

Comments to the Author:

Dear authors,

Thank you for your submission to TC/TCD. As you may know, papers accepted for TCD appear immediately on the web for comment and review. Before publication in TCD, all papers undergo a rapid access review undertaken by the editor and/or reviewer with the aim of providing initial quality control. It is not a full review and the key concerns are fit to the journal remit, basic quality issues and sufficient significance, originality and/or novelty to warrant publication. As a result, even a manuscript ranked highly during access review can receive a low ranking during full peer review later. Evaluation criteria are found at www.the-cryosphere.net/review/ms_evaluation_criteria.html. Grades are from 1 (excellent) to 4 (poor).

R: Thank the Editor very much for handling the manuscript. We have taken into account all the comments from the Editor and Referees, and made revisions. Please check the responses and the revised manuscript.

ORIGINALITY / NOVELTY (1-4): 2

Although many studies have reported on core-shell Mie calculations of absorption enhancements, few have linked these studies to coated particles in snow.

R: Yes, this study explicitly resolved the optical properties of coated BC in snow, based on core/shell Mie theory and a snow, ice, and aerosol radiative model (SNICAR), which was commonly ignored in previous studies. Our study indicates the nonnegligible enhancement of snow albedo reduction due to the 'BC coating effect'.

SCIENTIFIC QUALITY / RIGOR (1-4): 3

The study seems to adequately explore the relevant parameter space for core-shell Mie calculations, but omits some of the larger picture context of how appropriate these calculations

are for reality. Although Mie calculations are widely used, BC particles are rarely spherical, and BC mixtures with other species are likely much more complex than uniform coatings on spheres. The assumption that all measured OC resides as coating on BC particles also seems somewhat dubious. Furthermore, many of these BC/OC particles reside within ice grains in the snow, as described in previous works, further complicating the radiative transfer in snow.

R: We agree with the Editor that in real environments, BC mixtures with other species are likely much more complex than uniform coatings on spheres. However, a recent study observing individual particle structure and mixing states between the glacier–snowpack and atmosphere based on field measurements and laboratory transmission electron microscope (TEM) and energy dispersive X-ray spectrometer (EDX) instrument analysis (Dong et al., 2018) told the truth. They found that fresh BC particles are generally characterized with fractal morphology, which has a large quantity in the atmosphere. However, in the snowpack, aged BC particles dominated the BC content and the mixing states of aged BC particles change largely to the internal mixing forms with BC as the core. This process is characterized by the initial transformation from a fractal structure to spherical morphology and the subsequent growth of fully compact particles during the transport and deposition process. Therefore, a core-shell assumption for coated BC in snowpack seems to be plausible. In addition, most field measurements can not capture the explicit structure of coated BC due to limited observation methods, therefore even if a model for explicit BC structure was developed, researchers are hard to use it for studying the effect of coated BC on snow albedo reductions at present. Moreover, a core-shell assumption for coated BC in the atmosphere is widely applied by most global climate models (e.g. Jacobson, 2001; Bond et al. 2013), so that our parameterizations can be easily linked to most climate models. In summary, we indicate that a core-shell assumption for coated BC in snowpack is plausible and practical for field observations and model simulations at present in despite of the possible uncertainties. However, with the

developments of measurement methods and climate models, building a more explicit structure for coated BC in snowpack is actually needed in the future. We have added these discussions in Section 3.4 from Page 19 Lines 15-21 to Page 20 Lines 1-17.

The assumption that all measured OC resides as coating on BC particles were mainly used to show the upper bound of coating effect on snow albedo reduction, which was comparable with the previous studies (e.g. He et al. 2018c). We have added a clarification in the text from Page 22 Line 21 to Page 23 Lines 1-3.

We have added discussions about the mixing of BC and grains in the text, and we demonstrated that users can combine the empirical parameterizations by He et al. (2018c) and Dang et al. (2016) with the empirical parameterizations by us to study the effect of the internal mixing of BC with snow grains, snow grain shape, and coated BC on snow albedo. Details can be seen in our responses to Referee 1 and revised manuscript.

References:

- Bond, T. C., Doherty, S. J., Fahey, D. W., Forster, P. M., Berntsen, T., DeAngelo, B. J., Flanner, M. G., Ghan, S., Karcher, B., Koch, D., Kinne, S., Kondo, Y., Quinn, P. K., Sarofim, M. C., Schultz, M. G., Schulz, M., Venkataraman, C., Zhang, H., Zhang, S., Bellouin, N., Guttikunda, S. K., Hopke, P. K., Jacobson, M. Z., Kaiser, J. W., Klimont, Z., Lohmann, U., Schwarz, J. P., Shindell, D., Storelvmo, T., Warren, S. G., and Zender, C. S.: Bounding the role of black carbon in the climate system: A scientific assessment, *J. Geophys. Res.-Atmos.*, 118, 5380-5552, 2013.
- Dang, C., Fu, Q., and Warren, S. G.: Effect of Snow Grain Shape on Snow Albedo, *J. Atmos. Sci.*, 73, 3573-3583, 2016.
- Dong, Z., Kang, S., Qin, D., Shao, Y., Ulbrich, S., and Qin, X.: Variability in individual particle structure and mixing states between the glacier–snowpack and atmosphere in the

northeastern Tibetan Plateau, *The Cryosphere*, 12, 3877-3890, 2018.

He, C. L., Liou, K. N., Takano, Y., Yang, P., Qi, L., and Chen, F.: Impact of Grain Shape and Multiple Black Carbon Internal Mixing on Snow Albedo: Parameterization and Radiative Effect Analysis, *J Geophys Res-Atmos*, 123, 1253-1268, 2018c.

Jacobson, M. Z.: Strong radiative heating due to the mixing state of black carbon in atmospheric aerosols, *Nature*, 409, 695-697, 2001.

SIGNIFICANCE / IMPACT (1-4): 3

A helpful parameterization is presented that would allow for simplified treatment of coatings, but much uncertainty exists in the applicability of core-shell approximations and of the actual geometry of BC/OC internal mixtures.

R: As seen above, why we used a core-shell assumption for coated BC has been demonstrated, which has been added in the text to improve the reasonability and practicality of our study.

PRESENTATION QUALITY (1-4): 2

The figures seem to present nicely, though the font size of some of the axis labels could be made bigger.

R: We have revised the figures according to your suggestions.

In summary, the paper is a useful and novel contribution and is worth publishing in TCD.

Thanks for your contribution.

R: Thanks very much for the Editor's positive evaluations and valuable comments.

Anonymous Referee #1

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The authors investigated the coating effect of BC on BC-induced snow albedo reduction by using core/shell Mie calculations and SNICAR model. They found that BC coating can enhance snow albedo reduction by up to 80% and 30% for non-absorbing and absorbing coating, respectively. They further developed an empirical parameterization for BC coating effect on snow albedo and applied their calculations to different regions based on in-situ measured BC and OC concentrations in snow. This study could help advance our understanding of the role of BC in interacting with snowpack and potentially reduce the uncertainty in estimates of BC-snow albedo radiative effect. The manuscript is generally well-written in terms of language and structure. I have a few comments and suggestions for the authors to consider. Particularly, there are still some places that require more discussions and further clarifications.

R: We are very grateful for the referee's positive evaluations and valuable comments. The followings are our point by point responses to the comments. Our responses start with "R:".

Specific comments:

1. The authors assume BC coated by sulfate and OC in snow, which is fine for the purpose of theoretical calculations. However, one important issue related to the coated BC in snowpack is that in reality, many coating materials are soluble (e.g., sulfate and some organics) and will presumably dissolve into BC-containing hydrometeors during wet deposition onto snow surface. Hence, it may not be realistic to assume BC coated by sulfate (and even some OC) in snowpack. I understand this is a complicated problem, and the solubility of BC coating materials heavily relies on the chemical composition. I am not sure if the authors noticed any observations regarding BC coating in snow. If yes, this should be mentioned in the text. If there

is no available observation, the authors could at least discuss this issue in the introduction.

R: Thanks for the referee's critical comment. We agreed with the referee's comment that sulfates in the atmosphere acting as coating materials to form coated BC may be partly dissolved during wet deposition. However, this progress doesn't mean that the sulfate-coated BC will be disappeared in real snowpack. A recent study observing individual particle structure and mixing states between the glacier-snowpack and atmosphere based on field measurements and laboratory transmission electron microscope (TEM) and energy dispersive X-ray spectrometer (EDX) instrument analysis (Dong et al., 2018) found that the sulfate components were actually reduced with precipitating snow, while the sulfate-coated BC were still observed during real snowpack in spite of its lower proportion than that in the atmosphere due to dissolution effect. For OC, that study didn't observe reduced OC components in LAPs, which means that dissolution effect will not cause significant reductions of OC-coated BC particles in real snowpack. More notably, that study further found that the proportion of coated BC was even higher in snowpack than that in the atmosphere. All of the above observation results demonstrated that sulfate- and OC-coated are existed in real snowpack and the coated BC particles in snowpack was even more common than that in the atmosphere so that our study focusing on the enhancement of snow albedo reduction and radiative forcing due to coated black carbon in snow is actually helpful in advancing our understanding of the role of BC in interacting with snowpack and potentially reducing the uncertainty in estimates of BC-snow albedo radiative effect.

The referee's comments are still much helpful and make us understand the omission that we didn't mention the facts that coated BC were commonly existed in the snowpack in our study. Therefore, we have added some contents to demonstrate the existence of coated BC in snowpack in "Introduction" section as follows:

“However, a problem is that whether coated BC is existed in real snowpack because the coating materials (e.g. salts and OC) of coated BC may be dissolved during wet deposition. A recent study observing individual particle structure and mixing states between the glacier–snowpack and atmosphere based on field measurements and laboratory transmission electron microscope (TEM) and energy dispersive X-ray spectrometer (EDX) instrument analysis (Dong et al., 2018) told the truth. They found that the salt-coated BC was still observed in real snowpack in spite of its lower proportion than that in the atmosphere due to the dissolution effect within precipitating snow. For OC, that study didn’t observe reduced OC components in LAPs. More notably, that study further found that the proportion of coated BC was even higher in snowpack than that in the atmosphere. All of the above observation results demonstrated that the coated BC particles are existed in real snowpack and even more common than that in the atmosphere. Hence, the climate impacts of BC must be evaluated in the context of the effect of coating on light absorption enhancement.” added from Page 4 Lines 14-21 to Page 5 Lines 1-5

References:

Dong, Z., Kang, S., Qin, D., Shao, Y., Ulbrich, S., and Qin, X.: Variability in individual particle structure and mixing states between the glacier–snowpack and atmosphere in the northeastern Tibetan Plateau, *The Cryosphere*, 12, 3877-3890, 2018.

2. The authors claimed that “This study is the first to explicitly resolve the optical properties of coated BC in snow . . .” in the abstract and main text. However, this is not true. An earlier study (He et al., 2014) has already explicitly resolved the effect of coated BC particles internally and externally mixed with snow grains of different shapes and applied it to the Tibetan Plateau, which is a pioneer study to look at this effect. This earlier study should be

briefly discussed in the introduction section and compared with the results from the present study. But it's good to see that the authors here also explored the effect of an absorbing shell.

Reference: He, C., Q. Li, K.-N. Liou, Y. Takano, Y. Gu, L. Qi, Y. Mao, and L. R. Leung (2014), Black carbon radiative forcing over the Tibetan Plateau, *Geophys. Res. Lett.*, 41, 7806–7813, doi:10.1002/2014GL062191.

R: We indicate that “... the first ...” refers to the coated BC by other particles in snowpack, but not the internal mixing of BC and snow grains. But in order to avoid the misunderstanding of the whole research community, the “first” has been removed and this sentence has been revised as “*This study explicitly resolved the optical properties of coated BC in snow ...*”. In addition, we have added some discussions about the internally and externally mixing of BC with snow grains and its effect on snow albedo and compared their results with that in our study as follows:

“Recently, some studies indicated that the mixing state of BC and snow could effectively change snow albedo (Liou et al., 2011, 2014; Flanner et al., 2012; Liu et al., 2012; He et al., 2017, 2018a, b, c). Moreover, snow grain shape also has an important influence on snow albedo (Kokhanovsky and Zege, 2004). Nonspherical snow grains have weaker albedo reduction than snow spheres (He et al., 2018c; Dang et al., 2016).” added in “Introduction” section from Page 3 Lines 20-21 to Page 4 Lines 1-4

“Our results were comparable with the previous study that the snow albedo reduction of BC-snow internal mixing is larger than external mixing by a factor of 0.2-1.0 (He et al., 2018c).” added in Section 3.3 at Page 18 Lines 9-11

References:

Dang, C., Fu, Q., and Warren, S. G.: Effect of Snow Grain Shape on Snow Albedo, *J. Atmos. Sci.*, 73, 3573-3583, 2016.

- Flanner, M. G., Liu, X., Zhou, C., Penner, J. E., and Jiao, C.: Enhanced solar energy absorption by internally-mixed black carbon in snow grains, *Atmos Chem Phys*, 12, 4699-4721, 2012.
- He, C. L., Takano, Y., Liou, K. N., Yang, P., Li, Q., Chen, F., He, C., Takano, Y., Liou, K. N., and Yang, P.: Impact of Snow Grain Shape and Black Carbon-Snow Internal Mixing on Snow Optical Properties: Parameterizations for Climate Models, *J. Climate*, 30, 10019-10036, 2017.
- He, C. L., Flanner, M. G., Chen, F., Barlage, M., Liou, K. N., Kang, S. C., Ming, J., and Qian, Y.: Black carbon-induced snow albedo reduction over the Tibetan Plateau: uncertainties from snow grain shape and aerosol-snow mixing state based on an updated SNICAR model, *Atmos Chem Phys*, 18, 11507-11527, 2018a.
- He, C. L., Liou, K. N., and Takano, Y.: Resolving Size Distribution of Black Carbon Internally Mixed With Snow: Impact on Snow Optical Properties and Albedo, *Geophys. Res. Lett.*, 45, 2697-2705, 2018b.
- He, C. L., Liou, K. N., Takano, Y., Yang, P., Qi, L., and Chen, F.: Impact of Grain Shape and Multiple Black Carbon Internal Mixing on Snow Albedo: Parameterization and Radiative Effect Analysis, *J Geophys Res-Atmos*, 123, 1253-1268, 2018c.
- Kokhanovsky, A. A., and Zege, E. P.: Scattering optics of snow, *Appl Optics*, 43, 1589-1602, 2004.
- Liou, K. N., Takano, Y., and Yang, P.: Light absorption and scattering by aggregates: Application to black carbon and snow grains, *J Quant Spectrosc Ra*, 112, 1581-1594, 2011.
- Liou, K. N., Takano, Y., He, C., Yang, P., Leung, L. R., Gu, Y., and Lee, W. L.: Stochastic parameterization for light absorption by internally mixed BC/dust in snow grains for application to climate models, *J Geophys Res-Atmos*, 119, 7616-7632, 2014.
- Liu, X., Zhou, C., Penner, J. E., and Jiao, C.: Enhanced solar energy absorption by internally-mixed black carbon in snow grains, *Atmos. Chem. Phys.*, 12, 4699-4721,

<https://doi.org/10.5194/acp-12-4699-2012>, 2012.

3. Introduction and Methodology: One important piece that was not mentioned here is the mixing state of BC and snow grains (i.e., internal vs. external) and snow grain shape. Recent studies (e.g., Flanner et al., 2012; Liou et al., 2014; He et al., 2018b) have shown that the BC-snow internal mixing can significantly enhance snow albedo reduction compared with BC-snow external mixing, while nonspherical snow grains have weaker albedo reduction than snow spheres. This can be briefly discussed in the introduction. Besides, the authors did not mention whether they assumed BC snow external or internal mixing and whether they assumed spherical snow grains in their SNICAR simulations. By default, SNICAR assumes BC-snow external mixing and snow spheres (Flanner et al., 2007), but a recent study (He et al., 2018c) has extended the SNICAR model to account for BC-snow internal mixing and nonspherical snow grains. So which SNICAR version did the authors use in this study? More details need to be added in the methodology part.

References:

Flanner, M. G., Zender, C. S., Randerson, J. T., and Rasch, P. J.: Present-day climate forcing and response from black carbon in snow, *J. Geophys. Res.-Atmos.*, 112, D11202, <https://doi.org/10.1029/2006jd008003>, 2007. Flanner, M. G.,

Liu, X., Zhou, C., Penner, J. E., and Jiao, C.: Enhanced solar energy absorption by internally-mixed black carbon in snow grains, *Atmos. Chem. Phys.*, 12, 4699–4721, <https://doi.org/10.5194/acp-12-4699-2012>, 2012.

He, C., Liou, K. N., Takano, Y., Yang, P., Qi, L., and Chen, F.: Impact of grain shape and multiple black carbon internal mixing on snow albedo: Parameterization and radiative effect analysis, *J. Geophys. Res.-Atmos.*, 123, 1253–1268, <https://doi.org/10.1002/2017JD027752>, 2018b.

He, C., Flanner, M. G., Chen, F., Barlage, M., Liou, K.-N., Kang, S., Ming, J., and Qian, Y.: Black carbon-induced snow albedo reduction over the Tibetan Plateau: uncertainties from snow grain shape and aerosol–snow mixing state based on an updated SNICAR model, *Atmos. Chem. Phys.*, 18, 11507–11527, <https://doi.org/10.5194/acp-18-11507-2018>, 2018c.

Liou, K. N., Takano, Y., He, C., Yang, P., Leung, R. L., Gu, Y., and Lee, W. L.: Stochastic parameterization for light absorption by internally mixed BC/dust in snow

R: It is our omission that we didn't discuss the mixing state of BC and snow grains (i.e., internal vs. external) on snow albedo in the “Introduction” section, which has been added as follows:

“Recently, some studies indicated that the mixing state of BC and snow could effectively change snow albedo (Liou et al., 2011, 2014; Flanner et al., 2012; Liu et al., 2012; He et al., 2017, 2018a, b, c). Moreover, snow grain shape also has an important influence on snow albedo (Kokhanovsky and Zege, 2004). Nonspherical snow grains have weaker albedo reduction than snow spheres (He et al., 2018b; Dang et al., 2016).” added in “Introduction” section from Page 3 Lines 20-21 to Page 4 Lines 1-4

In this study, we used the default SNICAR that assumes BC-snow external mixing and snow spheres (Flanner et al., 2007), which has been added in “Methods” section. Actually, the mixing state of BC and snow grains, and snow grain shape can affect the snow albedo, which has not been considered in the default SNICAR version. But we note the empirical parameterizations for the effect of BC internally mixed with snow grains on snow albedo has been developed by He et al. (2018c). The albedo of a snowpack consisting of nonspherical snow grains can be mimicked by using a smaller grain of spherical shape Dang et al. (2016). Therefore, users can combine the empirical parameterizations by He et al. (2018c) and Dang et al. (2016) with the empirical parameterizations by us to study the effect of the internal mixing of BC with snow grains, snow grain shape, and coated BC on snow albedo. We have added more discussions in “Methods” section as follows:

“In addition, we note the SNICAR used in this study was default version that assumes BC-snow external mixing and snow spheres (Flanner et al., 2007). Although the mixing state of BC and snow grains, and snow grain shape can affect the snow albedo, the empirical parameterizations for the effect of BC internally mixed with snow grains on snow albedo has been developed by He et al. (2018c), and the albedo of a snowpack consisting of nonspherical snow grains can be mimicked by using a smaller grain of spherical shape (Dang et al. 2016). Therefore, users can combine the empirical parameterizations by He et al. (2018c) and Dang et al. (2016) with the empirical parameterizations by us to study the effect of the internal mixing of BC with snow grains, snow grain shape, and coated BC on snow albedo.” added at Page 9

Lines 7-17

4. Page 6, Line 12: The authors assumed a fixed MAC of $0.3 \text{ m}^2/\text{g}$ at 550 nm for OC.

Is there any observation to support this assumption?

R: The fixed MAC of $0.3 \text{ m}^2/\text{g}$ at 550 nm for OC was based on the observation from Yang et al. (2009). The reference has been added in the text and the sentence has been revised as *“...Here, a fixed mass absorption coefficient (MAC) for OC of $0.3 \text{ m}^2 \text{ g}^{-1}$ at 550 nm, a real RI of 1.55, and a particle diameter of 200 nm were assumed, following the observations of Yang et al. (2009) and the study of Lack and Cappa (2010). The uncertainty of snow albedo of coated BC due to OC MAC will be discussed in Section 3.4.”* added at Page 7 Lines 9-13

References:

Lack, D. A., and Cappa, C. D.: Impact of brown and clear carbon on light absorption enhancement, single scatter albedo and absorption wavelength dependence of black carbon, *Atmos. Chem. Phys.*, 10, 4207-4220, 2010.

Yang, M., Howell, S. G., Zhuang, J., and Huebert, B. J.: Attribution of aerosol light absorption

to black carbon, brown carbon, and dust in China - interpretations of atmospheric measurements during EAST-AIRE, Atmos Chem Phys, 9, 2035-2050, 2009.

5. Page 6, Line 21: The authors seem to assume a fixed monodisperse BC size distribution instead of lognormal distribution, right? Please clarify. Also, what is the assumed shell diameter?

R: We have added a clarification that we assumed a fixed monodisperse BC size distribution and the shell diameter of 110 nm–300 nm, and discussed the uncertainty of BC size distribution on our results as

“...we assumed the BC diameter in snow was 100 nm with a fixed monodisperse size distribution. The uncertainty of snow albedo of coated BC due to fixed BC size distribution will be discussed in Section 3.4. The shell diameter was assumed from 110 nm to 300 nm based on Bond et al. (2006).”

References:

Bond, T. C., Habib, G., and Bergstrom, R. W.: Limitations in the enhancement of visible light absorption due to mixing state, J. Geophys. Res.-Atmos., 111, D20, 2006.

6. Page 9, Lines 4-6: It will be good if the authors can include some comments on how applicable their parameterization is for BC concentration > 1000 ng/g.

R: Why we chose the BC concentration range of 200-1000 ng g⁻¹ for relatively polluted snow is because most of observed BC concentrations in global snowpack are below <1000 ng g⁻¹. (e.g. Doherty et al., 2010, 2014; Wang et al., 2013; Li et al., 2017, 2018; Pu et al., 2017; Zhang et al., 2017, 2018). However, we note that if BC concentration is larger than 1000 ng g⁻¹, the parameterization for relatively polluted snow is also applicable with a small negative bias based

on the results of Section 3.5. Based on the referee's suggestion, we have added some comments as follows:

“Meanwhile, BC concentrations were assumed in the range of 0-1000 ng g⁻¹ (with a 10-ng g⁻¹ interval) to demonstrate clear to polluted snow, which was based on the global field observations with BC concentrations in snowpack mostly below 1000 ng g⁻¹ (e.g. Doherty et al., 2010, 2014; Wang et al., 2013; Li et al., 2017, 2018; Pu et al., 2017; Zhang et al., 2017, 2018).” added in Section 2.1.2 at Page 9 Lines 2-6

“We note that if BC concentration is larger than 1000 ng g⁻¹, the parameterization for relatively polluted snow is also applicable with a small negative bias” added in Section 3.5 at Page 21 Lines 14-16

References:

- Doherty, S. J., Warren, S. G., Grenfell, T. C., Clarke, A. D., and Brandt, R. E.: Light-absorbing impurities in Arctic snow, *Atmos. Chem. Phys.*, 10, 11647-11680, 2010.
- Doherty, S. J., Dang, C., Hegg, D. A., Zhang, R., and Warren, S. G.: Black carbon and other light-absorbing particles in snow of central North America, *J. Geophys. Res.-Atmos.*, 119, 12807-12831, 2014.
- Li, X., Kang, S., He, X., Qu, B., Tripathy, L., Jing, Z., Paudyal, R., Li, Y., Zhang, Y., and Yan, F.: Light-absorbing impurities accelerate glacier melt in the Central Tibetan Plateau, *Sci. Total Environ.*, 587, 482-490, 2017.
- Li, X., Kang, S., Zhang, G., Qu, B., Tripathy, L., Paudyal, R., Jing, Z., Zhang, Y., Yan, F., and Li, G.: Light-absorbing impurities in a southern Tibetan Plateau glacier: Variations and potential impact on snow albedo and radiative forcing, *Atmos. Res.*, 200, 77-87, 2018.
- Pu, W., Wang, X., Wei, H., Zhou, Y., Shi, J., Hu, Z., Jin, H., and Chen, Q.: Properties of black carbon and other insoluble light-absorbing particles in seasonal snow of northwestern

China, *The Cryosphere*, 11, 1213-1233, 2017.

Wang, X., Doherty, S. J., and Huang, J.: Black carbon and other light-absorbing impurities in snow across Northern China, *J. Geophys. Res.-Atmos.*, 118, 1471-1492, 2013.

Zhang, Y., Kang, S., Cong, Z., Schmale, J., Sprenger, M., Li, C., Yang, W., Gao, T., Sillanpää, M., and Li, X.: Light-absorbing impurities enhance glacier albedo reduction in the southeastern Tibetan Plateau, *J. Geophys. Res.-Atmos.*, 122, 6915-6933, 2017.

Zhang, Y., Kang, S., Sprenger, M., Cong, Z., Gao, T., Li, C., Tao, S., Li, X., Zhong, X., and Xu, M.: Black carbon and mineral dust in snow cover on the Tibetan Plateau, *The Cryosphere*, 12, 413-431, 2018.

7. Page 9, Lines 9-12: The authors assumed an infinite snowpack when applying their calculations to in-situ measurements. Is it because there are no snow depth measurements?

Also, what snow-LAP parameters were in-situ measured? Please provide more specifics here.

R: In this study, we assumed an infinite snowpack for all sampling sites due to limited snow depth measurements. Besides, the in-situ measurements applied in SNICAR model included LAPs (i.e. BC and OC) concentrations in snow. The value of solar zenith angle was calculated based on the longitude, latitude, and sampling time at each sampling site. All these specifics and other details have been added in Section 2.4 at Page 11 Lines 13-20.

8. Section 3.1: How did the authors define the variable “E_{abs}”? A formula will be helpful. Similarly for Section 3.2, definitions of parameters like E_{alpha} need to be provided in terms of a mathematical expression.

R: We have added a definition of E_{abs} in Section 3.1 at Page 12 Lines 15-17 as that *“Figure 1b and 1d shows the light absorption enhancement, E_{abs} for coated BC. E_{abs} is defined as the ratio of the light absorption for an internal mixture (LA_{int}) versus external mixture (LA_{ext}) of BC*

$(E_{abs} = \frac{LA_{int}}{LA_{ext}})$. ”. Similarly, we have added the definitions of $E_{1-\omega}$, E_{α} , and $E_{\Delta\alpha}$ in Section 3.2 at Page 14 Lines 1-5 as that “*The $E_{1-\omega}$ is defined as the ratio of snow single-scattering co-albedo with coated BC ($1-\omega_{int}$) versus that with uncoated BC ($1-\omega_{ext}$) ($E_{1-\omega} = \frac{1-\omega_{int}}{1-\omega_{ext}}$). Similar definitions were used for E_{α} ($E_{\alpha} = \frac{\alpha_{int}}{\alpha_{ext}}$) and $E_{\Delta\alpha}$ ($E_{\Delta\alpha} = \frac{\Delta\alpha_{int}}{\Delta\alpha_{ext}}$), where α_{int} and α_{ext} are snow albedos with coated and uncoated BC, and $\Delta\alpha_{int}$ and $\Delta\alpha_{ext}$ are snow albedo reductions due to coated and uncoated BC.*”.

9. Page 11, Line 7: Why does the BC concentration make a negative contribution to $E_{\det}(\alpha)$ instead of positive contribution?

R: This is because the nonlinear effect of LAPs such as BC on snow albedo reduction (Flanner et al., 2007), which is that the enhancement capability of snow albedo reduction due to the increase of BC content in high BC concentration condition is lower than that in low BC concentration condition. For example, the ratio of snow albedo reduction by BC of $2 \times 500 \text{ ng g}^{-1}$ versus 500 ng g^{-1} is lower than that by $2 \times 100 \text{ ng g}^{-1}$ versus 100 ng g^{-1} . The coating effect can be equivalent to a increase of BC content so that the BC concentration make a negative contribution to $E_{\Delta\alpha}$ instead of positive contribution.

10. Page 11, Line 12: Note that the solar radiative flux is very small at wavelengths < 350 nm.

R: Yes, the solar radiative flux is very small at wavelengths < 350 nm, but which may influence the photochemical reactions in snowpack. We have added a notice as that “*We note that the solar radiative flux is very small at wavelengths < 350 nm, so that the coating effect at those wavelengths may have little contributions to total light absorption and broadband snow albedos, but which may potentially influence the photochemical reactions in snowpack (Grannas et al., 2007)*” in Section 3.2 at Page 15 Lines 18-21.

References:

Grannas, A. M., Jones, A. E., Dibb, J., Ammann, M., Anastasio, C., Beine, H. J., Bergin, M., Bottenheim, J., Boxe, C. S., Carver, G., Chen, G., Crawford, J. H., Domine, F., Frey, M. M., Guzman, M. I., Heard, D. E., Helmig, D., Hoffmann, M. R., Honrath, R. E., Huey, L. G., Hutterli, M., Jacobi, H. W., Klan, P., Lefer, B., McConnell, J., Plane, J., Sander, R., Savarino, J., Shepson, P. B., Simpson, W. R., Sodeau, J. R., von Glasow, R., Weller, R., Wolff, E. W., and Zhu, T.: An overview of snow photochemistry: evidence, mechanisms and impacts, *Atmos Chem Phys*, 7, 4329-4373, 2007.

11. Section 3.5: Please include a clarification somewhere in this section to state that this parameterization is under the assumptions of semi-infinite snowpack, BC-snow external mixing, and spherical snow grains.

R: A clarification has been added in Section 3.5 at Page 21 Lines 1-3 as that *“This parameterization is under the assumptions of semi-infinite snowpack, BC-snow external mixing, and spherical snow grains as mentioned in Section 2”*.

12. Section 3.6: More descriptions regarding the parameters from observations are needed. For example, did the authors assume semi-infinite snowpack or used measured snow depth in their calculations? Did the authors use time-varying downward solar radiation in the calculation of radiative forcing? How did the authors assume the snow grain size? Is it from observations?

For in-situ measurement application, we assumed a semi-infinite snowpack for all sampling sites due to limited snow depth measurements. While in-situ BC and OC measurements in snow were used in SNICAR, and snow grain radius of 100 (1000) μm were assumed for fresh (old) snow, which is comparable to previous observations at mid to high latitudes in winter (Wang et al., 2017; Shi et al., 2020). The value of solar zenith angle was calculated based on

the longitude, latitude, and sampling time at each sampling site. For the calculation of radiative forcing, we used the January-February average solar radiation for NA and NC, while April-May average solar radiation for the Arctic and TP according to the periods of field campaigns. We note that we mainly estimate the relative impact of internal mixing to external mixing on snow albedo and radiative forcing, which is hence not influenced by the chosen solar radiation. All these specifics have been added in Section 2.4 at Page 11 Lines 13-20 and Page 12 Lines 5-10.

References:

Shi, T., Pu, W., Zhou, Y., Cui, J., Zhang, D., and Wang, X.: Albedo of Black Carbon-Contaminated Snow Across Northwestern China and the Validation With Model Simulation, *J. Geophys. Res.-Atmos.*, 125, e2019JD032065, 2020.

Wang, X., Pu, W., Ren, Y., Zhang, X. L., Zhang, X. Y., Shi, J. S., Jin, H. C., Dai, M. K., and Chen, Q. L.: Observations and model simulations of snow albedo reduction in seasonal snow due to insoluble light-absorbing particles during 2014 Chinese survey, *Atmos. Chem. Phys.*, 17, 2279-2296, 2017.

13. It will be good if the authors can include a few sentences to briefly summarize the applicability of their parameterizations in terms of the range of BC concentration, snow condition, snow size, core/shell ratio, etc.

R: Thanks for your suggestions, we have added a brief summary about the applicability of our parameterizations as follow:

“In addition, the snow grain size has small impacts on the accuracy of parameterized results, so that the parameterizations can be applied in either fresh snow or old snow types. Overall, the $E_{\alpha, \text{integrated}}$ can be well reproduced by $E_{\alpha, \text{integrated, para}}$ and the parameterizations are

applicable in various snow pollution conditions with BC concentrations from 0-1000 ng g⁻¹, core/shell ratios from 1.1 to 3.0, and different coating materials (non-absorbing and absorbing shell). We note that if BC concentration is larger than 1000 ng g⁻¹, the parameterization for relatively polluted snow is also applicable with a small negative bias.” added at Page 21 Lines 8-16

14. Another thing the authors did not mention is the direct and diffuse radiation they assumed in their calculations for both parameterization development and in-situ measurement application. If direct radiation was assumed, what was the value of solar zenith angle used? Clarifications need to be added.

R: In this study, the direct radiation was assumed for parameterization development with a solar zenith angle of 49.5°, whose cosine value (0.65) represents the insolation-weighted mean solar zenith cosine for sunlit Earth hemisphere (Dang et al., 2015). For in-situ measurement application, the value of solar zenith angle was calculated based on the longitude, latitude, and sampling time at each sampling site. All these specifics have been added in Section 2.1.2 from Page 8 Lines 19-21 to Page 9 Lines 1-7 and Section 2.4 at Page 11 Lines 13-20 and Page 12 Lines 5-10.

Anonymous Referee #2

Received and published: 1 January 2021

The authors investigated the BC coating effects based on the core/shell Mie theory and the radiative transfer model SNICAR. The paper is generally well-written. It is good for the climate models to consider the BC enhancement on albedo reduction due to the BC coating effects. It is also nice to give options for different core/shell ratios. However, I feel the content is not abundant enough and have some comments below for the authors to consider.

R: Thank you very much for the positive evaluations and valuable comments. We have added more contents in the manuscript according to your suggestions and addressed all comments very carefully as detailed below.

1. In section 3.1, it is not clear to me why the absorptive shell reduce the BC enhancement compared with the non-absorptive shell. And the authors should explain what is the lensing effect.

R: When BC is coated with the non-absorptive shell (or the absorptive shell), the light absorption by the BC core can be enhanced, because the shell acts as a lens and focuses more photons onto the core than would reach it otherwise (i.e. lensing effect) (Bond et al., 2006). In addition, the BC light absorption enhancement (E_{Abs}) for absorptive shell may differ from that for non-absorptive shell due to either (i) modification of the photon path through the coated particle due to the absorptive shell, thus causing fewer photons to be focused towards the BC core, or (ii) absorption of photons by the absorptive shell, thus causing fewer photons to reach the core. In this case, the total absorption by the coated particle will be conserved (i.e. it does not matter whether a photon is absorbed within the shell or the core), but the magnitude of E_{Abs} has been decreased. When $E_{Abs} > 1$, this indicates that photons at that wavelength are still being

focused onto the core due to the lensing effect. However, when $E_{Abs} < 1$, this is an indication that the enhancement due to the lensing effect is overwhelmed by absorption by the absorptive shell, similar results have been reported by Lack and Cappa (2010). We have added these discussions in Section 2.1.1 at Page 6 Lines 19-21 and revised the sentences Section 3.1 at Page 13 Lines 6-16.

References:

Bond, T. C., Habib, G., and Bergstrom, R. W.: Limitations in the enhancement of visible light absorption due to mixing state, *J Geophys Res-Atmos*, 111, 10.1029/2006jd007315, 2006.

Lack, D. A., and Cappa, C. D.: Impact of brown and clear carbon on light absorption enhancement, single scatter albedo and absorption wavelength dependence of black carbon, *Atmos Chem Phys*, 10, 4207-4220, 10.5194/acp-10-4207-2010, 2010.

2. In section 3.2, actually the E_{1-w} , E_{α} , and $E_{\Delta\alpha}$ tell the same story. And the impact of BC coating on spectral characteristics should be consistent with that on broadband characteristics. To make the story full, I suggest the authors present the direct numbers of snow albedo of various snow cases, e.g. fresh snow, old snow, of different snow depths, with different BC concentrations and core/shell ratios, other than the ratios as $E_{...}$

R: The reason why we mainly discussed $E_{1-\omega}$, E_{α} , and $E_{\Delta\alpha}$ is snow albedo can be effectively affected by various factors, such as snow grain size, LAP content, solar zenith angle, which has been widely discussed and verified through model simulation and experimental measurements by previous studies (e.g. Hadley and Kirchstetter, 2012; Wang et al., 2017; Warren and Wiscombe, 1980). The use of $E_{1-\omega}$, E_{α} , and $E_{\Delta\alpha}$ can make us focus on the impact of BC coating effect on snow albedo, which has been successfully used by previous study (He et al. 2018c). Yet, we agree with the referee's opinions that the direct numbers of snow albedo

of various snow cases are still important for the research community. Hence, according to your suggestions, we have revised the figures, which not only show $E_{1-\omega}$, E_{α} , and $E_{\Delta\alpha}$, but also show the direct numbers of snow albedo under various snow cases, e.g. snow grain radius from 100 to 500 μm , BC concentrations from 0 to 1000 ng g^{-1} , core/shell ratios from 1.2 to 2.5, and two different coating materials (non-absorbing and absorbing materials):

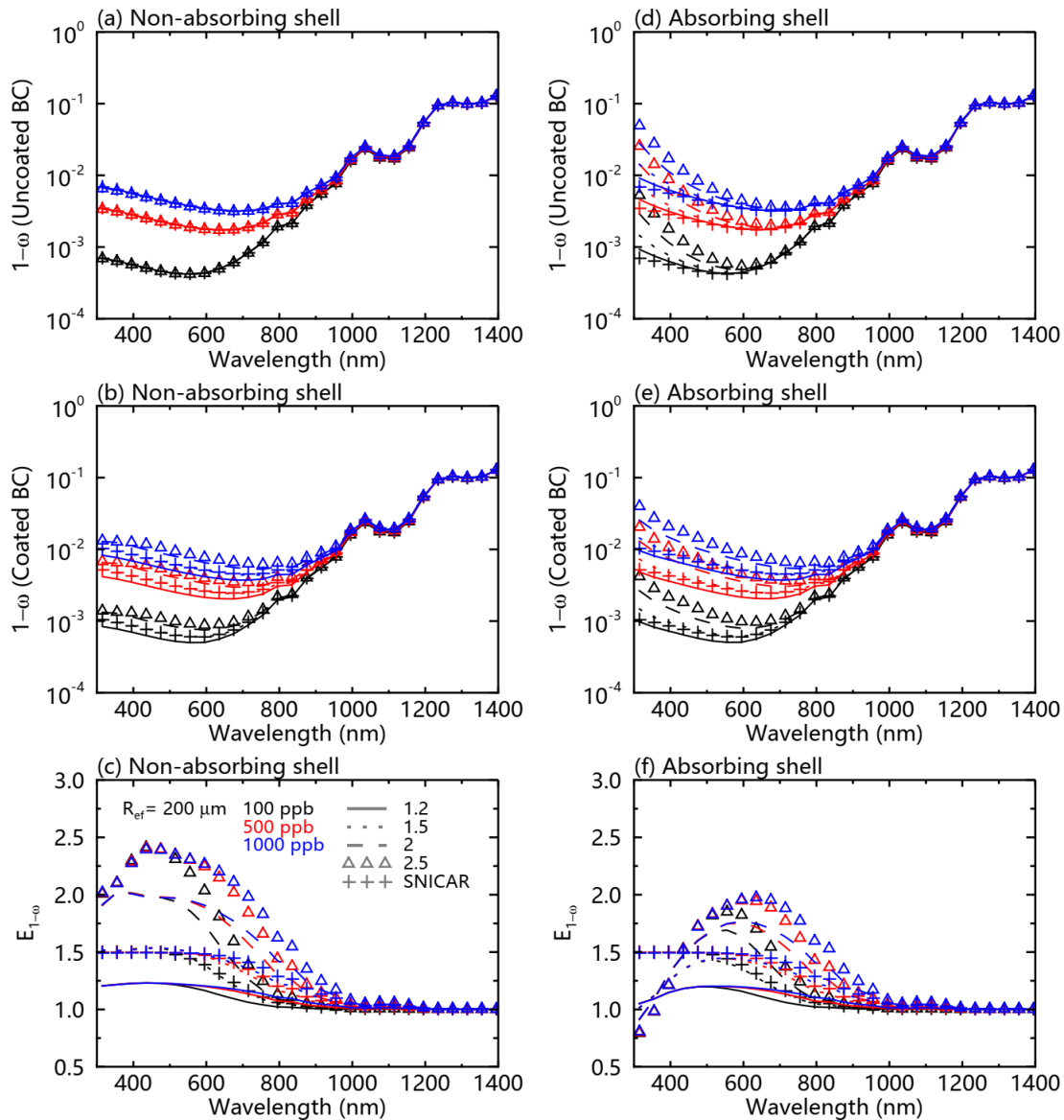


Figure 2. Snow single-scattering co-albedo ($1-\omega$) as a function of wavelength, with different BC concentrations and core/shell ratios for (a) uncoated and (b) coated BC with an assumption of a non-absorbing shell. (d) and (e) are same as (a) and (b), respectively, but with an assumption of an absorbing shell. (c) shows the ratios of snow single-scattering co-albedo ($E_{1-\omega}$) for coated versus uncoated BC with an assumption of a non-absorbing shell. (f) is same as (c), but with an assumption of an absorbing shell. The snow grain radius was assumed to be 200 nm.

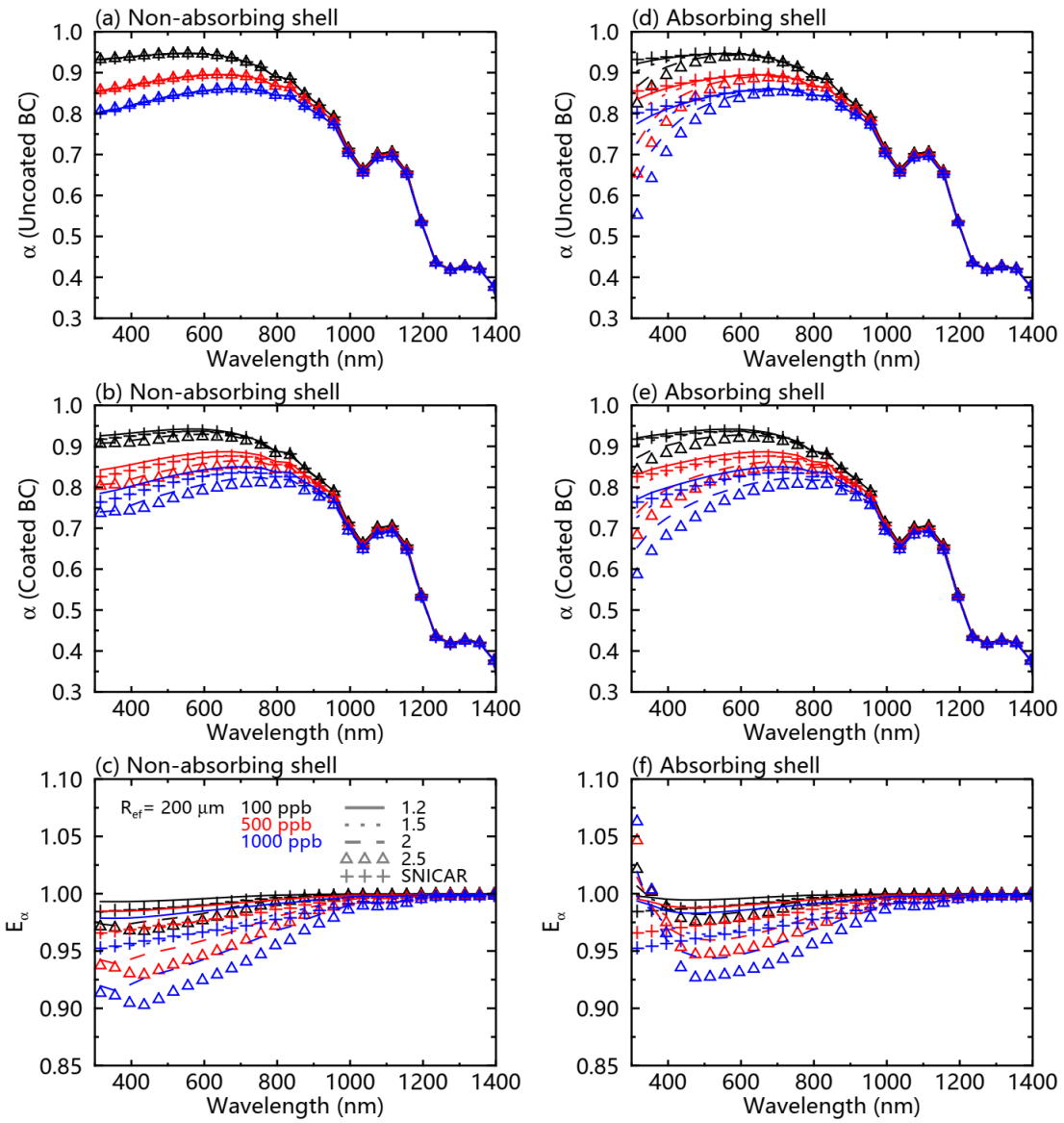


Figure 3. Same as Figure 2, but for snow albedo (α).

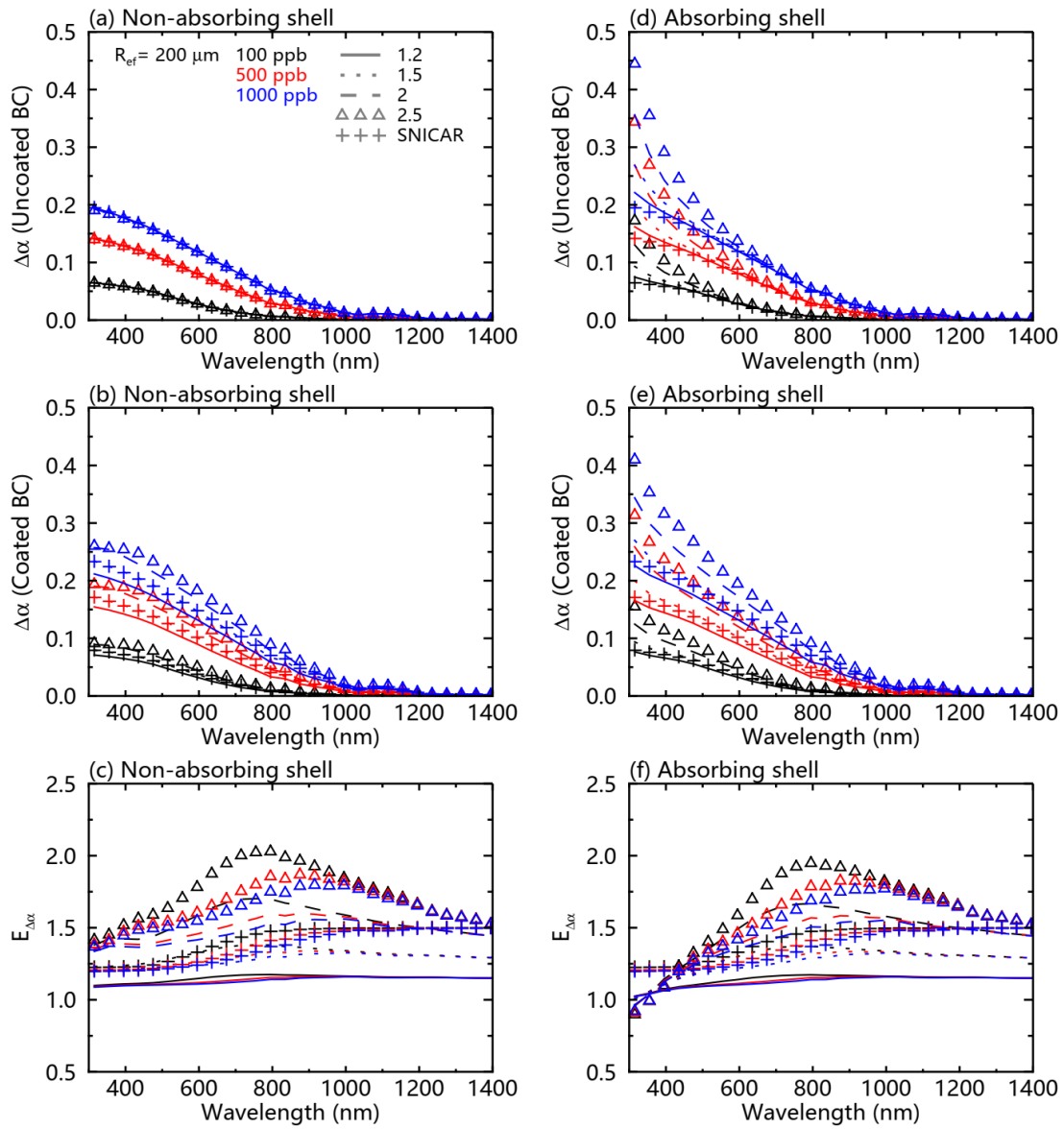


Figure 4. Same as Figure 2, but for snow albedo reduction ($\Delta\alpha$).

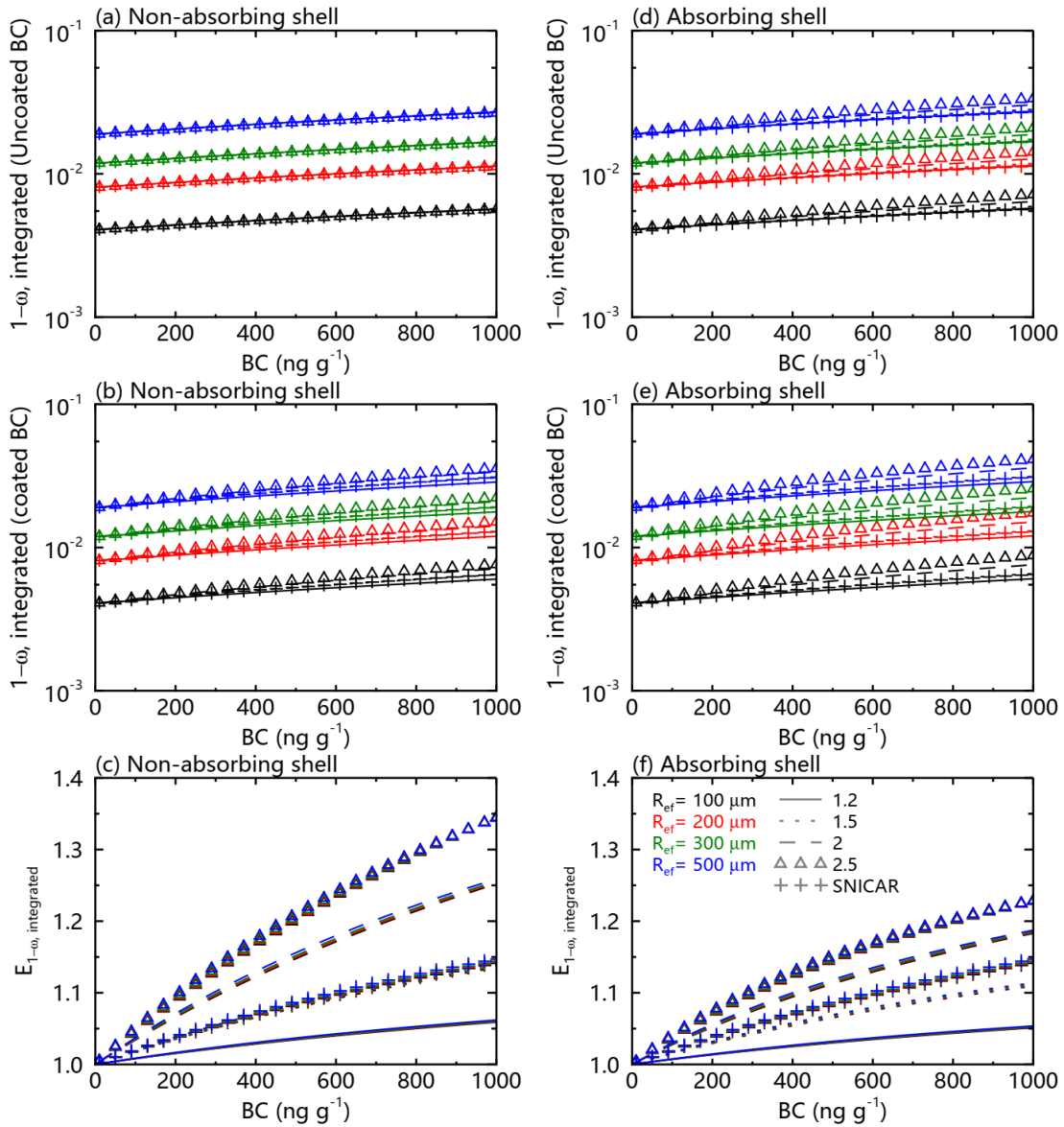


Figure 5. The spectrally weighted snow single-scattering co-albedo ($1-\omega_{\text{integrated}}$) over 300–2500 nm of a typical surface solar spectrum at mid–high latitude from January to May, for (a) uncoated and (b) coated BC with an assumption of a non-absorbing shell. (d) and (e) are same as (a) and (b), respectively, but with an assumption of an absorbing shell. (c) shows the ratios ($E_{1-\omega_{\text{integrated}}}$) of spectrally weighted snow single-scattering co-albedo for coated versus uncoated BC with an assumption of a non-absorbing shell. (f) is same as (c), but with an assumption of an absorbing shell.

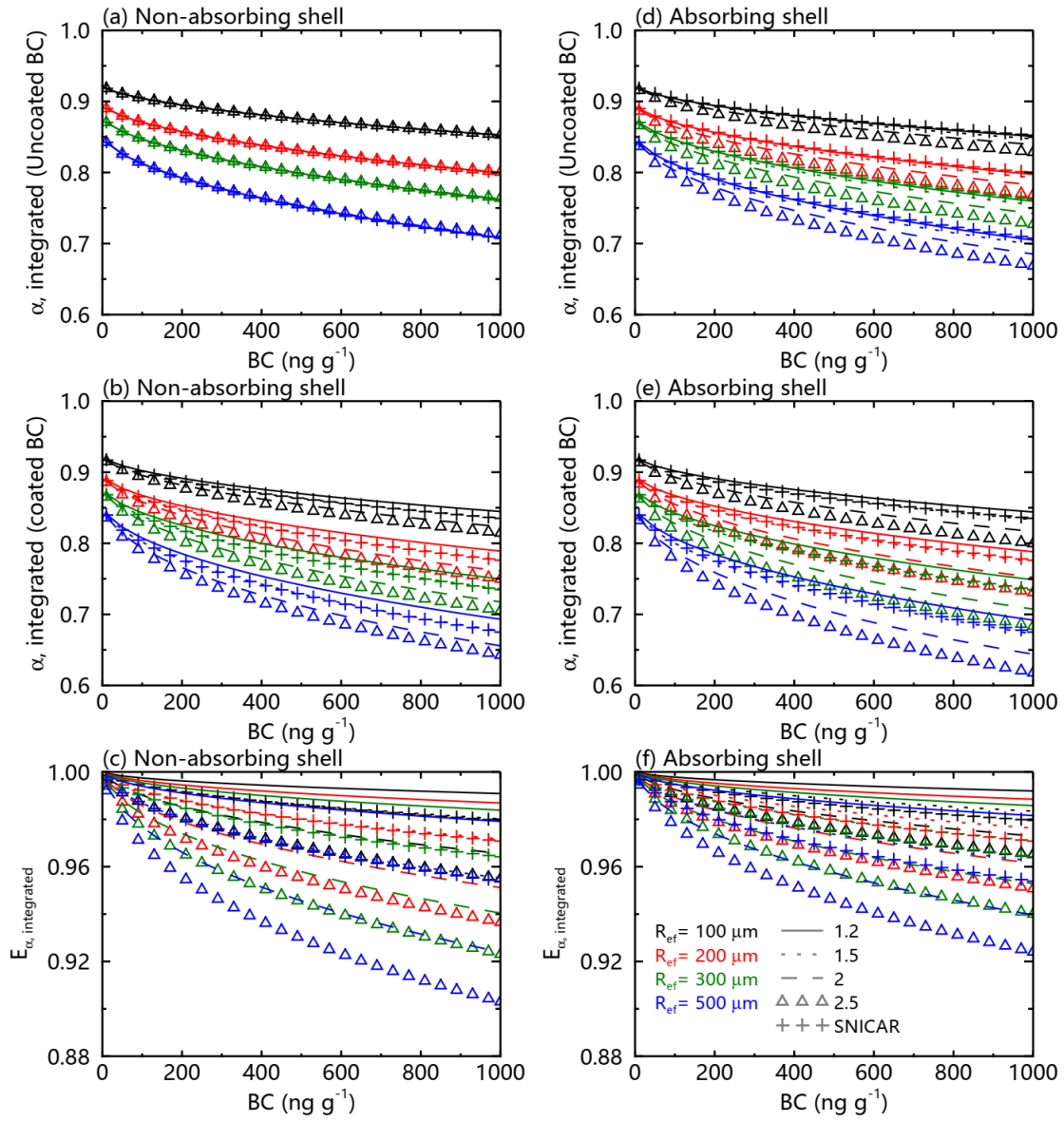


Figure 6. Same as Figure 5, but for snow albedo ($\alpha_{\text{integrated}}$).

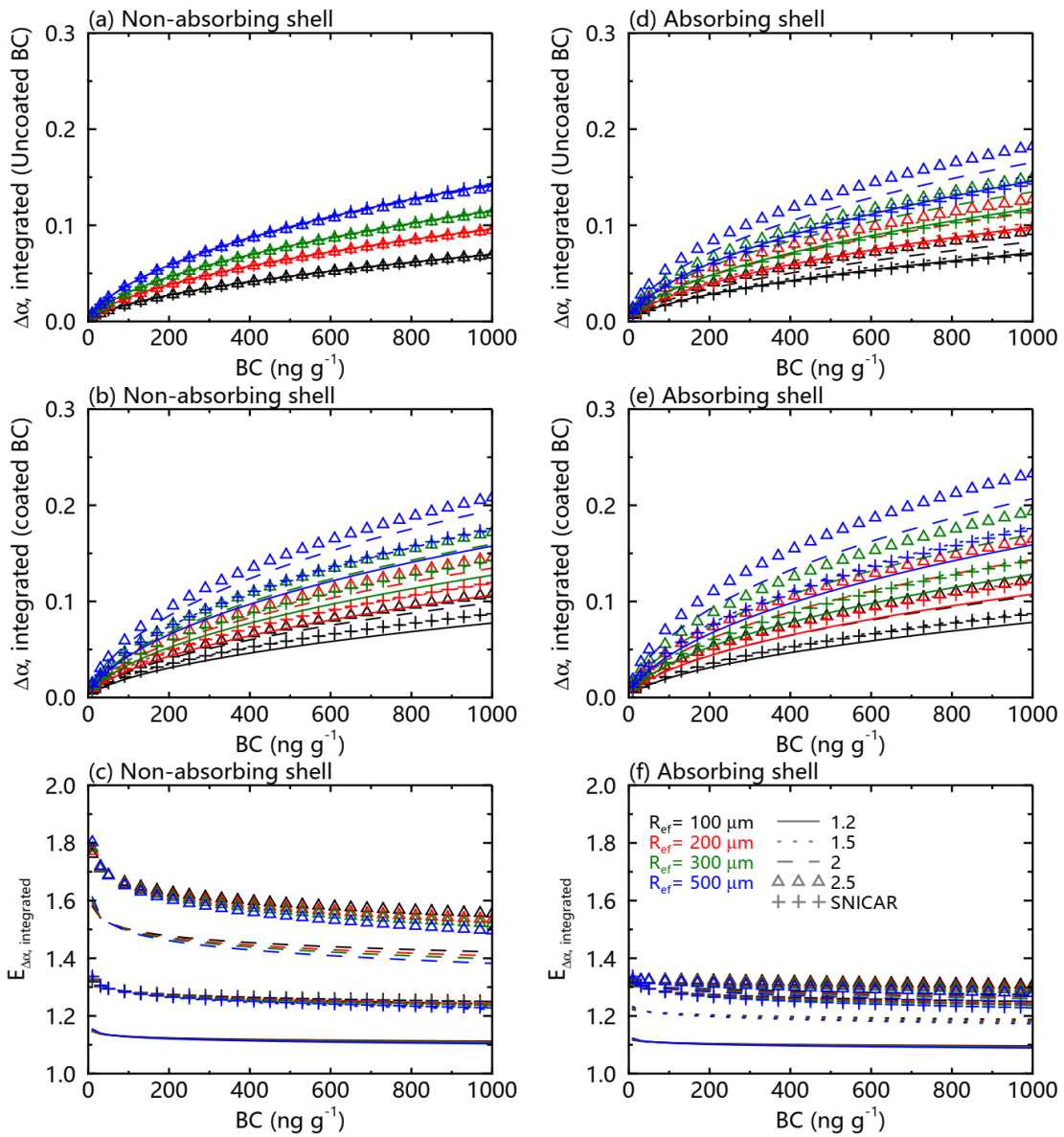


Figure 7. Same as Figure 5, but for snow albedo reduction ($\Delta\alpha_{\text{integrated}}$).

In addition, we have moved Figure S5 to Figure 10 to compare the direct numbers of snow albedo reduction and radiative forcing by coated versus uncoated BC for measurement-based estimate of coating effect in Section 3.6.

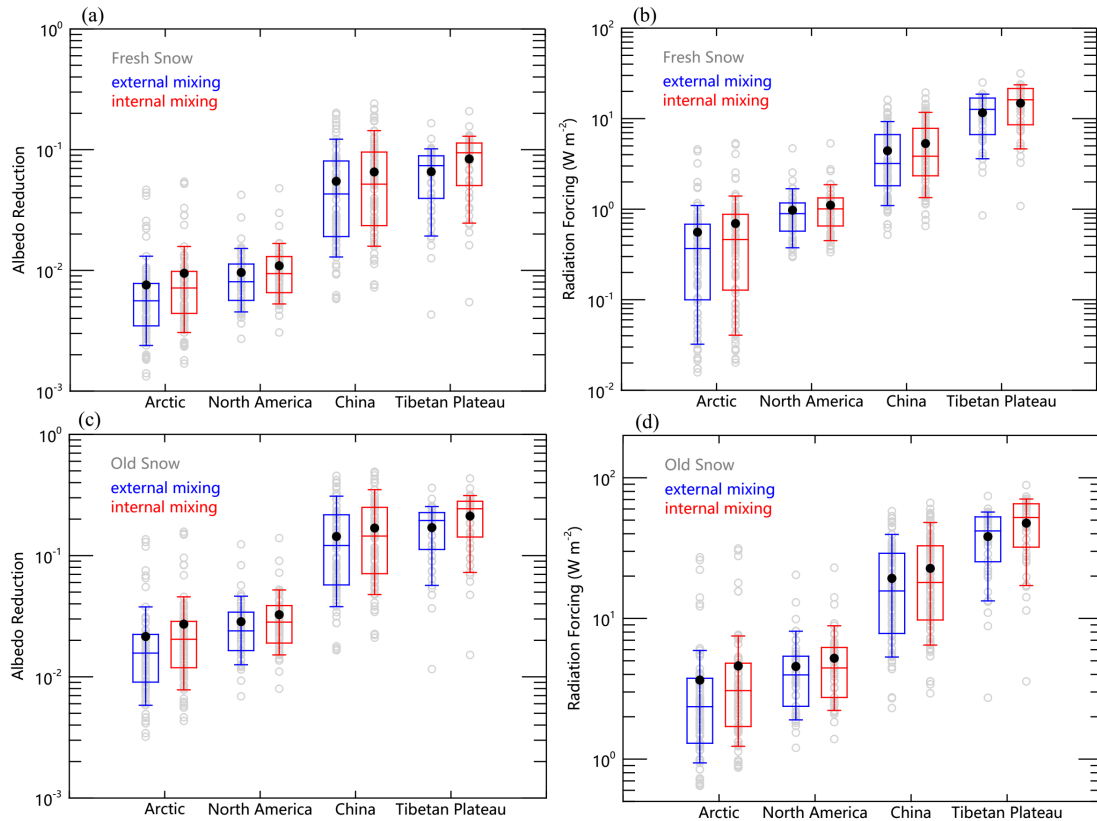


Figure 10. Statistical plots of (a) albedo reduction, and (b) radiative forcing, in different regions for fresh snow. (c) and (d) Same as (a) and (b), but for old snow. The boxes denote the 25th and 75th quantiles, horizontal lines denote the 50th quantiles (medians), solid dots denote averages, and whiskers denote the 10th and 90th quantiles. In situ data is shown as gray circles.

The detailed contents added in the text can be seen in the revised manuscript in Section 3.2, 3.3 and 3.6.

References:

Hadley, O. L., and Kirchstetter, T. W.: Black-carbon reduction of snow albedo, *Nat. Clim. Change*, 2, 437-440, 2012.

He, C. L., Liou, K. N., Takano, Y., Yang, P., Qi, L., and Chen, F.: Impact of Grain Shape and Multiple Black Carbon Internal Mixing on Snow Albedo: Parameterization and Radiative

Effect Analysis, *J Geophys Res-Atmos*, 123, 1253-1268, 2018c.

Wang, X., Pu, W., Ren, Y., Zhang, X. L., Zhang, X. Y., Shi, J. S., Jin, H. C., Dai, M. K., and Chen, Q. L.: Observations and model simulations of snow albedo reduction in seasonal snow due to insoluble light-absorbing particles during 2014 Chinese survey, *Atmos. Chem. Phys.*, 17, 2279-2296, 2017.

Warren, S. G., and Wiscombe, W. J.: A Model for the Spectral Albedo of Snow. 2: Snow Containing Atmospheric Aerosols, *J. Atmos. Sci.*, 37, 2734-2745, 1980.

3. In section 3.3, the authors argued that SNICAR consider the BC coating effect of an intermediate core/shell ratio. Well, what is the result of this simplification?

R: The result of this simplification that didn't consider the impact of coating materials and core/shell ratio on the BC coating effect only presented a small variation of 1.23–1.31 for $E_{\Delta\alpha, \text{integrated}}$. In contrast, our study explicitly resolved the optical properties of coated BC in snow. Our results indicate that a 'BC coating effect' enhances the reduction of snow albedo by a factor of 1.1–1.8 for a non-absorbing shell and 1.1–1.3 for an absorbing shell, depending on BC concentration, snow grain radius, and core/shell ratio. As a result, this simplification may lead to possible biases of -10% to 50% in snow albedo reduction calculation. We have added these discussions in the text at Page 18 Lines 11-16.

4. It is good for the authors to discuss the uncertainties of imaginary RI values of OC and BC particle sizes in section 3.4. The question is how large the uncertainty is as 1% for E_{α} and 13% for $E_{\Delta\alpha}$? Bias of direct snow albedo is more straightforward.

R: According to Equation 2, the uncertainty for $E_{\alpha, \text{integrated}}$ is equivalent to that for snow albedo and the uncertainty for $E_{\Delta\alpha, \text{integrated}}$ is equivalent to that for snow albedo reduction. As a result, the uncertainties for snow albedo and snow albedo reduction are 1.4% and 13.9%. We have

added this clarification in the text at Page 19 Lines 12-15.

5. In section 3.5, the authors mentioned the overestimated albedo as around 0.06 in the polluted snow and argued that the new parameterization of BC coating can reduce this overestimation to 0.02. I feel this statement is too strong, as there is huge uncertainty in the measurements of LAPs in snow. It is good for the climate models to have an option for BC coating effects but I suggest not exaggerate this part.

R: Thanks for your suggestions. We have removed the sentences about “the overestimated albedo as around 0.06 in the polluted snow and argued that the new parameterization of BC coating can reduce this overestimation to 0.02.”. In addition, we have revised the sentences about the application to climate models as follows:

“On the other hand, although most global climate models (GCMs) account for coated BC in the atmosphere, they barely consider the coating effect for BC in snow (Bond et al., 2013). In addition, different GCMs apply different types of snow radiative transfer models, which means that one physical mechanism responsible for the BC coating effect in snow cannot be suitable for all GCMs. Hence, our parameterizations are good for the climate models to have an option for BC coating effects in snow.” from Page 21 Lines 20-21 to Page 22 Lines 1-5

References:

Bond, T. C., Doherty, S. J., Fahey, D. W., Forster, P. M., Berntsen, T., DeAngelo, B. J., Flanner, M. G., Ghan, S., Karcher, B., Koch, D., Kinne, S., Kondo, Y., Quinn, P. K., Sarofim, M. C., Schultz, M. G., Schulz, M., Venkataraman, C., Zhang, H., Zhang, S., Bellouin, N., Guttikunda, S. K., Hopke, P. K., Jacobson, M. Z., Kaiser, J. W., Klimont, Z., Lohmann, U., Schwarz, J. P., Shindell, D., Storelvmo, T., Warren, S. G., and Zender, C. S.: Bounding the role of black carbon in the climate system: A scientific assessment, *J. Geophys. Res.*-

Atmos., 118, 5380-5552, 2013.

1 **Abstract.** When black carbon (BC) is internally mixed with other atmospheric particles,
2 BC light absorption is effectively enhanced. This study explicitly resolved ~~This study~~
3 ~~is the first to explicitly resolve the optical properties of coated BC in snow~~ the optical
4 ~~properties of coated BC in snow~~, based on core/shell Mie theory and a snow, ice, and
5 aerosol radiative model (SNICAR). Our results indicate that a ‘BC coating effect’
6 enhances the reduction of snow albedo by a factor of 1.1–1.8 for a non-absorbing shell
7 and 1.1–1.3 for an absorbing shell, depending on BC concentration, snow grain radius,
8 and core/shell ratio. We develop parameterizations of the BC coating effect for
9 application to climate models, which provides a convenient way to accurately estimate
10 the climate impact of BC in snow. Finally, based on a comprehensive set of in situ
11 measurements across the Northern Hemisphere, we find that the contribution of the BC
12 coating effect to snow light absorption has exceeded that of dust over northern China.
13 Notably, the high enhancements of snow albedo reductions by BC coating effect were
14 found in the Arctic and Tibetan Plateau, suggesting a greater contribution of BC to the
15 retreat of Arctic sea ice and Tibetan glaciers.

16

1 **1 Introduction**

2 Snow is the most reflective natural substance at Earth's surface and covers more
3 than 30% of global land area (Cohen and Rind, 1991). Snow albedo feedback is
4 considered one of the major energy balance factors of the climate system. Previous
5 observations have revealed that light-absorbing particles (LAPs; e.g., black carbon
6 (BC), organic carbon (OC), and mineral dust) within snow can reduce snow albedo and
7 enhance the absorption of solar radiation (Hadley and Kirchstetter, 2012). As a result,
8 LAPs play a significant role in altering snow morphology and snowmelt processes, and
9 therefore have important effects on local hydrological cycles and global climate (Qian
10 et al., 2009).

11 Given the importance of the climate feedback caused by LAPs in snow, studies
12 have developed snow radiative models and sought to improve our understanding of the
13 influence of LAP-contaminated snow on climate. For example, Warren and Wiscombe
14 (1980) developed a radiative forcing model based on Mie theory and δ -Eddington
15 approximation, and reported that snow albedo in visible wavelengths could be reduced
16 by 5%–15% when 1000 ng g^{-1} BC is present in snow. Flanner et al. (2007) developed
17 a more comprehensive snow albedo model (the snow, ice, and aerosol radiation model;
18 SNICAR) for multilayer snowpack using the two-stream radiative transfer solution. In
19 addition to BC, the SNICAR model also accounts for the potential effects of dust
20 particles and volcanic ash on snow albedo. Recently, some studies indicated that the
21 mixing state of BC and snow could effectively change snow albedo (Liou et al., 2011,

1 2014; Flanner et al., 2012; Liu et al., 2012; He et al., 2017, 2018a, b, c2011,-). Recent
2 Moreover, studies have indicated that snow grain shape also has an important influence
3 on snow albedo (Kokhanovsky and Zege, 2004). Nonspherical snow grains have
4 weaker albedo reduction than snow spheres (He et al., 2018cb; Dang et al., 2016).e.g.,
5 Dang et al., 2016).

6 Although efforts have been made to optimize snow albedo models, current models
7 still suffer from major limitations. Studies have indicated that when BC in the
8 atmosphere is coated with other aerosols it can significantly enhance light absorption
9 via a lensing effect compared with uncoated BC, as investigated using model
10 simulations (e.g., Jacobson 2001; Matsui et al., 2018) and experimental measurements
11 (e.g., Cappa et al., 2012; Peng et al., 2016). Moreover, coated BC has been observed to
12 exist for only a few hours after emission in some regions (Moteki et al., 2007; Moffet
13 and Prather, 2009). Global aerosol models that simulate microphysical processes have
14 indicated that most BC is mixed with other particles within 1–5 days (Jacobson, 2001)
15 at all altitudes (Aquila et al., 2011). However, a problem is that whether coated BC is
16 existed in real snowpack because the coating materials (e.g. salts and OC) of coated BC
17 may be dissolved during wet deposition. A recent study observing individual particle
18 structure and mixing states between the glacier–snowpack and atmosphere based on
19 field measurements and laboratory transmission electron microscope (TEM) and
20 energy dispersive X-ray spectrometer (EDX) instrument analysis (Dong et al., 2018)
21 told the truth. They found that the salt-coated BC werewas still observed in real

1 snowpack in spite of its lower proportion than that in the atmosphere due to the
2 dissolution effect within precipitating snow. For OC, that study didn't observe reduced
3 OC components in LAPs. More notably, that study further found that the proportion of
4 coated BC was even higher in snowpack than that in the atmosphere. All of the above
5 observation results demonstrated that the coated BC particles are existed in real
6 snowpack and even more common than that in the atmosphere. Hence, the climate
7 impacts of BC must be evaluated in the context of the effect of coating on light
8 absorption enhancement.

9 Although the BC coating effect on light absorption enhancement in the atmosphere
10 is broadly acknowledged, little research has been carried out on snow albedo. Flanner
11 et al. (2007) developed the first radiative transfer model to investigate the coating effect
12 on snow albedo, using sulfate as the BC particle coating with a constant absorption
13 enhancement factor of ~1.5. Subsequently, Wang et al. (2017) used a similar constant
14 light absorption enhancement factor for their spectral albedo model for dirty snow
15 (SAMDS). However, the factor varies with the optical properties of different coatings,
16 the core/shell ratio, wavelength, and other parameters in real environments (Lack and
17 Cappa, 2010; Liu et al., 2017). For example, Liu et al. (2017) reported that the core/shell
18 ratio is a key control on light absorption enhancement. You et al. (2016) suggested that
19 light absorption enhancement is highly correlated with visible or near-infrared (NIR)
20 wavelengths and coating material. Furthermore, a core/shell Mie theory simulation
21 (Lack and Cappa, 2010) found light absorption enhancement was smaller for mildly

1 absorbing coatings (e.g., OC) than non-absorbing coatings (e.g., sulfate). Hence, using
2 a constant enhancement factor will result in biased simulation estimates, against
3 refining our knowledge of the hydrological and climate impacts of BC in snow.

4 In this study we apply core/shell Mie theory to calculate the optical properties of
5 BC coated with both mildly absorbing OC and non-absorbing sulfate, and use these
6 results within a SNICAR model to evaluate the influence on snow albedo.
7 Parameterizations for the BC coating effect are then developed for application in other
8 snow albedo and climate models. Finally, we estimate the enhancements of snow albedo
9 reductions and associated radiative forcing by the BC coating effect across the Northern
10 Hemisphere, by combining model simulations with in situ observations of BC and OC
11 concentrations in snow.

12 **2 Methods**

13 **2.1 Modeling**

14 **2.1.1 Optical parameter calculations for coated BC**

15 Figure 1a and 1c shows schematics of light absorption by externally and internally
16 mixed particles (EMP and IMP, respectively). EMP refers to uncoated BC mixed with
17 other particles, while IMP refers to BC that is assumed to be a core coated by another
18 particle acting as a shell (Kahnert et al., 2012). For a non-absorbing shell, overall light
19 absorption includes contributions from the BC core and enhancement absorption from
20 a lensing effect, while for an absorbing shell, the shell itself also contributes to light
21 absorption. The lensing effect means that when BC is coated with the non-absorbing

1 shell (or the absorbing shell), the shell acts as a lens and focuses more photons onto the
2 core than would reach it otherwise, so that the light absorption by the BC core can be
3 enhanced (Bond et al., 2006).

4 To evaluate the BC coating effect on snow albedo, it is necessary to determine the
5 optical parameters of coated BC. The refractive index (RI) of BC was assumed to be
6 $1.95-0.79i$ following Lack and Cappa (2010), which is consistent with the original
7 SNICAR model (Flanner et al., 2007). Two types of particle shells (non-absorbing and
8 absorbing) were considered. The non-absorbing shell was represented using sulfate,
9 and its RI was assumed to be $1.55-10^{-6}i$ following the atmospheric study of Bond et al.
10 (2006). The absorbing shell was represented using OC, which is a major light-absorbing
11 particle in snow (Wang et al., 2013). The RI of OC varies with wavelength. Here, a
12 fixed mass absorption coefficient (MAC) for OC of $0.3 \text{ m}^2 \text{ g}^{-1}$ at 550 nm, a real RI of
13 1.55, and a particle diameter of 200 nm were assumed, ~~Here, a fixed mass absorption~~
14 ~~coefficient (MAC) for OC of $0.3 \text{ m}^2 \text{ g}^{-1}$ at 550 nm, a real RI of 1.55, and a particle~~
15 ~~diameter of 200 nm were assumed, following the observations of Yang et al. (2009) and~~
16 ~~the study of Lack and Cappa (2010) following Lack and Cappa (2010).~~ The uncertainty
17 of snow albedo of coated BC due to OC MAC will be discussed in Section 3.4. Based
18 on Mie theory, an imaginary RI value of $-1.36 \cdot 10^{-2}i$ at 550 nm was calculated.
19 Subsequently, wavelength-dependent imaginary RI values (Figure S1) were derived
20 according to an absorption angstrom exponent (AAE) of -6 (Sun et al., 2007).

21 For a core/shell-structured particle, the core and shell diameters refer to the BC

1 core diameter and the whole particle diameter, respectively. BC diameters are usually
2 in the range of ~50–120 nm in the atmosphere (Corbin et al., 2018), and are typically
3 larger by ~20 nm in snow due to a removal process via wet deposition (Schwarz et al.,
4 2013). Therefore, we assumed the BC diameter in snow was 100 nm with a fixed
5 monodisperse size distribution. The uncertainty of snow albedo of coated BC due to
6 BC size distribution will be discussed in Section 3.4~~Therefore, we assumed the BC~~
7 ~~diameter in snow was 100 nm.~~ The shell diameter was assumed from 110 nm to 300
8 nm based on Bond et al. (2006). Core and shell diameters, RI, and wavelength were
9 then used in a Mie model to derive optical parameters of the core/shell particle,
10 including single scatter albedo (SSA), asymmetry factor (g), and extinction cross-
11 section (Q_{ext}). The mass extinction coefficient (MEC) of the core/shell particle was
12 calculated based on Q_{ext} and the density, given as 1.8 g cm^{-3} for BC (Bond et al., 2006),
13 1.2 g cm^{-3} for sulfate, and 1.2 g cm^{-3} for OC (Turpin and Lim, 2001).

14 **2.1.2 Snow albedo calculations**

15 We simulated snow albedo with the SNICAR model (Flanner et al., 2007), which
16 calculates the radiative transfer in snowpack based on the theory of Warren and
17 Wiscombe (1980) and a two-stream multilayer radiative approximation (Toon et al.,
18 1989). Here, we summarize only the model features in SNICAR that are crucial to our
19 study. SNICAR allows for a vertical multilayer distribution of snow properties, LAPs,
20 and heating throughout the snowpack column. Input optical parameters (MEC, SSA,
21 and g) of snow grains and BC were calculated off-line using Mie theory. SNICAR

1 provides albedo changes from uncoated and sulfate-coated BC on snow, as well as dust
2 particles and volcanic ash (for further details, see Flanner et al., 2007)._

3 In this study, we assumed a homogeneous semi-infinite snowpack and a solar
4 zenith angle of 49.5°, whose cosine value (0.65) represents the insolation-weighted
5 mean solar zenith cosine for sunlit Earth hemisphere (Dang et al., 2015). The snow
6 grain optical effective radius varied from 5400 to 1000 μm (with a 50- μm interval) to
7 characterize snow aging. Meanwhile, BC concentrations were assumed in the range of
8 0-1000 ng g^{-1} (with a 10- ng g^{-1} interval) to demonstrate clear to polluted snow, which
9 was based on the global field observations with BC concentrations in snowpack mostly
10 below 1000 ng g^{-1} (e.g. Doherty et al., 2010, 2014; Wang et al., 2013; Li et al., 2017,
11 2018; Pu et al., 2017; Zhang et al., 2017, 2018). These parameters are also applied for
12 parameterizations (see Section 2.3). In addition, we note the SNICAR used in this study
13 was default version that assumes BC-snow external mixing and snow spheres (Flanner
14 et al., 2007). Although the mixing state of BC and snow grains, and snow grain shape
15 can affect the snow albedo, the empirical parameterizations for the effect of BC
16 internally mixed with snow grains on snow albedo has been developed by He et al.
17 (2018cb), and the albedo of a snowpack consisting of nonspherical snow grains can be
18 mimicked by using a smaller grain of spherical shape (Dang et al. 2016). Therefore,
19 users can combine the empirical parameterizations by He et al. (2018cb) and Dang et
20 al. (2016) with the empirical parameterizations by us (see Section 2.3) to study the
21 effect of the internal mixing of BC with snow grains, snow grain shape, and coated BC

1 on snow albedo.

2 For SNICAR snow albedo simulations of uncoated BC, concentrations of both BC
3 and other particles were input directly. For coated BC, optical parameters (MEC, SSA,
4 and g) of IMP (calculated above) were first archived as lookup tables within SNICAR,
5 and then the concentration of IMP was input.

6 **2.2 Calculation of broadband snow albedo**

7 The spectral albedo (α_λ) was integrated over the solar spectrum ($\lambda = 300\text{--}2500$ nm)
8 and weighted by the incoming solar irradiance (S_λ) to calculate broadband snow albedo
9 ($\alpha_{\text{integrated}}$):

$$10 \quad \alpha_{\text{integrated}} = \frac{\int \alpha_\lambda S_\lambda d_\lambda}{\int S_\lambda d_\lambda} \quad (1)$$

11 The incoming solar irradiance was a typical surface solar spectrum for mid–high
12 latitudes from January to May, calculated with the Santa Barbara DISORT Atmospheric
13 Radiative Transfer (SBDART) model (Pu et al., 2019), which is one of the most widely
14 used models for radiative transfer simulations (for further details, see Ricchiazzi et al.
15 1998).

16 **2.3 Parameterizations**

17 In the original SNICAR model, the BC coating effect is simply parameterized with
18 an absorption enhancement of ~ 1.5 (Flanner et al., 2007). However, the effect of BC
19 coating on snow albedo is widely variable and dependent on BC concentration,
20 core/shell ratio, snow grain size, and the type of particle shell (see Section 3.3). In view

1 of this complexity, more explicit parameterizations were developed in this study:

$$2 \quad E_{\alpha, \text{integrated}} = \frac{\alpha_{\text{int, integrated}}}{\alpha_{\text{ext, integrated}}} \quad (2)$$

3 where $\alpha_{\text{ext, integrated}}$ and $\alpha_{\text{int, integrated}}$ are broadband snow albedos for EMP and
4 IMP, respectively. Following a previous empirical formulation (Hadley and Kirchstetter,
5 2012), $E_{\alpha, \text{integrated}}$ was parameterized as

$$6 \quad E_{\alpha, \text{integrated, para}} = a_0 \times (C_{BC})^{a_1} + a_2 \quad (3)$$

$$7 \quad a_1 = b_0 \times (\log_{10}(R_{\square f} / 50))^{b_1} \quad (4)$$

8 where $E_{\alpha, \text{integrated, para}}$ is the parameterized $E_{\alpha, \text{integrated}}$, C_{BC} is the BC
9 concentration, and $R_{\square f}$ represents the snow grain radius. The terms a_0 , a_1 , a_2 , b_0 ,
10 and b_1 are the empirical coefficients and dependent on the core/shell ratio and the type
11 of particle shell. To enhance the precision, parameterizations were divided into two
12 groups: the first to account for relatively clean snow (with BC concentrations $< 200 \text{ ng}$
13 g^{-1}) and the second for relatively polluted snow ($200 \text{ ng g}^{-1} < \text{BC concentrations} < 1000$
14 ng g^{-1}). ~~We note that if BC concentration is larger than 1000 ng g^{-1} , the parameterization~~
15 ~~for relatively polluted snow is also applicable with a small negative bias based on the~~
16 ~~results of Section 3.5.~~

17 **2.4 Calculation of in situ snow albedo and radiative forcing**

18 In situ broadband clear-sky ($\alpha_{\text{integrated}}^{\text{clear, in-situ}}$) and cloudy-sky ($\alpha_{\text{integrated}}^{\text{cloudy, in-situ}}$) albedos
19 were calculated separately based on in situ snow-LAP parameters and SBDART
20 simulated clear-sky and cloudy-sky incoming solar irradiance. ~~by We assuming~~

1 assumed a semi-infinite snowpack due to limited snow depth measurements. BC and
2 OC concentrations were collected from in situ field measurements (e.g. Doherty et al.,
3 2010, 2014; Wang et al., 2013; Li et al., 2017, 2018; Pu et al., 2017; Zhang et al., 2017,
4 2018). The snow grain radius of 100 (1000) μm was assumed for fresh (old) snow,
5 which is comparable to previous observations of snow grain sizes at mid to high
6 latitudes in winter (Wang et al., 2017; Shi et al., 2020). The value of solar zenith angle
7 was calculated based on the longitude, latitude, and sampling time at each sampling
8 site. The in situ all-sky albedo ($\alpha_{\text{integrated}}^{\text{all-sky,in-situ}}$) was then calculated using weighted
9 clear-sky and cloudy-sky albedos depending on cloud fraction (CF), given as:

$$10 \quad \alpha_{\text{integrated}}^{\text{all-sky,in-situ}} = \text{CF} \times \alpha_{\text{integrated}}^{\text{cloudy,in-situ}} + (1 - \text{CF}) \times \alpha_{\text{integrated}}^{\text{clear,in-situ}} \quad (5)$$

11 In situ radiative forcing by LAPs was calculated by multiplying the derived
12 broadband albedo reduction by the downward shortwave flux at the snow surface (Dang
13 et al., 2017). We point out that the radiative forcing was calculated using the January-
14 February average solar radiation for NA and NC, while April-May average solar
15 radiation for the Arctic and TP according to the periods of field campaigns. In this study,
16 we mainly estimate the relative impact of internal mixing to external mixing on snow
17 albedo and radiative forcing, which is hence not influenced by the chosen solar
18 radiation. We used the downward solar radiation in January (March) and March (May)
19 for fresh snow and old snow at the mid-latitude (Arctic) sampling sites, respectively,
20 consistent with the study of Dang et al. (2017). Figure S2 shows the spatial distributions
21 of solar flux and cloud fraction, which were obtained from the Clouds and the Earth's

1 Radiant Energy System (CERES)

2 (<https://ceres.larc.nasa.gov/products.php?product=SYN1deg>).

3 **3 Results and discussion**

4 **3.1 Impact on particle light absorption**

5 ~~Figure 1b and 1d shows the light absorption enhancement, E_{abs} for coated BC. E_{abs}~~
6 ~~is defined as the ratio of the light absorption for an internal mixture coated (LA_{int}) versus~~
7 ~~external mixture uncoated BC (LA_{ext}), of BC ($E_{abs} = \frac{LA_{int}}{LA_{ext}}$). Figure 1b and 1d shows~~
8 ~~light absorption ratios (light absorption enhancement, E_{abs}) for IMP versus EMP.~~

9 Based on Bond et al. (2006), we show the most common core/shell ratios (the ratio of
10 the diameter of the whole particle to the BC core) of 1.2, 1.5, 2.0, and 2.5 in real
11 environments to represent the thickness of shells, and we used detailed core/shell ratios
12 from 1.1 to 3.0 (in intervals of 0.1) for parameterizations (see Section 3.5). E_{abs} varies
13 with the wavelength and increases with core/shell ratio, in contrast to the default E_{abs}
14 value used in the original SNICAR model, which remains constant. For a non-absorbing
15 shell, the light absorption of IMP is larger than EMP across all wavelengths (300–1400
16 nm). For an absorbing shell, E_{abs} is similar to the non-absorbing shell in NIR, but
17 becomes smaller in visible (VIS) light and ultra-violet (UV), which implies the
18 absorbing shell reduces whole-particle light absorption and contributes negatively to
19 E_{abs} . ~~This is because compared with non-absorbing shell, A plausible explanation is that~~
20 ~~the absorbing shell although shell absorbs additional –incident photons, but causesing~~
21 ~~fewer to reach the core, so that the photons absorbed by the lensing effect and the BC~~

1 core will be reduced. In such a case, the additional photons absorbed by the shell are
2 fewer than the reduced number of photons absorbed by the lensing effect and the BC
3 core, causing that the total absorption by absorbing shell coated BC will be smaller than
4 non-absorbing shell coated BC (Lack and Cappa, 2010). Furthermore, the absorbing
5 shell reduces E_{abs} to <1 in UV at high core/shell ratios, implying that the lensing effect
6 absorption at those wavelengths cannot recover the BC core absorption reduction,
7 resulting in fewer photons reaching the core, which is similar to the results by Lack and
8 Cappa (2010).

9 **3.2 Impact on spectral snow single-scattering properties and albedos**

10 In real snowpack, BC can effectively enhance snow single-scattering co-albedo
11 $(1-\omega)$, but its effect on other snow optical parameters, such as the asymmetry factor
12 and extinction efficiency, is negligible (He et al., 2017). Therefore, we focus our
13 discussion on coating-induced enhancement of snow single-scattering co-albedo ($E_{1-\omega}$),
14 snow albedo (E_{α}), and snow albedo reduction ($E_{\Delta\alpha}$). The $E_{1-\omega}$ is defined as the ratio of
15 snow single-scattering co-albedo with coated BC ($1-\omega_{\text{int}}$) versus that with uncoated BC
16 $(1-\omega_{\text{ext}})$, ($E_{1-\omega} = \frac{1-\omega_{\text{int}}}{1-\omega_{\text{ext}}}$). Similar definitions were used for E_{α} ($E_{\alpha} = \frac{\alpha_{\text{int}}}{\alpha_{\text{ext}}}$) and $E_{\Delta\alpha}$
17 ($E_{\Delta\alpha} = \frac{\Delta\alpha_{\text{int}}}{\Delta\alpha_{\text{ext}}}$), where α_{int} and α_{ext} are snow albedos with coated and uncoated BC,
18 and $\Delta\alpha_{\text{int}}$ and $\Delta\alpha_{\text{ext}}$ are snow albedo reductions due to coated and uncoated BC.

19 Figure 2 shows the varied $1-\omega$ and $E_{1-\omega}$ depending on different BC concentrations,
20 core/shell ratios, and coating materials. For either non-absorbing shell or absorbing
21 shell, $1-\omega_{\text{int}}$ is usually larger than $1-\omega_{\text{ext}}$ in VIS (Figures 2a versus 2b, 2d versus 2e),

1 ~~andwhile t~~The coating effect ~~is distinct in UV and VIS but~~ has little impacts at
2 ~~wavelength > 1200 nm, (Figures 2e and 2f),~~ which is due to that the optical properties
3 ~~of snow is effectively affected by LAPs in UV and VIS, but primarily by snow itself at~~
4 ~~wavelength > 1200 nm. In addition, $E_{1-\omega}$ increases with increased core/shell ratios, and~~
5 ~~the wavelength of maximum $E_{1-\omega}$ value is dependent on BC concentrations and~~
6 ~~core/shell ratios. In addition, when wavelength $> \sim 500$ nm, both BC concentration and~~
7 ~~core/shell ratio have distinct impacts on $E_{1-\omega}$, but when wavelength $< \sim 500$ nm, $E_{1-\omega}$ is~~
8 ~~mainly affected by core/shell ratio. Moreover, absorbing shell shows a negative impact~~
9 ~~for $E_{1-\omega}$ compared with non-absorbing shell, especially in UV.~~

10 Snow albedo is effectively affected by various factors, such as snow grain size,
11 LAP content, solar zenith angle, which has been widely discussed and verified through
12 model simulation and experimental measurements by previous studies (e.g. Warren and
13 Wiscombe, 1980; Hadley and Kirchstetter, 2012; Wang et al., 2017). In this study, we
14 mainly focus on the coating effect of BC on snow albedo. Figure 3 shows the spectral
15 snow albedos for coated (α_{int}) and uncoated BC (α_{ext}), and the ratios (E_{α}) of α_{int}
16 versus α_{ext} . In consistent with $1-\omega$, the impact of coating effect on snow albedo mainly
17 presents at wavelength $< \sim 1200$ nm (Figures 3a versus 3b, 3d versus 3e), where the
18 higher the BC concentration is (or the larger the core/shell ratio is), the larger the
19 difference of snow albedos between snow albedos for uncoated and coated BC is.
20 Hadley and Kirchstetter (2012) also found a smaller snow albedo for internal mixed
21 particles relative to that for external mixed particles. This phenomenon is also obvious

1 on E_{α} , which decreases with increased BC concentration and core/shell ratios in VIS
2 and NIR (Figure 3c and 3f). For a given BC concentration and core/shell ratio, E_{α}
3 generally decreases with the wavelength from UV to VIS, then increases from VIS to
4 NIR, which is corresponding to the results of E_{abs} and $E_{1-\omega}$. On the other hand, the E_{α}
5 for non-absorbing and absorbing shell is comparable with each other at wavelength $>$
6 ~ 800 nm. However, when wavelength $< \sim 800$ nm, E_{α} for absorbing shell is larger than
7 that for non-absorbing shell and the difference increases with the decreased wavelength
8 and increased core/shell ratio. Moreover, for absorbing shell, the snow albedo for
9 coated BC is higher than that for uncoated BC at $< \sim 350$ nm at large core/shell ratios,
10 which are due to that the light absorption by internal mixed particles for absorbing shell
11 is smaller than that by external mixed particles at those wavelengths as discussed in
12 Section 3.1. These results indicate that the material of particle shell also plays an
13 important role for snow albedo in UV and VIS. We noted that the solar radiative flux is
14 very small at wavelengths < 350 nm, so that the coating effect at those wavelengths
15 may have little contributions to total light absorption and broadband snow albedos, but
16 which may potentially influence the photochemical reactions in snowpack (Grannas et
17 al., 2007).

18 Furthermore, Figure 4 shows the spectral snow albedo reductions caused by coated
19 ($\Delta\alpha_{\text{int}}$) and uncoated BC ($\Delta\alpha_{\text{ext}}$), and the ratios ($E_{\Delta\alpha}$) of $\Delta\alpha_{\text{int}}$ versus $\Delta\alpha_{\text{ext}}$.
20 Generally, $\Delta\alpha_{\text{int}}$ is larger than $\Delta\alpha_{\text{ext}}$, and core/shell ratio dominates the variations of
21 $E_{\Delta\alpha}$ across the wavelengths of 300-1400 nm, while the impact of BC content mainly

1 focuses on 500-1000 nm. In consistent with $E_{1-\omega}$ and E_{α} , the impact of the material of
2 particle shell is negligible at wavelength $> \sim 800$ nm, but the $E_{\Delta\alpha}$ for absorbing shell is
3 smaller than that for non-absorbing shell at wavelength $< \sim 800$ nm. Moreover, the $E_{\Delta\alpha}$
4 is < 1 for absorbing shell at wavelength $< \sim 350$ nm at large core/shell ratios. It is
5 interesting that the coating effect still has an obvious impact on snow albedo reduction
6 at wavelength $> \sim 1200$ nm, which is different with $E_{1-\omega}$ and E_{α} .

7 ~~has a clear influence on $E_{1-\omega}$ and E_{α} in UV and VIS, but affects $E_{\Delta\alpha}$ across all~~
8 ~~wavelengths (Figure 2). The core/shell ratio makes a positive contribution to $E_{1-\omega}$ and~~
9 ~~$E_{\Delta\alpha}$, and a negative contribution to E_{α} . The BC concentration makes a positive~~
10 ~~contribution to $E_{1-\omega}$ and a negative contribution to $E_{\Delta\alpha}$ and E_{α} . For a given BC~~
11 ~~concentration and core/shell ratio, $E_{1-\omega}$ and $E_{\Delta\alpha}$ decrease, and E_{α} increases, with longer~~
12 ~~wavelength from UV to VIS. In addition, the absorbing shell shows a negative influence~~
13 ~~for $E_{1-\omega}$ and $E_{\Delta\alpha}$, but positive for E_{α} compared with a non-absorbing shell, particularly~~
14 ~~under UV. Moreover, for an absorbing shell, $E_{1-\omega}$ and $E_{\Delta\alpha}$ are < 1 , and E_{α} is > 1 at~~
15 ~~approximately < 350 nm in the case of high core/shell ratios because of lower light~~
16 ~~absorption by IMP than EMP at those wavelengths.~~

17 **3.3 Impact on broadband snow single-scattering properties and albedos**

18 Compared with spectral optical properties, our broadband results have wider
19 implications for the research community. Figure 5 shows the spectrally weighted $1-\omega$
20 for coated ($1-\omega_{\text{int, integrated}}$) and uncoated BC ($1-\omega_{\text{ext, integrated}}$), and the ratios ($E_{1-\omega, \text{ integrated}}$)
21 of $1-\omega_{\text{int, integrated}}$ versus $1-\omega_{\text{ext, integrated}}$. In general, $1-\omega_{\text{int, integrated}}$ is larger than $1-\omega_{\text{ext,}}$

$E_{1-\omega, \text{integrated}}$ and $E_{1-\omega, \text{integrated}}$ increased with BC concentration and core/shell ratio, but is little affected by snow grain size. $E_{1-\omega, \text{integrated}}$ is in a range of 1.0 to ~ 1.35 and 1.0 to ~ 1.23 for non-absorbing and absorbing shell, respectively, with BC concentrations within 1000 ng g^{-1} and core/shell ratios of 1.2-2.5. For a given BC concentration and core/shell ratio, $E_{1-\omega, \text{integrated}}$ for non-absorbing shell is larger than that for absorbing shell. In addition, $E_{1-\omega, \text{integrated}}$ of the original SNICAR model is closed to that of non-absorbing shell at a core/shell ratio of 1.5.

Figure 6a and b shows the spectrally weighted snow albedo for coated ($\alpha_{\text{int, integrated}}$) and uncoated BC ($\alpha_{\text{ext, integrated}}$), and the ratios ($E_{\alpha, \text{integrated}}$) of $\alpha_{\text{int, integrated}}$ versus $\alpha_{\text{ext, integrated}}$. Generally, $\alpha_{\text{int, integrated}}$ is smaller than $\alpha_{\text{ext, integrated}}$ by 0 to $\times 0.069$ (0 to $\times 0.051$), and $E_{\alpha, \text{integrated}}$ varies from 1 to ~ 0.903 (1 to ~ 0.924) for non-absorbing (absorbing) shell with BC concentrations from 0 to 1000 ng g^{-1} , snow grain radius from $100 \mu\text{m}$ to $500 \mu\text{m}$, and core/shell ratios from 1.2 to 2.5. $E_{\alpha, \text{integrated}}$ shows a decreased trend with increased BC concentration, core/shell ratio and snow grain size. In addition, the difference between $\alpha_{\text{ext, integrated}}$ and $\alpha_{\text{int, integrated}}$ (or $E_{\alpha, \text{integrated}}$) for non-absorbing shell is larger (or smaller) than that for absorbing shell. If considering these coating effects in real environments. For example, in clean snow, such as North American with a typical BC concentration of $\sim 50 \text{ ng g}^{-1}$ (Doherty et al., 2014), the difference between $\alpha_{\text{ext, integrated}}$ and $\alpha_{\text{int, integrated}}$ is in a range of $\times 0.002$ - $\times 0.017$ 0.979 - 0.998 and $\times 0.001$ - $\times 0.012$ 0.985 - 0.998 for non-absorbing and absorbing shell, respectively, with core/shell ratios of 1.2-2.5 and snow

grain radius of 100-500 μm . In contrast, in polluted snow, such as Northeastern China, BC concentration is typically $\sim 1000 \text{ ng g}^{-1}$ in industrial regions. the difference between $\alpha_{\text{ext, integrated}}$ and $\alpha_{\text{int, integrated}}$ $E_{\alpha, \text{integrated}}$ ranges from ~~XXX0.008-XXX0.069~~ and ~~XXX0.007-XXX0.051~~ 0.903 to 0.991 and 0.924 to 0.992 for non-absorbing and absorbing shell, respectively, lower than the results in clean snow. The results show that the impact of coating effect on snow albedo can leads the snow albedo reduced by $\sim 2\%$ in clean snow and $\sim 10\%$ in polluted snow for ~~internal mixed particles~~ ~~relative coated BC to~~ than that for ~~external mixed particles~~ ~~uncoated BC~~. In addition, the sensitivity of $E_{\alpha, \text{integrated}}$ to BC decreases with increasing BC concentration due to the nonlinear effect of BC on snow albedo (Flanner et al., 2007). For example, the difference of $E_{\alpha, \text{integrated}}$ is 0.009 (0.006) between BC concentrations of 100 and 200 ng g^{-1} , but only 0.003 (0.002) between BC concentrations of 900 and 1000 ng g^{-1} at a core/shell ratio of 2.5 and snow grain radius of 200 μm for non-absorbing shell (absorbing shell). We note again that the original SNICAR model only reflects the impact of coating effect on snow albedo at an intermediate core/shell ratio of ~ 1.5 .

Figure 7 shows the spectrally weighted snow albedo reductions by coated ($\Delta\alpha_{\text{int, integrated}}$) and uncoated BC ($\Delta\alpha_{\text{ext, integrated}}$), and the ratios ($E_{\Delta\alpha, \text{integrated}}$) of $\Delta\alpha_{\text{int, integrated}}$ versus $\Delta\alpha_{\text{ext, integrated}}$. Different from $E_{\alpha, \text{integrated}}$, $E_{\Delta\alpha, \text{integrated}}$ is dominated by core/shell ratio, but little dependent on snow grain size (Figures 7c and 6f). In addition, $E_{\Delta\alpha, \text{integrated}}$ presents a slight decreased trend with increased BC concentration. Comparing Figure

1 7c and f, we find that the material of particle shell presents a distinct impact on $E_{\Delta\alpha}$,
2 $E_{\Delta\alpha, \text{integrated}}$ mostly falls in a range of 1.11 to ~ 1.80 (1.10 to ~ 1.33) for non-
3 absorbing (absorbing) shell with core/shell ratios from 1.2 to 2.5. Our results were
4 comparable with the previous study that the snow albedo reduction of BC-snow internal
5 mixing is larger than external mixing by a factor of 0.2-1.0 (He et al., 2018cb). On the
6 other hand, the $E_{\Delta\alpha, \text{integrated}}$ from the original SNICAR model only shows a small
7 variation of 1.23–1.31. This is similar to a non-absorbing shell with a core/shell ratio
8 of ~ 1.5 , which implies the original SNICAR model only reflects a coating effect on
9 snow albedo reduction at an intermediate core/shell ratio and may lead to possible
10 biases of -10% to 50% in snow albedo reduction calculation.

11 ~~Core/shell ratios dominate variations in spectrally weighted $E_{1-\omega, \text{integrated}}$, $E_{\alpha, \text{integrated}}$~~
12 ~~and $E_{\Delta\alpha, \text{integrated}}$ (Figure 3). Snow grain size and BC concentration show a~~
13 ~~negative contribution to $E_{\alpha, \text{integrated}}$, but barely affect $E_{\Delta\alpha, \text{integrated}}$. However, the type of~~
14 ~~particle shell has a significant impact on $E_{1-\omega, \text{integrated}}$, $E_{\alpha, \text{integrated}}$, and $E_{\Delta\alpha, \text{integrated}}$.~~
15 ~~Compared with $E_{1-\omega, \text{integrated}}$ and $E_{\alpha, \text{integrated}}$, $E_{\Delta\alpha, \text{integrated}}$ has a more direct influence on~~
16 ~~radiative forcing and climate change. $E_{\Delta\alpha, \text{integrated}}$ largely falls within a range of 1.11 to~~
17 ~~~ 1.80 for a non-absorbing shell, which exceeds the values for an absorbing shell (1.10~~
18 ~~to ~ 1.33) by a factor of 1.0–1.35 (with BC concentrations within 1000 ng g^{-1} , a snow~~
19 ~~grain radius of 100–500 μm , and core/shell ratios from 1.2 to 2.5). Our results were~~
20 ~~comparable with the previous study that the snow albedo reduction of BC-snow internal~~
21 ~~mixing is larger than external mixing by a factor of 0.2–1.0 (He et al., 2018b). In~~

1 ~~contrast~~On the other hand, the $E_{\Delta\alpha, \text{integrated}}$ from the original SNICAR model only shows
2 ~~a small variation of 1.23–1.31. This is similar to a non-absorbing shell with a core/shell~~
3 ~~ratio of ~1.5, which implies the original SNICAR model only reflects a coating effect~~
4 ~~on snow albedo reduction at an intermediate core/shell ratio and may lead to possible~~
5 ~~biases of ~10% to 50% in snow albedo reduction calculation.~~

6 **3.4 Uncertainties**

7 Although the imaginary RI value of OC has been theoretically calculated (Section
8 2.1), we note that in real snowpack there is large uncertainty because the types and
9 optical properties of OC varies spatially and temporally due to different emission
10 sources and photochemical reactions in the atmosphere (e.g. Lack and Cappa, 2010).

11 To address this issue, we tested the degree of influence of imaginary RI on $E_{\alpha, \text{integrated}}$,
12 and $E_{\Delta\alpha, \text{integrated}}$ values by increasing and decreasing the calculated imaginary RI by 50%
13 (Figure S1), which studies have shown to be plausible (e.g., Lack et al., 2012). We find
14 imaginary RI uncertainty to be $\pm 1\%$ for $E_{\alpha, \text{integrated}}$ and $\pm 5\%$ for $E_{\Delta\alpha, \text{integrated}}$.

15 In addition, observations show large variation in the size distribution of
16 atmospheric and snow BC particles (Schwarz et al., 2013), which can affect snow
17 optical properties and albedo (He et al., 2018). Therefore, we examined the effects of
18 BC particle size on $E_{\alpha, \text{integrated}}$ and $E_{\Delta\alpha, \text{integrated}}$ with two additional BC diameters of 50
19 nm and 150 nm, which are within observed size ranges (Schwarz et al., 2013) and are
20 comparable to BC particle sizes used in other studies (e.g. He et al., 2018**b**). We find
21 the uncertainty attributed to BC diameter is $\pm 1\%$ for $E_{\alpha, \text{integrated}}$ and $\pm 13\%$ for $E_{\Delta\alpha, \text{integrated}}$.

1 integrated. According to Equation 2, the uncertainty for $E_{\alpha, \text{integrated}}$ is equivalent to that for
2 snow albedo and the uncertainty for $E_{\Delta\alpha, \text{integrated}}$ is equivalent to that for snow albedo
3 reduction. ThereforeOverall, the total uncertainty related to imaginary RI and BC
4 diameter is $\pm 1.4\%$ for $E_{\alpha, \text{integrated}}$ (snow albedo) and $\pm 13.9\%$ for $E_{\Delta\alpha, \text{integrated}}$ (snow
5 albedo reduction).

6 Another important issue is that in real environments, BC mixtures with other
7 species are likely much more complex than uniform coatings on spheres, hence a core-
8 shell assumption seems somewhat dubious. –However, a recent study observing
9 individual particle structure and mixing states between the glacier–snowpack and
10 atmosphere (Dong et al., 2018) found that fresh BC particles are generally characterized
11 with fractal morphology, which has a large quantity in the atmosphere. In contrast, in
12 the snowpack, aged BC particles dominated the BC content and the mixing states of
13 aged BC particles change largely to the internal mixing forms with BC as the core. This
14 process is characterized by the initial transformation from a fractal structure to spherical
15 morphology and the subsequent growth of fully compact particles during the transport
16 and deposition process. Therefore, a core-shell assumption for coated BC in snowpack
17 seems to be plausible. In addition, most field measurements can not capture the explicit
18 structure of coated BC due to limited observation methods (e.g. Doherty et al., 2010,
19 2014; Wang et al., 2013; Li et al., 2017, 2018; Pu et al., 2017; Zhang et al., 2017, 2018),
20 therefore even if a model for explicit BC structure was developed, researchers are hard
21 to use it for studying the effect of coated BC on snow albedo reductions at present.

1 Moreover, a core-shell assumption for coated BC in the atmosphere has been widely
2 applied by most global climate models (e.g. Jacobson, 2001; Bond et al. 2013), so that
3 our parameterizations for coated BC in snowpack can be easily linked to them. In
4 summary, we indicate that a core-shell assumption for coated BC in snowpack is
5 plausible and practical for field observations and model simulations at present in despite
6 of the possible uncertainties. However, with the developments of measurement methods
7 and climate models, building a more explicit structure for coated BC in snowpack is
8 actually needed in the future.

9 **3.5 Parameterizations of the coating effect**

10 Figure 874 compares parameterized $E_{\alpha, \text{integrated, para}}$ with SNICAR-modeled $E_{\alpha, \text{integrated}}$,
11 and Tables S1 and S2 list the empirical coefficients (see Section 2.3) derived
12 from nonlinear regression processes. This parameterization is under the assumptions of
13 semi-infinite snowpack, BC-snow external mixing, and spherical snow grains as
14 mentioned in Section 2. Generally, $E_{\alpha, \text{integrated, para}}$ and $E_{\alpha, \text{integrated}}$ show a strong
15 correlation, with $R^2 = 0.988$ (0.986) for a non-absorbing shell and $R^2 = 0.987$ (0.986)
16 for an absorbing shell in relatively clean (polluted) snow, and root mean squared errors
17 of $1.81 \cdot 10^{-3}$ ($4.70 \cdot 10^{-3}$) and $1.41 \cdot 10^{-3}$ ($3.76 \cdot 10^{-3}$), respectively. Biases for $E_{\alpha, \text{integrated, para}}$
18 are smallest for intermediate BC concentrations ~~and snow grain radius~~, but
19 become relatively larger at extremely low or high values, due mainly to processes
20 within the nonlinear regression method. In addition, the snow grain size has small
21 impacts on the accuracy of parameterized results, so that the parameterizations can be

1 applied in either fresh snow or old snow types. Overall, the $E_{\alpha, \text{integrated}}$ can be well
2 reproduced by $E_{\alpha, \text{integrated, para}}$ and the parameterizations are applicable in various snow
3 pollution conditions with BC concentrations from 0-1000 ng g⁻¹, core/shell ratios from
4 1.1 to 3.0, and different coating materials (non-absorbing and absorbing shell). We note
5 that if BC concentration is larger than 1000 ng g⁻¹, the parameterization for relatively
6 polluted snow is also applicable with a small negative ~~-(positive)~~ bias. Overall, the $E_{\alpha,$
7 integrated can be well reproduced by $E_{\alpha, \text{integrated, para}}$ for both a non-absorbing and absorbing
8 shell under the conditions of both relatively clean and polluted snow.

9 Therefore, other studies can estimate the coating effect of BC on snow albedos
10 and radiative forcing very conveniently by combining the original SNICAR or other
11 snow radiative forcing model with our new parameterizations, which ~~can~~ may reduce
12 snow albedo simulation bias. ~~For example, Wang et al. (2017) compared observations~~
13 ~~and model simulations of snow albedo reduction in northern China. They found~~
14 ~~simulated results overestimated snow albedo by -0.06 in polluted snow, despite~~
15 ~~considering the effects of all LAP types (BC, OC, and dust), snow grain type, and snow~~
16 ~~depth. However, if the coating effect is taken into account and the new~~
17 ~~parameterizations are applied (according to their measured LAPs and snow parameters),~~
18 ~~the difference between simulation and observation is reduced to -0.02, thereby~~
19 ~~improving the simulation. Furthermore~~ On the other hand, although most global climate
20 models (GCMs) account for coated BC in the atmosphere, ~~although most global~~
21 climate models (GCMs) account for internal mixing of atmospheric BC, they barely

1 consider the coating effect for BC in snow (Bond et al., 2013).— In —addition, different
2 GCMs apply different types of snow radiative transfer models, which means that one
3 physical mechanism responsible for the BC coating effect in snow cannot be suitable
4 for all GCMs. Hence, our parameterizations are good for the climate models to have
5 an option for BC coating effects in snow~~In this case our parameterizations are~~
6 ~~particularly helpful, as they are easily used in any GCM and improve understanding of~~
7 ~~how BC in snow influences local hydrological cycles and global climate.~~

8 **3.6 Measurement-based estimate of coating effect**

9 To evaluate the coating effect of BC on both snow albedo and radiative forcing in
10 real snowpack, we collected in situ measurements of BC and OC concentrations in
11 snow (Figure [895](#)) during field campaigns in the Arctic in spring 2007–2009 (Doherty
12 et al., 2010), North America in January–March 2013 (Doherty et al., 2014), northern
13 China in January–February 2010 and 2012 (Ye et al., 2012; Wang et al., 2013), and the
14 Tibetan Plateau in spring 2010 and 2012 (Wang et al., 2013; Li et al., 2017, 2018; Pu
15 et al., 2017; Zhang et al., 2017, 2018). Measurements are separated into four
16 geographical regions (Figure [895c](#)): the Arctic, North America (NA), northern China
17 (NC), and the Tibetan Plateau (TP). An absorbing shell of OC was assumed in measured
18 snowpack, which is plausible because previous studies have found that OC is the
19 dominant coating in the atmosphere (e.g., Cappa et al., 2012) and snow (Dong et al.,
20 2018). The OC/BC mass ratio is generally from 1 to 10, with the corresponding
21 core/shell ratio from 1.3 to 2.5 (Figure [895b](#)). The average core/shell ratio was highest

1 (2.45) in the TP, followed by 1.92 and 1.81 in the Arctic and NC, respectively, and
2 lowest (1.31) in NA (Figure 895d). These results reveal the BC coating effect had a
3 larger impact on snow albedo in the TP than in other regions. In this study, the
4 assumption that all measured OC resides as coating on BC particles were mainly used
5 to show the upper bound of coating effect on snow albedo reduction, which was
6 comparable with the previous studies (e.g. He et al. 2018c).

7 Figure 910 shows the statistics for snow albedo reductions and radiative forcing
8 in different regions for fresh snow (snow grain radius =100 μm) and old snow (snow
9 grain radius =1000 μm). The spatial distributions of snow albedo reductions and
10 radiative forcing are presented in Figure S3 and S4. Briefly, the TP snowpack suffers
11 the highest snow albedo reduction (0.066), and the regional average snow albedo
12 reductions are lower in NC (0.055), NA (0.009), and the Arctic (0.007) for fresh snow
13 in the case of external mixing (Figure 10a). Accordingly, the regional average radiative
14 forcing is 11.63, 4.42, 0.97, and 0.56 W m^{-2} in TP, NC, NA, and the Arctic (Figure
15 910b). In the case of internal mixing, the regional average snow albedo reductions are
16 0.084, 0.065, 0.011, and 0.009 in TP, NC, NA, and the Arctic, with the corresponding
17 radiative forcing of 14.84, 5.51, 1.11, and 0.69 W m^{-2} . Figure 101 shows the
18 comparisons of internal mixing to external mixing. Figure 6 presents the coating effect
19 on snow albedo reduction and radiative forcing based on measured BC in real snowpack,
20 and Figures S3–S5 show spatial distributions and statistics. For fresh snow, we find that
21 EMP-coated BC results in greater snow albedo reductions compared with EMP-uncoated

1 BC by factors of 1.27, 1.19, 1.13, and 1.23 in the TP, NC, NA, and the Arctic,
2 respectively (Figures 1016a and 101b). Correspondingly, we find that ~~HMP~~ the coating
3 effect leads radiative forcing by 1.27, 1.20, 1.14, and 1.22 for these same regions. The
4 highest (lowest) enhancement was found in the TP (NA), which corresponds to the
5 highest (lowest) OC/BC mass ratio and core/shell ratio in the TP (NA). For old snow_{5,2}
6 the regional average snow albedo reductions are 0.17 (0.21), 0.14 (0.17), 0.028 (0.033)
7 and 0.022 (0.027) in TP, NC, NA, and the Arctic for external (internal) mixing (Figure
8 910c). The corresponding radiative forcing are 38.2 (47.6), 19.2 (22.7), 4.6 (5.2) and
9 3.6 (4.6) W m⁻² (Figure 910d). The enhancement of snow albedo reductions due to the
10 BC coating effect are 1.24, 1.15, 1.13, and 1.23 in TP, NC, NA, and the Arctic,
11 respectively (Figure 101c). The corresponding radiative forcing reductions are 1.24,
12 1.16, 1.14, and 1.22 (Figure 101d). The enhancement shows a slight decrease with
13 snowpack aging, which is consistent with the results in Figure 73. Of note, we found
14 the contribution of coating effect to light absorption has exceeded dust over most areas
15 of northern China after comparing with previous studies of dust in snow (Wang et al.,
16 2013, 2017; Pu et al., 2017), which further demonstrated the critical role of BC coatings
17 in snow albedo evaluation.

18 In contrast to previous studies, we note that enhanced light absorption in snow
19 from the BC coating effect should be taken into account, especially in the Arctic and
20 the TP. Arctic sea ice has shown a sharp decline in recent decades (Ding et al., 2019),
21 and climate models predict a continued decreasing trend (Liu et al., 2020) that is likely

1 to perturb the Earth system and influence human activities (Meier et al., 2014). Multi-
2 model ensemble simulations indicate that greenhouse gases cannot fully explain this
3 decline, and recent studies have proposed that BC deposition in snow and sea ice is an
4 important additional contributor (e.g., Ramanathan and Carmichael, 2008).
5 Furthermore, the TP holds the largest ice mass outside polar regions, and acts as a water
6 ‘storage tower’ for more than 1 billion people in South and East Asia. Tibetan glaciers
7 have rapidly retreated over the last 30 years (Yao et al., 2012), raising the possibility
8 that many glaciers and their fresh water supplies could disappear by the middle of the
9 21st century. Observed evidence suggests that BC deposition is a significant
10 contributing factor to this retreat (Xu et al., 2009), but quantitatively modeling the effect
11 of BC on glacier dynamics is a challenge, partly because of incomplete radiative
12 transfer mechanisms within models. Due to the significant contribution of BC to
13 retreating Arctic sea ice and Tibetan glaciers, and the strong enhancement of light
14 absorption by coated BC, the coating effect must now be considered in climate models
15 that are designed to accurately reconstruct both the historical record and future change.

16 **4 Conclusions**

17 This study evaluated the effect of BC coating on snow albedo and radiative forcing
18 by combining core/shell Mie theory and the snow-albedo model SNICAR. We found that
19 the coating effect ~~reduces~~enhances snow albedo reduction by a factor of 1.11–1.80 for
20 a non-absorbing shell and 1.10–1.33 for an absorbing shell, when BC concentrations
21 are within 1000 ng g^{-1} , the snow grain radius is $100\text{--}500 \mu\text{m}$, and the core/shell ratio is

1 1.2–2.5. The core/shell ratio plays a dominant role in reducing snow albedo.
2 Furthermore, an absorbing shell causes a smaller snow albedo reduction than a non-
3 absorbing shell because of a lensing effect, whereby the absorbing shell reduces photon
4 absorbance in the BC core. Our results can effectively account for the complex
5 enhancement of snow albedo reduction due to coating effect in real environments.

6 Parameterizations for the coating effect are further developed for use in snow
7 albedo and climate models. Parameterized and simulated results show strong
8 correlations for both clean and polluted snowpack. The root mean squared error of
9 parameterized $E_{a, \text{integrated, para}}$ is low ($1.41 \cdot 10^{-3}$). A list of empirical coefficients for
10 parameterizations is provided for most seasonal snowpack field cases, with BC
11 concentrations within 1000 ng g^{-1} , snow grain sizes from ~~5100~~– $1000 \mu\text{m}$, and core/shell
12 ratios from 1.1 to 3.0. We demonstrate that parameterizations can reduce simulation
13 bias for local experiments in snow albedo models and, more importantly, can be applied
14 to GCMs to improve our understanding of how BC in snow affects local hydrological
15 cycles and global climate.

16 Based on a comprehensive set of field measurements across the Northern
17 Hemisphere, the BC coating effect in real snowpack was evaluated by assuming the
18 presence of an absorbing OC shell. The enhancement of snow albedo reduction was
19 ~~1.133–1.273~~ and enhancement of radiative forcing was ~~1.1435–1.274~~, which exceeds
20 the contribution of dust to snow light absorption over most areas of northern China. Of
21 note, the greatest enhancements were detected on the Tibetan Plateau and in the Arctic,

- 1 which will likely contribute to further Arctic sea ice and Tibetan glacier retreat. Our
- 2 findings indicate that the coating effect must be considered in future climate models, in
- 3 particular to more accurately evaluate the climate of the Tibetan Plateau and the Arctic.

1 **Conflict of interest**

2 The authors declare that they have no conflict of interest.

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9 **Author contributions**

10 X Wang and W Pu invited the project. W Pu and X Wang designed the study. W
11 Pu wrote the paper with contributions from all co-authors. TL Shi processed and
12 analyzed the data.

1 **References**

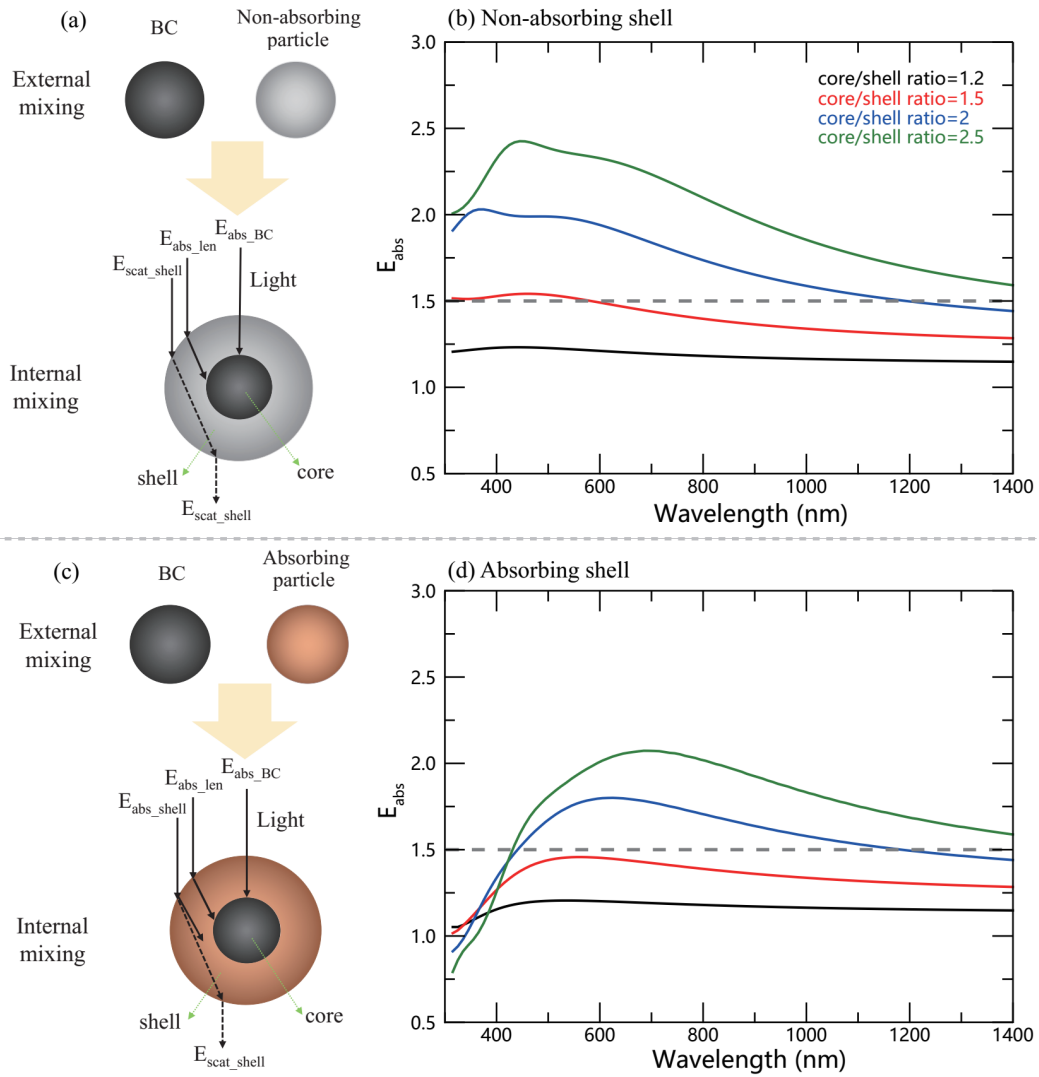
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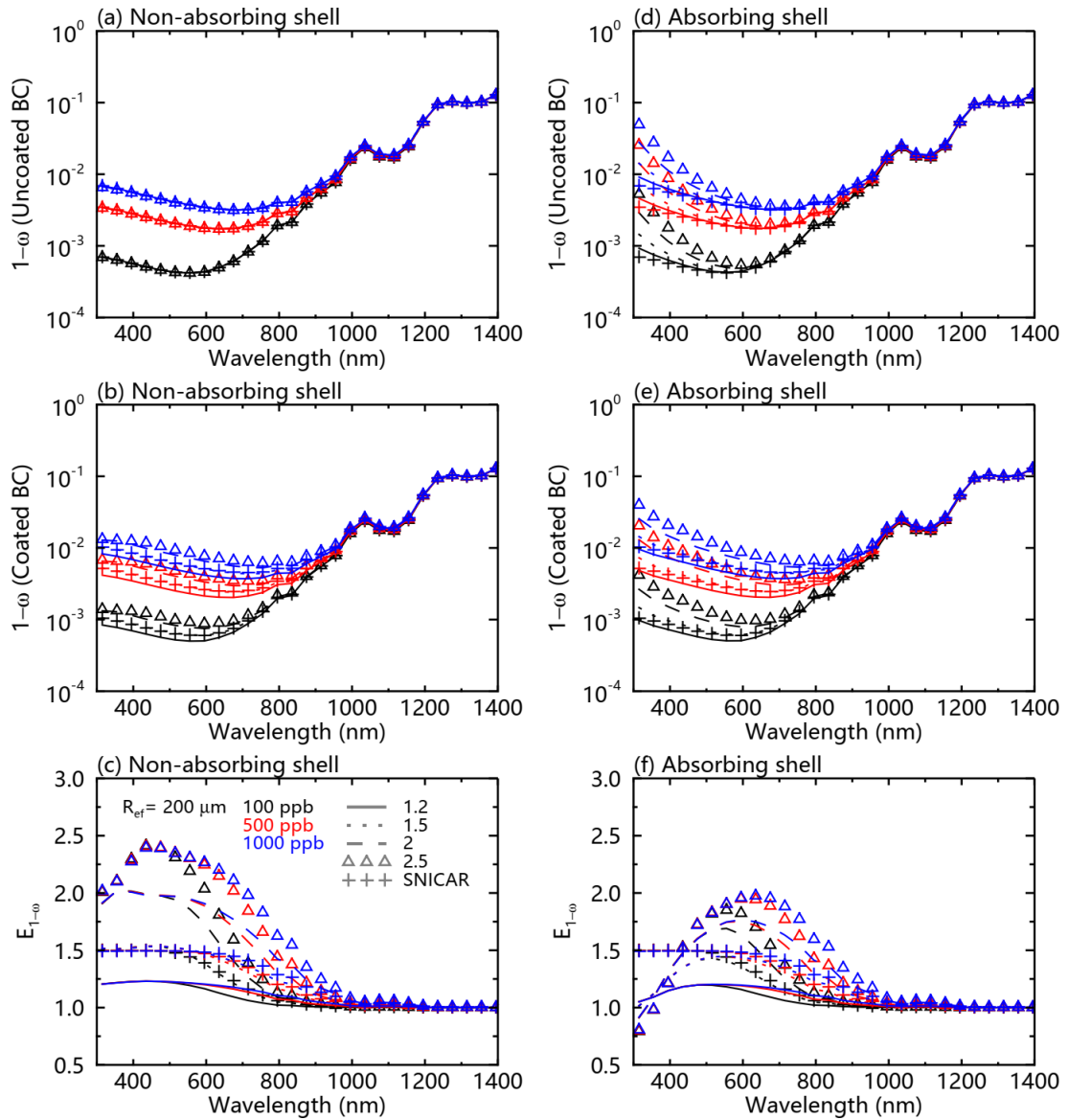
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Figure 1. Schematic diagrams showing the light absorption for an external mixture and internal mixture of BC, for (a) a non-absorbing particle and (c) an absorbing particle. Also shown is the enhancement of light absorption from the internal mixture (E_{abs}) compared to the external mixture of BC with (b) non-absorbing and (d) absorbing particles. The internal mixed particle was assumed to be a core/shell structure with a black carbon (BC) core.



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2 **Figure 2.** Snow single-scattering co-albedo ($1-\omega$) as a function of wavelength, with
 3 different BC concentrations and core/shell ratios for (a) uncoated and (b) coated BC
 4 with an assumption of a non-absorbing shell. (d) and (e) are same as (a) and (b),
 5 respectively, but with an assumption of an absorbing shell. (c) shows the ratios of snow
 6 single-scattering co-albedo ($E_{1-\omega}$) for coated versus uncoated BC with an assumption
 7 of a non-absorbing shell. (f) is same as (c), but with an assumption of an absorbing shell.
 8 The snow grain radius was assumed to be 200 nm.

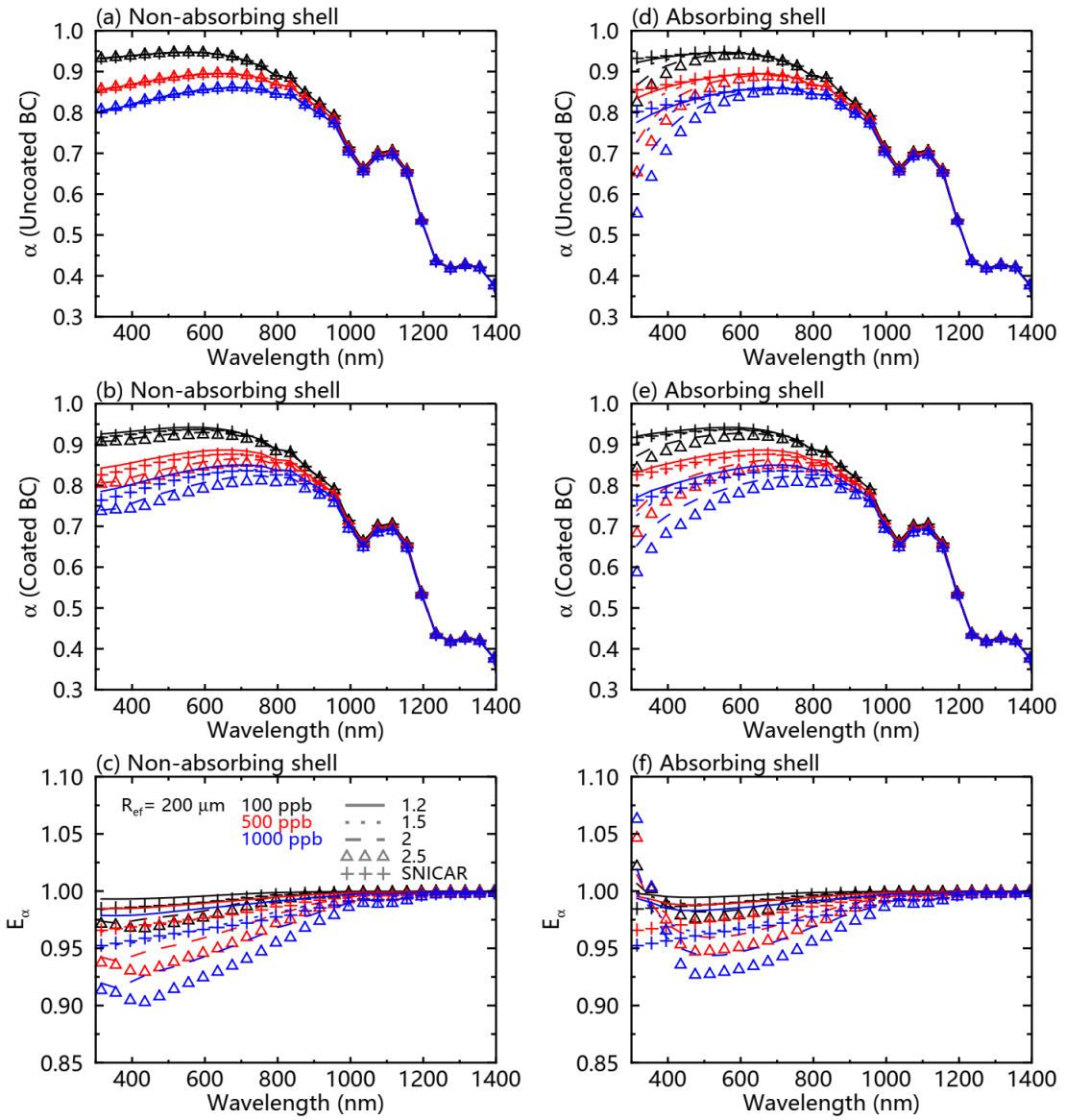
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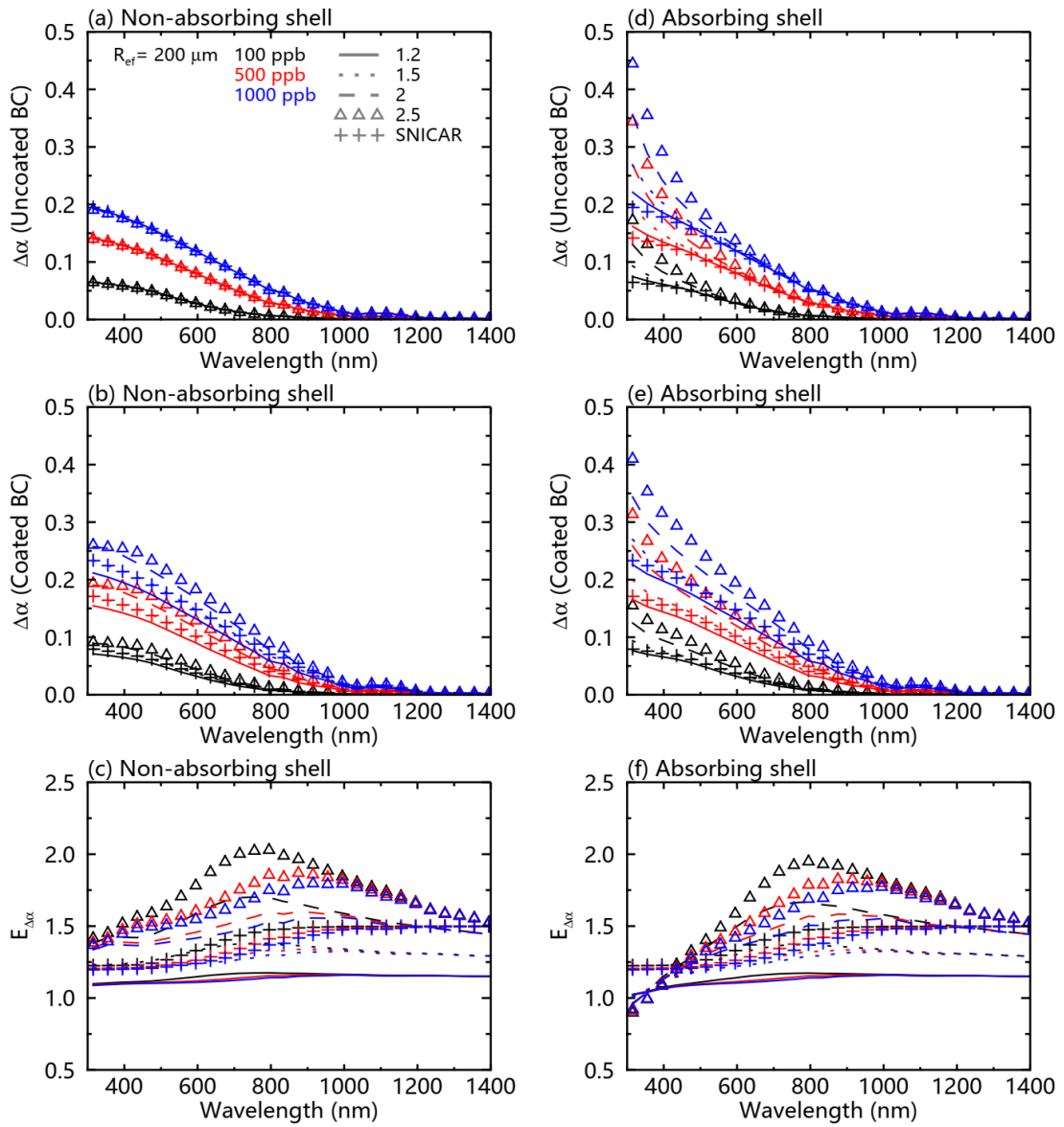
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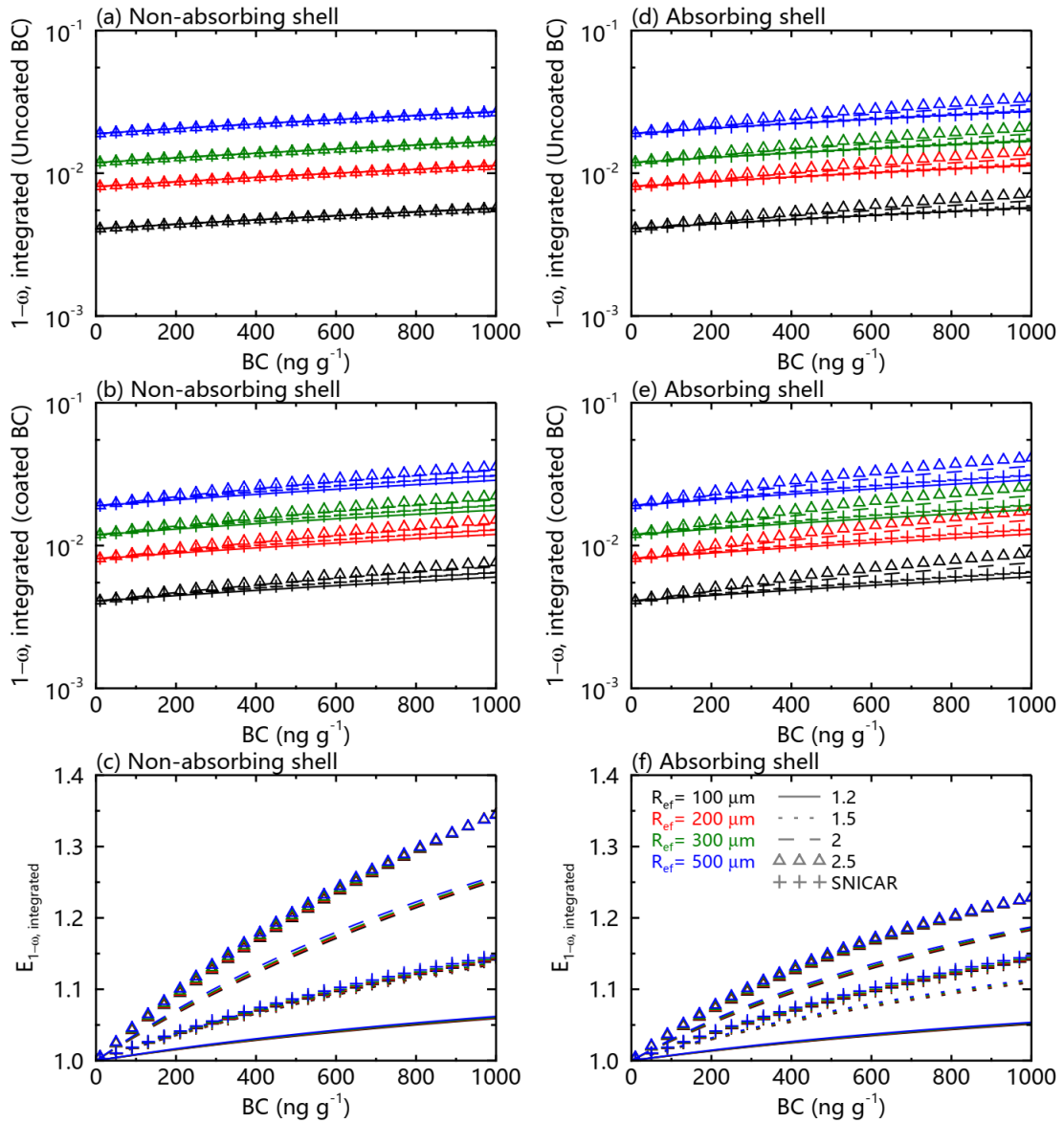
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Figure 3. Same as Figure 2, but for snow albedo (α).



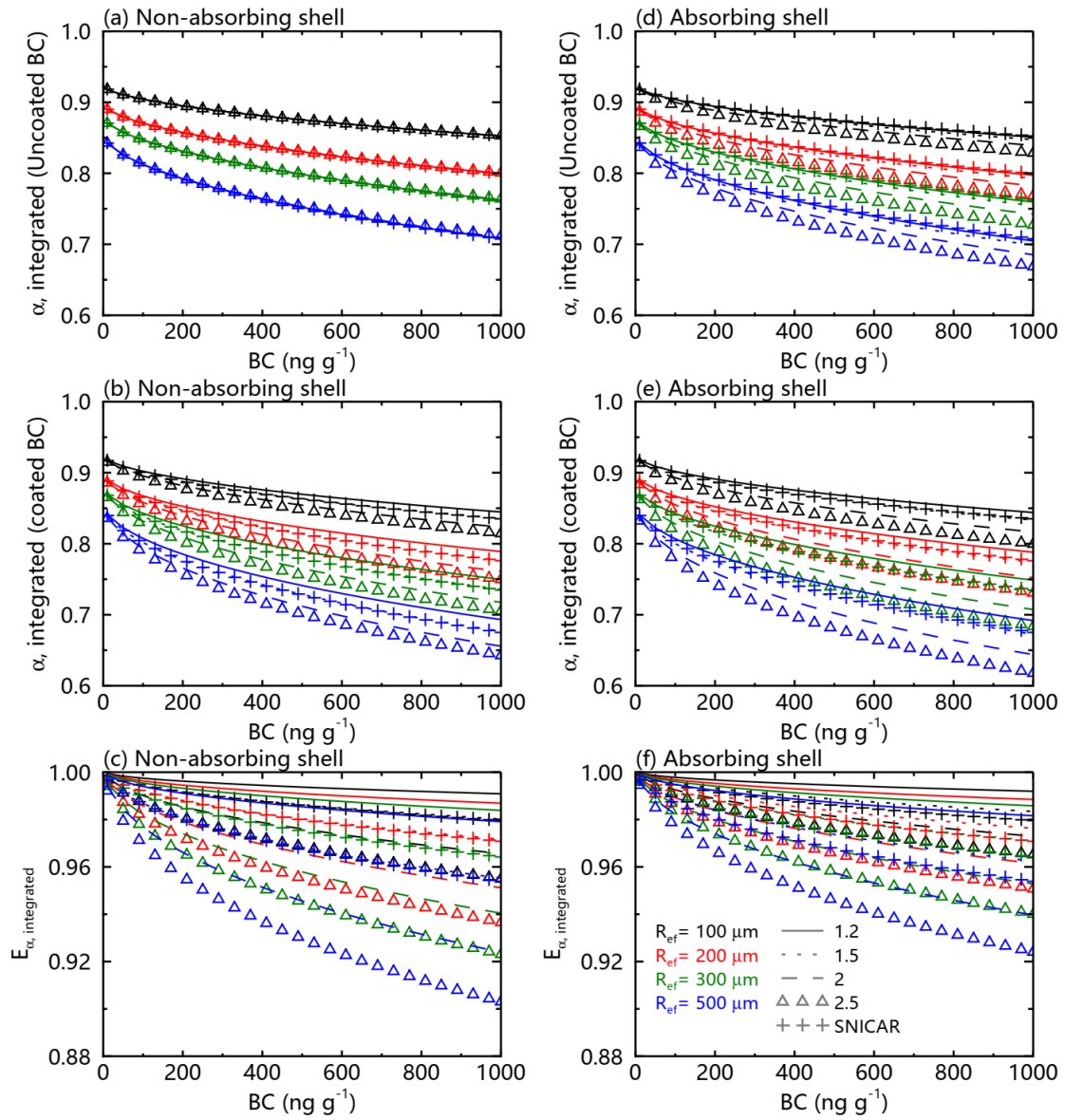
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Figure 4. Same as Figure 2, but for snow albedo reduction ($\Delta\alpha$).



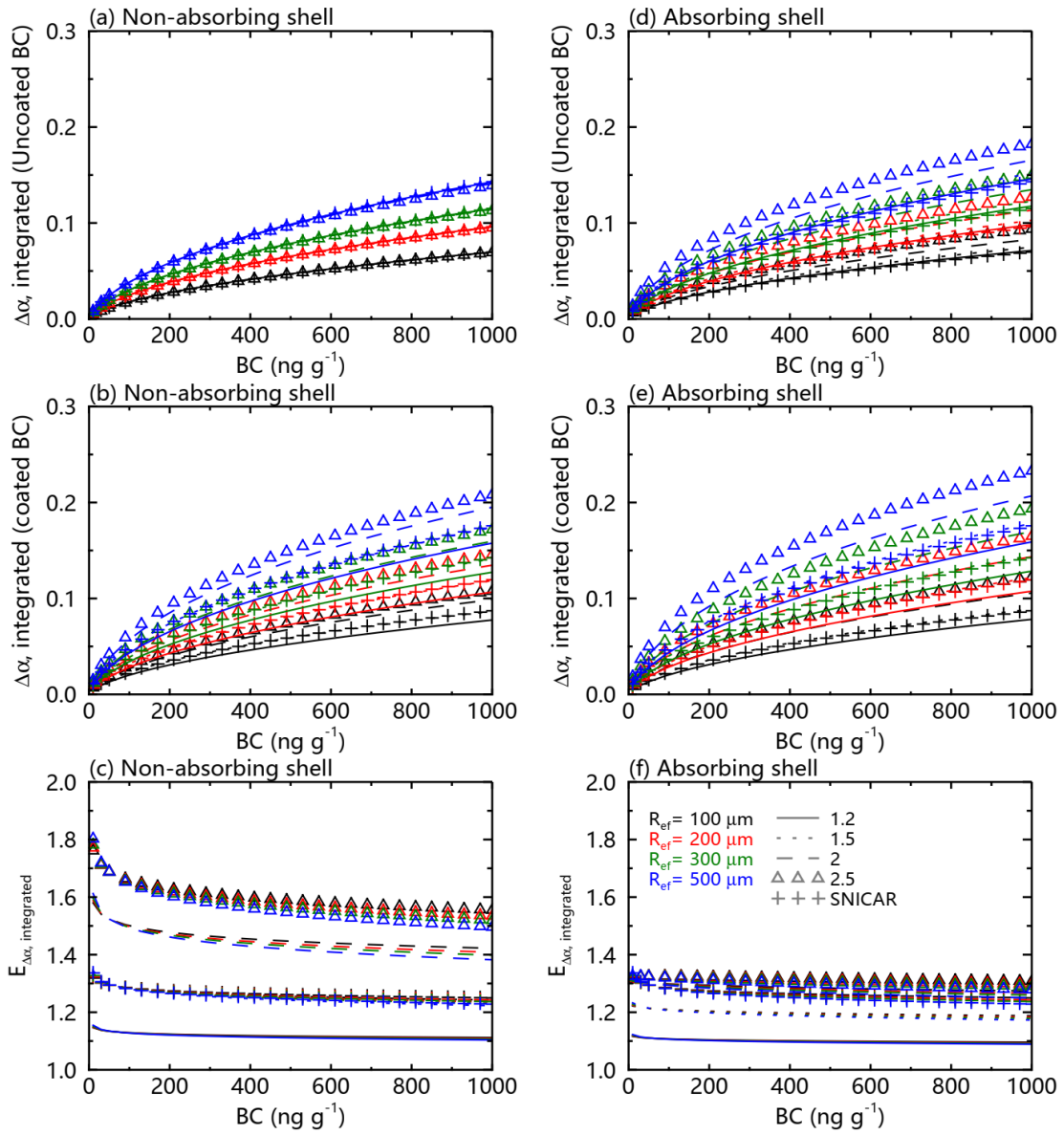
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Figure 5. The spectrally weighted snow single-scattering co-albedo ($1-\omega_{\text{integrated}}$) over 300–2500 nm of a typical surface solar spectrum at mid–high latitude from January to May, for (a) uncoated and (b) coated BC with an assumption of a non-absorbing shell. (d) and (e) are same as (a) and (b), respectively, but with an assumption of an absorbing shell. (c) shows the ratios ($E_{1-\omega, \text{integrated}}$) of spectrally weighted snow single-scattering co-albedo for coated versus uncoated BC with an assumption of a non-absorbing shell. (f) is same as (c), but with an assumption of an absorbing shell.



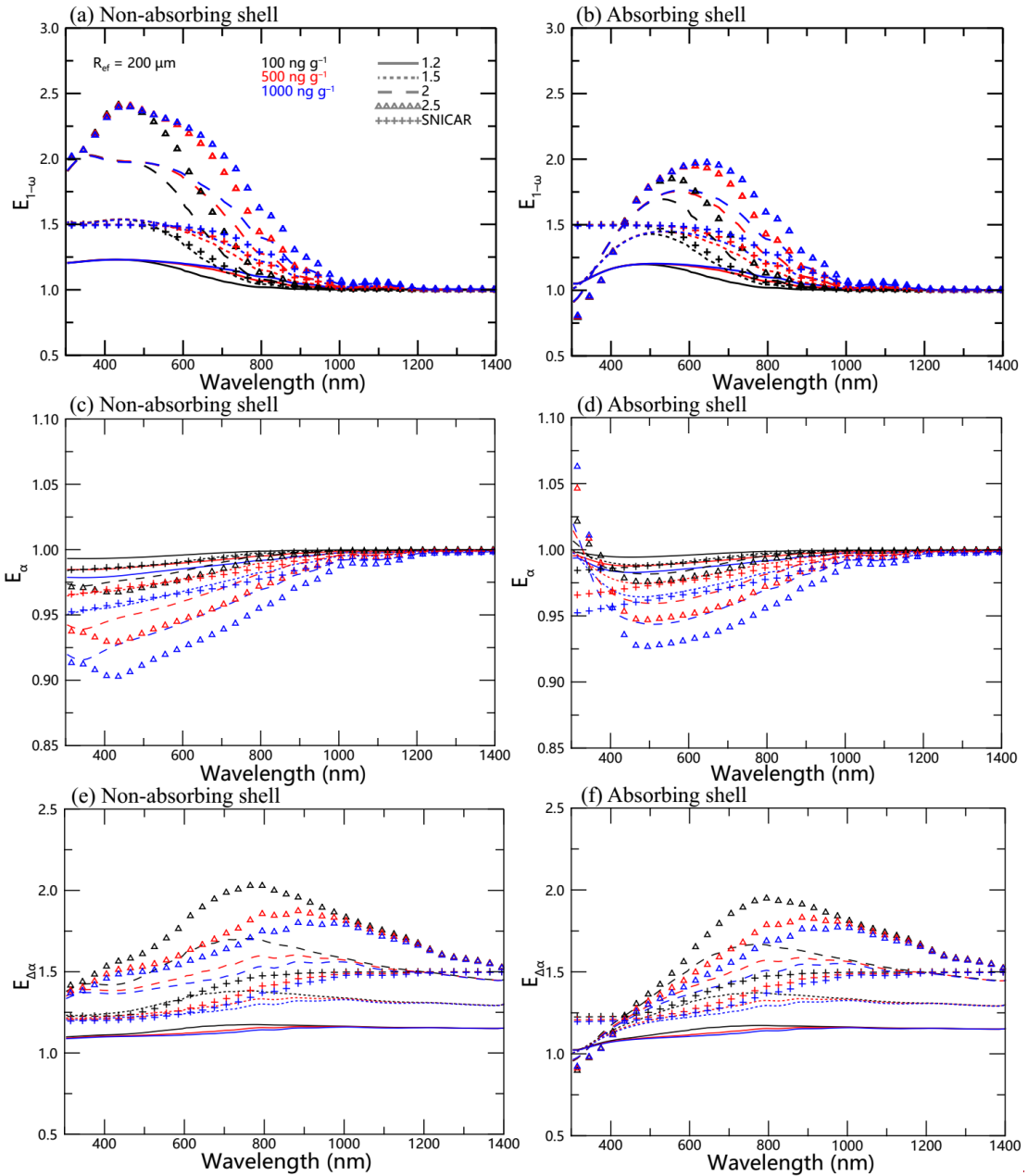
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Figure 6. Same as Figure 5, but for snow albedo ($\alpha_{\text{integrated}}$).



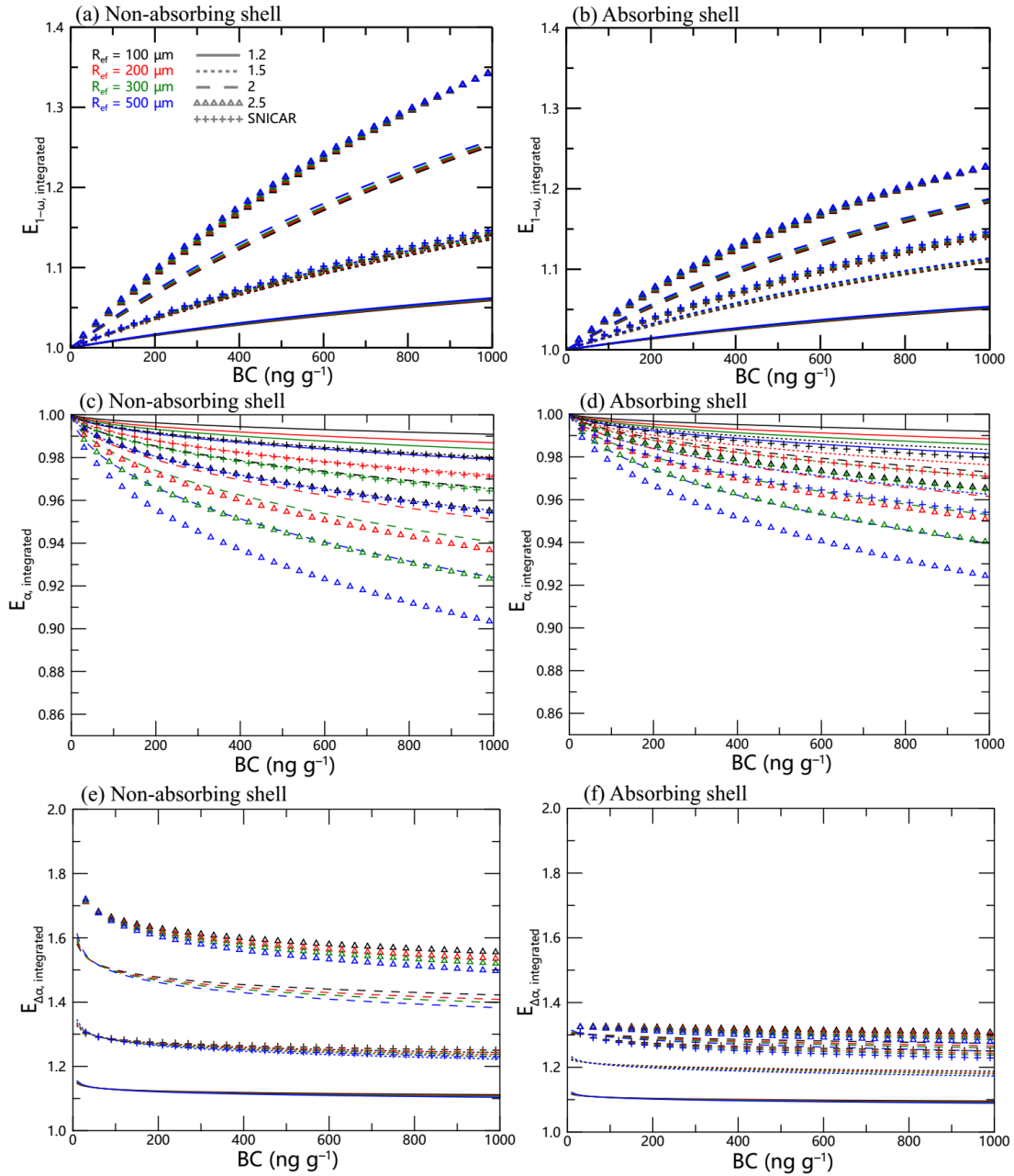
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Figure 7. Same as Figure 5, but for snow albedo reduction ($\Delta\alpha_{\text{integrated}}$).



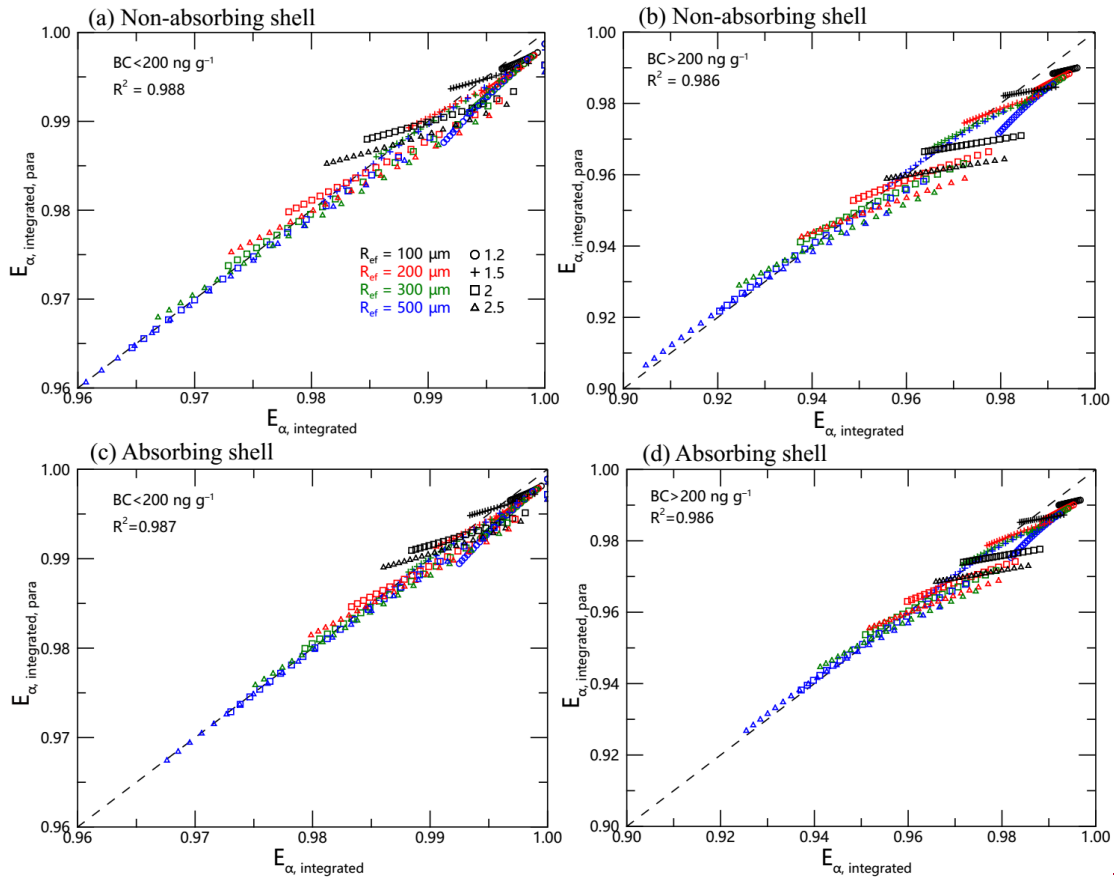
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Figure 2. Ratios of snow single-scattering co-albedo ($E_{1-\omega}$) from an internal mixed particle to an external mixed particle as a function of wavelength, with different BC concentrations and core/shell ratios for (a) a non-absorbing shell, and (b) an absorbing shell. (c) and (d) Same as (a) and (b), but for snow albedo (E_{σ}). (e) and (f) Same as (a) and (b), but for snow albedo reduction ($E_{\Delta\sigma}$). The snow grain radius was assumed to be 200 nm.

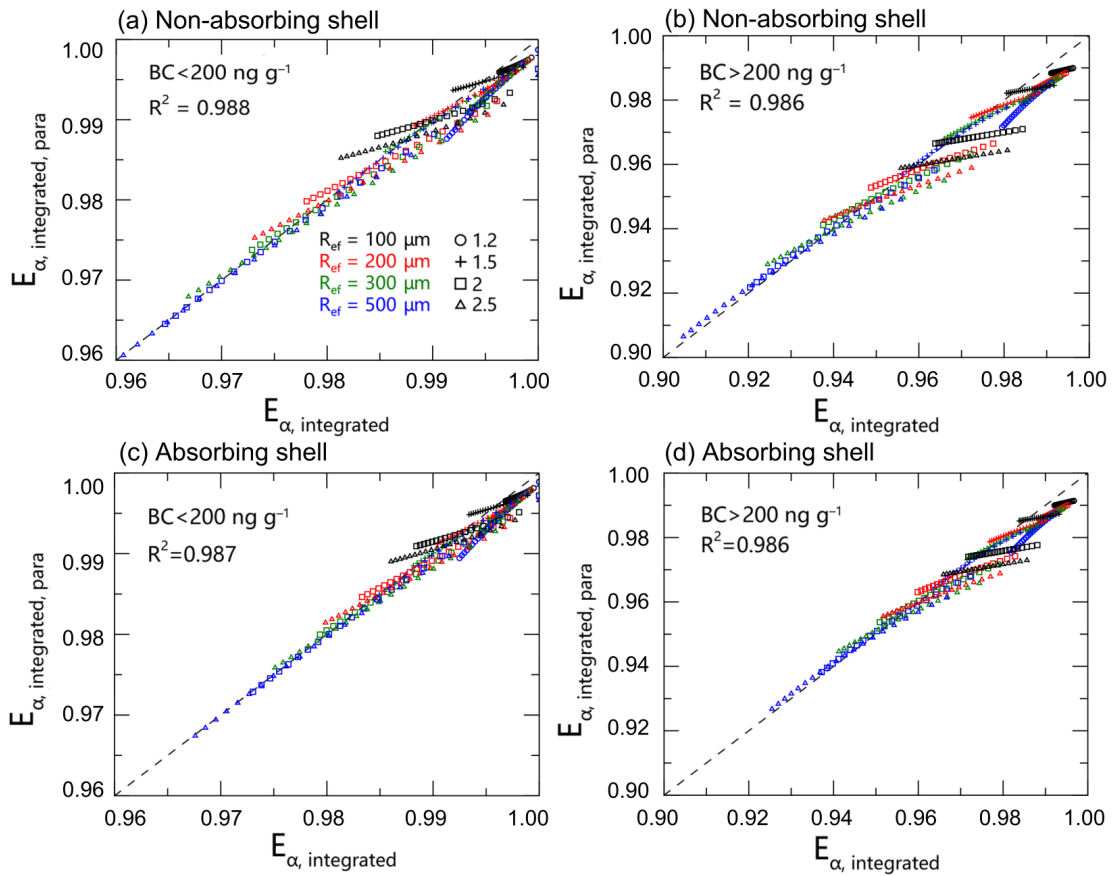


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Figure 3. The spectrally weighted $E_{1-\omega}$ ($E_{1-\omega, \text{integrated}}$) over 300–1400 nm of a typical surface solar spectrum at mid-high latitude from January to May, for (a) a non-absorbing shell and (b) an absorbing shell as a function of black carbon (BC) concentration with different snow grain radii and core/shell ratios. (c) and (d) Same as (a) and (b), but for broadband snow albedo ($E_{\alpha, \text{integrated}}$). (e) and (f) Same as (a) and (b), but for broadband snow albedo reduction ($E_{\Delta\alpha, \text{integrated}}$).

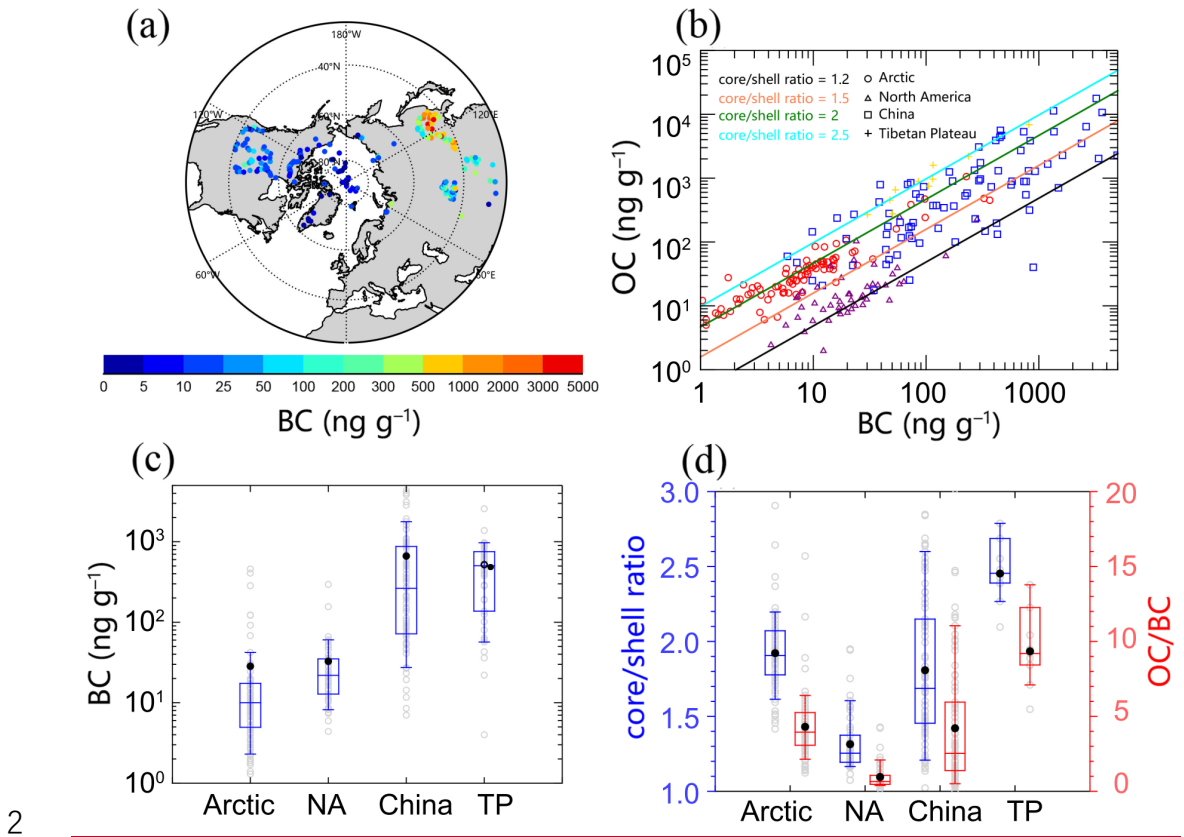
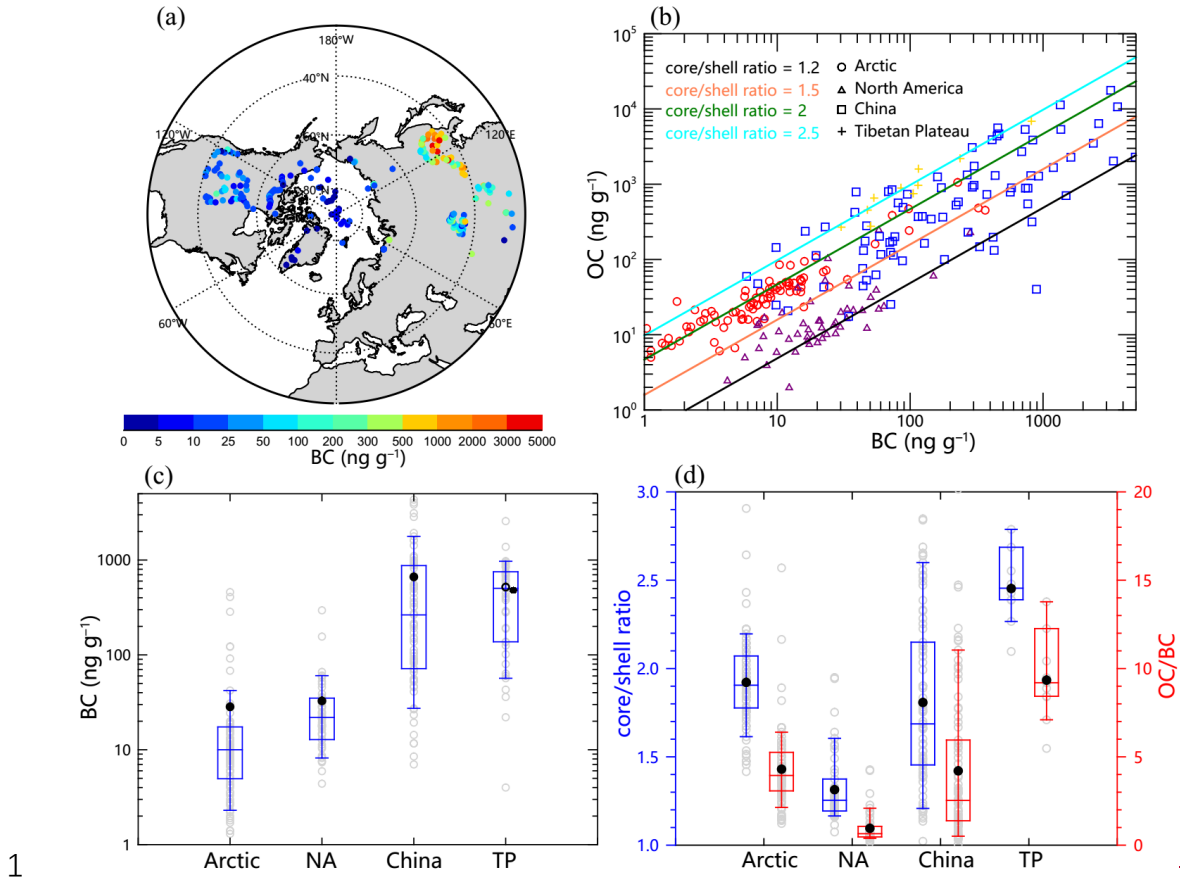


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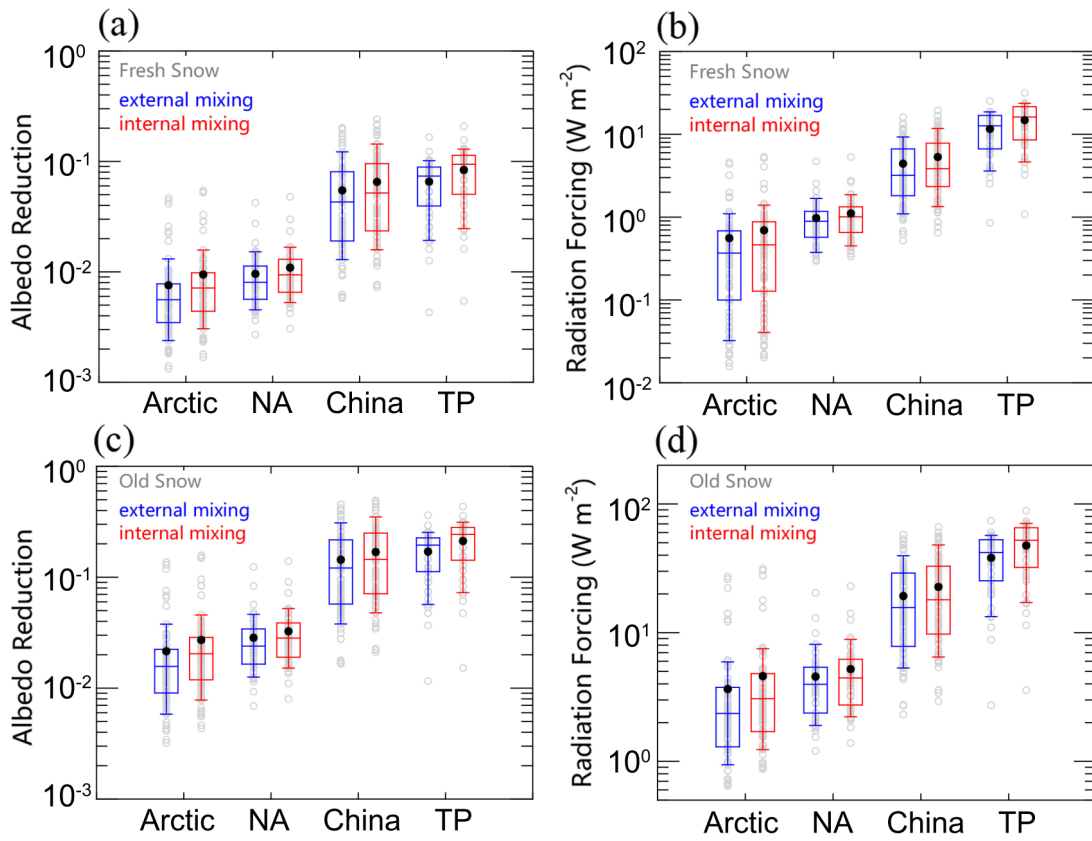
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1 **Figure 84.** Comparisons of model calculated $E_{\alpha, \text{integrated}}$ and parameterized $E_{\alpha, \text{integrated,}}$
2 para for (a) relatively clean snow (BC concentration $< \underline{1000-200}$ ng g⁻¹), and (b) relatively
3 polluted snow (BC concentration $> \underline{1000-200}$ ng g⁻¹) for a non-absorbing shell. (c) and
4 (d) Same as (a) and (b), but for an absorbing shell.
5



3 **Figure 95.** (a) The spatial distribution of measured black carbon (BC) concentrations

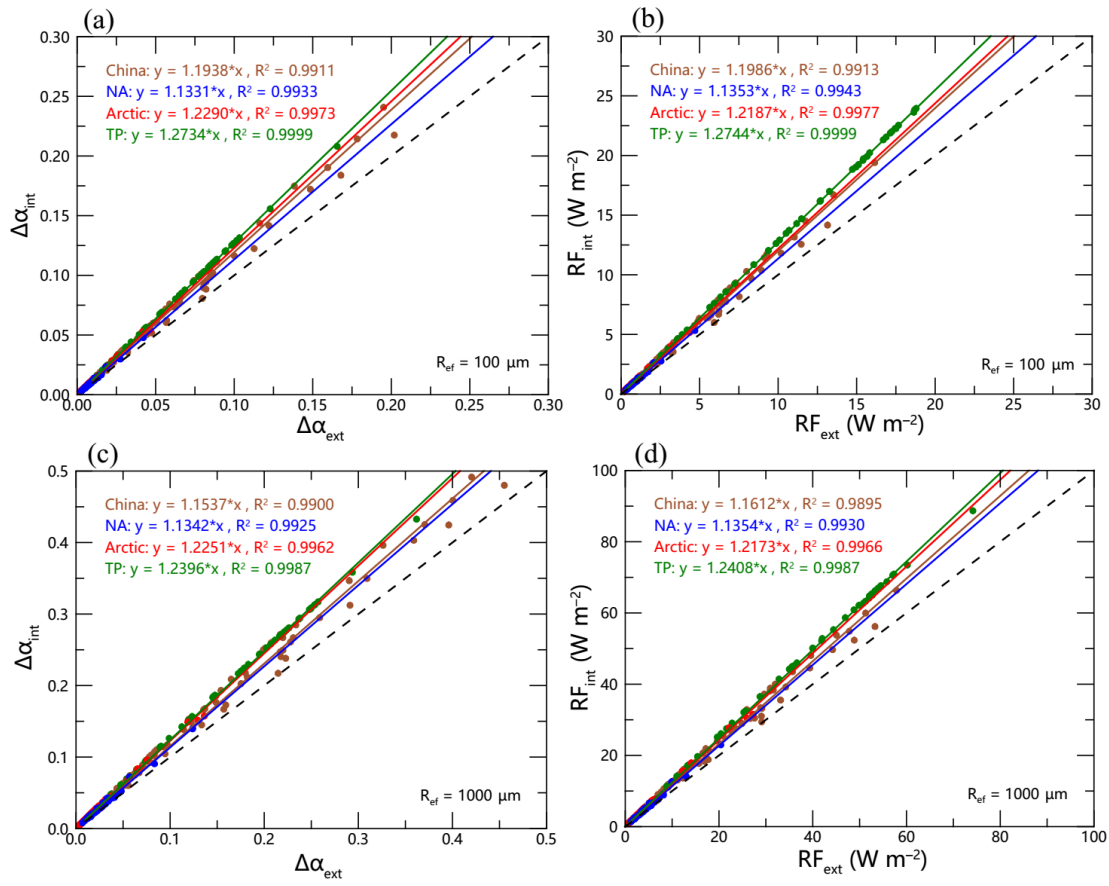
1 across the Northern Hemisphere. (b) Comparison of BC and organic carbon (OC)
2 concentrations in: the Arctic, North America (NA), northern China (NC) and the
3 Tibetan Plateau (TP). (c) Statistical plots of BC concentrations in different regions. The
4 boxes denote the 25th and 75th quantiles, the horizontal lines denote the 50th quantiles
5 (medians), solid dots denote averages, and whiskers denote the 10th and 90th quantiles.
6 In situ data is shown as gray circles. (d) Same as (c) but for a core/shell ratio and OC/BC
7 mass ratio, assuming a core/shell structure with a BC core and an absorbing OC shell.
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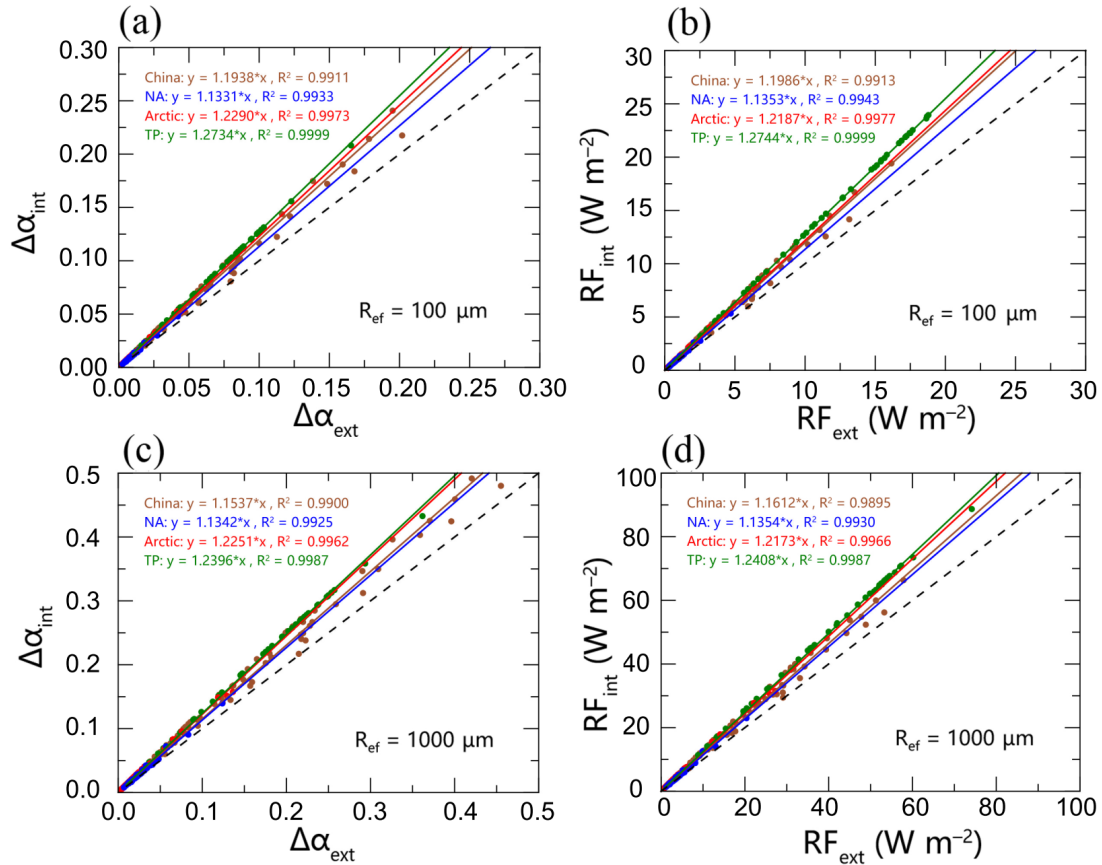
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Figure 10. Statistical plots of (a) albedo reduction, and (b) radiative forcing, in different regions for fresh snow. (c) and (d) Same as (a) and (b), but for old snow. The boxes denote the 25th and 75th quantiles, horizontal lines denote the 50th quantiles (medians), solid dots denote averages, and whiskers denote the 10th and 90th quantiles. In situ data is shown as gray circles.

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2 **Figure 116.** Comparisons of (a) the snow albedo reduction and (b) the radiative forcing
 3 by an internal mixed particle versus an external mixed particle, based on in situ
 4 measurements of fresh snow (assuming a snow grain radius of $100 \mu\text{m}$). (c) and (d)
 5 Same as (a) and (b), but for old snow and assuming a snow grain radius of $1000 \mu\text{m}$.

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