It is
51 well known that satellite observations have wider spatiotemporal coverage than in situ observations.
52 However, previous studies indicate that there is large uncertainty in SIT data retrieval from satellite
53 altimeters owing to the relatively small freeboard (i.e., thickness of sea ice or sea ice and snow above the
54 sea surface) of Antarctic sea ice compared to that in the Arctic (Maksym and Markus, 2008) and the lack
55 of knowledge about coincident snow cover thickness as well as sea ice and snow density (Alexandrov et

56 al., 2010). In addition, results of numerical simulations are used to investigate the long-term variation of
57 Antarctic SIT (Zhang, 2007; Holland et al., 2014), but discrepancies are identified not only between
58 models and observations but also among models (Shu et al., 2015; Tsujino et al., 2020), indicating the
59 large uncertainty in model estimates.

60 It should be noted that reanalyses have unique advantages over observed and simulated SIT.
61 Theoretically, reanalyses can provide more accurate or comprehensive state estimations than can
62 otherwise be obtained through either observations or models alone (Buehner et al., 2017). Reanalyses
63 merges the information from both observations and models through data assimilation. Compared with
64 observations, reanalyses data can provide coordinated and gridded data with homogenous sampling in
65 time and space over a long period (Parker, 2016). Besides, compared with model only data, reanalyses
66 data can produce the state estimations closer to observations because of data assimilation (Lindsay and
67 Zhang, 2006; Rollenhagen et al., 2009). Hence, SIT reanalyses have been widely adopted in studies on
68 the Antarctic sea ice (Abernathey et al., 2016; Kumar et al., 2017). Nevertheless, there are still large
69 uncertainties of present sea-ice reanalyses in the Southern Ocean (Uotila et al., 2019; Shi et al., 2021),
70 suggesting the necessity and importance of evaluating them.

71 Among a number of Antarctic sea-ice reanalyses, the Global Ice-Ocean Modeling and Assimilation
72 System (GIOMAS) is one of the most widely used in studies of Antarctic sea ice. For instance, GIOMAS
73 has been regarded as the reference in the assessments of simulations (Shu et al., 2015; Uotila et al., 2017;
74 DuVivier et al., 2020) and predictions (Ordoñez et al., 2018; Morioka et al., 2021). However, GIOMAS
75 has been less widely evaluated, in part because there are far fewer observations of Antarctic SIT against
76 which evaluation is possible (DuVivier et al., 2020).

77 Due to advances in observing technology as well as algorithms in recent years, the quality of Antarctic
78 SIT observations is improved. For example, compared to the European Remote-Sensing Satellites (i.e.,
79 ERS-1 and ERS-2), the Synthetic-Aperture Interferometric Radar Altimeter (SIRAL) on board CryoSat-
80 2 (CS2) is equipped with two radar antennas, which significantly improves the accuracy of sea-ice
81 freeboard (i.e., thickness of sea ice above the sea surface). CS2 also has a much wider spatial coverage
82 with improved along-track resolution because of the design of the satellite orbit and multiple operation
83 modes (Parrinello et al., 2018). In addition, Paul et al. (2018) developed an adaptive retracker threshold
84 for CS2 to produce a consistent sea-ice freeboard data. Besides, more Antarctic SIT observations has
85 been available with the accumulation of observations. For instance, more in situ observations are

86 obtained from dedicated research stations, icebreakers and autonomous underwater vehicles due to
87 increasing research activities in the Antarctic. These provide an opportunity to further evaluate Antarctic
88 SIT of GIOMAS. Notably, since in situ observations provide relatively accurate estimations in specific
89 points while satellite data provides relatively long and continuous observations with wide spatial
90 coverage, various observations are adopted in the evaluation to make it more comprehensive.

91 The paper is organized as follows. In Sect. 2, Antarctic SIT from GIOMAS and observations are
92 introduced. In Sect. 3, Antarctic SIT of GIOMAS is evaluated with observations from different aspects,
93 including the climatology, the linear trend, the intensity of variability, as well as the frequency
94 distribution. The final section provides the conclusions and discussion.

95 **2 Data and methods**

96 **2.1 SIT from GIOMAS**

97 GIOMAS consists of a global Parallel Ocean and sea Ice Model (POIM) with data assimilation
98 capabilities, which is developed at University of Washington (Zhang and Rothrock, 2003). The ocean
99 component of POIM is the Parallel Ocean Program, and the sea-ice component of POIM is the 8-category
100 thickness and enthalpy distribution sea-ice model. The National Centres for Environmental Prediction-
101 National Centre for Atmospheric Research (NCEP-NCAR) daily reanalysis (Kalnay et al., 1996)
102 provides the atmospheric forcing for POIM. Furthermore, in GIOMAS, the modelled sea-ice
103 concentration (SIC) is nudged towards observed SIC derived from Special Sensor Microwave Imager
104 launched by the Defense Meteorological Satellite Program (Weaver et al., 1987), and other modelled
105 variables including SIT are adjusted subsequently. The detailed adjustment process of SIT is as follows:
106 when SIC is nudged in the system, it will modify the SIT distribution to accommodate the change in SIC,
107 which remove sea ice from the distribution without considering its SIT if modelled SIC is too large, while
108 add sea ice to the 0.1-m ice thickness bin if modelled SIC is too small. (Lindsay and Zhang, 2006). This
109 process can reduce the root-mean-square difference and improve the correlation between modelled SIT
110 and observed SIT and will also cause the thinning of the mean SIT. More technical details for POIM and
111 assimilation procedures can be found in Zhang and Rothrock (2003) and Lindsay and Zhang (2006),
112 respectively. GIOMAS data is available from 1979 to the present with a global coverage and data
113 involved in the assessment spans from January 1979 to December 2018 (Fig. 1a). The average horizontal

114 spatial resolution is 0.8 degrees of longitude \times 0.8 degrees of latitude (around 60 km \times 60 km), and the
115 temporal resolution is one month for all variables. Additionally, GIOMAS also provides daily outputs
116 for some variables including SIT, SIC and snow depth, and the daily SIT of GIOMAS is assessed in this
117 study. SIT data of GIOMAS is the equivalent SIT, which represents SIV per unit area.

118 **2.2 SIT from satellite altimeters and in situ observations**

119 Satellite-altimeter observations involved in this study are from radar altimeters on board Envisat (ES)
120 and CS2, which are generated by the Sea Ice Climate Change Initiative (SICCI) project under the
121 European Space Agency Climate Change Initiative (ESA CCI) program. ES was equipped with the Radar
122 Altimeter 2, measuring sea-ice freeboard mainly based on Ku-band frequency (Hendricks et al., 2018b).
123 The Antarctic SIT data derived from ES freeboard spans from December 2002 to November 2011 (Fig.
124 1a) with a coverage of the entire Antarctica (Fig. 1b). The spatial resolution is 50 km \times 50 km and the
125 temporal resolution is 1 month. CS2 was equipped with the SIRAL, measuring the sea-ice freeboard
126 mainly based on Ku-band frequency like ES (Hendricks et al., 2018a). CS2 Antarctic SIT dataset spans
127 from November 2010 to April 2017 (Fig. 1a) and the spatial coverage and the spatiotemporal resolution
128 are the same as ES SIT dataset.

129 In situ SIT observations involved in this study are from upward looking sonar (ULS), ship-based and air-
130 based measurements. ULS is a kind of mooring measurement at fixed locations, measuring sea-ice draft
131 (thickness of sea ice below the water surface) with a time interval shorter than 15 minutes (Behrendt et
132 al., 2013). Ice draft needs to be converted into total SIT in an empirical way according to Harms et al.
133 (2001). Thirteen ULSs used in this study were deployed in the Weddell Sea (Fig. 1b) by Alfred Wegener
134 Institute (AWI) and spanned from 1990 to 2010 intermittently (Fig. 1a).

135 The ship-based observations are made up of the Antarctic Sea Ice Processes & Climate program
136 (ASPeCt), ANT-XXIX/6 (Schwegmann, 2013) and ANT-XXIX/7 (Ricker, 2016). The ASPeCt dataset
137 not only includes ASPeCt observations collected from 1981 to 2005 (Worby et al., 2008a), but also
138 includes the ASPeCt bridge-based sea-ice observations collected from 2007 to 2012. The ship tracks
139 cover all sectors of the Southern Ocean (Fig. 1b) and the average spacing of data points is 6 nautical
140 miles. The air-based SIT observations include data collected by the air-based electromagnetic system
141 (i.e., like an electromagnetic bird carried by the helicopter) with a high frequency of 0.5 Hz and an

142 average spacing of 3-4 m (Lemke, 2009, 2014), which is mainly located in the northwest Weddell Sea
143 (Fig. 1b).

144 Since both satellite observations and in situ observations are involved in the evaluation, it is necessary
145 to investigate the relationship between them. To achieve this, direct comparisons among satellite
146 observations and ULS observations are conducted in the Weddell Sea where ULS SIT observations are
147 available. The monthly SIT of ES and CS2 during their coincident segments (i.e., November 2010 to
148 November 2011) in the Weddell Sea is displayed in Fig. 2a. The SIT of ES and CS2 is mainly distributed
149 around the one-to-one line and there is a significant correlation of 0.69 between them, indicating ES-
150 derived SIT is comparable to CS2-derived SIT. Then the monthly ES SIT is compared with monthly
151 ULS SIT during the coincident segments at sites 206, 207, 208, 229, 231 and 233 (Fig. 2b). CS2 dataset
152 is not involved since there are only four data pairs between CS2 and ULS observations. The distribution
153 of data pairs indicates ES tends to overestimate SIT compared with ULS observations, because the
154 scattering surface of the radar altimeter can be inside the snow (Willatt et al., 2010; Wang et al., 2020).
155 However, 77% of ULS SIT is within the uncertainty of ES (Fig. 2b). Besides, the correlation between
156 the ULS SIT that is within the uncertainty of ES and the corresponding ES SIT is 0.73. All those indicate
157 ES-derived SIT is comparable to ULS observations when the uncertainty is considered.

158

159 **2.3 Data processing and methods**

160 According to Parkinson and Cavalieri (2012), the summer, autumn, winter and spring refer to January-
161 March, April-June, July-September and October-December, respectively. As shown in Fig. 2b, the
162 Southern Ocean is divided into the Weddell Sea (60° W-20° E), the Indian Ocean (20° E-90°E), the
163 western Pacific Ocean (90°E-160°E), the Ross Sea (160°E-130°W) and the Amundsen/Bellingshausen
164 Sea (130°W-60°W).

165 Since the mismatch in spatial and temporal resolutions between reanalyses and observations could
166 introduce substantial representation errors in the comparisons, the data is processed as Janjić et al. (2018)
167 suggested to eliminate such mismatch between GIOMAS and observations. In general, GIOMAS data is
168 converted to the locations of the observations when compared with satellite and ULS observations while
169 the ship-based and air-based observations are converted to gridded data based on GIOMAS grid. For
170 details, when compared with satellite observations, daily GIOMAS data is interpolated to the grid of

171 satellite observations using the linear approach and converted to monthly averages. For the comparisons
172 between GIOMAS and ULS observations, 15-minutely ULS data is converted to daily averages for
173 comparison with daily GIOMAS data and the nearest neighbour approach is used to find the GIOMAS
174 grid cells closest to the ULS locations. Besides, when compared with ship-based and air-based
175 observations, since the observed data is very dense in space and the temporal resolution is always within
176 one day, it is averaged into daily and gridded data based on the GIOMAS grid to create a proper dataset
177 that is compatible with daily GIOMAS SIT data.

178 The climatological annual cycle is defined as the multi-year averages in each month. For observations,
179 the climatological annual cycles are calculated from all years available in each observation dataset. For
180 GIOMAS, when compared with satellite observations, GIOMAS data that coincides with the time spans
181 of satellite observations are selected (2002-2011 for ES and 2010-2017 for CS2) to calculate the
182 climatology. When compared with ULS observations, all years available in GIOMAS (1979-2018) are
183 used for the computation of climatology. Anomalies are defined as departures from the climatological
184 annual cycle, and the intensity of variability is defined as the standard deviation of anomalies. During
185 the overlapping time of ES and CS2 (November 2010 to November 2011), though the difference in SIV
186 anomalies between ES and CS2 (i.e., root-mean-square error is 473.1 km³) is not small compared with
187 the mean standard deviation of SIV anomalies (i.e., their standard deviations in ES and CS2 are 960.7
188 km³ and 956.6 km³, respectively), the selection of data in the coincident segment has little effect on the
189 trend. Thus, the SIV anomalies of CS2 during the overlapping time are chosen and ES from December
190 2002 to October 2010 and CS2 from November 2010 to April 2017 are combined to obtain a relatively
191 long and continuous SIV time series for the trend computation. In addition, since the trajectories of air-
192 based SIT observations are mainly distributed in the northwest Weddell Sea where is dominated by
193 deformed sea ice (Fig. 2b), the comparison between GIOMAS and air-based observations is only
194 conducted in the Weddell Sea.

195 **3 Results**

196 **3.1 Comparison in the climatology of SIV and SIT**

197 **Figure 3** shows the climatological annual cycle of Antarctic SIV. Although obvious uncertainties of SIV
198 can be found in both ES and CS2, the annual cycle of ES is similar to that of CS2. Both ES and CS2

199 show that the melt rate of sea ice is nearly twice the growth rate. Besides, there are also some differences
200 in the SIV climatology between ES and CS2. The SIV of CS2 is greater than that of ES in the winter and
201 spring, and larger uncertainties of SIV can be found in ES. The SIV difference between ES and CS2 may
202 be owing to the mismatch in the sea-ice freeboard between ES and CS2. As Paul et al. (2018) indicated,
203 due to the unresolved physical processes such as complex snow metamorphism or sea-ice surface
204 roughness influenced by the flooding in the snow/ice interface, the sea-ice freeboard of ES cannot be
205 well matched with the ones of CS2 in the Antarctic though the retracker algorithms are the same.
206 GIOMAS can reproduce the asymmetry in the annual cycle of Antarctic SIV observed by ES and CS2
207 while underestimates SIV by about 38% on average when compared to ES as well as CS2. Meanwhile,
208 the underestimation is seasonally dependent, with weaker underestimation in summer and stronger one
209 in winter.

210 **Figure 4** shows the spatial distribution of SIT bias in summer as well as winter to investigate details of
211 SIV underestimation in these two seasons. In both seasons, significant negative SIT bias of GIOMAS
212 can be found in the deformed ice zone, such as the northwestern Weddell Sea and coasts of the
213 Amundsen/Bellingshausen Sea as well as the coast of East Antarctic. Meanwhile, the extent of negative
214 bias is wider in the winter (**Figs. 4b and d**) rather than in the summer (**Figs. 4a and c**), which results in
215 seasonal differences of the SIV underestimation (**Fig. 3**). In addition, there are weakly positive SIT biases
216 in the southwestern Weddell Sea during winter (**Figs. 4b and d**), which may be due to model bias in
217 simulating sea-ice transport in the western Weddell Sea (Shi et al., 2021). Considering sea-ice
218 deformation is also related to sea-ice motion tightly, a better simulation of sea-ice motion is required to
219 achieve more accurate reconstruction of Antarctic SIT. In addition, the relatively large positive bias in
220 winter Ross Sea SIT can only be found in the comparison between GIOMAS and CS2, which may be
221 caused by smaller freeboard of CS2 than ES in the winter Ross Sea as shown in Paul et al. (2018). Notably,
222 some of the radar altimeter signals would originate from the snow/air interface or from somewhere inside
223 the snow and result in an overestimation of ice freeboard (Willatt et al., 2010; Wang et al., 2020).

224 These uncertainties, combined with often thick snow and complex snow metamorphism in the Antarctic,
225 can contribute to the overestimation of the Antarctic SIT from ES and CS2. Thus, the underestimation of
226 SIT from GIOMAS can be partially attributed to the uncertainties of SIT retrieved from ES and CS2.
227 However, the underestimation in the deformed ice regions can be owing to the deficiency of GIOMAS
228 since the differences of SIT between GIOMAS and satellite observations in those regions are always

229 larger than the uncertainties of satellite observations. To prove this, a direct inter-comparison between
230 the monthly SIT of GIOMAS, ES and ULS at site 206 is conducted and the biases of GIOMAS and ES
231 relative to ULS at site 206 and the uncertainties of each dataset are displayed in Table 1. The bias of
232 GIOMAS is larger than the uncertainty of ULS while the bias of ES is smaller than the uncertainty of
233 ULS (Table 1). This suggests there is a significant discrepancy between GIOMAS and ULS SIT while
234 ES SIT is comparable to ULS SIT at site 206.

235 Due to large uncertainties in above satellite observations, the SIT of GIOMAS is further assessed by ULS
236 measurement in the Weddell Sea. Considering significant variation of sea ice over horizontal distances
237 as small as a few meters, the standard deviation of ULS is displayed in Fig. 5a. It is obvious that the
238 variability of ULS near the shore (i.e., 206, 207, 212, 217, 232 and 233) is stronger than that of ULS far
239 from the shore (i.e., 208, 209, 210, 227, 229, 230 and 231), indicating larger sea-ice deformation near
240 the shore. As Fig. 5b shows, GIOMAS significantly underestimates the nearshore SIT all year round
241 while slightly overestimates SIT far from the shore in the winter, implying the deficiency of GIOMAS
242 in the simulation of sea-ice deformation, which leads to underestimation of SIT in the Weddell Sea from
243 a perspective of regional average. The above deficiency of GIOMAS might be attributed to the
244 insufficient resolutions of the model and assimilated SIC observations, which are not able to well resolve
245 the coastal lines and hinder GIOMAS from reproducing the ice deformation near shore. Therefore,
246 GIOMAS is indeed to underestimate the climatology of Antarctic SIT, mainly in the deformed sea-ice
247 zone, compared with satellite and in situ observations. In addition to the model drawbacks of GIOMAS,
248 this underestimation might also be introduced by the assimilation procedure of GIOMAS. Although only
249 satellite SIC is nudged in GIOMAS, SIT would be adjusted asymmetrically as described in Sect 2.1. This
250 asymmetric addition and removal of ice leads to a thinning of the mean ice thickness (Lindsay and Zhang,
251 2006). Notably, though the uncertainty of satellite observations is large, the differences between
252 GIOMAS and satellite SIT cannot be ignored since the uncertainty of satellite observations is expected
253 to be large owing to the difficulties with the estimation of snow depth and density in the Antarctic (Ozsoy-
254 Cicek et al., 2011; Bunzel et al., 2018).

255 3.2 Comparison in the trend of SIV

256 Antarctic SIE shows different trends before and after 2014 (Parkinson, 2019). Though there can be a
257 significant correlation between Antarctic SIE and SIV, differences between the variation of SIV and SIE

258 cannot be ignored in some regions. Therefore, it is necessary to examine whether there are similar
259 changes in the trend of Antarctic SIV. As **Figure 6** shows, the observed Antarctic SIV anomaly increased
260 gradually from 2003, reached the maximum (2783 km³) in November 2013, and then abruptly declined
261 from September 2013 to April 2017. The evolution of SIV anomaly is comparable to that of SIE anomaly,
262 while the time of the SIV anomaly peak is earlier than that of the SIE anomaly peak nearly by one year.
263 The trends of GIOMAS and observed SIV anomalies are 989 and 2968 km³ per month before 2013 and
264 -84762 and -119875 km³ per month after 2013. Although there are differences in the SIV trend between
265 GIOMAS and satellite observation, GIOMAS can basically reproduce the changes in the observed SIV
266 trend before and after 2013. Besides, the correlation of SIV anomalies between GIOMAS and
267 observations is 0.83, which passes a two-tailed t test at 99% significant level. Given the advantages of
268 reanalyses over observations or models individually especially in the polar region (Buehner et al., 2017),
269 GIOMAS data would be a good choice to study the variability and long-term trends of Antarctic sea ice.

270 **3.3 Comparison in the intensity of SIT variability**

271 **Figure 7** displays spatial differences in the intensity of SIT anomalies variability between GIOMAS and
272 satellite observations. Compared with ES and CS2, GIOMAS underestimates the intensity of SIT
273 variability in the Southern Ocean, especially in the deformed ice zone (**Figs. 7a-b**), which resembles the
274 spatial pattern of **Fig. 4**. The underestimation in the deformed ice regions can be found also in the
275 comparison between GIOMAS and ULS. The intensity of SIT variability is underestimated near the shore,
276 while overestimated away from the shore (**Fig. 7c**). The spatial distribution of differences in the intensity
277 of variability is roughly consistent with that of SIT differences in **Fig. 5b**. These phenomena suggest that
278 there appears to be a relationship between the mean SIT and the variability. As Blanchard-Wrigglesworth
279 and Bitz (2014) suggested, models with a thinner mean ice state tend to have SIT anomalies with smaller
280 amplitude. In addition, the comparison in SIT standard deviation ratio and mean bias between GIOMAS
281 and satellite observations shown in supplementary figure further clarifies the relationship that with a
282 negative SIT bias, GIOMAS always underestimates the variability of SIT. Thus, the bias of SIT has an
283 impact not only on the climatology of SIT but also on the variability of SIT. It should be mentioned that
284 in the regions where the uncertainty of satellite observations is larger than the difference between
285 GIOMAS and satellite observations (i.e., mainly in the regions with undeformed sea ice), the uncertainty
286 would have an impact on the evaluation in the variability of SIT and cannot be ignored.

287 3.4 Comparison of SIT frequency

288 In addition to ULS observations, the rest of in situ sea-ice observations are sparse in the Southern Ocean
289 and mainly provided by ship-based and air-based measurements. **Figure 8** displays the SIT frequency
290 distribution of GIOMAS and ship-based as well as air-based in situ observations. The peaks of
291 observations are mainly around 0-0.6 m while the frequencies of GIOMAS SIT are mainly distributed in
292 0-1.4 m in the Southern Ocean (**Fig. 8a**). In different sectors (**Figs. 8b-f**), the frequency distribution of
293 observed SIT data is similar to that in the whole Southern Ocean while the peaks of GIOMAS SIT
294 frequency vary from 0.2 m to 1.4 m. Compared with observations, for the Southern Ocean, GIOMAS
295 has a higher frequency within 0.6-1.6 m while a lower frequency in the rest bins compared with ship-
296 based observations (**Fig. 8a**), which seems to imply the overestimation of SIT. The similar results can be
297 found in different sectors (**Figs. 8b-f**). However, the sample selection bias should be noted in the ship-
298 based observations due to ship's track avoiding areas of thicker ice, which results in its estimation biased
299 toward thinner ice (Timmermann, 2004; Williams et al., 2015). Besides, GIOMAS has a lower frequency
300 of thick ice in the Weddell Sea compared with air-based observations. In conclusion, GIOMAS tends to
301 overestimate SIT frequency between 0.6-1.6 m in the Southern Ocean compared with ship-based
302 observations under the premise that ship-based observations always bias low. Additionally, the
303 comparison between GIOMAS and air-based SIT observations further proves the weakness of GIOMAS
304 in the simulation of sea-ice deformation.

305 4 Conclusions and discussion

306 Considering the important role of SIT in studies of Antarctic sea ice and the wide application of GIOMAS,
307 the Antarctic SIT of GIOMAS is assessed with satellite and in situ observations. In general, GIOMAS
308 can basically reproduce the observed variability and linear trends of SIV even though only satellite SIC
309 data is assimilated by nudging. For the climatology, GIOMAS can reproduce the asymmetry in the annual
310 cycle of Antarctic SIV. For the long-term SIV variation, the variation of GIOMAS is in phase with that
311 of observations, and it is also able to capture the changes in linear trends before and after 2013. These
312 suggest that GIOMAS is useful to study the long-term variation of Antarctic sea ice. However, significant
313 negative bias in SIT can be found in the comparison between of GIOMAS and observations. Compared
314 with satellite measurements, GIOMAS tends to underestimate SIT, especially in regions with strong ice

315 deformation. This underestimation is of seasonal dependence with greater underestimation in the winter.
316 Although above underestimation can be partially attributed to the uncertainties of SIT retrieved from
317 satellite especially in the undeformed ice zone, the differences cannot be ignored and SIT
318 underestimation in the northwest Weddell Sea is further verified by the comparison between GIOMAS
319 and ULS observations. Furthermore, the spatial distribution of the differences in the magnitude of SIT
320 variability resembles that of the differences in SIT climatology between GIOMAS and observations.
321 Given the relationship between mean state of SIT and variability (Blanchard-Wrigglesworth and Bitz,
322 2014; also verified by the comparison between satellite observations and GIOMAS in the supplement),
323 this phenomenon indicates that SIT underestimation might have an impact on not only the SIT
324 climatology but also the SIT variability. In addition, GIOMAS overestimates SIT compared with ship-
325 based observations, which can be due to the negative bias in ship-based SIT estimation (Timmermann,
326 2004; Williams et al., 2015). The deficiency of GIOMAS in simulating deformed sea ice is further
327 verified in the comparison with air-based observations.

328 Notably, though GIOMAS could basically reproduce the trends of Antarctic SIV anomalies before and
329 after 2013, the differences in the trends of SIV anomalies between GIOMAS and satellite observations
330 cannot be ignored. A simple comparison between the monthly GIOMAS sea-surface temperature (SST)
331 and Microwave Optimally Interpolated SST observations reveals that the positive bias of GIOMAS in
332 SST before 2014 is roughly corresponding to the underestimation of positive trend of observed SIV
333 anomalies while the negative SST bias of GIOMAS after 2014 is corresponding to the underestimation
334 of negative trend of observed SIV anomalies. There seems to be a possible relationship between the
335 difference in SST and the difference in the trends of SIV anomalies between GIOMAS and observations
336 since higher SST would slow down the increase of SIV while lower SST would slow down the decrease
337 of SIV. However, this relationship needs further quantification and further analysis is added to our future
338 work plan.

339 In addition, limitations from Antarctic SIT observations are non-negligible in this study. For one aspect,
340 the scarcity of Antarctic SIT observations is one of the main sources of limitations for the evaluation.
341 The time span of satellite observations is not long enough for the evaluation of GIOMAS SIT data from
342 1979 to the present while the in situ observations are too few to show the estimation of SIT in the entire
343 Southern Ocean. Those make it unable to comprehensively evaluate the entire GIOMAS Antarctic SIT
344 data in this study. For another, this study is also limited by observations of Antarctic SIT due to their

345 unsuitability for the evaluation. For example, though SIT from ICESat (Kern et al., 2016) equipped with
346 the Geoscience Laser Altimeter System is available from 2004 to 2008 and proved to have lower bias in
347 SIT estimation than radar altimeter measurements (Willatt et al., 2010; Wang et al., 2020), it is not
348 adopted in this study. The reasons are as follows. First, ICESat SIT is not available in winter (July-
349 September), when greater underestimation of SIT is found in GIOMAS (Fig. 3). Second, the data size of
350 ICESat is relatively smaller than that of ES and CS2 because ICESat provides seasonal mean data and
351 its time range is narrower. Therefore, the additional assessment on SIT of GIOMAS will be conducted
352 when the Antarctic SIT derived from ICESat-2 is available. Furthermore, the uncertainty of satellite
353 observations has an impact on the evaluation and the accuracy of satellite observations needs to be further
354 improved to obtain more accurate satellite-derived SIT estimations with smaller uncertainty. The
355 uncertainty of satellite-derived SIT observations is mainly from the uncertainty introduced by the
356 scattering surface of radar signals and the estimation of Antarctic snow depth and density. With the
357 influences of complex snow stratigraphy and flooding inside the snow related to the formation of snow
358 ice, the assumption that the radar signal reflects from the snow/ice interface is not applicable in most
359 cases (Willatt et al., 2010). Besides, owing to the lack of knowledge of Antarctic snow, the climatology
360 of snow depth from the European Space Agency-SICCI Advanced Microwave Scanning Radiometer for
361 the Earth Observing System (AMSR-E) and the Advanced Microwave Scanning Radiometer 2 (AMSR2)
362 is used in the retrieval of ES and CS2-derived SIT, which would introduce extra uncertainties since the
363 inter-annual variability in snow depth is omitted (Bunzel et al., 2018). Moreover, the AMSR-E/AMSR2
364 snow depth is indicated to considerably underestimate the actual snow depth, which usually occurs in
365 the East Antarctic (Worby et al., 2008b; Ozsoy-Cicek et al., 2011). All those contribute to the large
366 uncertainty of the satellite-derived SIT in the Antarctic and the uncertainty would influence the
367 evaluation of SIT in the regions where the differences between GIOMAS SIT and satellite observations
368 are smaller than the uncertainty. Therefore, a more accurate estimation of Antarctic snow depth and
369 density would be essential to reducing the uncertainty of satellite SIT observations and thus improving
370 the reliability of the evaluation.

371 The above SIT underestimation of GIOMAS can be partially attributed to the model weakness. For
372 example, insufficient resolution of the model restricts GIOMAS to reproduce the ice deformation near
373 shore. Besides, the assimilation is a vital component in the reanalyses since it could constraint the model
374 with observations and make the model obtain better state estimation (Lahoz and Schneider, 2014).

375 However, it can also be a source of errors in the system. In GIOMAS, the asymmetric SIT changes
376 introduced by assimilation cannot be ignored. Thus, besides the further development of the model, there
377 are **potential** ways to improve the estimation of Antarctic SIT from the perspective of data assimilation.
378 Firstly, additional sea-ice observations other than SIC should be assimilated. For example, besides
379 Antarctic SIT derived from Envisat and CryoSat-2 used in this study, the Antarctic SIT retrieved from
380 ICESat-2 is also to be released in the near future, and hence assimilating these SIT observations directly
381 may suppress the bias of SIT (e.g., Yang et al., 2014; Fritzner et al., 2019; Luo et al., 2021). Also,
382 assimilating sea-ice drift observations can improve the simulation of sea-ice motion and deformation,
383 which can improve the estimation of SIT (e.g., Lindsay and Zhang, 2006; Mu et al., 2020). Secondly,
384 advanced data assimilation methods should be adopted to provide balanced estimation of model state.
385 For instance, the innovation of SIC can be converted to the increment of SIT in a more balanced way
386 through the flow-dependent covariance of Ensemble Kalman Filter (e.g., Massonnet et al., 2013; Yang
387 et al., 2015). **Thirdly, due to the characteristics of SIC observations derived from the passive microwave**
388 **instrument, observation errors of SIC should vary with time and location (Lindsay and Zhang, 2006).**
389 **However, a fixed value of observation errors is adopted in GIOMAS because of limited information on**
390 **observation errors of SIC. Thus, a better estimation of SIC observation errors might further improve the**
391 **performance of GIOMAS.** Furthermore, though nudging of SIC is not state of the art, it makes the model
392 of GIOMAS obtain better SIT simulation while the model only data of GIOMAS is likely to overestimate
393 SIT in the marginal seas. To promote the development of GIOMAS, further quantitative analyses on the
394 impact of nudging SIC on the SIT in the Antarctic are worthy of attention and will be conducted in the
395 future.

396 Besides, in the course of global warming, Antarctic SIE rose gradually and reached a record high in
397 2014/2015 before decreasing dramatically, which is obviously different from the dramatic drop in Arctic
398 SIE during the satellite era (e.g., Turner and Comiso, 2017). Results from a recent study suggest that the
399 trend in Antarctic ice coverage may be due to changes in atmospheric (e.g., Holland and Kwok, 2012)
400 and oceanic (e.g., Meehl et al., 2019) processes. Without better SIT and SIV estimates, it is difficult to
401 characterize how Antarctic sea-ice cover is responding to changing climate, or which climate parameters
402 are most influential (Vaughan et al., 2013). Thus, more Antarctic sea-ice observations and more studies
403 on data assimilation are urgently needed to accurately evaluate the Antarctic SIT, which can help to
404 improve the reconstruction and prediction of Antarctic SIV and to support research related to Antarctic

405 sea ice.

406 **Data availability**

407 The GIOMAS reanalysis data are available at
408 http://psc.apl.washington.edu/zhang/Global_seaice/data.html. The satellite-based Antarctic sea ice
409 thickness observations from Envisat and CryoSat-2 are available at
410 <https://doi.org/10.5285/b1f1ac03077b4aa784c5a413a2210bf5> and
411 <https://doi.org/10.5285/48fc3d1e8ada405c8486ada522dae9e8>, respectively. The Weddell Sea upward-
412 looking sonar sea ice draft data are available at <https://doi.pangaea.de/10.1594/PANGAEA.785565>. The
413 ship-based sea ice thickness observations are available at <http://aspect.antarctica.gov.au/data>,
414 <https://doi.org/10.1594/PANGAEA.819540> and <https://doi.org/10.1594/PANGAEA.831976>. The sea ice
415 thickness observations from airborne electromagnetic system are freely available at
416 <https://doi.pangaea.de/10.1594/PANGAEA.771229> and <https://epic.awi.de/id/eprint/36245/>.

417 **Author contribution**

418 QY and HL developed the concept of the paper. SL and HL performed analysis and drafted the manuscript.
419 JW collected the remote sensing and observation data. QY, JZ, QS and JW gave comments and helped
420 revise the manuscript. All of the coauthors contributed to scientific interpretations.

421 **Competing interests**

422 The authors declare that they have no conflict of interest.

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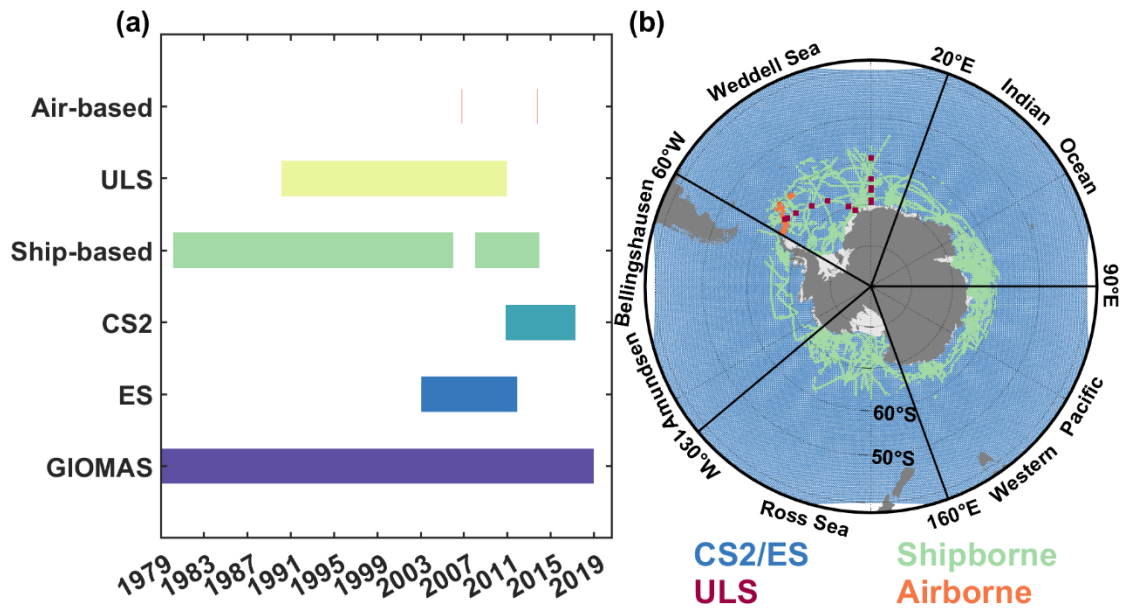
628

629 **Table 1.** The biases of GIOMAS and ES SIT relative to that of ULS and the uncertainties of SIT at ULS
630 206 (Unit: m).

Dataset	Bias	Uncertainty
ULS	0	1.17
ES	0.89	1.37
GIOMAS	-1.99	0.34

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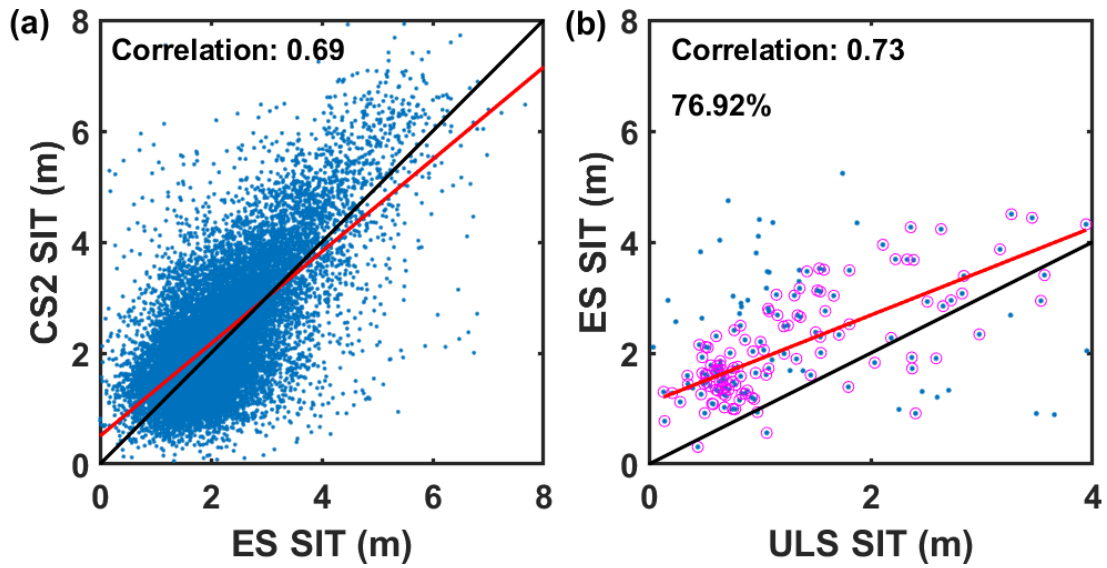


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634 **Figure 1.** (a) The temporal and (b) spatial coverage of data used in this study.

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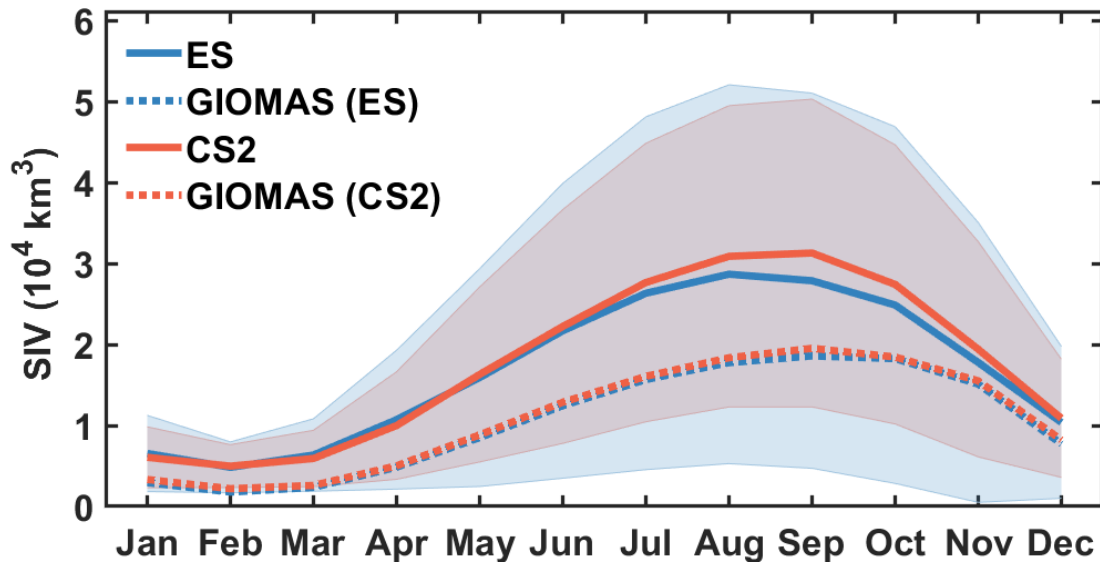
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638 **Figure 2.** (a) The monthly ES and CS2-derived SIT in the Weddell Sea during the coincident segment
639 from November 2010 to November 2011 and (b) the monthly ES-derived SIT and ULS observations
640 during the coincident segments at sites 206, 207, 208, 229, 231 and 233. The red lines are linear
641 regression lines and the black lines are one-to-one lines. The dots surrounded by red circles indicate the
642 ULS SIT is within the uncertainty of ES and the percentage in (b) denotes the proportion of such dots.
643 The correlation and regression line in (b) are only for dots surrounded by red circles.

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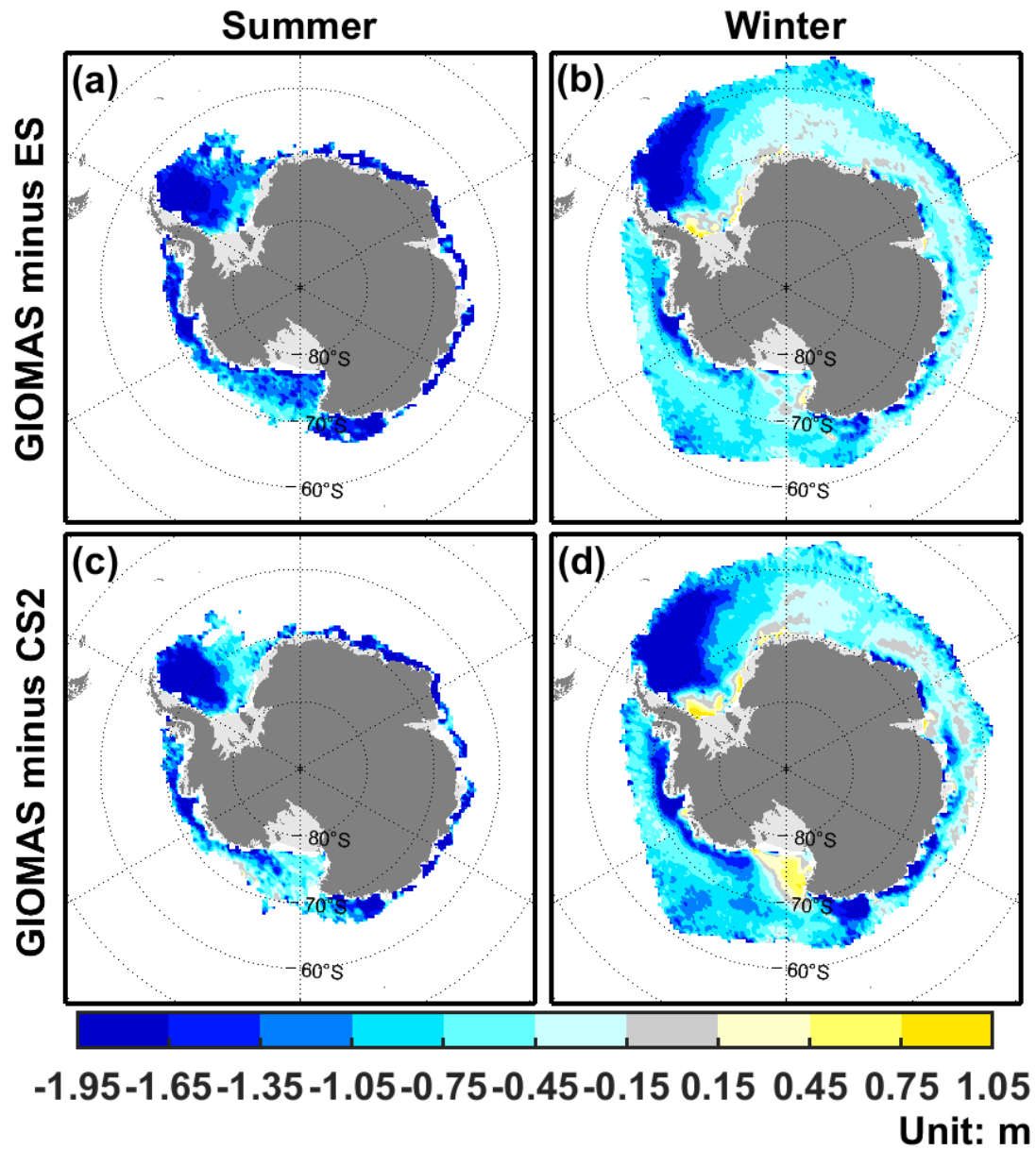
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Figure 3. The climatological annual cycle of Antarctic SIV. The blue and red denote data related to ES and CS2, respectively. The solid and dashed curves denote satellite observations and corresponding GIOMAS data.

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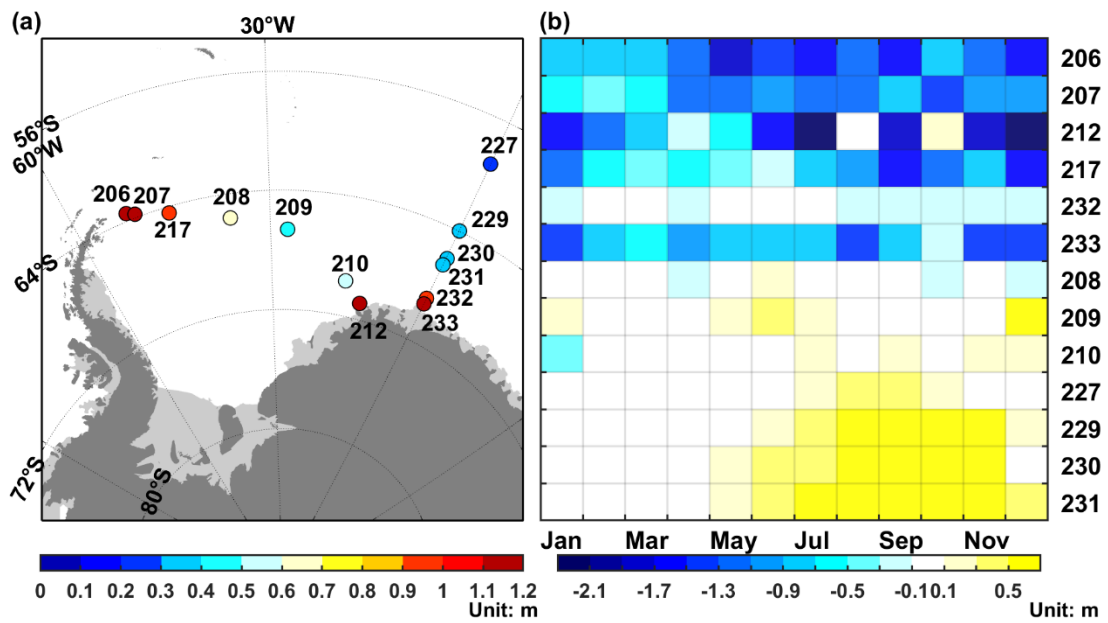


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651 **Figure 4.** The SIT bias of GIOMAS relative to ES in (a) the summer and (b) winter. (c-d) same as (a-b)

652 but for bias relative to CS2.

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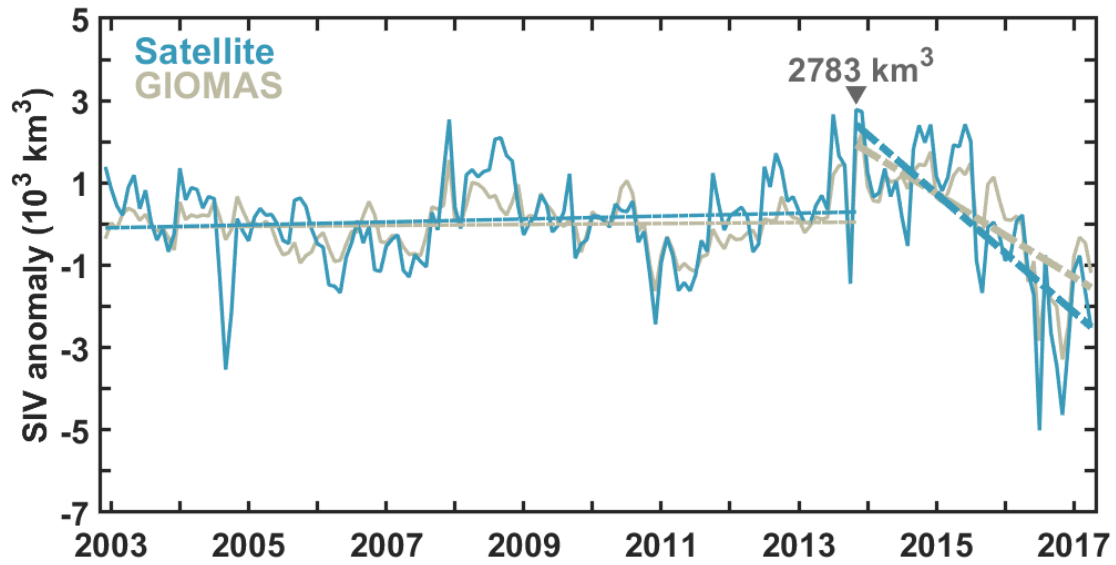


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655 **Figure 5.** (a) The locations of ULS in the Weddell Sea and corresponding standard deviation of SIT. (b)

656 The differences in SIT climatology between GIOMAS and ULS.

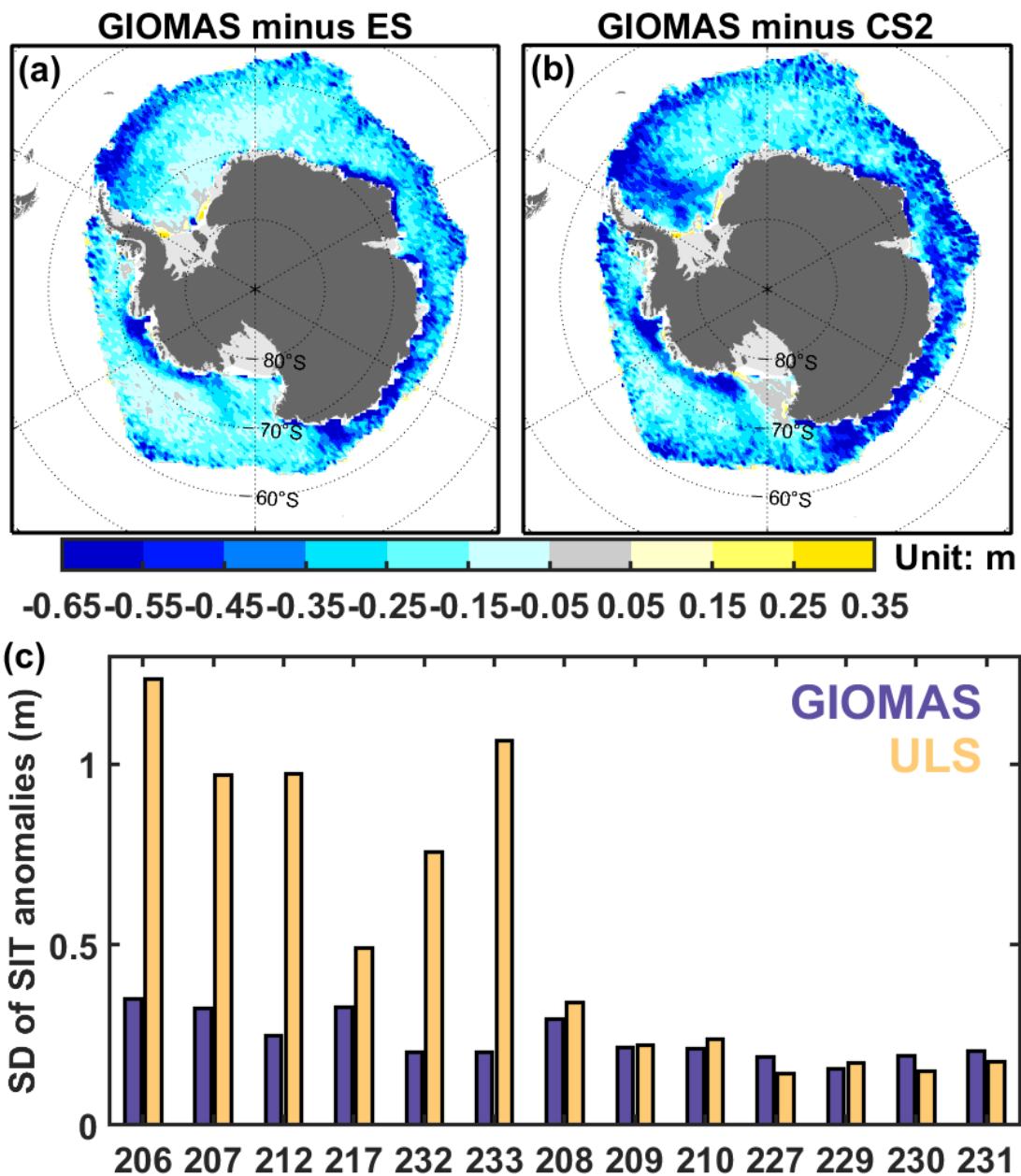
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659 **Figure 6.** The SIV anomalies of satellite observations (green) and corresponding GIOMAS (khaki). The
 660 dashed lines denote the linear trends of SIV anomalies from December 2002 to November 2013 and from
 661 November 2013 to April 2017. All linear trends have passed a F-test at 99% significant level.

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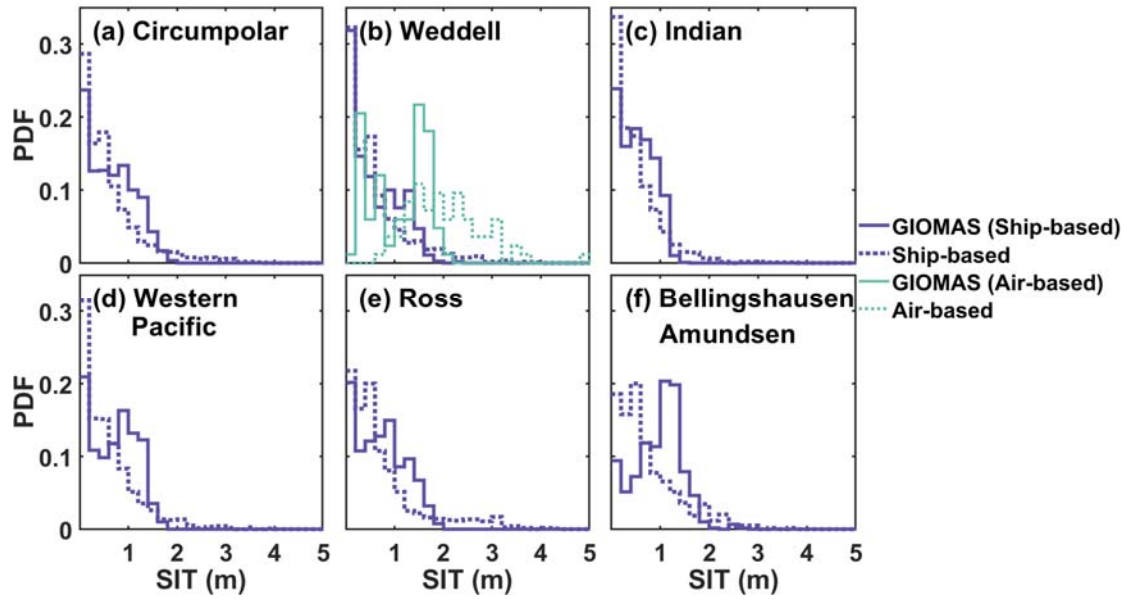
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664 **Figure 7.** (a) The spatial differences in standard deviation of SIT anomalies between GIOMAS and ES.

665 (b) same as (a) but for differences between GIOMAS and CS2. (c) The standard deviation of SIT

666 anomalies for ULS (yellow) and corresponding GIOMAS (blue).

667



668

669 **Figure 8.** SIT histograms of GIOMAS and in situ observations in (a) the Southern Ocean and (b-f)

670 different sectors.