



Arctic Sea Ice Thickness Estimation Based on CryoSat-2 Radar Altimeter and Sentinel-1 Dual-Polarized SAR

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Abstract. We present a method to combine CryoSat-2 (CS-2) radar altimeter and Sentinel-1 synthetic aperture radar (SAR) data to obtain sea ice thickness (SIT) estimates for the Barents and Kara Seas. Our approach yields larger spatial coverage and better accuracy compared to estimates based on either CS-2 or SAR alone. The SIT estimation method developed here is based on interpolation and extrapolation of CS-2 sea ice thickness (SIT) utilizing SAR segmentation and segmentwise SAR texture features. The SIT results are compared to SIT data derived from the AARI ice charts, to ORAS5, PIOMAS and TOPAZ4 ocean-sea ice data assimilation system reanalyses, and to daily MODIS based ice thickness charts. Our results are directly applicable to the future CRISTAL mission and Copernicus programme SAR missions.

1 Introduction

The goal of this study is to use Sentinel-1 (S-1) SAR data to inter- and extrapolate Cryosat-2 (CS2) sea ice thickness (SIT) estimates to spatially cover the whole Barents and Kara Sea area (see Fig. 1). Sea ice thickness (SIT) is an essential sea ice parameter. It is a key parameter, with sea ice concentration (SIC), for estimating total ice volume over any given area of interest. Accurate estimates of SIT are important for ice navigation, off-shore engineering and construction, climate studies as well as sea ice and weather forecasting. The ocean–atmosphere heat, mass, momentum, and gas exchanges are controlled by the sea-ice thickness distribution in the polar oceans. Thin ice with a thickness of less than half a meter produces strong heat and salt fluxes and affects the weather and deep water circulation in the polar oceans. Thick ice insulates the relatively warm ocean from the cold atmosphere maintaining the polar conditions, and is mainly responsible for the proportion of ice that persists through the summer melt period, which is particularly important for the summer radiation budget. For ship navigation in sea-ice-covered waters, the estimation of ice thickness is essential.

Estimation of ice thickness from radar and laser altimeter data has been studied extensively in recent years. Altimeters onboard several satellites have provided estimates of sea ice thickness and volume time series and trends for the Arctic and Antarctic Oceans for recent decades. Such methods have been applied and evaluated e.g. in (Laxon et al. , 2003; Giles et al. , 2008; Kwok and Cunningham , 2008; Kwok et al. , 2009; Armitage et al. , 2015; Zygmuntowska et al. , 2014; Tilling et al. , 2015, 2018; Xia and Xie , 2018; Yi et al. , 2018; Xu et al. , 2020; Petty et al. , 2020). In this study we use the CryoSat-2 (CS-2) radar altimeter data (Wingham et al. , 2006).



25 Due to the nature of altimeter measurements and the orbit pattern of the platform, CS-2 gives spatially and temporally sparse SIT information in temporal scales from one day to few weeks. Examples of all available CS-2 ice thickness estimates during the period of one day and one week over our Barents and Kara Seas study area are shown in Figure 1. From the viewpoint of tactical navigation these estimates are sparse, and the goal of our study is to develop a method to extrapolate altimeter SIT estimates between CS-2 ground tracks.

30 SIT algorithms combining CS-2 and other sources of information, e.g. SMOS (Soil Moisture and Ocean Salinity) mission with the the MIRAS (Microwave Imaging Radiometer using Aperture Synthesis) instrument exist (Ricker et al. , 2017). However, these algorithms still have relatively poor spatial and temporal resolution (25 km, weekly estimates updated daily). Thus more algorithms fusing CS-2 data with data from instruments with higher spatial and temporal resolution are needed for timely high-resolution SIT estimates. Such ice thickness estimates can then be used e.g. in navigation and data assimilation to
35 high-resolution ocean-sea ice forecast models.

Traditionally satellite altimeters, including CS-2, cannot estimate thickness of thin ice (<0.5 m) with reasonable uncertainty. Although recently launched ICESat-2 improves thin ice estimation, giving reasonable uncertainties down to SIT approximately 0.2 m (Petty et al. , 2020). Detection of thin ice and its thickness estimation can be done using satellite TIR imagery, e.g., from Moderate Resolution Imaging Spectroradiometer (MODIS), based ice surface temperature (T_s) together with atmospheric
40 forcing data through ice surface heat balance equation (Yu and Rothrock , 2016). Unfortunately, this method is restricted by cloud cover and quality of cloud masking in polar conditions (Frey et al. , 2008). A daily MODIS SIT chart combined from swath SIT charts, which mitigates the cloud problem, has been developed in (Makynen and Karvonen , 2017). In addition, daily cloud-cover corrected MODIS SIT composites for polynya monitoring have been produced utilizing several days of swath data (Paul et al. , 2015). Thin ice detection and its SIT estimation in winter conditions are also possible with microwave radiometer
45 data. Thin ice thickness retrieval algorithms have been developed for low-frequency L-band brightness temperature (TB) data from SMOS and Soil Moisture Active Passive (SMAP) missions (Kaleschke et al. , 2012; Tian-Kunze et al. , 2014; Huntemann et al. , 2014; Kaleschke et al. , 2016; Schmitt and Kaleschke, 2018).

With the SMOS data SIT can be estimated up to 0.5–1.5 m thick ice (Kaleschke et al. , 2016). The drawback of the SMOS data is its poor spatial resolution, 35–50 km, which does not allow to detect leads and smaller polynyas. For high-frequency
50 radiometer data (36 and 90 GHz), thin ice SIT retrieval algorithms have also been developed, e.g. (Martin et al. , 2004; Iwamoto et al. , 2014; Nakata et al. , 2019). The thin ice SIT can be typically estimated up to 20 cm, and the SIT data are used for polynya monitoring, e.g. (Onshima et al. , 2016).

Estimation of SIT with synthetic aperture radar (SAR) data has been studied before. In the Baltic Sea, SIT estimation of deformed ice under dry snow conditions is possible through a statistical relationship between the ice freeboard and the
55 radar backscatter (Simila et al. , 2016a). The standard deviation of the average large-scale surface roughness F , increases with increasing average F , and, as the surface roughness increases, the backscatter also increases. An exponential empirical model was derived for estimating F from the backscattering coefficient (σ^0) and dominant thickness of level ice. A good correlation between the L- and C-band co-polarization ratio and SIT of undeformed ice in the Sea of Okhotsk have been found (Nakamura et al. , 2006). The co-polarization ratio has little sensitivity to ice surface roughness and is related to variations in salinity, i.e.



60 ice surface dielectric constant, that can be caused by changes in SIT (Wakabayashi et al., 2004). Toyota et al. (2011) found
good correlation between ALOS/PALSAR L-band HH-polarization σ^0 and SIT ($R = 0.86$) and surface roughness ($R = 0.70$)
in the seasonal ice zone (SIZ), and derived linear relation between σ^0 and SIT (SIT from 0.2 to 0.6 m). They suggested that
satellite L-band σ^0 data allows to estimate SIT distribution in the SIZ, where surface roughness is closely related with the SIT
distribution through deformation processes. Airborne C-band polarimetric SAR (POL SAR) data together with a theoretical
65 backscattering model have been used to estimate SIT in the 0–10 cm range (Kwok et al., 1995). Zhang et al. (2016) derived
SIT estimation method for undeformed first-year ice under dry snow conditions based on sea ice thermodynamic model and
forward scattering model for C-band compact polarimetric (CP) SAR images. Using simulated CP imagery from RADARSAT-
2 POL SAR SIT estimation was possible up to 80 cm thickness. SAR data have also been used to estimate SIT of pancake ice
from the way in which the pancake ice changes the dispersion relation of the waves, dampens the wave amplitude and causes
70 dissipation of the energy of the waves, i.e., it changes the wavelength of ocean waves as they enter the ice (Wadhams et al.
2018). SIT retrieval methods based only on the SAR data are still experimental, and no operational solutions are yet available.

2 Study Area and Period

Our study covers Kara and Barents Seas, see Fig. 2. Seasonal sea ice is found over most of our study area. In the far north,
however, multi-year ice (MYI) is present year-round (Johannessen et al., 2007). The average dates for the ice formation over
75 the area varies from September 10:th in the north to mid-November in the southern Kara Sea and south-eastern Barents Sea
(Pechora Sea), and March-April in the central Barents Sea (west of Novaya Zemlya) (Johannessen et al., 2007). Melting season
begins in late April in the marginal ice zone of the Barents Sea. By the end of June the central Barents Sea and the Pechora Sea
are usually ice-free. In August the ice edge reaches Svalbard and Franz Josef Land. In the Kara Sea, melting gradually begins
in May and continues through July and August. Most of the Kara Sea is ice-free between mid-July and mid-August. In Kara
80 Sea, the ice season lasts for 6–9 months depending on the location and seasonal conditions. We have only used wintertime data
(January-April, October-December) for two years, 2016 and 2017. Currently, radar altimeter SIT retrieval is only possible in
dry snow winter conditions (Kern et al., 2020). During the melt season the radar wave penetration in snow pack is ambiguous
and surface type classification often fails due to melt ponds which give similar radar waveforms as the leads.

Throughout our study we use the following coordinate system (CS): polar stereographic projection with a center longitude
85 of 55°E, reference latitude (latitude of the correct scale) of 70°N and the WGS84 datum. The upper left (UL) and lower
right (LR) coordinates, i.e. the polar stereographic CS northing and easting in meters are: UL=(-700000,-1100000) and LR=(-
2550000,1100000). The size of the study area is 2200 km (easting) by 1850 km (northing).

2.1 Weather Conditions

Air temperature data (T_a) from four coastal weather stations (Kongsøya, Vize, Im. M.V. Popova, and Varandey) shown in 2
90 to evaluate the weather conditions, periods of cold and warm weather, during our study period: January-April and October-
December in 2016 and 2017. The daily average air temperature (T_{am}) from the four stations are shown in 3. We set here $T_{am} < -5$



°C to represent dry snow cold conditions, and $T_{am} > 0$ °C to moist/wet snow conditions where the snow pack, if exists, prevents or significantly attenuates radar returns from sea ice. Between these T_{am} limits the state of the ice pack is ambiguous as it depends on T_a history and time of the CS-2 and S-1 data acquisition, e.g. early morning vs. late afternoon. The T_{am} data will not be used to classify the CS-2 and S-1 into different weather condition classes, but to support analysis on the accuracy of SIT from the combined CS-2 and S-1 data. It is noted that the T_{am} limits above are only approximations.

At the Vize station, representing the northern part of the Kara Sea, T_{am} during January-April 2016 is mostly below -5 °C, there is only few instances of one to three day long periods of T_{am} above -5 °C, and $-T_{am}$ is all the time below 0 °C. T_{am} at the Popova station, which is located at the central Kara Sea, has similar behaviour, but from around 21 Apr onwards T_{am} is over -5 °C. At the Kongsøya station located on the Barents Sea, east of Svalbard, T_{am} is much warmer and is below -5 °C only during half of the days in January-April, but still T_{am} is over 0 °C only in the beginning of Jan. At the Varandey station in the Pechora Sea T_{am} shows very cold weather in Jan, short periods of $T_{am} > -5$ °C in Feb and Mar, and then rather warm weather after first week of Apr. We estimate that the period Jan-Apr 2016 represent typically cold dry snow conditions in our study area.

During October 2016 to Apr 2017 T_{am} from the Vize station is over 0 °C during the first week of October and mostly over -5 °C up to end of third week of November. After that there is only few cases of 1-3 day long periods of T_{am} above -5 °C. At the Popova station T_{am} is over 0 °C during first two weeks of October, and mostly below -5 °C after beginning of November. The Kongsøya T_{am} is all the time over -5 °C up to 24 November, and afterwards there are variable periods of T_{am} above and below -5 °C with an average decreasing T_{am} trend. T_{am} is all the time below -5 °C in Apr. At the Varandey station T_{am} is above 0 °C up to end of October. After beginning of November T_{am} is mostly below -5 °C. There is a period of warm weather in 15-26 Mar with T_{am} sometimes being slightly over 0 °C. We conclude that CS-1 and S-1 data from the beginning of October to roughly mid-November is typically affected by wet or moist snow conditions, depending on the diurnal acquisition time and location in our study area (more probable in the Barents and Pechora Seas). Data acquired from mid-November 2016 to Apr 2017 mostly represent cold winter conditions.

In October - December 2017 the Vize T_{am} is continuously below -5 °C after 5 November. It is never above 0 °C. At the Popova station T_{am} very close to or above 0 °C during first two weeks of October. It is mostly below -5 °C after 7 November. In the Barents Sea at the Kongsøya stations T_{am} is longer periods below -5 °C only in 20-30 November, and 25 Dec onwards. At the Varandey station T_{am} is above 0 °C in 1-10 October, and continuously above -5 °C up to 7 November. Afterwards there are periods up to 10 days with T_{am} either above or below -5 °C. It seems that in the Kara Sea the time period after first week of November 2017 can be assumed to represent mostly cold winter conditions. In the Barents and Pechora Seas in November and Dec there are also periods of cold winter conditions, but sometimes T_{am} is between -5 and 0 °C, when there could have been moist snow effects on the radar signatures.

3 Data

In this section we present the data sets used in our study.



125 3.1 CryoSat-2 Data

CS-2's primary payload is the Synthetic Aperture Interferometric Radar Altimeter operating in the Ku-Band (13.6 GHz) which allows a much smaller sampling footprint (about 250 m in the satellite track direction) than earlier satellite radar altimeters. Over sea ice, CS-2 echoes are assumed to scatter from the interface between the ice surface and the layer of overlying snow (Laxon et al. , 2013), thus enabling freeboard estimation, and further, sea ice thickness calculation by known snow depth and
130 density.

The quantity altimeters measure is surface elevation, from which freeboard (F) can be derived from. Assuming hydrostatic equilibrium and known sea ice density (ρ_i), snow density (ρ_s) and water density (ρ_w) the following equation can be formed:

$$h_i = \frac{\rho_w}{\rho_w - \rho_i} F - \frac{\rho_w - \rho_s}{\rho_w - \rho_i} h_s. \quad (1)$$

As can be seen from equation above, snow estimate has a large effect on retrieved SIT. In the case of radar altimeters, such
135 as CS-2 used here, h_s is also required for signal propagation speed correction in deriving F . A more detailed analysis on the effect of snow can be found in (Kern et al., 2015). In this study, like in many other CS-2-based sea ice thickness products, climatological snow depth and density based on the Warren climatology (Warren et al. , 1999) are used.

In this study we have computed sea ice thickness with FMI implementation of the python sea-ice radar altimetry toolbox (PySiral, available in: <https://github.com/shendric/pysiral>). The primary input has been the European Space Agency's CS-2
140 Baseline-C Level-1B product. Our processing follows the algorithm of (Ricker et al. , 2014; Hendricks et al. , 2016). For auxilliary data we have used the DTU15 mean sea surface height product, EUMETSAT OSISAF sea ice concentration and sea ice type, as well as Warren 1999 snow depth and density data with the 50 percent reduction over first-year ice first proposed by (Kurtz and Farrell , 2011).

3.2 Sentinel-1 SAR

145 All the available Copernicus Sentinel-1 (S-1) C-band dual-polarized Extra Wide (EW) swath mode level 1 Ground Range Detected Medium resolution (GRDM) data with the HH/HV polarization channels over the study area and period (January-April and October-December 2016, and January-April and October-December 2017) were used in this study. The SENTINEL-1 SAR data are publicly available through the European Space Agency (ESA) Copernicus Science Hub (<https://scihub.copernicus.eu/>).

3.3 Reference Data

150 In our study we use the Russian AARI ice charts, ORAS5, PIOMAS, and TOPAZ4 ocean-sea ice reanalyses SIT data, and MODIS daily SIT charts as reference data. They are used to evaluate the performance of our CS2-SAR SIT estimation method. The TOPAZ4 SIT model data are also used to remap the CryoSat-2 training data set based on cumulative SIT distributions.



3.3.1 AARI ice charts

Arctic and Antarctic Research Institute (AARI) produces weekly ice charts for the Barents and Kara Seas (Bushuev et al. ,
155 2007). The ice charts are based on the available visible, thermal infrared and SAR imagery and reports from coastal stations
and ships. The polygonalization of images and subsequent interpretation and mapping of ice conditions are carried out by
skilled ice analysts. The main purpose of the weekly ice chart is to show the spatial distribution and characteristics of sea ice.
Many sea ice details within polygons are ignored. The AARI ice charts are in the digital SIGRID-3 vector file format (JCOMM
, 2014b). We have not found any publication that discusses the uncertainty of the AARI weekly ice chart. The AARI ice charts
160 are available at: <http://wdc.aari.ru/datasets/d0004/>.

The ice charts convey their information in codes that are explained in (JCOMM , 2014a). The charts show total concentration
(code CT) and partial concentrations of the first, second, and third thickest ice (codes CA, CB, and CC) along with their
respective stages of development (SA, SB, and SC) and form of ice (FA, FB, FC) for polygonal areas of variable sizes. The
concentrations are shown with intervals of either one or two tenths wide. The stage of development is defined as ice thickness
165 intervals. Following thickness ranges are used: nilas (<10 cm), young ice (10–30 cm), grey ice (10-15 cm), grey-white ice
(15-30 cm), thin FYI (30–70 cm), medium FYI (70–120 cm), thick FYI (≥ 120 cm), FYI in general (≥ 30 cm), and old ice
(≥ 120 cm). The form of ice carries information on e.g. ice floe size or occurrence of landfast ice. Some polygons only have
one or two stage of development classes assigned to them (i.e. polygons are more homogenous).

In the ice charting community, the definition of ice thickness that the ice charts reports is somewhat ambiguous. For the
170 AARI charts, (Afanasyeva et al., 2019) states that: "Fast ice thickness measured at a hydrometeorological station serves as a
reference. Looking at a satellite image, ice expert matches brightness of drifting ice outside the shore to brightness of land-fast
ice with known thickness." Thus, we interpret the AARI ice thickness as the thickness of level ice in the area - analogous to
the thermodynamic grown fast ice.

For validation, polygons from AARI charts were gridded to 1 km by 1 km grid covering our study area. Then for each AARI
175 ice chart polygon a mid-range SIC and mid-range SIT or upper or lower SIT values of the first, second, and third thickest ice
types were assigned. However, for FYI general type a SIT value of 95 cm is used (same as for thick FYI). An average SIT for
each chart polygon was calculated as a sum of the SIT values for the three ice types weighted with their concentrations. Finally,
the gridded charts for the Barents and Kara Seas were combined together, see an example in Fig. 8(c). This processing of the
AARI charts has been used previously by (Makynen and Karvonen , 2017). The total number of the AARI weekly charts used
180 in the validation was 37 (time span from 4 Jan until 26 Dec, excluding the summer period) for both the Barents and Kara Seas.

3.4 Model Reanalysis Data

3.4.1 TOPAZ4

TOPAZ4 is a coupled ocean-sea ice model with advanced data assimilation system for the North Atlantic Ocean and Arctic
(Sakov et al. , 2012). The data assimilation is based on the use of ensemble Kalman filter (Evensen , 1994). The resolution
185 of the TOPAZ4 model grid is 12–16 km. TOPAZ4 is operationally available in CMEMS (Copernicus Marine Environment



Monitoring Service) funded by European Commission. In this study we have used the TOPAZ4 daily reanalysis ice thickness values (CMEMS product ARCTIC_REANALYSIS_PHY_002_003) (Copernicus PUM, 2020). The CS2SMOS thickness (Ricker et al., 2017), based on fusion of Cryosat-2 and SMOS SIT is assimilated to the TOPAZ4 reanalysis SIT product. The modelled sea-ice thickness provided by the TOPAZ4 CMEMS product is a diagnostic variable based on prognostically
190 calculated sea-ice volume divided by sea-ice concentration for each model grid cell. Accordingly, it provides the mean sea-ice thickness for the portion of grid cell that is covered by sea ice.

3.4.2 ORAS5

Because TOPAZ4 seems to underestimate the Arctic ice thickness (Xie et al., 2018), we also used the ORAS5 reanalysis SIT data (Zuo et al., 2017, 2019). Tietsche et al. (2017) calculated the root-mean-square-difference between ORAP5, the prototype
195 of ORAS5, and ICESat sea-ice thicknesses to be of 1.0 m, comparable to the PIOMAS product (Schweiger et al., 2011), see also section 3.4.3. The ORAS5 data are produced by the European Centre of Medium-Range Weather Forecasts (ECMWF) in 0.25 degree nominal resolution in a stretched global tri-polar grid with the poles in northern Canada, Eurasia and the South Pole. This grid has a resolution of about 5–15 km in the Arctic. A feature of ORAS5 is that in the open-water part of a grid cell sea ice forms at a certain initial thickness of the order of $H_0 = 0.5$ m (Tietsche et al., 2017). Such a treatment of initial
200 sea ice is common in geophysical sea-ice models (Lemieux et al., 2018). The initial thickness H_0 is, coincidentally, close to the thinnest ice CS2 is able to reliably measure. As TOPAZ4, the ORAS5 product provides the diagnostic sea-ice thickness for each grid cell that has been calculated by dividing the modelled sea-ice volume by concentration.

3.4.3 PIOMAS

Additionally we utilized the PIOMAS model (Zhang and Rothrock, 2003) SIT data which have a coarser resolution than
205 TOPAZ4 and ORAS5. Despite the coarse resolution, PIOMAS sea-ice volume agrees well with the ICESat estimates (Schweiger et al., 2011). The PIOMAS model grid is a stretched generalized orthogonal curvilinear coordinate (GOCC) system. A GOCC system allows a coordinate transformation that displaces the pole of the model grid. The PIOMAS model data are in the GOCC grid with the northern grid pole displaced into Greenland. The PIOMAS resolution in our study area is around 40 km. Unlike TOPAZ4 and ORAS5, PIOMAS provides sea-ice volume per unit area, which was divided by the PIOMAS sea-ice concentra-
210 tion to obtain sea-ice thickness per grid cell. Because of the coarse resolution of the PIOMAS data we did not use it in direct comparisons, but we utilise it in defining the mapping of CS2 thicknesses to model compliant thicknesses in Section 3.

3.5 MODIS Daily SIT Chart

Daily MODIS ice thickness (h_{iM}) charts for our study area have been processed for two winters, from November 2015 to April 2017. All the details on the daily chart processing can be found in (Makynen and Karvonen, 2017). The daily charts are
215 based on all available Aqua and Terra MODIS h_{iM} swath charts. Charts for very cloudy days were manually excluded. The total number of the daily charts is 317.



The MODIS based SIT charts have pixel size of 1 km, but the cloud mask has 10 km pixel size. The daily h_{iM} chart shows daily median h_{iM} (h_{iM}^d) for pixels which had at least two h_{iM} samples from the swath charts. The requirement for having at least two valid h_{iM} samples decreases the errors due to the misdetected clouds in the swath charts. h_{iM}^d has following values: 0-0.3 m, 0.4 m marking h_{iM}^d class of 0.31-0.5 m which corresponds to thin FYI of the first stage in the WMO sea ice nomenclature (JCOMM , 2014a), and 0.5 m for $h_{iM}^d > 0.5$ m.

The MODIS h_{iM} swath charts are based on ice surface temperature from the MODIS/Terra or Aqua Sea Ice Extent 5-Min L2 Swath 1km product (MOD29/MYD29) and ERA-Interim atmospheric forcing data. The processing method of the h_{iM} swath chart is described in detail in (Makynen et al. , 2013). Cloud masking for the swath h_{iM} charts was conducted using fully automatic methods (Makynen and Karvonen , 2017). As the uncertainty of the retrieved h_{iM} increases with increasing air temperature T_a , the h_{iM} retrieval with the MODIS swath data was not conducted when $T_a > 5$ °C. The typical maximum reliable h_{iM} (max 50% uncertainty) is 0.35-0.50 m (Makynen et al. , 2013).

4 Methodology

4.1 S-1 preprocessing

We georectified and sampled the S-1 SAR data into a 100-m pixel size. Absolute calibration was applied to get the backscattering coefficient value at each pixel in logarithmic scale (unit dB). The calibration to provide the backscattering coefficients (σ_{HH}^0 and σ_{HV}^0 for HH- and HV-channel) at image location (pixel i) was performed according to the following equation (Bourbigot et al. , 2016):

$$\sigma_i^0 = \frac{(DN_i^2 - n_i)}{A_i^2} \quad (2)$$

DN_i is the original image pixel value at location i, n_i is the provided noise data at location i, and A_i the provided calibration coefficient at location i.

The S1 data was preprocessed by applying a linear incidence angle correction (Makynen and Karvonen , 2017) to the HH channel and a combined incidence angle and noise floor correction to the HV channel, for details, see (Karvonen , 2017). The HH channel incidence angle correction has been tuned for sea ice. This leads to reduced performance on open water because of the varying contribution of the water surface due to waves (Bragg scattering) to the backscattering measured by SAR. After incidence angle and noise floor corrections the image data were geo-rectified into the polar stereographic projection specified in Section 2. After geo-rectification the imagery were down-sampled to 500 m resolution, and finally the daily mosaics were constructed by overlaying the newer images over the older ones such that at each mosaic grid cell (pixel) the newest SAR data prior to the mosaic time label, which was defined to be 12:00 UTC daily here, was available.

The mosaic was initialized only in the beginning of the mosaicking (in this case in the beginning January 2016). In practice the data at a given grid cell location were never older than three days from the mosaic time label. Separate mosaics for HH and HV channels were constructed. A land mask based on the GSHHG coastline (Wessel and Smith , 1996) was applied to



the mosaics to exclude land areas. The SAR mosaics of 21 February 2017 for HH and HV channels are shown in Fig. 4 and a detail of the channel images in Fig. 5.

250 SAR images were processed to 8 bpp images by scaling the σ^0 between 1 and 255 (0 representing background). The scaling for the HH channel is such that -30 dB corresponds to the pixel value of one and 0 dB corresponds to the pixel value of 255. For HV channel the decibel values are -40 and 0, respectively. For segmentation the meanshift algorithm (Fukunaga and Hostetler, 1975) was first applied to locate the modes of the two-dimensional (HH and HV) SAR data. The meanshift algorithm has been empirically adjusted so that about 10-15 modes will be produced after convergence. The initial 10-15 categories based on MS
255 were then used as a starting point for iterated conditional modes (ICM) segmentation (Besag, 1986).

4.2 Interpolation of CS2 using S-1 SAR

First, the SAR mosaics are segmented. Next, the CS-2 SIT values are mapped to SAR segments where enough CS-2 SIT measurements are available. Then the SIT is estimated for the rest of the segments by using a difference function describing the similarity of the segments and pairwise distance of each pair of segments in time and space. The difference function
260 includes several SAR features in addition to the temporal and spatial distance of the segments. The data of January-April and October-December 2016 were used for training the algorithm and January-April and October-December 2017 data were used for evaluating the algorithm performance.

A block diagram of the algorithm is presented in Fig. 6. In the first phase the CS-2 SIT values are assigned to SAR segments of the segmented SAR mosaic. In the next phase the difference function is computed for all the segments without an assigned
265 SIT value yet between all the segments with an already assigned SIT value within range. In the following phase a SIT is assigned to the segments without a SIT value using a median of SIT values of M segments with the smallest difference function values. As a last step, a remapping based on histograms of the estimated SIT and PIOMAS, ORAS5 and TOPAZ4 model reanalyses SITs for the training data are performed to get a bias-corrected SIT estimate for each segment.

The SAR features were computed within a round-shaped window with a radius R. In this study we have used R=5 pixels.
270 Median of the feature values within each segment were then assigned to the segments as segment-wise texture features. The features used in this study are:

- HH backscattering coefficient (σ_{HH}^0)
- HV backscattering coefficient (σ_{HV}^0)
- HH coefficient of variations (F_v)
- 275 • HV coefficient of variations (F_v)
- HH local autocorrelations (C_A)
- HV local autocorrelations (C_A)
- HH entropies (E)



- HV entropies (E)
- 280 • HH local variogram slopes (V_1)
- HV local variogram slopes (V_1)
- HH edge point densities (D_E and D_C)
- HV edge point densities (D_E and D_C)
- HH corner point densities (D_E and D_C)
- 285 • HV corner point densities (D_E and D_C)
- HH/HV channel cross-correlation (C_c)

The feature computation windows were overlapping by half of the window size (i.e. window radius) in the both the coordinate directions.

Entropy E (Shannon , 1948) was computed as

$$290 \quad E = - \sum_{k=0}^{255} p_k \log^2 p_k, \quad (3)$$

where p_k 's are the proportions of each gray tone k within each computation window. Auto-correlation C_A (Box and Jenkins, 1976) was computed as

$$C_A(k, l) = \frac{\sum_{ij \in W} (I(i-k, j-l) - \mu_W)(I(i, j) - \mu_W)}{|B| \sigma_W^2}, \quad (4)$$

where $I(k, l)$ is the pixel value at image location (k, l) . Mean over the horizontal, vertical and diagonal directions i.e. $(k, l) = (0, 1)$, $(k, l) = (1, 0)$, $(k, l) = (1, 1)$ and $(k, l) = (1, -1)$ was used to accomplish directional independence. The computation window is here denoted by W . σ_W and μ_W are the mean and standard deviation within the window, respectively.

The cross-correlation C_c (Knapp and Carter , 1976) between the SAR polarization channels (HH and HV,) here denoted by X (HH) and Y (HV), is

$$300 \quad C_c(k, l) = \frac{1}{N_p \sigma_x \sigma_y} \times \sum_{i, j \in W} (X(k+i, l+j) - \mu_x)(Y(k+i, l+j) - \mu_y), \quad (5)$$

where k and l refer to the row and column coordinates of the window centre image pixel, respectively, σ_x and μ_x are the mean and standard deviation, respectively, of the window in X and σ_y and μ_y are the mean and standard deviation, respectively, of the window in Y . N_p is the number of pixels within the window denoted by W .



We also computed texture features based on local variograms. The variograms were locally estimated in a window with a
 305 radius of five pixels. Assuming a stationary and isotropic process, the variogram γ is (locally) dependent on the inter-distance,
 here denoted by h , only (Cressie, 1993), and can be estimated as

$$\gamma(h) = \frac{1}{2|H_h|} \sum_{i,j \in N_h} |z_i - z_j|^2, \quad (6)$$

where z_i and z_j are the pixel values at locations i and j , whose distance is h . We have computed the length of approximately
 linear part of the variogram as a function of h , and the slope of a linear fit of this linear part of the variogram. These are referred
 310 here as features V_1^{ch} and V_2^{ch} , where ch (channel) is either HH or HV.

The coefficient of variation is computed as

$$C_v = \sigma_w / \mu_w, \quad (7)$$

where σ and μ are the standard deviation and mean within the window w . C_v is computed separately for the HH and HV
 channels, respectively, i.e. we have C_v^{HH} and C_v^{HV} .

315 The edge and corner points counts (N_e and N_c) were extracted for each segment using local binary patterns (Ojala et al.,
 1996) in a similar way as presented in (Karvonen, 2016). The proportion of the number of edge and corner points w.r.t. each
 segment area (in pixels) was computed for both polarization channels and they were used as texture features. These features
 are denoted here by N_e^{HH} , N_e^{HV} , N_c^{HH} and N_c^{HV} .

Based on the segmentation result and the SAR texture features complemented by σ_{HH}^0 and σ_{HV}^0 the segment-wise median
 320 values of each texture feature and channel-wise SAR backscattering coefficients were calculated, resulting to a total of 15
 SAR features (13 texture features and two backscattering coefficients) for each segment. As an example of the segmentation
 the segmentation result of the SAR mosaic of 21 February 2017 with σ_{HH}^0 and σ_{HV}^0 medians assigned to SAR segments is
 shown in Fig. 3 and a detail of these HH and HV channel figures in Fig. 4. We use the SIT estimation and reference SIT data
 of this day as an example in the following sections.

325 According to our analysis the SIT difference between two segments was mainly explained by L1 difference of a few features
 (σ_{HV}^0 , HV entropy and HH edge density) and the spatial distance between segment means, based on a least squares fit of the
 training data (Jan-Apr and Oct-Dec 2016), but other features also had minor effect. For this reason we have used all the above-
 mentioned features in our difference function because the number of features did not produce any computational problems
 with respect to execution time or hardware resources on a common desktop personal computer.

330 The 2016 (January-April, October-December) CS-2 and SAR data were used for training and 2017 (January-April, October-
 December) data for testing the method. The segment difference function T was defined as linear combination of the SAR
 feature differences and temporal and spatial distance between as segment pair:

$$T = c_t \Delta t + c_d \Delta D + \sum_{k=1}^{N_f} c_k |\Delta f_k| \quad (8)$$

Δt is the (absolute) time difference in days, ΔD is the distance difference between the centres of the segments, Δf_k is the
 335 difference between the SAR feature f_k ($k = 1, \dots, 15$) of the two segments in comparison. 2016 CS-2 thickness and SAR



mosaics were used in defining the coefficients c_t , c_d , c_k , using a non-negative least squares (LS) fit. Non-negative LS was used because all the absolute differences should have an increasing effect on T .

As training data we used the CS-2 SIT values assigned to the segments. Each 2016 training data SAR segment with an assigned SIT were included and the neighboring assigned SIT values and the corresponding segment pair-wise feature differences
340 were used in the LS fit, i.e. each segment pair with an assigned CS-2 SIT were utilized.

SIT for a segment (S) without a SIT value were obtained as follows: T between S and all the other segments within a predefined range was computed and the median of the SIT values corresponding to the M segments with the smallest values of T within a predefined spatio-temporal search range was assigned to segment S SIT. The spatio-temporal search range was 10 days in time (for natural reasons only backwards) and 1000 km (2000 pixels) in range. We have used parameter values of
345 $N=9$ and $M=5$ in this study.

4.3 Ice chart and model compliant CS2 product

Because SIT was significantly overestimated for the 2016 training data, we introduce a mapping based on ORAS5 and PIOMAS model reanalysis data to reduce the SIT overestimation. The CS-2 SIT measurements were further mapped, based on the training data of 2016 and the corresponding PIOMAS reanalysis SIT in the range 0-200 cm of CS-2. The coarser resolution
350 PIOMAS data were selected for this purpose because ORAS5 does not give reasonable SIT values for SIT less than 50 cm (Tietsche et al. , 2017). Above 200 cm a linear fit to the linear part (up to 350 cm) of the ORAS5 SIT histogram correspondence was used because from about 200 cm ORAS5 indicates thicker ice and the problem with ice model reanalysis data has been underestimation of SIT. Also ORAS5 SIT starts to saturate above 350 cm and this part of the matching was not used in the mapping and the mapping above 350 cm uses the same linear slope defined for the interval 200-350 cm. The histogram mapping
355 is based on the normalized histograms and minimizing the Kolmogorov-Smirnov distance (i.e. mapping the cumulative histograms) of the CS-2/S-1 SIT and the TOPAZ4 reanalysis SIT. The Kolmogorov-Smirnov distance, D_m , for a variable x is also defined as

$$D_m = \sup_x |F_t(x) - F_c(x)|, \quad (9)$$

where \sup_x is the supremum within the range of x (SIT in our case) and $F_t(x)$ and $F_c(x)$ are the cumulative probability density functions (CDF's) of the ORAS5 or PIOMAS SIT, and CS-2 SIT computed for the 2016 training data set. In our case
360 we have quantized the SIT into integer centimeters and the SIT histograms are used as approximations of probability density functions (PDF's). The SIT values were quantized to 1 cm accuracy and 16 bits.

This mapping based on the 2016 training data CS-2 SIT and 2016 model SIT is shown in Fig. 7 as a red curve, until 200 cm it follows the PIOMAS mapping and above 200cm a linear fit to ORAS5. For reference also the Topaz4 matching result is
365 shown in the Figure as a green curve. It can be seen that Topaz4 reanalysis SIT mapping is at significantly lower level than the mapping for the other two models.



5 Results

We have compared the SIT values produced by the algorithm to the SIT based on the AARI ice charts, ORAS5, PIOMAS and TOPAZ4 reanalysis SITs to evaluate the performance of the SIT estimation. In the comparisons we have used the following
 370 measures of difference between the SIT estimates and the reference SIT:

$$C = \frac{1}{N_s \sigma \sigma_{ref}} \sum_{i=1}^{N_s} ((X_i - \mu)(X_i^{ref} - \mu_{ref})), \quad (10)$$

$$D_{L1} = \frac{1}{N_s} \sum_{i=1}^{N_s} |X_i - X_i^{ref}|, \quad (11)$$

$$D_{sgn} = \frac{1}{N_s} \sum_{i=1}^{N_s} (X_i - X_i^{ref}). \quad (12)$$

$$D_{RMS} = \sqrt{\frac{1}{N_s} \sum_{i=1}^{N_s} (X_i^{est} - X_i^{ref})^2}. \quad (13)$$

375 N_s refers to the number of samples (number of grid points) used in the comparison and X_i ($i = 1 \dots N_s$) are the estimated values of SIT and X_i^{ref} are the values of the reference SIT data at the same location as X_i . C is the correlation, D_{L1} is the L1 difference and D_{sgn} is the signed L1 difference giving the estimation bias, positive bias indicating overestimation and negative bias indicating underestimation. D_{RMS} is the root-mean-square difference. μ and μ_{ref} are the means of the estimated SIT and reference SIT, and σ , σ_{ref} are the standard deviations of the estimated SIT and reference SIT, respectively.

380 On average the correlation between the non-interpolated CS-2 SIT estimates and AARI SIT was 0.22. Correlation between CS-2 SIT and the two model SIT (TOPAZ4 and ORAS5) were 0.18 and 0.12 respectively. The L1 difference and bias of the CS-2 SIT w.r.t. AARI SIT were 50 cm and 35 cm, CS-2 showing thicker ice. The L1 difference and bias of the CS-2 SIT w.r.t. TOPAZ4 reanalysis SIT were 53 cm and 31 cm, again CS-2 being thicker. The corresponding numbers w.r.t. ORAS5 SIT were 49 cm and 0 cm. Interestingly, for the ORAS5 which initiates its ice thickness at 50 cm has zero bias against the CS-2
 385 estimates.

The correlations for the CS-2 SIT assigned to SAR segments was somewhat higher, around 0.35. The correlations w.r.t. the two reference data sets for each 2017 winter month and their averages and standard deviations are presented in Table I, the corresponding L1 differences in Table II, the biases in Table III and the RMSD's in Table IV. Also the differences and correlations between the three reference SIT data sets are shown in Table V. CS-2 in the tables refers to the CS-2 data mapped
 390 to the segments with more than N CS-2 measurements available.

The monthly cross-correlations between the estimated SIT and the estimated remapped SIT w.r.t. AARI SIT were quite similar with values around 0.3-0.4 during the winter months (January-April, December). For the freeze-up months (October-November) the cross-correlation w.r.t. AARI SIT were higher, up to about 0.7 in October and about 0.45 in November. This is explained by the thinner ice and smaller deviation in ice thickness. The same phenomena can be seen in the cross-correlations



395 w.r.t. TOPAZ4 and ORAS5 SIT. Also warm weather and thin moist snow cover may have an effect to the results in October and November.

The cross-correlation of the estimated SIT and remapped estimated SIT w.r.t. AARI SIT and TOPAZ4 reanalysis SIT were similar both monthly and on average. The average cross-correlations w.r.t. AARI SIT were 0.37 for the estimated SIT and 0.39 for the remapped estimated SIT. The corresponding values w.r.t. TOPAZ4 reanalysis SIT were 0.39 and 0.42, and w.r.t. 400 ORAS5 SIT 0.35 and 0.39. The minimum cross-correlation values were reached in March, except w.r.t. TOPAZ4 reanalysis SIT in January.

The monthly L1 differences were smaller for the freeze-up period and increasing as the average ice thickness increased in the course of the winter as the average ice thickness increased. The L1 difference of the estimated SIT and remapped estimated SIT w.r.t. AARI SIT, and TOPAZ4 and ORAS5 reanalysis SIT were smaller for the remapped estimated SIT which was expected 405 as the target of the remapping was to reduce the large positive bias. The average and maximum L1 difference w.r.t. AARI SIT were 48 / 78 cm for the estimated SIT and 36 cm / 48 cm for the remapped estimated SIT. The maximum values were reached in March and April. The corresponding values w.r.t. Topaz reanalysis were 48/77 cm and 38/52 cm and w.r.t ORAS5 SIT 46/63 cm and 37/45 cm. The difference maxima were reached in April and March.

The biases (i.e. signed L1 differences) in Table III have been computed such that positive values indicate overestimation 410 w.r.t. the reference value and negative values underestimation. The monthly bias (signed L1 differences) also increases for the estimated SIT w.r.t. both AARI SIT and TOPAZ4 reanalysis SIT as the ice gets thicker, indicating significant overestimation. For the remapped estimated SIT bias remain at a lower level for all the studied months, w.r.t. ORAS5 there was even small underestimation on average, but w.r.t. TOPAZ4 reanalysis SIT some overestimation. The averages and maximum biases for the estimated SIT w.r.t. AARI SIT were 32/67 cm (maximum reached in March). The corresponding values w.r.t. AARI SIT for 415 the remapped estimated SIT were 21/41 cm (maximum underestimation in April). The corresponding values w.r.t. TOPAZ4 reanalysis SIT were 38/72 cm and 26/45 cm, respectively. The corresponding values w.r.t. ORAS5 SIT were -3/22 cm and -15/-22 cm, i.e. slight underestimation. The biases of the remapped SIT w.r.t. ORAS5 SIT were slightly negative for all the months but still L1D and RMSD w.r.t. ORAS5 SIT were smaller for the remapped SIT than for the unmapped SIT.

The RMS difference has a similar behaviour as L1 differences. It also increases as the average ice thickness increases in the 420 course of the winter and smallest RMSD values were reached during the freeze-up months (October-November). The average and monthly maximum RMSD values for the estimated SIT w.r.t. AARI SIT were 64/94 cm, and the corresponding values for the remapped estimated SIT were 45/57 cm. The corresponding values w.r.t. TOPAZ4 reanalysis SIT were 69/98 cm for the estimated SIT and 51/64 cm for the remapped estimated SIT, and the corresponding values w.r.t. ORAS5 SIT were 60/80 for the estimated SIT and 48/59 cm for the estimated remapped SIT.

425 For the CS-2 SIT mapped to SAR segments, the difference measures shown in Tables I-IV, indicate large positive bias (overestimation) and other difference measures w.r.t. AARI SIT, TOPAZ4 and ORAS5 reanalysis SIT. These values are highest for the winter months with thickest ice and smaller for the freeze-up (October-November). The cross-correlations w.r.t. all reference data sets were low, significantly lower than for the estimated SIT.



It should be noted that also the reference SIT data sets differ from each other. These differences are shown in Table V. The
430 average cross-correlations between the reference data sets were in the range 0.54-0.60. TOPAZ4 and AARI SIT values were
on average quite close to each other, having only low bias, ORAS5 SIT was then on average 35-40 cm above them.

Furthermore, the reader should note that in our evaluation the compared randomly sampled points were located in highly
dissimilar regions, characteristic for the different data sets, with different sizes and shapes (such as ice model grid points, SAR
segments, ice chart polygons).

435 In order to assess how far the SIT can be interpolated and still give usable estimates, we studied the effect of distance and
time on the SIT difference (or in other words, estimation error) using our training data set of 2016. We used the assigned CS-2
SIT values as reference and searched for sets of segments with either constant time difference or constant distance and defined
the increase of ice thickness difference as a function of the difference in the other.

The average increase in estimation error for the 2016 training data as a function of time was 1.6 cm/day and of space was
440 1.3 cm/100 km. This indicates that the contribution of distance difference to the total difference is in maximum (corresponding
to the search range boundaries) around 13 cm for the 1000 pixel spatial search range and 16 cm for the 10 day temporal search
range. An example of the SIT estimation of 21 February 2017 without and with the remapping can be seen in Fig. 8. For
reference also the AARI SIT and the TOPAZ4 and ORAS5 reanalysis SIT have been included in Fig. 8. This figure represents
a typical case with a general agreement of the thinner and thicker ice fields generally in agreement compared to the reference
445 data but still indicating quite much difference due to the details of the local SIT distribution, given in higher level of detail in
the estimated CS2/SAR SIT.

As we are using a (segment) difference function, we compute its median value for each segment mapped. This value cor-
responds to the mapping and the larger it is the larger the uncertainty of the estimation can be considered. We have scaled
the difference to the range 0-100 (based on the training data difference function values) and in Fig. 10 the scaled difference
450 function for the estimation of 21 February 2017 is shown. The highest uncertainty is found at the ice edge and in the river
Ob delta area. The uncertainty could probably also be used for iteratively re-estimating the SIT of segments with the highest
uncertainties.

We also performed some comparisons of the CS-2/S-1 SIT estimates against the daily MODIS SIT charts. The MODIS
product only gives thin ice thicknesses and the thicker ice thicknesses are very roughly categorized, i.e. one category for SIT
455 of 31-50 cm and another for SIT over 50 cm. There were MODIS SIT charts for the winter 2017 (January-April). The monthly
correlations between the thin MODIS SIT (1-30 cm) and the SIT estimated by the proposed method varied between 0.15 to
0.25. We also computed the averages of the estimated SIT for the three MODIS SIT categories, i.e. 1-30 cm, 31-50 cm and over
50 cm for each month and for the estimated SIT and estimated remapped SIT. These are presented in Table 5. It can be seen
that the averages increase towards a thicker MODIS thickness category for both the estimates, but the increases are quite small
460 and the averages are too high for both the methods, some less for the remapped estimate. It can also be seen that the averages
increase as a function of time, i.e. as ice gets thicker in the course of time. Based on this experiment we can conclude that the
estimates, even with the proposed remapping, tends to overestimate specifically thin ice thickness. For visual evaluation and
comparison to the SIT estimates of Fig. 9 we also show the MODIS SIT collage for February 21 2017 in Fig. 10. The color



scale is the same as in Fig. 9, except that the thickest MODIS SIT category (SIT>50cm) is cyan in the figure. Because in a
465 daily MODIS SIT chart SIT can typically be computed only for small areas due to cloud cover, the collage is composed of two
weeks of MODIS SIT charts with the most recent available MODIS SIT value at each grid point. The areas with no data during
the 2-week period are indicated by the black color in the figure.

When comparing the MODIS SIT collage of Fig. 10 with the daily CS-2/S-1 SIT estimate in Fig. 8b we notice that most
of the ice-covered areas of the study area areas belong to the thickest MODIT SIT category (SIT>50 cm). The thin ice areas
470 according to the MODIS SIT are located near the Kara gate (southern Kara Sea) and near the ice edge in the northwestern
part of the study area. These are in general in agreement with the CS-2/S-1 SIT Also some thicker ice patches within or in the
vicinity of the MODIS SIT thin ice areas can be identified in the CS-2/S-1 SIT. The general pattern detecting thinner ice in the
south and near ice edge is present in both CS-2/S-1 and MODIS SIT. This general large scale pattern can be seen throughout
the winter. However, the SIT estimates include many anomalous local details due to the dynamic nature of the ice field.

475 6 Discussion and Conclusions

In this study an algorithm for inter/extrapolating of the CS-2 SIT data over the S-1 daily SAR mosaic was developed and
evaluated by comparisons to SIT derived from Russian Arctic AARI ice charts and two ice model reanalysis data sets. We
wanted to demonstrate the potential of our method as well as to evaluate its performance. We found significant differences
(both low correlation and high bias) between non-interpolated CS-2 SIT and the reference data. The match between CS2
480 and reference data improved with the introduction of segmentwise medians. However, there was still a significant difference,
especially a high positive bias due to different nature of CS-2 estimates and the reference data sets. To reduce this bias, we
performed a mapping based on the PDF's (histograms) of the CS-2 SIT and PIOMAS/ORAS5 model reanalysis SIT of the
training data set. This mapping reduced the positive bias. However, the remapped CS-2 data should not be understood as more
correct, but as one more akin to model SIT.

485 An interesting result was that the inter/extrapolation does not decrease the correlation compared to (incomplete) SIT result-
ing from CS-2 data assigned to SAR segments, or CS-2 data as individual measurements. In many cases correlations were
even slightly higher for the results with the SAR data and inter/extrapolation included. Here the mapping was based on PI-
OMAS/ORAS5 model reanalysis data of the training data of 2016. TOPAZ4 reanalysis still seems to underestimate the high
SIT values, this is suggested e.g. by the comparison results between TOPAZ4 and ORAS5 SIT in Table V.

490 In general, evaluation of ice thickness over Arctic is difficult because of lack of reliable reference data. Another problem is
the scale of the SIT measurements, estimates and models. SIT measurements, if available, are typically point measurements
or measurements with a footprint of a few meters to a few tens of meters (e.g. SIT measurements by drilling or Electromag-
netic induction based EM measurements), and the model grid cells, EO measurements or segment-wise SAR estimates represent
averages over several square kilometers (km²). Also ice charts give averages of typically quite large polygons of several km².

495 We selected parameters, such as N, empirically. The sensitivity of SIT to parameters chosen was not studied thoroughly yet.
It will be a topic for future research. For example the value of N was selected such that we had enough segments with CS-2 SIT



assigned to them to get inter/extrapolated SIT for all the segments of a daily SAR mosaic. Currently the value of N is relatively small for a typical SAR segment. A higher value of N would be preferable. However, a higher value of N in turn would reduce the number of segments included in the estimation and also increase the possibility of too few assigned SIT values for the inter/extrapolation step. For this reason we used a moderate value of N.

The relatively larger differences w.r.t. the reference data sets can at least partly be explained by the different resolutions and level of detail of the SIT estimates. The CS-2/S-1 SIT has a resolution of 500 m (the SAR mosaic resolution) which is significantly higher than the resolution of the ORAS5, PIOMAS and TOPAZ4 reanalyses (over 10 km) and also the ice chart level of detail. Furthermore the original CS-2 measurements stem from individual footprints that are approximately 300 m x 1600 m. Even though the ice charts have a nominal resolution up to about 1 km, in practice it is impossible for the ice analysts to include all the ice field details in this scale within the limited time available for making the ice charts. Instead, the ice analysts tend to draw larger polygons, representing rather homogeneous sea ice, neglecting the details, and assigning areal average values to the polygons. However, the polygon boundaries typically have the precision of the nominal ice chart resolution.

In the future we plan to study segment clustering and then assigning CS-2 SIT value median or mode of each segment cluster to all segments of a segment cluster instead of single segment medians. An easy solution would be to merge small segments into their neighboring larger segments to remove all the segments smaller than a given area. This would give more CS-2 measurements within the segments but on the other hand also giving SIT estimates representing averages of larger areas. Despite of this it should be noted that the segment boundaries, representing different ice fields, are still represented in the resolution of the segmentation. With these approaches we aim to overcome the problem of either having too few CS-2 SIT values assigned to segments or too few segments with an assigned SIT. Also more detailed utilization of SIT distributions of segment clusters with a large enough number of SIT samples for forming statistically reliable SIT distributions should be studied. Including thin ice detection (thickness < 20 cm) from microwave radiometer using a method in (Makynen and Simila, 2019) could also improve the SIT estimation results. In some cases also large SAR segments could be split into smaller ones to get more detailed and more accurate local SIT estimates.

To match segments we compute the value of the segment-wise difference function T for the matched segments. The value of T could possibly be used to implement an iterative SIT assigning scheme. In this scheme only SIT values with T less than a selected threshold value would be assigned to segments and the process iterated using all the SIT values assigned during the previous iterations in assigning SIT values to the segments still without an assigned SIT at each iteration. The threshold to be applied could also be varying as a function of the iteration.

The evaluation of this study was performed for January-April and November-December. This was because both the CS-2 SIT and AARI ice chart ice stage of development were not available for wet surface conditions. For such conditions reliable estimation of SIT is difficult or even impossible with the current data and algorithms. This is due to the deviating behaviour of radar signal at the wet air/snow-ice boundary, compared to a frozen surface.

It may be possible to use the dependence of the biases on the phase of the winter (related to average SIT), such as early freeze-up, freeze-up, melt-down phase, to reduce the bias by applying a bias-reducing mapping dependent on the phase of the



winter instead on a common mapping for the whole winter. The phase of the winter could roughly be estimated based on the estimated average ice thickness.

We also studied use of regularized linear regression (LASSO) (Tibshiran , 1996) for reducing the number of texture features
535 needed in the SIT estimation. According to our first tests the performance was nearly similar with less texture parameters
involved, but on the other hand the need for computational resources with the amount of LS fit parameters used now were not
significantly larger than with a reduced number of parameters, and thus we here used all the studied parameters in the LS fit
in this study. In the future reduction of LS fit parameters could be studied more if necessary e.g. for more efficient computing
to cover larger sea areas in a reasonable time. This may be required to meet conditions for operational production, e.g. for a
540 pan-Arctic high-resolution SIT product.

Our algorithm can be easily adapted to any satellite altimeter SIT product. It is especially relevant for the future CRISTAL
mission (Kern et al., 2020). After its expected launch in late 2027, CRISTAL shall provide a time critical SIT product, which
can be merged with SAR data to interpolate SIT between the CRISTAL ground tracks. Thus our study is one of the necessary
steps in introducing satellite altimeter data into the realm of operational ice charting. Before CRISTAL, our algorithm can be
545 applied to ICESat-2 as well as to Sentinel-3 based SIT estimates.



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Table 1. Monthly average cross-correlations between the SIT estimates studied w.r.t. to the reference data set SIT. SIT refers to the proposed algorithm SIT, RSIT refers to the proposed algorithm SIT with remapping based on the histogram mapping with model data, CS2 refers to the CS-2 values assigned to the SAR segments (without inter- or extrapolation), A refers to the SIT derived from AARI ice charts, T refers to the Topaz4 model reanalysis SIT, and O refers to the ORAS5 model SIT.

Month	CS2/A	SIT/A	RSIT/A	CS2/T	SIT/T	RSIT/T	CS2/O	SIT/O	RSIT/O
01/17	0.05	0.26	0.30	0.05	0.19	0.25	-0.03	0.28	0.35
02/17	0.43	0.29	0.31	0.33	0.27	0.30	0.26	0.32	0.35
03/17	0.21	0.24	0.25	0.12	0.34	0.38	0.07	0.23	0.26
04/17	0.24	0.38	0.41	0.28	0.41	0.40	0.09	0.34	0.38
10/17	0.34	0.73	0.73	0.29	0.71	0.73	0.25	0.63	0.66
11/17	0.37	0.44	0.45	0.27	0.45	0.51	0.25	0.35	0.40
12/17	-0.07	0.28	0.31	-0.06	0.34	0.40	-0.05	0.29	0.33
Ave.	0.22	0.37	0.39	0.18	0.39	0.42	0.12	0.35	0.39
Std.	0.18	0.17	0.16	0.15	0.16	0.16	0.14	0.13	0.13

Table 2. Monthly average bias between the different SIT estimates w.r.t. the reference data sets SIT. The symbols are the same as in Table 1.

Month	CS2/A	SIT/A	RSIT/A	CS2/T	SIT/T	RSIT/T	CS2/O	SIT/O	RSIT/O
01/17	41.5	26.5	25.1	36.0	30.7	29.3	-9.6	-19.3	-20.8
02/17	43.1	57.5	41.4	35.4	61.2	45.0	-1.4	12.3	-3.8
03/17	54.1	66.8	35.4	47.8	72.4	41.0	14.5	28.6	-2.9
04/17	47.4	58.0	24.3	42.2	66.5	32.8	5.7	18.6	-15.1
10/17	-1.4	5.0	-0.5	-5.3	8.7	3.2	-18.2	-16.8	-22.4
11/17	13.3	15.4	17.3	8.2	17.6	19.5	-20.5	-18.5	-16.6
12/17	19.8	17.2	22.0	11.9	17.0	21.8	-21.6	-22.8	-18.0
Ave.	34.6	32.5	20.7	31.1	37.6	25.8	-0.1	-3.2	-15.0
Std.	20.6	24.9	13.4	20.1	26.7	14.2	14.0	21.6	7.8



Table 3. Monthly average L1 difference between the different SIT estimates w.r.t. the reference data sets SIT. The symbols are the same as in Table 1.

Month	CS2/A	SIT/A	RSIT/A	CS2/T	SIT/T	RSIT/T	CS2/O	SIT/O	RSIT/O
01/17	52.0	40.8	38.3	52.5	43.2	41.0	49.2	43.2	38.8
02/17	52.2	64.2	48.1	49.8	66.9	52.1	43.3	47.1	38.3
03/17	68.5	78.4	47.6	67.2	77.2	49.4	60.7	62.8	44.8
04/17	62.0	69.7	38.0	63.8	75.8	50.6	56.3	57.9	45.3
10/17	33.5	28.1	22.5	40.8	26.1	22.4	36.7	38.7	33.5
11/17	24.8	29.3	30.4	27.7	27.5	27.9	30.5	35.3	30.4
12/17	30.5	28.2	32.0	29.5	26.7	29.0	35.6	32.5	27.6
Ave.	50.2	48.0	36.3	53.1	48.1	37.9	48.6	45.6	37.0
Std.	16.7	21.8	9.3	15.5	23.6	12.4	11.2	11.4	6.8

Table 4. Monthly average RMS difference between the different SIT estimates w.r.t. the reference data sets SIT. The symbols are the same as in Table 1.

Month	CS2/A	SIT/A	RSIT/A	CS2/T	SIT/T	RSIT/T	CS2/O	SIT/O	RSIT/O
01/17	69.7	54.3	46.3	70.8	59.5	51.6	66.1	55.6	48.8
02/17	67.6	79.2	56.2	67.4	84.4	62.9	61.9	62.3	49.5
03/17	83.9	93.9	57.1	87.1	98.0	63.0	79.1	80.4	58.7
04/17	72.6	84.6	48.2	78.7	94.2	63.6	72.5	73.5	58.5
10/17	42.1	39.5	31.5	50.0	41.3	33.6	45.8	47.2	41.0
11/17	33.3	40.4	37.2	36.4	41.6	37.9	37.6	43.6	38.3
12/17	47.2	35.6	36.8	47.3	37.2	37.8	48.6	41.1	37.0
Ave.	65.3	64.3	45.5	71.3	68.7	50.7	64.7	59.5	48.2
Std.	18.6	24.3	10.0	18.4	26.6	13.5	15.2	15.1	9.1

Table 5. Monthly average difference measures between the reference data sets. The symbols are the same as in Table 1.

Month	CC			Bias			L1D			RMSD		
	T/A	O/A	O/T	T/A	O/A	O/T	T/A	O/A	O/T	T/A	O/A	O/T
01/17	0.32	0.47	0.48	-4.2	45.9	50.1	20.2	50.9	51.7	32.2	57.4	61.4
02/17	0.43	0.54	0.51	-3.6	45.2	48.9	23.4	49.8	50.5	36.0	60.9	65.6
03/17	0.43	0.49	0.48	-5.6	38.2	43.9	29.1	46.2	48.8	42.6	60.7	67.3
04/17	0.51	0.49	0.46	-8.5	39.4	47.9	33.1	48.0	57.4	48.5	63.7	75.2
10/17	0.86	0.71	0.78	-3.7	21.8	25.5	17.2	30.5	32.7	24.3	35.3	38.7
11/17	0.69	0.46	0.62	-2.2	33.9	36.1	13.1	37.4	37.3	18.8	39.9	41.7
12/17	0.48	0.40	0.51	0.2	40.1	39.8	16.1	43.7	40.8	23.1	46.8	47.4
Ave.	0.60	0.54	0.56	-5.1	35.7	40.9	22.3	43.0	44.9	34.4	52.4	57.5



Table 6. Monthly averages averages of estimated SIT (SIT) and remapped SIT (Rem. SIT) for the MODIS SIT categories. The MODIS SIT categories are 1-30 cm (Cat1), 31-50 cm (Cat2) and over 50 cm (Cat3).

Month	SIT ave. Cat1	SIT ave. Cat2	SIT ave. Cat3	Rem. SIT ave. Cat1	Rem. SIT ave. Cat2	Rem. SIT Cat3
01/2017	61	71	73	59	69	70
02/2017	97	112	115	80	91	94
03/2017	135	142	145	100	106	108
04/2017	140	146	151	102	106	109

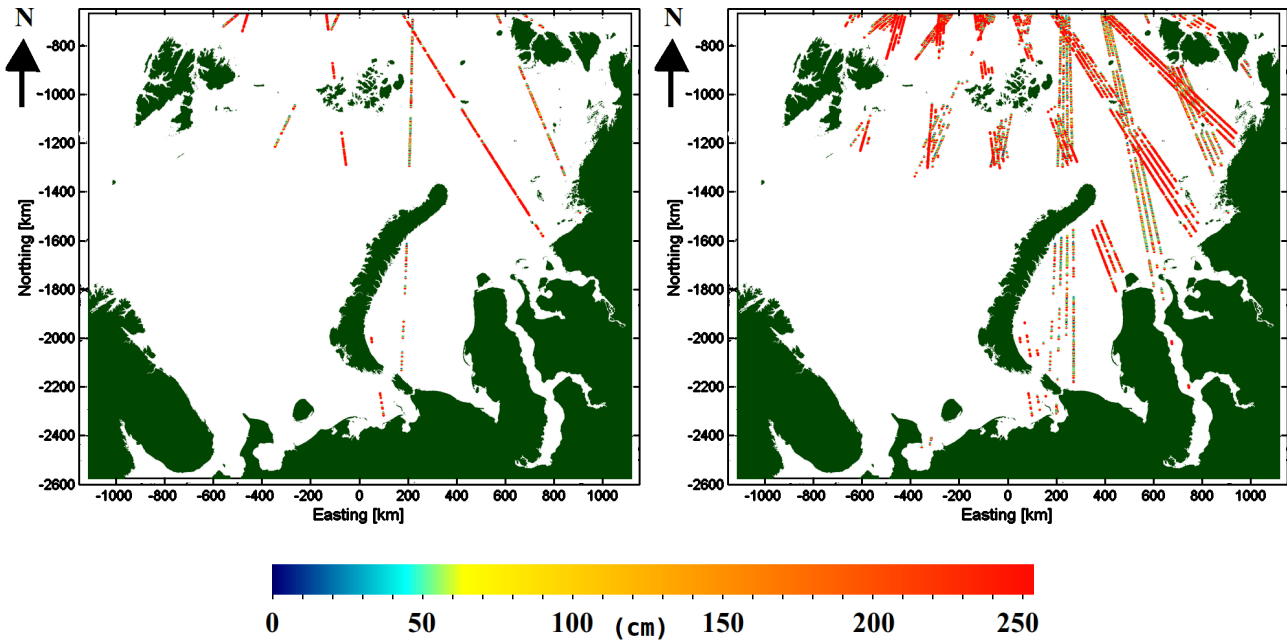


Figure 1. CS-2 SIT measurements of 1 day and one week before 21 Feb 2017. The colormap ends up to 250 cm, ice thicker than 250 cm appears as red.

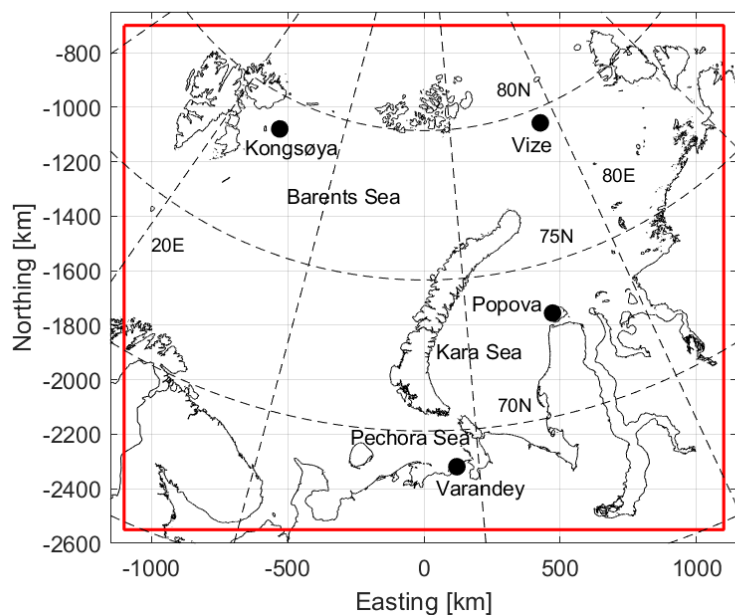


Figure 2. The study area in the used polar stereographic projection.

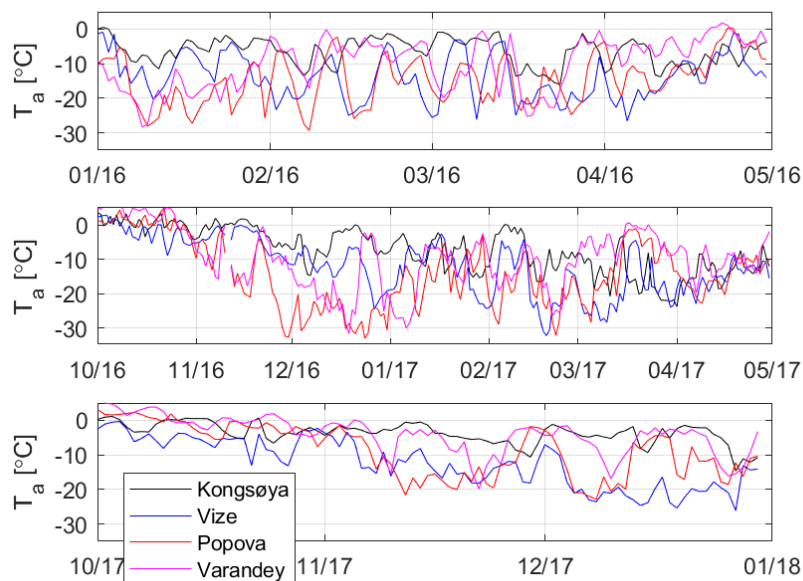


Figure 3. NCEP/NCAR reanalysis average daily air temperature during the period 2016-2017.

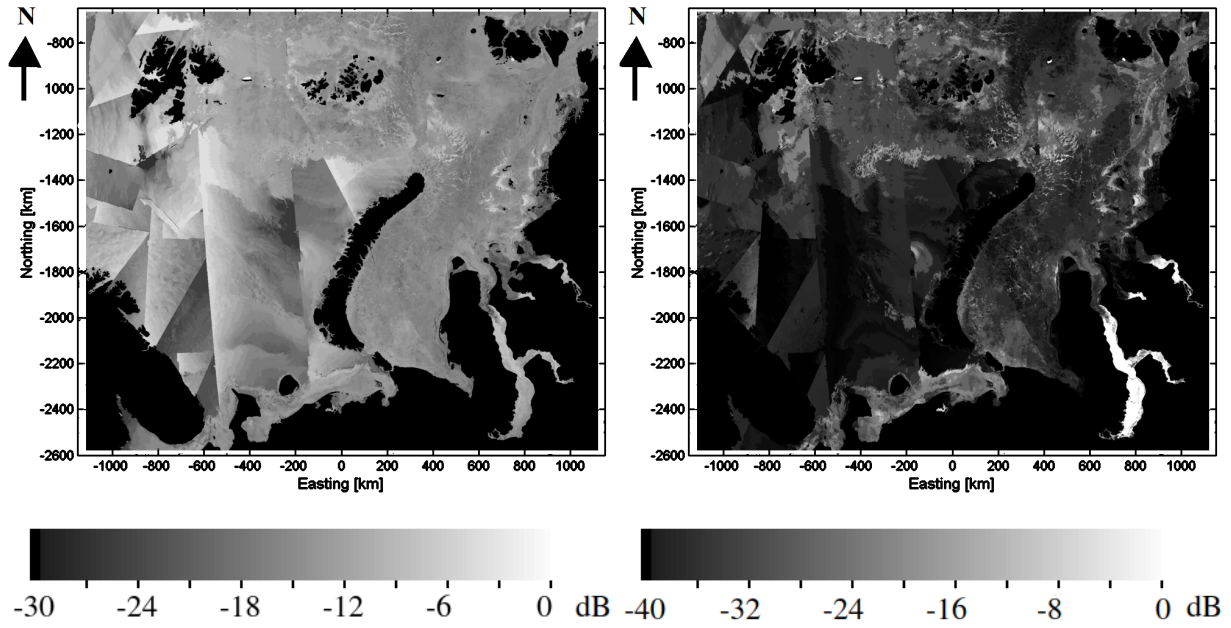


Figure 4. HH and HV mosaic σ^0 segment median of 21 Feb 2017.

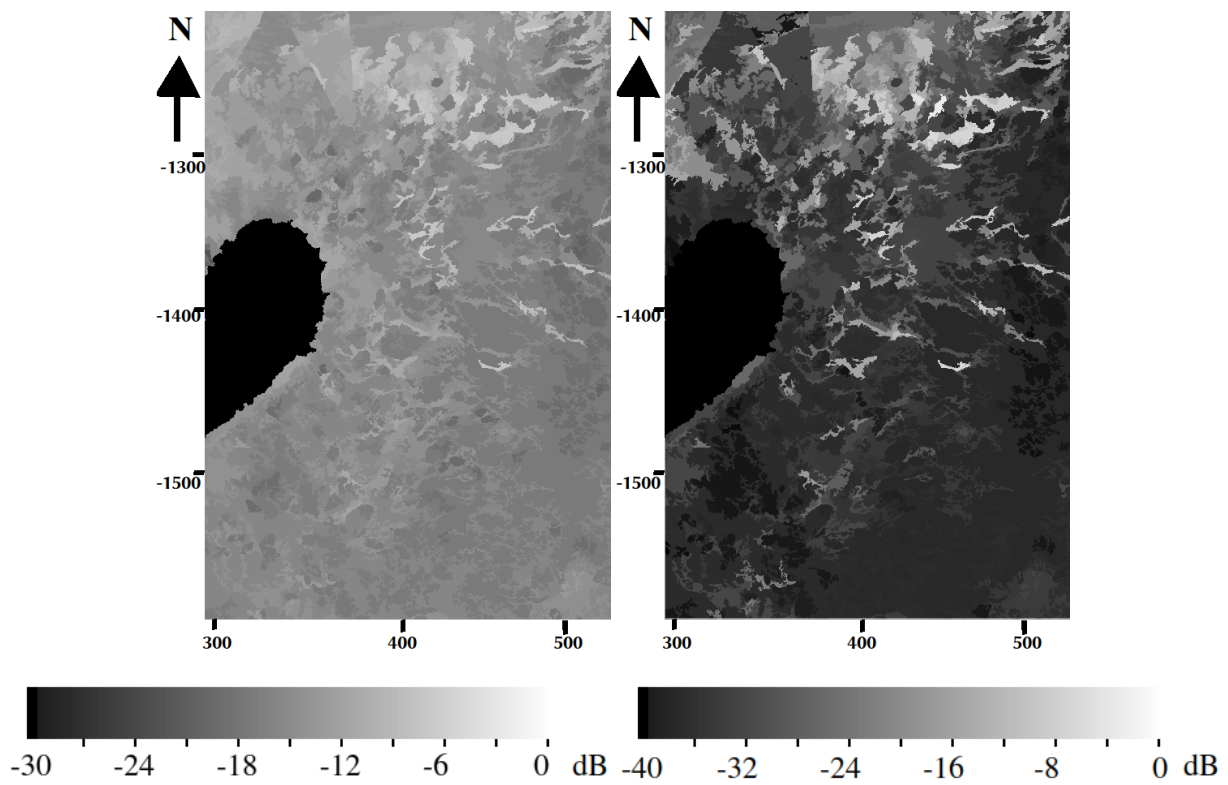


Figure 5. Cropped Area of the HH and HV segment median Images of Fig. 4.

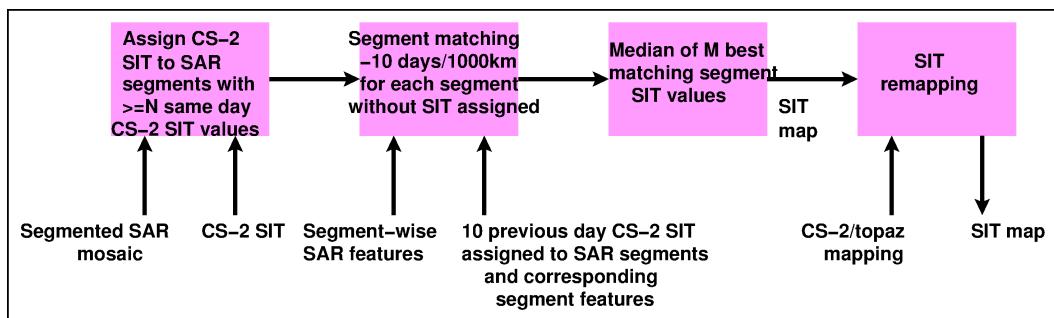


Figure 6. Algorithm block diagram. We have used $N=9$ and $M=5$ in this study.

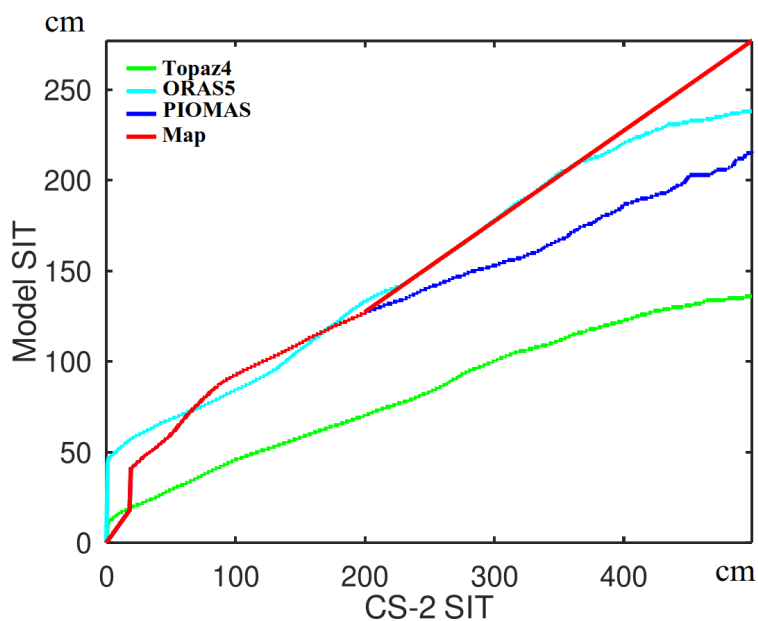


Figure 7. The CS-2/PIOMAS/ORAS5 SIT mapping based on histogram matching (red). Also the mappings corresponding to three ice models are shown.

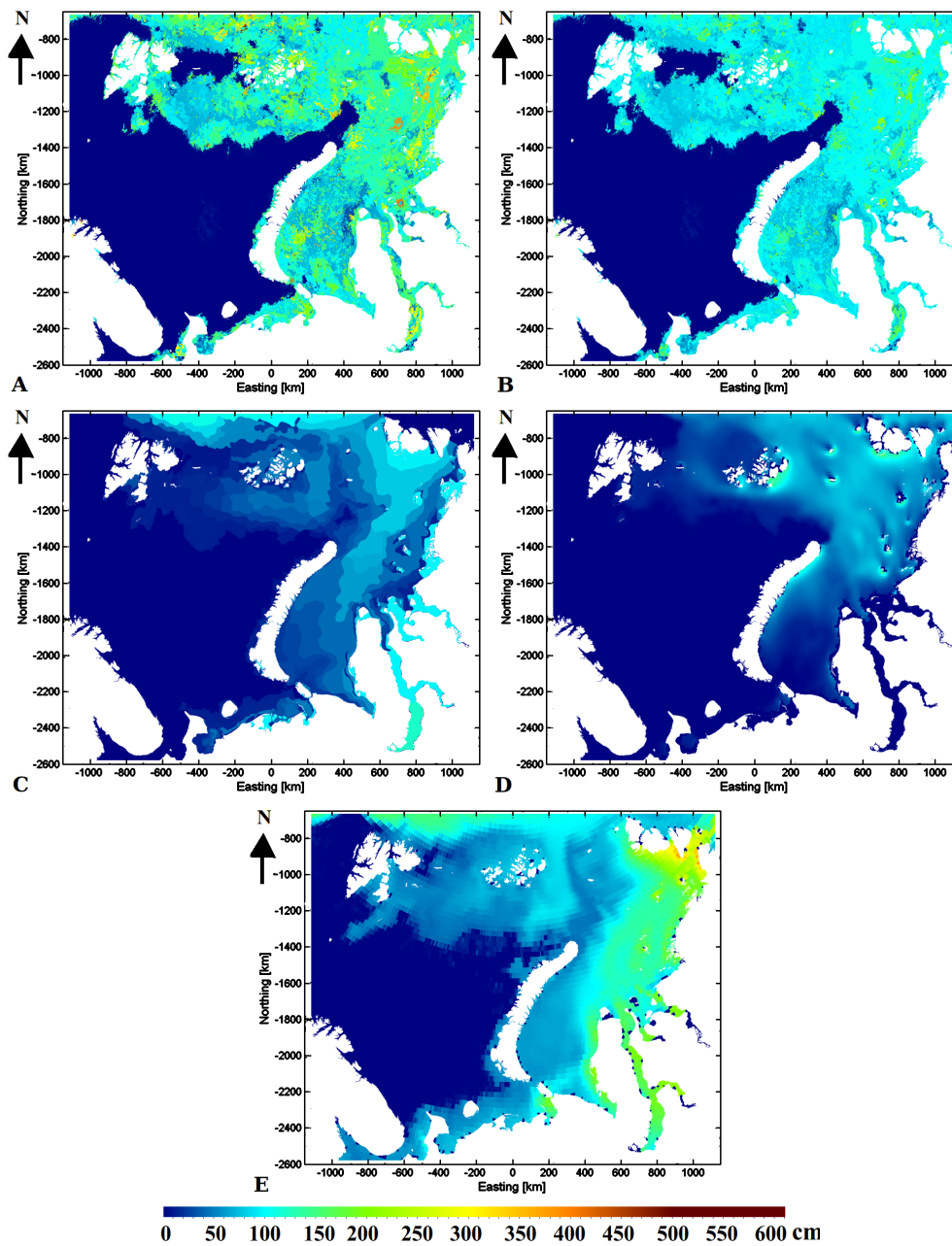


Figure 8. A: CS-2/S-1 SIT, B: remapped CS-2/S-1 SIT, C: AARI ice chart SIT, D: TOPAZ4 ice model reanalysis SIT and E: ORAS5 ice model SIT of 21 February 2021.

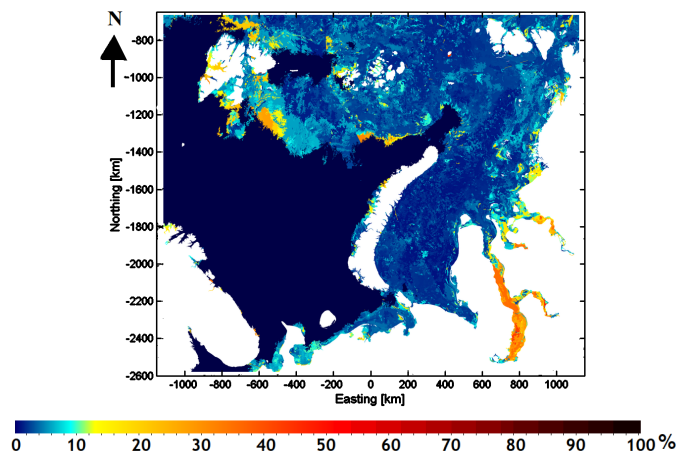


Figure 9. Median segment difference (T) as a measure of uncertainty of the SIT estimates for 21 Feb 2017. The values of T have been scaled to the range 0-100, based on the variation of T in the training data.

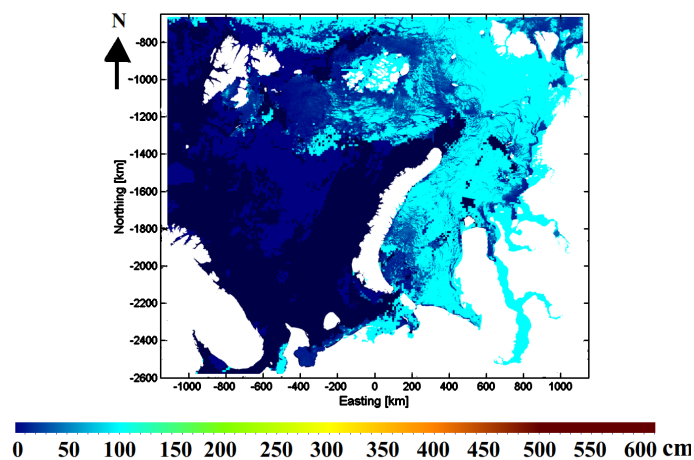


Figure 10. MODIS SIT collage based on two weeks MODIS SIT before 21 Feb 2017. The areas without data are indicated by black color and all the ice thicker than 50cm is indicated by cyan color, corresponding to 100 cm in the colormap.