

# Potentials of aerial and UAV photogrammetry for analyzing glacier retreat in the Ötztal Alps, Austria

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**Abstract.** We use high-resolution aerial photogrammetry within the AlpSenseBench project to investigate glacier retreat in great spatial and temporal detail in the Ötztal Alps, a heavily glacierized area in Austria. Long-term in situ glaciological observations are available for this region and a multitemporal time series of digital aerial images with a spatial resolution of 0.2 m acquired over a period of 9 years. Digital surface models (DSMs) are generated  
15 for the years 2009, 2015, and 2018. Using these, glacier retreat, extent, and surface elevation changes of all 23 glaciers in the region, including the Vernagtferner, are analyzed. Due to different acquisition dates of the large-scale photogrammetric surveys and the glaciological data, a correction is successfully applied using a dedicated unmanned aerial vehicle (UAV) survey across the major part of the Vernagtferner. The correction allows a comparison of the mass balances from geodetic and glaciological techniques – both quantitatively and spatially.  
20 The results show a clear increase in glacier mass loss for all glaciers in the region, including the Vernagtferner, over the last decade. Local deviations and processes, such as the influence of debris-cover on mass balance, dead-ice bodies, and the magnitude of dynamic processes, are quantified. Since those local processes are not captured with the glaciologic method, they underline the benefits of complementary geodetic surveying. The availability of high-resolution multi-temporal digital aerial imagery for most of the glaciers in the Alps will provide a more  
25 comprehensive and detailed analysis of climate change induced glacier retreat.

## 1 Introduction

The impacts of climate change are widespread and clearly visible in the Alps (Rogora et al., 2018) but particularly evident in the dwindling glacier resources (Beniston et al., 2018; Sommer et al., 2020; Zekollari et al., 2019). Over the past 100 years, the temperature in the European Alps, hereafter referred to as the Alps, has increased almost twice as fast compared to the global average, resulting in nearly 2 °C higher mean air temperatures (Auer et al., 2007; Marty and Meister, 2012). By the end of this century, mean air temperatures are expected to rise further by several degrees Celsius (Gobiet et al., 2014; Hanzer et al., 2018). Due to this ongoing climate evolution, alpine glaciers may lose half of their volume by 2050 compared to 2017 (Zekollari et al., 2019). The response of glaciers to climatic variations is directly related to the mass and energy balance at the glacier surface. Internal deformation and basal sliding redistribute ice from regions with mass gain (accumulation) to regions with mass loss (ablation), compensating the local imbalance with some lag in the response. However, glacier geometry changes can be directly related to the mass balance if integrated across the entire glacier. Thus, the geodetic glacier mean mass change (considering a volume to mass conversion) can be directly compared to glaciological mass balance measurements based on local ablation and accumulation data.

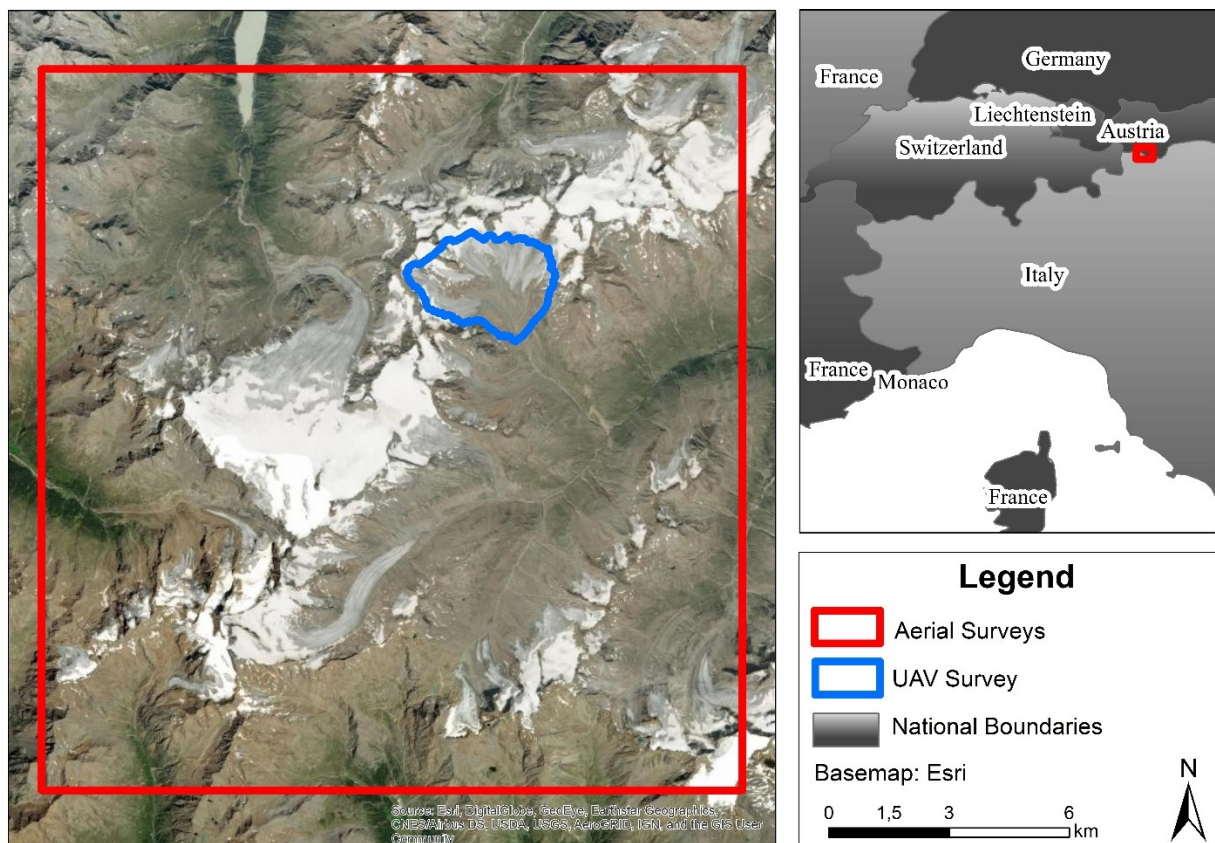
We focused on a study site within the Ötztal Alps, Austria, including the Vernagtferner, one of the reference glaciers in the World Glacier Monitoring Service (WGMS) system. Mass-balances have been determined here using the glaciological method since 1965, while a series of historical maps back to 1889 demonstrates the long-term glacier evolution over more than a century (Escher-Vetter et al., 2009).

Along with Airborne Laser Scanning, digital photogrammetry is one of the standard methods for deriving geodetic glacier mass balances. Both methods have their weakness and strengths that were intensely analyzed and discussed within the scientific community (e.g., Baltsavias et al., 2001). However, an undisputed and important advantage of the photogrammetric approach are the existing long-time series dating back into the last century. Past studies have used these time series to determine mass balances (Belart et al., 2019; Jaenicke et al., 2006; Magnússon et al., 2016; Mayer et al., 2017). Other studies demonstrated the potential of spatiotemporal change analysis of alpine glaciers using photogrammetric data (Fugazza et al., 2018; Gudmundsson and Bauder, 1999; Legat et al., 2016; Rossini et al., 2018) and also comparing their results to glaciologic data (Baltsavias et al., 2001; Klug et al., 2018). However, geodetic mass balances from photogrammetry are often restricted by acquisition times and therefore not comparable with fixed date glaciological measurements (Fischer, 2011).

This study aims at deriving geodetic glacier mass balances using high-resolution photogrammetric datasets provided by the Austrian Bundesamt für Eich- und Vermessungswesen (BEV) and 3D RealityMaps GmbH for 23 glaciers within the Ötztal Alps, including the Vernagtferner. We processed photogrammetric data from airborne surveys for 2009, 2015, and 2018, thus covering a period of 9 years. This study presents, to our knowledge, a unique approach to correct differences in acquisition dates between photogrammetric and glaciological data. This is achieved by employing an additional unmanned aerial vehicle (UAV) survey and incorporating meteorological information. This calibration allows a parametrization between photogrammetry and glaciology, revealing further potentials for these two techniques for accessing a more accurate insight into glacial retreat.

## 65 2 Study Area

The Ötztal Alps are located in the central-eastern Alps and represent one of Austria's most extensive glaciated regions, covering a range in altitude between 1700 to 3768 meters above sea level (m.a.s.l.) and more than 250 km<sup>2</sup> (Fig. 1). It combines the upper regions of the drainage basins of Rofental, Pitztal, and Kaunertal. The location in the inner part of the Alps leads to relatively low precipitation amounts (Fliri, 1975), which for example, reach mean values of 660 mm yr<sup>-1</sup> at the valley station Vent in 1969-2006 (Abermann et al., 2009). For some of the 23 glaciers within the study site, glaciologic mass balance measurements exist. One of the longest series of measurements can be found at the Vernagtferner, where regular monitoring by the Bavarian Academy of Sciences and Humanities (BAdW) began in 1965 (Escher-Vetter et al., 2009). This glacier is characterized by several sub-basins, which were connected to a single glacier tongue in former times. In 2018, the glacier covered almost 7 km<sup>2</sup> within an altitude range between 2860 m.a.s.l. and 3570 m.a.s.l.. The mass balance is determined by the glaciological method, using measurements at ablation stakes, manual and geophysical depth soundings, and retrieving information from snow and firn pits. The annual and winter mass balances are determined independently of each other by measurements on the fixed dates of 1 May and 30 September, the dates of the glaciological balance year (Cogley, 2010; Cogley et al., 2011). Besides, there is a long history of geodetic mapping at the Vernagtferner dating back to 1889 (Mayer et al., 2013a).



**Figure 1:** Study site in the Ötztal Alps; Red: area covered by aerial surveys; Blue: area of the Vernagtferner covered by the UAV Survey; Image source: ESRI (2020)

### 3 Data Acquisition

#### 3.1 Photogrammetric data

In Austria, cadastral aerial surveys are conducted by the BEV with a nominal resolution of 0.2 m. We use a BEV survey from 2015 as a basis for our investigations. Besides, surveys performed by 3D RealityMaps in 2009 and 2018 with the same or higher ground resolution are investigated. Table 1 shows the most relevant information on the conducted air surveys.

In addition to the airplane-based surveys, a smaller test site was covered by an UAV flight to retrieve high-resolution data at another acquisition date closer to the maximum of ablation in 2018 (Table 1). The processed area covers 6 km<sup>2</sup> containing most of the Vernagtferner, including all glacier tongues. The glacier was almost snow-free at the time of the acquisition, which provides optimal processing conditions.

**Table 1: Overview of the aerial data acquisitions**

Date	Platform	Area [km <sup>2</sup> ]	Overlap (forward:lateral) [%]	Resolution [cm]	Images [Count]	Image type	Camera
09.09.2009	Airplane	257	80:40	20	381	TIF RGB 8bit	UltraCam XP
03.08.2015	Airplane	330	80:50	20	572	TIF RGBI 16bit	UltraCam XP
21.09.2018	Airplane	260	80:60	20	428	TIF RGBI 16bit	UltraCam Eagle Mark 2
21.08.2018	UAV	6	80:80	5	1992	JPEG RGB 8bit	UMC-R10C

#### 3.2 Glaciological mass balance data

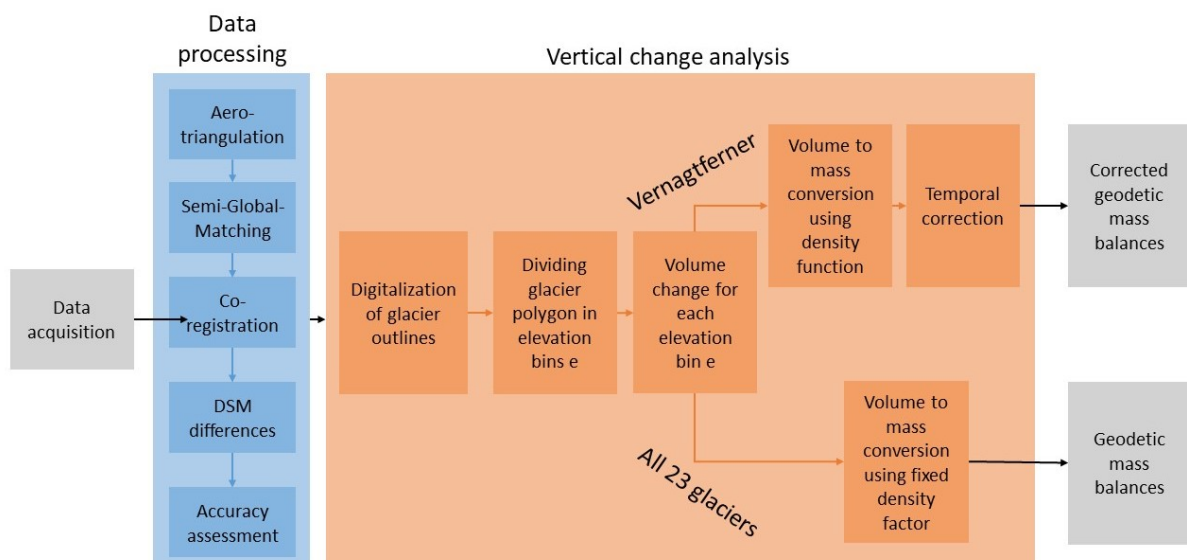
Glaciological mass balance data is gathered as stake readings from ablation stakes for estimating the ice melt across the glacier. Snow depth and last season firn deposits are determined from mechanical depth soundings with metal probes, which are then combined with density information from snow and firn pits to calculate the water equivalent of the remaining snow and firn cover. While stake readings only require two length measurements per stake with an uncertainty of typically about 1 cm, more significant errors are included in the direct accumulation measurements due to uncertainties in the sample volume (about 5%) and the determination of density by using spring scales (about 4%). Therefore water equivalent accuracy is about 6% (Zemp et al., 2013). The typical number of stakes used for the annual mass balance measurements at the Vernagtferner is about 35, while 4-5 accumulation measurements are collected at the end of the glaciological year, 30 September. The equilibrium line, which represents a mass balance of zero, is derived by comparing oblique terrestrial photographs of the transient snow line and firn extent with optical remote sensing information close to the field measurements' date. The derived equilibrium line has a horizontal location accuracy of about 10 m. Glacier boundaries for delineating the spatial mass balance distribution are derived from aerial surveys repeated roughly every decade, updated in the ablation

region by annual GNSS (Global Navigation System Services) measurements of the glacier tongue geometry. The spatial error of these measurements is usually better than 1 m. The information from the stake readings, the depth soundings, the snow and firn pits, and the location of the equilibrium line are combined to interpolate the spatial distribution of the glacier mass balance into a raster file. Due to the sparse information in the accumulation region, it is necessary to manually correct the interpolation results in this region with the knowledge of the long-term accumulation patterns, which are rather persistent. Errors introduced by uncertainties in the accumulation region, however, are relatively small, especially during the recent decade where the accumulation area ratio is usually well below 30 %. While ablation varies between 0 and up to 4.5 m w.e.  $a^{-1}$  in the ablation area, accumulation only varies between 0 and about 0.3-0.4 m w.e.  $a^{-1}$  in the accumulation area. Within this study, we assumed the error of the interpolated glaciologic raster to be 0.1 m. w.e.  $a^{-1}$ , which is in accordance with Zemp et al. (2013). It must be noted that this relatively large error within the accumulation area will only affect the final mass balance by less than 2 %.

## 4 Methods

### 4.1 Photogrammetric workflow

To determine geodetic glacier mass balances from aerial and UAV images, we used a workflow consisting of two main modules: the data processing (sect. 4.1.1) and the vertical change analysis (sect 4.1.2; Fig. 3). The main goal of the data processing module was the reconstruction from raw imagery data into 3D point clouds. Digital surface models (DSM) and true orthophoto mosaics (TOM) were then derived from these point clouds. Finally, DSM differences were computed. Within the vertical change analysis module, geodetic glacier mass balances were computed from the DSM differences.



**Figure 2: Full workflow; Blue: From raw images to DSM differences; Orange: From DSM differences to overall and altitudinal mass balances**

#### 4.1.1 Data processing

The first step in data processing was the aerotriangulation, which consists of the orientation of the aerial imagery to the real terrain. Modern photogrammetric survey systems deliver highly accurate positions using GNSS and orientation (IMU, inertial measurement unit) information for each image, which were also included in the aerotriangulation for the georeferencing. Finally, at least 20 tie points were manually identified for each image block to enhance the orientation accuracy. For the large format imagery, the aerotriangulation was performed using the software *Match-AT* by Trimble. For the UAV imagery, the software *Metashape Professional* from Agisoft was used.

The second stage of data processing was the generation of point clouds through three-dimensional reconstruction. For this purpose, the state-of-the-art Semi-global-matching algorithm (Heipke, 2017; Hirschmüller, 2019) was used. This algorithm was implemented within the software *SURE* (nFrames), which generates DSM and TOM from the point clouds. The horizontal shift of the derived TOM and DSM was computed based on ground control points from the BEV and lies between 10 and 20 cm depending on the acquisition year and thus within the ground resolution of the images. Due to these excellent values, only a vertical co-registration of the DSM differences was applied. Therefore, existing systematic height shifts between all DSMs were derived using 50 stable points (e.g., solid rock) outside the glaciers. 21 stable points were used for the UAV-survey. The 2015 DSM was chosen as the reference because it was derived from the official Austrian cadastral survey and is referenced to the Austrian national survey system. Based on this mean vertical shift over stable ground, all DSMs except for the reference DSM were adjusted in height relative to the reference DSM of 2015. Subsequently, the DSM differences 9/2018–8/2015, 8/2015–9/2009, 9/2018–9/2009, and 9/2018–8/2018 were computed and named after their acquisition dates.

#### 4.1.2 Vertical change analysis

The goal of the vertical change analysis (Fig. 2, orange part) was to quantify elevation changes  $\Delta h_t$  within our study area from the DSM differences of different time periods  $t$ . By integrating  $\Delta h_t$  over a specific area  $S_t$ , volume change  $\Delta V$  was determined by the following equation, where  $r$  is the pixel size (Fischer, 2011; Zemp et al., 2013):

$$\Delta V_t = r^2 * \int_0^{S_t} \Delta h_t \quad (1)$$

To derive overall geodetic glacier mass balances  $B_{\text{geod},t}$ ,  $\Delta V_t$  was determined with  $S_t$  being the area at the beginning of the respective period  $t$  (Fischer, 2011).  $S_t$  was digitized visually using TOMs at a scale of 1:2.000. For the following volume to mass conversion, we used the density assumption proposed by Huss (2013) ( $\bar{\rho} = 850 \text{ kg m}^{-3} \pm 60 \text{ kg m}^{-3}$ ) for all glaciers.

$$B_{\text{geod},t} = \frac{\Delta V}{\bar{S}} * \frac{\bar{\rho}}{\rho_{\text{water}}} \quad \text{with} \quad \bar{S} = \frac{S_{t=\text{begin}} + S_{t=\text{end}}}{2} \quad (2)$$

To allow a detailed analysis of the altitudinal dependencies of the glacier mass balances, the glacier area was divided into 10 m elevation bins  $e$ . For each bin, the geodetic mass balance  $B_{\text{geod},e,t}$  was derived with the same equations (see Eq. 1, 2 and Zemp et al. (2013)).

For the Vernagtferner, the altitude of the equilibrium line altitude (ELA) is known from intense glaciologic surveys on an annual basis (BAdW, 2019). It lies at 3217 m.a.s.l. for the period 2009–2015, 3278 m.a.s.l. for the period 2015–2018 and 3237 m.a.s.l. for 2009–2018. Thus, we were able to use an altitude-related density function  $\bar{\rho} =$

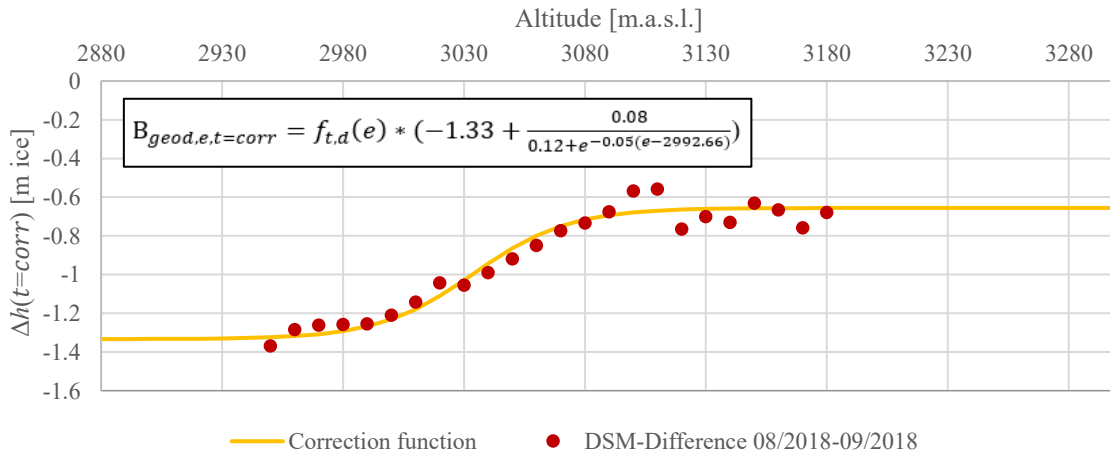
$f_{t,d}(e)$  for converting surface changes to mass relative to the altitude of the ELA. This density function  $f_{t,d}(e)$  [kg m<sup>-3</sup>] represents the gradual change from ice density (900 kg m<sup>-3</sup>) in the ablation region to firn density (550 kg m<sup>-3</sup>) (Cogley et al., 2011)) in the accumulation region with elevation  $e$ , by using a linear transition zone of  $\pm 50$  m around the ELA of the respective period  $t$ :

$$f_{t,d}(e) = \begin{cases} 550 & \text{for } e > \text{ELA} + 50 \text{ m} \\ 725 - 3.5 * (e - \text{ELA}_t) & \text{for } e \text{ between } \text{ELA} \pm 50 \text{ m} \\ 900 & \text{for } e < \text{ELA} - 50 \text{ m} \end{cases} \quad (3)$$

## 175 4.2 Comparison with glaciological data

To allow a comparison of both, the geodetic and glaciologic mass balances, annual glaciological mass balances were accumulated to the periods 09/2009-09/2015, 09/2015-09/2018, and 09/2009-09/2018 and reanalyzed according to the Steps 1 to 4 in Zemp et al. (2013). Thus, mean annual mass balances of the respective periods were derived as well as systematic and random errors (sect. 3.2, 4.3) for all geodetic datasets following Nuth and  
180 Kääb (2011) (Sect. 4.3). Because one main objective of this paper was to analyze systematic differences between the two methods, iterative adjustment and calibration of the data (Step 5-6, Zemp et al. (2013)) was not performed. The remaining temporal differences between the photogrammetric and glaciologic acquisition times were addressed using an additional photogrammetric DSM difference. A regression (sigmoid, see Fig. 3) was performed for deriving the surface elevation change  $\Delta h_{k,t=\text{corr}}$  (Table 2). By multiplying  $\Delta h_{t=\text{corr}}$  with the altitude related  
185 density assumption  $f_{t,d}(e)$  (Eq. 3) the mass balance  $B_{\text{geod},e,t=\text{corr}}$  for all elevation bins  $e$  and the correction period  $t=\text{corr}$  (08/2018–09/2018) was derived. Only those altitude levels were considered for the regression, which were at least 40 % covered by the UAV survey. The standard deviation (SD) of the regression is 0.07 m ice (Fig. 3). Above 3180 m.a.s.l., thus, for the accumulation areas, the correction function is not based on any data and is therefore error-prone.

190



**Figure 3: Surface changes in 10 m altitude bins for the period 08/2018–09/2018 (red dots); Regression curve (yellow), representing height changes related to the altitude; SD of the regression is 0.07 m ice.**

To transfer this information to other time periods, we used temperature time series measured at a climate station  
195 close to the Vernagtferner at 2640 m.a.s.l.. Positive Degree Day Sums (PDD<sub>e,t</sub>) [°C] were computed for all elevation bins  $e$  and time periods  $t$ , the geodetic and glaciologic method needed a correction for (Table 2). For this

step, we assumed the vertical lapse rate of the air temperature to be -0.6 °C per 100 meters of altitude. This allowed the Degree Day Function (DDF) to be determined for all elevation bins  $e$  (Eq. 4). More information on this method can be found, for instance, in Braithwaite and Zhang (2000) and Hock (2003).

$$DDF_e = \frac{B_{geod,e,t=corr}}{PDD_{e,t=corr} * d_{t=corr}} \quad (4)$$

200 The geodetic mass balance for the correction periods  $B_{e,t}$  could then be determined for the time periods  $t$  of length  $d_t$  and in all elevation bins  $e$ :

$$B_{geod,e,t} = DDF_e * PDD_{e,t} * d_t \quad (5)$$

Subsequently, the DSM differences  $B_{geod,e, 09/2009 - 08/2015}$ ,  $B_{geod,e, 08/2015 - 09/2018}$ , and  $B_{geod,e, 09/2009 - 09/2018}$  were corrected according to Table 2.

205 **Table 2: Correction parameters (left) of all correction periods  $t$  and the applied corrections to all geodetic mass balances (right).**

t	Acquisition date photogrammetric data		$d_t$ [days]	$PDD_{e=2870 \text{ m.a.s.l.}, t}$ [°C]	Applied Corrections
1	09.09.2009	30.09.2009	21	82.45	$B_{geod,e,09-15} = B_{geod,e, 09/2009 - 08/2015} - B_{geod,e,t=1} + B_{geod,e,t=2}$ $B_{geod,e,15-18} = B_{geod,e, 08/2015 - 09/2018} - B_{geod,e,t=2} + B_{geod,e,t=3}$ $B_{geod,e,09-18} = B_{geod,e, 09/2009 - 09/2018} - B_{geod,e,t=1} + B_{geod,e,t=3}$
2	03.08.2015	30.08.2015	27	280.76	
3	21.09.2018	30.09.2018	9	46.58	
corr	21.08.2018	21.09.2018	3	176.81	

The overall geodetic mass balances  $B_{geod, t}$  of the Vernagtferner was then derived from the mass balances of the single elevation bins  $e$  and their respective area  $S_{e,t}$  (Zemp et al., 2013):

$$B_{geod,t} = \frac{\sum_{e=1}^E B_{geod,e,t} * S_{e,t}}{S_t} \quad (6)$$

210 Finally, accumulated glaciologically derived rasters  $B_{glac,t}$  (sect. 3.2) were subtracted from the adjusted geodetic mass balances  $B_{geod,t}$ . The resulting Variation Rasters  $Var_t$  show the spatial deviations between the two methods, where negative values occur for areas where  $B_{glac,t} > B_{geod,t}$  and positive values for the opposite relation.

$$Var_t = B_{geod,t} - B_{glac,t} \quad (7)$$

Using this Variation Raster, we analyzed spatial deviations between both methods that occur due to differences in the individual methods and related errors (e.g., neither including the supra-glacial debris cover or crevassed areas for surface ablation nor dynamic processes within the glaciologic method). Therefore, we digitized the respective areas on the Vernagtferner by using the geodetically derived TOMs of 2009 and 2018 and computed the mean variation (difference between the geodetic and the glaciologic mass balances) by using the Variation Raster (Eq. 7) as well as Eq. 1 and 2. By comparing this mean variation with the mean variation of areas within the same elevation bin, we estimated the magnitude of error introduced by neglecting those areas within glaciological mass balances.

### 220 4.3 Vertical accuracy assessment

To assess the error distribution within the study area after the coregistration, 1.5 km<sup>2</sup> of ice-free, stable terrain was analyzed, representing a wide range of slope, aspect, and altitude. The mean shift and the SD within those areas were calculated, and their relation to topography was investigated, following Nuth and Kääb (2011). To estimate the error when averaging over extended areas, we followed Rolstad et al. (2009) by assessing the spatial covariance



of the elevation differences using semivariograms. For the application of the method, we assumed that elevation differences are constant in space, do not contain any large-scale trends, and that there is no significant variation of the variance in space.

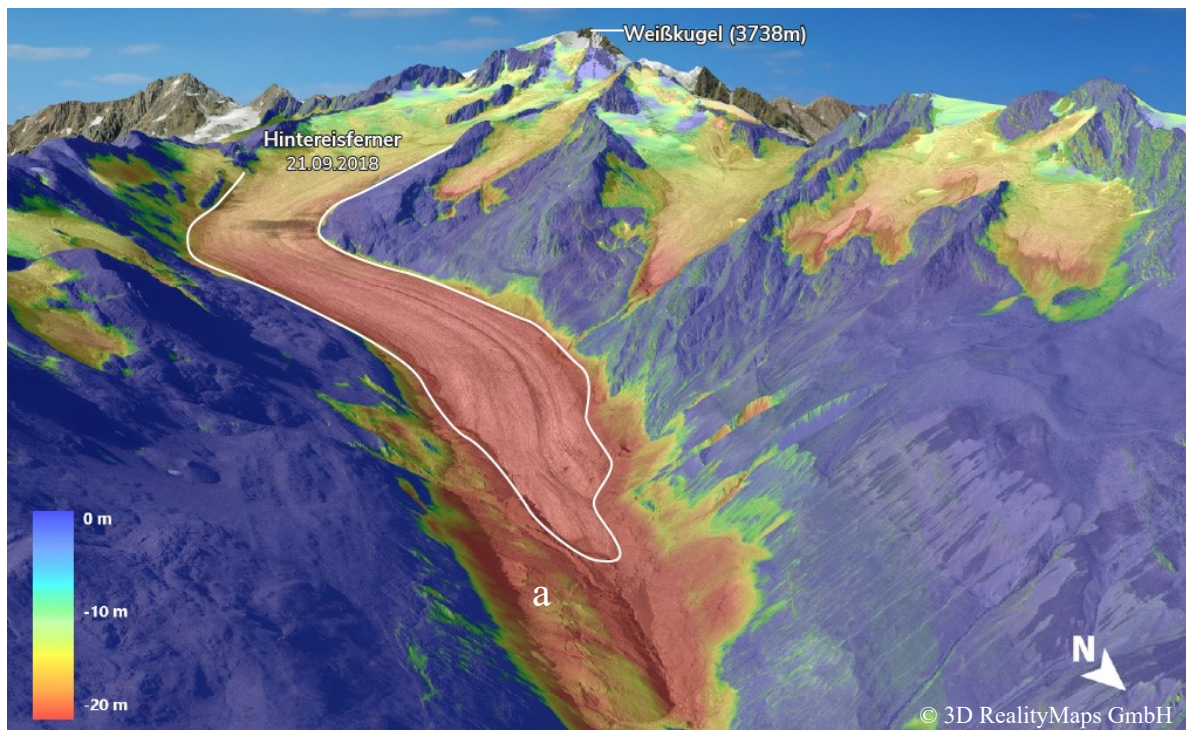
Basic error propagation was implemented (Nuth and Kääb, 2011; Zemp et al., 2013) to determine the compound error of DSM differences, density conversion (7 %, sect. 4.1.2, Huss (2013)), the correction function of the acquisition dates ( $SD = 0.07 \text{ m ice a}^{-1}$ , sect. 4.2) as well as the error associated to the glaciological interpolation raster (sect. 3.2) for all presented results. The error within this study is indicated by the 95% confidence interval.

## 5 Results

### 5.1 Vertical Change Analysis

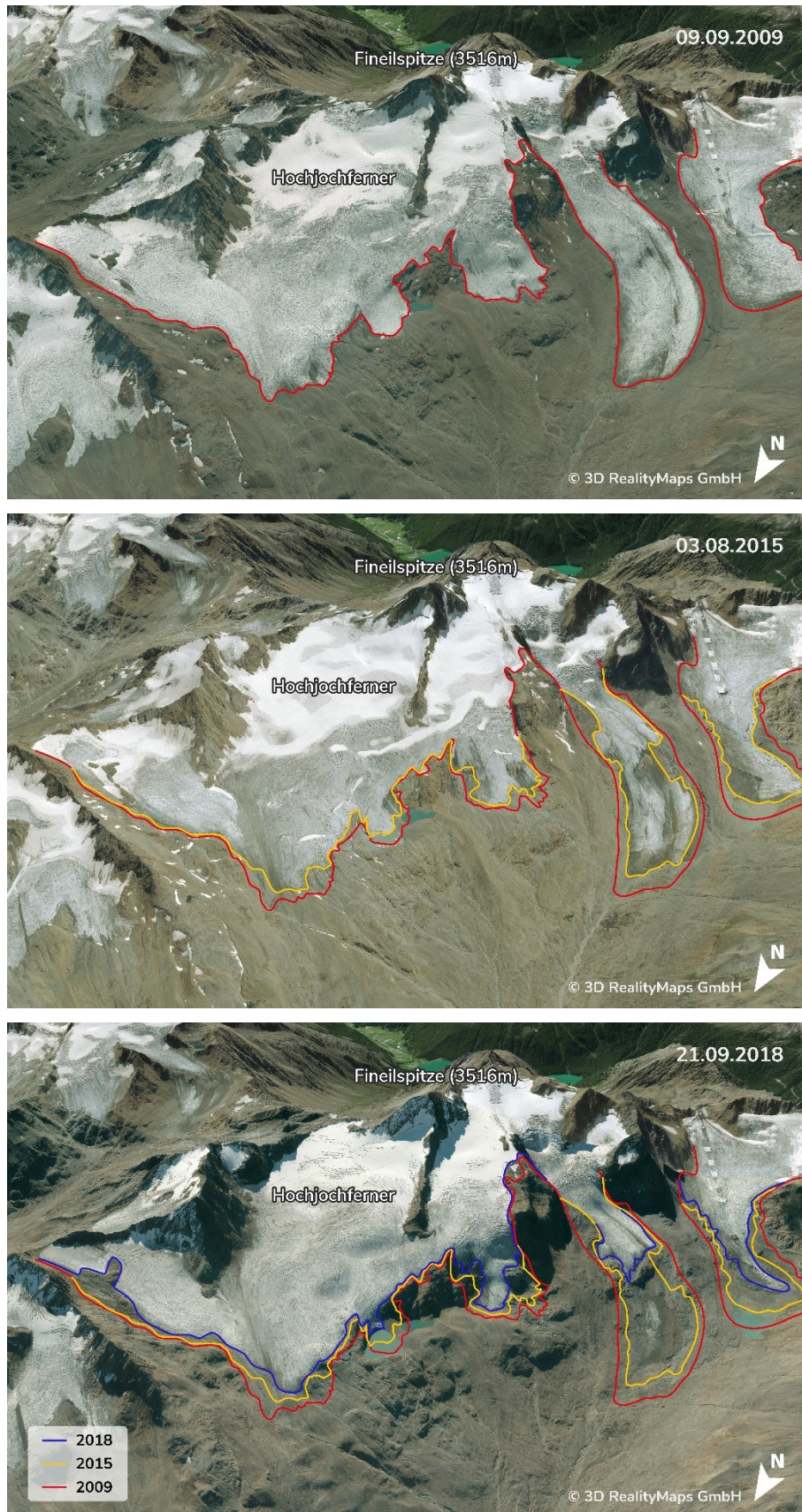
#### 5.1.1 Visual assessment

Using the derived glacier outlines, TOMs, and DSM differences, the first results are obtained by a visual interpretation for the entire study area. In general, glaciers have thinned and reduced in size. For instance, surface height changed at the glacier tongue of the Hintereisferner by up to  $-20.4 \pm 0.4 \text{ m}$  during the nine years from 09/2009 to 09/2018 (Fig. 4). With increasing altitude, the loss of height on the glacier approaches zero. A dead ice body can be seen close to the glacier tongue (Fig. 4a). Analyzing the TOM of the three surveys reveals that the eastern part of the Hochjochferner lost a considerable area along its lower glacier margin. Especially its main tongue shortened by  $826.4 \pm 0.2 \text{ m}$  between 2009 and 2018 (Fig. 5). Further visualization of the DSM differences can be assessed at <https://og.realitymaps.de/AlpSense/>.



**Figure 4: 3D representation of the height differences in meter (m) between the DSMs of 09/2009 and 09/2018 for Hintereisferner (white outline). A dead ice body is visible south-east of the glacier tongue (a). Surface height differences are color-coded. Ice loss is especially high at altitudes below 2.500 m.**



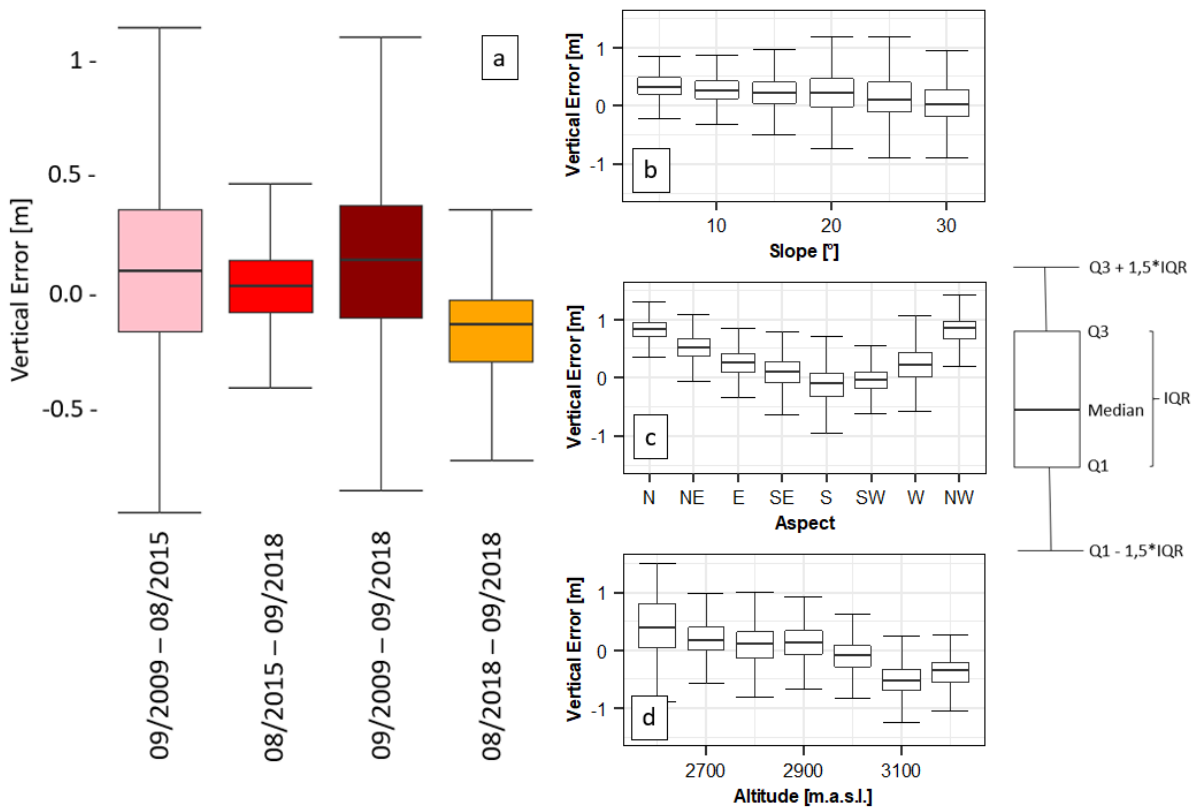


**Figure 5: 3D visualization of the length change for the Hochjochferner in 2009 (top), 2015 (middle), and 2018 (bottom); Lines indicate glacier extent for the respective years. The glacier tongue in the center-right lost 826 m in 9 years.**

## 250 5.1.2 Vertical accuracy assessment

The accuracy assessment conducted (sect. 4.3) allows an estimation of potential error related to the DSM differences and derived products. In general, the mean vertical error of the DSM differences ranges from -0.16 m to 0.1 m with SD not exceeding 0.42 m (Fig. 6a). As expected, SD increases with the slope (Fig. 6b). An apparent increase of the SD can also be found in lower altitudes (< 2600 m.a.s.l., (Fig. 6d), most likely due to the increasing  
 255 influence of vegetation. A relation between aspect and the vertical error was found (Fig. 6c), which can be attributed to the horizontal shift of the DSMs (see sect. 4.1.1) (Nuth and Kääb, 2011).

Based on the error assessment, the confidence interval for all results within this paper was determined. Therefore, semivariograms were computed for the 1.5 km<sup>2</sup> bedrock areas for all observation periods, from which the range-value (100 m) was conducted and converted to the correlated area value (31416 m<sup>2</sup>). For more detailed information  
 260 on this method, see Rolstad et al. (2009).



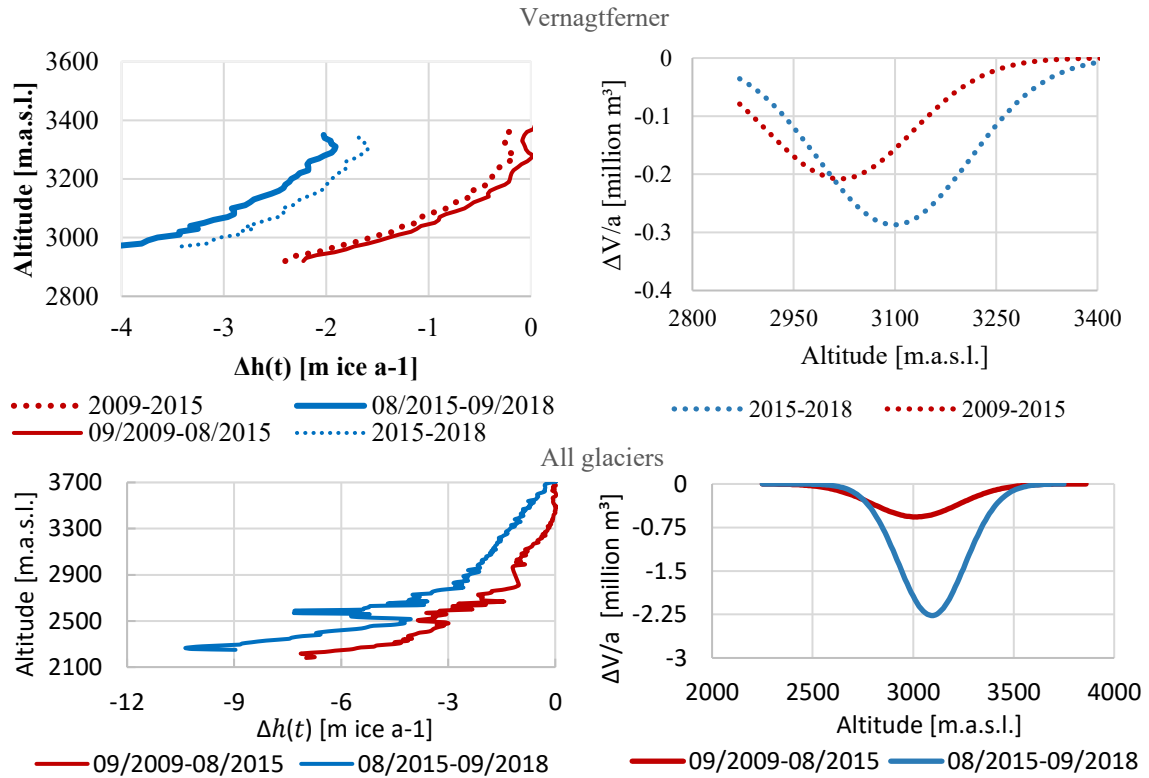
265 **Figure 6: Error assessment of the DSM differences in general (a) and in relation to topography (right); Boxplots in the right column have been plotted using the DSM difference 09/2009- 08/2015**

## 5.1.3 Mass balances

Volumetric changes  $\Delta V_{t,e}$  and height changes  $\Delta h(t,e)$  for every elevation bin  $e$  were derived for all periods  $t$  and all glaciers, before and (for the Vernagtferner only) after the correction of acquisition dates (Eq. 1 – 2, Fig. 7). Before and after the temporal correction, the annual height changes per elevation bin show a characteristic shape  
 270 with height changes being the most negative at the glacier tongues. The period between 2009 and 2015 generally shows less negative values than the period 2015 and 2018 in all altitudes for all glaciers (Fig. 7, bottom left) and

the Vernagtferner (Fig. 7, top left). After the correction, the difference in height change between the two periods is considerably smaller.

Compared to the height changes, the most negative volume change occurs in higher elevations since it is linked to the area-height-distribution of a glacier. After correcting for the acquisition dates, based on a regression curve (Gaussian fit) for the values of the Vernagtferner, between 2009 and 2015, the most negative volume change of  $-0.21 \pm 0.01$  million  $\text{m}^3 \text{a}^{-1}$  occurred at an altitude of 3009-3019 m.a.s.l.. Between 2015 and 2018, the most negative volume change further decreased to  $-0.29 \pm 0.02$  million  $\text{m}^3 \text{a}^{-1}$  at an increased altitude of 3089-3099 m.a.s.l. (Fig. 7, top right). The same trend was found for all glaciers within the study area, although no correction was applied to those values (Fig. 7, bottom right).

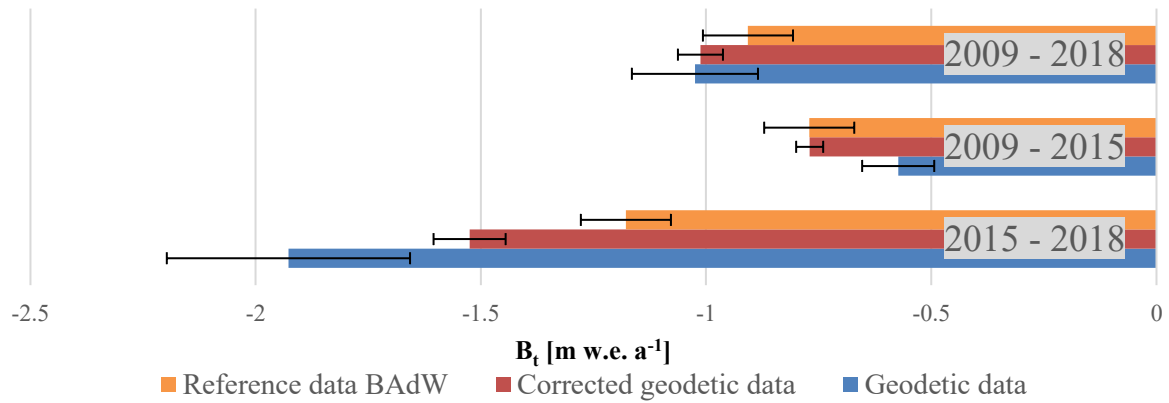


**Figure 7:** Top left: Annual height changes  $\Delta h(t)$  per altitude for the Vernagtferner, Bottom left: Surface height changes of all glaciers; Right: annual volume changes for the Vernagtferner (top) and all glaciers (bottom); Dotted lines represent the surface or volume changes after the correction of the acquisition dates.

## 5.2 Comparison with glaciological measurements

The geodetic and glaciological mass balances of the Vernagtferner were further compared to detect discrepancies in spatial distribution or magnitude between both methods presented. As already noted, the overall mass balance for the Vernagtferner is more negative in the period 2015-2018 than in the period 2009-2015. This can be seen in both, the glaciological and the geodetic mass balances (Fig. 8). The uncorrected geodetic mass balance (blue) does not equal the corresponding glaciological mass balance (orange). After the correction of acquisition dates (red), the geodetic mass balance has approached the glaciological data. However, the corrected data is still more negative for 2015-2018 and 2009-2018. For the period 2009-2015, corrected geodetic and glaciologic mass balances match.

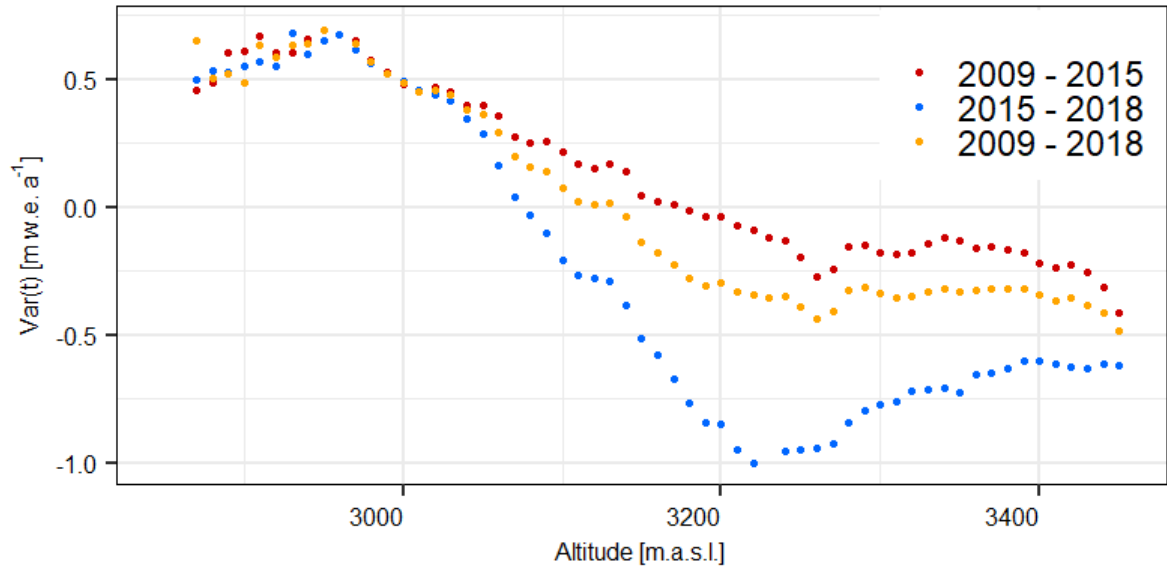




**Figure 8: Comparison between geodetic mass balances (blue) and glaciological mass balances (orange) for the investigation periods. Red bars visualize corrected geodetic data.**

The spatial differences between both methods were further investigated using the Variation Raster described in sect. 4.2.. It is noticeable that the Variation Raster is more positive (thus  $B_{glac,t} < B_{geod,t}$ , see Eq. 7) in debris-covered areas compared to its surrounding cells (red in Fig. 10). Since no ablation stakes are located in supraglacial debris at the Vernagtferner and glaciological mass balances are interpolated from surrounding information on clean ice, the effect of debris is neglected within the glaciologic method. Debris cover above a certain thickness protects the glacier against incoming heat fluxes (Östrem, 1959) and therefore reduces the ablation. With our Variation Raster, we were able to quantify the effect of neglecting debris-covered areas within the glaciological interpolation: For 2018, a total area of 91350 m<sup>2</sup> (1.5 % of the total area of the Vernagtferner) at a mean altitude of 3040 m.a.s.l. was classified as debris cover. The variation between the geodetic and glaciologic method for those debris-covered areas is  $5.02 \pm 0.89$  m w.e. a<sup>-1</sup> and thus more positive than the mean variation at the respective altitude  $0.38 \pm 0.27$  m w.e. a<sup>-1</sup> (period 2009–2018, see Fig. 9). Considering the difference of those values, the overall glaciologic mass balance of 2009-2018 would be  $0.07$  m w.e. a<sup>-1</sup> (7.7 %) less negative if debris-covered areas were considered in the interpolation.

Further analysis of the Variation Raster (Fig. 10) emphasizes that geodetic and glaciologic mass balances do not only differ due to debris cover or other local effects. More precisely, it is evident that the deviations depend on the altitude. Thus, in the lower reaches of the glacier, the geodetic mass balance is less negative than the glaciological mass balances. For higher altitudes, the geodetic mass balance more negative than the glaciologically derived ones. This pattern is usually attributed to the ice dynamic component of elevation change contained in the geodetic differences (submergence and emergence of ice and firn). Additionally, Fig. 9 clearly shows that comparing the different observation periods, the bias between both methods becomes larger within the accumulation areas.

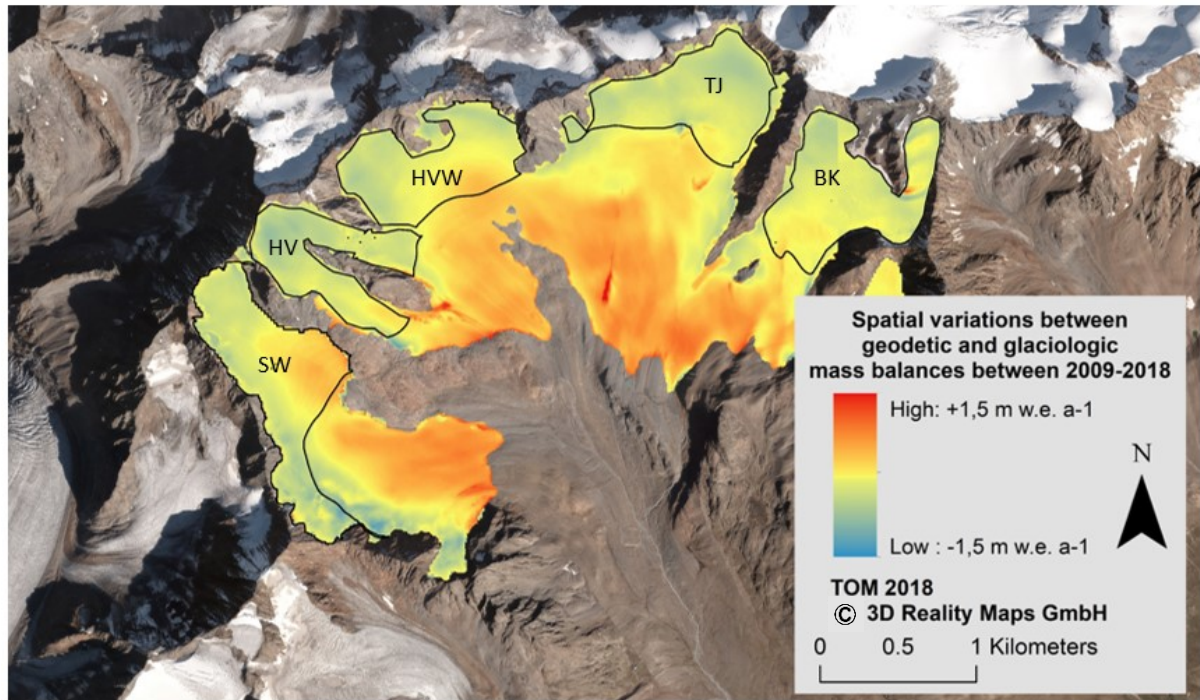


320 **Figure 9: Methodical variations between geodetic and glaciologic mass balances, depending on the altitude [m w.e. a<sup>-1</sup>] for all periods t; Positive values are present where  $B_{glac,t} < B_{geod,t}$ , negative values are present for the opposite relation, see Eq. 7.**

Assuming all variations between geodetic and glaciologic derived mass balances (Fig. 9) are caused by dynamic processes, the magnitude of emergence and submergence is computed using the Variation Raster and Eq. 1 and 2.

325 Between 2009 and 2018, the mean emergence (between 2900 m.a.s.l. and 3050 m.a.s.l.) is  $0.55 \pm 0.25$  m w.e. a<sup>-1</sup>, with a most positive value being  $0.7 \pm 0.25$  m w.e. a<sup>-1</sup>. Submergence occurs at altitudes higher than 3150 m.a.s.l. and results in a mean of  $-0.31 \pm 0.25$  m w.e. a<sup>-1</sup>, with the most negative value being  $-0.48 \pm 0.25$  m w.e. a<sup>-1</sup> (Fig. 10). For a glacier in balance, the change between submergence and emergence regions occurs close to the equilibrium line. However, the mean ELA of the Vernagtferner is about 3250 m for the period 2009-2018 and thus  
 330 roughly 150 m higher than the switch between apparent emergence and submergence.

When comparing the five different accumulation basins of the Vernagtferner the derived submergence is more negative for higher basins with the largest offsets for the remaining true accumulation regions Hochvernagt and Taschachhochjoch (Figure 10).



	Accumulation Area	Mean submergence between 2009 and 2018 [m w.e. a <sup>-1</sup> ]	Area > 3150 m.a.s.l. [km <sup>2</sup> ]
SW	Schwarzwand	- 0.26	0.69
HV	Hochvernagt	- 0.38	0.44
HVW	Hochvernagtwand	- 0.30	0.54
TJ	Taschachhochjoch	- 0.41	0.56
BK	Brochkogel	- 0.28	0.62
VN	<b>Vernagtferner (total)</b>	<b>- 0.32</b>	<b>2.85</b>

Figure 10: Top: Variation Raster 2009–2018: Spatial variations of the geodetic and glaciologic mass balance data; Blue areas with negative variations represent areas where  $B_{\text{geod},t} < B_{\text{glac},t}$ . In red areas, the opposite relation is present (see Eq. 7). For regions that appear yellow, both methods present similar height changes; Black outlines represent the different accumulation areas of the Vernagtferner; The mean variation is given for each accumulation area in m w.e. a<sup>-1</sup>, having an associated error of  $\pm 0.25$  m w.e.

### 5.3 Other glaciers within the study site

The major advantage of geodetic mass balances compared to glaciological mass balances is that large areas can be investigated in great spatial detail. To highlight this benefit, we investigated the geodetic mass balance of all glaciers in the study area (sect. 4.1.2). The mean geodetic mass balance of all glaciers is  $-0.46 \pm 0.06$  m w.e. a<sup>-1</sup> for all glaciers 09/2009–08/2015, while it quadruples to  $-1.59 \pm 0.21$  m w.e. a<sup>-1</sup> between 08/2015 and 09/2018. For the whole period (09/2009–09/2018), the mean geodetic mass balance of all glaciers is  $-0.84 \pm 0.11$  m w.e. a<sup>-1</sup>. It must be noted that there was no correction applied for the acquisition times. Accordingly, the mass balances do not refer to glaciological data.

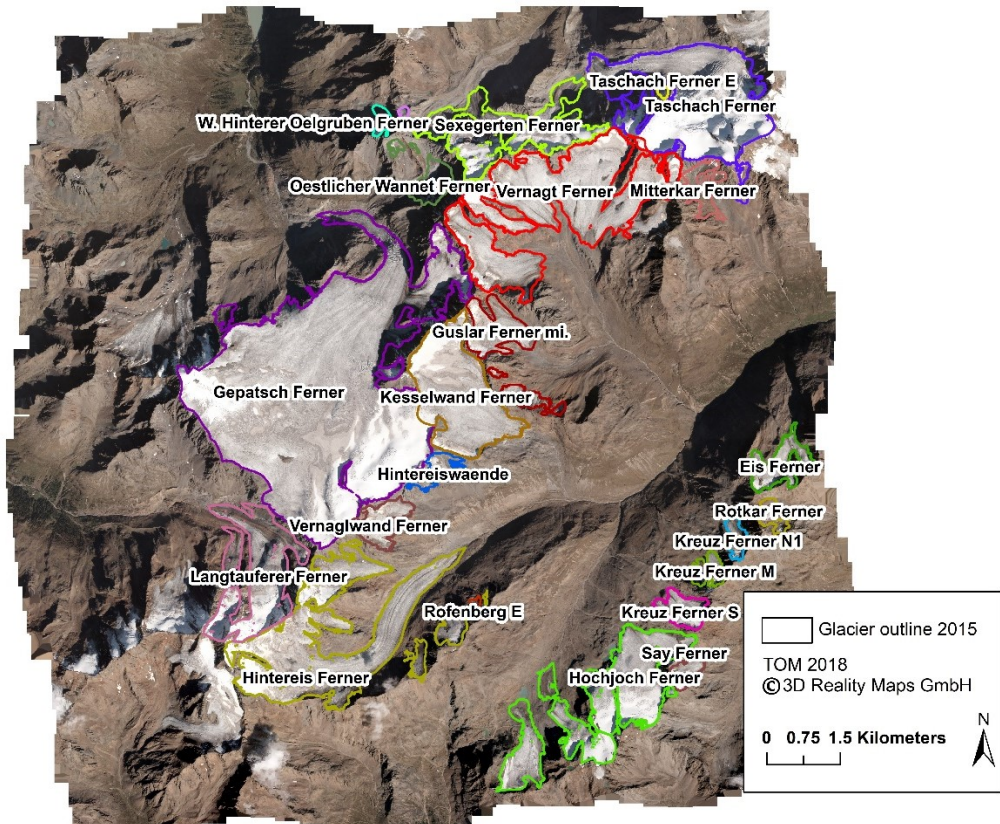
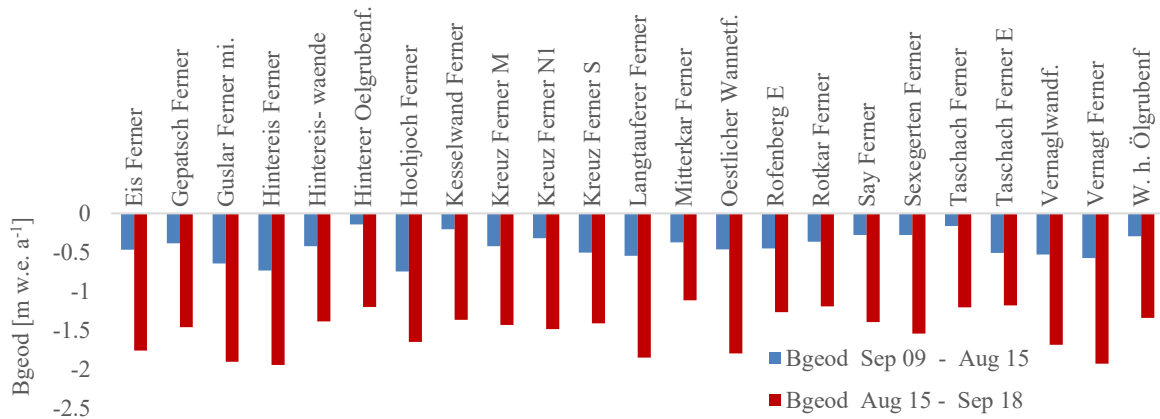
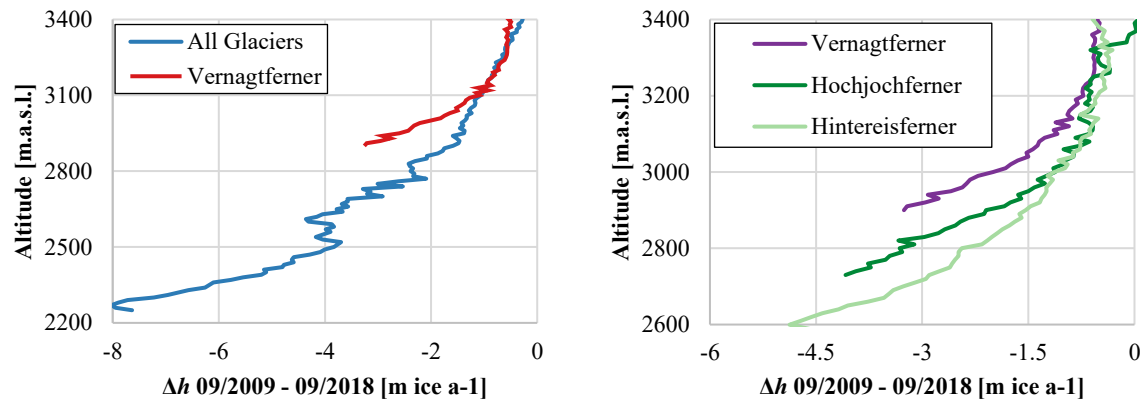


Figure 11: Annual height changes per altitude between 09/2009 and 09/2018 for the Vernagtferner compared to (i) all glaciers within the study area (top left) and (ii) to the Hochjochferner and Hintereisferner (top right); Comparison of geodetic mass balances of all glaciers within the study area for the two investigation periods (middle)



If a time correction is assumed to have a similar influence on the annual mass balances of other glaciers as it had for the Vernagtferner (-34 % for 09/2009-08/2015, +20 % for 08/2015-09/2018, and +1.2 % for 09/2009-09/2018, Fig. 8), the mean mass balances of all glaciers can be estimated. Accordingly, the mean annual glacier mass balance within the study area would be  $-0.61 \pm 0.07$  m w.e.  $a^{-1}$  (2009-2015),  $-1.25 \pm 0.14$  m w.e.  $a^{-1}$  (2015-2018) and  $-0.83 \pm 0.1$  m w.e.  $a^{-1}$  (2009-2018). Thus, the geodetic mass balance of the Vernagtferner for the same periods is 25 %, 21 %, and 22 % more negative than the mean of all glaciers (Fig. 8).

## 6 Discussion

This study investigated multitemporal changes in surface heights of selected glaciers in the Ötztal Alps with high spatial resolution. We derived geodetic mass balances for 23 glaciers within our study area and found that glacier mass loss accelerated within the last decade. At the same time, the absolute surface height changes showed a maximum at low altitudes for all glaciers (Fig. 7). Peak volume loss occurs at higher altitudes, compared to the altitude where maximum surface height losses occur since the volume is directly linked to the area-height-distribution of a glacier. These findings correspond to general observations (i) for the Vernagtferner (BAdW, 2019; Escher-Vetter, 2015) and (ii) for most of the glaciers in the Alps (Fischer et al., 2015b; Fischer et al., 2015a; Huss, 2012). For instance, we derived a geodetic mass balance of  $-1.14 \pm 0.02$  m w.e.  $a^{-1}$  for the Hintereisferner (Table A1) for the period 09/2009 – 09/2018. The annual glaciologic mass balance for the same period, measured by the Institute of Atmospheric and Cryospheric Sciences of the University in Innsbruck, is  $-1.238$  m w.e.  $a^{-1}$  (WGMS, 2020) and thus only 8% less negative.

We further evaluated the vertical surface height changes as a function of elevation (Fig. 7). Even though they cannot be compared to glaciologically derived vertical balance profiles directly, they illustrate the relation of height losses to altitude (Kaser et al., 2003; Pellikka and Rees, 2010). We found that the elevation change of all glaciers within our study site (i) is on average less negative compared to the Vernagtferner, (ii) is correlated to the altitude of the glacier tongue, and (iii) increased between 09/2009-08/2015 and 08/2015-09/2018 (Fig. 11). Besides glacier analysis, dead ice bodies can be mapped very well using high-resolution photogrammetric data. For instance, on the north-facing side of the valley, below the glacier tongue of Hintereisferner, a dead ice body is visible. The volume change of this dead ice body (Fig. 4) was determined for the period 2009 – 2018:  $(5.7 \pm 0.98) * 10^6$  m<sup>3</sup>.

The error assessment conducted reveals the high precision of the photogrammetric data with the SD of the vertical error not exceeding 0.42 m for all DSM differences (Fig. 6). The variation of the vertical error is normally distributed. A relation was found for the vertical error regarding the aspect, which is presumably based on the horizontal shift of the DSM (see sect.4.1.1). This relation to the aspect can cause a larger error than the value we suggest, especially for glaciers with homogeneous orientations. By applying a full coregistration, following Nuth and Kääb (2011), this rotational error could have been addressed. Nevertheless, the 95% confidence interval used in this study covers most of these variations. (Fig. 6). Semivariograms determined to assess the error for averaged values prove that this assumption was possible since semivariance approximates a clear sill (further information on the method can be found in Rolstad et al. (2009)).

We used the density assumption of Huss (2013) to convert height changes to water equivalent. The DSM differences 09/2009-09/2018 and 09/2009-08/2015 fulfill this assumption's prerequisites. This is not the case for the DSM difference 08/2015 – 09/2018 being a period shorter than three years. Thus, the density assumption for this period must be considered with caution. For the Vernagtferner, we applied a novel density function based on

the known altitude of the ELA. The presented density function is transferable to other glaciers once the elevation of the ELA is known. Since the ELA can be estimated from geodetic surveys (Vargo et al., 2017), the presented density function is also suitable for future research on glaciers for which no glaciological data are available. We recommend, however, adjusting the density values of ice and firn to the corresponding region.

We developed a robust methodology to adjust geodetic mass balances to the acquisition time of the glaciologic measurements (30 September). An additional UAV survey was conducted, which allowed assessing vertical height changes during one month of the ablation period in 2018 (Fig. 3). Therefrom, a regression function (sigmoid) was determined to estimate surface changes relative to the elevation. We incorporated meteorological data from a nearby climate station by using PDD for all relevant periods and elevation bins. Our regression function includes uncertainty for the accumulation areas since the UAV survey did not cover the entire glacier area. However, we showed that the presented workflow provides good results as they agree well with glaciological mass balances (Fig. 8). For transferability to other glaciers, individual correction functions from UAV surveys must be determined, as these are directly related to glacier-specific slope gradients, orientation, the height of the glacier tongue, and area-height distributions (Fig. 11). Additional UAV surveys, which are low-cost and more flexible, could enable the retrospective correction of recent geodetic mass balance data and improve the comparison with glaciologic mass balances. However, our method will perform best if the accumulation area ratio and area-height distribution remain similar between the period to be corrected and the period of the determined correction function. Thus, the retrospective correction is limited to a few years only. Best results can be expected if the UAV survey, thus the correction function, is conducted in the same season as the period that needs to be corrected.

Comparing the corrected geodetic with interpolated glaciologic mass balance rasters revealed local deviations (Fig. 10). They mainly occur in crevassed and debris-covered areas and thus agree with findings of other studies (Fischer, 2011; Pellikka and Rees, 2010). They originate in the lack of glaciologic ablation stakes that are not located in such areas, and thus the glaciologic method interpolates within those regions. For crevassed areas, the deviations found at the Vernagtferner are negligible. Regarding the influence of debris cover for the period 2009 - 2018, we found that glaciologic mass balance would be 7.7 % less negative if such regions would be considered within interpolation. Debris-cover might play an increasing role when debris-covered glacier areas increase due to further glacier decline (Scherler et al., 2018). This increases the need to conduct geodetic observations in addition to glaciological measurements because point measurements on debris-covered parts are not always representative. Surprisingly, the differences between geodetic and glaciological volume change in the accumulation areas do not reveal large spatial deviations, even though the glaciological results rely on very sparse in situ data. This demonstrates that the long-term accumulation conditions at Vernagtferner, which are known from former detailed investigations (Mayer et al., 2013a; Mayer et al., 2013b), are spatially relatively stable and can be used for scaling the point measurements. However, this comparison of geodetic and glaciological results in the accumulation region has the potential to improve the representation of spatial variability across these areas.

The deviations between the geodetic and glaciologic mass balances also showed a clear dependency on the elevation (Fig. 9) for all periods. These deviations are constant for the ablation areas for all periods but vary for the accumulation areas by a factor of three. We assumed that internal and basal melting is negligible, and the influence of temporal snowpack differences is small due to the long period of investigation and the rather small ratio of accumulation area. We thus interpret the variations as the large-scale dynamic processes submergence and emergence. They become quantifiable when looking at the mean variations per altitude of both methods (Fig. 9

and 10). It is noticeable that the observed switch between emergence and submergence (Fig. 9) is about 100 m below the ELA of the Vernagtferner for the respective period 2009-2018 (BAdW, 2019) and roughly 200 m below the ELA for the period 2015-2018. While the ELA increased between the two periods (2009-2015 vs. 2015-2018) by about 60 m, the change from submergence to emergence decreased by about 130 m. The reasons for this development are unknown but might indicate a further deviation from balanced conditions during recent years.

The derived submergence must be considered with high caution since it is neither validated with field measurements nor model results. Presented submergence values are also based on i) our applied correction with sparse data for the accumulation region, ii) on the interpolation of a few glaciologic stake readings, and iii) our assumption that internal and basal processes are negligible. Those values (Fig. 10) are thus error-prone and should only be referred to as a rough estimation. However, they show differences between the individual accumulation areas, which support glaciological observations (Lambrecht et al., 2011; Mayer et al., 2013b).

Future aerial image acquisitions aiming at calculating glacier mass balances must be as close as possible to each other (same date within the year) and preferably at the end of the ablation season. Ideally, these acquisitions are taken close to the standard glaciological mass balance data of 30 September to allow a direct comparison with available field information. It should be ensured that the survey covers the entire surface of the glacier. Since aerial image surveys require cloud-free weather, it will not always be possible to acquire the images on this exact date.

For future research in the presented field, we see great potential in reanalyzing glacier mass balances using existing aerial imagery. Since the late 2000s, European state surveying agencies have been carrying out cadastral surveys every two to four years with digital sensors and horizontal overlap of 80%, thus creating an immense multitemporal database of aerial imagery suitable for such purposes. The scientific and commercial consideration of those imageries would allow a three-dimensional reconstruction, mapping, and multitemporal analysis of vast areas in the Alps with a resolution in the order of decimetres. This paper presented a robust method to account for the resulting differences in acquisition dates and to project geodetic mass balances to full glaciologic periods.

## 7 Conclusion

This study demonstrates the potential of aerial images and the resulting DSM's for analyzing glacier retreat in great spatial and temporal detail. For all 23 glaciers within the study area, geodetic mass balances were derived with 0.2 m spatial resolution. It was shown that the glacier retreat does not only take place in low altitudes, but that even high accumulation basins are meanwhile affected due to non-compensated ice flow. The elevation of the highest glacier height changes and the amount of maximum volume loss have increased within our observation period. If all aerial surveys that are available for large parts of the Alps were used, the majority of all alpine glaciers could be analyzed by the presented method in the future. The spatial resolution of the respective analysis would be significantly better than in other recent satellite-based studies. We compared the photogrammetric results with glaciological in-situ measurements. The required correction of acquisition dates was successfully applied by using an additional UAV survey. We showed that the main disadvantage of using photogrammetry, not being able to survey at the time of glaciologic mass balance acquisition, can be reduced using complementary UAV surveys, which can be better targeted in time. This study also shows that results from the glaciological method can be greatly complemented with photogrammetric analyses, increasing the accuracy of the glaciological mass balance series, revealing regions of anomalous mass balance conditions, and allowing estimates of the imbalance between mass balance and ice dynamics.

## 8 Appendices

### 475 Appendix A

Name	$\Delta h_k$	$\Delta h_k$	$\Delta h_k$	$B_{\text{geod}}$	$B_{\text{geod}}$	$B_{\text{geod}}$	Area 2009 [km <sup>2</sup> ]	Area 2015 [km <sup>2</sup> ]	Area 2018 [km <sup>2</sup> ]
	09/2009	08/2015	09/2009	09/2009	08/2015	09/2009			
	- 08/2015 [m]	- 09/2018 [m]	- 09/2018 [m]	- 08/2015 [m w.e. a <sup>-1</sup> ]	- 09/2018 [m w.e. a <sup>-1</sup> ]	- 09/2018 [m w.e. a <sup>-1</sup> ]			
Eis Ferner	-3.285	-6.199	-9.484	-0.465	-1.756	-0.896	0.836	0.773	0.628
Gepatsch Ferner	-2.717	-5.149	-7.866	-0.385	-1.459	-0.743	18.951	18.775	18.589
Guslar Ferner mi.	-4.535	-6.709	-11.243	-0.642	-1.901	-1.062	1.556	1.415	1.268
Hintereis Ferner	-5.175	-6.850	-12.025	-0.733	-1.941	-1.136	7.127	6.440	6.101
Hintereiswaende	-2.977	-4.879	-7.856	-0.422	-1.382	-0.742	0.414	0.414	0.338
Hinterer Oelgruben Ferner	-0.999	-4.234	-5.232	-0.141	-1.200	-0.494	0.058	0.058	0.053
Hochjochferner	-5.261	-5.813	-11.075	-0.745	-1.647	-1.046	4.901	4.340	3.841
Kesselwandferner	-1.443	-4.806	-6.249	-0.204	-1.362	-0.590	3.697	3.597	3.563
Kreuz Ferner M	-2.967	-5.050	-8.017	-0.420	-1.431	-0.757	0.343	0.329	0.180
Kreuz Ferner N1	-2.252	-5.231	-7.483	-0.319	-1.482	-0.707	0.273	0.252	0.205
Kreuz Ferner S	-3.534	-4.965	-8.499	-0.501	-1.407	-0.803	0.559	0.531	0.456
Langtaufenerferner	-3.836	-6.524	-10.360	-0.543	-1.849	-0.978	3.069	3.043	2.886
Mitterkar Ferner	-2.612	-3.930	-6.542	-0.370	-1.113	-0.618	0.536	0.536	0.515
Östlicher Wannetferner	-3.249	-6.332	-9.581	-0.460	-1.794	-0.905	0.582	0.582	0.419
Rofenberg E	-3.154	-4.463	-7.616	-0.447	-1.264	-0.719	0.089	0.085	0.067
Rotkar Ferner	-2.552	-4.201	-6.753	-0.362	-1.190	-0.638	0.224	0.224	0.108
Say Ferner	-1.949	-4.909	-6.858	-0.276	-1.391	-0.648	0.270	0.270	0.252
Sexegertenferner	-1.962	-5.429	-7.391	-0.278	-1.538	-0.698	2.980	2.905	2.462
Taschachferner	-1.143	-4.241	-5.384	-0.162	-1.202	-0.508	5.818	5.779	5.302
Taschach F. E	-3.570	-4.153	-7.723	-0.506	-1.177	-0.729	0.049	0.049	0.049
Vernaglwandferner	-3.718	-5.941	-9.659	-0.527	-1.683	-0.912	0.745	0.700	0.576
Vernagtferner	-4.048	-6.802	-10.849	-0.573	-1.927	-1.025	7.327	7.015	6.096
W. H. Oelgrubenf.	-2.068	-4.724	-6.792	-0.293	-1.339	-0.641	0.143	0.143	0.128

**Table A1: Surface changes [m] and geodetic mass balance [m w.e.] of all glaciers within the study area.**

*Data availability.* Except for the aerial imagery and derived products, all datasets are available on request. Geodetic  
480 mass balance data will be submitted to WGMS.

*Author contributions.* Conceptualization, Methodology, Investigation, Formal Analysis and Writing – Original  
Draft, JG; Validation, CM; Photogrammetric data acquisition and processing, JJ and FS; Glaciologic data  
acquisition and processing, CM; Writing – Review & Editing, JG, CM, FS, UM, and JJ; Funding Acquisition, FS  
485 and UM; Supervision, CM, JJ, FS. All authors have read and approved the submitted version.

*Competing interests.* The authors declare that they have no conflicts of interest.

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