

# Modeling the Evolution of the Structural Anisotropy of Snow

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**Abstract.** The structural anisotropy of snow characterizes the spatially anisotropic distribution of the ice and air microstructure and is a key parameter for improving parameterizations of physical properties. To enable the use of the anisotropy in snowpack models as internal variable, we propose a simple model based on a rate-equation for the temporal evolution. The model is validated with a comprehensive set of anisotropy profiles and time-series from X-ray tomography (CT) and radar measurements. The model includes two effects, namely temperature gradient metamorphism and settling, and can be forced by any snowpack model that predicts temperature and density. First, we use CT time-series from lab experiments to validate the proposed effect of temperature gradient metamorphism. Next, we use SNOWPACK simulations to calibrate the model against radar time-series from the NOSREX campaigns in Sodankylä, Finland. Finally we compare the simulated anisotropy profiles against field-measured full-depth CT profiles. Our results confirm that the creation of vertical structures is mainly controlled by the vertical water vapor flux. Our results further indicate a yet undocumented effect of settling on the creation of horizontal structures. Overall the model is able to reproduce the characteristic anisotropy variations in time series of 4 different winter seasons with a very limited set of calibration parameters.

## 1 Introduction

Deposited snow is a porous material that continuously undergoes microstructural changes in response to the external, thermodynamic forcing imposed by the atmosphere and the underlying soil. In some cases, the microstructure can develop a significant structural anisotropy, i.e. the non-spherical ice particles develop a preferential orientation, often in the vertical or horizontal direction. Among other microstructural properties, a significant amount of work was recently dedicated to understand the impact of the structural anisotropy which is a key parameter to improve predictions of different snow properties like the thermal conductivity (Izumi and Huzioka, 1975; Calonne et al., 2011; Shertzer and Adams, 2011; Riche and Schneebeli, 2013; Calonne et al., 2014), mechanical (Srivastava et al., 2010, 2016; Wiese and Schneebeli, 2017), diffusive and permeable properties (Zermatten et al., 2011; Calonne et al., 2012, 2014), as well as the electromagnetic permittivity (Leinss et al., 2016, and references therein). Especially the thermal conductivity shows a strong dependence on the structural anisotropy (Löwe et al., 2013; Calonne et al., 2014). Depending on snow type, the thermal conductivity can vary by an order of magnitude at a given density: this variability is discussed with respect to the theoretical limits defined by a microstructure of either vertical or horizontal series of ice plates (Sturm et al., 1997).

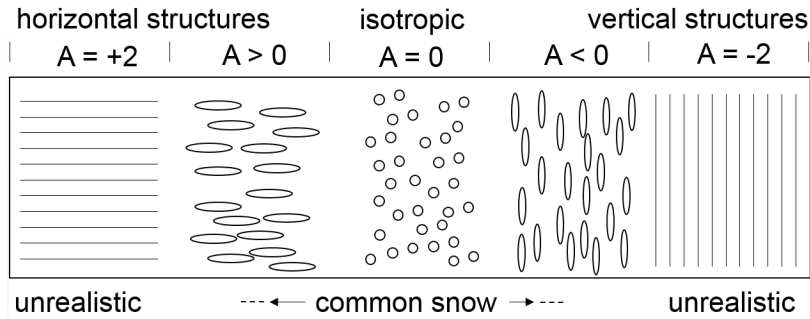
The structural anisotropy is commonly characterized by different variants of geometrical or structural fabric tensors. These can be computed e.g. from mean intercept lengths (Srivastava et al., 2016), contact orientations (Shertzer and Adams, 2011), surface normals (Riche et al., 2013) or other second-order orientation tensors that can be constructed from the two-point correlation function of a two phase medium (Torquato and Lado, 1991; Torquato, 2002). The correlation functions can be  
5 evaluated in terms of directional correlation lengths which define characteristic length scales of the microstructure (e.g. Vallese and Kong, 1981; Mätzler, 1997; Löwe et al., 2013) and from which the anisotropy can be derived. For snow, the microstructure can be obtained by stereology (e.g. Alley, 1987; Mätzler, 2002) or from computer tomography, CT (Schneebeli and Sokratov, 2004).

However the inclusion of the structural anisotropy in current snowpack models is still missing due to i) the lack of a prognostic model for the anisotropy evolution and ii) the lack of in-situ data for validation. Motivated by recent progress of anisotropy  
10 measurements using radar (Leinss et al., 2016) as a solution for ii) it is the aim of the present paper to overcome i) and to suggest a minimal, dynamical model tailored to direct use in common, operational snowpack models.

The model is based on a simple rate equation which incorporates temperature gradient metamorphism and snow settling. Each contribution is formulated in terms of common, macroscopic state variables (temperature, temperature gradient and strain  
15 rate) which are provided by detailed snowpack models like SNOWPACK (Bartelt and Lehning, 2002; Lehning et al., 2002a, b), CROCUS (Brun et al., 1989, 1992) or SNTHERM (Jordan, 1991). The magnitude of each contribution is controlled by free parameters which we calibrated with laboratory CT data, literature data, and radar time series of the anisotropy evolution over four winter seasons between Oct 2009 and May 2013 with 4 hour resolution. The model links temporally high-resolution but  
20 vertically averaged anisotropy time series from radar with vertically high-resolution but temporally sparse CT measurements and is validated against field-measured, full-depth CT anisotropy profiles.

The paper is structured as follows: Section 2 discusses relevant processes which influence the structural anisotropy and casts them into rate equations. Section 3 presents experimental data and their integration for model forcing, calibration and validation. Section 4 validates the influence of TGM on the modeled anisotropy, presents the seasonal evolution of the anisotropy according to the full model and validates these results with field-measured CT profiles. Section 5 discusses capabilities and  
25 deficits of the model and of anisotropy measurements. Section 6 concludes the paper and Sect. 7 lists the data availability. The Appendix details the preprocessing of meteorological data and the calibration of SNOWPACK.

Supplementary files provide additional figures about the processing work flow, meteorological data, radiation balance, density, SSA and correlation lengths derived from CT data, analysis of SNOWPACK model variants, visualizations of snow properties, and results of anisotropy model variants.



**Figure 1.** Different structures and their anisotropy according to Eq. (1). Snow has only a small anisotropy and never reaches the unrealistic cases of horizontal planes or vertical needles.

## 2 A dynamical model for the structural anisotropy

### 2.1 Definition of the anisotropy

For quantifying the structural anisotropy, we follow the definition in (Leinss et al., 2016) and use the normalized difference of a characteristic horizontal length scale  $a_x$  and a vertical length scale  $a_z$ :

$$A = \frac{a_x - a_z}{\frac{1}{2}(a_x + a_z)}. \quad (1)$$

Different characteristic length scales can be chosen. Commonly exponential correlation lengths  $a_i = p_{ex,i}$  are used as defined in (Mätzler, 2002). According to Eq. (1), the structural anisotropy ranges from -2 (vertical needles) to +2 (horizontal planes) with  $A = 0$  for randomly shaped or spherical particles (Fig. 1). As detailed in (Leinss et al., 2016), a normalized difference is convenient compared to the definition via an aspect ratio ( $A' = a_z/a_x$ ) because equally prolate and oblate particles with interchanged semi-axis have then the same magnitude for the anisotropy and averaging them results in isotropy ( $A = 0$ ). The normalized difference and the frequently used grain size aspect ratio  $A'$  are however equivalent and can be related by

$$A = 2 \frac{1 - A'}{1 + A'} \quad \text{or equivalently} \quad A' = \frac{2 - A}{2 + A} \approx 1 - A. \quad (2)$$

This relation is helpful for a comparison with literature values. For snow a common range is  $A' \approx 0.75 \dots 1.3$  but larger values up to 1.4 might occur (Alley, 1987; Davis and Dozier, 1989; Schneebeli and Sokratov, 2004; Fujita et al., 2009; Calonne et al., 2014). In this range, equally to  $A \approx +0.3 \dots -0.3$ , the approximation in Eq. (2) deviates less than 5% from  $A'$  with respect to  $A'$ .

For conciseness, we refer to "horizontal structures" when the horizontal length scales are larger than the vertical ones,  $a_x, a_y > a_z$ , hence  $A > 0$ . Accordingly, "vertical structures" describe snow with larger vertical length scales than horizontal ones,  $a_z > a_x, a_y$ , equivalent to  $A < 0$ .

## 2.2 Evolution of the anisotropy

Quite generally, the anisotropy evolves from horizontal structures in new snow, over isotropic structures in decomposing rounded grains, to vertical structures under the influence of temperature gradient metamorphism (Schneebeli and Sokratov, 2004; Calonne et al., 2014) and might return to isotropy during melt processes. To describe this evolution we assume the following rate equation

$$\frac{\partial}{\partial t} A(z, t) = \dot{A}_{\text{TGM}}(z, t) + \dot{A}_{\text{strain}}(z, t) \quad (3)$$

The first term accounts for the growth of vertical structures due to temperature gradient metamorphism (TGM), the most common type of snow metamorphism. The second term accounts for the formation of horizontal structures due to microscopic grain rearrangement causing the settling (strain) of snow. Further terms could be added to account e.g. for a possible rounding of grains by melt metamorphism. For simplicity we start with the assumption of an additive decomposition of these processes, though naturally, all these processes are coupled (e.g., Wiese and Schneebeli, 2017).

As common for snow models focusing on the evolution of microstructural properties of individual snow layers (Bartelt and Lehning, 2002), we describe the anisotropy evolution in each layer with a Lagrangian viewpoint where the reference frame is attached to a material element. Therefore we drop the  $z$ -dependence in Eq. (3). Further, we restrict our model to flat terrain and do not consider any forces acting parallel to the snow layers (in the  $x$ - or  $y$ -direction). This implies that gravity and temperature gradient are strictly applied in the  $z$ -direction.

## 2.3 Temperature gradient metamorphism

For TGM ice crystals preferably grow into the opposite direction of the heat- and water vapor flux, for both, an applied horizontal or vertical heat flux (Yosida, 1955, p. 52–56). The underlying water transport mechanism, mediated by a vapor flux from ice grain to ice grain, is often termed "hand-to-hand" transport as suggested by Yosida (1955, p. 31–34). Pinzer et al. (2012) confirmed this mechanism and demonstrated a rapid reorganization of the ice matrix within a few days. The rapid reorganization renders the perception of a slowly growing ice grain misleading as "only the 'memory' of the grain, encoded in the temporal correlation of the structure, survives" (Pinzer et al., 2012). Thereby, large vertical structures have a higher chance to survive while small structures quickly disappear.

To mimic this structural reorganization, we model the growth of vertical structures proportional to the magnitude of the water vapor mass flux:  $\dot{A}_{\text{TGM}} \propto |J_v|$ . We use the absolute value  $|J_v|$ , because the anisotropy does not contain any information about the growth direction but only about the growth orientation.

In winter, the vapor flux direction is usually positive (upwards) but can be reverse in spring, when the eventually melting snow surface is warmer than the underlying snowpack. With strong diurnal cycles, the flux direction can also alternate on a daily basis, but apparently these oscillating temperature gradients seem not to cause growth of faceted crystals: according to Pinzer and Schneebeli (2009) the morphology of the snow structure evolves slower and "did not show any sign of conventional TGM". Therefore, we exclude the effect of daily alternating temperature gradients by averaging temperature gradients over

24 hours:

$$\dot{A}_{\text{TGM}} \propto |\langle J_v \rangle_{24\text{h}}|. \quad (4)$$

As indicated in Fig. 1, perfect needle microstructures do not exist in reality. Therefore we assume a minimal anisotropy  $A_{\text{min}}$  that can be practically attained by adding an empirical, quadratic weighting function. This function also amplifies the decay of horizontal structures modeled for new snow which should transform faster because small grains evaporate relatively quickly. The function also slows down the evolution of vertical structures which are modeled for snow which has experienced already strong TGM and has therefore relatively large grains. With these considerations, we model the growth of vertical structures by

$$\dot{A}_{\text{TGM}}(t) = -\alpha_1 \frac{|\langle J_v \rangle_{24\text{h}}|}{\rho_{\text{ice}} f_\mu(\cdot)} \cdot \begin{cases} \frac{(A - A_{\text{min}})^2}{A_{\text{min}}^2} & A \geq A_{\text{min}}. \\ 0 & A < A_{\text{min}}. \end{cases} \quad (5)$$

The positive prefactor  $\alpha_1$  defines the coupling-strength of the right hand side to the anisotropy change rate that must be determined from experiments. On dimensional grounds, we divided the water vapor flux by the density of ice  $\rho_{\text{ice}}$  ( $\text{kg m}^{-3}$ ) to obtain a velocity. This velocity can be interpreted as the vertical, average velocity of water molecules. As the lifetime of evaporating ice particles should depend on their size, we divided by a characteristic microstructural length scale,  $f_\mu(\cdot)$  (m), which leads to the correct units ( $\text{s}^{-1}$ ) of the change rate of the structural anisotropy.

The vapor flux is mediated by diffusion which is driven by a water vapor pressure gradient induced by a temperature gradient. Therefore, the vertical water vapor mass flux  $J_v$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) follows from Fick's law applied to the water vapor mass density  $\rho_v(T)$  ( $\text{kg m}^{-3}$ ):

$$J_v(T, \frac{\partial T}{\partial z}) = -D_{\text{vs}} \frac{\partial \rho_v}{\partial z} = -D_{\text{vs}} \frac{\partial \rho_v(T)}{\partial T} \frac{\partial T}{\partial z} \quad (6)$$

The vapor mass density  $\rho_v$  is given by the water vapor pressure,  $p_s(T)$ , which is supposed to be at the saturation point in the pores between the ice crystals. Density and saturation pressure are related by the equation for ideal gases,

$$\rho_v(T) = p_s(T)/(R_V T), \quad (7)$$

where  $R_V = R/M_w = 461 \text{ J kg}^{-1} \text{ K}^{-1}$  is the specific gas constant for water vapor,  $M_w = 0.018 \text{ kg mol}^{-1}$  the molar mass of water and  $R = 8.314 \text{ J mol}^{-1} \text{ K}^{-1}$  the universal gas constant. The saturation pressure over ice can be well approximated using different formulas (Marti and Mauersberger, 1993) and is given in (Bartelt and Lehning, 2002) by

$$p_s(T) \approx p_{0s} \cdot \exp [L/R_V (T_0^{-1} - T^{-1})] \quad (8)$$

with the latent heat of ice sublimation  $L = 2.8 \text{ MJ kg}^{-1}$  and the Triple point pressure and temperature of water,  $p_{0s} = 611.73 \text{ Pa}$  and  $T_0 = 273.16 \text{ K}$ .

Because the saturation pressure, Eq. (8), depends only on temperature, Eq. (6) can be written in terms of temperature  $T$  and temperature gradient  $\frac{\partial T}{\partial z}$  (Lehning et al., 2002b):

$$J_v(T, \frac{\partial T}{\partial z}) = -D_{\text{vs}} \cdot \rho_v(T) \cdot \left[ \frac{L}{R_V T^2} - \frac{1}{T} \right] \frac{\partial T}{\partial z} \quad (9)$$

The effective diffusion constant for water vapor in snow,  $D_{vs}$ , is close to the diffusion constant in air,  $D_{v,air} = 2.1 \cdot 10^{-5} \text{ m}^2 \text{ s}^{-1}$  (Massman, 1998), and ranges between 1 and  $10 \cdot 10^{-5} \text{ m}^2 \text{ s}^{-1}$  (Sokratov and Maeno, 2000; Colbeck, 1993) and review in (Pinzer et al., 2012). As the vapor flux seems to be almost independent of grain size or microstructure (Pinzer et al., 2012, Sect. 4.3 and Fig. 11) we assume a constant diffusion constant,  $D_{vs} = 2 \cdot 10^{-5} \text{ m}^2 \text{ s}^{-1}$ .

## 5 2.4 Gravitational settling

Gravitational settling and densification of snow has been assumed to create horizontal structures as indicated by polarimetric radar observations (Leinss et al., 2016). The radar signal did not increase instantaneously with new snow but with a time delay of a few days after snow fall, thereby suggesting a settling effect (Leinss et al., 2016, Sect. 5.4). In the absence of detailed, quantitative work about the anisotropy evolution of new snow we start with the simplest assumption of an affine deformation where all structural length scales inherit the macroscopically imposed strain. Then, the strain rate and the vertical correlation lengths would be related by  $\dot{\epsilon}(t) = \dot{a}_z/a_z$ . However, because in the heterogeneous microstructure only the air pores can be squeezed while ice particles might build new vertical contact points, an affine deformation needs to be mitigated. To account for non-affine effects we introduce an empirical correction factor  $\alpha_2$  and hence proceed with

$$\dot{\epsilon}(t) = \frac{1}{\alpha_2} \frac{\dot{a}_z(t)}{a_z(t)}. \quad (10)$$

Then, the anisotropy change rate  $\dot{A}(t)$  caused by a strain-induced shortening of the correlation length  $a_z$  can be expressed as

$$\dot{A}(t)_{\text{strain}} = \frac{d}{dt} A(a_z(t), a_x) = \left( \frac{\partial A}{\partial a_z} \right) \dot{a}_z(t). \quad (11)$$

With Eq. (1) and (10) this can be rewritten as

$$\dot{A}_{\text{strain}}(t) = \alpha_2 \dot{\epsilon}(t) \left( \frac{A^2}{4} - 1 \right). \quad (12)$$

For large  $|A| \rightarrow 2$  the term  $A^2/4 - 1$  approaches zero and ensures that the anisotropy cannot grow beyond the two extreme values of  $A = \pm 2$ , even for very large strain rates. However, because compression should increase the vertical contact between ice grains it seems unrealistic that large values of  $A$  can be reached. Therefore, we modify this term and introduce an empirical upper threshold,  $A_{\text{max}}$ . For negative values of  $A$ , no modification is applied. This leads to

$$\dot{A}_{\text{strain}}(t) = \alpha_2 \dot{\epsilon}(t) \begin{cases} \left( \frac{A^2}{4} - 1 \right) & A \leq 0. \\ \left( \frac{A^2}{A_{\text{max}}^2} - 1 \right) & A > 0. \end{cases} \quad (13)$$

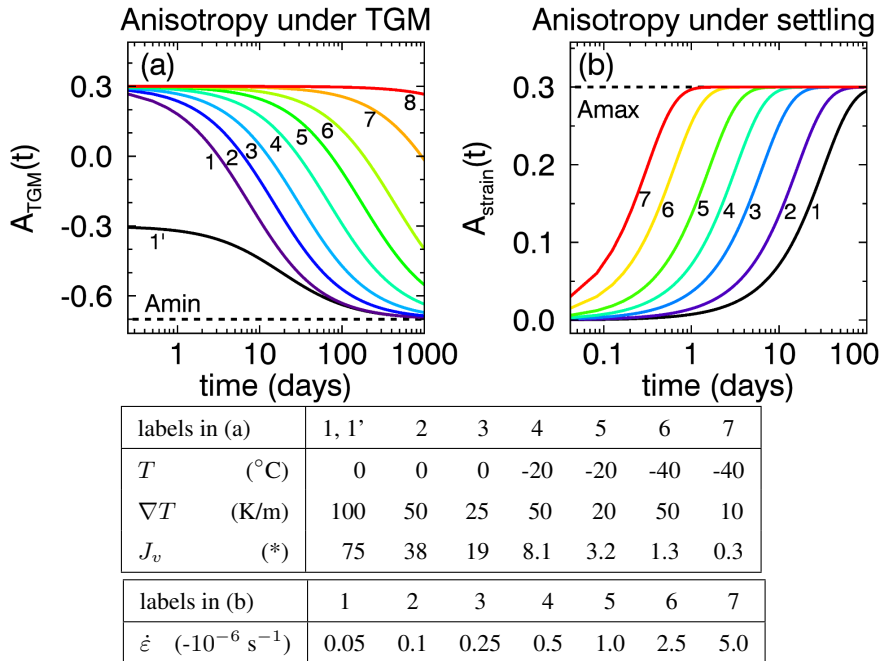
## 25 2.5 Initial condition

For the model an initial anisotropy  $A_{\text{ini}}$  of new snow needs to be specified. The lag between the accumulation of new snow and the anisotropy increase (Leinss et al., 2016, Sect. 5.4) indicates that  $A_{\text{ini}}$  should be very close to zero, but slightly positive as new snow settles already during accumulation. Furthermore, we think that most non-spherical snow crystals align preferably

horizontally by gravity at the time of deposition. This assumption is supported by observations where dendrites were only found with horizontal orientation in artificial snow (Löwe et al., 2011) as well as in natural snow (Mätzler, 1987, Fig. 2.15). To account for initial settling and alignment, chose  $A_{ini} = 0.05$ .

## 2.6 Model behavior and numerical solution

- 5 The model is summarized in (Fig. 2) which shows the anisotropy evolution for different parameters as obtained from the numerical integration of the rate equation using an explicit Euler method (no differences are observed when using Runge-Kutta). Depending on temperature, the time scales of the anisotropy evolution under TGM (Fig. 2a) range between 10 and 300 days because the water vapor flux can vary by 2–3 orders of magnitude (table below Fig. 2). The comparison of the two runs (1) and (1') show that for the same settings negative anisotropies evolve slower than positive anisotropies. The red line (8) shows that even when strong temperature gradients are applied for many years no significant anisotropy change can be observed under conditions used for sample archiving in the lab. Compared to TGM the settling induced anisotropy (Fig. 2b) evolves much faster (hours to days). As both the strain rate  $\dot{\epsilon}$  and the  $A^2$ -terms in Eq. (13) are always negative, snow settling always increases the anisotropy.  $A_{min} = -0.7$  and  $A_{max} = 0.3$  indicate the chosen upper and lower limit for the anisotropy.



**Figure 2.** Modeled anisotropy evolution for TGM with  $\alpha_1 = 0.93$  and settling with  $\alpha_2 = 1.68$  for the different tabled conditions (1-7). In (a), 1 and 1' differ only by the initial anisotropy. The red line (8) corresponds to  $\nabla T = 100 \text{ K/m}$  and  $T = -80^{\circ}\text{C}$ . (\*) The vapor flux  $J_v$  is given in units of  $10^{-8} \text{ kg m}^{-1} \text{ s}^{-1}$ .

### 3 Datasets and methods

A comprehensive set of laboratory and field data was used to calibrate, drive and evaluate the model. Here, we describe the different datasets and the forcing, calibration and evaluation of a large ensemble of SNOWPACK runs.

5 Except for an independent set of laboratory CT data, all field data were acquired in northern Finland 5 km south of the town of Sodankylä at or close to the test site "intensive observation area" (IOA). The IOA is shown in Fig. 3. Table 2 lists all measurements, sensors and their locations. The measurements were supported by the Nordic Snow Radar Experiment NoSREx-I to -III (Lemmetyinen et al., 2013, 2016).

10 At the IOA, snow pit measurements were performed on a weekly basis. The measurements include snow temperature and snow classification. In addition near-infrared (NIR) images of the snow structure were taken on selected dates. For each NIR image we calculated the ratio to a reference image of a Styrofoam panel. The ratio images were used to cross-check CT data, snow type classification and for interpretation of the modeled results.

#### 3.1 Anisotropy determined by computer tomography

For validation of the model we used anisotropy data derived from 3D scans of snow samples analyzed by micro computed tomography (CT). Our analysis includes published data of time series acquired during temperature gradient metamorphism experiments in the lab and snow samples taken in the field during the NoSREx campaign.

20 The field samples were casted using Diethyl-Phthalate (DEP) for transportation as described in (Heggli et al., 2009) and scanned with a nominal resolution (voxel size) ranging between 10  $\mu\text{m}$  and 20  $\mu\text{m}$ . The resulting 3D-gray-scale images were filtered using a Gaussian filter (sigma = 1.2 voxel length, total filter kernel width = 4 voxel lengths). The smoothed images were then segmented into binary ice/air images. For segmentation, an intensity threshold was chosen at the minimum between the DEP peak and the ice peak in the histograms of the gray-scale images.

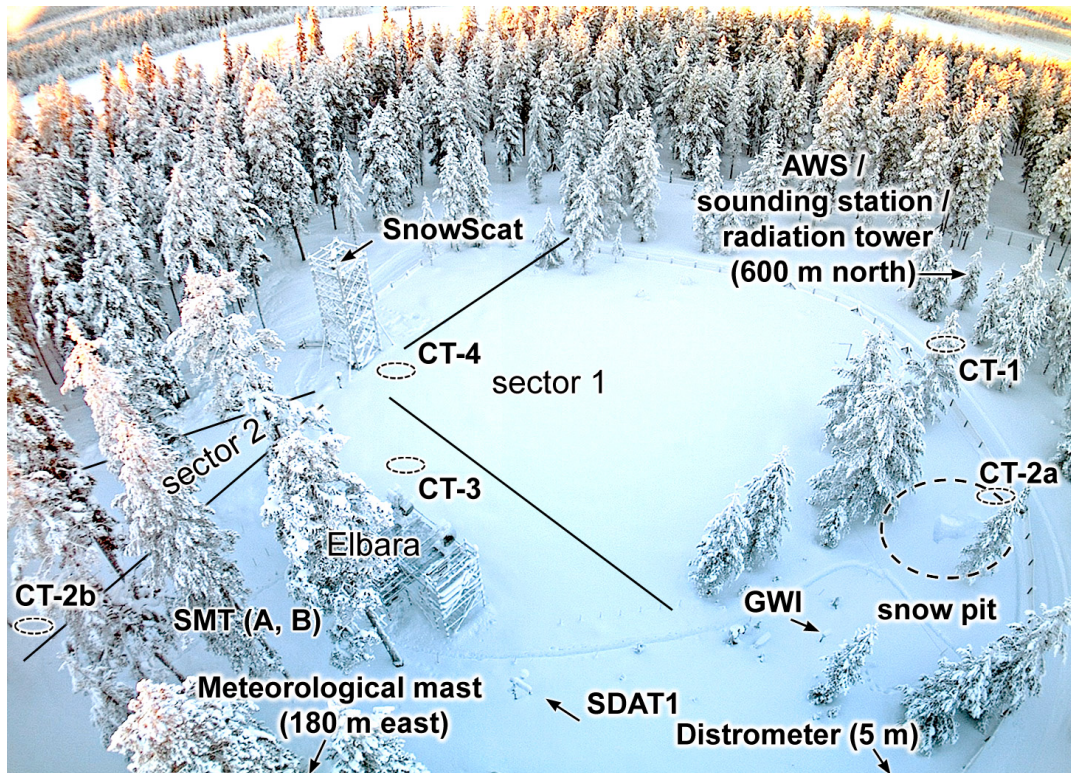
Two-point correlation functions were calculated from the binary images for each direction (Löwe et al., 2013). Then, the correlation lengths,  $p_{\text{ex},x}$ ,  $p_{\text{ex},y}$ , and  $p_{\text{ex},z}$  were derived as described in (Mätzler, 2002). Because of the symmetry in the  $x$ - $y$ -plane, the lengths  $p_{\text{ex},x}$  and  $p_{\text{ex},y}$  were averaged and the corresponding CT anisotropy follows analogue to Eq. (1):

$$A^{\text{CT}} = \frac{0.5(p_{\text{ex},x} + p_{\text{ex},y}) - p_{\text{ex},z}}{\frac{1}{2}[0.5(p_{\text{ex},x} + p_{\text{ex},y}) + p_{\text{ex},z}]} \quad (14)$$

25 To validate the anisotropy evolution under TGM and to determine the free parameter  $\alpha_1$  we used the laboratory data listed in Table 1. The samples TGM-17 (Kaempfer et al., 2005), TGM-2 (Löwe et al., 2013), DH-1 and DH-2 (Riche et al., 2013) were analyzed for their exponential correlation lengths in (Löwe et al., 2013). In addition we used digitized data of the sample C-1 analyzed by Calonne et al. (2014).

30 For validation of the full model with field-measured conditions, almost complete vertical snow profiles were extracted in Finland and preserved for later analysis in Switzerland. Five profiles named CT-1, CT-2a/2b, CT-3, and CT-4, were sampled at the locations shown in Fig. 3 on the dates listed in Table 2. The structural anisotropy was determined with a vertical resolution of 1–2 mm. The profiles contain some gaps of a few cm where the samples were not overlapping or sample taking was not





**Figure 3.** All field-, radar-, and most meteorological data were acquired at the intensive observation area (IOA). The remaining meteorological data were measured at the meteorological mast 180 m east of the IOA and at the automatic weather station (AWS) 600 m north of the IOA. Anisotropy validation profiles were extracted at the locations CT-1, CT-2a/b, CT-3, and CT-4. The depth-averaged anisotropy for "sector 1" was measured every 4 hours with tower-based radar (SnowScat) which also measured the snow water equivalent in combination with the gamma water instrument, GWI (Leinss et al., 2015). Sensor abbreviations are explained in Table 2.

**Table 1.** List of snow samples from laboratory TGM experiments with temperature, temperature gradient, initial ice volume fraction, initial snow type and sub-type, SSA, and duration of the experiment. The corresponding anisotropy evolution is shown in Fig. 5.

sample	T °C	$\nabla T$ K/m	$f_v(0)$ -	type -	SSA $\text{m}^2 \text{kg}^{-1}$	$\Delta t$ days
TGM-2	-10	100	0.22	DFdc	29.0	11.7
TGM-17	-8	50	0.33	RGsr	21.7	16.0
DH-1	-20	50	0.19	DFdc	22.1	87.5
DH-2	-20	50	0.29	DFbk	20.0	80.5
C-1	-4	43	0.35	RG	20.8	27.7

possible due to very soft new snow (CT-4), ice crusts or large fragile depth hoar crystals (CT-1). Data of the profiles CT-2a and 2b were combined. Examples of the analyzed 3D snow structure are shown in (Leinss et al., 2016, Fig. 14 and 15). Other derived parameters have already been published in (Proksch et al., 2015).

### 3.2 Anisotropy determined by polarimetric radar

5 Depth-averaged anisotropy time series were obtained from polarimetric radar measurements acquired by the ground based radar instrument SnowScat. SnowScat was developed and built to analyze the backscatter intensity of snow between 9.2 and 17.8 GHz (Lemmetyinen et al., 2016), ESA ESTEC contract 42000 20716/07/NL/EL (available on request from ESA). Technical details of the instrument are given in (Werner et al., 2010).

The method for measuring the depth-averaged anisotropy from radar data is detailed in (Leinss et al., 2016). Here we briefly  
10 outline the method: microwaves with a sufficiently long wavelength penetrate the snowpack with negligible scattering losses and accumulate a signal delay by the refractive index of snow. For snow with a spatially anisotropic microstructure the signal delay depends on the polarization of the electric field. The signal delay difference between two perpendicular to each other polarized radar echoes can then precisely be measured interferometrically by determining the co-polar phase difference, CPD (Leinss et al., 2016). From the CPD, the depth-averaged radar anisotropy,  $A_{\text{avg}}^{\text{CPD}}$ , can be derived when snow depth and density  
15 are known.

When this method is applied at sufficiently high frequencies (10–20 GHz)  $A_{\text{avg}}^{\text{CPD}}$  can be determined with an accuracy of a few percent. The frequency limits are determined such that the radar penetration depth in snow is sufficiently high (upper limit), the system's phase accuracy is much smaller than the total measured CPD, and the penetration into soil (and polarimetric effects of soil) are negligible (lower limit).

20 About 3200 anisotropy measurements with a temporal resolution of 4 hours were acquired at the IOA during the four winter seasons 2009–2013. Because microwaves frequencies above 10 GHz have almost no penetration into wet snow, the anisotropy during snow melt could not be measured.

### 3.3 Anisotropy determined by SNOWPACK

For comparison of modeled results with radar data and to simulate the depth-resolved anisotropy evolution, we forced the  
25 anisotropy model with snow properties simulated by the model SNOWPACK (v. 3.4.5). The model was forced by meteorological and soil data and was calibrated with snow height and snow temperature measurements. The following subsections provide intermediate details of the retrieval, preprocessing, and filtering of these measurements. More details are provided in Appendix A1 and A2. Plots of input, output and control data of SNOWPACK are provided in the supplementary material.

#### 3.3.1 Meteorological data

30 For the snow-atmosphere boundary conditions, SNOWPACK requires the following meteorological input data: air temperature (TA), soil temperature (TSG), relative humidity (RH), wind speed (VW), wind direction (DW), incoming short wave radiation

**Table 2.** List of field data for model input, calibration and validation. For each site, sensor abbreviations and full sensor names are given, or data set abbreviation and type of measurements.

Intensive observation area (IOA): 67.36185°N, 26.63355°E	
SnowScat	SnowScat instrument, tower-based radar for depth-averaged anisotropy measurements and for snow water equivalent.
GWI	Gamma Water Instrument (SWE measurement by gamma ray absorption)
Distr	Distrometer: precipitation classification and precipitation phase (liquid, solid)
SDAT1	Sensor for snow height and air temperature
SMT A,B	Two sensors for soil moisture (at -2, -10 cm), and for soil temperature (at -2 cm)
CT-no.	Snow profile no.1...4, analyzed by CT
CT-1	Profile 1, sampled on 03 Mar 2011
CT-2a/b	Profile 2a/b, sampled on 21 Dec 2011
CT-3	Profile 3, sampled on 01 Mar 2012
CT-4	Profile 4, sampled on 28 Feb 2013
Snow pit	snow classification, density, SWE, grain size, snow temperature (manual measurements)
Meteorological mast (arcmast): 67.36205°N, 26.63723°E	
arcsnow	Snow height, air temperature (1 m above ground), snow temperature at 10, 20, ..., 110 cm height
arcsoil	Soil moisture, soil temperature at -5, -10,...-50 cm
Automatic weather station (AWS): 67.36662°N, 26.62898°E	
	Snow height, air temperature (2 m above ground), wind speed and direction, precipitation, humidity
Sounding station (near AWS): 67.36660°N, 26.62975°E	
CM11	Kipp&Zonen sensor CM11, 305–2800 nm, incoming and outgoing short wave radiation
Radiation tower (near AWS): 67.36664°N, 26.62825°E	
CG4	Kipp & Zonen sensor CG4, 4500–42000 nm, incoming and outgoing long wave radiation

(ISWR) and/or reflected (outgoing) short wave radiation (OSWR), incoming long wave radiation (ILWR) and/or snow surface temperature (TSS), precipitation (PSUM) and/or snow height (HS) and optionally the precipitation phase (PSUM\_PH). For monitoring purposes, up to five internal snow temperature measurements (TS1, ..., TS5) at different heights can be provided

for comparison with modeled snow temperatures. Most input data were measured redundantly by more than one sensor at the IOA (Table 2). Precipitation and wind velocity were measured at the automatic weather station (AWS), 600 m north of the IOA. The radiation balance was measured close to the AWS at the sounding station and at the radiation tower.

To provide physically correct and consistent conditions, the meteorological data were filtered, combined, and interpolated if gaps could not be filled with equivalent datasets (for details see Appendix A1). Plots of both measured raw data and filtered SNOWPACK input data are provided in the supplementary figures S3–S10. SNOWPACK additionally filters and preprocesses the input data and provides them for control (supplementary figures S11–S14).

### 3.3.2 Soil data

For the lower boundary condition, SNOWPACK requires a description of at least one soil layer. To define precisely the temperature of the soil-snow interface we defined a single, 5 cm thin soil layer which lower temperature (TSG) was provided by the average of four soil temperature sensors at -5 cm and -10 cm (sensor: arcsoil at meteorological mast) and two measurements at -2 cm depth (sensor: SMT at IOA).

For soil moisture we averaged data from six sensors, two from the meteorological mast (arcsoil: -5 cm, -10 cm) and four from the IOA (SMT: two locations, each at -2 cm and -10 cm). Temperature and moisture were provided as the average over one week around the simulation start time (1st of Sept).

The soil composition is described in (Lemmetyinen et al., 2013) as very fine mineral soil composed of 70% sand, 1% clay and 29% silt. For this mineral soil, we assumed a solid volume fraction of 75% and zero ice fraction in autumn. We estimated a density  $1800 \text{ kg m}^{-3}$ , a heat conductivity of  $1.5 \text{ W m}^{-1} \text{ K}^{-1}$  (from ToolBox (2003a)), and a heat capacity of  $1000 \text{ J kg}^{-1} \text{ K}^{-1}$  (from ToolBox (2003b)). A soil albedo of 0.2 was determined from the ratio of incoming and reflected short wave radiation data.

### 3.3.3 Snow temperature data

Snow temperature, used for SNOWPACK calibration, was measured at the meteorological mast, 180 m east of the IOA, with an array of 11 horizontally oriented temperature sensors located at 10, 20, ..., 110 cm above the ground (Fig. 4).

Unfortunately, for this configuration with all sensors attached to the same support stick, we cannot exclude that some air-filled gaps occurred between the sensor elements. Furthermore, it was reported for another, similar sensor configuration that the sensor configuration interfered with snow accumulation and caused the formation of an up to 30 cm deep pit in the snow around the sensor. Such sensor biases can be detected by comparing the lowest snow temperature (at +10 cm above ground) with the measured soil temperature (see Fig. S17) because for a deep, well insulating snowpack, both temperatures should not vary more than a few K. Manual snow temperature measurements provide an additional validation source for the sensor array measurements.



**Figure 4.** Snow temperature was measured with an array of horizontally oriented temperature sensors at the meteorological mast.

### 3.3.4 Calibration and configuration

SNOWPACK provides a variety of settings to adjust for the local environment and to configure the simulation. Additionally, the radiation balances required some calibration because it was not directly measured at the IOA. To best replicate measured snow height and temperatures we run for all four seasons more than 5000 simulations with each time different settings (but  
5 keeping the same settings for all four seasons) and graded the accuracy of the simulation results by comparison of simulated snow height and snow temperature with measured snow height and temperature (details in Appendix A3). To avoid systematic deviations of SWE or snow density we first run SNOWPACK driven by calibrated precipitation (Appendix A1). Then, we run the best 230 simulations again but with enforced snow height, i.e. SNOWPACK tries to estimate the precipitation which is required to reproduce the measured snow height. For a sanity check we verified the simulated SWE. Table 3 summarizes  
10 the most important settings which improved the simulation results significantly. Little difference was found between a fixed threshold for the precipitation phase (THRESH\_RAIN) and estimation of the precipitation phase (PSUM\_PH) from distrometer data (Appendix A1). When enforcing snow height, snow height was better predicted but SWE was slightly overestimated when reducing the default value  $HEIGHT\_NEW\_ELEM = 0.02$ .

Tree canopy was not considered ( $CANOPY = FALSE$ ) because the test site was not covered by trees. Still, surrounding trees  
15 could have affected the radiation balance which was calibrated by multiplication with constant factors and selection of the best simulation results. Incoming short wave radiation (ISWR) was reduced (Table 3) which agrees with the fact that the IOA was partially shadowed by trees but short wave radiation was measured on a tower above the trees. Outgoing short wave radiation

**Table 3.** Most relevant settings for SNOWPACK which produced the best results.

SNOW_EROSION	= TRUE
WIND_SCALING_FACTOR	= 2.0...2.5
ATMOSPHERIC_STABILITY	= NEUTRAL
THRESH_RAIN	= 0.7...1.2°C, (or PSUM_PH)
ISWR	= ISWR $\times$ 0.75...0.93
ILWR	= ILWR $\times$ 0.93...0.97
SW_MODE	= INCOMING, (BOTH)

(OSWR) was internally estimated by SNOWPACK based on the simulated albedo (SW\_MODE = INCOMING instead of BOTH). The incoming long wave radiation (ILWR) needed only a little reduction. Outgoing long wave radiation was not used by SNOWPACK.

### 3.3.5 Coupling the anisotropy model to SNOWPACK

- 5 The proposed anisotropy model is designed for immediate implementation into snowpack models which provide the following variables for each layer of snow: snow temperature  $T$ , vertical snow temperature gradient  $\partial T/\partial z$ , and strain rate  $\dot{\epsilon}$ . SNOWPACK provides these parameters but does not consider the structural anisotropy of snow. To keep the implementation simple enough, we post-processed the output of SNOWPACK and did not intend to feed the anisotropy back into SNOWPACK.

SNOWPACK merges two adjacent snow layers when they have similar properties and when their thickness falls below a certain threshold. To keep track of the anisotropy evolution of merged layers, we wrote an algorithm to detect when snow layers get merged. The anisotropy of a merged layer is defined by the average anisotropy of the two original layers weighted by their thickness.

Extremely large temperature gradients could naturally occur at the snow surface under extreme conditions but we do not expect that the anisotropy will grow proportionally to such extreme gradients. Extreme temperature gradients could also wrongly occur in simulated data. To exclude such temperature gradients, we set a maximum threshold for simulated temperature gradients of  $|\Delta T/\delta z| \leq 200 \text{ K m}^{-1}$ .

### 3.3.6 Ensemble runs

To consider the uncertainty of different SNOWPACK configurations, we run a sensitivity analysis of the model and determined  $\alpha_2$  for the ensemble of the best 230 SNOWPACK simulations. Each ensemble member consists of 4 seasons simulated with the same SNOWPACK configuration. For each ensemble member,  $\alpha_2$  was determined once for each season independently and once for all seasons together. The ensemble members differed slightly in the following configuration settings: scaling of radiation balance, rain threshold, wind scaling factor, short wave reflected radiation based on albedo simulation or measurements, precipitation phase estimation, and different thresholds for the height of new snow elements. All 230 simulations had the fol-

lowing settings in common: snow height was enforced, neutral atmosphere, snow erosion was allowed. The quality analysis of corresponding SNOWPACK ensemble is shown in the supplementary Fig. S19.

## 4 Results

### 4.1 Validation by laboratory experiments

For validation of the TGM formulation we analyzed the anisotropy time series from the five laboratory CT experiments listed in Table 1. The time series are shown in Fig. 5(a) and also in Fig. 5(b). All experiments indicate that the anisotropy has not reached a stable value at the end of the experiment but would further decrease with time. Extrapolating the curves would probably reach a stable state around  $A_{\min} = -0.6 \dots -0.8$  which indicates that  $A_{\min}$  must be smaller than the lowest observed  
 5 value of  $-0.45$ . Therefore, we choose an practical minimum threshold of  $A_{\min} = -0.7$ .

A simple check of anisotropy evolution with respect to the vapor flux dependence can be done when ignoring the limiting factor  $(A - A_{\min})^2 / A_{\min}^2$  in Eq. (5) and setting  $\alpha_1 = 1$ . By time integration one obtains  $A_{\text{TGM}}^{\text{mod}}(t) = A(0) + |J_v| / (\rho_{\text{ice}} f_\mu) \cdot t$ , which agrees well with the experimental data as shown in Fig. 5(c). Because the laboratory CT data was obtained with different temperatures and temperature gradients (listed in Table 1) this proportionality indicates that the growth of vertical structures is  
 10 almost linearly dependent on the water vapor flux  $J_v$ .

Then we applied the full TGM term, Eq. (5), including the limiting factor and with  $A_{\min} = -0.7$  and determined  $\alpha_1 = 0.93$  by minimizing the RMSE ( $= 0.048$ ) between the laboratory CT data and the simulated data. Figure 5(d) shows the improvements of the results compared to Fig. 5(c).

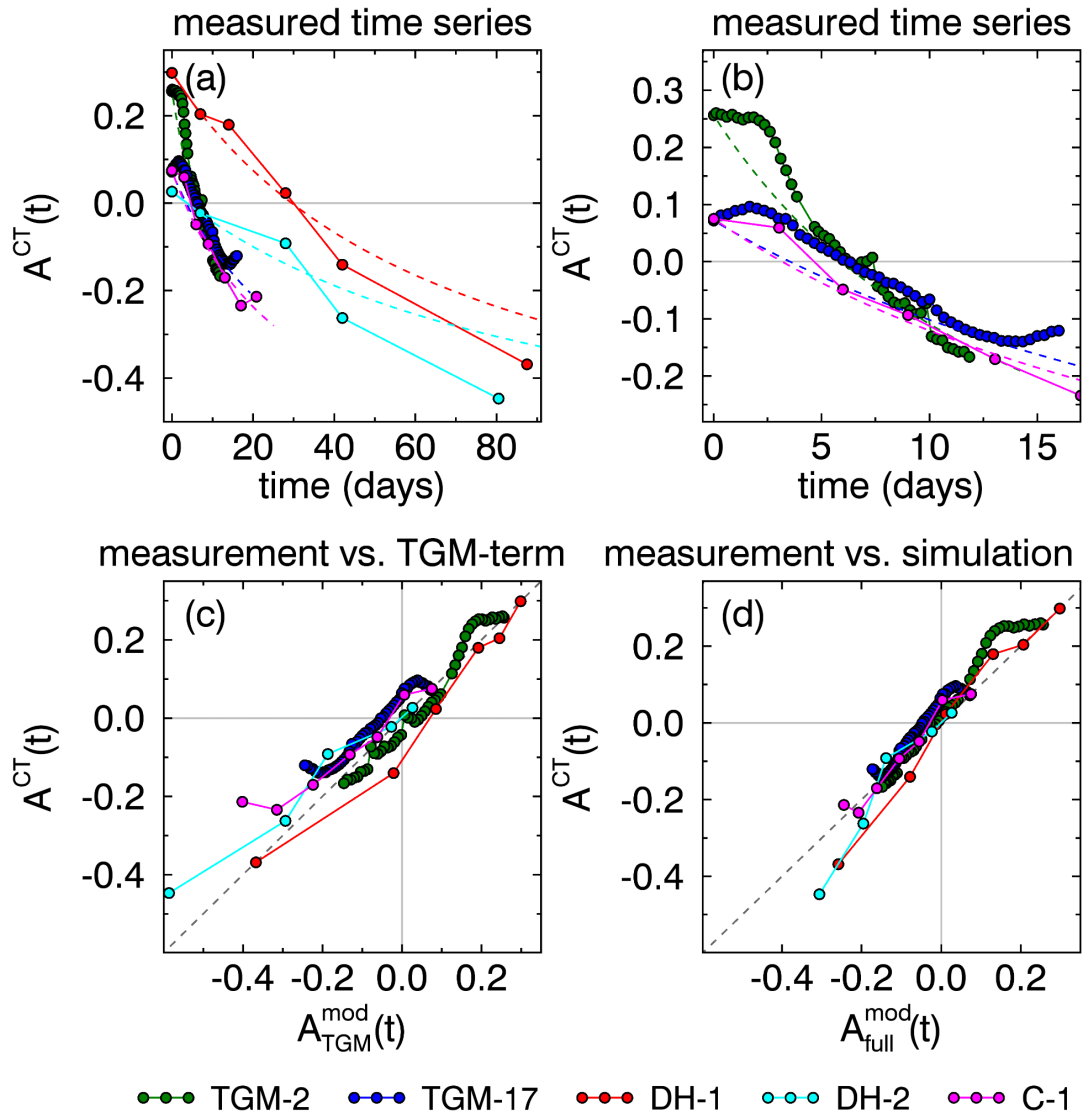
Above, we have simply set the free microstructural parameter  $f_\mu(\cdot)$ , which originated from dimensional considerations, to  
 15  $f_\mu(\cdot) = 1 \text{ mm}$ , constant, instead of considering any grain-size dependence in Eq. (5). As the laboratory data agree very well with the simulated data, we think that this is a reasonable approximation.

An interesting detail appears in Fig. 5(b) at an early stage. The anisotropy seems to be quite stable for a few days and vertical structures start growing not before 2–3 days after start of the experiment.

### 4.2 Seasonal evolution of the anisotropy

20 No laboratory data about the anisotropy evolution of new snow is presently available. Therefore, we calibrated the parameter  $\alpha_2$  by run the full model on the output of SNOWPACK and compared the depth-averaged anisotropy measured by radar with the depth-averaged anisotropy of the model results.

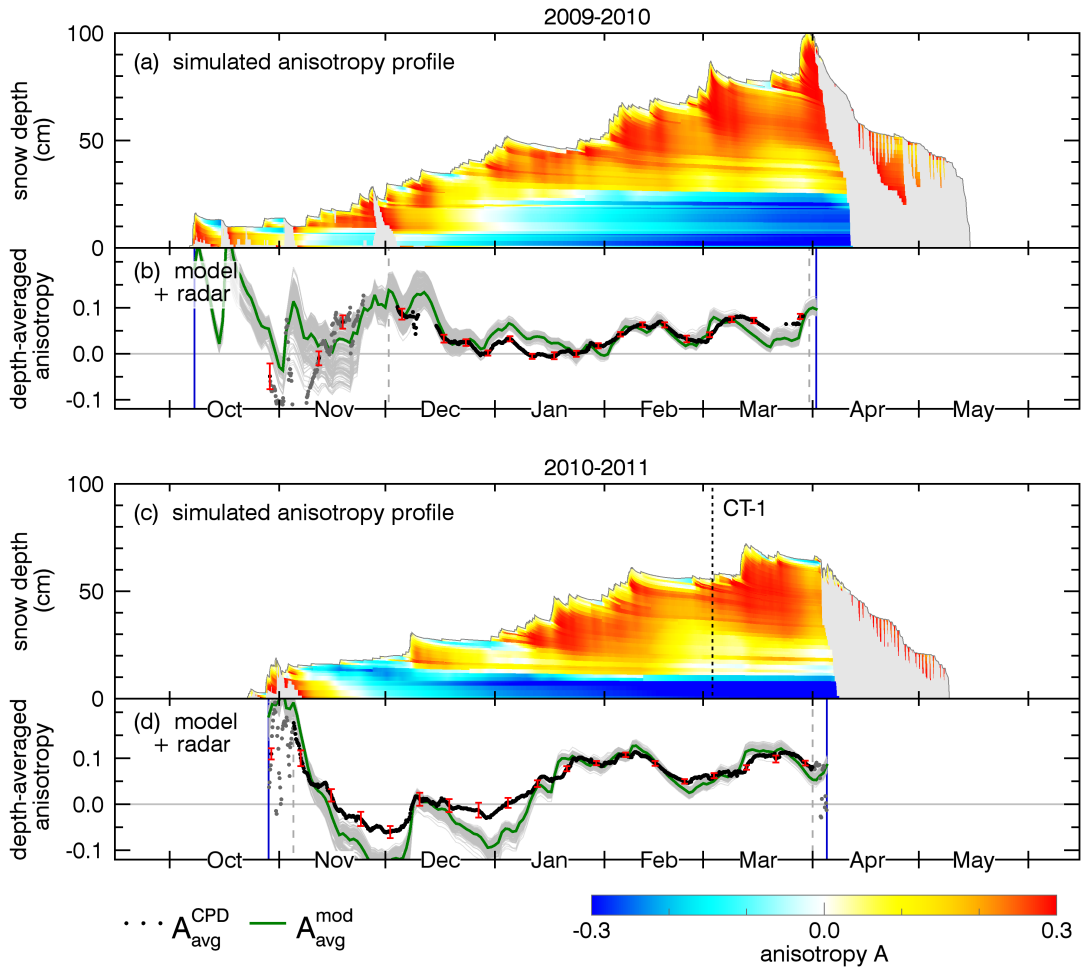
The depth-averaged, radar measured anisotropy time series,  $A_{\text{avg}}^{\text{CPD}}$ , are shown in the lower panels (b, d) of Figs. 6 and 7 as a line of solid black dots. The corresponding standard deviation of radar measurements acquired with different incidence and azimuth angles of the radar antenna is indicated by red error bars. Radar measurements were considered reliable enough for  
 25 model calibration when the snowpack was dry and the standard deviation  $\sigma(A_{\text{avg}}^{\text{CPD}})$  was below 0.05. Gray dashed lines limit the radar measurements used for calibration; radar measurements excluded from calibration are shown as gray dots. The begin



**Figure 5.** (a): Anisotropy time series  $A^{CT}(t)$  of the laboratory experiments listed in Table 1. Dashed lines indicate modeled results. (b): Zoom into the first 15 days after start of the experiment (c): When ignoring the lower threshold  $A_{min}$  and with  $\alpha_1 = 1$  the simulated data agrees already well with CT data. (d) With a lower threshold  $A_{min} = -0.7$  and with  $\alpha_1 = 0.93$ , model and measurements agree even better which indicates that the growth of vertical structures is proportional to the water vapor flux.

and the end of the dry snow period are indicated by vertical blue lines. Short melt events are still visible from the SNOWPACK simulations between the blue lines.

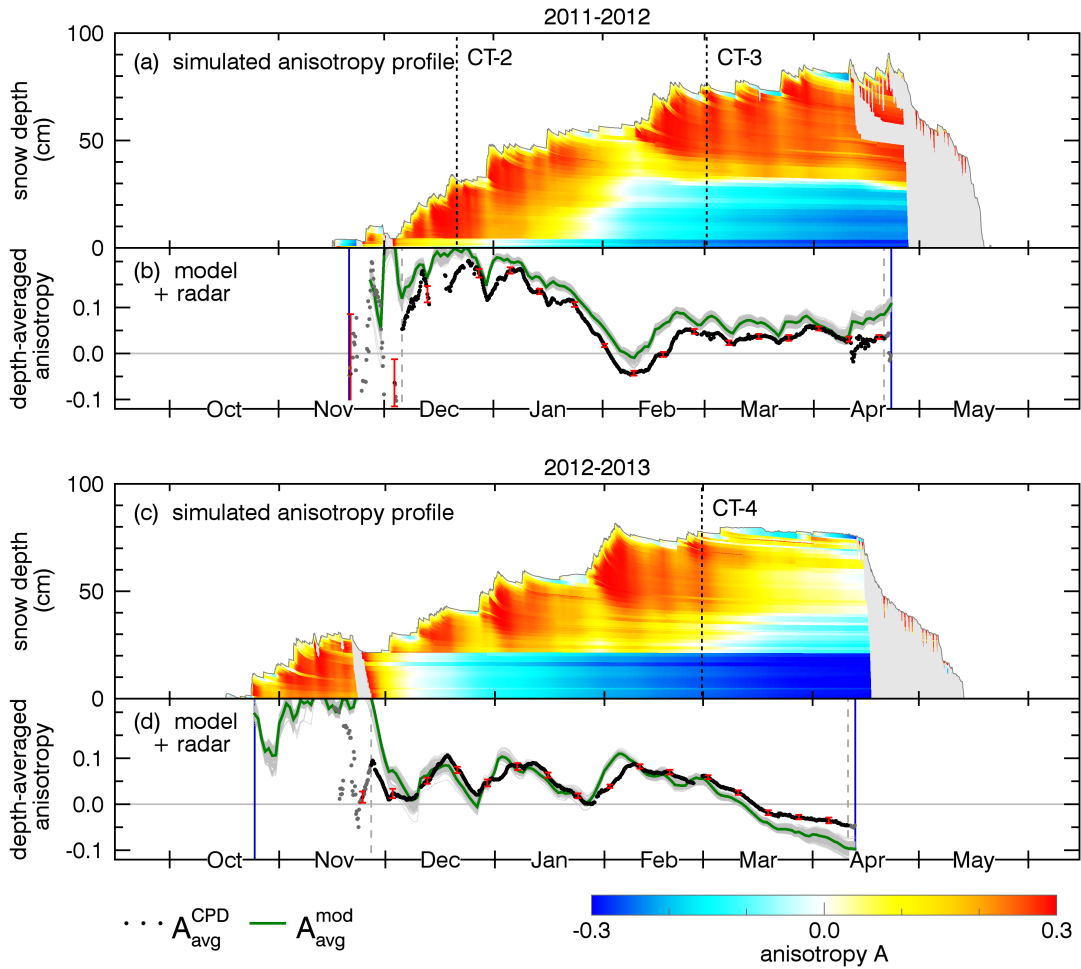




**Figure 6.** Structural anisotropy simulated for the first two seasons 2009/2010 and 2010/2011. (a), (c), depth-resolved anisotropy (in color) based on post-processed SNOwPACK data. Wet snow is grayed out. Model parameters are  $\alpha_1 = 0.93$ ,  $\alpha_2 = 1.68$ ,  $A_{min} = -0.7$ ,  $A_{max} = 0.3$ ,  $f_\mu(\cdot) = 1$  mm. The dashed line, labeled with CT-1, indicates the sampling date of the CT validation profile. (b), (d): depth-averaged anisotropy of the model  $A_{avg}^{mod}$  (green) and radar-measured anisotropy  $A_{avg}^{CPD}$ . Radar measurements used to calibrate  $\alpha_2$  are shown as black dots. Gray dots indicate radar measurements excluded from calibration because of a too big standard deviation (red error bars).

30 In the radar measurements the maximum anisotropy never grows much beyond +0.2, even in Dec 2011 where air and soil temperature were around the freezing point such that the growth of vertical structures by TGM was limited and mainly settling of the thick snowpack occurred. We estimate that  $A_{max} \approx 0.3 \pm 0.1$  and used this value in the model.

The depth-resolved, modeled anisotropy is shown in color in the upper panels, (a) and (c) of Figs. 6 and 7. Yellow and red colors indicate horizontal structures and blue colors indicate vertical structures. The model is based on the output of the best snowpack simulation. As we do not model the anisotropy evolution of wet snow, wet snow is grayed out. When the anisotropy



**Figure 7.** Structural anisotropy simulated for the seasons 2011/2012 and 2012/2013. Labels CT-2, CT-3, and CT-4, indicate the sampling dates of the CT validation data. For further details see caption of Fig. 6.

profiles are vertically averaged one obtains the simulated, depth-averaged anisotropy,  $A_{\text{avg}}^{\text{mod}}$ , which is shown as a green line in the lower panels.

To evaluate the uncertainty of the free parameter  $\alpha_2$  we determined it for each season independently and also for all seasons together by minimizing the RMSE between  $A_{\text{avg}}^{\text{mod}}$  and  $A_{\text{avg}}^{\text{CPD}}$ . Additionally to the RMSE, the model accuracy was measured with the Nash-Sutcliffe model efficiency coefficient and also with the Pearson-r correlation coefficient. Table 4 summarizes the results. The depth resolved profiles and depth-averaged time series in the Figures 6 and 7 show the results for  $\alpha_2 = 1.68$  determined for all seasons together which results in an RMSE of 0.033 and a Pearson-r correlation coefficient of 0.89.

The sensitivity of  $\alpha_2$  on slightly different SNOWPACK settings is represented by the ensemble of gray lines in the lower panels of of Figs. 6 and 7. The last column of Table 4 summarizes the ensemble results. The ensemble of gray lines corresponds to  $\alpha_2 = 1.87 \pm 0.25$  where the uncertainty is specified by the standard deviation.

**Table 4.** Results for the parameter  $\alpha_2$  determined for each season independently and for all seasons together. The agreement between model and radar anisotropy is given by the Pearson’s correlation coefficient ( $r$ ), the Nash-Sutcliffe model efficiency coefficient (NS), and the root mean square error (RMSE). The last row contains the mean and standard deviation of  $\alpha_2$  from the ensemble runs.

season	$\alpha_2$	$r$	NS	RMSE	$\alpha_{2,\text{ens}} \pm \sigma$
2009/2010	1.41	0.61	0.25	0.024	$1.72 \pm 0.28$
2010/2011	2.23	0.97	0.70	0.029	$2.57 \pm 0.72$
2011/2012	1.02	0.96	0.92	0.018	$1.04 \pm 0.09$
2012/2013	2.08	0.88	0.39	0.031	$2.22 \pm 0.36$
2009–2013	1.68	0.89	0.55	0.033	$1.87 \pm 0.25$

Considering, that it is a hypothesis that settling increases the anisotropy, it is remarkable that the modeled anisotropy and the radar-measured anisotropy show a highly consistent trend: the model is able to catch many details of the radar measured anisotropy time series. Nevertheless, in some early winter periods, especially in the season 2010/2011, stronger deviations occur likely because of melt events and differently modeled snow height and layer thicknesses.

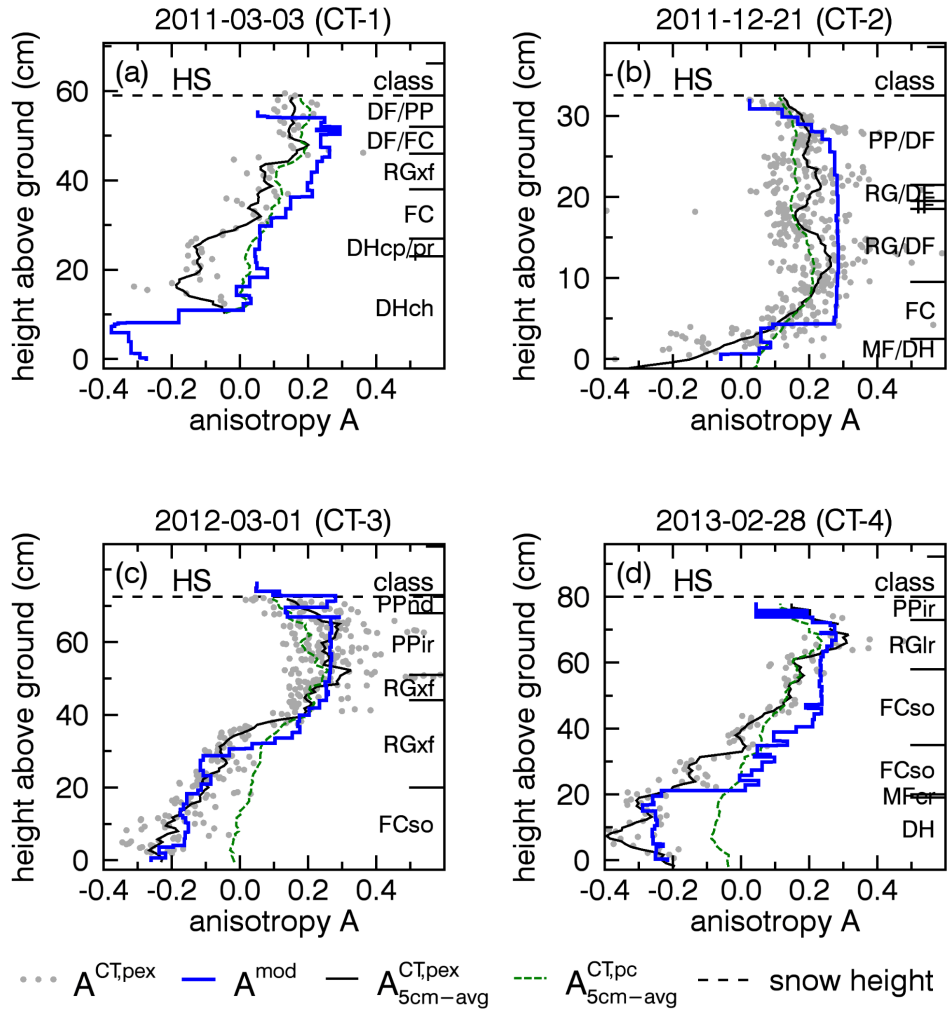
From the simulated anisotropy profiles it is evident that snow layers at the bottom of the snowpack always show vertical structures (blue,  $A < 0$ ) while the upper snow layers which are stronger affected by snow settling show generally horizontal structures (yellow and red,  $A > 0$ ). An exception is the snow surface which shows a more isotropic (and sometimes an even vertical) structure compared to the underlying upper snow layers which experienced more overburden pressure. The occasionally appearing vertical structures at the snow surface are expected from the strong temperature gradients at the surface, especially during clear-sky winter nights. During such conditions, TGM transforms the top layers faster than intermediate layers.

A small but very interesting detail, especially in the radar measurements, is that the anisotropy does not grow instantaneously with accumulating new snow but shows an delayed increase within a few days (e.g. in Mar 2010, Mar 2011, Dec 2011, and Feb 2013). We think, the delay results from the fact that it is the settling of new snow which dominantly and not the anisotropy of new snow itself which does not or only weakly increases the anisotropy. The delay seems to be more pronounced in the radar measurements than in the model where the anisotropy often increases to quickly after snowfall. The length of this delay was determined to about 2–4 days in average in (Leinss et al., 2016, Sect. 5.4).

### 4.3 Validation with CT-profiles from the field

The seasonally modeled depth-resolved anisotropy was validated with vertically resolved field-measured anisotropy CT profiles. The dates when the CT profiles were obtained in the field are indicated by vertical black dashed lines labeled with CT-1, -2, -3, and -4 in Figs. 6 and 7.

In Fig. 8 the modeled anisotropy profiles (blue lines) are compared to the CT-based anisotropy (gray dots; black line indicating the 5 cm running mean). Table 5(a) lists correlation coefficients between the modeled anisotropy and the individual CT anisotropy data points derived from  $p_{\text{ex}}$  (left columns) as well as the correlation coefficients with the 5 cm running mean of the  $p_{\text{ex}}$ -based anisotropy (right columns). For both, the Pearson- $r$  correlation coefficients are around 0.8 and higher except for



**Figure 8.** Comparison of simulated anisotropy ( $A^{\text{mod}}$ , blue line), with field-measured CT anisotropy profiles ( $A^{\text{CT},p_{\text{ex}}}$ , gray dots; black line: 5 cm running mean). Right axis: snow layer classification according to (Fierz et al., 2009) and measured snow height (HS, horizontal black dashed line). The anisotropy determined from the correlation length  $p_c$  is shown as a green line (5 cm running mean). The locations where the CT profiles were taken are shown in Fig. 3.

**Table 5.** (a) correlation coefficients between modeled anisotropy profiles and CT anisotropy profiles as shown in Fig. 8. The first three columns are the correlation with respect to the individual anisotropy data points; the rightmost three columns are correlations with respect to the 5 cm running mean of the CT anisotropy.

(a)	correlation coefficients relative to CT data, $p_{ex}$					
	CT single samples			CT: 5 cm running mean		
	profile	$r$	NS	RMSE	$r$	NS
CT-1	0.79	-0.18	0.15	0.84	-0.32	0.14
CT-2	0.50	0.10	0.15	0.85	0.49	0.12
CT-3	0.86	0.74	0.10	0.96	0.91	0.06
CT-4	0.91	0.69	0.12	0.92	0.75	0.11

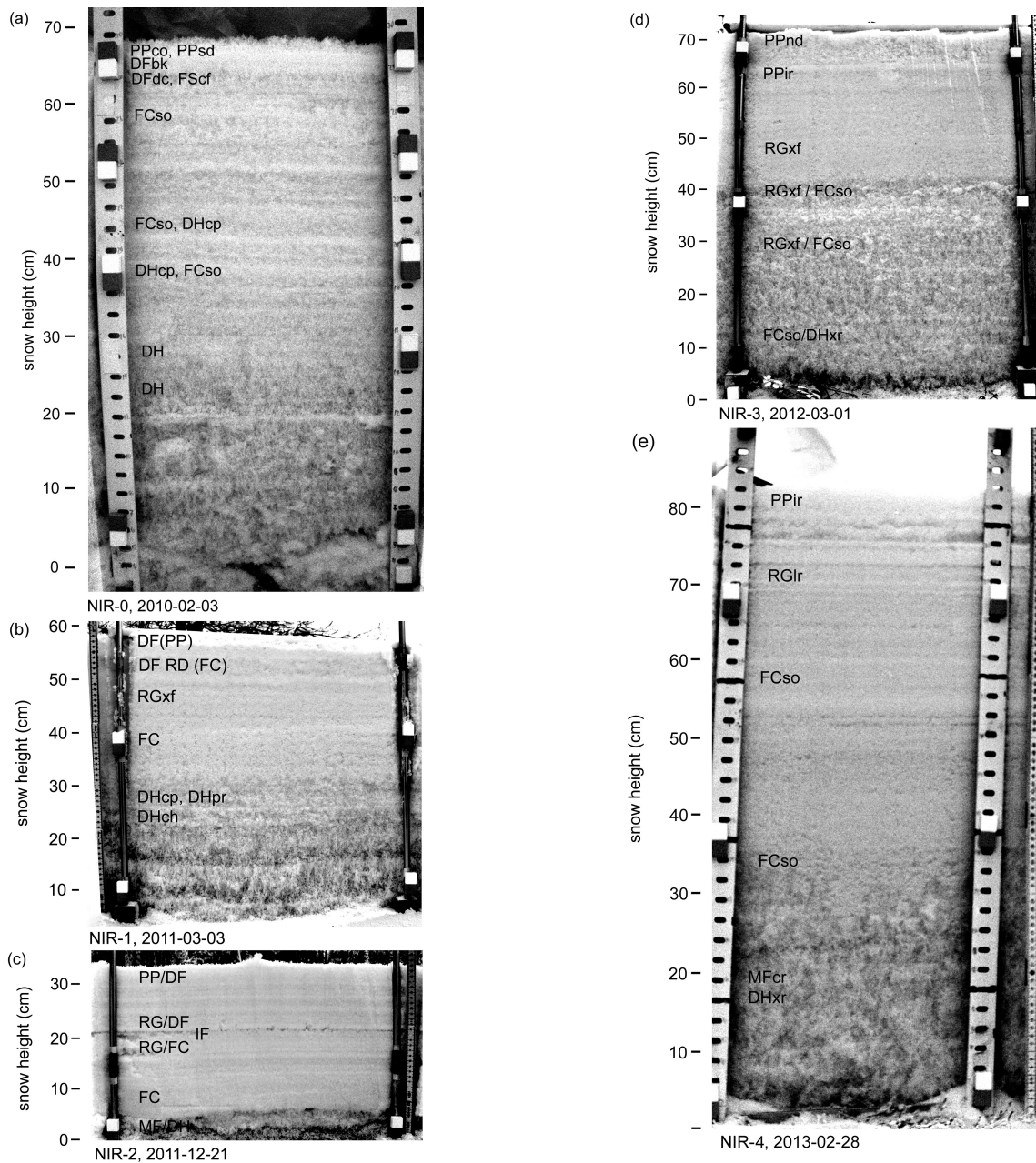
CT-2 ( $r = 0.51$ ) for which the snow structure does not show much vertical variability except for a thin layer of depth hoar at the bottom of the snowpack. Unfortunately for CT-1, Fig. 8(a), no snow samples were taken for the lowest 10 cm.

#### 4.3.1 Anisotropy determined from $p_c$

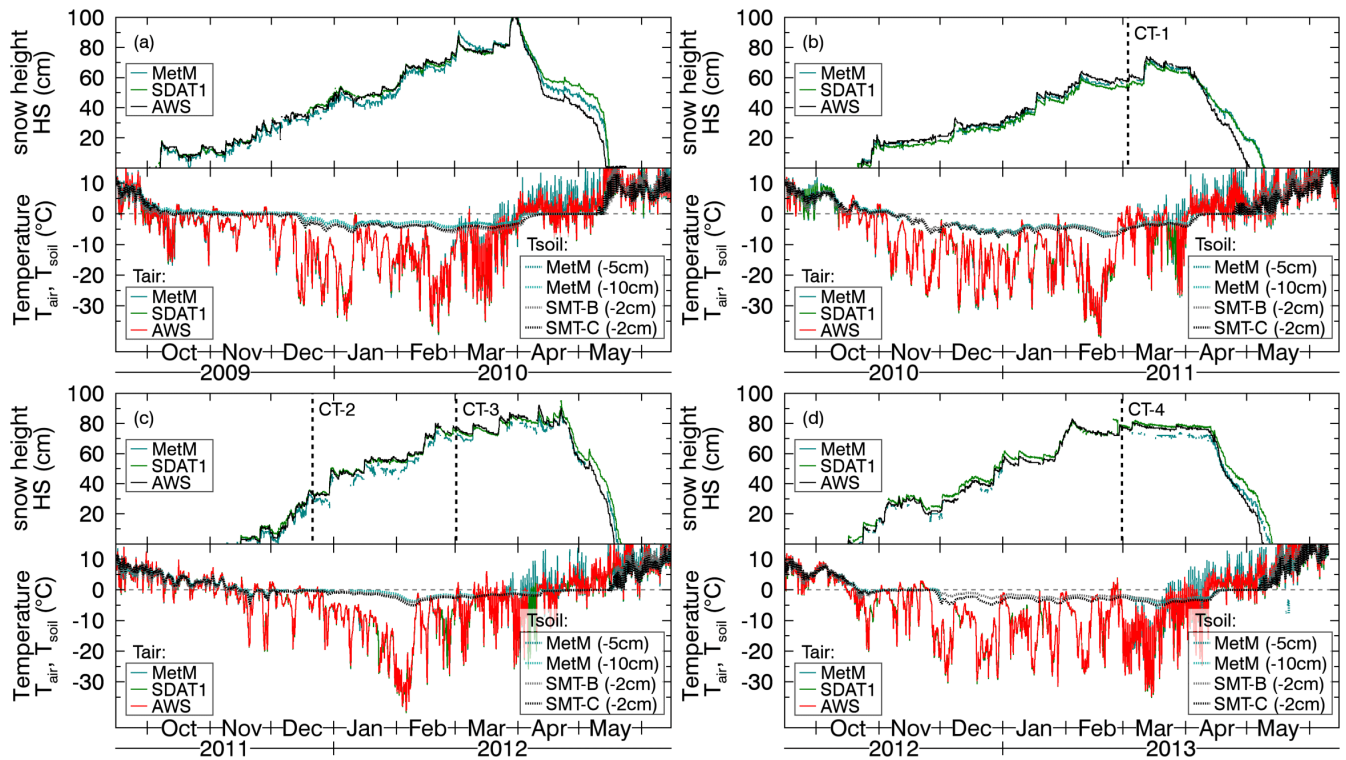
In general, the anisotropy could also be calculated from other correlation lengths. For example, the anisotropy  $A^{CT,p_c}$ , shown as a green dashed line in Fig. 8, is derived from  $p_c$  which is defined by the slope at the origin of the correlation function. By definition,  $p_c$  describes characteristics on the smallest length scales, e.g. the specific surface area (Löwe et al., 2011) and is not sensitive to the extent of large structures. Therefore,  $A^{CT,p_c}$  indicates a less distinct anisotropy than  $A^{CT,p_{ex}}$ . Especially for depth hoar, where both anisotropies differ most, the often used relation  $p_{ex} \approx 0.75p_c$  is not valid (Mätzler, 2002; Krol and Löwe, 2016) and we obtained rather a relation of  $p_{ex} \approx 0.8...1.2p_c$  (Fig. S1). The comparison of the anisotropy profiles based on  $p_{ex}$  and  $p_c$  shows that  $p_{ex}$  is more sensitive to characterize the anisotropy.

## 5 Discussion

A main motivation of this paper was to show that it is possible to model the radar-measured anisotropy solely based on meteorological data. This was achieved in detail and demonstrates that polarimetric radar measurements at sufficiently high frequencies (10–20 GHz) can be used to monitor the depth-averaged evolution of the anisotropy nondestructively (Leinss et al., 2016) and even from space (Leinss et al., 2014). Beyond that our results confirm that the creation of vertical structures is mainly controlled by the recrystallization rate of water vapor. The results further indicate a yet undocumented effect of settling on the creation of horizontal structures. We think that it is remarkable that a model, which completely neglects any microstructural parameters like grain size, SSA or snow classification is able to simulate the temporal evolution of a microstructural parameter, the anisotropy, solely based on macroscopic fields and with a very limited set free parameters which we determined from literature values, CT and radar data.



**Figure 9.** NIR Photography of the snowpack. The image NIR-0 was acquired in the first season on 2010-02-23 where no CT data is available. The other images NIR-1, -2, -3, -4 corresponds to the CT-profiles CT-1, CT-2, CT-3, CT-4. The intensity of the NIR photography is mainly determined by grain size but also shows the metamorphic state of the snowpack. The NIR photography provides an independent measure for the absolute depth of individual snow layers and helps to identify strong structural transition in the snowpack.



**Figure 10.** Snow height, air- and soil temperature at different locations (IOA, AWS, MetM = meteorological mast).

### 5.1 Seasonal model results and snow conditions

Snow conditions observed in the field differed significantly between the different winter seasons, therefore we provide a short summary for every season before discussing the evolution of the simulated and radar-measured anisotropy with respect to observed snow and weather conditions. For reference, snow height, air temperature and soil temperature are plotted in Fig. 10.

5 In the first season, 2009/2010, snow fall started early Oct and accumulated up to 30 cm during relatively moderate temperatures (and some short melt events) until mid of Dec when temperatures dropped well below zero and the soil froze.

The corresponding modeled mean anisotropy varies strongly in Oct/Nov, Fig. 6(b), where model and radar data disagree because microwave penetration was reduced by temporary melt events, gray in Fig. 6(a), and melt metamorphism was anyway not considered in the model. The precision of the radar measurements was also limited by the 10–15 cm thin snowpack.

10 After mid of Nov new snow dominates the modeled anisotropy which agrees then better with the radar measurements. End of Dec cold temperatures transformed the early winter snowpack into vertical structures. Each of the following snow fall events increased temporarily the average anisotropy, Fig. 6(b). The NIR image from 2010-02-23, Fig. 9(a), confirms the model results of metamorphic snow (depth hoar) in the lower 30 cm of the snowpack and shows multiple distinguishable layers above. No CT validation data is available for the first season.

In the second season, 2010/2011, conditions are characterized by a shallow snowpack with less than 30 cm snow until Jan, accompanied with cold temperatures. The soil froze already mid of Nov and a layer of 20 cm depth hoar was present during the entire season.

The modeled mean anisotropy, Fig. 6(d), clearly shows vertical structures until Jan but the radar data indicates a less strong anisotropy. During this period, the uncertainty of the radar data, indicated by the standard deviation ( $\approx 0.03$ , red error bars), is higher compared to other periods which could hint at some systematic measurement errors (Sect. 5.3). The modeled, depth-resolved results shows that these vertical structures persisted through the entire winter season. In the NIR image, Fig. 9(b), these structures appear as a 20 cm thick depth hoar layer at the bottom of the snowpack, which could not be sampled for CT analysis due to its brittle structure. For the upper 50 cm, the model overestimates the CT-measured anisotropy but still agrees with the general trend of the CT data from 2011-03-03, Fig. 8(a).

In the third season, 2011/2012, snow fall started late but with intense snow fall 50 cm of snow accumulated in Dec during very mild air temperatures, often above  $-5^{\circ}\text{C}$ . Except for a few days in early Dec, TGM was almost not present and field measurements report finer grain size compared to other winter seasons (Leppänen et al., 2015). Then, between Jan and early Feb, temperatures dropped gradually from  $-10^{\circ}\text{C}$  to  $-30^{\circ}\text{C}$  and strong TGM set in which transformed the fined grained snow visible in Fig. 9(c) into the faceted crystals shown in Fig. 9(d).

The modeled mean anisotropy, and also the radar measurements, show the highest observed values,  $A \approx +0.2$ , because in Dec vertical structures were almost completely absent. Only a thin layer of depth hoar is visible in the modeled results, Fig. 7(a), which is confirmed by NIR and CT data, Figs. 9(c) and 8(b). With the strong TGM in Jan/Feb the initial snowpack transforms quickly into a 30 cm thick layer with vertical structures which emerges as a strong anisotropy reversal in Fig. 7(b). Then, mid of Feb, additional 30 cm of new snow fell on top of the transformed layers, resulting in the step-like anisotropy transition in the profile CT-3 shown in Fig. 8(c). Until Apr, several minor snow fall events appear as little oscillations in the depth-averaged and radar-measured anisotropy, Fig. 7(b).

At the end of the third season, gray snow layers appear in Fig. 7(a) from 10–13th of Apr 2012 after accumulation which indicate that wet snow and rain fell on top of the snowpack which partially refroze afterwards. The event induced strong settling in the SNOWPACK model which in turn increased the modeled anisotropy (green line,  $A_{\text{avg}}^{\text{mod}} \approx 0.06$ ). In contrast, the radar measurements reach for a moment zero (no penetration into wet snow) but returned to the previous values  $A_{\text{dvt}}^{\text{CPD}} \approx 0.03$ . We think that the anisotropy increase induced by settling was compensated by an anisotropy reduction from melt metamorphism which is currently not included in the model.

In the last season, 2012/2013, conditions are characterized by four major snow fall events. During the first event in Nov occasionally surface melt occurred. After the last event in Feb, very little precipitation was measured and cold temperatures persisted until early April.

The modeled mean anisotropy in Nov is above  $+0.2$  but because of frequently surface melt no reliable radar measurements were possible (gray dots in Fig. 7(d)). Still, for a few days mid of Nov, anisotropy values up to  $+0.2$  are visible in the radar measurements but they quickly approached zero, likely because of decreasing microwave penetration into wet snow. With very cold temperatures around  $-20^{\circ}\text{C}$  end of Nov, the snowpack refreezes and the positive anisotropy recovers but then quickly



decays due to strong TGM resulting in a 30 cm thick layer of depth hoar which continued to evolve during the remaining season, Fig. 7(c). This depth hoar layer reached the lowest anisotropy values observed in the field  $A^{CT, P_{ex}} \approx -0.4$  as shown in CT-4 in Fig. 8(d).

5 Interesting in Mar and Apr 2013, and also in other seasons, are the modeled vertical structures at the snow surface. These result from strong temperature gradients modeled in the snow surface which does not experience any overburdened pressure and can therefore quickly transform into vertical structures or possibly surface hoar as classified by SNOWPACK (Figs. S20).

## 5.2 Quality of meteorological input data

For best modeled anisotropy results it is critical that both, meteorological input data and snow properties simulated by SNOWPACK are as correct as possible. For most of the meteorological data this was ensured by using redundant sensors, only precipitation was adjusted by SWE measurements (details in Appendix A1, for raw data see Figs. S3–S6). The results of SNOWPACK were assessed with snow depth and snow temperature.

For Feb 2011 we noticed that when air temperatures dropped below  $-30^{\circ}\text{C}$  measured snow temperatures were 10–20 K lower than modeled snow temperatures (black vs. red lines, 2nd column in Fig. S17). We think that this is a measurement error because temperatures 10 cm above ground should not deviate strongly from measured soil temperatures, especially below a 60 cm thick snowpack. Similar for Feb 2010, snow temperatures measured 50 cm above ground were 10 K lower than modeled temperatures. The reason could be a few cm deep snow pit at the sensor array as mentioned in Sect. 3.3.3. Fortunately, for both events, modeled temperature at the bottom of the snowpack agree closely with measured soil temperatures (red vs. gray line, second-last row in Fig. S17). Hence, we are confident that SNOWPACK simulated quite reasonable snow temperatures.

Snow temperature, especially in the upper layers, is strongly affected by the radiation balance which in turn affects settling, snow melt and TGM. Therefore, wrongly interpolated gaps in the radiation data cause deviations in the modeled anisotropy. For example, in the first season, several gaps of multiple days in the long wave radiation data between Dec 2009 and Jan 2010 were interpolated. Likely, too high incoming long wave radiation in the first week of Jan 2010, resulting in modeling of a too warm snow surface, could explain why the anisotropy in Jan 2010 did not decrease as indicated by the radar measurements, Fig. 6(b). In the second season, several gaps of multiple days in the long wave radiation data between Nov 2010 and Jan 2011 seem to be correctly interpolated as both, snow height and SWE agree very well; nevertheless, the simulated anisotropy deviates from the radar data. In the third seasons, radiation data was complete during winter. In the fourth season, the radiation balance for the rain on snow event in late Nov 2012 was manually corrected (Appendix A2).

Missing short-wave reflection data were no problem, because short wave reflection was estimated based on the the simulated albedo. The incoming short wave radiation data did not contain any significant gaps.

## 30 5.3 Precision of radar measurements

Deviations between model and radar data could result from measurement errors and assumptions in the electromagnetic model to derive the anisotropy from the CPD. Uncertainties in the radar data could affect the strain-parameter  $\alpha_2$  and  $A_{\max}$ . Of these, only  $\alpha_2$  was solely determined by radar whereas the value for  $A_{\max}$  is also constrained by CT data.

The uncertainty of  $\alpha_2 = 1.0..2.5$  results very likely from model deficits rather than from radar measurements. The anisotropy measured with radar at different frequencies and incidence angles agrees within the standard deviation (shown in Figs. 6 and 7) with the underlying model (Leinss et al., 2016, Sect. 5.2). Systematic errors could result from uncertainties of snow density estimations  $\rho_{\text{snow}} \approx 0.2 \pm 0.05$  which would result in an anisotropy error of less than 10% (Leinss et al., 2016, Fig. 3).

## 5 5.4 Anisotropy model deficits

It may surprise that we neglected any parametrization of the microstructure in the model. For example, instead of fixing  $f_\mu(\cdot) = 1 \text{ mm}$ , a more physical approach would be to characterize each grain type and size by its potential velocity to transform into vertical structures by implementing a more sophisticated definition of  $f_\mu(\cdot)$ . However, with the first approximation by setting  $f_\mu$  equal to grain size,  $f_\mu = r_g$ , to weight the TGM-term by the inverse of the grain size to allow for faster transformation of smaller ice grains, we could not produce reasonable results. Instead the strong dependence on grain size caused a strong vertical variability of the anisotropy combined with a too slowly changing anisotropy for depth hoar with very large crystals (Fig. S27).

Similar to SNOWPACK, we did not consider any coupling of TGM and the settling rate as observed by Wiese and Schneebeli (2017). Instead we fitted the free parameter  $\alpha_2$  to radar data and determined the uncertainty  $\alpha_2 \approx 1.0..2.5$  by independent fits for each season and for different SNOWPACK ensemble members (Table 4). Interestingly, and likely because of the bounds  $A_{\text{min}}$  and  $A_{\text{max}}$ , model results do not differ significantly within the uncertainty range of  $\alpha_2$  (compare Figs. 6 and 7 with Fig. A3). Therefore we conclude that the mean value  $\alpha_2 \approx 1.7$  is a good approximation which can be used for any snowpack.

Note however, that the analysis is presently limited to the prediction of anisotropy from the output of a snowpack model (no feedback). If the (existing) feedback of the anisotropy onto mechanical properties of snow was allowed for, the parameters in the strain term will certainly change. We also need to point out that currently no comprehensive laboratory data exists which confirms the modeled relation between settling of fresh snow and the creation of horizontal structures.

In the model we also neglected any melt metamorphism which could transform the microstructure very fast. We think that for our Finnish data, melt metamorphism can be neglected as no strong melt events occurred except during the spring snow melt where no radar data is available. Therefore, calibration of a melt-metamorphism equation would lack sufficient calibration data. Nevertheless, we like to suggested here a simple model. We think that the surface tension of water should cause a rounding of ice grains which would drive any anisotropic structure towards isotropy. Unfortunately, observational data and models to predict melt metamorphism are still rudimentary and except for the model and references in (Lehning et al., 2002a) and (Brun et al., 1992) we could not find any detailed studies. Similar to their given rate equations we tried to model the anisotropy decay due to melt metamorphism as

$$30 \quad \dot{A}_{\text{melt}} = -\alpha_3 A \cdot \theta_w^v{}^3 \quad (15)$$

with the empirical constant  $\alpha_3 \approx 2 \cdot 10^{-3} \text{ day}^{-1}$  and the liquid water volume fraction  $\theta_w^v$  in vol.%. The parameter  $\alpha_3$  was determined from only one event in Apr 2012 where the snow refroze after strong surface melt occurred. Despite of strong settling during the spring snow melt, Eq. (15) lead to almost isotropic conditions after one week (Fig. S26).

The initial anisotropy  $A_{ini}$  was assumed to be constant and close to zero. Model results support this assumption and provide reasonable results for  $A_{ini}$  between 0.00 and 0.05. The profiles CT-2 and CT-3, Fig. 8(b) and (c), also show a slightly positive anisotropy,  $0.05 \pm 0.05$ , for the surface layer 2–3 days after snowfall and support the assumption that the initial anisotropy must be small. Within the given range for  $A_{ini}$ , a weak temperature dependence for  $A_{ini}$  might exist, but no representative data is available. We think that stronger cohesion between crystals near the melting point could lead to a more isotropic structure (but with faster settling) compared to cold temperatures where crystals align rather by gravity and their anisotropic shape. A temperature dependence for the shape of snow growing in the atmosphere (Libbrecht, 2005) could also influence the initial anisotropy and the subsequent evolution of the anisotropy. This, because different grain types (dendrits vs. graupel would be extremes) should show a different settling behavior and likely also a differently strong anisotropy evolution under TGM.

Beyond the spatial distribution of the microstructure which determines the structural anisotropy (the grain shape), we ignored the crystallographic fabric of snow, i.e. the angular distribution of the orientation of the c-axis of the hexagonal ice crystals (the crystal lattice orientation) which affects not only the dielectric anisotropy but also the crystal growth dynamics. For the radar data it was ignored because the snow fabric anisotropy affects only very weakly the dielectric anisotropy:  $\Delta A \ll 0.02$ , (Leinss et al., 2016, Appendix A). For the model, we neither consider the evolution of the snow fabric anisotropy nor the influence of snow fabric (crystal orientation) on the evolution on the structural anisotropy. This, because only very few studies exist which provide experimental insight about the orientation of the snow fabric (Calonne et al., 2016) or even the temporal evolution of the snow fabric anisotropy (Riche et al., 2013). Furthermore, the dominant growth direction of snow crystals depends on temperature (Lamb and Hobbs, 1971; Lamb and Scott, 1972) and is not necessarily parallel to the temperature gradient (Miller and Adams, 2009) as it can be clearly observed in (Pinzer et al., 2012, supplementary movie). The competing effect of growth direction by crystal orientation versus structural optimization to increase entropy production by increasing the vertical thermal conductivity as suggested by Staron et al. (2014) might be a reason why a lower limit  $A_{min}$  of the anisotropy during TGM exists and why no perfectly vertically oriented snow structure has been documented.

### 5.5 An undocumented effect of settling?

From the radar time series a clear increase of the anisotropy a few days after snow fall is revealed in (Leinss et al., 2016, Sect. 5.4) and also in (Chang et al., 1996, Fig. 7). Likewise, space borne data indicates an increase of the CPD (and hence the dielectric anisotropy) proportional to the amount of new snow which must have settled after deposition (Leinss et al., 2014, Fig. 12). In our model this settling-induced creation of horizontal structures is well predicted by describing the anisotropy changes proportional to the strain rate. The modeled effect is however not independently confirmed yet and existing studies about the anisotropy evolution under strain provide very limited insight to confirm our hypothesis.

For example, Wiese and Schneebeli (2017) did not observe any significant growth of horizontal structures during compaction of, however, relatively dense and coarse snow ( $\rho_{snow} \approx 250 \text{ kg m}^{-3}$ ,  $SSA = 13 \text{ m}^2 \text{ kg}^{-1}$ ) which has also sintered for several months after initial sample preparation by sieving. Still, most samples showed a slight horizontal structure at the begin of the experiment. Different to Wiese and Schneebeli (2017) and with the aim to study new snow of relatively low density ( $\rho_{snow} \approx 100 \text{ kg m}^{-3}$  and  $SSA = 70 \text{ mm}^{-1} = 76 \text{ m}^2 \text{ kg}^{-1}$ ) Schlee and Löwe (2013) avoided any sintering and observed indications for

"the anisotropic nature of densification" by attributing observed density changes "solely to a squeeze of the structure in the vertical direction, i.e. to axial strains". The affine compression in our model reflects this squeeze.

From our modeled results and from the above described experiments and findings, we conclude that a so far undocumented effect during settling exists which creates horizontal structures, at least during an initial phase after new snow deposition.

5 Unfortunately, a reanalysis of the dataset from (Schleef and Löwe, 2013; Schleef et al., 2014) comprising 700 CT images is clearly beyond the scope of the present study, also because the present calculation of the anisotropy from CT images may break down in new snow (next section).

## 5.6 Anisotropy calculations from CT

Deviations between model and CT data could also result from uncertainties in the definition of the anisotropy from the mi-  
10 crostructure. To understand this we recall that the anisotropy of the dielectric tensor is characterized by a second rank fabric tensor that is defined by an integral over the anisotropic correlation function of the material (Rechtsman and Torquato, 2008). Under the assumption that the correlation function possesses ellipsoidal symmetry, i.e. has the form  $C(r/\ell(\cos\theta))$  with a single size scaling function  $\ell(\cos\theta)$  that depends only on the polar angle  $\theta$ , this integral can be evaluated exactly. The resulting fabric tensor can then be expressed in terms of the ratios of correlation lengths. If ellipsoidal symmetry was strictly true, any derived  
15 length scale ( $p_{\text{ex}}, p_c, \dots$ ) could be used for the anisotropy calculation and should lead to the same result. This is however not the case, as shown in Fig. 8 where we compared the anisotropy based on the two correlation lengths  $p_{\text{ex}}$  and  $p_c$ . On physical grounds, it is reasonable that  $p_{\text{ex}}$  rather than  $p_c$  is better suited to characterize the structural anisotropy for microwave measure-  
ments:  $p_{\text{ex}}$  characterizes the snow structure on length scales which are (still small but) closer to the wavelength of the radar. In contrast, density fluctuations on the smallest scales (namely those characterized by  $p_c$ ) solely characterize local properties  
20 of the ice-air interface (Löwe et al., 2011) which are irrelevant features for radar wavelengths. The experiments from (Löwe et al., 2011) provide yet another hint for the violation of a (strict) ellipsoidal symmetry: It was shown the two-point correlation function contains at least two characteristic length scales which exhibit different ratios in different coordinate directions, again incompatible with the ellipsoidal form. In summary, there are indications that the current (approximative) calculation of the  
anisotropy from a CT image using exponential correlation lengths is not equally well justified for different snow types. This  
25 may also explain observed differences between modeled and CT-based anisotropy and definitely needs to be taken into account in a potential assessment of strain effects on the anisotropy evolution in snow.

## 6 Conclusions

In this paper, a model for the temporal evolution of the structural anisotropy of snow was designed. The model is based on simple rate equations and requires solely the following macroscopic fields as input variables: strain rate, temperature and  
30 temperature gradient, ideally depth-resolved. These variables are provided by most of the more advanced snowpack models, here we used SNOWPACK.

To describe the evolution of the anisotropy, the model considers only two contributions: temperature gradient metamorphism (TGM) which was confirmed to create vertical structures and snow settling for which we think that the strain leads to preferentially horizontally oriented ice grains in the snow microstructure. The TGM formulation was validated with existing CT data from laboratory experiments. The strain formulation was calibrated with four years of anisotropy data obtained from polarimetric radar measurements acquired in Sodankylä, Finland between 2009 and 2013. For calibration, we drove SNOWPACK with meteorological data and used the output to model the depth-resolved anisotropy. Then, we minimized the difference between the depth average of the modeled anisotropy and the depth-averaged radar anisotropy by adjusting a single fit parameter. For sensitivity analysis the fit parameters was determined for each season separately but we determined it also globally for the entire set of all four seasons. Additionally, we run an ensemble of different SNOWPACK configurations to evaluate the model sensitivity to slightly different snowpack properties. We conclude that the same fit parameter can be used for any snowpack because model results improved only marginally when the parameter was adjusted for every season individually. Finally, the modeled, depth-resolved anisotropy profiles were validated with field-measured CT anisotropy profiles. The modeled anisotropy varies between values of  $\pm 0.3$  and agrees with the radar data with an root means square error (RMSE) of 0.03 (Pearson- $r = 0.8 \pm 0.2$ ) and with CT data with an RMSE of less than 0.15 (Pearson- $r = 0.7 \pm 0.2$ ).

The model results are remarkable in several aspects: 1) the model performance allows for improved parametrization of different snow properties like thermal, mechanical and electromagnetic properties. 2) our results indicate a yet undocumented effect of settling on the creation of horizontal structures in new snow. 3) the detailed agreement between the radar-measured anisotropy and the anisotropy modeled from meteorological data demonstrates that polarimetric radar measurements at sufficiently high frequency (10–20 GHz) can be used to monitor the evolution of the structural anisotropy. This has several consequences:

The simplicity of the model allows for immediate implementation into common snow models to simulate the anisotropy, at least during dry snow conditions. We could show with laboratory CT data that for dry snow the growth of vertical structures is proportional to the vertical water vapor flux. Unfortunately, experiments with wet snow metamorphism at the melting point are difficult and only very few studies exist, therefore we could only hypothesize about a formulation for the anisotropy evolution during snow melt which limits our model to dry snow applications.

The observation that the compression of new snow increase horizontal structures could stimulate new laboratory experiments to confirm this mechanism and to study what exactly causes creation of horizontal structures, how different crystal types influence or impede the creation of horizontal structures and how horizontal structures affect the mechanical properties of snow under strain.

The fact, that model, radar measurements and CT data are consistent puts confidence in the interpretation of the radar measured anisotropy. Depending on the system geometry, the anisotropy can be measured only depth-averaged (remote-sensing systems) or even depth-resolved with in-situ systems as done e.g. for a fast characterization of firn cores (Fujita et al., 2009). Similarly, radar systems mounted on rails could be used to scan the snowpack layer by layer and nondestructively which allows for monitoring of the evolution of the depth-resolved anisotropy. Radar satellites can directly measure the copolar phase difference (CPD) which is proportional to the depth-averaged anisotropy of a dry snowpack. For single radar acquisitions the

CPD can be difficult to interpret and can even be zero for a snowpack with equal amounts of layers with positive and negative anisotropies. In contrast, with radar time series, quantitative information e.g. about new snow fall can be obtained because we showed that the transformation by TGM is often slower than the anisotropy increase during accumulation of new snow (Leinss et al., 2014).

5 Finally, the large observation time spanning four winter seasons with a sampling interval of four hours builds an unique data source to study the evolution of the anisotropy of snow. We think, that the developed model and the determined parameters are relevant for future consideration of the anisotropy in snow models. Beyond that, the SNOWPACK model calibrated with extreme efforts provides a valuable data set to study microwave properties of snow especially within the framework of the Nordic Snow and Radar Experiment (NoSREx-I-III) in Finland, Sodankylä.

10 With the long time series and the developed model we gained a deeper insight into the anisotropic growth mechanisms of snow crystals and identified the two main driving terms, the vertical water vapor flux and snow settling. The model could help to enhance the understanding of the anisotropy of macroscopic snow properties and demonstrates that the anisotropy can be measured by means of polarimetric radar systems to access microstructural properties of snow non-destructively and even from space.

## 15 **7 Data availability**

All data are originally from the NoSREx-campaigns (Lemmetyinen et al., 2016, 2013) and are partially available from FMI at [www.litdb.fi](http://www.litdb.fi). Radar data are available from ESA or from the GAMMA Remote Sensing and Consulting AG. Preprocessed meteorological input data, configuration files and simulated snow profiles from SNOWPACK, modeled anisotropy time series, radar-measured anisotropy time series, SWE measurements and CT-data are available under DOI:<http://dx.doi.org/10.3929/ethz-b-000334041>.

## **Appendix A: Preprocessing of meteorological data and SNOWPACK calibration**

### **A1 Preprocessing of meteorological data**

In order to provide SNOWPACK physically consistent input data all meteorological data were preprocessed, filtered, combined and gaps were interpolated if they could not be filled by data sets of equivalent sensors. Figure S2 shows a processing flow chart of the meteorological data which was used to create the three input files required by SNOWPACK (soillayer\*.sno, config\*.ini, meteoin\*.smet). We combined data measured at the IOA (MAWS\*), meteorological mast (arcmast\*), and from the AWS. All raw data were downsampled to a 1 hour sampling interval. Invalid data were removed and redundant datasets were averaged. Data gaps were interpolated with algorithms which considered diurnal and seasonal cycles and also the type and statistics of existing data series. For comparison, supplementary figures show raw data (Figs. S3–S6) and processed data (S7–S10).

30 Snow height (HS) and air temperature (TA) were measured by at least one sensor at each of the three site (IOA, AWS, meteorological mast), but some of the data series contained gaps for periods of a few days. The measurements of the three

sensors were very similar (see supplementary figures S3–S6; standard deviation snow height  $\sigma_{HS} = 2.6$  cm, max. difference  $\Delta HS_{95\%} < 10$  cm for 95% of measurements. Standard deviation of air temperature  $\sigma_{TA} < 0.6$  K, max deviation of air temperature  $\Delta T_{95\%} < 2.0$  K for 95% of measurements.). Therefore the data were averaged when data from more than one sensor were available. By this redundancy, we obtained almost complete time series of snow depth and air temperature. Remaining gaps of a few days were interpolated.

Four different soil temperature measurements (TSG) were averaged: they were measured at each two locations 2 cm below the surface few meters apart at the IOA (SMT: soil temp B, soil temp C) and at two sites near the meteorological mast at -5 cm and -10 cm depth. The soil temperature of all four sensors differed less than 1.5 K for 95% of measurements and had a standard deviation of 0.5 K.

Soil moisture showed significant variations between the six different sensors (each two sensors at -2 cm and -10 cm depth at the two locations SMT-A and SMT-B at IOA and also two sensors at -5 cm and -10 cm depth at the meteorological mast). However, all sensors showed the same trends with 5–15% vol liquid water content during summer, 1–3% vol liquid water content during winter and 15–35% vol liquid water content during snow melt.

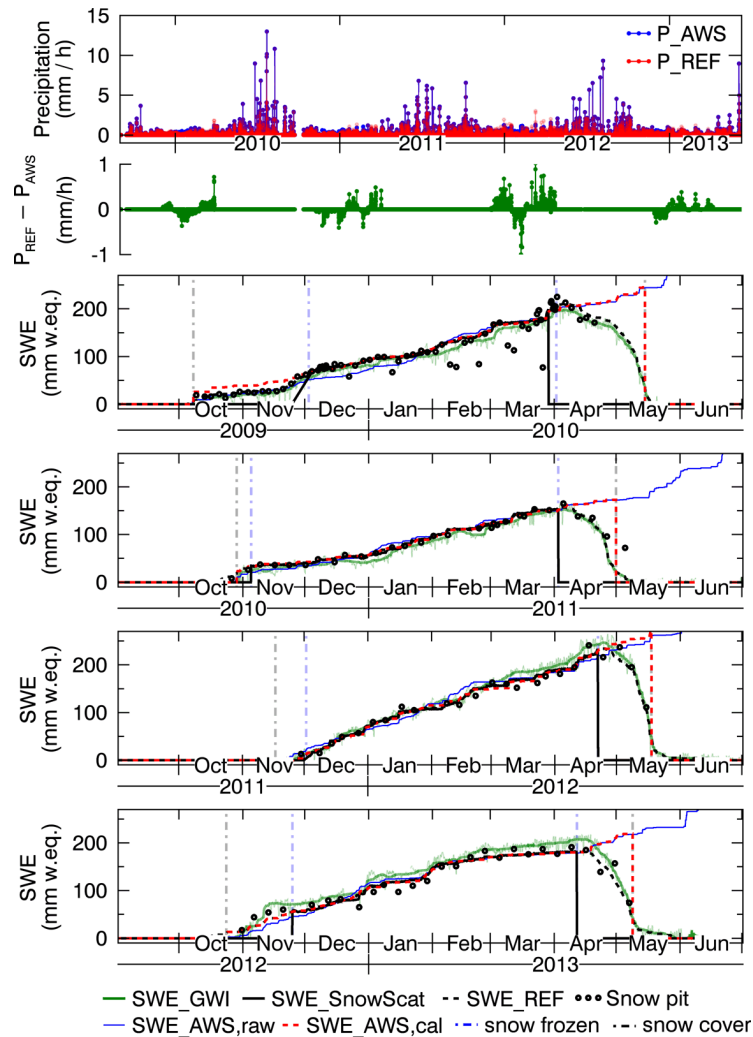
Relative humidity (RH), wind speed (VW), wind direction (DW), and maximum wind speed (VWM) was only measured at the AWS and gaps of a few days were filled by a combination of linear interpolation, average data from the four seasons and diurnal cycles.

Precipitation (PSUM) was measured 600 m north of the IOA. In order to calibrate the precipitation data to the IOA, we adjusted the precipitation data such that the cumulated precipitation of the AWS ( $SWE_{AWS,cal}$ ) follows closely the reference snow water equivalent ( $SWE_{REF}$ ), composed by SWE data measured by SnowScat during dry snow conditions and data from the GWI during snow melt (Leinss et al., 2015). Calibration was done by amplifying/decreasing existing precipitation when the cumulated precipitation of the AWS,  $SWE_{AWS,raw}$ , was lower/higher than  $SWE_{REF}$ . A comparison of raw precipitation ( $P_{AWS}$ , blue), calibrated precipitation ( $P_{REF}$ , red) and precipitation change (green) are shown at the top together with the SWE data (below) in Fig. A1. SNOWPACK runs with calibrated and uncalibrated precipitation showed that the calibration of precipitation improved the results for the simulated snow height. Some minor inaccuracies in precipitation data can be detected by comparing measured and modeled snow height, Fig. S16.

The precipitation phase (PSUM\_PH) was measured by the distrometer located at the IOA (data from [www.litdb.fmi.fi](http://www.litdb.fmi.fi)). However, the data was not directly used because the distrometer frequently misclassified snow as rain. Therefore, the distrometer data was only used to check the rain/snow threshold (THRESH\_RAIN). According to the distrometer data combined with air temperature data from the AWS we determined a rain/snow threshold of  $T = 0.73^{\circ}\text{C}$  or alternatively a linear range from  $T_{snow} = 0.06^{\circ}\text{C}$  to  $T_{rain} = 1.40^{\circ}\text{C}$  (Fig. A2).

## A2 calibration and interpolation of radiation data

To provide consistent solar radiation data, data acquired by different sensors between Jan 2009 and Sep 2015 were homogenized and gaps with missing data were interpolated. Plots of the original raw data and the homogenized and filled data are shown in the supplementary material: Fig. S15) (all radiation data), Figs. S3–S6 (seasonal raw data) and Figs. S7–S10 (seasonal filled

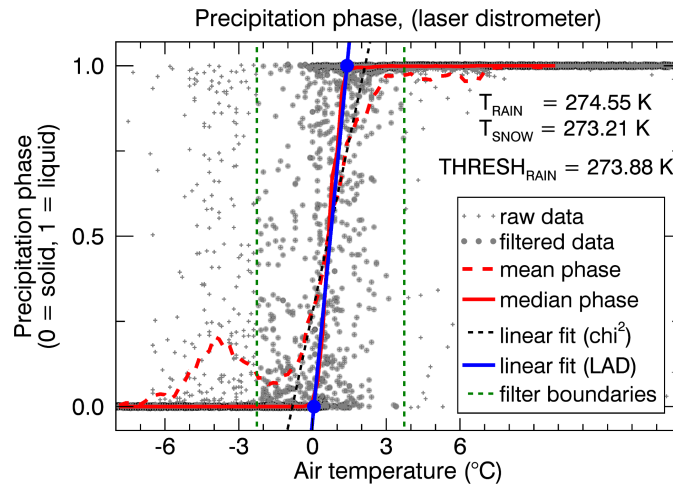


**Figure A1.** Top: precipitation from the AWS, adjusted precipitation ( $P_{REF}$ ) and difference between both. Below, SWE time series derived from different methods are shown: snowpit data (black bullets), GWI (green), SnowScat (black). Blue and red lines are the cumulated precipitation of the AWS and the adjusted precipitation  $P_{REF}$ . Vertical dash-dotted lines indicate snow freeze and melt (light blue) and the period of snow covered ground (gray).

data). The incoming short wave radiation data was almost complete was interpolated only for a few isolated single days. The reflected short wave radiation data was modeled by SNOWPACK based on the simulated albedo.

The long wave radiation balance was measured at the radiation tower. Long wave radiation data contained a few gaps up to 20 days long (one gap of 52 days in autumn 2011 is irrelevant because this gap is before the onset of snow fall). Data gaps shorter than 12 days were interpolated by the Gaussian average of neighboring data points (FWHM = 1 day, kernel size = 12 days). Remaining gaps of up to 8 days were linearly interpolated. Additionally, to reconstruct the diurnal radiation cycles,





**Figure A2.** From the precipitation phase measured by the distrometer we determined a mean rain/snow threshold of  $0.73^{\circ}\text{C}$  using a robust least-absolute-deviation (LAD) fit (blue line). A linear fit provides the same threshold but a slightly lower slope. Before fitting, we set a filter boundary (green dotted line) of  $0.73 \pm 3^{\circ}\text{C}$ . Data outside the boundary are considered as misclassified precipitation.

the average radiation of each hour of the year was high-pass filtered (Gaussian window of 6 days) and added to the smoothly interpolated data gaps. To provide SNOWPACK the possibility to correctly model snow melting and settling during the four days 21–23 Nov 2012, the long wave incoming radiation was increased by  $45 \text{ Wm}^{-2}$ . Webcam observation confirm foggy conditions during this period.

## 5 A3 SNOWPACK calibration

For comparison of the natural snowpack with the modeled snowpack under different configuration settings, we compared measured and modeled snow height and snow temperature. Snow temperature was measured at five internal snow temperatures sensors at 10, 20, 30, 40, and 50 cm above ground. For snow height and snow temperature we evaluated for each of the four seasons each six statistical descriptors: the smallest (negative) difference, the largest (positive) difference, the absolute deviation for which 95% of all absolute deviations are smaller, the root mean square error, the mean difference, and the Nash-Sutcliffe model coefficient. Additionally to individual seasons, we calculated these descriptors for the data of all four seasons together. This provided in total  $2 \times (4 + 1) \times 6 = 60$  quantities for comparisons. To determine the "best" simulation(s), we compared this 60 quantities of every SNOWPACK run with all of the other 5000+ SNOWPACK runs and calculated a score which describes how many times these 60 comparisons show a better result (smaller error, larger Nash-Sutcliffe coefficient) than all other runs. The total score was divided by the total number of runs which results in a score between 0 and 60. A score of 60 indicates that a single run outperforms every other run independent of which statistical variable is analyzed. The maximum achieved score was 51.3, the lowest score 9.3.

**Table A1.** Thresholds for snow height (HS) and snow temperature (TS) which were used to score the different SNOWPACK runs.

statistical descriptor evaluated for all/each year(s)		threshold value for	
		HS (cm)	TS (°C)
smallest negative Difference	>	-10.0	-10.0
largest positive Difference	<	12.0	10.0
max. abs. difference (95%)	<	5.0	3.0
root mean square error	<	2.5	2.5
mean difference	<	1.0	0.20
Nash-Scliffé coefficient	>	0.98	0.77

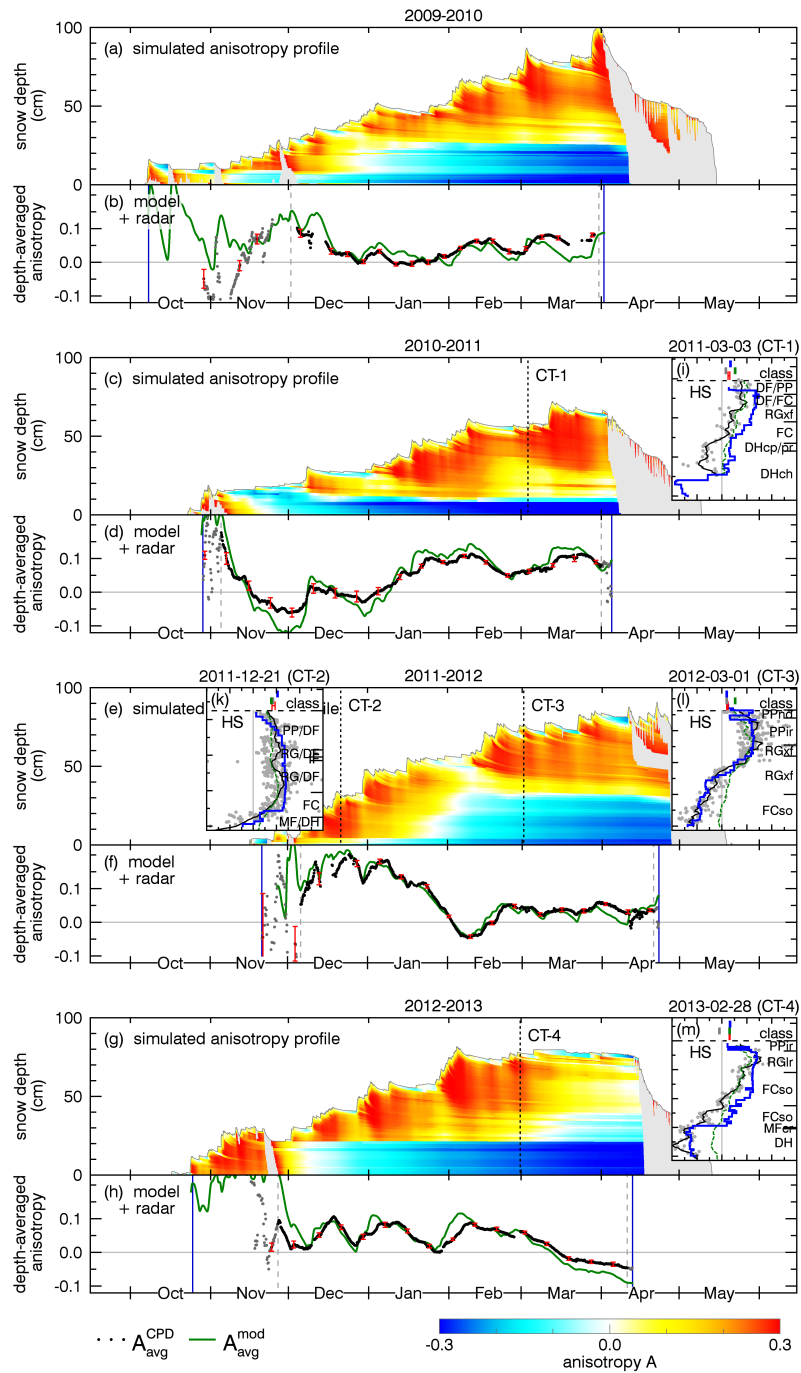
Additionally to the relative scoring by pair-wise comparison of all SNOWPACK runs, we used a second scoring scheme which defined fixed height and temperature thresholds for each of the six statistical descriptors. The thresholds are listed in Table A1. The sum of all fulfilled conditions for all years simultaneously and for all individual years made again a maximum score of 60. The score by comparison and score by threshold show an approximately linear relation. Histograms over all 5 SNOWPACK runs with the score by threshold, and the distribution of statistical descriptors are shown in Fig. S18.

For SNOWPACK calibration, we varied the following parameters: scaling of short wave and long wave radiation by various constant factors, various thresholds for the snow/rain threshold (THRESH\_RAIN), various factors for the WIND\_SCALING\_FACTOR with SNOW\_EROSION = TRUE/FALSE, five different settings for the ATMOSPHERIC\_STABILITY, creation of short wave reflected radiation from albedo (RSWR::create = ISWR\_ALBEDO) on/off, Calibrated or uncalibrated precipitation PSUM 10 (see section A1), with or without provided precipitation phase (PSUM\_PH in \*.smet files), filling of long wave radiation gaps with the generator ILWR::allsky\_lw::type = Konzelmann or our method described in section A2, and SW\_MODE = BOTH/INCOMING.

We found, that radiation scaling was crucial to produce correct results. Additionally snow erosion with a wind scaling factor around two significantly improved the results. With atmospheric stability = normal we got much better results compared to 15 other atmospheric models. Interestingly, only the model MO\_MICHLMAYR required not much modification of the radiation in contrast to the other atmospheric models. Setting SW\_MODE = INCOMING instead of BOTH did not change the results except near the end of snow melt where a slight change was observable. Obviously, for our test site, SNOWPACK works better when the reflected short wave radiation is estimated via the albedo than vice-versa.

*Author contributions.* SL and HL wrote the manuscript together, SL processed all meteorological and radar data and designed the model, 20 HL processed all CT data, MP, HL and AK collected the field data.

*Competing interests.* The authors declare that they have no conflict of interest.



**Figure A3.** Modeled solution of all four seasons when the parameter  $\alpha_2$  is optimized for every season (row 1-4, Table 4).

*Acknowledgements.* The *in situ* data collection was supported by the European Space Agency activity "Technical assistance for the deployment of an X- to Ku-band scatterometer during the NoSREx campaigns" (ESA ESTEC Contract no. 22671/09/NL/JA/ef) (Lemmetyinen et al., 2013). The staff at FMI-ARC is acknowledged for the collection of *in situ* data. Andreas Wiesmann from GAMMA Remote Sensing is acknowledged for technical assistance with the SnowScat data and Margret Matzl for the lab sampling procedures for casted DEP samples.

- 5 Special thank goes to Jouni Pulliainen from FMI for the initiative of setting up a test site which provides a unique amount and diversity of meteorological data and snow measurements. We thank Matthias Bavey from SLF for helping to find the best SNOWPACK configuration. Juha Lemmetyinen deserve a major thank for his support concerting all details about the test site and the field data. The paper was funded by ETH Zürich. Irena Hajsek deserves a major thank for providing the space to write this paper.

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