## Dear Editors,

As stated in our email from the 24<sup>th</sup> of November, we have changed our manuscript beyond what has been suggest in the review process. The reason being that we aim to use the simulations that were conducted for the present study also as a basis for a follow-up study in which we address the hysteresis that we found in permafrost-affected regions. In this follow-up study we aim to investigate the system's hysteretic behavior in the context of meeting the Paris agreements long-term target of maintaining temperatures at below 1.5C above pre-industrial levels. The latter is more of a real-world setting and, in order to have a seamless-transition between the two studies, we needed to revise some of the highly-idealized initial conditions that we used for the present investigation.

To be more specific, in the previous version of our manuscript, we initialized the carbon pools to be (close to being) in equilibrium with the simulated climate. However, in reality the soil carbon is far from being in equilibrium with the present-day climate and the pools are much smaller and still increase as the continental Arctic constitutes a substantial carbon sink. This discrepancy between real-world and our initial pools was very helpful because it made some of the effects of increasing temperatures more prominent in the idealized settings of the present study. However, it may not provide an ideal basis for discussing the real-world consequences of overshooting the Paris Agreement's temperature target.

Consequently, we modified the initial conditions of our experiment, changing to nonequilibrium carbon pools that are closer to the observations. Qualitatively, the findings of the study remain the same, but there are quantitative changes in our results.

- Most importantly, with the lower initial carbon pools the soil CO<sub>2</sub> emissions are reduced by about 10% -- corresponding to roughly -1 GtC/year in the year 2100.
- This reduces the ecosystem net carbon flux into the atmosphere and the permafrostaffected regions transition from carbon source to sink at a higher (global mean) temperature – now most simulations place the transition at a warming of around 1.75 K above pre-industrial levels, while in the previous version of the study this already happened at around 1.0 K above pre-industrial levels.
- The lower net emissions reduce the soil carbon loss associated with permafrostdegradation from 150 (+/- 50) GtC to about 60 (+/-20) GtC.

Additionally, we now include in the manuscript:

- A more detailed description of the procedure to obtain the initial soil carbon pools including 2 new figures;
- A graphic comparison (1 figure) of simulations with the standard and our adapted JSBACH model, to demonstrate why the study required model-development;
- (We have reduced the overall number of ensemble members, allowing us to provide as an appendix) a short discussion of the key uncertainties including 4 new figures.

Please find below the point-by-point address of the reviewers' concerns and the track changes between the present and previous manuscript versions.

With best regards, Philipp de Vrese

## **Response to comments of reviewer 1**

Please note that, in the following point by point address, we repeat the **reviewer's comments** in red letters while **our response** is given in black letters. As we reran our simulations with different initial conditions, the manuscript changed after our initial response to the reviewers. All the **post-reply updates** are indicated in blue.

#### General

As a whole, the paper is well written. The topic is timely in light of the ongoing attempts to understand and quantify the multi-centennial climate response to the continuing anthropogenic greenhouse gas emissions and their decline in future. Thus, I vote for publishing this manuscript subject to addressing the following comments.

#### **Major Comments**

The major comment to the paper is due to the lack of studying the regional pattern of the hysteresis–like phenomenon in the manuscript. Eliseev et al. (2014) found that the hysteretic response of permafrost extent is due to strong difference in thermo-physical properties between the mineral soil and peat. I expect that this issue could be applicable to this manuscript as well.

In addition, there is a subtlety in term 'hysteresis'. In physics, this term is reserved for the response of a multi–stable system to change of an externally imposed governing parameter. This is different from the phenomenon studied in the present paper. Here, the hysteresis–like response is due to transient properties of the system under investigation — basically, because of difference in response time scales between different compartments (e.g., due to different thermal inertia between peat and mineral soil in (Eliseev et al., 2014)). This is highlighted by the fact that both variables forming the hysteresis curve (e.g., in Figs. 3-6 of the manuscript) are internal variables of the system. As a result, term 'transient hysteresis' was introduced by Eliseev et al. (2014).I suggest to discuss this issue in the paper under review as well.

We apologize for not giving the hysteresis-like behaviour the attention it deserved. However, we did conduct an extensive investigation into this feature, including several additional long-term simulations. Unfortunately, we did not see a way to adequately present the respective findings without substantially increasing the length of the manuscript. Thus, we decided to discuss the hysteretic behaviour and its spatial pattern in a separate study. Nonetheless, we are happy to provide a short summary addressing Dr. Eliseev's comments: We found three main factors that determine the dynamics; Most importantly,

the hysteretic behaviour is – as Eliseev et al. (2014) proposed – due to the large inertia of permafrost-affected soils. Here, the response time depends largely on the energy required for or released in the phase change of water, hence the signal indeed has a high spatial variability, with larger delays in regions with high soil water contents. Secondly, the timescales on which vegetation shifts occur and soil organic matter decomposes are much larger than the timescales of the imposed climate change. Thus the simulated vegetation and soil carbon pools simply lag behind the warming/cooling signal and the rise/decrease in CO2. The third factor is the change in the soil organic matter concentration that alters the hydrological/thermophysical soil properties. And even though the latter may initially not be the strongest of the factors it is highly important because it alters the boundary conditions under which physical and biophyiscal soil processes take place. Thus, the difference in soil carbon pools before and after the temperature peak has the potential to lead to an actual hysteresis – in the sense of multistability – rather than a transient hysteresis. To acknowledge that we can not estimate to which extent the hysteretic behaviour is transient in the present manuscript, we included the following statement at the end of the section describing the soil CO2 emissions: It should be noted that the hysteretic behaviour arises partly because the characteristic timescales of the high-latitude carbon cycle - most importantly of vegetation shifts and the decomposition of soil organic matter - are larger than the timescales of the investigated climate change scenarios. In addition, high latitude soils have a large thermal inertia, especially due to the large amounts of energy required or released by the phase change of water within the ground. Thus, the simulated behaviour does not necessarily indicate the multistability of the system but may merely exhibit a transient hysteresis as described by Eliseev et al. (2014). However, the question whether the hysteresis is purely transient or indicative of multistability is beyond the scope of this study and the subject of an ongoing investigation.

#### **Minor Comments**

- II. 74 and 789: The correct year for Eliseev et al.'s paper is 2014.
   The reference was corrected to Eliseev et al. (2014).
- 1. 110: '... very different properties... 'Very different for thermophysical or for hydrological processes? The text was changed accordingly.
- 1. 137:zin Eq. (1) lacks units. Otherwise, this equation is ambiguous.
   Here, the units were added.
- 1. 163: '... the number of days per year in which surface temperatures crossed... '. I guess, it should be 'the day of the year when surface temperature crossed... '.

We actually use the "number of days" in the calculation of the vertical transport velocities. The idea behind this is that repeated thawing and refreezing leads to a more effective mixing of the soil properties within the active layer.

- 1. 201: it should be 'anaerobic or aerobic'.

The text was changed accordingly.

- 1. 201: it should be 'its shape'.

The text was changed to "the shape of the litter".

- 1. 265: the better spelling would be 'soil chemical composition'.

The text was changed accordingly.

- 1. 270: the better spelling would be 'soil pore space'.

The text was changed accordingly.

- 1. 343: '2' and '4' in chemical formulae should be subscripts.

Spelling was changed to subscript numbers throughout the manuscript.

- 1.537: I guess, one of two numbers is wrong, because 9 MtC yr-1 is 12 Tg CH4yr-1.
   Dr. Eliseev is absolutely correct and flux is indeed 12 Tg CH4yr-1.
   With the changes in the setup the fluxes change to 7 MtC yr-1 which corresponds to 9 Tg CH4yr-1.
- Fig. 2: This figure is difficult to read. I suggest to place ensemble means in the left column and draw the maps in the middle and right columns as differences from these ensemble means. In addition, phrases like 'Ensemble-minimum thaw depth (annual maximum)... ' in caption to this figure is quite difficult to under-stand for a general reader. I suggest to put the wording in form 'Ensemble mini-mum for annual maximum thaw depth... ' and so on.

The figure was adapted accordingly (see below).

Beyond Dr. Eliseev's suggestions we modified the figure to show the min, mean, and maximum inindated fraction with respect to the warmer period – May - October – instead of the whole year.



**Figure 1. Simulated permafrost, vegetated fraction and inundated areas in the year 2000: a)** Ensemble mean of the annual maximum thaw depth, corresponding to the year 2000 (1990 - 2010 mean). Grey areas indicate grid boxes in which the annual maximum temperatures throughout the top 3 m of the soil exceeded the melting point for more than 10 years in the period 1990 - 2010. These are considered to be unaffected by near-surface permafrost and are not taken into consideration in the study. **b**) Same as a but for the difference between ensemble maximum and mean. **c**) Same as a but for the difference between ensemble maximum and mean. **d**) Ensemble mean vegetated fraction. Note that this is the maximum grid box fraction that can be covered by vegetation, while the actual vegetated cover depends on the current state of the vegetation and can vary throughout the year. **e**) Same as d but for the difference between ensemble minimum and mean **f**) Same as d but for the difference between ensemble maximum inundated fraction during the summer months (May-October) for the year 2000. Shown is the ensemble mean. **h**) Same as g but for the May-October mean. **i**) Same as g but for the May-October maximum inundated fraction.

### References

Eliseev, A. V., Demchenko, P. F., Arzhanov, M. M., and Mokhov, I. I.: Transient hysteresis of near-surface permafrost response to external forcing, Climate Dynamics, 42, 1203–1215, https://doi.org/10.1007/s00382-013-1672-5, https://doi.org/10.1007/s00382-013-1672-5, 2014.

## **Response to comments of reviewer 2**

Please note that, in the following point by point address, we repeat the **reviewer's comments** in red letters while **our response** is given in black letters. As we reran our simulations with different initial conditions, the manuscript changed after our initial response to the reviewers. All the **post-reply updates** are indicated in blue.

#### General

de Vrese and coauthors present modeling study that investigates the response of the continental high latitude carbon cycle under "idealized" transient climate change with trend inversion at different points in time during the course of this century. The paper is in general very clear and addresses the important question of how the carbon cycle reacts under overshoot scenarios. It provides interesting into the complex interplay between the numerous processes and factors that would determine the trajectory of the climate system under overshoot scenarios, although it is clear that the current uncertainties (appropriately acknowledged in the paper) preclude firm predictions of he evolution of continental high latitude CO2 and CH4 fluxes under such scenarios. The paper is well structured and also well written, although, as far as I can judge(I'm not a native speaker either), the English could be improved in many places (for example: 1 - there are many commas that would be in place in German but not in English; 2 - the possessive case is often wrongly used [" 's " should be used only for person, not for things as far as I know]; 3 - hyphenation is probably used too often between two nouns, just to give a few examples of what I think are repeated errors). I have some comments and suggestions that I hope might be useful to clarify some aspects of the paper.

#### **Comments**

- L. 52-54: Clarify that large-scale models actually represent the thaw depth and do not represent processes like thaw settling and thermokarst, which occur faster ("abrupt"); these are indeed local processes but why should their occurrence by widespread?

In hindsight, this sentence may have been misleading. By stating that the processes are locally confined we did not want to indicate whether or not they are widespread but merely that they are not captured by large-scale models – which makes it difficult to estimate how Arctic GHG-emissions will develop in the future. We hope to clarify this by changing the sentence to: "While local observations indicate that the processes which affect the soil carbon emissions are often locally confined and act on very short timescales, large-scale models do not represent these small-scale processes. Thus, studies

relying on these models suggest that the increase in emissions is likely to occur gradually over a timescale of hundreds of years (Schuur et al., 2015)".

 L.72-76: Indeed there isn't much literature focusing on the behaviour of the Arctic continental ecosystems under overshoot scenarios. But there are several global studies, I think, from which information about the Arctic might be extracted. Maybe also check what the IPCC SROCC and SR1.5 say?

It is correct that there is a number of studies that look at overshoot scenarios on the global scale, including permafrost regions. However, – to the best of our knowledge – the models used for these studies predominantly lack the representation of relevant processes. Here, the MPI-ESM is an excellent example: The standard model does not include the organic matter stored in the perennially frozen parts of the ground and thus, misses the carbon release due to permafrost degradation. It also does not represent freezing and thawing of soil water and misjudges the timescale of the hysteresis. Thus, the issue with the focus is not only a question of spatial scales but also of model capabilities.

#### - L.117: Hard to understand what is done here with r\_cin. Maybe a schematic could help?

Here we tried to clarify the use of r\_cin by providing the respective equations: "The present model version distinguishes between anoxic and oxic decomposition in the inundated and the non-inundated fractions of the grid box (see below) and the soil carbon pools need to be separated accordingly. Here, we do not simulate the respective pools explicitly. Instead we determine  $r_{C_{in}}^{t_{end}}$ , the ratio between the carbon concentrations in the inundated ( $C_{in}^{t_{end}}$ ) and the non-inundated ( $C_{dru}^{t_{end}}$ ) fractions, for each of the soil carbon pools after the decomposition is computed in timestep t.

$$r_{C_{in}}^{t_{end}} = \frac{C_{in}^{t_{end}}}{C_{dry}^{t_{end}}} \tag{1}$$

In the consecutive time step t + 1, the soil carbon is distributed between inundated and non-inundated carbon pools according to  $r_{Cin}^{t_{end}}$  before the decomposition is calculated.

$$C_{in}^{t+1_{start}} = C_{tot}^{t+1_{start}} \left(1 + \frac{1}{r_{C_{in}}^{t_{end}}}\right)^{-1},\tag{2}$$

$$C_{dry}^{t+1_{start}} = C_{tot}^{t+1_{start}} - C_{in}^{t+1_{start}}.$$
(3)

## L.125-129: The vertical discretization is better described later. The short description here is frustrating because one misses some detail.

We removed the description of the two grids entirely because the simulations that are being analyzed for the present study use the same vertical grid for physics and soil carbon. Hence, it is a technical detail of the scheme that is not relevant for the present manuscript and indeed somewhat out of place here.

- L.275: parameterization of permafrost acting against drainage: please justify (e.g. by citing appropriate references)

In general, we evaluated the parametrizations based on the simulated soil moisture profiles for selected grid boxes and

on the hydrographs of the larger Arctic rivers. With respect to soil moisture profiles in grid cells underlain by permafrost, we followed Swenson et al. (2012) who modified the soil hydrology in CLM in the presence of soil ice. Similar to CLM, the MPI-ESM initially featured extremely dry soils when accounting for freezing and melting of soil water, which is in poor agreement with observations often indicating high moisture levels within the active layer and the formation of a perched highly saturated zone on top of the perennially frozen soil layers (Swenson et al., 2012). Furthermore, the inhibition of drainage has to be seen in the context of the MPI-ESM's soil hydrology scheme. Even the standard 5-layer scheme assumes that water moves to the bedrock border before it drains (see Fig. 1 Hagemann and Stacke (2015)) and, conceptually, the lateral drainage from overlying layers is merely an additional flow pathway that facilitates the vertical transport towards the bedrock border. This pathway represents wider fissures, cracks etc. that are not explicitly represented in the model, but are assumed to be present in all grid boxes – given the coarse, standard spatial resolution of the model. Hence, the inhibition of the lateral drainage is conceptually a limit on the vertical transport. Finally, we still allow lateral drainage from any layer in the case that the underlying soil layers are fully saturated, which we did not mention in the manuscript before. We hope to clarify this by extending the paragraph to: "Additionally, the standard model version assumes lateral drainage from all soil layers located above the bedrock. This drainage component is included to account for vertical channels, e.g., connected pathways in coarse material, cracks or crevices, that are assumed to be present in the large, heterogeneous grid cells at the standard resolution  $(1.9^{\circ} \times 1.9^{\circ})$ . These efficiently transport the water deeper underground towards the border between soil and bedrock where it runs of as base flow. However, in the presence of permafrost, we assume these vertical channels to be predominantly blocked by ice and we allow lateral drainage only at the bedrock boundary or from those layers below which the soil is fully water saturated, *i.e.* at field capacity. These limitations on lateral drainage in combination with the inhibition of percolation for large ice contents facilitate high moisture levels within the active layer and the formation of a perched highly saturated zone on top of the perennially frozen soil layers, which are typical for permafrost regions (Swenson et al., 2012)".

- L.365: "likely scenario" - in principle, the IPCC scenarios have no likelihood attached. Maybe sufficient to say that SSP585 is not "business as usual" (see the comment by Hausfather and Peters, Nature 2020).

Dr. Krinner is right that there are no probabilities attached to the scenarios, thus "likely" is a somewhat problematic term – though Hausfather and Peters (2020) state that RCP8.5 was introduced as an "unlikely" scenario. To avoid the connotation of probabilities we now use "plausible scenario" in the manuscript.

#### - L.371: Please specify which member of the historical ensemble was used (presumably the first?)

We used the 10th ensemble member for our historical and scenario simulations and a corresponding statement is now included in the methods section. Here, the 10th member was chosen because it starts from the latest point of the pi-control simulation – after 449 years. However, this was an arbitrary choice as, to the best of our knowledge, the pi-control simulation is in an equilibrium state after the spin-up phase.

- L.455: Unclear whether the permafrost-affected area changes in time and between the pertubed physics ensemble members in terms of the analysis, or whether it is fixed. What is the impact on the results?

This is an important factor which we indeed did not discuss sufficiently in the manuscript. For the analysis, we used fixed (in time) masks that are based on the simulation-specific near-surface permafrost extent (top 3 m) of the year 2000. For each of the simulations, the variables were aggregated over this region and we analysed the resulting spatial averages/sums. The permafrost extent varies between the simulations by up to 20% – roughly between 13 million km<sup>2</sup> and 16 million km<sup>2</sup> – which is also noticeable in the results, e.g. the large spread in the soil carbon pools can partly be attributed to the differences in the permafrost area. To clarify our approach in the manuscript, we included the following paragraph following the description of the ensemble simulations: "With respect to the results presented below, most of the analysis is performed based on aggregated values representative of the entire northern permafrost region – here defined as the areas that exhibit perennially frozen soils within the top 3 m of the soil column (Andresen et al., 2020). The extent of these areas is sensitive to the parameter values used in a specific setup and varies substantially between the simulations. For the analysis we do not define a shared permafrost mask, but aggregate the values based on the simulation-specific permafrost region. Furthermore, we base the analysis on the permafrost regions at the beginning of the 21st century – roughly between 13 million  $km^2$  and 16 million  $km^2$  – and do not adjust their extent to account for the changes in the near-surface permafrost. Nonetheless, we simply refer to the focus region as the permafrost region in the manuscript even though large fractions of the respective areas may not feature near-surface permafrost at the higher temperatures of the assumed warming scenarios."

- L.470: CH4 emissions small. Specify that this is also the case in terms of forcing in your model. Aren't these CH4 emissions a bit low compared to current estimates?

As the first reviewer pointed out, the estimate of 9 Tg CH4yr-1 is a slip of the pen for which we apologize. The correct simulated emissions amount to 12 Tg CH4yr-1 which is in good agreement with recent estimates – e.g. the Global Methane Budget by Saunois et al. (2020) estimates 2 - 18 Tg CH4yr-1 for high latitude wetlands, with inversion models placing the emissions at around 13 Tg CH4yr-1 and land surface models at 9 Tg CH4yr-1. In the manuscript we corrected the value (9 -> 12 Tg CH4yr-1), included a reference to Saunois et al. (2020) and clarify that our study focuses on the natural emissions from to wetlands. To this end we included the following statement in the description of the methane-module:"It should be noted that the model also simulates the CH<sub>4</sub> emissions from wildfires and termites. However, with the focus of this study on soil emissions, we neglect these fluxes in the detailed discussion of the methane emissions and exclusively report the fluxes from wetlands and inundated areas" and clarify that "At the beginning of the 21st century the simulated net CH<sub>4</sub> emissions from water saturated high latitude soils amount to roughly 9 Mt(C) year<sup>-1</sup> – or about 12 Tg(CH<sub>4</sub>) year<sup>-1</sup>, which is in good agreement with recent estimates of high latitude wetland emissions (Saunois et al., 2020)."

Due to the changes in initial carbon pools and our selection of setups the simulated methane emissions change: "At the beginning of the 21st century the simulated net  $CH_4$  emissions from high latitude soils amount to roughly 7 MtC year<sup>-1</sup> – or about 9  $Tg(CH_4)$  year<sup>-1</sup>". Nonetheless, for the region north of 60N our module remains in the range of previous esitmates – with present day wetland emissions of about 11  $Tg(CH_4)$  year<sup>-1</sup>. "With these parameter-settings the model

simulates present-day wetland emissions of around 11 Tg(CH4) in the region between 60° and 90° North, which is in good agreement with other model- and inversion-based estimates (Saunois et al., 2020)".

- L.491: higher end of previous estimates: I have the impression that the near-surface permafrost extent in the MPI model has a very strong sensitivity to GSAT, compared to other models. Is this correct? If yes, what is the reason? Is the Arctic amplification particularly strong in this model or does the soil react very quickly and strongly?

It is correct that in the high northern latitudes the model reacts strongly to the GSAT increase which, as Dr. Krinner correctly speculated, is in large parts due to a strong Arctic amplification. While the MPIESM1.2 has a low climate sensitivity, Arctic temperatures increase comparatively fast with rising CO2s. Following RCP8.5/SSP5, the near surface temperatures in the continental Arctic increase by about 10K (relative to 1960) by the year 2100, while other models reach this threshold between 25 - 75 years later (see Fig. 2 in Andresen et al. (2020)). How the land surface reacts to a temperature increase of 10K is also largely model dependent – e.g. while CLM loses only half of the near surface permafrost (in terms of area), there is a number of models that appear to loose most of the near surface permafrost for a 10K warming. Here, the below-ground temperatures than they are in other models (see Fig. 12 b, Burke et al. (2020)). However, in our model version we have changed a number of important parameters – e.g. soil depth, number of soil layers, soil properties depending on organic matter – and parametrizations – e.g. water in the soil freezes and melts – which reduced this sensitivity substantially. In general, the reason why land surface models react so differently is, to the best of our knowledge, still somewhat unclear because they are very similar in many aspects of the soil physics and there doesn't appear to be a single characteristic that sets models with a strong reaction apart from those indicating a weaker response to warming.

Here, we additionally included a figure showing a comparison of observed and simulated that depths, showing that our adapted model version captures the present day thaw depths much better than the standard model version (Fig. 1a).

- L.506-519: This tree fraction hysteresis is interesting and intriguing. Can you discuss this a bit more? What happens exactly? Why aren't these trees here in the first place? Is this realistic?

The hysteretic behaviour is indeed an interesting results of our simulations and we conducted an extensive analysis of the underlying mechanisms. However, a detailed explanation, including the question whether the effects of a temporary warming are fully reversible, is rather lengthy and beyond the scope of this study, especially as it requires several sets of additional simulations. Unfortunately, we had to conclude that it is best to focus on the GHG emissions and discuss the hysteresis in a separate study. However, we don't want to give the impression of avoiding Dr. Krinner's question and a simplified answer is that the hysteretic behaviour stems partly from the representation of the vegetation dynamics in JSBACH, where the transition from (predominantly) grass- to shrub lands to forests occurs on decadal timescales and the simulated vegetation simply lags behind the warming/cooling signal and the rise/decrease in CO2. Additionally, the soil has a large inertia (due to the large amounts of energy required/released in the phase change of water), which

also affects the vegetation via the soil water availability and finally there is the effect of the organic matter on the soil thermal/ hydrological properties, which leads to the ground behaving differently after the soil carbon loss due to a temporary warming. The question whether the hysteresis is realistic is not easy to answer as there are no observations for comparable warming/cooling scenarios. Here, we can only say that the hysteresis is a highly plausible behaviour and a robust feature in all of the 40 simulations that we conducted. Furthermore, the dynamics of the near surface permafrost are consistent with the findings of Eliseev et al. (2014). Thus, we trust the tree cover hysteresis to be realistic to the extent to which we trust vegetation models in general.

- L.520-531: Discussion a bit unclear. This got me really confused. Does this NPP increase lead to more litter? Is this increased litter fraction the reason for the emissions? Otherwise hard to see how there can be an emission increase without increasing soil carbon emissions. The carbon must go somewhere, and come from somewhere... Or does the vegetation carbon increase?

Dr. Krinner is correct that the NPP dependency of the soil CO2 fluxes arises mainly from above and below ground litter, but the model also includes root exudates, fires and windbreak. Here we specified: "Another important driver of the soil  $CO_2$  fluxes is the carbon input into the soil – consisting of litter, root exudates but also damaged and burnt vegetation – which is largely dependent upon the net primary productivity (NPP)."

 L.660: At the end of this section, one wonders where all the sensitivity tests went. I have the impression that there could be made a better, clearer explicit use of the 40members in terms of an assessment of the uncertainties.

We agree that the ensemble spread is not an ideal way to deal with the uncertainties and we actually went a lot further in the respective analysis. Unfortunately, we do not see a way to integrate this analysis in the present study without drastically increasing the amount of text, especially as this requires a much more detailed description, not only of the soil hydrology/energy schemes of the standard model but also of those of the model version described in Ekici et al. (2014). Furthermore, many of the insights gained by analysing the ensemble (and additional sensitivity experiments) may also not be of great interest to the modelling community as they pertain to parametrizations/feedbacks that are very specific to JSBACH. Hence, we would prefer to show the ensemble spread, to demonstrate the large uncertainties even for a single land surface model with a prescribed atmospheric forcing, but without going into details with respect to their origin.

When rerunning our simulations with the new initial soil carbon pools, we greatly reduced the number of simulations – from 40 to 20 – which made it feasible to describe at least the main causes of uncertainty which we now present in the appendix.

#### - L.671: Soil methane oxidation increase: could refer to Oh et al. 2020 and discuss similarities & what is new.

In general, the oxidation rates in the methane module can be scaled for the wet- and dry grid box fraction separately, in principal allowing to distinguish between high(dry)- and low(wet)-affinity methanotrophs. Here, we tuned the parameters with the help of atmospheric inversions (performed by the MPI for Biogeochemistry) for present day conditions, managing to capture a reasonable methane uptake by dry upland soils. However, the parameter values may not be ideal

for future conditions if the temperature dependencies are substantially different between high- and low-affinity methanotrophs as indicated by Oh et al. (2020). This could mean that the future net methane emissions simulated by JSBACH could be an overestimation, which we acknowledge in the conclusion section: *"Thus, our results indicate that the soil methane fluxes in permafrost-affected regions do not constitute an important contributor to the climate-carbon feedback. Here, it should be noted that the net emissions could be even lower as a recent study has indicated that the methane uptake in dry soils could be severely underestimated due to the omission of recently identified high-affinity methanotrophs (Oh et al., 2020), especially under future climatic conditions".* 

#### **Minor comments**

- L.4: "drive the model" might be better than "force the model"- Abstract, The text was changed accordingly.
- L.7: not only GHG decrease, but also reverse climate change is imposed on the land surface model Here, we specified that the entire climate forcing is reversed to the initial levels: "The peaks are followed by a decrease in atmospheric GHGs that returns the concentrations to the levels at the beginning of the 21st century, reversing the imposed climate change".
- L.32: Arctic temperature increase twice the global mean it might be more appropriate to compare the Arctic continental temperature change to the global continental average(but the numbers wouldn't be very different, probably)
   Dr. Krinner is correct that for our study the terrestrial temperatures are more relevant. However, we did not change the manuscript because as he correctly speculated the numbers do not change fundamentally, while most studies discuss Arctic amplification without distinguishing between continental temperatures and sst.
- L.39: scenarios project a temperature increase between 3 and 8°C it would be good to explicitly state that this uncertainty by 2100 comes to a very large degree from the diversity of the emission scenarios, not on the inter-model differences or internal variability

We specified that the large spread is the result of the different GHG emissions assumed by the scenarios: *Depending on the assumed greenhouse gas (GHG) emissions, climate change scenarios project the Arctic temperatures to increase by between 3 K and 8 K until the end of the 21st century (Stocker et al., 2013).*"

#### - L.43: timing of switch from sink to source highly uncertain - please provide some references here (maybe SROCC?)

In modelling studies, the timing of the sink-to-source switch appears to be highly model and scenario dependent, already providing a large degree of uncertainty. But while models predominantly place the switch from source to sink into the second half of the century, even for RCP8.5, a recent observation based study by Natali et al. (2019) indicated that winter emissions could be substantially larger than previously thought and that the continental Arctic may already be a net source of atmospheric CO2. In the manuscript we have complemented the references provided to now include Schuur et al. (2008); Schaefer et al. (2011); Koven et al. (2015); McGuire et al. (2018); Parazoo et al. (2018); Natali et al. (2019).

- L.48: define what "near-surface permafrost" is.- replace "arctic" or "artic" (found several times) by "Arctic"- not sure "aerob" and "anaerob" are English words (should it read "(an)aerobic"?) -please check
   Here we added that "near-surface" often refers to the top 3 m and all instances of "arctic" and "artic" were changed to "Arctic" while "(an)aerob" was replaced by "(an)aerobic".
- L.52: "permafrost-affectED soils"

The text was corrected.

- L.68: "the study's goal" -> "the goal of the study" (several such errors)

The manuscript was updated accordingly and, in general, we limit the use of the possessive to living things.

- L.72: Given that this refers to political temperature targets, it might be useful to use more post-Paris 2015 references here

Here, we added Geden and Löschel (2017); Ricke et al. (2017); Rogelj et al. (2015, 2018)

- L.203: "be including" -> "by including"

The text was corrected.

 L.227 "Permafrost-physics" -> "Permafrost physics" (there are many more examples of what I suspect is wrong hyphenization is this text)

Here, we corrected "Permafrost-physics" -> "Permafrost physics". In general, we double(-)checked and reduced our use of hyphens.

- L.335: "water tale" -> "water table"

The text was corrected.

- L.343: CO2 and CH4 -> 2 and 4 are index, please.

Spelling was changed to subscript numbers throughout the manuscript.

- L.343: Please consider providing the equation even though many people know what a Q10 is

Here, we included the equation for the production of CH4: "Partitioning of the anaerobic decomposition product ( $R_{anox}$ ) into  $CO_2$  and  $CH_4$  ( $P_{CH_4}$ ) is temperature-dependent, with a baseline fraction of  $CH_4$  production  $f_{CH_4} = 0.35$  and a Q10 factor for  $f_{CH_4}$  of Q10 = 1.8 – with a reference temperature ( $T_{ref}$ ) of 295K.  $P_{CH_4} = R_{anox} \cdot f_{CH_4} \cdot Q_{10}^{\frac{T_2 - T_{ref}}{10}}$ "

With the reduced initial soil carbon pools we re-tuned the methane modele to better match present day observations, resulting in the following paramter-setting: "Partitioning of the anaerobic decomposition product ( $R_{anox}$ ) into  $CO_2$  and  $CH_4$  ( $P_{CH_4}$ ) is temperature-dependent, with a baseline fraction of  $CH_4$  production  $f_{CH_4} = 0.4$  and a Q10 factor for  $f_{CH_4}$  of Q10 = 1.5, with a reference temperature ( $T_{ref}$ ) of 295K."

- L.360: simulation period: CMIP6 historical period finishes in 2014, not 2015. Please check.

Dr. Krinner is absolutely correct and the manuscript was changed accordingly.

L.382: "One key factor, determining..." - I think this is one example of a comma that shouldn't be there
 We apologize for our (German) approach to punctuation and grammar in general, which we tried to correct throughout the manuscript.

- L.402, Eq. 25: "n\_sim = n\_c,soil \*.... \* n\_c,CH4 = 40" (add "= 40") - would make things clearer

The equation was updated accordingly.

Here, we reduced the number of simulations and the respective text changend accordingly, but we followed Dr. Krinner's suggestion also in the new manuscript version.

- L.690: "Le Quéré", not "Quéré"

The reference was updated accordingly (Le Quéré et al., 2018).

- L.701: "not one model included an adequate representation..." - this might be a bit harsh. CCSM4, for example, probably isn't that far from being adequate, depending of course of what one thinks is adequate.

To the best of our knowledge, even CESM2 still had some problems with the high-latitude carbon stocks in the CMIP6 simulations (Danabasoglu et al. (2020); p. 26; reported a error in the spin-up phase). However – given the limitations of the CMIP6 version of the MPI-ESM – we should certainly not be the ones to judge what is adequate or not. Thus, we changed the respective formulation, leaving some room for a small number of models to have met the criteria: " ..., but hardly any of the models that participated in CMIP6 included an adequate representation of the soil physics in high latitudes, while simulating (interactive) vegetation dynamics as well as the carbon and nitrogen cycle".

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Figure 1. JSBACH model - standard and adapted version:

a) Correlation between simulated annual maximum and observed end-of-the-season thaw depths for the sites of the Circumpolar Active Layer Monitoring (CALM; Brown et al., 2000) program that are located in the simulated permafrost domain (Fig 3). Brown dots indicate the maximum thaw depths simulated with the JSBACH standard model version, while blue dots refer to the adapted version used in the present study. The CALM dataset encompasses the period from 1990 to present. However, this is not the case for all the included sites and the individual dots show the mean over the period covered by data at a specific site. Crosses show the average over all sites for the respective model versions. Finally, it should be noted that the simulations were performed with the soil properties at the standard resolution  $(1.9^{\circ} \times 1.9^{\circ})$  and with atmospheric conditions from a historical simulation with the MPI-ESM, which may be different from the actual soil properties and meteorological conditions at the specific sites. **b**) Simulated soil carbon in the permafrost domain during the historical period. The brown line refers to the standard JSBACH model, while the blue line shows the simulation with the adapted version. The grey dashed lines shows the observation-based soil carbon stocks (Fig. 4e), with which the simulations were initialized.

# Diverging responses of high-latitude high latitude CO<sub>2</sub> and CH<sub>4</sub> emissions in idealized climate change scenarios

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Abstract. The present study investigates the response of the high latitude 's-carbon cycle to in- and decreasing changes in atmospheric greenhouse gas (GHG) concentrations in idealized climate change scenarios. To this end ,-we use an adapted version of JSBACH – the land-surface land surface component of the Max-Planck-Institute for Meteorology 's Earth system model-Max Planck Institute for Meteorology Earth System Model (MPI-ESM) – that accounts for the organic matter stored in

- 5 the permafrost affected permafrost-affected soils of the high northern latitudes. To force the model, we use The model is run under different climate scenarios that assume an increase in GHG concentrations, based on the Shared Socioeconomic Pathway 5 and the Representative Concentration Pathway 8.5, until which peaks in the years 2025, 2050, 2075 or 2100, respectively. The peaks are followed by a decrease in atmospheric GHGs that returns the concentrations to the levels at the beginning of the 21st century, reversing the imposed climate change. We show that the soil CO<sub>2</sub> emissions exhibit an almost linear
- 10 dependency dependence on the global mean surface temperatures that are simulated for the different climate scenarios. Here, each degree of warming increases the fluxes by, very roughly, 50% of their initial value, while each degree of cooling decreases them correspondingly. However, the linear dependency dependence does not mean that the processes governing the soil CO<sub>2</sub> emissions are fully reversible on short timescales, but rather that two strongly hysteretic factors offset each other namely the vegetation's net primary productivity and the availability of formerly frozen soil organic matter. In contrast, the soil methane
- 15 emissions show almost no a less pronounced increase with rising temperatures and they are consistently lower after than prior to a the peak in the GHG concentrations than prior to it. Here, the fluxes can net fluxes could even become negative and we find that methane emissions will play only a minor role in the northern high latitudes' latitude contribution to global warming, even when considering the gas's high global warming potential of the gas. Finally, we find that the high-latitude ecosystem acts as a source of atmospheric at a global mean temperature of roughly 1.75 K (± 0.5 K) above pre-industrial levels the high latitude
- 20 ecosystem turns from a  $CO_2$  rather than a sink sink into a source of atmospheric carbon, with the net fluxes into the atmosphere increasing substantially with rising atmospheric GHG concentrations. This is very different to from scenario simulations with the standard version of the MPI-ESM in which the region continues to take up atmospheric  $CO_2$  throughout the entire 21st century, confirming that the omission of permafrost-related processes and the organic matter stored in the frozen soils leads to a fundamental misrepresentation of the carbon dynamics in the Arctic.

#### 1 Introduction

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High-latitude High latitude terrestrial ecosystems are increasingly recognised as an increasingly important factor for the global carbon cycle. On the one hand, global warming is expected to increase the vegetation cover and primary productivity – a trend termed Arctic greening (Keenan and Riley, 2018; Pearson et al., 2013; Zhang et al., 2018), which could significantly increases increase the terrestrial uptake of atmospheric  $CO_2$  (Qian et al., 2010; McGuire et al., 2018). On the other hand, there are large quantities of effectively inert organic matter stored within the frozen soils of the Northern Hemisphere and a significant fraction of these could become exposed to microbial decomposition in a warmer climate. Areas underlain by permafrost—, defined by soil temperatures below the freezing point for at least 2 consecutive years—contain between, contain 1100 - 1700 Gt of carbon, the largest fraction of which is stored within the frozen part of the ground (Zimov, 2006a; Tarnocai et al., 2009; Hugelius

- 35 et al., 2014). With the temperature increase in the high-latitudes high latitudes being about twice as large as the global average (Stocker et al., 2013), the last decades have already seen substantial changes in the permafrost-affected regions. Regional soil temperatures have increased by up to 2 K and there is a pronounced retreat reduction in the extent of permafrost-affected areas combined with an increase in active layer depth, which leaves large quantities of organic matter vulnerable to decomposition (Biskaborn et al., 2019; Stocker et al., 2013; Etzelmueller et al., 2011; Osterkamp, 2007; Shiklomanov et al., 2010; Frauenfeld,
- 2004; Wu and Zhang, 2010; Callaghan et al., 2010; Isaksen et al., 2007; Brown and Romanovsky, 2008; Romanovsky et al., 2010).

Climate Depending on the assumed greenhouse gas (GHG) emissions, climate change scenarios project the artic Arctic temperatures to increase by between 3 K and 8 K until the end of the 21st century (Stocker et al., 2013). Many modelling studies have investigated the resulting decrease in organic matter stored in the permafrost-affected regions and , for the high emission scenarios – corresponding to a temperature increase of 8 K – , the soils are expected to emit around 120  $\pm$  80 Gt of carbon until the year 2100 (Schuur et al., 2013; Schaefer et al., 2014; McGuire et al., 2018). Increasing temperatures also accelerate the Arctic greening trend and it is highly uncertain at which point the carbon release from thawing soils would surpass the additional carbon uptake by vegetation. However, it is generally assumed that the artic Arctic ecosystem will turn from a carbon sink into

- 50 a carbon source within the 21st century (Schaefer et al., 2011; Schuur et al., 2015) (Schuur et al., 2008; Schaefer et al., 2011) (Koven et al., 2015; Schuur et al., 2015; McGuire et al., 2018; Parazoo et al., 2018; Natali et al., 2019). The (net) carbon release will further increase the atmospheric greenhouse gas (GHG) GHG concentrations, leading to a positive feedback. Studies indicate , that this feedback will not only notably accelerate the global warming for high emission scenarios—, which result in a near-disappearance of the terrestrial near-surface permafrost —(often defined as being located within the top 3 m of the soil).
- 55 but even for the temperature-target temperature target of the Paris Agreement (MacDougall et al., 2012; Burke et al., 2017b, 2018; Comyn-Platt et al., 2018).

It is exceedingly difficult to estimate the Arctic 's contribution to future warming. One issue is the timescale on which the carbon would be released from permafrost-affect permafrost-affected soils. While local observations indicate that the

- 60 change processes , affecting processes which affect the soil carbon emissions , are are often locally confined and act on very short timescales, large scale modelling studies large-scale models do not represent these small-scale processes. Thus, studies relying on these models suggest that the increase in emissions is likely to occur gradually over a timescale of hundreds of years (Schuur et al., 2015). Another important issue is the fraction of carbon that is released in the form of  $CH_4$  rather than  $CO_2$ . Methane is a much more potent GHG (Stocker et al., 2013), and even a small fraction of formerly frozen
- 65 carbon that is released as  $CH_4$  would increase the respective global warming potential substantially. Methane is produced during the decomposition under anaerobic conditions<del>and these require, requiring</del> soils to be water saturated water-saturated. Hence, future methane emissions are highly dependent dependent on changes in the sub-surface hydrology in permafrostaffected regions (Olefeldt et al., 2012). It is difficult to represent saturated soils at the typical spatial resolution of present-day Earth system models, making it hard to determine the areas in which the decomposition occurs under anaerobic conditions.
- Furthermore, the hydrological response to permafrost degradation is very complex and there is some disagreement between land-surface models even as to whether high-latitude high latitude soils would in general become drier or wetter in the future (Berg et al., 2017; ?) (Berg et al., 2017; Andresen et al., 2020). Thus, there are comparatively few studies that use large-scale models to investigate the change in soil methane emissions for future warming scenarios (Lawrence et al., 2015; Burke et al., 2012; von Deimling et al., 2012; Koven et al., 2015; Oh et al., 2020).

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The present study aims to improve at improving our understanding of the arctic ecosystem's importance importance of the Arctic ecosystem for the global carbon cycle not only by providing presenting additional estimates of the carbon fluxes under a future warming scenario. More importantly, the study's goal goal of the study is to provide a better understanding of the processes that govern these fluxes – in particular the soil methane emissions – in permafrost-affected regions. The

- 80 current anthropogenic GHG emissions make it increasingly likely that temperatures will overshoot any temperature target ; before atmospheric GHG concentrations could be stabilized at a desirable level (Geden and Löschel, 2017; Parry et al., 2009) (Huntingford and Lowe, 2007; Nusbaumer and Matsumoto, 2008) (Geden and Löschel, 2017; Parry et al., 2009) (Huntingford and Lowe, 2007; Nusbaumer and Matsumoto, 2008; Ricke et al., 2017; Rogelj et al., 2015, 2018). But while many studies have investigated the response of the aretic Arctic ecosystem to increasing GHG concentrations, only a few studies exist
- 85 that investigate its response to a decrease in concentrations (Boucher et al., 2012; ?) (Boucher et al., 2012; Eliseev et al., 2014) and it is still an open question how the high-latitude high latitude carbon cycle responds to overshooting temperatures. Thus, we do not only target the system's response response of the system to increasing temperatures, but also during a consecutive subsequent temperature decline.
- 90 Our investigation is based on simulations with the <u>land-surface land surface</u> component of the MPI-ESM1.2 (Mauritsen et al., 2019), the latest release of the <u>Max-Planck-Institute for Meteorology</u> 's Earth system model<u>Max Planck Institute for</u> Meteorology Earth System Model. However, we could not use the standard JSBACH model , as it includes certain includes

a number of parametrizations that are not well adapted to suited for the specific conditions that are characteristic for high latitudesand neither does it of the high latitudes, e.g., the standard model does not account for freezing and melting of soil

- 95 water and estimates the decomposition rates of soil organic matter based on the conditions at the surface. As a result, the standard model has certain shortcomings in the representation of the high latitude energy-, nor for the methane production in the soil. water- and carbon cycle, such as a strong overestimation of the thaw-depths and an inability to conserve the effectively inert organic matter contained in the permafrost (Fig. 1). In the following we will describe the required modifications to the model, together with a more detailed description of the simulations that were performed in the context of this study (Sec. 2).
- 100 Section 3 details our findings with respect to the soil  $CO_2$  and  $CH_4$  emissions under in- and decreasing temperatures, while section 4 discusses them in the context of the global carbon cycle.

#### 2 Methods

#### 2.1 Model

The changes that were made to JSBACH include the implementation of 3 new modules that represent the formation of inundated areas (sec. 2.1.3) and wetlands (both described in sec. 2.1.3) as well as the soil methane production including the gas-transport gas transport in soils (sec. 2.1.4). Furthermore, we adapted the model's representation of the soil physics and the carbon cycle to include the processes that are relevant for permafrost-affected regions.

#### 2.1.1 Soil carbon

- In JSBACH, the soil carbon dynamics are simulated by the YASSO model, which calculates the decomposition of organic matter at and below the surface considering five different lability classes <u>–acid-hydrolyzable</u> <u>–acid-hydrolyzable</u>, water-soluble, ethanol-soluble, non-soluble/non-hydrolyzable and a more recalcitrant humus pool (Liski et al., 2005; Tuomi et al., 2011) (Liski et al., 2005; Tuomi et al., 2011; Goll et al., 2015). The decomposition rates are determined by the standard mass loss parameter, which differs between the lability classes, and two factors that account for the temperature and moisture dependencies of the decomposition process. The standard YASSO model does not consider a vertical distribution of the organic
- 115 matter within the soil and the decomposition rates depend on the simulated surface temperatures , and precipitation rates. This approach works well in regions in which most of the soil carbon is stored close to the surface, but it is problematic for permafrost-affected regions. The vertical carbon transport in these regions is dominated by very effective processes – cryoturbation (Schuur et al., 2008) – and soils can store organic matter in depth of several meters. Thus, the conditions under which this organic matter decomposes are not well approximated by surface temperatures and precipitation rates. To improve the
- 120 representation of the carbon cycle in permafrost-affected regions, we implemented a vertical structure of the soil carbon pools and calculate the decomposition rates using depth dependent depth-dependent soil temperature and liquid soil water content. Furthermore, we added a simple parametrization to distribute the carbon inputs according to idealized root-profiles root profiles

and a scheme to account for the accumulation of organic matter at the top of the soil column and the vertical transport due to bio- and cryoturbation.

#### Structure of the soil carbon pools 125

JSBACH distinguishes between above and below ground carbon pools, a separation that is - in. In the standard model - this separation is only relevant for the computation of the fuel load required by the model's fire module. However, fresh litter at the surface, such as branches or leaves, has very different thermophysical and hydrological properties than organic matter that is encompassed in the soil. To be able to account for these differences, the new structure maintains the separation of above

- 130
- and below ground carbon but introduces a vertical discretization of the below ground carbon pools. As we also maintain the conceptual structure of the lability classes, the new scheme represents soil carbon by 5 lability classes on every model soil layer and 4 above ground carbon pools (note that the humus pool does not exist at the surface, see below).

The present model version distinguishes between anoxic and oxic decomposition in the inundated and the non-inundated fractions of the grid box (see below) and the soil carbon pools need to be separated accordingly. Here, we do not simulate the 135 respective pools explicitly. Instead we calculate  $r_{cin}$  determine  $r_{cin}^{t_{end}}$ , the ratio between the carbon concentrations in the inundated  $(C_{in}^{t_{end}})$  and the non-inundated  $(C_{dru}^{t_{end}})$  fractions, for each of the soil carbon pools after the decomposition is computed in timestep t.

$$r_{C_{in}}^{t_{end}} = \frac{C_{in}^{t_{end}}}{C_{dry}^{t_{end}}} \tag{1}$$

In the consecutive time step  $t \pm 1$ , the soil carbon is distributed between inundated and non-inundated carbon pools according 140 to  $r_{cin} r_{Cin}^{t_{end}}$  before the decomposition is calculated.

$$C_{in}^{t+1_{start}} = C_{tot}^{t+1_{start}} (1 + \frac{1}{r_{C_{in}}^{t_{end}}})^{-1},$$
(2)

$$C_{dry}^{t+1_{start}} = C_{tot}^{t+1_{start}} - C_{in}^{t+1_{start}}.$$
(3)

For changes in the inundated area,  $r_{cin}$  is modified  $r_{Cin}$  is updated between two calls of the decomposition routine. This ap-145 proach allows us to separate oxic and anoxic respiration without having to calculate the entirety of relevant processes – such as land cover changes, disturbances, etc. - for two sets of carbon pools.

For technical reasons we chose to represent the soil carbon pools simultaneously on two different vertical resolutions (soil layers). The coarse layering corresponds to the one used to represent soil temperatures and the hydrological processes while the

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spacing of the finer layers can be chosen freely. The second structure was implemented because we found JSBACH's standard vertical resolution to be too coarse to properly represent the vertical mixing due to cryoturbation, while it is comparatively expensive to represent all soil processes on a fine grid.

#### **Carbon inputs**

In JSBACH, the litter inputs are divided into above and below ground litter fluxes, with 70% of the coarse and 50% of the fine

155 litter entering the above ground pools. We maintain this separation but distribute the below ground litter inputs on among the vertical soil layers according to vegetation type specific root profiles. Similarly, the below ground carbon inputs that result from disturbances and land-use land use change as well as root exudates are distributed according to these profiles. The cumulative root fraction, *Y*, is described by:

$$Y = 1.0 - \beta^z,\tag{4}$$

with z being the depth below the surface [cm]. The parameter  $\beta$  is taken from Jackson et al. (1996) and matched to the plant functional types employed by JSBACH. Furthermore, the cumulative root fraction is scaled by to a maximum depth, which is limited by the lower of either the model's-prescribed rooting depth or the previous year 's-maximum thaw depth. The latter is done because JSBACH uses a rooting depth that is fixed in each grid box, but we assume that plants do not extend their roots into the perennially frozen regions of the soil.

#### 165 Transport

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The vertical carbon transport in permafrost-affected regions is dominated by frost heave and freeze-thaw cycles (Schuur et al., 2008). However, cryoturbation involves a variety of complex processes that depend on small scale small-scale features of the soil and , even though process models exists (Peterson et al., 2003; Nicolsky et al., 2008), these are not applicable on the scales of land-surface land surface models. Thus, we follow the approach of Koven et al. (2009, 2013) and described describe the vertical mixing of soil organic matter as a diffusive transport:

$$\frac{\partial C_{lc,z}}{\partial t} = \frac{\partial}{\partial z} \left( D(z) \frac{\partial C_{lc}}{\partial z} \right),\tag{5}$$

with C being the carbon concentration of the lability class lc, D the diffusion coefficient and z the depth below the surface.

Similar to Burke et al. (2017a) we use a constant diffusivity – not varying between grid boxes—boxes – to represent biotur-175 bation in regions that are not affected by near-surface permafrost. At the surface we use a diffusivity of 1.5 cm<sup>2</sup> year<sup>-1</sup> and for the deeper layers we assume the mixing rates to decline linearly with increasing depth up to a maximum depth of 3 meters or up to the bedrock borderdepth. In permafrost regions the mixing rates are much larger and vary based on soil conditions. It is assumed that cryoturbation is more effective in wetter soils and when the freezing during winter and the thawing during spring extends over a long periods – weeks to month – during which the soil repeatedly thaws and refreezes. To account for these

180 effects, we assume a maximum diffusivity of  $15 \text{ cm}^2 \text{ year}^{-1}$  which is scaled by two terms representing the (previous year  $\frac{1}{3}$  mean) saturation of the active layer and the number of days in which temperatures crossed the freezing point. At the surface,

diffusivity D [cm<sup>2</sup> year<sup>-1</sup>] is given by:

$$\frac{D(s) = 1.5}{D(s) = 15 \cdot w_{atl} \cdot min\left(1, \frac{N_{dc0}}{N_{dc0, ref}}\right)} \quad \text{; for bioturbation,} \\
\frac{D(s) = 15 \cdot w_{atl} \cdot min\left(1, \frac{N_{dc0}}{N_{dc0, ref}}\right)}{15 \cdot w_{atl} \cdot min\left(1, \frac{N_{dc0}}{N_{dc0, ref}}\right)}, \quad \text{for cryoturbation}$$
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where  $w_{atl}$  is the saturation of the active layer,  $N_{dc0}$  the number of days per year in which surface temperatures crossed the freezing point and  $N_{dc0,ref}$  a respective reference value which was set to 40 days year<sup>-1</sup>. For the depth-dependency depth dependence of the mixing rates in permafrost-affected regions there are two options included in the scheme. Either a constant diffusivity is assumed throughout the active layer – (or until the border with the bedrock–), or the mixing rates are assumed to decline linearly throughout the active layer.

(6)

The present model structure separates the organic matter into above and below ground pools and the vertical mixing described above is only applied to the below ground carbon. The organic matter that is deposited above the surface needs to be incorporated into the soil before it can be transported into the deeper layers. The separation between above and below ground litter is a mere conceptual one—used to account for the different properties of the organic matter—, and the above ground litter occupies the same physical space as the below ground pools representing the top soil layer. Hence, the transfer of carbon from the above to the below ground pools requires a change in properties rather than in space, and there are two ways by which this can happen. The decomposition at the surface turns a given fraction of the organic matter into humus and with this transformation we assume a change in physical properties , that transfers that reassigns the carbon from the above to the

- 200 below ground pools (hence there is no above ground humus pool). Furthermore, organic matter builds up at on the surface in grid boxes in which the long term carbon input at the surface is larger than the respiration rates. Here, we assume the load of organic matter at on the surface to affect its properties, as the latter are largely dependent upon the material's dependent on the bulk density which is reduced under pressure. Thus, the excess material is transferred to the corresponding below ground pools when the load of organic matter exceeds a given threshold – for the present study we choose  $\approx 10 \text{ kg m}^{-2}$ , the excess material
- 205 is transferred to the corresponding below ground pools. This Assuming a litter density of ≈ 75 kg m<sup>-3</sup>, this corresponds to a surface organic layer with a maximum depth of around 15 cm <u>averaged</u> over the grid box area, when assuming a litter density of ≈ 75 kg m<sup>-3</sup>, area which is well within the range of typical organic layer thickness 's (Yi et al., 2009; Lawrence et al., 2008; Johnstone et al., 2010) and very similar to the soil organic layer used in the study of Ekici et al. (2014).

#### Decomposition

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210 With respect to the decomposition rates,  $k_{lc}$ , we follow the same approach as the standard YASSO model in which a lability-class-specific mass loss parameter  $\frac{1}{2}\alpha_{lc}$ , specific to the lability class, is multiplied by factors accounting for the temperature and moisture dependencies of decomposition  $- d_{temp}$  and  $d_{mois}$ :

 $k_{lc} = \alpha_{lc} \cdot d_{temp} \cdot d_{mois}$ 

For the above ground carbon pools we use the parametrizations of the standard model:

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$$d_{temp}(s) = \exp(\beta_1 \cdot T_{surf} + \beta_2 \cdot T_{surf}^2)$$
 ; with  $\beta_1 = 0.095$  and  $\beta_2 = -0.0014$ , (8)

$$d_{mois}(s) = 1 - \exp(\gamma \cdot P) \qquad \qquad ; \text{ with } \gamma = -1.21, \tag{9}$$

(7)

where  $T_{surf}$  is the surface temperature [°C] and P the precipitation rate [m year<sup>-1</sup>]. To account for the different decomposition rates under aerob and anaerob aerobic and anaerobic conditions we calculate the moisture dependency dependence in inundated areas as (Kleinen et al., 2019) Kleinen et al. (2019) :

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$$d_{mois,inu}(s) = d_{mois}(s) \cdot 0.35$$
 (10)

It should be noted that we assume that only a fraction of the above ground organic matter in inundated areas decomposes under anacrob anacrobic conditions. As discussed above, the above ground carbon pools in the model occupy the same physical space as the below ground pools representing the top layer of the soil column. In reality, however the litter that falls on top of a fully saturated soil column would still decompose aerobically unless there is standing water on top of the surface. Even then it is

225 highly uncertain how much of the litter decomposes under anaerob or aerob anaerobic or aerobic conditions as this depends very much on it's shape the shape of the litter and on the depth of the standing water – a twisted branch may be located largely above the water while a straight branch would be fully submerged. In the model we deal with this uncertainty be by including the fraction of the above ground organic matter that decomposes anaerobically as an input parameter that can be varied between simulations (see below).

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For the below ground decomposition rates, we evaluated a variety of functions to represent the moisture and temperature dependencies (Sierra et al., 2015), some of which are included as options in the present version of JSBACH. The goal of this evaluation was to establish a combination of dependencies that changes the carbon dynamics in the non-permafrost-affected regions as little as possible, while preserving the organic matter stored within the perennially frozen ground. For this study, we chose the temperature dependence parametrization of the YASSO model in combination with a simplified version of the moisture limitation function used in the CENTURY ecosystem model (Kelly et al., 2000). The temperature and moisture dependencies,  $d_{temp}(z)$ ,  $d_{mois}(z)$  and  $d_{mois,inu}(z)$  in depth z ( $z \neq s$ ) are given by:

$$d_{temp}(z \neq s) = \exp(\beta_1 \cdot T_z + \beta_2 \cdot T_z^2), \tag{11}$$

$$d_{mois}(z \neq s) = 1.2 \cdot \left(\frac{w_z^* - b}{a - b}\right)^{d \cdot \frac{b - a}{a}} \cdot \left(\frac{w_z^*}{a}\right)^d \qquad ; \text{ with } a = 0.575, b = 1.5 \text{ and } d = 3, \tag{12}$$
$$d_{mois,inu}(z \neq s) = 1.2 \cdot \left(\frac{1 - b}{a - b}\right)^{d \cdot \frac{b - a}{a}} \cdot \left(\frac{1}{a}\right)^d \qquad ; \text{ for } w_{liq,z} > w_{ice,z}, \tag{13}$$

where  $T_z$  is the temperature in depth z and  $w_z^*$  represents the relative saturation of the soil, considering only the liquid water content. Note however, that we do not use the saturation of the soil directly, because eertain formulations in the model's the formulation of the soil hydrology module prevent prevents the soil moisture from dropping below a certain threshold or to increase beyond the soil's above the field capacity. In order to account for this,  $w_z^*$  is not given relative to the soils pore

- space, but relative to the range between the wilting point and the field capacity. Additionally in addition, we apply a subgrid scale subgrid-scale distribution of the soil water in order to determine the inundated grid box fraction (see below). Thus  $w_z^*$ does not correspond to the mean saturation of the grid box but to the saturation of the non-inundated fraction. In the inundated fraction soils are fully saturated and  $d_{mois,inu}(z \neq s)$  has a fixed value of 0.32, it is assumed however. It is assumed, however, that decomposition in the inundated areas can only occur when the liquid water content in a soil layer ( $w_{liq,z}$ ) is larger than
- 250 the layer's ice content ( $w_{ice,z}$ ), even though it should be noted that in reality microbes microbes in reality do not necessarily require free water in the soil to survive and they can maintain viability for thousands of years within frozen soils (Gilichinsky et al., 2003). However, we assumed the assume negligible activity under these conditions to be negligible.

#### 2.1.2 Permafrost-physicsPermafrost physics

The representation of the physical , permafrost-related processes in the soil are that are related to permafrost are largely based on the implementation of Ekici et al. (2014). However, there are certain important differences, which will be described in more detail in the following. Most importantly, we adapted the approach to representing representation of soil organic matter from a pervasive organic-top-soil-layer organic top soil layer to explicitly simulating the organic matter at the surface and within each of the vertical soil layers. Furthermore, we adapted the formulations of transpiration and the water limitations of plants to account for perennially frozen soils. It should be noted that Finally, the model accounts for the heat generated by decomposition

260 (Khvorostyanov et al., 2008b), even though the effects are negligible in all the simulations.

#### Soil properties

The present model version represents the organic matter at above and below the surface explicitly and accounts for the respective effects on a given soil property,  $X_{soil}(z)$ , by aggregating the respective properties of organic,  $X_{org}(z)$ , and mineral material,  $X_{min}$ , according to their volumetric fractions,  $f_{org}(z)$  and  $(1 - f_{org}(z))$ :

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$$X_{soil}(z) = f_{org}(z) \cdot X_{org}(z) + (1 - f_{org}(z)) \cdot X_{min}.$$
 (14)

The fraction of organic matter,  $f_{org}(z)$ , is given by:

$$f_{org}(z) = \frac{\rho_c(z)/r_{c2b}}{\rho_{org}(z)},$$
(15)

where ρ<sub>c</sub>(z) is the mass concentration of carbon at depth z , r<sub>c2b</sub> the carbon to biomass ratio and ρ<sub>org</sub>(z) the dry bulk density of organic matter. The estimates of ρ<sub>org</sub>(z) vary strongly depending on the quality of organic matter and whether it pertains to
litter at the surface or to organic matter that is integrated in the soil (O'Donnell et al., 2009; Ahn et al., 2009; Chojnacky et al., 2009). For the present study , we chose ρ<sub>org</sub>(s) = 75 kg m<sup>-3</sup> for above ground organic matter and ρ<sub>org</sub>(z ≠ s) = 150 kg m<sup>-3</sup>

for the organic matter below ground. Likewise the properties of the organic matter,  $X_{org}(z)$ , differ between above and below ground organic matter (Peters-Lidard et al., 1998; Beringer et al., 2001; O'Donnell et al., 2009; Ahn et al., 2009; Chojnacky et al., 2009; Ekici et al., 2014).  $r_{c2b}$  was set to 0.5.

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This aggregation was applied to all soil properties with the exception of the saturated hydraulic conductivity, for which we follow the approach of the Community Land Model (Oleson et al., 2013). Here, it is assumed that connected flow pathways form, once the fraction of organic matter exceeds a certain threshold. These need to be accounted for in the bulk hydraulic conductivity,  $k_{sat}(z)$ :

$$280 \quad k_{sat}(z) = f_{uncon}(z) \cdot k_{sat,uncon}(z) + (1 - f_{uncon}(z)) \cdot k_{sat,org}(z) \tag{16}$$

where  $f_{uncon}(z)$  is the grid box fraction in which no conected pathways exist,  $k_{sat,uncon}(z)$  the saturated hydraulic conductivity in this fraction and  $k_{sat,org}(z)$  the conductivity in the grid box fraction in which pathways form.

$$k_{sat,uncon}(z) = f_{uncon}(z) \cdot \left(\frac{1 - f_{org}(z)}{k_{sat,min}} + \frac{f_{org}(z) - f_{perc}(z)}{k_{sat,org}(z)}\right)^{-1} \qquad ; \text{ with} \qquad (17)$$

$$f_{uncon}(z) = 1 - f_{perc(z)} \qquad ; \text{ and} \qquad (18)$$

$$f_{perc}(z) = (1 - f_{thresh})^{-\beta_{perc}} \cdot (f_{org}(z) - f_{thresh})^{\beta_{perc}} \qquad ; \text{ for } f_{org}(z) \ge f_{thresh}, \text{ and} \qquad (19)$$

$$f_{perc}(z) = 0 \qquad \qquad ; \text{ for } f_{org}(z) < f_{thresh}, \qquad (20)$$

where  $\beta_{perc} = 0.139$  and  $f_{thresh} = 0.5$ .

#### Soil and surface hydrology

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A given fraction of the water within the soil remains liquid even at sub-zero temperatures. In reality, supercooled water exists in the presence of certain chemicals, such as salts, that lower the freezing temperature, but also because of the absorptive and capillary forces that soil particles exert on the surrounding water. The model does not represent the soil 's-chemical composition and we only account for the thin film of supercooled water that forms around the soil particles, which can be described by a freezing-point depression (Ekici et al., 2014; Niu and Yang, 2006). However, the liquid water is bound to the soil particles and it is questionable whether it is able to move through the surrounding soil-ice matrix. Thus, in the present model version, we assume the supercooled liquid water in the soil to be immobile in the present model version. As the vertical movement of water 295 requires flow pathways to be available, percolation of liquid water within the soil is inhibited when more than half of the soil 's pore space is occupied by ice.

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Additionally, the standard model version assumes lateral drainage from the soil at any given depth, which means that soil ice in deeper layers has very little effect on the saturation of the soil column above. In the present model version, we allow all soil layers located above the bedrock. This drainage component is included to account for vertical channels, e.g., connected pathways in coarse material, cracks or crevices, that are assumed to be present in the large, heterogeneous grid cells at the standard resolution  $(1.9^{\circ} \times 1.9^{\circ})$ . These efficiently transport the water deeper underground towards the border between soil and bedrock where it runs of as base flow. However, in the presence of permafrost, we assume these vertical channels to be

- 305 predominantly blocked by ice and we allow lateral drainage only at the bedrock border, which results in permafrost acting as an effective barrier that strongly impedes drainage boundary or from those layers below which the soil is fully water saturated, i.e. at field capacity. These limitations on lateral drainage in combination with the inhibition of percolation for large ice contents facilitate high moisture levels within the active layer and the formation of a perched highly saturated zone on top of the perennially frozen soil layers, which are typical for permafrost regions (Swenson et al., 2012). Finally, we changed the condi-
- 310 tions controlling infiltration at the surface. In the standard model, infiltration is partly temperature dependent, with no infiltration at below the melting point. This condition was removed so that infiltration is controlled purely by the saturation of the near-surface soil and the topography within the grid cell.

In JSBACH, transpiration and the plant's water stress are calculated based on the degree of saturation within the rootzoneroot zone. However, the respective parametrizations become very problematic in the presence of soil ice because they use a fixed parameter – the maximum rootzone soil moisture –, the maximum root zone soil moisture, relative to which the degree of saturation is calculated. In reality, the rootzone root zone in permafrost-affected regions is confined to depths above the perennially frozen regions of the soil, while in the standard model, the rootzone the root zone can not adapt to the permafrost table in the standard model. Thus, the model's parametrization can result in plants experiencing constant water stress when the permafrost extends into the rootzone root zone, even if there is sufficient liquid water available in the upper layers. Similarly, bare soil

evaporation is determined by the saturation of the top 6.5 cm of the soil—, considering only the liquid water content relative to the entire pore space not to the *ice-free ice free* pore space. Consequently, evaporation can be reduced substantially when there is ice in the top soil layer, despite enough liquid water being present at the surface. In the present model version we deal with this issue by accounting for the presence of ice and computing the saturation of the *rootzone-root zone* and the top soil layer relative to the ice-free pore space.

#### 2.1.3 Wetlands and inundated areas

In its standard version, JSBACH accounts neither for surface water bodies nor inundated areas and, for for inundated areas. For the present study, we implemented two schemes that represent different aspects of their formation. Note that in the result section we make no differentiation between wetlands and inundated areas because they have a very similar effect on the carbon

330 cycle, in that they both constitute areas in which soil organic matter decomposes under anaerobic conditions. The first scheme simulates the effect of ponding – the formation of wetlands because water can not infiltrate fast enough and pools at the surface, while the second scheme accounts for inundated areas that form in highly saturated soils, due to low drainage fluxes. Note that in the result section we make no differentiation between wetlands and inundated areas because they have a very similar effect on the carbon cycle, in that they both constitute areas in which soil organic matter decomposes under anaerobic conditions.

The ARNO model, which is used by JSBACH to determine the infiltration rates, does not account for ponding effects, instead ...Instead all water arriving on the soil surface is either infiltrated or converted into surface runoff (Dümenil and Todini, 1992; Todini, 1996). In the present version of JSBACH, we implemented a WEtland Extent Dynamics (WEED) scheme based on a concept developed for the global hydrology model MPI-HM (Stacke and Hagemann, 2012). WEED adds a water storage to the land surface which intercepts rainfall and snow melt prior to soil infiltration and runoff generation. Based on the storage's

surface area fraction  $f_{pond}$  and the depth  $h_{pond}$  of the storage, evaporation  $E_{pond}$  and outflow  $R_{pond}$  are computed as

$$E_{pond} = (1 - f_{snow}) \cdot (f_{pond} - f_{skin}) \cdot E_{pot}$$

$$\tag{21}$$

$$R_{pond} = h_{pond} \cdot \frac{1}{(1 - f_{pond}) \cdot \lambda_{pond}}$$
(22)

Outflow accounts for topography in form of the outflow lag  $\lambda_{pond}$  computed based on the orographic standard deviation  $\sigma_{oro}$ :

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$$\lambda_{pond} = \left(1 - \left(\frac{\sigma_{oro}}{\sigma_{max}}\right)^{\frac{1}{4}}\right) \cdot \lambda_{max}$$
 (23)

resulting in an increased outflow when either the storage contains a large amount of water or the orographic variability in the grid cell is high. Runoff is subdivided into direct infiltration and lateral runoff. The former is diagnosed as the soil moisture saturation deficit of the uppermost soil layer for the wetland covered wetland-covered grid cell fraction and directly-added to the soil moisture storage directly. The latter is further processed into surface runoff and soil infiltration according to the standard soil scheme (Hagemann and Stacke, 2015; Dümenil and Todini, 1992). Runoff is assumed to be zero when temperatures fall below the freezing point. Considering all these fluxes, the water storage  $S_{pond}$  changes according to:

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$$\Delta S_{pond} = P_{rain} + P_{melt} - E_{pond} - R_{pond} \tag{24}$$

Due to the coarse model resolution it is not reasonable to quantify  $f_{pond}$  for a given storage state explicitly from high-resolved highly resolved topographical data. Instead, we attribute any change in the wetland's water volume water volume of the wetland 355  $V_{pond} = S_{pond} \cdot f_{pond} \cdot A_{cell}$  to changes in the wetlands depth and extent of the wetland using the topographical standard deviation of the grid cell:

$$\Delta h_{pond} = \left(\Delta V_{pond} \cdot \frac{\sigma_{oro}}{\sigma_{crit}}\right)^{\frac{1}{3}}$$
(25)

$$\Delta A_{pond} = \frac{V_{pond}}{h_{pond} + \Delta h_{pond}} - A_{pond}$$
(26)

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Thus, any change in surface water is divided equally between water depth and extent if the orographic standard deviation of the grid cell equal equals a given critical orography standard deviation  $\sigma_{crit}$ . Thus, cells with a high orographic variation exhibit rather deep but small inundated fractions, while flat cells result in very shallow but extensive inundated fractions with a strong seasonality.

The WEED scheme is able to represent a realistic wetland distribution with extensive wetlands in the high northern latitudes and tropical rainforest regions. An However, an extensive evaluation of the simulated water bodies is beyond the scope of the To determine the extent of inundation areas dynamically, we use an approach based on the TOPMODEL hydrological framework (Beven and Kirkby, 1979). TOPMODEL employs sub-gridscale sub-grid-scale topographic information contained in the

370 compound topographic index (CTI) to redistribute the grid-cell mean water table, raising the sub-grid-scale water table in areas of high CTI and lowering it where CTI is low. We employ the CTI index product by Marthews et al. (2015) for the CTI index at a resolution of 15 arcseconds to determine the distribution of CTI values within any particular grid cell and thus determine the fraction of the grid cell where the water tale\_table is at or above the surface. A detailed description of the approach is given by Kleinen et al. (2019).

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#### 2.1.4 Gases in the soil

The standard version of JSBACH does not differentiate between aerobic and anaerobic soil respiration<del>and, to be able to . In</del> order to determine the methane emissions from saturated soils, we implemented the methane model proposed by Kleinen et al. (2019). Based on Riley et al. (2011), the model determines CO<sub>2</sub> and CH<sub>4</sub> production in the soil, the transport of CO<sub>2</sub>, CH<sub>4</sub> and O<sub>2</sub> through the three pathways diffusion, ebullition and plant aerenchyma, as well as the oxidation of methane whereever wherever sufficient oxygen is present. Partitioning of the anaerobic decomposition product into CO<sub>2</sub> and CH<sub>4</sub> (*R<sub>apox</sub>*) into CO<sub>2</sub> and CH<sub>4</sub> (*P<sub>CH4</sub>*) is temperature-dependent, with a baseline fraction of CH<sub>4</sub> production f<sub>CH4</sub> = 0.35-0.4 and a Q10 factor for f<sub>CH4</sub> (*P<sub>CH4</sub>* of Q10 = 1.8-1.5, with a reference temperature (*T<sub>ref</sub>*) of 295K.

$$P_{CH_4} = R_{anox} \cdot f_{CH_4} \cdot Q10^{\frac{T_z - T_{ref}}{10}}$$
(27)

- In each grid cell the methane model determines  $CH_4$  production and transport for two grid cell fractions, the aerobic (noninundated) and the anaerobic (inundated) fraction of the grid cell. If the inundated fraction changes, the amounts of  $CO_2$ ,  $CH_4$ and  $O_2$  are conserved, transferring gases from the shrinking fraction to the growing fraction, proportional to the area change. Thus the model captures not just the emission of methane from inundated areas, but also the uptake and oxidation of methane by the soil in the non-inundated areas. It should be noted that the model also simulates the  $CH_4$  emissions from wildfires and
- 390 termites. However, we neglect these fluxes in the detailed discussion of the methane emissions and exclusively report the fluxes from wetlands and inundated areas as the focus of this study is on soil emissions.

#### 2.2 Experimental setup

#### 2.2.1 Simulations

The modifications described above change the model's behaviour behaviour of the model substantially, which introduces large 395 , additional uncertainties. These involve uncertainties that originate from the parametrizations themselves, but also from their interactions with other processes in the model. To account for these uncertainties we created an ensemble of 40-20 simulations in which key parameter values and parametrizations were varied (see below). However, the ensemble-sizeensemble size, in combination with the temporal extent of the simulations, made it infeasible to use the fully coupled MPI-ESM which has roughly a hundred times the computational demand of the land surface model. Instead we use JSBACH in an offline-setup, in

- 400 which the land surface model is driven by output from the fully coupled model. Here, we use output from simulations (10th ensemble member) with the standard version of the MPI-ESM1.2 that were performed in the context of the 6th phase of the Coupled Model Intercomparison Project (CMIP6) (Eyring et al., 2016) (CMIP6; Eyring et al., 2016). These simulations cover the historical period 1850 to 2015-2014 and a scenario period ranging between the years 2016-2015 and 2100.
- 405 The present study aims to investigate the high latitude 's response to increasing and decreasing atmospheric green-house gas concentrationsand, because GHG concentrations. As it is often easier to understand the underlying mechanisms when the effects are large, we investigate a high GHG emission trajectory based on the Shared Socioeconomic Pathway 5 and the Representative Concentration Pathway 8.5 (SSP5-RCP8SSP5-8.5), even though this is not necessarily the most likely scenario (van Vuuren et al., 2011; Riahi et al., 2017). SSP5-RCP8plausible scenario (van Vuuren et al., 2011; Riahi et al., 2017).
- 410 (Hausfather and Peters, 2020). SSP5-8.5 targets a radiative forcing of 8.5 W m<sup>-2</sup> in the year 2100 and assumes the atmospheric CO<sub>2</sub> concentrations to increase to about 900-1000 ppmv by the end of this century, while the global mean temperature rises to about 4 K above pre-industrial levels and the precipitation rates in the high northern latitudes increases to about 675 mm year<sup>-1</sup> (Fig. 2). There are no scenario simulations available that could provide the forcing for a decrease in GHG concentrationsand, for. For the present study, we thus assume that the decrease simply reverses the trajectory of the increase prior to the
- 415 peak. Here, we simulate We assess the response to decreasing GHG concentrations after peaks in the years 2025, 2050, 2075 and 2100. It should be noted, however, that these forcings are a simplification and do not necessarily provide the most realistic relation between GHG concentrations and climate for the period of decreasing forcing as it ignores inertia in the climate system.

All simulations have the same general setup, with a horizontal resolution of T63  $(1.9^{\circ} \times 1.9^{\circ})$ , which corresponds to a gridspacing of about 200 km in tropical latitudes, a temporal resolution of 1800 seconds and a vertical resolution of 18 sub-surface layers that reach to a depth of 100 m, 11 of which are used to represent the top 3 meters m of the soil column(Note that for the present study, we chose the vertical resolution within the top of the soil column fine enough to be able to run both the soil physics and the vertical carbon transport on the same vertical layers). Each simulation is initialized in the year 1850 and the first 150 years of a simulation are used as a spin-up period. As stated above, the modifications of the model introduce additional

- 425 uncertainty and our strategy was not to choose the best estimate for the many of the parameters, but rather to vary them within the plausible range to capture the uncertainties that are involved in the respective parametrisations. parametrizations. While a comprehensive analysis of these uncertainties is beyond the scope of this study, a concise overview over the main factors is provided as an appendix.
- 430 One key factor, <u>A key factor</u> determining the processes in the high latitudes , is the treatment of the soil properties, especially the treatment those of the organic matter at and below the surface fraction (Lawrence et al., 2008; Ekici et al., 2015;

Jafarov and Schaefer, 2016; Zhu et al., 2019). For the study<del>we chose 5 different configurations, which result in substantially different soil thermal and hydrological properties and, consequently, substantial differences in the simulated, we chose 2 configurations: One of these assumes a more loosely packed organic matter, e.g. a porosity of 85 % and a heat conductivity of</del>

- 435  $0.225 \text{ Wm}^{-1}\text{K}^{-1}$  for the dry organic matter below the surface, while the other assumes a denser organic matter, with a porosity of 80 % and a heat conductivity of  $0.275 \text{ Wm}^{-1}\text{K}^{-1}$ . The soil properties are not only affected by the assumed properties of the soil organic fraction, but also by the amount of organic matter stored in the soils. Here, it is extremely challenging to initialize simulations with carbon pools that represent the observed organic matter concentrations adequately and we choose 4 sets of initial soil carbon pools in which the amount of organic matter in high latitude soils ranges between 0.6 TtC and
- 440 0.9 TtC (see below). Together with the assumed organic matter properties, this results in substantially different soil thermal and hydrological properties and, consequently, substantial differences in the simulated sub-surface dynamics (Fig. 3 a-c). In 3 of these configurations we use the entirety of adaptations described above and merely vary the properties assumed for the soil organic matter (Note that in one configuration we also prevented the infiltration at sub-zero temperatures and allowed the movement of supercooled water in the soil to be as close to the standard model as possible). The other two configurations
- 445 are much more similar to the approach used by Ekici et al. (2014). In the approach, the organic matter only has an impact on the soil properties of the first soil layer, while the lower layers have the properties of mineral soil. The difference between these 2 configurations is the properties assumed for the organic matter. Another important factor is the nitrogen limitation in the model. The changes in the model's hydrology scheme hydrology module increase the leaching of mineral nitrogen in the high latitudes, which reduces the nitrogen availability substantially. The corresponding nitrogen limitations are much higher
- 450 than in the standard model which has a drastic impact on the simulated vegetation dynamics and decomposition rates (which are also limited by the nitrogen availability). But rather than re-tune Instead of re-tuning this highly uncertain parametrization we performed an additional set of simulations in which the nitrogen limitations were neglected, capturing the range between highly-potentially over- and underestimated nitrogen limitations (Fig. 3 d-f).Furthermore, a key parameter with

#### 455 Based on these configurations a core set $(n_{sim,core})$ of 16 simulations was performed, with:

 $n_{sim,core} = n_{c,props} \cdot n_{c,c-init} \cdot n_{c,nitro} = 16,$ 

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where  $n_{c,props}$  is the number of configurations differing with respect to the methane emissions is the fraction of above-ground organic matter that decomposes anaerobically in the inundated fraction of the grid box. Here, soil properties (2),  $n_{c,c-jnit}$  the number of configurations with respect to the initial carbon pools (4) and  $n_{c,nitro}$  the number of configurations with respect to nitrogen limitations (2).

(28)

As Fig. 1 shows, the standard model has fundamental problems representing the dynamics in the high latitudes and we did not include any simulations with the reference model version in our analysis. However, for 2 sets of simulations we reversed certain key modifications to be as close to the standard model as possible. For one simulation we assumed vertically homogeneous soil properties and prevented the infiltration at sub-zero temperatures while allowing the supercooled water to move vertically

through the soil and to be used for microbial decomposition. In the second set we performed one set of simulations in which we choose a low value -0.4 – and one set of simulations with a high value -0.8 – for this parameter. Finally, we account for the impact of organic matter on the soil properties only at the top of the soil column, while the lower layers have the properties of mineral soil. The latter provides a model version that is very close to the setup used by Ekici et al. (2014, 2015).

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Finally, for the region between  $60^{\circ}$  and  $90^{\circ}$  North our adapted model simulates present-day methane emissions of around 11 Mt(CH4), which is in good agreement with recent estimates of high latitude wetland emissions (Saunois et al., 2020). Nonetheless, we performed 2 additional sets of simulations in which we varied key parameters in in of the methane module , most importantly the maximum oxidation velocities, which resulted in two sets of simulations which are largely identical but give very different CO<sub>2</sub> and CH<sub>4</sub> emissions, to capture the respective uncertainties. For one set of simulations we lowered

475 but give very different  $CO_2$  and  $CH_4$  emissions. to capture the respective uncertainties. For one set of simulations we lowered the baseline fraction of  $CH_4$  to 0.35 and increased the Q10 factor to 1.75, to represent the lower end of plausible methane emissions. For the other set – the high emission simulations – we increased the baseline fraction of  $CH_4$  to 0.45 and reduced the maximum oxidation velocities by 50 %.

480 In total, this gives  $\div 20$  simulations ( $n_{sim}$ ):

$$n_{sim} = n_{c,soil \cdot sim,core} + n_{c,nitro \cdot c,pysics} + n_{c,decomp} \cdot n_{c,CH4c,CH4} = 20,$$
(29)

where  $n_{sim}$  is the total number of simulations (40),  $n_{c,soil}$   $n_{c,pysics}$  is the number of additional configurations with modified soil physics (2) and  $n_{c,CH_4}$  the number of configurations with respect to the soil properties (5),  $n_{c,nitro}$  the number of configurations with respect to nitrogen limitations (2),  $n_{c,decomp}$  the number of configurations with respect to additional configurations in which the treatment of gases in the soil was modified (2).

With respect to the results presented below, most of the analysis is performed based on aggregated values representative of the entire northern permafrost region – here defined as the areas that exhibit perennially frozen soils within the top 3 m of the soil column (Andresen et al., 2020). The extent of these areas is sensitive to the parameter values used in a specific setup
and varies substantially between the simulations. For the analysis we do not define a shared permafrost mask, but aggregate the values based on the simulation-specific permafrost region. Furthermore, we base the analysis on the permafrost regions at the beginning of the fraction of above-ground organic matter that decomposes anaerobically in the inundated fraction (2) and n<sub>c,CH4</sub> the number of configurations with respect treatment of gases in the soil (2). 21st century – roughly between 13 million km<sup>2</sup> and 16 million km<sup>2</sup> – and do not adjust their extent to account for the changes in the near-surface permafrost. Nonetheless,

495 we simply refer to the focus region as the permafrost region in the manuscript even though large fractions of the respective areas may not feature near-surface permafrost at the higher temperatures of the assumed warming scenarios.

#### 2.2.2 Initial carbon pools

concentration in all layers.

Determining the initial soil carbon concentrations is very challenging, especially for the northern high latitudes where organic matter was stored in the frozen soils under the cold climate during and since the last glacial period (Zimov et al., 2006; Zimov,

- 500 2006b; Schuur et al., 2008). Simulations that target the build up of the soil carbon pools in permafrost-affected regions need to cover the carbon dynamics over a similar period (von Deimling et al., 2018). The respective simulations require many simplified assumptions and, because of the extensive timescale, even small uncertainties may propagate into substantial differences between simulated and observed carbon pools. Another strategy is to initialize the simulations with observed soil carbon concentrations (Jafarov and Schaefer, 2016). These rely on the spatial extrapolations of thousands of soil profiles (Batjes, 2009, 2016; Hugelius et al., 2013) and can be considered much closer to reality than any modelling effort that we are aware of.
- However, this approach has the disadvantage that the carbon pools are not necessarily consistent with the simulated climate or with important boundary conditions used by the model (such as the soil depths), which can result in unrealistic carbon fluxes especially at the beginning of a simulation. Furthermore, there is only little information on the quality of the soil organic matter, making it very difficult to separate the carbon into the lability classes used by the model. Here, we choose a combination of the
- 510 two approaches to achieve some consistency with both , observed soil carbon pools and the simulated climate.

To initialize the soil carbon concentrations, we <u>mainly</u> use the vertically resolved, harmonized soil property values <u>from</u> the <u>WISE30sec dataset (Batjes, 2016)</u>, which are based on soil profiles provided by the WISE project (Batjes, 2009, 2016). While the this dataset only covers the top 2 meters of the soil column – other datasets provide information up to a depth

- 515 of 3 meters (Hugelius et al., 2013) m (Hugelius et al., 2014) it has the important advantage that it is consistent with the FAO soil units which were used to derive the soil properties for the JSBACH model (Hagemann et al., 2009; Hagemann and Stacke, 2015). The dataset provides Consequently, we initialize the soil carbon concentrations above a depth of 2m with the WISE30sec data and for depth between 2 m and 3 m we use data from the Northern Circumpolar Soil Carbon Database (NCSCDv2; Hugelius et al., 2014, see Fig. 4a,f). The datasets provide no information on the quality of the organic matter and,
- 520 for the most part, we distribute the soil carbon among the lability classes according to the pre-industrial equilibrium distribution that is simulated with the MPI-ESM. However, we do not assume the same distribution in all soil layers and make additional assumptions for different lability classes. The highly labile organic matter has a mass loss parameter that corresponds to a reference decomposition time ranging from a few days to a few years and the respective organic matter decomposes before it can be mixed throughout the soil column. Thus we assume that its vertical profile resembles that of the carbon inputs and distribute the highly labile carbon according to an idealized root profile. In contrast, the humus pool has a reference decomposition time of several hundred years, allowing it to be well mixed throughout the soil, and we assume a similar humus

$$C_{fast}(l) = TC_{obs} \cdot f_{fast,sim} \cdot y(l) \cdot dz(l)^{-1} \qquad \text{, with } TC_{obs} = \sum_{i=1}^{nlayers} C_{obs}(i) \cdot dz(i), \qquad (30)$$

 $C_{slow}(l) = C_{obs}(l) \cdot f_{slow,sim},\tag{31}$
- 530 where  $C_{fast}(l)$  is the concentration of highly labile carbon in layer l and  $C_{slow}(l)$  the humus concentration.  $f_{fast,sim}$  and  $f_{slow,sim}$  are the respective shares in the total soil carbon as simulated with the MPI-ESM.  $C_{obs}(l)$  is the observed carbon concentration in layer l,  $TC_{obs}$  the total amount of carbon in a given grid box, y(l) the root-fraction root fraction and dz(l) the thickness of layer l.
- 535 In a first step we calculate determine  $C_{fast}$  with the above formula but apply the condition that wherever  $C_{fast}(l) > C_{obs}(l)$ , the excess in carbon was is shifted to the nearest layer in which  $C_{fast}(l) < C_{obs}(l)$ . In a second step we calculated calculate  $C_{slow}$ , iteratively applying the condition that wherever  $C_{slow}(l) > (C_{obs}(l) - C_{fast}(l))$  the excess was is added to the nearest layer in which  $C_{slow}(l) < (C_{obs}(l) - C_{fast}(l))$ . After  $C_{fast}(l)$  and  $C_{slow}(l)$  have been determined,  $C_{med}(l)$ , the concentration of organic matter with a decomposition timescale of tens of years, is calculated as the difference between  $C_{obs}(l)$ , and the sum of  $C_{fast}(l)$  and  $C_{slow}(l)$ :

$$C_{med}(l) = C_{obs}(l) - (C_{fast}(l) + C_{slow}(l)).$$
(32)

As stated above, there are regions in the high latitudes where the observed carbon pools are not only inconsistent with the simulated climate but even with the soil depths used by the model. The main reason for this is the high spatial variability in soil depths in the real world which can not be represented at the coarse resolution of the model, resulting in large amounts of the

- 545 observed organic matter being stored in parts of the ground that the model considers to be below the bedrock boundary. When limiting the organic matter to the top- and subsoil the soil above the bedrock of the standard setup, the model is initialized with as little as 636 GtC of organic matter in the northern high latitudes instead of the observed 1015 GtC (Fig. 4a,b,f,g). Consequently, limiting the initial carbon pools to the observed organic matter concentrations includes the risk of substantially underestimating the effect of increasing temperatures on the soil carbon release.
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There are two general approaches to mitigate this problem, both of which introduce different risks for the setup of the simulation. One approach is to upscale the carbon pools that are located above the bedrock boundary to obtain an overall carbon content that is closer to observations (Fig. 4c,h). However, this approach introduces the risk of partly overestimating the organic matter concentrations and misrepresenting the soil properties in the respective regions. The second approach extends
the soil depths in the model (Fig. 5; Carvalhais et al., 2014; von Deimling et al., 2018), which allows to store more organic matter – about 797 GtC – in the appropriate regions (Fig. 4d,i). These soil depths, however, do not represent the bedrock boundary appropriately at coarse resolutions, which may strongly affect the behaviour of the model in these regions. Finally, the two approaches can be combined by upscaling the carbon pools while simultaneously increasing the soil depths of the model (Fig. 4e,j). Because none of the approaches can solve the fundamental problem of subgrid-scale heterogeneity in a coarse resolution model, we conducted 4 sets of simulations with all the above initialization approaches and a short overview over the effect on the simulated carbon dynamics is provided in the appendix.

To minimize the inconsistency between observed carbon concentrations and simulated climate conditions, we initialize the experiments with the observation-based, present-day carbon pools but start the simulations in the year 1850 at the end of the

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pre-industrial period. In the high northern latitudes this allows the carbon concentrations within the (simulated) active layer to adapt to the simulated climate conditions during the historical period, while the perennially frozen regions of the soil conserve the observed carbon concentrations. As the soil thermal and hydrological dynamics vary depending on the treatment of the soil properties, this initialisation approach results in substantially different soil carbon pools at the end of the spin-up period.

#### **3** Results

- 570 At the beginning of the 21st century, regions that are affected by near-surface permafrost, here defined as featuring perennially frozen soils within the top 3 meters of the soil column (?), contain roughly between 800 and 1400 Gt of carbon (Fig. 3 a, permafrost regions (Fig. 3a) contain between 373 and 764 Gt of organic carbon (Tab. ??). 375 to 620 Gt(C) 171 to 298 GtC of these are located within the active layer, where they are the organic matter is exposed to microbial decomposition, and the resulting soil CO<sub>2</sub> emissions range between roughly 3.0 and 4.5 Gt(C) 2.4 and 4.0 GtC year<sup>-1</sup>. In most simulations, these
- 575 emissions are not fully balanced by the soil's carbon uptake carbon uptake of the soil, resulting in net fluxes of between -0.1 and 0.6 Gt(C) -0.2 and 0.5 GtC year<sup>-1</sup>meaning that, most likely, the high-latitude soils no longer act as a carbon sink at the beginning of the 21st century. This is also. This also is the case for the terrestrial ecosystem as a whole , that is (Fig. 6 a) – when also accounting for changes in vegetation biomass (Fig. 6 a). Almost all simulations estimate the ecosystem's – and the simulated ecosystem carbon flux into the atmosphere to be positive at the beginning of the 21st century – on average around
- <sup>580</sup> ranges between -0.8 and 0.1 Gt(C) and none of the simulations predicts the transition from carbon sink to source to occur after the year2023. The net carbon emissions increase GtC year<sup>-1</sup>. However, the ecosystem flux increases substantially with rising GHG concentrations, and even for the temperature target of temperatures and for the the Paris Agreement longterm goal – global mean surface temperatures limited to about 1.5 K above pre-industrial levels – the simulated net fluxes increase by a factor of 5 - 6, while from -0.3 GtC year<sup>-1</sup> to around -0.1 GtC year<sup>-1</sup>. At temperatures of roughly 1.75 K (± 0.5 K) above
- pre-industrial levels the permafrost ecosystem turns from carbon sink to source and for a temperature rise of 2.5-3 K the net emissions would be over 10 times larger than at the beginning of the century. Here, the increase to about 1 GtC year<sup>-1</sup>. The ecosystem emissions exhibit a non-linear<del>and strongly hysteretic dependency</del>, hysteretic dependence on the simulated surface temperatures and the fluxes into the atmosphere are substantially lower after than before the GHG peaks in 2050, 2075 and 2100. The ecosystem 's net carbon 2100 than before. Here, the ecosystem flux is largely determined by CO<sub>2</sub> exchange between
- 590 the land soil and vegetation and the atmosphere, while methane emissions contribute very little to the overall carbon flux (Fig. 6 b,c).

#### 3.1 Soil CO<sub>2</sub> flux and carbon uptake

The CO<sub>2</sub> emissions from permafrost-affected soils are very sensitive to changes in the atmospheric GHG concentrationmaking

- **595 a**. A substantial increase in soil  $CO_2$  fluxes very likely, becomes very likely should 21st-century GHG emissions follow the **SSP5-RCP8SSP5-8.5** scenario. The fluxes depend on a number of factors that are affected by the atmospheric GHG concentrations, most importantly the changing climate conditions. As a result, the soil emissions exhibit a non-linear dependence of the atmospheric  $CO_2$  concentrations (not shown) but an almost linear dependence on the simulated surface temperatures (Fig. 6 b) where, very roughly, each degree of global warming increases the soil  $CO_2$  fluxes by 50%,
- relative to the emissions at the beginning of the century. For the temperature target of the Paris Agreement, the soil emissions increase by about 25% to 40% and if. If the GHG concentrations follow SSP5-RCP8SSP5-8.5 until the year 2100, the soil CO<sub>2</sub> fluxes potentially increase by over more than 150%, resulting in fluxes of roughly 6.5 to 13.5 Gt(C) 6 to 11.5 GtC year<sup>-1</sup>. The (almost) linear temperature dependency dependence of the soil CO<sub>2</sub> fluxes is also valid for decreasing temperatures and , when the GHG forcing is reversed, the the soil CO<sub>2</sub> fluxes decrease on a trajectory very similar to the increase prior to the GHG peak when the forcing is reversed. However, this does not necessarily mean that the main processes governing the changes in soil

CO<sub>2</sub> emissions are fully reversible on a decadal to centennial timescale (see below).

One reason for the strong increase in soil  $CO_2$  fluxes with rising temperatures is the degradation of near-surface permafrost and the corresponding increase in active layer depth. For a GHG-forcing peak in 2100, over 80 % of the near-surface permafrost

- 610 disappear (Fig. 7 a), exposing large amounts of organic matter to conditions which allow for permit microbial decomposition. The However, the amount of soil organic matter in permafrost-affected regions actually increases as long as the global mean surface temperature remains below 1.5 K compared to pre-industrial levels. Only for higher temperatures does the rise in soil respiration, resulting from the increased exposure of formerly frozen carbon, reduces reduce the soil organic mattersubstantially and for a GHG. For a forcing peak in 2100 the total amount of carbon stored in permafrost-affected soils could be reduced by
- 615 elose to 15about 12.5 % (Fig. 7 b). This corresponds to a loss of roughly  $150.60 \pm 50$  Gt(C)20 GtC, which is at the higher lower end of previous estimates (Schuur et al., 2013; Schaefer et al., 2014). Because the soils remain net earbon emitters even after the GHG start to accumulate organic matter when the forcing is reversed, the soil carbon pools continue to decline increase after the GHG peak, resulting in a carbon loss of up to 20. When temperatures have returned to the level of the beginning of the century the overall loss in soil carbon amounts to 120 - 240 Gt(C), a large fraction of which is irreversible – at least
- 620 on short timescales because it pertains to carbon stored within the frozen soils and for most of the scenarios the soil carbon concentration increases again, at least to the levels of the beginning of the simulation.

Even though these soil carbon losses are substantial, the The increased exposure of organic matter stored in permafrost soils is insufficient to explain the rise in soil CO<sub>2</sub> fluxes, given that the relative increase in the fluxes is a lot larger than the increase
 625 in active layer carbon (Fig. 7 c, d)especially when considering that the soil carbon concentration initially increases, as long as temperatures stay below 1.5 K compared to pre-industrial levels. Furthermore, the largest fraction of the recently exposed

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carbon organic matter takes a long time to decompose (Fig. 7 c), while the relative increase in readily decomposable material within the active layer is much smaller (Fig. 7 d). Here, the amount of labile active layer carbon starts to decrease even before the GHG forcing peak in 2100 is reached, while the soil  $CO_2$  fluxes continue to increase. Furthermore, the labile carbon in

- 630 the active layer shows a strongly hysteretic behaviour and after the GHG-forcing peak there is substantially less labile organic matter in the active layer than prior to the GHG-peak, while there is slightly more stable organic matter. This indicates that  $\frac{1}{7}$ in addition to the overall carbon loss, the permafrost affect the permafrost-affected soils undergo major compositional changes which should lead to a reduction of the lower soil CO<sub>2</sub> fluxes after the temperature peak than before.
  - Another important Especially at lower temperatures, the main driver of the soil CO<sub>2</sub> fluxes is the carbon input into the soil, consisting of litter, root exudates but also damaged and burnt vegetation, which is largely dependent upon the vegetation's dependent on the net primary productivity (NPP). The NPP, in turn, depends directly on the atmospheric GHG concentrationsdirectly, as this determines the CO<sub>2</sub> uptake by leaves, and. Furthermore it depends on CO<sub>2</sub> indirectly, through the resulting climate conditions—, namely surface temperatures and water availability—and, as well as the vegetation distribution—, which
  - 640 is characterised by the type of vegetation and the vegetation cover. Overall, the changes in climate conditions make the high latitudes much more habitable for plants. The With surface temperatures in the Arctic increase increasing about twice as fast as global mean temperatures the global mean, the high latitudes become much more habitable for plants (Fig. 8 a). This does not only Higher temperatures extend the growing seasonbut also increases, as well as increasing the water availability for plants, because higher soil temperatures cause the soil ice to melt earlier and refreeze later during the year. Together with the increase
  - 645 in precipitation (Fig. 2 d) , this raises the plant-available water by as much as 55 up to 50 % (Fig. 8 b). The Furthermore, the changes in climate conditions also increase the vegetation cover and facilitate a (relative) shift from grasses and shrubs towards more productive trees (Fig. 8 c). In combination with the direct effect of CO<sub>2</sub> fertilization, the changes in climate and vegetation increase the NPP in permafrost-affected regions substantially, roughly more than doubling the productivity for the at the time of the GHG peak in 2100 (Fig. 8 d).

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This increase in NPP corresponds to an increase in carbon input into the soil of up to 4 Gt(C) 3.5 GtC year<sup>-1</sup> and , while GHG concentrations increase , as long as temperatures stay below 1.5 K above pre-industrial levels, the rise in soil CO<sub>2</sub> emissions is (more than) balanced by the increase in the soil carbon inputs. Even for the temperature peak in 2100 about half of the increase in soil CO<sub>2</sub> emissions fluxes is balanced by the increase in primary productivity. After the GHG peak , the NPP is consistently larger than before the peak, mostly because the tree cover remains very high, resulting in substantially larger carbon inputs than prior to the peak. This balances the reduced amount of labile carbon in the active layer, explaining why soil CO<sub>2</sub> emissions can even be larger when temperatures have returned to the levels of the beginning of the century despite there being up to 30less labile carbon and about 20less highly labile carbon are very similar before and after the temperature peak, despite the reduced availability of labile carbon in the active layer (Tab. ??). by up to 25% – during the temperature decrease. Thus, the predominant absence of hysteresis in the simulated soil CO<sub>2</sub> emissions does not mean that the governing processes are fully reversible on short timescales, but <del>that it</del> it rather is the result of two strongly hysteretic factors offsetting each other—before. Before the GHG peak the large  $CO_2$  fluxes are supported by the deepening of the active layer, while it is largely the increase in NPP that drives the post-peak  $CO_2$  fluxes. The larger carbon uptake by plants following the GHG peak also explains the hysteresis of the ecosystem 's net carbon flux—with with similar soil  $CO_2$  fluxes and a substantially larger NPP, the flux into the atmosphere is consistently smaller after the GHG peak than prior to the GHG peak.it.

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Here, it should be noted that the hysteretic behaviour arises partly because the characteristic timescales of the high latitude carbon cycle, most importantly of vegetation shifts and the decomposition of soil organic matter, are larger than the timescales of the climate change scenarios investigated. In addition, high latitude soils have a large thermal inertia, especially due to the

670 large amounts of energy required or released by the phase change of water within the ground. Thus, the simulated behaviour does not necessarily indicate the multistability of the system, but may merely exhibit a transient hysteresis as described by Eliseev et al. (2014). However, the question whether the hysteresis is purely transient or indicative of multistability is beyond the scope of this study and the subject of an ongoing investigation.

#### 3.2 CH<sub>4</sub>

- The methane emissions from permafrost-affected soils behave very differently than from the soil CO<sub>2</sub> fluxes. Most importantly, the soils' soil CH<sub>4</sub> emissions are 3 orders of magnitude smaller than the respective CO<sub>2</sub> fluxes, indicating that methane will play only a minor role in the northern high latitudes' latitude contribution to global warming, even when considering the respective difference in global warming potential. At the beginning of the 21st century the simulated net CH<sub>4</sub> emissions from high latitude soils amount to roughly 9 Mt(C) 7 MtC year<sup>-1</sup> or about 9 Tg(CH<sub>4</sub>) year<sup>-1</sup>. With a global warming potential 680 of 28 times that of CO<sub>2</sub> (Stocker et al., 2013), this corresponds to a CO<sub>2</sub> flux of 0.25 Gt(C) 0.2 GtC year<sup>-1</sup>. Here it should
- be noted that the The spread in the simulated methane fluxes is substantial, but however even the largest present-day net  $CH_4$  emission of any of the simulations is around 34 Mt(C) below 25 MtC year<sup>-1</sup>, which has the warming potential of a  $CO_2$  flux of 0.9 Gt(C) = 0.7 GtC year<sup>-1</sup>.
- One reason for the low soil  $CH_4$  fluxes produced in the anaerobic decomposition of organic matter is the temperature dependence that determines the ratio of  $CH_4$  and  $CO_2$  which are being produced during the anaerobic decomposition of organic matter. For the low temperatures that are characteristic for the high northern latitudes, only a small fraction – on average around 1020% – of the anaerobically decomposed organic matter is converted into methane, even though this rate can increase to 50 for more moderate temperatures. Furthermore, the area in which organic matter decomposes anaerobically
- 690 <u>anaerobic conditions occur</u> is comparatively small. The vast majority of all inundated areas are only seasonally flooded so that flooded only seasonally and anaerobic conditions in the soil exist only only exist temporarily, predominantly during late spring and early summer (Fig. 3 g-i). Thus, while there are regions in western Siberia where up to 40% of the surface are inundated during the snow melt snow-melt season, the average inundated fraction in permafrost-affected regions ranges roughly between 4% and 6%, which increases to about 10% to 12% when only considering the period of April - June. On one hand this means
- that the amount of organic matter that is decomposed under anaerobic conditions is roughly an order of magnitude smaller than

the amount decomposed under aerobic conditions – around  $\frac{0.2 \text{ Gt}(\text{C})}{0.1 \text{ Gt}(\text{C})}$  year<sup>-1</sup> compared to 4 Gt(C) 3 Gt(C) year<sup>-1</sup>. On the other hand, it means that the largest fraction of the high-latitude high latitude soils produces no methane but actually takes up atmospheric CH<sub>4</sub>, oxidising it to CO<sub>2</sub>.

- The way the  $CH_4$  emissions react to the changes in atmospheric GHG concentrations also differs substantially from the  $CO_2$  fluxes (Fig. 6 c). There is almost no The relative increase with rising GHG levels and is substantially smaller and the emissions even start to decrease when global mean surface temperatures rise beyond 2.5-3 K above pre-industrial levels, the emissions even start to decrease. This is very different to from the results of previous modelling studies, who found a strong positive connection between the 21st century temperature rise and methane emissions (Khvorostyanov et al., 2008a; Burke
- et al., 2012; von Deimling et al., 2012; Schuur et al., 2013; Lawrence et al., 2015). In large parts, the behaviour of the simulated methane emissions is a result of the dependency dependence of the net  $CH_4$  fluxes on the atmospheric methane concentrations, as the former are determined by the  $CH_4$  gradient between the soil and the atmosphere. The SSP5-RCP8SSP5-8.5 scenario predicts the atmospheric methane concentrations to double by increase by more than 40% by the end of the 21st century (Fig. 2 b). Consequently, the methane concentrations in the soil have to increase similarly, merely to maintain constant  $CH_4$
- 710 fluxes. The same is true for the CO<sub>2</sub> fluxes, however there is an important difference. When the soil-atmosphere-CO: when the soil-atmosphere CO<sub>2</sub> gradient decreases due to increasing atmospheric GHG concentrations, CO<sub>2</sub> rapidly accumulates in the soil until an equilibrium with the atmospheric concentrations is reached that allows to respire the and further CO<sub>2</sub> generated by decomposition the decomposition of soil organic matter will be released to the atmosphere. In contrast, it is much more difficult for the CH<sub>4</sub> concentrations to build up within the soils soil because a large fraction of the methane is constantly converted into CO<sub>2</sub> in the oxygen-rich soil layers near the surface. Additionally, larger atmospheric methane concentrations that correspond to the temperature target of the Paris Agreement, this could potentially lead to the average CH<sub>4</sub> fluxes from permafrost-affected soils becoming negative. For larger atmospheric GHG concentrations, the high northern latitudes could continue to even act as a net methane sink even while rising temperatures increase despite rising temperatures increasing the CH<sub>4</sub> production within the soil.

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However, the atmospheric  $CH_4$  concentration can not explain why the methane fluxes are substantially smaller following the GHG after the forcing peak and why the high latitude soils may remain a methane sink when the forcing is fully reversed and atmospheric GHG concentrations have returned to present-day levels. The highly hysteretic behaviour of the methane emissions is the result of changes in the methane production in the soil and the way methane is transported towards the sur-

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face. The soil Soil respiration depends on the availability of organic matter and the decomposition rates which. The latter are determined by the conditions under which the organic matter decomposes. These conditions are not only affected by changes in near-surface climate but also vary depending on the organic matter's (vertical) position of the organic matter within the soil column, making the soil  $CH_4$  emissions strongly dependent upon changes in the vertical soil carbon profile.

- The most important effect of rising GHG concentrations is an increase in soil and surface temperatures. While rising temperatures have a predominantly positive effect on the soil respiration, due to the temperature dependency dependence of the decomposition rates, they can be detrimental to the occurrence of inundated areas and saturated soils, hence the areas where organic matter is decomposed under anaerobic conditions. On one hand rising temperatures increase evapotranspiration in summer which decreases the inundated areas after the spring snow melt. On the other hand, ice within the deeper layers of the
- 735 soil acts as a barrier and the soils drain much more readily when this barrier is melted. Rising GHG concentrations <u>Climate</u> warming also increase precipitation rates by up to 25% (Fig. 2 d), partly balancing the negative effect that higher temperatures have on the extent of inundated areas. The combined effect of increasing temperatures and precipitation rates is a slight drying of the soils, which has also been found by other models (?) (Andresen et al., 2020). However, the this drying of the soil has very little only a small impact on the overall extent of inundated areas (Fig. 9 a). Rather than shrink, This is because the spatial
- 740 distribution of inundated areas adapts to the changes in climate conditions—, with their extent decreasing in lower and increasing in higher latitudes (Fig. 10). Furthermore, the liquid soil water content increases substantially in regions that feature large wetland areas increases substantially (Fig. 9 b), while the overall water content (including soil ice) declines only-slightly (not shown).
- With a similar extent in the inundated area and increasing temperatures, the soil methane production mainly benefits from the changes in climate. However, the oxic soil respiration in the adjacent non-inundated areas increases even more because, in addition to the effects of rising temperatures, the increase in liquid water content reduces the moisture limitations on the oxic decomposition rates -(Fig. 9 c.d). Furthermore, the vertical distribution of organic matter in the soil changes in a way that also increases the CO<sub>2</sub> production relative to that of CH<sub>4</sub>. The largest fraction of the carbon inputs occurs above or close to the surface. Thus the increase in NPP, resulting from rising GHG concentrations, primarily increases the carbon concentrations at the top of the soil column (Fig. 11 a, b). At the surface the oxic decomposition rates are much larger than those under anoxic conditions, while this difference is less pronounced deeper within the soil. Consequently, the (relative) increase in organic matter at the surface further increases the difference between the oxic and anoxic respiration rates. When the forcing is reversed, the factors that determine the difference between the decomposition rates do not return to their state prior to the GHG-forcing
- peak the distribution of inundated areas remains very different (Fig. 10), the liquid water content in the soil remains much higher (Fig. 9 b) and the soil carbon profile still exhibits higher concentrations of organic matter closer to the surface (Fig. 11 c). Consequently, the difference between oxic and anoxic decomposition rates are also larger after the forcing peak than prior to the GHG peakit.
- The difference in the decomposition rates is highly relevant for the soil methane production. The largest fraction of the anaerobic decomposition takes place in seasonally saturated soils, which means that <del>, for a given period, the organic matter</del> in the respective areas decomposes under aerobic conditions <u>for a given period</u>. Consequently, the soil methane production depends on both, the anoxic and the oxic decomposition rates, as the latter determine how much organic matter is decomposed

during the drier monthmonths, hence how much organic matter is available at the onset of inundation.

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The inundated area is largest during spring and early summer—, April to July—, with a peak in May and June followed by a sharp decline due to a strong increase in evapotranspiration (Fig. 12 a). The productivityProductivity, on the other hand, peaks in July and the decomposition rates and the litter flux peak even later. Thus, a large fraction of the carbon input into the soils occurs when conditions favour oxic over anoxic decomposition. Increasing temperatures strengthen this effect because the extent of inundated soils increases during spring – due to larger snow melt fluxes – while it decreases during summer, owing to higher evapotranspiration and drainage rates (Fig. 12 b). Most importantly, the increase in oxic decomposition rates is far larger than the increase in anoxic decomposition rates. Thus when GHG concentrations increase, the largest fraction of the additional organic matter—, resulting from the increased productivity and the deepening of the active layer—, is being respired under oxic conditions in summer and fall when the extent of inundated areas is relatively small. Additionally, higher soil temperatures lead to a larger fraction of the fresh litter being decomposed aerobically during winter, resulting in a lower soil carbon availability during and after the following snow melt season. As a result Thus, for large temperature increases, the relative increase in oxic soil respiration in regions that feature large wetland areas is roughly twice as large as much more pronounced than the relative

increase in methane production (Fig. 9 c,d).

- When the GHG climate forcing is reversed, there is less organic matter within the active layer, especially during summer and fall, but the decomposition rates and the litter flux remain higher than prior to the peak (Fig. 12 c). In ease of the the case of aerobic soil respiration , the increase in decomposition rates is so large that the soil respiration during winter and spring is actually larger than prior to the GHG before the forcing peak, despite the reduced availability of organic matter. This partly balances the reduction in soil respiration during summer and fall and the annually averaged aerobic soil respiration following the GHG forcing peak is only slightly smaller than prior to the peak. In contrast, the anoxic decomposition rates increase just enough to balance the reduced soil carbon availability during the winter and spring months, while there is substantially less anoxic soil respiration during summer and fall. In ease of the anoxie the case of anaerobic respiration, the effect of the lower soil carbon availability is even more severe because more organic matter— including the litter input—, has been respired aerobically during the previous dry period. Thus, because the anaerobic decomposition rates are much lower than the aerobic rates, while both anoxic and oxic respiration (partly) depend on the same carbon pools, the relative changes in oxic and anoxic
- respiration are very different. In regions that feature large extents of inundated areas, the oxic respiration is only a few percent lower after than before the GHG forcing peak, while the soil methane production is reduced by up to 30% (Fig. 9 c,d).

Still, even the reduced methane production can not fully explain the difference in the net  $CH_4$  emissions before and after the GHG forcing peak. Another important factor is the methane-transport methane transport in the soil and how this is affected by the changes in the vertical soil carbon profile. There are two major pathways by which methane is transported towards the surface, one being the diffusive transport through the soil, the other being plant-mediated transport(Note that the ... The model also simulates ebulitionebullition, but the respective fluxes can be neglected). Even in saturated soils , the layers near the surface have a high oxygen content and the better part of the methane that diffuses upwards is oxidised within these layers.

- 800 Consequently, the largest methane fluxes at the surface do not result from vertical diffusion, but from the methane release by (vascular) plants, whose roots absorb methane within the soil and transport it to the atmosphere via aerenchyma. Here, the changes in the vertical soil carbon profile alter the fraction of methane that is transported towards the surface by a given transport mechanism. When the share of organic matter increases close to the surface and decreases in the deeper layers, a smaller fraction of the methane produced in the soil can be absorbed by roots, substantially reducing the respective emissions
- at the surface. In a addition there is a 5% decrease in the cover fraction of grasses, which are the most effective gas transporters (not shown). As a result, the methane emissions by plants decrease by up to 60% when the GHG-climate forcing is fully reversed, which is a reduction roughly twice as large as the relative decrease in the soil methane production (Fig. 13 a). In contrast, the oxidation rates differ comparatively little before and after the GHG-forcing peak (Fig. 13 b), because the lower methane concentrations in the soil lead to a larger uptake of atmospheric CH<sub>4</sub>. Thus, with a reduced methane production
- and a larger  $CH_4$  uptake the soil's net emissions net emissions from the soil decrease substantially, potentially turning the permafrost-affected regions into a net  $CH_4$  sink.

## 4 Conclusion

One of the most important factors in the permafrost-carbon-climate feedback is the fraction of soil carbon that is released in the form of  $CH_4$ , which is tightly connected to the question of whether the high latitudes will become wetter or drier

- 815 in the future (Schuur et al., 2015). Here, many land surface models indicate a drying of the high latitudes (Anderson, 2019) (Andresen et al., 2020) which will most likely constrain the decomposition under anaerobic conditions (Oberbauer et al., 2007; Olefeldt et al., 2012; Elberling et al., 2013; Schaedel et al., 2016; Lawrence et al., 2015). In parts, this is confirmed by results of our study and while we did not find a <u>substantial</u> decrease in the extent of areas with saturated soils, we also did not find a significant expansion of the inundated areas in high latitudes, despite the pronounced increase in precipitation resulting from
- 820 the <u>SSP5-RCP8SSP5-8.5</u> scenario. In addition, there is a distinct spatial shift in the wetland area, with the extent decreasing in the more southerly and increasing in the more northerly permafrost regions. This shift limits the methane production with increasing temperatures – as organic rich soils are predominantly located in the more southerly regions – and is not fully reversible on decadal timescales. Furthermore, we could show that the high latitude methane fluxes are strongly limited by the increase in oxic decomposition because most of the soils are <u>only seasonally saturated</u> saturated only seasonally and the
- availability of organic matter depends on the respiration during the drier month-months of the year. But, most importantly, we found the methane oxidation in the soil to be the dominant constraint on the soil  $CH_4$  emissions. Even for present conditions, only a quarter less than half of the methane produced in permafrost-affected soils was actually emitted at the surface. With the atmospheric  $CH_4$  concentration increasing and the vertical methane transport by vascular plants decreasing, this fraction could be reduced to less than 10-a third in the future. Because of these limitations, there was not a single year – from 20000-10000
- 830 years of simulation (500 years for 40-20 ensemble members) in which the methane emissions from permafrost-affected soils exceeded  $\frac{55 \text{ Mt}(\text{C})}{50 \text{ MtC}}$  year<sup>-1</sup>. Considering the global warming potential of methane, this corresponds to a CO<sub>2</sub> flux of

about  $\frac{1.5 \text{ Gt}(\text{C})}{1.4 \text{ Gt}(\text{C})}$ , which is an order of magnitude smaller than the largest simulated CO<sub>2</sub> flux – about  $\frac{14 \text{ Gt}(\text{C})}{13 \text{ Gt}(\text{C})}$  year<sup>-1</sup>.

- Thus, our results indicate that the soil methane fluxes in permafrost-affected regions do not constitute an important contributor to the climate-carbon feedback. Here, it should be noted that the net emissions could be even lower as a recent study has indicated that the methane uptake in dry soils could be severely underestimated due to the omission of recently identified high-affinity methanotrophs (Oh et al., 2020), especially under future climatic conditions. In contrast, the soil CO<sub>2</sub> emissions are so large that the (terrestrial) aretic ecosystem acts as Arctic ecosystem turns into a source for atmospheric  $CO_2$ , with net
- 840 emissions of up to 0.4 Gt(C) year<sup>-1</sup> at the beginning and up to 3.9 Gt(C) year<sup>-1</sup> at the carbon when temperatures increase beyond 1.75 K ( $\pm$  0.5 K) above pre-industrial levels. By the end of the 21st century the net ecosystem emissions could increase to up to 2 GtC year<sup>-1</sup>, which not only places them on par with present-day land use change emissions but would also substantially reduce the overall terrestrial carbon uptake (Le Quéré et al., 2018). This is very different to from scenario simulations with the standard version of the MPI-ESM1.2 in which the region continues to take up atmospheric CO<sub>2</sub> throughout the
- entire 21st century, with the <u>net</u> uptake increasing from around about 0.005 GtC year<sup>-1</sup> to around 0.015 Gt(C) GtC year<sup>-1</sup> (not shown). These differences confirm that the non-consideration of permafrost-related processes and the organic matter stored in the frozen soils leads to a fundamental misrepresentation of the carbon dynamics in the Arctic.
- Here, the differences in simulated net emissions in the high northern latitudes between the standard and the modified
  ISBACH version are so large that they become highly relevant for the global carbon budget. For the present-day (2007 2016) the terrestrial carbon sink has been estimated to about 3 Gt(C) year<sup>-1</sup> (Le Quéré et al., 2018) and net emissions from permafrost-affected soils of 0.4 Gt(C) year<sup>-1</sup> would reduce the land's capacity to take up carbon by more than 10. It should be noted that the net emissions of 0.4 Gt(C) year<sup>-1</sup> may even be a conservative estimate as our study considered only regions that still featured near-surface permafrost in the year 2000, while the area in which the high latitude soils act as a source of atmospheric CO<sub>2</sub> was substantially larger. With the advancing degradation of near-surface permafrost, the respective CO<sub>2</sub> fluxes will become even more important for future carbon budgets and already by 2050 the net emissions from permafrost-affected soils could be on par with (present-day) global land use change emissions.

Despite their importance, the processes governing the carbon dynamics in permafrost-affected regions are not fully taken into account in the present generation of Earth-system Earth system models. Substantial advances have been made within the last decade – many land surface models now include some physical and biogeochemical permafrost processes (McGuire et al., 2016; Chadburn et al., 2017)–, but not one. However, hardly any of the models that participated in CMIP6 included an adequate representation of the soil physics in high latitudes, while simulating (interactive) vegetation dynamics as well as the carbon and nitrogen cycle. Consequently, model based model-based studies, at present, can merely provide qualitative answers to the question how permafrost-thaw permafrost thaw may contribute to global warming (Schuur et al., 2015). Even when the most relevant processes are included, there are large uncertainties regarding the respective parametrizations, as well as the initial-

and boundary conditions used by the model-, many of which are only poorly constrained by observations. In the present study

we used an ensemble of simulations in which we varied key parameters within the uncertainty range and while the simulations agreed on the system's relative response largely agree on the relative response of the system to increasing and decreasing GHG concentrations, the spread in the absolute values was substantial between the ensemble members, e.g. the simulated carbon

870 pools at the beginning of the 21st century ranged between 800 and 1400 Gt 373 and 764 GtC, while the soil methane emissions ranged between 0.5 and 34 Mt(C) 2.8 and 19.3 MtC year<sup>-1</sup>. To be able quantify the impact of permafrost degradation on the climate system, these uncertainties need to be reduced substantially.

Code and data availability. The primary data is available via the German Climate Computing Center long-term archive for documentation data (https://cera-www.dkrz.de/......, to be specified before publication). The model, scripts used in the analysis and other supplementary information that may be useful in reproducing the authors' work are archived by the Max Planck Institute for Meteorology and can be obtained by contacting publications@mpimet.mpg.de.

*Author contributions*. P.d.V designed experiment, performed model adaptation, conducted simulations and analysis, T.S. and T.K performed model adaptation and conducted parts of the analysis and V.B. was involved in experiment design and conducted parts of the analysis. All authors reviewed the manuscript.

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Figure 1. JSBACH model – standard and adapted version:

a) Correlation between simulated annual maximum and observed end-of-the-season thaw depths for the sites of the Circumpolar Active Layer Monitoring (CALM; Brown et al., 2000)



**Figure 2. Experimental setup:** Forcing used to drive the land-surface model after the 1850 - 2000 spin up phase: **a**) Atmospheric  $CO_2$  concentrations. **b**) Atmospheric  $CH_4$  concentrations. **c**) Global mean surface temperature relative to the pre-industrial temperature. Note that the model is not forced by surface temperatures directly, but by atmospheric temperatures at a height of roughly 30 m and the surface incoming long- and short-wave radiative fluxes. **d**) Precipitation rates, averaged over the latitudinal band between 60° and 90° North. Grey lines show the forcing according to the <u>SSP5-RCP8SSP5-8.5</u> scenario. The coloured lines show the forcing-pathways that are used to reverse the forcing to the state at the beginning of the 21st century – after an assumed peak in the year 2025 (blue), 2050 (green), 2075 (yellow) and 2100 (red). In case of temperature (and the surface radiative fluxes) and precipitation rates, the forcing was derived from CMIP6 scenario simulations with the fully coupled MPI-ESM. Shown is-All panels show the 20-year moving average of the respective variable.



**Figure 3. Simulated permafrost, vegetated fraction and inundated areas in the year 2000: a)** Ensemble minimum thaw depth (mean of the annual maximum ) thay depth, corresponding to the year 2000 (1990 - 2010 mean). Grey areas indicate grid boxes in which the annual maximum temperatures throughout the top 3 meters m of the soil exceeded the melting point for more than 10 years in the period 1990 - 2010. These are considered to be unaffected by near-surface permafrost and are not taken into consideration in the study. **b**) Same as a but for the difference between ensemble minimum and mean. **c**) Same as a but for the difference between ensemble maximum and mean. **d**) Ensemble minimum mean vegetated fraction. Note that this is the maximum grid box fraction that can be covered by vegetation, while the actual vegetated cover depends on the current state of the vegetation and can vary throughout the year. **e**) Same as d but for the difference between ensemble minimum and mean -**f**) Same as d but for the difference between ensemble maximum and mean. **f**) Same as d but for the difference between ensemble mean. **g**) Annual minimum inundated fraction during the summer months (May-October) for the year 2000. Shown is the ensemble mean. **h**) Same as g but for the annual May-October mean. **i**) Same as g but for the **annual** May-October mean.



## Figure 4. Initial soil carbon

**a**) Spatial distribution of soil organic matter in permafrost regions based on WISE30sec data, above a depth of 2 m, and on NCSCDv2 data for depths between 2 m and 3 m. In regions in which NCSCDv2 is available – roughly those that, in reality, are affected by continuous, discontinuous and sporadic permafrost – the soils contain about 1015 Gt organic carbon. **b**) Same as *a* but only the organic matter that is located above the bedrock border assumed in the standard model setup – roughly 636 GtC. **c**) Same as *b* but for up-scaling those carbon pools that are located above the bedrock border to 858 GtC. **d**) Same as *b* but for a setup that assumes deeper soils – 797 GtC. **e**) same as *c* but for a setup that assumes deeper soils – 931 GtC. **f**) same as a but showing the vertical distribution (zonal average). **g**) same as but showing the vertical distribution. **h**) same as c but showing the vertical distribution. **i**) same as d but showing the vertical distribution.



# Figure 5. Soil depths

a) Soil\_depths\_used\_in\_the\_JSBACH\_standard\_setup. b) Difference\_in\_soil\_depths\_between\_the\_setup\_with\_deeper\_soils (Carvalhais et al., 2014; von Deimling et al., 2018) and the standard setup.



Figure 6. Net ecosystem carbon flux, soil CO<sub>2</sub> flux and soil methane emissions in permafrost-affected areas: a) Simulated net CO<sub>2</sub> flux into the atmosphere, taking into account heterotrofic-heterotrophic and autotrophic respiration, disturbances, land-use emissions and the CO<sub>2</sub> uptake by plants. b) Same as a but showing the soil CO<sub>2</sub> emissions. c) Same as a but showing the soil (net) methane flux. Grey dots show the ensemble mean increase in  $CO_2$  emissions as a function of the temperature increase according to the <u>SSP5-RCP8SSP5-8.5</u> scenario. Coloured dots indicate the ensemble mean decline in  $CO_2$ -fluxes for the reversion of the forcing after a forcing-peak in 2025 (blue), 2050 (green), 2075 (yellow) and 2100 (red). Each dot represents a 20 year (moving) average. Shaded areas indicate the spread between the ensemble minimum and maximum. The figure is representative of those areas that were affected by near-surface permafrost in the year 2000.



**Figure 7. Permafrost and soil carbon: a)** Changes in the volume of near-surface permafrost relative to the permafrost volume at the beginning of the 21st century **b**) Same as a but for the relative change in total soil carbon. **c**) Same as a but for the stable carbon within the active layer. **d**) Same as a but for the labile carbon within the active layer. The figure is representative of those areas that were affected by near-surface permafrost in the year 2000. Colours and symbols have the same meaning as in figure Fig. 6.



**Figure 8. Drivers of soil carbon inputs: a)** Change in mean surface temperature. Relative change in: **b)** Plant available water. **c)** Tree cover. **d)** Net primary productivity. All sub-figures pertain to regions with permafrost-affected soils. Colours and symbols have the same meaning as in figure Fig. 6.



Figure 9. Soil  $CH_4$  and  $CO_2$  production in seasonally inundated areas: Relative change in: a) Extent of inundated areas. b) Liquid water content of the soil. c) Oxic soil respiration. d) Soil methane production. Sub-figure a shows the simple spatial average over permafrost-affected grid boxes, while sub-figures b-d include a weighting by the (annual-mean) wetland fraction. Colours and symbols have the same meaning as in figure Fig. 6.



**Figure 10. Wetland area in permafrost-affected regions:** Relative change in the <u>annual mean inundated area as a function of <del>latitude and</del> (global mean) temperature change and latitude (color-bar and left y-axis) and averaged over the permafrost-affected regions (grey line, right y-axis). Shown is the simulation with a temperature peak in 2100.</u>



**Figure 11. Soil carbon profiles in seasonally inundated areas: a)** Carbon concentration as a function of soil depth in the year 2000 (1990 - 2010 average). Red lines show the concentration of labile and highly labile organic matter while blue lines represent the total carbon concentration. Thick solid lines show the ensemble mean, while dotted lines indicate the ensemble minimum and thin solid lines the ensemble maximum. b) **Relative change Change in soil carbon concentrations between the forcing peak in the year 2100 (2090 - 2110 average) and the year 2000 (1990 - 2010 average). c)** Same as b, but showing the differences between the years 2200 – when the forcing is fully reversed after a GHG peak in the year 2100 – and 2000. All sub-figures show the average over permafrost-affected grid boxes, weighted by their (annual-mean) wetland fraction.



**Figure 12. Seasonal dynamics in inundated areas: a)** Annual cycle of inundated areas (blue lines), NPP (green), carbon input into the soil (brown), decomposition rates under anoxic conditions (yellow) and under oxic conditions (red) in permafrost-affected regions that feature a large wetland extent. Shown (qualitatively) are the seasonal dynamics that are representative for the year 2000, before the increase in atmospheric GHG concentrations. **b**) Same as a, but representative for a given forcing peak. **c**) (Qualitative) Differences in anoxic (dashed yellow lines) and oxic (red dashed lines) decomposition rates, carbon input into the soil (solid brown line), organic matter within the active layer (dotted grey line), anoxic (solid yellow line) and oxic soil respiration rates (solid red line) after and before a given forcing peak.



**Figure 13. Methane transport:** Relative change in: **a)** Amount of methane that is taken up by roots and emitted at the surface. **b)** Amount of methane that is oxidised within the soil. Colours and symbols have the same meaning as in figure Fig. 6.



Figure A1. Nitrogen limitations: a) Soil organic matter in permafrost-affected regions simulated with (red line) and without (blue line) accounting for nitrogen limitations. b) Same as a but for the soil  $CO_2$  fluxes. c) Same as a but for for soil methane emissions. Shown is the spinup period and the scenario with a temperature peak in the year 2100.



**Figure A2. Soil physics:** Same as Fig. A1 but showing differences with respect to key assumptions in the JSBACH soil-physics module. Light blue lines show simulations with the fully adapted model as described in section 2. Red lines show simulations that are closer to the standard JSBACH model, in that they assume vertically homogeneous organic matter properties, while infiltration at sub-zero temperatures is prevented and the supercooled water can move vertically through the soil and is available for microbial decomposition. Purple lines show simulations that account for the impact of organic matter on the soil properties only at the top of the soil column, while the lower layers have the properties of mineral soil. The latter provides a model version that is close to the setup used by Ekici et al. (2014, 2015).



**Figure A3. Initial carbon pools: a)** Soil CO<sub>2</sub> fluxes in permafrost-affected regions simulated with different initial soil carbon concentrations and soil depths. **b**) same as a but for net primary productivity. **c**) same as a but for methane emissions. Yellow lines show simulations that were initialized with the observed soil organic matter that can be contained in the soil when using standard soil depths; roughly 636 GtC in regions that in reality are affected by continuous, discontinuous and sporadic permafrost and 374 GtC in those regions in which the model simulations near-surface permafrost in the year 2000. Green lines show simulations in which the carbon pools where scaled to be closer to the observed soil organic matter – roughly 1000 GtC. Here, the simulations where initialized with 858 GtC in the observed and 596 GtC in the simulated permafrost regions. Dark blue lines show simulations that were initialized with the observed soil organic matter concentrations are initialized with increased soil depths in the model; these simulations are initialized with 797 GtC in the observed and 504 GtC in the simulated permafrost regions. Magenta lines show simulations with both, increased soils depths and upscaled initial soil carbon pools; these simulations are initialized with 931 GtC in the observed and 637 GtC in the simulated permafrost regions.


**Figure A4. Key parameters of the methane module:** a) Soil methane production in permafrost-affected regions simulated with different parameter combinations in the methane module that result in overall low (dark blue line), medium (light blue line) and high (magenta line)  $CH_4$  emissions. The medium emission parameter combination was used for most of the simulations in the study and assumes a baseline fraction of  $CH_4$  production of 0.4 and a Q10 factor of 1.5. For the low emission setup the baseline fraction of  $CH_4$  was reduced to 0.35 and the Q10 factor was set 1.75. For the high emission simulations the baseline fraction of  $CH_4$  was raised to 0.45 and the maximum oxidation velocities were reduced by 50 %.

Table 1. Overview over key variables in regions affected by near-surface permafrost. The first half of the table (2000,2025,2050,2075,2100) represents pools, states and fluxes during the increase in GHG concentrations according to the SSP5-RCP8SSP5-8.5 scenario. The second half represents the post-peak (pp) pools, fluxes and states when the forcing has been fully reversed. 2050pp refers to the year 2050 after a GHG peak in the year 2025, 2100pp to a peak in 2050, 2150pp to the peak in 2075 and 2200pp to a peak in the year 2100. Black numbers indicate the ensemble mean and the grey number in brackets indicate the ensemble minimum and maximum. All variables represent simple spatial averages over the region affected by near-surface permafrost and averages over a 20-year period.

Varibale	Unit	2000	2025	2050	2075	2100	2050pp	2100pp	2150pp	2200pp
Soil carbon tot.	[ <del>Gi(C)</del> Ği <u>C</u> ]	1098606.7 (820373.4/137	1099612.0 77807201.7/135	1066608.3 1677982965.9/135	1019583.9 537 <del>85</del> 6052.7/128	<del>951</del> 542.4 887 <del>332</del> 88.2/118	1083620.9 268993072.8/135	1047622.8 767981069.0/133	<del>986</del> 601.7 37 <u>87357</u> 62.8/12	911.574.1 197 <del>38.30</del> 57.4/1134722.9)
Highly labile carbon in AL	[ <del>Gi(C)</del> GiC]	45.334.1 (28.820.8/66.7	48.037.5 (4 <u>330,1</u> 21.9/ <del>72.</del> 4	<del>52.14</del> 2.7 149 <del>20,2</del> 23.0/79.7	<del>54.1</del> 45.9 159 <del>30</del> ,623.2/ <del>87.1</del>	48:040.2 268 <del>320,1</del> 23.5/77.4	44. <u>9</u> 36.0 56 <del>39.3</del> 21.2/68.6	4 <u>2.</u> 436.1 547 <del>20</del> 7.421.6/ <del>65.2</del>	<del>39.0</del> 35.3 548 <del>28</del> ,922.6/ <del>58.</del> 1	<del>36.7</del> 35.5 <u>45<del>30</del>,224.0(50.443.1</u> )
Labile carbon in AL	[ <del>Gt(C)</del> GtC]	149.587.0 (108.061.6/184	<del>163.7</del> 97.8 <del>5.6102.6</del> 68.0/20	<del>183.8</del> 113.9 <del>5.3123.8</del> 75.9/23	<del>200.3</del> 128.5 0 <del>.0137.0</del> 81.3/ <del>25</del>	<del>190.8</del> 129.0 5.31 <b>39.6</b> 79.2/251	<del>152.6</del> 94.1 <del>1166.2</del> 65.2/19	<del>137.8</del> 91.3 <del>1.00<b>1.5</b>03.2/174</del>	++7-+88.7 ++189.561.9/+52	<u>+100.487.9</u> -8 <u>109-863.7/+25.+1</u> 02.3)
Stable carbon in AL	[ <del>Gi(C)</del> GiC]	<u>303.2124.1</u> (238.989.0/36	<del>349.0</del> 148.6 <del>7.8231.8</del> 105.2/4	428.3194.5 26( <b>338.3)</b> 634.3/5	<del>523.8</del> 251.6 <del>17(80312</del> 668.6/6	<del>579.5</del> 286.2 <del>33(430.2</del> 783.6/65	<del>332.7</del> 1 <u>37.1</u> 12( <u>35758</u> 7.2)40	<del>339.7</del> 136.4 4. <del>9268.8</del> 98.2/41	<del>337.4</del> 136.3 <del>2.3<b>269.7</b>98.0/4</del> 6	<del>326.2</del> 131.1 <del>6.6248.9</del> 93.5/388.0160.5)
Net carbon flux	$[\frac{\text{Gt(C)}}{\text{GtC}}$	<del>0.14</del> -0.34 ( <del>-0.41</del> -0.81/ <del>0.2</del>	0.4-0.32 990009-0.81/0-7	<del>1.02</del> 0.15 70 <u>0.74</u> 0.21/1.4	<del>2.04</del> 1.03 <del>30,11,102</del> 0/3.15	<u>2.39</u> 1.11 31.68980.14/3.91	<del>0.19</del> -0.36 <u>2.2019-0.78/0-</u>	-0.02-0.47 430.0.38-0.87/0-	-0.13.0.47 21(0055-0.88/0.	-0.14_0.44 99(0000)-0.84/0.14_0.04)
Net primary productivity	$\begin{bmatrix} Gi(C) \\ GiC \\ year^{-1} \end{bmatrix}$	<del>3.69</del> 3.91 ( <del>2.842.49/5.03</del>	4 <del>.32</del> 4.58 5.001322.96/5.83	<mark>5.235.59</mark> <u>35.80,043.75</u> /6.9∠	<b>6.627.17</b> ↓) ( <del>5.15.0</del> 4/ <del>8.58</del> (	<del>7.9</del> 8. <u>7</u> 8.60 <del>8-1</del> 6.2/ <del>10.1</del> 10	<del>3.91</del> 4.15 <u>202-972.68/<del>5.3</del>5</u>	4.074.33 5.28,022.73/ <del>5.5</del> 9	4 <u>-24.5</u> 35 <u>(56</u> 082 <u>.</u> 8/ <del>5.83</del> )	<mark>4.26<u>4.59</u> 5.80<del>3.1</del>2.84/<del>5.95</del>5.92)</mark>
Soil CO <sub>2</sub> flux	$\left[\frac{\text{Gr(C)}}{\text{GrC}}\right]$	<del>3.643.39</del> ( <del>2.85</del> 2.4/4.453	<del>4.48</del> 4.02 .9 <del>35.57</del> 2.82/ <del>5.65</del>	<del>5.95</del> 5.4 <u>3</u> 54.( <del>2061</del> 3.72/7-7-	<del>8.2</del> 7 <u>.75</u> 36.( <del>60</del> 65.06/ <del>11.2</del> )	<del>9.8</del> 9.2 9.7 <u>6</u> ,66.0/ <del>13.3</del> 11	<del>3.88</del> 3.59 <u>7)2-992.51/<del>5.0</del>4</u>	<del>3.86</del> 3.67 <u>4.2@.892.51/<del>5.0</del>5</u>	<del>3.87</del> 3.83 54. <u>(587</u> 2.6/ <del>5.04</del>	<del>3.92</del> 3.95 1. <u>60-892.7/5-074.81</u> )
Soil CH <sub>4</sub> flux	$\left[\frac{Mt(C)}{MtC}\right]$ $year^{-1}$	<del>9.0</del> 6.9 ( <del>0.5</del> 2.8 <u>(33.7</u> 19	<del>9.9</del> 8.2 . <u>3(0.12.5/38.1</u> 2	<del>11.0</del> 11.1 2.40.81.4/43.92	<del>11.2</del> 13.4 6. <del>8)1.5</del> -1.2(45.6	<del>6.7</del> 6.0 <u>35(74.1-2.2/29.52</u>	<del>8.1</del> 6.6 1 <u>(9<del>0.3</del>1.6/<del>33.9</del>2</u>	<del>6.2</del> 4.7 0. <u>890.90.3/29.3</u> 1	<del>4.02.7</del> <u>3.7<del>)1.3</del>-0.2/21.9</u>	<del>2.3</del> 1.28 10 <del>31.7</del> .2.17/ <del>15.44</del> .34)
Soil methane production	$\frac{ MI(C) }{MIC}$ $year^{-1}$	<del>23.5</del> 23.00 (14.016.8/49.2	<del>27.4</del> 27.1	<del>34.3</del> 35.5 <u>34.320,621.7/674</u>	42.143.7 <u>35123/6233.7/76.4</u>	42.239.3 568 <del>23</del> 7925.2/ <del>63.6</del>	<del>23.2</del> 23.3 5 <del>940.9</del> 16.1/ <del>5</del> 0.5	<mark>21.0</mark> 20.9 <u>23940,714.5</u> /44.5	<del>18.0</del> 19.1 330 <u>46</u> ,813.9/36	9 <u>9591772</u> 9 <u>950172</u> 900720
CH <sub>4</sub> plant transport	$[\frac{Mt(C)}{MtC}]$ $year^{-1}]$	<u>+0.99.4</u> ( <u>2.85.1/34.72</u> ]	<del>12.4</del> 11.2 .7( <del>2.85.3/39.72</del> 5	<del>15.0</del> 15.1 5 <u>.2(3.7</u> 5. <u>5(46.9</u> 3(	<mark>-16.9</mark> 18.2 <u>).4(4.54.2/50.43</u> 9	<del>13.5</del> 11.6 9.90 <del>4.7</del> 3.7 <u>/35.5</u> 27	<del>10.3</del> 9.4 . <u>5(2-14.4(35-02</u> 2	<del>8.6</del> 7.8 2. <u>601-63.3/30.8</u> 10	<del>6.6</del> 6.0 5.701-43.00 <u>23-7</u> 1.	<del>5.01</del> .69 3.40 <del>1.3</del> 2.33/ <del>17.7</del> 8.13)
CH <sub>4</sub> oxidation	$[\frac{Mt(C)}{MtC}$ $\underbrace{MtC}{year^{-1}}]$	<u>14.516.1</u> ( <del>9.7</del> 1 <u>3.3</u> /23.41	<del>17.5</del> 18.9 8.991.415.4/27.5	<del>23.2</del> 24.4 <u>22116,119.2/35.(</u>	<del>30.9</del> 30.4 <u>928<del>2</del>0,023.4</u> /4 <del>2.</del> 9	<del>35.6</del> 33.3 939280,626.8/48.3	<del>15.1</del> 16.7 49 <del>40.2</del> 13.6/ <del>22.4</del>	<u>14.716.2</u> 51 <u>913</u> .013.0/21.3	<mark>-14.016.3</mark> 31 <u>997</u> 513.0/20.4:	<del>13.6</del> 16.4 20 <b>.38</b> 812.9/20.5)

 Table 2. Continuation of table 1

Varibale	Unit	2000	2025	2050	2075	2100	2050pp	2100pp	2150pp	2200pp
Soil temp. at 1m	[K]	<b>267.5</b> (267.0/ <del>267.8</del> 2	268.4	<mark>268<del>37</del>96833</mark> 0.2 (269.6/ <del>270.4</del> 2	<del>272.3</del> 272.6 70( <del>871.9</del> 272.00 <del>2</del>	<del>274.2</del> 274.5 72(2733)14.1(2)	267.9 ( <del>267.5</del> 267.4/2 14.6274.8)	(267.4/268.4) (267.4/268.5)	268.1 ( <del>267.72</del> 67.6/ <del>2</del>	268.2 58. <u>366.8.367.7</u> /268.6) <del>268.3(</del>
Permafrost in top 3m	[m]	1.982.02 (1.731.84/2.2	<del>1.73</del> 1.79 72.( <del>1646</del> 1.53/2.0	4.1.000 4.1.000 4.1.000 4.1.000 4.1.000 4.1.000 4.1.000 4.1.71	0.74 ( <del>0.42</del> 0. <u>4</u> / <del>1.12</del> ) <u>6</u> 2)		<del>1.83</del> 1.91 .40 <del>1.58</del> 1.69/2.1	<del>1.79</del> 1.91 42.06541.67/2-12	<del>1.75</del> 1.87 <u>201-521.67/2-06</u>	1.111.85 1.0209901.63/2.032.02
Inundated fract. (mean)	[%]	<del>5.484</del> .51 (4.2 <u>3</u> 4.05/6.5	<del>5.54</del> 4.57 36.06 <b>32</b> 4.12/6.4	<del>5.9</del> 4.85 66.0 <del>0.81</del> 4.41/6.84	<del>5.95</del> 4.7 <u>6.(12894.29/7.1</u> 6	<del>5.88</del> 4.47 5.7(4).634.04/7.45	<del>5.444.45</del> 6.9 <del>6327</del> 4.01/ <del>6.4</del>	<del>5.33</del> 4.32 46.0 <del>216</del> 3.88/ <del>6.</del> 45	<del>5.234</del> .28 <u>9(<del>4)</del>.113.8/<del>6.3</del>5.9</u>	<del>5.16</del> 4.2 <u>3</u> <u>880<del>.97</del>3.73</u> 6.265.85)

### Appendix A: Uncertainty

#### A1 Nitrogen limitations

21st century (Fig. A1b).

1220 One of the main sources of uncertainty in the present experimental setup stems from the representation of nitrogen limitations and their impact on the carbon cycle in permafrost-affected regions. In the fully adapted model, the nitrogen limitations lower the vegetated fraction by up to 20%, while primary productivity and soil respiration rates are reduced by up to 35%. In contrast, these limitations merely amount to a few percent in the standard model (not shown). Consequently, the nitrogen availability strongly inhibits the soil organic matter build up during the spinup phase and the soil carbon concentrations remain close to the respective initial values (Fig. A1a). In contrast, when nitrogen limitations are neglected, the initial carbon pools are far from being in equilibrium with the simulated climate and the amount of soil organic matter increases by around 100 GtC during the spinup period. The reduced availability of decomposable matter that results from the nitrogen limitations lowers the CO<sub>2</sub> emissions from permafrost-affected soils by around 25%, corresponding to reduction of up to 2.5 GtC year<sup>-1</sup> at the end of the

1230

In our model, the availability of nitrogen is maily determined by the deposition flux and soil nitrogen mineralization on the one hand and by denitrification and the amount of nitrogen that is leached from the soils on the other hand. The latter depends on the amount of water that infiltrates and subsequently drains from the soil, making the nitrogen limitations particularly strong in regions that receive large amounts of precipitation and feature porous soils which facilitate infiltration at the surface.

- 1235 Previous studies found that the increased nitrogen mineralization stemming from the decomposition of formerly frozen soil organic matter could largely offset nitrogen limitations in a warming scenario (Koven et al., 2015) and our results also show that the effect of nitrogen limitations on productivity is reduced from roughly 35% during the spinup period to about 20% at the temperature peak. However, the increase in nitrogen availability is smaller in regions that are characterized by high infiltration and drainage rates, where a larger part of the mineralized and deposited nitrogen is leached from the soils during the spring
- 1240 snowmelt season. As these are also the areas that feature large wetland fractions, nitrogen limitations are particularly strong in methane-producing regions. Consequently, the effect of nitrogen limitations on the  $CH_4$  fluxes is much more pronounced than the effect on the soil  $CO_2$  emissions (Fig. A1c). Here the difference between the simulations with and without accounting for nitrogen limitations suggests that the behaviour of the methane fluxes under a future warming could largely be determined by nutrient availability. While the methane production in saturated soils increases with rising temperatures, even when accounting
- 1245 for nitrogen limitations (not shown), the oxidation rates increase similarly with the rise in atmospheric  $CH_4$  concentrations. As a result, there is only a minor increase in the soil net  $CH_4$  flux, and the emissions decline as early as the year 2050. When neglecting nitrogen limitations, the productivity in methane-producing regions is higher, leading to more soil organic matter, which increases the soil methane production, roughly doubling the net  $CH_4$  emissions between the years 2000 and 2075. However, the net fluxes decrease before the temperature peak is reached in 2100 and the hysteresis-like behaviour is
- 1250 particularly pronounced in simulations that do not account for nitrogen limitations.

While the nitrogen limitations in the high latitudes are much larger in the adapted model setup than in the standard one, they are much closer to other model-based estimates. For example, Koven et al. (2015) calculated plant type specific (NPP) limitation factors of up to 30% for arctic vegetation. Thus, while the uncertainty with respect to the actual magnitude of the

1255 high latitude nitrogen limitations is substantial, there is some indication that our simulations that account for these limitations are much closer to reality than the simulations in which they are neglected.

# A2 Soil physics

As stated in Sec. 2 a number of modifications to JSBACH was required for this study and reversing some of the key aspects changes the simulations substantially. When preventing infiltration at sub-zero temperatures while allowing the supercooled

1260 water to move vertically through and drain from the soil – as is the case in the standard model –, the soils are substantially drier during spring and summer. This reduces the cooling due to evapotranspiration, leading to warmer soils and a reduction in the spatial extent of areas that are affected by near-surface permafrost. With a smaller permafrost area the initial amount of carbon stored in permafrost-soils is substantially lower than in simulations with the fully adapted model (Fig. A2a). In addition, the reduced water-availability inhibits the vegetation productivity (not shown), resulting in a reduced carbon build-up during the

spinup period and substantially lower CO<sub>2</sub> emissions during and after the temperature increase than in the simulation with the fully adapted model (Fig. A2b).

Limiting the effect of soil organic matter on the soil properties to the first soil layer (as is the case in the setup of Ekici et al. (2014)) has a very similar effect because mineral soils have a lower water holding capacity and a larger heat conductivity than organic

1270 matter, leading to a lower primary productivity, reduced evapotranspiration rates and higher below-ground temperatures during summer. Furthermore, the dryer soils reduce the spatial extent of saturated soils and there is almost no increase in methane emissions with rising temperatures (Fig. A2c). In this respect the setup is very different not only from the fully adapted model, but also from the setup that is closer to the standard model. In the latter, the assumption that the supercooled water can be used in the process of decomposition leads to a non-negligible methane production at temperatures below the freezing point.

- 1275 Consequently, the net emissions, especially during the spinup period, are substantially higher than in any other setup. Here, it should be noted that it is not necessarily impossible to have decomposition within the frozen fraction of the soil, as microbial activity is not limited to temperatures above the freezing threshold, and there is evidence of substantial cold-season emissions (Zona et al., 2015). However, these emissions are thought to mainly occur close to the zero-curtain period and a large methane production within the permafrost at temperature below 0°C contrasts with the general understanding that organic matter within
- 1280 frozen soils is essentially inert. Thus, the high methane emissions that are simulated when assuming the supercooled water to be available for decomposition cannot be considered to be a very likely feature of the high latitude carbon cycle.

## A3 Initial carbon pools

As small-scale spatial variability in soil depths cannot be represented by a coarse resolution model, it is impossible to initialize the simulations with the observed soil carbon concentrations directly and we used 2 approaches to bring the initial soil carbon

- pools closer to observation-based estimates (Sec. 2). To cover the resulting uncertainty-range, we used 4 sets of carbon pools 1285 to initialize our simulations that are based on: The observed organic matter concentrations located above the standard bedrock border of the model (Fig. A3, vellow line – 374GtC), up-scaling the observed organic matter concentrations located above the standard bedrock border of the model (green line -596 GtC), observed organic matter concentrations located within the soil for a deep-soil-setup (blue line – 504GtC) and up-scaling the observed organic matter concentrations located within the soil for a deep-soil-setup (magenta line - 637GtC). 1290

During the spinup phase the initial carbon pools only have a minor impact on the soil CO<sub>2</sub> emissions as the largest fraction of the organic matter is located within the simulated permafrost (Fig. A3a). In this phase, the existing differences in the simulated emissions are mainly a result of the different soil depths. The deeper soils increase the plant available water, reducing

- limitations on productivity which in turn increases the availability of decomposable material (Fig. A3b). Thus, there are only 1295 minor differences in the  $CO_2$  fluxes between simulations that use the same soil depths (yellow and green lines; blue and magenta lines) and only after the year 2050 do the differences in initial soil organic matter affect the emissions, leading to notable differences between all the simulations. When the permafrost has largely reestablished with decreasing temperatures (roughly following the year 2150), the differences between the simulated  $CO_2$  fluxes are again mainly caused by the differing
- 1300 NPP rates resulting from the differences in assumed soil depths. Thus, overall the simulated  $CO_2$  fluxes are more sensitive to the soil depths than to the initial carbon pools – at least for the range that was investigated in our study – which is in agreement with our finding that the high latitude soil  $CO_2$  emissions are to a large extent driven by the input of fresh organic matter, hence by NPP.
- 1305 The simulated  $CH_4$  fluxes are even more sensitive to the soil depths of the model. With comparable precipitation and evpotranspiration rates, the deeper soils are predominantly less saturated and the extent of inundated areas in which methane is produced is smaller (not shown). Consequently, the methane emissions in the simulations with the deeper soils are around 30% lower than in the simulations with the shallower soils, despite the larger initial soil carbon pools and the higher carbon input (Fig. A3c, compare vellow line and blue line). Furthermore, up-scaling the initial carbon pools to better match the observations 1310 increases the organic matter concentrations especially in regions that have deeper soils and already feature large carbon pools. In case of the standard soil depths, these are also the regions – especially around the Hudson bay area and the western Siberian lowlands – that exhibit large wetland fractions, raising the simulated  $CH_4$  emissions substantially. For the deep-soil setup, this effect is less pronounced because the up-scaling is (to a larger extent) also applied to the organic matter located in dryer regions. Thus, the differences in the simulated  $CH_4$  emissions between the deep and the standard soil setup is even more pronounced 1315 in case of the up-scaled initial carbon pools (Fig. A3c, compare green line and magenta line).

#### A4 **Methane module**

The simulated  $CH_4$  emissions are very sensitive to a number of key parameters in the methane module, most importantly the baseline fraction of  $CH_4$ , the Q10 factor and the assumed (maximum) oxidation velocities. For most of the simulations analysed in the present study (Fig. A4, light blue lines), the model uses a baseline fraction of CH<sub>4</sub> production of 0.4, a Q10
factor of 1.5 and a maximum oxidation rate of 1.25e<sup>-5</sup> (1.25e<sup>-6</sup>) mol m<sup>-3</sup> s<sup>-1</sup> in saturated (non-saturated) soils. With these parameter-settings the model simulates present-day wetland emissions of around 11 Mt(CH4) in the region between 60° and 90° North, which is in good agreement with other model- and inversion-based estimates (Saunois et al., 2020).

The values for these parameters are highly uncertain and decreasing the baseline fraction of CH<sub>4</sub> while increasing the Q10
1325 factor by less than 20 % (Fig. A4, dark blue lines), lowers the methane production by about 30 %, resulting in close to (net-) zero emissions during the spinup phase and a methane uptake of the soil following the temperature peak in 2100. In contrast, increasing the baseline fraction of CH<sub>4</sub> by 13 % and decreasing the maximum oxidation rates by 50 %, raises the net emissions prior to the temperature peak by a factor of between 1.5 and 2 (Fig. A4, magenta lines).

- 1330 However, while the absolute values are extremely different, the (relative) spatial patterns are very similar between the setups, as these are largely determined by the simulated wetland areas. Even more importantly, all simulations show a consistent response to increasing and decreasing temperatures (and atmospheric GHG concentrations) with substantially lower  $CH_4$ fluxes after the temperature peak than before. Thus, the hysteresis-like behaviour of the methane emissions is a robust feature that does not depend on the parameter-settings of the methane module.
- 1335 This work was funded by the German Ministry of Education and Research as part of the KoPf-Project (BMBF Grant No. 03F0764C).