## Answer to Referee #1

We thank the referee for their insightful comments. Please find our detailed response to the issues raised by the reviewer below. Referee comments are in bold while our answers appear in blue. Changes in the manuscript appear in red (lines correspond to the marked-up manuscript). Please also refer to the marked-up manuscript.

This study provided very important data by field observation for water infiltration and ice layer formation using traditional snowpack observation, SnowMicroPen, and upper GPR. Considering water transport, thermal process, preferential flow, and the freezing process is important to study ice layer formation in the snow physics. Due to the difficulty of laboratory experiments for ice layer formation, the water transport model lacked validation data considering freezing. They are useful data to collaborate with laboratory experiments and 2D or 3D models. I would like to know whether authors have a plan to open these data. The implementation of ice reservoir parameterization is also interesting. And I impressed that the ice layer was reproduced well using a onedimensional model. In my opinion, this paper is suitable to accept for The Cryosphere. I suggest several comments to make this paper more informative. But it is not necessary requirement for acceptance. Please refer to comments to make this paper be better.

#### minor comment

Line 123-144 Section 2.2.2 is a new parameterization and main improvement part of the model. So a more detailed expression is necessary. I guess the ice in the matrix layer block (or transport with very low permeability) for water transport. But it is unclear whether the ice in the ice reservoir also affects hydraulic conductivity or not. Please add the description about the influence of ice in ice reservoir on water transport simulation.

The influence of ice in the matrix on water transport has not been changed from the original parameterization of Wever et al. (2016). On the other hand, the ice kept in the ice reservoir has no effect on the hydraulic properties of the snow layer. It is indeed a local reservoir, mimicking a heterogeneous layer formed of snow and ice lenses. Considering its effect on water transport may be out of scope for our 1D simulations, given our limited knowledge and data about local ice lenses hydraulic effect. This point has been clarified in the revised manuscript.

Please also note the implementation of the ice reservoir in the SNOWPACK source code will be made publicly available on models.slf.ch.

#### --- CHANGES IN THE MANUSCRIPT (I. 154-176) ---

To better reproduce the formation of continuous ice layers from discontinuous and growing ice lenses, we developed an ice reservoir parameterization. The water normally transferred from the preferential flow domain to the matrix domain that freezes instantly is stored in an ice reservoir (step 4 in Fig. 2), instead of being added to the ice volumetric content of the matrix. The ice reservoir is representative of the volumetric content of ice lenses (i.e. spatially discontinuous ice) in a given layer. The transferred water that does not freeze goes in the matrix domain, i.e. is spread homogeneously (step 5 in Fig. 2).

Furthermore, the saturation threshold in the PF domain (Wever et al., 2016) was chosen as a simple solution to the unability of Richards equation to model the saturation overshoot present in the tip of flow fingers (DiCarlo, 2007). This simple parameterization can lead to inconsistencies due to the vertical discretization of the simulated snowpack. After water has been transferred to the matrix at the layer corresponding to the finger tip (i.e. where the saturation threshold was exceeded), the highest saturation is then reached more likely at the layer above, where no water transfer occurred, because water percolation from this layer to the finger tip layer only occurs at the next time step. Because of that, the water transfer from PF domain to matrix domain may spread over too

many layers, instead of being concentrated in the lowest layer (i.e. the tip of the flow finger). To overcome this issue, the ice reservoir was cumulated in the lowest layer. When the ice volumetric content of the cumulated ice

reservoir added to the ice volumetric content and water volumetric content of the associated matrix layer exceeds the corresponding ice density threshold of 700 kgm<sup>-3</sup> in SNOWPACK, there is enough ice to consider it as horizontally homogeneous: the ice content of the cumulated ice reservoir is then transferred to the associated matrix layer (step 6 in Fig. 2). As long as it is kept in the ice reservoir, the forming ice has no effect on the water transport in the matrix domain that still follows the RE/PF scheme (Wever et al., 2014, 2016). Furthermore, we neglect any impact the ice reservoir, which is interpreted as ice lenses, may have on hydraulic properties (e.g. local hydraulic barrier effect). Simulations with the ice reservoir parameterization are called RE/PF/IceR hereafter.

The implementation of the ice reservoir parameterization in the SNOWPACK source code is publicly available (see Code and data availability Section).

## Line 164-166 Is the SNOWPACK simulation reproduced this water front observed by upGPR, runoff and temperature profiles well?

As visible on Fig. 11b for the RE/PF configuration, the matrix water flow reaches deeper layers of the snowpack than the observed water front. For example, the water front is observed at around 100 cm in the beginning of April, while the simulated matrix flow reaches the ground at that time. The matrix flow for RE/PF/IceR simulations (Fig. 13b) is very similar to the RE/PF simulations. A reference to the water front observations has been added in that part of the text in the revised manuscript.

(Note that the y axis title of Fig. 11b and Fig. 13b was wrong: it is indeed the LWC in the *matrix* domain for the 15 Mar - 15 Apr period, as correctly formulated in the text and in the legend. It has been corrected.)

---- CHANGES IN THE MANUSCRIPT (I. 269-271) ---

Matrix flow reaches the ground and the snowpack is entirely isothermal on 31 March (Fig. 11b), i.e. 9 days before the observations (Sect. 3.1.2). On 31 March, the water front was actually observed at around 100 cm (Fig. 4), hence a too early simulated water front progression.

--- CHANGES IN THE MANUSCRIPT (I. 297-298) ---

*The matrix water flow reaches the ground on 30 March (Fig. 13b), i.e. 10 days before the observations (Sect. 3.1.2). The water front progression occurs too early, similarly to the RE/PF simulations.* 

# Line 186-188 Fig.6 showed the mean SMP resistance. Can you explain the heterogeneity of SMP resistance other than layer 1 and 2 briefly?

Some details have been added in the text to describe the penetration resistance heterogeneity in dry snow. We also added boxes indicating the approximate location of layers 1 and 2, so that the reader can relate more easily to Fig. 3.

#### --- CHANGES IN THE MANUSCRIPT (I. 219-225) ---

Daily SMP measurements enable to more clearly identify the temporal and spatial variability of ice formation. Figure 6 represents the evolution of penetration resistance from 1 February to 19 April, with a scale from 0 N to 2 N to highlight variations in dry snow. The deep MFcr is visible in the middle of a low resistance depth hoar layer, at approximately 20 cm. In February and March, the highest values in the middle of the snowpack correspond to dense layers of faceted crystals (Fig. 3). In March, the buried surface hoar of layer 1 is marked by a lower penetration resistance than the surrounding faceted crystals. Layer 2 exhibits less heterogeneity with surrounding layers. Overall, the penetration resistance increases substantially from the end of March on with the progressive wetting, particularly at the top of the snowpack where many melt-freeze crusts form. Line 196-199 Confirmation of melt-freeze crust on buried surface hoar seems valuable observation result. Considering the theory, a capillary barrier forms on surface hoar due to low suction of surface hoar and froze later. Is it confirmed past study or observations? If so, please add the reference.

As highlighted by the reviewer, our observation of ice formation at a layer interface corresponding to buried surface hoar is consistent with the theory of capillary barriers. However, we have no knowledge of past studies reporting such observations.

Fig 10-13 Please add a and b in the figure.

#### Done.

Line 247. I felt that the Fig. 12b is strange. In Fig. 10b, water ponds at 70-80cm height (on the freezing layer) on 11 February. On the other hand, despite the frozen layer was not shown in Fig 12a, water ponds at the same place. I guess that ice exists in the ice reservoir which was not shown in Fig. 12, and it affects this ponding. If my guess is correct, ice content in the ice reservoir had better be shown in some way. Also, can you describe the influence of the ice content in the ice reservoir on water percolation? In 2.2.2, formation of ice in ice reservoir is described. But influence of it on water transport should be also explained.

Indeed, Fig. 10b (RE/PF configuration) and Fig. 12b (RE/PF/IceR configuration) show similar water ponding in the preferential flow domain. The water ponding at this layer interface is due to the fine-over-coarse grain structure. The melt-freeze crust in the RE/PF simulation is a consequence of the water ponding, with water transferred and freezing in the matrix. This ice goes into the ice reservoir in the RE/PF/IceR simulation, which explains the different grain types (Fig. 10a and 12a). The ice reservoir, however, does not affect the water transport. More generally, the microstructural changes in SNOWPACK are assessed via the ice and liquid water content in matrix domain, independently of the water in the preferential flow domain and the ice kept in the ice reservoir. This point has been clarified in the revised manuscript.

About the influence of the ice reservoir on water transport, please also see our answer to your first comment.

#### --- CHANGES IN THE MANUSCRIPT (I. 279-289) ---

Simulations were also performed with the ice reservoir parameterization (RE/PF/IceR) to assess its ability to improve the simulation of ice layer formation compared to the previous simulations. Figure 12 shows the grain shape and liquid water content in the PF domain for the month of February. Similarly to the RE/PF simulation, the fine-over-coarse grain structure leads to water ponding in the PF domain at layer 1. But contrary to the RE/PF simulation, no melt-freeze crust forms at layer 1 (Fig. 12a): the water leaving the PF domain and refreezing is in too low quantity to be considered as representative of the mean state of the snowpack in this layer, it is thus stored in the ice reservoir. The fine-over-coarse grain transition forming a capillary barrier is preserved. Note that liquid water content in the PF domain (Fig. 12b) is almost not modified compared to the RE/PF simulation (Fig. 10b). Liquid water transport is similar, and in particular the vertical spreading of water ponding, but ice in the reservoir is concentrated at the capillary barrier. The ice kept in the reservoir has indeed no effect on water transport and microstructural changes in the matrix. At the end of February, less melt-freeze crusts are formed than in the RE/PF simulation, even though the ones surrounding layer 2 are also thicker than observed.

Line 325 (4 discussion): This study is attractive for researchers of water transport mechanisms using laboratory experiments and 2D or 3D models. Also, collaboration with them enhances the value of this study in terms of cover some assumptions and make physical evidence. I expect the observation data will be opened. Also, during conducting this study, if the author came up with the idea that could be done by a laboratory experiment or a 2D or 3D model, suggesting ideas in discussion will be a good information.

As stated in the "Code and data availability" section: the dataset used in the paper will be available on the EnviDat database (doi will be provided). The ice reservoir parameterization for SNOWPACK will be available on models.slf.ch. Deposits will be ready with the final manuscript version.

Ice reservoir simulations call for other experiments on large snowpack samples, similar to Yamaguchi et al. (2018), focusing on the formation of heterogeneous ice lenses due to preferential water flow, and possibly providing further accurate validation data. These suggestions have been added in conclusion of the revised manuscript.

--- CHANGES IN THE MANUSCRIPT (I. 399-406) ---

These simulations highlighted the relevance of detailed snow-cover models for the modelling of complex phenomena like deep ice layers formed by preferential water flow, since an accurate representation of the snow microstructure is necessary. Recent advances in preferential flow observations and modelling could contribute to strengthen water transport representation. This study also underlined the importance of comprehensive observation datasets for the validation of complex snow models. Collecting high-resolution data over more winter seasons will further improve the understanding of deep ice layer formation, particularly concerning their density, their impermeability and their evolution in the late melting season. Ice reservoir simulations also call for further experiments on large snowpack samples, similar to Yamaguchi et al. (2018), focusing on the formation of discontinuous ice lenses due to preferential water flow.

### Answer to Dr. Avanzi

We thank Dr. Avanzi for his insightful comments. Please find our detailed response to the issues raised by the reviewer below. His comments are in bold while our answers appear in blue. Changes in the manuscript appear in red (lines correspond to the marked-up manuscript). Please also refer to the marked-up manuscript.

This study combines a valuable dataset made by snow-pit, SnowMicroPen, upGPR, lysimeter, and weather-snow measurements with improvements to the liquid-water transport scheme of SNOWPACK to study the important, but still poorly understood topic of deep ice-layer formation due to preferential flow. Results are promising, in that they show increased realism of the dual-domain scheme of SNOWPACK in reproducing ice-layer formation. Another interesting aspect of the paper is the overview of insights from the observational dataset and in particular Section 3.1.3.

My assessment is that the paper is interesting for readers of TC and certainly moves our understanding of ice layers forward. Yet, I do have several comments that may be useful to enhance the clarity and analyses of the manuscript (see below), so I recommend the Editor accept the manuscript after minor revisions.

#### GENERAL COMMENTS

1. The manuscript sometimes reads like a case study (Title, Abstract), and sometimes as an incremental contribution to Wever et al. 2016. I do believe that there are several novel points in paper, some of which are discussed at lines 49ff, while some others emerge in Section 2.2.2. Overall, however, these novel points remain somewhat implicit for general readers. I encourage authors to elaborate on framing both in the Introduction and in the Discussion to better highlight these points of novelty.

The paper has been initially presented as a case study (starting from the title), because the comprehensive observation dataset only covered one year and one site. But the reviewer is right to underline that presenting it as a case study restricts the actual scope of the paper including several other novelties. In the revised manuscript, we endeavoured to better highlight these novelties in the title, abstract and introduction.

#### --- CHANGES IN THE MANUSCRIPT (title) ---

#### Deep ice layer formation in an alpine snowpack: monitoring and modelling

#### --- CHANGES IN THE MANUSCRIPT (abstract, I. 1-14) ---

Ice layers may form deep in the snowpack due to preferential water flow, with impacts on the snowpack mechanichal, hydrological and thermodynamical properties. This detailed study at a high-altitude alpine site aims at monitoring their formation and evolution thanks to the combined use of a comprehensive observation dataset at daily frequency and state-of-the-art snow-cover modelling with improved ice formation representation. In particular, daily SnowMicroPen penetration resistance profiles enabled to better identify ice layer temporal and spatial heterogeneity when associated with traditional snowpack profiles and measurements, while upward-looking ground penetrating radar measurements enabled to detect the water front and better describe the snowpack wetting when associated with lysimeter runoff measurements. A new ice reservoir was implemented in the one-dimensional SNOWPACK model, which enabled to successfully represent the formation of some ice layers when using Richards equation and preferential flow domain parameterization, during winter 2017. The simulation of unobserved melt-freeze crusts was also reduced. These improved results were confirmed over 17 winters. Detailed snowpack simulations with snow microstructure representation, associated with high-resolution comprehensive observation dataset were shown relevant for studying and modelling such complex phenomena, despite limitations inherent to 1D modelling.

--- CHANGES IN THE MANUSCRIPT (introduction, I. 53-77) ---

The representation of ice layer formation in snow-cover models remains very challenging because it depends on an accurate description of the snow microstructure and water transport. Currently, most snow-cover models don't take into account the processes of ice layer formation. Quéno et al. (2018) recently modelled ice formation on the snowpack surface due to freezing precipitation in the detailed snow-cover model Crocus. Wever et al. (2016) included for the first time in a detailed snow-cover model the process of deep ice layer formation due to preferential water flow. Using the dual-domain implementation for water percolation in a sub-freezing snowpack, they investigated ice layer formation at an alpine site, comparing manual snow profiles recorded every two weeks over 16 winter seasons with SNOWPACK simulations. Nevertheless, many research gaps remain open about deep ice layer formation in the snowpack. In particular, the present study aims at answering the following questions:

- Can daily SnowMicroPen measurements improve the monitoring of ice layers in natural snowpacks over traditional snowpack profiling?

- How well can 1D snow-cover models represent a multi-dimensional process like ice formation due to preferential flow?

- Can spatially discontinuous ice lenses be parameterized in a 1D snow-cover model?

- Can we provide useful information on ice layer origin and evolution in alpine snowpacks for various applications, based on observations and simulations?

To address these research questions, we bring several novelties in a detailed study pushing forward the investigation of Wever et al. (2016). First, a comprehensive observation dataset was gathered at the same research site, in order to better determine the evolution of the snowpack and identify the formation of deep ice layers in natural conditions at a high-altitude alpine site. The originality of this dataset comes from the opportunity to monitor ice formation in natural alpine conditions during a whole winter season at daily resolution, even though the present study does not include detailed observations of preferential water flow paths. This dataset is then used for a detailed assessment of the preferential flow representation in SNOWPACK, bringing complementary insights to Wever et al. (2016) and Würzer et al. (2017). As a result, we introduce a new parameterization to improve the simulation of discontinuous deep ice formation in the SNOWPACK model.

2. Relatedly, novelty could also be better streamlined by explicitly identifying a few research questions to be reported at the end of the Introduction. These research questions should be broad enough to be interesting for the general public and could dramatically increase the impact of the paper.

A new paragraph was added in the introduction to introduce the research questions that our study address and the novelties we bring to answer these questions.

--- CHANGES IN THE MANUSCRIPT ---

Please refer to the answer to the first comment.

3. In Section 3.2.3, authors chose to restrict results in Table 1 to only simulated ice layers, without considering instances when observed ice layers were not simulated. Did I understand correctly? If so, I would suggest authors to also include these 'missed' ice layers in Table 1 to gain further insights.

Indeed, non-simulated observed ice layers (missed events) have not been counted for the assessment overall several winter seasons. Since the validation data only consist in fortnightly visual observations, attributing several ice observations to a unique observed ice layer is difficult to establish objectively. In particular, different observers can have a different appreciation of the presence of a homogeneous ice layer. On the contrary, simulated ice layers are much less ambiguous to identify because they persist in time. This issue highlights the high benefits of comparing simulations to our comprehensive observation dataset including daily SMP observations.

This limitation has been pointed out in the revised manuscript.

--- CHANGES IN THE MANUSCRIPT (I. 310-313) ---

Missed events (observed ice layers that are not simulated) are not counted. Indeed, attributing fortnightly visual ice observations to a unique observed ice layer can be very ambiguous, contrary to simulated ice layers that persist in time.

--- CHANGES IN THE MANUSCRIPT (I. 341-343) ---

Finally, the difficulty to identify the exact date of ice formation or to attribute isolated, fortnightly ice layer observations in traditional snowpack profiles to a unique ice layer (Sect. 3.2.3) highlights the added value of the more comprehensive observation dataset used for winter 2016/2017.

#### SPECIFIC COMMENTS

- I would recommend authors avoid wording like 'case study' in the Title and elsewhere in the manuscript.

Consistently with our answer to the first general comment, wording like "case study" has been removed in the revised manuscript. The title has been reworded to highlight more explicitly the scope of the study: "Deep ice layer formation in an alpine snowpack: monitoring and modelling".

- In the abstract, I would report that the new parametrization allowed authors to significantly reduce overestimations of melt-freeze crusts (see Section 3.2). More generally, to me the abstract seems a little imbalanced toward explaining data and methods rather than findings and insights.

The abstract has been modified, including the reviewer's suggestion.

--- CHANGES IN THE MANUSCRIPT ---

#### Please refer to the answer to the first comment.

- Line 49: Between the previous paragraph (lines 23-48) and this one about your study (lines 49ff), I would add one more paragraph to specifically discuss previous attempts of modeling ice layers and why more research on this topic is needed. What are the knowledge gaps that you are trying to fill with this impressive field campaign and your new parametrization of ice layers? This paragraph should help formulate research questions and therefore better make the case of this paper, especially since the discussion about snow models between lines 40 and 48 is general and not really focused on ice layers.

As suggested by the reviewer, a new paragraph was added in the introduction to highlight that many research gaps remain open in terms of ice layer representation in snowpack models. It is followed by research questions, that novelties of our study try to address.

--- CHANGES IN THE MANUSCRIPT ---

Please refer to the answer to the first comment.

- Line 68: how are measurements along these three corridors managed? Do you use one corridor every other week, or simply use the same corridor every other week as long as you reach the end of it?

Measurements were performed moving continuously along each corridor, at daily steps for the SMP measurements and weekly steps for the traditional profiles, and changing corridor once the end of the current corridor is reached. We made this detail clearer in the revised manuscript.

--- CHANGES IN THE MANUSCRIPT (I. 86-88) ---

Traditional snowpack profiles were performed during the entire season every week (or every two weeks at the beginning and the end of the season) along three corridors, moving continuously along each corridor and turning into the next one once the end of the previous one was reached.

- Line 74: maybe cite Wever et al. 2014 when you introduce the snow lysimeter since Wever et al. 2014 also described the specific design of this instrument.

Reference added.

- Line 79: get rid of -> remove

Corrected.

- Line 100: why not initializing the simulation on a day with no snow on the ground?

The simulations were initialized with an observed early winter snowpack in order to get the most realistic snowpack base for simulating later water percolation and ice layer formation at hydraulic barriers. Indeed, we want to focus on the assessment of the simulation of these late winter and spring processes, hence mitigate all other potential sources of uncertainties. In particular, excessive water percolation in the simulated shallow autumn snowpack may produce an unrealistic snowpack base affecting later runoff simulations.

We made it more explicit in the revised manuscript.

--- CHANGES IN THE MANUSCRIPT (I. 123-125) ---

The initialization date was chosen early enough to assess the model ability to simulate the microstructure evolution as well as water percolation, but avoiding early season modelling errors, for example, formation of unobserved basal melt-freeze layers.

- Line 106: did you still allow for mixed rain-snow or all-rain events? If yes, using which phasepartitioning method? I assume these are rare at WFJ, but still worth discussing given that the paper focuses on liquid-water-transport schemes

Rain events were not of concern for the period investigated at Weissfluhjoch site (2536 m.a.s.l.). A simple 1.2°C air temperature threshold was used to distinguish snow events (amount determined from measured snow depth increment) and rain events (amount determined from undercatch-corrected precipitation gauge measurements). It is now mentioned in the revised manuscript.

--- CHANGES IN THE MANUSCRIPT (I. 129-130) ---

In addition, for air temperatures above 1.2 °C, undercatch-corrected precipitation gauge measurements are considered as rain.

- Line 119: are carried out -> were carried out

#### Corrected.

- Line 125: could you add more details about how water flowing back to the matrix domain depends on the freezing capacity of the matrix domain? As a general note about this Section, I am missing some discussion on whether the ice reservoir affects hydraulic properties of the snow layer. This is important given that ice lenses often represent a hydraulic barrier. We clarified the sentence about water flow from preferential domain to matrix domain. The reference to Wever et al. (2016) should allow the reader to get more details in the specified paper.

The ice reservoir has no impact on the hydraulic properties of the snow layer. Indeed, the ice reservoir mimicks the local presence of ice lenses: representing their local hydraulic barrier effect may be out of scope for our 1D simulations, given our limited knowledge and data about local ice lenses hydraulic effect. This limitation has been clarified in the section describing the ice reservoir parameterization.

#### --- CHANGES IN THE MANUSCRIPT (I. 147-176) ---

A new parameterization of ice layer formation due to preferential flow was implemented as a complement to the RE/PF scheme. It is summarized in Fig. 2. In the RE/PF scheme, when the saturation in the preferential flow domain exceeds the threshold  $\Theta_{th}$ , water flows back to the matrix domain. First, a volume of water corresponding to the available freezing capacity is instantly frozen and added uniformly to the ice content of the matrix domain. Ice lenses, to the contrary, may only form locally at the base of the flow fingers. If the threshold is still exceeded then, saturations in both domains are equalized (Wever et al., 2016).

To better reproduce the formation of continuous ice layers from discontinuous and growing ice lenses, we developed an ice reservoir parameterization. The water normally transferred from the preferential flow domain to the matrix domain that freezes instantly is stored in an ice reservoir (step 4 in Fig. 2), instead of being added to the ice volumetric content of the matrix. The ice reservoir is representative of the volumetric content of ice lenses (i.e. spatially discontinuous ice) in a given layer. The transferred water that does not freeze goes in the matrix domain, i.e. is spread homogeneously (step 5 in Fig. 2).

Furthermore, the saturation threshold in the PF domain (Wever et al., 2016) was chosen as a simple solution to the unability of Richards equation to model the saturation overshoot present in the tip of flow fingers (DiCarlo, 2007). This simple parameterization can lead to inconsistencies due to the vertical discretization of the simulated snowpack. After water has been transferred to the matrix at the layer corresponding to the finger tip (i.e. where the saturation threshold was exceeded), the highest saturation is then reached more likely at the layer above, where no water transfer occurred, because water percolation from this layer to the finger tip layer only occurs at the next time step. Because of that, the water transfer from PF domain to matrix domain may spread over too many layers, instead of being concentrated in the lowest layer (i.e. the tip of the flow finger). To overcome this issue, the ice reservoir was cumulated in the lowest layer. When the ice volumetric content of the cumulated ice reservoir added to the ice volumetric content and water volumetric content of the associated matrix layer exceeds the corresponding ice density threshold of 700 kgm<sup>-3</sup> in SNOWPACK, there is enough ice to consider it as horizontally homogeneous: the ice content of the cumulated ice 160 reservoir is then transferred to the associated matrix layer (step 6 in Fig. 2). As long as it is kept in the ice reservoir, the forming ice has no effect on the water transport in the matrix domain that still follows the RE/PF scheme (Wever et al., 2014, 2016). Furthermore, we neglect any impact the ice reservoir, which is interpreted as ice lenses, may have on hydraulic properties (e.g. *local hydraulic barrier effect).* Simulations with the ice reservoir parameterization are called RE/PF/IceR hereafter.

The implementation of the ice reservoir parameterization in the SNOWPACK source code is publicly available (see Code and data availability Section).

## - Line 136-137: It is a little unclear why the highest saturation should be reached more likely at the layer above, where no water transfer occurred.

It is actually an artefact of both the vertical layer discretization and time discretization of SNOWPACK when solving preferential water transport. Under a continuous water input in PF domain from the top of the snowpack, the saturation threshold is reached first at the layer just above the capillary barrier (layer a). The second layer above (layer b) may not reach the threshold, but has a saturation close to the one of layer a. Water transfer occurs in layer a at this time step t0. However, water transfer from layer b to layer a will only occur at the next time step t1. At the end of t0, layer b is the layer with the highest saturation. At t1, layer b also receives water input from above layers, and is at that time, the most likely layer to exceed the saturation threshold.

The time discretization artefact is now mentioned, but we tried to keep this explanation brief in the text.

#### --- CHANGES IN THE MANUSCRIPT (I. 162-165) ---

After water has been transferred to the matrix at the layer corresponding to the finger tip (i.e. where the saturation threshold was exceeded), the highest saturation is then reached more likely at the layer above, where no water transfer occurred, because water percolation from this layer to the finger tip layer only occurs at the next time step.

- Line 178-179: In Katushima et al. 2013 and then Avanzi et al. 2016, it is showed that isothermal conditions may coexist with preferential flow. Given that snow pits showed vertical structural heterogeneity after April 9 (see Figure 3) and Hirashima et al. 2019 have showed that matrix flow is coupled with structurally homogeneous conditions, I would assume that matrix flow started well after April 9 at this site.

Isothermal conditions associated with runoff are indeed not a proof of matrix flow, as shown in these papers. This part of the sentence has been removed.

- Figure 6: maybe add the same black boxes as in Fig. 3 to denote location of ice layers? Also, the color scale is a little unclear to me: Figure 7 shows that resistance is locally well above 2N. Are all values above 2 N reported with the same color as 2 N?

Boxes indicating the approximate location of layers 1 and 2 have been added to Fig. 6.

The purpose of Fig. 6 is to highlight the penetration resistance variations in dry and wet snow between the beginning of February and end of April. It provides a context to introduce the study of localized layers with much higher penetration resistances (Fig. 7-8-9). The high values reported in Fig. 7 are rare. Values higher than 2 N are indeed reported with the same color as 2 N.

#### - Line 198: how does this manual picking selection works and what is its uncertainty?

The SMP profiles of a given day are superimposed on the closest traditional profile and penetration resistances are associated to observed snow layers given their grain type and hand hardness index. A given SMP profile is also compared to the other SMP profiles of the same day and of the previous and next day, which enables to identify consistent patterns. In the absence of an objective layer picking technique, this method remains subjective, and its uncertainty is difficult to evaluate.

The process has been more explicitly explained in the revised manuscript.

#### --- CHANGES IN THE MANUSCRIPT (I. 231-236) ---

The penetration resistance measured at these two layers was tracked in the SMP profiles. To identify these layers, all SMP profiles were superimposed on the traditional profile observed at the closest date. Penetration resistances were associated to observed snow layers given their grain type and hand hardness index. To identify consistent patterns, the SMP profiles of a given day were also compared among each other and with those of the previous and next day. The value of SMP penetration resistance in these layers was then visually picked. Figure 8 shows an example of this procedure for two SMP profiles of 4 April 2017.

- Line 205: may this also suggest that the ice layer was subjected to periodical melt and freeze?

We don't think that melt-freeze cycles could be effective at such depth in the snowpack. It would rather correspond to a heterogeneity of the ice layer that was visually observed.

- Line 275: Could authors elaborate on reasons why the simulated ice formation date is in average 22 days earlier than the observation interval for the RE/PF scheme and 4 days earlier 275 for the RE/PF/IceR scheme?

The too early ice formation with the RE/PF scheme is consistent with previous findings of Wever et al. (2016) who showed that earliest season snowpack runoff from preferential flow is overestimated in simulations. Ice layers form in average 18 days later in RE/PF/IceR simulations because the ice transits through the ice reservoir before being transferred to the matrix, which delays its formation as homogeneous layer. It has been clarified in the revised manuscript.

#### --- CHANGES IN THE MANUSCRIPT (I. 314-323) ---

Overall, the addition of the ice reservoir parameterization to the RE/PF scheme enables to form more ice layers, with a higher number of hits (15 against 6, with a one month tolerance) and a lower number of false alarms (1 against 6). The simulated ice formation date is in average 22 days earlier than the observation interval for the RE/PF scheme and 4 days earlier for the RE/PF/IceR scheme. The too early ice formation with the RE/PF scheme is consistent with the overestimation of simulated early season snowpack runoff from preferential flow as suggested by Wever et al. (2016). It is logically delayed in RE/PF/IceR simulations because the ice transits through the ice reservoir before being transferred to the matrix. The RE/PF/IceR configuration also mitigates the number of unobserved early melt-freeze crusts compared to the RE/PF configuration (not shown), as already highlighted for season 2016/2017 (Fig. 10 and Fig. 12). However, a very high number of ground ice layers were simulated (17 for the RE/PF/IceR scheme against 8 for the RE/PF scheme). This number is probably excessive, and due to possible snow-soil boundary effects.

# **Ice Deep ice** layer formation in the snowpack due to preferential water flow: case study at an alpine sitesnowpack: monitoring and modelling

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**Abstract.** Ice layers may form <u>deep</u> in the snowpack due to preferential water flow, with impacts on the snowpack mechanichal, hydrological and thermodynamical properties. This <u>case\_detailed</u> study at a high-altitude alpine site aims at monitoring their formation and evolution <u>during winter 2017</u> thanks to the combined use of a comprehensive observation dataset at daily frequency and <u>detailed snowpack modelling state-of-the-art snow-cover modelling with improved ice formation representation</u>.

- 5 In particular, daily SnowMicroPen penetration resistance profiles enabled to better identify ice layer temporal and spatial heterogeneity when associated with traditional snowpack profiles and measurements, while upward-looking ground penetrating radar measurements enabled to detect the water front and better describe the snowpack wetting when associated with lysimeter runoff measurements. One-dimensional snowpack simulations with the SNOWPACK model successfully represented A new ice reservoir was implemented in the one-dimensional SNOWPACK model, which enabled to successfully represent the formation
- 10 of some ice layers when using Richards equation and preferential flow domain parameterization, with a newly implemented ice reservoir. during winter 2017. The simulation of unobserved melt-freeze crusts was also reduced. These improved results were confirmed over 17 winters. Detailed snowpack simulations with snow microstructure representation, associated with high-resolution comprehensive observation dataset were shown relevant for studying and modelling such complex phenomena, despite limitations inherent to 1D modelling.

#### 15 1 Introduction

The presence of ice layers in a snowpack may impact its mechanical, hydrological and thermodynamical properties. Monitoring the formation and evolution of ice layers is thus crucial in many research fields. Because of their low permeability (Albert and Perron Jr., 2000), ice layers may increase the liquid water storage of the snowpack, which can substantially affect the snowpack runoff (Singh et al., 1999). On the contrary, near-surface ice layers in Greenland were shown to prevent access to deeper firm

20 layers, thus reducing meltwater storage in the firn and enhancing ice sheet mass loss (Machguth et al., 2016). The stability of a mountainous snowpack may also be affected, with a possible increased faceting of the microstructure close to ice or crusts (Jamieson, 2006; Hammonds et al., 2015; Hammonds and Baker, 2016). Moreover, retrieval algorithms for water equivalent of snow cover and snow depth from passive microwave emissions are sensitive to the presence of ice layers (Rees et al., 2010; Roy et al., 2016). Better knowledge about their formation could help the assimilation of such data in detailed snowpack snow-cover models (Larue et al., 2018).

Ice forms in the snowpack can have different origins (Fierz et al., 2009). They can either form at the surface, because of freezing rain (Quéno et al., 2018) or firnspiegel formation process due to radiative cooling (Ozeki and Akitaya, 1998), or they can form within the snowpack, through percolation of rain or meltwater reaching subfreezing snow (Pfeffer and Humphrey, 1998). The present study focuses on the latter case. As opposed to matrix water flow, leading to a homogeneous progression

- 30 of the wetting front, preferential water flow occurs through flow fingering (e.g. Schneebeli, 1995), transporting liquid water to deeper regions of the snowpack where the cold content is sufficient to refreeze it (e.g. Marsh, 2006). Preferential flow occurs in the snowpack due to its microstructural heterogeneity (density, grain size and shape) at layer transitions (Katsushima et al., 2013), which may trigger flow fingering or form hydraulic barriers (i.e. capillary or permeability barriers) where water ponds and may subsequently refreeze. Hydraulic barriers may also divert water flow and lead to lateral flow along slopes
- 35 (Eiriksson et al., 2013; Webb et al., 2018a). Knowledge about water percolation in snow, and particularly preferential flow, has recently made great progress in terms of process understanding and numerical simulation. Using dye tracer and liquid water content (LWC) measurements, Avanzi et al. (2016) observed preferential flow and water ponding at capillary barriers for various layer transition characteristics and water input. X-ray microtomography was also used to observe wet-snow metamorphism under preferential flow (Avanzi et al., 2017). Magnetic Resonance Imaging observations of finger flow and lateral flow
- 40 emphasized that even small differences in snow properties may form capillary barriers in dry snow (Katsushima et al., 2018) (Katsushima et al., 2018, 2020). At larger scale, the effect of preferential flow on the snowpack runoff was assessed, through measurements of the heterogeneity of water discharge (Yamaguchi et al., 2018; Webb et al., 2018b), or under rain-on-snow conditions (Würzer et al., 2017; Juras et al., 2017). The new insights from measurement campaigns enabled the development of multi-dimensional models accounting for preferential flow in the snowpack (Hirashima et al., 2014, 2017, 2019; Leroux and
- 45 Pomeroy, 2017, 2019). They also enabled progress in the representation of water transport in one-dimensional (1D) models. Richards equation was implemented in detailed snowpack-snow-cover models like SNOWPACK (Wever et al., 2014) and Crocus (D'Amboise et al., 2017), as an improvement over the more simplistic bucket parameterization, enabling a more realistic representation of water transport in snow with respect to snow microstructure. Based on recent studies relating preferential flow to snow properties (Katsushima et al., 2013; Hirashima et al., 2014; Yamaguchi et al., 2012), with analogies to preferential flow
- 50 in soils (DiCarlo, 2007, 2013), Wever et al. (2016) developed an original one-dimensional 1D parameterization of preferential flow in SNOWPACK through a dual-domain implementation separating matrix flow and preferential flow, both solved with Richards equation.

The present study builds on the work of Wever et al. (2016), who representation of ice layer formation in snow-cover models remains very challenging because it depends on an accurate description of the snow microstructure and water transport.

55 Currently, most snow-cover models don't take into account the processes of ice layer formation. Quéno et al. (2018) recently modelled ice formation on the snowpack surface due to freezing precipitation in the detailed snow-cover model Crocus. Wever et al. (2016) included for the first time in a detailed snow-cover model the process of deep ice layer formation due to preferential water flow. Using the dual-domain implementation for water percolation in a sub-freezing snowpack, they investigated ice layer formation at an alpine sitethanks to a new modelling scheme parameterizing preferential water flow in the
 SNOWPACK model, with comparisons to, comparing manual snow profiles recorded every two weeks over 16 winter seasons with SNOWPACK simulations. Nevertheless, many research gaps remain open about deep ice layer formation in the snowpack. In particular, the present study aims at answering the following questions:

- Can daily SnowMicroPen measurements improve the monitoring of ice layers in natural snowpacks over traditional snowpack profiling?
- How well can 1D snow-cover models represent a multi-dimensional process like ice formation due to preferential flow?
  - Can spatially discontinuous ice lenses be parameterized in a 1D snow-cover model?
  - Can we provide useful information on ice layer origin and evolution in alpine snowpacks for various applications, based on observations and simulations?

To address these research questions, we bring several novelties in a detailed study pushing forward the investigation of

- 70 Wever et al. (2016). We push forward this investigation through a case study including several novelties. First, a comprehensive observation dataset was gathered at the same research site, in order to better determine the evolution of the snowpack and identify the formation of deep ice layers in natural conditions at an high altitude a high-altitude alpine site. The originality of this dataset comes from the opportunity to monitor ice formation in natural alpine conditions during a whole winter season at daily resolution, even though the present study does not include detailed observations of preferential water flow pathsin cold
- 75 laboratory. This dataset is then used for a detailed assessment of the preferential flow representation in SNOWPACK, bringing complementary insights to Wever et al. (2016) and Würzer et al. (2017). An effort is also made As a result, we introduce a new parameterization to improve the simulation of heterogeneous discontinuous deep ice formation in the SNOWPACK model.

The paper is organized as follows. Section 2 describes the study site, the observation dataset, the snowpack simulation configuration and new methods. Section 3 details the results with insights on water percolation and ice layer formation from both the observation dataset and the simulations. Their benefits and limitations are discussed in Sect. 4.

#### 2 Data and methods

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#### 2.1 Observation dataset

The site of study is the Weissfluhjoch (WFJ) measurement site, a research field dedicated to snowpack investigations, located at an elevation of 2536 m.a.s.l. above Davos in the Eastern Swiss Alps (Marty and Meister, 2012). For comparison to simulations,
we use a comprehensive observation dataset collected during winter 2017. Figure 1 indicates the location of the measurements in the research field. Traditional snowpack profiles were performed during the entire season every week (or every two weeks at the beginning and the end of the season) , along three corridors, moving continuously along each corridor and turning into the next one once the end of the previous one was reached. These profiles were carried out following the recommendations



Figure 1. Overview of the WFJ research field, with contour lines in orange and labels indicating the location of the measurements used in this study.

of Fierz et al. (2009) and gather observations of the grain shape, grain size, layer thickness, hand hardness index and wetness
90 through visual and manual assessment, as well as measurements of snow depth (HS), water equivalent of snow cover (SWE), snow density, snow temperature, snow hardness (Swiss ramsonde), and liquid water content with a Denoth device (Denoth, 1989). Continuous melt-freeze crusts (MFcr) and ice layers (IFil) were identified in the snow profile, while ice lenses were most often noted additionally. There was no measurement of ice layer density, as such measurements in natural conditions remain very challenging (e.g. Watts et al., 2016). Snowpack runoff measurements were provided by a 5 m<sup>2</sup> lysimeter at 10 min

95 temporal resolution (Wever et al., 2014). Finally, thermistores at a fixed vertical interval of 20 cm provided half-hourly snow temperature profiles of the snowpack (Fierz, 2011).

An upward-looking ground penetrating radar (upGPR) was also installed on the site (Schmid et al., 2014). The dual frequency GPR from IDS (Ingegneria Dei Sistemi, Italy) conducted measurements every 30 minutes and with two different frequencies, 600 MHz and 1.6 GHz. Every measurement contained 1800 traces with 1024 samples. In order to get rid of remove system

100 ringing, a hoisting device was installed by Schmid et al. (2014) to move the GPR antennas vertically during a measurement cycle. When transmitting electromagnetic waves into snow, discontinuities result in reflections, refractions and diffractions. The amount of energy reflected at the discontinuity is proportional to the relative change across the discontinuity (Reynolds, 2011). The percolated water changes the internal properties of the snow. The boundary between wet and dry snow (called water

front hereafter) appears as a distinct reflector and can then be determined by semi-automatic picking, similarly to the algorithm

- 105 developed by Schmid et al. (2014) for snow surface picking. The picked two-way travel times of the water front are multiplied by the wave propagation velocity of  $0.23 \text{ m ns}^{-1}$ , which is a typical value for snow (Sand and Bruland, 1998; Schmid et al., 2014). During winter 2017, the water front could be derived until a technical malfunction on 9 April prevents further analysis. Due to the 45° angle of beam spread of the upGPR, the footprint in the snowpack where the water front is derived can measure a homogeneous state but not local flow fingers.
- In addition, daily measurements of the penetration resistance were performed with a SnowMicroPen (SMP; Schneebeli et al., 1999), in the context of the RHOSSA field campaign (Calonne et al., 2020), launched in winter 2016. The SMP has a tip surface of 19.6 mm<sup>2</sup>. Every day during the winter season, five to seven SMP measurements were performed <u>moving forward by steps</u> of about 40 cm along the corridors, with a 15 cm spacing perpendicular to the direction of the corridor, providing indications about the local spatial heterogeneity of potential ice forms. A limited number of gaps in the daily measurements have to be
- noted, in particular from 25 March to 27 March and 7 and 8 April in the wetting period.

For longer-term observations of ice layers, we gathered traditional snowpack profiles performed every two weeks during the winter seasons 1999/2000 to 2015/2016.

The comprehensive observation dataset of winter 2017 at WFJ is publicly available (see Code and data availability Section).

#### 120 2.2 Snowpack simulations

#### 2.2.1 Simulation configuration

SNOWPACK simulations were performed for the WFJ study site in winter 2017. They were initialized with a traditional profile recorded on 3 January 2017, to provide a realistic base of the snowpack with a snow depth of 47 cm. The initialization date was chosen early enough to assess the model ability to simulate the microstructure evolution as well as water percolation,
but avoiding early season modelling errors, for example, formation of unobserved basal melt-freeze layers. The simulations were driven by optimal in situ meteorological measurements (Fig. 1) of air temperature and humidity (ventilated sensors), near-surface wind, solar and longwave irradiance (WSL Institute for Snow and Avalanche Research SLF, 2015; Wever et al., 2015). The snowfall input is driven by measured snow depth increments (Wever et al., 2015), enabling direct comparisons to measurements and outcomes of Wever et al. (2016). In addition, for air temperatures above 1.2 °C, undercatch-corrected
precipitation gauge measurements are considered as rain.

Three water transport schemes implemented in SNOWPACK were evaluated. First, the bucket approach (BA) is a common method used in snowpack snow-cover models (e.g. Bartelt and Lehning, 2002; Vionnet et al., 2012), assuming that water is transported to the next downward layer when the liquid water content exceeds the water holding capacity of a given layer (depending on the ice volumetric content of snow; Coléou and Lesaffre, 1998). Second, the Richards equation (RE) was imple-

135 mented in SNOWPACK by Wever et al. (2014) to account for capillary effects. These effects are modelled taking into account the water retention curve (van Genuchten, 1980) and the hydraulic conductivity of snow (Mualem, 1976; van Genuchten, 1980;



**Figure 2.** Scheme of the ice reservoir parameterization in SNOWPACK. Blue represents liquid water, cyan represents ice and red arrows represent water or ice transfers to another domain. Steps 1 to 6 are described in the text.

Calonne et al., 2012). Third, a dual-domain approach parameterizing preferential flow and using Richards equation (RE/PF) was recently developed. Water exchanges between the matrix domain and the preferential flow domain are determined according to the water entry pressure head in the matrix layers and the saturation in the preferential flow domain: this implementation is described in details in Wever et al. (2016). The two tuning parameters of this scheme were chosen here accordingly to Wever et al. (2016): the threshold in saturation of the preferential flow domain  $\Theta_{th} = 0.08$  and the parameter related to the number of flow paths per square meter N = 0. In particular, N = 0 implies no refreezing of the preferential flow water (Wever et al.,

2016). Similarly to Wever et al. (2016), SNOWPACK simulations are were carried out at high vertical resolution, with a layer merging threshold of 0.25 cm and new snow layer initialization of 0.5 cm. High resolution is necessary to permit the formation
of very thin high density layers.

#### 2.2.2 Implementation of ice reservoir

A new parameterization of ice layer formation due to preferential flow was implemented as a complement to the RE/PF scheme. It is summarized in Fig. 2. In the RE/PF scheme, when the saturation in the preferential flow domain exceeds the threshold  $\Theta_{th}$ , water flows back to the matrix domain<del>depending on the freezing capacity</del>. First, a volume of water corresponding to the valiable freezing capacity is instantly frozen and added uniformly to the ice content of the matrix domain<del>; if the threshold is</del>

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still exceeded then, saturations in both domains are equalized (Wever et al., 2016). Thus, the part of water that freezes in the matrix domain is spread in the whole layer, while ice lenses. Ice lenses, to the contrary, may only form locally at the base of the flow fingers. If the threshold is still exceeded then, saturations in both domains are equalized (Wever et al., 2016).

To overcome this issuebetter reproduce the formation of continuous ice layers from discontinuous and growing ice lenses, we developed an ice reservoir parameterization. The water normally transferred from the preferential flow domain to the matrix

domain that freezes instantly is stored in an ice reservoir (step 4 in Fig. 2), instead of being added to the ice volumetric content of the matrix. The ice reservoir is representative of the volumetric content of ice lenses (i.e. spatially heterogeneous discontinuous ice) in a given layer. The transferred water that does not freeze goes in the matrix domain, i.e. is spread homogeneously (step 5 in Fig. 2).

- Furthermore, the saturation threshold in the PF domain (Wever et al., 2016) was chosen as a simple solution to the unability of Richards equation to model the saturation overshoot present in the tip of flow fingers (DiCarlo, 2007). This simple parameterization can lead to inconsistencies due to the vertical discretization of the simulated snowpack. After water has been transferred to the matrix at the layer corresponding to the finger tip (i.e. where the saturation threshold was exceeded), the highest saturation is then reached more likely at the layer above, where no water transfer occurred, because water percolation from
- 165 this layer to the finger tip layer only occurs at the next time step. Because of that, the water transfer from PF domain to matrix domain may spread over too many layers, instead of being concentrated in the lowest layer (i.e. the tip of the flow finger). To overcome this issue, the ice reservoir was cumulated in the lowest layer. When the ice volumetric content of the cumulated ice reservoir added to the ice volumetric content and water volumetric content of the associated matrix layer exceeds the corresponding ice density threshold of 700 kg m<sup>-3</sup> in SNOWPACK, there is enough ice to consider it as horizontally homogeneous:
- 170 the ice content of the cumulated ice reservoir is then transferred to the associated matrix layer (step 6 in Fig. 2). As long as it is kept in the ice reservoir, the forming ice has no effect on the water transport in the matrix domain that still follows the RE/PF scheme (Wever et al., 2014, 2016). Furthermore, we neglect any impact the ice reservoir, which is interpreted as ice lenses, may have on hydraulic properties (e.g. local hydraulic barrier effect). Simulations with the ice reservoir parameterization are called RE/PF/IceR hereafter.
- 175 The implementation of the ice reservoir parameterization in the SNOWPACK source code is publicly available (see Code and data availability Section).

#### **3** Results

#### 3.1 Insights from the observation dataset

#### 3.1.1 Overview of the winter season

- 180 At WFJ, winter 2016/2017 started with a shallow snowpack of approximately 30 cm at the beginning of November, followed by a extended period of calm weather, forming a base layer made of depth hoar crystals (Richter et al., 2019). This layer was covered by new snow at the end of December, and several small snow storms lead to a maximum snow depth of 205 cm on 10 March, i.e. slightly lower than the average maximum snow depth. The snowpack had entirely melted on 14 June. Overall, this winter was characterized by lower snow depth than long-term averages in the region.
- 185 Figure 3 represents the evolution of grain types within the snowpack as observed in traditional snow profiles. Two layers of particular interest are tracked during the whole winter. Layer 1 corresponds to surface hoar formed at the end of January. This layer is continuously identified as buried surface hoar (as primary or secondary grain) until the end of March, with a grain



Figure 3. Visual observations of grain shapes at WFJ during winter 2017. Colours, symbols and codes are defined following the grain shape classification of Fierz et al. (2009), fuchsia crosses represent surface hoar as secondary grain shape, cyan crosses represent ice lenses. Rectangles highlight layers 1 and 2, with dashed lines before ice formation and solid lines afterwards.

size substantially larger than the layer above (consisting of rounded grains or faceted crystals), thus constituting a capillary barrier with a classical fine-over-coarse structure (e.g. Avanzi et al., 2016). Ice is observed above this barrier from 28 March, 190 either as a homogeneous layer or as ice lenses mixed with melt forms (red in Fig. 3). Thicknesses between 0.5 cm and 1 cm were reported. The presence of nearby slopes (NNW of the snow profile corridors in Fig. 1) may suggest a water input through lateral flow along the capillary barrier. Simulations (not taking into account lateral flow) may provide complementary insights to determine whether vertical preferential flow was sufficient to form an ice layer. Layer 2 corresponds to surface hoar appearing in mid-February, and forming a capillary barrier once buried. An ice layer is observed at that level in most profiles after 28 March.

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#### 3.1.2 Water percolation and snowpack runoff

Figure 4 represents lysimeter measurements of the snowpack runoff together with the height of the water front estimated from the upGPR measurements, from 1 March to 15 April, i.e. the transition period from dry to isothermal snowpack. Shown underneath are the snow temperature measurements in the lowest meter of the snowpack, at fixed intervals of 20 cm. The height of water front could only be derived until 8 April due to technical issues. Before 30 March (first dashed line), no snowpack 200 runoff was observed, the water front was always higher than 1 m, and temperatures in the lowest first meter of the snowpack were all below 0°C. Between 30 March and 9 April (second dashed line), the water front remained high (mostly higher than 1 m), a small amount of snowpack runoff was observed (less than  $3 \text{ kg m}^{-2} \text{ day}^{-1}$ ). Snow temperatures gradually increased to reach  $0^{\circ}$ C by the end of the period in the lowest meter of the snowpack. From 9 April, all temperatures in the lowest meter



Figure 4. Top: evolution of the snow depth (black line), height of the water front (blue line) and daily snowpack runoff (blue bars), from 1 March 2017 to 15 April 2017 at WFJ. Bottom: measured snow temperatures at different heights above the ground, same period, same location. Dashed lines indicate 30 March and 9 April.

205 reach 0°C and snowpack runoff increases. Although after 9 April no more water front estimates were available from the upGPR data, it reached the lowest value (85 cm) on 8 April. The snowpack runoff is yet low compared to the more significant runoff starting in mid-May, as shown in Fig. 5: the first  $20 \text{ kg m}^{-2}$  of total snowpack runoff are reached on 10 April, while the first day with a daily snowpack runoff higher than  $10 \text{ kg m}^{-2} \text{ day}^{-1}$  is 13 May.

These measurements give insights in the timing of water percolation in the snowpack. Before 9 April, the bottom of the snowpack was cold and dry while the water front was still mostly above 100 cm. The low snowpack runoff values were thus 210 likely due to preferential flow paths reaching the ground. After 9 April, the lowest meter of the snowpack reaches an isothermal state at  $0^{\circ}C$  : and snowpack runoff increases markedly and is likely due to matrix flow reaching the ground.

#### 3.1.3 Capillary barriers and ice layers

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To study the formation of ice through preferential flow in subfreezing snow, we focus on the lowest meter of the snowpack. In this part, the manual snow profiles enable to identify three main capillary barriers (Fig. 3): the two layers of buried surface hoar where ice forms at the end of March (defined as layers 1 and 2 previously) and the top of the depth hoar base layer, where



Figure 5. Total snowpack runoff from 15 March 2017 to 15 June 2017 at WFJ. Dashed lines indicate 30 March and 9 April.



**Figure 6.** Daily SMP measurements of penetration resistance (mm averaged values) at WFJ from 1 February to 19 April, with one representative profile per day. <u>Values higher than 2 N are shown with the same color. Rectangles highlight the approximate location of layers 1 and 2, with dashed lines before ice formation and solid lines afterwards.</u>

higher water content is observed in April and May. Layers 1 and 2 are marked by grain size heterogeneity with the overlying layers: on 28 February, 1 mm over 2.5 mm for layer 1, 0.5 mm over 2 mm for layer 2.

Daily SMP measurements enable to more clearly identify the temporal and spatial variability of ice formation. Figure 6 or represents the evolution of penetration resistance from 1 February to 19 April, with a scale from 0 N to 2 N to highlight variations in dry snow. The deep MFcr is visible in the middle of a low resistance depth hoar layer, at approximately 20 cm. In

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Figure 7. a) Height of SMP penetration resistances between 5 N and 10 N (red) and higher than 10 N (cyan) below 1 m, from 1 February to 19 April at WFJ. b) Visual observations of melt-freeze crusts (MFcr), ice layers (IFil) and ice lenses, same period and location. Daily manual measurements of snow depth in solid black line. Rectangles highlight layers 1 and 2.

February and March, the highest values in the middle of the snowpack correspond to dense layers of faceted crystals (Fig. 3). In March, the buried surface hoar of layer 1 is marked by a lower penetration resistance than the surrounding faceted crystals. Layer 2 exhibits less heterogeneity with surrounding layers. Overall, the penetration resistance increases substantially from the

- 225 end of March on with the progressive wetting, particularly at the top of the snowpack where many melt-freeze crusts form. Figure 7a represents penetration resistances higher than thresholds of 5 N and 10 N, below 100 cm, including all daily SMP measurements. These values were chosen after comparison of matching traditional and SMP profiles, to better highlight crusts and ice forms. Except the deep MFcr mostly visible until the end of March, two high resistance layers can be identified from 29 March: they match the visual identification of ice layers 1 and 2 (Fig. 7b). They can be tracked until mid-April, but are not
- present on all SMP profiles. 230

The penetration resistance measured at these two layers was tracked in the SMP profiles. To identify these layers, all SMP profiles were superimposed on the traditional profile observed at the closest date, compared to each other for, Penetration resistances were associated to observed snow layers given their grain type and hand hardness index. To identify consistent patterns, the SMP profiles of a given day and the were also compared among each other and with those of the previous and

next day. The value of SMP penetration resistance in these layers was then visually picked. Figure 8 shows an example of this

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The evolution of the penetration resistance of the two tracked layers is plotted in Fig. 9 from 14 March to 19 April. For layer 1, the penetration resistance remains very low (less than 1 N) until 24 March. It corresponds to the observed layer of buried surface hoar. On 29 March, all seven SMP measurements show penetration resistance higher than 10 N indicating the continuous presence of ice. Afterwards, values alternate between low resistance (less than 5 N) and very high resistance (more 240 than 10 N), as visible in Fig. 8 on 4 April. This is consistent with the visual observations reporting a layer in which pure ice and melt forms are both observed. These observations suggest that water ponding at the capillary barrier did not freeze everywhere on the study plot where we performed these measurements. For layer 2, very low penetration resistances are also measured until 24 March, corresponding to the observed buried surface hoar. After 29 March, values increase (mostly higher

procedure for two SMP profiles of 4 April 2017.



**Figure 8.** Two samples of SMP profiles of 4 April 2017 (black line), superimposed on the traditional snow profile of the same date. Colours referring to the grain shape and hand hardness index are defined accordingly to the classification of Fierz et al. (2009). <u>Cyan crosses indicate</u> ice lenses. Dashed rectangles highlight layers 1 and 2. Black crosses indicate the penetration resistance picked for each layer.

245 than 5 N, often more than 10 N), indicating formation of ice. After 9 April, no more high resistances are measured, rather low resistances corresponding to a wet layer of melt forms. The evolution of penetration resistances for layer 2 show more temporal consistency than layer 1, suggesting that the ice layer disappears totally after 9 April.

#### 3.2 Assessment of snowpack simulations

#### 3.2.1 With different water transport schemes

250 Simulations of winter 2017 were first performed with the three water transport schemes existing in SNOWPACK (BA, RE and RE/PF). For the RE/PF simulation, Fig. 10 shows the grain shape and liquid water content in the PF domain for the month of February. Buried surface hoar of layer 1 (represented in fuchsia, at approximately 80 cm) is well simulated at the beginning of February. The capillary barrier of layer 2 is also well initiated in mid-February on the surface. However, a thick melt-



Figure 9. Evolution of the penetration resistance of a) layer 1 and b) layer 2, manually tracked in the SMP profiles, from 14 March to 19 April. Thresholds of 5 N and 10 N are indicated in red and cyan respectively, accordingly to Fig. 7.



Figure 10. SNOWPACK simulation RE/PF, February 2017 at WFJ: a) grain shape, according to the classification of Fierz et al. (2009); b) liquid water content in the PF domain. Rectangles highlight layers 1 and 2.

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freeze crust forms at layer 1 on 10 February (represented in hatched red, Fig. 10a). It is associated with some melting close to the surface leading to preferential water flow refreezing at capillary barrier of layer 1 (Fig. 10b). The water transferred for refreezing in the matrix domain is spread homogeneously which forms a crust with a density of approximately  $320 \text{ kg m}^{-3}$ . The transfer spreads vertically due to the issues mentioned in Sect. 2.2.2. This thick melt-freeze crust was not observed in the manual profiles nor the SMP measurements. Other melt-freeze crusts form close to the surface from mid-February. They were observed (Fig. 3) but were thinner than the simulated ones. A little surface melting is simulated on 1 February and leads to preferential flow (Fig. 10). Contrary to later simulated melting in mid-February, it was not observed: the measured snow 260 surface temperature remained slightly under melting point. This simulation error is likely due to excessive surface turbulent fluxes input. New simulations were run without this melt water input, with no effect on the later snowpack structure due to the

limited melting amount.



**Figure 11.** SNOWPACK simulation RE/PF, from 15 March to 15 April 2017 at WFJ: a) grain shape, according to the classification of Fierz et al. (2009); b) liquid water content in the matrix domain. Rectangles highlight layers 1 and 2.

- Figure 11 shows the grain shape and the liquid water content in the matrix domain from 15 March to 15 April, i.e. the
  period of transition from dry to ripe snowpack when ice layers formed (Sect. 3.1). No ice layer forms, except at the snowpack basis, which is probably due to a boundary effect at the interface between snow and soil. The thick melt-freeze crust is still present at layer 1, thus no ice layer forms (Fig. 11a). However, a higher water retention is simulated (Fig. 11b). Due to the excessive formation of melt-freeze crusts, the simulated snow microstructure at layer 2 does not reproduce the observed snow microstructure and the capillary barrier, thus no ice layer forms. Matrix flow reaches the ground and the snowpack is entirely
  isothermal on 31 March (Fig. 11b), i.e. 9 days before the observations (Sect. 3.1.2). On 31 March, the water front was actually
- 270 isothermal on 31 March (Fig. 11b), i.e. 9 days before the observations (Sect. 3.1.2). On 31 March, the water front was actually observed at around 100 cm (Fig. 4), hence a too early simulated water front progression.

A sensitivity study on the parameters of the dual-domain approach ( $\Theta_{th}$  and N) was performed, but could not resolve the issues about ice formation highlighted here. Simulations were also analyzed in terms of snowpack runoff, confirming earlier findings (Wever et al., 2016; Würzer et al., 2017). The BA scheme underestimates the snowpack runoff, the RE scheme overestimates it, and the addition of preferential flow increases this overestimation (not shown). The onset of snowpack runoff

is delayed compared to observations for BA and RE, because preferential flow is not simulated, but RE/PF simulations show

3.2.2 With ice reservoir parameterization

the onset of snowpack runoff too early.

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Simulations were also performed with the ice reservoir parameterization (RE/PF/IceR) to assess its ability to improve the simulation of ice layer formation compared to the previous simulations. Figure 12 shows the grain shape and liquid water content in the PF domain for the month of February. Contrary Similarly to the RE/PF simulation, the fine-over-coarse grain structure leads to water ponding in the PF domain at layer 1. But contrary to the RE/PF simulation, no melt-freeze crust forms at layer 1 (Fig. 12a): the water leaving the PF domain and refreezing is in too low quantity to be considered as representative of the mean state of the snowpack in this layer, it is thus stored in the ice reservoir. The fine-over-coarse grain transition forming a



**Figure 12.** SNOWPACK simulation RE/PF/IceR, February 2017 at WFJ: a) grain shape, according to the classification of Fierz et al. (2009); b) liquid water content in the PF domain. Rectangles highlight layers 1 and 2.

- 285 capillary barrier is preserved. Note that liquid water content in the PF domain (Fig. 12b) is almost not modified compared to the RE/PF simulation (Fig. 10b). Liquid water transport is similar, and in particular the vertical spreading of water ponding, but ice in the reservoir is concentrated at the capillary barrier. The ice kept in the reservoir has indeed no effect on water transport and microstructural changes in the matrix. At the end of February, less melt-freeze crusts are formed than in the RE/PF simulation, even though the ones surrounding layer 2 are also thicker than observed.
- Figure 13 shows the grain shape and the liquid water content in the matrix domain from 15 March to 15 April. A basal ice layer forms similarly to the RE/PF simulation. Contrary to the RE/PF simulation, the capillary barrier of layer 1 is still present (Fig. 13a). Melt forms appear at the layer transition from 22 March, and an ice layer forms in the matrix domain on 24 March, i.e. 4 to 5 days earlier than observed (Sect. 3.1.1 and Sect. 3.1.3). At this date, ice is transferred from the ice reservoir to the matrix domain (Fig. 14). The ice layer formed is 43 mm thick, with a dry density of 821 kg m<sup>-3</sup> and a significant volumetric
  liquid water content of θ<sub>matrix</sub> = 7.8% and θ<sub>PF</sub> = 1.7% (on 24 March 13 UTC). Similarly to the RE/PF simulation, no ice forms at layer 2 due to the presence of melt-freeze crusts. However, less melt-freeze crusts are simulated in the snowpack, which is more in accordance with the observations. The matrix water flow reaches the ground on 30 March (Fig. 13b), i.e. 10 days before the observations (Sect. 3.1.2). The water front progression occurs too early, similarly to the RE/PF simulations. The ice reservoir does not modify the snowpack runoff compared to the RE/PF simulations (not shown).

#### 300 3.2.3 Simulations over several winter seasons

To assess the impact of the ice reservoir parameterization on ice formation in the SNOWPACK model, simulations at WFJ are performed over 17 winters from 1999/2000 to 2015/2016, using the RE/PF and the RE/PF/IceR configurations. Only traditional snow profiles are available for evaluation, similarly to Wever et al. (2016). Ice layers at the snow-soil interface are not taken into account because of changing snowpack base in the observations over the winters (wooden board, gravel) and possible boundary

305 effects in the simulations. Only simulated ice layers are verified against observations, to calculate hits (number of simulated



**Figure 13.** SNOWPACK simulation RE/PF/IceR, from 15 March to 15 April 2017 at WFJ: a) grain shape, according to the classification of Fierz et al. (2009); b) liquid water content in the matrix domain. Rectangles highlight layers 1 and 2, with dashed lines before ice formation in the matrix domain and solid lines afterwards.



**Figure 14.** SNOWPACK simulation RE/PF/IceR, from 15 March to 15 April 2017 at WFJ: volumetric ice content in the cumulated ice reservoir. Rectangles highlight layers 1 and 2, with dashed lines before ice formation in the matrix domain and solid lines afterwards.

ice layers matching an observation) and false alarms (number of simulated ice layers that do not match any observation). A height difference of 20 cm is used for matching of simulations and observations, similarly to Wever et al. (2016). We assume the formation date of an observed ice layer is comprised between the last snowpack profile without observed ice layer and the first profile where it is indicated. If the simulation date is more than one month away from the observed formation time interval, or if the height difference is more than 20 cm, the event is considered as a false alarm. <u>Missed events (observed ice layers that</u>

are not simulated) are not counted. Indeed, attributing fortnightly visual ice observations to a unique observed ice layer can be

**Table 1.** Hits (HI) and False Alarms (FA) of simulated ice layers for RE/PF and RE/PF/IceR simulations at WFJ, for 17 winter seasons, from 1999/2000 to 2015/2016. HI (height and date): simulated ice layers that match an observed one formed at less than 20 cm of height difference and in the same time interval. HI (height only): simulated ice layers that match an observed one formed at less than 20 cm of height difference and less than one month away from the observed time interval. FA: simulated but not observed ice layers (or more than one month away from the simulated formation).

	HI (height and date)	HI (height only)	FA
RE/PF	3	3	6
RE/PF/IceR	5	10	1

very ambiguous, contrary to simulated ice layers that persist in time. Results of this multi-year evaluation are summarized in Table 1.

- Overall, the addition of the ice reservoir parameterization to the RE/PF scheme enables to form more ice layers, with a higher number of hits (15 against 6, with a one month tolerance) and a lower number of false alarms (1 against 6). The simulated ice formation date is in average 22 days earlier than the observation interval for the RE/PF scheme and 4 days earlier for the RE/PF/IceR scheme. The too early ice formation with the RE/PF scheme is consistent with the overestimation of simulated early season snowpack runoff from preferential flow as suggested by Wever et al. (2016). It is logically delayed in RE/PF/IceR scheme simulations because the ice transits through the ice reservoir before being transferred to the matrix. The RE/PF/IceR config-
- 320 uration also mitigates the number of unobserved early melt-freeze crusts compared to the RE/PF configuration (not shown), as already highlighted for season 2016/2017 (Fig. 10 and Fig. 12). However, a very high number of ground ice layers were simulated (17 for the RE/PF/IceR scheme against 8 for the RE/PF scheme). This number is probably excessive, and due to possible snow-soil boundary effects.

#### 4 Discussion

325 This <u>case-detailed</u> study of ice layer formation at Weissfluhjoch enables to assess both a comprehensive observation dataset and <u>detailed state-of-the-art</u> 1D snowpack simulations for monitoring a complex process. We discuss hereafter the relevance of these methods and results.

First, the combined use of traditional snow profiles with measurements of higher temporal resolution like the SMP provides a suitable observation framework to study the transition period from dry to isothermal snowpack when ice formations appear
due to preferential flow. Snowpack runoff measurements associated with snow temperature sensors and upGPR-derived water front gave insights about the homogeneous wetting of the snowpack and the period when the bottom of the snowpack was primarily affected by preferential flow. SMP profiles of penetration resistance showed clear signals of ice presence, when compared to visual observations, with values higher than 10 N (Fig. 7) while penetration resistances in dry snow were mostly lower

than 2 N (Fig. 6) and melt-freeze crusts were characterized by intermediate penetration resistances (usually between 5 N and

- 335 10 N, Fig. 7). Identification of ice layers with several SMP profiles regularly spaced also offers a more quantitative estimate of ice heterogeneity than a subjective visual observation. However, the temporal and spatial variabilities may be complex to distinguish, as shown for layer 1 (Fig. 9). The visual layer tracking of SMP profiles is also a source of uncertainties. Hagen-muller and Pilloix (2016) developed a method matching several hardness profiles to synthesize them into one representative profile. This method was not considered relevant for the present study which focuses on local heterogeneity of ice layers.
- 340 Moreover, when ice layers are too thick, the SMP cannot go through them as happens often in spring. For winter 2017 at WFJ, no complete SMP profile could be performed after 19 April. Finally, the difficulty to identify the exact date of ice formation with biweekly or to attribute isolated, fortnightly ice layer observations in traditional snowpack profiles to a unique ice layer (Sect. 3.2.3) highlights the added value of the more comprehensive observation dataset used for winter 2016/2017. Overall, this comprehensive high-resolution dataset (also including detailed measurements of density and specific surface area of the
- 345 snow; Calonne et al., 2020) provides valuable information for a thorough validation of today's and future snow cover models. One-dimensional SNOWPACK simulations provide complementary insights to the observation dataset, despite the spatial heterogeneity of ice layer formation due to preferential flow. The addition of an ice reservoir enables to parameterize the local formation of ice at capillary barriers: it may thus be considered as representative of the volumetric content of ice lenses at a given layer. These local specificities are not taken into account in the matrix domain, which is the mean state of the snow-
- 350 pack, until they become homogeneously spread. This parameterization, which delays microstructural changes in the matrix due to liquid water flow, is consistent with recent findings of Hirashima et al. (2019) who showed that preferential flow paths migrate, thus gradually affecting the original snow microstructure. Several limitations may be noted. The matrix flow modeled by Richards equation occurs too early and leads to an excessive snowpack runoff, which is even more enhanced by preferential flow. This may explain the too early formation of ice layer 1: the matrix water front reaches this level on 24 March in
- 355 simulations, while it is observed higher than 1 m on 24 March and the surrounding layers are still dry on 28 March in the observations when the first ice lenses are observed. In addition, the performance of the RE/PF water transport scheme strongly depends on a good representation of the snow microstructure by SNOWPACK, and particularly the grain radius and snow density. For instance, no ice nor water ponding are simulated at layer 2 during winter 2017 because the observed capillary barrier structure (rounded grains and faceted crystals above surface hoar) is not adequately represented (unobserved melt-freeze
- 360 crusts above layer 2). Finally, the implementation of the ice reservoir is meant to improve the representation of ice formation within the 1D framework of the RE/PF dual-domain approach, but it does not mitigate the limitations of the preferential flow parameterization. In particular, the vertical spreading of water flowing back from preferential to matrix domain is not solved: its effect on ice formation is only mitigated with the cumulated ice reservoir. Advances in preferential water flow modelling in the snowpack have recently been developed by Leroux and Pomeroy (2017, 2019) to tackle the capillary hysteresis effect and
- 365 capillary pressure overshoot. They could be considered to improve the representation of preferential flow in the SNOWPACK model, through a more accurate determination of capillary pressure at the tip of the preferential flow path, with effects on the water transfer from preferential flow to matrix domain.

Despite the limitations inherent to 1D simulation of preferential flow, the dual-domain approach combined to the ice reservoir parameterization in SNOWPACK provides relevant information concerning deep ice layer formation. The ice reservoir limits

370 the formation of unobserved early melt-freeze crusts and, overall, enables to simulate more observed ice layers. For the ease detailed study of winter 2017, it gives complementary insights on the formation of ice layer 1: according to the simulations, the vertical preferential flow was sufficient to form the ice layer, even though a possible contribution of lateral flow cannot be totally excluded.

#### 5 Conclusions

- 375 We presented here a <u>case study of detailed study of deep</u> ice layer formation in the snowpack due to preferential water flow at Weissfluhjoch, a high-altitude alpine site. Monitoring deep ice layers is of particular relevance for many applications, but is challenging in natural snow conditions. This research proposed an approach based on the combined use of a novel comprehensive observation dataset at high temporal resolution and detailed <del>snowpack modelling , during winter 2017. snow-cover</del> modelling with improved ice formation representation.
- 380 Weekly traditional snow profiles, snowpack runoff and temperature measurements as well as upGPR-derived water front height enabled to better monitor the dry-to-wet transition period between mid-March and mid-April 2017. In particular, the first days of measured snowpack runoff could be attributed to preferential water flow, and the exact date of first isothermal snowpack with matrix water flow reaching the ground could be identified. Daily penetration resistances measured by SMP with a SnowMicroPen (SMP) gave more accurate insights on ice layers, in complement to the traditional visual observations. Through
- 385 comparisons with the visual observations, penetration resistance thresholds of 5 N and 10 N in SMP profiles could be defined for the identification of melt-freeze crusts and ice layers, respectively. Ice formation could be monitored at higher temporal resolution, and the use of several profiles per day gave more quantitative information on ice spatial heterogeneitydiscontinuity. The daily succession of profiles also enabled to track the two main capillary barriers where ice formed, providing additional information on the evolution of the layers.
- 390 One-dimensional SNOWPACK simulations, including a parameterization of preferential flow, showed an overall good representation of the snowpack structure, but a too early matrix wetting associated with an excessive snowpack runoff. The observed ice layers were not simulated due to the early formation of thick melt-freeze crusts, explained by limitations of the preferential flow scheme. We developed an ice reservoir parameterization to mitigate these limitations, with freezing water transferred from the preferential flow domain to a-an ice reservoir. The ice was included in the matrix domain when the layer could be con-
- 395 sidered to be continuous. This parameterization improved the simulation of winter 2017 with a limited number of unobserved early melt-freeze crusts and the formation of one ice layer. However, the early transition to a wet snowpack was not improved, as the water transport was not modified. The ice reservoir scheme also showed improvements for the simulation of ice layers over past seasons.

These simulations highlighted the relevance of detailed snowpack snow-cover models for the modelling of complex phe-

400 nomena like deep ice layers formed by preferential water flow, since an accurate representation of the snow microstructure is necessary. Recent advances in preferential flow observations and modelling could contribute to strengthen water transport representation. This project study also underlined the importance of comprehensive observation datasets for the validation of complex snow models. Collecting high-resolution data over more winter seasons should will further improve the understanding of deep ice layer formation, particularly concerning their density, their impermeability and their evolution in the late melting season. Ice reservoir simulations also call for further experiments on large snowpack samples, similar to Yamaguchi et al. (2018), focusing on the formation of discontinuous ice lenses due to preferential water flow.

*Code and data availability.* The dataset used in the paper will be available on the EnviDat database (doi will be provided). The ice reservoir parameterization for SNOWPACK will be available on models.slf.ch.

Author contributions. CF and AvH designed the study, carried out the field measurements and were responsible for the maintenance of

410 the equipment. LQ was responsible for the modelling strategy, analyzed the measurements and simulations and wrote the manuscript. DL processed the upGPR data to estimate the location of the water front. CF, AvH and NW helped to analyze measurements and simulations. All authors contributed to the manuscript.

*Competing interests.* The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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