

1 Year-round Impact of Winter Sea Ice Thickness

2 Observations on Seasonal Forecasts

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10 **Abstract**

11 Nowadays many seasonal forecasting centres provide dynamical predic-
12 tions of sea ice. While initializing sea ice by assimilating sea ice concentra-
13 tion (SIC) is common, constraining initial conditions of sea ice thickness
14 (SIT) is only in its early stages. Here, we make use of the availability
15 of Arctic-wide winter SIT observations covering 2011-2016 to constrain
16 SIT in the ECMWF (European Centre for Medium-Range Weather Fore-
17 casts) ocean-sea-ice analysis system with the aim of improving the initial
18 conditions of the coupled forecasts. The impact of the improved initial-
19 ization on the predictive skill of pan-Arctic sea ice for lead times of up
20 to 7 months is investigated in a low-resolution analogue of the currently
21 operational ECMWF seasonal forecasting system SEAS5.

22 By using winter SIT information merged from CS2 and SMOS (CS2SMOS:
23 CryoSat2 Soil Moisture and Ocean Salinity), substantial changes of sea ice
24 volume and thickness are found in the ocean-sea-ice analysis, including
25 damping of the overly strong seasonal cycle of sea ice volume. Compared
26 with the reference experiment, which does not use SIT information, fore-
27 casts initialized using SIT data show a reduction of the excess sea ice bias
28 and an overall reduction of seasonal sea ice area forecast errors of up to
29 5% at lead months 2 to 5. Change in biases is the main forecast impact.
30 Using the Integrated Ice Edge Error (IIEE) metric, we find significant
31 improvement of up to 28% in the September sea ice edge forecast started
32 from April. However, sea ice forecasts for September started in spring
33 still exhibit a positive sea ice bias, which points to too slow melting in the
34 forecast model. A slight degradation in skill is found in the early freezing

35 season sea ice forecasts initialized in July and August, which is related to
36 degraded initial conditions during these months. Both the ocean reanaly-
37 ses, with and without SIT constraint, show strong melting in the middle of
38 the melt season compared to the forecasts. This excessive melting related
39 to positive net surface radiation biases in the atmospheric flux forcing of
40 the ocean reanalyses remains and consequently degrades analysed summer
41 SIC. The impact of thickness initialization is also visible in the sea surface
42 and near-surface temperature forecasts. While positive forecast impact is
43 seen in near-surface temperature forecasts of early freezing season (Sep-
44 Oct-Nov) initialized in May (when the sea ice initial conditions have been
45 observationally constrained in the preceding winter months), negative im-
46 pact is seen for the same season when initialised in August month when
47 the sea ice initial conditions are degraded. We conclude that the strong
48 thinning by CS2SMOS initialization mitigates or enhances seasonally de-
49 pendent forecast model errors in sea ice and near-surface temperatures in
50 all seasons.

51 The results indicate that the memory of SIT in the spring initial con-
52 ditions lasts into autumn, influencing forecasts of the peak summer melt
53 and early freezing seasons. Our results demonstrate the usefulness of new
54 sea ice observational products in both data assimilation and forecasting
55 systems, and strongly suggest that better initialization of SIT is crucial
56 for improving seasonal sea ice forecasts.

57 1 Introduction

58 Sea ice is an integral part of the Earth system as it regulates the heat, moisture
59 and momentum flux exchange between the polar oceans and the atmosphere.
60 Decline in Arctic sea ice is a visible indicator of the changing climate. Fore-
61 casting Arctic sea ice has advanced significantly in the last decade, with most
62 forecasting centres using prognostic sea ice models operationally, allowing us to
63 explore the sea ice forecast skill on long lead times from weeks to months to
64 seasons. Possibilities of economically viable shorter shipping routes across the
65 Arctic in the summer are constantly being explored. Monthly and seasonal out-
66 looks of sea ice products are therefore in great demand especially by the Arctic
67 communities, maritime and resource extraction industries.

68 Moreover, there is increasing scientific evidence that warming and sea ice loss
69 in the Arctic due to climate change affect the European weather and climate
70 (Balmaseda et al. (2010), Mori et al. (2014), Overland et al. (2016), Ruggieri
71 et al. (2016)). Unlike sea ice concentration and extent, long records of satellite
72 observations of sea ice thickness are sorely lacking (Laxon et al. (2003), Kwok
73 and Rothrock (2009), Haas et al. (2010), Meier et al. (2014), Sallila et al. (2019),
74 Scarlat et al. (2020)).

75 Since reliable estimates of long-term, basin-wide sea ice extent and vol-
76 ume are needed for understanding climate change and for initializing numer-
77 ical weather forecasts, there is growing interest in using improved and new
78 types of sea ice observations in data assimilation systems (Lindsay et al. (2008),
79 Blanchard-Wrigglesworth et al. (2011), Tietsche et al. (2013), Sigmond et al.

80 (2013), Balmaseda et al. (2015)). Earlier studies propose that long-term mem-
81 ory in the winter sea ice thickness can potentially improve summer sea ice extent
82 forecasts (Guemas et al. (2016), Tietsche et al. (2014), Day et al. (2014)). They
83 concluded that potential predictability mainly originates from the persistence or
84 advection of sea ice thickness anomalies, interaction with ocean and atmosphere
85 and changes in the radiative forcing.

86 While assimilation of sea ice concentration (SIC) is routinely done in oper-
87 ational sea ice forecasting, assimilation of sea ice thickness (SIT) is at its early
88 stages (Allard et al. (2018), Xie et al. (2018), Mu et al. (2018), Fritzner et al.
89 (2019)). These studies have found that SIT initialization improves sea ice fore-
90 casts in forced ocean–sea-ice forecasting systems which were run for short time
91 periods spanning from 3 months up to 3 years. Blockley and Peterson (2018)
92 reported for the first time the positive impact of winter SIT initialization on
93 the skill of seasonal forecasts for summer sea ice forecasts using a fully-coupled
94 atmosphere–ocean–sea-ice model. All of these studies used either European
95 Space Agency’s Cryosat-2 (CS2) radar altimeter freeboard SIT measurements
96 alone (Laxon et al. (2013), Hendricks et al. (2016)) or merged with SMOS ra-
97 diometric measurements (Kaleschke et al. (2012), Tian-Kunze et al. (2014)) in a
98 dataset called CS2SMOS (Ricker et al. (2017)).

99 Currently SIC is the only sea ice variable assimilated in the ECMWF ocean-
100 sea-ice data assimilation system. Although the ECMWF sea ice reanalysis and
101 reforecasts compare well with other systems (Chevallier et al. (2017), Uotila
102 et al. (2019), Zampieri et al. (2018), Zampieri et al. (2019)), they are affected

103 by noticeable errors (Tietsche et al. (2018)). There are large biases in sea ice
104 forecasts from months to seasons, pointing to uncertainties in both the models
105 and observations used in the assimilation and forecasting systems. Here we
106 explore the pathway to improve the initialization using observations of sea ice
107 thickness which covers both the thick and thin ice regions of the Arctic. We then
108 assess the impact of the changed sea ice initial condition on the forecast skill
109 on long lead times of months to seasons. Compared to Blockley and Peterson
110 (2018), who looked only at summer forecast skills, our study for the first time
111 assesses the forecast impact of SIT initialization on all seasons using a fully-
112 coupled seasonal forecasting system. We use the ECMWF coupled ensemble
113 seasonal forecasting system SEAS5 and CS2SMOS thickness observations.

114 Our study takes a forecasting system end-to-end perspective, from obser-
115 vations, modelling to forecast products. The rest of the article is organised
116 as follows. Section 2 describes the methodology of sea ice thickness initializa-
117 tion and forecasting, including a brief description of ocean–sea-ice models, the
118 assimilation system, the atmosphere–ocean–sea-ice coupled forecasting system,
119 observations used and the experimental set-up. Section 3 presents the main
120 results and has three main foci: i) assessing the impact of new SIT observations
121 on the analysed sea ice state and the impact of the changed sea ice initialization
122 on seasonal range sea-ice forecasts (sections 3.1 and 3.2), ii) improving Arctic
123 sea-ice forecast skill by understanding the errors in the coupled forecast model
124 and the data assimilation system through targeted diagnostics (sections 3.3),
125 and iii) quantifying the impact of sea-ice improvements on seasonal forecasts of

126 atmospheric variables (section 3.4). Finally, Section 4 provides the summary of
127 the findings with concluding remarks.

128 **2 Observations and Methods**

129 The procedure followed here to assess the impact of SIT information follows a
130 twin experiment approach. Each of the experiments consists of two distinctive
131 steps: 1) the production of a set of ocean and sea ice initial conditions by con-
132 ducting twin ocean–sea-ice assimilation experiments (ocean–sea-ice reanalyses;
133 abbreviated as ORA), which only differ in the use of SIT information ; and
134 2) the production of a set of twin retrospective seasonal forecast (reforecast)
135 experiments, initialized from the respective ORA. The ORA twin reanalyses
136 are a low resolution variant of the currently operational ORAS5 (Zuo et al.
137 (2019)). The seasonal forecast experiments are also low resolution versions of
138 the operational ECMWF seasonal forecasting system SEAS5 (Stockdale et al.
139 (2018), Johnson et al. (2018)). The impact of SIT in the ocean initial conditions
140 and seasonal forecast is then evaluated, using verification against observational
141 datasets and other more specific diagnostics. The verification will also use fields
142 from ORAS5 and ERA-5 (ECMWF atmospheric Re-Analysis-5); Hersbach et al.
143 2019) reanalyses. Although the datasets used for verification are not strictly in-
144 dependent, evaluation using those datasets is relevant as it allows cross-checking
145 between variables, for instance between SIC and SIT assimilation. SIT verifica-
146 tion using CS2SMOS dataset is also conducted as a sanity check of the nudging

147 approach: if the approach works, the difference with respect to CS2SMOS should
148 be smaller in ORA-SIT than in ORA-REF. In this section we first describe the
149 sea ice information used for both initialization and verification, and then offer
150 a brief description of the experimental set-up.

151 In addition to the sea ice data sets described below, the initialization step
152 uses ocean observations: sea surface temperature, sea-level anomalies from al-
153 timeter and in-situ temperature and salinity, which are the same as those used
154 in ORAS5, as described in Zuo et al. (2019).

155 **2.1 Sea Ice Observational Information**

156 **2.1.1 Sea Ice Concentration Product: OSI-401-b**

157 The two ocean–sea-ice reanalysis experiments presented here assimilate the sea
158 ice concentration product of the EUMETSAT Ocean and Sea Ice Satellite Appli-
159 cation Facility (OSI SAF, www.osi-saf.org; product identifier OSI-401-b (Tonboe
160 et al. (2017))). The Level-3 OSI SAF SIC product (OSI-401-b) is produced as
161 daily-mean fields with only a few hours latency. In contrast to the operational
162 ORAS5 system, which uses Level-4 SIC data, experiments presented in this
163 study use Level-3 SIC data. The main difference is that Level-4 products rely
164 on gap-filling, whereas Level-3 products have missing data, for instance if the
165 satellite has a temporary malfunction, or if certain areas like the North Pole
166 are not observed. The OSI-401-b SIC observational estimate is based on SSMIS
167 (Special Sensor Microwave Imager / Sounder) measurements. SIC is provided
168 as the percentage of an ocean grid point covered by sea ice. The product comes

169 in a polar stereographic grid of 10km horizontal resolution with varying pole
170 hole size.

171 The impact of Level-3 SIC observations in the initialization is reported to
172 have neutral forecast impact on seasonal sea ice forecasts and positive impact
173 on sub-seasonal range (Balan-Sarajini et al. (2019)). The OSISAF OSI-401-b
174 SIC data set is also used for verification of SIC and sea ice edge.

175 **2.1.2 Sea Ice Thickness Product: CS2SMOS**

176 A recent initiative led by the Alfred Wegener Institute provides a merged prod-
177 uct of Arctic-wide winter ice thickness that combines thick-ice retrievals by
178 CryoSat2 (CS2) satellite and thin-ice retrievals by the Soil Moisture and Ocean
179 Salinity (SMOS) satellite. This merged sea ice thickness observational product,
180 CS2SMOS (<https://spaces.awi.de/display/CS2SMOS>, Ricker et al. (2017)), is
181 the first ever multi-sensor ice thickness product for the Arctic. CS2 (Hendricks
182 et al. (2016)) measures freeboard (the height of the ice or snow surface above
183 the water level) using altimetry, whereas SMOS (Tian-Kunze et al. (2014))
184 measures brightness temperatures in the L-band microwave frequencies. Both
185 measurements are converted to ice thickness in metres. Due to their different
186 measurement principles, SMOS retrievals should be reliable for ice thinner than
187 about 1 m and CS2 retrievals for ice thicker than 1 m. The merged product
188 can hence represent the entire thickness range covering the whole Arctic with
189 reasonable accuracy (Ricker et al. (2017)). CS2 and SMOS are merged using an
190 optimal interpolation scheme to produce the CS2SMOS product, which is avail-

191 able on a weekly basis on an Equal-Area Scalable Earth Grid version 2 (EASE2)
192 grid with 25 km horizontal resolution covering all regions in the Northern Hemi-
193 sphere where sea ice can be expected. Both the CS2 and SMOS retrievals are
194 not possible in the melt season due to signal contamination owing to the pres-
195 ence of melt ponds, and wet and warm snow and ice surfaces, therefore it is only
196 available for 5 full months from November to March of the ice growth season
197 every year.

198 In a merged product like CS2SMOS it is difficult to appropriately represent
199 observational uncertainties. For instance, sensor-specific errors could affect re-
200 gional sea ice thickness: over multi-year thick ice in the Canadian Basin, errors
201 associated with Cryosat-2 retrievals dominate, whereas in the Bering or Okhotsk
202 Sea with mostly seasonal thin ice, errors associated with SMOS retrievals dom-
203 inate. As reported in Ricker et al. (2017), the relative error is maximum in the
204 thickness range of 0.5-1.0 m in the merged product, where relative uncertainty
205 is high for both CS2 and SMOS.

206 The CS2SMOS SIT information without observational uncertainties has
207 been assimilated in one of the twin ORA experiments, during the November-
208 March period. It has also been used for verification of initialization in those
209 months. We emphasize that this dataset does not provide SIT information
210 during the period April–October. Nevertheless, there is still substantial im-
211 pact in the April–October period from constraining sea ice thickness during the
212 November–March period, as we will see in Section 3 – a truly year-round impact.

213 2.2 Methods

214 2.2.1 Ocean–Sea-Ice Reanalysis Experiments

215 In order to assess the impact of new sea ice thickness observations on the assim-
216 ilation, we carry out two ORAs as shown in Table 1. They are 1) a reference
217 experiment with SIC assimilation (ORA-REF), and 2) an experiment with SIC
218 assimilation and sea ice thickness constraint (ORA-SIT). Experiments ORA-
219 REF and ORA-SIT are run for the time period January 2011 to December
220 2016, because these are the full years for which CS2SMOS observations were
221 available at the time of experimentation. Note that ORA-REF is a continu-
222 ation of a longer experiment which started in 2005 and ORA-SIT starts from
223 ORA-REF on the 1st of January, 2011.

Experiment	SIC	SIT	Time	Description
name	constraint	constraint	period	
ORA-REF	Yes	No	2011-2016	SIC assimilation
ORA-SIT	Yes	Yes	2011-2016	SIC assimilation and SIT nudging

Table 1: Specifications of the ocean–sea-ice assimilation experiments.

224 Our reanalysis experiments are forced by near-surface air temperature, hu-
225 midity and winds as well as surface radiative fluxes from the atmospheric reanal-
226 ysis ERA-Interim (ERA-I) (Dee et al. (2011)) until 2015 and from the ECMWF
227 operational analysis from 2015 to 2016. We use the same set-up of NEMOVAR

228 (Variational data assimilation system for NEMO (Nucleus for European Mod-
229 elling of the Ocean) ocean model) used in ORAS5 (Zuo et al. (2019)) – in
230 particular, almost the same observations are assimilated. The only differences
231 are the following: a) a coarser model resolution as described below, b) different
232 assimilated SIC observations compared to the current operational one and, c) a
233 longer assimilation window of 10 days instead of 5 days.

234 The ocean general circulation model used in these experiments is NEMO
235 version 3.4 (Madec (2008)) with a horizontal resolution of approximately 1° and
236 42 vertical layers. The grid is tripolar, with the poles over Northern Canada,
237 Central Asia and Antarctica enabling higher resolution across the Arctic than at
238 the equator. The first model layer is 10 m thick, and the upper 25 levels represent
239 approximately the top 880 m. Both the horizontal and vertical resolution in our
240 setup is lower than that of the current operational system, which has a horizontal
241 resolution of approximately 0.25° and 75 vertical levels. The time step is one
242 hour.

243 The prognostic thermodynamic-dynamic sea ice model used is LIM2 (Louvain-
244 la-Neuve Sea Ice Model) in its original version (Fichefet and Maqueda (1997)).
245 The vertical growth and decay of ice due to thermodynamic processes is mod-
246 elled according to the three-layer (one layer for snow and two layers for ice)
247 Semtner scheme (Semtner (1976)). The ice velocity is calculated from a momen-
248 tum balance considering sea ice as a two-dimensional continuum in dynamical
249 interaction with the atmosphere and ocean. Internal stress within the ice for
250 different states of deformation is computed following the viscous-plastic (VP)

251 rheology proposed by Hibler III (1979). LIM2 has a single sea ice category to
252 represent sub-grid scale ice thickness distribution, and open water areas like
253 leads and polynyas are represented using ice concentration. Melt ponds are not
254 modelled which could affect the accurate representation of surface albedo over
255 sea-ice. However, we note that only the ocean reanalysis ORAS5 actually makes
256 use of the albedo computed by LIM2 (which is too high in summer), while the
257 atmospheric reanalyses used for verification and the forecasting system use the
258 same climatological albedo (based on SHEBA campaign observations; Beesley
259 et al. (2000)). Moreover, a recent comparison study (Pohl et al. (2020)) shows
260 that, overall, the broadband albedo over Arctic sea-ice derived from MERIS ob-
261 servations is comparable to that in the ERA5 atmospheric reanalysis in terms
262 of the seasonal cycle on large spatial scales. The forecast albedo over ice is
263 comparable to that in ERA-5 and ERA-Interim atmospheric reanalyses. LIM2
264 has a time step of 1 hour and is coupled to the ocean at every time step.

265 As for ORAS5, both experiments here use the variational data assimila-
266 tion using NEMOVAR in a 3D-Var FGAT (First Guess at Appropriate Time)
267 configuration as described in Mogensen et al. (2012). The length of the assim-
268 lation window is 10 days in our experiments. Assimilated observations comprise
269 temperature and salinity profiles, altimeter-derived sea level anomalies and sea
270 ice concentration. Sea-surface temperature is constrained to observations by
271 a strong relaxation. A global freshwater correction is added to reproduce the
272 observed global-mean sea-level change. The assimilation of the SIC is done sep-
273 arately from the ocean variables, and is described in Tietsche et al. (2015) and

274 Zuo et al. (2017).

275 In addition to the observations assimilated via NEMOVAR, the SIT in exper-
276 iment ORA-SIT is constrained to the CS2SMOS via a linear nudging technique
277 (Tietsche et al. (2013), Tang et al. (2013)). The relationship between the mod-
278 elled and observed sea ice thickness in a grid point is described by the following
279 equation:

$$SIT^n = SIT^m - \left[\frac{\Delta t}{\tau} (SIT^m - SIT^o) \right] \quad (1)$$

280 where SIT^n is the nudged thickness, SIT^m is the modelled floe thickness,
281 SIT^o is the observed floe thickness, Δt is the sea ice model time step of 1
282 hour, and τ is the nudging coefficient corresponding to a relaxation time scale
283 of 10 days. The choice of a 10-day relaxation time scale makes sense as a
284 first trial, since it is consistent with the length of the assimilation window.
285 To facilitate the nudging, the CS2SMOS weekly observations in EASE2 grid
286 have been interpolated to daily gridded fields in ORCA 1° grid. The weekly
287 to daily interpolation is done by appropriately weighting two adjacent weekly-
288 mean fields. We have also tested the sensitivity to different nudging strengths
289 by running variants of ORA-SIT with a relaxation time scale of 20, 30 and 60
290 days. By construction, as the relaxation time scale increases from 10 days to
291 60 days, SIT is less constrained to CS2SMOS. In this study, we only use the
292 experiment with the strongest constraint (10-day relaxation time) for initializing
293 the ensemble reforecasts, because this time scale fits with the length of the
294 assimilation window, and we aimed for a strong observational constraint in
295 order to obtain a strong forecast impact.

296 **2.2.2 Coupled Reforecast Experiments**

297 In order to assess the impact of CS2SMOS sea ice thickness initialization on
 298 sea ice forecasts, we performed 2 sets of twin coupled ocean–sea-ice-atmosphere
 299 reforecast experiments as shown in Table 2, which only differ in the ocean–
 300 sea-ice initial conditions, provided by the data assimilation experiments shown
 301 in Table 1. The reference reforecast (FC-REF) is initialized by ORA-REF,
 302 and reforecast experiment FC-SIT is initialized by ORA-SIT. Comparison of
 303 results from these two sets of reforecasts allows quantifying the impact of SIT
 304 information on the seasonal forecasts.

Experiment name	Start years	Lead mon	Ens. size	Initial condition	Description
FC-REF	2011–2016	7	25	ORA-REF	SIC initialization
FC-SIT	2011–2016	7	25	ORA-SIT	SIC and SIT initialization

Table 2: Overview of the reforecast experiments. For each of the start years, forecasts are started on the 1st of every calendar month.

305 The reforecast experiments are carried out using a version of the ECMWF
 306 coupled seasonal forecasting system. The coupled model consists of the same
 307 ocean and sea ice model (NEMO3.4/LIM2) used for our reanalysis experiments,
 308 and is coupled to the ECMWF atmospheric model, Integrated Forecast System
 309 (IFS) version 43r3. It is run with a horizontal resolution of 36 km, correspond-

310 ing to a cubic octahedral reduced Gaussian grid at truncation TCo319 and 91
311 vertical levels (SEAS5 is run with IFS cycle 43r1 at the same atmospheric reso-
312 lution, but with 0.25° horizontal resolution and 75 vertical levels in the ocean).
313 The coupled model also includes the land surface model HTESSEL (Hydrology
314 Tiled ECMWF Scheme for Surface Exchanges over Land) and the ocean surface
315 wave model WAM. The coupling of the atmosphere and ocean is done using a
316 Gaussian interpolation method, and the coupling frequency is 1 hour. For more
317 details on SEAS5 see (Stockdale et al. (2018), Johnson et al. (2018)).

318 Both reforecasts are started from the 1st of each month of each year 2011–
319 2016, resulting in 72 forecast start dates overall. Note that out of all months
320 of each year in the 2011-2016 period only winter (December-April) months are
321 directly constrained by November-March observations as the CS2SMOS data is
322 only available for those 5 full months. The initial conditions for the remaining
323 7 start months (May-November) of each year are indirectly affected by the
324 thickness constraint applied earlier in the ice growth season in the reanalysis.
325 The forecast initialized from each start date has 25 ensemble members for both
326 sets of reforecasts.

327 **3 Results**

328 Here we first assess the impact of sea ice thickness observations on the estimation
329 of sea ice properties in the ORA initial conditions, and then we evaluate the
330 impact on the skill of seasonal forecast of sea ice area, sea ice edge, sea ice

331 volume and 2m temperature. When possible, we use the observational datasets
332 for verification. However, as mentioned above, sea ice thickness and volume
333 (SIV) can not be verified properly for the months April-October, due to the lack
334 of sea ice thickness observations. In those cases, we will describe the impact in
335 terms of differences between experiments. We use the term pan-Arctic to refer
336 to all regions of the Northern Hemisphere that are potentially covered by sea
337 ice.

338 **3.1 Impact of Sea Ice Thickness Initialization on the Sea** 339 **Ice Reanalysis**

340 Figure 1 shows the SIT bias with respect to the CS2SMOS observations for
341 ORA-REF (Figure 1a, c) and ORA-SIT (Figure 1b, d), for March (Figure 1a,
342 b) and November (Figure 1c, d). The ORA-REF suffers from large ice thickness
343 bias of up to 1.4 m. The predominant bias pattern is an underestimation of ice
344 thickness by more than 1 m in the central Arctic, and an overestimation in
345 the Beaufort Gyre and the Canadian Archipelago of the order of 1 m. This
346 pattern is present for all the months when CS2SMOS is available. In March,
347 widespread overestimation in the coastal Arctic seas is also present. These
348 biases are much reduced or absent in ORA-SIT. Most of the large-scale pattern
349 of underestimation and overestimation of sea ice in ORA-REF is not present
350 in ORA-SIT in March. However, slight underestimation over the central Arctic
351 and overestimation over the Canadian Archipelago still remain in November.
352 This is caused by the lack of SIT observations during the months preceding

353 November. In contrast, the estimation of the March conditions benefit from the
354 availability of SIT information in the preceeding winter. We note that the bias
355 in ORA-SIT over the Laptev, East Siberian and Chukchi Seas is very small,
356 about 0.1 to 0.05 m of magnitude (below the contour interval).

357 Figure 2 shows the difference in SIT between ORA-SIT and ORA-REF for
358 March, July, September and November. The difference patterns between ORA-
359 SIT and ORA-REF are quite consistent for all the months, characterized by a
360 thickening of the thick ice over the Central Arctic and North of Greenland, and a
361 thinning of the thin ice area over the Beaufort and Siberian Seas, thus enhancing
362 the spatial gradients in the sea ice thickness distribution. The largest impact
363 occurs in March, probably because at this month the SIT observations have
364 been assimilated during the preceeding 5 months. The impact of SIT winter
365 information lasts well into the summer months, with a slight clockwise displace-
366 ment of the thinning, and a reduction of the thickening, which by September has
367 roughly halved. The shift in the thinning pattern is consistent with the mean
368 climatological transpolar Arctic drift pattern and is thus likely a consequence
369 of the mean advection. The impact during March and November is consistent
370 with a reduction of the bias in ORA-REF (Figure 1a and c). Since basin-scale
371 SIT observations are not available for the end of the melt season, biases are
372 unknown.

373 The thickness constraint also affects the biases in SIC. Figure 3 shows the
374 SIC bias w.r.t. OSI-401-b SIC as well as the SIC difference between ORA-REF
375 and ORA-SIT. In March, the month of sea ice maximum, ORA-REF shows

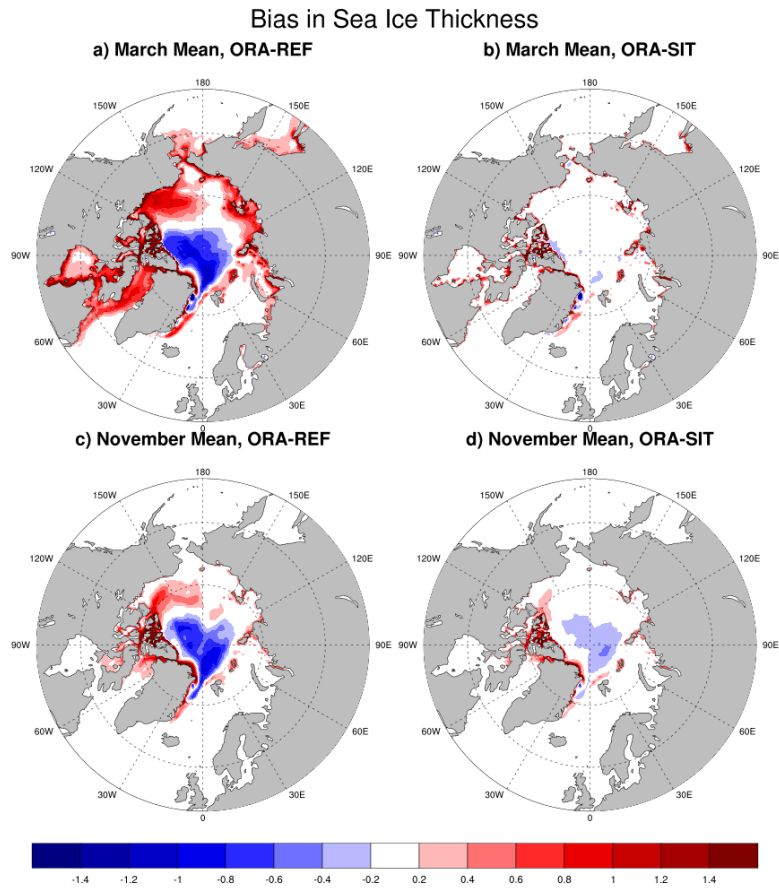


Figure 1: Bias in monthly-mean (2011-2016) sea ice thickness (m) in experiment a) ORA-REF and b) ORA-SIT, for March (a, b) and November (c, d). The reference is CS2SMOS observations. ORA-REF is the ocean–sea-ice assimilation experiment with no sea ice thickness constraint. ORA-SIT is the assimilation experiment with a thickness relaxation time scale of 10 days.

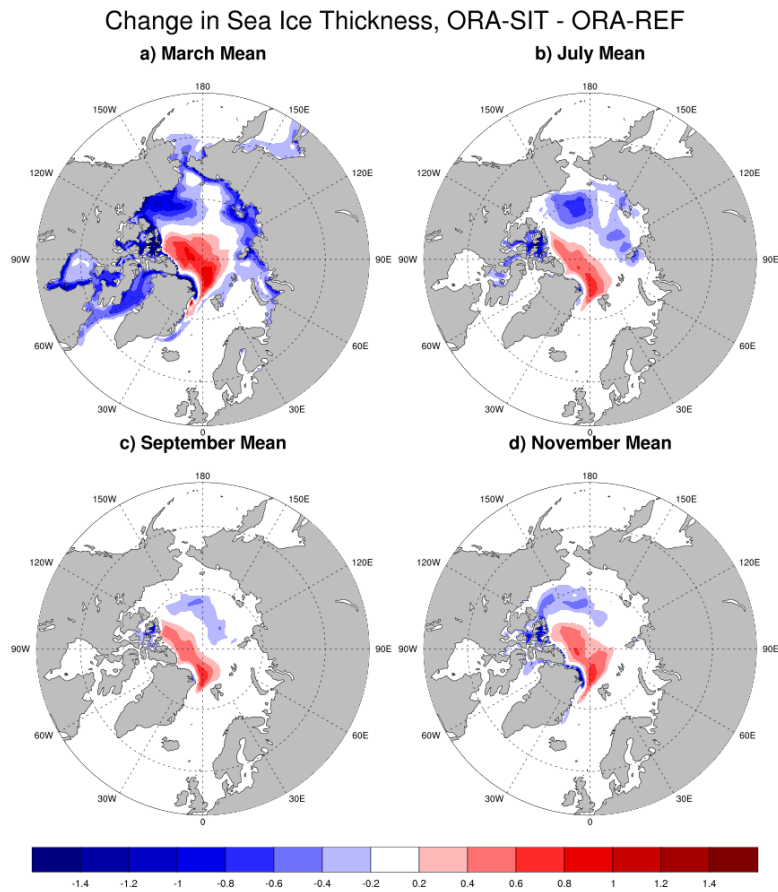


Figure 2: Difference in monthly-mean (2011-2016) sea ice thickness (m) between experiments ORA-SIT and ORA-REF for a) March and b) July and for c) September and d) November months.

Bias and Change in Sea Ice Concentration

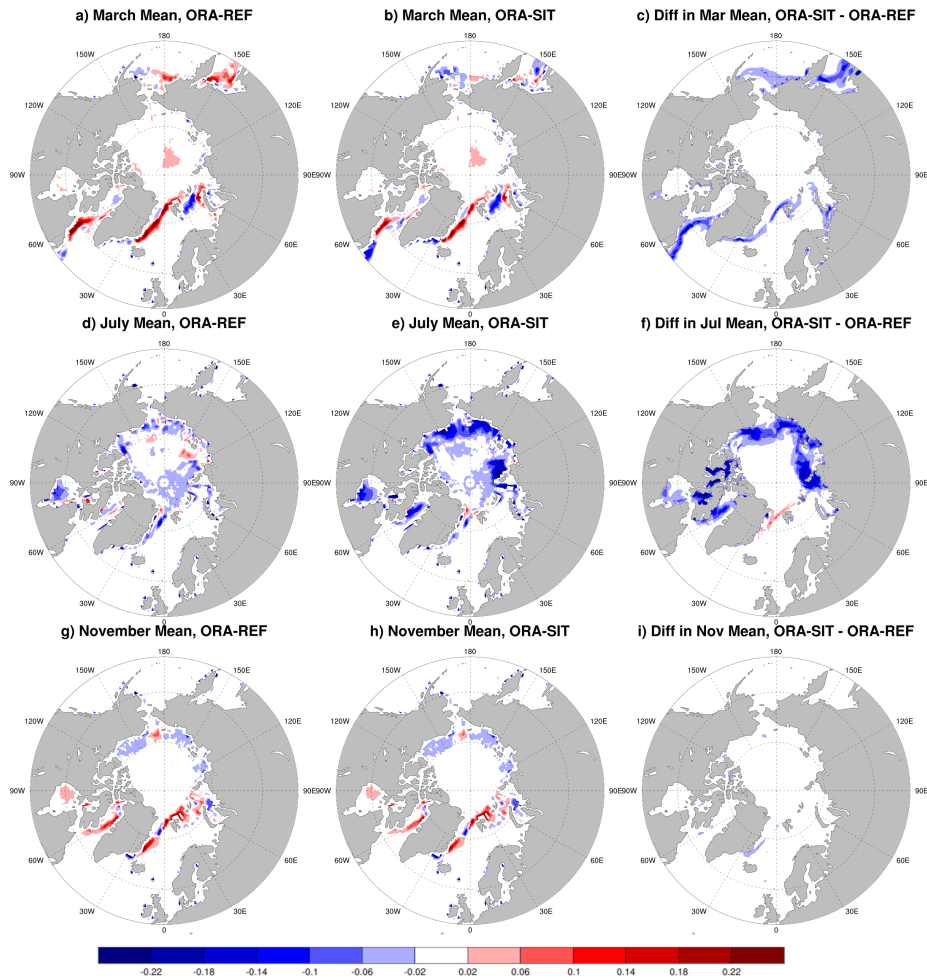


Figure 3: Bias in monthly-mean (2011-2016) sea ice concentration w.r.t. OSI-401-b observations for ORA-REF (a, d, g), ORA-SIT (b, e, h), and the difference between ORA-SIT and ORA-REF for (c, f, i). Panels (a, b, c) are for March, (d, e, f) for July, and (g, h, i) for November.

376 mostly an overestimation of SIC all around the sea ice edge, over the Davis
377 Strait, northeast of Greenland, Bering Sea and Okhotsk Sea. In ORA-SIT
378 this bias is uniformly reduced by up to 10% . In November (Figure 3g, h
379 and i), when the sea ice edge is expanding with newly frozen ice, ORA-REF
380 has similar SIC overestimation biases over the ice edge, but this time the SIT
381 constraint has very little impact on SIC biases. This is because of no SIT
382 nudging happening in the preceding months. Also, the very small changes in
383 SIC bias between ORA-REF and ORA-SIT over Chukchi and East Siberian Sea
384 regions of negligible ice thickness bias in ORA-SIT (Figure 1d) is suggestive of
385 fast growth processes in the forward model which is faster than the timescales
386 intrinsic to the SIC assimilation. The ORA-REF biases in July are characterized
387 by a weak underestimation of SIC. Notably, in ORA-SIT there is an increase
388 of the negative SIC bias of more than 10% over the Pacific and Siberian Arctic
389 sectors towards the end of melt season, with July and August (not shown)
390 months being the most affected.

391 To gain some insight into the degradation of the July SIC bias in ORA-
392 SIT we look at the mean annual cycle of the SIC assimilation increments. The
393 assimilation increments are indicative of the corrections that the assimilation of
394 SIC observations exerts to compensate for errors in the sea ice state. Figure 4
395 shows the mean annual cycle of the area-averaged assimilation increments in
396 ORA-REF (blue) and ORA-SIT (green). In both experiments, the assimilation
397 increments exhibit a clear seasonal cycle, with large positive increments from
398 May to October, indicative of strong underestimation of SIC in the forward

Annual Cycle of the Mean of Sea Ice Concentration Increments

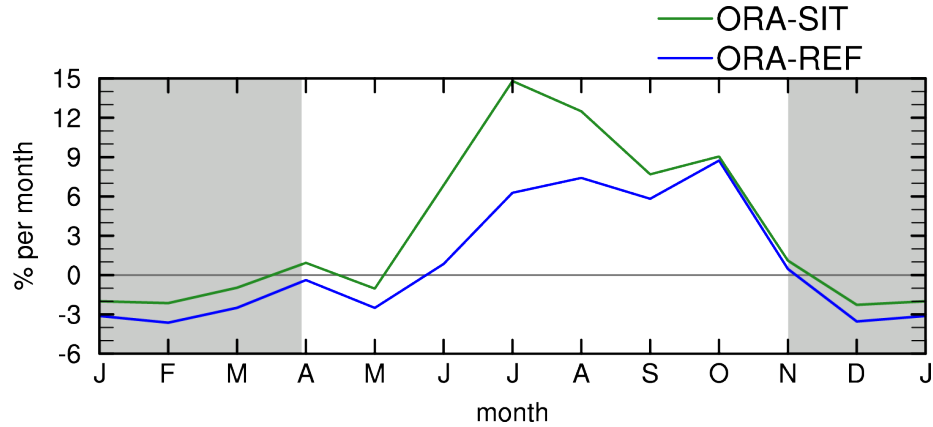


Figure 4: Annual cycle of the mean of the SIC increments in ORA-SIT (green), and ORA-REF (blue), averaged over north of $70^{\circ}N$ during 2011-2016. The grey shading shows months (January to March, and November to December) with CS2SMOS SIT nudging.

399 model, and weak negative increments from December to March. The differences
 400 in SIC increments over the Arctic between the two experiments peaks during
 401 July, with ORA-SIT increments about 9% per month higher than in ORA-REF.
 402 The results in this figure indicate that 1) both ORAs melt sea ice too fast during
 403 the summer months, as shown by negative SIC biases in the marginal seas of the
 404 Arctic Ocean where thin sea ice resides during the summer months (Figure 3d
 405 and e) ; and 2) the SIT assimilation exacerbates the summer SIC biases in
 406 ORA-SIT (as seen in eg: Figure 3e) due to corrected but thinner sea ice at
 407 the beginning of the melt season in almost all marginal seas of the Arctic Ocean
 408 (Figure 2a).

Bias in the Sea Ice Area Forecasts

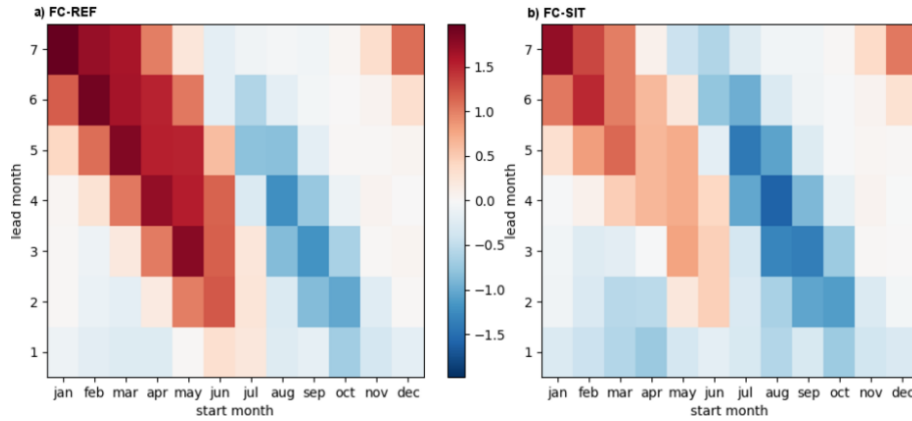


Figure 5: Bias in the forecast of pan-Arctic sea ice area ($\times 10^6 \text{km}^2$) w.r.t. ORAS5 as a function of start and lead month for 2011–2016, a) in the reference reforecast FC-REF and b) in the SIT-initialised reforecast FC-SIT. Red colour denotes over-prediction of sea ice area, and blue colour denotes under-prediction.

409 From January to May and from November to December, on an average less
 410 ice is being taken away by the increments in the ORA-SIT (green) analysis than
 411 that in ORA-REF (Figure 4). These results clearly show the long-lasting effect
 412 of the SIT information: the SIT constraint was only applied during the growth
 413 season from November to March (grey shading), but its impact, whether positive
 414 or negative, is evident in sea ice concentration throughout the melting season
 415 even in the presence of SIC assimilation.

416 **3.2 Impact of Ice Thickness Initialization on Sea Ice Fore-** 417 **casts**

418 Figure 5a gives an overview of bias in sea ice area in the FC-REF reforecast w.r.t.
419 ORAS5 reanalysis as a function of forecast start and lead months. ORAS5 is
420 preferred to OSISAF for the verification of integrated sea ice area because of its
421 complete spatial coverage. The figure shows the forecast bias for different fore-
422 cast lead times (y-axis) as a function of forecast starting month (x-axis). Errors
423 at lead month 1 are generally small throughout the year. However, for longer
424 lead times, there is a strong over-prediction of sea ice area in summer months,
425 and a moderate under-prediction of autumn sea ice conditions, consistent with
426 too slow melting and refreeze respectively. The forecast biases are generally
427 small in winter months.

428 These three bias regimes, in general – small bias in winter, positive bias in
429 summer and negative bias in autumn – seem to be mostly independent of start
430 months. These biases shown in FC-REF are quite similar to those in SEAS5
431 (not shown) which are discussed in more detail in Stockdale et al. (2018). The
432 positive biases in the melt season forecasts are considerably reduced with the
433 SIT initialisation in FC-SIT started in January to June and the negative biases
434 in the forecasts is worsened in FC-SIT started in July to October (Figure 5b).
435 The forecasts for winter months remain unbiased in FC-SIT. Note that the bias
436 regimes in the forecasts are very different from the bias regimes in the reanalysis
437 (Section 3.1), which tends to have too much ice in winter and too little ice in
438 summer.

439 Impact of thickness initialization has not only improved the biases in summer
440 SIC forecasts, but it has also improved the sea ice edge forecasts as measured by
441 the Integrated Ice Edge Error (IIEE) (Figure 6). Seasonal forecasts of ice edge
442 are in great demand for exploring economically viable Arctic shipping routes.
443 IIEE is one of the recent user-relevant sea ice metrics on ice extent or ice edge
444 (Goessling et al. (2016), Bunzel et al. (2017)). Since it can be decomposed into
445 over- and under-prediction, it is more useful than the traditional basin-wide sea
446 ice extent error.

447 For simplicity, we assess ice edge forecasts by using the deterministic IIEE
448 metric calculated from the ice edge of the ensemble mean SIC forecasts. We have
449 also tested probabilistic metrics like the Spatial Probability Score suggested by
450 Goessling and Jung (2018) and found that they give very similar results.

451 IIEE for all lead months and start months verified against OSI-401-b sug-
452 gests reduced error in sea ice edge (blue colours) in FC-SIT overall. The most
453 striking feature is the significant improvement in summer forecast error for lead
454 months 2–7 in FC-SIT compared to FC-REF. The main contribution to the er-
455 ror reduction of up to 30% in summer forecasts comes from the reduction of the
456 model bias leading to consistent over-prediction (not shown). For the traditional
457 September sea ice extent forecast starting in April, an improvement of 28% is
458 found. Forecast verification in October and November from July and August
459 starts show a slight degradation, caused by under-prediction (not shown). This
460 could again be due to the indirect effect of a thinner starting point in FC-SIT
461 (Figure 2b) and a lower, degraded SIC in the ORA-SIT reanalysis (Figure 3e),

Difference in Integrated Ice Edge Error

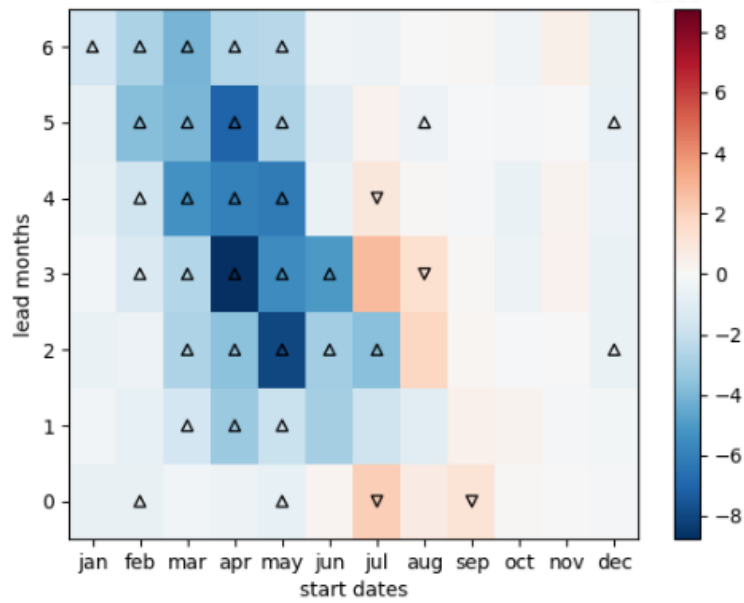


Figure 6: Difference in Integrated Ice Edge Error in 10^5 km^2 between FC-SIT and FC-REF reforecasts 2011–2016 w.r.t. OSI-401-b observations. Blue colour denotes reduced error in sea ice edge in FC-SIT and red colour denotes increased error in FC-SIT. Black triangles represent statistical significance at the 5% level according to the sign test (DelSole and Tippett, 2016)

Mean Absolute Error in SIC Forecasts

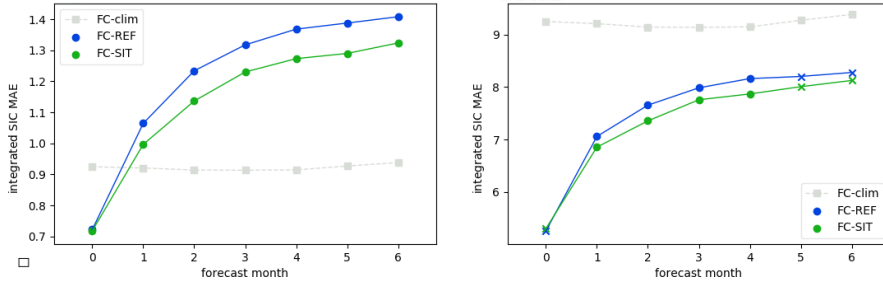


Figure 7: Spatially integrated SIC mean absolute error over lead month for all FC-REF and FC-SIT forecasts (72 forecasts each first of the month from January 2011 to December 2016) w.r.t OSI-401-b observations. Panel a) shows the error in 10^6 km^2 without bias correction, panel b) the error in 10^5 km^2 after bias correction. Lead months for which the reduction of forecast error in FC-SIT passes a statistical significance test at the 5% level ((DelSole and Tippett, 2016)) are marked by filled circles, insignificant changes are marked by crosses. The error of a simple climatological reference forecast is also shown as FC-clim.

462 combined with the already existing slow refreeze nature of the model.

463 Figures 5 and 6 point out that the impact of ice thickness initialization on
 464 the forecast bias and errors is strongly dependent on season and lead time. Most
 465 seasons and lead times are improved but some are, perhaps inevitably, deterio-
 466 rated. To measure the overall impact on forecast error and make a statement
 467 about potential skill improvements that are to be expected for operational fore-
 468 casts, we aggregate FC-SIT and FC-REF for all start months from January
 469 2011 to December 2016 and compute the area-integrated mean absolute fore-
 470 cast error (MAE) of sea ice concentration for each lead month. In order to

471 obtain the bias-corrected forecast value, for each combination of grid cell, start
472 date and forecast lead time, we compute the mean forecast error over all fore-
473 casts, and then subtract it from the “raw” forecast value. Comparison against
474 a climatological benchmark forecast is a very useful background information for
475 evaluating the predictive skill of ensemble forecasting systems (e.g. Zampieri
476 et al. (2018)). The climatological reference forecast for a given target month and
477 year is constructed by using the verification data valid for the same calendar
478 month but different years from the range of target months considered (January
479 2011 to June 2017).

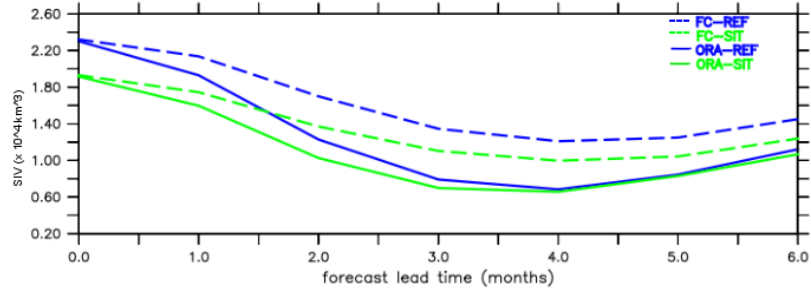
480 Averaged over all start dates and grid points, Figure 7 shows that the MAE
481 of sea ice area is substantially improved in FC-SIT. When no bias correction
482 is applied prior to computing the MAE (Figure 7a), FC-SIT forecasts are sig-
483 nificantly better in each lead month, with maximum error reduction of about
484 10%.

485 However, skill assessments of seasonal forecasts are conventionally made after
486 a forecast calibration where the impact of the forecast bias is removed. By this
487 measure, a reduction of forecast bias does not by itself count as an improvement.
488 As Figure 7b shows, removing the respective bias of FC-SIT and FC-REF prior
489 to computing the MAE results in a smaller error reduction: errors in FC-SIT are
490 significantly lower only in lead months 2–5, by up to 5%. Figure 7 demonstrates
491 that, although the thickness initialization predominantly reduces the bias, it also
492 leads to an improvement in the skill of sea ice area forecasts that is relevant for
493 operational forecasting systems.

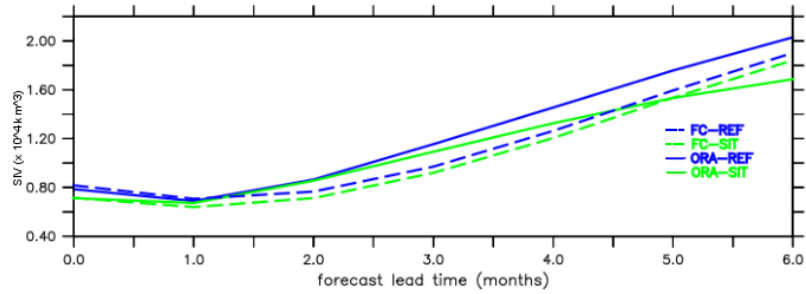
494 The importance of forecast biases is illustrated by benchmarking the errors of
495 the dynamical forecasting system against a simple statistical reference forecast:
496 Figure 7 also shows the errors of a climatological reference forecast (FC-clim).
497 Without bias correction, errors of both FC-REF and FC-SIT are larger than
498 those from FC-clim already after one month, while after bias correction, both
499 FC-REF and FC-SIT have lower errors than FC-clim for all lead months.

500 Finally, we analyse the impact of SIT initialization on forecasts of pan-Arctic
501 sea ice volume. Though an integrated quantity like pan-Arctic sea ice volume
502 is a result of many dynamic and thermodynamic sea-ice processes and lacks
503 regional details, it is a key indicator for understanding of the Arctic energy cycle,
504 an important process that needs to be realistically represented in reanalyses and
505 seasonal forecasts. It is useful to compare the contrasting SIV seasonal cycles in
506 coupled and uncoupled mode, and with/without SIT observational constraint in
507 the initialization, since this helps to identify the origin of errors in the systems
508 in the specific operational set up. Figure 8 shows the sea ice volume forecast
509 climate at different lead month for the forecasts starting in May (top) and
510 August (bottom). The forecast climate is computed by averaging the reforecast
511 starting at a given calendar month for the years 2011-2015. Seven months
512 forecasts started in August lead to February of the following year. Since the
513 ORAs are not available in January and February, 2017, the year 2016 is not
514 accounted for in this figure. For reference, the sea ice volume estimates of
515 ORA-REF and ORA-SIT reanalyses are also shown. It is remarkable that the
516 shape of the seasonal cycle is largely preserved between FC-REF and FC-SIT,

Time Evolution of Mean Sea Ice Volume Forecasts



a) Ensemble-mean Sea-Ice Volume Forecasts, May start months



b) Ensemble-mean Sea-Ice Volume Forecasts, August start months

Figure 8: Time series of ensemble-mean sea ice volume (units are 10^4 km^3) forecasts averaged over 2011–2015, for May start date (a) and August start date (b) in reference reforecast (FC-REF, dashed blue line) and reforecast with thickness initialization (FC-SIT, dashed green line) compared to their own re-analyses, ORA-REF (solid blue line), and ORA-SIT (solid green line).

517 maintaining the initial offset during the whole forecast range. The figure clearly
518 shows that FC-SIT starts from a thinner ice state than FC-REF in both initial
519 months.

520 The May starts show large differences between the forecasts and the ORAs:
521 Both FC-SIT and FC-REF show a slower SIV decrease (lower melt rate) than
522 the ORAs from June to September, and also a slower refreeze during October
523 and November. The explanation for the different behavior of the ORAs and the
524 forecasts is that the ORAs are constrained by the same SIC (but not the same
525 SIT) information in summer, which leads to the convergence of the sea ice state
526 in the ORAs during that time of the year (also seen in Figure 4). In the coupled
527 forecasts, there is no similar constraint and they tend to converge slower than
528 the ORAs. The melt rate of the ORAs here are consistent with those in ORAS5
529 (see Uotila et al. (2019) or Mayer et al. (2019)). Compared to the May starts,
530 differences between FC-SIT and FC-REF are smaller in the August starts, and
531 so is their agreement with the ORAs. Again, the FC-SIT shows smaller values
532 than FC-REF from the beginning, and both forecast sets exhibit a parallel SIV
533 evolution. The shape of the seasonal cycle in the forecasts is different from
534 the ORAs; the forecasts initialized in August show a slower refreeze during
535 October than the ORAs. However, after October, the SIV increases faster in
536 the forecasts than in ORA-SIT, and it continues increasing more or less at the
537 same rate until the end of January in the forecasts, while in ORA-SIT (solid
538 green line) the freezing rate slows down after November. As a result by the end
539 of January the forecast SIV is higher than in ORA-SIT. ORA-REF without the

540 thickness constraint has the highest SIV in the ice growth season. In the next
541 section we examine the discrepancies in SIV changes between ORAs and FCs
542 in more detail.

543 **3.3 Linking Sea Ice Analysis and Forecast Errors to the** 544 **Arctic Surface Energy Budget**

545 In order to investigate the physical causes of sea ice errors in the ORAs and
546 forecasts, the Arctic surface energy budget is considered. We estimate melt
547 energy tendency (MET), which is the energy used to melt sea ice and energy
548 released in the process of freezing, and is proportional to SIV changes. It is
549 defined as in Mayer et al. (2019):

$$MET = L_f \rho \left(\frac{\partial SIT}{\partial t} \right) \quad (2)$$

550 where L_f denotes latent heat of fusion (-0.3337×10^6 J kg⁻¹), ρ represents
551 sea ice density (assumed constant at 928 kg m⁻³), and SIT , the grid-point
552 averaged sea ice thickness. Thickness changes are computed as exact monthly
553 differences. MET can also change dynamically through lateral ice transports,
554 but here we average over the ocean area north of 70°N, which should be a
555 sufficiently large area to average out any dynamical effects and should mainly
556 leave thermodynamic effects as the drivers of MET. Figure 9 shows the MET
557 mean annual cycle (2011-2015) north of 70°N for ORA-REF, ORA-SIT, FC-
558 REF, and FC-SIT. In order to isolate the changes in MET when switching from
559 forced ORA mode to coupled forecast mode and to avoid seeing mainly the effect

560 of feedbacks arising from the model sea ice state drifting away from the analyzed
561 state (most notably the ice-albedo feedback), we compile the annual cycle of
562 forecasted MET from lead-month 1 data from each start date. Assimilation
563 increments of SIC proportionally affect SIV in the ORAs (Tietsche et al. (2013),
564 Tietsche et al. (2015)). The resulting MET increments are shown for both ORA-
565 REF and ORA-SIT as well. We note that the MET annual cycle of ORA-REF
566 is very similar to that of ORAS5 (not shown) and that the average of the MET
567 annual cycle in the ORAs is close to zero (in fact about $+0.3 \text{ W/m}^2$ (Mayer
568 et al. (2016), Mayer et al. (2019)), in agreement with the long-term sea ice melt),
569 while it is -4.8 W/m^2 in FC-REF.

570 Figure 9 clearly shows that ORA-REF exhibits the most pronounced annual
571 cycle of MET, with strongest melting in summer and strongest freezing in win-
572 ter. Earlier studies have shown that the MET annual cycle is exaggerated in
573 ORAS5 (Uotila et al. 2019; Mayer et al. 2019) and hence also in ORA-REF.
574 ORA-SIT has a damped MET annual cycle, as the thickness constraint during
575 winter prevents overly strong SIV accumulation. Lower SIV at the end of win-
576 ter consequently leads to weaker melting in summer. However, summer melt in
577 ORA-SIT is likely still too strong, as this experiment features a negative SIC
578 bias in summer despite realistic SIT and SIC earlier in the year, when CS2SMOS
579 data is available (see Figure 3e).

580 Both FC-REF and FC-SIT agree very well with each other and exhibit a
581 much weaker MET annual cycle than the ORAs (Figure 9). The difference
582 between the forecasts and the ORAs in May and June melting cannot be ex-

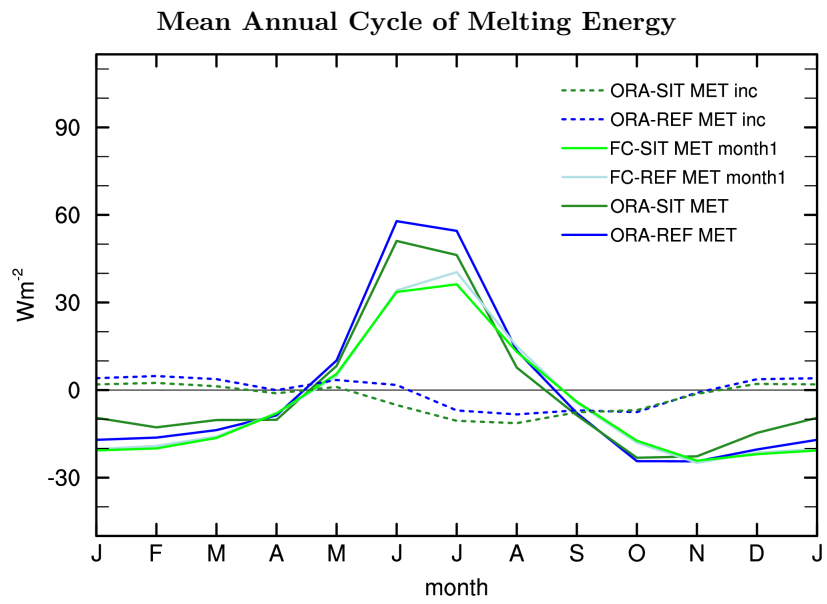


Figure 9: Mean annual cycle of MET over ocean area north of $70^{\circ}N$ in ORA-REF, ORA-SIT, FC-REF (lead month 1), FC-SIT (lead month 1). MET increments for ORA-REF and ORA-SIT are shown as well.

Mean Annual Cycle of Surface Net Radiation

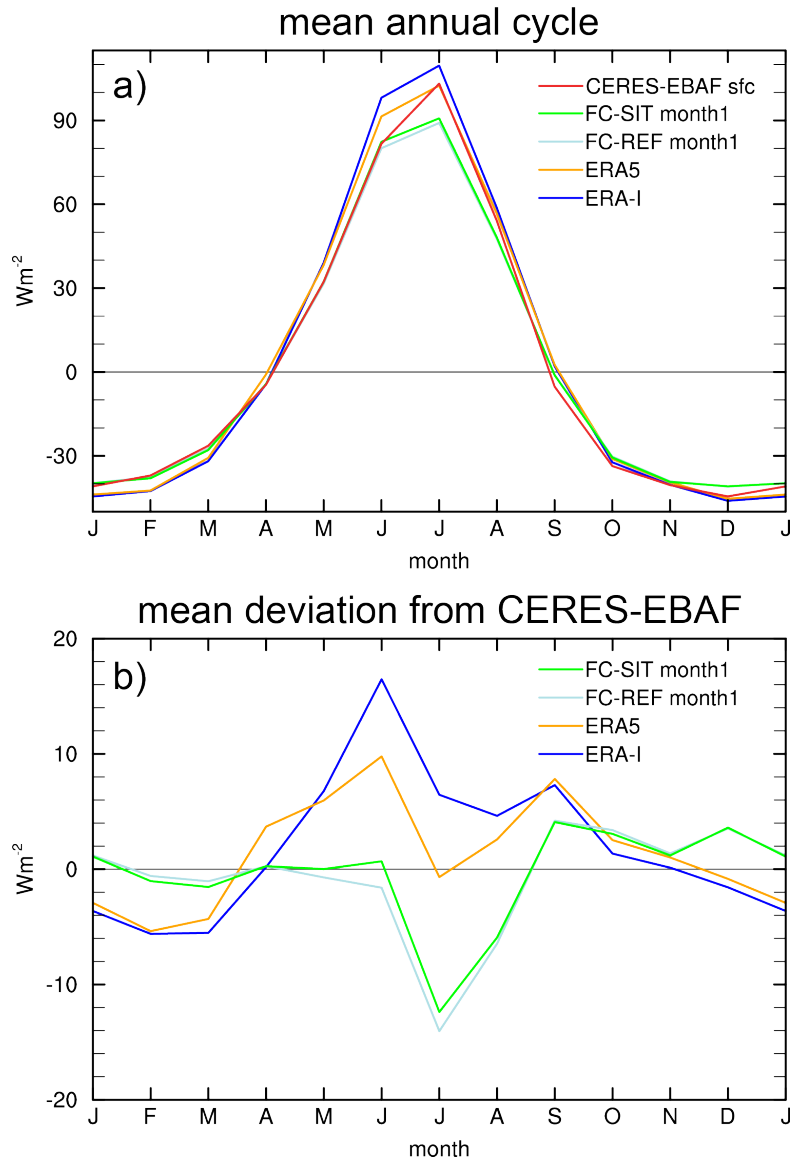


Figure 10: a) Mean annual cycle of surface net radiation, Rad_S (W/m^2) over ocean area north of $70^\circ N$ from ERA-I, ERA5, FC-REF (lead month 1), FC-SIT (lead month 1), and CERES-EBAF, and b) Mean deviation of Rad_S from CERES-EBAF for FC-REF, FC-SIT, ERA-I and ERA5.

583 plained by the MET increments (neutral impact at that time), which points to
584 differences in energy fluxes into the sea ice as a cause.

585 We therefore compare the mean annual cycle of surface net radiation (Rad_S)
586 over ocean north of $70^\circ N$. Figure 10a shows the 2011-2015 annual cycle of Rad_S
587 from FC-REF, FC-SIT, ERA-I, ERA5, and the satellite-based product Clouds
588 and Earth’s Radiant System – Energy-Balanced and Filled Surface edition 4.0
589 (CERES-EBAF; Kato et al. (2018)), which we use as reference.

590 We consider Rad_S from ERA-I as a good proxy for Rad_S seen by the ORAs,
591 due to two reasons: 1) ORAs use ERA-I forcing during most of the study period,
592 and 2) ORAs does not output Rad_S term; although it is not exactly identical
593 e.g. due to different albedo in the ORAs. ERA-I exhibits a positive Rad_S bias in
594 summer, peaking in June at 15 W/m^2 , while FC-REF and FC-SIT agree quite
595 well with CERES-EBAF, especially in May and June, when MET discrepancies
596 with the ORAs are large (Figure 9). Thus the Rad_S bias of ERA-I can explain
597 a large fraction of the overly strong MET in the ORAs during May and June,
598 and the discrepancy between the ORAs and the forecasts.

599 The mean deviation of Rad_S from CERES-EBAF (Figure 10b) clearly indi-
600 cates that forecasts are closer to the observational product than the atmospheric
601 reanalyses in May and June. This positive Rad_S bias of ERA-I should be con-
602 sidered alongside the results by Hogan et al. (2017), who found a negative bias
603 in downwelling shortwave radiation in ERA-I due to excessive low-level clouds.
604 Our results can be explained by the positive bias in downwelling longwave ra-
605 diation in ERA-I outweighing the shortwave flux bias. Figure 10 also shows

606 results for ERA5, which is closer to CERES-EBAF than ERA-I, which indi-
607 cates a reduced cloud bias in this more recent atmospheric reanalysis and gives
608 rise to the expectation of improved MET in future ocean reanalyses forced by
609 this product. We also note that the mean difference in sensible heat fluxes in
610 ERA-Interim and the forecasts and differences over sea ice were uniformly small
611 (generally <2 W/m² in summer; not shown), confirming that differences in this
612 field cannot explain the found differences in MET.

613 Additional information on the realism of summer MET in the forecasts can
614 be obtained from the sea ice area forecast bias of FC-SIT, as displayed in Fig-
615 ure 5b. It shows that FC-SIT May starts exhibit a strongly reduced positive bias
616 compared to FC-REF. The bias reduction can be attributed to the improved
617 initial conditions in FC-SIT, but the fact that the sea ice area bias remains
618 positive from July onward indicates that MET in the forecasts is too low in
619 summer. Figure 10b suggests that Rad_S is too low in the forecasts in July
620 and August, which probably contributes to the positive SIA bias remaining in
621 FC-SIT (Figure 5b).

622 The October MET (Figure 9) indicates stronger refreeze in the ORAs (lower
623 MET values) compared to the forecasts. This is consistent with negative MET
624 increments present in the ORAs, which act to speed up refreeze in the reanalyses
625 (see Figure 9). The less negative MET values of the forecasts in October are
626 consistent with the too weak freezing and consequent underestimation of sea ice
627 in autumn in the August starts.

628 Area-averaged net radiation of all considered products agrees well with

629 CERES-EBAF in October (see Figure 10), and also difference maps show only a
630 weakly positive Rad_S bias of the reanalyses and forecasts compared to CERES-
631 EBAF (not shown). Hence, errors in other physical terms such as ocean-ice
632 fluxes must play an important role in fall, but more detailed investigations are
633 beyond the scope of this paper.

634 **3.4 Impact of Ice Thickness Initialization on Forecasts of** 635 **Atmospheric Variables**

636 To discuss the impact of the sea ice thickness constraint on the atmosphere, we
637 first assess the differences in the forecast means (or biases) between FC-SIT and
638 FC-REF. Figure 11a shows the bias in 2m temperature (t2m) (averaged over
639 $50 - 90^\circ N$) in FC-REF as a function of start dates and lead months. When
640 verified against ERA5, significant cold biases are present in forecasts for most
641 of the start months and lead months except for non-significant warm biases in
642 November forecasts started in August, September and October months. We
643 note that using atmospheric or ocean reanalysis without realistic representation
644 of snow over sea ice, and sea ice thickness, for the verification of pan-Arctic sur-
645 face temperature can be misleading, since there is large uncertainty associated
646 with these products (Batrak and Müller (2019)). Verifying against observations
647 is not easy, since due to the scarcity of observational campaigns over sea ice, the
648 verification will have large representativeness error, and hence is not suitable for
649 seasonal forecasts verification. Mean differences in t2m (Figure 11b) are gen-
650 erally positive with very few and non-significant exceptions, which is expected

Difference in Mean T2m and Mean Sea Level Pressure Forecasts

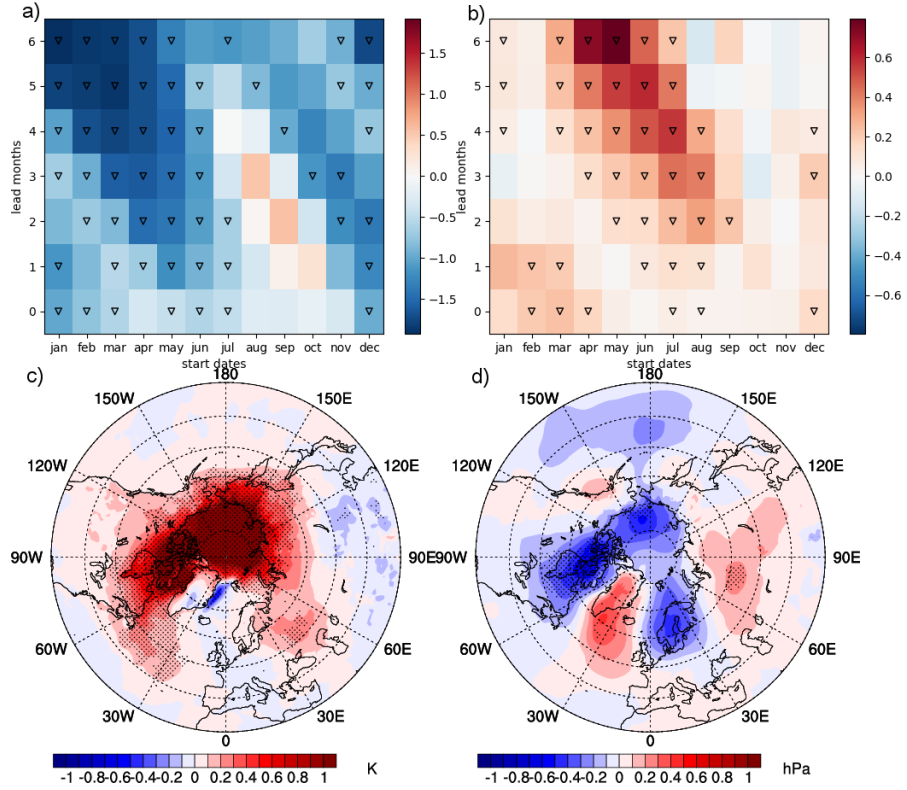


Figure 11: Mean forecast differences between FC-SIT and FC-REF 2011-2016: a) bias in mean 2m temperature (in K) north of $50^{\circ}N$ w.r.t. ERA5, as a function of start dates and lead months, in FC-REF, b) similar to a), but difference in mean 2m temperature (in K) between FC-SIT and FC-REF. Triangles denote significant changes according to the sign test as recommended by DelSole and Tippett (2016) at the 5% level. Mean forecast difference (FC-SIT - FC-REF) for SON aggregated from May, June, July, August start dates of c) 2m temperature and d) mean sea level pressure. Dots indicate areas of significant changes on the 95% level according to Komolgorov-Smirnov test.

651 from the generally reduced sea ice cover in FC-SIT. Strongest warming with
652 area averages of 0.5K can be found during fall for forecasts started between
653 March and September. February and March start dates show a moderate but
654 significant warming at short lead times, but otherwise changes are relatively
655 small for October to February start dates. Also, changes in summer tempera-
656 tures are small compared to those in fall. Inspection of temperature difference
657 patterns between FC-SIT and FC-REF indicates that differences in summer are
658 confined to areas around the sea ice edge (not shown), while changes in fall
659 are more widespread (see Figure 11c). The warming pattern in fall appears
660 as a diagonal feature in Figure 11b, which suggests that changes depend more
661 on season than on forecast lead time. Therefore, to gain more insight into the
662 spatial structure of the changes, Figure 11c and d show forecast differences in
663 2m temperature and mean sea level pressure in SON, respectively. To find ro-
664 bust changes, the differences are aggregated from forecasts started between May
665 and August, yielding samples of 600 forecasts. Moreover, aggregation along the
666 diagonal maximizes the signal (compare to Figure 11b).

667 Widespread temperature differences $>1\text{K}$ can be seen over the Arctic Ocean
668 and the Canadian Archipelago in SON (Figure 11c), but significant warming
669 spreads also south to North America and Eurasia. Solar radiation in the Arctic
670 is very weak for SON. Hence, the warming in FC-SIT must stem from enhanced
671 fluxes of heat from the ocean to the atmosphere, with a possible positive feed-
672 back from increased atmospheric water vapour. The fluxes are enhanced in
673 FC-SIT due to larger areas of open waters and increased SSTs, both a result of

674 reduced sea ice concentration. Furthermore, we find warming over the North-
675 west Atlantic, which is related to the warmer SSTs present already in the initial
676 conditions from ORA-SIT (not shown). Another area of significant warming
677 in FC-SIT relative to FC-REF can be seen over Eastern Europe and Western
678 Russia. This warming seems consistent with patterns of mean sea level pressure
679 differences shown in Figure 11d. They show lower pressure in FC-SIT over Scan-
680 dinavia and higher pressure over central Russia, which together suggest more
681 southerly winds in the region of warmer temperatures. Furthermore, mean sea
682 level pressure changes indicate lower pressure over the Arctic Ocean and the
683 Canadian Archipelago, i.e. in areas of maximum warming. In addition, there
684 are positive pressure differences southeast of Greenland. Altogether, the pat-
685 terns in sea level pressure difference resemble a wave-like response, but it should
686 be kept in mind that only some parts of these changes are statistically signif-
687 icant. Nevertheless, we note that qualitatively similar and significant changes
688 are also found in 500hPa geopotential forecasts for SON (not shown), suggesting
689 that the features seen in Figure 11d are indeed robust.

690 We now turn to the question whether changes in the forecast mean constitute
691 a forecast improvement or a forecast deterioration in the sense that they lead to
692 an overall reduction of model biases. Since forecast bias is strongly dependent
693 on region, season and lead time, aggregating over many seasons and lead months
694 hampers physical understanding of the impact of thickness initialization. We
695 therefore focus only on forecasts for the September–November (SON) season,
696 where the impact on 2m temperature is strongest.

Bias and Difference in MAE in T2m Forecasts

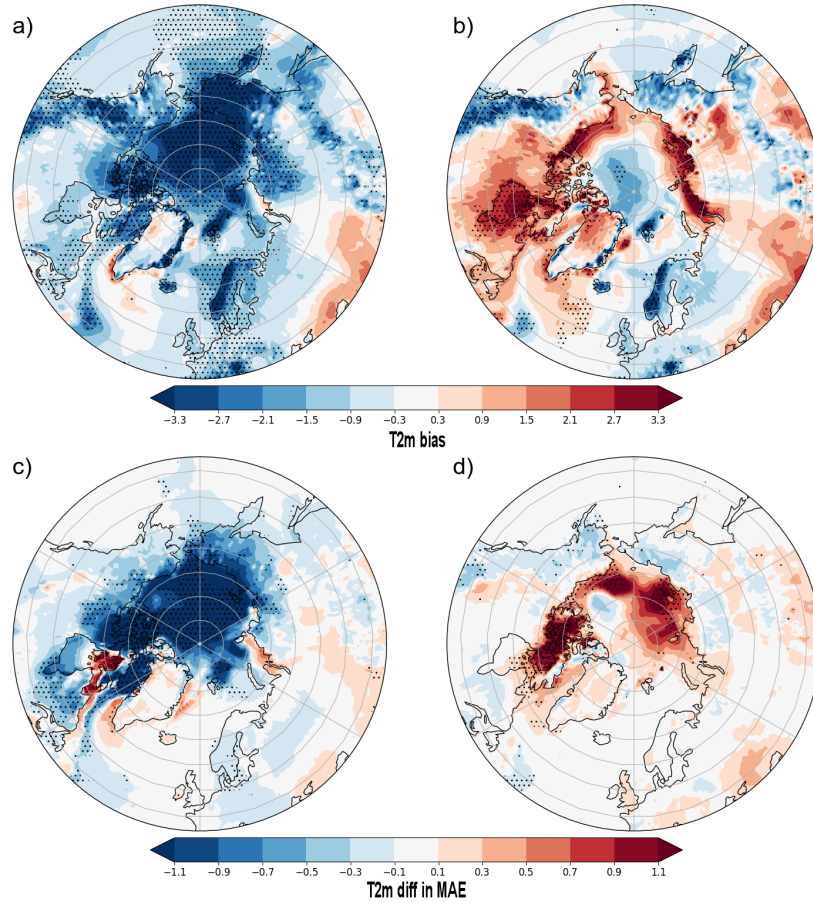


Figure 12: Bias and difference in MAE of 2m temperature against ERA5 for SON forecasts started in May (a,c) and August (b,d) respectively: Bias (in K) of FC-REF is shown on the top (a,b), and MAE difference (in K) between FC-SIT and FC-REF at the bottom (c,d). Differences significant at the 5% level according to the sign test as recommended by DelSole and Tippett (2016) are stippled. The homogeneous warming of FC-SIT w.r.t. FC-REF shown in Figure 11c results in MAE for SON t2m being reduced for May start dates c) and increased for August start dates d).

697 As Figure 12a and b show, the 2m-temperature forecast bias for the SON
698 season have a strong dependence on the start and lead month. Cold biases are
699 clearly dominating the entire hemisphere in May forecasts, whereas a mixture of
700 warm and cold biases is visible in August forecasts, with predominantly warm
701 biases over the ice edge. As discussed previously, the thickness initialization
702 leads to a homogeneous warming of 2m temperature (Figure 11c), which is not
703 very sensitive to the time of initialization.

704 To determine whether the mean change leads to an increase or a reduction in
705 the bias, we compute changes in mean absolute error (MAE) of 2m-temperature
706 forecasts without the usual calibration. This is shown in Figure 12c and d. Mean
707 absolute forecast errors are substantially reduced in SON (by more than 1K)
708 over the entire ice cover and some adjacent regions (Figure 12c). In this case,
709 the thickness initialization helps to mitigate the model bias. Conversely, when
710 initializing forecasts in August, mean absolute forecast errors are increased over
711 the marginal Seas of the Arctic Ocean and the Canadian Archipelago (Fig-
712 ure 12d). This points to an exacerbation of the model biases by the thickness
713 initialization. However, the negative impact for August start dates is not as
714 significant as the positive impact for May start dates.

715 Forecast skill changes on other atmospheric fields have been explored as
716 well. The picture for circulation-related fields such as mean sea-level pressure
717 and 500 hPa, geopotential height (not shown) is less clear compared to 2m-
718 temperature, indicating that much of the statistically significant changes found
719 at the near-surface temperature in the Arctic are due to local thermodynamic

720 effects.

721 **4 Summary and Concluding Remarks**

722 In this paper we use 6 years of Arctic-wide sea ice thickness observations of Jan-
723 uary, February, March, November and December months during 2011 to 2016
724 to constrain the modelled sea ice thickness, and study the impact on the ocean-
725 sea-ice reanalysis. Coupled forecasts of the ocean-sea-ice-wave-land-atmosphere
726 are initialized using these data assimilation experiments, and the forecast skill of
727 pan-Arctic sea ice for lead times up to 7 months is investigated. To our knowl-
728 edge this study provides the first comprehensive assessment of coupled seasonal
729 sea ice forecasts with thickness initialization for all the seasons. It complements
730 to the study by Blockley and Peterson (2018), who reported the positive forecast
731 impact on summer season only. This paper does not delve into the technical
732 implementation of sea ice observational information in the ECMWF systems as
733 reported in Balan-Sarojini et al. (2019), but instead it focuses on 1) collating the
734 relevant scientific results on the impact of sea ice thickness information alone
735 on seasonal forecasts, 2) conducting targeted diagnostics to gain understanding
736 of the results, and 3) providing a more thorough discussion on the impact.

737 Constraining initial conditions by nudging to CS2SMOS ice thickness results
738 in a substantial reduction of sea ice volume and thickness in the ocean-sea-
739 ice analysis. This reduces some of the existing forecast biases in SEAS5 and
740 improves forecast skill in the melt season, but in turn increases the errors during

741 autumn, when the existing sea ice forecast bias is negative.

742 The impact of sea ice thickness initialization on seasonal forecast skill for
743 Arctic sea ice variables, namely sea ice cover, sea ice thickness, sea ice volume
744 and sea ice edge, is mostly positive for seasonal forecasts started from January to
745 June start dates. We find significant improvement of up to 28% in the traditional
746 September sea ice edge forecasts started from April start dates as shown by
747 Integrated Ice Edge Error. However, sea ice forecasts for September started
748 in spring still exhibit a positive sea ice bias, which points to too slow melting
749 in the forecast model. Neutral forecast impact for November and December
750 start dates is found. However, a slight degradation is seen in autumn forecasts
751 started from July and August start dates, which is shown to be due to errors
752 in the sea ice initial conditions. Both the ocean reanalyses, with and without
753 SIT constraint, show strong melting in the middle of the melt season compared
754 to the forecasts. This excessive melting is shown to be due to positive net
755 surface radiation biases in the atmospheric flux forcings of the ocean reanalyses.
756 Compared to the forecasts, strong freezing is seen throughout the freeze season
757 in the ocean reanalysis without SIT constraint. With SIT constraint applied
758 from November to March, the existing strong freezing is somewhat damped in
759 the late freeze season. The exact causes of the differences in freezing between
760 the reanalyses and forecasts require further investigation. Aggregating all the
761 forecasts started in January to December, positive forecast impact of up to 5%
762 skill improvement for integrated SIC is found at 2-5 lead months. Thinning of
763 sea ice by CS2SMOS mitigates or enhances seasonally dependent forecast model

764 error.

765 We reiterate that the sea-ice thickness observations are only available and
766 assimilated for November-March. The ORA-SIT sea ice thickness from April-
767 October is not constrained by observations. The fact that ORA-SIT has larger
768 errors than ORA-REF in SIC for July is attributed to the overestimation of the
769 melt in the forced model. The negative summer SIC bias gets worse in ORA-SIT
770 than that in ORA-REF due to the fact that the ORA-SIT starts from a thinner
771 ice state compared to ORA-REF without CS2SMOS thinning. Indeed, the
772 assimilation of sea-ice concentration is trying hard to compensate for this excess
773 of sea-ice melt as seen in the annual cycle of the pan-Arctic sea ice increments
774 and melting energy tendencies. The reasons for this excess sea-ice melt during
775 the summer season is investigated and is attributed to errors in forcing fluxes
776 in the ORAs as just summarised. This key result points out that the dominant
777 source of error lies in the atmospheric forcing rather than in the sea-ice model
778 formulation or data assimilation in our experiments, and indicates that improved
779 atmospheric fluxes from atmospheric reanalyses is urgently needed to improve
780 the Arctic sea-ice related forecasts.

781 In this work we have only taken the very first step in SIT assimilation by us-
782 ing a simple nudging method to constrain SIT without considering the observa-
783 tional uncertainties. An area which needs to be explored in future studies of SIT
784 assimilation is the use of thickness uncertainties. For instance, the uncertainty
785 in the retrievals could be taken into account by perturbing the observations in
786 the ensemble of data assimilations. We also note that this study does not cover

787 recent sea-ice model improvements such as modelling sea-ice processes affecting
788 the sea-ice melt/growth, which are being considered for inclusion in upcoming
789 versions of the ECMWF forecasting systems. The use of multi-category sea ice
790 models in coupled forecasting systems is another step forward in this direction.
791 Since uncertainty of Arctic seasonal sea ice forecasts is reported to grow at a
792 higher rate over thin ice regions than over the central Arctic (e.g. Blanchard-
793 Wrigglesworth et al. (2017)), we recommend observational constraint of SIT for
794 both the thick and thin ice regions in ORAs.

795 The impact of sea ice thickness initialization on atmospheric variables has
796 also been investigated. Changes in ensemble mean 2m-temperature over the
797 pan-Arctic region are significant for SON forecasts initialized from May to Au-
798 gust start dates. The impact is also seen in mean sea level pressure and to
799 certain extent in 500hPa geopotential height and is mostly local and thermody-
800 namically driven, except for some remote impact over the north west Atlantic
801 ocean. Similar to the sea ice edge forecasts, positive forecast impact is seen for
802 2m-temperature forecasts for the early freezing season, SON, started in May and
803 negative impact for the same season is seen when started in August when the ini-
804 tial conditions are degraded. Statistically significant changes in 2m-temperature
805 mean absolute error are predominantly due to corresponding local changes in
806 errors in the sea surface temperature and sea ice variables. There is no clear
807 change in forecast skill of upper atmospheric circulation in our experiments. Our
808 results illustrate that information on sea ice thickness is relevant for identifying
809 model errors and for exploiting the long-term memory present in ice thickness

810 for seasonal forecasts of sea ice and near-surface temperatures. Constraining
811 SIT in the initialisation alters biases arising due to both errors in the forcing
812 and the sea-ice model. Though the SIT assimilation is not expected to solve
813 these underlying problems per se, by moving the model state closer to reality, it
814 helps us to better understand the errors in our system, as well as improving fore-
815 cast skill scores in the meantime. As atmospheric forecast errors are dominated
816 by biases, we are yet to demonstrate the benefit of interannual varying data
817 on bias-corrected forecast scores. Robustness of impact on upper atmospheric
818 variables and possible teleconnections need to be further assessed which would
819 require a longer study period and larger sample size.

820 These findings demonstrate that making use of recently-available, spatially
821 and temporally rich sea ice thickness observations from satellites for the ice
822 growth season has the potential to significantly improve 1) the sea ice state
823 in currently operational ocean–sea-ice reanalyses and, 2) the seasonal forecasts
824 in operational forecasting systems. Our study also emphasizes the potential of
825 future sea ice satellite missions for Earth System reanalysis and forecasts.

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