1	The sensitivity of landfast sea ice to atmospheric forcing in
2	single-column model simulations: a case study at Zhongshan
3	Station, Antarctica
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19	Abstract
20	Single-column sea ice models are used to focus on the thermodynamic evolution of the ice.
21	Generally, these models are forced by atmospheric reanalysis in the absence of atmospheric in situ
22	observations. Here we assess the sea ice thickness (SIT) simulated by a single-column model
23	(ICEPACK) with <i>in situ</i> observations obtained off Zhongshan Station for the austral winter of 2016.
24	In the reanalysis, the surface air temperature is about 1 °C lower, the total precipitation is about 2
25	mm day <sup>-1</sup> larger, and the surface wind speed is about 2 m s <sup>-1</sup> higher compared to the <i>in situ</i>
26	observations, respectively. We designed sensitivity experiments to evaluate the simulation bias in
27	sea ice thickness due to the uncertainty in the individual atmospheric forcing variables. Our results
28	show that the unrealistic precipitation in the reanalysis leads to a bias of 14.5 cm in sea ice thickness
29	and 17.3 cm in snow depth. In addition, our data show that increasing snow depth works to gradually

30 inhibit the growth of sea ice associated with thermal blanketing by the snow due to changing the 31 vertical heat flux. Conversely, given suitable conditions, the sea ice thickness may grow suddenly 32 when the snow load gives rise to flooding and leads to snow-ice formation. Shortcomings of this 33 simulation study are also discussed.

34

#### 35 **1** Introduction

36 Sea ice plays an essential role in the global climate system by reflecting solar radiation and 37 regulating the heat, moisture, and gas exchanges between the ocean and the atmosphere. In contrast 38 to the rapid decline of sea ice extent and volume in the Arctic (Stroeve et al., 2012; Lindsay and 39 Schweiger, 2015), satellite observations show a slight increase in the yearly-mean area of Antarctic 40 sea ice since the late 1970s (Parkinson and Cavalieri, 2012) followed by a rapid decline from 2014 41 (Parkinson, 2019) and a renewed increase in most recent years (Chemke and Polvani, 2020). 42 Although the sudden decline of Antarctic sea ice is yet to be attributed (Parkinson, 2019), the spatial 43 pattern of Antarctic sea ice changes is suggested to be primarily caused by changes in the 44 atmospheric forcing. For example, the rapid ice retreat in the Weddell Sea from 2015 to 2017 has 45 been associated with the intensification of northerly wind (Turner et al., 2017), while the phase of 46 the southern annular mode (SAM) significantly modulates the sea ice in the Ross Sea and elsewhere, 47 especially in November 2016 (Stuecker et al., 2017; Schlosser et al., 2018; Wang et al., 2019a).

48 Landfast sea ice, the immobile fraction of the sea ice, is mainly located near coastal regions of 49 Antarctica, and its change is assumed to be indicative of the evolution of total Antarctic sea ice (Heil 50 et al., 1996; Heil, 2006; Lei et al., 2010; Yang et al., 2016a). Unlike drifting sea ice, the change in 51 landfast sea ice is dominated by thermodynamic processes, which single-column sea-ice models can 52 well capture (Heil et al., 1996; Lei et al., 2010; Yang et al., 2016b; Zhao et al., 2017; Liu et al., 53 2022). Furthermore, a single-column sea ice model is a useful tool to evaluate the impacts of 54 different atmospheric forcings on the sea ice evolution because of the relatively simple structure of 55 the physical processes (Cheng et al., 2013; Wang et al., 2019b; Merkouriadi et al., 2020). In this 56 study, a state-of-the-art single-column sea ice model, ICEPACK, is chosen to investigate the 57 sensitivity of landfast sea ice to atmospheric forcing for the region off Zhongshan Station in Prydz 58 Bay, East Antarctica (Figure 1).



Due to the lack of *in situ* observation, the majority of sea ice studies, especially for the Antarctic,

60 rely on numerical models. Realistic atmospheric forcing is critical for reliable model simulations. 61 Although being criticized for significant deviations from *in situ* observations (Bromwich et al., 2007; 62 Vancoppenolle et al., 2011; Wang et al., 2016; Barthélemy et al., 2018), atmospheric reanalysis data 63 are assumed to offer reasonable atmospheric forcing for large-scale sea ice models for the Antarctic (Zhang, 2007; Massonnet et al., 2011; Zhang, 2014; Barthélemy et al., 2018). Previous studies 64 65 reported a large spread between four global atmospheric reanalysis products and in situ observations 66 in the Amundsen Sea Embayment (Jones et al., 2016). Moreover, studies showed that directly using 67 atmospheric reanalysis as forcing for models causes significant biases in the Arctic sea ice simulations (Lindsay et al., 2014; Wang et al., 2019b). Similar results, accentuated by the sparseness 68 69 of atmospheric observations entering the reanalysis, can be foreseen for Antarctica. Therefore, the 70 atmospheric forcing needs to be evaluated carefully before simulating Antarctic sea ice. To our 71 knowledge, few studies have given a quantitative evaluation of the effect of different atmospheric 72 forces on sea ice simulations in Antarctica.

73 The coastal landfast sea ice in Prydz Bay is generally first-year ice. It usually fractures and is 74 exported or melts out completely between December and the following February, and refreeze 75 occurs from late February onwards (Lei et al., 2010). This seasonal cycle is representative of 76 Antarctic landfast sea ice. This study aims to evaluate the contributions of the various atmospheric 77 forcing variables on landfast sea ice growth. The snow cover exerts influence on the evolution of 78 the vertical sea ice-snow column via a number of mechanisms, including the formation of snow-ice 79 added by flooding (Leppäranta, 1983), superimposed ice (Kawamura et al., 1997), and insulating 80 impact (Massom et al., 2001). Understanding the snow depth is a primary concern here.

Two sets of atmospheric forcing have been chosen. The first is spatially interpolated ERA5 onto the location of the observation site, and the second is using *in situ* atmospheric observations. It is well-known that the simulation biases of numerical models are introduced through many shortcomings, including unrealistic surface boundary conditions (here: atmospheric forcing), imperfect physical process formulations, computational errors. Understanding the uncertainty in sea ice simulations as well as the sea ice response pattern to atmospheric forcing due to imperfect surface boundaries is a prerequisite for successful simulations and needs to be assessed first.

88 This study is arranged as follows: Section 2 introduces the *in situ* observations, the numerical 89 model, and the reanalysis. The main results are given in section 3, focusing on different kinds of atmospheric forcing on sea ice and snow. Shortcomings, discussions and conclusions follow in
sections 4, 5 and 6.

92

#### 93 2 Materials and methods

#### 94 **2.1 Meteorological observations**

95 The site of sea ice observation locates in the coastal area off Zhongshan Station 96 [(69°22'S,76°22'E); Figure 1], East Antarctica. The meteorological data were collected at a year-97 round manned weather observatory run at Zhongshan Station in 2016, which is 1 km inland from 98 the sea ice observation site and 15 m above sea level. Snowfall is measured every 12 hours at the 99 Russian Progress II station (located  $\sim 1$  km to the southeast of Zhongshan Station). The short- and 100 long-wave radiation fluxes were measured every minute with a net radiometer mounted 1.5 m above 101 the surface on a tripod (Yang et al., 2016a). Other meteorological variables are available as hourly 102 data, including 2 m air temperature  $(T_{2m})$ , surface pressure  $(P_a)$ , specific humidity (calculated from 103 dew-point temperature and  $P_a$ ), potential temperature (calculated from  $T_{2m}$  and  $P_a$ ), air density 104 (calculated by  $T_{2m}$  and  $P_a$ ) and 10 m wind speed ( $U_{10}$ ) (Hao et al., 2019; Hao et al., 2020; Liu et al., 105 2020).

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107

108 Figure 1 Location of landfast sea ice surface measurements near Zhongshan Station. The solid 109 triangle denotes the observation site, the solid circle marks Zhongshan Station. The color on the left 110 represents the terrain.

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#### 113 **2.2 Sea ice thickness measurement**

A thermistor-chain unit developed by Taiyuan University of Technology (TY) was used to 114 115 measure sea ice thickness in austral winter 2016. This unit is composed of two parts: the control unit 116 and the thermistor chain. The controller initiates data acquisitions and records and stores the 117 temperature measurements. The thermistor chain is 3 m long with 250 equidistant thermistors. Their 118 sensitivity is 0.063 °C, and the measurement accuracy is  $\pm 0.1$  °C. The thermistor chain simultaneously records the vertical temperature profile across the near-surface atmosphere, snow 119 120 cover, sea ice, and surface seawater. The measurement frequency is hourly. Details about the 121 instruments can be found in Hao et al. (2019).

122 Snow thickness close to the thermistor unit is measured weekly using a ruler with an accuracy 123 of  $\pm 0.2$  cm. Sea ice thickness is measured with a ruler through a drill hole (5 cm diameter) weekly. 124 The measurement accuracy is  $\pm 0.5$  cm. The average thickness obtained from three close-by sites is 125 retained. Sea-surface temperature and sea-surface salinity are measured in the drill holes weekly 126 using a Cond 3210 set 1 (Hao et al., 2019).

127

128 **2.3 Atmospheric reanalysis data** 

129 The European Centre for Medium-range Weather Forecasts (ECMWF) released ERA5, the new 130 reanalysis product in 2017, updated in near real-time (Hersbach and Dee, 2016; Hersbach et al., 131 2020). The complete ERA5 dataset, extending back to 1950, has been available to the end of 2019 132 during this study. Compared with the popular ERA-Interim reanalysis, there are several significant 133 improvements in ERA5, including much higher resolutions (both spatially and temporally). ERA5 134 has global coverage with a horizontal resolution of 31 km by 31 km at the equator and 10 km by 31 135 km at the latitude of Zhongshan Station. The ERA5 resolves the vertical atmosphere profile using 136 137 vertical pressure levels from the surface up to a geopotential height of 0.01 hPa. ERA5 provides 137 hourly analysis and forecast fields and applies a four-dimensional variational data assimilation 138 system (4D-var). ERA5 includes various reprocessed quality-controlled data sets, for example, the 139 reprocessed version of the Ocean and Sea Ice Satellite Application Facilities (OSI SAF) sea ice 140 concentration (Hersbach and Dee, 2016; Hersbach et al., 2020).

For comparison and evaluation against the observation in this study, gridded data from ERA5
has been bilinearly interpolated to the observation site (detailed in 2.1). Directly using atmospheric

forcing from coarse grid cells to interpolate to the observation site, although widely accepted in the previous studies (e.g., Urraca et al., 2018; Wang et al., 2019b), may cause errors. We have checked the performance of ERA5 and found that the spatial difference of surface atmospheric variables around the observation site is relatively small, indicating the choice of interpolation techniques will not affect the conclusion of this study.

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## 149 **2.4 ICEPACK**

150 ICEPACK is a column-physics component of the Los Alamos Sea Ice Model (CICE) V6 and is 151 maintained by the CICE Consortium. ICEPACK incorporates column-based physical processes that 152 affect the area and thickness of sea ice. It includes several options for simulating sea ice 153 thermodynamics, mechanical redistribution (ridging), and associated area and thickness changes. In 154 addition, the model supports several tracers, including ice thickness, enthalpy, ice age, first-year ice 155 area, deformed ice area and volume, melt ponds, and biogeochemistry (Hunke et al., 2019). 156 ICEPACK Version 1.1.1 was used in this study, and detailed options of physical parameterizations 157 and model settings for the ICEPACK are summarized in Table 1. We employ ICEPACK to distribute 158 the initial ice thickness to each ice thickness category using a distribution function:

159 
$$p_i = \frac{\max(2 \times h \times H_i - H_i^2, 0)}{\sum_i \max(2 \times h \times H_i - H_i^2, 0)}, \ i = 1 \cdots N, (1)$$

160 Where h is the initial ice thickness,  $H_i$  is the prescribed ice thickness category (0–0.6, 0.6–1.4, 1.4–

161 2.4, 2.4–3.6, and above 3.6 m $\sim$ ; same as for Arctic simulations), N is the number of ice thickness

162 categories.

# 163 Table 1 Detailed options of physical parameterizations and model settings for the ICEPACK.

ICEPACK	Value
time step	3600 s
Number of layers in the ice	7
Number of layers in the snow	1
Ice thickness categories	5 (Bitz et al., 2001)
Initial ice thickness	99.5 cm (observed)
Initial snow depth	11.5 cm (observed)
Albedo scheme	CCSM3 (Collins et al., 2006)
Ice thermodynamic	Mushy-layer (Turner et al., 2013)
Shortwave radiation	Delta-Eddington (Briegleb and Light, 2007)
Snowdrift	Not implemented in ICEPACK 1.1.1
Melt ponds (superimposed ice)	Not used in this study
Ocean heat transfer coefficient	0.006 (Maykut and McPhee, 1995)

SST restoring time scale (days) Ocean friction velocity minimum (m/s)

The atmospheric forcing for the ICEPACK model consists of observations of downward shortand long-wave radiation, 2 m air temperature, specific humidity, total precipitation, potential temperature, 2 m air density, and 10 m wind speed. The oceanic forcing includes sea surface temperature, sea surface salinity, and oceanic mixed layer depth. The period concerned in this study is from April 22, when observed sea ice generally starts to grow, to November 22, 2016. Since there are no observations of the ocean's mixed-layer depth, we set it to 10 m based on a previously published study (Zhao et al., 2019).

171

# 172 3 Results

## 173 **3.1 Surface atmospheric conditions near the observation site**

174 First, we compare the eight atmospheric variables used to force ICEPACK (surface downward 175 shortwave radiation ( $R_{sd}$ ), surface downward long-wave radiation ( $R_{ld}$ ), surface air temperature ( $T_a$ ), 176 specific humidity ( $Q_a$ ), precipitation (P), air potential temperature ( $\Theta_a$ ), air density ( $\rho_a$ ), wind speed 177  $(U_a)$  with the respective *in situ* observation. Table 2 lists the bias (reanalysis minus observation), 178 bias ratio (ratio between the bias and the observation value), the mean value of the *in situ* observation 179 (Mean Obs), the correlation coefficient (Corr.), and the root-mean-square deviation (RMSD) 180 between the interpolated ERA5 data and the observation. In general, all eight variables from the two 181 sources closely follow each other (Corr. > 0.85), except for P and  $U_a$ . In this study, the main attention 182 is on the atmospheric variables  $T_a$ , P, and  $U_a$  for three reasons: (1) Previous studies have shown that 183 from all atmospheric forcing variables, uncertainties in  $T_a$ , P, and  $U_a$  exert a significant impact on 184 the sea ice thickness (Cheng et al., 2008). (2) Surface wind may affect the snow cover in two ways: 185 sublimation due to surface turbulent heat flux (Fairall et al., 2003; Gascoin et al., 2013) and the 186 snowdrift process (Thiery et al., 2012). (3) P and  $U_a$  from the reanalysis have the largest bias ratio 187 compared to the *in situ* observations.

The timing of daily variations of  $T_a$  is well represented by ERA5, especially for strong cooling events (Figure 2a). However, ERA5 tends to underestimate warm events by a few degrees as well as cold events where differences exceeding 10 °C may occur (Figure 2d). During the entire observation period in 2016,  $T_a$  from ERA5 was 1.2 °C lower than the *in situ* observation. Also, 192 previous studies reported similar disagreement in  $T_a$  between observation and reanalysis in 193 Antarctica (Bracegirdle and Marshall, 2012; Fréville et al., 2014). The cold bias of  $T_a$  in the 194 reanalysis was suggested to be caused by the ice surface schemes that cannot accurately describe 195 the ice-atmosphere interactions of strongly stable stratified boundary layers that are frequent in 196 Antarctica.

197

198 Table 2 Comparison of atmospheric forcing between ERA5 reanalysis and *in situ* observations.

Variable	Bias	Bias ratio (%)	Mean_Obs	Corr	RMSD
$R_{sd}$ (W m <sup>-2</sup> )	6.115	9.031	67.714	0.967	40.981
$R_{ld}$ (W m <sup>-2</sup> )	-19.153	-9.672	198.023	0.869	28.753
$T_a$ (K)	-1.168	-0.453	257.809	0.967	2.820
$Q_a(10^{-4}{ m kgkg^{-1}})$	-0.769	-9.326	8.247	0.950	1.987
$P (\mathrm{mm}\mathrm{day}^{\text{-1}})$	2.010	303.509	0.660	0.639	0.825
$\Theta_{a}\left(\mathrm{K} ight)$	0.290	0.112	259.437	0.965	2.609
$ ho_a$ (kg m <sup>-3</sup> )	-0.021	-1.592	1.322	0.958	0.026
$U_a$ (m s <sup>-1</sup> )	2.145	50.735	4.228	0.765	2.989

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200 The reanalyzed variable with the largest bias ratio from the observation is precipitation (Figure 201 2b). Hourly precipitation from ERA5 was accumulated into daily data and compared with the 202 nearest available daily precipitation records from the Progress II station. The maximum daily mean 203 precipitation can reach 19.1 mm day<sup>-1</sup> (July 11, 2016), with an average of 0.66 mm day<sup>-1</sup> from April 204 29 to November 22, 2016. While ERA5 captures the main precipitation events, it significantly 205 overestimated the magnitude of precipitation events, especially in July. In this month, the mean 206 precipitation rate from ERA5 is 5.83 mm day<sup>-1</sup>, while the observed is only 1.42 mm day<sup>-1</sup>. From 207 April to November, the accumulated precipitation from ERA5 is about 300% larger than that in the 208 in situ observations. Nevertheless, using precipitation from Progress II for Zhongshan Station may 209 be questioned because of the distance of about 1 km to Zhongshan Station. Moreover, the snowdrift 210 due to strong surface wind can affect the precipitation observation and the local accumulated snow 211 mass, which may further cause a significant bias in snow depth between simulation and observation. 212 213



Figure 2 Time series of daily (a) surface air temperature, (b) precipitation rate, and (c) wind speed (10 m above the surface). The ERA5 reanalysis data are indicated as red lines. Observations are marked by black lines. (d-f) show the difference (marked by 'D') between ERA5 and the observation (ERA5-observation). The differences are marked by blue lines. The gray lines denote the zero line.

The observed  $U_a$  varied from 0.01 m s<sup>-1</sup> to 12.3 m s<sup>-1</sup> with an average of 4.2 m s<sup>-1</sup> (Figure 2c). ERA5 well captured the daily and seasonal variation of  $U_a$ , but an overestimation of 2.1 m s<sup>-1</sup> should be noted, mainly when observed  $U_a > 5$  m s<sup>-1</sup>. One explanation for such overestimation is that the numerical model underlying ERA5 cannot represent the surface roughness and the katabatic wind in a region with complex orography (Tetzner et al., 2019; Vignon et al., 2019).

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# 226 **3.2 Simulation forced by observed** *in situ* **atmospheric variables**

The simulation bias of sea ice thickness and snow depth is impacted by many aspects, including unrealistic atmospheric and oceanic forcing and shortcomings in the applied numerical model. In this study, we mainly focus on the influence of imperfect atmospheric forcing.

230 The sea ice thickness (Obs) measured through a hole drilled is increasing from April 29 (100±2

cm) to October 25 (172±2 cm), remaining level from there on (Figure 3a). The ice thickness deduced
from the TY (Obs\_TY) thermistor-chain buoy shows a similar result: sea ice thickness increased
from 106 cm on April 22 to 171 cm on November 17. In November, the sea ice thickness (Obs and
Obs\_TY) is stationary, indicating a thermodynamic equilibrium between heat loss to the atmosphere
and heat gain from the ocean (Yang et al., 2016a; Hao et al., 2019).

When forced by atmospheric *in situ* observations (Sim\_Obs), the simulated sea ice thickness agrees well with the observed thickness with a mean bias of less than 1 cm over the growing season. We attribute the excellent simulation result to the fact that the seasonal evolution of landfast is driven mainly by thermal processes, which ICEPACK captures well.

The average snow depth from observation is 17 cm during the ice-growth season, with low snow depth measured before July 11 (Figure 3b). After that, the snow depth increases rapidly up to about 37 cm, associated with a precipitation event arising from a single synoptic system. Then it decreases below the seasonal mean (Obs\_mean), followed by two secondary maxima (> 25 cm) on September 8 and October 18, respectively.

245 The snow depth in Sim Obs tracks the observation closely before August 2 (Figure 3b). Then, 246 the Observed snow depth decreased quickly from about 30 cm to about 10 cm, while the Sim Obs 247 snow depth continued to increase gradually until the onset of surface melting in November. We 248 attribute the Observed quick decrease of snow depth to the effect of the snowdrift because the surface wind stayed above 5 m s<sup>-1</sup> for most of August (Figure 2c), giving rise to snowdrift, a process 249 250 not implemented in the version of ICEPACK used here. The snowdrift might cause a significant 251 spatial difference in accumulated snow patterns (Liston et al., 2018), which may be responsible for 252 the large deviation in snow depth between Sim Obs and Observation. In addition, Sim Obs 253 underestimated the snow depth on July 11. As discussed above, using nonlocal observed 254 precipitation from Progress II should be questioned.

Using observed meteorological variables as atmospheric forcing in ICEPACK produced unreliable snow depth while the sea ice thickness was in reasonably good agreement. In other words, the enormous bias in snow depth seems to have little effect on the sea ice thickness in the simulation. This counter-intuitive finding is of great interest to us because it disobeys the general realization that the snow layer significantly modifies the energy exchange on top of the sea ice. Potential causes for this result will be discussed later.





Figure 3 Time series of (a) sea ice thickness and (b) snow depth during the freezing season. Black solid lines with black points show the observations from the drill hole (Obs). Green solid lines show the ice thickness derived from the TY buoy (Obs\_TY). Red solid lines show the simulation results under *in situ* atmospheric forcing (Sim\_Obs), and blue solid lines are simulation results under ERA5 forcing (Sim\_ERA). In (b), the gray solid line shows the seasonal mean snow depth observation (Obs\_mean).

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# 270 **3.3 Simulation forced by ERA5 atmospheric variables**

When forced by ERA5 (Sim\_ERA), the simulated sea ice thickness shows significant deviations from observation (Figure 3a). The deviation is only about 1 cm before July 11, when a heavy precipitation event (~19 mm day<sup>-1</sup>) happened. After the precipitation episode, the offset in the sea ice thickness between Sim\_ERA and observation was almost constant, about 33 cm.

In contrast to sea ice thickness, the precipitation from ERA5 causes an overestimation in snow depth for the entire simulation period. The snow depth from Sim\_ERA is much greater than observation, even before July 11 (Figure 3b). During the heavy precipitation event (Figure 2b), the observed snow depth increased from 20 cm to about 40 cm. Although the precipitation rate from ERA5 (~40 mm day<sup>-1</sup>) is two times larger than the observation, it caused little response in the simulated snow depth. The snow depth increase is near-linear, from about 10 cm to almost 60 cm.

# 282 **3.4 Sensitivity analysis**

283 To determine which atmospheric variables, including  $T_a$ ,  $P_a$ , and  $U_a$ , are the most crucial in the 284 sea ice simulation, we designed a set of sensitivity simulation experiments named SEN1. The 285 simulation under the forcing from the in situ observed atmospheric variables is the control 286 experiment and is named Sim Obs. In each experiment of SEN1, one atmospheric variable is 287 replaced by the corresponding variable from ERA5, while all others are identical to those of the 288 control experiment. In Table 3, the averaged bias between the simulation and the observation of the 289 outputs (ice thickness and snow depth) and the bias ratio of forcing atmospheric variables are listed 290 separately.

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Table 3 Bias of ice thickness, snow depth, and bias ratio for each forcing variable come from Table2. 'All' means using the full set of ERA5 atmospheric forcing.

Variable	В	Bias ratio (%)	
variable	Ice (cm)	Snow (cm)	Forcing
$R_{sd}$ (W m <sup>-2</sup> )	-0.044	-0.130	9.031
$R_{ld}$ (W m <sup>-2</sup> )	3.050	2.243	-9.672
$T_a$ (K)	0.001	0.029	-0.453
$Q_a(10^{-4}{ m kgkg^{-1}})$	1.099	-1.299	-9.326
$P (\mathrm{mm \; day^{-1}})$	14.519	17.312	303.509
$\Theta_{a}\left(\mathrm{K} ight)$	-0.483	0.407	0.112
$ ho_a$ (kg m <sup>-3</sup> )	0.119	-0.071	-1.592
$U_a (\mathrm{m}~\mathrm{s}^{\text{-1}})$	-0.311	-3.421	50.735
All	16.824	17.882	/

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To determine the sensitivity of sea ice and snow depth near Zhongshan station on atmospheric forcing, we designed a set of numerical experiments named SEN2. In the control run, the forcing of the simulation directly used the means of observed atmospheric variables (Mean\_Obs in Table 4). For a specific atmospheric variable, we build a set of sensitive runs. The focused atmospheric variable changed from its mean (Range in Table 4), and other variables are the same as the control run. Considering the actual range of each observed variable on an interannual scale (Van Den Broeke et al., 2004; Jakobs et al., 2020; Roussel et al., 2020), we set the maximum change in  $T_a$ ,  $\Theta_a$ , and  $\rho_a$ to 2%, and other atmospheric variables to 50%. Then, we concluded the sensitivity of sea ice and snow to each atmospheric forcing from its corresponding sensitive runs. Because sea ice and snow depth show a quasi-linear response to the change in each specific atmospheric forcing (not shown), the choice of the variable's range will not alter the sensitivity results.

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Table 4 The atmospheric forcing (Mean\_obs for the control run and range for the sensitive run), and sensitivity from SEN2.

	Mean_Obs (Control)	Range	Sensitivity	
Variable		(%)	Ice (cm/%)	Snow (cm/%)
$R_{sd}$ (W m <sup>-2</sup> )	67.714	$\pm 50$	-0.033	-0.008
$R_{ld}$ (W m <sup>-2</sup> )	198.023	$\pm 50$	-0.368	-0.201
$T_a(\mathbf{K})$	257.809	±2	-1.247	-0.526
$Q_a (10^{-4}{ m kgkg^{-1}})$	8.247	$\pm 50$	-0.025	0.029
$P (\mathrm{mm}\mathrm{day}^{\text{-1}})$	0.660	$\pm 50$	-0.032	0.135
$\Theta_{a}\left(\mathrm{K} ight)$	259.437	±2	-1.297	-0.491
$ ho_a$ (kg m <sup>-3</sup> )	1.322	±2	-0.054	0.021
$U_a ({ m m s}^{\text{-1}})$	4.228	$\pm 50$	-0.054	-0.022

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310 Comparing the individual biases in Table 3, it turns out that P and  $R_{ld}$  from ERA5 contribute to 311 the bias in sea ice thickness most strongly. For snow depth, P,  $U_{a}$ , and  $R_{ld}$  contribute the largest. In 312 Table 4, the sensitivity of ice thickness and snow depth to each atmospheric variable are listed. 313 Comparing the individual sensitivity, it turns out that the sea ice thickness and snow depth are most 314 sensitive to  $T_a$  and  $\Theta_a$ . However,  $T_a$  from ERA5 is close to the *in situ* observation, so the simulated 315 sea ice thickness and snow depth are hardly impacted (Table 3). The results from SEN1 reveal that 316 the overestimation in P in ERA5 is the primary source of the overestimation of sea ice thickness 317 and snow depth, even with less sensitivity to precipitation (Table 4).

To clarify the effect of specific forcing further, we replaced the *x* forcing in Sim\_Obs with the corresponding ERA5 variable and named it Sim\_ERA\_x. Compared with Sim\_Obs, Sim\_ERA\_P overestimates the snow depth since May (Figure 4b) and shows a significant positive bias in sea ice thickness after July 11 (Figure 4a). Before July 11, the sea ice thickness from Sim\_ERA\_P was even 322 smaller than that from Sim Obs.

To find out why the snow and sea ice behaves differently, we first investigate the net heat flux into the snow surface  $H_N$  (positive downward):

326 where Rn, Hs, and Hl are the net surface radiation flux, the sensible heat flux, and the latent heat 327 flux, respectively. All energy fluxes are defined as positive downward. Because the simulated snow 328 layer in SIM ERA P is much deeper than in SIM Obs, the difference in  $H_N$  reflects the modification 329 of the surface energy flux due to the changed snow layer. From Figure 4d, it can be deduced that the 330 overestimation of snow depth in SIM ERA P results in a positive anomaly of  $H_N$  before July 11, 331 which hampers the sea ice growth. Later the difference in  $H_N$  becomes relatively small. The 332 dependence of  $H_N$  on the snow depth is significant when the snow layer is shallow (<20 cm in this 333 study). If the snow layer is deep enough, its impact on the net surface heat flux ceases.

334 After July 11, the difference in sea ice thickness between the two simulations increases quickly 335 from ~0 to >40 cm (Figure 4a). We attribute that to flooding with subsequent snow-ice formation 336 (Powell and others, 2005). The continuously deepening snow layer reduces the sea ice freeboard. 337 When heavy snowfall occurs, which frequently happens after July 11, the snow load pushes the sea 338 ice surface below sea level, and seawater floods onto the sea ice surface, causing the overlying snow 339 to freeze. This snow-ice formation process will form flooding ice (snow-ice thickness) at the sea ice 340 surface and rapidly increase the total sea ice thickness (Figure 4a). The difference ( $\sim 100$  cm) in 341 accumulated flooding ice (Figure 4c) between Sim Obs (0.8 cm) and Sim ERA P (105.5 cm) is 342 much greater than the difference (~40 cm) in simulated sea ice thickness (Figure 4a), while the net 343 surface heat flux compares well after July 11 (Figure 4d). This difference may be because as the 344 snow-ice process occurs, the increase in sea ice thickness will reduce the heat loss from the ice cover 345 and inhibit the basal growth of sea ice in winter (Figure 4e). The flooding-induced snow-ice 346 formation happens at a rate larger than 0.5 cm per hour after July 11. The snowfall (Figure 2b) is 347 converted to new snow depth at the top surface (Figure 4f) using a snow density of 330 kg m<sup>-3</sup> in 348 ICEPACK (Hunke et al., 2019). Comparing Figure 4b with Figure 4f, we find that the change in 349 actual snow depth (11 cm) is much lower than the expected accumulated snowfall (57 cm), 350 indicating that the flooding process reduces about four-fifths of snow depth over sea ice.



Figure 4 Times series of (a) sea ice thickness, (b) snow depth, (c) accumulated flooding ice, (d) net surface heat flux, (e) accumulated basal ice growth, and (f) accumulated snowfall. The gray line represents the simulation using precipitation from observation (Sim\_Obs). The black line represents the simulation using precipitation from ERA5 (Sim\_ERA\_P). The color bar represents their difference (Sim ERA P – Sim Obs).

# 358 **3.5 Additional sensitivity simulations on the precipitation bias**

The precipitation from ERA5 shows the most significant deviation compared to the *in situ* observation and contributes the largest to the sea ice and snow simulation bias. To determine the cause of differences in the sea ice and snow response to precipitation, we set up ten sensitivity experiments named SEN3 (Figure 5). In the *n*-th experiment,  $n \times 10\%$  of the daily difference between *P* from ERA5 and the *in situ* observation is added to the observed *P* on that day. This procedure gradually increases the magnitude of the precipitation in the experiments while the timing of the daily precipitation events remains almost unchanged.

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Figure 5 Time series of the simulated (a) sea ice thickness, (b) snow depth, (c) accumulated flooding ice, and (d) net surface heat flux in the n experiments of SEN3. The black solid point lines show the *in situ* observations (Obs). The 11 colored lines denote the 11 sensitivity experiments. When n = 0, precipitation is from the *in situ* observation. When n = 10, precipitation is from ERA5.

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Figure 6 Box plot of simulation bias (simulation minus observation) of (a) sea ice thickness and (b) snow depth over the daily mean precipitation in the different sensitivity experiments (n increases from left to right). On the x-axis, 0.66 mm refers to the experiment with n=0 (*in situ* precipitation), and 2.66 mm refers to the n=10 experiment (ERA5 precipitation). Two linear regression lines (black and red) are derived for x <= 1.06 mm and x > 1.06 mm based on the mean of ice thickness and snow depth.

381 We define the bias as the difference between simulations and observations from July 27 to the 382 end of November. Different start or end dates of this period do not change this result. The bias of 383 both sea ice thickness and snow depth linearly grows with increasing precipitation (Figure 6). The 384 simulation bias of the sea ice thickness is relatively small before the precipitation increases by about 385 1 mm per day. We suggested that the snow-ice formation is small (Figure 5c), and the insulation of 386 the snow layer (Figure 5d) hampers the sea ice growth. In fact, the simulated sea ice thickness even decreases (at a rate of  $-3.4 \text{ cm/(mm day}^{-1})$ ) when the added precipitation is  $< 1 \text{ mm day}^{-1}$ . When the 387 added precipitation is  $> 1 \text{ mm day}^{-1}$ , the simulated sea ice thickness quickly increases at a rate of 22 388 389  $cm/(mm day^{-1})$ .

In contrast, the simulated snow depth increases rapidly at 23.9 cm/(mm day<sup>-1</sup>) when the enforced

391 precipitation remains small but at a rate of 6.5 cm when the added precipitation is large. This is 392 because more snow is converted into flooding ice, and the snow-ice formation process strongly 393 overrules the larger insulation effect from the snow layer, promoting sea ice growth.

394 The snow-ice process is based on Archimedes' Principle. Therefore, the threshold value (1 395 mm/day<sup>-1</sup>) is related to the density value of ice, snow, and water in model parameterization as well 396 as the sea ice thickness and snow depth. If sea ice and snow density, initial snow depth decrease, or 397 seawater density and initial ice thickness increase, the threshold will increase, and vice versa. These 398 different effects of increases in precipitation on the snow and sea ice growth are illustrated in Figure 399 7, emphasizing the role of flooding via snow-ice formation. When the snow layer is shallow, 400 increases in precipitation will quickly deepen the snow layer and inhibit the growth of sea ice 401 thickness due to the insulation of snow. The decrease in the surface net heat flux is the dominant 402 factor. While the snow layer is deep and large precipitation is present, the flooding process induces 403 snow-ice formation, and the sea ice grows quickly while the snow depth increases only slowly.





405

406 Figure 7 Schematic diagram for (a) low precipitation and (b) large precipitation events illustrating 407 the precipitation effect on sea ice growth. The orange arrows represent surface net heat flux, and 408 different colored boxes indicate the layer of snow, flooding ice, and sea ice.

409

# 410 **4 Shortcomings**

The simulated ice thickness and snow depth deviate from the observations in this study (Figure 3). We list the shortcomings that could affect the simulation: 1) Superimposed ice is not considered in this study; 2) The snow-ice formation might be overestimated on the landfast sea ice in ICEPACK;

414 3) The snowdrift process has not been involved in the version of ICEPACK used here.

415 Superimposed ice is present in early autumn when the snow starts to melt (Kawamura et al., 416 1997) and contributes significantly to sea ice growth (up to 20% of mass) (Granskog et al., 2004). 417 Superimposed ice usually corresponds to liquid precipitation or melted snow that permeates 418 downward to form a fresh slush layer and refreezes. The superimposed ice is implemented in 419 ICEPACK via the melt ponds parametrization but has not been considered in this study. Therefore, 420 the simulation may underestimate sea ice thickness and overestimate snow depth compared to the 421 observation in November (Figure 3a). We will apply the melt ponds scheme in the follow-up 422 research work.

423 Flooding-induced snow-ice formation is common in the Antarctic ocean because of the thin ice 424 and heavy snowfall (Kawamura et al., 1997). It can contribute to considerable ice mass (12%-36%) 425 and reduce the snow depth by up to 42-70%, depending on the season and location (Jeffries et al., 426 2001). The parameterization of the flooding process in the ICEPACK is based on Archimedes' 427 Principle for the pack ice, which might be problematic for the coastal landfast sea ice. With a much 428 larger volume and shallower seawater around than the pack sea ice, part of the coastal landfast sea 429 ice might contact the sea bed rather than float in the sea. Thus, the flooding should be much weaker 430 even with weighted snow cover. Besides, the change in density of ice due to the flooding process is 431 significant (Saloranta, 2000) but not well considered in ICEPACK. For example, a slushy layer of 432 10 cm depth would refreeze within three days from observation (Provost et al., 2017), while the 433 process only needs one day in ICEPACK. Hence, the landfast sea ice growth due to snow-ice 434 formation needs improvement in ICEPACK, especially when the input precipitation is significantly 435 exaggerated, e.g., the ERA5 forcing.

436 Surface drifting snow particles play an essential role in the surface mass balance (Van den 437 Broeke et al., 2004). Figure 3b shows that the observed snow depth has quickly decreased from 32 438 cm on August 2 to 15.5cm on August 10, which should be attributed to the snowdrift because the 439 surface wind is  $> 8 \text{ m s}^{-1}$  in most of this period (Figure 2c). Friction velocity becomes sufficiently 440 high to overcome the gravity and bonds between snow particles in this strong wind and raise the 441 snow particles from the surface (van den Broeke et al., 2006; Thiery et al., 2012; Tanji et al., 2021). 442 However, the mean surface wind in ERA5 is convergent around the observation site during the 443 intense wind period (Figure 8), which might not be expected for snow depth to decrease due to 444 snowdrift. The coarse resolution of the atmospheric reanalysis might not produce a realistic surface

wind field, which is primarily determined by the local topography (Van Den Broeke et al., 1999;
Frezzotti et al., 2005). In addition, surface sublimation of drifting snow particles, which is most
significant in warm, dry, and windy weather (Thiery et al., 2012), plays an important role in surface

448 mass balance (Van den Broeke et al., 2004) but has not been involved in ICEPACK yet.



449

450 Figure 8 The mean ERA5 surface wind and divergence from August 2 to 10. The black line451 represents the coastline, and the red point represents the observation site.

452

## 453 **5 Discussions**

454 The surface wind can affect the snow depth by changing the surface heat fluxes (Fairall et al.,

455 2003). Compared with Sim Obs, Sim ERA W gives a  $-2.5 \times 10^4$  W m<sup>-2</sup> lower latent heat flux

456 (positive downward) on average (Figure 9b), i.e., a larger sublimation (Figure 9c), and a reduction

457 of about -3.4 cm of the snow depth (Figure 9a). Therefore, the overestimation in the surface wind

458 from ERA5 partly neutralizes the effect of overestimated precipitation.



460

Figure 9 Times series of (a) snow depth, (b) accumulated latent heat flux, and (c) accumulated snow
sublimation. The gray line represents the simulation using wind from the observation (Sim\_Obs).
The black line represents the simulation using wind from ERA5 (Sim\_ERA\_W). The color bar
represents their difference (Sim\_ERA\_W – Sim\_Obs).

465 The oceanic forcing also plays an essential role in sea ice evolution (Uotila et al., 2019). Heat 466 flux from the ocean boundary layer changes the sea ice energy balance (Maykut and Untersteiner, 467 1971). The ocean heat flux is mainly impacted by summer insolation through open leads, thin ice, 468 melt ponds (Perovich and Maykut, 1990), and upward heat transfer through vertical turbulent 469 mixing (McPhee et al., 1999). Because the oceanic observations under sea ice are challenging, most 470 sea ice models directly use some empirical values, like the default value in CCSM3, to build the 471 ocean boundary condition (e.g., Yang et al., 2016b; Turner and Hunke, 2015). Although some 472 oceanic variables, like the water temperature and salinity, are from observation, others refer to 473 previous studies, like the mixed layer depth. The uncertainty in oceanic forcing might be as 474 important as the atmospheric ones, which will be focused on in our coming work.

# 476 6 Conclusions

This work uses the single-column sea ice model ICEPACK forced by the ERA5 atmospheric reanalysis and atmospheric *in situ* observations to simulate snow depth and sea ice thickness at Zhongshan Station, Antarctic. We find that forced by atmospheric variables from *in situ* observations. The ICEPACK can reasonably simulate the sea ice thickness evolution but significantly overestimates the snow depth after the heavy snowfall on July 11. When using atmospheric forcing from ERA5, sea ice thickness simulation is close to observation before July 11 but suddenly increases after the snowfall event.

From the sensitivity experiments, we find that the significant deviation in the precipitation of ERA5 contribute to the largest bias in both sea ice thickness and snow depth even though the precipitation is moderately sensitive to sea ice thickness (-0.032 cm/%) and snow depth (0.135 cm/%). On average, about 2 mm day<sup>-1</sup> more precipitation in ERA5 is found during the observation period, which produces about 14.5 cm excess in sea ice thickness and 17.3 cm more snow depth.

We further explore the physical mechanism of the effect of precipitation on ice thickness. Snowice formation can be triggered by a heavy snowfall episode, like on July 11. It efficiently produces ice at the sea ice surface, decelerates the snow accumulation, and inhibits sea ice's basal growth. When the snowfall is weak, the snow layer thickens quickly and hampers the sea ice growth through its insulation effect. When the snowfall increases to a certain degree (~1 mm day<sup>-1</sup>), it will trigger a continuous flooding process, accelerating the sea ice growth and slowing down the snow layer thickening.

496

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