Surface composition of debris-covered glaciers across the Himalaya using <u>linear</u> spectral unmixing and <u>Landsat 8 OLI</u> imagery

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10 Abstract

The Himalaya mountain range is characterized by highly glacierized, complex, dynamic topography. The ablation area of glaciers often features a highly heterogeneous debris mantle comprising ponds, steep and shallow slopes of various aspects, variable debris thickness and exposed ice cliffs, associated with differing ice ablation rates. Understanding the composition of the glacier surface is essential for a proper understanding of glacier hydrology and glacier-related hazards. Until recently, efforts to map debris-covered glaciers from remote sensing focused primarily on glacier extent rather than surface characteristics, and relied on traditional "whole pixel" image classification techniques. Spectral unmixing routines, rarely used for debris-covered glaciers, allow decomposition of a pixel into constituting materials, providing a more realistic representation of glacier surfaces. Here we use linear spectral unmixing of Landsat & OLL images (30 m) to obtain fractional abundance maps of the various supraglacial surfaces (debris material, clean ice, supraglacial ponds, vegetation) across the Himalaya around the year 2015. We focus on the debris-covered glacier extents as defined in the supraglacial debris cover database. The spectrally unmixed surfaces are subsequently classified to obtain maps of composition of debris-covered glaciers across sample regions.

We test the unmixing approach in the Khumbu region of the eastern Himalaya, and we evaluate its performance for supraglacial pond by comparison with independently mapped ponds from high-resolution Pléiades (2 m) and PlanetScope imagery (3 m) for sample glaciers in two other regions with differing topo-climatic conditions. Spectral unmixing applied over the entire

supraglacial ponds, small amounts of cloud cover (2%) and unclassified areas (1.2%). Supraglacial ponds were more prevalent in the monsoon-influenced central-eastern Himalaya (up to 4% of the debris covered area) compared to the monsoon-dry transition zone (only 0.3%) and in regions with lower glacier elevations. Climatic controls (higher average temperatures and more abundant precipitation), coupled with higher glacier thinning rates and lower average glacier velocities, further favour pond incidence and the development of supraglacial vegetation. The spectral unmixing performed satisfactorily for the

25 Himalaya mountain range (a glacierized area of 2,254 km²) indicates that at the end of the ablation season, debris-covered glacier zones comprised 60.9 % light debris, 12.8 % dark debris, 5.6% clean ice, 4.5 % supraglacial vegetation, 2.1 %

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supraglacial pond and vegetation classes (an Fscore of ~0.9 for both classes), and reasonably for the debris classes (Fscore of 0.7). With continued advances in satellite data and further method refinements, the approach presented here provides avenues towards achieving large-scale, repeated mapping of supraglacial features.

Keywords: Himalaya, debris covered glaciers, remote sensing, spectral unmixing, supraglacial ponds

75 1 Introduction

High relief orogenic belts such as the Himalaya are characterized by glacierized, complex, dynamic topography and the presence of a continuous cover of rock debris across the lowest part of the ablation zone of glaciers (Kirkbride, 2011). Globally, supraglacial debris cover accounts for ~ 7 % of the total glacierized area (Scherler et al., 2018; Herreid and Pellicciotti, 2020). In high mountain environments, high denudation rates and mass-wasting processes such as rock falls and rockslides from the steep valley sides supply abundant rock debris to the glacier surface (Kirkbride, 2011; Shroder et al., 2000; Evatt et al., 2015). This results in highly heterogeneous surfaces, consisting of debris material of various lithologies and grain sizes (sand and silt to boulders), forming debris cones on variable but mostly shallow slopes. Some of the most notable features of such surfaces are the supraglacial ponds and exposed ice cliffs, which have gained interest in recent years for several reasons. First, they influence the surface energy receipts of the supraglacial debris surface and the efficiency with which atmospheric energy can 85 be transferred to the underlying ice and cause glacier ice ablation. While ice ablation beneath debris cover of more than a few centimetres thick is strongly reduced (Østrem, 1959; Nicholson and Benn, 2006; Reid and Brock, 2010), ice cliffs and supraglacial ponds are local 'hot spots' for glacier downwasting due to enhanced energy absorption at the surface of these features (Ragettli et al., 2016; Miles et al., 2016; Sakai et al., 2002; Buri et al., 2016; Steiner et al., 2015). Understanding their spatial distribution is essential for a proper assessment of glacier hydrology, notably to simulate glacier-wide ablation rates and meltwater production, Second, the current distribution and fluctuation of proglacial lakes and supraglacial pond extents is of interest for assessing glacier-related hazards. Recent studies have reported an increase in pro-and supraglacial lake area and number in the Himalaya and worldwide as a response to climatic changes (Shugar et al., 2020; Nie et al., 2017; Shukla et al., 2018). Some of the supraglacial ponds coalesce and form larger supraglacial lakes, which may evolve into fully-formed proglacial ice or moraine-dammed lakes (Benn et al., 2012; Thompson et al., 2012), with enhanced potential for producing 95 hazards such as glacier lake outburst floods (Benn et al., 2012; Komori, 2008; Richardson and Reynolds, 2000; Reynolds, 2014; GAPHAZ, 2017), Increasing trends of pond development of 17 to 52 % per year were reported in the Khumbu region (2000 to 2015) (Watson et al., 2016), with a three-fold increase in pond area over three decades (1989 to 2018) (Chand and Watanabe, 2019). Quantifying the number/area of supraglacial ponds and their evolution (Miles et al., 2017; Liu et al., 2015; Watson et al., 2016) is important for assessing which ones might represent conditioning factors for hazards (Sakai and Fujita, 100 2010; Reynolds, 2000). Third, understanding the fluctuations of these surface characteristics, in particular supraglacial vegetation, is important since vegetation expansion on debris-covered surfaces may indicate the transition from a debrisFormatted: Font: Times New Roman

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High altitude glacierized environments of the Hindu-Kush Himalaya are characterized by complex, dynamic topography, notably the presence of a mantle of debris cover on the ablation areas of glaciers.

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covered glacier to a rock glacier in a context of climate change (Shroder et al., 2000; Jones et al., 2019; Knight et al., 2019; Monnier and Kinnard, 2017; Kirkbride, 1989).

Our understanding of the regional variability in glacier mass balance of both clean and debris-covered glaciers in the Himalaya has improved over the last years (Dehecq et al., 2019; Brun et al., 2017; Shean et al., 2020), and the role of glacier morphology in controlling glacier behaviour and changes has been also been demonstrated in recent studies (Salerno et al., 2017; Brun et al., 2019). However, a comprehensive assessment of the surface geomorphology, supraglacial pond coverage, moraine 170 characteristics and supraglacial vegetation at various temporal scales is still needed over the entire Himalaya. Until recently, efforts to map debris-covered glaciers focused primarily on their extent rather than the surface characteristics. This was achieved at regional scales using a combination of digital elevation models (DEMs), various spectral band ratios and terrain curvature (Shukla et al., 2010; Bolch et al., 2007; Kamp et al., 2011; Bishop et al., 2001; Paul et al., 2004). Attempts to improve the accuracy of debris-covered glacier mapping included the use of thermal data, i.e., temperature differences between debris 175 underlined by glacier ice and the surrounding non-ice moraines (Taschner and Ranzi, 2002; Bhambri et al., 2011a; Racoviteanu and Williams, 2012; Alifu et al., 2016) or the use of glacier velocity (Smith et al., 2015). Considerable improvements in monitoring capacity due to recent satellite developments and cloud-computing platforms such as Google Earth Engine allowed exploitation of large amounts of Landsat and Sentinel-2 data. This has resulted in two recent global datasets of supraglacial debris (Scherler et al., 2018; Herreid and Pellicciotti, 2020). While these global datasets represent an important development 180 in advancing the understanding of the distribution of debris-covered glaciers at a large scale, they can suffer from the use of inconsistent methods and different temporal coverage between and/or within regions. Supraglacial debris in these databases was mapped within the bounds of the Randolph Glacier Inventory (RGI) (Pfeffer et al., 2014), which has varying analysis dates and accuracy. While these issues were partially mitigated in revised dataset based on semi-automated assessments of Landsat imagery (Herreid and Pellicciotti, 2020), improvements were limited to glaciers larger than 1 km² and were not applied 185 repeatedly at the global scale.

Supraglacial ponds and ice cliffs are currently not represented in either existing supraglacial debris cover datasets or in the updated, publicly available regional glacier lake inventories (Wang et al., 2020; Shugar et al., 2020; Chen et al., 2020). The latter tend to focus primarily on the representation of proglacial lakes and their decadal changes. A database of supraglacial ponds at several time periods is desirable in order to complement the existing supraglacial debris and lake databases, as the distribution of these surface features on debris-covered glacier tongues remains limited to a handful of glaciers in the Himalaya Watson et al., 2016, 2017a; Watson et al., 2018; Steiner et al., 2019). For example, regional studies on seasonal dynamics and evolution of supraglacial ponds and ice cliffs tend to be biased towards the well-studied Khumbu and Langtang areas of Nepal Himalaya Watson et al., 2016, 2017a; Miles et al., 2017; Steiner et al., 2019). More studies are needed in other regions in order to assess the spatial differences in their occurrence as well as to infer the long-term changes of these features.

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270 The increased availability of high-resolution (0.5 to 5 m) remotely sensed data from <u>Pléiades, SPOT</u> and Quickbird satellites etc., complemented by freely available RapidEye, PlanetScope and SkySat images from Planet, has offered new opportunities for characterizing the surface of debris-covered glaciers in more detail. Supraglacial ponds and ice cliffs have been mapped using a combination of manual digitization on high-resolution multi-spectral imagery (1-3 m) or directly on Google Earth (Brun et al., 2018; Watson et al., 2018; Watson et al., 2017a, 2016; Steiner et al., 2019). Semi-automated mapping methods include adaptive binary thresholding (Anderson et al., 2021), band ratios and/or morphological operators (Miles et al., 2017; Liu et al., 2015), the normalized difference water index (NDWI) (Watson et al., 2018; Gardelle et al., 2011; Miles et al., 2017; Kneib et al., 2020; Liu et al., 2015; Wessels et al., 2002; Narama et al., 2017), feature extraction via decision-trees and/or Object-Based Image Analysis (OBIA) (Liu et al., 2015; Kraaijenbrink et al., 2016; Panday et al., 2011) or thermal imagery (Suzuki et al., 2007; Foster et al., 2012). More recent methods include the use of very high-resolution topographic models generated using terrestrial structure-from-motion techniques (Westoby et al., 2014; Rounce et al., 2015; Herreid and 280 Pellicciotti, 2018; Westoby et al., 2020) or the use of Unmanned Aerial Vehicles (UAV) data (Kraaijenbrink et al., 2016), Synthetic Aperture Radar combined with machine learning and/or OBIA was shown to overcome the limitations of optical remote sensing in areas with frequent cloud cover (i.e., the eastern Himalaya), and has been used to map supraglacial ponds (e.g. Strozzi et al., 2012; Wangchuk and Bolch, 2020). Despite methodological developments, a robust and transferable method 285 for mapping ice cliffs and ponds in a systematic manner using these high-resolution datasets does not yet exist and current methods remain computationally-intensive. Understanding how the surface composition of the debris-covered tongues upscales in coarser resolution imagery such as Landsat is still needed at regional scales. For example, large differences were shown between UAV-derived ponds and RapidEye-derived ponds in other studies (cf. Kraaijenbrink et al., 2016). Even with the increased availability of high-resolution imagery, medium resolution data from archive Landsat series (30 m 290 spatial resolution) remain a valuable data source for various regional-scale mapping applications due to their Jarge swath width (185 km), free accessibility and acquisition time spanning four decades. One of the limitations in using these medium resolution data is that most studies rely on traditional "whole pixel" image classification techniques. While these classification techniques are advantageous for some applications, they does not reveal the constituent surfaces of image pixels on the ground nor their proportions (Keshava and Mustard, 2002), Spectral unmixing routines, initially described by Atkinson (1997; 2004) and Foody 295 (2004), allow decomposition of a given pixel into constituting materials, providing their fractional abundance and thus generating a more realistic representation of complex surfaces, (Keshava and Mustard, 2002). These have been used in glaciology to retrieve snow grain size and derive fractional snow covered areas from MODIS or Landsat (Painter, 2003; Painter et al., 2009; Sirguey et al., 2009; Veganzones et al., 2014; Rosenthal and Dozier, 1996), to map clean glacier areas or snow (Painter et al., 2012; Cortés et al., 2014), lakes (Zhang et al., 2004) and vegetation (Ettritch et al., 2018; Song, 2005; Xie et al., 300 2008). A small number of studies used spectral unmixing to characterize the mineral composition of debris, covered glaciers (Casey and Kääb, 2012; Casey et al., 2011), to characterize lake colour, turbidity and suspended sediments (Matta et al., 2017;

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Giardino et al., 2010, and more recently to map ice cliffs (Kneib et al., 2020), but the potential of sub-pixel mapping for debriscovered glaciers has not been fully exploited.

In this study, we use spectral unmixing of Landsat 8 OLI imagery to detect the surface characteristics of supraglacial debriss cover across the Himalaya, with a particular emphasis on quantifying the supraglacial pond coverage and vegetation. We first apply and validate the spectral unmixing in the well-studied Khumbu region of the central Himalaya. Using the spectra and spectral unmixing parameters that were derived from the Khumbu, we infer the composition of supraglacial debris cover for the entire Himalaya spatial domain. We validate the pond results by comparing the supraglacial pond areas derived from spectral unmixing with those obtained using OBIA on high-resolution imagery for selected glaciers at three different sites. We use the results to assess the composition of the debris-covered glacier tongues in regions with differing topo-climatic conditions, to evaluate the distribution of supraglacial ponds and vegetation the mountain range in relation to geographic location, climate, topographic characteristics, glacier mass balance and surface velocity and we discuss the potential of the description of the desc

2 <u>Data sources and methods</u>

345 relationship between these features and the temporal evolution of these glaciers.

2.1 Study area

Our study area comprises various spatial domains (Fig. 1). The Jarger Himalaya domain is defined here as the region

spanning ~ 1,500 km (~76 to 92° longitude and ~26 to 34° latitude), covering areas from Himachal/Jammu and Kashmir border in the west to Bhutan Himalaya in the east (Fig. 1). Glaciers in this area have been in a state of negative mass balance in the last decades, with accelerating trends in the 2000 to 2010 decade (Bolch et al., 2019; Brun et al., 2017; Kääb et al., 2012; Maurer et al., 2019). We developed our method in the glacierized Khumbu region of Nepal, which we refer to hereafter as the "Khumbu domain", although it also includes glaciers north of the divide (Fig. 2). Glaciers in the Khumbu have been well studied in terms of glacier mass balance using the traditional glaciologic method (Wagnon et al., 2013), the geodetic method (Bolch et al., 2008; Nuimura et al., 2012; Brun et al., 2017; Bolch et al., 2011; Rieg et al., 2018), energy balance models (Rounce and McKinney, 2014; Rounce et al., 2015; Kayastha et al., 2000), debris cover characteristics (Iwata et al., 1980; Watanabe et al., 1986; Nakawo et al., 1999; Iwata et al., 2000; Casey et al., 2011; Yukari et al., 2000), and surface velocity (Quincey et al., 2009), Rates of change of the debris-covered glacier areas in the Khumbu vary from -0.12±0.05% arther from 1962 to 2005 (Bolch et al., 2008) to -0.27±0.06% arther from 1962 to 2011 (Thakuri et al., 2014). Supraglacial ponds cover ~ 0.3 to 7% of the glacierized area in the Khumbu based on high-resolution Pléiades data (Watson

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Automatically map supraglacial ponds and assess their regional distribution with respect...n relation to geographic location, climate, topographic characteristics and geographic location. ... [9]

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Methods and data

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et al., 2017a; Kneib et al., 2020; Salerno et al., 2012); ice cliffs cover between 1 and 9.2 % of the glacier areas (Brun et al., 2018; Watson et al., 2017a; Kneib et al., 2020).

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To examine and highlight regional differences in the composition of the debris-covered surfaces, we use four sub-regions selected across monsoonal gradients as defined in the literature, corresponding to the Landsat scenes (~32,919 km²) shown on Figure 1 (Bookhagen and Burbank, 2010; Thayyen and Gergan, 2010; Barros and Lang, 2003). The Lahaul Spiti region in western Himalaya is in the "monsoon-arid" transition zone, characterized by monsoon precipitation during the summer and precipitation from the Westerlies in the winter (Thayyen and Gergan, 2010). The Manaslu and Khumbu regions in the central Himalaya, and the Bhutan region in the eastern Himalaya, are all under the influence of the Indian Summer Monsoon, which brings large amounts of precipitation during the summer months (June to September) (Barros and Lang, 2003; Bookhagen and Burbank, 2006) (Fig. 1).

To validate the performance of the spectral unmixing as a basis for estimating pond coverage, we used selected debris-covered glacier zones at three validation sites (700 - 1,150 km²), selected across the wider Himalaya domain from the Khumbu,

Langtang and Lahaul Spiti regions (Fig. 1). Supraglacial ponds on these glaciers were mapped using OBIA methods on high-resolution imagery (section 2.6).

2.2 Remote sensing data

The satellite data used for spectral unmixing comprises of 13 Landsat 8 OLI images covering the Himalaya domain (Fig. 1).

Characteristics of these images are given in Table 1. These were top of atmosphere registered, radiometrically calibrated and orthorectified imagery (level L1TP -T1), available at 30 m spatial resolution in the visible to short-wave infrared since 2013 (Wulder et al., 2019; USGS, 2015). We selected scenes from the post-monsoon period only (September to November) in order to minimize cloud and snow cover occurrence (Bookhagen and Burbank, 2006). In addition, Landsat scenes across the domain were selected around the same date as much as possible to minimize seasonal differences in surface conditions, notably seasonal changes in pond occurrence (Miles et al., 2017). All chosen images were acquired around the same time of the day (05 UTC time), with similar solar azimuth (~143 degrees) and zenith angle (~30 degrees). This is important to ensure that differences in surface conditions were minimal. Where the 2015 images had too much cloud or snow, we selected images for the same season in 2014 and 2016 (Table 1). We acknowledge that this choice may introduce some uncertainties due to the temporal difference, which we discuss later (section 4.6). The Landsat 8 OLI scene from Khumbu (September 30, 2015) was chosen as reference for method development and testing. We also performed a second spectral unmixing on an

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The larger Himalaya domain is defined here as the region spanning from 76.3 to 92.6° W and 26.3 to 34.2° N, covering most area from Himachal/Jammu

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additional 2016 Landsat 8 OLI scene for Lahaul Spiti in the western Himalaya (Table 1) in order to have an analysis that was coincident with the high-resolution data used to validate the supraglacial pond mapping within this region.

For calibration and validation of the spectral unmixing products at specific locations, we used a combination of high-

840 Table 1 here

resolution optical imagery from Pléiades and Planet (Table 1). The Pléiades 1A satellite sensor acquires tri-stereo highresolution data (0.5 m spatial resolution in the panchromatic band and 2 m in the multispectral bands, blue to near-infrared),
with 20 km image swath at nadir (Table 1). Three Pléiades scenes from 2015 (Oct 7, 19 and 20) covered the north, northeast
and southeast parts of Khumbu (Rieg et al., 2018) and offered the closest match to the date of the reference Landsat image
(Sept 30, 2015) (Fig): these Pléiades scenes were cloud-free and snow-free over the debris-covered part of the glaciers. The
scenes were provided as three sets of triplets of primary data (1A), and were orthorectified in the Leica Photogrammetry
Suite in ERDAS Imagine 2013 (ERDAS, 2010) using the Pléiades Rational Polynomial Coefficient model and the Pléiades
DEM (1 m) previously generated using semir global matching (Rieg et al., 2018). The individual image scenes were
mosaicked to a single image using nearest neighbour at 2 m spatial resolution. In addition, a RapidEye level 3A analytic
ortho tile from Oct 9, 2015 from Planet (Planet Team, 2017) was used in addition to Pléiades in the Khumbu in order to
cover a wider region to better overlap the Landsat scene. This consists of orthorectified, surface reflectance data at 5 m
spatial resolution and five multispectral bands, projected to UTM coordinates. A PlanetScope ortho-tile from Oct 19, 2016 (3
m spatial resolution, 4 multi-spectral bands) was used in Lahaul Spiti area to validate the ponds resulting from unmixing the
2016 Landsat 8 scene for this region (Table 1). Both RapidEye and PlanetScope tiles obtained from Planet were mosaicked
to single scenes using nearest neighbour. These have a stated positional accuracy of < 10 m RMSE (Planet Labs, 2021).

We co-registered all high-resolution images and the corresponding Landsat 8 OLI images using the Co-registration of OpticallySensed Images and Correlation (COSI-Corr) routine (Leprince et al., 2007) implemented in ENVI 5.5 Classic (L3Harris
Geospatial, Boulder CO). For the Pléiades image, after co-registration with 20 tie points and a second-order polynomial
transformation (RMSE = 1.3 m), image displacements were -0.16 m in the E/W direction and 0.12 m in the N/S direction. The
Planet RapidEye and PlantScope scenes were co-registered on the Landsat 8 OLI with 15 and 10 tie points (RMSE = 5 m and
1.6 m, respectively), yielding offsets of ~1.1 to 1.7 m in the E/W direction and 0.09 to 0.5 m in the N/S direction after coregistration. These offsets were below the spatial resolution of all scenes (2 - 5 m).

2.3 Atmospheric and topographic corrections

865 All Landsat 8 OLI scenes were corrected to minimize atmospheric effects due to scattering or absorption from atmospheric gases, aerosols and clouds. We used the open-source Atmospheric and Radiometric Correction of Satellite Imagery (ARCSI v 3.1.6) routine based on the 6S algorithm (Vermote et al., 1997). We applied the STDSREF option in ARCSI with the shadow option, which provided standardized surface reflectance products for all the scenes where deep shadows were

Formatted: Font: Times New Roman, 10 pt Deleted: , launched in 2011, has been acquiring Formatted [11] Formatted: Font: Times New Roman Deleted: at ... 0.5 m spatial resolution in the panchromatic band and 2 m in the multispectral bands (... blue to NIR...ear-infrared), with 20 km image swath at nadir. Multiple images per acquisition were needed to cover the extent of the Khumbu area [12] Formatted: Font: Times New Roman Deleted: (Fig. 1). Three Pleiades scenes from the fall acquisition (Oct 7th, 19th ...nd 20th 2015) covered the north, northeast and southeast part of Khumbu and were...ffered the closest in date...atch to the date of the reference Landsat OLI ... Г131 Formatted: Font: Times New Roman Deleted: 30th Formatted: Font: Times New Roman Deleted:) These Formatted: Font: Times New Roman Deleted: . They...over the debris-covered part of the glaciers. The scenes were provided as three sets of triplets of primary data (1A), and were orthorectified in ERDAS Imagine ... [14] Formatted: Font: Times New Roman Deleted: using the Pleiades Formatted: Font: Times New Roman Deleted: (RPC) Formatted: Font: Times New Roman Deleted: Pleiades...léiades DEM (1 m) previously generated using Formatted ... [16] Formatted: Font: Times New Roman Deleted: ERDAS Imagine using a ...earest neighbour resampling7] Formatted: Font: Times New Roman Deleted: . This product consists in Formatted: Font: Times New Roman Deleted: The Formatted: Font: Times New Roman Formatted ... [18] Formatted: Font: Times New Roman, English (UK) Deleted: tiles were mosaicked using nearest neighbour resampling Formatted: Font: Times New Roman, English (UK) Deleted: used along with Pleiades for endmember selection ... [20] Formatted: Font: Times New Roman

masked out as NoData. ARCSI allows for global and local viewing and solar geometries using physically-based illumination 955 and reflectance corrections based on topographic data (Shepherd and Dymond, 2003), a specified atmospheric profile, an Aerosol Optical Thickness (AOT) value and sensor geometry. These settings are important for minimizing differences in surface conditions among the various scenes. The AOT value was automatically derived in ARCSI by a numerical inversion the surface reflectance on an image-basis using the simple dark object subtraction technique (DOS) from the blue band, yielding an AOT of 0.05 for the Sept 30, 2015 Khumbu scene. To validate the performance of the DOS technique for the atmospheric profile representation in our study area for this date, we validated the estimated AOT against level 1.5 data at reference wavelength of $\lambda = 500$ nm aerosol size from AERONET (https://aeronet.gsfc.nasa.gov/) (Giles et al., 2019) and against daily forecast global reanalysis of total optical depth at multiple wavelengths from the Copernicus Atmospheric Monitoring Service (CAMS) (https://atmosphere.copernicus.eu/catalogue#/). The AOT values obtained using the DOS method (0.05) were consistent with the ones calculated from AERONET and CAMS (0.07 and 0.05, respectively). In the 965 Himalaya, we can generally assume relatively clean atmospheres and thus consider that low AOT values are reasonable (P. Bunting, Aberystwyth Univ., personal communication, Feb. 2021). Our choice of a constant AOT value in high environments is in line with findings from other studies (Gillingham et al., 2013; Matta et al., 2017). Surface topography used for the atmospheric and topographic corrections was based on the ALOS Global Digital Surface Model (AW3D30 version 2.2, at 30 m) (JAXA, 2019), constructed from data acquired from 2006 to 2011. The vertical accuracy of ~10 m in 970 eastern Nepal (Tadono et al., 2014) is superior to that of Shuttle Radar Topography Mission (SRTM) DEM (23.5 m, reported by Mukul et al. (2017)), because it contains fewer data voids and provided better shadow rendering in our area.

2.4 Supraglacial debris cover data

In this study, we constrained our analysis over supraglacial debris surfaces, extracted from the database of global distribution of supraglacial debris cover (Scherler et al., 2018), and referred hereinafter as the "SDC". Debris-covered glacier outlines in this dataset were derived from Landsat 8 OLI and Sentinel-2 data using automated approaches on Google Earth Engine by excluding clean ice and snow from glacier areas within the limits of the Randolph Glacier Inventory (RGI v.6) (RGI Consortium, 2017). Outlines span the period 1998 to 2001 for the central and eastern Himalaya, the year 2002 for the western (monsoon-dry transition zone) and mostly the year 2010 for glaciers in China. The outlines in the SDC dataset required pre-processing because supraglacial ponds along with other surfaces such as nunataks were represented as "holes" in this dataset. This caused "NULL geometry" errors due to unclosed polygons, duplicated vertices etc. We fixed these errors in the SDC polygons using the Repair Geometry command in ArcGIS v10.8., in order to "fill" the holes so that these were included in the SDC polygons. For the test Khumbu area, we removed supraglacial debris polygons with an area less than 0.01 km², which proved to be erroneous areas upon visual examination, i.e., sliver polygons or isolated bare land pixels. Such unwanted small polygons typically result from polygon overlays and do not represent a physical entity on the ground (see Delafontaine et al., 2009).

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Elevation data

We obtained and evaluated the 30 m SRTM (NASA-JPL, 2013) and ALOS (AW3D30 v 2.2) Digital Elevation Models (DEMs) (JAXA, 2019). Their vertical accuracies (root mean square error - RMSE₂) in the Himalaya were reported as 23.5 m (Mukul et al., 2017) and 2 m (Tadono et al., 2014), respectively. The AW3D30 DEM provided better vertical accuracy and less artefacts due to data voids compared to SRTM DEM, and was therefore chosen here. The AW3D30 tiles were mosaicked using bilinear interpolation to create a seamless DEM covering the entire Himalaya domain. We extracted surface slope, minimum elevation and elevation range over the debris covered areas using zonal analysis and investigated the possible dependency of supraglacial features on these topographic variables.§ Image pre-processing.§

Atmospheric effects due to scattering or absorption from atmospheric gases, aerosols and clouds result in attenuation, brightness modifications, or altered spatial distribution of the reflected solar radiation at visible wavelengths of interest (0.4 - 2.5 μm) (Kaufman, 1989). Furthermore, topographic effects caused by differential solar illumination of the earth's surface in complex terrain result in widely varying spectral responses which affect image processing operations, including sub-pixel routines. Various topographic normalization algorithms exist, including the cosine function and the Minaert correction using a DEM; these were reviewed previously (Richter, 1997) and most recently for the Himalaya (Mishra et al., 2010). Similarly, sophisticated atmospheric correction models require climatic inputs such as ground measurements of aerosol or temperature, which are not easily available in high mountains. We performed atmospheric and topographic corrections of the Landsat OLI scenes using the open-source Atmospheric and Radiometric Correction of Satellite Imagery (ARCSI) program (Wilson, 2013) The ARCSI routine is based on the 6S algorithm (Vermote et al., 1997) and corrects for the spectral variation of surfaces and illumination effects using an standard atmospheric profile, an Aerosol Optical Thickness (AOT) value and sensor geometry. Topographic corrections in ARCSI use physically-based illumination and reflectance corrections (Shepherd and Dymond, 2003). The AOT was derived from each the image by a numerical inversion the surface reflectance using the simple dark object (DOS) subtraction technique from the blue band. This yielded an AOT of 0.05 for the Sept 30th 2015 scene. To validate the performance this technique for atmospheric profile representation in our area, we compared the estimated AOT with data from AERONET (https://aeronet.gsfc.nasa.gov/) and Copernicus CAMs

(https://atmosphere.copernicus.eu/catalogue#/) for the same date and time as the reference scene. AERONET provides three quality levels (Levels 1.0, 1.5, 2.0) for aerosol size (Giles et al., 2019); we used 1.5 level data at 550 m for the three sites in eastern Nepal located within our study area. The CAMs database provides daily forecast global reanalysis of total optical depth at multiple wavelengths. The AOT calculated from AERONET was 0.07; the average of the two CAM cells where the Khumbu area is situated was 0.05, which is consistent with the value estimated using the DOS method, and was used here. For the topographic corrections, we used the AW3D30 DEM, which provided better shadow rendering and less artefacts due to data voids compared to SRTM DEM.¶

2.5 Spectral unmixing background and set-up

Jn remote sensing, the reflectance spectrum of any image pixel represents an average of the materials on the ground, present in various proportions within that pixel (Keshava and Mustard, 2002). These "mixed pixels" are a common occurrence and are especially a concern in low to medium resolution imagery including Landsat. In the case of debris-covered glacier tongues, constituent materials include various types of rock debris and/or ice cliffs_supraglacial ponds and vegetation in various proportions (Rounce et al., 2018). Spectral unmixing techniques serve to quantify mixed spectra and to decompose each pixel into its constituent materials based on their characteristic, distinct spectral signatures. These materials are referred to as "pure" endmembers (Painter et al., 2009; Keshava and Mustard, 2002), and are either extracted from the image itself before unmixing using unsupervised techniques, or supplied by the user using a priori knowledge (Painter et al., 2009; Keshava and Mustard, 2002; Dixit and Agarwal, 2021). The relationship between the fractional abundance of each material and its spectra is most often defined as a linear combination of the spectral reflectance of the distinct constituent materials. This is implemented as 055 linear mixing models (LMM), used for example to distinguish among vegetation, rock, or different snow grain sizes (Painter et al., 2009), LMM are easy to implement and therefore are therefore widely used (Dixit and Agarwal, 2021; Keshava and Mustard, 2002). In contrast, nonlinear mixing models take into account multiple scattering between surfaces and are used in forested areas where canopy height, or particulate mineral mixtures are in close association (Roberts et al., 1993). They are more realistic but are also more difficult to implement (Dixit and Agarwal, 2021)

To yield physically meaningful results, fractions obtained from spectral unmixing should ideally comply with two major constraints: (a) the non-negativity (or positivity) constraint (i.e. fractions should not be negative) and (b) the sum-to-unity (i.e. for each pixel, fractions should add up to 1) (Keshava and Mustard, 2002). The non-negativity condition is recommended because negative reflectance values have no physical meaning, and the sum-to-unity constraint is recommended when very dark endmembers such as shadows are targeted or for unmixing radiance or thermal infrared emissivity. Models that comply with both conditions (called "fully-constrained models") are difficult to achieve because they require perfect knowledge of the system, which is rarely feasible. Furthermore, fully-constrained models have been shown to produce unrealistic fractions in poorly defined areas or areas of low illumination (Cortés et al., 2014), In this study, we applied LMM with endmembers extracted from the Landsat 8 OLI image itself, and we constrained our analysis over the supraglacial debris cover only to reduce model complexity. We used the LMM implementation in the ENVI 5.5 software (L3Harris Geospatial, Boulder CO),

070 2.5.1 Endmember selection and spectral signatures

The selection of endmembers is crucial in determining the accuracy and reliability of the spectral unmixing (Song, 2005; Dixit and Agarwal, 2021), and it requires some trial and error as well as a priori knowledge. We selected the endmembers within the debris-covered areas in the Khumbu domain, based on the reference Landsat 8 OLI scene (Sept 30, 2015). Prior to this, we performed a forward Minimum Noise Fraction Transform on the Landsat scene (Green et al., 1988), which consists in a linear transformation of the data based on principal component analysis, and allows to estimate noise in the bands. All

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bands had eigenvalues > 1, so we determined the dimensionality of the Landsat data as n = 7. We used the unsupervised pixel purity index routine in ENVI to find "pure" pixels in an automated manner. This routine outputs a data cloud where the value of each point indicates the number of times each pixel was marked as extreme, thus representing pixels with the highest occurrence in the image. We optimized the pure pixel extraction using various numbers of iterations (20,000 to 50,000) with thresholds ranging from 2 to 3 (i.e., two to three times the noise level in the data) until all "pure pixels" were detected. Larger thresholds identify more extreme pixels, but they are less likely to be "pure" endmembers. "Pure" pixels were identified on the Landsat 8 OLI scene as corresponding to six endmembers: clean ice, dry vegetation, clouds, light debris, dark debris and turbid water (Fig. 3). These were checked against co-registered Pléiades and RapidEye false colour composites in the Khumbu in order to minimize any occurrence of "mixed pixels".

200 Fig. 3 here

The spectra of the six endmembers (Fig. 4a) were statistically separable based on the Jeffries-Matusita and Transformed Divergence separability measures (Richards, 2013) (values > 1.9–2.0). We defined both light and dark debris endmembers on the basis of their spectral differences (Fig. 4a), also noted in other studies (Casey et al., 2011; Kneib et al., 2020). We visually compared these spectral signatures with those we acquired previously in the field on Mer de Glace (French Alps) using an SVC HR-1024 spectrometer (350 nm to 2500 nm) (Racoviteanu and Arnaud, 2013) (Fig. 4b), as well as with supraglacial debris spectra from other papers (Naegeli et al., 2015; Naegeli et al., 2017; Casey and Kääb, 2012) To minimize the number of endmembers, we made several choices: (a) we did not consider any snow; (b) we assumed the supraglacial ponds to be mostly of turbid type, i.e., those containing larger quantities of suspended sediments. We based this choice on results from Matta et al., (2017), who reported 52 % of ponds in the Himalaya to have grey waters and 24 % blueish waters; 210 the water spectra in Fig. 4a corresponds well with field-based spectra for other turbid lakes in the Khumbu, such as Chola Lake, reported their study; (c) based on our field observations of high-altitude vegetation in the Khumbu (Fig. 3d), we defined the vegetation endmember as "dry vegetation", whose spectral signature (a) corresponds roughly to the graminoid shrubs or overgrown vegetation with a grass-like appearance typically found at high altitudes (Wehn et al., 2014); (d) deep shadows were previously removed during the topographic corrections with ARCSI and assigned "NoData" so they were not 215 considered as an endmember. We ran the LMM for various combinations and numbers of endmembers (3 to 6 endmembers) and recorded the model RMSE for each combination. We examined the residuals (RMSE band) provided from the unmixing to determine areas of missing or incorrect endmembers; when this contained distinct features, it indicated poorly defined

endmembers. We excluded the endmembers one by one and ran LMM until we obtained a "salt and pepper" with no distinct features, indicating that no endmembers were missing or misidentified.

220 Fig. 4 here

2.5.2 Surface classification from fractional maps

J.MM routines result in a multi-band raster containing pixel-by-pixel fractional cover values for each class, which ideally range from 0 to 1. When we obtained negative values for a class, we assumed that the material was missing and forced these values to zero. Positive values were normalized by dividing each endmember fraction by the sum of the endmembers, so that the sum of the fractions of the various materials in each pixel added up to 1. This is a common procedure suggested by previous studies (Rosenthal and Dozier, 1996; Quintano et al., 2012; Cortés et al., 2014) when the sum-to-one condition is not satisfied.

For further analysis, we require maps of the surfaces rather than just a numerical value of area, so we classified the 30 m fractional maps by applying a threshold α to produce binary maps for each class. Previous studies used a minimum threshold of α = 0.4 or 0.5, i.e. a pixel was assigned to a class if it contained a fraction of 40 – 50 % to 100 % of that constituent material (Hall, 2002). The thresholds varied by class, because any pixel contains a mixture of materials in various proportions. For the supraglacial ponds in the Khumbu, we defined the water threshold quantitatively based on comparison of the LMM-derived pond areas against those derived from Pléiades for seven glaciers (section 2.6), and we evaluated the sensitivity of the chosen water threshold. For the other classes, the thresholds were adjusted carefully based on visual interpretation against the Pléiades and RapidEye images in the Khumbu. The thresholds established for the Khumbu were applied over the entire Himalaya domain.

2.5.3 Accuracy assessment

surfaces visible on the high-resolution Pléiades and RapidEye), and quantitatively (using established measures, i.e., poot

mean square error – RMSE, fraction value abnormalities and the residual band output in the LMM) (Gillespie et al., 1990).

To quantitatively assess the ground accuracy of the LMM, we manually digitized 151 test pixels covering all six classes (10

– 38 pixels per class) on false colour composites of the Pléiades and RapidEye images in the Khumbu using a simple random sampling strategy. The reference points were chosen so that they were well distributed across the Khumbu (Fig. 2), and were taken to represent "ground truth". The predicted class was compared to the ground truth at each pixel to generate a confusion matrix and to compute the overall accuracy of the model (percent pixels classified correctly). We also report class-specific metrics as true positives (number of pixels correctly classified and are found in a class, TP), true negatives (number of correctly classified pixels, that do not belong to a class, TN), false positives (number of pixels that were incorrectly assigned

The performance of the LMM was assessed both qualitatively, (on the basis of visual interpretation and comparison with

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to a class, FP) and false negatives (number of pixels that were omitted from a class, FN) (Table 2). We calculated three metrics which are suitable for multi-class classification routines (Sokolova and Lapalme, 2009) as follows (Eq. 1-3):

$$485 \quad Precision = \frac{TP}{TP + FP} \tag{1}$$

$$Recall = \frac{TP}{TP + FN} \tag{2}$$

$$Fscore = \frac{2TP}{2TP + TP + FN} \tag{3}$$

Precision measures the agreement between ground data and classified data, for example, the probability that a pixel classified as water, is indeed water on the ground. Recall measures the effectiveness of the classifier to identify a pixel in the class of interest. Fscore balances precision and recall as the harmonic means of the two, and measures the relation between the pixels on the ground and those classified, i.e., the model accuracy for each class. For all metrics, a poor score is 0.0 and a perfect score is 1.0.

We validated the performance of the spectral unmixing for supraglacial pond areas on the basis of high-resolution imagery

2.6 Validation of supraglacial ponds with high-resolution data

for 6 to 7 debris-covered glacier extents selected from three sites shown in Figure 1. For the Khumbu and Lahaul Spiti glaciers, supraglacial pond areas were mapped from Pléiades and PlanetScope imagery, respectively (Table 1) using OBIA techniques (Blaschke et al., 2014) implemented in the ENVI Feature Extraction Module (HarrisGeospatial, 2017). In the Khumbu, the Pléiades images were acquired several weeks apart from the date of the Landsat scene in some parts of the region (see Table 1), but we assume minimal lateral expansion between the two dates, as discussed by Watson et al. (2018).
 For the Langtang, we validated our LMM-derived pond areas with those reported for seven glaciers based on SPOT7 satellite imagery in Steiner et al., (2019). The OBIA method used for Khumbu and Lahaul Spiti consisted in a 'segmentation only' extraction workflow on the visible bands of Pléiades and/or PlanetScope, with an edge algorithm (to delineate the pond segments), a fast lambda setting (to merge adjacent segments with similar colours and borders) and a texture kernel size of 3 pixels (suitable for segmenting small areas). The scale and merge levels were adjusted against colour composites to prevent over-segmenting and to combine different segments into one pond. The resulting polygons were further manually corrected (split, merged or digitized) for any missing and/or shaded areas beneath ice cliffs as described in Watson et al. (2017a). Our aim was not to construct a sophisticated OBIA classification scheme but rather to use the feature extraction module as time-saving strategy and to add objectivity to the manual digitization.

2.7 Auxiliary region-wide datasets

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We explored the dependency of the resulting supraglacial pond cover incidence on topographic variables: elevation bands above the termini, slope gradient and aspect of the debris cover areas. These were calculated over the debris-covered parts of the glaciers on the basis of the AW3D30 DEM (30 m). Only glacier polygons with area larger than 1 km², resulting in a subset of 408 glaciers were selected from the SDC database over the Himalaya domain for an in-depth glacier-by-glacier analysis. The area threshold was applied in order to remove spurious small bare land patches or isolated debris pixels present in the SDC database. While numerous glaciers in the Himalaya are smaller than 1 km², these are mostly clean glaciers (Racoviteanu et al., 2015). In addition to the glacier-by-glacier basis analysis, we also binned the topographic variables, i.e., 100-m elevation, 2-degree slope and 45-degree aspect, and summarized the pond incidence in each bin.

We explored spatial patterns in the pond incidence and supraglacial vegetation with respect to regional climate gradients.

We explored spatial patterns in the pond incidence and supraglacial vegetation with respect to regional climate gradients, average glacier mass balance and average surface velocity. Climate data (total precipitation and average temperature) were obtained from ERA5-Land, which provides gridded monthly average means at 0.1° x 0.1° of land surface properties (Copernicus Climate Change Service, 2019) (Muñoz-Sabater, 2019). Gridded glacier thickness change at 30 m resolution for the period 2000 – 2019 were obtained from Shean et al., (2020). Glacier surface velocities for the period 2013 – 2015 based on Landsat data were obtained from Dehecq et al., (2015). All topo-climatic variables were binned and averaged over a 1° x 1° grid to explore the topo-climatic controls on spatial trends in pond and vegetation incidence and to allow comparison with other studies (e.g. Brun et al., 2017; Dehecq et al., 2019).

540 3 Results

3.1 Fractional maps

Here we present results of the unconstrained LMM, because this had a lower RMSE (0.6 %) compared to the partially constrained model run (RMSE of 1.5 %). The normalized fractional maps of the six surface types are presented in Fig. 5; fractional values ranged from 0.004 to 1. Fractional water values greater than 0.5 correspond to supraglacial ponds, visible for example at the termini of Ngozumpa and Khumbu Glaciers (Fig. 6a,b). Light and dark debris was identified with a threshold of 0.25 and 0.40 respectively, defined visually on the basis of the Pléiades image. Dry vegetation patches generally exhibited pixel fractions greater than 0.65 Pixels with abnormally high positive fractional vegetation values were found in areas of healthy green vegetation and/or bare terrain, which should not be part of the debris-covered tongues, as will be discussed later (section 4.5). Cloud pixels display fractional values greater than 0.45, although some pixels were mixed with debris, particularly at cloud shadow areas. For clean ice, fractional values were rather low (0.20) and ranged from 0 (areas

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Here we present the classification map for the five surfaces obtained with a maximum spectral angle of 0.15 (i.e. pixels with spectral angle > 0.15 were not classified) (Fig. 4). On Figure 4, we note that SAM classifier performed well for mapping snow and ice and identifying clouds. The debris-covered tongues were also identified roughly, although they contained some extra bare terrain and some water pixels were confused with debris. Supraglacial vegetation was detected at the edges and termini of debris-covered glaciers especially in the eastern part of the domain. This represented mostly dry vegetation (with higher reflectance in the visible and NIR bands compared to green vegetation). Healthy green vegetation present outside the glacier outlines at lower altitudes was not detected by the SAM, due to its distinct spectral signature (i.e. low reflectance in the blue and red bands due to absorption by chlorophyll and high reflectance in the green bands). Some turbid pro-glacial ice-contact lakes (e.g. Imja Lake) were well classified, but others such as the darker blue, less turbid, Gokyo Lakes and most of the supraglacial lakes were not detected. Some lakes are mostly composed of turbid water, with a higher reflectance in the red bands due to the presence of suspended sediments, and hence a higher reflectance overall than that of clear water. The SAM results were useful here to test the endmember choices and fine tune them for the LMM routines. For example, some of the missing parts in the centre of debris-covered tongues in the northern part of the area (China) as well as Ngozumpa Glacier indicate the need for two debris endmembers to capture the variability in the surface reflectance of debris with varying lithology. Another advantage of the SAM is that is also provides unclassified pixels separately, as well as masked (shadow) pixels as separate classes. The SAM method is presented here only as an additional verification on the endmembers chosen.

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In this study, we attempted both an unconstrained and partially constrained (sum-to-one) implementations in ENVI. Despite the 581

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which might have some degree of dirty, dark ice with a lower albedo) to 1 (small number of clean ice pixels found in the upper areas of supraglacial debris).

Fig. 5 and Fig. 6 here

665 3.2 Accuracy of the LMM-based classification for the Khumbu

Accuracy measures presented in Table 2 for the Khumbu domain show that errors were not evenly distributed among classes. For the water and vegetation classes, recall score was 0.83 to 0.84 respectively, with a precision of 0.94 and 0.93 respectively Table 2. For these classes, the LMM achieved a balance of precision and recall metrics, with a high Fscore of ~ 0.9 indicating an accurate model. For the debris classes, the model was reasonable but not outstanding, with an Fscore of ~ 0.7 and lower 670 precision score for dark debris (0.56) compared to light debris (0.72) (Table 2). This suggests that in the case of dark debris, the LMM model was less accurate than light debris, because pixels from other classes (clean ice, water and light debris) got mistakenly assigned to this class. Clouds were classified with low precision and low recall scores (Fscore of ~ 0.5), which means that the LMM performed relatively poorly for this class and it also missed 50% of the cloud pixels. There was confusion between clean ice and cloud pixels, i.e., clean ice pixels were mistakenly included in the cloud class. Clean ice was the most 675 poorly classified, with a recall score close to 0 and Fscore of 0.13; one ice pixel was correctly identified, but other surfaces were confounded with ice. We attribute this to the poorly defined ice class in the model data (i.e., limited number of "pure" ice pixels used to extract the spectral signature). Based on these measures, we note that overall, the LMM most accurately classified the water and vegetation classes, with reasonable performance for the light debris class but poor performance for clean ice and clouds. The overall accuracy of the LMM-based classification of the six surfaces was 75 %; however, this is a 680 rather coarse metric and it does not indicate the specific performance of the model for each class so we do not use this here as evaluation of the accuracy.

Table 2 here

3.3 Supraglacial pond thresholds and validation

The sensitivity analysis of the pond areas obtained from LMM fractional maps with various thresholds (Table 3) indicates
that there was up to 40 % variability in total pond area when compared to Pléiades-based ponds, depending on the glacier. A
threshold of 0.5 applied to the water class (fractional water > 0.5 = supraglacial ponds) yielded the best agreement with the
total pond areas for the seven glaciers, obtained from OBIA mapping on the Pléiades image (1.0 km² compared to 1.1 km²
for the total coverage, respectively, or a 9 % difference) (Table 4). For the Khumbu Glacier, LMM with a threshold of 0.5

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Deleted: The overall accuracy of the LMM-based classification was 0.75, with a Kappa coefficient of 0.69 (Table 2). The confusion matrix presented in Table 2 shows that dark debris was 100 % classified with respect to the reference pixels. Dry vegetation and water were also classified with a high accuracy (> 83 %), and light debris was classified at 70 %, as some light debris pixels were confounded with vegetation. Clouds were only classified with 50 % overall accuracy, and these were only present over small areas. Clean ice was the most poorly classified with an accuracy of 7.1 %. Considering its distinct spectral signature (Fig. 3), we attribute this to the poor representation of the clean ice class on the debris-covered tongues, because, in addition to there being only a very small horizontal surface area of exposed ice, it tends to also be heavily dusted with fine debris. ⁴

In Table 2 we also present accuracy measures for each class as omission errors / producer's accuracy (i.e. percentage of pixels that the control of the cont

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815 yielded a pond area of 0.20 km² versus 0.23 km² from Pléiades (Table 4), which is in agreement with the area reported by Watson et al. (2017b)(0.24 km²) using the same Pléiades image (Oct 7, 2015).

Table 3 and Table 4 here

In the Lahaul Spiti, for the seven glaciers we investigated, LMM yielded a total pond area of 0.14 km² (0.31 % of the total debris-covered area of the glaciers). The area mapped from PlanetScope image from the same date (Oct 19, 2016) using OBIA yielded 0.10 km² (0.22 % of the debris-covered area) (Table 4).

In the Langtang, for the six glaciers investigated in Steiner et al., (2019), our LMM-derived pond areas yielded a total of 0.17 km² pond area (0.64% of the debris-covered area). Steiner et al., (2019) obtained a total pond area of 0.21 km² (0.86% of the debris-covered area) for the same glaciers based on manual digitization by multiple analysists from SPOT7 data for the same date as the Landsat. LMM under-estimated the pond area by 0.05 km² (19%), which is within the uncertainty range (21%) reported for the ponds in the Langtang area by Steiner et al., (2019).

Visually, the spectrally unmixed pond pixels correspond well with the validation dataset, although there is a difference in the representation of the pond surfaces due to the spatial resolution (30 m Landsat vs. 2 m Pléiades) (Fig. 6). For Lhotse Glacier, the supraglacial pond area was slightly under-estimated compared to Pléiades (Table 5) as can be seen on Figure 6. This is perhaps due to the predominance of darker debris type on this glacier, some of which was confused with water, as shown by the accuracy metrics (Table 2). Similarly, in the Lahaul Spiti, locations of the supraglacial ponds correspond well between LMM and PlanetScope on Bara Shigri Glacier (Fig. 6c), but the small ponds are not identified using the water threshold of

3.4 Application to regional non-glacier lake databases

0.5, which assumes that more than 50% of the pixel area is covered by water.

masking the debris-covered glacier areas and replacing the turbid water endmember with the clear water endmember, which has a lower spectral signature (Fig. 4a). This is beyond the purpose of this study, but we provide an illustration of such an output for the terminus of Ngozumpa Glacier (Fig. 7). We present the ponds and lakes on the debris cover and outside it for comparison with two existing glacial lakes databases constructed from the same year (2015 Landsat): the HMA v.1 lake dataset, derived using a normalized difference water index (Shugar et al., 2020) and HI-MAG constructed using a modified

NDWI and manual corrections (Chen et al., 2020). A comparison with other global databases such as the Global Surface

Water dataset (Pekel et al., 2016) was not undertaken here, as this has already been shown to underestimate the water.

While supraglacial ponds are the focus of this study, we mention that LMM can also be parameterized to map other lakes, by-

Water dataset (Pekel et al., 2016) was not undertaken here, as this has already been shown to underestimate the water occurrence over most of the Himalaya by Chen et al. (2020). With regards to HMA <u>v.1</u> and HI-MAG datasets, Figure <u>7</u> shows that the lake outlines obtained from spectral unmixing <u>for Ngozumpa Glacier and Gokyo Lakes</u> are outperforming both of the existing databases, in this area. Our lake extents are consistent with the HMA v.1 lakes extents outside debris

Deleted: and an automated binary classification method (i.e. based on the maximum fractional values) against the Pleiades pond areas. When using the automated approach, the total supraglacial pond coverage was over-estimated by 64 % compared to the Pleiades pond area. For the Khumbu glacier, for example, Landsat spectral unmixing ...ielded a total binary ...ond area of 0.20 km2, ...versus 0.23 km2 from Pléiades (Table 4), which is in agreement with the area estimated from OBIA analysis using Pleiades (0.23 km2). This is also consistent with the area estimated...eported by Watson et al. (2017b)(0.24 km2) based on similar methods using the same Pleiades image (Oct 7th, 2015) (Table 6). The automated maximum fraction method yielded 0.27 km2 for the pond area. For the pond area, the area difference between the thresholded and the manual method is small (0.07 km2), but this has to be however considered when upscaling such results to larger areas. ... [66]

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cover (Fig. 7), and the surface area estimates agree quite well, for example we calculated a difference of 5 % in the summed pond area over the three Gokyo Lakes (1.15 km² in our estimates ys. 1.09 km² in HMA v.1. The slight under-estimate in the latter is due to simplification of the raster edges in the vector conversion process, visible in the lake extents. With regards to supraglacial ponds, for example Spillway Lake at the terminus of Ngozumpa Glacier, our spectral unmixing technique maps most of these lakes, while both HMA v.1 and the HI-MAG datasets fail to detect all the supraglacial ponds. The HI-MAG detects more of the surface of Spillway Lake compared to HMA v.1, but the outlines are simplified and lack precision with respect to Landsat pixels Fig. 7), We did not simplify the lake and pond polygons, as this can introduce significant area errors.

280 Fig. 7 here

3.5 Composition of the debris-cover glacier tongues: glacier to regional scale

3.5.1 Khumbu domain

For the seven debris-covered glacier tongues in the Khumbu (Fig. 8), the most prevalent materials detected using LMM were dark and light debris, with an average of 53.7 % and 43.6 % of the supraglacial debris area, respectively (Table 5). The dark and light debris areas exhibit variable distribution patterns by glacier. For example, the debris-covered tongue of Nuptse Glacier in Khumbu is mostly covered by light debris (> 95 % of its area), while the opposite is true for Lhotse Glacier, which is mostly composed of dark debris (> 91 %) (Table 5). Other glaciers in the eastern part of Khumbu, i.e., Kangshung Glacier exhibit alternating bands of light and dark debris, where darker bands represent medial moraines (Fig. 8).

Table 5 and Fig. 8 here

Exposed ice was detected in small quantities in the Khumbu, ranging from 0.2 % (Lhotse) to a 1.4 % (Changri Nup) with an average of 0.6 % of the debris-covered areas (Table 5 and Fig. 9). Patches of supraglacial vegetation ranged from ~ 0 % (Lhotse Nup Glacier) to 1.6 % (Gaunara Glacier), with an average of 0.5 % over the seven tongues (Table 5). Vegetation patches were found for several pixels corresponding to the lateral moraine of Ngozumpa Glacier, or larger patches at the terminus of Labeilong and Kazhenpu Glaciers in China (Fig. 8, and Fig. 10). The supraglacial pond area in the Khumbu in 2015 ranged from 0.9 % (Lhotse and Nuptse Glaciers) to ~ 3 % of the debris-covered area (Ngozumpa and Khumbu Glaciers), with an average of 1.6 % over the seven debris-covered glacier tongues and glacier-by-glacier variability (Table

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310 5). The larger water coverage for Ngozumpa and Khumbu Glaciers is consistent with the presence of large supraglacial ponds at the terminus of these two glaciers shown on Figure 6.

Fig. 9 and Fig. 10 here

3.5.2 Himalaya domain,

Here we consider patters across the whole analysed mountain range and also compare and contrast conditions in the four regions highlighted in Figure 1. Light debris is prevalent over the entire Himalayan domain, comprising almost three times the extent of dark debris (60.9 % vs. 23.8 %, respectively). There is a slight regional variability in the occurrence of light debris, but all regions exhibit similar patterns in terms of the proportion of light and dark debris (Table 6). Glaciers in the western part of the Himalaya are mostly composed of supraglacial light debris, which presumably reflects the nature of the underlying bedrock geology here (Searle et al., 1987).

We detected a higher percent coverage of clean ice/snow within the debris-covered area for the entire range (5.6 % of the debris) with respect to the reference Khumbu domain (0.6 % on average) (Table 6). At the date of the analysis (September to October 2015), some of the debris-covered glaciers in the eastern part (Bhutan) exhibited snow on the upper parts of the supraglacial debris, because the end of the ablation season occurs later in this area.

Table 6 here

- 325 Cloud coverage amounted to 45 km² (2.0 % of the debris-covered area) over the entire range, with less coverage in Lahaul Spiti and Khumbu (1.6 % and 0.6 % respectively) compared to the Bhutan domain (6 %). While these patterns correspond to local meteorology, which will be discussed later (section 4.1), i.e. more frequent cloud cover in the eastern Himalaya until later fall months compared to the western part (Thayyen and Gergan, 2010), we are less confident in the actual estimations of the cloud cover areas so we do not wish to over-interpret these.
- Supraglacial vegetation covered a total of 4.5 % of the debris-covered parts of glaciers over the Himalaya domain, with less coverage in the western part (Lahaul Spiti, 1.6 % of the debris cover) than in the central and eastern Himalaya regions (Khumbu and Bhutan domains, at ~ 3 %). We show examples of the vegetation maps obtained from LMM on Kazhenpu Glacier in China in Figure 10a. On other glaciers, such as Labeilong Glacier (Fig. 10b), these values might be slightly over-estimated because the SDC dataset included patches of healthy vegetation as part of the debris cover. We attribute the high percentage of supraglacial vegetation in some of the eastern parts to errors in the input supraglacial dataset, and we are hesitant to over-interpret the vegetation analysis. However, we note the potential of the fractional vegetation maps for identifying mapping errors in SDC dataset.

The supraglacial pond dataset over the Himalaya domain consists of a total of 18325 ponds ranging in area from 0.0009 km² to 0.002 km². Ponds accounted for an area of 47 km² (2.1 % of the total supraglacial debris cover), with marked regional

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While spectral unmixing is a relatively straightforward routine to implement, limitations and main difficulties in correctly applying this routine arise due to several factors: 1) quality and limitations of the input data; 2) illumination differences and 3) uncertainties in the endmember selection and in the unmixing routine itself. With respect to the quality of the input data, our study relied on Landsat OLI. Using the Landsat series has the advantage of spanning several decades (1972 to present), with the possibility of replicating this study for past decades. While Landsat 7 missions suffer from saturation at the sensor and data gaps due to Scan Line Corrector (SLC)-off, these were used for snow mapping as noted earlier. Sentinel-2, which started acquiring data in 2015, may be used for future time steps in improve the performance of the spectral unmixing. ¶

Atmospheric and topographic corrections are necessary when applying the spectral unmixing over large areas. In this study, such corrections helped minimize illumination differences, remove deep shadows etc. so we were confident that spectra developed for the Khumbu could be used over the entire Himalaya. However, in some areas, some spectral differences remained, and they led to confusion between the water and light debris classes and hence some over-estimation of the pond coverage particularly in some areas of the western Himalaya. Such areas will require post-classification corrections prior to be included in regional datasets, but are not computationally-intensive.⁴

The endmember selection was a crucial step in the spectral unmixing process, as it is prone to uncertainties and limitations of the satellite imagery used. The impact of the different parameters of the spectral unmixing model on the performance of the subpixel mapping needs to be thoroughly evaluated (Xu et al., 2017). One of the difficulties of applying spectral unmixing lies in capturing the variability of the system while maintaining a low model complexity to ensure a "valid" model. In this study, Pleiades imagery combined with field expertise was essential in fine tuning our choice of materials and extracting "pure" pixels. We used six endmembers, which can be considered large compared to the limited number of Landsat bands (n = 7). However, our tests with fewer classes (three or four) resulted in large model errors (RMS) due to missing classes. To minimize the numbers of classes, we made several choices: (a) We combined snow and clean ice into one class (even though their spectral signatures were statistically different), and this potentially reduced the accuracy of the clean ice classification. (b) For the same reasons, we could not define a dirty ice endmember as done in studies using hyperspectral imagery (Naegeli et al., 2015). (c) On the debris surface, we assumed the supraglacial ponds to be mostly of turbid type, with larger quantities of suspended sediments, though some small clear water supraglacial ponds may exist as well (Takeuchi et al., 2012; Giardino et al., 2010). The limited Landsat spectral resolution implied that we could not concomitantly use both a clear and turbid water endmember in the spectral unmixing. However, we used these two endmembers in different model runs, and then combined the results. (d) We defined both a light and dark debris endmember on the basis of spectral differences noted in other studies (Casey et al 2011), but we are aware that these may not cover the wide spectrum of lithology present across the Himalaya. Using a single debris endmember resulted in large RMS errors, indicating a missing or poorly defined class. (e) The vegetation endmember was defined as graminoid shrubs or overgrown vegetation with a grasslike appearance, which occur at high altitudes (Wehn et al., 2014)61 variability among western Himalaya (Lahaul Spiti: 0.3 % of the supraglacial debris), central Himalaya (Khumbu: 1.6 % and Manaslu: 2.6 %) and eastern Himalaya (Bhutan: 4.9 %) (Table 6).

3.6 Glacier-by-glacier pond and vegetation coverage

The 408 debris-covered glacier tongues selected from the SDC dataset for the in-depth analysis (cf. section 2.7) ranged in area from 1 km² to 37 km², with an average area of 3.9 km² and a mean gradient slope of 12.7°. The supraglacial pond and vegetation coverage of these glaciers shows heterogeneous patterns (Fig. 11a,b). Both supraglacial ponds and vegetation cover a relatively small percent of the debris-covered glacier areas in the western Himalaya (0 to 2.5 %) compared to the central and eastern parts. We note some clusters of higher percentage occurrence of both ponds and vegetation in these two
regions (7.5 – 10% for ponds and 20 – 40 % for vegetation, respectively) (Fig. 11a,b). The glacier-by-glacier analysis of pond coverage with respect to minimum debris-covered glacier elevation did not yield a clear trend, suggesting that ponds do not occur necessarily on glaciers situated at lower altitudes. Similarly, supraglacial vegetation coverage did not display significant dependencies on either average slope or minimum elevation of the debris-covered tongues. While we could hypothesize that both ponds and vegetation tend to develop on stagnant, low angle debris-covered tongues at lower elevations, which would favour increased temperature and therefore increase surface melt, trends were not statistically significant on a glacier-by-glacier basis, and are therefore not thoroughly discussed here.

Fig. 11 here

Analysis of pond coverage per 100-m elevation bands over the entire range however shows clearer patterns than glacier-byglacier results: 77 % of the pond area coverage occurs within 10 % elevation from the glacier termini and decreases
exponentially towards the upper part of the debris-covered tongues (0.1% of pond coverage at 75% up the debris area) (Fig.
12a). Supraglacial ponds have been shown to form on stagnating areas on the ablation areas of glaciers with negative mass
balance and surface angles lower than 2° (Sakai and Fujita, 2010; Reynolds, 2000; Quincey et al., 2007). We note from
Figure 12a that the largest concentration of ponds does not occur directly at the glacier termini, but within 2 % of the
elevation from the terminus (i.e., within 100 m above the minimum elevation). The analysis of pond incidence over 2° slope
gradient bands (Fig. 12b) shows that the maximum pond coverage occurs within 0 to 10° slope gradient (38 % of the total
pond area). For slopes > 10°, the pond incidence drops exponentially, which is to be expected because at steeper slopes,
meltwater can drain away (Reynolds, 2000). The maximum pond occurrence occurs at slope bins between 2 and 8° (8 to 9 %
of the pond area) (Fig. 12b). This is consistent with findings from a previous study (Scherler et al., 2011), which found that
slope areas with gradients less than 8° were associated with stagnant ice at the terminus regions of debris-covered glaciers
over the Himalava.

With respect to slope aspect, we found that the maximum pond coverage occurs on slopes with an eastern orientation (22.5 to 67.5°, 15.6% of the pond area) and southeastern orientation (67.5 – 112.5, 14.2% of the pond area), with less pond

incidence (~ 9 %) on northern facing slopes (Fig. 12c). Although the differences in pond incidence in the different aspect bands are only within 4 %, this seems to support the fact that southern and eastern facing slopes receive more insolation, thus favouring ice melt and formation of ponds. However, as with the slope analysis, the 30 m spatial resolution of the DEM does not allow us to infer the precise control of aspect on pond formation or a full quantification of the small-scale controls of pond incidence, but only provides a mountain-range scale of the pond distribution.

Fig. 12 here

4 Discussion

4.1 Controls on supraglacial pond and vegetation distribution

At the mountain range scale, the distribution of supraglacial features may be governed by more complex factors which include geomorphologic, glaciologic and climatic patterns. The topo-climatic conditions for the occurrence of supraglacial ponds on the surface of debris-covered glaciers have been addressed in a small number of studies (e.g. Sakai, 2012; Sakai and Fujita, 2010). Here we discuss the large-scale patterns of pond and vegetation occurrence on debris-covered glacier tongues over the Himalaya domain and their glacio-climatic controls using the auxiliary data over the glacierized areas averaged and binned at 1x1 degrees (~111 km). In Figure 13 we present trends in each of these controls to quantify spatial patterns in their occurrence over the entire domain.

Fig. 13 here

Binned supraglacial ponds and vegetation over the Himalaya domain exhibit clear linear trends (Fig. 13a,b). The pond incidence displays a strong east-west pattern, increasing at the rate of +0.23 % by degree longitude and slight increasing trend in latitude (+0.05% per degree) (Fig.13a). The pond coverage in the western Himalaya is rather homogenous (ranging from 0.1 to 1.5% of the debris-covered areas) and is the more pronounced and more heterogenous in the eastern Himalaya (2.4 to 4.3 % of the debris-covered area). Similarly, supraglacial vegetation increases from west to east (+0.72% per degree longitude) but also towards the north (+0.95% per degree latitude) (Fig.13b). We attribute this northward increase to the occurrence of highly vegetated debris-covered tongues in eastern Tibet, noted in Figure 10. Glacier tongues in the central-eastern Himalaya descend to lower elevations (~3.700 m to 4.400 m compared to ~4.700 to 4.900 m in the western part), which, in conjunction with the more humid, monsoon-influenced climate might favour vegetation growth. Development of vegetation (mostly shrubs) has been noted on stagnant, thick debris-covered tongues in other areas of the world (Xie et al., 2020; Tampucci et al., 2016), and has been found to be increasing in other glacierized areas such as the Alps as a consequence to climatic change and declining glaciation (Vezzola et al., 2016). As vegetation typically only develops on stagnant surfaces that are no longer undergoing substantial gravitational reworking, its presence is also an indication of glacier inactivity and later stages of decay.

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Supraglacial vegetation growth in eastern Himalaya may indicate the transition of a debris-covered glacier to an inactive debris-covered glacier tongue or a rock glacier (Jones et al., 2019; Monnier and Kinnard, 2017).

Understanding these spatial trends in pond and vegetation requires first a consideration of the topographic conditions, i.e., regional differences in the occurrence of supraglacial debris and the elevations at which debris-covered glaciers are found. Supraglacial debris covers a larger part of the glacierized area in the central and eastern Himalaya compared to the western extremities, decreasing linearly from the southwest to northeast, with more pronounced trends eastwards (-2.7 % per degree longitude) than northwards (-0.6 % per degree latitude) (Fig. 13c). At the same time, the elevation of the debris-covered glacier termini increases strongly northwards towards the Tibetan plateau (+ 354 m per degree latitude) and from west to east (+114 m per degree longitude) (Fig.13d). Overall, debris-covered glacier tongues descend to lower elevations (3,100 – 3,500 m) in the central - eastern Himalaya region. The increasing trends in both vegetation and pond coverage towards the eastern Himalaya are consistent with the lower glacier termini and higher rates of debris compared to the western part.

Climate factors (temperature and precipitation) may also favour pond incidence over the domain, i.e., higher temperatures and therefore more dynamic environments could favour increased surface melt and pond formation (Herreid and Pellicciotti, 2020). In the case of the Himalaya, gridded average temperatures for the month of Oct. 2015 exhibit a southwest to northeast decreasing trend, with a stronger decrease in the south to north direction (-2.3 °C per degree latitude) (Fig. 13e). Total gridded precipitation for the same month increases in the eastern direction at the rate of -0.06 mm per degree latitude and decreases towards the drier, colder regions of Tibetan plateau with a stronger gradient northward (-0.23 mm per degree latitude) (Fig. 13f). On the contrary, the warmer and wetter areas of the eastern Himalaya seem to favour higher pond coverage, as also suggested in other studies (Herreid and Pellicciotti, 2020). At larger scales, it has been shown that certain conditions related to topography and lithology could offset this dependency, but at the range of the Himalaya, this climatic dependency holds.

Climatic conditions and glacier characteristics in the western Himalaya are more similar to those in the Karakoram where glaciers have undergone less shrinkage (Brun et al., 2017; Kääb et al., 2012; Gardelle et al., 2013), than those in the central and eastern, monsoon-influenced Himalaya, which exhibit higher temperatures and larger precipitation amounts, and where debris-covered glacier termini are found at lower elevations. Previous studies have reported the highest negative mass balance rates in the period 2000 to 2010 / 2015 for the western part of the Himalaya (Lahaul Spiti) (Brun et al., 2017; Kääb et al., 2012; Gardelle et al., 2013). Gridded average glacier thickness changes over the 2000 – 2018 period based on Shean et al. (2020) dataset show a clear west to east linear pattern in the thinning rates, with an increase at the rate of 0.02 m per degree longitude towards the east (Fig.13g). This surface thinning is consistent with the eastward increase in pond and vegetation incidence noted earlier, i.e., the eastern areas are associated with increased glacier thinning rates and result in more negative mass balance. This can favour higher supraglacial pond coverage as well as perhaps a transition of debris-covered glaciers towards vegetated glaciers as noted in other studies (Fickert et al., 2007).

Average gridded glacier velocities (2013 – 2015) from Dehecq et al. (2015) decrease from the southwest to the northeast direction, with more pronounced gradients in the north direction (-0.1 m a⁻¹ per degree latitude) compared to the east (-0.2 m a⁻¹ per degree longitude) (Fig. 13h). The lower glacier velocities towards the north indicate a tendency for stagnating debriscovered glacier tongues and correlates with the smaller incidence of ponds in these areas (Fig. 13a). In these areas, supraglacial vegetation shows a higher incidence (Fig.13b), supporting the idea that stagnating glaciers also display higher vegetation growth. Stagnant glaciers were reported for the southern and northern parts of the central Himalaya (Scherler et al., 2011) and were linked to topographic differences, i.e., low slope gradients on the northern slopes of the range promoting development of stagnant ice, in contrast with more rugged, stepper terrain of the southern slopes which favours more dynamic glacier environments (Scherler et al., 2011).

The controls on debris-covered glacier surface evolution are a complex combination of cumulative debris-supply, massbalance condition, debris cover expansion, stagnation and total lowering. As such, an analysis to do justice to all these factors and their co-relations is sufficient to warrant a separate analysis and publication. In terms of debris-cover characteristics, we found that glacierized areas more heavily covered with supraglacial debris and situated at lower elevations tend to exhibit more supraglacial pond coverage. Higher average temperatures and more abundant precipitation also seem to favour pond occurrence. In terms of glacier regimes, we found higher thinning rates coupled with lower average glacier velocities to favour both pond incidence and the development of supraglacial vegetation. However, further analysis is needed to capture the 580 complex combination of topographic and climatic factors that contribute to the development of ponds and vegetation on debris cover. Studies have noted that surface types are related to the evolutionary stage of a debris-covered glacier (cf. Thompson et al., 2016), in that debris thickness variability, local topography, degree of downwasting, and glacier tongue gradient are all potentially at least partially related to the time-lapsed since debris cover formation (Sakai and Fujita, 2010; Nicholson et al., 2018). Relatedly, Herreid and Pellicciotti (2020) introduce the term of debris-covered glacier 'stage' ranging from 0 to 1 as a percentage of the full, 2D 'debris-cover carrying capacity' of a glacier, such that if 100% of the ablation zone is debris-covered, then the debris-covered area cannot expand further without up-glacier migration of the equilibrium line. Robustly analysing controls and drivers of debris covered glacier surface proportions would require controlling for these time-dependent aspects, which is beyond the scope of this contribution, in which we aim to showcase the potential of the method in mapping surface types. Such an analysis of the controls and drivers is worthy of a stand-alone publication, using the most recent publicly 590 available and corrected datasets (Herreid and Pellicciotti, 2020) and a carefully quality-controlled output of the method proposed here.

4.2 Spatial and spectral limitations of the Landsat data

Our analysis of surface composition of the debris-covered glacier tongues is subject to several limitations related to the spectral and spatial resolution of the input Landsat data. While linear spectral unmixing is a relatively straightforward routine to implement once the endmembers and their spectra are selected, using Landsat data at 30 m spatial resolution and spectral

dimensionality for spectral unmixing has its limitations. While Landsat 8 is superior to the previous Landsat missions in terms of its calibration, geometry and radiometric resolution (Irons et al., 2012), its spectral dimensionality remains an issue, particularly with respect to mapping of the various types of debris material and/or supraglacial ponds with various degrees of turbidity. Previous studies in the Himalaya (Casey and Kääb, 2012; Casey et al., 2011; Matta et al., 2017) suggest that the spectral dimensionality of these two surfaces is greater than the dimensionality of the Landsat 8 OLI bands available for unmixing. Landsat has limited spectral resolution data of Landsat (7 bands available for unmixing) compared to hyperspectral data (for example AVIRIS, 224 bands). Both the partially constrained and the unconstrained LMM yielded negative abundances in our study, with larger positive values (> 3) especially for the vegetation class. Since our fractions did not satisfy the sum-to-unity condition, normalization of the classes was necessary, which may have introduced further uncertainty in our results because some classes had higher positive values than others. However, previous studies showed that these negative values do not necessarily affect the ability to discriminate between surfaces (Klein and Isacks, 1999).

Limitations posed by the spatial resolution of Landsat data (30 m) affected the accuracy of the selected endmembers. While we used the pixel purity index to automate the selection of endmembers, we acknowledge that some mixture may still occur at 30 m spatial resolution. Furthermore, the 30-m spatial resolution does not allow us to detect supraglacial features such as ice cliffs or small ponds which can span only a few meters. Improvements envisioned here include applying the spectral unmixing Sentinel-2 imagery, which has a better spectral, spatial and temporal resolution (13 bands in the visible to shortwave infrared, 10 – 20 m, 5-day revisit time) compared to Landsat (7 bands in the visible to shortwave, 30 m, 16-day revisit time). This would allow for better definition of endmembers, facilitating more accurate and repeated mapping in the future.

4.3 Limitations in the endmember definition

OLI data (7 bands), in an attempt to capture the variability of the system and to avoid high RMSE of the model which may occur due to missing classes. The main difficulty here consisted in capturing the wide variability of the materials present across the mountain range, for example different lithologies, while ensuring a "valid" LMM. This is defined as one where fractional values to not exceed 1.01 (under strict constraint rules) or 2.01 (under looser rules) and where RMSE is less than 2.5% (Painter et al., 2009). Our choice of debris endmembers was limited to "light" and "dark" debris, and we are aware that these most likely do not cover the wide spectrum of lithology present across the Himalaya, With regards to the on-the ground spectral characteristics of the debris material in the Khumbu region, Casey et al. (2011) showed that these vary due to the presence of various of minerals, notably distinct granitic (lighter) vs. schistic (darker) debris types with different compositions. However, spectral differences in these two classes can also be related to debris water content especially on very thin debris (as for thinly debris-covered ice cliffs) and is associated with grain size, i.e., fine-grained sediments have a greater capacity for water retention (Juen et al., 2013; Collier et al., 2014). We also noted such differences in the spectral resolution implies that coarse debris with large grain sizes on Mer de Glace (Fig. 4b); however, the limited Landsat spectral resolution implies that

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we could not define separate endmembers for each. Using only two endmembers for debris cannot capture the various types of debris with different mineral and geochemical composition, nor distinguish between debris with various degrees of water content, which has a different spectral signature compared to dry debris (Fig. 4b). Furthermore, we could not take into consideration bare illuminated non-glacierized surfaces including nunataks which were occasionally mistakenly included within the polygons in the SDC dataset. As a result, these areas were also associated with some high positive fractional values, which might have affected the overall RMSE of our model, and particularly the sum-to-unity condition.

Although we defined the water endmember on the basis of turbid water (greyish-blue ponds), we are aware that supraglacial ponds of various turbidity levels are present across the mountain range, due to various degrees of suspended sediments. The color of these ponds can range from grey to turquoise and reddish shades in various proportions (Matta et al., 2017) to small clear water supraglacial ponds (Takeuchi et al., 2012; Giardino et al., 2010), as observed in the field (Fig. 3e). Each type of ponds has different spectral signatures, but the limited spectral resolution of Landsat does not allow to use concomitantly both a clear and a variable turbid water endmember in the spectral unmixing. Furthermore, lake turbidity is temporally highly variable and since our dataset is a snapshot of pond density, it cannot be used to infer any variability in sediment

concentration. Nevertheless, as shown in Figure 7, the majority of the turbid supraglacial ponds are connected to the exposed ice and glacier drainage network (hence larger suspended sediment), and are expected to be most relevant to glacier evolution and may be of concern for outburst flood potential. Our clear water algorithm nicely picks out the small number of isolated non-turbid ponds at the terminus of the Ngozumpa Glacier (Fig. 7), highlighting the success of different end-

member selection for addressing other scientific questions. With further testing, fractional water maps obtained from spectral unmixing techniques can be used to characterize the state of lakes and ponds in terms of their turbidity (Matta et al., 2017;
Giardino et al., 2010) i.e., by quantifying the fraction of a pixel covered by water, light and/or dark debris. In this regard, repeated monitoring of pond turbidity using these combined tools allows changes in suspended sediment load to be tracked, over time, which are considered direct indicators of glacier wasting processes and glacier—lake interaction (Giardino et al.,

650 2010). This aspect is not fully explored in this study, but can be further investigated by combining LMM with field spectra of ponds and lakes to characterize the various degrees of turbidity across the mountain range.

Similarly, we could not define a healthy vegetation endmember whose spectral signature (not shown here) differs from that of the "dry vegetation" endmember we selected. However, small amounts of healthy vegetation do occur on debris-covered glaciers in the eastern part of the Khumbu domain, and these were indeed detected by the LMM (Fig. 10).

The cloud and clean ice detection based on LMM were not accurate in this particular configuration. While some isolated pixels were classified as clouds, others pixels were confounded with other types of surfaces, notably debris (Table 2). Applying algorithms such as Fmask (Zhu et al., 2015) to mask the clouds resulted in misclassification of the entire glacierized surface as cloud, which is a well-documented issue (Stillinger et al., 2019), so we could not mask the clouds prior to the spectral unmixing.

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660 Likewise, clean ice was poorly classified, mostly likely due to its poor representation in the dataset (i.e., limited number of clean ice "pure" pixels). While our results hint at the presence of ice to some extent, we are not confident about these results. Some pixels correspond indeed to location of ice cliffs which were perhaps exposed at the end of the ablation season (cf. Fig. 9); others correspond mostly to clean ice patches at the upper limit of supraglacial debris which were included in the input data, which dated from previous years, or seasonal snow. While we chose our images at the end of the ablation season, postmonsoon the snow cover is usually minimal, but early snowfalls can occur. Other features such as the ice "sails" (Evatt et al., 2017) could not be extracted at the resolution of spatial resolution of the Landsat imagery, since these features span only several meters. At the same time, the LMM algorithm in its current parameterization cannot detect ice cliffs dusted with fine debris, which have a lower albedo than clean ice (Naegeli et al., 2015). Targeting exposed but dusted ice features within the debris cover in addition to clean ice would need some refinement of the algorithm using Sentinel -2 imagery with better spectral 670 resolution and better parameterization (Kneib et al., 2020), optical thresholding of band ratios using high-resolution imagery (Anderson et al., 2021) and/or feature detection based on OBIA (Kraaijenbrink et al., 2016; Watson et al., 2017a; Mölg et al., 2019).

4.4 Uncertainty due to the thresholds applied to fractional maps

Selection of the thresholds used to classify the fractional maps to obtain the final maps of each surface is another source of 4675 uncertainty in our method. Previous spectral unmixing studies (Hall, 2002; Rittger et al., 2013), justified using a threshold of 0.5 for the classifying fractional maps for various types of surfaces, while they also tested thresholds as low as 0.15 (Rittger et al., 2013). While we applied a threshold of 0.5 and 0.65 to our water and vegetation classes, respectively, for the other classes the fractional thresholds were ultimately determined using visual inspection, which introduced a certain degree of subjectivity into our study.

680 4.5 Quality of input SDC dataset

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Due to the spectral limitations of Landsat, in this study we applied the unmixing only to the debris-covered areas of glaciers to reduce model complexity. Therefore, model performance is to some extent subject to the quality of the input dataset. At the onset of our study, the only global database of supraglacial debris was the SDC dataset (Scherler et al., 2018), and although Herreid and Pellicciotti (2020) provide updated supraglacial debris outlines, these were not available at the onset of our study and are not currently incorporated in the standardized RGI dataset. Debris outlines in the SDC dataset constitute a multi-time stamp dataset, based on data spanning 1998 to 2015, while our Landsat data was based primarily on 2015. This may introduce uncertainties in the calculation of pond coverage. For example, we assumed that any changes at the termini of the debriscovered areas would have occurred within these older outlines, since surge-type glaciers, and hence apparent glacier "advance" are rare or non-existent in the Himalaya region, contrary to the Karakoram (Sevestre and Benn, 2015). However, recent studies have reported an upward expansion of the debris cover in the Himalaya (Xie et al., 2020; Thakuri et al., 2014; Bhambri et al., 2011b; Kamp et al., 2011), which we do not account for here. As such, in these areas, our pond density may be underestimated,

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and this would need a more in-depth analysis and the availability of multi-temporal supraglacial debris datasets. Furthermore, our study revealed some important issues with the input SDC dataset used to constrain the spectral unmixing, particularly the inclusion of patches of healthy vegetation and bare bright steep terrain. The spurious vegetated areas present within the debris cover outlines (Fig. 10b) may have affected to some extent the quality of the spectral unmixing, i.e., the non-negativity and the sum-to-unity conditions, because it produced large negative and positive fractional vegetation values. We were able to identify theses as being healthy vegetation on non-glacierized terrain. Because these "abnormal values" served to identify errors in the existing SDC dataset, they constitute a valuable tool to correct and refine these global databases.

4.6 Considerations regarding the wider applicability of the method

700 In this study we demonstrate the transferability of a method developed on a single region for the year 2015 (Khumbu) by applying it to a Landsat 8 OLI scene from a different area (Lahaul Spiti) for the same season (post-monsoonal) but a different year (2016), and validating the ponds with PlanetScope data. In the light of the spatial and spectral limitations of Landsat data discussed above, the applicability of our approach for multitemporal analyses requires careful considerations. When transferring methods from one scene to others, illumination differences and shadow effects across the scenes need to be 705 resolved, particularly if the scenes are not acquired on the same date. In this study, we attempted to minimize these effects by applying atmospheric and topographic corrections and implicitly assumed that the set of endmembers defined for the Khumbu can be applied to the entire Himalaya. However, we acknowledge that in some areas, some spectral differences may remain, leading to confusion between the water / light debris / ice classes and hence some over-estimation of the pond coverage, particularly in some areas of the western Himalaya. While these pond areas require further quality control prior to their 710 inclusion in regional datasets, they are within the uncertainties reported at other sites, for example Langtang (Steiner et al., 2019). Furthermore, if the approach is used over the same area for multi-temporal pond or vegetation analysis, the geolocation accuracy of the Landsat can be a concern, because the pixels can be slightly misaligned from acquisition to acquisition, resulting in potentially very different compositions and unmixing results. This needs to be mitigated by co-registration of the scenes prior to unmixing and performing the change analysis. Further uncertainty is introduced in our study by the fact that 715 for certain areas of the Himalaya, Landsat cloud-free and snow-free scenes were not available for the year 2015, and we used scenes from 2014 and 2016 (cf. Table 1). We assumed that surface conditions were similar, but acknowledge that pond areas are dynamic and can change from year to year. Furthermore, this study does not account for the seasonality of supraglacial ponds, but provides a methodological basis for their identification.

5 Summary and further work

In this study, we estimated the spatial distribution of surface characteristics on debris-covered glaciers (various types of debris, clean ice, supraglacial ponds and vegetation) at subpixel scale using 30 m fractional maps obtained from a spectral linear mixing model. We tested the approach in the Khumbu region comprising eastern Nepal and parts of China using Landsat 8 OLI imagery, and then applied it over the entire Himalaya to evaluate its performance over a larger domain. Pléiades and

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- Planet high-resolution imagery were used to assess the endmember selection and to validate the mapped supraglacial pond areas using OBIA techniques. Our key findings can be summarised as follows:
 - We demonstrate the use of Landsat spectral unmixing in determining the surface properties of debris-covered glaciers, which holds great potential for mapping the dynamic changes in surface conditions at a regional scale. While we present a method that holds promise for effectively partitioning the surface properties of debris covered glaciers, we recommend that future analysis of the potential drivers and controls on the observed surface types and their regional variation revealed by this method be carried out on a further quality-controlled data set, to avoid over-interpretation of any errors within the datasets used;

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- We show, that spectral signatures derived from the Landsat & OLI imagery, and cross-checked using high-resolution Pléiades, can be applied at the mountain range scale provided that all images are atmospherically and topographically corrected to reduce differences in illumination patterns, and that images are acquired around the same date. While the limited Landsat spectral resolution did not allow for a very fine definition of the wide spectrum of all the different debris lithologies and ice types present on debris-covered tongues across the study area, LMM successfully distinguished among broad categories, and convincingly reproduced independently mapped supraglacial pond areas. Overall, we consider the spectral unmixing method presented here a promising approach to add to the suite of tools that are valuable in analyzing the dynamic surfaces of debris, covered glaciers.
- One of the major contributions of the current study is that we produced a supraglacial pond inventory for the entire Himalaya for the year 2015, based on spectral unmixing with LMM of coarse resolution and freely available Landsat 8 OLI satellite imagery. We consider that this approach can provide more detail, and thus outperform other analyses of supraglacial pond identification and classification performed on similar Landsat data for the same period but based on normalized difference water indices (Shugar et al., 2020) or manual delineation (Chen et al., 2020). The method and results are comparable to mapping quality from higher resolution, allowing improved analysis of multitemporal change in pond incidence and size in a future study. The dataset of supraglacial ponds is available in public domain via Zenodo data repository (DOI:10.5281/zenodo.4421857);
- Regional trend analysis on gridded data indicates that debris-covered tongues situated at lower glacier elevations tend to
 exhibit more supraglacial pond coverage. Climatic controls (higher average temperatures and more abundant
 precipitation) also favour pond occurrence. Higher glacier thinning rates, coupled with lower average glacier velocities,
 further favour pond incidence and the development of supraglacial vegetation.

Future developments to overcome the current limitations of this study include the use of more sophisticated non-linear mixing models, which would allow to discriminate materials of interest in more detail. Work is ongoing to make the unmixing step approach fully automated by integrating it within Python-based coutines (e.g. Bunting et al., 2014), so that it can be applied in the future to derive supraglacial pond outlines at multi-temporal scales and monitor pond development over time, Given that

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We successfully used spectral unmixing to map supraglacial ponds at the scale of the entire Himalaya from coarse resolution and freely available Landsat imagery, and this approach can provide more detail, and thus outperform other analyses of automatic lake identification and classification performed on similar Landsat data for the same period but based on normalized difference water indices (NDWI) (Shugar, 2020; Chen et al., 2020); Spectral unmixing thus allows us to produce more accurate supraglacial pond maps from historical coarser satellite imagers that are more comparable to mapping quality from higher resolution, and more recently available imagery, allowing improved analysis of multitemporal change in pond incidence and size;

Extreme fractional vegetation pixels identified by the spectral unmixing can be used as a tool to indicate non-glacierized terrain that has been incorrectly included in global debris-covered glacier databases such as the SDC v.1 (Scherler et al., 2018a); ¶
We are less confident of the utility of spectral unmixing in identifying exposed ice within the debris cover from coarse scale imagery. Because of its limited spectral resolution, we could not effectively optimize our end member selection to identify the steep, dusted ice typically found within the debris cover. This is a pity as such exposed ice is of interest is known to contribute disproportionally to total glacier ablation (Steiner et al., 2015; Brun et al., 2018); ¶

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these surface ponds are ephemeral and change rapidly, automated multi-temporal scale mapping is highly desirable to track their evolution over time in various regions. The analysis presented here complements and expands the existing proglacial lake databases for the year 2015 by providing supraglacial pond extents. With continued advances in satellite data in the near future, the methodology developed here provides avenues towards achieving large-scale, repeated mapping of supraglacial features.

830 6 Author contributions

AR conceiving the idea, designed the spectral unmixing experiments, <u>led this work</u>, obtained and processed the Landsat <u>and</u> the <u>high-resolution</u> images and wrote the paper with input from co-authors. LN provided <u>Pléiades</u> imagery, discussed the research strategy and helped select endmembers based on field expertise. NG supervised the study and provided geomorphology expertise. All authors contributed to writing the paper.

35 7 Code availability

Atmospheric and topographic corrections have been performed using the ARCSI routine, which is embedded in the freely available, python-based RSGISLIB software available freely (Bunting et al., 2014). The code for batch processing of the Landsat & OLI images for the entire Himalaya can be provided upon request. Post-processing of the spectrally unmixed Landsat & OLI maps was done using the Python module ArcPy from ESRI ArcGIS. The steps for loop processing (normalizing the fractional raster files, classifying the surfaces, and extracting the composition of the debris covered glaciers from the fractional maps) can be provided upon request.

8 Data availability

Landsat 8 OLI data used in this study can be obtained at no cost from the USGS Earth Explorer (https://earthexplorer.usgs.gov/). All versions of the NASA SRTM Global 1-arc second DEMs are available from the EarthData platform (https://earthdata.nasa.gov/). All versions of the ALOS Global Digital Surface Model, including the one used in this paper, are available from https://www.eorc.jaxa.jp/ALOS/en/aw3d30/index.htm. Preliminary datasets of supraglacial ponds and vegetation, along with the fractional maps are available via Zenodo data repository (DOI:10.5281/zenodo.4421857).

9 Competing interests

The authors declare that they have no conflict of interest.

10 Acknowledgements

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to <u>Pléiades</u> imagery was provided through the Österreichische Forschungsförderungsgesellschaft (FFG) project "High, resolution spaceborne studies of mass balance processes on glaciers of the Khumbu Himal, Nepal" (GlHima-Sat). We acknowledge the BritInn Fellowship programme which funded AR's work visit to Univ. of Innsbruck to develop this research with LN in 2018. We are grateful to <u>United States Geological Survey</u> and to Planet API program for providing free access to Landsat and RapidEye imagery. Thanks for Lorenzo Rieg and Christoph Klug of the University of Innsbruck for processing of the <u>Pléiades</u> DEMs.

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List of tables

Table J. Satellite imagery used in this study.

	Path/				<u>Cell</u>	Swath		
Sensor	Row	Product	Date	Bands	size	width	<u>Usage</u>	•
	Row				(m)	(km)		/
	137/41		2014-11-25	V				
	138/41	-	2015-11-19	<u>0.43 - 0.45 μm</u>				•
	139/41	-	2015-10-09	Band 2 Visible				
	140/41	-	2015-09-30	<u>0.450 - 0.51 μm</u>				
	141/40	1	2015-10-07	Band 3 Visible				
	142/40	-	2016-11-01	<u>0.53 - 0.59 μm</u>		₹		/
Landsat 8	143/40	L1TPT1	2015-10-05	Band 4 Red	30	185	Spectral unmixing	
OLI	144/39	1	2015-09-10	<u>0.64 - 0.67 μm</u>				
	145/39	-	2015-10-03	Band 5 Near-IR 0.85 - 0.88 μm				/
	146/38	-	2015-09-08	-				
	147/37	-	2015-09-15	Band 6 SWIR 1 1.57 - 1.65 μm				
	147/38	-	2015-09-15					/
	147/38	-	2016-10-19	Band 7 SWIR 2 2.11 - 2.29 μm)				
	-		2015 10 07	Blue 430-550 nm			Visual checking of	
DIC 1			2015-10-07	Green 490-610 nm	_	20	Landsat endmembers;	
Pléiades		Level 1A	2015-10-19	Red 600-720 nm	2	20,	Pond validation	
			2015-10-20	Near IR 750-950 nm			(Khumbu area)	
				Green 520-590 nm			Visual checking of	
Rapid-		Level 3A	2015-10-09	Red 630-685 nm	5	77	<u>Landsat endmembers</u>	*
Eye		Level 3A	2013-10-09	Red edge 690-730nm		11	(Khumbu area)	
				Near-IR 760-850 nm				
			2016-10-19	Blue 455 – 515 nm		24.6 x	Additional pond	\
Planet-		Level 3A	2016-10-20	<u>Green 500 – 590 nm</u>	3	16.4	validation (Lahaul	1
<u>Scope</u>			2010-10-20	Red 590 – 670 nm	2	10.7	Spiti area)	
				Near IR 780 – 860 nm				

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<u>Table 2 Summary of accuracy metrics per class for the Khumbu area, calculated based on the confusion matrix, including true positives (TP), false positives (FP), false negatives (FN) and true negatives (TN).</u>

	T					Prec	<u>i</u> <u>F</u> -		
Class	P	FP	FN	TN	Reca	ıll sion	score		
clean	1	O	13	112	0.0	7 1.00	0_13	ии	и
water	32	2	€	81	0.84	<u>4</u> 0 .9 4	0.89	YY	¥
debris	29	23	.O	.84	1.00 x.x.	<u>0.</u> 56	-	0.72	
debris	21,	.8	9	62,	× × 0.70) <u>0.</u> 72		0.71	
clouds	ے.	_3	-5	.9 2		<u>0.</u> 63		<u>0.56</u>	
vegetat	25	2	5	00 #	<u>0.</u> 8	и и 0.03		0.88	

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Sur	face	area	(km ²)

Glacier	Fractional water > 0.4	Fractional water > 0.45	Fractional water > 0.5
Khumbu	0.45	0.32	0.20
Lhotse	0.07	0.06	0.05
Lhotse Nup	0.03	0.03	0.02
Ngozumpa	0.79	0.66	<u>0.50</u> 3625
Nuptse	0.09	0.05	0.03
Changri Nup	0.25	0.19	0.09
Gaunara	0.16	0.12	0.07
Total pond coverage	1.8	1.4	1.0

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Table 4 Validation of the Landsat spectral unmixing for supraglacial pond coverage at selected glaciers at three sites across the Himalaya domain, shown in Figure 1.

Khumbu		Landsat	8 spectral u	nmixing	Ī	Pléiades OB	SIA
Glacier	Debris area (km²)	Pond area (km²)	% coverage	<u>Date</u>	Pond area (km²)	<u>%</u> coverage	<u>Date</u>
Khumbu	7.50	0.20	2.80		0.21	2.70	
Lhotse	<u>5.20</u>	0.05	0.90		0.08	1.70	
Lhotse Nup	<u>1.50</u>	0.02	1.00		0.02	1.60	
Ngozumpa	19.40	0.50	2.70	20 0	0.59	3.00	7.0-4
Nuptse	2.90	0.03	0.90	30 Sept	0.03	1.00	7 Oct
<u>Changri Nup</u> <u>& Shar</u> Gaunara	7.30 5.20	0.09 0.07	1.30 1.40	<u>2015</u>	0.11 0.09	1.50 1.70	<u>2015</u>
·			· · · · · · · · · · · · · · · · · · ·				
Total	49.00	<u>1.00</u>	<u>2.04</u>		1.10 SPOT	2.24 7 manual d	ligitization
Langtang		Landsat	8 spectral u	nmixing	(from Steiner et al., 2019)		
Lirung	1.44	0.00	0.00		0.00	2.70	
Ghanna	0.69	0.00	0.00		0.00	<u>1.70</u>	
Langshisha	4.46	0.01	0.20	7.0 /	0.01	1.60	60.
Langtang	16.17	0.15	0.92	7 Oct	0.18	3.00	6 Oct
Sabalchum	3.44	0.01	0.33	<u>2015</u>	0.02	1.00	<u>2015</u>
Lirung	1.44	0.00	0.00		<u>0.00</u>	1.50	
Total	<u>26.20</u>	<u>0.17</u>	<u>0.64</u>		<u>0.21</u>	<u>0.86</u>	
<u>Lahaul Spiti</u>		Landsat	8 spectral u	nmixing	<u>Plar</u>	etScope O	<u>BIA</u>
Yichu	<u>5.7</u>	0.002	0.000		0.001	0.000	
Dibi Ka	<u>5.6</u>	0.004	0.000		0.009	0.000	
Bara Shigri	21.3	0.126	0.027		0.076	0.016	
Sara Umga	<u>7.8</u>	0.007	0.001	19 Oct	0.012	0.001	<u>19 Oct</u>
G077666E32079	<u>0.7</u>	0.000	0.000	<u>2016</u>	0.000	0.000	<u>2016</u>
G077559E3210	<u>3.2</u>	0.000	0.000		0.000	0.000	
G077698E32078	<u>1.2</u>	0.001	0.000		0.000	0.000	
<u>Total</u>	<u>45.5</u>	<u>0.14</u>	<u>0.31</u>		<u>0.10</u>	0.22	

	Clean	Water	Debris	Debris light	Cloud	Vegetation
Glacier	ice (%)	turbid (%)	dark (%)	(%)	(%)	dry (%)
Khumbu	0.4	2.8	17.2	79.3	0.0	0.3
Lhotse	0.2	0.9	91.1	7.5	0.0	0.4
Lhotse Nup	0.7	1.0	69.1	29.2	0.0	0.0
Ngozumpa	0.4	2.7	54.2	42.2	0.1	0.5
Nuptse	0.3	0.9	2.7	95.8	0.0	0.3
Changri Nup	1.4	1.3	76.0	20.9	0.0	0.5
Gaunara	0.9	1.4	65.6	30.5	0.0	1.6
Average	0.6	1.6	53.7	43.6	0.0	0.5

<u>Table 6 Composition of the debris-covered glaciers over the entire Himalaya domain and four selected sub-domains along the monsoonal gradient and for the entire domain, listed from west to east. Debris-covered glacier areas are based on the SDC dataset (Scherler et al., 2018).</u>

*	Lal	nau piti	<u>Ma</u>	nas	Kh		Entire m domai			
	A re a (k m 2)	<u>%</u>	A re a (k m 2)	<u>%</u>	A re a (k m 2)	<u>%</u>	Ar ea (k m²)	<u>%</u>	Ar ea (k m²)	<u>%</u>
<u>Clean ice</u>	1 0. 2	<u>5.</u> <u>0</u>	<u>7.</u> <u>1</u>	<u>6.</u> <u>9</u>	<u>2.</u> <u>7</u>	<u>0.</u> <u>9</u>	<u>10</u> <u>.1</u>	<u>7.</u> <u>8</u>	12 6. 5	5 6 2
Clouds	3. <u>3</u>	<u>1.</u> <u>6</u>	<u>2.</u> <u>9</u>	<u>2.</u> <u>8</u>	<u>0.</u> <u>6</u>	<u>0.</u> <u>2</u>	<u>7.</u> <u>8</u>	<u>6.</u> <u>0</u>	<u>45</u> <u>.0</u>	
Debris (dark)	2 6. 6	$\frac{1}{3}$. $\frac{1}{1}$	1/4. 9/2	1/4. 6/6	1/4/8. 1/4	4 8. 9	<u>19</u> <u>.5</u>	1 5. 0	53 5. 4	$\frac{\dot{0}}{2}$ $\frac{3}{8}$

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Debris (light)	1 5 1. 4	7 4. 4	7 0. 1	6 8. 6	$\frac{\frac{1}{3}}{\frac{0}{2}}$	<u>4</u> <u>3.</u> <u>0</u>	<u>83</u> <u>.1</u>	6 4. 1	13 71 .0	$\frac{6}{0}$ $\frac{9}{2}$
Turbid water	<u>0.</u> <u>6</u>	<u>0.</u> <u>3</u>	<u>2.</u> <u>7</u>	<u>2.</u> <u>6</u>	<u>4.</u> <u>9</u>	<u>1.</u> <u>6</u>	<u>5.</u> <u>2</u>	<u>4.</u> <u>0</u>	<u>47</u> <u>.0</u>	2 <u>:</u> 1
Vegetation (dry)	3. <u>3</u>	<u>1.</u> <u>6</u>	<u>4.</u> <u>5</u>	<u>4.</u> <u>4</u>	<u>9.</u> <u>6</u>	3 <u>.</u> <u>2</u>	<u>4.</u> <u>1</u>	<u>3.</u> <u>1</u>	10 1. 7	<u>4</u> <u>5</u>
<u>Unclassified</u>	<u>8</u>	<u>4</u>	<u>1</u> <u>6</u>	<u>1</u> <u>6</u>	<u>6.</u> <u>9</u>	<u>6</u>	<u>0.</u> <u>0</u>	<u>0.</u> <u>0</u>	<u>26</u> <u>.0</u>	1 <u>.</u>
Total debris cover	2 0 4	1 0 0	1 1 8	1 0 0	$\frac{3}{0}$	1 0 0	13 0	1 0 0	22 53	1 0 0

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List of figures

655 Fig. 1 Himalaya study domain with the large climatic regions (western, central and eastern regions) marked as dotted black lines. The figure shows the selected domain across the monsoonal gradient discussed in the text, shown as light-yellow outlines and labeled as: A- Lahaul Spiti in the "monsoon-arid" transition zone of the western Himalaya; B – Manaslu; C - Khumbu and parts of eastern Tibet, in the central Himalaya) and D – Bhutan, in the eastern Himalaya). Turquoise boxes represent the pond validation sites: 1 – Lahaul Spiti; 2- Langtang; 3- Khumbu g. Image footprints are true colour composite of Landsat 8 OLI (bands 4,3,2) scenes used in this study and described in Table 1, shown on a World Relief Map from NaturalEarthData.com

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Deleted: Table 5 Differences in the supraglacial pond area for seven glaciers in the Khumbu for various thresholds applied to the fractional water maps. ¶

Glacier

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[138]

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Deleted: Figure 1 Study area showing the test subset

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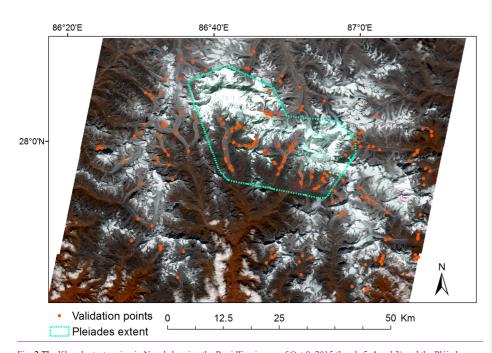


Fig. 2 The Khumbu test region in Nepal showing the RapidEye image of Oct 9, 2015 (bands 5, 4 and 3) and the Pléiades

image of Oct 7, 19 and 20, 2015 (bands 4, 3 and 2) (turquoise dotted outline). Vegetation appears in red; ponds display
various shades of turquoise. Red dots represent the ground truth points digitized for the high-resolution images and used for
the accuracy assessment of the linear spectral unmixing.

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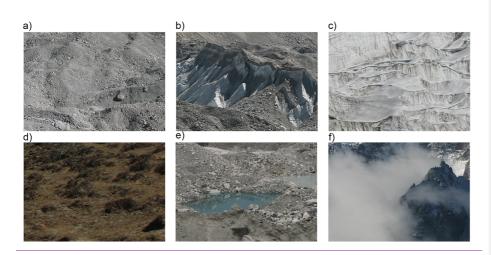


Fig. 3, Types of surfaces present in the study area: a) light debris cover (quartz, feldspar); b) darker schistic debris with ice cliff; c) clean ice with crevasses in the glacier ablation area; d) graminoid shrub type vegetation (dry); e) supraglacial lakes with different turbidity levels; f) valley clouds. All photos were taken in the Khumbu region. Photo credit: A. Racoviteanu

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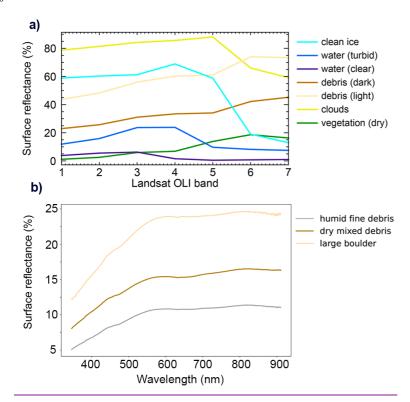
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<u>Fig.</u> 4 a) Spectral signatures of endmembers extracted from Landsat <u>8</u> OLI bands 1 to 7 (<u>Sept 30, 2015 Khumbu image</u>) after <u>the</u> atmospheric and topographic corrections; b) field spectra from the debris-cover part of Mer de Glace Glacier (France) shown for comparison purposes only.

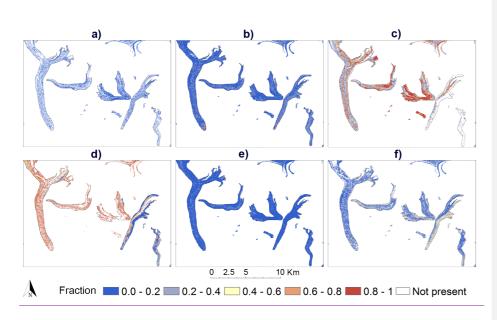
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Figure 5



715 Fig. 5 Fractional maps obtained from the LMM routine for a subset of the Khumbu area, Colour bars show the percentage covered by each type of material on a pixel-by pixel basis: a) clean ice; b) turbid water; c) dark debris; d) light debris; e) clouds; f) dry vegetation,

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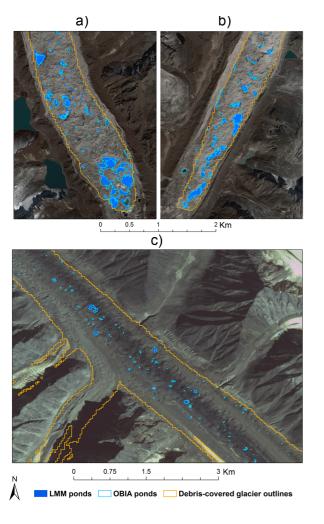


Fig. 6 Comparison of the Landsat sub-pixel classified fractional ponds (dark blue) with OBIA pond outlines (light blue) based on high-resolution data for the termini of three glaciers:

a) Ngozumpa Glacier; b) Khumbu Glacier and c) Bara Shigri Glacier.

The background images are colour composites (bands 1,2,3) of Pleiades imagery (a-b) and PlanetScope imagery (c). Glacier outlines are from the SDC dataset (Scherler et al., 2018).

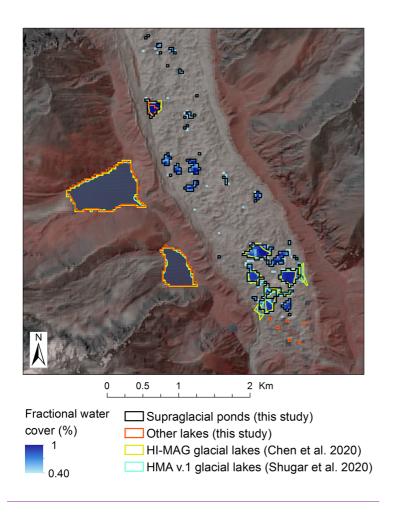
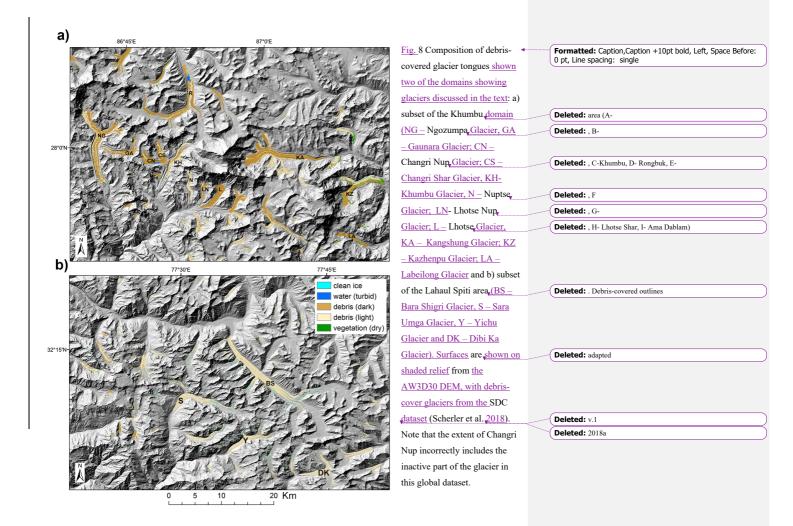


Fig. 7 Comparison of the fractional ponds from this study with two recent lake datasets based on 2015 Landsat imagery (same as our study) for the terminus of Ngozumpa Glacier and the Gokyo Lakes in Khumbu, with the Landsat colour composite of bands 5, 4 and 3 overlaid on shaded relief.



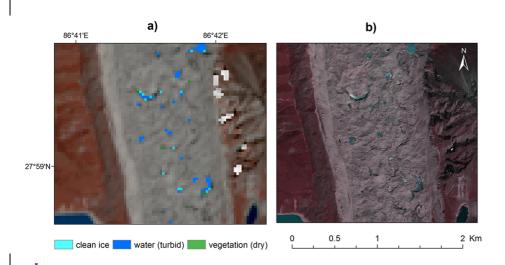


Fig. 9 Ice pixels detected by the LMM at the surface of Ngozumpa Glacier in the Khumbu region. a) Landsat 8 OLI false colour composite bands 5,4,3 and unmixing results for ice, water and vegetation classes only; b) Pléiades colour composite (bands 4,3,2) shown for comparison, with vegetation shown as red shades. Ice cliffs display the typical crescent moon shape.

800 White pixels in (a) correspond to NoData in areas of topographic shadows, resulting from the topographic correction routine.

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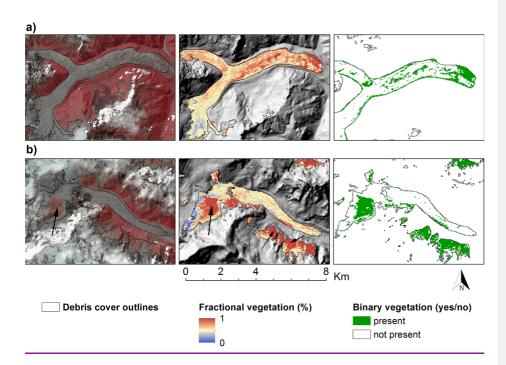
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810 Fig. 10 Examples of the supraglacial vegetation maps for two glaciers in eastern Himalaya: a) Kazhenpu Glacier; b)

Labeilong Glacier. Left panels show the Landsat 8 OLI colour composite (bands 5,4,3) draped onto a shaded relief map from the ALOS DEM. Middle panels show fractional vegetation and black arrows point to identified errors (bare land and/or healthy vegetation) in the SDC dataset. Right panels show the pixels containing more than 65 % fractional vegetation.

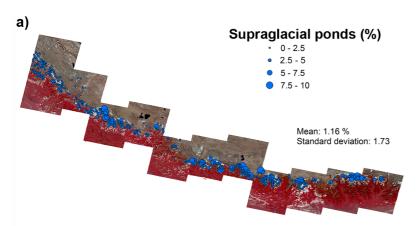
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Deleted: Figure 9 Comparison of the Landsat sub pixel binary maps (dark blue) for the supraglacial ponds with Pleiades-based pond outlines (shown as transparent light blue) for subsets of three glaciers: a) Ngozumpa Glacier terminus; b) Khumbu Glacier terminus and c) Nuptse Glacier accumulation area. The spectrally unmixed pond pixels correspond well with the validation dataset, with a notable difference in spatial resolution (30 m Landsat vs. 2 m Pleiades). *¶



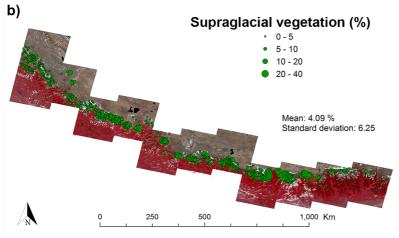
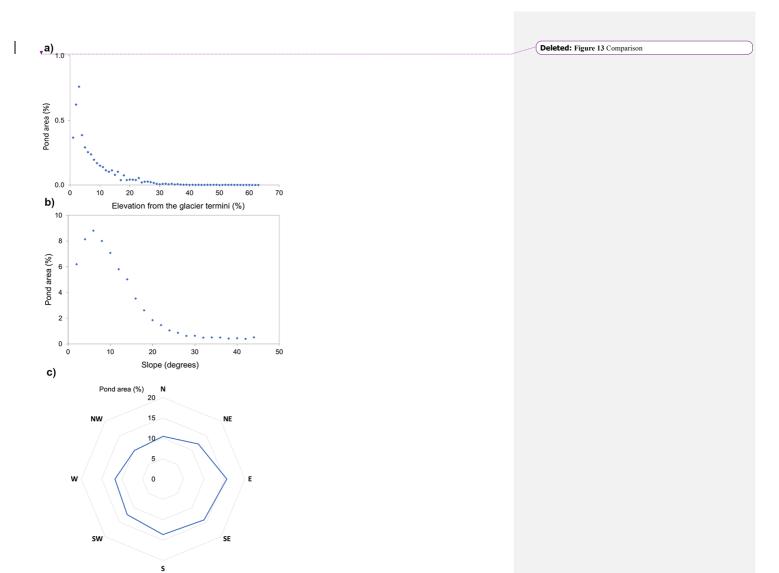


Fig. 11 Distribution of (a) supraglacial pond coverage and (b) supraglacial vegetation, expressed as percent of each debriscovered area on a glacier-by-glacier basis for the 408 sampled glaciers.

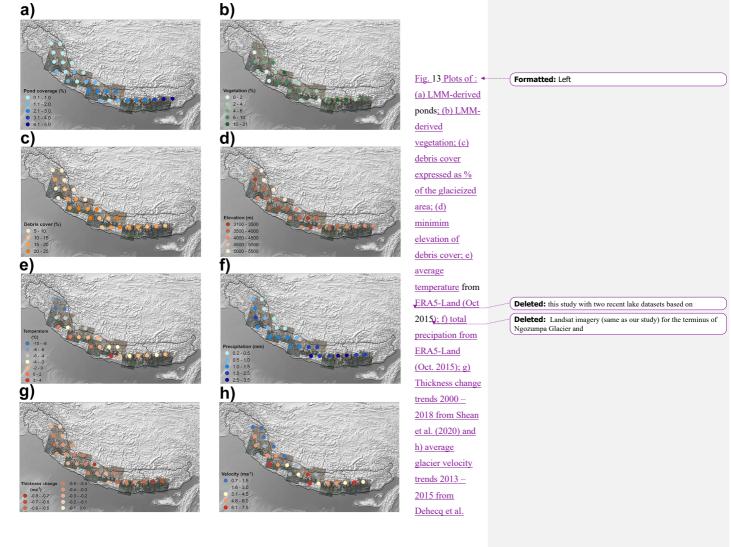
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Figure 12 Distribution of supraglacial pond coverage (a) and supraglacial vegetation (b) as percent of the

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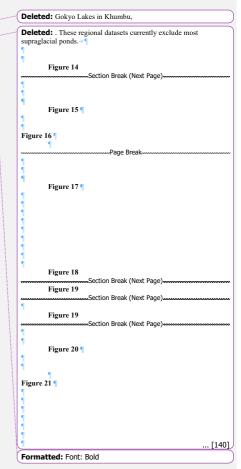






905 (2015). All variables were avearged over the glacierized areas and gridded over 1x1 degree grid cell and shown on shaded

relief terrain from the World Relief Map (NaturalEarthData.com)



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