

Author's response

Dear Editor,

We carefully addressed the reviewer's general and specific comments and applied changes accordingly. Please find the replies to each of the reviewer's comments below. The manuscript with highlighted changes is added below.

We thank the reviewers for the thorough refereeing of our manuscript. The comments helped us to improve the quality and clarity of the manuscript.

Reviewer 1

I 348. I understand that the June 2019 temperatures at Kotzebue were erroneously high, so this mean annual average of 0.12 deg C might be exaggerated slightly. But the overall story of temperatures rising to near freezing should not be affected.

We acquired the latest NOAA data on 29 July 2020. In this new updated dataset temperature data from 1 May through 3 September 2019 indeed were removed from NOAA due to erroneous measurements. For winter year 2019 we calculated the temperature difference during the period with available data, 1 July through 30 April, and interpolated until 30 June 2019. We stated in the text and captions that these values are interpolated. We updated sections 3.3.1 and 4.2.1. We furthermore updated Figure 5, Table 4, and Supplementary Table 3 and marked 2019 with an asterisk (*).

Precipitation and snowfall data remained unaffected by the weather station issues during 1 May - 3 September 2019. Reviewer 1 is correct that the overall story of temperatures rising to near freezing is still valid.

I 350-351. Its not clear which year these numbers refer to.

It now reads "During winter year 2018 the weather station Kotzebue recorded only ..."

I 352. Table 4 not 5.

Changed to "Table 4"

I 362-363. The use of "increase to" and "increase of" is grammatically correct but a little confusing here. Also, the increase of 3.7 to 6.6 C is relative to some older average, you should say what the older time period was.

We changed the sentence to "... which marks an increase of 3.7 to 6.6 °C compared to the period from 2010-2019 ..."

I 403. Another reference to degraded surface morphology. Does this mean pervasive

thermokarst?

We changed the structure of the sentence and added: "The highly degraded surface morphology in this region indicates active and pervasive thermokarst processes."

I 421-422. There's no evidence of beavers in the Espenberg region that I know of. I don't think they had any role in any of the big northern Seward Peninsula lake drainages that you describe. In the Kobuk valley I've seen where they dam up the outlet of a drained lake, allowing it to refill.

Over the past two decades the beaver population has expanded significantly across NW Alaska, which is shown in Tape et al., 2018 and Jones et al. 2020. The latter found strong beaver activity on the Baldwin Peninsula, which is part of the study area. Although currently, beaver activity is unlikely at the (coastal) Cape Espenberg region, beaver dams were detected on the southern part of the northern Seward Peninsula with recent very-high-resolution satellite imagery.

We changed the wording of the sentence to focus more on lake dynamics, as beavers are at the moment more responsible for lake growth:

"The recent movement of beavers from the treeline to tundra regions in northwestern Alaska could also be a contributing driver of lake dynamics in the eastern and southern portions of the study region that requires further attention (Tape et al., 2018; Jones et al, 2020b)."

I 431. "drainage, in addition to the weather-induced driver."

We split the sentence into two separate sentences for easier readability.

I 464. "so-far"

We removed "so far"

I 475-477. I expect that the North Slope will see a similar outbreak of lake drainages when its temperatures hit 0 C also. Do you have any predictions about if and when that will occur?

The SNAP model ensemble for scenario RCP8.5 predicts MAAT of -2.6 ± 0.3 °C and MAP of 315 mm for the southern (lake-rich) part of the Arctic Coastal Plain. However, extreme events with high temperatures and precipitation may be likely much earlier, as seen in W/NW Alaska during 2017-2019.

We added the following sentence: "Temperatures are predicted to approach 0°C (MAAT 2090-2099: -2.6°C) on the southern Arctic Coastal Plain in a RCP8.5 climate scenario."

I 483-484. "This in combination with excess surface water likely caused the rapid drainage ...". This sentence is also a bit long/run-on.

We split this sentence for better readability.

Reviewer 2

This paper presents interesting and novel research on how quickly lake drainage can

happen over a substantial area when extreme weather events occurs. The paper is overall good and easy to follow but there are a few comments that I would suggest that the authors consider before publication.

Major comments: -

Why did you choose to do the comparison between 1999-2014 and 2017-2018 and leave out 2015 and 2016? Would be good if you could motivate this as I assume it has a scientific reason.

The two comparison periods are based on data availability. The Planet data has only been available in high temporal resolution for our study region since 2017/2018. As a base for comparison, the 1999-2014 lake extent layer originally produced by Nitze et al 2018a and 2018b was readily available for our analysis.

We added a sentence in 3.1.1 to clarify that the 1999-2014 dataset is readily available. We furthermore added a sentence in 3.1.2 why we focused on the change from 2017 to 2018 in the SAR data analysis.

In the aim it is stated that this study should investigate weather and climate data as well as modelled lake ice conditions as potential drivers of the widespread lake drainage.

The results from the modelled part are not well covered in the discussion. At present, section 4.2.2. and 4.3 can be deleted or the results should be better incorporated in the discussions

We expanded the discussion with a more thorough analysis and discussion of the lake ice model results.

Why did you choose to work on lakes larger than 1 ha? I assume you could have included smaller lakes as well with the resolution of your data set and given the possible importance of the smaller lakes for GHG emissions (See e.g. Kuhn et al., 2018. Emissions from thaw ponds largely offset the carbon sink of northern permafrost wetlands. Scientific reports), it would be great if you could please add a sentence about why you chose to only work on lakes with this size. –

The data analysis from Nitze et al., 2018a (Dataset: Nitze et al, 2018b) was based on Landsat data with 30m spatial resolution. The minimum mapping unit was set to 1 ha to avoid excessive uncertainties. Therefore, by using this dataset we use the same minimum mapping unit.

We added the Sentence “Water bodies smaller than 1 ha were excluded from the original analysis due to Landsat’s spatial resolution of 30m.” to clarify that we use the minimum mapping unit of the original dataset.

In the discussion the influencing factors are discussed, many sentences states that it is likely.... Would it not be possible to make a multiple regression with the climate parameters (that have already been analysed) to see if you have any statistically significant connections?

We carried out a multivariate RandomForest (Breiman, 2001) regression with annual weather attributes as input features and drained lake area per year (1999-2014, 2018) as the target variable. We used the Random Forest internal Feature Importance metric, which is widely used to quantify an input variable's importance. We furthermore evaluated the model performance during training and independent validation using r^2 .

We added a new subsection to the methods (3.5), and results (4.5) sections. We expanded the discussion with the results.

Minor comments:

Line 34 – Brown et al., 1997 is missing from the ref list

The reference has been added.

Line 39 –Nitze et al., 2018 should it be a or b?

We changed the reference to Nitze et al., 2018a

Line 40 – Pastick et al., 2015 is missing from theref list

The reference has been added.

Line 41 – Liljedahl et al., 2015 is missing from the ref list

The reference has been added and changed to Liljedahl et al., 2016.

Line 53 – Jones and Arp, 2015 is missing from the ref list

The reference has been added.

Line 65 – Lawrence and Slater, 2005 is missing from the ref list

The reference has been added.

Line 70 – Nitze et al.. 2018 should it be a or b?

We changed the reference to Nitze et al., 2018a

Line 71 – Nitze et al..2018 should it be a or b?

We changed the reference to Nitze et al., 2018a

Line 109 – Hopkins et al., 1955 should be Hopkins, 1955?

We changes the reference to Hopkins, 1955.

Line 188 – Nitze et al., 2018 should it be a or b?

We changed the reference to Nitze et al., 2018b

Line 204-205 – Lakes where the timing could not be detected manually, e.g. in case of very subtle drainage, were assigned no drainage year (25 of 270); what does the numbers in the parentheses mean?

This part now reads “(25 of 270 lakes)” to clarify that 25 of 270 lakes do not have a drainage year.

Line 212 – Perhaps a good idea to refer to Figure 1a after Kotzebue?

We added “(see Figure 1a)”

Line 281 – I suggest to remove the heading 4.1.2 as then you will have the same style for both 2017-2018 comparison and the past comparison.

We adapted the numbering of sections 3 (Methods) and 4 (Results) that subsection numbering matches

Line 352 – refers to Table 5 which I could not find in the manuscript

Changed to “Table 4”

Line 435 - Walter Anthony et al., 2014 is not in the reference list

The reference has been added.

Line 442 – Smith et al., 2003 is not in the reference list

We changed the reference to Smith et al., 2005

Line 443 – remove too in the beginning of this line

“too” is now removed

Line 642 - Nitze and Grosse, 2016, is this reference referred to in the running text?

The reference is now removed

Line 660 – Pastick et al., 2019, is this reference referred to in the running text?

The reference is now removed

Line 713 – Figure 1. This figure is fairly “empty”. Consider to include the lakes from table 2 in the map. Maybe also include Nome that you mentions weather data from in section 4.2.1?

We would like to keep the “empty” design as the map already contains several information layers (land/water, elevation, large lakes, place names) in our opinion as this map is targeted to provide a general overview of the region. Results (including large lake drainage events) are shown in Figure 3, which focusses on the results (with fewer information layers).

We have added a reference to Nome on the bottom of the map.

Line 727 – Figure 3. What does hillshade stand for? I cannot detect it in the figure

The hillshade is a shaded relief map, based on a digital elevation model, which we used here as a visual background of the land area. We changed the wording of the caption accordingly.

Line 732 – Figure 4 – Suggest to move “remaining pools” to figure d where it is mentioned.

We moved the annotations “remaining pools” to Figure 4d. Furthermore we improved the color scaling for better contrast between different landscape features.

Line 739 – Figure 5 – I think it is quite confusing with the greyscale on the dots, maybe you can have one colour per decade or something (with a legend outside of the box).

We changed the color coding to decadal steps for better visual separation. A legend is attached.

The catastrophic thermokarst lake drainage events of 2018 in northwestern Alaska: Fast-forward into the future

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Abstract.

Northwestern Alaska has been highly affected by changing climatic patterns with new temperature and precipitation maxima over the recent years. In particular, the Baldwin and northern Seward peninsulas are characterized by an abundance of thermokarst lakes that are highly dynamic and prone to lake drainage, like many other regions at the southern margins of continuous permafrost. We used Sentinel-1 synthetic aperture radar (SAR) and Planet CubeSat optical remote sensing data to analyze recently observed widespread lake drainage. We then used synoptic weather data, climate model outputs and lake-ice growth simulations to analyze potential drivers and future pathways of lake drainage in this region. Following the warmest and wettest winter on record in 2017/2018, 192 lakes were identified to have completely or partially drained in early summer 2018, which exceeded the average drainage rate by a factor of ~10 and doubled the rates of the previous extreme lake drainage years of 2005 and 2006. The combination of abundant rain- and snowfall and extremely warm mean annual air temperatures (MAAT), close to 0° C, may have led to the destabilization of permafrost around the lake margins. Rapid snow melt and high amounts of excess meltwater further promoted rapid lateral breaching at lake shores and consequently sudden drainage of some of the largest lakes of the study region that likely persisted for millenia. We hypothesize that permafrost destabilization and lake drainage will accelerate and become the dominant drivers of landscape change in this region. Recent MAAT are already within the range of predictions by UAF SNAP ensemble climate predictions in scenario RCP6.0 for 2100. With MAAT in 2019 exceeding 0° C at the nearby Kotzebue, Alaska climate station for the first time since continuous recording started in 1949, permafrost aggradation in drained lake basins will become less likely after drainage, strongly decreasing the potential for freeze-locking carbon sequestered in lake sediments, signifying a prominent regime shift in ice-rich permafrost lowland regions.

31

32 Keywords: Permafrost, permafrost thaw, thermokarst, lake change, lake drainage, Seward Peninsula, Baldwin Peninsula,
33 Alaska

34 1 Introduction

35 Permafrost is widespread (20 to 25 % of the land area) in the northern high latitudes (Brown et al., 1997; Obu et al., 2019) and
36 is primarily a result of past and present cold climatic conditions (Shur and Jorgenson, 2007). The rapidly warming Arctic
37 climate is already reducing the stability and distribution of near-surface permafrost. Warming of permafrost at the global scale
38 has been observed over recent decades from borehole temperature measurements (Romanovsky et al., 2010; Biskaborn et al.,
39 2019), while local to regional permafrost degradation has been observed in many studies of varying scales across the permafrost
40 domain (Nitze et al. [20182018a](#)). Widespread near-surface permafrost loss or transition from continuous to discontinuous
41 permafrost has for example been shown with remote sensing-supported permafrost modeling in Alaska (Pastick et al., 2015).
42 Permafrost degradation may lead to long-term surface subsidence (Streletskiy et al., 2017), change in hydrological regimes
43 (Liljedahl et al., 2015), and ~~-~~release of greenhouse gases carbon dioxide (CO₂), methane (CH₄), or nitrous oxide (N₂O)
44 (Elberling et al., 2013; Walter Anthony et al., 2018; Repo et al., 2009). In particular, the release of greenhouse gases from
45 carbon locked away for thousands of years will trigger further warming through the permafrost carbon feedback (Schuur et
46 al., 2015). Furthermore, the stability of permafrost is crucial for local communities which are dependent on ground stability
47 for infrastructure, food security, and water supply (Chambers et al., 2007; White et al., 2007; Melvin et al., 2017; Hjort et al.,
48 2018).

49 Rapid changes in lake area, including expansion and drainage, are strong indicators of permafrost degradation and thaw (Smith
50 et al., 2005; Hinkel et al., 2007; Jones et al., 2011; Grosse et al., 2013; Arp et al., 2018; Nitze et al., 2018a). Natural lake
51 drainage has been associated with near-surface permafrost degradation such as melting of ice wedges, formation of thermo-
52 erosional channels, gully headward erosion, or internal drainage through permafrost-penetrating taliks (Mackay, 1988;
53 Yoshikawa and Hinzman, 2003; Hinkel et al., 2007; Marsh et al., 2009; Jones et al., 2020a). Other natural lake drainage events
54 have been connected to increased precipitation, causing bank overtopping with subsequent drainage channel formation, or
55 snow dams and subsequent outburst floods and drainage channel formation (Mackay, 1988; Jones and Arp, 2015).

56 The southern margin of continuous permafrost in northwestern, western and interior Alaska as well as adjacent northwestern
57 Canada has been identified as a region with a high temporal variability in lake area, and particularly widespread lake drainage
58 (Jones et al., 2011; Chen et al., 2014; Lantz and Turner, 2015; Nitze et al., 2018a). Over the past few decades, lake drainage
59 has outpaced lake growth by 14.9 % on the Seward Peninsula in western Alaska, largely driven by the drainage of several very
60 large individual lakes (Jones et al., 2011; Nitze et al., 2017). Other transitional permafrost regions around the Arctic are broadly
61 affected by the same pattern, with widespread drainage events and ~~total-area-of-net~~ lake ~~area~~ loss ~~exceeding total-area-of lake~~
62 ~~expansion~~ (Smith et al., 2005; Nitze et al., 2018a). However, lakes also experience intra-annual (Cooley et al., 2017, 2019) to

63 multi-year (Plug et al., 2008; Karlsson et al., 2014) water level fluctuations linked to precipitation and evaporation dynamics
64 or overall hydrological runoff regimes, which can cause high uncertainty in interpreting temporally sparse observations. In
65 particular, recently shifting weather patterns with warmer air and sea surface temperatures along Arctic coasts driven by
66 reduced sea ice cover (Bhatt et al., 2014) may also have an effect on coastal lowland permafrost (Lawrence and Slater, 2005)
67 and thus potentially lake dynamics (Alexeev et al., 2016; Arp et al., 2019). For example, persistent warm air and sea surface
68 temperatures caused a new sea ice minimum in the Bering Sea west of Alaska resulting in unprecedented largely open seas in
69 the winter 2017/2018 (Stabeno and Bell, 2019) .

70 Other regions with cold continuous permafrost (e.g., Arctic Coastal Plain, Tuktoyaktuk Peninsula, Coastal Lowlands of
71 Siberia) are also affected by lake drainage (Hinkel et al., 2007; Kravtsova and Bystrova, 2009; Karlsson et al., 2012; Lantz
72 and Turner, 2015; Olthof et al., 2015; Nitze et al., 2017, [20182018a](#); Jones et al., 2020a), but to a lesser intensity than the
73 transitional zone towards discontinuous permafrost, particularly in Alaska and western Siberia (Nitze et al., 2017, [20182018a](#)).
74 Several studies suggest that lake drainage might be episodic with drainage events clustered in time and therefore potentially
75 related to specific environmental conditions, such as high precipitation events (Marsh et al., 2009; Swanson, 2019; Jones et
76 al., 2020a). Others, in contrast, find more stable to decreasing, long-term drainage rates, e.g. in northern Alaska and the Western
77 Canadian Arctic (Hinkel et al., 2007; Marsh et al., 2009; -Jones et al., 2020a).

78 In western Alaska, a series of major drainage events took place in the mid-2000s, where some of the largest thermokarst lakes
79 on the ground-ice rich northern Seward Peninsula drained within a short period of a few years (Jones et al., 2011; Swanson,
80 2019). The recent drainage of several large lakes on the northern Seward Peninsula and the largest lake on the Baldwin
81 Peninsula provides an interesting test bed for analyzing lake drainage progression in high temporal and spatial detail using
82 remote sensing imagery, meteorological data, and lake ice characteristics. The geographic proximity to the Bering and Chukchi
83 seas that both have experienced rapid sea ice loss and climatic shifts in recent years offers a unique opportunity to study the
84 relationship between changing climate regimes and lake dynamics in permafrost regions on short-time-scales. In this study we
85 therefore use temporally high-resolution remote sensing and meteorological data to quantify:

- 86 1) How much lake area was affected by the recent drainage events in western Alaska in 2018?
- 87 2) How do the drainage events, documented in 2018, compare to other previous events such as in the mid 2000's in
88 terms of area, spatial distribution, and temporal (intra-annual) sequence?
- 89 3) What are the primary drivers of the recent drainage events and how may projected future climate scenarios affect lake
90 trajectories in this region?

91 To answer these questions, we analyzed recent optical and synthetic aperture radar (SAR) satellite imagery (Planet, Sentinel-
92 1) from 2017 and 2018 to map the spatio-temporal lake change dynamics and compared the results to available datasets of past
93 lake dynamics (Nitze et al., 2018a; Nitze et al., 2018b) and climatic conditions. Furthermore, we investigated weather and
94 climate data as well as modeled lake ice conditions as potential drivers of the widespread lake drainage.

95 2 Study area

96 In this study, we focus on the northern Seward Peninsula (NSP) and the Baldwin Peninsula (BP) in western Alaska. The study
97 area covers a total land area (including interior water bodies) of 25,271 km². It is bounded by the Chukchi Sea and Kotzebue
98 Sound to the north and northwest, different hill ranges in the south, and Selawik Lake, Hotham Inlet and the 161°W meridian
99 in the east (Figure 1). It is part of the Bering Land Bridge region, which was largely unglaciated during the last glacial
100 maximum and is now located at the southern margin of the continuous permafrost zone (Jorgenson et al., 2008; Obu et al.,
101 2019). Measured ground temperatures range between -3.5 and -0.8 °C (Biskaborn et al., 2015; GTN-P Ground Temperature
102 Database). Modeled ground temperatures range between -2.8 and +0.5 °C, with the majority between -1.5 and -2.0°C (Obu et
103 al., 2019).

104 The area is characterized by a subarctic continental climate with a mean annual air temperature (MAAT) of -5.1 °C and 279
105 mm precipitation as reported at the Kotzebue climate station (NOAA, 1981-2010). Snowfall accumulation averages 157 cm
106 per year, considerably more than for example in northern Alaska (~95 cm in Utkiagvik/Barrow). Snow typically
107 persists until the mid to end of May (Macander et al., 2015).

108 The study region is composed of a strongly degraded ice-rich permafrost landscape with typical permafrost landforms, such
109 as thermokarst lakes and drained thermokarst lake basins of several generations (Plug and West, 2009; Jones et al., 2011;
110 Jones et al., 2012), pingos, ice wedge polygon networks, and ice-rich yedoma uplands as remnants of the Pleistocene
111 accumulation plain (Hopkins et al., 1955; Jongejans et al., 2018). The morphology is variable with mostly flat terrain (<20
112 m) in highly degraded permafrost terrain along the coastal margin of the NSP and undulating terrain with steep slopes in the
113 upland regions of the NSP. The mountainous terrain along the southern margin of the NSP reaches up to ~700 m elevation.
114 The Baldwin Peninsula (BP) is characterized by rolling terrain from sea-level to ~50 m elevation with a mixture of degraded
115 permafrost with partially drained lake basins and uplands in various stages of degradation (see Figure 1).

116 The foothills and mountain ranges of the study area are underlain by bedrock. Furthermore, the NSP is locally affected by Late
117 Quaternary volcanism, with the presence of four known maar lakes (Devil Mountain, White Fish, North Killeak, South
118 Killeak), which are the largest lakes of the study region and the largest maar lakes globally (Beget et al., 1996). Further volcanic
119 landscape features such as degraded volcanic bedrock cores, young basaltic lava flows and young cinder cones are locally
120 present in the southern portion of the NSP (Hopkins, 1955). In part, deposits of the BP are likely of glacial origin, with a buried
121 terminal moraine covered with yedoma-like, ice-rich sediments (Huston et al., 1990; Jongejans et al., 2018).

122 The region is one of the major lake districts in Alaska (Arp and Jones, 2009). Lake presence in the selected study area is
123 concentrated on the coastal plains and thermokarst terrain. The majority of lakes are located in drained thermokarst lake basins,
124 have shallow depths of less than 2 m, and are often later generation thermokarst lakes in locations that experienced several
125 previous lake generations (Jones et al., 2012; Lenz et al., 2016). However, first generation thermokarst lakes up to 15 m in
126 depth, intersecting the remaining yedoma upland surfaces, are still present (Kessler et al., 2012). Yedoma uplands with flat

surfaces are speckled with initial thermokarst ponds and small lakes, most notably on the BP (Jongejans et al., 2018). In addition, the four large maar lakes on the NSP that reach depths of up to 100 m (Beget et al., 1996). Vegetation is predominantly composed of shrubby tundra and is located in zones D and E of the Circumpolar Arctic Vegetation Map (CAVM) (Walker et al., 2005). Vegetation is typically abundant in sheltered areas along thermokarst lake margins. Floating vegetation mats may be present on lakes margins and persist above water associated with expanding lake margins (Parsekian et al., 2011).

3 Data and Methods

3.1 Data

3.1.1 Lake dataset base layer

We used the lake change dataset of Nitze et al. (2018a, 2018b) as the base layer for further analysis- and for understanding decadal-scale lake dynamics. This dataset contains polygon vectors of the buffered lake extent of individual lakes larger than 1 ha. ~~Water bodies smaller than 1 ha were excluded from the original analysis due to Landsat's spatial resolution of 30m.~~ The dataset includes spatial attributes and statistics such as individual lake area in 1999 and 2014, net change (gain minus loss) and gross changes (gain, loss) from 1999-2014, as well as lake shape parameters, such as orientation, eccentricity, and solidity. All lakes intersecting the study area (n=4605) were selected for analysis. We focussed on this period due to the availability of this already published and available dataset. Further GIS and spatial analyses are based on the geometries of this lake dataset (Lake Change 1999-2014: named Lk hereafter). An overview of the lake change datasets is provided in Table 1.

3.2 Remote sensing analysis

3.2.1 Water masks for 2017 and 2018: Sentinel-1 imagery

We extracted late-summer water masks for the years 2017 and 2018 using Sentinel-1A/B SAR data in Google Earth Engine (GEE) (Gorelick, et al., 2017) (see Figure 2). We focussed on the difference from 2017 to 2018 as this period was previously identified as strongly affected by lake drainage.

We identified all Sentinel-1A/B images available between 1 August and 30 September in both 2017 and 2018 (Watermask 2017: WM2017, Watermask 2018: WM2018) and selected VV polarization, which was available for all S1-data within this period. Erroneous low-backscatter values along image margins, which are a common issue for these datasets, were clipped per default with a buffer of 5000 m.

We created a median value composite of the entire image stack, to lessen the impact of very high backscatter values caused by windy conditions. After histogram analysis, we determined a backscatter value of -18 dB as the best threshold point between land and water. All backscatter values below -18 dB were added to the surface water masks of 2017 (WM2017) and 2018 (WM2018), respectively. We exported the two water masks to raster files with 20x20 m grid spacing in UTM3N projection.

The water masks WM2017 and WM2018 were intersected with the lake extent base layer (Lk) using zonal statistics in QGIS version 3.6 (QGIS Development Team, 2019) to retrieve lake area extent and zonal statistics values for 2017 and 2018. Lastly, all lakes with a lake area loss of >25 % and initial size of >1 ha, based on the difference of WM2017 and WM2018, were defined as drained lakes. This follows previous studies defining drainage thresholds by lost water area of >25 % (Hinkel et al., 2007; Olthof et al., 2015; Jones et al., 2020a). The drained lakes dataset is referred to as LkDrain.

Links to the GEE code used for water masking are provided in the Code and Datasets Section.

3.2.2 Timing of drainage 2017 and 2018: Planet imagery

To determine the drainage patterns and mechanics as well as to compare long-term versus short-term drainage patterns, we automatically analyzed temporally high-resolution Planet CubeSat imagery (Planet Team, 2017) from 2017 and 2018 and visually inspected the largest drained lakes. With over 120 satellites in orbit, the Planet constellation provides a temporal frequency of observations of less than one day at a ground resolution of 3.125 m, which makes Planet data an ideal solution for mapping rapid landscape dynamics at high spatial and temporal resolutions. For mapping individual lake dynamics in 2017 and 2018, we used the automated lake tracking workflow presented in Cooley et al. (2017, 2019). A complete description of the method can be found in Cooley et al. (2019). A brief summary is provided here. First, we downloaded all PlanetScope (3.125 m resolution) and RapidEye OrthoTiles (5 m resolution) with <20 % cloud cover available from Planet Labs between May 1 and October 1 for both 2017 and 2018. We then created an initial lake mask which contains the maximum extent of all water bodies in the study area between 2017 and 2018. This initial mask was buffered by 60 m and all rivers were removed to produce a buffered water mask used for both seeding the water classification and tracking changes in lake area.

We then classified all of the images into water or land by applying a histogram-derived threshold to each image's NDWI $((\text{NIR} - \text{green}) / (\text{NIR} + \text{green}))$ as described in Cooley et al. (2017; 2019). To track changes in lake area, we used an object-based lake tracking method wherein for every image, we calculated the total amount of water contained within each lake object in the buffered mask. This method allows for direct comparison between RapidEye and PlanetScope imagery with its different spatial resolution and furthermore is robust against potential minor geolocation uncertainty.

At the time of analysis, Planet Labs imagery did not provide a reliable cloud mask. Therefore, the third and most critical step of the method was removal of cloudy or poor quality observations using a machine learning-derived filtering algorithm. To do this, we first created a manual training dataset of valid/invalid lake area observations and then used this dataset to build a random forest classifier that automatically removes cloudy/poor quality lake observations. This method is able to accurately classify 97 % of observations as valid or invalid. We then selected the best observation for each day and applied additional outlier and median filters to produce the final time series. While we do not specifically remove ice-covered observations from

the analysis, Cooley et al. (2019) demonstrate that most ice-impacted lake area observations are classified as invalid by the random forest classifier.

The final lake dynamics dataset, henceforth referred to as LkDyn, includes buffered polygon vectors, seasonal time series of lake area, as well as basic descriptive lake area statistics such as minimum area, maximum area, and seasonal dynamics (max - min) for each individual lake. For the analysis of temporal lake drainage patterns we spatially joined all lakes of LkDyn, which intersected LkDrain.

3.2.3 Identifying past lake drainage for 1999-2014

For lake dynamics from 1999-2014, we used the lake change dataset of Nitze et al. (2018, 2018b) (Lk) to compare recent dynamics to the observed drainage events of 2018. We opted for manual image interpretation based on satellite imagery video animations as there is to our best knowledge no reliable automated method available to determine drainage dates in challenging Arctic environments. We tested the automated LandTrendr method, which automatically determines breakpoints in time-series, to retrieve the timing of lake drainage between 1999 and 2018 (Kennedy et al., 2010; Kennedy et al., 2018). Results obtained with this method were highly unstable with insufficient reliability.

We created video animations in GEE for each individual drained lake, with time-stamped frames, and determined the drainage year manually through visual interpretation (link to code see below). The drainage year was defined as the point in time of initial clearly visible drainage, which could be a) visible exposure of lake bottom sediments or b) a strong increase in vegetation, e.g. due to sudden lake level drop. The entire calculated area loss was assigned to the determined drainage year. Lake area loss of lakes with a longer drainage process >1 year, e.g. from 2005 until 2009, were counted as full drainage in the initial drainage year (2005). The visual interpretation was aided by plotting the time-series of multi-spectral indices (Tasselled Cap, NDVI, NDWI) for each individual drained lake.

Lakes with data gaps (up to several years) right before the determined drainage year, were flagged in the statistics. This frequently applied to years 2005 and 2008, which had several data gaps in the preceding years (see Supplementary Figure 1). Data gaps were caused by limited data availability, frequent cloud cover and shadows, as well as the Landsat-7 Scan Line Corrector (SLC) error. Lakes where the timing could not be detected manually, e.g. in case of very subtle drainage, were assigned no drainage year (25 of 270 lakes).

Links to the GEE video animation processing code and time-series plotting are provided in the Code and Datasets Section.

The videos are accessible at:

https://github.com/initze/NW_Alaska_Drainage_Paper/tree/master/animations/lake_animations_drainage_1999-2014

https://github.com/initze/NW_Alaska_Drainage_Paper/tree/revision01/animations/lake_animations_drainage_1999-2014

217 3.3 Climate and weather analysis

218 3.3.1 Weather

219 We analyzed synoptic weather data from the nearest weather station in Kotzebue (see Figure 1a) that is provided by the
220 National Oceanic and Atmospheric Administration (NOAA). We acquired the GHCN-Daily datasets (Menne et al., 2012) in
221 CSV format through the web-search on the NOAA website (<https://www.ncdc.noaa.gov/cdo-web/search>). The dataset provides
222 a daily series of minimum ($t_{\min}T_{\min}$) and maximum ($t_{\max}T_{\max}$) temperatures (tT), precipitation (prcp) and snowfall (sf) ~~from~~since
223 1897-until 2019, with continuous observations since 1950. Temperature recordings from 01 May 2019 through 03 September
224 2019 were affected by erroneous measurements and removed by NOAA from reprocessed datasets (as of 29 July 2020).
225 Precipitation and snowfall measurements were not affected and are available for the entire period.

226 Daily mean temperatures are not available continuously. Therefore, we calculated daily mean temperatures as the mean of
227 daily minimum and daily maximum temperatures. For calculating the influence of winter conditions (tT , prcp, sf) we analyzed
228 the weather conditions from July 1 of the preceding year until June 30 on a yearly basis, from here on referred to as “winter
229 year”. Therefore, winter year 2018 for example is defined as the period from July 1 2017 through June 30 2018. In addition to
230 the standard attributes (mentioned above), we calculated Freezing Degree Days (FDD) as the cumulative sum of negative mean
231 daily temperatures per winter year. Snow accumulation is calculated as the cumulative sum of snowfall per winter year.

232 We calculated climatological means for daily observations and yearly aggregated statistics. For daily values we calculated
233 means and standard deviations of mean temperatures ($t_{\text{mean}}T_{\text{mean}}$) for each calendar day, excluding 29 February, from 1 January
234 1981 through 31 December 2010. We calculated yearly mean temperature as the mean of daily $t_{\text{mean}}T_{\text{mean}}$ mean temperatures
235 ($t_{\text{mean}}T_{\text{mean}}$). We calculated the mean of yearly values between 1981 and 2010 as the climatic mean temperature. For annual
236 statistics of winter years we calculated values ranging from July 1980 through June 2010, according to the previously stated
237 winter year definition.

238 Code: For climate and weather data preprocessing and time-series plotting, a python package was developed by Ingmar Nitze,
239 which is available at <https://github.com/initze/neaaplotter>.

240 3.3.2 Climate prediction

241 We downloaded Decadal SNAP (Scenarios Network for Alaska and Arctic Planning, 2020) Ensemble Climate Model
242 Projections (2 km CMIP/AR5) of Scenarios RCP4.5, RCP6.0, and RCP8.5 for the study region. This dataset contains decadal
243 ~~(2000-2010, 2010-2019, 2020-2029, ..., 2090-2100)~~2099) mean annual, seasonal and monthly air temperature and
244 precipitation. For analysis we used annual predictions of temperature (MAAT) and precipitation (MAP). Gridded data is
245 available at a spatial resolution of 2 km across Alaska and parts of western Canada. We clipped the data to the extent of the
246 study area and calculated the mean and standard deviations for the entire study region for projected MAAT and MAP values
247 for each decade.

3.4 Lake ice simulations

We used the Canadian Lake Ice Model (CLIMo; Duguay et al., 2003) to analyze the impact of weather conditions on lake ice growth and permafrost. CLIMo is a 1-D thermodynamic ice model that has been used in several studies (Ménard et al., 2002; Labrecque et al., 2009; Brown and Duguay, 2011; Surdu et al., 2014; Antonova et al., 2016). CLIMo output includes all energy balance components, on-ice snow depth, the temperature profile at an arbitrary (specified) number of levels within the ice/snow (or the water temperature if there is no ice) and ice thickness (clear ice and snow ice) on a daily basis, as well as freeze-up/break-up dates and end-of-season clear (congelation) ice, snow ice and total ice thickness. Model output of particular interest to lake ice simulations within the context of this study is the evolution of lake ice growth and maximum ice thickness as they are useful proxies for freezing intensity and the influence of weather conditions on potential ground stability. Thicknesses of snow ice and that of congelation ice layers (referred to hereafter as “top-growth” and “bottom-growth”, respectively) were also analyzed to account for snow mass and snow insulation effects.

The lake ice model is forced with five meteorological variables consisting of mean daily near-surface air temperature, relative humidity, wind speed, cloud cover, and snowfall (or snow depth from a nearby land site when available). Four of the five meteorological variables (all but snowfall) were taken directly or derived from the ERA5 atmospheric reanalysis product from the European Centre for Medium-Range Weather Forecasts (ECMWF). Since ERA5 did not provide adequate snowfall or snow depth values, we obtained snow depth data from NOAA’s Global Historical Climate Network Daily (from the nearest weather station at Kotzebue Ralph Wien Memorial Airport) for model simulations.

We performed simulations over nearly a 40-year period (1980-2018) and with specification of a mixed-layer depth of 2 m. The length of the record was chosen based on the availability of ERA5 data and to be able to place lake ice model output for the 2018 winter year into a broader historical context. Finally, in order to account for redistribution of snow across lake ice surfaces which is a process well documented in several studies (e.g. Duguay et al., 2003; Sturm and Liston, 2003; Brown and Duguay, 2011; Kheyrollah Pour et al., 2012), we ran the model with two sets of snow depth scenarios; one with full snow cover (100% of the amount measured at the Kotzebue weather station) and the other with no snow cover (0% snow – i.e. snow free ice surface) to capture the range of snow conditions that one would expect to observe in the field.

3.5 Random Forest Feature Importance analysis of annual weather variables

In order to analyze the influence of weather conditions on the annual drained lake area we used the Feature Importance (FI) measure of the Random Forest machine-learning model (Breiman, 2001). The internal FI metric quantifies the importance of individual input variables as a percentage, where all individual FI sum up to 100 %.

Random Forest is an ensemble of individual decision trees and is a widely used method for classification and regression tasks (Belgiu and Drăguț, 2016) as well as measuring feature importances (Nitze et al., 2015). We used annual (winter year) weather attributes as input features (mean temperature, cumulative precipitation, cumulative snowfall, freezing days, freezing degrees of the winter year) and annual drained lake area (1999-2014, 2018) as the target variable. As the FI requires a high number of

individual decision trees we carried out our analysis with 1000 trees with the scikit-learn package in python. For evaluating the model performance we used the r^2 on the training data and unbiased r^2 based on the internal out-of-bag estimator and leave-one-out cross-validation.

4 Results

4.1 Lake changes

4.1.1 Lake drainage 2018

Lake area loss was severe in 2018, where 192 of 4605 lakes larger than 1 ha lost more than 25 % of their initial size (LkDrain). These lakes lost an accumulated water area of 1622.04 ha between late summer 2017 and 2018. Total net lake area loss, including all lakes, was 2062.56 ha (4 % of the total lake area in the study domain).

Lake drainage clustered around two types of lake sizes. Five very large lakes (>100 ha) lost 1072.68 or 66.1 % of the total drained lake area (LkDrain), while the remaining 190 lakes accounted for 549.36 ha or 34.9 %, where the largest lake had an initial size of 28.5 ha in 2017 (Table 2). Of the five large drained lakes, four are of thermokarst origin and the largest is a lagoon on the BP, which likely is affected by episodic flooding and drying. The five large lakes that drained were some of the largest thermokarst lakes in the entire study area before drainage (Size rank 6, 12, 32, 39, 51). The only other bigger lakes in the study region were formed or affected by Late Quaternary volcanic activity (four maar lakes and Imuruk Lake) and therefore are less prone to lake drainage caused by permafrost degradation.

Spatially, the highest density of lake drainage events is located in the Cape Espenberg region in the northeastern part of the NSP (see Figure 3). On the BP, two spatial clusters of lake drainage prevail. The first cluster is located in the center of the northern part of the BP, which encompasses the now drained formerly largest lake (Lake ID 64656) and its neighboring basins. The second cluster is located in the southern part of the BP, where several partially drained lakes form a nearly linear structure. Smaller clusters or individual lake drainage events are scattered predominantly along coastal and lowland areas of the entire study region and across different landscape units, such as uplands, thermokarst basins, coastal depressions or river floodplains. Lake drainage in the southern more mountainous region of the SP was scarce.

4.1.2 Intra-annual lake drainage dynamics

Temporal Patterns

The analyzed lakes exhibit various distinct seasonal patterns of lake area loss or drainage. The ice-break-up period in late May and early June 2018 was the most dominant period of lake drainage. Nine of the largest 10 lakes (see Table 3) exhibit a strong decline in lake area before July 2018, and one rapid drainage event in early July (Lake ID 101359). In the majority of these cases ($n=8$), the first valid observation of 2018 already shows a significant decline compared to the last observation of 2017, which indicates drainage during snow-melt and ice-break-up, when data observations were still masked due to the presence of

ice and snow. During June 2018 weather conditions were favorable for optical remote sensing and observations for ice-free persistent lakes are available. From July lake area only decreased slowly and gradually among the analyzed lakes (LkDrain) without further distinct drainage peaks. A detailed example of a representative lake drainage event is presented in Figure 4. Apart from the general regional dynamics, individual lake drainages followed variable patterns of drainage velocity/duration and timing. Drainage patterns included sudden complete lake area loss (e.g. Lake IDs 99230, 64656), multiple recurring drainage events (Lake IDs 72420, 100644, 99583), gradual loss (Lake IDs 99756, 100218) to initial loss followed by partial refilling (Lake IDs 99381, 99465, 99532) (see Supplementary Files).

Supplementary figures are available at:

https://github.com/initze/NW_Alaska_Drainage_Paper/tree/master/figures/lake_drainage/planet_lake_area[https://github.com](https://github.com/initze/NW_Alaska_Drainage_Paper/tree/master/figures/lake_drainage/planet_lake_area)

Quantification

The early season lake drainage affected the largest lakes, and therefore the largest area. The time-series animation of the ten largest lakes can be accessed by video (see Table 2). The third largest drained lake (Lake ID 99230) for example started draining on June 2 and lost the majority of its water within the following two weeks. During the summer months the remaining shallow ponds dried out further, while only few apparently deeper ponds remained. Imagery from spring 2019 showed the development of vegetation, which follows the typical thermokarst lake cycle of this region (lake, lake drainage, drying of exposed lake bottom, vegetation emergence; Jones et al., 2012) (see Table 2 for video). The largest drained lakes follow a similar trajectory of rapid drainage around ice-break-up and further drying of the drained lake basin during peak summer.

Spatial patterns

Nine out of the ten largest drained lakes are second generation thermokarst lakes, which are typically located within a complex of previously drained lake basins. The second largest “lake” is actually a lagoon, which is likely influenced by sea water inundation. Each of the lakes had a significant fraction of their shoreline within the former drained lake basin. These are typically covered by wet tundra and underlain by terrestrial peat overlying lacustrine sediments (Jones et al., 2012). Based on visual image interpretation, all lakes drained through previously established drainage pathways, which are located in flat basin terrain and suggest that these likely are “weak spots” for full drainage.

During these drainage events new channels formed or existing channels deepened. In several instances (Lake IDs 99368, 64656, 99492, 102499), new drainage channels are evident based on new sediment fans that formed downstream. In the case of several lakes, the drainage caused a chain reaction, where hydrologically connected lakes, both up- and/or downstream of the initially drained lake, drained as well. Due to the widespread presence of these surface drainage indicators, talik penetration to a groundwater layer can be excluded for the study area.

4.1.32 Lake drainage 1999-2014

From 1999 through 2014 we observed 268 lakes larger than 1 ha that lost more than 25 % of their area, resulting in a total water area loss of 3245.74 ha during the observed period within this group of lakes. The net lake loss of the study region, including all lakes was 3677.43 ha or 6.0 % of the overall lake area. The six largest drained lakes accounted for more than half of the lost lake area (50.6 %) and were each among the 33 largest lakes (Size rank 9, 12, 13, 26, 29, 33) of the study area (Table 3). Each of these lakes was apparently of thermokarst origin.

These drained and partially drained lakes predominantly occur along the near coastal zone of the NSP and around Shishmaref Inlet (see Figure 3). Within this region, lake drainages are distributed uniformly with no distinct clusters. The BP and southern Kotzebue Sound do not show major drainage activity in this period with only three lakes that fulfill the defined criteria. The vicinity of Imuruk Lake had four drained lakes.

Timing of drainage

The analysis of drained lakes revealed a period of widespread lake drainage with up to 21 confirmed events per year from 2002 until 2007 and 2009 (see Supplementary Figure 2). The number of detected drained lakes in 2005 was exceptionally high with 56, but the majority (n=33) did not have sufficient observations in the preceding year 2004 or even 2003 to confirm the correct drainage year. This number of drained lakes is therefore associated with a high degree of uncertainty. Years 2003, 2007, 2009 and 2012 also have more than 5 lakes, which have an uncertain drainage date.

Although the number of drained lakes is relatively stable over time, drained lake area spiked in 2006 and 2007 with 922 ha (uncertain: 6.35 ha) and 631 ha (uncertain: 15.57 ha) net lake area loss, respectively. The significant uptick in 2006 was driven by the drainage of very large lakes, particularly in the Cape Espenberg region of the NSP. The years 2003 and 2004 follow with lake area loss of 323.65 ha (uncertain: 41.58 ha) and 413.06 ha (uncertain: 3.91 ha), respectively. The numbers are conservative and might be even higher (see uncertainty 2005) due to a low data coverage during this period.

4.23 Weather and Climate

4.23.1 Weather observations

The preceding winter and spring of 2017/2018 (winter year 2018) was the warmest, wettest and second snowiest on record at this time. Compared to the entire weather record this winter was highly exceptional (Figure 5, Table 4). Mean daily air temperatures exceeded the climatological means persistently and frequently with 127 days above one standard deviation from the climatological mean, only interrupted by a short cold snap in January 2018 (Figure 6). On several days air temperatures were close to 0 °C, which is 15 to 20 °C above the climatological mean. Exceptional warmth lasted continuously from October 2017 until ~~fall~~December 2019. Winter year 2019 even largely surpassed 2018 with an annual air in temperatures until the temperature measurement failure from 1 May 2019. The mean temperature was 1.11 °C higher than the previous winter year's

period from 1 July through 30 April, which projects to a MAAT of ± 0.4221 °C, but with average. The cumulative precipitation and was lower with 279 mm. Cumulative snowfall accumulation of 279 mm and was 155 cm, respectively.

The During winter year 2018 weather station Kotzebue recorded only 1905 cumulative Freezing Degree Days (FDD; the sum of average daily degrees below 0 °C) and an annual air temperature of -1.3 °C, which exceeded the previous record by 0.53 °C and 238 FDD. The 10 warmest and 5 coldest years are shown in Table 54. Accumulated snowfall was the 2nd highest on record with 274 cm, only exceeded by 2005 (305 cm). Overall precipitation of the winter year 2018 was the highest on record with 424.5 mm, exceeding very wet, but much colder winter years 2013 (402 mm, -5.41 °C) and 1995 (393.7, -5.92 °C). Precipitation, mostly as snowfall, was particularly strong from October through February, with the exception of January. All indicators highlight the exceptional conditions of winter 2017/2018 in western Alaska. Weather data from Nome (ca. 300 km south of Kotzebue) on the southern SP indicate a similar picture of extreme weather conditions with the second warmest and third-snowiest winter year on record. Climate reanalysis data (GHCNv4) confirm a larger regional pattern of exceptionally warm conditions across the Bering Strait (see Supplementary Figure 2).

4.23.2 Climate model projections

The UAF SNAP Climate model ensemble consistently projects an increase in temperature and precipitation for western Alaska with a plateau after around 2070 for RCP4.5 and continuous increase for the remaining scenarios in the 21st century. They predict an increase to a regional MAAT of -0.39 ± 0.38 °C (RCP4.5), $+0.44 \pm 0.37$ °C (RCP6.0), and $+3.00 \pm 0.38$ °C (RCP8.5) during the 2090s, which marks an increase of 3.7 to 6.6 °C compared to the period from 2010-2019 (Supplementary Figure 3). MAP is projected to increase by around 12 % (RCP4.5), 20 % (RCP6.0), and 32 % (RCP8.5) on average.

4.34 Lake ice simulations

Modeled maximum lake ice thickness of the winter year 2018 was 1.14 (100% snow) to 1.32 m (no snow). It was below average compared to 1981-2017 ($1.31 \text{ m} \pm 0.14 \text{ m}$ for 100% snow; $1.68 \text{ m} \pm 0.12 \text{ m}$ for no snow) but thicker than the absolute minimum of 0.99 m (100% snow) in 2014. Lake ice thickness of 2018 was primarily determined by top ice-growth (snow-ice formation), which is strongly dependent on snow mass on the ice surface. Snow-ice formation correlates well with high snowfall years, such as 2005 or 2011. The bottom (congelation) ice-growth of 2018 reached a new extreme low with only 0.32 m (100% snow) to 1.32 m (no snow) (see Figure 7). This compares to $0.87 \text{ m} \pm 0.23 \text{ m}$ (100% snow) and $1.68 \text{ m} \pm 0.12 \text{ m}$ (no snow) from 1981-2017. Low bottom ice-growth indicates a strongly decreased freezing activity (negative heat flux) into the lake and potentially into the ground of the surrounding terrain. The exceptional combination of high temperatures and high snowfall, as experienced in ice season 2017-2018, are the strongest factors for these patterns.

4.5 Random Forest Feature Importance analysis of annual weather variables

The feature importance analysis based on a Random Forest machine-learning regression and annual weather variables revealed that the number of freezing days are the strongest predictor of drained lake area. It yielded a Feature Importance (FI) 29.7 %. Cumulative freezing degree days, annual winter year temperatures and cumulative precipitation have a similar impact with a FI of 21.8 %, 19.5 % and 18.8 % respectively. Cumulative snowfall yielded an FI score of 10.3 %. The model performance on the training data was very good with an R^2 of 0.85. However, the predictive power of the model on unseen data was poor with an R^2 of -0.18. The model prediction of extreme drainage events (2006, 2018) was particularly poor with the independent validation.

5 Discussion

Lake drainage in western Alaska in historical context

The massive drainage of many lakes in early summer 2018 in western Alaska was an extreme event, which dwarfs previous lake drainage events within this region since the availability of remote sensing data. Although the study area experienced widespread lake drainage during the mid 2000's (Jones et al., 2011, Nitze et al., 2018a; Swanson, 2019), the year 2018 exceeded average annual lake drainage rates of 1999-2014 by a factor of 7.5 in area and 10.9 in numbers of lakes, clearly indicating a response of the system to extreme weather conditions. Recent lake drainage in 2018 even doubled the previous record year of 2006 in drained area and 10-fold in number of drained lakes. From 1950 until 2006/2007 lake drainage and lake expansion rates on the northern SP were fairly stable. The strong influence of large lakes on drainage rates in 2018 confirmed previous findings (Jones et al., 2011). A recent study by Swanson (2019) identified the same exceptional event for the National Parks of northwestern Alaska, which partially overlaps with our study area.

The high level of permafrost degradation, apparent by a large fraction of drained basins of several generations (Jones et al., 2012; Regmi et al., 2012), shows the general susceptibility of the landscape to rapid thermokarst lake dynamics, including drainage, within the study region. This landscape underwent intense thermokarst development over the past millennia, with the onset of thermokarst development during the early Holocene (Wetterich et al., 2012; Farquharson et al., 2016; Lenz et al., 2016).

Available data of historic lake drainage is sparse. Therefore, a comparison of recent drainage rates with long-term development is difficult due to a lack of consistent observations, in particular for the pre-remote-sensing period.

Local context

The BP stands out in particular with a strong increase in lake drainage events in 2018, including its largest lake, relative to the period of 1999 to 2014. However, as evidenced by newly forming and expanding ponds, which are widely dotting the landscape, active thermokarst lake expansion prevailed during the preceding decades, but rarely triggered drainage events. A

recent study by Jones et al. (2020b) ~~has~~ found a significant expansion of beaver dam building activities on the Baldwin Peninsula ~~during the period 2019 to 2019~~. Beavers strongly influence local hydrological regimes by damming up thermo-erosional valleys, ~~outlet streams~~, or drained lake basins, which leads to ~~water level increase as well as~~ pond and lake formation and could potentially factor into lake drainage dynamics.

On the SP₁ lake drainage was concentrated on the coastal ~~lowland~~ region, particularly the Cape Espenberg lowlands, which ~~are have~~ also ~~been~~ hot-spots of ~~previous~~ lake drainage events ~~of during~~ the past decades. The location of recently drained lakes follows patterns of strong Holocene thermokarst ~~and lake drainage~~ activity (Jones et al., 2012; Lenz et al, 2016) in the same area, ~~which is apparent in~~. The highly degraded surface morphology ~~in this region indicates active and pervasive thermokarst processes~~.

Influencing factors

The exceptional weather conditions in western Alaska are likely the main cause of the significant lake drainage events of summer 2018. Abundant snowfall with the second highest cumulative snowfall on record created a thicker-than-usual insulation layer for the ground, which kept cold winter temperatures from penetrating the ground. This situation in combination with record high winter temperatures, often just below freezing, likely led to an unfavorable energy balance for the stability of near-surface permafrost ~~that is already rather warm in the study region~~. Both snow cover (~~Stieglitz et al., 2003, Ling and Zhang, 2003; Osterkamp, 2007~~) and winter temperatures are ~~known~~ important factors for near surface permafrost conditions (~~Stieglitz et al., 2003, Ling and Zhang, 2003; Osterkamp, 2007~~). The abundant early winter snowfall in October and November in our study area further increased the already strong snow insulation effect (Ling -and Zhang, 2003).

The severe combination of both negative influencing factors very likely restricted the refreezing of the active layer and thus potentially caused a landscape-wide talik development between the active layer and permafrost in 2018. ~~A multiple regression analysis of annual weather conditions of the past decades revealed a relatively strong importance (negative correlation) of freezing days on the lake area drained. However, this approach was not able to accurately predict drained lake area using annual weather conditions alone. We conclude that the variability of individual lake area, surrounding landscape morphology and permafrost properties are additional important influence factors.~~

Thinning of lake ice during the ice growth season, also due to increased snow depth and warmer winter temperatures, has been identified as a ~~factor~~-responsible ~~factor~~ for the shift from bedfast ice to floating ice on shallow lakes in several regions and ~~has been linked~~ to formation of new taliks underneath lakes that previously were underlain by permafrost on the Alaska North Slope (Surdu et al., 2014; Arp et al., 2016; Engram et al., 2018). ~~The observed amounts of snowfall in our study region and our results from lake ice modeling clearly indicate that lake ice must have been much thinner in the 2017/2018 winter compared to previous years. Particularly the bottom-growth of ice, which is driven by negative heat flux, was affected by warm temperatures and snow cover. A similar effect likely affected the terrestrial area. Thin ice, caused by warm temperatures and thick snow cover, enhances lake talik growth and stronger lateral seepage following higher runoff by melt of thicker than usual snow may have initiated many drainage events by thermal-erosion.~~ In addition, ~~a~~ later freeze-up in the fall period leads to a

longer exposure of the shore and near-shore lake-bed to liquid water, which likely increases permafrost destabilization and talik ~~formation or~~ growth along shores. ~~Reports~~Initial research from ~~the~~ Fairbanks region in interior Alaska, ~~with which had~~ a similar pattern of mild and snow-rich winter weather conditions, ~~shows~~suggests that at various sites the active layer did not refreeze completely during winter 2017/2018 (Farquharson et al., 2019b). This type of landscape-wide talik formation would alter hydrological dynamics in lake-rich permafrost lowlands substantially. Another, so far rarely considered factor for lake dynamics in permafrost regions could be a change in biological agents. The recent movement of beavers from the treeline to tundra regions in northwestern Alaska ~~could~~may also be ~~a~~-contributing ~~lake drainage mechanism~~to lake dynamics in the eastern and southern portions of the study region that requires further attention (Tape et al., 2018; Jones et al., 2020b).

Temporal sequence and causes

The occurrence of lake drainage around (during or shortly after) ice break-up indicates drainage driven by bank overtopping or breaching in combination with rapid thermo-erosion during outflow. High water levels due to high precipitation in fall and winter, rapid melt of abundant snow, in combination with destabilized lake margins, and possible talik formation have very likely led to bank overflow or breaching of lake shores, and subsequent thermo-erosion and deepening of outflow channels. The location of lakes within older drained lake basins ~~with comparably unstable peaty and fine grained substrates with high intra-sedimentary ground ice contents as well as ice wedge networks~~ enhanced the susceptibility of lakes to erosion and drainage in addition to the weather induced driver. These basins are characterized by comparably unstable peaty and fine-grained substrates with high intra-sedimentary ground ice contents, and well developed ice wedge networks. Under current weather/climatic conditions with a MAAT around 0 °C, 5 °C above normal (1981-2010), permafrost aggradation in the freshly exposed lake-beds might be slowed or even prevented, with consequences for basin hydrology and biogeochemical cycling. After lake drainage, the lake-bed typically refreezes and permafrost soils can redevelop, which locks in carbon stored in lacustrine sediments and terrestrial peat (Walter Anthony et al., 2014). *In-situ* measurements and continued observations are necessary to test this hypothesis and determine whether this is happening already now on the SP and BP.

Spatial comparison and considerations

Western Alaska has been previously identified as one of the regions with the most intensive lake dynamics on a decadal-scale (Nitze et al., 2017; Nitze et al., 2018a; Jones, et al.; 2011; Swanson, 2019; Jones et al., 2020b). Other regions along the boundary of continuous permafrost in interior Alaska (Chen et al., 2014; Roach et al., 2013; Cooley et al., 2019) or the southern Yamal Peninsula or western Siberia (Nitze et al., 2018a; Smith et al., ~~2003~~2005) are also highly affected by strong lake dynamics, ~~too~~, most notably lake drainage. Lake drainage is a common process in continuous permafrost of colder climates such as the Arctic coastal plain of Alaska (Hinkel et al., 2007; Nitze et al., 2017; Jones et al., 2020a), Tuktoyaktuk Peninsula (Plug et al., 2008; Olthof et al., 2015), Old Crow Flats (Labrecque et al., 2009; Lantz and Turner, 2015) or the Kolyma lowlands (Nitze et al., 2017). However, lake dynamics tend to be of higher magnitude in warmer permafrost regions (Nitze et al., 2018a).

499 In this context, the drainage event of summer 2018 in our study region in western Alaska exceeded the average extent of lake
500 area loss by a factor of 7.5 and the previously most extreme year by 2.

501

502 **Data quality discussion**

503 The application of different methods and sensors, different temporal scales and varying spatial resolutions (long-term Landsat
504 datasets vs. Sentinel-1 water masks vs. Planet multi-temporal water masks) may introduce minor differences in masking water
505 and the delineation of water bodies. In a long-tailed distribution, as observed here, the widely used threshold of >25 % lake
506 area loss, strongly influences the number of drained lakes. For example, a threshold of >20 % lake area loss leads to an increase
507 from 192 to 279 drained lakes. However, the influence of total lake area loss remains low.

508 Due to the presence of lake-ice, the automated intra-annual lake tracking algorithm did not detect the early drainage events
509 reliably, however, the integration of multi-annual data into one analysis will highly benefit the automated lake tracking. With
510 the exponential growth of available data due to new satellite constellations (Sentinel-1, Sentinel-2, Planet), processing
511 platforms, and techniques, more reliable, better comparable, and spatially more extensive lake extent datasets will likely
512 become available in the near future.

513

514 **Outlook**

515 Extreme weather conditions of the winter year 2018 in western Alaska were driven by massively reduced sea ice cover in the
516 Bering and Chukchi seas, resulting in much warmer and moister weather conditions than usual, which may have caused ~~a-se~~
517 ~~fa~~~~an~~ unprecedented spatial and temporal clustering of lake drainage event in our study region. As climate models all predict
518 a significant increase in both mean annual air temperature and precipitation for northern and western Alaska, the dramatic lake
519 dynamics described here provide an early glimpse of the potentially massive changes in hydrology, permafrost, and topography
520 to be expected in a warmer Arctic in similarly ice-rich permafrost landscapes. With MAAT around 0 °C, the years 2017 to
521 2019 already matched the MAAT projected for this region in ~2060 (RCP8.5) to beyond 2100 (RCP4.5) and precipitation
522 projections for ~2080 (RCP8.5). This mismatch indicates that local to regional permafrost landscapes may experience much
523 more severe and earlier impacts in a warming Arctic than what climate models are capable of predicting at fine scales.
524 Permafrost degradation in northern Canada shows that drastic changes in the Arctic climate system can lead to processes which
525 were projected to happen several decades later (Farquharson et al., 2019a).

526 The recent events potentially show the fate of lake-rich landscapes in continuous permafrost along its current southern margins,
527 where near-surface permafrost degradation accelerates and permafrost will become discontinuous in the next decades. The
528 colder less dynamic lake-rich coastal plain of northern Alaska may become more dynamic once climatic patterns will have
529 moved towards the middle-to-end of the century. Temperatures are predicted to rapidly approach 0°C at the beginning of the
530 next century (MAAT 2090-2099: -2.6°C) on the southern Arctic Coastal Plain in a RCP8.5 climate scenario.

531 6 Conclusion

532 The lake-rich northern Seward and Baldwin peninsulas in northwestern Alaska were affected by unprecedented lake drainage
533 in 2018, which dwarfed previous lake changes of this historically dynamic permafrost landscape. ~~Due to the~~As mean annual
534 air temperatures ~~of this region reaching~~reached values close to 0 °C in combination with exceptional precipitation in recent
535 years, ~~matching model projections for the years 2060 (RCP8.5) to 2100 (RCP4.5);~~ near-surface permafrost is likely already in
536 a phase of degradation and destabilization around the lake margins. ~~This~~These weather conditions matched average model
537 ~~projections for the years 2060 (RCP8.5) to 2100 (RCP4.5), suggesting that on these local to regional scales our climate forecast~~
538 ~~capabilities are not sufficient to project the full consequences of warming scenarios. These extreme weather conditions~~ in
539 combination with rapid availability of excess surface water likely caused the rapid drainage of nearly 200 lakes during or
540 shortly after ice-break up in 2018, ~~including. The drainage event included~~ some of the largest lakes of the region that likely
541 persisted for several millennia. Under a rapidly warming and wetting climate, in conjunction with ongoing sea ice loss in the
542 Bering Strait, we expect a further intensification of permafrost degradation, reshaping ~~of~~ the landscape ~~and,~~ a transition from
543 continuous to discontinuous permafrost, and significant changes in hydrology and ecology. The impact on habitat and
544 landscape characteristics will be drastic in these formerly lake-rich regions. The recent processes observed in northwestern
545 Alaska potentially will be a precedent for lake dynamics of rapidly warming lake-rich permafrost landscapes approaching the
546 MAAT threshold of 0 °C.

547 Competing Interests

548 The authors declare that there are no competing interests.

549 Code and Data

550 Data

551 Supplementary figures and tables data can be found in the supplementary file.

552 Lake datasets:

553 https://github.com/initze/NW_Alaska_Drainage_Paper/tree/master/figures/lake_datasets

555 Intra-annual lake area plots:

556 https://github.com/initze/NW_Alaska_Drainage_Paper/tree/master/figures/lake_drainage/planet_lake_area

557 https://github.com/initze/NW_Alaska_Drainage_Paper/tree/revision01/lake_datasets

558

Intra-annual lake area plots:

https://github.com/initze/NW_Alaska_Drainage_Paper/tree/revision01/figures/lake_drainage/planet_lake_area

Weather and climate plots:

https://github.com/initze/NW_Alaska_Drainage_Paper/tree/master/figures/weather_and_climate/

Lake drainage animations:

https://github.com/initze/NW_Alaska_Drainage_Paper/animations/lake_animations_drainage_1999-2014/revision01/figures/weather_and_climate/

Lake drainage animations:

https://github.com/initze/NW_Alaska_Drainage_Paper/tree/revision01/animations/lake_animations_drainage_1999-2014

Final lake change datasets will be published on the PANGAEA data repository

Code

Sentinel-1 Watermasks Google Earthengine Script:

<https://code.earthengine.google.com/7d2367758eead1614202efcfa6bed2b5>

Landsat Video Animation Google Earthengine Script:

<https://code.earthengine.google.com/c879add607322305b8293904bea6d781>

noaaplotter weather plotting package:

<https://github.com/initze/noaaplotter>

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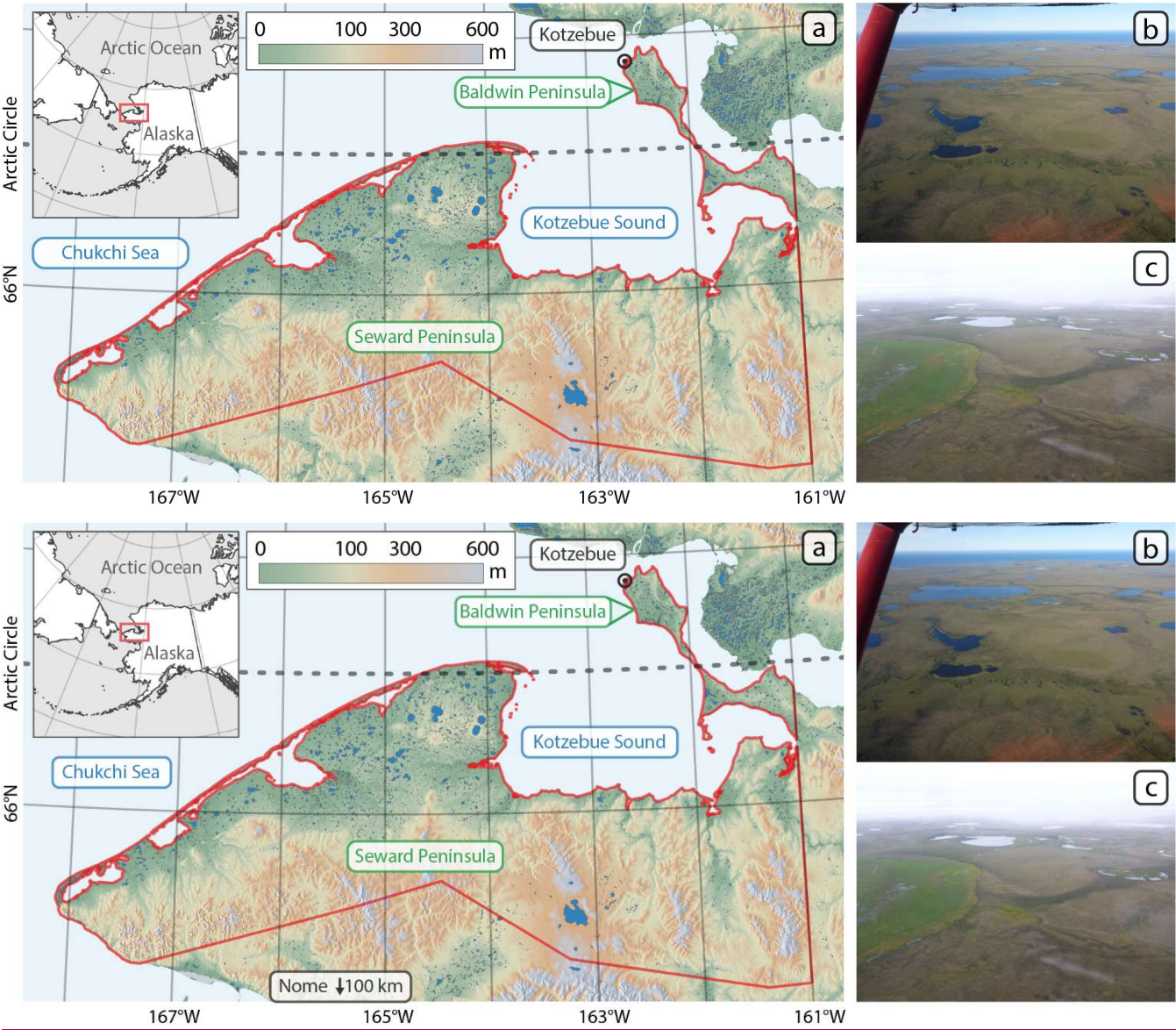


Figure 1: a) Overview of study area with topography and place names. Elevation source: GMTED2010. b) Oblique aerial photo of the formerly largest lake on the Baldwin Peninsula, which drained in 2018. Photo: J.Strauss, July 2016. c) Oblique aerial photo of the northern Seward Peninsula. Photo: G.Grosse, July 2016. Lake-rich permafrost landscape with large drained basin.

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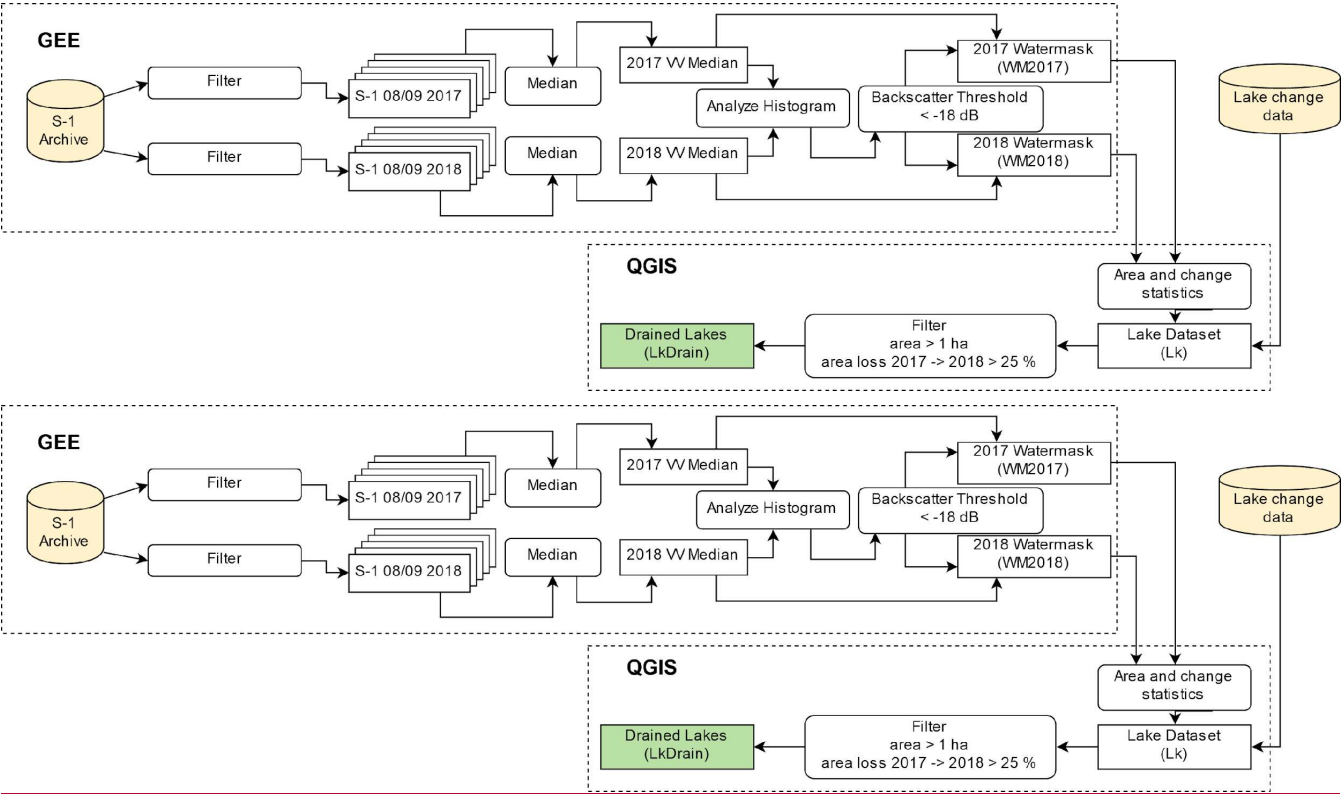


Figure 2: Flowchart of lake change detection and drainage assignment based on Sentinel-1 data (S-1 Archive). Raster data processing was carried out in Google Earthengine (GEE). Lake vector extraction and calculation of recent and historic (Lake change data: Nitze et al., 2018b) lake change statistics was carried out in Quantum GIS (QGIS).

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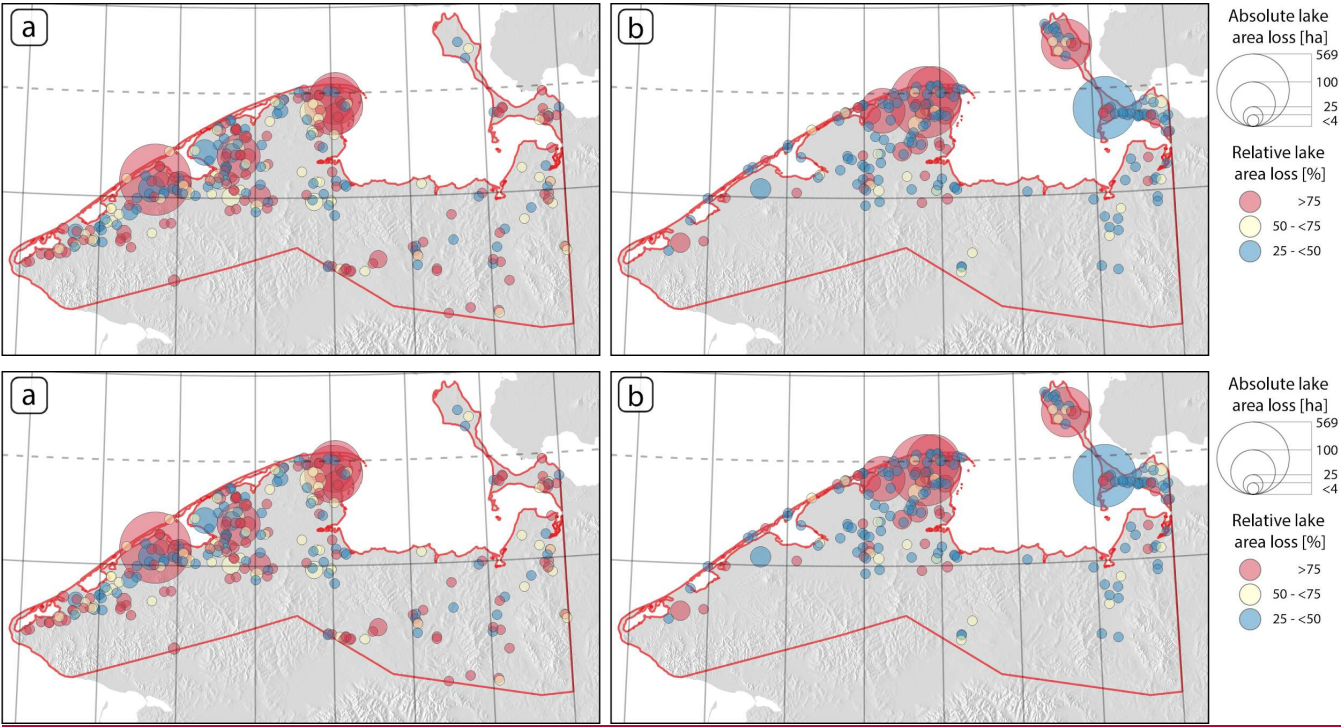
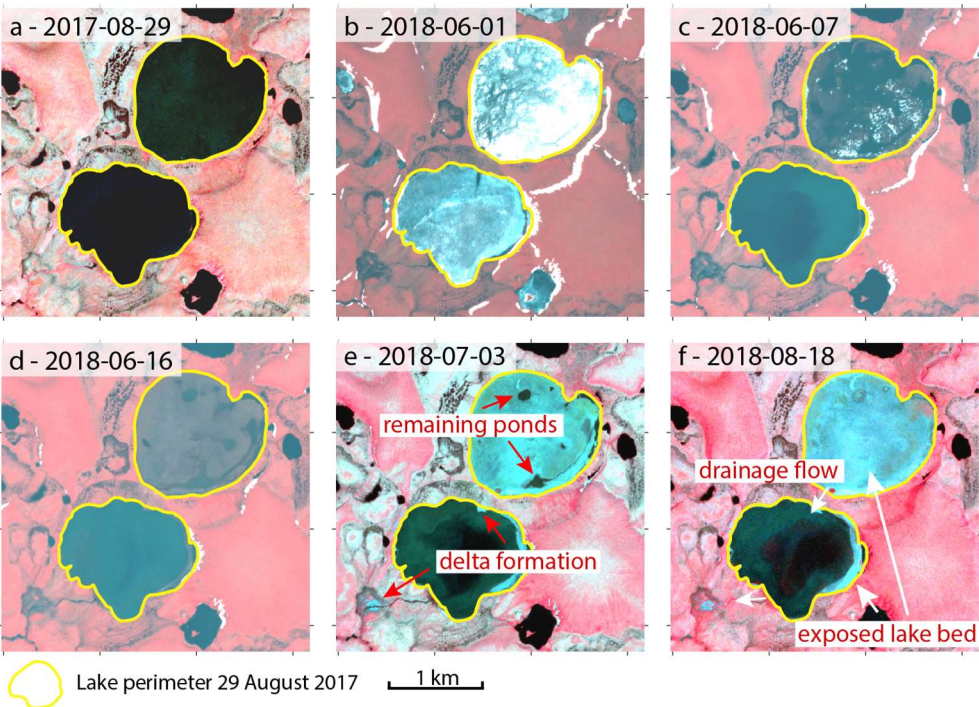


Figure 3: Spatial patterns, size and percentage of drained lakes. a) 1999-2014, b) 2017-2018. Hillshade Shaded relief (hillshade) background layer based on the GMTED2010 elevation dataset.



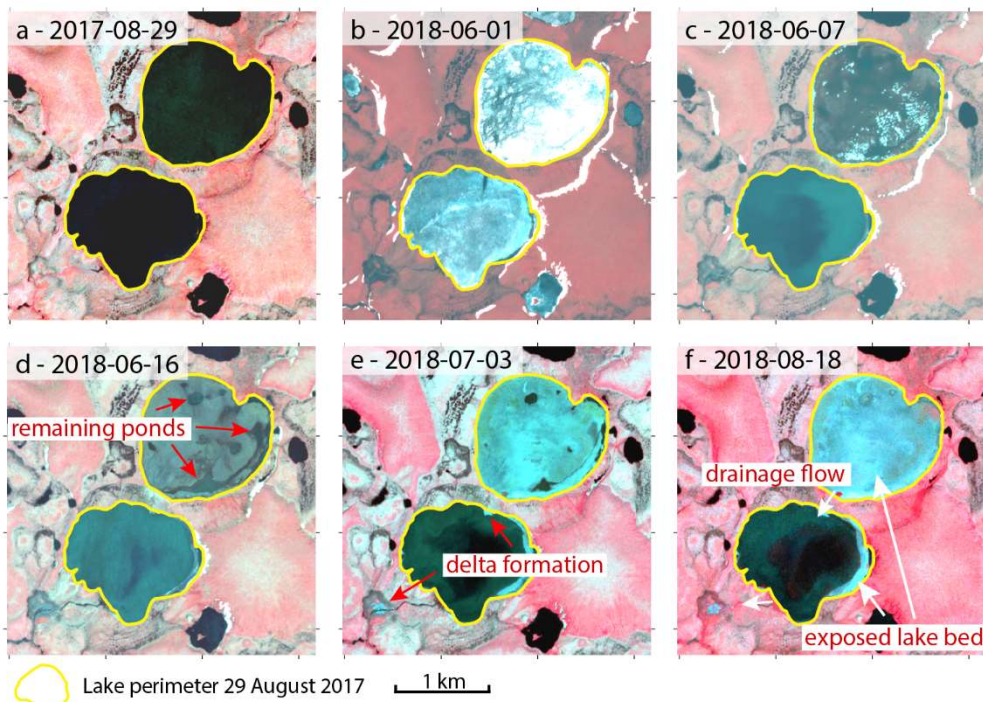


Figure 4: PlanetScope (Planet Team, 2017) satellite time-series of cascaded lake drainage of lakes 99492 (north) and 99522 (south) (66.45°N, 164.75°W) from 29 August 2017 until 18 August 2018 with annotations of drainage related features. a) Lakes before drainage. b) Ice-break-up with initial drainage pattern visible on the northern lake. c) Post ice-breakup with reduced water level in the northern lake. d) Northern lake nearly completely drained with few remaining ponds. e) Partial drainage of the southern lake with visible delta formation. f) ~~Final~~Final stage of lake ~~drained~~drainage with dried out ponds (northern lake) and lake level stabilization (southern lake).

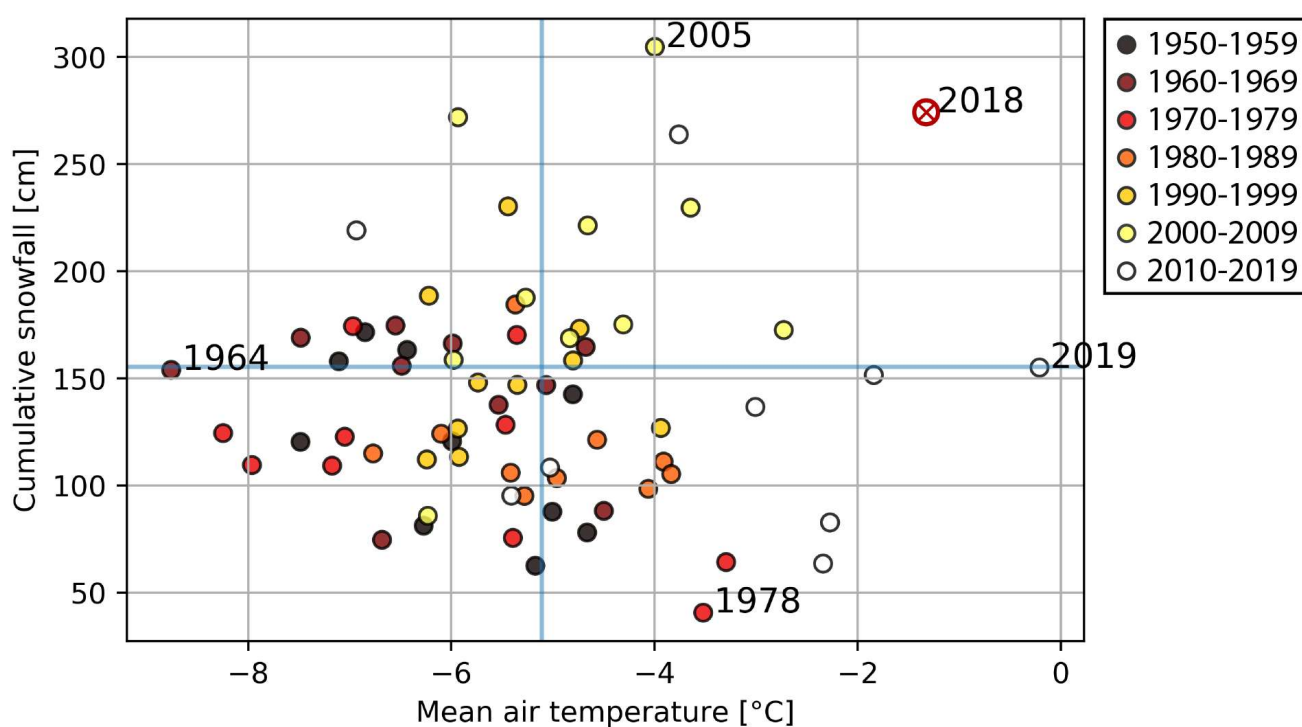
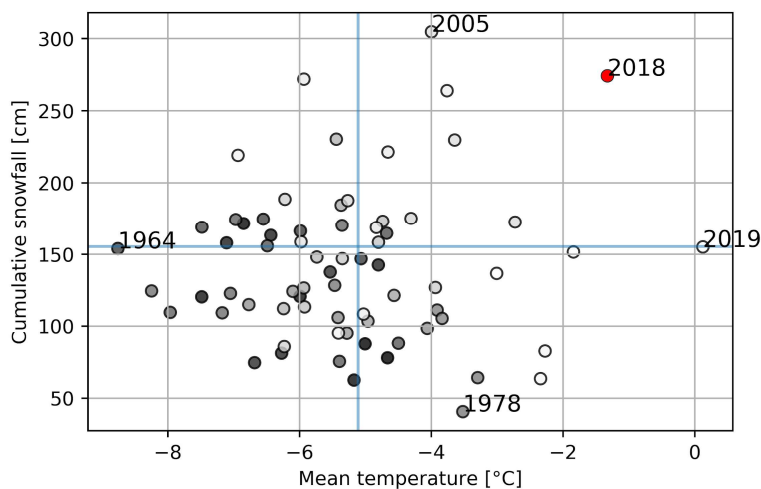
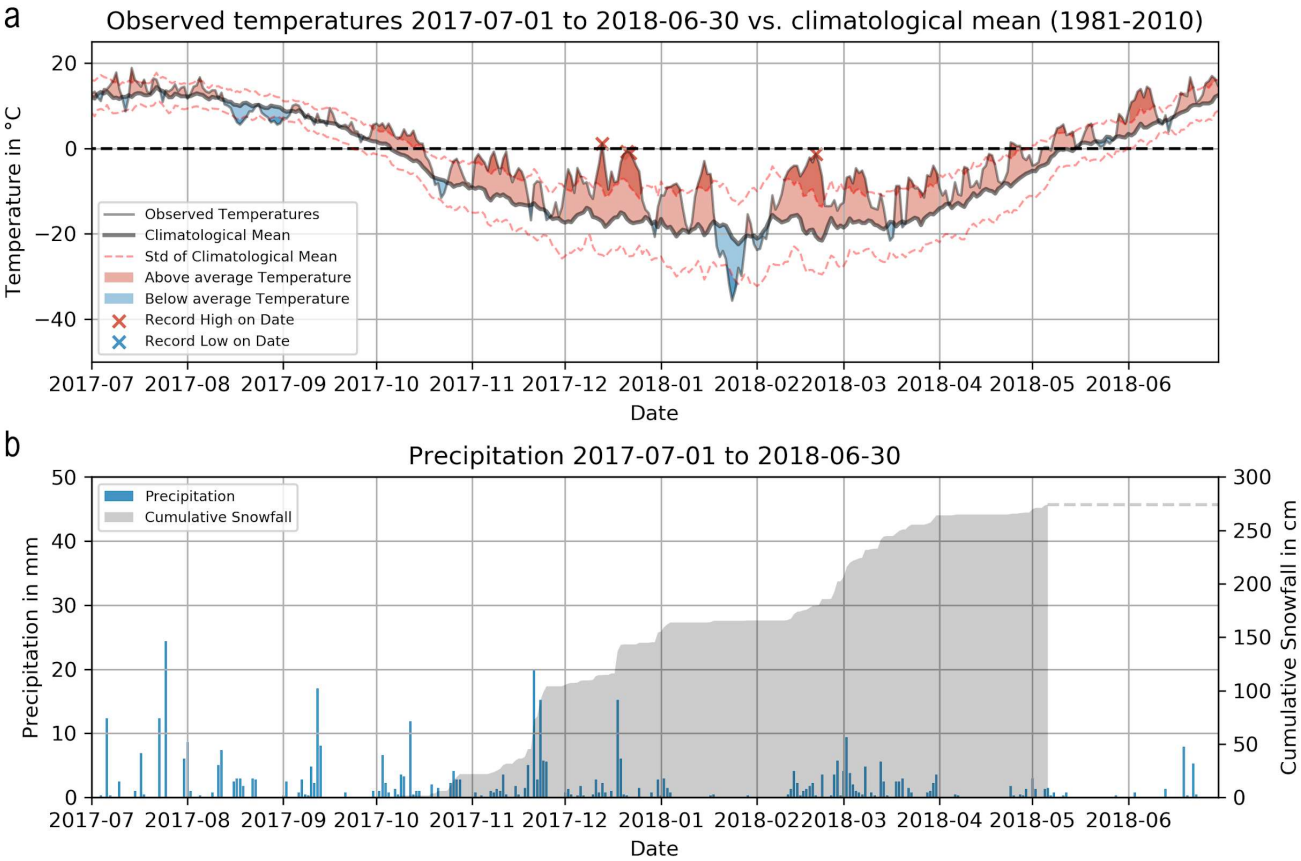


Figure 5: Scatterplot of mean air temperature and cumulative snowfall per winter year (July to June) from 1950 through 2019. Winter year 2017/2018 marked in is highlighted with a circled red cross. Extreme years indicated by number. Blue lines indicate climatic means of MAAT and cumulative snowfall (1981-2010). Dots in grey scale colors indicate the decade. *Mean air temperature of 2019 interpolated to entire winter year due to missing data from 1934 (black) until 1 May through 3 September 2019 (white).

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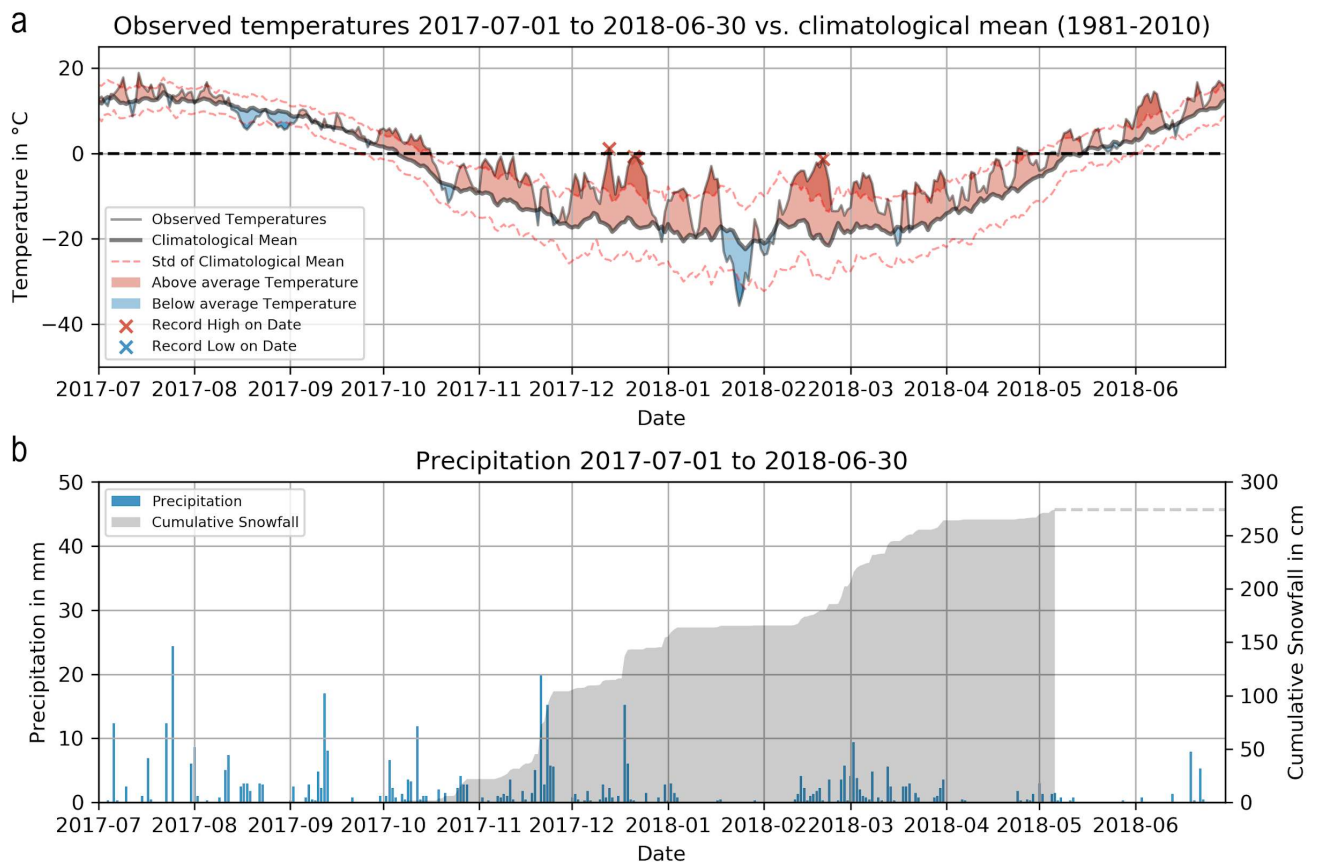


Figure 6: Overview of winter weather conditions at Kotzebue climate station from July 1 2017 through June 30 2018. a) Observed temperatures in °C with anomaly (red: warmer, blue: colder) from climatological mean (1981-2010). Dark color shades indicate deviation of >1 standard deviation from the mean. Record temperatures for particular days are marked with an “x” b) Daily precipitation and cumulative snowfall.

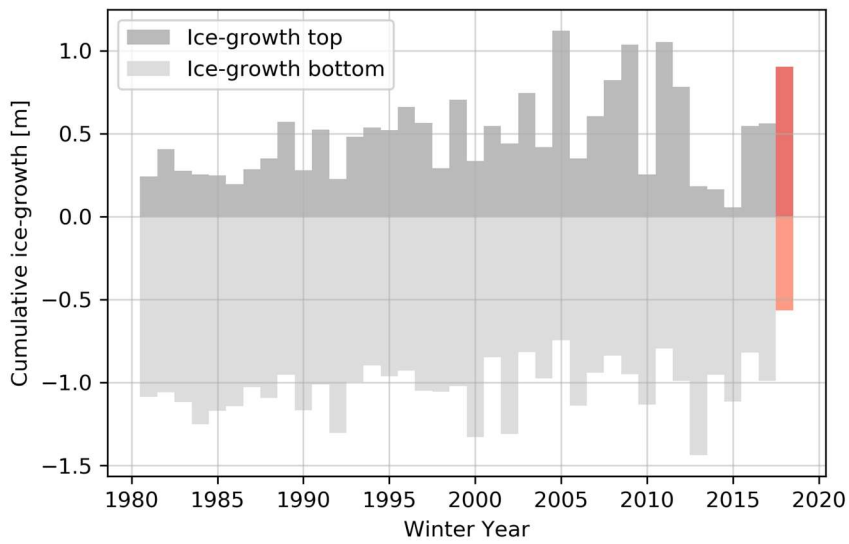
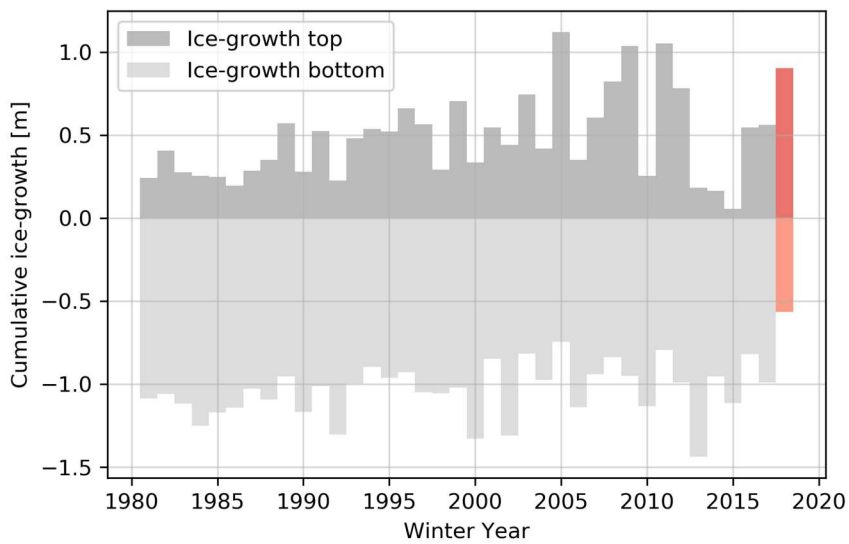


Figure 7: Simulated cumulative top and bottom ice-growth per winter year for 100 % snow scenario in cm. Winter year 2017/2018 highlighted in red.

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848 **Table 1: Overview of datasets and for lake change analysis.**

Dataset	Abbreviation	Period	Source
Lake Change Dataset	Lk	1999-2014	Nitze et al. 2018b
Watermask Sentinel 1 2017	WM 2017	2017	
Watermask Sentinel 1 2018	WM 2018	2018	
Planet dynamic water mask	LkDyn	2017-2018	
Derived Lake change 2017-2018	LkDrain	1999-2018	

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850 **Table 2: Lakes ranked by largest area loss from 2017 to 2018 with lake area rank 2017, Lake ID, net change area and percentage as**
851 **well as lake area in 2017 and 2018. For full dataset see Supplementary Table 1 and datasets LkDrain. *Lagoon connected to the**
852 **sea/Kotzebue Sound.**

Drain rank	Lake area rank 2017	Lake ID	Net change 2017-2018 [ha]	Net change 2017-2018 [%]	Area 2017 [ha]	Area 2018 [ha]	Video animation
1	12	99368	-332.04	-91.24	363.92	31.88	Link
2*	6	69152	-258.8	-34.31	754.36	495.56	Link
3	32	99230	-185.12	-99.70	185.68	0.56	Link
4	39	64656	-164.6	-99.83	164.88	0.28	Link
5	51	99492	-132.12	-100	132.12	0	Link
6	205	100218	-28.48	-78.24	36.4	7.92	Link
7	105	101659	-27.56	-41.53	66.36	38.8	Link
8	269	99545	-26.12	-97.32	26.84	0.72	Link
9	281	102499	-25.72	-100	25.72	0	Link
10	305	100470	-23.2	-100	23.2	0	Link

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Table 3: Lakes with largest area loss from 1999 to 2014 with net change area and percentage as well as lake area of 1999 and 2014 (Nitze et al., 2018b). For full datasets see Supplementary Table 2 and datasets Lk.

Drain Rank	Lake ID	Net change 1999-2014 [ha]	Net change 1999-2014 [%]	Area 1999 [ha]	Area 2014 [ha]	Year Drained
1	101282	-568.92	-97.95	580.8	11.88	2007
2	99433	-373.29	-99.63	374.67	1.37	2006
3	99313	-299.98	-78.77	380.84	80.85	2006
4	100588	-208.53	-94.69	220.22	11.69	2004
5	99624	-113.43	-99.55	113.94	0.52	2006
6	101659	-79.32	-31.55	251.42	172.1	2009
7	99505	-76.16	-62.7	121.48	45.31	2003
8	100505	-74.27	-28.86	257.36	183.08	2003
9	101402	-65.62	-98.3	66.75	1.14	2003
10	101844	-56.5	-99.06	57.03	0.54	2004

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Table 4. Annually aggregated observations of mean air temperature, cumulative precipitation, cumulative snowfall, cumulative freezing degree days, and freezing days per winter year (1 July 1-until 30 June-30) for climate station Kotzebue, sorted by mean air temperature. 10 warmest and 5 coldest years included. *Mean Air Temperatures for winter year 2019 are interpolated due to erroneous temperature measurements from 1 May through 3 September 2019. For full data (1950-2019) see Supplementary Table 3.

Winter Year	Rank Temperature	Mean Air Temperature [°C]	Cumulative Precipitation [mm]	Cumulative Snowfall [cm]	Cumulative FDD	Freezing Days
<u>*2019</u>	1	<u>+0.1221</u>	278.6	155.1	<u>-1755.50---</u>	<u>181---</u>
2018	2	-1.33	424.5	274.2	-1904.75	196
2016	3	-1.84	258.1	151.6	-2142.85	200
2014	4	-2.27	260.5	82.8	-2136.75	178
2015	5	-2.34	247.8	63.6	-2428.80	208
2003	6	-2.73	244.0	172.6	-2262.85	195
2017	7	-3.01	225.0	136.7	-2631.05	194
1979	8	-3.29	207.5	64.3	-2648.80	221
1978	9	-3.52	210.9	40.7	-2795.10	206
2004	10	-3.64	313.5	229.7	-2698.15	181
1966	64	-7.48	262.7	169.0	-3642.30	240
1955	65	-7.48	305.9	120.4	-3711.65	225
1971	66	-7.96	160.3	109.6	-3975.45	237
1976	67	-8.25	199.7	124.5	-3923.10	239
1964	68	-8.76	300.6	154.0	-4130.00	227

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