



1     **The sensitivity of landfast sea ice to atmospheric forcing in**  
2     **single-column model simulations: a case study at Zhongshan**  
3                                     **Station, Antarctica**

4                     Fengguan Gu<sup>1</sup>, Qinghua Yang<sup>1</sup>, Frank Kauker<sup>2,3</sup>, Changwei Liu<sup>1</sup>, Guanghua Hao<sup>4</sup>,  
5                     Chaoyuan Yang<sup>1</sup>, Jiping Liu<sup>5</sup>, Petra Heil<sup>6</sup>, Xuewei Li<sup>1</sup>, Bo Han<sup>1\*</sup>

6     1 School of Atmospheric Sciences, Sun Yat-sen University, and Southern Marine Science and Engineering  
7     Guangdong Laboratory (Zhuhai), Zhuhai 519082, China

8     2 Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, Am Handelshafen 12, 27570  
9     Bremerhaven, Germany

10    3 Ocean Atmosphere Systems, Tevesstseg 4, 20249 Hamburg, Germany

11    4 Key Laboratory of Marine Hazards Forecasting, National Marine Environmental Forecasting Center, Ministry of  
12    Natural Resources, Beijing 100081, China

13    5 Department of Atmospheric and Environmental Sciences, State University of New York at Albany, Albany, NY,  
14    USA

15    6 Australian Antarctic Division and Australian Antarctic Program Partnership, Private Bag 80, Hobart, Tas 7001,  
16    Australia

17    Correspondence to: Bo Han (hanb5@mail.sysu.edu.cn)

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19     **Abstract**

20         Single-column sea ice models are used to focus on the thermodynamic evolution of the ice.  
21     Generally these models are forced by atmospheric reanalysis in absence of atmospheric *in situ*  
22     observations. Here we assess the sea ice thickness (SIT) simulated by a single-column model  
23     (ICEPACK) with *in situ* observations obtained off Zhongshan Station for the austral winter of 2016.  
24     In the reanalysis the surface air temperature is about 1 °C lower, the total precipitation is about 2  
25     mm day<sup>-1</sup> larger, and the surface wind speed is about 2 m s<sup>-1</sup> higher compared to the *in situ*  
26     observations, respectively. Using sensitivity experiments we evaluate the simulation bias in sea ice  
27     thickness due to the uncertainty in the individual atmospheric forcing variables. We show that the  
28     unrealistic precipitation in the reanalysis leads to a bias of 14.5 cm in sea ice thickness and of 17.3  
29     cm in snow depth. In addition, our data show that increasing snow depth works to gradually inhibits



30 the growth of sea ice associated with thermal blanketing by the snow due to changing the vertical  
31 heat flux. Conversely, given suitable conditions, the sea ice thickness may grow suddenly when the  
32 snow load gives rise to flooding and leads to snow-ice formation. A potential mechanism to explain  
33 the different characteristics of the precipitation bias on snow and sea ice is discussed. The flooding  
34 process for landfast sea ice might cause different effect compared to pack ice, thus need to be  
35 reconsidered in ICEPACK. Meanwhile, the overestimation in surface wind speed in reanalysis is  
36 likely responsible for the underestimation in simulated snow depth, however this had little influence  
37 on the modelled ice thickness.

38

## 39 **1 Introduction**

40 Sea ice plays an important role in the global climate system by reflecting solar radiation and  
41 regulating the heat, moisture and gas exchanges between the ocean and the atmosphere. In contrast  
42 to the rapid decline of sea ice extent and volume in the Arctic (Stroeve et al., 2012; Lindsay and  
43 Schweiger, 2015), satellite observations show a slight increase in the yearly-mean area of Antarctic  
44 sea ice since the late 1970s (Parkinson and Cavalieri, 2012) followed by a rapid decline from 2014  
45 (Parkinson, 2019) and a renewed increase in most recent years (Chemke and Polvani, 2020).  
46 Although the sudden decline of Antarctic sea ice is yet to be attributed (Parkinson, 2019), the spatial  
47 pattern of Antarctic sea ice changes is suggested to be largely caused by changes in the atmospheric  
48 forcing. For example, the rapid ice retreat in the Weddell Sea from 2015 to 2017 has been associated  
49 with the intensification of northerly wind (Turner et al., 2017), while the phase of the southern  
50 annular mode (SAM) significantly modulates the sea ice in Ross Sea and elsewhere, especially in  
51 November 2016 (Stuecker et al., 2017; Schlosser et al., 2018; Wang et al., 2019a).

52 Landfast sea ice, the immobile fraction of the sea ice, is mainly located in near coastal regions  
53 of Antarctica and its change is assumed to be indicative for the evolution of total Antarctic sea ice  
54 (Heil et al., 1996; Heil, 2006; Lei et al., 2010; Yang et al., 2016a). Different from drifting sea ice,  
55 the change in landfast sea ice is dominated by thermodynamic processes which can be simulated by  
56 single-column sea ice models (Heil et al., 1996; Lei et al., 2010; Yang et al., 2016b; Zhao et al.,  
57 2017). Furthermore, a single-column sea ice model is a useful tool to evaluate the impacts of  
58 different atmospheric forcings on the sea ice evolution because of the relatively simple structure of  
59 the physical processes (Cheng et al., 2013; Wang et al., 2019b; Merkouriadi et al., 2020). In this



60 study, a state of the art single-column sea ice model, ICEPACK, is chosen to investigate the  
61 sensitivity of landfast sea ice to atmospheric forcing for the region off Zhongshan Station in Prydz  
62 Bay, East Antarctica (Figure 1).

63 Due to the lack of *in situ* observation, the majority of sea ice studies, especially for the Antarctic,  
64 rely on numerical models. Whether the model is under realistic atmospheric forcing is essential for  
65 the simulation results. Although being criticized for large deviations from *in situ* observations  
66 (Bromwich et al., 2007; Vancoppenolle et al., 2011; Wang et al., 2016; Barthélemy et al., 2018),  
67 atmospheric reanalysis data are assumed to offer reasonable atmospheric forcing for large-scale sea  
68 ice models for the Antarctic (Zhang, 2007; Massonnet et al., 2011; Zhang, 2014; Barthélemy et al.,  
69 2018). Previous studies reported a large spread between four global atmospheric reanalysis products  
70 and *in situ* observations in the Amundsen Sea Embayment (Jones et al., 2016). Moreover, studies  
71 showed that directly using atmospheric reanalyses as forcing for models causes significant biases in  
72 the Arctic sea ice simulations (Lindsay et al., 2014; Wang et al., 2019b). Similar results, accentuated  
73 by the sparseness at atmospheric observations entering the reanalysis, can be foreseen for Antarctica.  
74 Therefore, before simulating Antarctic sea ice the atmospheric forcing needs to be evaluated  
75 carefully. To our knowledge, few studies have given a quantitative evaluation on the effect of  
76 different atmospheric forcing on sea ice simulations in Antarctica.

77 The coastal landfast sea ice in Prydz Bay is generally first-year ice. It usually fractures and is  
78 exported or melts out completely between December and the following February and refreeze occurs  
79 from late February onwards (Lei et al., 2010). This seasonal cycle is representative of Antarctic  
80 landfast sea ice. In this study, we are aiming to evaluate the contributions of the various atmospheric  
81 forcing variables on landfast sea ice growth. The snow cover exerts influence on evolution of the  
82 vertical sea ice-snow column via a number of mechanisms, including the formation of snow-ice  
83 aided by flooding (Leppäranta, 1983). Understanding the snow depth is a major concern here. This  
84 study is arranged as follow: In section 2 the employed *in situ* observations, the numerical model and  
85 the reanalysis are introduced. The main results are given in section 3 focusing on the effect of  
86 different kinds of atmospheric forcing on sea ice and snow. Discussion and conclusions follow in  
87 sections 4 and 5.

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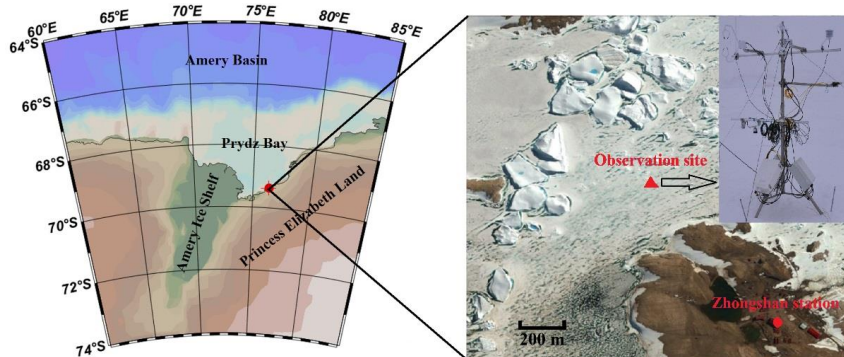


## 90 2 Materials and methods

### 91 2.1 Meteorological observation

92 The site of sea ice observation is in the coastal area off Zhongshan Station [(69°22'S,76°22'E);  
93 Figure 1], East Antarctica. The meteorological data were collected at a year-round manned weather  
94 observatory run at Zhongshan Station in 2016, which is 1 km inland from the sea ice observation  
95 site and 15 m above sea level. Snow fall is measured every 12 hours at the Russian Progress II  
96 station (located ~1 km to the southeast of Zhongshan Station). Other meteorological variables are  
97 available as hourly data, including 2m air temperature ( $T_{2m}$ ), surface pressure ( $P_a$ ), specific humidity  
98 (calculated from dew-point temperature and  $P_a$ ), potential temperature (calculated from  $T_{2m}$  and  $P_a$ ),  
99 air density (calculated by  $T_{2m}$  and  $P_a$ ) and 10m wind speed ( $U_{10}$ ) (Hao et al., 2019; Hao et al., 2020;  
100 Liu et al., 2020).

101



102

103 Figure 1 Location of landfast sea ice surface measurements near Zhongshan Station. The solid  
104 triangle denotes the observation site, the solid circle marks Zhongshan Station.

105 The short- and long-wave radiation were measured with a net radiometer mounted 1.5 m above  
106 the surface on a tripod. The net radiometer includes a pyranometer and a pyrgeometer. The  
107 pyranometer measures incoming and outgoing shortwave radiation and the pyrgeometer measures  
108 downward and upward longwave radiation. The spectral range of the pyranometer is 310–2800 nm,  
109 while the spectral range of the pyrgeometer is 4500–42000 nm. The uncertainty associated with the  
110 radiation measurements is  $\pm 5\%$  (Yang et al., 2016a).

### 111 2.2 Sea ice thickness measurement

112 A thermistor-chain unit developed by Taiyuan University of Technology (TY) was used to



113 measure sea ice thickness in austral winter 2016. This unit is composed of two parts: the control unit  
114 and the thermistor chain. The controller initiates data acquisitions, and records and stores the  
115 temperature measurements. The thermistor chain is 3 m long with 250 equidistant thermistors. Their  
116 sensitivity is 0.063 °C, and the measurement accuracy is better than  $\pm 0.5$  °C. The thermistor chain  
117 records the vertical temperature profile across the near-surface atmosphere, any snow cover, the sea  
118 ice and the surface sea water simultaneously. Measurements are hourly. Details about the  
119 instruments are given in Hao et al. (2019).

120 Snow thickness close to the thermistor unit is measured weekly using a ruler with an accuracy  
121 of  $\pm 0.2$  cm. Sea ice thickness is measured with ruler through a drill hole (5 cm diameter) weekly,  
122 the measurement accuracy is  $\pm 0.5$  cm. The average thickness obtained from three close-by sites is  
123 retained. The measurement accuracy of ice thickness is  $\pm 0.5$  cm. Sea-surface temperature and sea-  
124 surface salinity are measured in the drill holes weekly using a Cond 3210 set 1 (Hao et al., 2019).  
125 For both, the average temperature across three drill holes is recorded.

### 126 **2.3 Atmospheric reanalysis data**

127 The European Centre for Medium-range Weather Forecasts (ECMWF) released ERA5, the new  
128 reanalysis product in 2017 which is updated in near real-time (Hersbach and Dee, 2016; Hersbach  
129 et al., 2020). The complete ERA5 dataset, extending back to 1950, has been available to the end of  
130 2019 during this study. Compared with the popular ERA-Interim reanalysis there are several major  
131 improvements in ERA5, including much higher resolutions (both, spatially and temporally). ERA5  
132 has global coverage with a horizontal resolution of 31km by 31 km at the equator and 10km by  
133 31km at the latitude of Zhongshan Station. In the vertical ERA5 resolves the atmosphere using 137  
134 vertical pressure levels from the surface up to a geopotential height of 0.01 hPa. ERA5 provides  
135 hourly analysis and forecast fields and applies a four dimensional variational data assimilation  
136 system (4D-var). Data frequency is daily. ERA5 includes various reprocessed quality-controlled  
137 data sets, for example, the reprocessed version of the Ocean and Sea Ice Satellite Application  
138 Facilities (OSI SAF) sea ice concentration (Hersbach and Dee, 2016; Hersbach et al., 2020). For  
139 comparison and evaluation against observations in the Antarctic, ERA5 is interpolated to the  
140 observation site (described in 2.1).

### 141 **2.4 ICEPACK**

142 ICEPACK is a column-physics component of the Los Alamos Sea Ice Model (CICE) V6 and is



143 maintained by the CICE Consortium. ICEPACK incorporates column-based physical processes that  
144 affect the area and thickness of sea ice. It includes several options for simulating sea ice  
145 thermodynamics, mechanical redistribution (ridging) and associated area and thickness changes. In  
146 addition, the model supports several tracers, including thickness, enthalpy, ice age, first-year ice  
147 area, deformed ice area and volume, melt ponds, and biogeochemistry (Hunke et al., 2019).  
148 ICEPACK Version 1.1.1 was used in this study and detailed options of physical parameterizations  
149 and model settings for the ICEPACK are summarized in Table 1. We employ ICEPACK to distribute  
150 the initial ice thickness to each ice thickness category using a distribution function:

$$151 \quad p_i = \frac{\max(2 \times h \times H_i - H_i^2, 0)}{\sum \max(2 \times h \times H_i - H_i^2, 0)}, i = 1, N$$

152 Where,  $h$  is Initial ice thickness,  $H_i$  is the prescribed ice thickness category (0–0.6, 0.6–1.4, 1.4–  
153 2.4, 2.4–3.6, and above 3.6 m~; same as for Arctic simulations),  $N$  is the number of ice thickness  
154 category.

155  
156 Table 1 Detailed options of physical parameterizations and model settings for the ICEPACK.

ICEPACK	Value
time step	3600 s
Number of layers in the ice	7
Number of layers in the snow	1
Ice thickness categories	5 (Bitz et al., 2001)
Initial ice thickness	98 cm
Initial snow depth	10 cm
Albedo scheme	CCSM3 (Collins et al., 2006)
Ice thermodynamic	Mushy-layer (Turner et al., 2013)
Shortwave radiation	Delta-Eddington (Briegleb and Light, 2007)

157  
158 The atmospheric forcing for the ICEPACK model consists of observations of downward short-  
159 and longwave radiation, 2m air temperature, specific humidity, total precipitation, potential  
160 temperature, 2m air density, and 10m wind speed. The oceanic forcing includes sea surface  
161 temperature, sea surface salinity, and oceanic mixed layer depth. The period concerned in this study  
162 is from 22 April, when observed sea ice generally starts to grow, to 22 November in 2016. Since  
163 there are no observations of the ocean mixed-layer depth, we set it to 20 m (default in ICEPACK).

164 Two sets of atmospheric forcing have been chosen. The first is spatially interpolated ERA5 onto  
165 the location of the observation site, and the second is using *in situ* atmospheric observations. It is



166 well-known that the simulation biases of numerical models are introduced through many  
167 shortcomings including unrealistic surface boundary conditions (here: atmospheric forcing),  
168 imperfect physical process formulations, computational errors, etc. Understanding the uncertainty  
169 in sea ice simulations as well as the sea ice response pattern in climate change scenario due to  
170 imperfect surface boundaries is a prerequisite for successful simulations and needs to be assessed  
171 first.

172

### 173 **3 Results**

#### 174 **3.1 Surface atmospheric conditions near the observation site**

175 First we compare the eight atmospheric variables used to force ICEPACK (surface downward  
176 shortwave radiation ( $R_{sd}$ ), surface downward longwave radiation ( $R_{ld}$ ), surface air temperature ( $T_a$ ),  
177 specific humidity ( $Q_a$ ), precipitation ( $P$ ), air potential temperature ( $\theta_a$ ), air density ( $\rho_a$ ), wind speed  
178 ( $U_a$ ) with the respective *in situ* observation. Table 2 lists the bias (simulation minus observation),  
179 the mean value of the *in situ* observation (Mean\_Obs), the correlation coefficient (Corr.) and the  
180 root-mean-square deviation (RMSD) between the interpolated ERA5 data and the observation. In  
181 general, all eight variables from the two sources follow each other quite closely (correlation  
182 coefficients between ERA5 and the observations greater than 0.85), except for  $P$  and  $U_a$ . These  
183 variables exhibit the largest relative deviations (in bias and absolute magnitude). Previous studies  
184 have shown that across the atmospheric forcing variables, uncertainties in  $T_a$ ,  $P$ , and  $U_a$  exert  
185 significantly influence the sea ice thickness (Cheng et al., 2008), while surface wind may affect the  
186 snow cover in two ways: 1) sublimation can strongly reduce the snow cover in dry air and strong  
187 wind condition (Gascoin et al., 2013), 2) surface wind can modulate latent and sensible heat flux in  
188 the bulk formation (Fairall et al., 2003). In this study, main attention is paid on these three  
189 atmospheric variables.

190 The timing of daily variations of  $T_a$  are well represented by ERA5, especially for strong cooling  
191 events (Figure 2a). However, ERA5 tends to underestimate warm events by a few degree as well as  
192 cold events where differences exceeding 10 °C may occur (Figure 2d). During the entire observation  
193 period in 2016,  $T_a$  from ERA5 was 1.168 °C lower than in the *in situ* observation. Also previous  
194 studies reported similar disagreement in  $T_a$  between observation and reanalysis in Antarctica. The  
195 cold bias of  $T_a$  in the reanalysis was suggested to be caused by the ice surface schemes that can not



196 accurately describe the ice-atmosphere interactions of strongly stable stratified boundary layers that  
197 are frequent in Antarctica (Bracegirdle and Marshall, 2012; Fréville et al., 2014).

198

199 Table 2 Comparison of atmospheric forcing between ERA5 reanalysis and *in situ* observations.

Variable	Bias	Mean_Obs	Corr	RMSD
$R_{sd}$ ( $\text{W m}^{-2}$ )	6.115	67.714	0.967	40.981
$R_{ld}$ ( $\text{W m}^{-2}$ )	-19.153	198.023	0.869	28.753
$T_a$ (K)	-1.168	-15.340	0.967	2.820
$Q_a$ ( $10^{-4}$ $\text{kg kg}^{-1}$ )	-0.769	8.247	0.950	1.987
$P$ ( $\text{mm day}^{-1}$ )	2.010	0.660	0.639	0.825
$\Theta_a$ (K)	0.290	-13.712	0.965	2.609
$\rho_a$ ( $\text{kg m}^{-3}$ )	-0.021	1.322	0.958	0.026
$U_a$ ( $\text{m s}^{-1}$ )	2.145	4.228	0.765	2.989

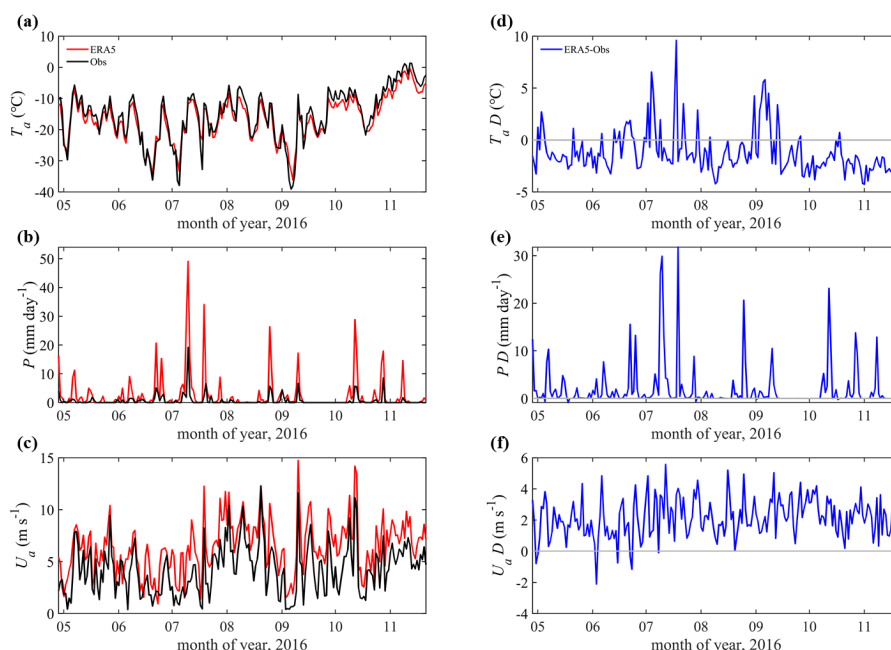
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201 The reanalyzed variable with the largest deviation from the observation is the precipitation  
202 (Figure 2b). Hourly precipitation from ERA5 was accumulated into daily data and compared with  
203 the daily precipitation records from the Progress II station. The maximum daily mean precipitation  
204 can reach  $19.1 \text{ mm day}^{-1}$  (11 July 2016) with an average precipitation of  $0.66 \text{ mm day}^{-1}$  from April  
205 29 to November 22, 2016. While ERA5 captures the main precipitation events, it significantly  
206 overestimated the magnitude of precipitation events, especially in July. In this month, the mean  
207 precipitation rate from ERA5 is  $5.83 \text{ mm day}^{-1}$ , while observed is only  $1.42 \text{ mm day}^{-1}$ . From April  
208 to November, the accumulated precipitation from ERA5 is about 300% larger than that in the *in situ*  
209 observations. Nevertheless, using precipitation from Russia's Progress II for Zhongshan Station may  
210 be questioned as well because of the distance of 1km to Zhongshan Station. Moreover, a given  
211 precipitation rate (snow fall) might cause a range of snow cover patterns because the snowdrift is  
212 quite strong and responsible for the larger deviation (Liston et al., 2018).

213

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215  
216 Figure 2 Time series of daily (a) surface air temperature, (b) precipitation rate, and (c) wind speed  
217 (10 m above the surface). The ERA5 reanalysis data are indicated as red lines. Observations are  
218 marked by black lines. (d-f) show the difference (marked by 'D') between ERA5 and the observation  
219 (ERA5-observation). The differences are marked by blue lines. The gray lines denote the zero line.  
220

221 The wind speed observation varied from 0.01 m s<sup>-1</sup> to 12.3 m s<sup>-1</sup> with an average 4.2 m s<sup>-1</sup> and  
222 with maxima in August. ERA5 well captured the timing of strong wind events but overestimated  
223 the magnitude of daily surface wind on average by 2.1 m s<sup>-1</sup>. One potential cause of the  
224 overestimation is that the numerical model underlying ERA5 cannot represent the roughness  
225 correctly due to the complex orography (Tetzner et al., 2019) and the effect of katabatic wind regions  
226 (Vignon et al., 2019).

### 227 3.2 Simulation forced by observed *in situ* atmospheric variables

228 The simulation bias of sea ice thickness and snow depth is impacted by many aspects, including  
229 unrealistic atmospheric and oceanic forcing and shortcomings in the applied numerical model. In  
230 this study, we mainly focus on the influence of imperfect atmospheric forcing.

231 The sea ice thickness measured through a hole drilled by an ice auger (5cm in diameter) is



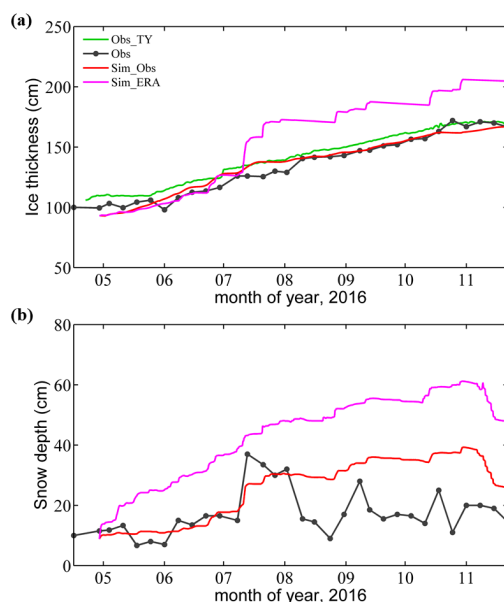
232 increasing from 29 April ( $100\pm 2$  cm) to 25 October ( $172\pm 2$ cm), remaining level from there on  
233 (Figure 3a). The ice thickness deduced from the TY thermistor-chain buoy shows a similar result:  
234 sea ice thickness increasing from 106 cm on 22 April to 171 cm on 17 November. In November the  
235 sea ice thickness is stationary, indicating a thermodynamic equilibrium between heat loss to the  
236 atmosphere and heat gain from the ocean (Yang et al., 2016a; Hao et al., 2019).

237 When forced by atmospheric *in situ* observations, the simulated sea ice thickness agrees well  
238 with the observed thickness with a mean bias of less than 1 cm over the growth season. We attribute  
239 the good simulation result to the fact that seasonal evolution of landfast is largely driven by thermal  
240 processes which ICEPACK captures well using *in situ* forcing.

241 The average observed snow depth during the ice-growth season is 17cm with low snow depth  
242 measured prior to 11 July. Thereafter, the snow depth increases rapidly up to about 37cm associated  
243 with a precipitation event arising from a single synoptic system. It then decreases to below the  
244 seasonal mean, only to obtain two secondary maxima in snow depth (about 25cm) on 2 August and  
245 8 September. The simulated snow depth tracks the observation closely before 2 August (Figure 3b).  
246 Then, when the observed snow depth decreased quickly from about 30 cm to about 10 cm, while  
247 the simulation continues to increase gradually until the onset of surface melting in November. We  
248 attribute the observed quick decrease of snow depth to the effect of snowdrift, because the surface  
249 wind stayed above  $5 \text{ m s}^{-1}$  for most of August (Figure 2c), giving rise to snow drift, a process not  
250 implemented in the version of ICEPACK used here.

251 Using observed meteorological variables as atmospheric forcing in ICEPACK produce  
252 unreliable snow depth while the sea ice thickness was in reasonably good agreement. In other words,  
253 the large bias in snow depth seems to have little effect on the sea ice thickness in the simulation.  
254 This counter-intuitive finding is of great interest to us because the snow layer is crucial in  
255 modulating the energy exchange on top of the sea ice. Potential causes of for this result will be  
256 discussed later.

257



258

259 Figure 3 Time series of (a) sea ice thickness and (b) snow depth during the freezing season. Black  
260 solid lines with black point show the observations from the drill hole (Obs). Green solid lines show  
261 the ice thickness derived from the TY buoy (Obs\_TY). Red solid lines show the simulation results  
262 under *in situ* atmospheric forcing (Sim\_Obs) and magenta solid lines are simulation result under  
263 ERA5 forcing (Sim\_ERA).

### 264 3.3 Simulation forced by ERA5 atmospheric variables

265 When forced by ERA5, the simulation shows much greater deviations in ice thickness (Figure  
266 3a). Simulated sea ice thickness is close to the observation before 11 July with only a small positive  
267 bias of about 1 cm. However, from 11 July to November, the mean bias becomes about 33 cm.  
268 During this period, a sudden increase in sea ice thickness happens on 11 July. Thereafter, the offset  
269 in the sea ice thickness between the simulation and the observation remains almost constant.

270 In contrast to the simulated sea ice thickness, the simulated snow depth is much greater than  
271 observed even before 11 July. Near the extremely large precipitation event ( $\sim 19 \text{ mm day}^{-1}$ ) in July  
272 11 (Figure 2b), the observed snow depth increases from  $<20 \text{ cm}$  to about  $40 \text{ cm}$ . Although the  
273 precipitation rate from ERA5 is more than 2 times larger as observed on July 11 ( $\sim 40 \text{ mm day}^{-1}$ ) the  
274 event is almost not visible in the simulated snow depth. The snow depth increase is near linear from  
275 about  $10 \text{ cm}$  at time of model initiation to almost  $60 \text{ cm}$  at the onset of surface melting in November.



276 For the entire simulation period, the precipitation from ERA5 obviously causes an overestimation  
 277 in snow depth.

### 278 3.4 Sensitivity simulations

279 To find out which atmospheric variables including  $T_a$ ,  $P$  and  $U_a$  are the most crucial in the sea  
 280 ice simulation, a set of sensitivity simulation experiments is conducted, named SEN1. The  
 281 simulation under the forcing from the *in situ* observed atmospheric variables is the control  
 282 experiment and named Sim\_Obs. In each experiment of SEN1, one atmospheric variable is replaced  
 283 by the corresponding variable from ERA5 while all others are identical to those of the control  
 284 experiment. In Table 3, the averaged bias between the simulation and the observation of the outputs  
 285 (ice thickness and snow depth) or the forcing atmospheric variable, are listed separately.

286

287 Table 3 Bias of ice thickness, snow depth and each forcing variables derived from SEN1. ‘All’  
 288 means using the full set of ERA5 atmospheric forcing

Variable	Bias		
	Ice (cm)	Snow (cm)	Forcing
$R_{sd}$ ( $W m^{-2}$ )	-0,044	-0.130	6.115
$R_{ld}$ ( $W m^{-2}$ )	3.050	2.243	-19.153
$T_a$ (K)	0.001	0.029	-1.168
$Q_a$ ( $10^{-4} kg kg^{-1}$ )	1.099	-1.299	-0.769
$P$ (mm day <sup>-1</sup> )	14.519	17.312	2.01
$\Theta_a$ (K)	-0.483	0.407	0.290
$\rho_a$ ( $kg m^{-3}$ )	0.119	-0.071	-0.021
$U_a$ ( $m s^{-1}$ )	-0.311	-3.421	2.145
<i>All</i>	16.824	17.882	/

289

290 Comparing the individual biases, it turns out that  $P$ ,  $R_{ld}$  and  $Q_a$  from ERA5 contribute to the bias  
 291 in sea ice thickness most strongly. For snow depth  $P$ ,  $U_a$  and  $R_{ld}$  contribute largest. Note, that  $P$  and  
 292  $U_a$  from ERA 5 exhibits the largest bias with respect to the *in situ* observation. It can also be seen  
 293 that sea ice thickness and snow depth are impacted strongly by the biases in  $R_{ld}$  and  $Q_a$ . In contrast,  
 294  $T_a$  from ERA5 is close to the *in situ* observation, so the simulated sea ice thickness and snow depth  
 295 is hardly impacted. The results from SEN1 reveal that the overestimation in  $P$  in ERA5 is the major  
 296 source for the overestimation of sea ice thickness and snow depth and that the overestimation in  $U_a$   
 297 partly neutralizes the overestimation in snow depth. For convenience, the simulation with only one



298 atmospheric variable ( $X$ ) replaced by the corresponding ERA5 variable is named SIM\_ERA\_ $X$ .

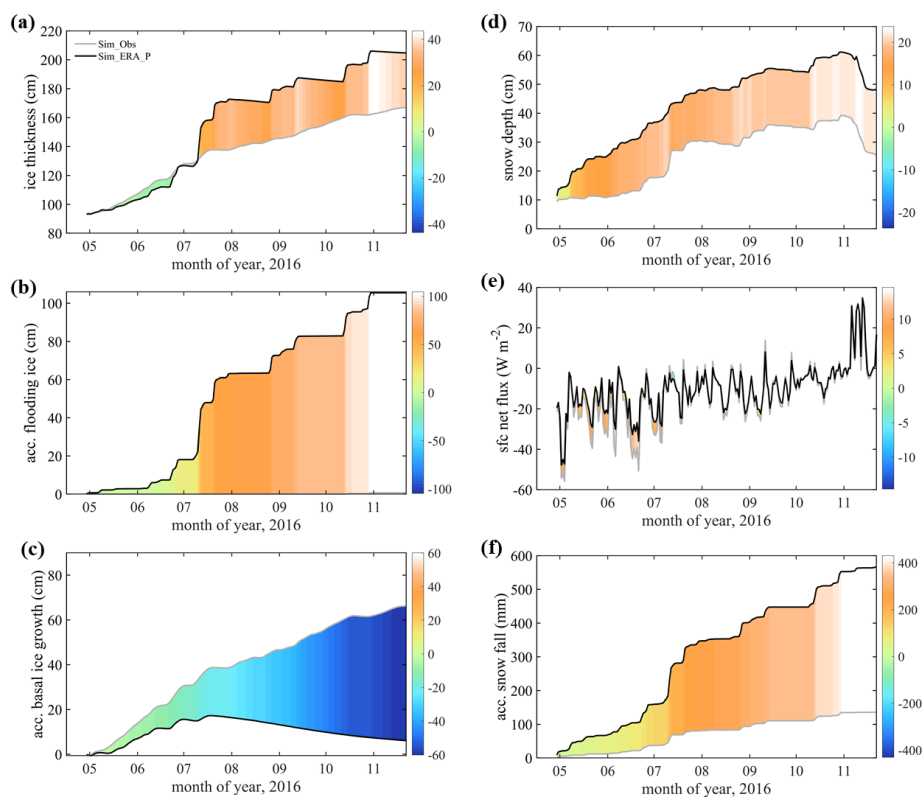
299 Compared with Sim\_Obs, Sim\_ERA\_P is overestimating the snow depth since May (Figure 4b)  
300 and shows a significant positive bias in sea ice thickness after 11 July. Before 11 July, the sea ice  
301 thickness from Sim\_ERA\_P was even smaller than from Sim\_Obs (Figure 4a).

302 To find out why the snow and sea ice behave differently, we investigate the net heat flux into the  
303 ice surface  $H_N$  (positive downward):

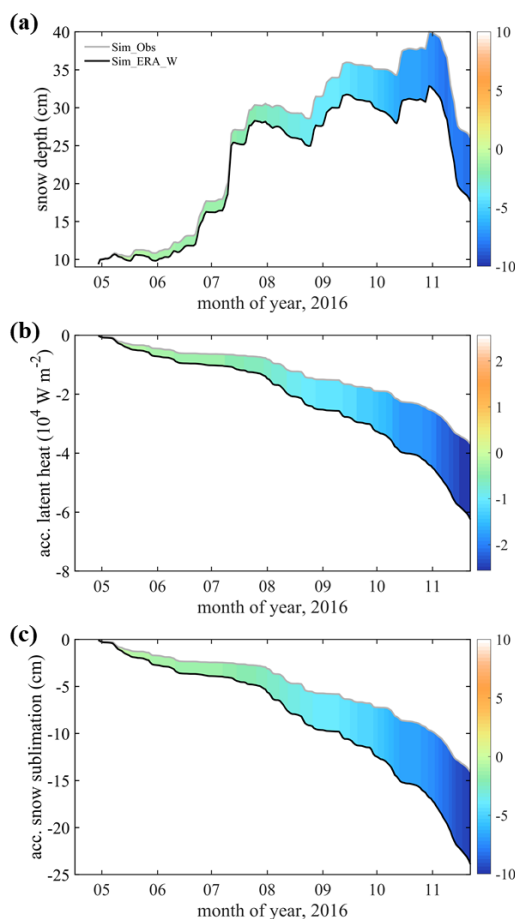
$$304 \quad H_N = Rn + Hs + Hl,$$

305 where  $Rn$ ,  $Hs$ , and  $Hl$  are the net surface radiation flux, the sensible heat flux, and the latent heat  
306 flux, respectively. All energy fluxes are defined positive downward. Because the simulated snow  
307 layer in SIM\_ERA\_P is much deeper than in SIM\_Obs, the difference of  $H_N$  reflects the  
308 modification of the surface energy flux due to the changed snow layer. From Figure 4c, it can be  
309 deduced that the overestimation of snow depth in SIM\_ERA\_P results in a positive anomaly of  $H_N$   
310 before July 11, which hampers the sea ice growth. Later the difference of  $H_N$  becomes quite small.  
311 The dependence of  $H_N$  on the snow depth is significant when the snow layer is shallow (<20 cm in  
312 this study). If the snow layer is deep enough its impact on the net surface heat flux ceases.

313 After July 11, the difference in sea ice thickness between the two simulations increases quickly  
314 from ~0 to >40 cm (Figure 4a). We attribute that to flooding with subsequent snow-ice formation  
315 (Powell and others, 2005). The continuously deepening snow layer reduces the sea ice freeboard.  
316 When there is heavy snow fall, which happens frequently after July 11, the heave snow load  
317 subpresses the upper sea ice surface below sea level and sea water is flooding onto the sea ice surface  
318 causing the overlaying snow to freeze. This process increases the sea ice thickness rapidly (Figure  
319 4d). The difference (~100 cm) in accumulated flooding ice (Figure 4b) is greater than the difference  
320 (~40 cm) in simulated sea ice thickness (Figure 4a), when the net surface heat flux over the sea ice  
321 seems to be close after July 11 (Figure 4d). We guess the reason for this difference may be that as  
322 the snow-ice process occurs, the increase in sea ice thickness will reduce the heat transfer between  
323 the ocean and the atmosphere, and inhibit the basal growth of sea ice in winter (Figure 4c). The  
324 flooding induced snow-ice formation happens with a rate larger than 0.5 cm per hour after July 11.  
325 Comparing Figure 4e with Figure 4f, we find that the change in snow depth is much lower than the  
326 accumulated snow fall because of flooding.



327  
328 Figure 4 Times series of (a) sea ice thickness, (b) accumulated flooding ice, (c) accumulated basal  
329 ice growth, (d) net surface heat flux, (e) snow depth, and (f) accumulated snow fall. The gray line  
330 represents the simulation using precipitation from observation (Sim\_Obs). The black line represents  
331 the simulation using precipitation from ERA5 (Sim\_ERA\_P). The color bar represents their  
332 difference (Sim\_ERA\_P – Sim\_Obs).  
333



334

335 Figure 5 Times series of (a) snow depth, (b) accumulated latent heat flux and (c) accumulated snow  
336 sublimation. The gray line represents the simulation using wind from the observation (Sim\_Obs).  
337 The black line represents the simulation using wind from ERA5 (Sim\_ERA\_W). The color bar  
338 represents their difference (Sim\_ERA\_W – Sim\_Obs).

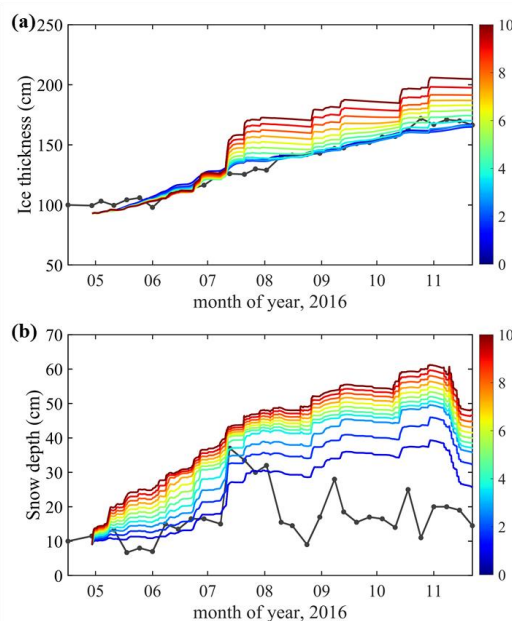
339

340 Although the snow-drift process is currently not implemented in ICEPACK,  $U_a$  still affects the  
341 snow depth through modifying the surface heat fluxes in the bulk formulations (Fairall et al., 2003).  
342 Compared with Sim\_Obs, using  $U_a$  from ERA5 causes in the mean a by  $-5.1 \text{ W m}^{-2}$  lower latent heat  
343 flux (Figure 5b), i.e. larger sublimation (Figure 5c), and a reduction of about  $-3.4 \text{ cm}$  in the snow  
344 depth (Figure 5a). Therefore, when ERA5 is forcing ICEPACK, the overestimation in  $U_a$  partly  
345 neutralizes the effect of overestimation in  $P$  in ICEPACK at Zhongshan Station.



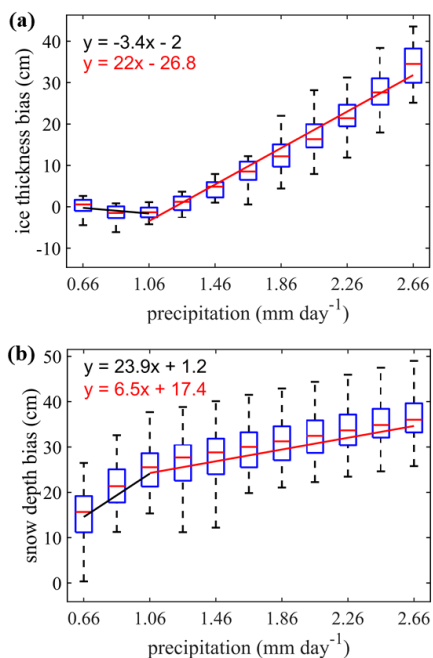
346 **3.5 Additional Sensitivity simulations on the precipitation bias**

347 The precipitation from ERA5 not only shows the largest deviation compared to the *in situ*  
348 observation, but also contributes largest to the bias in the sea ice and snow simulation. To find out  
349 how sensitive sea ice and snow are on precipitation, 10 sensitivity experiments are set up, named  
350 SEN2. In the  $n$ -th experiment,  $n \times 10\%$  of the daily difference between  $P$  from ERA5 and the *in situ*  
351 observation is added to the *in situ* observation on that day. This procedure increases the magnitude  
352 of the precipitation gradually in the experiments, while the timing of the daily precipitation events  
353 remains almost unchanged.  
354



355  
356 Figure 6 Time series of the simulated (a) sea ice thickness and (b) snow depth in the  $n$  experiments  
357 of SEN2. The black solid line with black points show the *in situ* observations (Obs). The 11 colored  
358 lines denote the 11 sensitivity experiments. When  $n = 0$ , precipitation is from the *in situ* observation.  
359 When  $n = 10$ , precipitation is from ERA5.  
360  
361





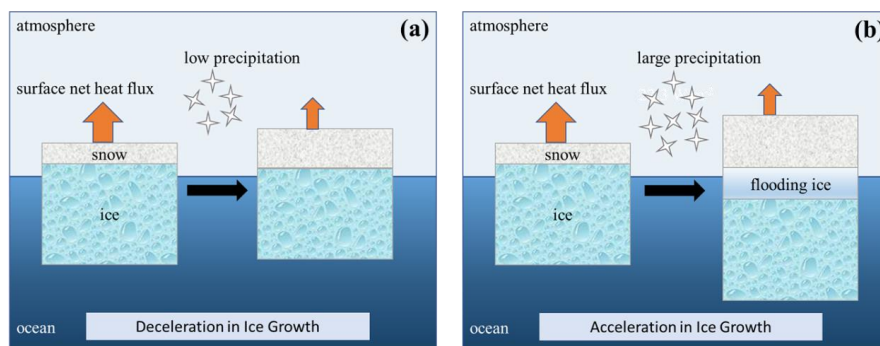
362  
363 Figure 7 Box plot of simulation bias (simulation minus observation) of (a) sea ice thickness and (b)  
364 snow depth over the daily mean precipitation in the different sensitivity experiments (n increases  
365 from left to right). On the x-axis, 0.66 mm is referring to the experiment with n=0 (*in situ*  
366 precipitation) and 2.66 mm is referring to the n=10 experiment (ERA5 precipitation). Two linear  
367 regression lines (black and red) are derived for  $x \leq 1.06$  mm and  $x > 1.06$  mm based on the mean  
368 of ice thickness and snow depth.

369 Both, sea ice thickness and snow depth exhibit a linear increase with increasing precipitation.  
370 The period we calculated the bias between simulations and observations was from 27 July to the  
371 end of November. Different start or end dates of this period do not change this result.

372 The simulation bias of the sea ice thickness is quite small before the precipitation increases by  
373 about 1 mm per day (Figure 7). In fact, the simulated sea ice thickness even decreases at a rate of -  
374 3.4 cm per 1 mm increase in precipitation. The stronger isolation of the snow layer hampers the sea  
375 ice growths. If precipitation is larger than 1 mm day<sup>-1</sup>, an increase of 1 mm day<sup>-1</sup> in precipitation is  
376 thickening the sea ice at the end of the simulation by 22cm. In contrast, an increase of 1 mm day<sup>-1</sup>  
377 in precipitation is thickening the snow depth at the end of the simulation by 23.9cm when the  
378 enforced precipitation remains small, and by 6.5 cm when the added precipitation is large. These



379 different effects of increases in precipitation on the snow and sea ice growth is illustrated in Figure  
380 8 emphasizing the role of flooding via snow-ice formation.  
381



382  
383 Figure 8 Schematic diagram for (a) low precipitation and (b) large precipitation illustrating  
384 precipitation effects on sea ice growth. The upward arrow represents surface net heat flux.  
385

#### 386 4 Discussions

387 Rapid changes in snow depth can increase sea ice thickness through the formation of snow-ice  
388 (Leppäranta, 1983) and superimposed ice (Kawamura et al., 1997). Superimposed ice usually  
389 corresponds to liquid precipitation or melted snow permeate downward in the ice surface to form a  
390 fresh slush layer that refreezes to form superimposed ice. Superimposed ice is significant in early  
391 autumn when snow starts to melt (Kawamura et al., 1997) and is a significantly contributing to sea  
392 ice growth (up to 20% of mass) (Granskog et al., 2004). The superimposed ice which is implemented  
393 in ICEPACK with melt pond parametrization is not run in this study. Therefore, the simulation may  
394 underestimate sea ice thickness and overestimate snow depth and we will apply the melt pond in the  
395 follow-up research work.

396 Flooding induced snow-ice formation is a very important process in the Antarctic because of  
397 thin ice and heavy snowfall (Kawamura et al., 1997). It can make a significant contribution to the  
398 total ice mass (12%-36%) and reduces the snow cover by up to 42-70% of the total snow  
399 accumulation depending on the season and location (Jeffries et al., 2001). However, snow-ice  
400 formation might be overestimated on landfast sea ice when using ICEPACK, especially when ERA5  
401 is taken as atmospheric forcing. Based on observations from a thermistor-chain buoy, a previous  
402 study estimated that a slushy layer of 10cm depth will refreeze within 3 days (Provost et al., 2017).



403 In ICEPACK, snow-ice can form at a fastest rate of 10 cm in 1 day.

404 Besides the atmospheric forcing, the ocean forcing also plays an important role on sea ice  
405 evolution. Heat flux from the ocean boundary layer modifies the sea ice energy balance (Maykut  
406 and Untersteiner, 1971). The ocean heat flux is mainly impacted by summer insolation through open  
407 leads, thin ice, and melt ponds (Perovich and Maykut, 1990) and upward transfer of heat through  
408 vertical turbulent mixing (McPhee et al., 1999). In this study, the oceanic forcing is determined by  
409 specifying the ocean temperature and salinity in an ocean mixed layer of 20m depth. Oceanic  
410 observations under sea ice are even more scarce than atmospheric observation over sea ice. Most  
411 sea ice models use empirical values or data from CCSM3 to set the ocean boundary values (e.g.,  
412 Yang et al., 2016b; Turner and Hunke, 2015). However, just as the atmospheric forcing, the marine  
413 forcing needs to be evaluated carefully before using (e.g., Uotila et al., 2019).

414

## 415 **5 Conclusions**

416 In this work we use the single-column sea ice model ICEPACK forced by the ERA5 atmospheric  
417 reanalysis and by atmospheric *in situ* observations to simulate snow depth and sea ice thickness at  
418 Zhongshan Station, Antarctic. The main results are:

419 (1) Using atmospheric variables from *in situ* observations to force ICEPACK simulates the sea ice  
420 evolution well, but significantly overestimates the snow depth at Zhongshan Station.

421 (2) The average precipitation from ERA5 was about 2 mm day<sup>-1</sup> greater than observed, hence  
422 producing a 14.5 cm excess in sea ice thickness and 17.3 cm more snow depth compared to the  
423 simulation forced by observed atmospheric variables. The large bias in precipitation is the main  
424 contributor to the simulation bias of sea ice thickness and snow depth between observations and  
425 model simulations.

426 (3) The mean surface wind speed from ERA5 is about 2 m s<sup>-1</sup> higher than the observation. Directly  
427 using surface wind speed alone can reduce the snow bias by 3.4 cm. This is because the increase in  
428 latent heat accelerates snow sublimation, but has little effect on the sea ice thickness.

429 (4) The response of the sea ice thickness was found to depend on the snow depth. When the snow  
430 layer is shallow, the snow layer deepens quickly while the sea ice is even thinning slowly with  
431 increasing precipitation. The change in the surface net heat flux is suggested to be the dominant  
432 factor. While for a deeper snow layer, because the flooding process induces snow-ice formation, the



433 sea ice grows quickly while the snow depth increases only slowly. This study investigated the ERA5  
434 reanalysis uncertainties and its impact on the sea ice simulation. In our future research, the ocean  
435 reanalysis errors and their impact on the sea ice simulation will be addressed as well. Furthermore,  
436 because the single-column model only considers sea ice thermodynamics, the full CICE sea ice  
437 model will be applied to conduct two dimensional numerical simulations of sea ice for the entire  
438 Southern Ocean to assess regional differences and to explore the underlying mechanisms.

439

#### 440 **Acknowledgments**

441 The authors would like to thank ECMWF for the ERA5 reanalysis data set and the Russian  
442 meteorological station Progress II for the precipitation observations. We are grateful to CICE  
443 Consortium for sharing ICEPACK and its documentation ([https://github.com/CICE-](https://github.com/CICE-Consortium/Icepack)  
444 [Consortium/Icepack](https://github.com/CICE-Consortium/Icepack)). This study is supported by the National Natural Science Foundation of China  
445 (No. 41941009, 41922044), the Guangdong Basic and Applied Basic Research Foundation (No.  
446 2020B1515020025), the Southern Marine Science and Engineering Guangdong Laboratory (Zhuhai)  
447 (No. SML2020SP007) and CAS “Light of West China” Program (No. E129030101, Y929641001).  
448 PH was supported by AAS grant 4506.

449

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