

Estimating Parameters in a Sea Ice Model using an Ensemble Kalman Filter

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Key points:

- Parameter estimation using an ensemble filter is done in a sea-ice model.
- Parameters are improved during the data assimilation period.
- Large improvements in model states are seen in the forecast period.

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30 **Abstract**

31 Uncertain or inaccurate parameters in sea ice models influence seasonal predictions and
32 climate change projections in terms of both mean and trend. We explore the feasibility and benefits
33 of applying an Ensemble Kalman filter (EnKF) to estimate parameters in the Los Alamos sea ice
34 model (CICE). Parameter estimation (PE) is applied to the highly influential dry snow grain radius
35 and combined with state estimation in a series of perfect model observing system simulation
36 experiments (OSSEs). Allowing the parameter to vary in space improves performance along the
37 sea ice edge but degrades in the central Arctic compared to requiring the parameter to be uniform
38 everywhere, suggesting that spatially varying parameters will likely improve PE performance at
39 local scales and should be considered with caution. We compare experiments with both PE and
40 state estimation to experiments with only the latter and found that the benefits of PE mostly occur
41 after the data assimilation period, when no observations are available to assimilate (i.e., the forecast
42 period), which suggests PE's relevance for improving seasonal predictions of Arctic sea ice.

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53 **1. Introduction**

54 Arctic sea ice has undergone rapid decline in recent decades in all seasons (e.g., *Stroeve et al.*,
55 2012 ; *Serreze and Stroeve*, 2015). The frequent large deviations of Arctic sea ice cover from its
56 climatology and the impact of sea ice cover on the overlying atmosphere and on ocean-atmosphere
57 fluxes motivates including an active sea ice component in seasonal to sub-seasonal (S2S) weather
58 forecasts (*Vitart et al.*, 2015). The persistence and reemergence of sea ice thickness (SIT) and sea
59 surface temperature anomalies are major sources of predictability for Arctic sea ice extent
60 (*Blanchard-Wrigglesworth et al.*, 2011). Previous studies have demonstrated the importance of
61 accurate initial conditions, especially SIT, in predicting Arctic sea ice extent (*Day et al.*, 2014).
62 Hence studies applying data assimilation (DA) techniques to fuse observations with model
63 simulations are actively investigated (e.g., *Lisæter et al.*, 2003; *Chen et al.*, 2017; *Massonnet et al.*,
64 2015), most of which are focused on improving model states only, not the parameters in sea ice
65 parameterization schemes.

66 Sea ice models, like other components of Earth system models, can suffer large uncertainties
67 originating from uncertain parameters. The widely used Los Alamos sea ice model version 5
68 (CICE5), given its various complex schemes, has hundreds of uncertain parameters, such as in the
69 delta-Eddington shortwave radiation scheme (*Briegleb and Light*, 2007). The default values of
70 these parameters are usually chosen based on point measurements that are taken on multi-year sea
71 ice (*Light et al.*, 2008). *Urrego-Blanco et al.* (2015) conducted an uncertainty quantification study
72 of CICE5 and ranked the parameters based on the sensitivities of model predictions to a list of
73 parameters. This work provides guidance on which parameters could be estimated using an
74 objective method and during which seasons. Their findings suggest that the estimates of the Arctic
75 sea ice area and extent are especially sensitive to certain parameters (e.g., snow conductivity and

76 snow grain size) in summer. However, they also discussed that their sensitivities could be low as
77 a consequence of prescribing atmospheric forcing in their model setup, so parametric uncertainties
78 are expected to be larger year round (particularly in winter) in a fully-coupled model. Previous
79 studies suggest that the ensemble spread of sea ice states is generally small in winter (e.g., Lisaeter
80 et al., 2003; Fritzner et al., 2018; Zhang et al., 2018), which will lead to limited update on model
81 state variables or parameters. Also, sea ice concentration (SIC) reaches 100% in most of regions
82 in winter and hence does not leave enough room for improvements by DA. The ensemble spread
83 in summer, however, is much larger. Since we run stand-alone CICE5 given that our aim is to
84 demonstrate the utility of parameter estimation (PE) for sea ice, we conduct DA experiments with
85 PE in summer.

86 Two types of observations are assimilated in our study, sea ice concentration and thickness
87 (SIC and SIT, respectively). Satellite-retrieved SIC observations are widely utilized in the sea ice
88 DA community, while the application of SIT observations is more challenging given its large
89 uncertainty and lack of data in summer (Zygmuntowska et al., 2014). Previous studies on Arctic
90 sea ice predictability emphasized the importance of summer SIT observations (e.g., Day et al.,
91 2014; Dirkson et al., 2017). We explore the benefits of SIT observations (in addition to SIC) on
92 sea ice parameter estimation and advocate the needs of extending the data coverage of SIT
93 observations into late spring and summer, which is actually possible in ICESat-2 (Kwok et al.,
94 2020).

95 Despite the importance of sea ice model parameters, few studies have tried to estimate or
96 reduce the parametric uncertainties, partly due to the large effort and computational cost if
97 parameter calibration is done in a trial-and-error fashion. A more systematic way is through DA.
98 Anderson (2001) demonstrated the feasibility of updating parameters using an ensemble filter in a

99 low-order model. *Annan et al.* (2005) was among the first to apply an ensemble filter to estimate
100 parameters in a complex Earth system model. *Massonnet et al.* (2014) employed the ensemble
101 Kalman filter (EnKF) in a sea ice model to estimate three parameters that control sea ice dynamics.
102 In addition to achieving their goal of improving the sea ice drift, they also realized slight
103 improvements in the SIT distribution and extent as well as in the sea ice export through the Fram
104 Strait.

105 Our purpose is to expand upon previous studies to explore the feasibility of optimizing sea ice
106 parameters by asking how different observations (concentration and thickness in this study) would
107 constrain the parameters differently, whether we need to allow parameters to vary spatially, and
108 what are the benefits of the updated parameters both when observations are available for
109 assimilation (the DA period) and when observations are not available (the forecast period).

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111 2. The sea ice data assimilation framework

112 We use CICE5 linked to the data assimilation research testbed (DART) (*Anderson et al.*, 2009)
113 within the framework of the Community Earth System Model version 2 (CESM2)
114 (<http://www.cesm.ucar.edu/models/cesm2>). The ocean is modeled as a slab ocean and the
115 atmospheric forcing is prescribed from a DART/CAM ensemble reanalysis (*Raeder et al.*, 2010).
116 Details of this framework can be found in *Zhang et al.* (2018). The default DART/CICE
117 framework is only used for state estimation, we extend DART/CICE to include parameter
118 estimation in this study. During the assimilation, DART and CICE5 cycle between a DA step
119 with DART and a one-day forecast step with CICE5. During the DA step, the selected sea ice
120 variables are placed into a “DART state vector” that is to be passed to the filter. The DART state
121 vector is augmented by adding selected sea ice parameters, so that the parameters and state

122 variables are both updated by the filter in the same way. The updated state variables are then post-
123 processed (if needed) and sent with the updated parameters back to CICE5 for the next one-day
124 forecast step. The post-process step is necessary when the updated variable goes beyond its
125 physical boundaries, for example, when SIC is negative or larger than 100%. Unlike state
126 variables, the parameters are not modified during CICE5 forecast steps.

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128 **3. Experiment design and evaluation methods**

129 The parameter we selected, R_{snw} , represents the standard deviation of dry snow grain radius
130 that controls the optical properties of snow and is one of the key parameters that determine snow
131 albedo in the Delta-Eddington solar radiation parameterization treatment (*Briegleb and Light*,
132 2007). We picked R_{snw} because it is one of the parameters that the model predictions are sensitive
133 to (Urrego-Blanco et al., 2016) and is also one of the parameters perturbed to generate ensemble
134 spread in Zhang et al. (2018). Instead of directly tuning snow albedo that could result in
135 inconsistencies with the rest of the parameterization scheme, tuning R_{snw} changes the inherent
136 optical properties of snow in a self-consistent fashion (*Briegleb and Light*, 2007). Increasing R_{snw}
137 leads to smaller dry snow grain radius and larger snow albedo (*Hunke et al.*, 2015). The default
138 value of R_{snw} is 1.5, which corresponds to a fresh snow grain radius of $125\mu\text{m}$ (*Holland et al.*,
139 2012). Many parameters in CICE5, like R_{snw} , have default values based on limited field
140 observations. As sea ice models increase in complexity, empirical parameters will increasingly
141 need to be calibrated objectively. More comprehensive observations at large scale will presumably
142 benefit a better representation of snow and ice properties in sea ice models.

143 The configurations of conducted experiments are listed in Table 1. We begin with a free run
144 of CICE5 without DA (hereafter FREE) with 30 ensemble members. Each ensemble member has

145 a unique value of R_{snw} , which is constant in time and space. The ensemble of R_{snw} values were
146 random draws from a uniform distribution spanning -2 and 2. One of the ensemble members was
147 designated as the truth with the true value of R_{snw} . Following *Zhang et al.* (2018), synthetic
148 observations were created by adding random noise to SIC and SIT taken from the truth ensemble
149 member. The noise follows a normal distribution with zero mean and a standard deviation of 15%
150 for SIC and 40 cm for SIT. FREE experiment does not assimilate any observations, and the R_{snw}
151 values stay the same throughout the experimental period.

152 We then conducted two pairs of experiments to test the feasibility of estimating parameters
153 using the Ensemble adjustment Kalman filter (EAKF) (*Anderson*, 2002), which is a deterministic
154 ensemble square root filter. Each experiment assimilates daily SIC or SIT synthetic observations.
155 The first pair is referred to as DAsicPEcst and DAsitPEcst, with the former assimilates SIC
156 observations and the latter SIT observations. In the first pair, each ensemble member has a unique
157 spatially-uniform R_{snw} . The second pair is referred to as DAsicPEvar and DAsitPEvar, which has a
158 separate value of R_{snw} at each horizontal grid point. The augmented state has the single parameter
159 for R_{snw} in the first pair or the two-dimensional grid of R_{snw} parameters in the second pair.

160 All variables in the sea ice state vector are two-dimensional in space. The parameter R_{snw} and
161 the state variables were updated based on their correlations with neighboring observations. The
162 posterior ensemble generated by DART is always spatially varying. For the first pair of
163 experiments, we take an area-weighted average of the two-dimensional posterior to get a spatially
164 invariant R_{snw} to send back to CICE5. For the second pair of experiments, the spatially varying
165 posterior R_{snw} was sent to CICE5. In all experiments, the sea ice component was run for a day to
166 produce a new state that was augmented with the previous times posterior R_{snw} (which is not
167 prognostic in CICE5) for the next DA cycle. To increase the prior ensemble spread of R_{snw} , a

168 spatially and temporally adaptive inflation was applied to the priors of both the model states and
169 R_{snw} before they were sent to the filter (Anderson, 2007). The initial value, standard deviation, and
170 inflation damping value of the adaptive inflation are 1.0, 0.6, and 0.9. The localization half-width
171 is 0.01 radians (about 64 km) as discussed in Zhang *et al.* (2018). We also reject observations that
172 are three standard deviations of the expected difference away from the ensemble mean of the
173 forecast.

174 A third pair of experiments was conducted with only state DA (no parameter estimation),
175 known as DAsic and DAsit, that assimilate daily SIC and SIT synthetic observations, respectively.
176 DAsic and DAsit have the same ensemble set of R_{snw} , which is also the initial set of R_{snw} in the
177 above PE experiments. The ensemble of R_{snw} remains fixed throughout the experiment period.

178 All experiments begin on 1 April 2005 and run for 18 months. Synthetic observations are
179 assimilated only during the first 6 months (the DA period), and the next 12 months are a pure
180 forecast period to mimic the real-world situation when making a forecast. The values of R_{snw} hold
181 constant once DA ceases. We do not perform DA beyond October 2005 for two reasons. First, sea
182 ice states have small ensemble spread in winter, as illustrated in Figure 1a, so DA updates tend to
183 be small. In contrast, the relatively larger spread from April to October ensures that assimilating
184 observations can have more impact in updating model state variables and parameters. Second, the
185 snow albedo feedback only influences the sea ice state when sunlight is present.

186 Several commonly used error indices were calculated to evaluate the performance of the
187 experiments. The root-mean-square error (RMSE) of Arctic sea ice extent (SIE) and the area
188 weighted spatial averaged root-mean-square error (RMSE_t) are defined as follows:

$$189 \quad RMSE = \sqrt{\frac{\sum_{i=1}^N (\bar{x}_i^m - x_i^t)^2}{N}}; \quad RMSE_t = \sqrt{\frac{\sum_{j=1}^M (\bar{x}_j^m - x_j^t)^2}{M}}$$

190 where i and j are the indices in time and space, x refers to Arctic SIE in RMSE and may refer to
191 parameters or model states in RMSE_t, N is the number of days and M is the number of grid cells.
192 The superscripts m and t refer to model and truth, respectively. The overbar indicates the mean of
193 the model ensemble.

194 Model bias is defined as the mean of the 30 member ensemble of the experiments minus the
195 truth. Absolute bias difference (ABD) between two experiments is defined as follows:

$$196 \quad ABD = |\overline{x_i^{case1}} - x_i^t| - |\overline{x_i^{case2}} - x_i^t|$$

197 where x may refer to parameters or model states, the superscripts t refers to the truth, *and case1*
198 and *case2* refer to the two experiments to compare. The overbar indicates the mean of the model
199 ensemble.

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201 4. Results and Discussion

202 4.1 Temporally and spatially invariant parameters

203 The ensemble mean of FREE underestimates SIC throughout the year (Figure 1a) partly
204 because our arbitrary ensemble member selected as the truth has an above average R_{snw} (Figure
205 1c). As such, we would intuitively expect R_{snw} to have a positive increment as a result of
206 assimilating SIC observations. Figure 1c confirms that R_{snw} increments are positive, with the
207 posterior ensemble mean gradually approaching the true value during the DA period in the
208 spatially-constant PE experiments (DAsicPEcst and DAsitPEcst). The posterior R_{snw} has smaller
209 ensemble spread than the prior R_{snw} (also see Figure S1d, e, and f), which is consistent with the
210 EAKF theory. In Figure 1c DAsitPEcst outperforms DAsicPEcst starting in June, indicating that
211 SIT provides more information than SIC for R_{snw} . Similarly, with state-only DA, *Zhang et al.*
212 (2018) found that SIT is more efficient than SIC observations at constraining state variables. There

213 could be several reasons why the rate at which R_{snw} approaches the true value decreases with time.
214 First, the ensemble spread of R_{snw} may be insufficient because no uncertainty is introduced into
215 R_{snw} in CICE5 during the forecast step. It is an open question how much additional uncertainty
216 should be introduced into the parameters. To help avoid filter divergence, we apply the prior
217 adaptive inflation to the parameters (as well as to the model states), which may still be not enough.
218 Second, the correlation between R_{snw} and the observations may be too weak. Solar radiation
219 becomes very low by the end of September and hence R_{snw} has little impact on sea ice, which
220 explains the weak correlation between R_{snw} and the observations (further discussed below). Either
221 reason could result in a negligible update to R_{snw} .

222 The correlations between R_{snw} and the observations have unique spatial patterns and evolve
223 with time. On May 1st, the correlation between R_{snw} and SIC is generally positive (Figure 2a). The
224 positive correlations are significant especially where SIC is under ~100%. Larger R_{snw} corresponds
225 to higher snow albedo and more reflected sunlight, which in turn delays the melting of sea ice. The
226 correlations are still significant along the ice edges in August (Figure 2c) and become noisier and
227 have less significant values by the end of the melt season (Figure 2e). The correlation between
228 R_{snw} and SIT has different spatial patterns (Figures S2b, S2d, and S2f). Negative correlations
229 between R_{snw} and SIT on May 1st can be seen in the Chukchi Sea, Beaufort Sea, and East Siberian
230 Sea, where R_{snw} and SIC have positive correlations. This suggests that where SIC increases with
231 R_{snw} in spring, it is possible that SIT actually decreases, which might be due to elevated
232 concentration raising the compressive strength and reducing sea ice deformation. While a brighter
233 surface is able to reduce thickness over large regions in spring, the effect is mostly gone by the
234 end of summer when positive correlation prevails.

235

236 4.2 Spatially varying R_{snow}

237 We discussed in section 4.1 that processes relating R_{snow} and observed quantities have complex
238 spatial features. The spatial map of the posterior R_{snow} and the reduction in the ensemble spread of
239 R_{snow} after EAKF in the first pair of experiments (Figure S1) also suggest that the updates are
240 concentrated on the ice marginal zones. It may be too crude to use a single value of R_{snow} for the
241 whole Arctic. We let R_{snow} be a spatially varying parameter in the second pair of PE experiments,
242 even though the true R_{snow} is spatially invariant. The spatial features of R_{snow} will purely depend on
243 how R_{snow} correlates with the observations. As in DAsicPEcst and DAsitPEcst, the analysis field of
244 R_{snow} is spatially varying, and we did a spatial averaging to get a single number for the next run.
245 R_{snow} along the sea ice edges get updated more, while R_{snow} in the center is less influenced. But the
246 averaging smoothed out this spatial feature. In DAsicPEvar and DAsitPEvar, we let the spatially
247 varying 2D analysis field of R_{snow} be the R_{snow} field in the next run, so the spatial feature was carried
248 along the simulation.

249 Figure 3 depicts the ABD of R_{snow} (defined in section 2) between different pairs of experiments
250 at the end of the DA period. Figures 3a and 3d confirm that DAsicPEcst and DAsitPEcst improve
251 the R_{snow} comparing to FREE. Figures 3b and 3e show the spatial feature of improvements or
252 degradations in R_{snow} for the two spatially varying PE experiments. They both show the contrast
253 between the ice marginal zones and the central Arctic. Improvements are mostly seen along the
254 ice edges. Spotty improvements in the inner Arctic can be found in DAsitPEvar (Figure 3e), while
255 degradations are prevailing in the inner Arctic in DAsicPEvar (Figure 3b). Figures 3c and 3f
256 highlight the improvements or degradations from allowing R_{snow} to vary spatially. The general
257 features are that DAsicPEvar and DAsitPEvar have reduced R_{snow} biases more along the ice edges
258 compared with DAsicPEcst and DAsitPEcst. However, degradations (Figure 3c) or negligible

improvements (Figure 3f) are found in the central Arctic. This suggests that spatially invariant PE generally works better for the whole pan-Arctic regions, while spatially varying PE can work well in the ice marginal zones but not in the central Arctic, especially when SIC is the only observed quantity. SIC has little variability in the central Arctic and hence assimilating the SIC observations will not add much information for parameters or model states. Besides the improvements along the sea ice edges, the SIT DA also has benefit in the inner ice pack (Figure 3e), which is consistent with the results of the first pair of experiments that SIT in general provides more information than the SIC observations, especially in the regions where SIC has little variability. However, spatially varying R_{snw} has small advantages over spatially invariant R_{snw} in the ice marginal regions but degradations in the central Arctic too (Figure 3f). The degradations in R_{snw} but improvements in SIC (Figures 5a and 5c; discussed in section 4.3) in the central Arctic suggest that R_{snw} is likely over adjusted to cancel out other errors (e.g., noise from atmospheric forcing fields).

271

272 4.3 Additional improvements in model states

273 We demonstrated that R_{snw} approaches the true value by assimilating SIC or SIT (at different rates) in the previous sections. We now investigate whether PE also improves the simulation of model states, beginning with timeseries of the pan-Arctic sea ice area and volume in all of our experiments (see Figure 4).

277 In our preceding work, we showed that assimilating SIC and SIT could improve model states (Zhang *et al.*, 2018), which can also be confirmed in Figure 4. During the DA period, DAsic can efficiently reduce biases in area, but DAsic has limited influence on volume. Within about a month into the forecast period, DAsic improves neither area nor volume. In contrast, DAsit is highly

281 beneficial at reducing both area and volume during the DA period, with at least some improvement
282 to volume persisting through the whole 1-year forecast period.

283 We find that updating R_{snw} has a relatively large impact on volume beginning in spring of the
284 forecast period (Figure 4b). Either treating R_{snw} as a spatially varying or constant parameter has
285 about the same effect until late summer of the forecast period. In fact, all of the PE experiments
286 outperform the state-only DA experiments in the forecast period. As shown in Table 1, SIT DA
287 with PE always performs the best, reducing the bias in area by up to 63% and reducing the bias in
288 volume by up to 73%. SIC DA with PE is second best in terms of simulating the area, reducing
289 the bias by up to 37%. SIC DA with PE is comparable to DAsit in simulating volume, reducing
290 the bias by around 30%.

291 Finally, we compare the spatial patterns of bias reduction in SIC and SIT from PE experiments
292 by comparing RMSE_t of SIT in DAsicPEcst and DAsitPEcst to their state-only DA counterparts,
293 DAsic and DAsit (see Figure 5). The comparisons are made in two periods: the DA period (April
294 to October 2005) and the forecast period (April to September 2006). *Zhang et al.* (2018) showed
295 that the DAsic could only improve SIT along the sea ice edges. Figure 5a demonstrates that
296 DAsicPEcst offers some improvements in the central Arctic as well. Improvements resulted from
297 a more accurate R_{snw} in the forecast period are more prominent (Figure 5b). For DAsitPEcst, SIT
298 is improved almost everywhere in the Arctic, with slight degradations along the ice edges (Figure
299 5c). The improvements persist throughout the forecast period (Figure 5d).

300

301 5. Conclusions

302 We extend the functionality of DART/CICE to do parameter estimation (PE) through the
303 EAKF as well as updating the model states. One of the key parameters determining sea ice surface

304 albedo, R_{snw} , is estimated as an example in this study. R_{snw} is updated using the filter. We designed
305 a series of perfect model observing system simulation experiments (OSSEs) to demonstrate the
306 feasibility of PE in CICE5. Results show that R_{snw} gradually approaches the true value during the
307 data assimilation (DA) period (from April to October 2005). Updating parameters with PE could
308 further improve the model state estimation but not prominently in the DA period. During the
309 forecast period, with a better representation of the parameter, the PE experiments show significant
310 superiority over the state-only DA experiments, both in SIC and SIT. The results in the forecast
311 period indicate that by updating parameters as well as state variables, assimilating SIC
312 observations only is comparable to assimilating SIT observations. We concluded that SIT is the
313 most important variable to be observed in *Zhang et al.* (2018), but satellite observations of SIT
314 have large uncertainties and only cover a short time period. We could alternatively improve model
315 parameters by assimilating SIC observations with the ultimate goal of improving SIT. Results from
316 the subset of experiments treating R_{snw} as a spatially varying parameter suggest that the R_{snw} biases
317 are mostly reduced along the sea ice edges but not as much in the central Arctic. We suggest that
318 varying R_{snw} spatially is not effective when conducting DA for the whole Arctic, but worth
319 exploring when it comes to regional studies, such as in the seasonal sea ice zones.

320

321 **Acknowledgements**

322 This work was supported by the National Oceanographic and Atmospheric Administration
323 Climate Program Office through grant NA15OAR4310161. We thank Adrian Raftery and Hannah
324 Director for helpful discussions, and David Bailey and Marika Holland for suggestions about
325 choosing the proper parameters to estimate in the Los Alamos sea ice model. We acknowledge
326 Computational & Information Systems Lab at the National Center for Atmospheric Sciences and

327 Texas Advanced Computer Center at The University of Texas at Austin for providing high
328 performance computing resources that have contributed to the research results reported within the
329 paper.

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331 **Data availability**

332 Model data are available at [10.6084/m9.figshare.13670770](https://doi.org/10.6084/m9.figshare.13670770).

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432 Table 1. List of experiments with different configurations and RMSE of the total Arctic sea ice
 433 area and volume calculated over two experiment periods: DA (April to October, 2005) and
 434 forecast (April to September, 2006) for the seven experiments. All the experiments use the same
 435 localization half-width and prior inflation algorithm as stated in section 3.

436

Experiments	Observations assimilated	Parameter estimate	RMSE of Arctic sea ice area ($10^6 km^2$)		RMSE of Arctic sea ice volume ($10^3 km^3$)	
			DA	Forecast	DA	Forecast
FREE	None	None	0.250	0.343	0.711	1.302
DAsic	SIC	None	0.120 (-52%)	0.345 (4%)	0.583 (-18%)	1.285 (-1%)
DAsicPEcst	SIC	Spatially constant	0.114 (-55%)	0.217 (-37%)	0.520 (-27%)	0.887 (-32%)
DAsicPEvar	SIC	Spatially varying	0.123(-51%)	0.240(-30%)	0.601 (-16%)	1.130 (-13%)
DAsit	SIT	None	0.113(-55%)	0.327(-5%)	0.247 (-65%)	0.868 (-33%)
DAsitPEcst	SIT	Spatially constant	0.103 (-59%)	0.141 (-59%)	0.210 (-70%)	0.349 (-73%)
DAsitPEvar	SIT	Spatially varying	0.103 (-59%)	0.129 (-63%)	0.222 (-69%)	0.376 (-71%)

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442 **Figure captions**

443 **Figure 1.** Time series of (a) the Arctic sea ice area and (b) sea ice volume from a free CICE5
444 run. Each gray line represents one ensemble member, black line the ensemble mean, and red line
445 the truth. Time series of (c) the parameter R_{snw} for two DA experiments. Blue line represents
446 DAsicPEcst that assimilates SIC observations and magenta represents DAsitPEcst that
447 assimilates SIT. The red reference line indicates the true value of R_{snw} . Each error bar
448 represents two standard deviations of the 30 ensemble members of R_{snw} . Error bar is shown for
449 every five days.

450

451 **Figure 2.** Correlations between (a) R_{snw} and SIC and (b) R_{snw} and SIT for 2005-05-01, (c) R_{snw}
452 and SIC and (d) R_{snw} and SIT for 2005-08-01, and (e) R_{snw} and SIC and (f) R_{snw} and SIT for
453 2005-10-01. At each point, we calculate the correlation of R_{snw} and the observed quantities
454 across the 30 ensemble members on the selected dates. The posterior states outputted from the
455 experiments DAsicPEcst and DAsitPEcst are used for calculation.

456

457 **Figure 3.** The differences of absolute mean bias (ABD, see Eq 2) of R_{snw} between the DA
458 experiments: (a) DAsicPEcst, (b) DAsicPEvar, (d) DAsitPEcst, and (e) DAsitPEvar and the
459 control experiment FREE, and between the spatially-varying PE experiments and the spatially-
460 constant PE experiments: (c) DAsicPEvar and DAsicPEcst, and (f) DAsitPEvar and DAsitPEcst.

461

462 **Figure 4.** Daily biases of (a) the total Arctic sea ice area and (b) the total Arctic sea ice volume
463 for FREE (black), DAsic (blue), DAsicPEcst (green), DAsicPEvar (purple), DAsit (orange),
464 DAsitPEcst (pink), and DAsitPEvar (red). Gray dash line in each plot represents the zero

465 reference line. The blue line in (a) is overlapped by the purple and green lines in the first half of
466 time. The black line in (a) is overlapped by the orange and blue lines in the second half of time.
467 The black line in (b) is overlapped by the blue line from February to July.

468
469 **Figure 5.** The relative differences of $RMSE_t$ of SIT between DAsicPEcst and DAsic for the (a)
470 DA experiment period and (b) forecast period, and between DAsitPEcst and DAsit for the (c)
471 DA experiment period and (d) forecast period. The differences of $RMSE_t$ are divided by the
472 $RMSE_t$ of DAsic and DAsit, respectively, to get the relative differences.

473

474 **Figure S1.** The posterior values of Rsnw for the experiment DAsitPEcst on (a) 2005-06-01, (b)
475 2005-08-01, and (c) 2005-10-01, and the differences between the ensemble spread of posterior
476 Rsnw and that of prior Rsnw (the posterior minus prior) for the experiment DAsitPEcst on (d)
477 2005-06-01, (e) 2005-08-01, and (f) 2005-10-01.

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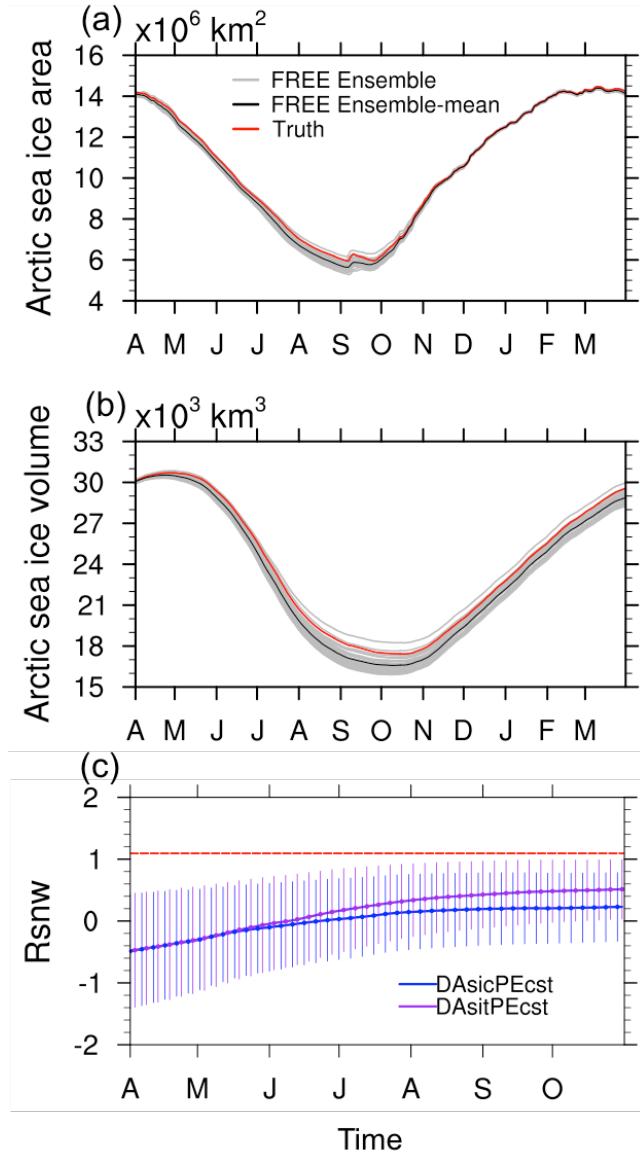
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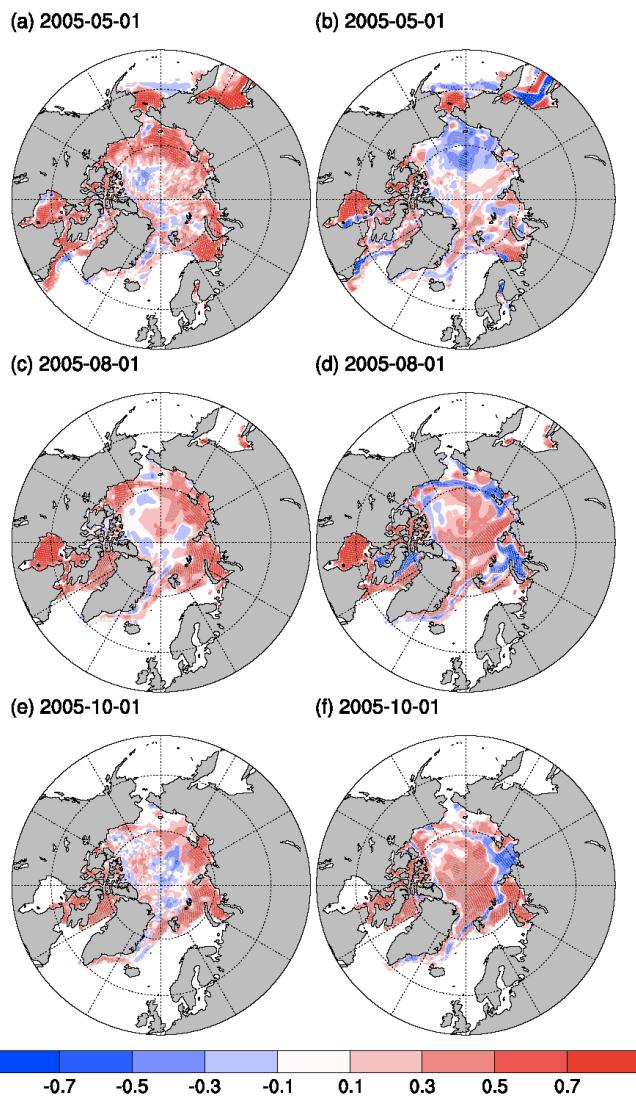


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487 Figure 1. Time series of (a) the Arctic sea ice area and (b) sea ice volume from a free CICE5
 488 run. Each gray line represents one ensemble member, black line the ensemble mean, and red
 489 line the truth. Time series of (c) the parameter R_{snw} for two DA experiments. Blue line
 490 represents DAsicPEcst that assimilates SIC observations and magenta represents DAsitPEcst
 491 that assimilates SIT. The red reference line indicates the true value of R_{snw} . Each error bar
 492 represents two standard deviations of the 30 ensemble members of R_{snw} . Error bar is shown
 493 for every five days.

R_{snw} and SIC R_{snw} and SIT

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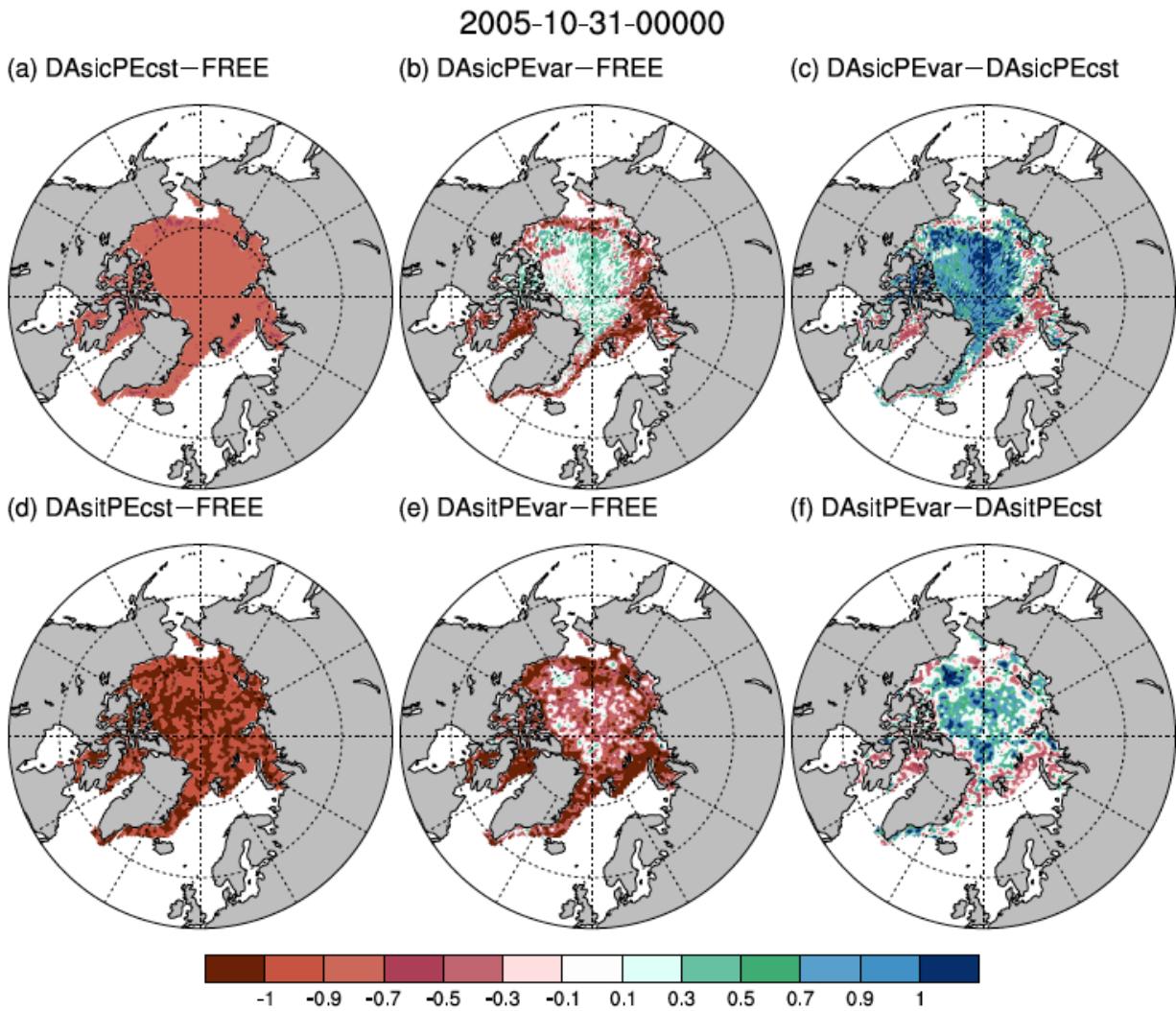


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497 Figure 2. Correlations between (a) R_{snw} and SIC and (b) R_{snw} and SIT for 2005-05-01, (c) R_{snw}
 498 and SIC and (d) R_{snw} and SIT for 2005-08-01, and (e) R_{snw} and SIC and (f) R_{snw} and SIT for
 499 2005-10-01. At each point, we calculate the correlation of R_{snw} and the observed quantities
 500 across the 30 ensemble members on the selected dates. The posterior states outputted from the
 501 experiments DAsicPEcst and DAsitPEcst are used for calculation.

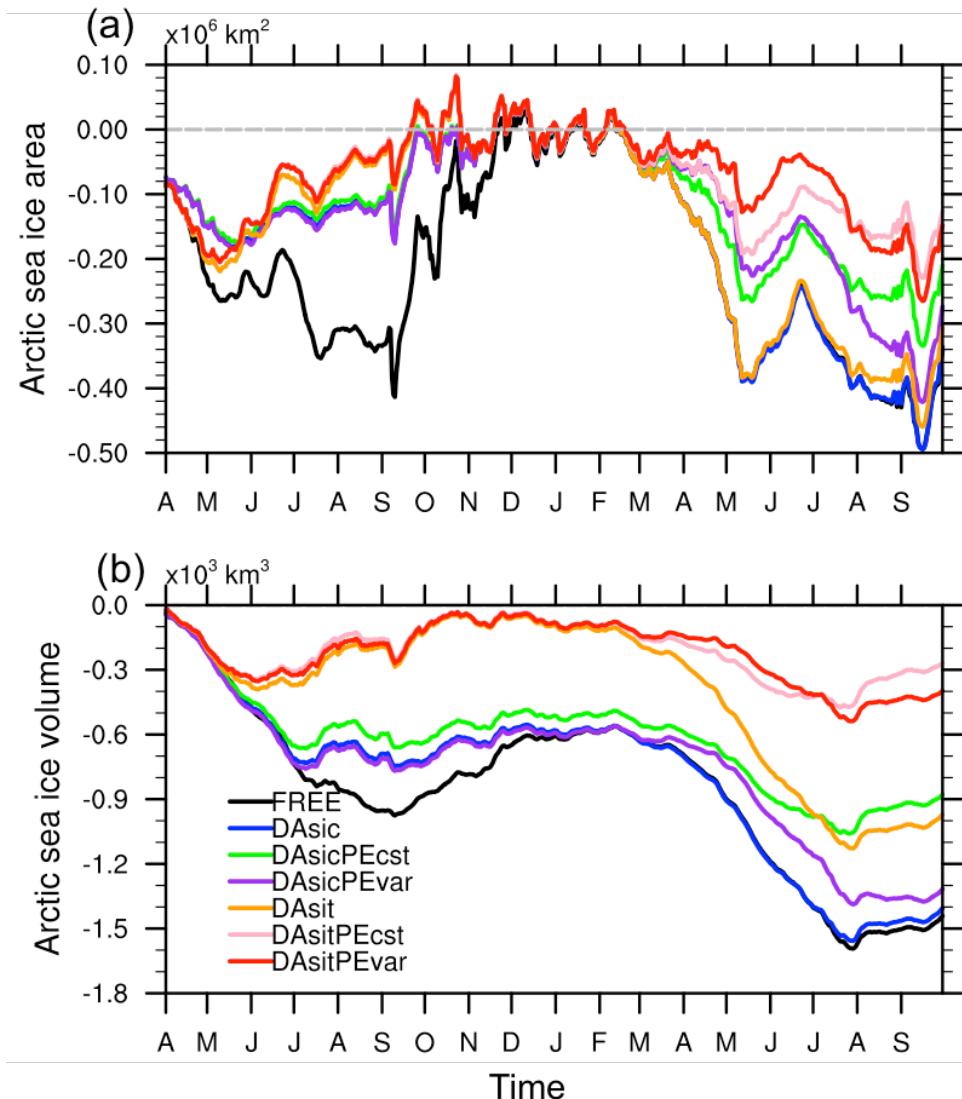
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508 Figure 3. The differences of absolute mean bias (ABD, see Eq 2) of R_{snow} between the DA
 509 experiments: (a) DAsicPEcst, (b) DAsicPEvar, (d) DAsitPEcst, and (e) DAsitPEvar and the
 510 control experiment FREE, and between the spatially-varying PE experiments and the spatially-
 511 constant PE experiments: (c) DAsicPEvar and DAsicPEcst, and (f) DAsitPEvar and DAsitPEcst.

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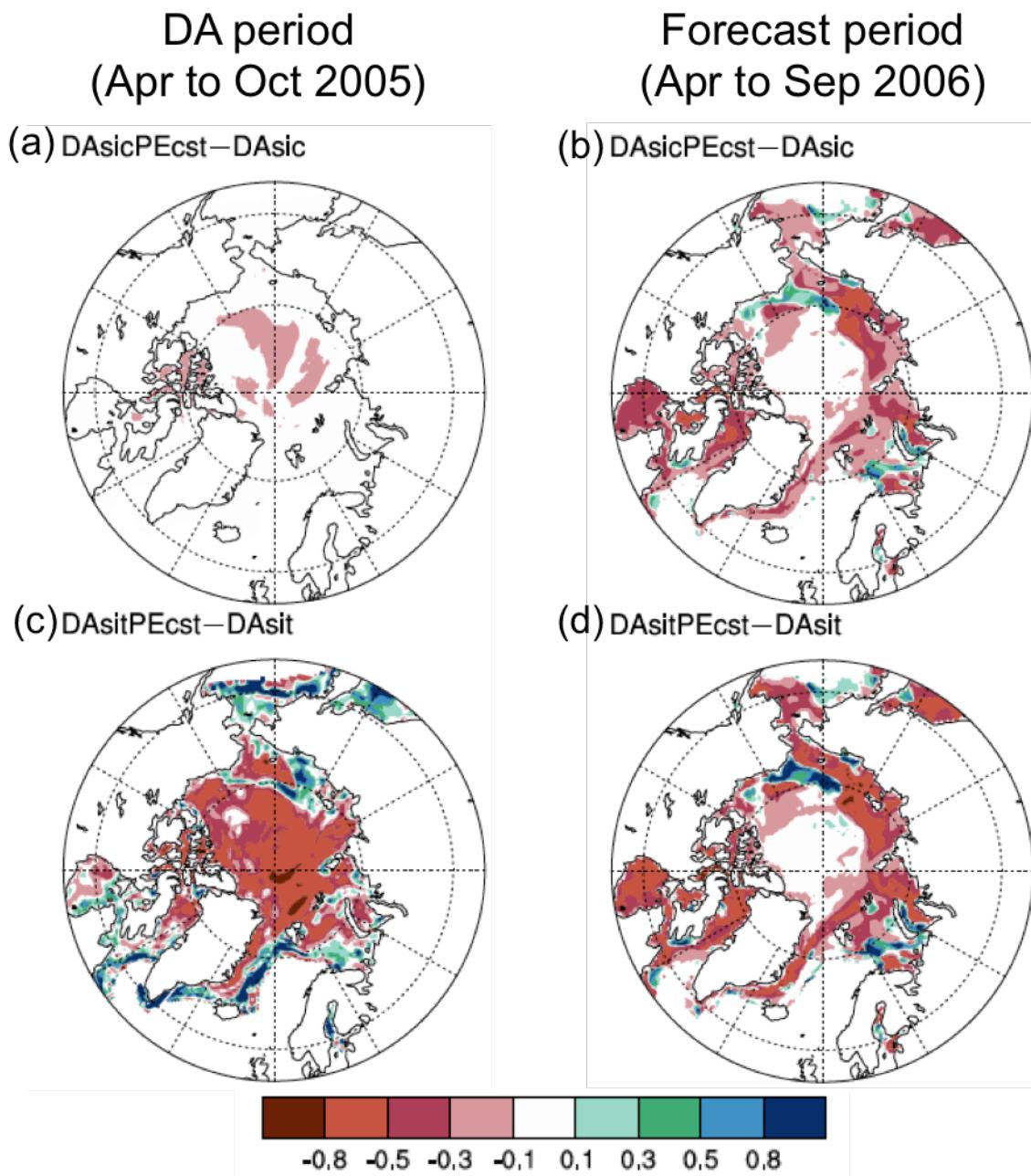
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517 Figure 4. Daily biases of (a) the total Arctic sea ice area and (b) the total Arctic sea ice volume
 518 for FREE (black), DAsic (blue), DAsicPEcst (green), DAsicPEvar (purple), DAsit (orange),
 519 DAsitPEcst (pink), and DAsitPEvar (red). Gray dash line in each plot represents the zero
 520 reference line. The blue line in (a) is overlapped by the purple and green lines in the first half of
 521 time. The black line in (a) is overlapped by the orange and blue lines in the second half of time.
 522 The black line in (b) is overlapped by the blue line from February to July.

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529 Figure 5. The relative differences of $RMSE_t$ of SIT between DAsicPEcst and DAsic for the (a)
 530 DA experiment period and (b) forecast period, and between DAsitPEcst and DAsit for the (c)
 531 DA experiment period and (d) forecast period. The differences of $RMSE_t$ are divided by the
 532 RMSE_t of DAsic and DAsit, respectively, to get the relative differences.

534 Supplemental figures

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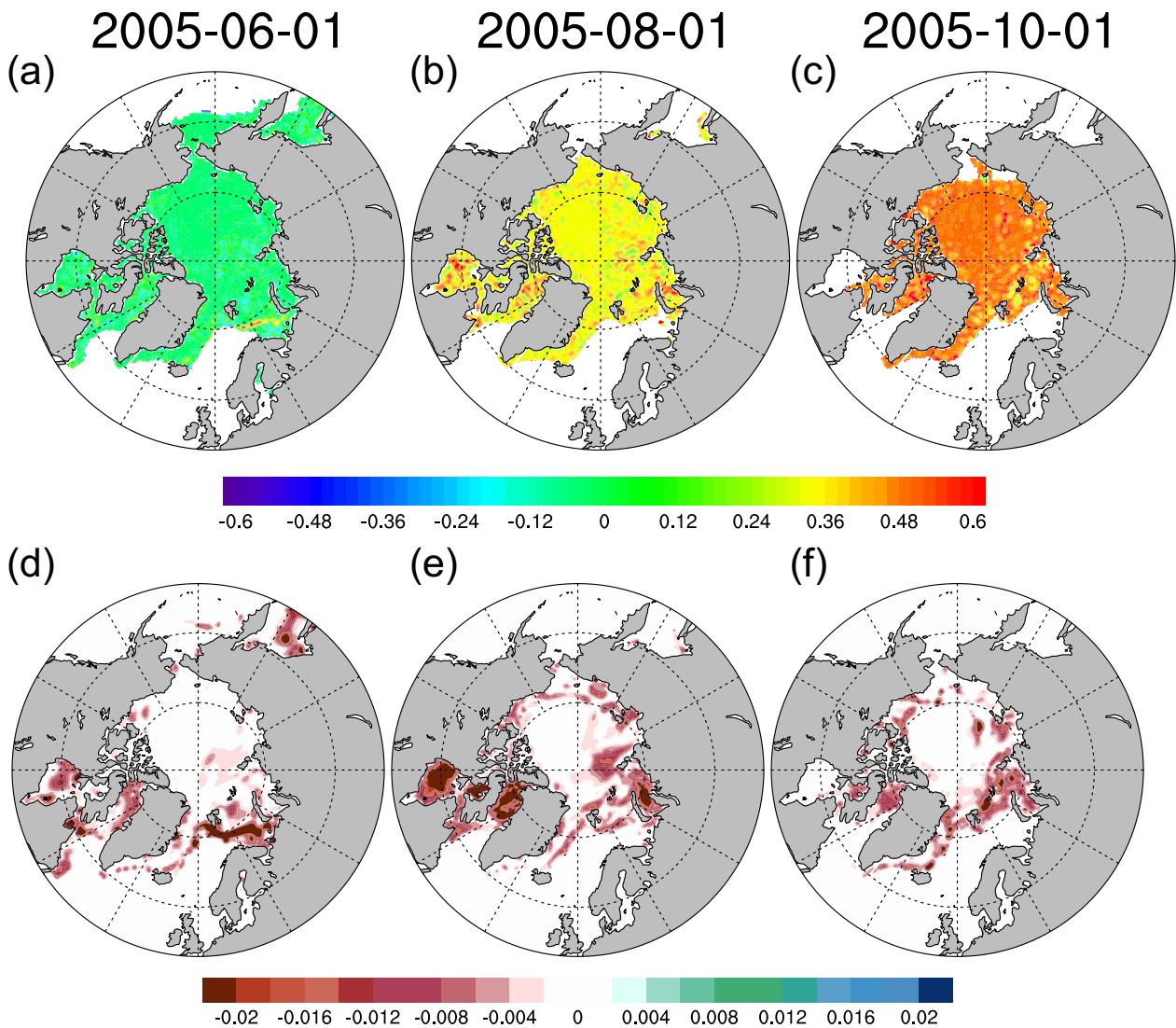


Figure S1. The posterior values of R_{snw} for the experiment DAsitPEcst on (a) 2005-06-01, (b) 2005-08-01, and (c) 2005-10-01, and the differences between the ensemble spread of posterior R_{snw} and that of prior R_{snw} (the posterior minus prior) for the experiment DAsitPEcst on (d) 2005-06-01, (e) 2005-08-01, and (f) 2005-10-01.