# Quantifying the impact of synoptic weather types and patterns on energy fluxes of a marginal snowpack

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10 Abstract.

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11 Synoptic weather patterns are investigated for their impact on energy fluxes driving melt of a marginal snowpack 12 in the Snowy Mountains, southeast Australia. K-means clustering applied to ECMWF ERA-Interim data identified 13 common synoptic types and patterns that were then associated with in-situ snowpack energy flux measurements. 14 The analysis showed that the largest contribution of energy to the snowpack occurred immediately prior to the 15 passage of cold fronts through increased sensible heat flux as a result of warm air advection (WAA) ahead of the 16 front. Shortwave radiation was found to be the dominant control on positive energy fluxes when individual 17 synoptic weather types were examined. As a result, cloud cover related to each synoptic type was shown to be 18 highly influential on the energy fluxes to the snowpack through its reduction of shortwave radiation and 19 reflection/emission of longwave fluxes. As single-site energy balance measurements of the snowpack were used 20 for this study, caution should be exercised before applying the results to the broader Australian Alps region. 21 However, this research is an important step towards understanding changes in surface energy flux as a result of 22 shifts to the global atmospheric circulation as anthropogenic climate change continues to impact marginal winter 23 snowpacks.

# 24 1 Introduction

# 25 **1.1** Synoptic weather influences on snowpack processes

Water generated in mountainous regions is a commodity that over 50% of the world's population depends on for daily life (Beniston, 2003). Arguably, the most important role in the generation and regulation of these water resources is that of montane snowpacks. These have been referred to as "water towers" (Viviroli et al., 2007) due to their capabilities for storage and slow releases of meltwater. Many snowpacks are undergoing reductions in spatial and temporal extent as a result of anthropogenic climate change (Pachauri et al., 2014). Understanding the physical drivers of snowpack ablation, including synoptic-scale influences, is critical to help assess future water resource availability in mountainous regions as climate change continues.

33 Snowfall has been related to synoptic weather types in numerous studies globally including in Athens (Prezerakos 34 and Angouridakis, 1984), the central and eastern United States (Goree and Younkin, 1966), the Tibetan Plateau 35 (Ueno, 2005), Budapest (Bednorz, 2008a), and the central European lowlands (Bednorz, 2011). However, work 36 on relationships between snowmelt and synoptic weather types is relatively scarce. Bednorz (2008b) identified 37 increased air temperature and rain-on-snow events as causes for rapid snowmelt (> 5 cm day<sup>1</sup>) in the Polish-38 German lowlands as a result of west-southwest airflows over Central Europe during positive phases of the North 39 Atlantic Oscillation (NAO). Similar work has been conducted in North America by Grundstein and Leathers 40 (1998) who were able to identify three main synoptic weather types responsible for significant snowmelt events

41 on the northern Great Plains, all of which included cyclonic influence with different low pressure centre locations 42 and warm air advection to the region. While some knowledge exists on synoptic drivers of snowpack ablation, 43 further research is needed to understand synoptic effects on ablation processes over snowpacks with varying 44 characteristics.

Marginal snowpacks are characterised by high snow density and internal temperatures, making them susceptible 45 46 to melt from energy input throughout much of the season and particularly sensitive to even subtle shifts in 47 available energy. Anthropogenic climate change has led to changes in snowpack and precipitation properties 48 globally (Adam et al., 2009; Stewart, 2009) and regions that have been historically categorized as having lower 49 temperatures have begun developing marginal characteristics as temperatures increase. However, research related 50 to synoptic influences on the surface energy balance over marginal snowpacks as defined by Bormann et al. (2013) 51 are rare. Hay and Fitzharris (1988) studied the influence of different synoptic weather types on glacier ablation 52 and snowpack melt, while Neale and Fitzharris (1997) used surface energy flux measurements to determine which 53 synoptic types resulted in highest ablation in the Southern Alps, New Zealand. These studies found net radiation 54 was the dominant term in ablation, but also noted that the contributions made by each term varied largely 55 depending on the synoptic type and its meteorology. A common characteristic between these studies and others 56 in various regions is that they focused primarily on the surface meteorology for synoptic classifications rather 57 than multiple level analysis, which enables insight to the potential influence of mid and upper-level atmospheric 58 conditions on surface – atmosphere energy exchanges. Regardless, no analysis at any level exists on synoptic type 59 influence on snowpack ablation within Australia.

# 60 **1.2 The Australian snowpack**

61 Characteristics of the snowpack in the Australian Alps have been examined in a number of studies with focus on 62 spatial and temporal snow cover variability (Budin, 1985; Duus, 1992), influence on catchment hydrology (Costin 63 and Gay, 1961), the energetics of snowpack melt (Bilish et al., 2018), and isotopic composition of precipitation 64 (Callow et al., 2014). Given observed declines in snow cover, climate change has become a central focus of this 65 research (Chubb et al., 2011;Hennessy et al., 2008;Nicholls, 2005;Reinfelds et al., 2014;Whetton et al., 1996) as any changes to energy flux over the region will significantly impact the already marginal snowpack. Di Luca et 66 67 al. (2018) showed that snow cover extent in the Australian Alps is expected to undergo reductions of 15% by 2030 and 60% by 2070 due to decreases in snowfall quantity and increases in temperature. Observations indicate that 68 69 reduction in snow cover is already occurring with shortened annual periods of wintertime precipitation. Nicholls 70 (2005) found reductions of 10% and 40% in the maximum snow depth and snow depth at the first October 71 measurement respectively from 1962 to 2002. In addition, wintertime precipitation was shown to have reduced 72 by an average of 43% in high elevation regions from 1990 to 2009 (Chubb et al., 2011), though much of this could 73 have been due to several severe droughts that occurred during the study period. Fiddes et al. (2015a) showed that 74 snowfall, snow accumulation, and snow depth were highly correlated with temperature and that warming, as a 75 result of climate change, could lead to further reductions in the southeast Australia (SEA) snowpack. The 76 importance of the water generated in the Australian Alps, reduction in wintertime precipitation amounts and 77 frequency, and high spatiotemporal variability of snow accumulation and ablation (Budin, 1985) warrants an 78 understanding of the energetics of Australia's snowpack as they pertain to the influences of shifting synoptic-scale 79 circulations.

### 80 **1.3** Synoptic weather types and trends in the Australian Alps

- The Australian Alps is a marginal snowpack environment (Bilish et al., 2019;Bilish et al., 2018), where precipitation is crucial to agriculture, the generation of hydroelectric energy, and recreation. Water generated in the Australian Alps contributes to agriculture in the Murray Darling Basin that accounts for 62% of Australia's water use for irrigation (Australian Bureau of Statistics, 2020). A maximum in precipitation in the Australian Alps
- typically occurs during the cooler months of June to September when it falls as snow at elevations above 1400 m,
- 86 and accounts for twice as much precipitation as during the warmer periods of the year (Chubb et al., 2011). While
- 87 the snowpack typically exists for relatively short periods compared to those of other regions where winter
- temperatures are lower and higher snowfall amounts occur such as parts of the European Alps and Rocky
- 89 Mountains, USA, it is still a vital resource for SEA.
- 90 Synoptic weather types in SEA have been changing in recent decades in response to the impact of climate change
- 91 on background climate states (Theobald et al., 2016). Pepler et al. (2019) noted anti-cyclone increases of 20-30%

in southern Australia and 31-36% in the Tasman Sea during 1960-1979 and 1979-2014 with higher increases

- 93 during the cool season (May-October). In addition, atmospheric fronts are expected to shift southward (Catto et
- al., 2014) and predicted global warming driven increases in Southern Annular Mode (SAM) value will result in
- 95 the poleward shift of general synoptic systems (Cai et al., 2005). This would likely result in significant reductions 96 to snowpack as SAM has been shown to have the highest impact on snow depth and snow season length at
- 97 Spensers Creek in the Australian Alps with reductions up to 32% during years where the June-September SAM
- 98 is greater than 0.7 (Pepler et al., 2015).
- 99 Significant work has been conducted on identification of patterns and trends in Australian synoptic climatology 100 as it pertains to precipitation variability (Theobald et al., 2016;Chubb et al., 2011;Pook et al., 2014;2010;Pook et 101 al., 2006;2012;Fiddes et al., 2015b). However, impacts on surface energy fluxes as a result of synoptic types have 102 not been explored as they have in other regions. The objective of this study is to identify the synoptic weather 103 types that contribute the highest amounts of energy to the Pipers Creek catchment headwaters snowpack. This is 104 accomplished through: 1) the identification and classification of common synoptic types during periods of 105 homogeneous snow cover, 2) attribution of snowpack energy flux characteristics to each synoptic type, and 3)
- 106 construction of energy balance patterns as they pertain to common synoptic patterns/progressions.

# 107 2 Methods

# 108 2.1 Study site and climate

109 Energy flux measurements were made 16 km west of Lake Jindabyne at the Pipers Creek catchment headwaters 110 (36.417°S, 148.422°E) at an elevation of 1828 m in the Snowy Mountains, Kosciuszko National Park, New South 111 Wales (NSW), Australia (Figure 1). The surrounding areas contain a mixture of living and dead Eucalyptus 112 pauciflora (Snow Gum) trees and open grassland areas with fens and alpine bogs. Many of the Snow Gums were 113 impacted by fire in 2003, and have experienced slow regrowth. The site chosen at the Pipers Creek catchment 114 headwaters contains alpine bog and Eucalypt woodland that are "the two most common types in the broader 115 region, together representing 47% of the total area above 1400-m elevation" (Bilish et al., 2018, p. 3839). Gellie 116 (2005) showed that the *E. pauciflora* woodland was present in five of the fifteen dominant vegetation formations 117 that covers 57% of area within the broader region, while Alpine grassland/bog (including herb fields) accounts 118 for another 8%. The area's mixed characteristics of forested and open grasslands with alpine wetlands within the

- Pipers Creek study catchment and immediately surrounding the flux tower site used in this study are representativeof those found throughout the Australian Alps.
- 121 The Snowy Mountains are characterized by relatively mild weather conditions compared to other mountain
- 122 ranges. Winter temperatures are typically around 0°C with mean low temperatures during July (the coldest month)
- 123 at -5°C and mean high temperatures between 2 to 4°C (Bureau of Meteorology, 2018b) that readily allow for melt
- 124 of the snowpack. As such, snowpack properties in the catchment are consistent with those of maritime snowpacks
- that are associated with basal melting, high temperatures, and high wind speeds (Sturm et al., 1995;Bilish et al.,
- 126 2018).
- The nature of single-site energy balance studies in complex terrain means that measurements may not be truly representative of the larger area. Terrain-induced flows and aspect/slope at the measurement site can alter radiative exchange and turbulent fluxes resulting in different energy balances over short distances. Therefore, we suggest caution when applying the Pipers Creek catchment headwaters energy balance to the wider area of the Australian Alps. While this is a drawback to single-site studies, this paper aims to take the first step towards broad-scale
- 132 understanding of synoptic weather on the Snowy Mountains snowpack.

# 133 2.2 Instrumentation

- 134 The Pipers Creek site (Figure 2) was established on 10 June 2016 and collected data for the 2016 and 2017 winter 135 seasons. The site consisted of a Campbell Scientific eddy covariance (EC) system to measure fluxes of latent ( $Q_e$ ) and sensible (Q<sub>b</sub>) heat at 10 Hz at a height of 3.0 m above ground level (AGL). A Kipp and Zonen CNR4 136 137 radiometer (3.0 m AGL) was used to measure incoming and outgoing shortwave (K) and longwave (L) radiation 138 to allow for comparisons of all radiation components rather than simply net all-wave radiation ( $Q^*$ ). Ambient air 139 temperature and relative humidity were measured at the top of the mast by a Vaisala HMP155 probe at ~3.1 m 140 above ground level. A Hukseflux heat flux plate measured ground heat flux (Qg) at a depth of 5 cm and was placed 141 approximately 0.5 m from the centre of the mast to minimize any influence the mast could have on snow 142 accumulation above the sensor. Surface temperatures were monitored using an Apogee Instruments SI-111 143 infrared radiometer at approximately 2 m from the centre of the mast. Details on the instruments used for each
- 144 measurement are shown in Table 1.
- 145 Precipitation data from an ETI Instrument Systems NOAH II weighing gauge located approximately 1 km to the
- 146 northwest of the energy balance site at elevation of 1761 m was supplied by Snowy Hydro Limited (SHL). A 6 m
- 147 diameter DFIR shield was used around the gauge in order to prevent wind-related under-catch of snowfall
- 148 (Rasmussen et al., 2012), and was additionally sheltered by vegetation to the west.

# 149 **2.3** Identification of snow cover periods

Homogeneous snow cover is crucial to accurate measurement and analysis of snowpack energy balance (Reba et al., 2009). Snow cover was considered to be homogeneous when no grass or bush was protruding from the snow surface with the exception of distant patches of *E. pauciflora* trees. Periods with homogeneous snow cover were determined using data from the Pipers Creek instrumentation site and were cross referenced to manual snow measurements made at the Spencers Creek Snow Course 6.6 km northwest of the Pipers Creek field site (Snowy Hydro Ltd, 2018). Periods with surface temperatures above 1.5°C as measured by the SI-111 infrared radiometer

- that did not correspond to rain-on-snow events and periods with albedo measurements less than 0.40 (Robock,
- 157 1980) were considered to have heterogeneous snow cover and were eliminated.

#### 158 **2.4** Synoptic classification of snow cover days

159 Synoptic weather type classification of homogeneous snow cover days was conducted using synoptic typing methods adapted from Theobald et al. (2015). European Centre for Medium-Range Weather Forecasts (ECMWF) 160 161 ERA-Interim reanalysis data (Dee et al., 2011) with a 0.75° X 0.75° resolution was obtained for each day from 10 162 June 2016 through 31 October 2017. This date range was chosen to ensure inclusion of all potential dates with 163 snow cover during the 2016 and 2017 snow seasons after the initial instrument tower installation on 10 June 2016. 164 Variables included in the reanalysis data consisted of mean daily values of Mean Sea Level Pressure (MSLP); temperature and relative humidity at 850, 700, 500, and 250 hPa; wind vectors at 10 m AGL, 850, 700, 500, and 165 166 250 hPa; and 1000-500 hPa geopotential heights. The domain of the included variables was limited to 20°S - 46°S

- and 120°E -160°E, ensuring coverage of synoptic scale systems affecting the Australian Alps.
- Focus was placed on analysis of temperature  $(T_d)$  and relative humidity (RH) values because of their impact on

169 *Qe*, *Qh*, and radiative fluxes (Reba et al., 2009;Ruckstuhl et al., 2007;Allan et al., 1999;Webb et al., 1993). Relative

170 humidity values at 850, 700, and 500 hPa were used to investigate the potential influence of cloud cover. MSLP

- and wind vector analysis at the 850, 700, 500, and 250 hPa levels allowed for the identification of  $T_d$  and RH
- advection (Pook et al., 2006) into the Australian Alps. Thickness between 1000-500 hPa was used to determine
- 173 frontal positions relative to the Australian Alps (Pook et al., 2006) and accordingly the Pipers Creek field site.

The method used for synoptic comparison of energy flux characteristics was adopted from the approach of similar types of studies that used "days" as the temporal period for analysis in the Snowy Mountains region (Theobald et

al., 2016;Theobald et al., 2015;Chubb et al., 2011;Fiddes et al., 2015b) and glacier/snowpack energy balance (Hay

- 177 and Fitzharris, 1988;Neale and Fitzharris, 1997). "Days", periods lasting twenty-four hours from 00Z to 23:59Z,
- 178 were considered optimal to determine radiative flux characteristics (diurnal radiation cycle) that may be missed
- on smaller time scales. While the use of UTC days meant that the synoptic characteristics corresponded to local
- days by an offset by 10 hours (00:00 UTC = 10:00 AEST), the effects of the synoptic conditions on terrain-induced
- 181 flows would be the same regardless of whether they aligned with the local day. Overall, the use of UTC days
- allowed for determination of short-term energy fluxes that can also be easily compared over several months, thus
- being most appropriate for the entire snow season. Examination of higher temporal resolution snowpack energybalance at a collocated site can be found in Bilish et al. (2018).
- 185 Days within the ERA-Interim data that matched snow cover days were extracted and analysed using the k-means

186 clustering algorithm developed by Theobald et al. (2015). The algorithm was tested for 1-20 clusters and an elbow

187 plot of the cluster distances was used to identify the optimum number of clusters (Theobald et al., 2015), which

- 188 was seven. The identification of an elbow in the plot at seven clusters indicates a reduction to the benefit of adding
- additional clusters as the sum of distances for additional clusters fails to yield significant reductions beyond that
- 190 point (Wilks, 2011).
- 191 Clustering of the synoptic conditions for each day was verified through manual analysis of MSLP and 500 hPa 192 charts from the Australian Bureau of Meteorology (BOM) (Bureau of Meteorology, 2018a). Cloud cover for each
- 193 type was investigated and verified through the use of visible and infrared band Himawari-8 satellite data

- 194 (https://www.ncdc.noaa.gov/gibbs/) at three hour increments from 00Z to 21Z, (10:00 AEST to 07:00 AEST) with
- one of three categories assigned to each day studied; 1) no cloud cover, 2) partial cloud cover, or 3) complete cloud cover. Cloud cover was investigated throughout the days to ensure that all effects of cloud cover on energy
- 197 balance were represented.
- Manual verification of the k-means clustering algorithm using BOM synoptic charts identified four days (2.45%) out of the 163 classified during the 2016 and 2017 seasons that had been classified incorrectly and they were subsequently moved to their correct synoptic type. Three of the four misclassified days were early (7 June 2016) or late (19 and 22 September 2016) in the snowpack seasons with the fourth occurring in the middle of winter on 31 July 2017. Synoptic characteristics from these days tended to be complicated with no discernible dominant features that matched those of classified types. This is likely due to shifting synoptic conditions between seasons related to poleward or equatorial shifts in westerly winds.

# 205 2.5 Snowpack energy accounting

Accurate measurement of snowpack energy balance and associated melt can be difficult due to snowpack heterogeneity (Reba et al., 2009) and problems with energy balance closure (Helgason and Pomeroy, 2012). The basic snowpack energy balance can be expressed as:

209 
$$Q_m = Q^* + Q_h + Q_e + Q_g + Q_r$$
 (1)

where the energy available for snow melt  $(Q_m)$  is equal to the sum of  $Q^*$ ,  $Q_h$  and  $Q_e$ ,  $Q_g$ , and the energy flux to the snowpack from liquid precipitation  $(Q_r)$  (Male and Granger, 1981;McKay and Thurtell, 1978). It's important to note that all terms used in the calculation of the snowpack energy balance are net terms (Marks and Dozier, 1992;Stoy et al., 2018;Welch et al., 2016). Using net terms allows for conservation of energy within the (ideally) closed energy balance system of the snowpack and aids in more accurately determining contributions of each term to the energy balance.

Internal energy storage and melt processes can make calculation of the snowpack energy balance particularly difficult when internal measurements of the snowpack are not available due to problems closing the energy balance (Helgason and Pomeroy, 2012). This is particularly difficult over Australia's snowpack due to its marginal characteristics that result in nearly constant internal snowpack melt. Therefore,  $Q_m$  can be more accurately expressed as a residual energy term ( $Q_{res}$ ) that is defined as the sum of the measured terms in Eq. (1) plus any error in energy balance closure ( $Q_{ec}$ ):

222 
$$Q_{res} = Q^* + Q_h + Q_e + Q_g + Q_r + Q_{ec}$$
 (2)

223 While  $Q^*$  can be used for basic analysis of the snowpack energy balance, a decomposition into its individual 224 components is necessary to understand the role of short and longwave radiation exchange in snowpack energetics 225 (Bilish et al., 2018). Therefore, net radiation should be broken into its net flux terms:

226 
$$Q^* = K^* + L^*$$
 (3)

227 that quantify the net shortwave  $(K^*)$  and net longwave  $(L^*)$  components.

- 228 The approach taken within this paper is to examine net radiative flux components individually, similar to the
- 229 methods used by Bilish et al. (2018), to be precise in the identification of synoptic-scale effects on snowpack
- 230 energy fluxes through differences in temperature, relative humidity, cloud cover.  $Q_{res}$  calculation and
- comparisons of snowpack energy flux terms were performed using the terms in Eq. (2), but with the net radiation
- terms ( $K^*$  and  $L^*$ ) used rather than summed as  $Q^*$  only. This research uses the energy flux convention where
- 233 positive values are flux to the snowpack and negative values are flux away from the snowpack.

# 234 **2.6 Energy flux measurements of synoptic types**

Coordinate rotation for EC systems is typically used to account for errors introduced into flux data due to 235 236 imprecise instrumentation levelling. However, complex terrain can complicate EC measurements through local 237 scale processes such as thermally induced anabatic and katabatic flows, modification and generation of complex 238 terrain-induced flows, and inhomogeneity of terrain. In these areas, coordinate rotation is used to align the eddy 239 covariance coordinate system with the sloping surface and to identify and remove larger scale motions that may 240 be measured with the microscale flows. The Pipers Creek catchment site is located on predominantly level terrain, 241 however, double coordinate rotation was used to process the EC data to ensure terrain-induced influences on 242 airflow were removed (Stiperski and Rotach, 2016).

- Frequency corrections were made to the EC data to account for sensor response delay, volume averaging, and the separation distance of the sonic anemometer and gas analyser when calculating fluxes. Finally, WPL air density corrections (Webb et al., 1980) were made to account for vertical velocities that exist as a result of changing air mass density through fluxes of heat and water vapour. Quality flags were calculated for  $Q_h$  and  $Q_e$  using the methods of Mauder and Foken (2011) that assigned a number from 0-2 based on the quality of the fluxes. High quality data that is able to be used for fundamental research was assigned a 0, fluxes assigned a 1 are less accurate but can still be used for long term observations, and fluxes assigned a 2 needed to be removed and gap-filled.
- 250  $Q_h$  and  $Q_e$  flux were calculated using the EC equations:

251 
$$Q_h = -\rho C_p(\overline{w'\theta'}) \tag{4}$$

$$252 \qquad Q_e = -\rho L_v(\overline{w'q'}) \tag{5}$$

where  $\rho$  is air density (kg m<sup>-3</sup>),  $C_p$  is the specific heat of air (1005 J kg<sup>-1</sup> deg<sup>-1</sup>),  $\overline{w'\theta'}$  is the average covariance between the vertical wind velocity w (ms<sup>-1</sup>) and potential temperature  $\theta$  (K),  $L_v$  is the latent heat of sublimation or vaporization of water (J kg<sup>-1</sup>), and  $\overline{w'q'}$  is the average covariance between the vertical wind velocity w (ms<sup>-1</sup>) and specific humidity q (kg kg<sup>-1</sup>) (Reba et al., 2009).

- 257 The calculation of  $Q_r$  followed Bilish et al. (2018) and was determined using three separate calculations to
- establish approximate wet bulb temperature  $(T_w)$  (Stull, 2011), the fraction of precipitation falling as rain (1 1)
- 259  $P_{snow}$ ) (Michelson, 2004), and total rain heat flux ( $Q_r$ ) based on precipitation accumulation over a 30-minute 260 period.

#### 261 **2.7** Energy flux data quality control and gap-filling

In addition to removing EC measurements assigned a quality flag of 2,  $Q_e$  and  $Q_h$  values were also removed when water vapour signal strength, a unit-less number calculated from the fraction of beam received compared to

- that emitted, from the gas analyser was < 0.70 in order to remove erroneous readings during periods of precipitation (Campbell Scientific, 2018;Gray et al., 2018). A seven point moving-median filter was implemented over three iterations to de-spike the data and remove values more than 3.0 standard deviations away from the median values.
- Pre-existing gaps and gaps introduced into the data by the quality control procedures were filled using linear interpolation described by (Falge et al., 2001a;2001b) and the Random Forest regression technique (Breiman, 2001). Linear interpolation of missing  $Q_e$  and  $Q_h$  values was used for gaps up to 90 minutes in length. Traditionally, mean diurnal variation values are also used for gap filling procedures (Falge et al., 2001a;2001b;Bilish et al., 2018). However, it was determined that using mean values would likely obscure any unique energy balance characteristics of the synoptic types being investigated and, therefore, was not included as a gap-fill strategy for the data.
- 275 The R programming package randomForest (Liaw and Wiener, 2002) was used to fill gaps in Qe and Qh longer 276 than 90 minutes in length. The random forest regression trained to determine  $Q_{\rho}$  and  $Q_{h}$  flux values was developed 277 using twenty-six atmospheric and soil variables collected in addition to EC measurements. Mean squared errors 278 (MSE)'s were examined for forests with 1-500 trees and it was determined that 150 trees were sufficient to build 279 an accurate model for both  $Q_e$  and  $Q_h$ . Tests were then conducted to determine the optimal number of variables 280 to be randomly selected at each node that showed 13 variables was optimal for determination of  $Q_h$  and 14 281 variables should be used for  $Q_e$ . The  $Q_e$  and  $Q_h$  random forest regression models were tested for their ability to 282 predict values that had been used to train the models by comparing the measured  $Q_e$  and  $Q_h$  values with the 283 predicted values. Root Mean Squared Error (RMSE) and the Coefficient of Determination  $(R^2)$  were determined 284 for each advective flux. Predicted values showed high correlation to measured values with both variables showing  $R^2$  values higher than 0.97. The  $Q_e$  regression had a RMSE of 2.56 Wm<sup>-2</sup> and had lower uncertainty than the  $Q_h$ 285 286 regression that had a RMSE of 4.67 Wm<sup>-2</sup>.
- Following quality control procedures, 2571 of the initial 7756 records (33%) remained in the  $Q_e$  data and 4019 records (52%) remained in the  $Q_h$  data. Linear interpolation yielded an addition of 910  $Q_e$  values (12%) and 928  $Q_h$  values (12%). The Random Forest regression models were the largest source of gap-filled data with the contribution of an additional 4275  $Q_e$  values (55%) and 2809  $Q_h$  values (36%).

#### 291 3 Results

292 Identification of homogeneous snow cover days for the 2016 and 2017 snow seasons (June to October) resulted 293 in 163 total days with 90 days occurring in the 2016 and 73 days in 2017. July, August, and September had the 294 highest number of classifiable days during the period. June and October still had periods with homogenous snow cover, but they became intermittent and fewer classifiable days were in each of the months. This led to fewer 295 296 periods of study at the beginning and end of the snow seasons when the snowpack was variable, with more in the 297 late winter and early spring months when snow cover was more consistent. Mean surface and cloud characteristics 298 and median daily energy flux characteristics of synoptic types identified during the two seasons are presented in 299 Table 2.

# 300 **3.1 Synoptic types**

# 301 **3.1.1 Surface characteristics**

The dominance of the subtropical ridge in Australia's mid-latitudes is evident in the synoptic types. Four of the types (T1, T2, T5 and T7) display dominant surface high pressure systems, each with slightly different orientation and pressure centre locations (Figure 3a) resulting in different energy flux characteristics. Dominant southsouthwesterly winds from T1 are the result of the high pressure centre being located to the northwest of the study area. T2 has a predominantly zonal flow resulting from an elongated high to the north-northeast. T5 and T7 are characterized by north-northwesterly flow from high pressure centres over the New South Wales (NSW)/Queensland (QLD) coast and directly over the Snowy Mountains region, respectively.

- T3 is characterized as having dominant northwest winds along a trough axis that is positioned over SEA with a secondary coastal trough extending from southern NSW to the NSW/QLD border. T4 shows a transition from a surface trough that has moved to the east of the study region to a high pressure system that is moving into the area with winds from both features that converge over the Snowy Mountains region. The only synoptic type to have dominant influence from a surface low was T6 that had weak south-southwesterly flow over the region from a weak cut-off low to the east. For the purposes of this research, the identification of cut-off lows follows the characteristics outlined by Chubb et al. (2011) that omits the presence of a closed circulation, but includes a cold
- anomaly aloft that was cut off from the westerly wind belt.
- Though characterization of synoptic types is purely statistical, T1, T4, T5, and T6 are considered to be 'transition
- 318 types' as they have surface pressure characteristics that indicate a change in pressure regime (low high or high
- 319 low) in the upcoming days. T1, T4, and T6 are post-frontal transition types that show high pressure ridging into
- 320 the region following the passage of a trough that has either moved to the east (T1 and T4) or developed into a
- 321 weak lee-side cut-off low (T6). T5 shows the approach of a trough from the west and an associated transition to
- 322 a low pressure system. T2 and T7 show the area under the influence of zonal flow as a result of high pressure
- 323 systems centred over the area, while T3 shows SEA under the influence of a trough at the time of observations.
- 324 **3.1.2** Relative humidity and cloud cover
- Understanding RH values associated with different synoptic types provides the ability to track types that are favourable for high  $Q_e$  exchange with the snowpack. In addition, RH values at all tropospheric levels can have impacts on snowpack energy flux through influences on  $K^*$  and  $L^*$  exchange via changes to insolation and the absorption and emission of *L*. The identification of RH characteristics and associated cloud cover is necessary to fully develop energy flux characteristics for each type.
- 330 Many of the synoptic types display local RH maxima in the Snowy Mountains region at 850 hPa (Figure 3b) and,
- 331 while T5 has the lowest RH values of all types, it still has slightly higher RH values over the area. The elevation
- in RH values in the region is most likely caused by changes of airmass thermodynamic properties due to
- 333 orographic forcing of the mountains (Ahrens, 2012). T4 and T6 had the highest RH values over the region at 850
- hPa with both being widespread and higher than 90%. T6 shows strong southerly advection of elevated RH values
- from the tropics along the NSW and QLD coast ahead of troughs at 700 and 500 hPa that are associated with the
- 336 surface cut-off low.

- 337 Identification of cloud cover, conducted following the procedures outlined in section 2.4, agreed with the mean
- RH characteristics of T4 and T6 with both types having 100% cloud cover between partial and complete cloud
- cover days (Table 2). However, T1, T3, and T5 also had 100% cloud cover occurrence and two of the three (T1
- and T3) had RH values above 80%. T5 was the only synoptic type with 100% cloud cover and RH value below
- 341 80%. T6 showed the highest RH values of any type with values greater than 90% over the region at the 700 and
- 500 hPa levels. While not definitive, this would suggest that T6 has deeper or more cloud layers than T4, which
   likely only has clouds at lower altitudes. T2 and T7 had the lowest percentage of days with any cloud cover, which
- is confirmed by their low RH values at 700 hPa (<20% & <30%) and 500 hPa (<30% & <40%), respectively. In
- addition, they were the only two types with cloud-free days with T2 clear sky 25% of the time and T7 having 16%
- of its days without cloud.

# 347 **3.1.3 Temperature**

- 348 Temperature characteristics of synoptic types at low and mid-levels in the atmosphere are crucial to identify those 349 with the highest surface sensible heat flux characteristics. The highest mean temperatures and strongest warm air 350 advection (WAA) in the Snowy Mountains region at 850 hPa (Figure 3c) was found to be from T5 that is driven 351 by converging winds on the back of a high pressure circulation to the east and the leading edge of a trough to the 352 west. T2 and T3 have the second and third highest temperatures, respectively, but have different advection 353 characteristics. T2 shows relatively weak WAA into the Snowy Mountains region associated with zonal flows at 354 850 hPa resulting from the high pressure circulations located to the north (similar to T7). However, T3 shows cold 355 air advection (CAA) associated with dominant winds from the west-northwest.
- Overall, CAA at 850 hPa can be identified in four of the seven types (T1, T3, T4, and T6) and warm air advection exists in the other three synoptic types (T2, T5, and T7). Of the four CAA types, T1 and T4 advection is being generated through south-southwest and west-southwest winds, respectively, related to high pressure centres to the northwest. Despite a stronger southerly component of dominant CAA winds in T1, temperatures are lower in T4 which has a higher westerly component to the wind. T6 shows CAA related to converging winds on the back of a trough to the east and a high to the northwest.

# 362 **3.1.4 Frequency and duration**

- The frequency of each synoptic type during the 2016 and 2017 snowpack seasons is shown in Table 2. T3 and T7 occurred most frequently with 26.99% (44 days) and 19.02% (31 days) respectively. The higher number of days in T3 and T7 is reflected in the mean type duration that shows these types with the longest duration. This is likely due to these synoptic types occurring in a slower progressing synoptic pattern over multiple days as seen in the mean type duration data (Table 2).
- 368 Identification of common synoptic circulations, that are comprised of a progression of several synoptic types, and 369 their impact on surface energy balance can aid in the understanding and forecasting of snowpack ablation based 370 on synoptic conditions. In order to identify common synoptic circulations, analysis on common transitions 371 between synoptic types was conducted. Transition probabilities for the 2016 and 2017 seasons were developed 372 similar to those used by Kidson (2000) that detail the likelihood of a synoptic type occurring on the following day 373 given an initial type. The highest transition probabilities were identified for each type and a flowchart was 374 developed based on the most likely synoptic type progressions (Figure 4a). If the highest transition probabilities

- were within < 0.05 of each other, two paths were plotted. The flowchart shows what would be expected for a basic
- 376 synoptic-scale circulation at mid-latitudes; a trough propagating eastward into the Snowy Mountains region in T7,
- 377 T5, and T3; either continued eastward movement of the surface trough (T4) or the development of a weak cut-off
- low (T6); then transitioning to dominant high pressure over the region again (T2, T1, or T7).

#### **379 3.2 Energy flux characteristics of synoptic types**

380 It is important to consider the effects of synoptic type frequency when determining primary sources of energy 381 fluxes over long periods, as synoptic types that contribute the most to snowpack ablation may simply have a higher 382 rate of occurrence and lower daily energy flux values than other types. In order to obtain a more detailed 383 understanding of each type's energy flux, median daily energy flux calculated for each type was determined to be a better method of comparison. Therefore, both median daily and total snowpack fluxes over the two seasons 384 (Figures 5 & 6) are presented in MJ m<sup>-2</sup> to show synoptic type energy flux contributions made at short and longer 385 temporal scales. While initial measurements were made in Wm<sup>-2</sup>, the use of MJ m<sup>-2</sup> in this paper allows for easier 386 387 comparison to other energy balance works conducted on this region (Bilish et al., 2018) as well as research on 388 synoptic weather and energy fluxes in other locations (Welch et al., 2016;Burles and Boon, 2011;Ellis et al., 2011; Hay and Fitzharris, 1988; McGregor and Gellatly, 1996; Granger and Gray, 1990; Neale and Fitzharris, 1997). 389

#### 390 **3.2.1** Latent and sensible heat flux

- 391 Daily  $Q_e$  was negative for each of the seven synoptic types (Figure 5a) and the magnitude of the values was shown 392 to correspond to the mean 850 hPa RH values for each type reflecting the site elevation of 1828 m asl. Two of the 393 three types with the lowest RH values (T2 and T5) showed the greatest negative  $Q_e$  values and those with the 394 higher RH values (T1 and T6) showed the least amount of  $Q_e$ , which is consistent with conditions needed for 395 evaporation from the snowpack. T5 had the second largest negative  $Q_e$  values of any type with a median value of -1.00 MJ m<sup>-2</sup> day<sup>-1</sup> which corresponds to its low 850 hPa RH values, the highest observed surface mean daily 396 397 ambient temperature of 3.5 °C, and the second lowest observed surface mean RH value of 65% with only T2 being 398 lower (60%). T3 showed the largest release of  $Q_e$  from the snowpack with a median value of -1.11 MJ m<sup>-2</sup> day<sup>-1</sup>.
- 399 Overall, negative  $Q_e$  was offset by positive  $Q_h$  for most synoptic types with the exception of T3 that had mean 400 surface temperatures below zero (-0.83°C) and a measured surface RH value below 90% resulting in more  $Q_e$
- 401 loss than  $Q_h$  gain by the snowpack. Similar to trends seen in  $Q_e$ , the highest daily median  $Q_h$  values (Figure 5b)
- 402 were associated with synoptic types with the highest temperatures at 850 hPa (T5, T7, & T2), which coincided
- 403 with observed temperatures from the energy flux tower (3.48°C, 1.46°C, & 1.89°C). T5 showed the highest daily
- 404  $Q_h$  values as a result of having the highest temperatures and also has the second lowest  $Q_e$  value that is associated
- 405 with having the lowest RH of any type (60%). Ultimately, when both turbulent terms are considered, T5 had the
- 406 highest amount of energy flux into the snowpack (1.49 MJ m<sup>-2</sup> day<sup>-1</sup>) followed by T7 (1.40 MJ m<sup>-2</sup> day<sup>-1</sup>) and T1
- 407 (1.00 MJ  $m^{-2} day^{-1}$ ).

# 408 **3.2.2 Radiation flux**

- 409 The largest contribution of radiative energy to the snowpack from all synoptic types was  $K^*$  which accounted for
- 410 53-97% of total positive flux (Figure 5c). By comparison,  $L^*$  accounted for 61-95% of negative energy flux from
- 411 the snowpack (Figure 5d) with the highest amounts of loss belonging to the types with the lowest percentage of
- 412 cloud cover (T1, T2, and T7). Total radiation flux varied largely by synoptic type and was found to be positive in

- 413 types T3 and T6 and negative for the rest of the types. The two types with positive net radiation had the highest
- 414 incoming longwave radiation flux values mostly balancing outgoing longwave values. This meant that incoming
- 415 shortwave radiation was able to dominate Q\* for these types, which resulted in the positive values. The largest
- 416 loss in Q\* was exhibited by T1, that was 31% higher than the next closest type (T4). The types with net radiation
- 417 loss (T1, T2, T4, T5, and T7) had values that ranged from -0.67 MJ  $m^{-2} day^{-1}$  (T5) to -2.78 MJ  $m^{-2} day^{-1}$  (T1).
- 418 However, T4 had dissimilar cloud and RH characteristics to T2 and T7, which had the two lowest cloud cover 419 percentages and two of the lowest RH values. T4 had 100% cloud cover and had an associated reduction in
- 420 incoming shortwave radiation that allowed the outgoing longwave radiation term to become more dominant than
- 421 in T2 or T7 and, therefore, gave it the highest  $Q^*$  loss of the three.

# 422 **3.2.3** Ground and precipitation heat flux

- Energy flux from ground and  $Q_r$  (Figure 5e & 5f) were the smallest of any term for all synoptic types, with  $Q_g$ and  $Q_r$  accounting for less than one percent of median daily energy fluxes for all synoptic types. Ground heat flux characteristics were similar between all synoptic types and varied little. While  $Q_r$  was small when examined as a daily median value, it does show a high degree of variation primarily associated with T5 and T3. This is due to several large rain events that occurred during 2016 (18 July; 21 and 22 July; and 31 August) and one during 2017 (15 August). Despite relatively low energy flux contributions by rainfall, it is interesting to note that the ten days
- 429 with the highest rainfall fluxes (>0.05 MJ  $m^{-2} day^{-1}$ ) consisted of four T5 days, three T3 days, two T7 days, and
- 430 one T6 day showing a significant clustering of high precipitation days in types T5 and T3.

#### 431 **3.2.4 Total daily net energy flux**

- 432 Overall, two synoptic types (T5 and T6) had positive median daily net energy flux to the snowpack (Figure 6a). 433 Of these, T5 had the largest energy flux that was related to its relatively high temperatures that contributed to the 434 highest  $Q_h$  value of any synoptic type and increased solar radiation from less cloud cover. Contrary to the reduction 435 in cloud cover that aided T5 in having the highest total energy flux contributions, T6 had the highest cloud cover 436 and yet had the second highest energy flux to the snowpack that was primarily due to increased incoming 437 longwave radiation. T7 was close to having neutral energy fluxes with a median value of only -0.04 MJ m<sup>-2</sup> day<sup>-1</sup> 438 as a result of relatively low percentage of cloud cover resulting in strongly negative  $L^*$  as well as the second 439 high  $L = L_{energy} = L_{energy}$
- 439 highest  $Q_h$  term of any type.
- T1 and T4 showed the greatest negative median daily net energy flux of all synoptic types (Figure 6a), which could be attributed to their negative  $L^*$  and to having low  $K^*$  terms. T3 has a similar net energy flux to T4, but is negative primarily due to having the only negative  $Q_h$  of any type. T2 also had a net negative median daily energy flux but to a lesser extent than either T1, T3, or T4. Relative humidity values lower than any other type were the primary driver behind T2's negative net value as it resulted in the highest longwave radiation loss from the snowpack through having the lowest cloud cover, as well as  $Q_e$  loss.
- 446 The synoptic type T5 contributed the most energy to the snowpack during the two seasons (Figure 6b) due to a
- 447 moderate number of occurrences (22), an IQR that was higher than the other synoptic types, higher maximum
- 448 values, and having the largest positive fluxes from high  $Q_h$  values. Much of the energy flux of T5 was associated
- 449 with strong WAA ahead of the passage of cold fronts. While T6 was the only other type to have positive median
- 450 daily energy flux contributions to snowpack energy flux, T7 contributed a higher amount of energy flux during

- the two winter periods. This occurred because it had the second highest number of occurrences, and the
- distribution of occurrences around the median show that events were either near-neutral or positive in their energy
- fluxes. T6 was the only other type to have a positive energy flux contribution to the snowpack over the two seasons
- 454 and it was smaller than that of T5 or T7. Similar magnitude was seen in the negative flux contributions of T1, T2,
- and T4 with T2 having the most significant negative flux. T1 and T4 also showed negative fluxes, but T3 showed
- 456 a nearly neutral contribution to snowpack energy flux over the two winter seasons. As T3 is associated with a
- 457 surface trough, it's possible that pre-frontal and post-frontal characteristics are both incorporated in the energy
- 458 balance of T3 and act to cancel each other out when averaged over a longer period.
- All synoptic types had variation in median daily net energy that can be attributed to the classification conducted by the k-means clustering technique. Each type consisted of classified days that had similar synoptic characteristics, but differences in system strength and position affected energy fluxes for individual days. Therefore, it is important to remember that each synoptic type is associated with a range of daily energy flux values in addition to the median daily energy flux for each type.

# 464 **3.2.5 Energy balance closure**

- 465 Daily site energy balance closure was determined by calculating snow water equivalent (SWE) from automated 466 snow depth measurements and median snowpack density and comparing the energy flux required for measured decreases in SWE to the  $Q_{res}$  value for the same period. Closure was calculated for days where 50% or more 467 468 daytime periods had snowmelt and outliers were removed. A drawback of this method is that it does not distinguish 469 between types of ablation (melt, evaporation/sublimation, wind-scour) and any removal of snow through a process 470 other than melt will result in higher error in calculation of closure. Evaporation/sublimation is already included in 471 the calculation of energy balance closure as it is represented by measured latent heat flux. Therefore, the only 472 process that needs to be acknowledged as a potential source of snow removal in addition to melt when interpreting 473 the results of the closure calculations is wind-scour.
- 474 Mean energy balance closure for all periods and synoptic types was  $0.62 \pm 0.72$  and, as  $Q_{ec}$  is a measure of error 475 in energy balance closure, it represented approximately 38% of total fluxes during the study. T4 had the only 476 negative closure (-0.24 ± 0.30) (Table 3) that was likely the result of strong winds scouring fresh snow from T3, 477 however, only one day of analysis existed for T4 and the results may not be applicable to the broader number of
- 478 days. T6 had the highest closure of any type  $(0.92 \pm 1.13)$ , but also showed one of the largest variations in closure
- 479 with only T2 ( $0.83 \pm 1.33$ ) having a larger standard deviation. Overall, mean values of wind speed and energy
- balance closure of each synoptic type showed significant correlation (r = -0.73,  $R^2 = 0.54$ ), suggesting that wind-scour of the snowpack did have an impact on the calculation of energy balance closure.

# 482 **4 Discussion**

# 483 **4.1 Properties of synoptic type energy balance**

- 484 Net shortwave radiation flux contributed the largest amount of energy to the snowpack for all synoptic types 485 ranging from 53-97% of median daily energy flux with T5 being the only synoptic type below 60% contribution
- 486 (53%) of  $K^*$  to the snowpack. These results agree with Fayad et al. (2017) who noted that radiative fluxes are the
- 487 dominant source of snowpack melt energy in mountain ranges with Mediterranean climates. Net  $Q_h$  contributed
- the second highest percentage of median daily energy flux to the snowpack accounting for 16-44% of positive

- fluxes with the exception of T3 that had a  $Q_h$  term that accounted for 4% of its negative fluxes. The largest contributions of  $Q_h$  to the snowpack are associated with synoptic types T2, T4, T5, and T7 that are characterised
- 490 contributions of  $Q_h$  to the snowpack are associated with synoptic types T2, T4, T5, and T7 that are characterised 491 by high pressure and northwesterly or westerly winds that are associated with WAA. Hay and Fitzharris (1988)
- 492 noted that, while radiative terms were responsible for the majority of energy contributions to glacier melt in New
- 493 Zealand's Southern Alps, turbulent fluxes contributed significant amounts of energy to melt. Similarly, despite
- 494  $Q_h$  not being the dominant energy flux to the snowpack for any synoptic type, it does account for nearly half of
- the energy flux to the snowpack for T5 (44%) and over a third for T7 (35%), and is still a significant source of
- 496 energy flux to the snowpack for nearly all synoptic types.
  - 497 Median daily energy loss from the snowpack was from  $Q_e$  and  $Q^*$ , which dominated T1, T2, and T4 resulting in
  - 498 negative median daily energy fluxes from the snowpack. Net longwave radiation was the most influential term in
  - 499 the emission of energy from the snowpack accounting for 61-95% of energy loss with net  $Q_e$  flux accounting for
  - 500 5-39% of outgoing energy flux. Though the methodology of this paper distinguishes between shortwave and
  - 501 longwave fluxes in order to better examine the effects of synoptic-scale features such as RH or cloud cover on
- 502 radiative transfers similar to that of more recent works such as Cullen and Conway (2015), many historical works
- 503 have not made the same distinction in terms (Moore and Owens, 1984;Hay and Fitzharris, 1988;Neale and
- 504 Fitzharris, 1997; Stoy et al., 2018). It should be noted that had  $Q^*$  been used for comparison, the results of this
- 505 paper agree with several studies (Sade et al., 2014; Moore and Owens, 1984; Bednorz, 2008b) that found that
- 506 turbulent fluxes were the dominant fluxes when examining the energy flux characteristics on snowpacks in
- 507 climates similar to that of the Snowy Mountains in the Australian Alps.
- 508 Median daily  $Q_g$  values were found to account for only a small fraction of total energy flux to the snowpack 509 consisting of 1-5% of daily positive energy fluxes. Similarly, energy flux to the snowpack from  $Q_r$  has been 510 shown to only contribute < 1% of total seasonal energy flux for five of the seven synoptic types which agrees with 511 the findings of other studies (Bilish et al., 2018; Mazurkiewicz et al., 2008). However, precipitation was 512 responsible for > 1% of the daily median energy flux of the two synoptic types primarily associated with rain-on-513 snow events, T5 and T3. Although fluxes imparted on the snowpack from rainfall are relatively small when 514 compared to all positive fluxes, the accompanying energy flux characteristics of T5 associated with rain-on-snow 515 events are responsible for two of the three largest contributions of overall snowpack energy fluxes.
- The results show a significant agreement with previous research conducted in this region by Bilish et al. (2018) when methods from that work are used to calculate relative contributions of positive energy fluxes to the snowpack. Overall, incoming longwave radiation was shown to be the highest positive flux to the snowpack accounting for 75-86% of incoming energy flux. Shortwave radiation was responsible for an additional 8-14% of incoming energy flux with  $Q_h$  accounting for 0-9% of incoming fluxes,  $Q_e$  generating 0-4%,  $Q_g$  attributing 0.3%, and  $Q_r$  accounting for 0.1%. Despite methodological differences that can be attributed to the need to highlight different processes within atmosphere – snowpack interaction, results from both papers show similar overall
- 523 energy fluxes.
- 524 Energy balance closure at the site was similar to other research into snowpack energy balance (Welch et al., 2016)
- and total error in closure was 38% during the entirety of the study. Though the method used to calculate energy
- 526 balance closure offered a good approximation, wind-scour is a significant source of error with this method.

527 Therefore, energy balance closure methods that incorporate internal measurements of snowpack energy are 528 preferable when possible.

#### 529 4.2 Synoptic patterns and energy flux

543

530 Snowpack energy flux characteristics recorded at the Pipers Creek catchment headwaters have been related to synoptic weather types that occurred during the 2016 and 2017 snow seasons. The resulting analysis reveals a 531 532 maximum in positive energy flux as pre-frontal troughs approach the Snowy Mountains, followed by cold front 533 conditions during the  $T7 \rightarrow T5 \rightarrow T3$  common progression pattern identified here. Several factors cause high 534 positive energy flux during these periods that include: an increase in temperatures due to WAA and the associated 535 increase in positive  $Q_h$ ; decrease in negative  $L^*$  due to an increase in cloud cover; a decrease in  $Q_e$  following 536 frontal passage and associated increase in RH; and progressively increasing  $Q_r$  as the trough approaches and 537 immediately after passage.

- 538 Synoptic types characterized by surface high pressure as their primary influence (T1, T2, T4, and T7) had four of
- 539 the five negative daily contributions to snowpack energy flux. In T1 and T7, net shortwave radiation terms  $(K^*)$
- 540 were positive and varied by ~4-10% for these types, however, low RH and cloud cover allowed for highly negative
- 541  $L^*$  terms that were not compensated by change in  $K^*$ . In contrast, T4 had higher cloud cover and increased RH
- 542 that were due to advection of moisture from the Tasman Sea. The higher RH in T4 and low mean air temperature
- (-2.06°C) resulted in  $Q_e$  and  $Q_h$  terms of similar magnitudes, but opposite signs that nearly cancelled out. This 544 resulted in a  $L^*$  term that was of lesser magnitude than those of T1, T2, and T7, but still the dominant term in its 545 energy exchange.
- 546 Four primary synoptic circulation patterns were identified during the study period. Each of the four patterns and 547 their associated energy flux values calculated from median daily flux and mean type duration can be seen in 548 Figures 4a and 4b. While each pattern differs towards the end of the cycle, each one has the  $T7 \rightarrow T5 \rightarrow T3$ 549 progression in common. Unsurprisingly, the highest contribution of median energy flux to the snowpack (0.75 MJ 550 m<sup>-2</sup>) is from Pattern 1, which has only two synoptic types with negative flux (T3 and T7) whereas the others all 551 contain three or four negative flux types. Pattern 3 had the largest negative snowpack energy flux (-2.44 MJ m<sup>-2</sup>) 552 due to it containing types with the highest net energy loss (T1 and T4).
- 553 Changing synoptic regimes in the Snowy Mountains suggest an increase in anti-cyclonic conditions (Hendon et 554 al., 2007; Pepler et al., 2019), such as types T1, T2, T4, and T7, as a result of poleward shift in the subtropical 555 ridge (Cai et al., 2005). Under these conditions, snowpack energy exchange in the Australian Alps would be 556 expected to decrease as synoptic types related to anti-cyclonic conditions have negative energy fluxes to the 557 snowpack. While these results may seem counterintuitive regarding a generally warming climate, they agree with 558 the findings of Theobald et al. (2016) who showed reductions in cool-season precipitation amounts and frequency 559 due, in part, to reductions in the occurrence of dominant cold front systems. The reduction in cold-frontal systems 560 in the Australian Alps region is associated with declines in the pre-frontal WAA that has been shown to be the 561 primary driver of positive snowpack energy flux. However, potential reductions in energy fluxes to the snowpack 562 will not likely lead to increases in snowpack duration or depth, as reductions in precipitation are associated with the shifts to anti-cyclonic synoptic patterns (Theobald et al., 2016;Theobald et al., 2015). 563

564 The synoptic effects on snowpack energy balance identified in this paper represent those experienced within the 565 Pipers Creek catchment headwaters and are an important first step towards a more comprehensive understanding of synoptic influences on the energy balance of the Snowy Mountains snowpack. As synoptic-scale effects on the 566 wider region likely differ from those described here, caution should be exercised before upscaling the Pipers Creek 567 568 catchment headwaters measurements to the broader Snowy Mountains region. Pomeroy et al. (2003) noted that 569 differing slope and aspect of three proximal energy balance sites showed significant control on whether daily net 570 radiation was positive or negative and that daily incoming solar radiation varied by as much as 26% as a result. 571 Similar effects of complex terrain on turbulent fluxes exist, as terrain-induced flows will contribute to 572 measurements of turbulent fluxes in addition to measured effects of synoptic patterns. Therefore, consideration of 573 an area's slope, aspect, and surrounding terrain is crucial to understanding synoptic-scale effects on its energy balance. 574

#### 575 **4.3** Distribution of gap-filled eddy covariance fluxes

576 One of the disadvantages of the Random Forest regression method to gap-fill missing EC data is that exact results 577 aren't reproducible due to the method's random handling and sub-setting of predictor variables. Methods of 578 developing models and predicting values were evaluated over twenty iterations to determine the amount of 579 variability in RMSE when generating a random forest from the same dataset. Some variability in RMSE was noted 580 between tests for  $Q_e$  and  $Q_h$  but was small with a standard deviation of 0.01 Wm<sup>-2</sup> in  $Q_e$  and 0.03 Wm<sup>-2</sup> in  $Q_h$ . 581 Small differences in RMSEs between model development runs and data filling indicate that RMSE values for gap-582 filled data would be best represented as  $2.56 \pm 0.01$  Wm<sup>-2</sup> for  $Q_e$  and  $4.67 \pm 0.03$  Wm<sup>-2</sup> for  $Q_h$ 

- 583 Gap-filling of  $Q_h$  and  $Q_e$  can introduce uncertainty into measurements that may affect the ability to thoroughly 584 compare datasets such as those pertaining to the different synoptic types compared within this work. As such, it 585 is important to note that not all synoptic types had equal amounts of gap-filling for their  $Q_e$  and  $Q_h$  fluxes. 586 Distribution of gap-filled data within synoptic types depended largely on the quantity of precipitation associated 587 with each type. The most significant concentrations of gap-filled data were in T3 ( $Q_e$ : 74%,  $Q_h$ : 55%) T5 ( $Q_e$ : 588 57%,  $Q_h$ : 39%), and T6 ( $Q_e$ : 81%,  $Q_h$ : 73%). Differences in the quantity of gap-filled data between synoptic types 589 can create uncertainty when making comparisons between fluxes in each. However, uncertainty introduced
- 590 through gap-filling procedures is relatively low and should have a minimal impact during comparison of fluxes.

# 591 **5 Conclusions**

Overall, periods of pre-cold frontal passage contribute the most energy fluxes to snowpack melt due to WAA 592 593 ahead of the front, a reduction in cloud cover allowing for higher incoming shortwave radiation, and the gradual 594 development of precipitation that often contributes to rain-on-snow events. While this work was conducted solely 595 on the Australian snowpack, snowpacks in other regions such as New Zealand (Hay and Fitzharris, 1988;Neale and Fitzharris, 1997), Canada (Romolo et al., 2006a;2006b), the Spanish Pyrenees (Lopez-Moreno and Vicente-596 597 Serrano, 2007), and the Arctic (Drobot and Anderson, 2001) see similar synoptic-scale effects on snowpack energy to those presented here. Snowpack energy fluxes in the Australian Alps would likely decrease under 598 599 climate change progression as a result of reductions to primary cold-frontal systems and associated pre-frontal 600 WAA. However, as this study developed relationships between synoptic patterns and snowpack energy fluxes based on single-site measurements in the Pipers Creek catchment headwaters, their applicability may be limited 601 602 and caution should be exercised before applying them to the broader region.

- 603 The understanding of synoptic-scale processes on snowpack energy balances will likely become applicable to
- broader regions as climate change continues and snowpacks develop warmer properties (Stewart, 2009;Adam et
- 605 al., 2009; Pepler et al., 2019; Catto et al., 2014; Cai et al., 2005; Theobald et al., 2016; Chubb et al., 2011). An
- 606 increased burden on freshwater systems for agriculture, drinking water, and energy production will continue as
- these changes occur (Parry et al., 2007). Therefore, continued work on marginal snowpack ablation processes,
- such as those within the forested regions of Australia's Snowy Mountains, will be important to resource
- 609 management and should be explored.

# 610 Data Availability

- Energy flux data used in this study is available at <a href="https://doi.org/10.14264/uql.2019.691">https://doi.org/10.14264/uql.2019.691</a>. ERA-Interim reanalysis
  data are freely available from the European Centre for Medium-Range Weather Forecasts
  (https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim). Precipitation data used in this
  study was supplied by Snowy Hydro Limited via restricted access, this data can be obtained by contacting Snowy
  Hydro Ltd.
- 616 Author Contributions
- AS, HM, AT, and NC designed the experiments and AS conducted them. AT developed the k-means clustering
- and synoptic typing code. AS developed the code related to energy balance and eddy covariance measurements.
- 619 AS wrote the manuscript with input from all authors.

# 620 Competing Interests

621 The authors declare that they have no competing interests.

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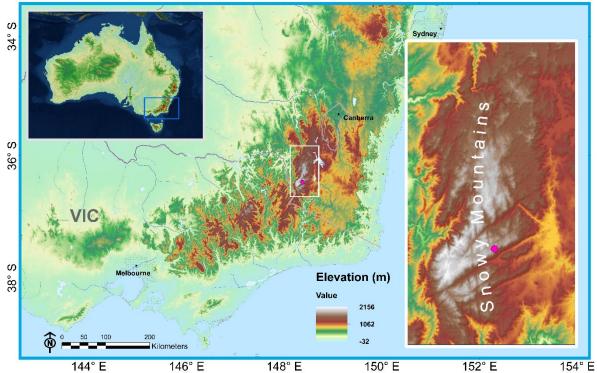
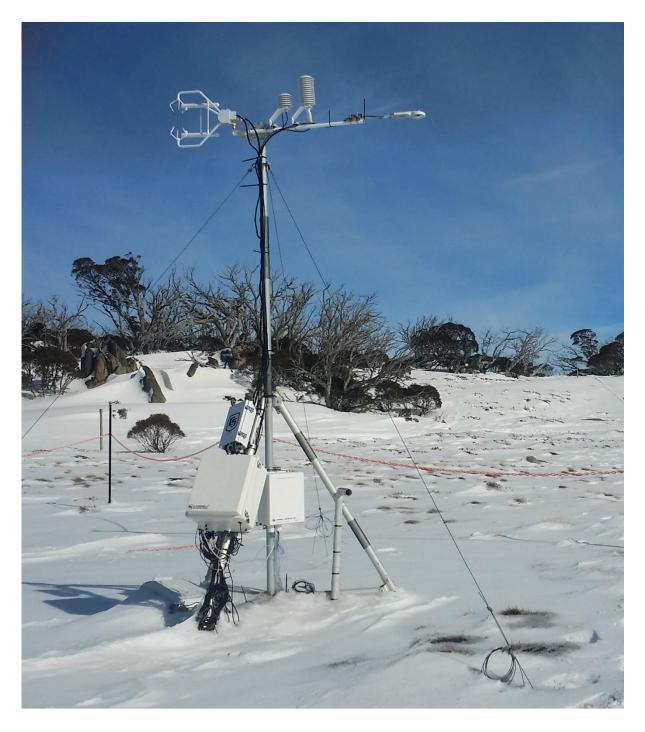
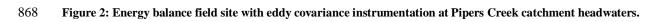
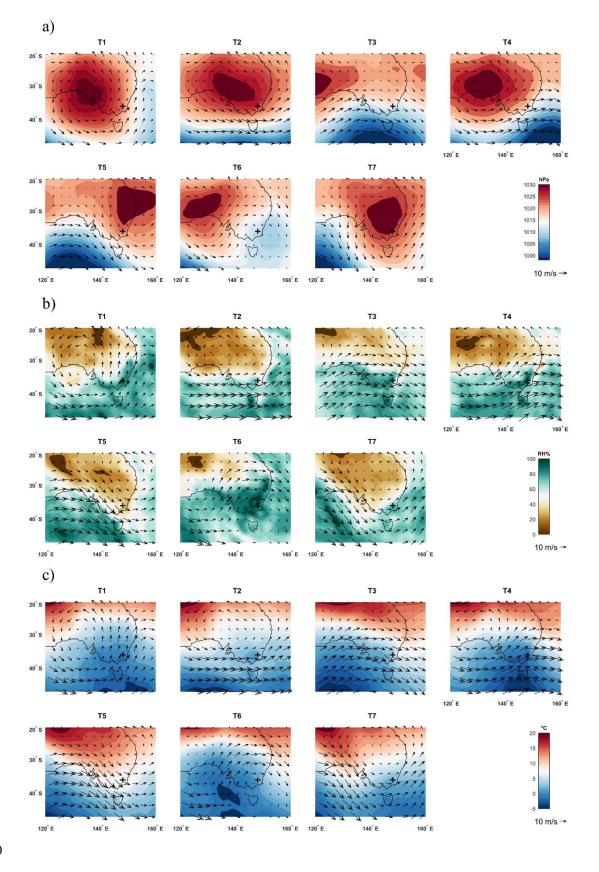


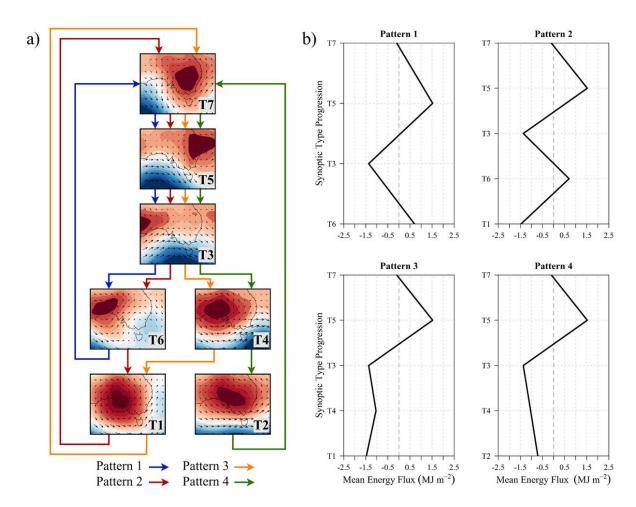
Figure 1: Map of southeast Australia and the Snowy Mountains. Pink dot represents the location of the energy balance instrumentation site. Map layer sources copyright: ESRI, USGS, NOAA, DigitalGlobe, GeoEye, Earthstar Geographics, CNE S/A Airbus DS, USDA, AeroGRID, IGN, and the GIS User Community.







- 871 Figure 3: Mean synoptic type MSLP and 10m wind vectors (a), 850 hPa RH and wind vectors (b), and 850 hPa  $T_d$  and
- 872 wind vectors (c) over the southeast Australia region for the 2016 and 2017 seasons. Location of surface energy balance
- site marked with '+'.



876 Figure 4: Flowchart of four primary synoptic type patterns/progressions based on probability of transition for the 2016

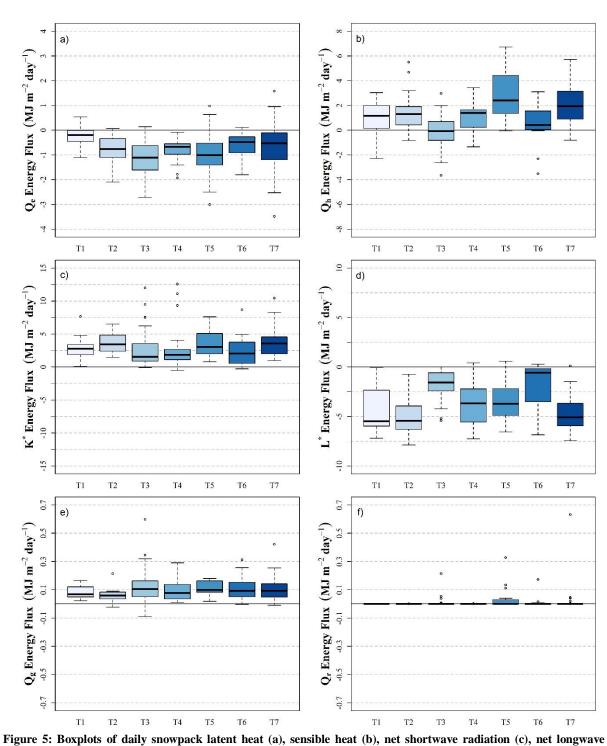
877 and 2017 seasons (a) and calculated synoptic pattern snowpack fluxes based on median daily values and mean duration

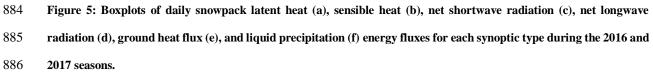
878 of synoptic type (b).

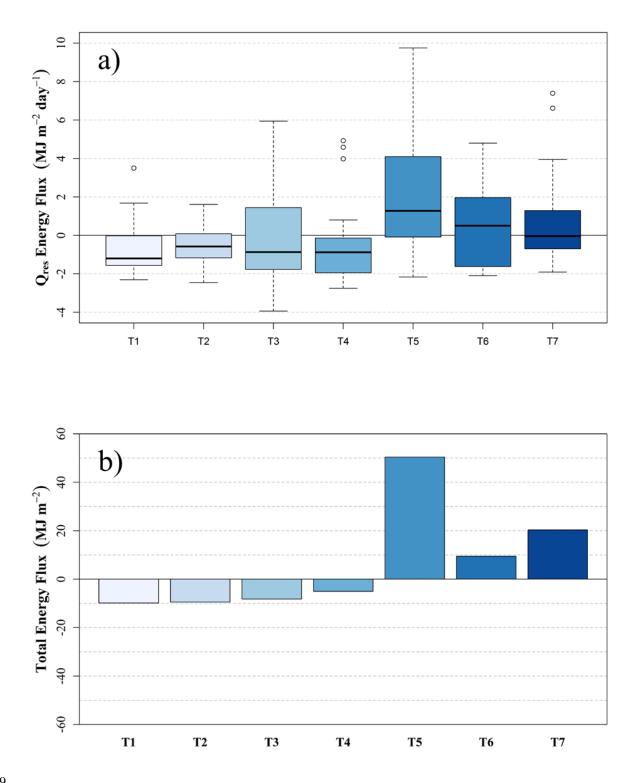
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890 Figure 6: Boxplot of daily residual snowpack energy fluxes (a) and bar chart of total summed energy flux (b) by synoptic

891 type for the 2016 and 2017 seasons.

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Instrument	Manufacturer	Variables Measured	Accuracy
SI-111	Apogee Instruments	Surface Temperature (T <sub>sfc</sub> )	± 0.2°C -10°C <t<65°c td="" ±<=""></t<65°c>
			0.5°C -40°C <t<70°c< td=""></t<70°c<>
CS650	Campbell Scientific	Soil Water Content (SWC)	$\pm 3\%$ SWC
		Soil Temperature	$\pm 5^{\circ}C$
CSAT3A	Campbell Scientific	Wind Components (ux, uy, uz);	$\pm 5 \text{ cm s}^{-1}$
		Wind Speed (u) and Direction	
		(°); and Sonic Temperature	
EC150	Campbell Scientific	H <sub>2</sub> O Gas Density	2%
NOAH II	ETI Instrument Systems	Precipitation Accumulation	$\pm 0.254 \text{ mm}$
HFP01	Hukseflux	Soil Heat Flux	< 3%
CNR4	Kipp and Zonen	Κ↓, Κ↑, L↓, L↑	K < 5% Daily Total
			L < 10% Daily Total
HMP155	Vaisala	Air Temperature (T <sub>d</sub> )	< 0.3°C
		Relative Humidity (RH)	<1.8% RH
	Vaisala	Barometric Pressure	± 0.15 kPa
PIBII0	v ulbulu		_ 0110 11 4
PTB110 <b>`able 1: Infor</b>		t the Pipers Creek catchment site.	

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Synoptic Type	T1	T2	T3	T4	T5	T6	Τ7
Surface Characteristics	High pressure; SW winds	High pressure; WNW winds	Frontal; NW winds	High/low transition; W winds	High Pressure; NNW winds	Lee-side low; SW winds	High pressure; WNW winds
Cloud Cover (% days with any cover)	100%	75.00%	100.00%	100.00%	100.00%	100.00%	84.00%
$Q_h (MJ m^{-2} day^{-1})$	1.17	1.30	0.04	0.88	2.50	0.47	1.92
Qe (MJ m <sup>-2</sup> day <sup>-1</sup> )	-0.22	-0.64	-1.16	-0.67	-1.09	-0.51	-0.53
K↓ (MJ m <sup>-2</sup> day <sup>-1</sup> )	12.62	15.47	8.91	11.29	12.60	8.11	13.05
K↑ (MJ m <sup>-2</sup> day <sup>-1</sup> )	-9.61	-11.26	-6.97	-9.55	-9.48	-5.85	-9.60
$L\downarrow$ (MJ m <sup>-2</sup> day <sup>-1</sup> )	19.53	20.16	24.95	22.08	23.59	26.57	21.38
L↑ (MJ m <sup>-2</sup> day <sup>-1</sup> )	-25.32	-26.00	-26.63	-25.74	-27.38	-26.91	-26.70
Qg (MJ m <sup>-2</sup> day <sup>-1</sup> )	0.07	0.06	0.10	0.08	0.10	0.09	0.09
Qr (MJ m <sup>-2</sup> day <sup>-1</sup> )	0.00	0.00	0.00	0.00	0.01	0.00	0.00
Qres (MJ m <sup>-2</sup> day <sup>-1</sup> )	-1.31	-0.43	-0.84	-0.90	1.11	0.63	-0.20
Total Number of Occurrences	15	16	44	19	22	16	31
Mean Type Duration (Days)	1.23	1.31	1.59	1.19	1.20	1.33	1.42

911

912 Table 2: Synoptic, energy flux, and occurrence characteristics for each synoptic type. Mean Daily surface and cloud

913 cover characteristics are mean values and daily energy flux values are median values.

		Energy Baland	ce Closure	_	Wind Speed ( $ms^{-1}$ )	
	Number of days	Mean	SD	$Q_{ec}$	Mean	SD
T1	6	0.14	1.01	0.86	2.76	1.18
T2	7	0.83	1.33	0.17	2.65	1.43
Т3	14	0.58	0.97	0.42	3.02	1.56
T4	1	-0.24	0.30	1.24	5.02	0.69
T5	9	0.71	1.08	0.29	3.48	1.37
T6	6	0.92	1.13	0.08	2.92	1.01
T7	16	0.67	1.02	0.33	2.86	1.63

915 Table 3: Statistics on energy balance closure, error in energy balance closure ( $Q_{ec}$ ) and wind speed during energy

916 balance closure analysis periods for each synoptic type.