# An improved sea ice detection algorithm using MODIS: application as a new European sea ice extent indicator

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Abstract. The continued loss of sea ice in the Northern Hemisphere due to global warming poses a threat on biota and human activities, evidencing the necessity of efficient sea ice monitoring tools. Aiming at the creation of an improved European sea ice extent indicator , the IceMap250 covering the European regional seas, the new IceMap500 algorithm has been reworked to generate improved sea ice extent maps at developed to classify sea ice and water at a resolution of 500 m resolution at

- 5 nadir. Changes in the classification approach IceMap500 features a classification strategy built upon previous MODIS sea ice extent algorithms and a new method to correct artefacts arising reclassify areas affected by resolution-breaking features inherited from the MODIS cloud maskallow the enlargement of the. This approach results in an enlargement of mapped area, the a reduction of potential error sources and a qualitative improvement of the resulting maps, while better delineation of the sea ice edge, while still systematically achieving accuracies above 90 Monthly sea ice extent %, as obtained by manual
- 10 validation. Swath maps have been derived using a new synthesis method which acts aggregated at a monthly scale to obtain sea ice extent with a method that is sensitive to spatio-temporal variations of the sea ice cover and that can be used as an additional error filter. Our results The resulting dataset, covering the months of maximum (March) and minimum (September) and minimum sea ice extent (i.e. March and September) during two decades (from 2000 to 2019), are a proof of demonstrates the algorithm's applicability as a monitoring tool and as an indicator, illustrating the sea ice decline in the European regional
- 15 seas. We observed no significant trends in the Baltic  $(-2.75\pm2.05\times10^3)$  although, on the contrary, the European Arcticat a regional scale. The European sea regions located in the Arctic, NE Atlantic and Barents seas display clear negative trends both in March  $(-27.98\pm6.01\times10^3 \text{ km}^2\text{yr}^{-1})$  and September  $(-16.47\pm5.66\times10^3 \text{ km}^2\text{yr}^{-1})$ . Such trends indicate that the sea ice cover in March and September is shrinking at a rate of ~9 % and ~13 % per decade, respectively, even though the sea ice extent loss is comparatively ~70 % greater in March. Therefore, according to the trends and without taking into account the
- 20 variability of the sea ice cover, the loss of sea ice extent over two decades in the study area would be comparable to the area of continental France in the case of the March maximum, and to that of Finland in the case of the September minimum.

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#### 1 Introduction

The Arctic sea ice cover has been changing rapidly over the last decades, with its overall extent declining steadily since the first

- 25 satellite observations in the late 1970s (Serreze et al., 2007; Comiso et al., 2008; Cavalieri and Parkinson, 2012; Massonnet et al., 2012; M , shrinking at a rate of about 10 per decade in the last years (Comiso et al., 2008) and (e.g. Cavalieri and Parkinson, 2012; Massonnet et al., , reaching its historical minimum on September 2012 (NSIDC). Moreover, 2012. The same decreasing trends are also evidenced by other parameters such as sea ice thickness (Kwok, 2018; Liu et al., 2020), which has decreased as much as 65 % in the period extending from 1975 to 2012 (Lindsay and Schweiger, 2015). This massive loss of ice is unprecedented in the last
- 30 few thousand years (Polyak et al., 2010). Although it , and is attributed both to climatic variability and to external forcing caused by an the anthropogenic release of greenhouse gases (Serreze et al., 2007; Stroeve et al., 2007; Myhre et al., 2013) , nowadays human influences have driven climate to exceed the bounds of natural variability (Karl and Trenberth, 2003) (e.g. Myhre et al., 2013; Stroeve and Notz, 2018). All projection models agree that Arctic sea ice will continue shrinking and thinning, eventually leading to ice-free summers in the following decades (Massonnet et al., 2012; Stroeve et al., 2012; Collins et al., 2013;
- 35 upcoming decades (Massonnet et al., 2012; Stroeve et al., 2012; Collins et al., 2013; Notz and Stroeve, 2016; Stroeve and Notz, 2018) and even as soon as in the late 2030s (AMAP, 2017).

The dynamism of the sea ice and the role it plays on the effect it has on climate, biota and on human activities makes necessary its monitoring. Due to the difficulty of acquiring *in situ* observations, nowadays satellite imagery is the main tool to

- 40 monitor sea ice at a global scale (?). Several sea ice variables are continuously obtained and distributed by institutions such as the EUMETSAT Ocean and Sea Ice Satellite Application Facility (OSI-SAF) or the National Snow and Ice Data Center (NSIDC), commonly at resolutions between 10the regular monitoring of its properties (e.g. extent, concentration, thickness) necessary. Sea ice data is nowadays continuously obtained from several satellite-borne instruments (e.g. Spreen and Kern, 2016) , among which microwave sensors stand out for their ability to acquire data in disregard of the lighting and weather conditions.
- 45 Passive microwave sensors typically provide data at resolutions above 15 km, hindering their use for local and regional sea ice studies. On the other hand, active microwave and visible-infrared sensors can acquire data at much higher spatial resolutions. For instance, ESA's satellites Sentinel-1 (synthetic aperture radar) and 25Sentinel-2 (visible-infrared) achieve resolutions of 5-100-

In 2016, the European Environment Agency (EEA) published a sea ice extent indicator (?) aiming at the monitoring of sea ice trends both in the Arctic Ocean and the Baltic Seam in the first case, and 10-60 m in the latter. However, observations in both regions are not directly comparable, as sea ice extent in the Arctic was derived from the OSI-SAF passive microwave satellite data at 10 km resolution, while data for the Baltic came from several sources, including *in situ* observations and air temperature proxies. Therefore, such high-resolution sensors render data with sparse spatial and temporal coverage due to their small swath size and long revisit times, although this effect is minimized at the poles. Instead, MODIS visible and infrared imagery offers a

55 balanced trade-off between temporal and spatial coverage. MODIS is an imaging sensor on board of NASA's sun-synchronous satellites Terra and Aqua, launched in 1999 and 2002, respectively. It acquires data in order to homogenize data acquisition in both regions, we tested the IceMap250 algorithm (Gignae et al., 2017), which produces sea ice extent maps at 36 spectral bands, ranging from the visible spectrum to the thermal infrared. Spatial resolution at nadir varies from 250 m thanks to a downscaling technique by Trishchenko et al. (2006). Testing revealed that IceMap250 may be severely affected by resolution-breaking

- 60 artefacts found in the MODIS cloud mask, as happens with MODIS sea ice products (bands 1 and 2) to 500 m (bands 3-7) and 1 km (bands 8-36), and has a large swath width of 2330 km. The MODIS Terra and Aqua MOD29 and MYD29 . We also found that the mechanisms to avoid water and ice false positives are not optimal when one of those surfaces is absent. Additionally, Xiong et al. (2006) and Khlopenkov and Trishchenko (2008) argue that the band to band misregistration of MODIS Aqua may exceed the resolution achieved with the downscaling. Thus, as the usefulness of datasets (Hall et al., 2015a,b) provide daily
- 65 global sea ice extent coverage at 1 km, but frequently fail to map the sea ice edge at this level of detail. This is caused by the MODIS MOD35\_L2 cloud mask product (Ackerman et al., 2010; MODIS Atmosphere Science Team, 2017), the downscaling for sea ice detection was already demonstrated in Gignac et al. (2017), we keep the standard 500 resolution to reduce processing time and to allow the use of both the instruments in Terra and Aquaaccuracy of which depends on the correct identification of background sea ice at 25 km resolution (Riggs and Hall, 2015). Therefore, sea ice beyond this background is finally labelled
- 70 as cloud instead of clear, eventually preventing the products which rely on this cloud mask from accurately mapping the sea ice cover.

Therefore, the present work has two main objectives: 1) to develop an improved In this context, a new 500 m resolution MODIS sea ice detection algorithm (IceMap500) based on was developed, aiming at the improvement of existing European

- 75 sea ice extent indicators based on passive microwave observations (EEA, 2020) by providing additional and higher resolution data. IceMap500 is heavily influenced by the cloud masking and classification approaches of the previous IceMap250 , and 2) to prove the utility algorithm (Gignac et al., 2017), which nonetheless is still vulnerable to the MOD35\_L2 background effects. The new algorithm is optimized to minimize classification errors, and improves the quality of the maps by introducing a five-step workflow to prevent MOD35\_L2 from breaking the 500 m resolution.
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To test the usefulness of IceMap500 as a new European sea ice extent indicator by analysing we analyse the sea ice trends in the European regional seas from 2000 to 2019. 2019 using MODIS Terra data. The analysis covers the northernmost European sea regions defined by the European Union's Marine Strategy Framework Directive (MSFD) where sea ice might occur (EEA, 2018), and is restricted to the months when the maximum and minimum sea ice extent is reached in the Northern Hemisphere, that is, March and September, respectively.

#### 2 Materials and methods

#### 2.1 Study area

This work focuses on the European regional seas established by the MSFD (EEA, 2018). As sea ice only occurs in the northernmost oceanic sea regions or in enclosed, low-salinity water bodies such as the Baltic Sea, spatial coverage has been significantly reduced to avoid the processing of uninformative data. Therefore, the final indicator The final study area extends over the sea regions belonging to the Aretic, North-East Atlantic Ocean and the Baltic Sea, as is shown in Fig. ?? 1, covering an area roughly  $4 \times 10^6$  km<sup>2</sup>. With the inclusion of a 400 km buffer to coherently join all the target regional seas in a single study region, the totality of the processed area ascends sums up to approximately  $8 \times 10^6$  km<sup>2</sup>.

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Figure 1. Northern European regional seas, as defined by the <u>MSDFMSFD</u>: 1) Iceland Sea, 2) Norwegian Sea, 3) Barents Sea, 4) White Sea, 5) Baltic Sea, 6) Greater North Sea, and 7) Celtic Seas. In medium blue are shown the target sea regions, whereas in light blue is represented the generated buffer, whose external limit corresponds to the total processed area. <u>All maps in this work are shown in North Pole Lambert</u> Azimuthal Equal Area.

Oceanic sea ice in the Northern Hemisphere has both a perennial and a seasonal fraction. Typically, maximum and minimum sea ice extent are reached in March and September (Stroeve et al., 2008)(e.g. Stroeve et al., 2008), respectively, with the perennial fraction being mostly enclosed in the Arctic basin (Comiso, 2009). According to NSIDC's Sea Ice Index (Fetterer et al., 2017), sea ice is present during the Arctic winter months in some of the European sea regions (i.e. the Barents

100 Sea, the White Sea, and the northernmost areas of the Norwegian Sea). As sea ice is also found along the eastern coast of Greenland, it may occasionally reach the Iceland Sea or the waters surrounding the Jan Mayen island.

The ice cover in the Baltic Sea, which however, has no perennial fraction , and can be highly variable due to the milder climate, often resulting in different freezing and melting periods during the same winter (Granskog et al., 2006). The sea ice season usually lasts for six to eight months, starting in October or November in the Bothnian Bay and the Gulf of Finland.

105 Maximum extent is also normally reached in March (Haapala et al., 2015). Therefore, given the particular characteristics of the Baltic sea, the sea ice extent analysis is done by splitting the study area in two regions: the NE Atlantic-Barents region (completely including the Iceland, Norwegian, Barents and White seas) and the Baltic region.

# 2.2 Selected data

- 110 Due to its balance between temporal and spatial coverage, we use MODIS visible and infrared imagery to generate sea ice extent maps at 500 resolution at nadir. MODIS is on board of NASA's sun-synchronous satellites Terra and Aqua, launched in 1999 and 2002, respectively. It acquires data in 36 spectral bands, ranging from the visible spectrum to the thermal infrared.Spatial resolution at nadir varies from 250 (bands 1 and 2) to 500 (bands 3-7) and 1 (bands 8-36). MODIS has a large swath width of 2330, allowing a revisit time of 1 to 2 days. Although MODIS is severely affected by weather and
- 115 lighting conditions, its resolution is much higher than that of passive microwave sensors: widely used microwave radiometers such as SSM/I-SSMIS provide data at 25 cell size. Moreover, its swath width is greater than that of the synthetic-aperture radar and other sensors operating in the visible-infrared spectrum such as those carried by the Landsat series and Sentinel-2, which nonetheless acquire data at even higher spatial resolutions (30 to 10). Thus, we use the data shown in Table 1, consisting of MODIS Terra level Data used in this work consists of MODIS Terra level 1B Top-of-Atmosphere (TOA) radiance products
- 120 Top-of-Atmosphere (ToA) radiance products MOD021KM (MODIS Science Team, 2017a), MOD02HKM, MOD021KM, and the (MODIS Science Team, 2017b) and the MOD35\_L2 eloud mask (MODIS Atmosphere Science Team, 2017), as summarized in Table 1. Swath data is resampled to 500 m resolution if necessary, converted to GeoTIFF format and projected to North Pole Lambert Azimuthal Equal Area using HDF-EOS To GeoTIFF Conversion Tool (HEG) v2.15 (NASA, 2019). No stitching is applied, as each scene is processed individually. However, scenes are clipped according to the selected study area.
- 125 IceMap500 uses ToA radiance as input data which is later converted to ToA reflectance or ToA brightness temperature, so there is no atmospheric correction. Note that the objective of the algorithm is to map sea ice presence rather than using reflectance as a proxy to get other physical variables such as sea ice concentration, so the absence of atmospheric correction reduces processing time, facilitates the algorithm's replicability and ensures the consistency of the dataset.
- 130 The algorithm uses TOA radiance as input data, which is converted to TOA reflectance as in previous sea ice detection works (Hall et al., 2001; Gignac et al., 2017). Therefore, the threshold values used in the classification are higher than if surface reflectance was used due to the contribution of the atmosphere. Although TOA data does not reflect the physical properties of sea ice and water, it avoids extensive processing due to atmospheric correction, facilitates the algorithm's replicability and

 Table 1. MODIS Terra swath data used in this work. Accessible at the NASA's Level-1 and Atmosphere Archive (https://ladsweb.modaps.

 eosdis.nasa.gov.)

Band	Bandwidth	Spectrum region	<u>Code</u>				
MOD02HKM (bands 1-7 at 500 m resolution)							
2	841-876 nm	NIR-Near-infrared (NIR)	B2 ∞				
4	$545\text{-}565\;\mathrm{nm}$	G-Green (G)	$\underset{\sim}{\underline{B4}}$				
7	$2.105\text{-}2.155~\mu\mathrm{m}$	SWIR Short-wavelength infrared (SWIR)	$\underbrace{B7}$				
MOD021KM (bands 8-36 at 1 km resolution)							
20	3.660-3.840 μm	MWIR Mid-wavelength infrared (MWIR)	B20				
32	11.770-12.270 $\mu\mathrm{m}$	TIR-Thermal infrared (TIR)	<u>B32</u>				
MOD35_L2 (cloud mask product)							

ensures the consistency of the dataset.Note that the objective of the algorithm is to map sea icepresence rather than using 135 reflectance as a proxy to get other physical variables such

# 2.3 Overview of previous MODIS sea ice extent algorithms

IceMap500 is fundamentally based on the previous IceMap (Riggs et al., 1999; Hall et al., 2001) and IceMap250 (Gignac et al., 2017) algorithms and inherits many of their features. Both algorithms feature a classification strategy based on threshold tests, but differ on the cloud masking approach. IceMap uses the Normalized Difference Snow Index (NDSI, Eq. 1) as the main criterion

140 to classify sea ice, followed by a ToA threshold test using MODIS B4 (545-565 nm). To prevent misclassification of clouds as sea iceconcentration. In addition, it must be considered that the use of data at higher resolutions than MODIS would cause the processing to be computationally very demanding, especially if covering large areas, as is the case of the present study. It would also render data with sparse spatial and temporal coverage as higher-resolution sensors typically have smaller swath sizes and longer revisit times. This would be especially problematic for areas located at mid-latitudes, although this effect is minimized at the poles, this algorithm uses the MOD35 L2 cloud mask as an input, and outputs sea ice extent at 1 km resolution.

#### 2.4 IceMap500: challenges and improvements

$$NDSI = \frac{B4 - B6}{B4 + B6} \tag{1}$$

The IceMap250 algorithm relies on Instead, IceMap250 uses the Normalized Difference Snow and Ice Index 2 (NDSII-2, Eq. 2) (Keshri et al., 2009), as well as the same ToA reflectance threshold at 545-565 nm to classify sea ice and water.

150 The threshold value of the NDSII-2 is determined by splitting data in two groups with a Jenks natural breaks optimization (Jenks, 1967), which maximizes inter-class variance and minimizes intra-class variance. This algorithm features a hybrid

cloud masking approach designed to minimize error while maximizing the mapped area, using the MODIS-MOD35eloud mask and L2 cloud mask alongside an additional visibility (VIS) mask, both at a-1 km resolution. Threshold tests based on the Normalized Difference Snow and Ice Index 2 (NDSII-2) (Keshri et al., 2009) and the TOA reflectance at 545-565 are used to classify

$$NDSII - 2 = \frac{B4 - B2}{B4 + B2} \tag{2}$$

The VIS mask in IceMap250 is intended to identify areas where visibility is sufficient to perform a classification, for the sole goal of detecting open water. It uses the normalized difference between the MODIS thermal bands B20 and B32 as in Eq. 3.

$$\frac{R_{(B20/B32)}}{B20 + B32} = \frac{B20 - B32}{B20 + B32} \tag{3}$$

160 The standard score of  $R_{(B20/B32)}$  is then calculated, as seen in Eq. 4, where  $\mu$  and  $\sigma$  are the mean and standard deviation of  $R_{(B20/B32)}$  of the swath data to be classified. Pixels where VIS < 0.5 are tagged as having enough visibility. The masking produces the MOD35 and the VIS datasets, which are classified separately and later combined following the set of rules in Table 2.

$$VIS = \frac{R_{(B20/B32)} - \mu}{\sigma} \tag{4}$$

165 Although masking in IceMap250 is done at a resolution of 1 km, the algorithm maps sea ice and water in the masked datasets. However, this classification process faces some challenging potential errors. One of the most notable classification errors at 250 m within the masked area. This is accomplished by means of a downscaling technique by Trishchenko et al. (2006).

# 2.4 IceMap500: challenges and improvements

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- 170 Both IceMap and IceMap250 face some challenging limitations which IceMap500 tries to address. The most important issue arises from the MOD35\_L2 cloud mask, as it occasionally features resolution-breaking square artefacts of 25 km side length along the ice edge (Fig. 2) that prevent its accurate mapping. Such artefacts originate in the setting of the snow/ice background flag during the production process of the mask (Riggs and Hall, 2015), in which NSIDC's Near-real-time Ice and Snow Extent (NISE) product (Brodzik and Stewart, 2016), based on SSM/I-SSMIS passive microwave data with a cell size of 25 km, is used
- 175 to determine the flag's state. Therefore, as the cloud detection algorithm takes different paths depending on the background flag, sea ice falling outside the footprint of the NISE classification is ultimately tagged as cloud in MOD35\_L2. These 25 km artefacts can occupy extensive areas in some scenes, causing the loss of many cloud-free classifiable pixels.

Table 2	. IceMap25	50 possible	e combinations	of the classified	maps and corresponding	outputs (Gignac et al	1., 2017)
	$\sim$	$\sim$	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	$\sim$

MOD35 map	VIS map	Composite map		
ice	ice	ice		
ice	water	water		
ice	NoData	NoData		
water	ice	NoData		
water	water	water		
water	NoData	NoData		
NoData	ice	NoData		
NoData	water	water		



**Figure 2.** Pixels tagged as confident clear in the MOD35\_L2 cloud mask, shown in red, overlaying MODIS B4 swath data from March 2012 (Barents sea). The footprint left by NISE on the cloud mask can be clearly seen along the ice edge.

Another notable source of classification errors, this time only in IceMap250, arises from the NDSII-2 test, which uses the 180 Jenks natural breaks optimization (Jenks, 1967) to split pixels in two groups, regardless of the surface classes present in a scene. When batch processing MODIS data it may be likely to run into scenes lacking either ocean water or sea ice and, consequently, the Jenks optimization splits pixels into both surface classes erroneously. Clouds which that are undetected by the MOD35\_L2 cloud mask algorithm (Ackerman et al., 2010) and sun glint over ocean water may also be common error sources due to the similar reflectance characteristics to sea ice, both in IceMap and IceMap250. Additionally, as already discussed 185 <u>stated</u> in Gignac et al. (2017), bare ice and melt ponds may also fail the classification tests due to the similarity with ocean water.

Nevertheless, the most important issue concerning the quality of the classification arises from the To mitigate those potential classification errors, IceMap500 features changes in the data masking and the classification rules. The new algorithm uses

- 190 the dual masking approach and the NDSII-2 and B4 ToA reflectance tests as IceMap250, but increases the restrictiveness of the masking and the classification. It also introduces an additional Sea Surface Temperature (SST) test, and a new MOD35 cloud mask. It features resolution-breaking square artefacts of 25 side length along the ice edge (Fig. ??) that originate in the setting of the snow/ice background flag during the production process of the mask (Riggs and Hall, 2015). The source of the artefacts is NSIDC's Near-real-time Ice and Snow Extent (NISE) product, based on SSM/I-SSMIS passive microwave data at
- 195 25correction workflow to minimize the effect of the NISE footprint and enlarge the mapped area (see the structure in Fig. 3). The downscaling technique used in IceMap250 is not applied for various reasons: I) simplicity, II) reduced processing times, III) MODIS Aqua band to band registration errors which may be even larger than the 250 resolution, that is used to determine the flag's state. Such artefacts propagate from one product to another, and can also be seen in MODIS sea ice products MOD29 and MYD29. They can occupy extensive areas in some scenes, causing the loss of many cloud-free classifiable pixels and proventing the dataction of the ice addree.
- 200 preventing the detection of the ice edge.

Pixels tagged as *confident clear* (no clouds) in the MOD35\_L2 cloud mask, shown in red, overlaying a scene taken in March 2012 covering the Russian coast (band 4). Artefacts are visible along the ice edge.

To mitigate those potential classification errors, m cell size itself (Xiong et al., 2006; Khlopenkov and Trishchenko, 2008), and IV) spectral integrity of the imagery (since no downscaling is applied). IceMap500 features changes in the data masking

205 and the classification rules, additional threshold tests, a smaller artefact correction algorithm and a new monthly map synthesis approach (see the structure in Fig. ??)swath maps can be aggregated at any desired time scale. We use a map aggregation approach which is sensitive to spatio-temporal variations of sea ice and which can be used to filter out unreliable sea ice classifications. The next sections give a more in-depth explanation of the IceMap500 workflow.

# 2.4.1 The masking

- 210 IceMap500 uses the same hybrid cloud masking approach as IceMap250. The VIS mask is used and calculated as in IceMap250, using the same VIS < 0.5 threshold value. Therefore, IceMap500 also generates the MOD35 and the VIS datasets. Nevertheless, the MOD35 mask includes additional constraints so not only cloud cover is considered, but also the lighting conditions, sun glint and the presence of land. This information is contained within the MODIS product MOD35\_L2, which provides multiple quality assessment flags , as is summarized in the product's user's guide (Strabala, 2004)(Strabala, 2004; Ackerman et al., 2010)</p>
- 215 . We use the following flag states:
  - 1. Unobstructed FOV, selecting only pixels identified as confident clear. This flag is the cloud mask already used in IceMap250, with a confidence of 99 % (Ackerman et al., 2010).



Figure 3. Structure Simplified structure of the IceMap500algorithm taking IceMap250 (Gignac et al., 2017) as reference. Common steps are shown in solid blue, while steps that are modified and new additions are shown in solid red.

- 2. *Day/Night*, selecting only pixels identified as day. This flag is of special importance during the winter months, when the polar twilight zone reaches the lowest latitudes and, therefore, the available daytime area becomes scarcer.
- Sun glint, selecting only pixels identified as no sun glint. This way, areas with sun glint caused by the reflection angle of the sun being between 0° and 36° are discarded. It is important to emphasize that other Other potential sun glint sources are not considered (Ackerman et al., 2010).
  - 4. *Land/Water*, selecting only pixels identified as water. Land masking is crucial to ensure the quality of the resulting classification because, as already pointed out in Gignac et al. (2017), an incorrect masking may generate sea ice false positives due to the reflectance contrast of land with water.

The VIS mask is used and calculated as in IceMap250. This mask is intended to identify areas where visibility is sufficient to perform a classification, for the sole goal of detecting open water. It uses the normalized difference between the MODIS thermal bands 20 and 32 as in Eq. (3).

$$R_{(B20/B32)} = \frac{B20 - B32}{B20 + B32}$$

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230 Threshold values are obtained by calculating the standard score of  $R_{(B20/B32)}$ , as seen in Eq. (4), where  $\mu$  and  $\sigma$  are the mean and standard deviation of  $R_{(B20/B32)}$ , respectively. Pixels where VIS < 0.5 are tagged as having enough visibility.

$$VIS = \frac{R_{(B20/B32)} - \mu}{\sigma}$$

The masking produces the MOD35 and the VIS datasets, which are later classified separately. Note that while masking is done at 1 resolution, the classification uses data at 500, so sea ice and water are mapped at 500 within the mask limits.

# 235 2.4.2 The classification tests

The original thresholding method used in IceMap250 classifies as sea ice all pixels that pass any of the following two threshold tests In IceMap500 three different threshold tests are included:

NDSII-2 threshold test (t<sub>ndsii2</sub>). Same as in IceMap250. The threshold value k is determined by slicing the NDSII-2 , shown in Eq.(2), into two classes (Eq. 2) with the Jenks natural breaks optimization(Jenks, 1967), which maximizes inter-class variance and minimizes intra-class variance. Pixels in the first group (i.e. below kNDSII-2 k) are classified as sea ice.

$$\underline{NDSII2} = \frac{Green - NIR}{Green + NIR}$$

This test was shown to discriminate 96-100 % of sea ice even during the melting periods in Gignac et al. (2017).

2. Green TOA reflectance threshold ToA reflectance test ( $t_{b4}$ ). Same as in both IceMap and IceMap250. A pixel is tagged as sea ice if its reflectance is  $\ge 17$  % at 545-565 nm (band 4B4). This threshold is based on the contrast in reflectance between ice and water at visible wavelengthsas suggested by Riggs et al. (1999) and validated by Gignac et al. (2017).

However, in IceMap500 a new threshold test is introduced:, and was first used in Riggs et al. (1999) and later validated in Gignac et al. (2017). Gignac et al. (2017) demonstrated that a B4 $\ge$ 17 % threshold resides slightly into the upper standard deviation of the water class reflectance, so the risk of misclassifying melt ponds, leads, polynyas and low-albedo sea ice is low.

3. Mid-range infrared temperature test  $(t_{b20})$ . This new threshold is based on the Sea Surface Temperature (SST) using band 20 B20 (3.660-3.840 µm). It is always used in conjunction with  $t_{b4}$ , but only during although only in the MOD35 dataset classification. Therefore, sea ice is classified only when both B4  $\ge$  17 % and SST < 1 °C. The goal of  $t_{b20}$  is to reduce potential sea ice false positives due to sun glint, as not all sources are considered in the MOD35 mask (see ). This threshold intends to include melt ponds, leads, and water close to the ice edge to prevent breaking the 500 resolution. The SST test relies on a simple atmospheric correction described test is used as a sort of mask to confirm that a pixel

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tagged as sea ice really belongs to sea ice, as unmasked sun glint, turbid water and aerosols may raise water reflectance past the  $t_{b4}$  threshold. To perform this test B20 is temporarily atmospherically corrected with a straightforward equation used in the MODIS SST algorithm theoretical basis document (Brown and Minnett, 1999) for mid-range infrared SST derivation , as in (Eq. 5):

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$$SST = 1.01342 + 1.04948 \cdot T_{B20} \tag{5}$$

where  $T_{B20}$  is the brightness temperature of MODIS band 20. Mid-range infrared has been selected instead of thermal infrared because the atmospheric correction is straightforward and may be affected by reflected solar radiation, making easier the exclusion of sun glint as a result of the temperature increaseB20. The 1 °C threshold is selected so melt ponds and pixels around the ice edge are included (see ? for a detailed discussion on the temperature of melt ponds )designed to include leads, cold water, new sea ice and melt ponds (which according to Zhang et al. (2017) typically stay below 0.3 °C) to prevent breaking the 500 m resolution, while still leaving out most water in the study area susceptible of being affected by sun glint discarding most open water (refer, for instance, to global SST products by the NOAA). such as NOAA High Resolution SST by NOAA/OAR/ESRL PSL, Boulder, Colorado, USA, available at https://psl.noaa.gov/ data/gridded/data.noaa.oisst.v2.highres.html). Moreover, B20 may be contaminated by reflected solar radiation, causing  $T_{B20}$  to increase and therefore making easier the exclusion of sun glint.

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In addition, in IceMap500 a more restrictive classification approach is adopted features restrictive classification rules to compensate the output of  $t_{ndsii2}$  in scenes with a single surface class, as the Jenks optimization will still split data in two groups. The classification rules are dataset-dependent. Nevertheless, due to the merging of depend on the dataset that is being classified, as when merging the MOD35 and VIS maps , changes in a single dataset classification ultimately affect the whole outcome. The IceMap500 classification rules are shown in Table 23: sea ice is only mapped in the MOD35 dataset when there is consensus between the tests, while in the VIS dataset it is mapped whenever  $t_{b4}$  is positive. A downside of this method is that it may leave some melt ponds as NoData, since in the most advanced melting states they tend to show NDSII-2 values similar to water (Gignac et al., 2017). Note that while masking is done at 1 km resolution, the swath data that is classified is at 500 m, so sea ice and water are mapped at 500 m within the mask limits.

#### 2.4.3 MOD35 correction

Once the MOD35 map is created, an additional set of tests is introduced to attenuate the effects of the NISE artefacts or blocks-footprint present in the MOD35\_L2 mask, which propagate to the MOD35 classification and ultimately to the monthly extent maps, that may be extensively affected composite maps. Although the inclusion of this correction increases the chances of classification errors, it greatly improves the quality of the maps sea ice edge delineation and increases the classified area. It is intended to affect only cloud-free areas set as NoData that are close enough to The MOD35 correction is designed to

# Table 3. Classification outcomes based on the threshold tests in IceMap500.

	MOD35 datas	et	VIS dataset			
$t_{ndsii2} < k$	$t_{b4} \ge 17 \%$ $t_{b20} < 1 ^{\circ} C$	MOD35 map	$t_{ndsii2} < k  t_{b4} \ge 17$		VIS map	
yes	yes	ice	yes	yes	ice	
yes	no	NoData	yes	no	NoData	
no	yes	NoData	no	yes	ice	
no	no no water		no	no	water	

reclassify NoData pixels within a buffer zone surrounding clusters of sea ice. Within this buffer the MOD35\_L2 cloud mask is ignored during the classification. Instead, MODIS B7 (2.105-2.155 µm) is used to detect clear areas by taking advantage
of the very low reflectance values that water, snow and ice display at such wavelengths, allowing cloud discrimination (e.g. Platnick et al., 2001; Thompson et al., 2015). To avoid error amplification, sea ice clusters below 100 pixels are deleted before the correction: if those clusters are found far from the ice edge it is likely that they originate from sun glint or unmasked clouds, while those found close to large clusters of sea ice will be are ultimately classified again as such. The MOD35 correction includes five tests, as illustrated in Fig. ??.4.

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Figure 4. MOD35 block correction structure and possible test outcomes.

- 1. NoData test. NoData pixels pass the test, while classified areas remain the same. All pixels set as NoData during the MOD35 classification also undergo the tests, and may be finally labelled as sea ice or water.
- 2. Euclidean distance test. NoData pixels found at 35 km or closer to a cluster of sea ice pass the test; those found above this threshold are left otherwise are set as NoData. This distance is roughly equal to the diagonal of NISE's 25 km artefactscells, and is used to reduce the chances of misclassifying clouds as sea ice by setting a buffer along the ice edge.
- 3. Band 7 TOA Short-wavelength infrared ToA reflectance test ( $t_{b7}$ ). Pixels below 3.5 TOA % ToA reflectance at 2.105-2.155 µm (B7) pass the test, otherwise they are left are set as NoData. This threshold is based on the low reflectance that water, snow, and ice display around 2 µm: spectral signatures in Fig. ?? 5 indicate a maximum reflectance of ~10 % for ice within the selected B7 bandwidth, while the reflectance of snow and water is always below 5 %. This test is used as a cloud filter, as it is expected that clouds show higher reflectance values. Fig. ?? 5 also shows the threshold includes only 45.3 % of clear areas according to our sampling, although most excluded pixels of the remaining samples belong to sea ice far from the ice edge which is of no interest in the MOD35 correction. However, by setting a low reflectance such a restrictive threshold only a tiny small fraction of clouds are included (1.5 %), which is preferable preferred over including all sea ice while increasing significantly sea ice false positives due the presence of clouds to the cloud cover.
- 310 4.  $t_{ndsii2}$ . Pixels below the Jenks threshold Same as in the MOD35 classification. Pixels where NDSII-2< k pass the test, while the rest are left otherwise are set as NoData. In this case, the Jenks optimisation is not performed using all the clear pixels in the scene, but rather only those included in the Euclidean distance test and within the 35 km buffer zone set as clear by  $t_{b7}$ .
  - 5.  $t_{b4} \& t_{b20}$ . As in the previous Same as in the MOD35 classification, pixels. Pixels where B4  $\ge 17$  % and SST < 1 °C are classified as sea ice, otherwise are left set as NoData.

Finally, the MOD35 map and the result of the MOD35 block correction are joined together in a single map, which is merged and later combined with the VIS map according to the compositing rules in Table 3.2. A visual example of the workflow in IceMap500 is given in Fig. 6, illustrating each intermediate result of the algorithm.

#### 320 2.4.4 Monthly map synthesis Map aggregation and calculation of sea ice extentderivation

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The corrected MOD35 and VIS maps created for each scene are combined to take advantage of the strengths of both the MOD35 and the VIS classification methods, following the criteria seen in Table 32. The extensive cloud cover found in most scenes and the restrictiveness of the classification implies little area is finally mapped, although the new correction reduces the impact of the cloud mask. In any case, many scenes are required to map large expanses of the sea ice cover. In IeeMap250

325 weekly maps are derived using a majority filter, with every pixel classified as sea ice assumed to be equally reliable. Here, a new monthly map synthesis method is proposed IceMap500 a map aggregation method based on the number of coincident sea ice classifications achieved in each pixel is used, meaning that pixels classified as sea ice in a large number of scenes will have



**Figure 5.** Left: spectral signatures of several surfaces obtained from the USGS spectral library (Kokaly et al., 2017), including ice (frost), sea water (oceanic and coastal), and snow-slush at different melting states (indicated by roman numerals); MODIS band 7 bandwidth is shown in yellow. Right: histograms for pixels identified as confident clear and other (probably clear, uncertain clear, and cloudy) in the MOD35\_L2 product, from 8000 randomly sampled points on five different scenes. Percentages indicate the proportion of pixels inside each filled area using a 3.5 % ToA reflectance threshold.

higher reliability. The synthesis aggregated maps are generated by calculating the sum of composite maps where ice = 1 and water = 0, and later normalizing the results according to the maximum number of coincident sea ice observations achieved.

330 With MODIS Terra the maximum number of observations typically reaches ~50 in March and ~60 in September, so using both Terra and Aqua this number could double and significantly increase the usefulness of this method. The output provides information about where is sea ice more likely to be foundaccording to the processed MODIS scenes, thus we appropriately refer to the resulting maps as sea ice presence likelihood maps (Fig. ??).

Possible map combinations and composite outcomes (Gignac et al., 2017). MOD35 map VIS map Composite mapice ice

- 335 ice ice water water iceNoData NoData water ice NoData water water water water NoData NoData NoData ice NoData NoData water water 7). This approach allows users to detect the places where sea ice has been more unstable during a given time period, as the sea ice presence likelihood will drop in such cases. Likelihood maps allow even to detect cracks in the sea ice, and of course if sea ice has moved significantly the sea ice presence likelihood will be lower.
- 340 Pixels below a selected thresholdvalue in the Sea ice extent is obtained from the likelihood maps by selecting a likelihood threshold, in this case 10 %. Then, pixels where sea ice presence likelihood maps can be discarded to get rid of the least reliable observations, acting as an additional post-classification filter. In our case, is >0 % and <10 % (0 % is water) are discarded because such observations might not be reliable enough. By eliminating such observations a small NoData buffer zone along the ice edge is generated. IceMap500 then takes advantage of the pixels set as water and fills the NoData gaps using</p>
- 345 an Euclidean distance allocation method. This way a clearer and smoother sea ice edge is obtained, which nonetheless does



Figure 6. Left: spectral signatures of several surfaces obtained from the USGS spectral library (Kokaly et al., 2017), including ice (frost), sea water (oceanic and coastal), Intermediate and snow-slush at different melting states (indicated by roman numerals); MODIS band 7 bandwidth is shown in yellowfinal products of IceMap500. Right: histograms for pixels identified as confident clear and other (probably clear, uncertain clear, and cloudy) in The effect of the MOD35 <u>L2 product</u>, from 8000 randomly sampled points correction is best seen on five different scenes. Percentages in white indicate the proportion upper right corner of pixels inside each filled area using the selected 3.5 TOA reflectance thresholdmaps.

not ignore the information carried by pixels where likelihood falls below the selected threshold  $is \ge 10$  %, which represents a balanced compromise between error filtering and area mapped. This synthesis approach generates. This procedure acts as an additional post-classification error filter and produces a sea ice extent mapsmap, as the constant motion of the ice tends to hide the presence of features such as leads, cracks, polynyas and ice floes. Finally, the euclidean distance from both sea ice and water is calculated, and is later used to fill NoData gaps by setting as sea ice those pixels closer to sea ice than to water, smoothing

the ice edge and generating a continuous sea ice extent map for the given month.

It is worth noting that the aggregation procedure eventually sets either as sea ice or water NoData pixels that were never really classified by the algorithm during the entire time period. Although the dual masking approach and the use of the MOD35 correction greatly improve the final classified area, NoData gaps still tend to appear in the regions closer to the pole in the March monthly maps as a consequence of the poor lighting conditions. Obviously, this also makes sea ice presence likelihood to drop. September has no such lighting limitations, so NoData gaps appear more randomly. Fortunately, the average NoData area fraction of our monthly time series only reaches 1.0 % in March and 0.7 % in September.



**Figure 7.** Comparison between Clockwise from upper left: example of monthly sea ice presence likelihood maps for March 2012 over in the Russian coast: left, Baltic sea; buffer zone generated when setting a 10 % likelihood threshold; monthly sea ice likelihood without MOD35 correction; right, monthly sea ice likelihood with MOD35 correction. For representation purposes, pixels equal to 5 or below have been set as water.

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#### 360 3 Results

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We use the new IceMap500 algorithm to obtain swath and daily maps during the months of March and September of the 2000-2019 period, using only MODIS Terra data. The resulting maps have been aggregated at a monthly scale to obtain the time series of sea ice extent, from which sea ice extent trends have been calculated. The performance of the algorithm is assessed with confusion matrices by manually validating swath maps.

#### 365 3.1 Sea ice extent evolution and trends

Monthly sea ice extent maps have been used to determine the sea ice extent trends between 2000 and 2019 in the European Aretic NE Atlantic-Barents region and the Baltic Sea separately. Both March and September trends have been obtained for the Aretic NE Atlantic-Barents, that is, the trends of the maximum and minimum sea ice cover, respectively. Since there is no perennial sea ice fraction in the Baltic Sea, only the March trend is available in this case, also corresponding to the maximum sea ice cover. The resulting trend lines, represented in Fig. 228, have been obtained via least-squares linear regression.



Figure 8. Monthly sea ice extent evolution and trend linesobtained in, alongside the European Arctic numerical results of the sea ice trends and the Baltic Seastandard error of the slope. Two goodness of fit estimators are given: the coefficient of determination and the p-value. P-values are obtained from two-tailed Wald tests with 18 degrees of freedom and null hypothesis that there is no correlation between the two variables, i.e. that the slope of the trend line is zero.

All three cases display negative trends, indicating a shrinking of the sea ice cover. Table ?? shows numerically the decrease in sea ice extent. According to the calculated trends, in the European Arctic Results indicate that in the NE Atlantic-Barents region the sea ice decline is  $\sim$ 70 % faster in March than in September. Although September's extent is comparatively smaller, the

- standard error of the trends is similar in both months ( $\sim 6 \times 10^3 \text{ km}^2 \text{yr}^{-1}$ ), indicating September displays a higher variability, as evidenced by the lower with R<sup>2</sup> valuebeing lower in September. Nevertheless, both trends have been found to be statistically significant , assuming the null hypothesis ( $H_0$ ) that the slope of the trend lines is zerowhen considering a significance level of 99 %. In particular, the March trend displays a very low p-value, indicating a significance level of ~99.98 -
- While %. In contrast, the Baltic Sea trend line in Fig. ?? clearly shows a negative tendency, the monthly results also display 380 displays no clear tendency and a large variability. This causes R<sup>2</sup> to be very low and the standard error of the slope trend to be almost equal to the slope itself. Moreover, this trend is not statistically significant, so trend itself. If the 99 % significance level criterion is followed then  $H_0$  can not be rejected. Therefore, the observed trend may reflect a real negative tendency masked by high natural variability or may simply result from stochastic seaice extent measures independent of time in the Baltic sea.
- 385 Numerical results of the Arctic and Baltie sea ice trends and the standard error of the slope, along with two goodness of fit estimators: the coefficient of determination and the p-value. P-values were obtained from two-tailed tests assuming 16 degrees of freedom and null hypothesis that there is no correlation between the two variables, i.e. that the slope of the trend line is zero. March -27.98  $\pm$  6.01 0.55 0.0002September -16.47  $\pm$  5.66 0.32 0.0093**Baltic** March -2.75  $\pm$  2.05 0.09 0.1966

#### 3.2 Accuracy assessment

- We randomly selected eight years to perform the quality assessment, from which a total number of 32 scenes have been used, that is, two scenes per month to allow comparison. As a prerequisite, each validation scene must have both sea ice and water pixels, otherwise it is discarded. Validation has been carried out with confusion matrices by generating 1500 random points per scene over the classified areas. Those points have been manually tagged as either sea ice, water , or cloudsor cloud, with the help of the corresponding RGB compositeswath. Although no clouds are mapped in the algorithm, points found over clouds opaque enough to avoid the identification of the Earth's surface surface below add to the total sea ice commission error. As
- already noted in Gignac et al. (2017), this method requires the scenes to be validated by the same analyst in order to maintain its coherence.

Accuracy assessment results have been are summarized in Table ??4. All scenes achieved overall accuracies above 90 %,
resulting in an average accuracy of 95.96.96.0 %. The average kappa coefficient of 0.853-0.85 indicates a strong agreement between classification and ground truth, despite being affected by scenes with few water validation points, causing the kappa coefficient to drop due to the disproportion between classes. Individually, only 5 out of 32 computed kappa coefficients are found below the 0.800-0.80 value, while 10 are found between 0.800-0.900 0.80-0.90 and 17 above 0.9000.90, indicating very strong agreement. The primary source of error affecting the classification is sea ice commission, with its mean value alone adding up to 7.33-7.3 %, that is, more than sea ice omission, water commission, and water omission combined.

By analysing separately both months, mean accuracy is found to be higher in March than September, differing by  $\frac{1.97}{1.9\%}$ . Accuracy results in September are also slightly more variable, showing a  $\sigma$  of  $\frac{2.78 \text{ versus } 2.48}{2.8\%}$  versus 2.5% in March. On the contrary, as due to the extensive sea ice cover Marchscenes are especially prone to almost lack water and, therefore,

410 the mean kappa coefficient is lower in March than in September. This is linked to the much greater sea ice area covered in March, which occasionally causes some scenes to have very few water validation points, very low kappa values are eventually obtained resulting in a lower mean kappa coefficient in March than in Septembermaking the kappa coefficient to drop due to the disparity in validation points between classes. The standard deviation of kappa greatly illustrates this issue, being 0.227 0.23 in March and 0.059 0.06 in September.

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Nevertheless, the difference in accuracy between months does not arise from validation artefacts, but mainly from the disparity in sea ice commission. With a mean sea ice commission error of 2.51-2.5%, March classifications outperform those for September, which show a mean error of 12.15-12.2%. Since there are only two classes, high water omission error should be expected. However, it is very low in both cases, 0.34-0.3% in March and 0.04% in September, revealing the dominance of sea ice commission is not caused by the misclassification of water as sea ice, but of clouds as sea ice. Instead, sea ice omission error is similar in both months, being 2.74-2.7% in March and 3.30-3.3% in September, while water commission is 2.5 and 1.9%, respectively. Thus, globally, the major error contribution is due to the misclassification of clouds as sea ice, especially in September, while misclassification of sea ice as water and water as sea ice remain lower in the first case and minimal in the latter.

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According to Chan and Comiso (2013), the MOD35\_L2 cloud mask tends to underestimate the cloud cover over sea ice, whereas over open water it is overestimated but closer to reality. Indeed, most sea ice commission error in our validation is due to the misclassification of clouds as sea ice within the limits of the sea ice cover; in fact, despite the cloud fraction being much larger over open ocean than over sea ice, in the first case sea ice commission errors are uncommon. Some of the clouds that are commonly left undetected by the MOD35 cloud mask include low-level (top below 2 km), high-level (top above 6 km), and thin clouds less than 2 km thick (Chan and Comiso, 2013). Additionally, our validation showed some cloud shadows cast over eloudy areas may sometimes be classified as clearthat multilayered clouds cast shadows which can be finally tagged as sea ice. The rise of sea ice commission error during September may be explained by the fact that, as shown by Chan and Comiso (2013), late summer in the Arctic is considerably cloudier than winter, as lower sea ice concentration relates to a larger cloud fraction.

Since sun glint issues have been mostly solved, as evidenced by the minimal impact of water omission error, and most sea ice commission is generated within the detected sea ice cover, there are few clusters of sea ice false positives over open ocean, most of which are deleted removed during the MOD35 block correctionif the cluster consists of less than 100 pixels. Thus, few

<sup>440</sup> of those errors are propagated to the sea ice presence likelihood maps, allowing the selection of low threshold values to obtain sea ice extent.

Table 4. Validation results for 32 selected swath maps. Two results are given per month, corresponding to different scenes. Commission (com.) and omission (om.) errors correspond to represent the four-scene monthly mean. Median kappa coefficient and accuracy are given as an evidence that mean results are greatly affected by extreme values in the validation. Kappa coefficients corresponding to scenes in which water validation points respresent are less than 5 % from the total are shown in italics. The average coefficient if those values are left out is 0.911kappa statistic rates the agreement between classification and ground truth, although considering that agreement may occur by chance.

	Accuracy (%)		Kappa coefficient		Sea ice com./om. (%)		Water com./om. (%)	
Year	March	September	March	September	March	<u>September</u>	March	<u>September</u>
2003	<del>99.34; 97.93</del> 99.3, 97.9	<del>93.99; 91.07</del> 94.0, 91.1	<del>0.6640.66;</del> <del>0.881</del> , <u>0.88</u>	0.881; 0.836 0.88, 0.84	<del>08.54</del> -00.7 / 09.5	<del>04.75</del> - <u>16.4</u> / 00.0	00.55-01.1 /	00.0200.0 /
2005	<del>95.31; 92.66</del> 95.3, 92.7	<del>95.53; 99.07</del> 95.5, 99.1	<del>0.953; 0.927</del> 0.95, 0.93	0.881; 0.965	<del>05.10</del> _00.0_/ 06.2_	<del>04.16</del> - <u>10.2</u> / 02.1	<del>02.92</del> _05.4_/ 00.0_	00.0000.4 /
2006	<del>98.07; 98.60</del>	<del>94.07; 92.07</del>	<del>0.956; 0.966</del>	<del>0.884; 0.816</del>	<del>06.99-02.5</del> /	<del>05.28</del> - <u>11.5</u> /	04.01-02.4 /	<del>00.11</del> 05.6 /
2008	<u>98.1, 98.6</u> <u>97.40; 97.87</u>	<u>94.1, 92.1</u> <u>95.80; 98.00</u>	0.96, 0.97 0.943; 0.904	0.88, 0.82 0.882; 0.953	01.6 06.90-02.2 /	<u>09.0</u> <del>01.17-</del> 11.6 /	00.1 01.59-02.8 /	00.1 00.0200.4 /
2010	97.4, 97.9	<u>95.8, 98.0</u>	0.94, 0.90 0.3190.32;	0.88, 0.95	01.0	01.3	00.0	00.0
2011	91.73; 97.80 91.7.97.8	90.80; 91.53 90.8, 91.5	<del>0.956</del> , <u>0.96</u>	0.828; 0.778 0.83, 0.78	12.54-05.5./ 00.6	05.05-19.6./ 09.5	02.20-00.9_/ 00.4_	00.2403.5_/ 00.1
2011	<del>98.27; 98.26</del> 98.3, 98.3	<del>95.73; 98.60</del> 95.7, 98.6	<del>0.959; 0.958</del> 0.96, 0.96	<del>0.914; 0.967</del> 0.91, 0.97	<del>02.16-</del> 00.4 / 02.0	<del>02.07-</del> 03.9 / 02.1	<del>03.85</del> -04.8 / 00.1	00.1002.9_/ 00.1
2014	<del>98.73; 99.13</del>	<del>92.40; 94.73</del>	<del>0.925; 0.981</del>	<del>0.841; 0.852</del>	<del>11.62-</del> 01.0_/	<del>00.93</del> - <u>22.2</u> /	<del>01.10_</del> 01.9_/	00.0000.3 /
2016	<u>98.7, 99.1</u> <u>93.67; 91.20</u>	92.4, 94.7 97.00; 99.20	0.93, 0.98 0.3160.32;-,	0.84, 0.85 0.937; 0.984	00.9 04.80-07.8 /	00.9 00.76-01.8 /	00.0 01.27-00.7 /	<u>00.0</u> 01.0401.9 /
Mean	93.7, 91.2 96.9496.9	97.0, 99.2 94.9795.0	0.4970.30	0.94, 0.98	00.0	01.5	02.1	00.0
			0.819 0.82	<del>0.887 <b>0.8</b>9</del>	07.33-02.5 / 02.7	03.02-12.2 / 03.3	02.19 02.5 / 00.3	00.1901.9 / 00.0
Total								
<u>Mean</u> <u>Median</u>	96.0 97.2		0.85 0.91		07.3 / 03.0 04.7 / 01.5		02.1 / 00.2 01.9 / 00.0	

#### 3.3 Agreement with NSIDC's Sea Ice Index

#### **3.2.1** Agreement with NSIDC's Sea Ice Index

The Sea Ice Index (Fetterer et al., 2017) (SII, Fetterer et al., 2017) is a widely used global sea ice extent and concentration

- 445 product distributed by the NSIDC, which is derived from satellite passive microwave data at 25 km spatial resolution. It covers from 1978 to the present, being updated on a daily basis, and provides monthly median sea ice extent maps. In the SSI, extent is derived from sea ice concentration by setting as sea ice pixels where concentration is 15 % or above. In spite of the difference in spatial resolution between the Sea Ice Index and our resultsSII and IceMap500, measuring the agreement or similarity between both datasets aets can act as an estimator of the quality and consistency of the algorithmIceMap500's monthly composites.
- 450 Agreement aggregates. Thus, SII maps have been reprojected to North Pole Lambert Azimuthal Equal Area and resampled down to a 500 m cell size. Then agreement has been calculated as the coincident sea ice area fraction between both datasets, as compared to the total sea ice extent including coincident and non-coincident area (Eq. 6).

$$Agreement = \frac{A \bigcap B}{A \bigcup B}$$
(6)

where *A* is an IceMap500 monthly aggregate and *B* the corresponding SII. Fig. ?? 9 illustrates the agreement both for March 455 and September from 2000 to 2019.

#### Agreement between NSIDC's Sea Ice Index and the obtained monthly sea ice extent maps for all analysed years.

Mean agreement in March is 89.46-89.5% with a standard deviation of 1.08-1.1%, whereas in September mean agreement is lower, 85.53-85.5%, and displays higher variability, with a standard deviation of 3.07-3.1%. Only in a single case does the agreement fall below 80 %, corresponding to September 2013 : this particular case will be further discussed in section 22(74.7%).

# An example of both datasets is shown in Fig. 10 for visual comparison: even though the difference in spatial resolution is not compensated, both numerical and visual analysis suggest that IceMap500 monthly aggregates are coherent with existing data even considering the different sea ice extent calculation approach.

#### 465 4 Discussion

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#### 4.1 Sea ice trends

Sea ice trends obtained from our monthly extent maps in the European Arctic NE Atlantic-Barents region are consistent with previous observations and both are statistically significant when considering a significance level of 99 %. The trends obtained in this study are regional and therefore do not reflect the overall Arctic sea ice extent tendencies, although they have be apprended to studies in which maintain the apprendence are also analyzed. In Courling and Darkinger (2012) – the apprendence of the

470 can be compared to studies in which regional trends are also analysed. In Cavalieri and Parkinson (2012), the summation



Figure 9. Agreement between NSIDC's Sea Ice Index and the obtained monthly sea ice extent maps for all analysed years.



Figure 10. Comparison between NSIDC's Sea Ice Index (left) and sea ice extent map obtained for March 2012 (right).

of sea ice trends are shown by region: our study area approximately matches what the authors call Greenland Sea and Kara and Barents Seas. Data from 1979 to 2010 reveals in the Greenland Sea a trend of -9.5(1979-2010) in the Greenland sea and the Barents-Kara seas, roughly corresponding to our study area, shows a greater loss of sea ice extent during winter  $(-21.7\pm1.9\times10^33.1\times10^3 \text{ km}^2\text{yr}^{-1}\text{in winter and }-4.8)$  than during summer  $(-18.6\pm1.6\times10^33.2\times10^3 \text{ km}^2\text{yr}^{-1}\text{in summer}$ , showing a larger sea ice loss during winter as in the present study. Trends in the Kara and Barents Seas are similar in

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winter and summer, being -12.2). This is in accordance with our results, and both trends are within the error range of the trend lines in Fig. 8. Similarly, the summation of the Greenland-Barents-Kara trends in Peng and Meier (2017), covering from 1979 to 2015, indicates a trend of  $-19.0\pm2.4\times10^{3}4.4\times10^{3}$  km<sup>2</sup>yr<sup>-1</sup> and -13.8 for the maximum sea ice extent and  $-14.9\pm2.8\times10^{3}5.7\times10^{3}$  km<sup>2</sup>yr<sup>-1</sup> respectively. However, our regional trends may not reflect the overall sea ice extent tendencies.

480 This may be exemplified by the fact that in the Northern Hemisphere as a whole for the minimum. This behaviour is also reported in the Barents sea in Kumar et al. (2021), spanning the 1979-2018 period. Nevertheless, the sea ice loss is more pronounced in summer than in winter, and that in our case the minimum sea ice extent does not correspond to September2012 (EEA, 2017; Cavalieri and Parkinson, 2012; Stroeve et al., 2007)extent loss is proportionally smaller in winter than in summer: in our study area the decadal sea ice loss is approximately of 9 % in March and 13 % in September. Peng and Meier (2017)

485 report sea ice losses of 10.1 % and 10.8 % per decade in the Greenland and Barents seas in winter, closely matching our results.

In the case of the Baltic Sea, no statistically significant trend can be inferred due to high interannual variability and the limited lifespan of MODIS. This, however, does not imply that  $H_0$  (i.e. that the Baltic ice cover is stable) is true: previous research (Jevrejeva et al., 2004) based on data from coastal observatories covering years 1900 to 2000 reveals a significant decreasing trend in sea ice occurrence probability in the southern Baltic Sea, while in the northern half ice occurs every winter. Moreover, it shows a shortening of the sea ice season and an advance in the date of break-up, especially in the northern areas. More recent analyses (Vihma and Haapala, 2009; Haapala et al., 2015) also indicate that over the last century the sea ice season has shortened and the occurrence of severe winters has fallen. According to 2, Thus, although IceMap500 may not be suitable for Baltic sea ice extent trends are affected by large interannual variabilitycaused by the North Atlantic Oscillation that prevents them from being statistically significant monitoring at a monthly scale due to the large variability, both interannual and within a same freezing period (Granskog et al., 2006), it can be useful for detailed sea ice studies spanning shorter time periods.

The low water omission error obtained in the quality assessment reflects that most sun glint issues have been solved, both by the sun glint mask provided in MOD35\_L2 and the more restrictive classification approach. Nonetheless, while sea ice 500 omission and water commission are still low, they play a much more important role on the overall accuracy. The-

# 4.2 Applicability of IceMap500

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Accuracy assessment shows that the major source of error , according to the validation, are clouds not detected by the MOD35 mask. Additional thresholds could be introduced to reduce unmasked cloud cover as much as possible, at the expense of increasing the running time of the algorithm which is already enlarged by the MOD35 block correction. As a result of its application, the area loss caused by the adopted restrictive classification approach is counteracted, as evidenced by in IceMap500 is sea ice commission, mostly caused by undetected clouds. This is especially true in September due to the cloudier atmospheric conditions during the Arctic summer. This issue is also reflected in the agreement with NSIDC's SII, with the September agreement being lower than in March in all but two years and occasionally falling down to 75 % (September 2013). The larger number of scenes available during that month alongside the larger sea ice commission error make the September

- 510 monthly aggregates to be potentially affected by sea ice false positives to a greater extent, so a possible way of dealing with this situation is to increase the sea ice presence likelihood maps (see previous threshold. In the case of September 2013, a small change in the threshold value (from 10 % to 11 %) translates into an increase in IceMap500-SII agreement from 75 % to 80 % (see Fig. ??). Nonetheless, the potential presence of NoData gaps in the likelihood maps is an additional factor increasing uncertainty in our monthly sea ice extent derivation. Although those gaps are filled according to the minimum euclidean
- distance to sea ice or water, its classification is not based on real observations and therefore uncertainty increases.
  The resulting monthly sea ice extent maps show an agreement with NSIDC's Sea Ice Index almost always above 80, being higher in March than in September. Due 11 for visual comparison). An additional source of disagreement between SII and IceMap500 in the summer months is the greater fragmentation of the ice cover, leading to the formation of sea ice floes, alongside the coastline discrepancy. However, these two sources are intrinsically linked to the difference in spatial resolution <del>,</del> agreements close to 100between both products. In the case of September 2013, the fragmentation of sea ice (notice the water pixels within the SII edge in Fig. 11) in combination with high sea ice commission due to unmasked clouds led to an unusually
  - low agreement score.





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Overall, both the accuracy assessment of IceMap500 and the generally high agreement values with the SII suggest that the new algorithm is well suited for sea ice studies and monitoring. Its processing time also allows near real-time mapping: it takes around 50 minutes to generate a full daily map covering our study area (i.e. 16 scenes) using a modest machine with an Intel

Xeon X5550 (4x2.67 are not possible: aside from the position of the sea ice edge, this difference also affects the coastline, increasing error if sea ice is present. Moreover, some fjords along the coast of Greenland which are permanently covered by glaciers are tagged as land by the Sea Ice Index, while GHz) processor and 12 GB RAM. Therefore, IceMap500 may represent

- 530 an improvement towards local and regional sea ice studies, especially taking into account the spatio-temporal information carried by the sea ice presence likelihood maps. Additionally, the inclusion of the MOD35 mask includes them as ocean, thus being ultimately classified as sea ice by our algorithm. As the sea ice cover during September is considerably smaller and is mostly found along the coast of Greenland, non-coincident sea ice between both products due to the coastline discrepancy is proportionally larger in September, contributing to the lower agreement values. Disagreement also arises from the detection
- 535 of fragmented sea ice and ice floes, which are frequent during the Arctic summer: due to correction makes IceMap500 to map more accurately the sea ice edge than the MOD29 product (see the comparison in Fig. 12), which is visibly affected by the NISE footprint. This increase in mapped area is also advantageous when aggregating maps at any time scale, as sea ice presence likelihood rises and the 25 resolution of the Sea Ice Index, some of those areas may not exceed the 15presence of NoData gaps is minimized. Instead, in Fig. 12 the IceMap500 result is closer both in terms of mapped area and spatial
- 540 resolution to the VIIRS/NPP sea ice cover (375 sea ice concentration threshold used to determine extent and thus are tagged as water. September 2013, which displays the lowest agreement value, is an example of such behaviour (see Fig. ??) .m) swath product (Tschudi et al., 2017). It is worth noting, however, that VIIRS products may also be affected by the VIIRS cloud mask in the same way that MODIS is, because NISE is also used to detect background sea ice in the VIIRS cloud mask algorithm (Frey et al., 2019).

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Even though IceMap500 is designed to work with MODIS, it could also be used with other optical and infrared sensors, as long as the selected sensor has equivalent bands to those used by this algorithm. Nevertheless, the application of the MOD35 correction, which would have to be adapted, depends on the characteristics of the cloud mask to be used, and may not even be necessary. In the case of VIIRS the MOD35 correction may be advantageous due to the potential effect of the NISE background.
but there is no direct equivalent to MODIS B7 which is used to identify clouds during the correction. Therefore, the potential of the closest match (VIIRS band M11, with a 2.20-2.30 µm bandwidth) to discern clouds from the ice cover should be assessed in this context. However, the application of the IceMap500 algorithm both to other sensors or other study regions might yield different accuracy assessment results, so the threshold tests or the classification restrictiveness might need to be revised in each particular case to improve its performance.

# 555 5 Conclusions

The new IceMap500 has been shown to produce algorithm is shown to generate high quality sea ice extent maps by systematically achieving accuracies above 90 %. Quality assessment revealed the most common error is sea ice commission caused by unmasked clouds, manifesting the key role that the MOD35 cloud mask cloud masking plays on the overall accuracy of the algorithm. The addition of the NISE artefact MOD35 correction substantially improves the delineation of the ice edge, Comparison between NSIDC's Sea Ice Index (left) and sea ice extent map obtained for March 2012 (right).



Figure 12. Comparison between IceMap500 swath composite, MOD29 sea ice extent and VIIRS/NPP sea ice cover products (March 26th 2018). For MODIS Terra the swath acquisition time is 7:40 UTC, for VIIRS/NPP it is 7:18 UTC. Disagreement between IceMap500 and MOD29 along the shoreline is attributed to land masking differences.

560 preventing the propagation of such artefacts the NISE footprint, and increases the area mapped area, which is of capital importance when deriving daily and monthly maps due to the restrictiveness of the classification and the weather dependence of MODIS visible and infrared data. However, although it has not been specifically designed to work in a single study area, its application in other regions has not been assessed and may yield different accuracies. High agreement between our monthly sea ice extent maps and NSIDC's Sea Ice Indexprove, especially in March, demonstrates the consistency of the monthly IceMap500 has proved useful to evaluate sea ice extent trends in the European Aretie <u>NE Atlantic-Barents region</u> and the Baltic Sea, exemplifying one of the potential applications it may be used for. Significant negative trends have been observed both in March and September in the <u>AretieNE Atlantic-Barents region</u>, while the Baltic Sea displays much more variability and no trend can be inferred from it. Given the high accuracies achieved and the coherence with existing data, the algorithm's sea ice

extent maps may be used as a higher-resolution European global warming indicator within the MODIS lifespanwe find that

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IceMap500 is a useful tool for sea ice studies and monitoring, particularly at local and regional scales.

*Code and data availability.* The source code is hosted at https://github.com/Parera-Portell/IceMap500. Monthly March and September sea ice extent maps from 2000 to 2019 are available at https://doi.org/10.5565/ddd.uab.cat/233396.

# Appendix A

575 A1

*Author contributions*. Joan A. Parera-Portell: investigation, methodology, formal analysis, software, writing-original draft; Raquel Ubach: conceptualization, supervision, resources, writing-review and editing; Charles Gignac: supervision, writing-review and editing.

Competing interests. The authors declare they have no competing interests.

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