

# 1 Projecting circum-Arctic excess ground ice melt with a sub-grid representation in the Community

## 2 Land Model

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7 **Abstract** To address the longstanding underrepresentation of the influences of highly variable ground  
8 ice content on the trajectory of permafrost conditions simulated in Earth System Models under a warming  
9 climate, we implement a sub-grid representation of excess ground ice within permafrost soils using the  
10 latest version of the Community Land Model (CLM5). Based on the original CLM5 tiling hierarchy, we  
11 duplicate the natural vegetated landunit by building extra tiles for up to three cryostratigraphies with  
12 different amounts of excess ice for each grid cell. For the same total amount of excess ice, introducing  
13 sub-grid variability in excess ice contents leads to different excess ice melting rates at the grid level. In  
14 addition, there are impacts on permafrost thermal properties and local hydrology with sub-grid  
15 representation. We evaluate this new development with single-point simulations at the Lena river delta,  
16 Siberia, where three sub-regions with distinctively different excess ice conditions are observed. A triple-  
17 landunit case accounting for this spatial variability conforms well to previous model studies for the Lena  
18 river delta and displays a markedly different dynamics of future excess ice thaw compared to a single-  
19 landunit case initialized with average excess ice contents. For global simulations, we prescribed a tiling  
20 scheme combined with our sub-grid representation to the global permafrost region using the dataset  
21 “Circum-Arctic Map of Permafrost and Ground-Ice Conditions” (Brown et al., 1997). The sub-grid scale  
22 excess ice produces significant melting of excess ice under a warming climate and enhances the  
23 representation of sub-grid variability of surface subsidence on a global scale. Our model development  
24 makes it possible to portray more details on the permafrost degradation trajectory depending on the sub-  
25 grid soil thermal regime and excess ice melting, which also shows a strong indication that accounting for  
26 excess ice is a prerequisite of a reasonable projection of permafrost thaw. The modeled permafrost  
27 degradation with sub-grid excess ice follows the pathway that continuous permafrost transforms into  
28 discontinuous permafrost before it disappears, including surface subsidence and talik formation, which  
29 are highly permafrost-relevant landscape changes excluded from most land models. Our development of  
30 sub-grid representation of excess ice demonstrates a way forward to improve the realism of excess ice  
31 melt in global land models, but further developments rely on additional global observational datasets on  
32 both the horizontal and vertical distributions of excess ground ice.

## 33 1. Introduction

34 Permafrost soils are often characterized by different types of ground ice that can exceed the pore  
35 space (Brown et al. 1997; Zhang et al., 1999). The presence of such “excess” ground ice can alter the

36 permafrost thermal regime and landscape structure. Widespread thawing of permafrost is expected in a  
37 warmer future climate and modeling studies suggest large-scale degradation of near-surface permafrost  
38 at the end of the 21st century (Lawrence et al., 2008 & 2011). Melting of ground ice due to active layer  
39 thickening releases water in the form of surface and/or subsurface runoff, causing surface subsidence and  
40 modifying the local hydrological cycle (West and Plug, 2008; Grosse et al., 2011; Kokelj et al., 2013;  
41 Westermann et al., 2016). In addition to containing ground ice, some permafrost soils store massive  
42 amounts of carbon, which could be released to the atmosphere in the form of greenhouse gases upon  
43 thawing (Walter et al., 2006; Zimov et al., 2006; Schuur et al., 2008), possibly making a positive feedback  
44 to amplify future climate change (Koven et al., 2011; Schaefer et al., 2014; Burke et al., 2013). The  
45 existence of excess ice and its distribution in permafrost can significantly affect the rate of permafrost  
46 thawing (Westermann et al., 2016; Nitzbon et al., 2020), and in turn, the rate of soil carbon release  
47 (Hugelius et al., 2014; Schuur et al., 2015; Turetsky et al., 2019). Therefore, better projections of excess  
48 ice melt are critical to improve our understanding of the impacts of permafrost thaw on corresponding  
49 climatic impacts.

50 Previous studies address excess ice modeling on the local or regional scale, in which the small study  
51 area makes it possible for detailed configurations of the cryostratigraphy of permafrost and excess ice  
52 based on observations. Simulations for the Lena river delta have retrieved the permafrost thermal  
53 dynamics fairly close to the observations with excess ice incorporated in the modeling (Westermann et  
54 al., 2016). A two-tile approach allowing lateral heat exchange between two land elements demonstrated  
55 that maintaining thermokarst ponds requires the heat loss from water to the surrounding land (Langer et  
56 al., 2016). A similar tiling approach has been applied to projecting the landscape changes due to  
57 permafrost thaw for ice-wedge polygons and peat plateaus with different features of ice melting and  
58 surface subsidence (Aas et al., 2019; Nitzbon et al., 2019).

59 On the global scale, the land components of Earth System Models (ESMs) have significant  
60 capabilities of representing key permafrost physics. In the Community Land Model (CLM), for example,  
61 the representation of permafrost-associated processes has been continuously improving. By including  
62 key thermal and hydrological processes of permafrost, the CLM version 4 (CLM4) has reasonably  
63 reproduced the global distribution of permafrost (Lawrence et al., 2008; Lawrence et al., 2012; Slater  
64 and Lawrence, 2013). Projections based on the CLM4 under its highest warming scenario (RCP8.5) have  
65 shown over 50% degradation of near-surface permafrost by 2100 (Lawrence et al., 2012). Moreover, the  
66 recently released CLM5 has more advanced representations of many biogeophysical and biogeochemical  
67 processes (Lawrence et al., 2019). A refined soil profile and upgraded snow accumulation and  
68 densification scheme in the CLM5 could contribute to simulating more realistic permafrost thermal  
69 regimes, whereas upgrades on biogeochemistry improve simulations of soil carbon release in response  
70 to permafrost thaw. In addition, an excess ice physics scheme has been implemented in CLM4.5  
71 (CLM4.5\_EXICE) by Lee et al. (2014), which allowed for the first-order simulation of surface  
72 subsidence globally by modeling excess ice melt under a warming climate.

73 The homogeneous distribution of excess ice throughout the grid cell in CLM4.5\_EXICE (Lee et al.,  
74 2014) could cause biases in thaw trajectories in the warming climate. In nature, excess ice forms in a  
75 highly localized manner due to a variety of accumulation processes. For instance, segregated ice formed  
76 during frost heave differs substantially in excess ice morphology from ice wedges that are formed from  
77 repeated frost cracking and freezing of penetrating water. Field measurements illustrate that the depth  
78 distribution of ground ice can vary substantially on the order to 10-50 meters horizontally and 0-10 meters  
79 vertically (Pascale et al., 2008; Fritz et al., 2011). The horizontal grid spacing of ESMs, on the other hand,  
80 usually ranges from one to two degrees (~100-200km horizontal scale), which makes it impossible to  
81 represent localized excess ice. The mismatch in spatial scale between model and the real world raises  
82 concerns for the reliability of excess ice modeling in ESMs. Aside from the homogeneously-initialized  
83 excess ice in the grid cell, CLM4.5\_EXICE initializes excess ice in the same soil depths globally (below  
84 1m), regardless of the varying active layer thickness in circum-Arctic permafrost areas (Lee et al., 2014).  
85 Such deficiencies in excess ice parameterization hamper global projections of permafrost thaw including  
86 excess ice with ESMs.

87 To narrow the gap between the high spatial variability of excess ice and the coarse grid spacing in  
88 the ESMs, we applied a sub-grid approach in representing excess ice in permafrost soils within the CLM5  
89 to investigate how presence and melting of excess ice affect land surface physics under a warming climate.  
90 We conducted idealized single-point simulations to examine the robustness of model development. We  
91 furthermore conducted global simulations using a first-order estimate for the spatial distribution of excess  
92 ice and associated cryostratigraphies, ~~aiming to present a model framework that can eventually bring the~~  
93 ~~modeling towards a higher accuracy.~~ Due to the lack of information in global excess ice conditions, it is  
94 not the aim of this study to accurately project excess ice melt and surface subsidence in the 21st century,  
95 but rather to develop a functionable process within a land surface model on a global scale. The CLM5  
96 with sub-grid excess ice representation developed through this study would be ready to serve as a proper  
97 simulation tool on further advancing global excess ice modeling once new datasets become available.

## 98 2. Methodology

### 99 2.1 Sub-grid representation of excess ice in the CLM5

100 The CLM5 model utilizes a three-level tiling hierarchy to represent sub-grid heterogeneity of  
101 landscapes, which are (from top to bottom) landunits, columns, and patches (Lawrence et al., 2019).  
102 There is only one column (the natural soil column) that is under the natural vegetated landunit, which  
103 represents soil including permafrost. In this study, we modify the CLM5 tiling hierarchy by duplicating  
104 the natural vegetated landunit, making extra landunits for prescribing up to three different excess ice  
105 conditions in permafrost (Figure 1). The original natural vegetated landunit is considered as “natural  
106 vegetated with no excess ice” (hereafter no ice landunit), while we denote the additional landunits as  
107 “natural vegetated with low content of excess ice” (hereafter the low ice landunit), “natural vegetated  
108 with medium content of excess ice” (hereafter the mid ice landunit), and “natural vegetated with high  
109 content of excess ice” (hereafter the high ice landunit). The sub-grid initial conditions of excess ice are

110 imported as part of the surface data, which includes the variables of volumetric excess ice contents,  
111 depths of the top and bottom soil layer of added excess ice, and the area weights of the four landunits.

112 We adopted the excess ice physics from CLM4.5\_EXICE (Lee et al., 2014), including  
113 thermodynamic and hydrological processes. The added excess ice is evenly distributed within each soil  
114 layer. ~~Note that the original CLM5 model already represents the dynamics of pore ice. Our~~ representation  
115 of excess ice physics only addresses the ground ice bodies that exceed soil pore space. The volumetric  
116 excess ice content in this study is defined as the ratio of the volume of excess ice in a soil layer to the  
117 volume of the whole soil layer. For example, a 50% volumetric content of excess ice means the excess  
118 ice body occupies 50% volume of a soil layer, while the rest of soil (and pore ice) occupies the other 50%  
119 volume of the soil layer. If not otherwise notified, the parameter of volumetric ice content in this  
120 manuscript refers only to that of excess ice bodies. After adding excess ice, the soil layer thickness  
121 increases accordingly. Because ice density is considered constant, the increase of soil layer thickness is  
122 linearly proportional to the volumetric content of excess ice. For example, adding an excess ice body  
123 with a 50% volumetric excess ice content doubles the soil layer thickness of the corresponding soil layer.  
124 The revised algorithm for thermal conductivity and heat capacity of soil involves the effects of added  
125 excess ice, while the revised phase change energy equation allows excess ice to melt. The meltwater adds  
126 to soil liquid water in the same soil layer, and it can move to the above layer if the original layer is  
127 saturated. Such numerical implementation replicates how the melt excess ice eventually converts to  
128 runoff and discharges from the soil in case of well-drained conditions. As excess ice melts, soil layer  
129 thickness decreases, which corresponds to surface subsidence due to excess ice melt. In our model  
130 parameterization, excess ice only melts and does not re-form since the applied excess ice physics does  
131 not account for the different ice formation processes.

132 Aside from sub-grid tiles for excess ice, we acknowledge that the version upgrade from CLM4.5 to  
133 CLM5 as the base model modifies the results of excess ice melt compared to the results from Lee et al.  
134 (2014). By default, CLM5 represents soil with a 25-layer profile, for which the top 20 hydrologically-  
135 active layers cover 8.5 meters of soil. There are additional 10 soil layers and it is 4.7 meters deeper  
136 compared to the default hydrologically-active soil layer profile in CLM4.5, not to mention the  
137 substantially more complex biogeophysical processes (Lawrence et al., 2019). Therefore, we developed  
138 the sub-grid representation of excess ice within the framework of the latest version of CLM. The  
139 duplicated landunits prolong computation time by roughly 10% compared to the original CLM5. We are,  
140 therefore, confident that our model development is highly efficient in addressing the sub-grid excess ice  
141 and subsequent permafrost thaw.

## 142 **2.2 Single-point simulations for the Lena river delta, Siberia**

143 We conduct single-point simulations for the Lena River delta and compare the CLM5 model results  
144 to reference simulations with the CryoGrid3 model for the same location (Westermann et al., 2016).  
145 Abundant background information is available on the soil and ground ice dynamics from both  
146 observation and modeling, making the Lena river delta a suitable location to further evaluate our model  
147 development. The Lena river delta can be broadly categorized into three different geomorphological units

148 that have distinctively different subsurface cryostratigraphies of excess ice (Schneider et al., 2009; Ulrich  
149 et al., 2009). In the eastern and central part of the river delta, ground ice has been accumulated in the  
150 comparatively warm Holocene climate. The subsurface sediments (hereafter denoted as “Holocene  
151 ground ice terrain”) are generally super-saturated with wedge ice that can extend up to 9 meters  
152 underground with the volumetric contents of total ground ice (pore ice + excess ice) ranging from 60-  
153 80% (Schwamborn et al., 2002; Langer et al., 2013). On the other hand, higher excess ice contents are  
154 found in Pleistocene sediments in the Lena River Delta (hereafter the “Yedoma Ice complex”), which  
155 are characterized by Yedoma type ground ice (Schirrmeister et al., 2013), which can reach depths of up  
156 to 20-25 meters deep and volumetric contents of total ground ice (Schwamborn et al., 2002; Schirrmeister  
157 et al., 2003 and 2011). Finally, the Northwestern part of the delta features sandy sediments and is  
158 characterized by low excess ice contents (hereafter denoted the “no excess ice terrain”; Rachold and  
159 Grigoriev, 1999; Schwamborn et al., 2002).

160 We determine the area weights of excess ice landunits in one single point based on the spatial pattern  
161 of three subregions (Fedorova et al., 2015). The cryostratigraphy and the volumetric contents of excess  
162 ice strictly follow those in Westermann et al. (2016). Note that the excess ice initialization scenario in  
163 Westermann et al. (2016) does not necessarily represent the realistic excess ice condition for the Lena  
164 river delta. The purpose of applying the same excess ice cryostratigraphy as in Westermann et al. (2016)  
165 is to evaluate our model development by addressing intercomparisons between model results. Meanwhile,  
166 we did not customize soil properties for different landunits as in Westermann et al. (2016), as our model  
167 development does not support varying soil properties for different sub-grid landunits. We also directly  
168 apply the snow accumulation physics in the CLM rather than customizing the snow density. By default,  
169 the current model does not form thermokarst lakes as the meltwater from excess ice melt becomes surface  
170 runoff and is removed from the grid cell. To apply the sub-grid representation, we initialize the case with  
171 three landunits (the triple-landunit case) that respectively represent the three terraces in the Lena river  
172 delta. We also initialize an “average ice single-landunit” case without the sub-grid representation of  
173 excess ice. The excess ice amount for each soil layer in the average ice single-landunit case is initially  
174 the same as that in the triple-landunit case. The volumetric content of excess ice is determined by spatial  
175 averaging those for three excess ice landunits in the triple-landunit case. Detailed information on the  
176 applied excess ice conditions for both cases is listed in Table 1.

177 We employed the single-point forcing data from in Westermann et al. (2016) for the Lena river delta  
178 from 1901 to 2100, which is based on the CRU-NCEP (<http://dods.extra.cea.fr/data/p529viov/cruncep/>)  
179 data set for the historical period (1901-2005) and the CCSM4 model output under the RCP4.5 scenario  
180 for the projected period (2006-2100), but downscaled with in-situ observations. We run 100-year spin-  
181 up simulations in order to stabilize the permafrost thermal regime after adding excess ice. Spin-up  
182 simulations are produced by running the model with cycled 1901-1920 climatological data. The purpose  
183 of spin-up simulations is to stabilize ground temperatures and volumes of excess ice bodies. The 100-  
184 year length for spin-up is sufficient, as the model is run in Satellite Phenology (SP) mode that does not  
185 involve slowly evolving biogeochemical processes such as soil carbon accumulation. Moreover, we  
186 address idealized single-point simulations for additional permafrost locations with both continental and

187 maritime climate that showcase the difference to Lee et al. (2014), the results of which are included in  
188 the Supplementary material.

### 189 2.3 Global simulations of excess ice melt

190 The information available for the spatial distribution of excess ice and associated cryostratigraphies  
191 on the global scale is generally not as detailed as in the Lena river delta due to the lack of observations.  
192 For our global simulations we employ the widely used “Circum-Arctic Map of Permafrost and Ground-  
193 Ice Conditions” (hereafter the CAPS data; Brown et al., 2002) as data source, while we translate the  
194 ground ice condition in the CAPS data to different excess ice stratigraphies as model input data. The  
195 CAPS permafrost map categorizes the global permafrost area into classes coded by three factors (i)  
196 permafrost extent (c = continuous, d = discontinuous, s = sporadic, and i = isolated), (ii) visible ground  
197 ice content (h = high, m = medium, and l = low), and (iii) terrain and overburden (f = lowlands, highlands,  
198 and intra- and intermontane depressions characterized by thick overburden cover, and r = mountains,  
199 highlands ridges, and plateaus characterized by thin overburden cover and exposed bedrock), resulting  
200 in more than 20 different varieties in permafrost characteristics (Figure 2). For the simulations, we only  
201 use the CAPS distinction between the three classes: high, medium and low ice contents. We qualitatively  
202 categorize excess ice types with typical cryostratigraphies for which observations are available,  
203 recognizing that this is a crude first-guess of the global distribution of ground ice which needs to be  
204 improved in future studies.

205 The high ice CAPS classes (e.g. chf, chr, and dhf) in central and eastern Siberia, as well as in Alaska,  
206 partly coincide with Yedoma regions (Kanevskiy et al., 2011; Grosse et al., 2013). The cryostratigraphy  
207 of the high ice landunit is therefore broadly oriented at the excess ice contents and distribution in intact  
208 Yedoma, which is characterized by massive ice wedges leading to typical average volumetric content of  
209 total ground ice in the range from 60% to 90% (Schwamborn et al., 2002; Kanevskiy et al., 2011). We  
210 therefore set the volumetric content of excess ice in the high ice landunit to 70%, and we put excess ice  
211 in all the soil layers between 0.2 meters below the active layer and the bottom of hydrologically-active  
212 soil layer (8.5 meters). The onset depth of the excess ice just below the active layer is based on the  
213 assumption of active ice aggradation which occurs at or below the permafrost table, e.g. the formation of  
214 wedge or segregation ice. Initializing high content excess ice throughout the whole soil layer imitates the  
215 cryostratigraphy of Yedoma type ice, while a certain amount of high ice landunit locates out of the  
216 observed Yedoma regions (Schoor et al., 2015). The effects, limitations, and potential improvements of  
217 this initialization scenario will be mentioned in the discussion section. For the low ice landunit, we  
218 assume both a significantly lower volumetric excess ice content and a smaller vertical extent of the excess  
219 ice body. The volumetric excess ice content is set to 25%, and we add excess ice at soil layers within 0.2  
220 to 1.2 meters below the active layer, which in particular represents sediments with segregated ice (e.g.  
221 Cable et al., 2018), but also accounts for a wide range of different excess ice conditions found throughout  
222 the permafrost domain. For the mid ice landunit, we set the volumetric excess ice content to 45% and  
223 put excess ice within 0.2 to 2.2 meters below the active layer, making the volumetric excess ice content  
224 and vertical extent of which in between those for the low and high ice landunits. The cryostratigraphies

225 determine that excess ice melt in the low ice landunit can result in a maximum of 0.36 meters of surface  
226 subsidence, while excess ice melt in the medium ice landunit can result in a maximum of 1.78 m of  
227 surface subsidence. For the high ice landunit, the surface subsidence can be more than 10 meters if all  
228 excess ice melts, which is expected to vary in space because of the different active layer thickness. For  
229 all three landunits, the active layer thickness is determined by the soil temperature profile by the end of  
230 the spinup in a no ice case, which is the simulation by the original CLM5 model without excess ice  
231 incorporated. Non-permafrost regions in the CAPS data are assigned the no ice landunit for 100% of  
232 their area. We emphasize that the prescribed cryostratigraphies are a first-order approximation that can  
233 by no means represent the wide variety of true ground ice conditions found in the permafrost domain.  
234 Nevertheless, this makes it possible to gauge the effect of excess ice melt on future projections of the  
235 permafrost thermal regime, when compared to “traditional” reference simulations without excess ice.

236 We design a tiling scheme prescribing the assignment of landunits for each CAPS class based on  
237 previous observations and empirical estimates (Table 2). All CAPS classes in this study are categorized  
238 into three levels of volumetric ice content (5%, 15%, and 25%) that are converted from the ranges (<10%,  
239 10-20%, and >20%) in the original CAPS data. The goal of our tiling scheme is to determine a  
240 combination of area weights of three excess ice landunits for each CAPS class, making the spatially  
241 averaged volumetric content of excess ice the same as that for the CAPS class. We assume that all CAPS  
242 classes have the same area fraction (20%) of the low ice landunit, and the CAPS classes with a higher  
243 ice content are due to the existence of the landunits with a higher content excess ice. We make this  
244 assumption based on previous studies that the segregated ice is widely distributed in permafrost.  
245 Observational studies have found segregated ice bodies in various continuous permafrost regions across  
246 the circum-arctic including West Central Alaska (Kanevskiy et al., 2014), Nunavik, Canada (Calmels  
247 and Allard, 2008), and Svalbard (Cable et al., 2018). In discontinuous permafrost regions, segregated ice  
248 bodies also commonly exist underneath Palsas and Lithasas, including Fennoscandia (Seppälä, 2011),  
249 Altai and Sayan, Russia (Iwanhana et al., 2012), Himalayas (Wünnemann et al., 2008), and Mongolia  
250 (Sharkhuu et al., 1999). The volumetric content of visible segregated ice bodies mentioned above ranges  
251 widely from 10-50% (Gilbert et al., 2016).

252 Given the tiling scheme prescribed above, all CAPS classes are assigned a 20% area of low ice  
253 landunit. Correspondingly, the CAPS classes with 15% volumetric ice content are assigned another 14%  
254 area weight for mid ice landunit on top of the CAPS classes with 5% volumetric ice content, while the  
255 CAPS classes with 25% volumetric ice are assigned another 22% area for high ice landunit on top of the  
256 CAPS classes with 15% volumetric ice content. The classes of “chf” and “chr” are the exceptions as their  
257 corresponding regions are typically with the landscape of Yedoma and/or ice wedge polygonal tundra  
258 (Kanevskiy et al., 2011; Gross et al., 2013). We therefore assign only the low and high ice landunits for  
259 these two CAPS classes. Summing up the landunit fractions for all the CAPS grid cells within each CLM  
260 grid cell obtains the area weights on the grid level that are stored in the surface data file. Figure 3 shows  
261 a schematic plot for the initialization scenario and the area covered by different excess ice landunits as  
262 the result of sub-grid excess ice initialization in the global simulation case. Note that excess ice for some

263 regions (e.g. Southern Norway and the Alps) can completely melt out during the spinup period since the  
264 CLM initial condition prescribes overly warm (non-permafrost) soil temperature for these regions.

265 In this study, we define the grid cells/landunits with permafrost as the ones having at least one  
266 hydrologically active soil layer that has been frozen in the last consecutive 24 months. In this case, we  
267 define permafrost degradation when all landunits in one grid point are with active layer thickness more  
268 than 6.5 meters. We also prepare a “grid-average ice case” by applying the same total amount of excess  
269 ice as in the sub-grid ice case in each soil layer, but using only one landunit instead of three that account  
270 for the sub-grid variability of excess ice. The volumetric content of excess ice in the single landunit is  
271 calculated as the spatial average of those in the three landunits in the triple-landunit case. This grid-  
272 average ice case provides a reference to evaluate the effects of the sub-grid excess ice representation on  
273 the global scale. Finally, we simulate a reference case without excess ice, denoted the “no ice case” in  
274 the following. Details on the three cases for the global simulations are listed in Table 3. All global cases  
275 are forced by the 3<sup>rd</sup> version of Global Soil Wetness Project forcing data (GSWP3; Kim et al., 2012),  
276 running in the Satellite Phenology (SP) mode. The International Land Atmosphere Model Benchmarking  
277 (ILAMB; Collier et al., 2018) project has indicated the superior performance of GSWP3 data forcing the  
278 CLM5 in the SP-only mode  
279 ([http://webext.cgd.ucar.edu/I20TR/\\_build\\_090817\\_CLM50SPONLY\\_CRUNCEP\\_GSWP3\\_WFDEI/in](http://webext.cgd.ucar.edu/I20TR/_build_090817_CLM50SPONLY_CRUNCEP_GSWP3_WFDEI/index.html)  
280 [dex.html](http://webext.cgd.ucar.edu/I20TR/_build_090817_CLM50SPONLY_CRUNCEP_GSWP3_WFDEI/index.html)). We conducted a 100-year spin-up using the 1901-1920 climatology before conducting  
281 historical period simulations covering 1901-2005. The anomaly forcing under the RCP8.5 scenario on  
282 top of the 1982-2005 climatology forces simulations in the projected period.

### 283 3. Result

#### 284 3.1 Excess ice melt simulations for Lena River delta cryostratigraphies

285 By the end of the spinup in the triple-landunit case, the active layer thickness is 0.85 m, 0.55 m, and  
286 0.45 m for the ice-poor terrain, the Holocene ice wedge terrain, and the Yedoma ice complex, respectively.  
287 On the other hand, the active layer thickness for the average ice single-landunit case is 0.85 m, which is  
288 the same as in the no excess ice terrain in the triple-landunit case. For the average ice single-landunit  
289 case, a small amount of excess ice ( $24\text{kg/m}^2$ ) melts during the spinup period, resulting in 2.6 cm surface  
290 subsidence throughout the grid.

291 For the Yedoma ice complex, very little excess ice melt in the 1950s, and it stabilizes afterwards  
292 until the late 2000s when substantial ice melt and surface subsidence starts to happen. For the Holocene  
293 ground ice terrain, there is no excess ice melt before the late 2010s. By the year 2100, the Yedoma ice  
294 complex has exhibited nearly 4 meters of surface subsidence, while the Holocene ground ice terrain has  
295 about 0.6 meters of surface subsidence (Figure 4). For the average ice single-landunit case, the noticeable  
296 excess ice melt and surface subsidence starts in the late 2010s, which creates about 0.5 meters of surface  
297 subsidence by 2100. The magnitude of surface subsidence in the average ice single-landunit case is lower  
298 than both the Holocene ground ice terrain and the Yedoma ice complex in the triple-landunit case.



299 On the grid scale, the total excess ice melt is higher in the average ice single-landunit case than in  
300 the triple-landunit case (Figure 5). By the year 2100, the average ice single-landunit case has about 30  
301  $\text{kg/m}^2$  more excess ice melt than the triple-landunit case. The difference in excess ice on the grid level  
302 results from the different volumetric content of excess ice caused by the spatial averaging. In this way,  
303 the sub-grid representation of excess ice can potentially also provide more detailed and realistic  
304 representation of model variables on the grid level. This is particularly important for the CLM5, which  
305 serves as the land component in Earth System Models, which requires the coupling between interacting  
306 components on the grid level.

307 Compared to Westermann et al. (2016), the CLM5 with sub-grid excess ice simulates slightly less  
308 ( $\sim 20\%$  less) surface subsidence by 2100 for both the central delta and ice complex. We consider this a  
309 good agreement as we do not expect a closer fit of the model results due to substantial differences in the  
310 model physics (for example, the Cryogrid3 simulations in Westermann et al. (2106) lack a representation  
311 of the subsurface water cycle). What is in common between these two studies is the earlier start of excess  
312 ice melt and more surface subsidence in the ice complex than in the central delta. The CLM5 with sub-  
313 grid excess ice also exhibits the varying active layer thickness with different excess ice conditions as  
314 Cryogrid3 does. These results suggest that the new model development enables small-scale variability in  
315 excess ice melt and subsequent impacts in agreement with previously published modeling efforts.

### 316 **3.2 Global projection of permafrost thaw and excess ice melt**

317 Single-point simulations have shown that the varying excess ice cryostratigraphies for different  
318 landunits result in sub-grid variabilities of excess ice melt and surface subsidence under the warming  
319 climate. The same features remain in the sub-grid ice case within the global simulations that excess ice  
320 in the low ice landunit can completely melt out throughout the circum-Arctic permafrost region by the  
321 end of the 21<sup>st</sup> century (Figure 6). The modeled magnitude of surface subsidence is similar to the  $\sim 10$  cm  
322 surface subsidence observed in Barrow and West Dock in the early 21st century (Shiklomanov et al.,  
323 2013; Streleskiy et al., 2017). The magnitude of surface subsidence is also comparable to the 1-4 cm  
324 decade<sup>-1</sup> surface subsidence rate on average over the North Slope of Alaska observed by satellite  
325 measurements since the 1990s (Liu et al., 2010). In comparison, the absence of surface subsidence for  
326 Arctic Alaska modeled by Lee et al. (2014) is due to an overly deep (1 m deep) excess ice initialization  
327 depth. By the year 2100, most ice in the medium ice landunit melts away in the sub-arctic region, while  
328 there is less ice melt in the colder regions such as the North Slope of Alaska and the central Siberia. The  
329 high ice landunit has the greatest surface subsidence among the three because of its high excess ice  
330 content, leading to 2-5 meters of surface subsidence by the year 2100.

331 The existence of excess ice modulates the thermal regime of permafrost soil and is a major control  
332 on permafrost degradation trajectories in a warming climate. Permafrost with excess ice consistently  
333 exhibits delayed permafrost degradation compared to the no ice case (Figure 7). For the no ice case  
334 modeled by the original CLM5, more than half of the permafrost area undergoes degradation by the end  
335 of the 21<sup>st</sup> century. By 2100, the only areas where permafrost remains are the North Slope of Alaska,  
336 Northern Canada, and the majority of the land area in Northern Siberia. The areas with remaining

337 permafrost in the year 2100 under the RCP8.5 scenarios are substantially larger compared to the CLM4  
338 simulations, in which nearly all permafrost in Eurasia becomes degraded (Lawrence et al., 2012). For the  
339 grid-average ice case, the presence of excess ice stabilizes the permafrost thermal regime and thus  
340 sustains a larger permafrost area on a global scale in the simulation. For example, permafrost areas in  
341 some subarctic regions in the eastern and western Siberia, as well as part of the Arctic coastal regions in  
342 Yukon Territory, Canada, remain in the grid-average ice case by 2100. Compared to the grid-average ice  
343 case, even more permafrost areas are sustained in the sub-grid ice case, most of which are located in  
344 southern Siberia. In the subarctic regions in Alaska and Northwest Canada as well as part of the central  
345 Siberia, permafrost degradation is delayed from the 2040s in the grid ice case to the 2080s in the sub-  
346 grid ice case. We emphasize that permafrost is only sustained according to the accepted temperature-  
347 based definition (ground material at temperature below zero for two consecutive years), but excess ice  
348 continuously melts in this process, which energetically is a different mode of permafrost degradation,  
349 similar to a negative mass balance of glaciers and ice sheets.

350 In the sub-grid ice case, the landunits with high excess ice contents lead to more grid points for  
351 which permafrost conditions remain in the year 2100 compared to the grid-average ice case. On the other  
352 hand, permafrost with excess ice only covers a fraction of a grid point. Among the permafrost degradation  
353 trajectories in the three global simulation cases (Figure 8), the sub-grid ice case can provide a more  
354 detailed picture on the timing of permafrost degradation. Grid cells become ‘partially degraded  
355 permafrost’ if landunits with excess ice still contain permafrost, which phenomenologically is a more  
356 realistic representation that also makes it possible to represent the permafrost distribution in the  
357 discontinuous and sporadic permafrost zones. On the other hand, only “fully degraded permafrost” and  
358 “remaining permafrost” can be distinguished for the no ice and grid-average ice case. Under the warming  
359 climate in the 21<sup>st</sup> century, the existence of excess ice, especially the high content of excess ice, has a  
360 stabilizing effect on soil temperature that delay the disappearance of permafrost on the sub-grid level.  
361 Therefore, by the year 2100, there are regions with partially degraded permafrost in between intact and  
362 degraded permafrost (Figure 8). For example, in western Siberia, the Pacific coastal area of eastern  
363 Siberia, Northwestern Canada, and along the Brooks Range in Alaska, taliks form for landunits with low  
364 excess ice contents which leads to partially degraded permafrost regions. Therefore, permafrost  
365 degradation exhibits a gradual transition from continuous to discontinuous permafrost, and to non-  
366 permafrost regions. Some of these regions also encounter substantial surface subsidence in the high ice  
367 landunit (> 5 m) (Figure 6).

368 We further compare the total permafrost area (defined as landunits with active layer thickness < 6.5  
369 meters) in the three cases throughout time. The differences in permafrost area increase from the grid-  
370 average ice case and sub-grid ice case to the no ice case at a rate of 1000 km<sup>2</sup> per year until 2050 (Figure  
371 9). After 2050, the area difference of permafrost in the grid-average ice case and no ice cases rapidly  
372 increases, which reaches nearly one million km<sup>2</sup> by 2100. In the sub-grid ice case, the rate of increase  
373 remains relatively unchanged after 2050, resulting in an about 0.2 million km<sup>2</sup> larger permafrost area  
374 than that in the no ice case.

375 **4. Discussion**

376 The aim of the sub-grid excess ice representation in the CLM5 is to facilitate long-term global  
377 projection of excess ice melt and surface subsidence in the permafrost regions, but the corresponding  
378 observational data for model evaluation is sparse, considering especially that drastic excess ice melt as  
379 modeled until 2100 is only observed in few locations today (e.g. Günther et al., 2015). In the following,  
380 we discuss the challenges and limitations of the sub-grid excess ice framework, and how this sub-grid  
381 representation can potentially help the development of other CLM components.

382 Both single-point and global test simulations in this study have shown that excess ice melts under a  
383 warming climate is sensitive to its initialization depth. The active-layer-dependent excess ice  
384 initialization in this study in the global simulation (sub-grid excess ice case) yields excess ice melt and  
385 surface subsidence rates in the early 2000s that are comparable to observations. The lower depths of the  
386 assumed excess ice body control the termination of excess ice melt which at the same time determines  
387 the onset of talik formation in many permafrost areas. Due to the scarcity of observational data, it is  
388 unclear to what extent the cryostratigraphies assumed in our tiling scheme can reproduce the true vertical  
389 extent of excess ice bodies at least in a statistical sense. Even so, we manage to make the prescribed  
390 excess ice condition as close to the previous results as possible. Firstly, our tiling scheme on the large  
391 scale strictly follows the CAPS data (Brown et al., 2002) in terms of the volumetric excess ice content.  
392 Furthermore, statistics by Zhang et al. (2000) suggest the ranges of the vertical extent of ice-rich  
393 permafrost of 0-2 meters and 2-4 meters respectively for the CAPS classes with low (5%) and medium  
394 (15%) ice content. Comparatively, the vertical extents permafrost with excess ice prescribed by our tiling  
395 scheme are respectively 1.36 meters and 3.78 meters for the same CAPS classes, both of which lie within  
396 the ranges in Zhang et al. (2000). The vertical extent of ice-rich permafrost for the high ice landunit is  
397 much higher than that (4-6 meters) in Zhang et al. (2000), but the unmelted part of the ice bodies does  
398 not strongly affect the overall rate of excess ice melt, although the remaining ice can slightly change soil  
399 temperature and moisture of the surrounding permafrost. We therefore imply that our high ice landunit  
400 initialization would not induce a strong bias in excess ice melt projection in the 21st century.

401 Due to the lack of excess ice datasets and observational evidence, the excess ice initialization  
402 scenarios in the global simulation cases ~~involve empirical estimates and simplifications, which could~~  
403 ~~bring biases to the projection of excess ice melt and surface subsidence. We apply the volumetric content~~  
404 ~~of ground ice in the CAPS data approximately as the volumetric content of excess ice during initialization~~  
405 ~~as the CAPS data is mostly based on visible ice bodies (Heginbottom et al., 1995), not to mention~~ the  
406 determination of volumetric contents of excess ice for three landunits also result from sparse observations  
407 and empirical estimates. The prescribed excess ice cryostratigraphies ignore ice morphology and the  
408 variation of volumetric content of excess ice with soil depth, regarding excess ice as homogeneous “ice  
409 cubes”. For the high ice landunit, we simplify the cryostratigraphy initialization to Yedoma type ice,  
410 which prescribes overly thick excess ice bodies out of the Yedoma regions (Schurr et al., 2015). A  
411 deficiency in the current version of source code ~~disables us to initialize~~ non-Yedoma wedged ice for the  
412 high ice landunit ~~out~~ of the Yedoma region. Future versions of our model development will have more

413 freedom in ~~excess ice stratigraphy configuration~~, which ~~makes~~ it possible to prescribe different  
414 cryostratigraphies of the same landunit (e.g. the high ice landunit) for different locations. **Furthermore,**  
415 **excess ice stratigraphy.** Because of the above shortcomings in the excess ice initialization, we do not  
416 expect the modeled excess ice melt in this study to be an adequate representation of reality ~~yet,~~  
417 ~~while improved~~ observational data sets of excess ice contents and cyostratigraphies ~~could be directly~~  
418 ~~ingested to~~ yield ~~improved~~ results. However, a spatially distributed global dataset with quantitative  
419 information on excess ice stratigraphies does not exist at present. We emphasize that for a better  
420 projection of excess ice melt, more observational data of excess ice distribution and surface subsidence  
421 is required to further evaluate and validate the new model implementation of excess ice. On the regional  
422 scale, Jorgenson et al. (2008) presented a permafrost map of total ground ice volume for the uppermost  
423 5 meters of permafrost based on both observations and estimates for Alaska. In addition, O'Neill et al.  
424 (2019) compiled permafrost maps for Northern Canada by paleographic modeling, mapping the  
425 abundances of three types of excess ice respectively. Further improvements of model results depend on  
426 additional observationally constrained datasets of excess ice conditions on the global scale.

427 The area weights of the excess ice landunits (Table 2) in the global simulation are obtained from the  
428 higher-resolution CAPS points located within a CLM grid cell. However, complex landscape  
429 development, such as thermokarst ponds, requires knowledge of the meter-scale distribution, for example  
430 the extent and geometry of individual ice wedges (Langer et al., 2016; Nitzbon et al., 2019), which cannot  
431 be represented with the still coarse-scale excess ice classes from the CAPS map. One possible solution  
432 to represent this could be to include another layer of sub-grid tiles below the CLM landunit level, where  
433 the individual tiles can interact laterally. This would allow for the representation of small-scale  
434 permafrost features within a large-scale landunit with a given excess ice content. An example of how this  
435 could work is given by Aas et al. (2019) who simulated both polygonal tundra and peat plateaus with a  
436 two-tile interactive setup. This is also similar to the recent representation of hillslope hydrology by  
437 Swenson et al. (2019), where sub-grid tiles (on the column level in CLM) were used to represent different  
438 elements in a representative hillslope. In the future development of CLM, this could be part of a more  
439 generic tiling system where lateral heat and mass fluxes could be switched on and off to represent a wide  
440 range of land surface processes that are currently ignored or parameterized in LSMs. Fisher and Koven  
441 (2020) have discussed the challenges and opportunities in such an adaptive and generic tiling system.  
442 We would also advocate for enhancing current tiling schemes in such a direction, which could  
443 substantially improve the realism in the representation of permafrost landscapes in LSMs. However, the  
444 success of such a tiling approach will rely heavily on the availability of adequate observational data,  
445 further highlighting the need for observational efforts and close collaboration between field scientists  
446 and modelers.

447 The more detailed simulation of permafrost degradation trajectory with a sub-grid representation of  
448 excess ice also builds more potential on better modeling the permafrost-carbon feedback with  
449 biogeochemistry activated (CLM5BGC). Excess ice stabilizes the permafrost thermal regime, therefore  
450 alter the rate of carbon releasing from the permafrost (Shuur et al., 2008). Improved projections of  
451 permafrost warming could also enhance modeling of vegetation type changes (e.g. shrub expansion) that

452 determines the nitrogen uptake to the atmosphere (Lorantý and Goetz, 2012). On the other hand, the  
453 possibility to simulate surface subsidence and excess ice meltwater formation also opens the possibility  
454 of a more accurate representation of wetland formation. The increase in the area of wetland and soil  
455 moisture have an impact of the balance of CH<sub>4</sub> and CO<sub>2</sub> releasing from the permafrost as more organic  
456 matter could decompose in an anaerobic pathway (Lawrence et al., 2015; Treat et al., 2015). Compared  
457 to the parameterized inundated area simulation in the CLM5 (Ekici et al., 2019), a process-based wetland  
458 physics scheme together with the sub-grid representation of excess ice in this study would substantially  
459 contribute to the biogeochemical modeling over the circum-arctic area.

## 460 **5. Conclusion**

461 This study develops a sub-grid representation of excess ice in the CLM5 and examines the impacts  
462 of the existence and melting of excess ice in the sub-grid scale in a warming climate. Extra landunits  
463 duplicated from the natural vegetated landunit in the CLM sub-grid hierarchy make it possible to  
464 prescribe up to three different excess ice conditions in each grid [point](#) with permafrost.

465 A test over the Lena river delta showcases that the sub-grid representation of excess ice can retrieve  
466 the sub-grid variability of annual thaw-freeze state and the excess ice [melt/surface](#) subsidence through  
467 time. On the other hand, initializing excess ice homogeneously throughout the grid cell produces a  
468 smaller stabilization effect of excess ice to the permafrost thermal regime and the local surface  
469 subsidence under a warming climate. With a tiling scheme ingesting a global data set of excess ice  
470 condition into the CLM surface data, our model development shows the capability of portraying more  
471 details on simulating permafrost degradation trajectories. As excess ice thermally stabilizes the  
472 permafrost on the sub-grid scale, permafrost degrades with a trajectory from continuous permafrost to  
473 discontinuous permafrost, and finally to a permafrost-free area. The modeled global pattern of permafrost  
474 therefore exhibits regions of discontinuous permafrost as the transition zone between the continuous  
475 permafrost and degraded permafrost.

476 This study, for the first time, used an ESM to project excess ice [melt/surface](#) subsidence and  
477 permafrost degradation with sub-grid variability. The approach of duplicating tiles at the landunit level  
478 instead of the column level allows more freedom for further developments in this direction. Furthermore,  
479 the new CLM tiling hierarchy has much more potential than representing more accurate excess ice  
480 physics as examined in this study. ~~Further advancing the excess ice modeling relies on additional~~  
481 ~~observational studies/datasets~~ of the excess ground ice conditions ~~on a~~ [global scale](#). The model  
482 development in our study, therefore, lays the foundation for further advances focusing on excess ice  
483 modeling and other processes in the CLM framework that could benefit from an improved sub-grid  
484 representation.

485

486 [Code/Data Availability](#)

487 The original Community Land Model is available at <https://github.com/ESCOMP/ctsm>. The source code  
488 of model development in this study is available from the corresponding author upon request.

#### 489 **Author contributions**

490 L.C conducted model development work and wrote the initial draft with additional contributions from  
491 all authors. H.L, S.W, and K.S.A provided ideas and help during the process of model development. H.L  
492 provided the code of excess ice physics in the earlier version of CLM. L.C prepared all figures.

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**Table 1: The excess ice initialization scenario in each of the three terraces (landunits) for the Lena River delta, as well as that for the single-landunit excess ice initialization case.**

Depth (after adding ice)	Volumetric Ice content	Area weight
No excess ice terrain		
N/A	0%	24.6%
Holocene ground ice terrain		
0.9-9 m	65%	66.6%
Yedoma ice complex		
0.6-20 m	90%	8.8%
Average ice single-landunit case		
0.6-0.9 m	7.92%	100%
0.9-9 m	51.21%	100%
9-20 m	7.92%	100%

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700

701 **Table 2: The tiling scheme prescribing area weights of landunits for each CAPS class. The detailed**  
 702 **CAPS classes are shown in Figure 2.**

Overall visible ground ice content for each CAPS point	Tiling scheme (area weights for each excess ice category)	Eligible CAPS types
5%	80% no excess ice; 20% Low	clf; clf; slf; ilf; clr; dlr; slr; ilr
15%	58% no excess ice; 20% Low; 22% Medium	cmf; dmf; smf; imf; dhr; shr; ihr
15%	66% no excess ice; 20% Low; 14% High	chr
25%	44% no excess ice; 20% Low; 22% Medium; 14% High	dhf; shf; ihf
25%	52% no excess ice; 20% Low; 28% High	chf

703 Note: For each class, the first letter is for the permafrost extent, the second for the excess ice content, and the third  
 704 for the terrain and overburden, following Brown et al. (2002).

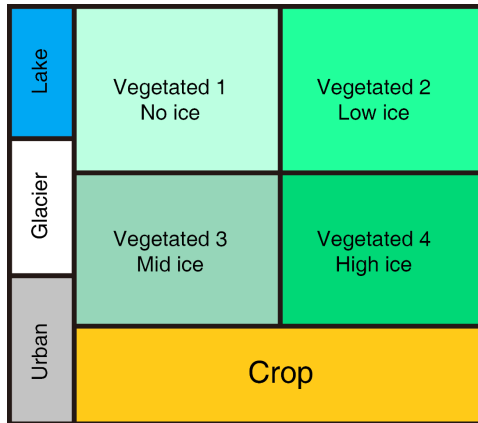
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706 **Table 3: List of simulations conducted for this study.**

Cases	Description
Single point cases for the Lena river delta	
Triple-landunit case	Applying the sub-grid representation of excess ice. Three natural vegetated landunit initialized.
Average ice single-landunit case	Not applying the sub-grid representation of excess ice. Only one natural vegetated landunit initialized. The grid-mean excess ice content for each soil layer in the only landunit is calculated by spatially averaging those in different landunits in the triple-landunit case.
Global simulation cases	
No ice case	Not adding any excess ground ice (the original CLM5 simulation).
Sub-grid ice case	Applying the sub-grid representation of excess ice. A tiling scheme helps to “translate” excess ice conditions in the CAPS data to fit what the CLM5 requires.
Grid-average ice case	Not applying the sub-grid representation of excess ice. The grid-mean excess ice content for each soil layer is calculated by spatially averaging those in different landunits in the sub-grid ice case.

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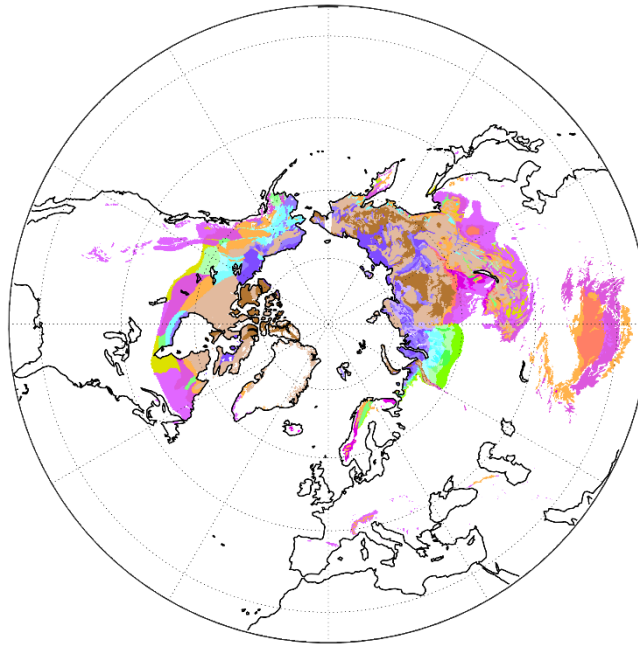
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710 **Figure 1: Modification of the CLM5 tiling hierarchy on the landunit level containing four natural**  
 711 **vegetated landunits for different excess ice conditions.**

712



**Permafrost area classification**

Permafrost Extent	Ground Ice Content (percent by volume)				
	Lowlands, highlands, and intra-and intermontane depressions			Mountains, highlands, ridges, and plateaus	
	25%	15%	5%	15%	5%
Continuous (100%)	chf	cmf	clf	chr	clr
Discontinuous (70%)	dhf	dmf	dlf	dhr	dhr
Sporadic (30%)	shf	smf	slf	shr	slr
Isolated (5%)	ihf	imf	ilf	ihr	ilr

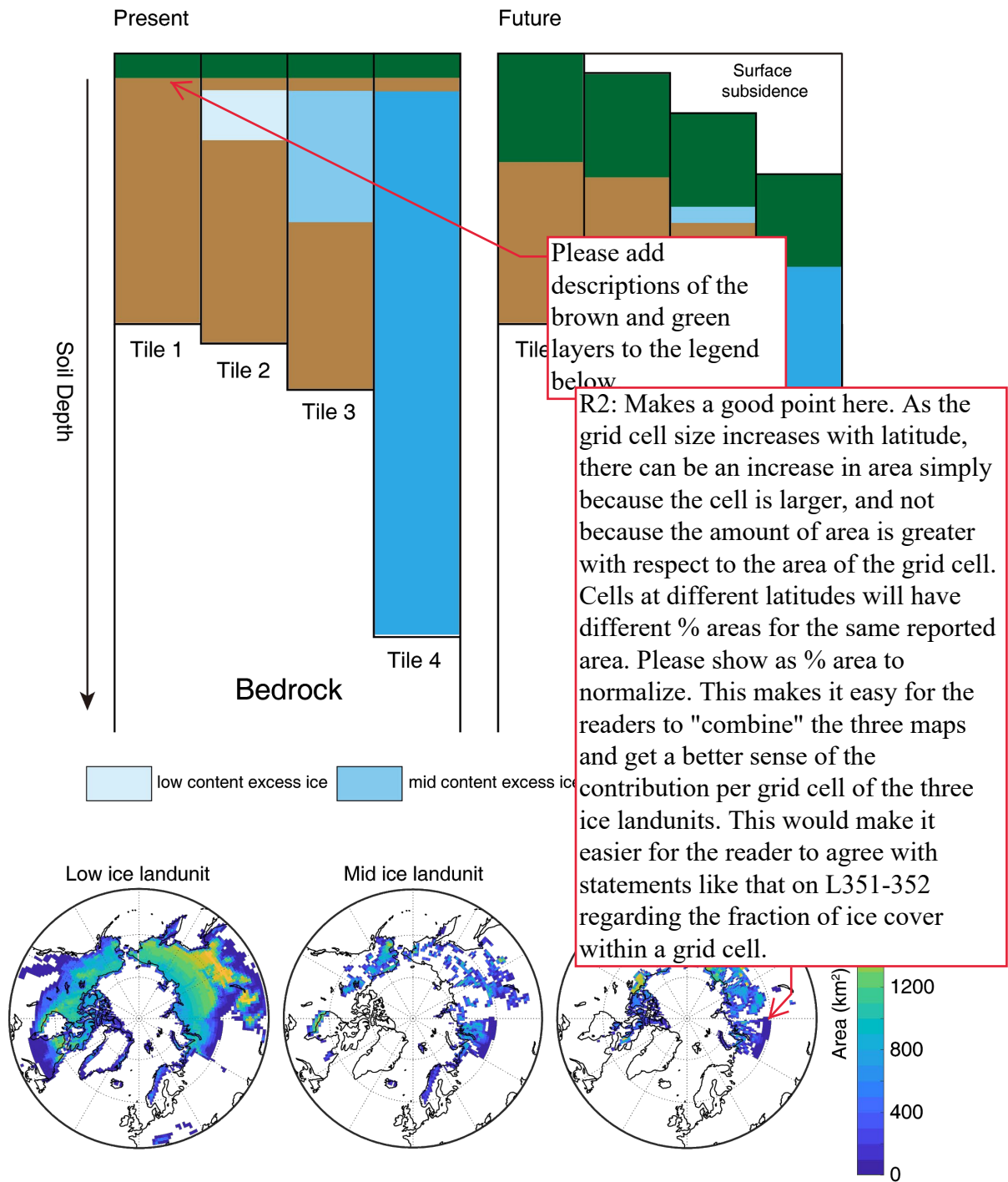
\* Letter code naming: The first letter is for the permafrost extent, second for the ground excess ice content, and the third for the terrain and overburden.

713

714 **Figure 2: Spatial distribution of excess ground ice in the Northern Hemisphere modified from**  
 715 **Brown et al. (2002). Compared to the original data, permafrost extents and ground ice contents**  
 716 **are converted to definite numbers (percentages) for model computation.**

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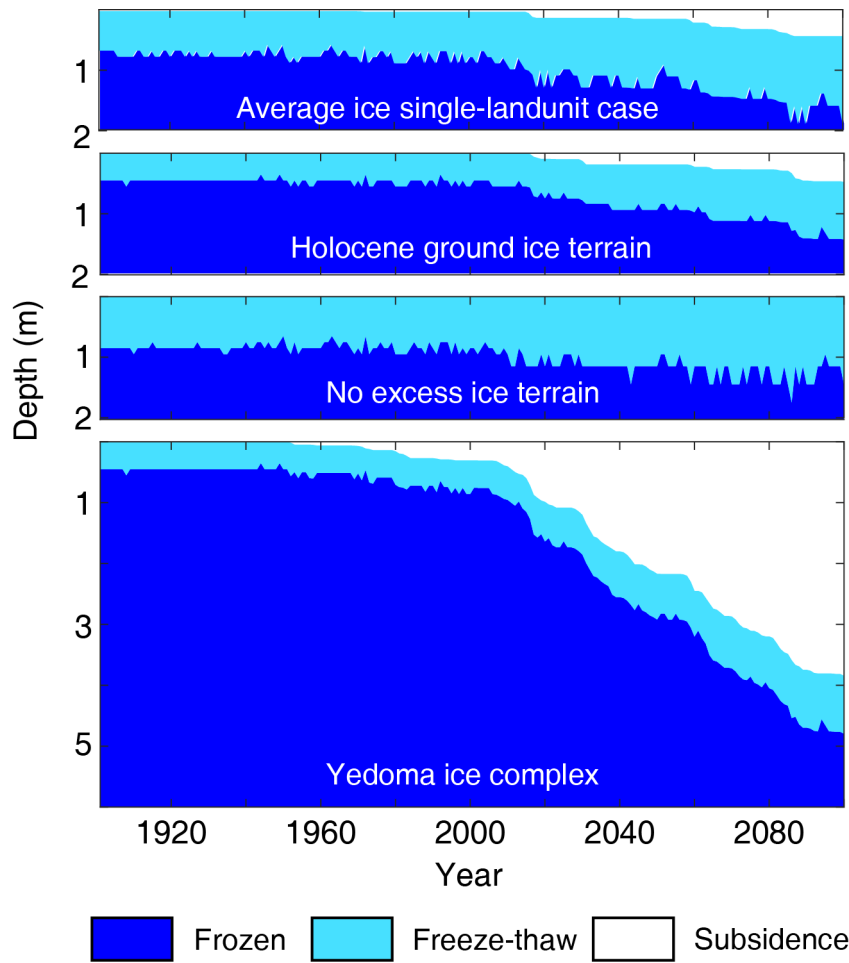




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720 **Figure 3. Schematic representation of the sub-grid excess ice initialization scenario, and maps**  
 721 **showing the area occupied by different excess ice landunits, i.e. the initial condition of excess ice in**  
 722 **the global simulation.**

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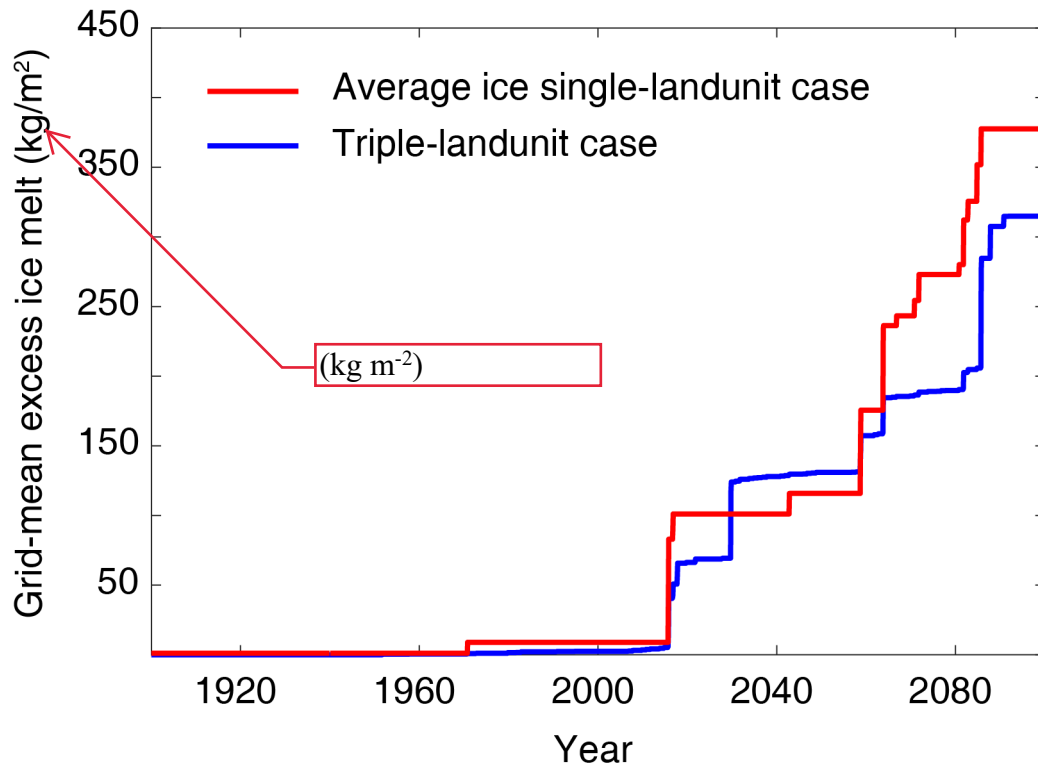
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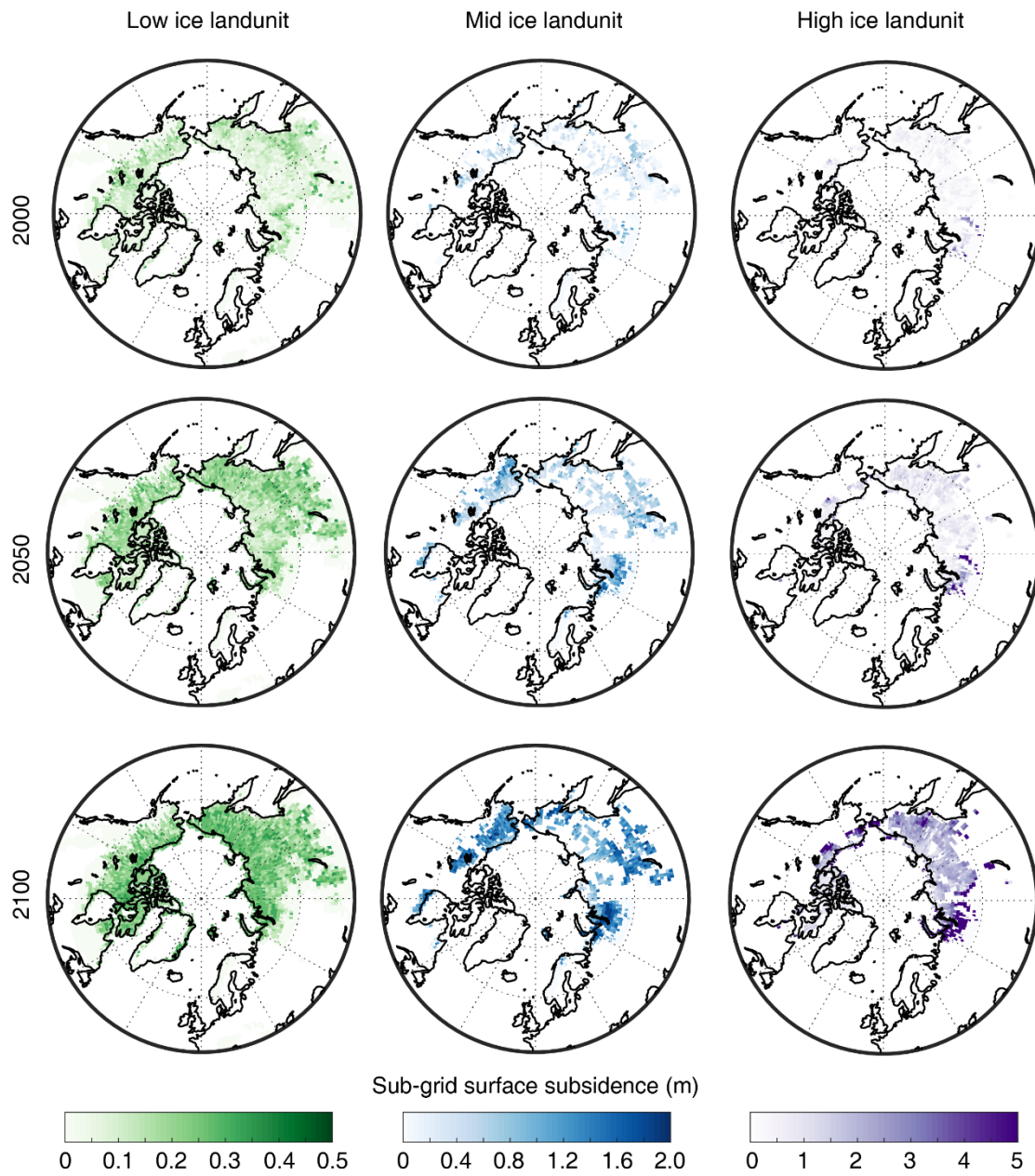
Figure 4. Annual freeze-thaw state for the three terraces for the triple-landunit case, as well as for the average ice single-landunit case.



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729 **Figure 5. Grid-mean excess ice melt since 1900 for the single-point cases over the Lena river delta**  
 730 **with and without the sub-grid excess ice initialization.**

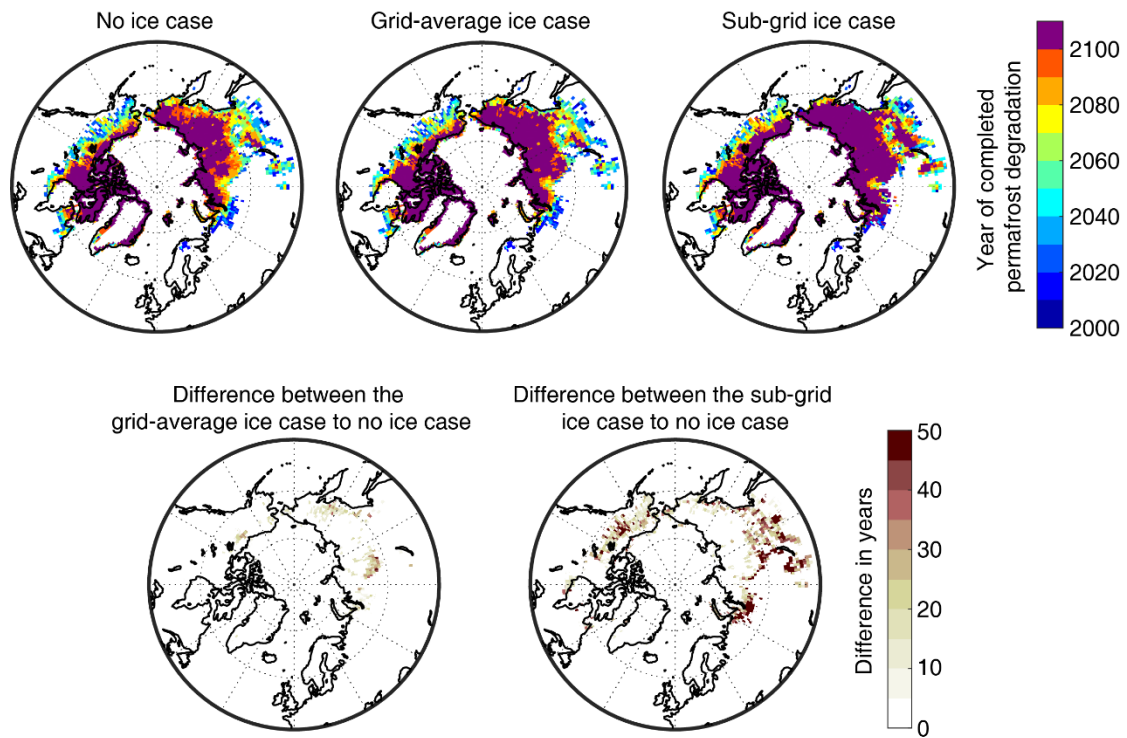
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733 **Figure 6. Maps showing sub-grid surface subsidence (m) in 2000, 2050, 2100 in the low, mid, and**  
 734 **high excess ice landunits in the sub-grid ice case.**

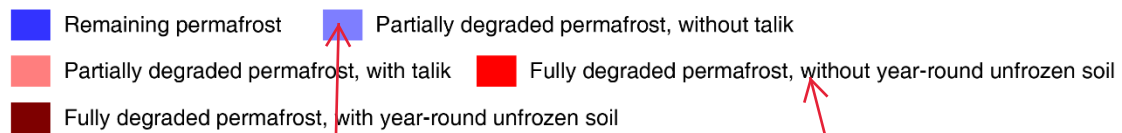
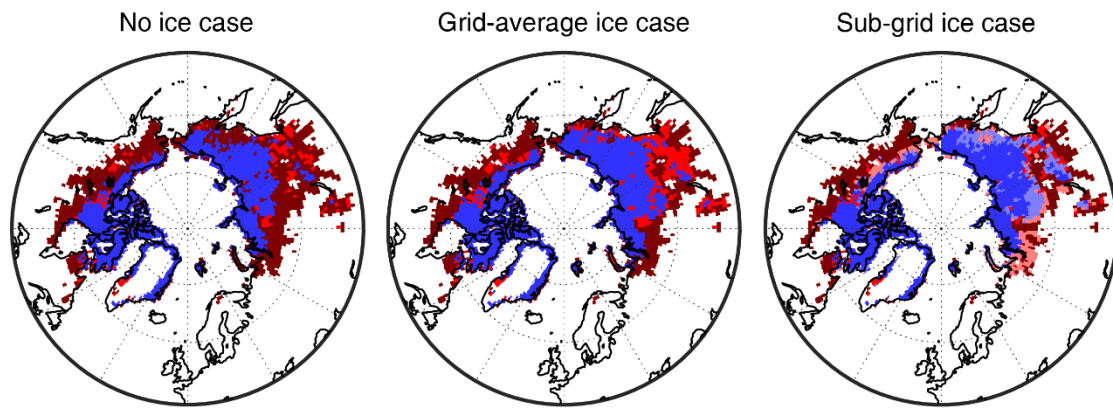
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737 **Figure 7. Maps showing the year of completed permafrost degradation (upper set of three maps),**  
 738 **as well as the differences between cases (lower set of two maps). The purple color indicates the**  
 739 **existence of permafrost in these grid points by 2100. The difference in years is provided only for**  
 740 **grid cell with completed permafrost degradation before 2100.**

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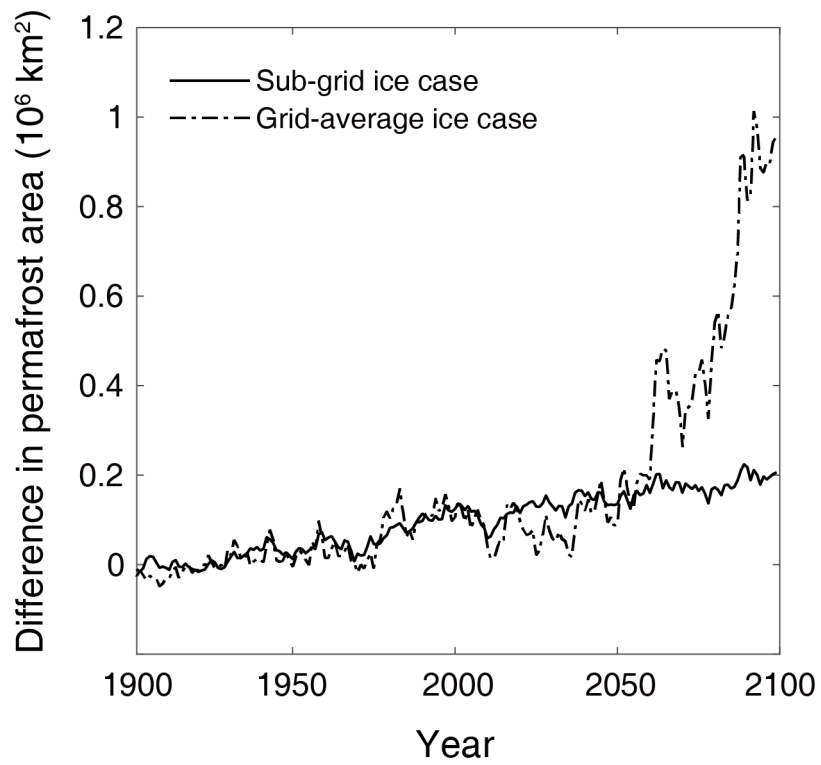
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**Figure 8. Maps of different stages of permafrost degradation diagnosed from the model output by the year 2100.**

745

The colors used for "Remaining permafrost" and "partially degraded permafrost" are too close. Please better differentiate these two classes, and probably the others as well. As per our instructions to authors, you may find ColorBrewer 2.0 is useful for generating a helpful color scheme for these maps.

The text defines what fully degraded permafrost is, but please define somewhere what the difference is between "with" and "without" year-round frozen soil. In particular, as seasonal frost is expected within the entire model domain, how can there be year-round unfrozen soil? Probably best to define the 5 stages within one paragraph so that the reader has a clear understanding of the terminology.



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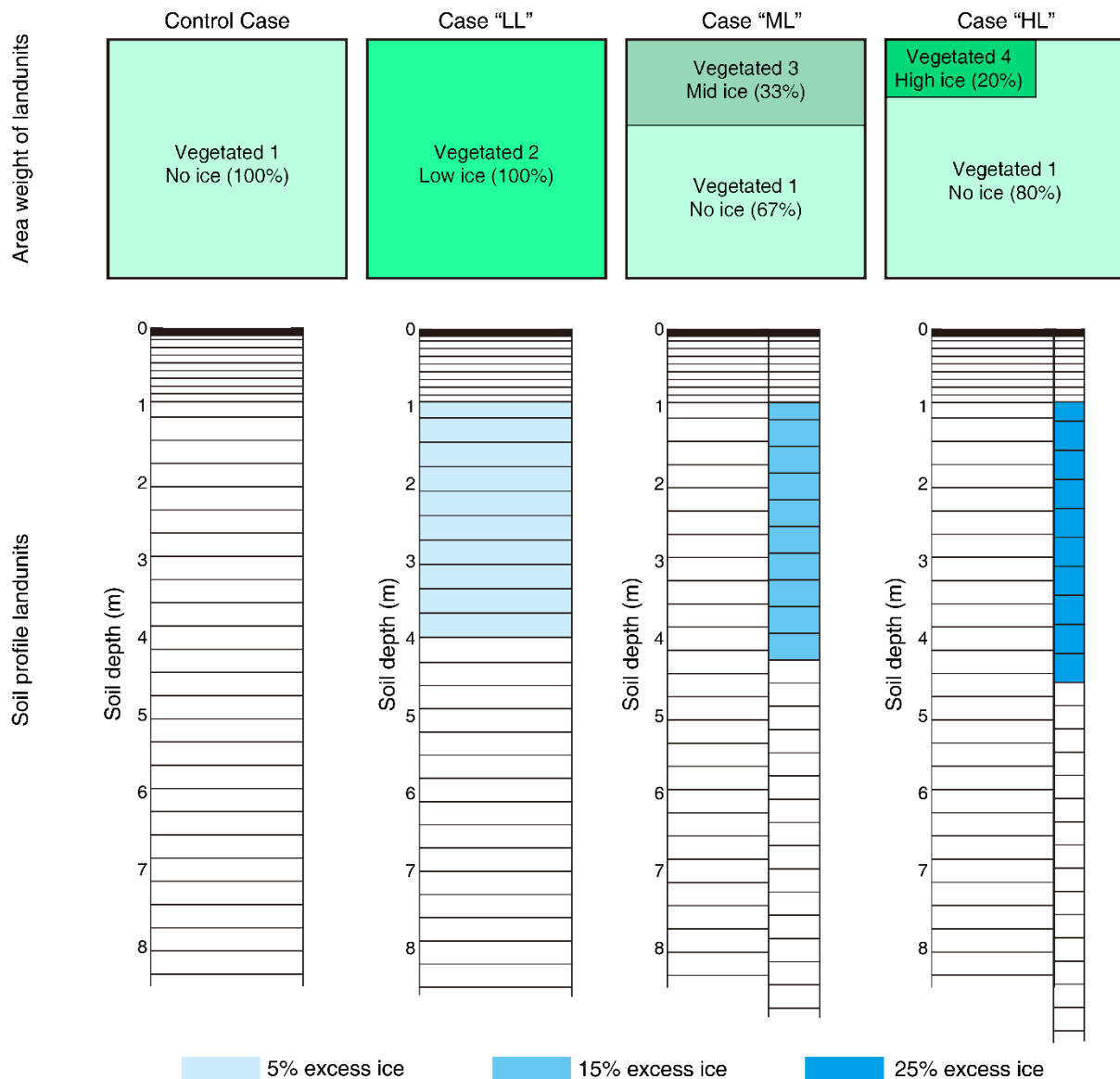
747 **Figure 9. Difference in modeled permafrost area vs. time between the sub-grid ice case and no ice**  
 748 **case, as well as between the grid-average ice case and no ice case.**

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# Supplemental material for “~~Projecting Circum-Arctic Excess Ground Ice Melt with a sub-grid representation in the Community Land Model~~”

## S1. The sensitivity of excess ice melt and corresponding impacts to its sub-grid distribution

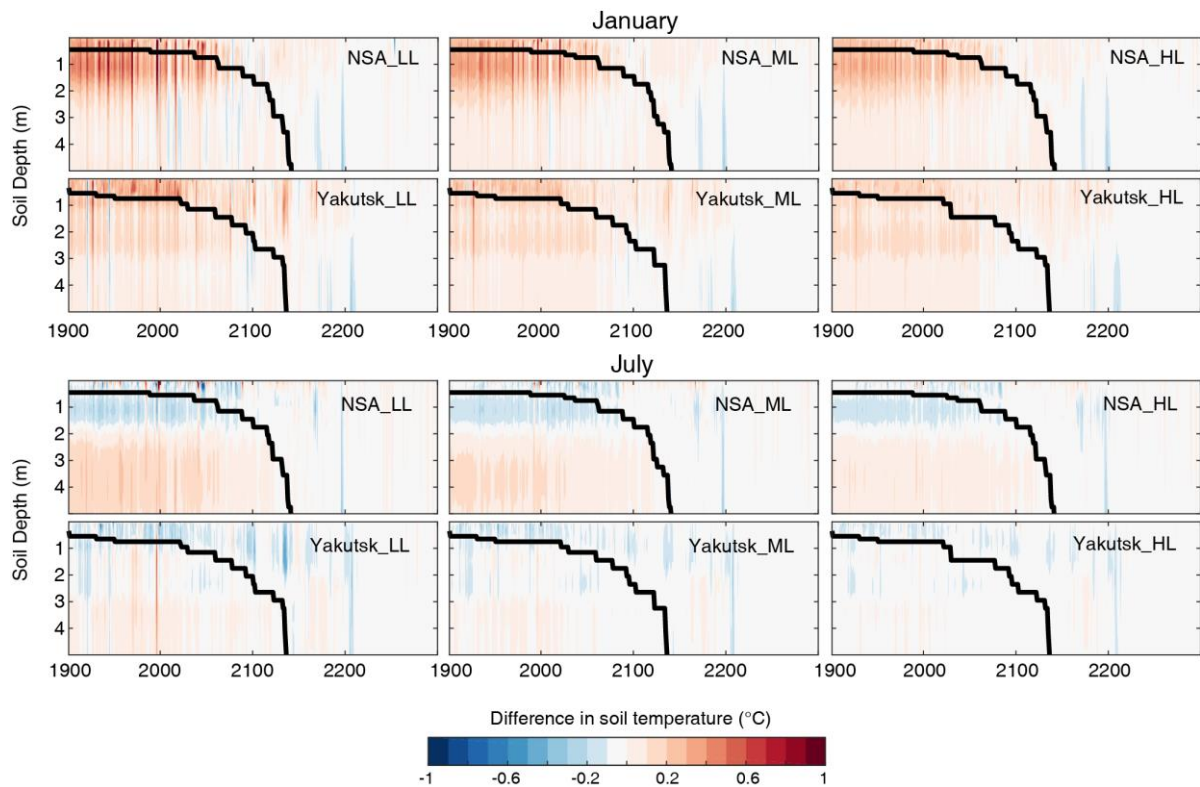
We design idealized single-grid simulation cases, aiming to examine the effects of incorporating excess ice at the sub-grid scale to soil physics, i.e. whether different sub-grid scale distributions of excess ice differ reasonably from each other during excess ice melt. The results of single-grid simulations help to verify if the sub-grid representation of excess ice shows more potential in modeling excess ice compared to its previous version, where excess ice is homogeneously distributed in the CLM grid cell (Lee et al., 2014). We employ the forcing data at the North Slope of Alaska (NSA; 70° N, 156° W) and to the Northeast of Yakutsk (Yakutsk; 63° N, 130° E) to represent the continental and maritime types of climate respectively in the circum-Arctic. They have the annual mean temperature and precipitation close to each other, while the seasonal variability in temperature is smaller in the North Slope of Alaska due to its adjacency to the Arctic Ocean (Bieniek et al., 2012).



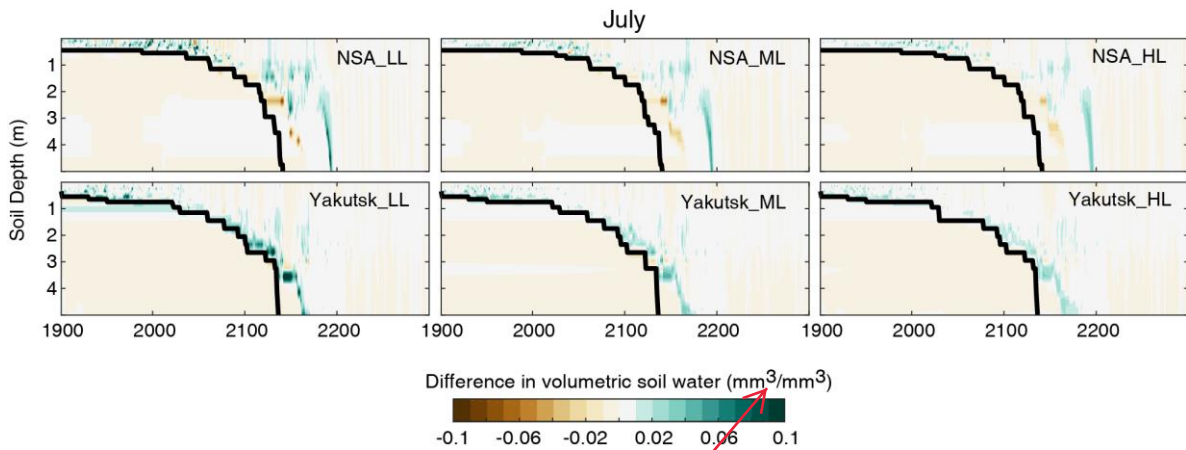


**Figure S1: The schematic figure for the area weights (the percentage between parentheses) and soil profiles within the landunits in the four single-grid simulations. Besides the control case, three other cases are with the same amount of excess ice within the single grid. “LL”, “ML”, and “HL” are abbreviations for “Low ice Landunit”, “Mid ice Landunit”, and “High ice Landunit”, representing the applied excess ice landunit in each case.**

The grid-mean volumetric excess ice content is set to be 5%. We set up three excess ice cases with the same (or very close) grid-scale excess ice content but with different spatial variability. For each site, we design three cases, having a 100% area weight of 5% volumetric excess ice content (NSA\_LL and Yakutsk\_LL), a 33% area weight of 15% volumetric excess ice content (NSA\_ML and Yakutsk\_ML), and a 20% area weight of 25% volumetric excess ice content (NSA\_HL and Yakutsk\_HL), respectively (Figure S1). For all of the original soil layers between 1-4 meters, excess ice is incorporated homogeneously, which proportionally increases the soil thickness for these layers. The initialization depth of excess ice keeps the same as in Lee et al. (2014). Both locations we choose are with 100% natural soil and continuous permafrost to avoid the interference of model results by other landunit types from the source.



**Figure S2: Soil temperature differences from excess ice cases to control cases (NSA\_control and Yakutsk\_control) for the depth of 0.005-5 meters in January and July. Black lines are the active layer depth deepening through time. The active layer depth is calculated from grid-scale soil temperature for each case.**



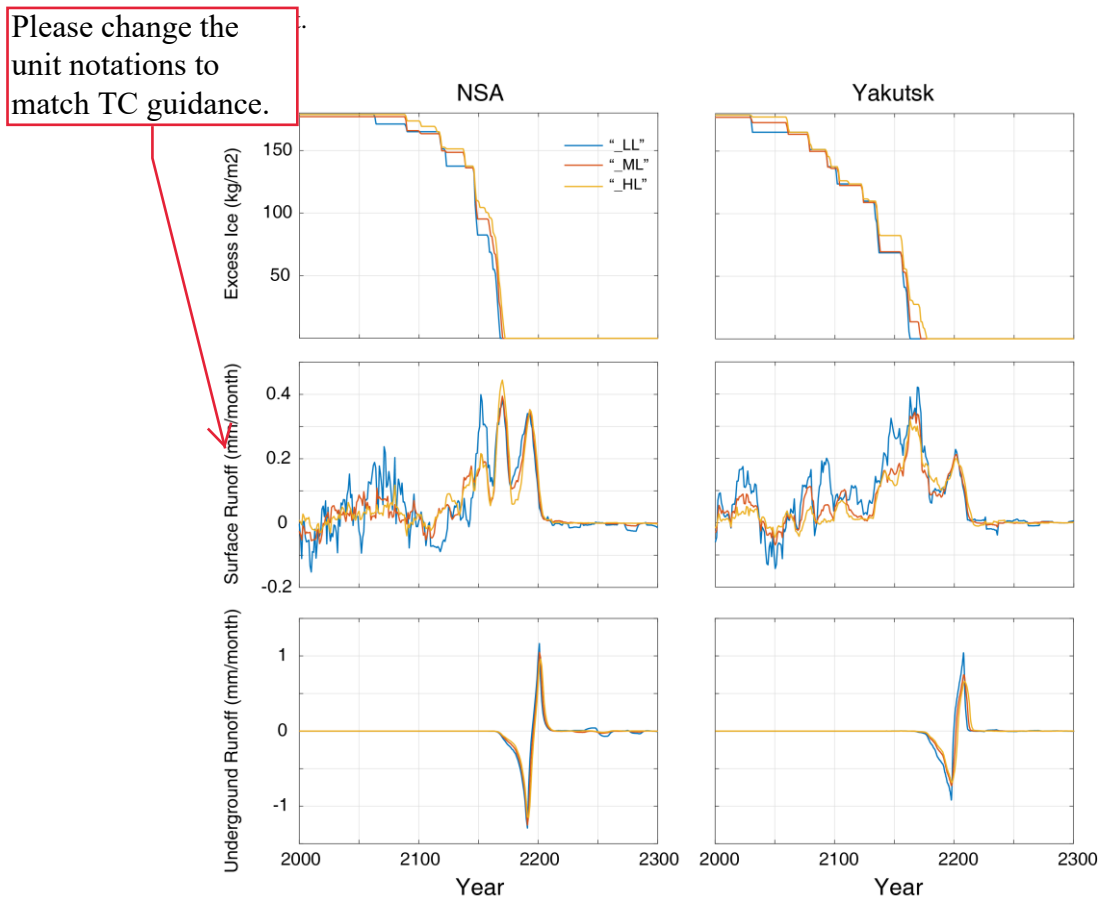
**Figure S3: Soil moisture differences from excess ice cases to the corresponding control cases (NSA\_control and Yakutsk\_control) for the depth of 0.05-5 meters in July. Black lines are the active layer depth deepening through time. The active layer depth is calculated from grid-scale soil temperature for each case.**

As a result, differences in soil temperature and moisture from excess ice cases to control cases in single-grid simulations quantitatively show the effects of excess ice and its melting. In the shallower layers (0-2 m), excess ice results in slightly higher soil temperature in January (winter) but lower soil temperature in July (summer) relative to control cases, reducing the magnitude of the seasonal cycle in soil temperature (Figure S2). In deeper layers (>2 m), the soil temperature in excess ice cases remains higher compared to control cases for both in summer and winter. The above responses in permafrost temperature results from the increases of specific heat and thermal conductivity of the soil layer after incorporating excess ice. As the climate warms and permafrost thaws, the meltwater discharges in the form of runoff, eventually bringing soil temperatures in excess ice cases closer to that in the control case. For soil moisture, the volumetric water content in July is higher in excess ice cases than control and is just above the permafrost table (Figure S3). As the active layer substantially deepens in the projected period for both sites, soil water in excess ice cases increases abruptly around 2180 for NSA while around 2150 for Yakutsk, indicating the degradation of permafrost in control cases. When permafrost degrades in the control case, the excess soil water in control cases starts of runoff in the form of subsurface drainage, flushing out soil water and making the soil drier. Meanwhile, this has not occurred in excess ice cases yet, making the soil wetter in excess ice cases than in control cases. These responses in permafrost temperature and moisture after permafrost degradation are consistent with the results in Lee et al. (2014), suggesting that the excess ice physics developed in CLM4.5\_EXICE performs reasonably in a sub-grid manner in CLM5. Among the three excess ice cases, the “LL” case shows the strongest responses in both soil temperature and soil water content. On the other hand, the effects of excess ice in soil temperature and moisture are weaker in the “ML” and “HL” cases, where the same amount of excess ice is distributed more localized within a fraction of the grid.

Both sites exhibit active layer depth of around 0.5 m by the end of the spinup and active layer thickness does not increase substantially during the historical period (Black lines in Figure S2 and S3). For this reason, excess ice is incorporated one meter below the surface. No excess ice, therefore, melts during either the spin-up or the historical period simulations. Excess ice starts to melt around the 2070s in NSA\_LL, while the timing is delayed for about 25 years in the other two cases for the same site (NSA\_ML and NSA\_HL; Figure S4). It is because the higher content of excess ice covering a smaller area takes longer to absorb enough latent heat of fusion from the

atmosphere before it can start melting. Excess ice in NSA completely melts away in the 2170s and the exact timing of which varies slightly ( $< 5$  years) between cases. In Yakutsk, excess ice starts to melt earlier, but with a slower rate compared to NSA. Similar to the NSA cases, Yakutsk\_ML and Yakutsk\_HL exhibit delays in the timing of excess ice melt compared to Yakutsk\_LL. Excess ice in Yakutsk\_LL completely melts in the 2170s, while the timings of excess ice melting in Yakutsk\_ML and Yakutsk\_HL is delayed for about 10 to 15 years, respectively (Figure S4).

Excess ice melting supplies extra water to subsurface water storage, increasing soil water and eventually converting to runoff. The increases in surface runoff correspond well in timing with excess ice melt (Figure S4). Earlier permafrost thaw timing in control cases causes an earlier increase in subsurface runoff and a decrease in the surface runoff than in excess ice cases. On the other hand, when the active layer depth reaches below the deepest soil layer in excess ice cases, more soil water from melt ice leads to the higher subsurface runoff compared to that in control cases. Among the three excess ice cases, the “LL” cases consistently exhibit the strongest and earliest responses in both surface and subsurface runoff as excess ice melts, being consistent with their earlier



**Figure S4: Time series of excess ice ( $\text{kg/m}^2$ ), as well as the difference of surface runoff ( $\text{mm/month}$ ) and subsurface drainage ( $\text{mm/month}$ ) from the three excess ice cases to control cases. A 15-year moving average is applied before plotting both the surface and underground runoff.**

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