

1 **Permafrost thawing exhibits a greater influence on bacterial richness**
2 **and community structure than permafrost age in Arctic permafrost**
3 **soils**

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20 Running title: Relative influences of permafrost thawing and age on soil bacteria

21

22 **Abstract**

23 Global warming accelerates permafrost thawing and changes its microbial community structure, but little
24 is known about how microorganisms in permafrost with different ages respond to thawing. Herein, we
25 disentangled the relative importance of permafrost age (young, medium, old, and ancient) spanning from
26 50 to 5,000 years and thawing status (active, transition, and permanently frozen) in shaping bacterial
27 community structure using Hiseq sequencing of the 16S rRNA gene. Our results revealed significant
28 influences of both permafrost thawing and age on bacterial richness. The bacterial richness was
29 significantly higher in the young and thawed permafrost, and the richness increase was mainly observed
30 in *Firmicutes*, *Actinobacteria*, *Chloroflexi*, *Deltaproteobacteria*, and *Alphaproteobacteria*. Permafrost
31 thawing led to a gradual change in bacterial community structure and increased contribution of
32 determinism. Permutational analysis of variance demonstrated that thawing significantly changed
33 bacterial community structure at all soil ages, but the community convergence due to permafrost thawing
34 was not observed. Structural equation modeling revealed that permafrost thawing exhibited a greater
35 influence on both bacterial richness and community structure than permafrost age. Our results indicate
36 that microorganisms in permafrost with different ages respond differently to thawing, which eventually
37 leads to distinct bacterial community compositions and different organic carbon decomposition processes
38 in Arctic permafrost.

39 **Keywords:** Permafrost thawing; permafrost age; bacterial community; richness; Arctic

40

41 **1 Introduction**

42 Global warming accelerates permafrost thawing, and 200 billion tons of carbon is estimated to be
43 released into the atmosphere from global permafrost over the next 300 years (Turetsky et al., 2019). The
44 degradation of soil organic carbon (SOC) is predominately driven by microorganisms (Frank–Fahle et
45 al., 2014), and the quality and quantity of SOC also control the abundance and community structure of
46 microbial community (Chen et al., 2016). It has been reported that permafrost of different thawing status
47 and ages exhibits distinct labile and recalcitrant carbon quantities, with higher carbohydrates in relation
48 to aliphatic carbon in older than in younger permafrost (Chen et al., 2016; Mueller et al., 2015; Yang et
49 al., 2009). Thus, the distinct SOC composition may subsequently impact the microbial community
50 structure in permafrost soil, and the distinct microbial community structure may respond differently to
51 permafrost thawing. However, the impacts of permafrost age and its interaction with thawing on
52 microbial community remain largely elusive.

53 In addition to permafrost age, contrasting composition of labile and recalcitrant carbons was also reported
54 in the thawed and frozen permafrost. This was proposed to be due to the distinct microbial transformation
55 process in the different permafrost thawing status (Mueller et al., 2015). The microbes in the frozen
56 permafrost are predominately in a state with reduced metabolism rate (Gilichinskii, 1995), thus labile
57 carbon is protected from microbial degradation (Hobbie et al., 2000). In contrast, permafrost thawing
58 substantially activates a diverse range of oligotrophic and copiotrophic bacteria, and enriches
59 carbohydrate transporter and metabolism–related genes (Schostag et al., 2019). This leads to an increased
60 bacterial richness and converged community metabolic functions, and the soil carbon being dominated
61 by aliphatic carbon resulted from microbial transformation (Deng et al., 2015; Mackelprang et al., 2011;
62 Monteux et al., 2018; Schostag et al., 2019).

63 Soil development leads to changes in bacterial community structure, predominately due to nutrient
64 accumulation and vegetation colonization (Bardgett and Walker, 2004; Park et al., 2011). Distinct
65 bacterial community structure has been reported in soils of different ages. For example, young soils in
66 the deglaciation chronosequence exhibit significantly lower bacterial richness than aged soils, and
67 autotrophs play a major role in the accumulation of nutrients (Kazemi et al., 2016; Kim et al., 2017; Liu
68 et al., 2016). In contrast, aged soils with vegetations are dominated by heterotrophs, such as
69 *Acidobacteria* and *Actinobacteria* (Kwon et al., 2015). However, little is known about the influence of

70 permafrost age on soil microbial community.

71 To explore the effects of permafrost age on the response of bacteria to permafrost thawing, soil bacterial
72 community in Arctic permafrost was characterized using the Illumina sequencing targeting the 16S rRNA
73 gene. Given the continuously changed bacterial community with increasing soil age (Kazemi et al., 2016;
74 Kim et al., 2017), we hypothesized that bacterial richness and community structure would also
75 significantly differ in the permafrost of various ages and response differently to permafrost thawing.
76 Approximately 20% of the Arctic coastal plains of northern Alaska contain thaw lakes drained at various
77 stages since the mid-Holocene, which were then developed into ice-rich permafrost (Hinkel et al., 2003).
78 These drained lake basins contain soils ranging from freshly developed organic layers on sediments to
79 fully developed ancient permafrost soils (Mueller et al., 2015). By using the drained thaw lake basin age
80 as a proxy for the time of permafrost formation, it provides an opportunity to investigate the influence of
81 permafrost age on microbial community during permafrost degradation. An earlier study at this site has
82 revealed a high abundance of *Candidatus Methanoflorens* archaeon in the community (Kao-Kniffin et
83 al., 2015), but how the bacteria in the permafrost of various ages would respond to thawing remains less
84 understood. Thus, we investigated the interactive influence of permafrost thawing and age on permafrost
85 soil bacterial community.

86 **2 Materials and methods**

87 *2.1 Site description*

88 The permafrost was sampled in the Barrow Peninsula between 71° 20' to 71° 27' N latitude and between
89 156° 4' and 156° 7' W longitude (Kao-Kniffin et al., 2015). Barrow Peninsula is located at the
90 northernmost coast of Alaska and is part of the Arctic Coastal Plain with continuous permafrost. The
91 mean annual temperature is -12°C, and the mean annual precipitation is 104 mm (Mueller et al., 2015).
92 In brief, 16 soil cores were collected along a chronosequence of drained lake basins, spanning in age
93 from young (< 50 years old), medium (< 300 years old), old (< 3,000 years old), to ancient (3,000–5,000
94 years old) in April 2010. The chronosequence was determined by the degree of plant community
95 succession and ¹⁴C carbon dating (Hinkel et al., 2003). At each lake basin, a soil core was collected using
96 a SIPRE corer measuring 80 to 150 cm long and 7.5 cm diameter attached to a Big Beaver earth drill
97 apparatus (Litter Beaver, Inc., Livingstone, TX, USA) mounted on a sled. Each soil core contained three

98 layers: active, transition, and permanently frozen. The active layer represents the surface soil layer that
99 thaws and refreezes on an annual basis; the transition layer remains frozen, but occasionally thaws during
100 warmer summers; the permanently frozen layer remains annually frozen (Kao–Kniffin et al., 2015). The
101 surface organic layer thickness varies with permafrost age, which is < 5, 10–15, 15–30, and 40–50 cm
102 for the young, medium, old, and ancient–aged permafrost (Kao–Kniffin et al., 2015). For each permafrost
103 age–layer combination, there were four sampling replicates. The frozen soil cores were cut with a chop–
104 saw into sections of soils horizons in a cold room in Barrow, and soils were homogenized, stored, and
105 transported at -20 °C until processed (Mueller et al., 2015). Due to sample quantity limitation, two of the
106 most important soil physicochemical properties: total organic carbon (TOC) and total nitrogen (TN) were
107 measured using dry combustion (Vario MAX CNS Analyzer, Elementar, Hanau, Germany). These factors
108 have been reported to substantially vary in samples with different permafrost ages and thawing statuses
109 (Mueller et al., 2015). For other soil properties and soil profile descriptions please see Kao–Kniffin et al
110 (2005).

111 *2.2 DNA extraction and sequencing*

112 Total DNA was extracted using the MO BIO Power Soil DNA extraction kit (Mo Bio Laboratories,
113 Carlsbad, CA, USA) according to the manufacturer’s instructions. Universal primer set 515F (5’–
114 GTGCCAGCMGCCGCGGTAA–3’) and 806r (5’–GGACTACHVGGGTWTCTAAT–3’) with 12–nt
115 unique barcodes was used to amplify the V4 hyper–variable region of the 16S rRNA gene (Caporaso et
116 al., 2012). The PCR mixture (25 µl) contained 1x PCR buffer, 1.5 mM of MgCl₂, 0.4 µM each of
117 deoxynucleoside triphosphate bases, 1.0 µM of each primer, 0.5 U of Ex Taq (TaKaRa, Dalian, China)
118 and 20 ng of DNA template. The PCR amplification program included an initial denaturation at 94 °C
119 for 3 min, followed by 30 cycles of 94 °C for 20 s, 56 °C for 30 s, and 72 °C for 45 s, and a final extension
120 at 72 °C for 10 min. PCR products were pooled in equal molar amounts, and then used for pair–end
121 sequencing (2x250 bp) on the Illumina HiSeq 2500 sequencer at the Magigene (Guangzhou, China).

122 *2.3 Data processing*

123 Three samples generated very low reads, to avoid artifacts from different sequencing batches, these three
124 samples were removed from the downstream analysis. Raw sequence data were processed using the
125 MOTHUR v. 1.34.3 (Schloss et al., 2009). Paired–end reads were merged and quality screened with the

126 following settings: as the amplicon size was approximately 300 bp, sequences with length <250 or >350,
127 more than 1 mismatch in the primer region, average quality < 30, ambiguous bases >0 and homopolymer
128 length >9 were removed from the subsequent downstream analysis. The sequences were then aligned
129 against the Silva reference alignment (release 128), which was trimmed to include only the same region
130 amplified, and those sequences that did not align were removed. Chimeric sequences were identified
131 using the UCHIME(Edgar et al., 2011) and removed. The remaining sequences were classified using the
132 Bayesian classifier against the Silva database (release 128), with a minimum confidence score of 80%
133 (Wang et al., 2007), and all Eukaryota, chloroplasts, mitochondria and unknown sequences were removed.
134 Archaeal sequences were also removed to concentrate the study on the bacterial community. Finally,
135 sequences were classified into operational taxonomic units (OTUs) at the 97% identity, and singletons
136 were then removed. The dataset was sub-sampled to an equal depth of 16,144, which was the smallest
137 sample size across the entire dataset. Bacterial richness, evenness, and Shannon diversity indices were
138 calculated using the summary.single command in the Mothur program (Schloss et al., 2009). Bacterial
139 phylogenetic diversity index was calculated using the pd command in the Picante package (Kembel et
140 al., 2010) under the R environment.

141 *2.4 Statistical analysis*

142 Bacterial richness, evenness, Shannon diversity, phylogenetic diversity, total organic carbon, and total
143 nitrogen in the samples with different permafrost ages and thawing statuses were compared using the
144 two-way ANOVA, and pairwise differences were assessed using the Tukey's HSD test. The Levene's test
145 was used to ensure the homogeneity of variances for the dependent variables (bacterial richness, TOC,
146 and TN) for each combination of the independent variables(Brown and Forsythe, 1974). One-way
147 ANOVA was used to examine the significance of the differences among the permafrost soils of different
148 thawing statuses within the same age class. Both Two-way ANOVA and one-way ANOVA were
149 performed using SPSS 23 (SPSS Inc., Armonk, NY, USA).

150 Non-metric Multidimensional Scaling (NMDS) plot was generated from the Hellinger-transformed
151 bacterial community dataset based on the Bray-Curtis dissimilarity matrix using Primer 6 (Clarke and
152 Warwick, 2006). The contributions of carbon, nitrogen, C:N ratio, permafrost age, and thawing status to
153 the community structure were quantified using the distance-based linear model (DistLM) after
154 normalization. Permutational analysis of variance (PERMANOVA) was used to examine the influence

155 of permafrost thawing status and age on bacterial community structure (Anderson, 2001) using Primer 6.
156 We compared the multivariate dispersion homogeneity to assess the bacterial community convergence
157 by permafrost thawing status, using permutational analysis of multivariate dispersions (PERMDISP)
158 (Anderson, 2006).

159 *2.5 Quantifying the contribution of stochasticity*

160 Bray–Curtis dissimilarity based normalized stochasticity ratio (NST) index was calculated using the
161 ‘NST’ package in R (<http://www.r-project.org>) to represent the contribution of stochasticity to community
162 assembly (Ning et al., 2019). The NST index values range from 0% to 100%, a 0% indicates zero
163 contribution of stochasticity, whereas 100% indicates the community assembly being completely
164 stochasticity–driven.

165 *2.6 Structural equation modeling (SEM) analysis*

166 SEM was conducted using AMOS 21 software (IBM SPSS Inc., Chicago, IL, USA) to assess the relative
167 importance of permafrost thawing status and age in shaping bacterial richness and community structure.
168 The permafrost age was ranked from 1 to 4 for the youngest to the oldest permafrost soils, whereas the
169 thawing status was ranked from 1 to 3 for the active to frozen permafrost. The standardized regression
170 weights were calculated for the bacterial richness and the 1st and 2nd axis coordinates of the NMDS
171 ordination plot. The goodness of fit for the model was judged by the following measures(Guo et al.,
172 2015): (1) comparative fit index (CFI, the model has a good fit when $0.97 \leq CFI \leq 1.00$, and an acceptable
173 fit when $0.95 \leq CFI < 0.97$); (2) goodness-of-fit index (GFI, the model has a good fit when $0.95 \leq GFI$
174 ≤ 1.00 , and acceptable fit when $0.90 \leq GFI < 0.95$); (3) normed fit index (NFI, the model has a good fit
175 when $0.95 \leq NFI \leq 1.00$ and an acceptable fit when $0.90 \leq NFI < 0.95$); (4) χ^2 test; the model has a good
176 fit when $0 \leq \chi^2/d.f. \leq 2$ and $0.05 < P \leq 1.00$, and an acceptable fit when $2 < \chi^2/d.f. \leq 3$ and $0.01 \leq P$
177 ≤ 0.05); and (5) the root mean square error of approximation (RMSEA, the model has a good fit when 0
178 $\leq RMSEA \leq 0.05$ and $0.10 < P \leq 1.00$, and an acceptable fit when $0.05 < RMSEA \leq 0.08$ and $0.05 \leq P \leq$
179 0.10). The relative contributions of TOC, TN, permafrost age, and thawing status to the richness and
180 community structure were also evaluated by the Random Forest approach using the rfPermute package
181 for R (Archer, 2016).

182 **3 Results**

183 *3.1 The influence of permafrost age and thawing status on soil organic carbon and nitrogen*

184 Across all samples, soil total organic carbon (TOC) ranged from 0.5% to 35.6%, and exhibited significant
185 differences by permafrost thawing status (Two-way ANOVA, $P < 0.01$, Fig. 1a), but not by permafrost
186 age ($P = 0.343$, Fig. 1b). The active layer soil exhibited the highest TOC (16.7%), and was significantly
187 higher than the permanently frozen layer soil (5.6%, Tukey's HSD $P < 0.001$). Soil total nitrogen (TN)
188 ranged from 0.1% to 1.5%, and significant differences were only detected by permafrost thawing status
189 ($P = 0.007$, Fig. 1c), but not by permafrost age ($P = 0.446$, Fig. 1d). The active layer soil exhibited the
190 highest TN (0.73%), and was significantly higher than the permanently frozen layer soil (0.29%, Tukey's
191 HSD, $P = 0.004$).

192 *3.2 The influence of permafrost age and thawing status on bacteria richness*

193 A total of 1,679,607 bacterial sequences were retained, with an average sequence length of 292 bp. After
194 rarefying to an equal sequencing depth, there were 2,415 bacterial OTUs at the 97% nucleic acid
195 sequence identity retained, and the community was dominated by *Firmicutes* (42%), *Actinobacteria*
196 (28.9%), and *Proteobacteria* (10.6%, Supplementary Fig. 1).

197 Our results exhibited substantial differences in the bacterial richness among the permafrost soils of
198 different thawing status (Two-way ANOVA, $P < 0.001$; Fig. 2a, Supplementary Table 1) and ages ($P =$
199 0.013 ; Fig. 2b). A significantly higher bacterial richness was observed in the active layer soil (358 OTUs)
200 than the transition (287 OTUs; Pairwise Tukey's HSD tests, $P = 0.011$) and the frozen layer soils (248
201 OTUs, $P < 0.001$, Supplementary Table 2). Young permafrost (380 OTUs) exhibited a significantly
202 higher bacterial richness than the medium (265 OTUs, $P = 0.001$), old (287287, $P = 0.002$), and ancient
203 soils (271 OTUs, $P = 0.009$, Supplementary Table 3). In comparison, the influence of permafrost age
204 and thawing status on bacteria Shannon diversity was non-significant (Two-way ANOVA, $P = 0.058$ and
205 0.53 , respectively, Supplementary Fig. 2). This contrastively differed from the phylogenetic diversity,
206 where significant influence was observed for age ($P = 0.015$) and thawing ($P = 0.001$).

207 The influence of permafrost thawing on bacterial richness was only significantly observed in the young
208 permafrost (one-way ANOVA, $P < 0.001$, Fig. 2b, Supplementary Table 4), whereas those in the medium,

209 old, and ancient soils were non-significant ($P = 0.445$, 0.48 , and 0.35 , respectively). In the young
210 permafrost, permafrost thawing significantly increased OTU number from 248 in the frozen layer soil to
211 471 in the active layer soil (Supplementary Table 5). The increased bacterial richness was mainly
212 attributed to the significantly increase detected in *Firmicutes* (ANOVA, $P = 0.011$), *Actinobacteria* ($P =$
213 0.002), *Chloroflexi* ($P = 0.002$), *Deltaproteobacteria* ($P = 0.02$), and *Alphaproteobacteria* ($P = 0.008$;
214 Supplementary Table 6).

215 3.3 The influence of permafrost thawing status and age on bacterial community structure

216 Bray–Curtis distance based NMDS ordination plot revealed a clear separation of the bacterial community
217 structure by permafrost thawing status (Fig. 3a), while the separation by permafrost age was less obvious
218 (Fig. 3b). The results of DistLM analyses revealed that the measured soil factors, thawing status, and age
219 explained a total of 10.7% of the bacterial community structure. TN was the most important factor by
220 explaining 7.2% of the community structure ($P = 0.001$). This was followed by C:N ratio, TOC, soil age
221 and thawing status, which explained additional 3.5% ($P = 0.028$), 3% ($P = 0.083$), 2.9% ($P = 0.105$),
222 and 2.8% ($P = 0.111$), respectively.

223 PERMANOVA indicated that significantly different community structure was observed among the
224 various permafrost thawing status and ages (both $P < 0.001$, Supplementary Table 7), and an interactive
225 effect of the two existed ($P < 0.001$). Post-hoc analysis indicated that the community structure
226 differences were significantly different among the soils with distinct thawing statuses (all $P < 0.01$,
227 Supplementary Table 8). In contrast, significant differences were only detected between the young- and
228 older-aged permafrost soils (all $P < 0.05$, Supplementary Table 9), and between the medium- and
229 ancient-aged soils ($P = 0.024$). PERMDISP analysis indicated that the community homogeneity was not
230 significantly different across the different permafrost thawing status ($F(2, 42) = 0.193$, $P = 0.831$). A
231 gradual transition of bacterial community structure due to permafrost thawing was observed in each
232 permafrost age category (Figs. 3c–f). Significantly different soil bacterial community structure across
233 the various thawing status was detected in the young, medium, and ancient-aged permafrost
234 (PERMANOVA, $P = 0.002$, 0.027 , and 0.016 , respectively, Supplementary Table 10), but not in the old
235 permafrost ($P = 0.124$). Similarly, significantly different soil bacterial structure was also detected among
236 the permafrost of different ages with the same thawing status (Supplementary Table 11, Supplementary

237 Fig. 3).

238 3.4 *The influence of permafrost thawing status and age on the community assembly of bacteria*

239 The average contribution of stochasticity to community assembly was 68%, 74%, and 86% in the active,
240 transition, and frozen layers of the permafrost. Significant differences in the contribution of stochasticity
241 were detected between the active and frozen and between the transition and frozen layers (both $P < 0.05$,
242 Supplementary Fig. 4a), but not between the active and transition layers ($P = 0.15$). In contrast, the
243 average contribution of stochasticity was 65%, 76%, 68%, and 76% for the young-, medium-, old-, and
244 ancient-aged permafrost, with no significant contribution differences among the different aged
245 permafrost (all $P > 0.05$, Supplementary Fig. 4b).

246 3.5 *Quantifying the influence of permafrost thawing status and age on bacterial richness and community* 247 *structure variation*

248 Structural equation modeling (SEM) revealed that both permafrost thawing status and age significantly
249 contributed to bacterial richness. Permafrost thawing status exhibited a higher contribution than age
250 (standard regression weight of 0.51 and -0.30, respectively, both $P < 0.05$) to bacterial richness (Fig. 4a).
251 However, the influences of TOC and TN on bacterial richness were not detected. This is consistent with
252 the Random Forest analysis results, which only identified permafrost thawing and age as the significant
253 determinants of bacterial richness (Supplementary Fig. 5). For community structure, permafrost thawing
254 exhibited an indirect influence on NMDS1 via TN (standard regression weight of 0.58 and -0.63, both P
255 < 0.001 , Fig. 4b). In comparison, both permafrost age and thawing status significantly contributed to
256 NMDS2 (standard regression weight of -0.34 and 0.59, respectively, both $P < 0.01$), while TN also
257 exhibited a significant influence on NMDS2 (-0.49, $P = 0.002$). The significant contributions of TN,
258 permafrost thawing, and age were consistently identified using the Random Forest approach
259 (Supplementary Fig. 5).

260 **4 Discussion**

261 The bacteria richness was significantly higher in the active layer soil (Fig. 2a), and this is consistent with
262 the previous findings that permafrost thawing significantly increased bacterial richness in soil in the
263 Tibetan Plateau and the high Arctic (Chen et al., 2017; Schostag et al., 2019; Wu et al., 2018). Permafrost

264 thawing leads to accelerated microbial degradation of soil organic carbon that can generate a wide variety
265 of metabolic products (Mueller et al., 2015). The increased metabolic product diversity would lead an
266 increased nutrient diversity and provide additional ecological niches for bacteria (Hernández and Hobbie,
267 2010). This would explain the increased bacterial richness observed in our study. Furthermore, the
268 phylogenetic diversity exhibited a greater sensitivity to permafrost thawing than the Shannon diversity
269 (Supplementary Fig. 2). As phylogenetically close-related microorganisms have similar habitat
270 associations, phylogeny-based community metrics could infer potential community functional change
271 (Stegen et al., 2012). Hence, this suggests that community function could be more sensitive to permafrost
272 thawing than community composition.

273 The soil bacteria in the young permafrost exhibited a stronger response to thawing than those in older
274 permafrost soils (Fig. 2b). The young permafrost soil demonstrated a higher relative abundance of
275 aliphatic carbon but lower carbohydrates than older permafrost soils (Mueller et al., 2015). Thus,
276 bacterial richness could be driven by carbon quality, but not quantity. It has been reported that the
277 degradation of complex carbon molecules requires extensive microbial collaboration, thus leads to a
278 more diverse microbial community in forest soil (Ding et al., 2015). Furthermore, an earlier study on the
279 freshwater ecosystem also confirmed that organic carbon composition determined bacterial richness and
280 community structure (Docherty et al., 2006). This is in agreement with the higher bacterial richness
281 detected in the active layer of the young permafrost soil (Fig. 2b).

282 The increased bacterial richness due to permafrost thawing was mainly attributed to *Firmicutes*,
283 *Actinobacteria*, *Chloroflexi*, *Deltaproteobacteria*, and *Alphaproteobacteria* in the young permafrost soil
284 (Supplementary Table 6). Increased transcriptional response of *Chloroflexi* has been reported during
285 permafrost thawing (Coolen and Orsi, 2015), and may be attributed to their recalcitrant organic matter
286 degradation capacity (Colatriano et al., 2018). *Firmicutes* and *Actinobacteria* have been reported to be
287 more abundant in the frozen layer than in the active layer of permafrost soil due to their capacities in
288 maintaining metabolic activity and DNA repair mechanisms at low temperature (Johnson et al., 2007;
289 Tuorto et al., 2014). However, our results showed that their diversity may increase during permafrost
290 thawing, despite their reduction in relative abundance. *Alpha-* and *Delta-proteobacteria* were both
291 abundant in the upper permafrost soil in the Tibetan Plateau, and their relative abundance negatively
292 correlated with soil depth (Wu et al., 2017). *Alphaproteobacteria* was identified to be more abundant in

293 the active layer of the permafrost soil in Norway (Mueller et al., 2018). One possible explanation is that
294 the surface active layer may be the major location for root exudates, which favors *Alphaproteobacteria*
295 (Morgalev et al., 2017). *Deltaproteobacteria* has been reported to have strong catabolic potentials on
296 recalcitrant aromatic compounds and plant detritus (Jansson and Tas, 2014). This may explain the
297 enhanced richness of *Deltaproteobacteria* in the active layer of permafrost.

298 PERMANOVA, SEM, and Random Forest analyses consistently demonstrated statistically significant
299 contributions of permafrost thawing and age to soil bacterial community structure (Fig. 4b). Bacterial
300 communities were better separated by thawing status than by age on the NMDS plots (Figs. 3a and 3b).
301 Furthermore, a significantly higher contribution of determinism (lower stochasticity) was observed in
302 the thawed permafrost soils (active and transition layers) than in the permanently frozen layer. This
303 contrastive difference from the weak influence of permafrost age on the bacterial community
304 (Supplementary Fig.4). Collectively, these suggest that permafrost thawing has a stronger influence on
305 bacterial community structure than permafrost age. Our results are consistent with Mondav et al. (2017),
306 who found that permafrost activity better separated the community structure than soil depth in peatland
307 permafrost soil in Sweden.

308 Permafrost thawing significantly increased determinism in bacterial community structure
309 (Supplementary Fig. 4). Increased determinism is frequently attributed to enhanced environmental
310 filtering (Stegen et al., 2012). Our results demonstrated that TN and the C:N ratio explained a greater
311 proportion of the bacterial community structure than TOC. This is consistent with the previous findings
312 that nitrogen availability strongly regulates microbial community structure and function in the permafrost
313 soils of Arctic and Tibetan Plateau (Chen et al., 2018; Chen et al., 2017; Yergeau et al., 2010).
314 Significantly different soil carbon and nitrogen were observed among the various permafrost thawing
315 statuses, but not among the different permafrost ages (Figs. 1a and 1c). Thus the changed nutrients may
316 explain the significant influence of thawing status on the community structure and assembly processes.
317 The community structure change due to permafrost thawing has also been proposed to be due to the
318 colonization of microorganisms in the active layer (Monteux et al., 2018), which coincides with the
319 increased bacterial richness observed here (Fig. 2a).

320 Bacterial community structure in the active layer is more similar to the transition layer than to the
321 permanently frozen layer (Fig. 3). This is consistent with those observed in other Arctic permafrost
322 (Monteux et al, 2018, Deng et al., 2015), confirming that thawing can homogenize bacterial community
323 structure of different soil depths. However, significant differences in bacterial community were still
324 observed between the active and transition layers (Supplementary Table 8), instead of being identical
325 (Monteux et al, 2018). This could be due to physiochemical heterogeneity between the soils in the
326 different permafrost layers (Fig. 1, Kao-Kniffin, et al., 2015, Mueller et al. 2015). Thus, variations in the
327 measured (such as TN) and unmeasured physicochemical properties (such as pH) among the different
328 permafrost layers also contributed to the bacterial community heterogeneity and led to the significantly
329 different bacterial communities observed.

330 The influence of permafrost age on bacterial community structure was weaker (Fig. 3b), with only
331 significantly different community structure being observed in the young- and medium-aged permafrost
332 soils (Supplementary Table 8). Substantial influence of permafrost age on community structure has been
333 reported previously (Mackelprang et al., 2017). Investigation on the pedogenesis following deglaciation
334 also revealed distinct microbial community structure along the chronosequence (Freedman and Zak,
335 2015). However, the community differences between the old and ancient permafrost soils were much
336 weaker than expected (Supplementary Table 9). This is likely due to the strong influence of permafrost
337 thawing, as thawing enhances environmental filtering (Supplementary Fig. 4) and homogenizes
338 community structure in soils of different ages. This is confirmed by the significantly different bacterial
339 community structure in permafrost soils of the same age along the thawing gradient (except the old
340 permafrost soil, Figs 3c–3f, Supplementary Table 10).

341 Our results demonstrated that bacterial community structure did not converge due to permafrost thawing,
342 as reflected by the non-significant difference in sample heterogeneity among the various permafrost
343 layers (Supplementary Fig. 3, Supplementary Table 11). This contradicts previous studies (Deng et al.,
344 2015; Yuan et al., 2018) in the Arctic, but was consistent with Mackelprang (2011). Our results also
345 contradict to Kao–Kniffin et al. (2015), which reported lowered prokaryotic community differences in
346 the active layer than in the transition and permanently frozen permafrost. Several reasons could cause
347 this inconsistency. Firstly, different microbial communities were targeted. Kao–Kniffin et al. (2015)
348 focused on archaeal community, whereas only bacteria were targeted in the present study. Kao–Kniffin

349 et al. (2015) identified a single archaeon OTU accounting for over 30% of the prokaryotic community
350 (Fig. 3 in Kao-Kniffin et al., 2015). An early study revealed that archaea exhibited a lower community
351 variation with increasing soil depths compared with bacteria (Frank-Fahle et al., 2014). Therefore, the
352 community convergence observed by Kao–Kniffin et al. (2015) could be due to the influence of archaea.
353 Furthermore, the inconsistency may be related to the different community dissimilarity metrics used.
354 Kao–Kniffin et al. (2015) used unweighted UniFrac, which only calculates the phylogenetic closeness of
355 OTUs, and the relative abundance is not considered. This is distinctively different from the Bray-Curtis
356 dissimilarity used in the present study, and it has been reported that unweighted and weighted community
357 metrics examine different features of community structure (Lozupone et al., 2011).

358 The distinct bacterial community structure in the various aged permafrost soils, yet under the same
359 thawing status, confirms the historic effects of permafrost age on the community structure during
360 permafrost thawing. The distinct bacterial community structure is likely to result in different metabolic
361 functions (Brown and Forsythe, 1974), thus the significantly different bacterial structure under the same
362 thawing status may lead to different organic carbon degradation capacities. Furthermore, older
363 permafrosts enriches pathways involved in the degradation of recalcitrant biomass, while decreases
364 pathways associated with starch and sucrose metabolism comparing with younger soils (Mackelprang et
365 al., 2017). Thus, the thawing of permafrost soils of different ages may also lead to distinct soil carbon
366 degradation schemes.

367 **5 Conclusion**

368 Our results demonstrated that permafrost thawing consistently exhibited greater influence on bacterial
369 richness and community structure than permafrost age. However, permafrost age alters the response of
370 permafrost soil bacteria to thawing, with a stronger response to thawing observed in the young than older
371 permafrost soils. The different community structure during permafrost thawing may present distinct
372 metabolic potentials for soil organic carbon cycling, and may ultimately alter the carbon emission scheme.
373 Further studies are required to identify the environmental and historical factors that lead to the distinct
374 responses of bacteria in the permafrost of different ages.

375

376 **Data availability**

377 Sequence data generated in the present study have been deposited to the National Center for
378 Biotechnology Information (NCBI) Sequence Read Archive under the ID PRJNA554442.

379 **Author contributions**

380 WK conceived the study and developed the idea with MJ, TZ and HZ performed DNA extraction, MJ
381 conducted the data statistical analysis. MJ and WK wrote the first draft of the manuscript, CL and XD
382 revised the manuscript substantially. All authors read and approved the final manuscript.

383 **Competing interests**

384 The authors declare that they have no conflict of interest.

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527

528 **Figure legends**

529 Fig. 1 Total organic carbon (a and b) and total nitrogen (c and d) with the permafrost age (young, medium,
530 old, and ancient) and permafrost thawing status (active, transition and permanently frozen).

531
532 Fig. 2 Bacterial richness with the permafrost thawing status(a) and age(b). The richness is indicated by
533 operational taxonomic unit (OTU) number. Different letters indicate significant difference at $P < 0.05$.
534 Young, medium, old, and ancient are permafrost soil ages, active, transition, and permanently frozen are
535 permafrost thawing status.

536

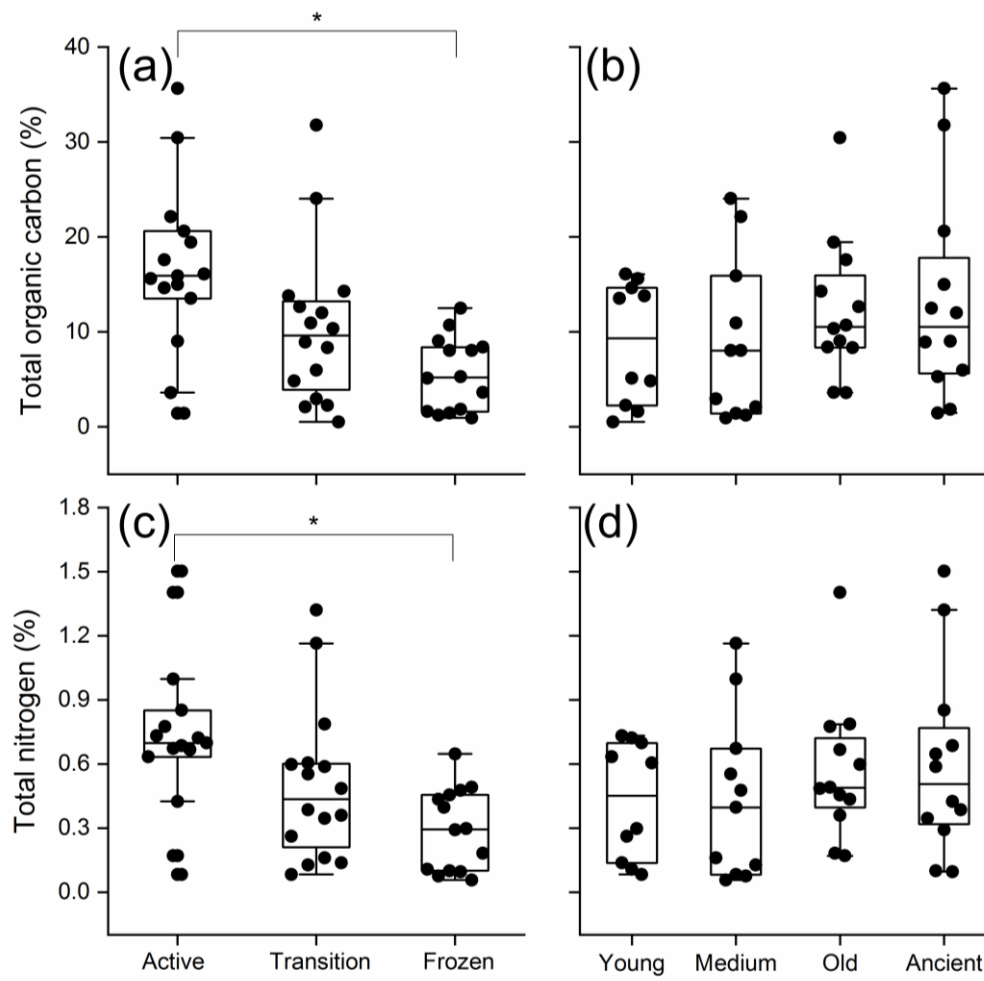
537 Fig. 3 NMDS plots showing the bacterial community structure of different thawing status (a) and
538 permafrost age (b). The bacterial community structure of different thawing status in the young, medium,
539 old, and ancient permafrost soils are shown in (c)–(f). Active, transition, and permanently frozen are
540 permafrost thawing status.

541

542 Fig. 4 The relative importance of permafrost thawing status and age on bacterial richness (a) and
543 community structure (b) based on structural equation modeling. The community structure variation was
544 assessed by the 1st and 2ndaxis coordinates of the NMDS plot (NMDS1 and NMDS2). Numbers adjacent
545 to arrows are the absolute value of the path coefficients, indicative of the standardized effect size of the
546 relationship. *: $P < 0.05$, **: $P < 0.01$ and ***: $P < 0.001$. The arrow thickness represents the strength of
547 the relationship.

548

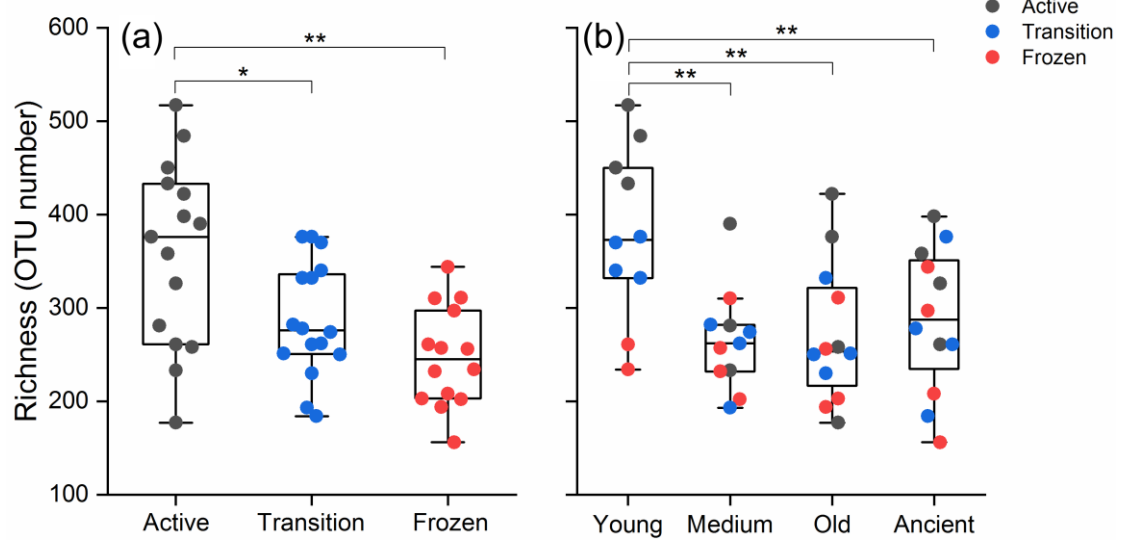
549 Fig. 1



550

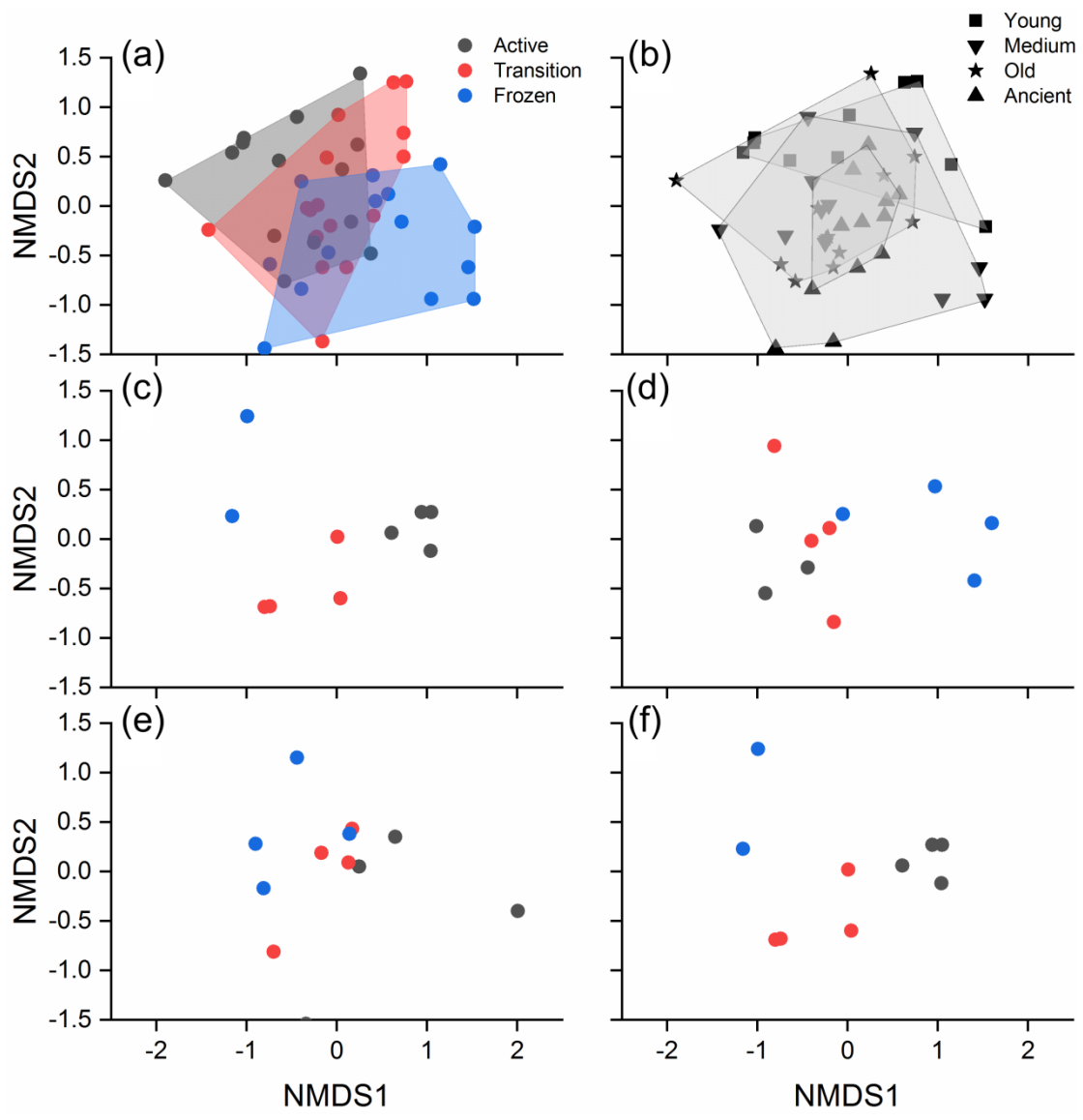
551

552 Fig. 2



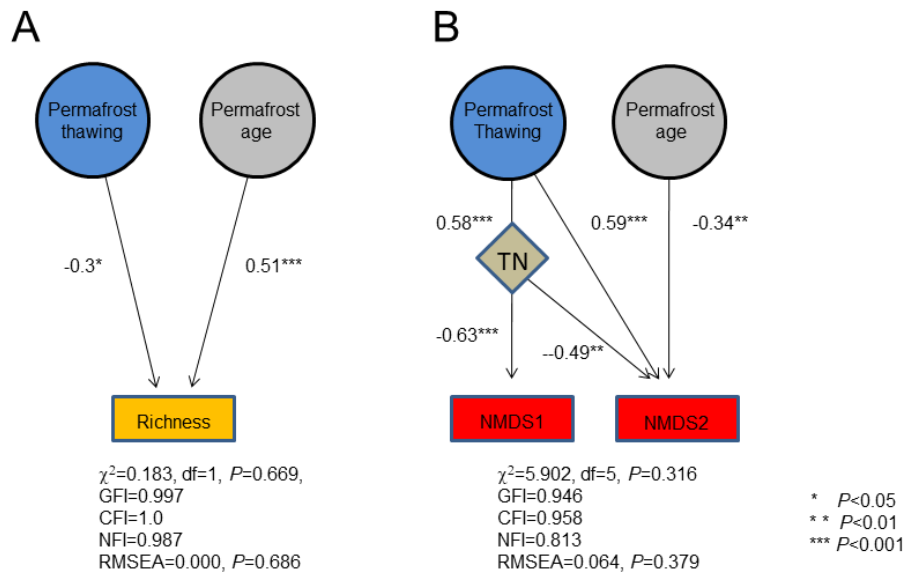
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555 Fig. 3
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559 Fig. 4



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