Impact of forcing on sublimation simulations for a high mountain catchment in the semi-arid Andes

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Abstract. In the semi-arid Andes of Chile, farmers and industry in the cordillera lowlands depend on water from snowmelt, as annual rainfall is insufficient to meet their needs. Despite the importance of snow cover for water resources in this region, understanding of snow depth distribution and snow mass balance is limited. Whilst the effect of wind on snow cover pattern distribution has been assessed, the relative importance of melt versus sublimation has only been studied at the point-scale over one catchment. Analyzing relative ablation rates and evaluating uncertainties are critical for understanding snow depth sensitivity to variations in climate and simulating the evolution of the snow pack over a larger area and over time. Using a distributed snowpack model (SnowModel), this study aims to simulate melt and sublimation rates over the instrumented watershed of La Laguna (513 km^2, 3150–5630 m a.s.l., 30°S 70°W), during two hydrologically contrasting years (i.e. dry *vs.* wet). The model is calibrated and forced with meteorological data from nine Automatic Weather Stations (AWS) located in the watershed, and atmospheric simulation outputs from the Weather Research and Forecasting (WRF) model. Results of simulations indicate first a large uncertainty in sublimation to melt ratios depending on the forcing as the WRF data has a cold bias and over-estimates precipitation in this region. These input differences cause a doubling of the sublimation to melt ratio using WRF forcing inputs compared to AWS. Therefore, the use of WRF model output in such environments must be carefully adjusted so as to reduce errors caused by inherent bias in the model data. For both input datasets, the simulations indicate similar sublimation fraction for both

study years, but ratios of sublimation to melt vary with elevation as melt rates decrease with elevation due to decreasing temperatures. Finally results indicate that snow persistence during the spring period decreases the ratio of sublimation due to higher melt rates.

5   1. INTRODUCTION

In the semi-arid Andes, glaciers and seasonal snow cover are the dominant water sources, as rainfall is episodic and insufficient to meet user demand. The region is characterized by very low precipitation amounts that are largely limited to winter months (i.e. June, July and August), and are erratic. Large interannual variability is observed, as the area is strongly affected by El Nino South Oscillations (ENSO) (e.g. Falvey and Garreaud, 2007;

10 Garreaud, 2009; Montecinos et al., 2000). In broad terms, during El Niño periods the semi-arid Andes are characterized by warm air temperatures and higher precipitation totals, whereas La Niña periods are on average colder with less precipitation (e.g. Ducan *et al.,* 2008). Whilst snowmelt comprises the bulk of available water (Favier et al., 2009), due to low humidity, high solar radiation and strong winds, sublimation is a significant ablation process, especially at high elevations (Ginot et al., 2001; Gascoin et al., 2013; MacDonell et al., 2013).

15 Consequently, quantifying snow mass balance processes are crucial for predicting current water supply rates, and for informing future projections.

Despite the importance of snow cover for water resources in this region, there is currently a limited understanding of snow depth distribution and mass balance, largely due to the difficulty of accurately measuring and modeling both accumulation and ablation processes in this area (Gascoin et al., 2011). Temperature index models have

20 been shown to be inadequate to evaluate mass balance processes in the semi-arid Andes, due to the importance of the latent energy flux (Ayala et al., 2017). However, an energy balance model requires a larger input dataset that is often not available in Andean catchments due to the logistical difficulty of Automatic Weather Station (AWS) installation and maintenance. Therefore, the evaluation of alternative methods for acquiring distributed meteorological information is required. Options include the use of interpolation/extrapolation strategies (e.g.

25 *MicroMet,* Liston and Elder, 2006b), reanalysis (NCEP, Kalnay et al., 1996) or atmospheric model outputs (e.g. Weather Research and Forecasting (WRF) model, Skamarock and Klemp, 2008). For the semi-arid Andes, both *MicroMet* extrapolation based on AWS data (Gascoin et al., 2013) and atmospheric models (e.g. Favier et al., 2009; Mernild et al., 2017) have been used to force snow models. However, none of these studies have quantified the uncertainties related to forcing data.

30 The relative importance of melt and sublimation to total ablation has been studied at both the point-scale (MacDonell *et al.,* 2013) and catchment scale (Gascoin *et al.,* 2013) in one catchment in the semi-arid Andes.

MacDonell *et al.* (2013) estimated that the sublimation fraction was 90% at high altitude (>5000m a.s.l.) in an extreme environment with predominantly sub-freezing temperatures and strong local wind speeds. Using a distributed snowpack model Gascoin *et al.* (2013) found that the total contribution of sublimation (static-surface and blowing snow sublimation) to total ablation in the Pascua-Lama area (29.3° S, 70.1°W; 2600 - 5630 m a.s.l.) was 71%. However, this value was obtained for one snow season, and the precipitation was estimated from snow depth measurements as precipitation gauge data were unreliable. The sensitivity of sublimation to meteorological forcing and in particular to precipitation uncertainties was not evaluated.

The objective of this study is to assess the uncertainties related to modeling snow evolution in the semi-arid Andes using AWS and WRF-model generated meteorological datasets during two contrasting years. From this analysis, the snow mass balance for one relatively wet and one dry year will be compared, and an evaluation of the impacts of model choices on sublimation and melt rates in dry mountain areas will be discussed.

To address this aim, the model SnowModel described in Liston *et al.* (2006) will be applied to the La Laguna catchment in the semi-arid Chilean Andes during 2014 and 2015. These two years were selected because in this region, 2015 was considered to be a strong *El Nino* event, associated with warm and wet conditions, whereas 2014 was drier and colder and considered a neutral year (Ceazamet; http://origin.cpc.ncep.noaa.gov, Figure S1 in the supplementary information). We hypothesize a significant sublimation ratio for winter 2014, due to drier and cooler conditions which should inhibit melt. Regarding 2015, higher precipitation totals could lead to *(i)* increased snow depths and snow persistence at the end of the winter season (i.e. in August, September), favoring melt and therefore decreasing the sublimation ratio or *(ii)* increased sublimation in the spring can increase the saturation vapor pressure at the snow surface, providing more energy for sublimation (Herrero and Polo, 2016). This uncertainty regarding the impact of snow cover duration on sublimation highlights the need for further research.

2. STUDY SITE AND DATA

2.1 Study site

La Laguna watershed is located in the semi-arid Andes of Chile in the Elqui Valley (30°S, 70°W), 200km East of La Serena, close to the border with Argentina (Figure 1a). As it is easily accessible this catchment is the most instrumented within the region with an unusually high density of AWS, especially during 2014 and 2015.

The catchment covers an area of 513 km^2 and elevations range from 3150 to 6200 m a.s.l. (Figure 1b). At these elevations, only minimal vegetation in the form of shrubs is observed, so we do not consider vegetation in this study. The study area includes rock glaciers and glaciers. Tapado Glacier is the largest of these with an area of

2.2 km^2 (Figure 1b). This catchment was selected since it is an important water resource in the Elqui valley. Indeed it feeds water to the La Laguna reservoir (38.10^6 m^3 capacity), which is part of the strategic irrigation system in the Elqui Valley. Nevertheless the precipitation amount is very low. The mean annual precipitation measured at la Laguna station is 200 mm a^{-1} and precipitation events are episodic with less than 10 events per

5   year. In this region, most of these events (90%) occur during the winter period (Figure S1, Rabatel et al., 2011), as snow fall. This seasonal difference is mainly due to differences in the position and intensity of a high-pressure cell in the eastern Pacific Ocean. During the summertime the high-pressure cell limits advection, while during the winter it moves further North, allowing the moisture-laden depressions to reach the study site (Garreaud et al., 2011). Seasonal precipitation variability and frequency are also complicated by individual storm trajectories (e.g.

10   Sinclair and MacDonell, 2016) which can cause large differences in relative precipitation distribution across the catchment, a phenomenon also described in central Chile (Burger et al., 2019).
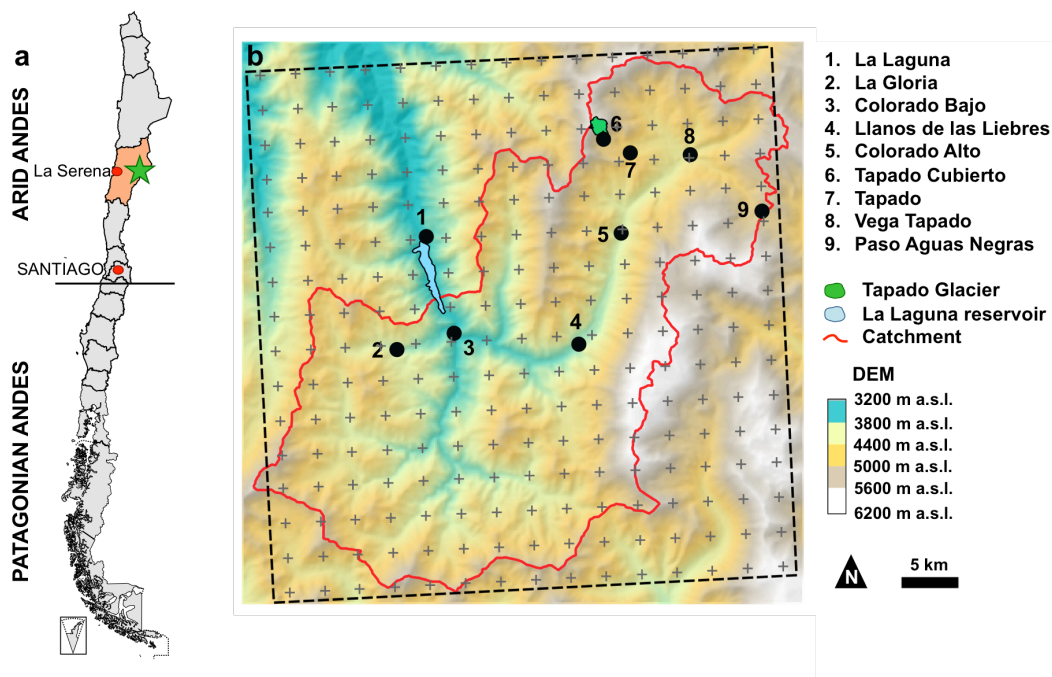
Figure 1: a) Map of Chile with Coquimbo Region colored orange and the catchment location identified with
15   *a star. b) DEM (SRTM, 100m) of La Laguna catchment. Red line corresponds to the catchment delineation, black dashed line to the WRF domain containing the virtual WRF stations (grey crosses). Blue area is La Laguna reservoir and greed area is the Tapado Glacier. AWS stations are the 9 black points.*

2.2. Data

2.2.1 Digital elevation model

The digital elevation model (DEM) used in this study was derived from the CGIAR hole-filled 3 arc-second SRTM DEM resampled to 100 m resolution by the cubic method. The 100 m resolution was chosen to facilitate alignment of the model grid with the 500 m resolution MODIS products (see below).

2.2.1 Meteorological data

a/ Automatic weather station measurements

Meteorological data from nine Automatic Weather Stations (AWS) are available in the catchment (Figure 1b) over the study period 2014-2015. La Laguna, Tapado and Paso del Agua Negra AWSs are scientific grade permanent stations maintained by CEAZA (www.ceazamet.cl) with hourly measurements. In addition, five HOBO® weather stations (Colorado Bajo, La Gloria, Llano de Las Liebres, Colorado Alto and Vega Tapado) were installed in March 2014 and set to record meteorological data every 30 minutes. The Tapado Cubierto station was installed in 2013 on the debris-covered part of the glacier and provides measurements at hourly intervals. Although the lower accuracy of HOBO weather sensors compared to the permanent stations represent a source off errors in the forcing data, errors resulting from the spatial interpolation of forcings are likely to be much greater than these. More details regarding available measurements and the time periods for which these are available are provided in Figure 2. Tapado records are reported in Figure 3 as an example of the weather conditions in this catchment.

Due to the complexity of precipitation measurement (e.g. MacDonald and Pomeroy, 2007), datasets were post-processed. First, filters were applied to eliminate outliers (i.e. negative values and values larger than 30 mm h^{-1}). Second, satellite images (MODIS Aqua and MODIS Tierra) were used to remove recorded precipitation events on sunny days, which were probably due to wind transport. These precipitation events were only removed if five cloud-free images were available (i.e. the two for the day: one the afternoon before and one the next morning). In total, three precipitation events lower than 2 mm w.e. were removed with this method.

At Tapado, measurements are recorded at two Geonor weighing precipitation gauges, of which one is shielded (Alter Shield) and one is unshielded. After being filtered, the cumulative difference at the two gauges was 9.1 mm for 2014 (i.e. 10%; 97.1 mm for unshielded gauge 1 and 106.2 mm for shielded gauge 2) and 5.4 mm for 2015 (i.e. 1% ; 457.5 mm for gauge 1 and 462.9 mm for gauge 2) with a maximum hourly difference of 1.1 mm, however the relative bias between the sensors is neither constant nor unidirectional. As the difference between

the two sensors was relatively small, the mean of the two datasets was used as the reference precipitation value, and a maximum uncertainty of 10% was estimated.
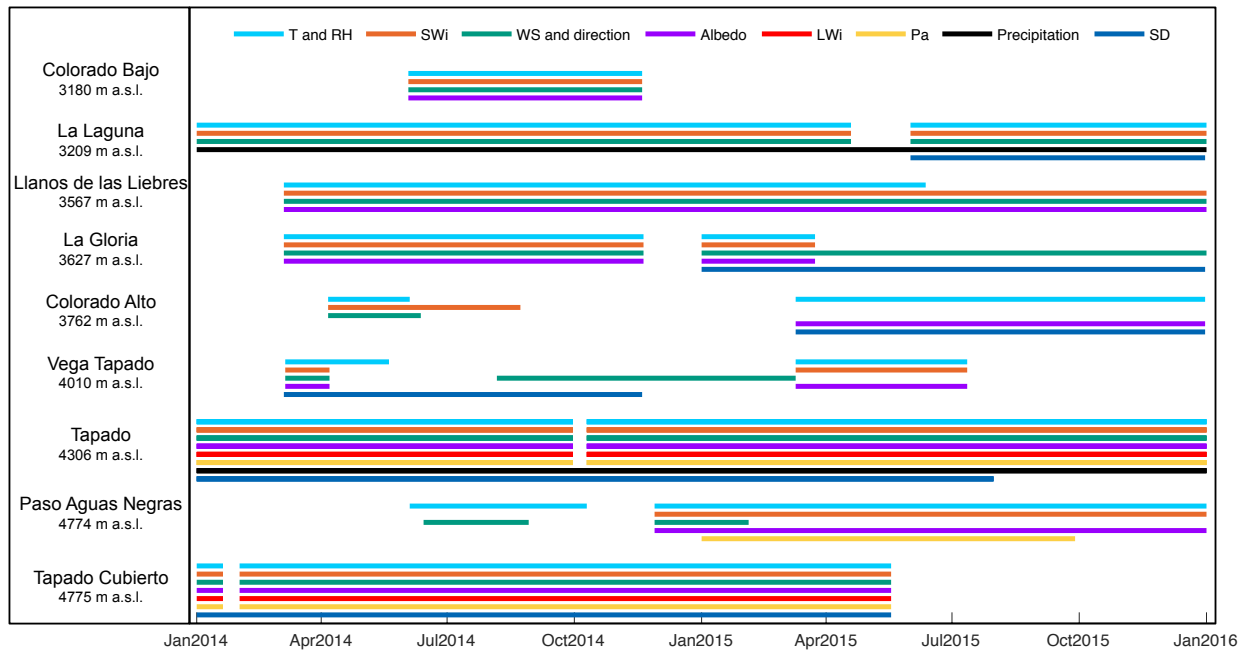
Figure 2: Date of available measurements from the nine AWSs used to calibrate and validate the model. T is the air temperature, RH the relative humidity, SWi and LWi the incoming short and long wave radiation respectively, WS the wind speed and Pa the atmospheric pressure and SD the snow depth.
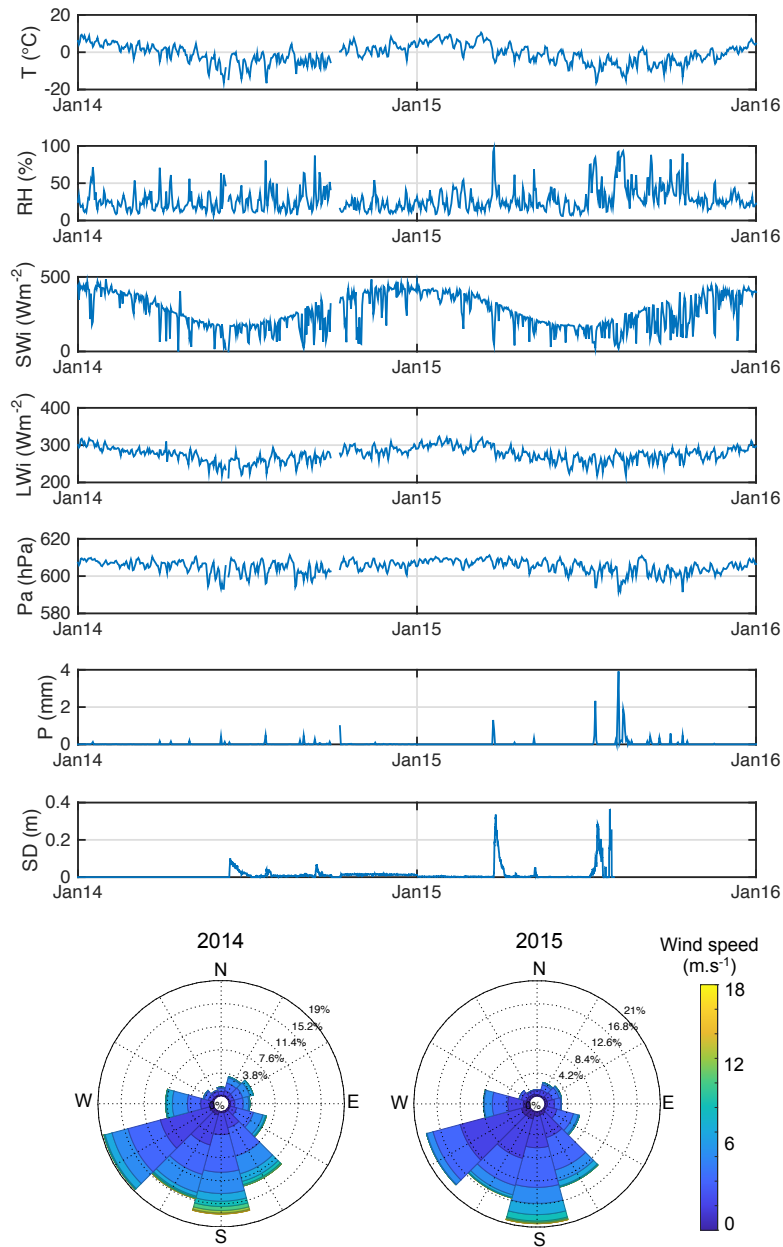
Figure 3: Example of meteorological conditions of the study area. Daily air temperature (T), relative humidity (RH), incoming shortwave (SWi) and longwave (LWi) radiation, air pressure (Pa), precipitation (P), snow depth (SD) and hourly wind speed and direction (wind roses) measured at the Tapado AWS from 1 January 2014 to the 31 December 2015. Note that SD measurements are available until the 1st of August 2015.

b/ WRF model outputs

The Weather Research and Forecasting (WRF) model was used to force SnowModel. WRF is usually used to predict weather for atmospheric research and operational weather forecasting. Using reanalysis-data as boundary conditions, this model is able to provide hourly meteorological data such as air temperature, relative humidity, incoming radiation, wind speed and direction, atmospheric pressure and precipitation. In this study WRF was forced by 6 hourly data from the National Center for Environmental Prediction (NCEP) reanalysis data, at 1° grid resolution (Kalnay et al., 1996), as well as daily surface temperature of the ocean at 0.083° of resolution. WRF model has been run using the model version 3.7.1 (Skamarock and Klemp, 2008), with default parameterizations (this choice is discussed in Section 5.1). The model has been run over a time period covering the entire study period (from April 2013 to April 2016). The model outputs are at 2 m above the surface (note that wind output was logarithmically scaled from 10 m to 2 m height) and are available at 22 km resolution over Chile, 7 km resolution at the regional scale, and 3 km resolution over the La Laguna catchment (Figure 1). The hourly 3 km outputs (T, RH, WS and direction, P, SW, LW, Pa) over the catchment represent the virtual stations that have been used in this study.

2.2.3 Local snow depth data

In 2014, three AWSs provided snow depth measurements at an hourly time step (Vega Tapado, Tapado and Tapado Cubierto; Figure 2). Over the 2015 winter, snow depth measurements were available at five stations (La Gloria, Colorado Alto, Tapado, La Laguna and Tapado Cubierto). The snow depths were measured with ultrasonic sensors and require post-treatment because they are particularly prone to measurement errors and typically produce a noisy signal (Lehning et al., 2002). Therefore, the control procedure described in Lehning et al., (2002) was applied to clean the signal, and in particular to eliminate spikes, check for outliers and physical limits.

2.2.4 MODIS snow products

MOD10A2 (Terra) and MYD10A2 (Aqua) snow products version 5 were downloaded from the National Snow and Ice Data Center (Hall et al., 2006; Hall and Riggs, 2007) for the period 1 January 2014 – 1 January 2016. The binary snow products were projected on a 500 m resolution grid in the same coordinate system as the DEM. Missing values, mainly due to cloud obstruction, were interpolated using the algorithm of Gascoin et al. (2015).

3. METHOD

3.1 SnowModel description

The physically-based model SnowModel (Liston and Elder, 2006b) was used to simulate the snow depth evolution over the entire catchment. SnowModel has already shown acceptable performance in the challenging context of semi-arid mountains, including the Andes (Gascoin *et al.* 2013, Mernild *et al.* 2017) and the High Atlas (Baba et al., 2018a, 2018b). It is a spatially distributed snowpack evolution modeling system composed of four submodels briefly described below.

Micromet is a physically-based meteorological distribution model developed specifically to produce high-resolution, spatially-distributed atmospheric forcing data. This model requires precipitation, wind speed and direction, temperature and humidity as input data, generally measured at weather stations. For the incoming solar and longwave radiation, and surface pressure, *MicroMet* can either compute these fields from other meteorological variables, or create them from observations through a data assimilation procedure (Liston and Elder, 2006a). *MicroMet* includes a preprocessor component that first analyzes meteorological data to identify and correct potential deficiencies (e.g. values out of the ranges given in the subroutine). It then fills in any missing data segments with realistic values. The atmospheric fields are distributed using a combination of lapse rates and spatial interpolation using the Barnes objective analysis scheme (Barnes, 1964).

EnBal performs standard surface energy balance calculations (Liston, 1995; Liston et al., 1999). This component simulates surface temperatures and energy fluxes in response to observed or modeled near-surface atmospheric conditions provided by *MicroMet*. Surface latent and sensible heat flux and snowmelt calculations are made using a surface energy balance model.

SnowPack is a single or multi-layer (max. six layers), snowpack evolution and runoff or retention model that describes snowpack changes in response to precipitation and melt fluxes defined by *MicroMet* and *EnBal* (Liston and Hall, 1995; Liston and Elder, 2006b).

SnowTrans-3D (Liston and Sturm, 1998; Liston et al., 2007) is a three-dimensional model that simulates snow depth evolution (deposition and erosion) resulting from windblown snow based on a mass-balance equation that describes the temporal variation of snow depth at each grid cell within the simulation domain.

3.2 Model set up

3.2.1 Spatialized meteorological forcing

Spatial interpolation using the Barnes scheme was used to distribute the nine AWS measurements of T, RH, LWi,
SWi and pressure over the model domain. As relative humidity is a non-linear function of elevation, the relatively
linear dewpoint temperature is used for the elevation adjustment. For more details refer to Liston and Elder
(2006). In this study the *MicroMet* subroutine has been run with the default setting for the Southern Hemisphere,
for air temperature and dewpoint temperature monthly lapse rates (Liston and Elder, 2006b). Monthly lapse rates
computed from the available measurements are dependent on the year considered. As the mean is close to the
default settings, it has been chosen to conserve these values. Radiation values for LWi and SWi are assimilated
and specified using the default parameterization (Liston and Elder 2006a). The model has been run on the SRTM
DEM and as a result, hourly meteorological data over a 100m-grid resolution are available for entire study
period. Precipitation was interpolated similarly but without considering a lapse rate, as the comparison between
the available measurements did not reveal consistent elevation gradients. Wind data and direction were first
interpolated using linear lapse rates and then each gridded value was corrected considering topographic slope and
curvature relationships (Liston and Elder, 2006b).

The 3km WRF outputs (section 2.2.1) were used as inputs for *MicroMet* which considers that each WRF cell
corresponds to a virtual weather station located in the center of the WRF cell, following Mernild et al. (2017) and
Baba et al. (2018a). *MicroMet* adjusts the elevation bias to the DEM at the corresponding coordinate and
downscales the data to a 100 m grid.

3.2.2 Albedo calibration

The snow albedo evolution is computed as a function of the snow density and air temperature (more details in
Liston and Hall, 1995; Liston and Elder 2006b). Minimum and maximum values have been adjusted based on
measurements. The minimum snow albedo (*i.e.* the soil) is fixed at 0.2 and is quite homogeneous in this basin, as
there is almost no vegetation. The minimum and maximum snow albedo (corresponding to old and fresh snow,
respectively) are respectively fixed to 0.6 and 0.9 in agreements with all the measurements performed at the
AWSs (Figure 2).

3.2.3 Turbulent fluxes calibration

As the model is using a bulk approach to simulate the turbulent fluxes, the turbulent latent and sensible heat

fluxes (respectively *LE* and *H)* are parameterized using an effective surface roughness length z_0 (Liston, 1995; Liston et al., 1999). Note that this roughness length z_0 is considered as an effective value used in the model to represent the aerodynamic (z_m), temperature (z_t) and humidity (z_q) roughness values. As no measurements from the study period are available to calibrate and validate this value, it was initially fixed at 1 mm (Gromke et al.,

5   2011; MacDonell et al., 2013), and a subsequent sensitivity test was undertaken. Note that the surface temperature is solved iteratively by closing the energy balance (Liston and Elder 2006). In addition, under stable atmospheric conditions, turbulent fluxes are modified based on a Richardson number correction (Liston and Hall, 1995).

10   **3.2.4 Wind transport parameterization**

The model considers the wind transport (saltation, turbulent suspension) after snow deposition, sublimation of blowing and drifting snow and erosion and deposition after snowfall, depending on the topography (Liston and Sturm, 1998). The topographic influence on wind transport has been set, following Gascoin et al. (2013). The curvature allows considering the typical redistribution length scale. Based on the DEM, it was estimated to be

15   500 m, *i.e.* approximately one-half the wavelength of the topographic features within the domain (Liston et al., 2007). The model considers different weights for slope and curvature and values. We have chosen 0.58 and 0.42, respectively, following Gascoin et al. (2013).

3.3 Simulations

20   Two types of simulations have been performed over the entire catchment for the period 1 January 2014 – 1 January 2016 on a 100-m resolution DEM. The first simulation was forced with input from the nine automatic weather station measurements (referred to as AWS-forcing), whereas the second simulation was forced with the WRF data (referred to as WRF-forcing).

After indicating the differences observed for these two forcing sets, the model is primarily validated at local

25   points. Results for the two simulations were first compared to local snow depth measurements at each AWS (described in Section 2.2.1). The performance was evaluated using a Kappa statistic coefficient (Cohen, 1960) denoted *k,* to measure the agreement between the simulation and the observation, considering the percentage of time with and without snow. The calculation of k is here performed according to the following formula:

$$k = \frac{\Pr(a) - \Pr(e)}{1 - \Pr(e)} \qquad (1)$$

where: *Pr(a)* represents the actual observed agreement (i.e. snow or no snow for both simulation and observation); and *Pr(e)* represents the hypothetical probability of chance agreement. Complete agreement is defined when *k*=1. The Root Mean Square Error (*RMSE*) was also calculated.

Second, the model performance was evaluated over the entire catchment, by comparing the simulated snow cover extent and duration to that observed by the satellite images (described in Section 2.2.2). The model performance was evaluated by computing the Nash-Sutcliffe efficiency coefficient (*NSE*, Nash and Sutcliffe, 1970) between simulations and observations, and the *RMSE*.

After validating the model, the sublimation ratio and sublimation and melt rates were computed over the catchment for the two years. The sublimation rate corresponds to the mass sublimated per unit of time and does not include evaporation from meltwater. The sublimation ratio is defined as a percentage, and equal to the sublimation divided by the total ablation (i.e. sublimation plus melt rates). The melt rate corresponds to meltwater that runs off from the snowpack. Whilst the model calculates refreezing, the final melt rate described here does not include snow melt that refreezes in the snowpack. Note that ablation and energy balance terms are only computed over snow surfaces. This means that annual and monthly means are only computed at grid-cells with snow.

3.4 Comparison with MODIS

The snow cover area (SCA) and the snow cover duration (SCD) over the entire catchment were compared to the MODIS product. A threshold of 0.003 m w.e. was used to convert the simulated SWE into snow presence or absence for each grid cell (within the same range as Gascoin et al., 2015). Since the MODIS SCA product corresponds to the maximum visible extent over a period of 8 days, we also computed the maximum SCA over the same 8 day period from the simulated SCA for comparison.

4. RESULTS
4.1 Meteorological forcing comparison
4.1.1 AWS 2014 *vs* 2015

According to the AWS measurements, Jan-Jul 2015 was warmer than Jan-Jul 2014. Conversely, observations indicate lower temperatures for Aug-Dec 2015 than for Aug-Dec 2014 (daily mean difference of -2.6°C). Relative humidity was higher for 2015 compared to 2014 (daily mean difference of 11%) whereas SWi was lower (mean difference of -18 W m^{-2}, i.e. 6% of the mean SWi), with larger differences in Jul-Dec (daily mean difference of -32 W m^{-2}, i.e. 12% of the daily mean SWi), and LWi was higher (daily mean difference of 20 W m^{-}

[2], i.e. 7% of the daily mean LWi). This decrease SWi and increase in LWi can be explained by higher degree of cloud cover in 2015.

4.1.2 *MicroMet* output comparison: AWS *vs* WRF

Figure 4 shows *Micromet* outputs forced by WRF and AWS. Colder air temperatures are observed for the WRF-forcing (4.5 to 7.5°C depending on the year and the elevation), as well as lower RH (between 13 and 24%), and higher precipitation (annual cumulative difference larger than 1 m w.e. and a difference ranging between a factor of 1.6 to 3.4 depending on the elevation (Figure 4d)). The SWi and LWi remain very similar. The wind speed outputs differ (Figure 4e), especially above 4500 m a.s.l. where differences reach a maximum of 4 m s^{-1}. Details and statistical information about the comparison at each AWS locations are available in Table S1 (in the supplementary material). Note that here the comparison between the AWS measurements and the closest WRF grid point is not presented due to the significant vertical offset between the two points (Table S1 in the supplementary material). Despite these differences between AWS and WRF, both forcings were used as inputs in order to quantify the impact of the forcing choice on the sublimation estimation in this study.
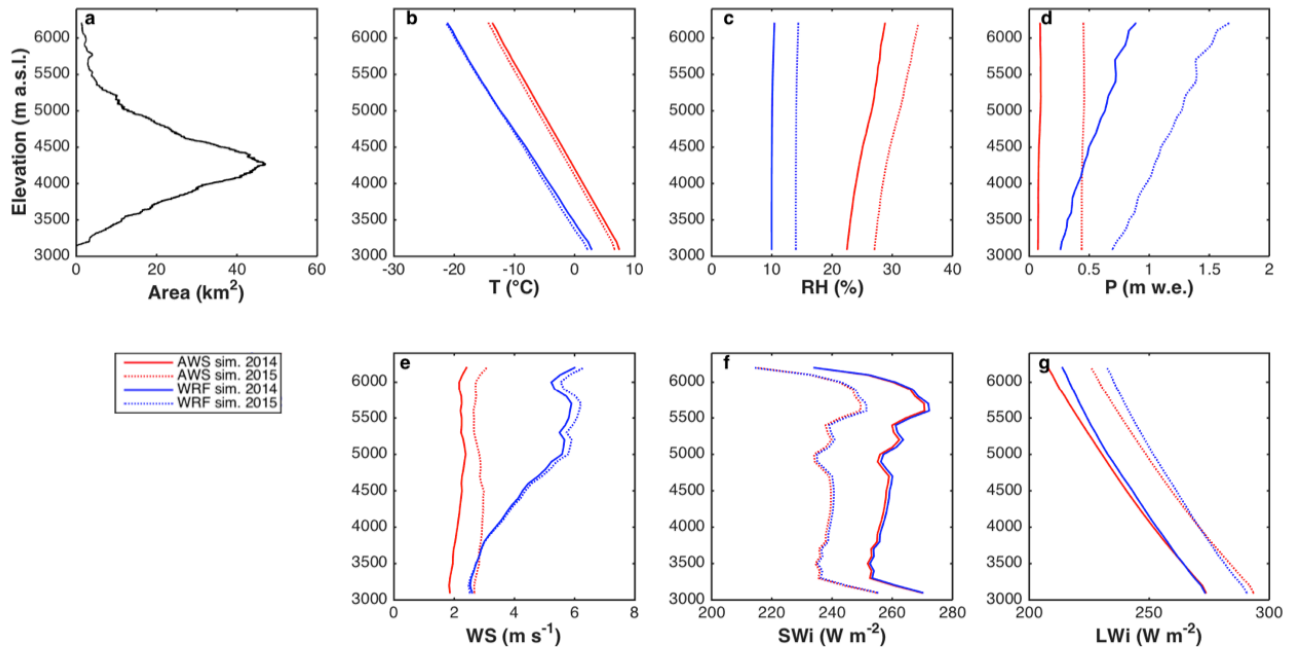
Figure 4: (a) Area-elevation distribution of the La Laguna catchment. (b to g) MicroMet outputs at the catchment scale forced by the AWS (red) and the WRF (blue) for 2014 (lines) and 2015 (dashed lines).

4.2 Snow depth and snow cover comparison

4.2.1 Comparison with local snow depth measurements

Simulated snow depths using the AWS-forcing (Figures 5 a-f) are in good agreement with measured snow depth

5 values (mean k=0.14 and mean $RMSE$=0.15 m, corresponding to 36% of the maximum mean snow depth). Note that the largest RMSE corresponds to 63% of the maximum snow depth. Comparisons have been performed at individual stations for 2014 and 2015, and we observe across all stations, better performances (i.e. higher k and lower $RMSE$) for 2015 (Figures 5 d-f) than for 2014 (Figures 5 a-c).For 2014 the highest k and lower $RMSEs$ are observed at Tapado AWS, as precipitation measurements were available at this site, but performances are much

10 lower at the two other sites where precipitation was interpolated. Interpolation results in overestimation of the simulated snow depth during 2015, probably due to an over-estimation of the precipitation for the large event on June 21st 2015 (Figure 3) caused by large differences in measured precipitation at the La Laguna and Tapado AWSs especially. Nevertheless, the start and the end date of the snow season are in good agreement with observations (maximum difference of 3 days observed at La Gloria site). Note that this comparison probably

15 over-estimates the accuracy as snow depths are compared at the exact location of meteorological forcing. Larger uncertainties are expected at the interpolated locations.

Simulations performed with the WRF-forcing indicate lower performances in simulating snow depth evolution at the AWS (Figure 5 g-m; mean k=0.12, and mean $RMSE$=0.20 m, corresponding to 39% of the maximum mean snow depth, and the largest RMSE corresponds to 76 % of the maximum snow depth). The results indicate an

20 over-estimation of the simulated snow depth compared to the observations. In addition, for 2014, the timing of the start and the end of the snow season does not fit well with observations (and explain the low k values). In 2015, the first day of snow is generally in good agreement with observations (maximum difference of 5 days observed at La Laguna).

While AWS-forcing yields a better performance overall, in both cases, better correspondence is obtained for

25 2015. This could possibly be explained by the dry conditions in 2014 which would have resulted in precipitation having a higher spatial variability. The low snow amounts in 2014 created localized snow patches, which are complex to represent in models.

These results underline the complexity of modeling the spatial variability of SD, even when snow transport is implemented. Results show an overall similarity of the simulated SD between some stations (e.g. Vega Tapado,

30 Colorado Alto and La Gloria), while measurements indicate that SD are much more variable in reality. Note that the windy conditions on the local depression at Vega Tapado is very local (i.e. few meters) and make complicated

the simulation at this site where the measured SD is larger than the surrounded area and not representative of the 100 m grid cell.
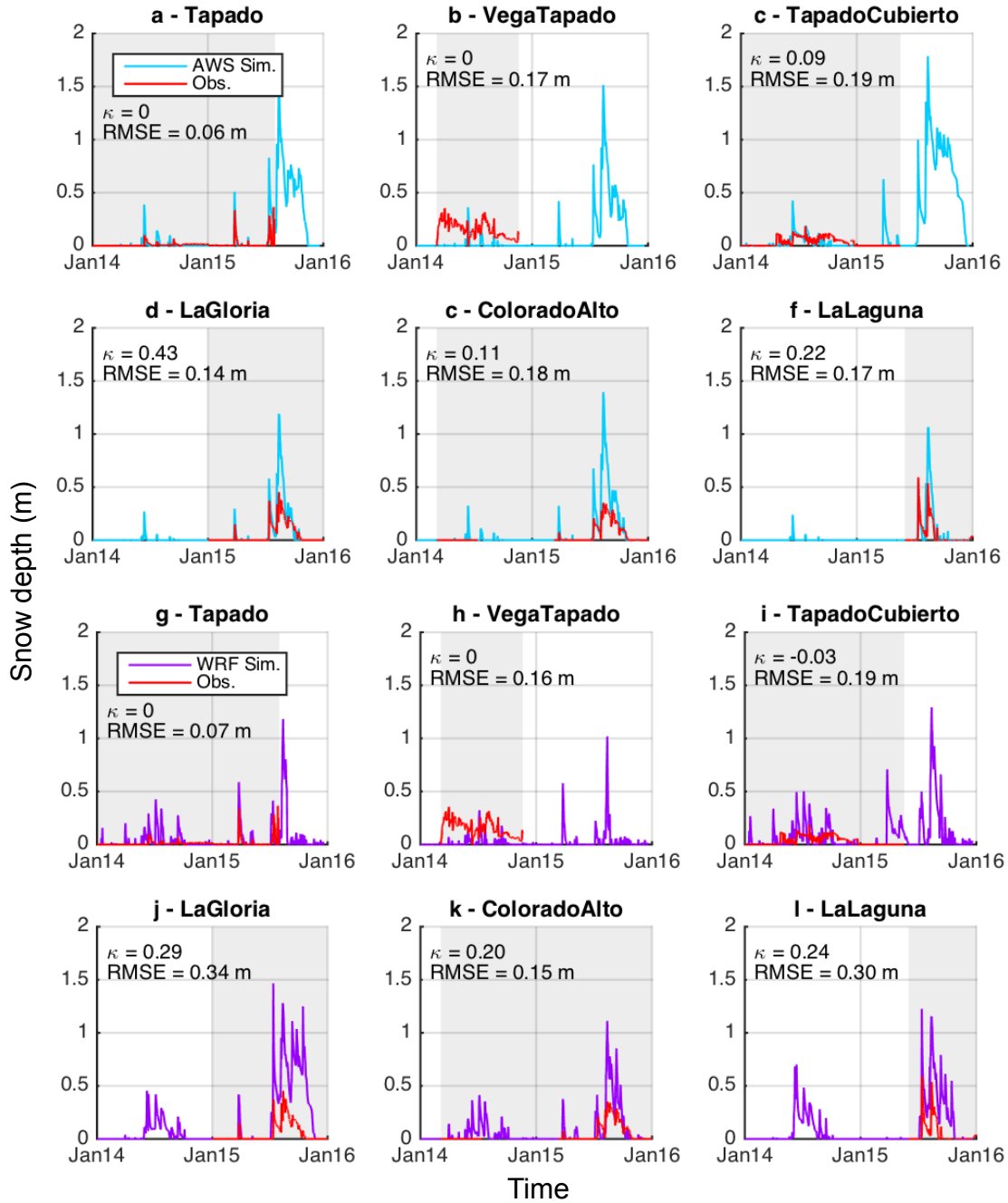
Figure 5: Simulated (cyan/purple) vs. observed (red) snow depth at 6 automatic weather stations. Cyan lines (a-f)

5 *represent simulations performed using AWS meteorological forcing. Purple lines (g-m) correspond to*

simulations performed using WRF meteorological forcing. Grey shaded areas indicate the period of available measurements.

4.2.2 Snow cover comparison with satellite images

5 **a/ Snow cover area**

The simulated snow cover area (SCA), forced by the AWS-forcing is in good agreement with observations from MODIS products (Figure 6a) with, in particular, a good simulation of the timing of precipitation events. Best fits are observed for the winter and spring 2015 (*i.e.* from July to December), with higher calculated correlations (NSE_{SCA}=0.94, $RMSE_{SCA}$=41.6 km^2 (i.e. 8.3%)). Regarding the ablation, in June 2014 and April - May 2015, the

10 simulated SCA decreases faster that the observed SCA, which can be due to an over-estimation of melt or/and sublimation or an underestimation of accumulation.

When using the WRF-forcing, the agreement between SCA and MODIS is lower than with the AWS forcing (Figure 6b). The timing of snowfall events is not always in good agreement with the observations due to missing events (e.g. March 2015), a timing bias of a few days (e.g. March 2014) and/or additional events (during both

15 2014 and 2015 winter season). The simulated SCA evolution over winter and spring of 2015 shows strong variation over the entire catchment, which is not observed in the MODIS record. Here again, for both forcing datasets, better performances are observed for 2015 (NSE_{AWS}= 0.79, $RMSE_{AWS}$= 93.2 km^2 (i.e. 19% of the total area); NSE_{WRF}= 0.61, $RMSE_{WRF}$= 125 km^2 (25%)) than for 2014 (NSE_{AWS}=0.41, $RMSE_{AWS}$=117 km^2 (23%) ; NSE_{WRF}= 0.23, $RMSE_{WRF}$= 133 km^2 (27%)).
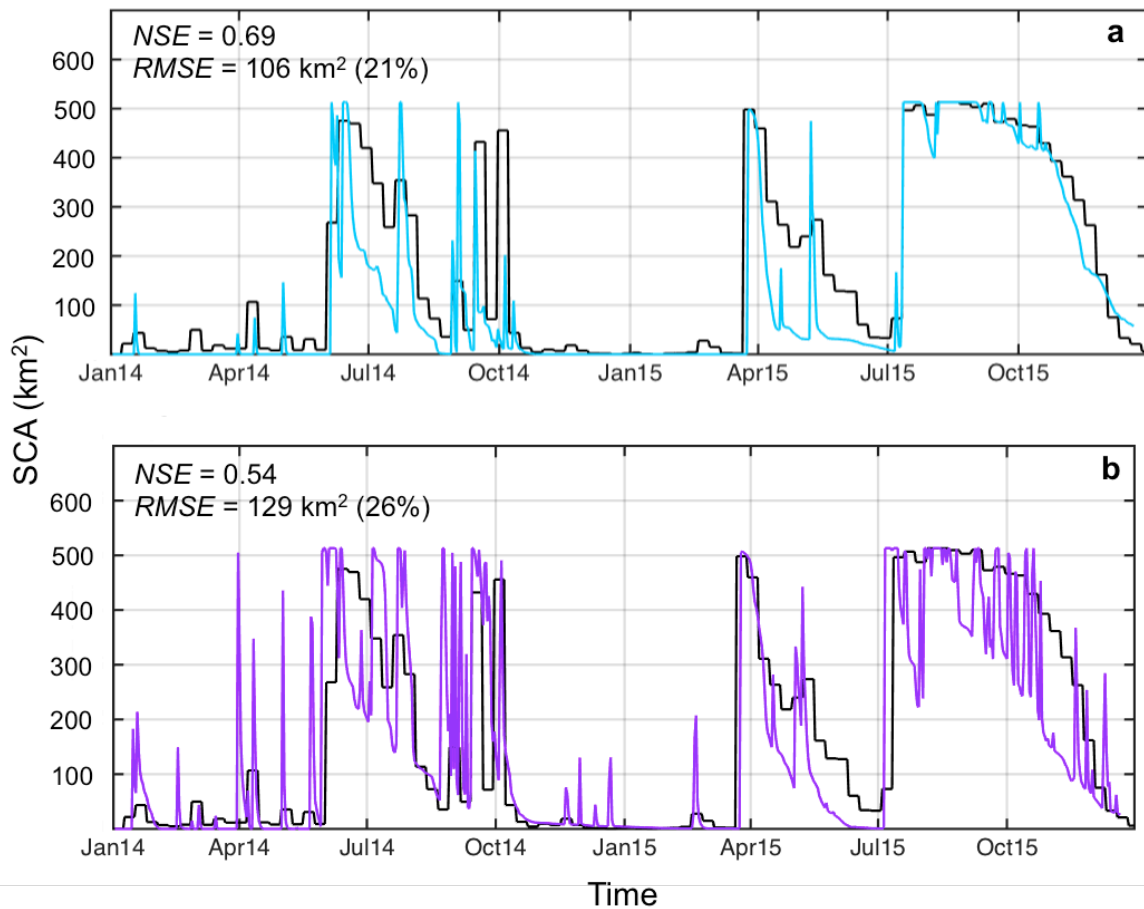
Figure 6: Snow cover area evolutions over the 2014-2015 period, from MODIS images (black lines), and simulations using (a) AWS-forcing (blue line) and (b) WRF-forcing (purple line).

b/ Snow cover duration over the catchment

The simulated snow cover duration (SCD) was also compared to the observed duration (from MODIS) by elevation band (Figure 7, Figure S2 in the Supplementary Information). For all each 200 m elevation band, the total number of snow-covered days for each grid cell was computed and then averaged for each band. For 2014, better performances were obtained for the AWS-forcing than for the WRF-forcing (Figure 7). For 2015, while better performances were also obtained for the AWS-forcing, the improvement using this forcing was minor.

Results based on AWS-forcing are in good agreement with observations at low elevations (i.e. below 4600 m a.s.l.; Figure 7), but show an over-estimation of the SCD at high elevation (absolute mean difference of 30 and 27 days for 2014 and 2015 respectively).

When using WRF forcing, SCD is over-estimated for the entire catchment in 2014 (absolute mean difference of 67 days). In 2015, simulations indicate an over-estimation of the SCD at low elevations (i.e. below 4500 m a.s.l.), and a small under-estimation at higher elevations (absolute mean error of 34 days for 2015).
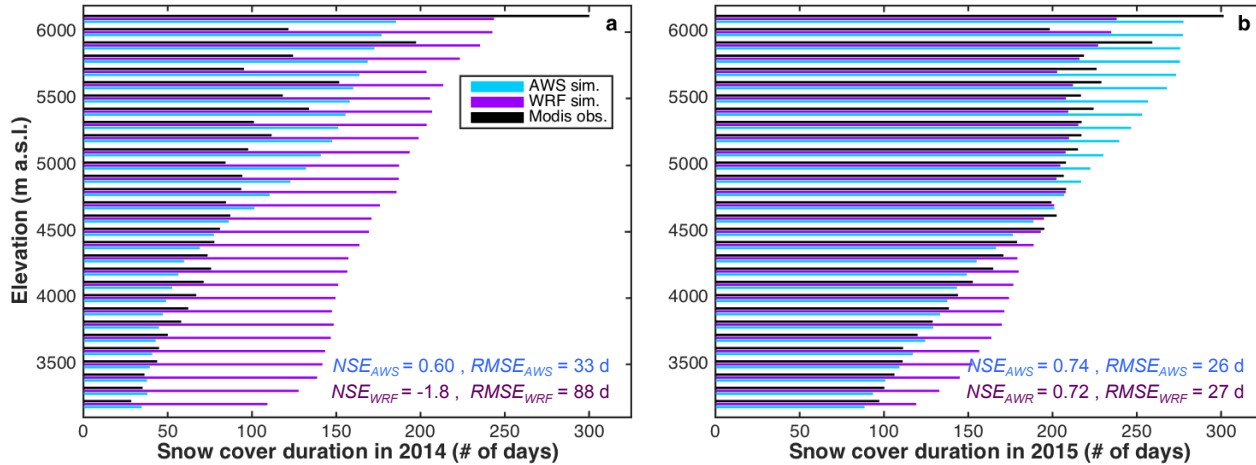
Figure 7: Snow cover duration per each 200m elevation band, from MODIS images (black), AWS-forcing (blue) and WRF-forcing (purple) for (a) 2014 and (b) 2015.

4.3 Ablation and energy balance fluxes

The forcing strongly impacts the simulated sublimation ratio. The annual means computed over the entire catchment (only considering snow grid-cells) for the AWS-forcing were 42/49% for 2014/2015, respectively, whereas 86/80% was obtained for WRF-forcing. The mean daily rate is 0.6 mm w.e. d^{-1} and 3.6 w.e. d^{-1} for 2014 and 2015, respectively, when the model is forced with the AWS-forcing. Values are larger and reach 3.1 mm w.e. d^{-1} and 4.1 mm w.e. d^{-1} for 2014 and 2015 when simulations are performed with the WRF-forcing.

4.3.1 Mean annual elevation gradients
a/ Ablation

The annual sublimation ratio is variable in space and increases with elevation for both years and both forcings (Figure 8 a,b). Comparison between the two forcings shows larger discrepancies below 5300 m a.s.l. (50% of

differences for 2014 and 30% for 2015). Note that larger differences were observed for 2014 related to larger differences in snow cover and snow duration between forcings.

Melt predominates at all elevations when using the AWS-forcing (Figure 8 c,d), except above 6000 m a.s.l. in 2015. Melt and sublimation rates increase with elevation until 5300 m a.s.l.. Above this elevation the melt rate first stagnates and subsequently decreases. This increase in sublimation rate and decrease in melt at high elevations explains the increase in sublimation ratio with elevation observed in Figure 8 a,b.

For the WRF-forcing, sublimation rates are larger than the melt rates at all elevations. Melt is relatively constant above 3800 m a.s.l. whereas the sublimation ratio increases, explaining the larger values of the sublimation ratio at high elevations.
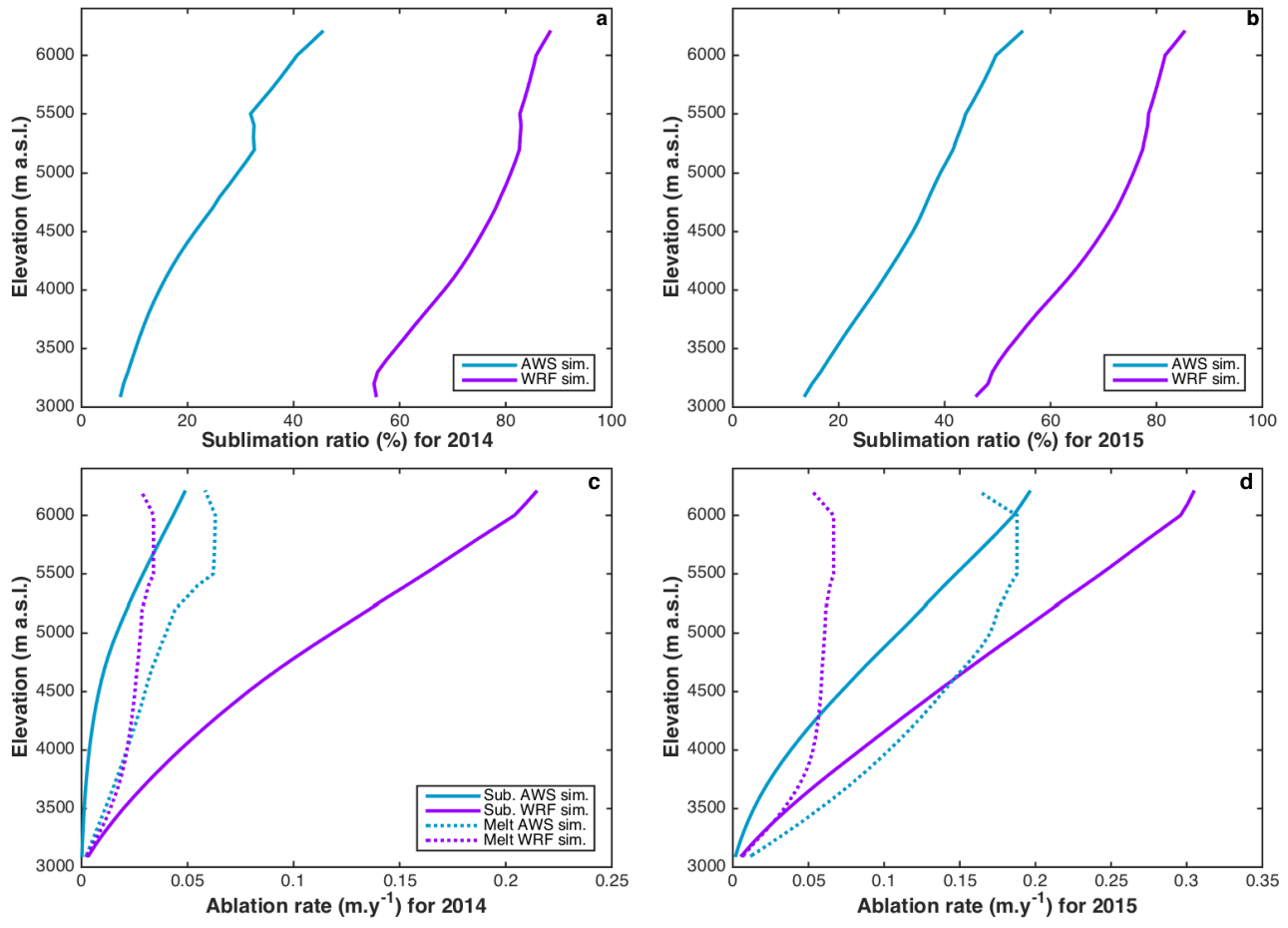
Figure 8: Simulated annual sublimation ratio against elevation band using AWS (blue) and WRF (purple) forcing for 2014 (a) and 2015 (b). Simulated annual average total ablation (sublimation and melt) against the elevation using AWS and WRF-forcing for 2014 (c) and 2015 (d).

b/ Energy fluxes.

Figure 9 shows the distribution of energy fluxes with elevation to aid the interpretation of the relationship between elevation and sublimation for both forcings. Both LW and SW show little variability between elevations bands for the AWS forcing. LW also does not change strongly between years. The SW and turbulent fluxes, however, show a strong variability between 2014/2015. For 2015 the modeled turbulent fluxes (mainly QE) are higher, especially at lower elevations, resulting in higher sublimation ratios (Figure 8 a,b., Section 4.3.1a). The WRF simulations, on the other hand, don't show this interannual difference in energy fluxes. Comparison of the AWS and WRF simulations, however, show higher turbulent fluxes for WRF-forcing, which in agreement with higher sublimation rate and ratio mentioned above.
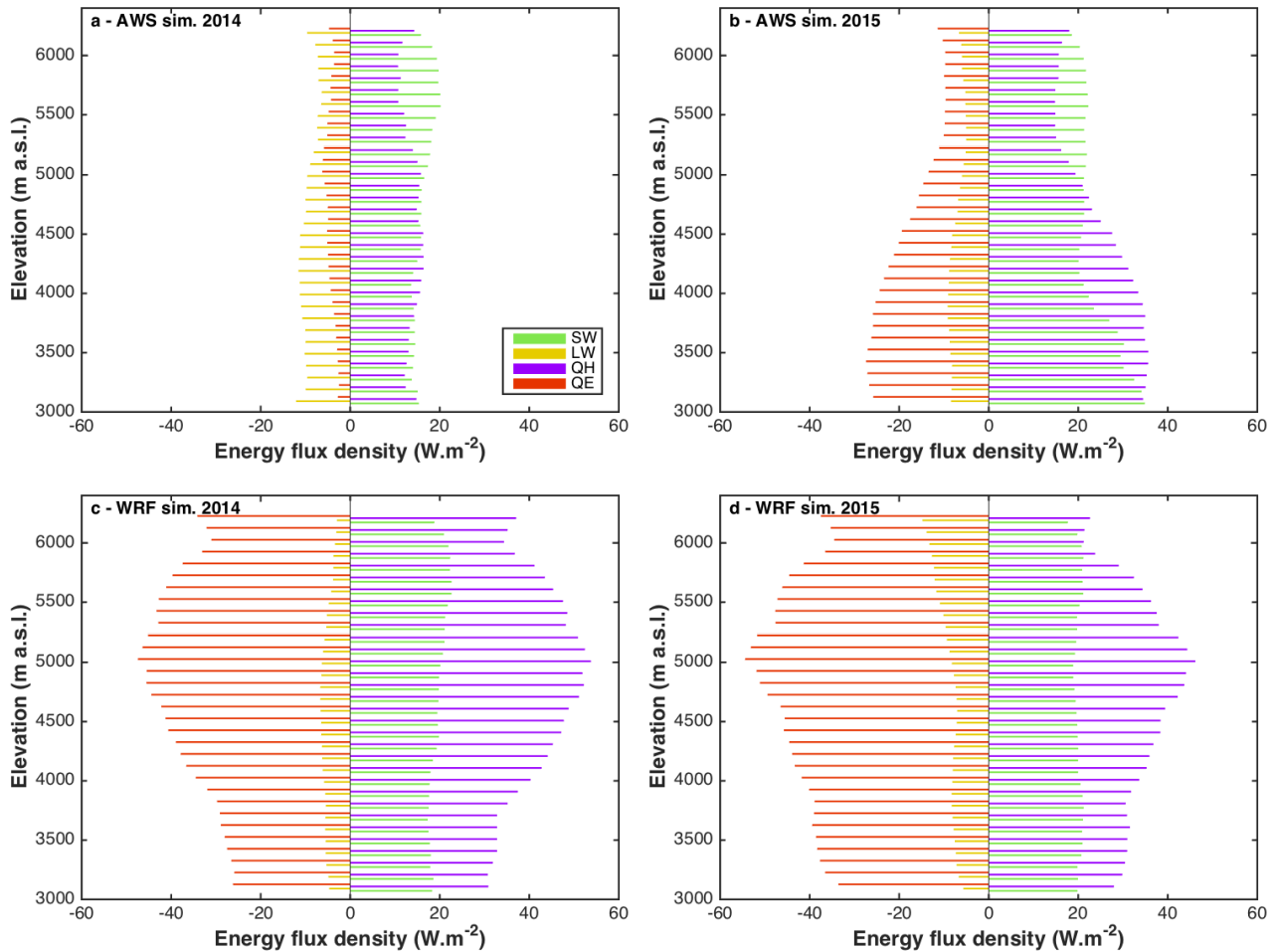
Figure 9: Annual mean of main modeled energy fluxes (computed over snow surfaces only) for each 200 m elevation band using AWS (a,b) and WRF (c,d) forcing for 2014 (a,c) and 2015 (b,d). SW is net shortwave radiation, LW is net longwave radiation, QE is the latent heat flux and QH is the sensible heat flux.

4.3.2 Monthly evolution

Analysis of the monthly sublimation ratios and rate show a strong seasonal variability in sublimation (Figure 10). Independently of the forcing chosen, larger sublimation rates are found in June and September for 2014, and in August, September and October for 2015, corresponding to the warm parts of the snow season.

Figure 11 indicates that turbulent fluxes (*QE* and *QH*) have the greatest impact on sublimation in all cases, except for the 2014 AWS-simulation. Net SW is also an important factor, and relatively similar for all the simulations. For the annual mean net SW is 18/22 W m^{-2} for 2014/2015 for the AWS forcing and 24/23 W m^{-2} for the WRF forcing, respectively. The contribution of net LW on the other hand is low for all simulations (annual mean of -7/-6 W m^{-2} for AWS/WRF-simulations respectively). Note that these losses are small in comparison to mid-latitude sites (e.g. -25 to -20 W m^{-2} according to the study by Giesen et al, (2009)), because of the very dry conditions of the atmosphere and to the cold surface temperature of the snow surfaces.
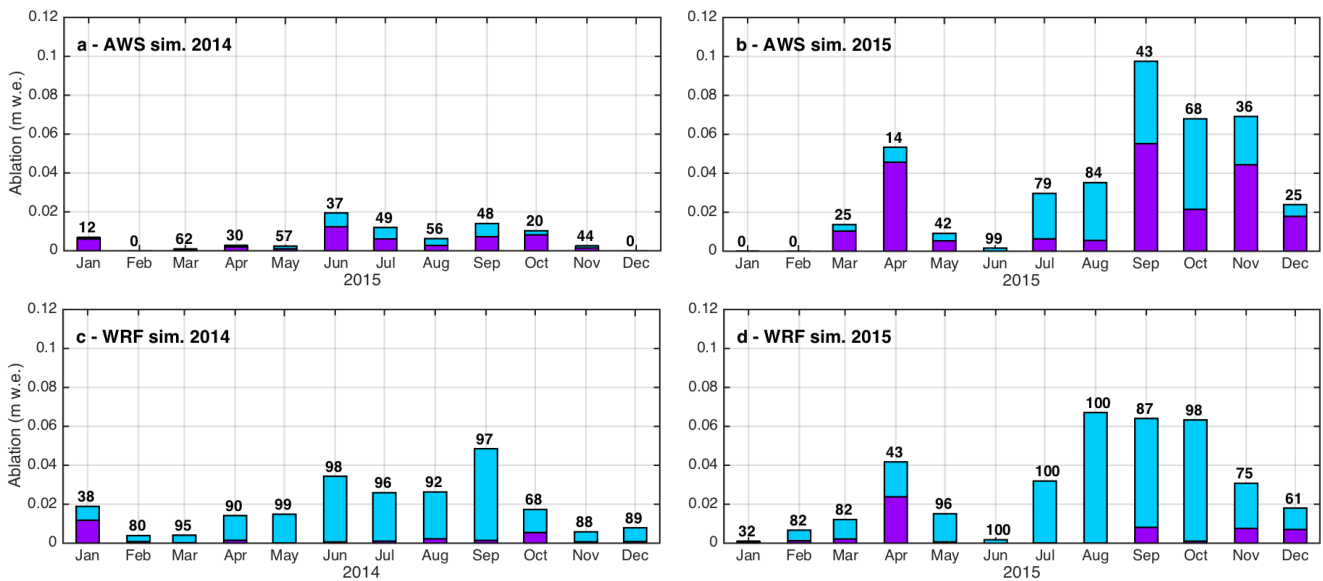
Figure 10: Stacked simulated melt (purple) and sublimation (blue) per month using AWS-forcing (a,b) and WRF-forcing (c,d). Black numbers indicate the monthly sublimation ratio in %.
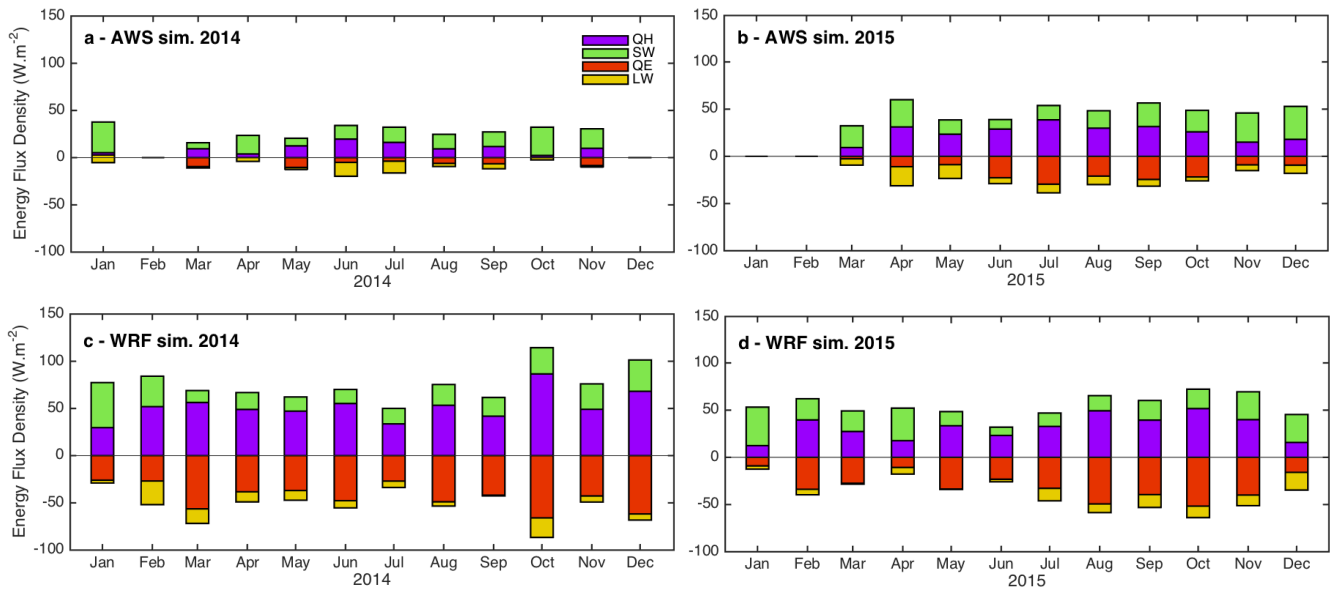
Figure 11: Monthly average of the main modeled energy fluxes for the entire catchment, over snow surfaces only. SW is net shortwave radiation, LW is net longwave radiation, QE is the latent heat flux and QH is the sensible heat flux.

5. DISCUSSION

5.1 AWS *vs.* WRF forcing.

Results presented in this study highlight the importance of forcing when modeling snow depth, snow cover and sublimation. Differences in model outputs are largely due to differences in temperature and precipitation inputs.

5.1.1 Air temperature and precipitation

The cold bias in air temperature from WRF simulations, using the combination NCEP-WRF, is often observed and well documented (e.g. Ruiz et al., 2010). It can be explained by the model parameterization complexity such as: *(i)* the initial or lateral conditions especially for the land surface surface temperature (Cheng and Steenburgh, 2005) or soil thermal conductivity (Massey et al., 2014), *(ii)* the parameterization of the planetary boundary layer scheme (Reeves et al., 2011), or *(iii)* the radiation parameterization scheme as it has been observed for other models (e.g. Müller and Scherer, 2005). However, the exact source of this bias remains difficult to identify (e.g. Reeve *et al.,* 2005). In this study, the default parameterization has been used, but works are in progress regarding the evaluation of the most appropriate calibration over this area, using direct observations.

Otherwise, precipitation is known to be over-estimated using the WRF model, particularly in the Andes (e.g. Mourre et al., 2016). One possible explanation is that biases can exist in the reanalysis data, in particular at high elevations, where observations are often scarce. Precipitation over-estimation might also be related to the parameterization used for the model which may not be the most appropriate for the Andes; further work is needed to determine the most appropriate ones. Outputs may also be inaccurate due to the relatively low-resolution DEM used (100 m).

Precipitation measurements using rain gauges can be biased towards an underestimation due to an undercatch, especially for snowfall because of the influence of wind (e.g. MacDonald and Pomeroy, 2007; Wolff et al., 2015). This gauge undercatch uncertainty (see Section 5.4.1) could increase the difference between the precipitation simulated by WRF and that measured at the AWSs. In addition, questions arise regarding the representativity of point measurements compared to the grid cell considered in the model.

The spatio-temporal variability observed in the difference between AWS and WRF precipitation data, highlight that it would be inappropriate to use a constant correction factor to adjust WRF data with measurements. More studies comparing WRF output to AWS among other data sets are required to determine a realistic correction method.

5.1.2 Consequences of the forcing used

Biases between the two forcing datasets cause significant differences in the energy and associated mass balances during both study years. In 2014 there are lower RH and P biases (Figure 4c,d), but larger air T differences, especially at high elevations (Figure 4b). Wind speed is on average higher in the WRF-forcing, whereas biases in incoming LW and SWi and air pressure are low for both years (results not shown).

The larger RH bias in 2015 indicates an over-estimation of the dryness for this year (compared to 2014), and would lead to larger differences in sublimation rate for 2015 than for 2014, which is the opposite of the results observed. Likewise, the larger over-estimation of precipitation amount observed in 2015 (Figure 4d) does not explain the larger difference in sublimation, as a deeper snow depth should result in more persistent snow cover during the warm period and hence a lower sublimation ratio related to larger melt rate. Therefore, although the relationship between temperature and sublimation rate is complex and not necessarily direct, in this case, the colder temperature is the most probable explanation for at least part of the larger difference in sublimation rate observed at high elevation.

Lower temperature and relative humidity values from WRF outputs compared to AWS measurements can explain, in part, the larger simulated sublimation ratio found with this forcing (Figures 8 a,b and 10). The

relatively high amounts of precipitation simulated by the WRF outputs, and resulting snow cover overestimation, may also play a role. Differences in the sublimation ratio when using the AWS and WRF-forcing are quite similar for the two years (mean annual difference of 42% and 36% for 2014 and 2015 respectively), although the difference between the melt rate and sublimation rate depends on the year (Figures 8 c,d) and corresponding energy balance.

In 2014 the turbulent fluxes are dominant for the WRF forcing but not for the AWS forcing (Figure 9 a,c). This can be partially explained by the larger SCA simulated by WRF-forcing with snow cover in the entire catchment while the AWS-forcing only results in snow at higher elevations. Additionally, WRF-forcing indicates colder, drier and windier condition than the AWS-forcing (Figure 4). Lower RH and higher wind speed will directly increase the latent heat flux, and potentially sublimation ratio, depending on surface temperature (see Figure S3 in Supplementary Material for surface temperature comparison).

The large variation of the SCA resulting from the WRF driven model results (Figure 5) is likely related to the higher frequency of relatively small precipitation events modeled by WRF than are recorded by the AWS. These small events cover the catchment with a relatively thin layer of fresh snow, which sublimates relatively quickly causing the SWE to decrease to < 3 mm w.e. at lower elevations, causing high variability in modeled SCA.

The SCD also has a significant influence on sublimation. For 2014, the differences in SCD between the two forcings were 100 days below 4500m, and close to 50 days above this elevation (Figure 7a). Snow that persists until later in the year (austral Spring and Summer) results in an increased total melt rate and can influence the sublimation ratio (especially in 2015, Figure 10). This is the only explanation for the larger melt fraction observed with AWS-forcing compared to WRF-forcing at low elevations, given the cold bias in WRF-forcing (Figures 8c and 4b). Since a larger SCD can be related to larger precipitation amount, precipitation uncertainties likely play a significant role and the sublimation estimation.

5.2 Comparison between dry and wet conditions

5.2.1 Comparison between 2014 and 2015

Differences in sublimation ratio and rates between 2014 and 2015 are related to both meteorological conditions related to energy fluxes, and snow cover duration. First, the similar annual mean sublimation ratio found for both years is likely due to a compensation between the dry 2014 year (low precipitation) associated with cold conditions in spring and summer, *vs*. the wet 2015 year with longer snow duration and warmer spring and summer (according to meteorological measurements made in the region). Both sublimation and melt rates were

larger overall during the wet 2015 year compared to the dry 2014 year. Thus the higher melting rates in 2015 compensated the enhanced sublimation rates and resulted in sublimation ratios comparable with 2014. The larger sublimation rates observed in 2015 are related to higher RH and wind speed, but also higher precipitation, snow accumulation and snow duration in 2015 compared to 2014. Results show particularly large sublimation rates over the long melt period in 2015. This may be explained by the warmer conditions which induce a warmer snow pack, increasing the saturated vapor pressure at the snow surface and providing energy to increase the sublimation rates (Herrero and Polo, 2016). According to these results, the snow duration seems to modulate the annual average ablation ratio, such that a longer-lasting snow cover extending further into the warm spring climate is subjected to both enhanced sublimation and melt in response to an increase in incoming energy fluxes. Nevertheless, it remains difficult to disentangle the respective effects of meteorological conditions and snow duration on sublimation. To better evaluate these effects, the influence of the meteorological forcing related to energy fluxes and the snow cover duration must be evaluated separately. For that purpose, we performed simulation experiments in which a common precipitation input was used for both years. In the first experiment the 2014 precipitation inputs ('dry input' with shorter snow cover duration) were applied to both years, then the 2015 precipitation was applied to both years ('wet input' with longer snow cover duration). All other meteorological forcings were left unchanged.

5.2.2 Impact of the precipitation amount

Forcing the 2014 year with the 'wet precipitation input' reduces the mean annual sublimation ratio by 12% (Figure 12 a,d), while forcing the 2015 year with the 'dry precipitation input' increases the mean annual sublimation ratio by 3% (Figure 12 b,d). In summary, a decreased annual mean sublimation ratio is observed when the precipitation is increased (which likely increases the SD and SCD), and other factors are held constant. However the amplitude of the response differs between the two years. This is mainly due to differences in the ablation rates (Figure 12). For 2014, increasing the precipitation amount leads to a sublimation rate increase of 0.8 mm w.e. d^{-1} while for 2015, decreasing the precipitation amount decreases the rate by 2.8 mm w.e. d^{-1}. Despite these annual differences, the maximum monthly sublimation rates are still observed for the same months, independent of the precipitation forcing used, with the exception of June and August (Figure 12). In June, snow covered the entire catchment in 2014 but not in 2015 (Figure 6), related to a strong snow event in June 2014 and no precipitation in June 2015. The opposite was observed for August. Changing the precipitation forcing strongly impacts the SCA and therefore the snow amount available for ablation and sublimation.

Comparisons made for months with a maximum SCA (i.e. when the entire catchment is covered by snow and it persists over the entire month), allow the influence of SCD to be independently analyzed since the SCA remains constant. In July, a month where maximum SCA was observed in both years, sublimation differences of 27 mm w.e. m^{-1} and 57 mm w.e. m^{-1} were found between dry vs wet precipitation inputs for 2014 and 2015, respectively. In 2015 the SD was thicker than in 2014 for both dry and wet inputs, thus the results indicate an increased sublimation rate with thicker SD. The thicker SD also implies larger melt rates, such that the sublimation ratio decreases when increasing the precipitation in 2014 but increases with increased precipitation in 2015, highlighting the complexity of the influence of SD on the sublimation ratio.

Otherwise, differences in mean sublimation rates are much higher when changing the precipitation amount for the 2015 meteorological forcing than for the 2014 meteorological forcing 2014 (i.e. 0.8 mm w.e. d^{-1} for 2014 vs. 2.8 mm w.e. d^{-1} for 2015 as mentioned above). Sublimation rates are also higher in 2015 compared to 2014, especially at the end of the snow season (i.e. from September to November; Figures 12 a,d). This holds true when considering wet conditions (Figures 12 b,c). This highlights the significant influence of meteorological conditions on sublimation. As mentioned in section 5.2.1, 2015 experienced higher wind speeds and RH and colder air temperatures. The contribution of turbulent fluxes is higher in 2015 than 2014 (Figures S4 a,d in the supplementary information), suggesting that wind speed has a greater influence on sublimation than RH.
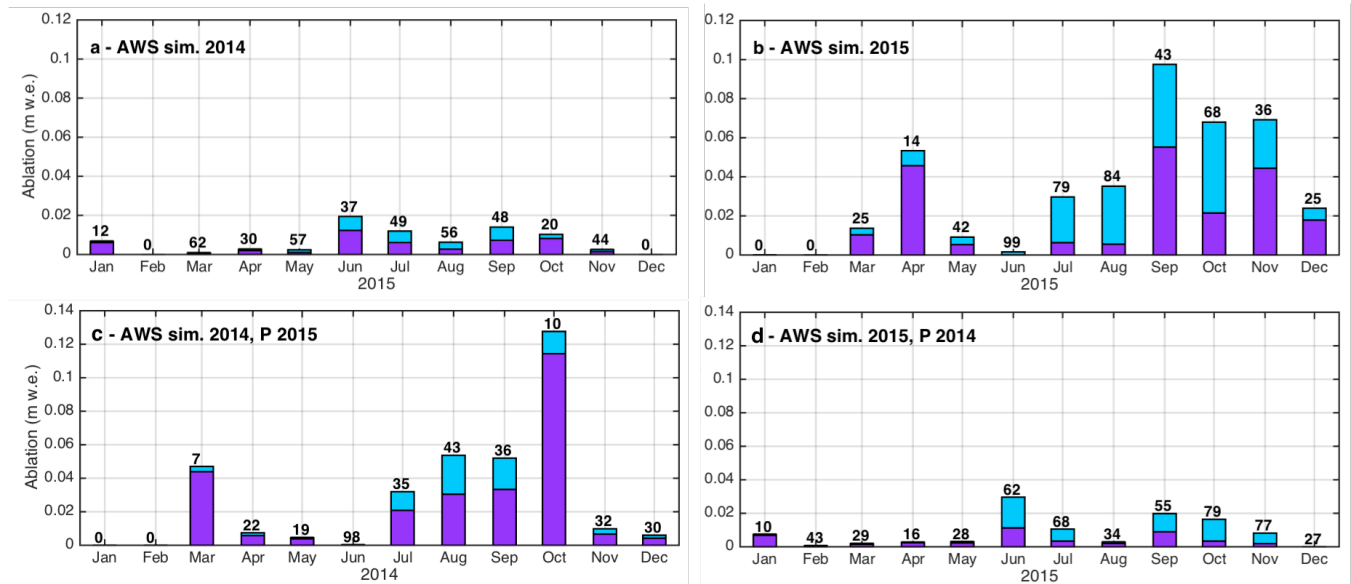
Figure 12: Monthly simulated melt (purple) and sublimation (blue) using AWS-forcing (a,b) and AWS-forcing with (c) 2015 precipitation ('wet forcing') and (d) 2014 precipitation ('dry forcing'). Back numbers indicate the monthly sublimation ratio in %.

5.3 Limits of the study

The main objective of this study was to investigate the impact of forcing data on modeled mass and energy balance to explain sublimation ratios. Nevertheless, we recognize that uncertainties also exist depending on model calibration choices. To discuss this point, four different parameters were tested to evaluate the uncertainties related to the calibration of modeled parameters: roughness value, precipitation amounts (due to measurement uncertainties), topographic curvature length and slope versus curvature length (Figure S5; Supplementary Information F). The results showed that the sublimation ratio was most sensitive to roughness values, and that differences due to the other three variables were an order of magnitude lower (For more details, please refer to the Supplementary Information). The strong sensitivity to the roughness value and the absence of measurements to validate the turbulent fluxes calibration limits precise sublimation quantification for this area.

6. CONCLUSION

In this study, the snow energy and mass balance have been simulated over La Laguna catchment located in the semi-arid Andes of Chile. Using the snow pack model SnowModel, simulations were performed over two contrasting years (2014 considered as a dry year and 2015 considered as a wet year), using two distinct forcings (nine AWS located in the catchment and 3km resolution WRF model outputs).

Results indicate strong differences in simulated snow depth depending on the forcing chosen, mainly due to a cold bias in air temperature in WRF as well as an over-estimation of precipitation. As a result, performances in simulating the snow cover using the AWS-forcing are more realistic, both at the local scale (comparison with AWS snow depth measurements), and over the entire catchment (comparison with SCA and SCD from MODIS images). In addition, independently of the forcing choice, the simulation of snow cover is better for 2015 compared to 2014, mainly due a larger sensitivity to the precipitation uncertainties during dry conditions. This highlights the complexity in properly modeling the snow cover evolution for years with low precipitation.

There are also large differences in modeled sublimation ratio depending on the forcing chosen. When using WRF-forcing the sublimation ratio is approximately twice that modeled with the AWS-forcing. This is partially due to the differences in temperature and relative humidity between the two forcings, but mostly due to precipitation differences. For example, when holding all model inputs constant except for precipitation, there are

significant differences in the modeled sublimation, especially for 2014 which was a dry year. Otherwise, the annual mean of the sublimation ratio over a catchment is similar during the two years and but it increases with elevation. This partly explains the larger sublimation values reported in previous studies performed at high elevations in the semi-arid Andes of Chile (e.g. Gascoin et al., 2013; Ginot et al., 2001; MacDonell et al., 2013).

5 Sublimation simulated in this study is associated with several sources of uncertainty related to the forcing chosen and the model calibration. Regarding the calibration, the roughness value is the key concern to properly simulate the turbulent fluxes and result showed strong sensitivity to this value. Nevertheless, without measurements to properly calibrate this value, it appears to be the main source of model calibration uncertainty. Results presented here highlight precipitation as the main forcing uncertainty, due to measurement errors and lack of spatial

10 representation as precipitation data was only available for two stations. Precipitation uncertainties directly impact snow on the ground, and therefore indirectly sublimation rates. Uncertainties in wind speed were likely the second source of error in sublimation results, which needs to be better constrained in future studies. Therefore, this study highlights that this uncertainty has a strong impact on sublimation and further work is suggested to *(i)* improve measurements uncertainties, *(ii)* increase the number of sensors over the catchment, and *(iii)* incorporate

15 AWS measurements into the WRF model and use data assimilation to improve model outputs. CEAZA is currently working on point *(iii)* to provide improved WRF outputs for the semi-arid Andes of Chile. This study has highlighted the current difficulties in using standard WRF model outputs in a semiarid, Andean catchment. Moving forward, it would be highly advantageous to improve WRF model performance in mountainous areas where high relief and difficult access often limits AWS distribution to valley floors, therein limiting the accuracy

20 of interpolation techniques for terrain sensitive variables, such as precipitation and wind speed and direction.

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