#### **Reviewer 1**

We thank the reviewer for their comments. The role of the reviewer has been duly acknowledged with the addition of this sentence in the acknowledgement section:

"We also thank the two anonymous reviewers 1 and 3 and Stephan Kern (reviewer 2) for their comments that greatly improved the clarity and quality of this manuscript"

#### **General comments:**

### 1. The summary of satellite observations for sea ice monitoring needs improvement. A more thorough reading of the relevant references is needed.

We thank the reviewer for the more specific comments they made further down in their review. All changes to the introduction that were suggested have been made.

2. The method is not clearly described in the manuscript. A flowchart of the "early warning system" could be helpful. For example, how the polynya-prone area is determined and how it varies with years? In Section 3.1, when determining the polynya dates, is the minimum SIC areawise (the polynya-prone area)? If yes, is the polynya-prone area fixed? How large? How many pixels are we talking about? Such questions pop when we start to read section 3.1, however only find it till the end (in the caption of Figure 3). In section 3.2, why plotting the data on the 15-day minimum and maximum axes? It is not clear that, what are the final criteria for the early detection until I read the manuscript a couple times.

We agree with the reviewer that the methods were not descriptive enough. We have heavily rewritten the manuscript to add clarity. Furthermore, we have now made our codes freely available on Github, as described in the Code Availability section.

We added a flow chart as the reviewer suggested (new Figure 6).

We reformulated the description of the polynya-prone region as early as section 2 to answer most of the reviewer's questions: the coordinates are fixed and based on existing literature. We also provide the area and corresponding number of grid cells. Then we added a sentence at the beginning of 3.1 to remind the reader of the main characteristics of this region.

Section 3.2 has been clarified and figure 4 has been changed. The final criteria are clearly spelled out in section 3.2, in the conclusions, and indicated on the flow chart.

3. It is a bit difficult to follow the flow of the manuscript, especially for the method. Section 3.1 and 3.2 are part of the method however presented in the "Results and Discussion" section. It could be easier to read if restructuring the "Method" and "Results and Discussion" sections, with "the warning system" (and identifying criteria) in "Method" and "cause of the opening" in "Results and Discussion".

In response to the other reviewers' comments, we restructured differently from what the reviewer here suggests. The detection method is the main result of this paper; the cause of opening, an extra result to discuss the usability of infrared data. Hence we dramatically reduced section 3.3 and turned it into section 4: Discussion.

#### **Specific comments:**

P1L1: "a crucial information" to "crucial"

#### Changed.

P2L45-46: "sea ice concentration from passive radiometers or spectrometers (Spreen et al., 2008), mostly in the microwave region..." I don't recall any spectrometers used for sea ice concentration retrieval. The most commonly used data to derive sea ice concentration is microwave radiometer data, because the sensor (i.e. microwave radiometer) can work independence of light and penetrate clouds. There is no need to mention spectrometers. It can be rewritten to sth like "...sea ice concentration from microwave radiometers (Spreen et al., 2008)."

That was an unfortunate typo. Thank you for noticing it, the text has been changed as suggested.

P2L46-52: The authors give a list of references to present the low and high resolution applications. It is true that SAR has much higher spatial resolution than microwave radiometer, BUT both are microwave sensors. It is not appropriate to use "microwave products" to refer to the radiometer/scatterometer-based products. On the other hand, it is common to use microwave radiometers for sea ice drift tracking, sea ice classification and thickness retrieval (e.g., SMOS/SMAP). In Korosov et al., 2018, the microwave radiometers were firstly used to derive sea ice drift information, on the basis of which sea ice age was tracked. These sentences should be rephrased. Please also check the use of "microwave" (when referring to microwave radiometer), in the whole manuscript.

"Microwave" has been constantly changed to "passive microwave remote sensing" to increase clarity.

The reference to Korosov et al. 2018 has been removed and replaced with a more relevant citation.

Throughout the manuscript, "microwave" has been removed and replaced with ad-hoc, less ambiguous formulations.

### P2L52-53: Microwave radiometers have been used to monitor sea ice since late 1970s, they are not recent sensors compared to infrared sensors.

This sentence has been rephrased to "Moreover, SAR is a comparatively recent technology for sea ice observation", i.e. the reference to passive microwave has been removed.

#### P3L59: "determine the mechanisms" to "understand the mechanisms"

We disagree with the reviewer's suggestion here – in this paper, we genuinely solely aim to determine, not build an understanding of the dynamics.

#### P3L69: "reanalysis"

This sentence has been rephrased to: "in-situ hydrographic and atmospheric reanalysis data"

### P3L72-74: since these two datasets have different resolution, please specify the resolution respectively.

This part has been changed. All dataset used have their resolution specified.

### P6L128-129: "using the traditional criterion sea ice minimum...", do you mean sea ice concentration minimum? It is not clear here how the "sea ice minimum" is determined? If this is

### the minimum concentration of the polynya-prone area, a description of how the polynya area is determined and how large is the area is needed.

This comment is the same as major comment 2. As we explained before, both section 2 and section 3.1 have been rephrased to answer these question.

#### P7L140: "yield" to "yields"

Corrected

### P7L143: "then a third opens...the largest one splits into two". It is confusing here, which one is the largest one?

This paragraph has been removed

#### P7L147-148: "thirty" to "30";

Changed.

### P7L166: 3443 days? For how many years? In the next paragraph, the number of days is replaced with the number of events? Why?

This paragraph has been rephrased for clarity.

## P7L160-171: These two paragraphs basically explains that threshold for single band does not work well for early detection but the three bands together do. A summary of the final criteria and the reason is needed.

This section has been heavily rephrased. A summary has been added as suggested. The final criteria are also mentioned on the flowchart that the reviewer suggested we add (new Figure 6).

### P12L220: which three events do you mean? Do you mean the average temperature in the 15 days, or when the opening starts?

Rephrased to "three polynya events are colder than or around -10 °C over the entire 15-day period that preceeds the polynya opening".

#### In Figure A5, there are more cases with 2 m air temperature of -10 degree by the time of opening.

This comment from the reviewer is related to the confusing formulation of that sentence, which has now been changed in response to the previous comment.

P13L235: I don't find temperature and salinity at 480 m depth oscillate with a near 12hour frequency, at least not from -30 days to -13 days. Only smaller oscillations are found during 30-20 days before the event.

This sentence has been removed in response to reviewer 3.

### P13L250: Again, I don't find increase of temperature at depth. It is too small to notice. The brightness temperature increases following the dip of T45 however only for one day.

This sentence as well has been removed in response to reviewer 3.

#### P7L257-258: I would suggest delete the two question mark and words in parentheses.

This paragraph has been rephrased.

#### P15L265: "rejected"

Changed.

### P17L300: "Sentinel" to "Sentinel-1", the revisit time for the twin Sentinel-1 satellites is 6 days not 4 days

Changed to Sentinel-1. We agree with the reviewer that the revisit time is 6 days over the same satellite orbit. However, from different orbits the same place can be monitored more often, especially at higher latitudes (as visible on Fig 9).

#### **Reviewer 2**

We thank the reviewer for their comments. The role of the reviewer has been duly acknowledged with the addition of this sentence in the acknowledgement section, which explicitly names the reviewer as was agreed during an email conversation with the reviewer:

"We also thank the two anonymous reviewers 1 and 3 and Stephan Kern (reviewer 2) for their comments that greatly improved the clarity and quality of this manuscript"

#### General comments (GC):

GC1: I have a general concern with the APP-X data used and in particular with their quality. Since this is a key data set of this paper it deserves a much more detailed consideration, especially with respect to clouds which masking is, to my opinion, not convincingly illustrated. Here the manuscript requires some additional figures / illustrations as well as some re-writing

We would like to start by confirming that the dataset we refer to as "APP" is indeed APP, not APP-x which would have too coarse a resolution for our study. We did nevertheless add an entire appendix dedicated to validating the cloud masking (based on published literature) against the MODIS/Aqua cloud mask product (MYD35\_L2), as well as extra figures to investigate the cloud conditions in the days leading to a polynya event compared to a "normal" year.

# GC2: I also have a general concern with section 2.3 which I find too generic. A scientist wishing to repeat you study would be lost because the description of the methodology is not adequately detailed. Here the manuscript requires some re-writing. In addition, I have the impression that choosing a different SIC algorithm would result in different "polynya events"

A scientist wishing to repeat our study will now find all our codes freely available on Github, as we detail in the Code Availability section. Following a suggestion from reviewer 1, we also added a detailed flow chart to better describe our methodology. Overall, the paper has also been heavily rewritten to improve its clarity.

Regarding the SIC algorithm, we would like to insist on a message we wrote repeatedly in the paper: although the only Weddell Polynya events that are mentioned in most of the literature (see a summary in Campbell et al. 2019, and references therein) are those of 1974-1976 and 2016-2017, we find other events, the same as found by authors before us that we cite in section 3.1. The only difference between these authors, which is most likely caused by different algorithms and/or data products, is in the area of the polynya and its duration. Consequently, and as we already indicate in section 3.1, the polynya events are not SIC-algorithm dependent.

GC3: I have a general concern about the applicability of the various infrared TB parameters. The caveats of the parameters used to delineate polynya conditions or conditions leading to the formation of a polynya are not sufficiently well laid out. Taking the statistics of IR TBs over an about 500 000 sq km large region as a measure to better delineate the presence (or to-bt-presence) of a polynya of a size 1% of that area appears not to be well motivated.

We do not understand what the reviewer suggests here. We motivate throughout the manuscript that our reason for using area-wide statistics is that the overall, ideal aim of the project is to generate algorithms that would be automatised. Working only with the exact area where the polynya opens is unrealistically optimistic. Furthermore, as is summarised in e.g. Swart et al. 2018 (cited in our manuscript), the 2017 polynya reached a maximum area of 295 000 km<sup>2</sup>, i.e. covered half of our "polynya-prone" area. As has been found by many authors, especially modellers (e.g.

Dufour et al. 2017 and references therein), such large area can be reached in part by preconditioning of the ocean over an even larger area. Hence, it is sensible to work with area-wide statistics.

GC4: I have a general concern about the interpretation of the various infrared TB parameters particularly in the context of figures 7 through 9 when it comes to the examples. The interpretation of observed changes in these IR TB parameters appears to be biased towards oceanic and sea-ice conditions, leaving out the direct influence of the atmosphere on the sea ice / snow surface. By the same token, a critical discussion of the usefulness of the wind data for the curl computations is missing.

Following reviewer 3's comments, most of this discussion has been removed. Consequently, what is left is now balanced between atmosphere (air temperature and wind) and ocean (mooring analysis). Regarding the wind curl, we would be grateful if the reviewer could indicate more specifically what is missing, as we consider that we already address this comment in the last paragraph of section 2.3.

#### **Specific comments**

Lines 17-21: I doubt that motivating the importance of the Weddell Sea polynya, which is a winter phenomenon, with commercial exploitation of ice-infested waters is a correct thing to do for the Antarctic. Most tourism is in summer; fishing occurs outside the sea-ice cover; transportation does not happen, and exploration is limited thanks to the Antarctic Treaty. Hence, it is rather an interesting bio-geo-physical event with highly interesting ocean-ice-atmosphere interactions than anything else.

In that sentence, we are not motivating at all the Weddell Sea polynya. We are talking in general about "global changes in sea ice cover", and later about "sea ice opening". Consequently, we did not change this sentence.

- Line 47: Satellite passive microwave data are used to derive sea-ice motion. Based on the sea-ice motion sea-ice age is derived; while Korosov et al. (2018) worked on along-needed adjustment of the motion-to-age conversion the original work dates back to 2003 or 2007, I guess. Maslanik or Tschdui should be the main authors, citations you can find in the paper by Korosov et al. (2018).

We thank the reviewer for this rectification. We changed the reference to Maslanik et al. (2007)

- Line 48: The algorithm proposed by Spreen et al. (2008) was based on AMSR-E data, the predecessor of AMSR2. That satellite did not allow for the stated fine resolution of about 3 km. One of the few citations pointing towards that there is a version of the same algorithm applied to AMSR2 data which allows for about 3 km grid resolution would be the one of Beitsch et al. (2014) in the journal "Remote Sensing", vol. 6.

We thank the reviewer for this comment. We had not seen that our formulation, putting on the same line the reference to Spreen et al. (2008) and AMSR-2 frequency, was indeed confusing. We instead give as exemplary frequency the highest of AMSR-E.

Lines 49-51: If you keep them, then all these citations require an "e.g. in front of the author(s) because there is a full suite of papers into these directions ... except the one for melt ponds; that one I suggest to delete because if you read that carefully you will figure out that the way they tried to infer melt ponds from HH-Pol wide swath SAR imagery did not work out well. While there have been many studies involving SAR and melt ponds I doubt THE solution has been found here

and therefore it is for sure NOT the norm to use SAR for melt pond detection. Furthermore SAR and sea-ice thickness retrievals is also something I would not put under the "norm" to use SAR imagery. The range of maximum sea-ice thickness values to be retrieved using SAR goes from 0.1 m to 2 meters; this is a field which has not yet been explored enough and we are also not yet there - despite what machine learning and other similar approaches attempt to sell us. SAR imagery is extremely ambiguous and not really suited for sea-ice thickness retrieval.

We agree with the reviewer that there are more citations than just the ones we give, but we like our papers concise. We followed their advice and added "e.g." to most references. We did not see how to elegantly indicate that melt pond detection did not work as well as the authors would have liked, but still want to acknowledge that it is an area of research, so we kept this reference.

We changed the formulation from "the norm" to "common".

We agree with the reviewers that SAR-based retrievals for sea ice thickness are far from mature, but as reviewer 3 insisted that we even talk about modelling, a reader would be surprised that we do not at least mention SAR applications.

- Line 52: "microwave and SAR" -> SAR is microwave. "comparatively recent sensors" mentioned together with a 20+ years old citation does neither look overly smart nor is it correct, because microwave sensors date back to 1972/1973 with ESMR being the first one to allow to observe sea ice independent of clouds and daylight - being the sensor to allow detection of the Weddell Polynya for the first time.

These two comments have already been addressed in response to a similar comment by reviewer 1. We now distinguish the two by referring to "passive microwave remote sensing".

Twice in this document the reviewer uses the term "smart" and hints that we are anything but. We fear that the reviewer is not aware that "smart" is inappropriate in a review as it enters the realm of personal comments, and as such should be avoided. We suggest the more neutral "not relevant". Either way, we rephrased this sentence to make it clear that it is SAR applications that are recent, and that 20 years is comparatively short when studying the climate system.

- Line 55: "hence has fallen ..." -> Same comment as above in terms of 20+ years old citation plus: There has been quite some work with spaceborne infrared sensors during the past two decades. MODIS, for instance, has been used heavily for polynya detection in both hemispheres. It has been used for fast ice detection in the Antarctic. AVHRR and nowadays VIIRS has been used for sea-ice cover retrieval and, more importantly thin ice thickness retrieval quite extensively as you may note from papers by Key et al., 2016, The AVHRR polar pathfinder climate data records, Remote Sensing,8 or Mäkynen and Karvonen, 2017, MODIS sea-ice thickness and open water-sea ice charts over the Barents and Kara Seas for development and validation of sea-ice products from microwave sensor data, Remote Sensing, 9. It might therefore make a lot of sense to better embed you work into the current state-of-the-art after you have undertaken a little literature review.

We removed this sentence in response to comments by the other reviewers.

Introduction: I am sure a reader would appreciate a short paragraph in which you very briefly describe which data sets you applied to achieve which findings so that the list of data which follows falls on well-prepared ground.

We have to disagree; this would make the paper highly repetitive, as the data are described one paragraph later. As a compromise, we now name the datasets in the final paragraph on the introduction (paragraph that has been rephrased in response to reviewer 3).

#### Section 2.1:

## - I suggest to provide a table in which you summarize which data you use at which spatio-temporal sampling for which time period. Also the grid information (i.e. which grid is used) should be included.

We understand the reviewer's suggestion but could not find a satisfactory way to summarise all relevant information in a table with common headers, as each product has its own specific characteristics that need mentioning. We instead expanded the description of each product in response to the reviewer's comments below. We do not understand what the reviewer means with "grid", as we already specify the horizontal and temporal resolutions.

#### Line 67 and line 73 contain contradicting time information: 1980 vs. 1978.

We corrected this mismatch, thank you for pointing it out.

#### - There is a more recent version of the APP data set called APP-X. Did you use the latter one?

No, we used APP, which has a more relevant resolution for this study.

### - I note that you provide a doi for the ERA5 data but not for the other data sets? Aren't there doi's for other data sets as well?

We now provide all the dois already in this section, instead of the Data Availability section solely.

#### - I note that your description of the data is quite light and poses questions.

We added a sentence at the beginning of this section to motivate our conciseness and encourage the reader to consult the respective data description papers that we cite.

a) Which algorithm is the long-term sea-ice concentration data set from NSIDC based upon. If it is the NASA-Team algorithm then you need to be aware of the events of substantial underestimation of the sea-ice concentration due to snow metamorphism which this algorithm is subject to in late winter / spring. Other algorithms and products, i.e. the Comiso Bootstrap v3 or the OSI-450 data set do not have these problems; particularly the latter one, which is a CDR, appears to be most suited for your purpose.

It is not the NASA-Team algorithm, it is the Comiso Bootstrap v3. We have added this precision to the text.

b) Which resolution has the "product from the University of Bremen"? On which algorithm is it based? Over which time period did you use these data? Did you consider to use the 3.125 km product offered by the University of Hamburg (now from AWI) being available since 2012 based on AMSR2?

We no longer use this product, as the section for which it was needed has been removed.

### c) "Hydrographic data" is quite generic. Which data measured how at which depths over which time periods with which instruments?

We are glad you asked. We added two (long) sentences to answer the reviewer's comment.

d) Even though you used SAR only for qualitative purposes it would be important to learn how many you used, which time-differences these have with the other remote sensing data, and so forth. If it is just a dozen or so you can simply provide a table with overpass time, time difference to whatever other data, et cet. In addition "backscatter information" is also very generic. What is the purpose and what were you after in particular. One sentence stating that you were trying to find open water / new ice signatures in C-Band HH backscatter images would possibly be enough.

As suggested, we added the dates, time, and difference with the APP product acquisition. We also added the suggested sentence.

e) The AVHRR data set used ... is this an FCDR or CDR? Is its inter-sensor bias corrected? I am asking because it is known that the various individual satellites hosting AVHRR sensors have been prone to serious drift in their orbits, shifting local overpass times by up to several hours.

It is an FCDR, as specified in the Key et al. (2019) dataset reference that we cite. The same reference explains (we here paraphrase their page 3) that the fact that APP is made of composites several hours around the target time rather than single images mitigates the drift issue.

#### f) Line 80 "For validation" -> What did you evaluate? Please add.

Sentence clarified.

#### Section 2.2:

I suggest to considerably expand this section. The illustration of the cloud mask is not convincing. Since the detection of the polynya and/or its preconditioning conditions is among the key elements of this paper and since these infrared data are the key dataset to be used this section deserves a lot more attention to ensure that any conclusions taken are not simply taken because of either an insufficient cloud mask or a drift in the cloud mask capabilities to mask out clouds or a drift in the used APP-X product.

As we have already indicated in previous comments by the reviewer, we are not using the APP-x product. We are using the APP product. This product is corrected for drift.

Following the reviewer's suggestion, and also in response to reviewer 1, we added an appendix in which we validate the cloud mask (see also comment below).

One way to check the cloud mask would be to show coincident high-resolution MODIS and/or Landsat images. It could be sufficient to have the reflectances only because structures and textures evident in the reflectance and the infrared images might be similar. In any case would it be much better to actually see several cases where your approach correctly allows to delineate the surface temperature - i.e. by showing the temperature gradient across the ice edge or within a polynya area. Currently, this section is not convincing - neither about the usefulness of the APP-X IRBT data nor about the cloud masking scheme.

First, once again, we are not using the APP-x data. As we wrote, we use the APP data as described in Key et al. (2019).

We do thank the reviewer for their suggestion of investigating coincident MODIS images. We hence discovered a MODIS-based cloud mask product, peer-reviewed, and highly recommended by our

cloud-physics colleagues. We use this product (MYD35\_L2) to validate the usage of the Yamanouchi et al. (1987), Vincent et al. (2008) and Vincent (2018) criteria for cloud masking.

We did find during this validation exercise that the Yamanouchi et al. (1987) criterion on T34 was not restrictive enough, and hence modified it in section 2.2 and for the rest of our analysis, as we describe in the text.

### In this context, it might make sense to look at the recent paper of Vincent et al. from 2019 in Remote Sensing, 11, "The case for a single channel composite Arctic SST algorithm".

We thank the reviewer for their suggestion. We added instead a reference to Vincent (2018), on which Vincent et al. (2019) was built, and which is more directly relevant as it contained a specific cloud mask criterion. We added this criterion to our cloud mask (included in the tests of appendix A).

#### Section 2.3:

- Line 105: Please specify in your text when and why you use a per-pixel SIC threshold or an areaaverage threshold. It is not clear why both are used and/or required.

We rephrased to clarify that we here use methods published by past authors: some use a per-pixel SIC threshold, some use an area-average threshold.

- Line 107: "we tested .." -> Very generic description. Please provide more details in your text. How did you visually assess each option? Against which information did you do this assessment? Over which period and with which frequency of the input data did you carry out this testing?

Text has been modified to be more specific.

- Line 108: "contiguous pixels" -> How did you assure / identify whether pixels are contiguous or not? Please provide more details in your text.

We reformulated this sentence to clarify that we first perform a contour detection. We also provide the code.

- "pixel area" -> What did you use as the pixel area. If you have used data on a polarstereographic projection, which I assume you did, then you should have taken the grid-cell area of each individual grid cell from a separate data file provided by NSIDC. This needs to be clarified.

The latitude and longitude grids are not provided by NSIDC and instead have to be computed by the user. We provide ours at the same Github link as the rest of our codes, which we already mentioned earlier.

### - Line 109: "60% for most of the study" -> What are the incidences where you needed to use a different threshold and why? Please be more specific in your text.

We modified this sentence. We consistently use 60% in this study.

- Please provide information about the time period per year you use. Currently one can think that you try to do the analysis for the entire year (which of course is not logical because of the lack of sea ice in the polynya-prone region for a number of months. But you don't tell the reader your time period of interest.

We do use the whole year in most instances as the seasonal cycle is relevant for our criteria (see the new figure 5 and the new supplementary figure B1).

### - Line 105-110: For what is this data set created? What is the purpose and how is it connected to the other parts of the method?

We added a sentence at the end of this paragraph to link it to the rest of the study. In response to the reviewer's subsequent comment, we also added to that new sentence that we detected more than 20 polynya events with this method, and that the reader will know more in section 3.1 (two paragraphs to wait).

- Line 113: "For robustness though ..." -> It is not clear from your text why this step is needed to check the robustness. It is also not clear what you mean by brightness temperature composites. This requires further clarification if kept.

Sentence removed as suggested.

- Lines 115/116: The "anomalies" you are writing about, did you compute this separately for all parameters, i.e. did you compute daily climatological values for median, minimum and maximum IR TB?

We agree with the reviewer that this sentence was confusing. We moved the anomaly description upward.

#### - Line 116: "over the 40 years" -> It is 37 years, isn't it?

It is 38, 1982-2019 included. We modified throughout the text for consistency and clarified the difference between the APP time period and the SIC time period.

- Line 117: "using only the years ..." -> Is this surprizing? Possibly not because if I understood your introduction / motivation correctly it was 2016 for the first time that the Weddell Polynya opened again within the considered 1982-2018 period ... hence the majority of the data are not including polynya conditions anyways.

As we show in section 3.1 and as already discussed in this review response, there were more events than just the "famous" 2016 one. We did remove this confusing sentence.

- Line 119: "over each polynya" -> I though you are only targeting the Weddell Polynya so which other polynyas referred to by "each" are you investigating here? It is not clear from your writing why there should be several polynyas.

We understand the reviewer's confusion. As already discussed throughout this document, there are more than 20 polynya events in the time series, over the same region. This is what "each polynya" means. We reformulated.

### - Line 125: Please provide a reference for this interplay between convergent situations and upwelling.

We added a reference to our favourite 1<sup>st</sup> year undergrad oceanography textbook.

#### Section 3.1:

Figure 2 / Line 130: What motivates using a mean SIC threshold of 92%?

We have rephrased so that it is clearer that we did not arbitrarily choose 92% but simply used a criterion from a past, peer-reviewed study by a different group.

#### Line 137: Which "other methods" are you referring to here?

We rephrased to make this sentence clearer.

Line 139: "visual validation" -> I would certainly not term this "validation"; I'd rather say you did a plausibility check. How did you assess the 60% criterion quantitatively? Is there any quantitative information you can give young students and/or scientists at hand who want to repeat you study?

This joins the earlier observation by the reviewer on the disappointing results of machine learning methods applied to sea ice remote sensing: if there were an easy way to quantify what the trained human eye sees, we would not need human analysts anymore. And having been staring at sea ice maps looking for polynyas from the early days of her PhD, C.H. is trained. We did remove the term "validation" from the sentence.

# Table A1: Did you check your analysis with respect to the credibility of the polynya events detected? Looking at Table A1 I am concerned with cases where the polynya (according to the 60% criterion) lasted just 1 or 2 days but occupied a comparably vast area of nearly 10000 sq km. How realistic do you consider such a rapid opening and closing of the polynya?

We refer the reviewer to the detailed work of our PhD student, Martin Mohrmann, recently submitted to The Cryosphere (reference in our text), which shows that as surprising as it sounds, it is a common phenomenon.

Line 151: Following up with my earlier comment about which sea-ice concentration product you used I recommend to repeat the same analysis (and in particular the numbers shown in Table A1) using a different algorithm, e.g. OSI-450 or Comiso Bootstrap v3.1. This way you would be able to considerably enhance the credibility of your findings and eventually eliminate the cases of an overly rapid opening / closing of polynyas of considerable size.

We decided to not show these results in the paper to not make it unnecessarily heavy, but we did reproduce these results using other sea ice products (like that of the University of Bremen, and OSI-450) as well as sea ice thickness for the most recent years.

As specified earlier: we do use the Comiso Bootstrap v3.1 product. We have clarified this in the text on several occasions.

#### Section 3.2:

This section has been heavily rewritten, in response to the (justified) comments by all reviewers that it was really hard to follow and understand. The figures have also been modified to make our point clearer. Consequently, to avoid making this document unnecessarily long, we answer only the one comment from the reviewer that is still relevant:

Lines 189/190: It is not unlikely that there have already been leads in the pp-region before the Polynya events, isn't it? Regarding the T45 threshold I again refer to the more recent publication by Vincent et al. I mentioned earlier in my review.

It is not unlikely indeed. We added a few words to specify this.

As interesting a read as this paper was, we did not see its relevance (aside from confirming that  $BT_{11-12}$ , as it is called there, cannot be used for absolute SST determination).

#### Section 3.3 (now renamed Section 4)

This section has been reduced as suggested by reviewer 3. Consequently, comments related to lines 232-255 or to Figures 7 and 8 are no longer relevant and will not be shown here.

Line 205: "the oscillation in (IR) TB suggests an upwelling of warm water" -> If I understood you correctly, then the examples of the oscillating IR TBs shown in Fig. 5 and inthe respective figures in the Appendix are based on observations of the polynya-prone region (PPR) shown in Figure 3, extending south-north over 8 degrees latitude which is 480 nautical miles or about 800 km. Hence the oscillations shown reflect the conditions of a synoptic-scale region. It could (...) be that the oscillations and variations in IR TB are caused by ocean upwelling. But wouldn't this provide that a substantial amount of the sea ice is i) bare = not snow-covered and ii) sufficiently thin so that a 1day long up-welling event manifests itself in a change in the median or maximum IR TB of the PPR by up to 7 Kelvin? What is the typical temperature variation immediately beneath the ice bottom / at the water surface in the leads due to an upwelling event? I'd expect it is an order of magnitude smaller. What - to my opinion - is missing in your initial scientific reasoning here is the immediate impact of the atmosphere. Advection of warm or cold air can very well cause surface temperature changes of the observed magnitude within the PPR. The IR TB measured is direct measure of the surface temperature. To my opinion, the size of the PPR in combination with the magnitude of the observed IR TB changes and the fact that maximum IR TBs are often de-coupled from the median IRTB (which is fine as mild air might prevail in the north and cold air in the south of the PPR), confirms that a direct atmospheric influence is much more likely as a cause for the oscillations than oceanic upwelling. This is also supported by the knowledge that surface temperature changes caused by ocean upwelling require substantially more time than surface temperature changes caused directly by the atmosphere.

If we understand correctly the reviewer's comment, they would like us to acknowledge the role of the air temperature not only in its dedicated paragraph, but also in the paragraph they here highlight. We added a sentence that summarises the reviewer's point, along with a reference to the Francis et al. (2020) paper that describes this exact phenomenon in relation to the 2017 polynya.

An issue being connected to this is that fact that we don't know how accurate the IRTBs are and how often cloud artifacts disturb the observations. IR TB artifacts due to clouds can create both comparably warm or cold signatures, depending on whether a low-altitude warm cloud or a highaltitude cirrus cloud was not adequately masked out. Furthermore, Figure 5 and the resepctive figures in the Appendix lack information about how many of the pixels of the PPR were actually cloud-free during the 14-dayperiods considered. My assumption would be that the number of cloud-free grid cells is considerably larger for the comparably cold maximum IR TB cases shown. In other words, the statistics of every data point shown in these figures potentially varies from day to day.

We thank the reviewer for this suggestion. We added two columns to supplementary table B1 to indicate the median and minimum-maximum range of cloud-free pixels over the 15 day period that precedes each polynya event, along with supplementary Figure B1 that shows the seasonal cycle and

range in cloudiness, demonstrating that the polynya years are not unusual. Although the number of cloud free pixels does vary from day to day, we do not understand from the first sentences of this explanation by the reviewer how this would impact the maximum IR TB value.

Figure A4 caption: I suggest to add the information that red (=positive) likely denotes divergent - aka - ice cover opens mechanically while blue (=negative) likely denotes upwelling - aka - ice melt from below, or if there is sufficiently open water present already also lateral melt.

We added this information to the caption.

Line 212: "it can open the ice within 12 hours" -> Lets take this as a useful number for the moment. In this case, within one day, divergent wind conditions could result in a drastic increase in the observed IR TB because an area covered completely by sea ice of a surface temperature of -20degC might change in an area covered by 95% sea ice and 5% open water of -2degC, which would result in an area-average surface temperature of -19.1degC, an increase by about 1K. It is straight-forward to estimate other surface temperature changes as a function of open water created. Note that this simple consideration applies to a case where the open water does not freeze over again immediately.

We agree with the reviewer's reflexion. We would like to point out that lack most of the parameters required to quantify the change in surface temperature though. We added this precision to the text.

Lines 214-221 / Fig. A5. This paragraph and interpretation of the results requires some rewriting. -If I follow the considerations in the various Nandan et al. papers then I am inclined to say that surface melt of the snow cover would occur at OdegC most of the time – unless we talk about thin ice with a thin snow cover - which is something you don't know. Any thicker (above 6-8 cm) snow would possibly have zero surface salinity. - I agree that the sea ice would not start melting at OdegC but already at a lower air(surface) temperature. However, the majority of the Antarctic sea-ice cover is snow covered. - I am not sure what the intention of this paragraph is. Is it trying to use ONLY the air-temperature information to find a signal which could be interpreted as a pre-conditioning for polynya formation? This should be made clear in its first sentence.

We clarified the purpose of our paragraph by adding a new first sentence. We have added an extra sentence regarding the lack of information regarding the thickness of the snow layer.

- "The main caveat ... is colder" -> This reads as if the 2m air temperature is always colder than the surface temperature. I'd say the opposite is the case for snow covered sea ice - unless the surface was warmed by the mild air of a warm sector of a cyclone and now a cold front brings cold air advection. Hence your statement as given might only be valid for young and thin bare ice or for the special case just described and needs to be re-phrased.

We did not write that it is always colder; we wrote that it "probably" is colder. We nevertheless rephrased as "it may be colder".

Figure 6: It there the possibility to show a similar plot for the salinity or at least an illustration of the typical vertical salinity profile during winter? This would aid in a better understanding that oceanic convection events would cause a decrease in T AND S at depth.

The reviewer seems to have missed the reference to the supplementary Figure B4 that shows exactly this. We have increased the number of times we refer to this salinity figure to make it more obvious.

Line 229: "luckily, there were Sentinel-1 images" -> This information is out of context here because a SAR image cannot replace surface temperature or salinity measurements. I suggest to delete this information here and put it somewhere else at a more appropriate place.

We removed this part of the sentence.

Figure 9 and its interpretation.- I first focus on the time series without taking the SAR images into account. Yes, lagree the strong peak in divergent conditions at days -15/-14 coincides with a phaseof considerable variation T and also S which, however lasts from day -15 through day-12; the lowest T and lowest S are actually observed on day -12; perhaps this could be explained with the time the cooled water requires to reach to the depths shown. But, there is similarly strong divergence event at day -27 which is not assoociated with such pronounced variations in S and T. In addition, there is another pronounced divergence event at day -9 which is associated with T and S maxima - diametrally different to the other two cases. There are other examples along the time series where curl sign and magnitude are not uniquely associated with variations / increases / decreases in Tand S; hence I don't find this figure overly conclusive either. This is supported by the findings from Figure 8 where at these depths the correspondence between curl sign and magnitude was rather weak. I note that both depths are located below the depth of CDW temperature maximum in Fig. 6.

We have reformulated the description of this figure and made it clearer that the results from the mooring analysis are not really conclusive.

Now to the SAR images:- Please provide the acquisition times for these images (well, you will have done so in the revised version in the context of section 2) and, in addition, mark there place on the time axis of the curl time series by a vertical black line.

We have added the acquisition times in section 2 already in response to an earlier comment from the reviewer. The times are already marked with a black arrow, and time periods refer to with grey boxes. We tried the reviewer's suggestion of extra vertical axes, but it made the figure too heavy and quite confusing.

### - By the qualitative discussion provided you cannot give any statement about whether leads / openings seen in the three right SAR images are open or refrozen.

We do not understand the reviewer's comment; open leads have a different backscatter from refrozen ones, so different that they are visible with the naked eye on such images.

### - In addition to the "0" indicating the polynya center I recommend to show the location of the mooring. If it is outside, then please state it clearly in the discussion of this figure.

The mooring is outside of the region shown on the SAR images. We added this precision to the text along with a discussion of how comparable the two are.

### - The fact that the SAR images supposedly provide radar backscatter ranging from 0to -60 dB casts doubts upon having chosen the correct product.

We do not understand what the reviewer finds suspicious about these values.

- If you are after checking out whether leads and or the polynya was present I recommend to take a look at 3.125 km AMSR2 sea-ice concentration maps provided by the University of Hamburg: <u>ftp://ftp-projects.cen.uni-hamburg.de/seaice/AMSR2/3.125km/</u> We understand that the reviewer is proud of the product he generated but do not understand why on several occasions in this document he suggested it for applications where it is not relevant. Here for example, using this product would force us to go back down to a resolution similar to that of APP, even though we use SAR specifically for its very high resolution.

#### Conclusions

Line 281-283: "yet, our study ..." -> I cannot proof your statement wrong. But I am inclined to state that those "polynyas" identified by some of the papers mentioned were not the classical stype open Weddell Polynya but rather an agglomeration of leads. These also have the potential to reduce passive microwave SIC below the thresholds used - particularly because 100% thin ice - as is often observed in leads - is not seen as 100% SIC but considerably less than that (Ivanova et al., 2015, The Cryosphere). Also, I note that some of the authors mentioned here wrote about a "halo of low ice concentration" instead of a fully developed open ocean Weddell Polynya as observed in 2016/2017. I hence recommend strongly to re-phrase this statement.

#### We rephrased.

Line 284-291: The way you presented the APP data set and its cloud screening as well as the way you described and applied the method did not convince me that your approach provides credible results. See my resepctive comments earlier.

This sentence, along with the rest of the methods (including the cloud screening), has been rephrased already in response to other comments

Apart from that: The "Cape Darnley polynya" is not an open ocean polynya. This needs to be corrected in the manuscript. What IS an open ocean polynya is the Cosmonaut Sea polynya which actually popped up this past Austral winter as well as in 2016 (mid August).

We removed the reference to the Cape Darnley Polynya.

Line 292-298: While I agree that the "Weddell Polynya" as you defined it in this pa-per (fully developed open ocean polynya but also an agglomeration of leads) is partly triggered by upwelling - aka - oceanographic conditions and partly by divergence – aka - atmospheric conditions, I don't think that your paper hits the core. The debate is what triggers the fully developed open ocean polynya and not what causes a number of leads to open in the middle of the ice pack.

#### We tuned down these sentences.

Line 299-301: I agree that high-resolution satellite observations are a crucial tool. But your study did not overly well demonstrate the importance of high-resolution data such as S-1 SAR. In addition, there are two sentinels carrying a SAR and the coverage is considerably better than you present here. In addition SAR IS operationally used by certain agencies / groups and institutions who are smart enough to handle the immense data amount associated with these high-resolution data. Finally, there is not just Sentinel-1a/b but there is PALSAR, TerraSAR-X, Tandem-L, COSMO-Skymed andRADARSAT / RCM SAR - all currently in orbit and functioning ... hence there is ample of such high-resolution data available - sitting in the archives to be used. Statements such as more sensors "onboard more satellites" should be very well thought about and backed-up by an appropriate analysis - an precondition which is not given by this paper.

The reviewer is again using the term "smart". See our previous point on how such adjectives should be avoided when one is a reviewer.

We anyway modified these sentences.

#### **Editorial remarks**

I find usage of "early warning system" not overly appropriate. There is not danger or damage or anything involved. It is too figurative in my eyes and I suggest to write something along the lines that you aim to better understand processes that precondition opening of the Weddell Sea polynya and that you are after to better predict its opening by means of a set of proxies derived from satellite remote sensing.

We agree with the reviewer and have removed this term from the manuscript.

Line 7 and later in the manuscript, e.g. in section 2.3: "brightness temperature" is a term used in satellite microwave radiometry as well. Therefore I recommend to write "infrared brightness temperature" all the time in your manuscript you are referring to the AVHRR TIR data.

We now refer to "infrared brightness temperature" throughout the manuscript, including in the figure captions.

The other comments have already been addressed in response to the previous comments and/or that of the other two reviewers.

#### **Reviewer 3**

We thank the reviewer for their comments. The role of the reviewer has been duly acknowledged with the addition of this sentence in the acknowledgement section:

"We also thank the two anonymous reviewers 1 and 3 and Stephan Kern (reviewer 2) for their comments that greatly improved the clarity and quality of this manuscript"

The manuscript has serious weaknesses and is largely not reproducible. First and foremost, the aim of the study is unclear to me. Is it about improving remote sensing methodology or a forecasting system for the opening of the Weddell Polynya? Or both at the same time? The not very scientific approach and the sloppy writing is bothering (e.g. "debate is closed", "infrared out of fashion").

We are sorry to hear that the reviewer could not reproduce our results. We have now made our codes freely available on Github, and explained in the Code availability section. In response to reviewer 1's suggestion, we also made a flowchart to clarify our methods. Finally, sections 2 and 3 have been dramatically rewritten (and in the case of section 3, further split into sections 3 and 4) to increase their clarity.

Following the reviewer's comment, our aim and working hypothesis are now clearly in the introduction and in the abstract.

We do not understand what the reviewer means by "not very scientific" without more specific examples, but we have added a lot of information in response to reviewers 1 and 2.

Colloquial sentences have been removed.

There is a lack of hypotheses, statistical tests for the significance and descriptions of the uncertainty. References are used in the wrong context and much of the existing literature is ignored. The description of the data is careless and incorrect in some places. If the goal were to analyze the AVHRR data using a new methodology, the question of cloud cover would first have to be analyzed more thoroughly. It remains e.g. unclear whether passive microwaves and AVHRR data give consistent results. This would be the first step towards a suitable long-term study.

The working hypothesis is now clearly stated in the abstract and in the introduction.

References cannot be corrected without more specific examples. We had preferred restricting the literature to that which is most relevant for increased readability, but have added the model-based studies suggested by the reviewer, to provide the wider context.

Likewise, data description cannot be corrected without more specific information as to which is wrong. We suspect that our response to the comments from reviewer 1 and 2 and corresponding text modifications address this point.

Finally, in response to the reviewer's suggestion as well as that of reviewer 2, a detailed analysis of the cloud cover has been added as appendix section A. In that appendix, we validate the (published) methods that we used for cloud masking against a reference cloud mask product (MYD35\_L2). We also added cloud cover information in Table B1 and added supplementary figure B1, to show that the cloud cover during and in the days leading to a polynya were within the usual "cloudiness" range of the region under non-polynya conditions.

An investigation into the causes is difficult to do with observational data alone. The Weddell Polynya is a phenomenon in the coupled system of ocean-ice-atmosphere and is based on feedback effects and tides. Forcings such as fresh water fluxes through precipitation or melting of meteoric ice and the heat reservoir in the deep ocean play a role. Without a coupled model system, causal research is inadequate or must remain empirical. The empirical aspects of the study are however not solid because of the lack of hypotheses, statistical testing and significance.

We agree with the reviewer that the causes are difficult to investigate from observations alone as the observations are limited in this part of the world, both in terms of resolution and coverage. Using models is what the lead author and her colleagues normally do, for this exact reason. However here it would be beyond the scope of this paper. For once, we wanted to see how much could be achieved from observations alone.

We have already addressed the specific reviewer's comment in response to their previous comment.

However, the topic is very suitable for the journal and I suggest that the author should resubmit the work after a major revision. Because my concerns are about the main aim of the study I would encourage the author to withdraw the study and to resubmit it with better defined scopes, e.g. in two parts. First a validation of the AVHRR approach to detect the polynya, and a second part about the forecast method. The first part shall include a thorough error analysis about the influence of clouds. The second part should use advanced statistical methods.

We thank the reviewer for their suggestion. In this revised version, we now mostly focus on the AVHRR approach. As suggested, we include a specific appendix validating the cloud masking, along with extra figures and tables providing information about the cloud cover. We do not understand what the reviewer means with advanced statistical methods, considering that the reviewer correctly highlighted the limits of observational data. Advanced statistical methods that we commonly use on model output would unfortunately be irrelevant and insignificant here.