



Geospatial Analysis and Simulation of Glacial Lake Outburst Flood Hazard in Hunza and Shyok Basins of Upper Indus Basin

- 3 Syed Naseem Abbas Gilany¹*, Javed Iqbal² and Ejaz Hussain³
- 4 ¹School of Civil and Environment Engineering; <u>naseemphd13@igis.nust.edu.pk</u>
- 5 ²School of Civil and Environment Engineering; <u>javed@igis.nust.edu.pk</u>
- 6 ³School of Civil and Environment Engineering; <u>ejaz@igis.nust.edu.pk</u>
- 7 *Correspondence: naseemgillani2000@yahoo.com; Tel.: +92-321-519-6790

8 Abstract: The UIB (Upper Indus Basin) is prone to GLOFs (Glacial Lake Outburst 9 Floods) because of host of factors encompassing global warming in general and anthropogenic in particular. Physical monitoring of such a large area on regular basis is a 10 11 challenging task especially when the temporal and spatial extent of the hazard is highly 12 variable. The purpose of this study was to identify the potentially dangerous glacial lakes and 13 simulate the associated hazard to the downward settlements using HEC-RAS in the GIS 14 environment by utilizing Landsat 7 remote sensing data. The study was conducted in Hunza 15 and Shyok sub-basins of UIB where there are several human settlements which are 16 endangered due to GLOFs hazard. Sudden breaches in the unstable moraine dams adjoining 17 receding glaciers had hardly been simulated previously for rapid and huge accumulation of 18 turbulent water in the glacial lakes. The ASTER DEM (Digital Elevation Model) is utilized 19 in this study to detect flow accumulation of glacial hazard involving slope, elevation, and 20 orientation of the mountain glaciers. A historic glacial outburst had affected settlements of 21 Hunza valley, and destroyed the village of Passu almost 40 kms from the outlet of the glacial 22 lake. The infrastructure including houses, buildings and farmlands in Hunza and Shvok 23 basins remains threatened because of GLOFs hazard. This study proposes a need to establish 24 a concrete scientific context which can be ultimately fed to more encompassing predictive 25 frameworks for early warning of potential hazards in the area. The study contributes in 26 simulation of potential hazardous GLOFs of Hunza and Shyok basins in order to earmark 27 hazard extents and to generate an early warning to the habitation. The study results revealed 28 that settlements of Hunza and Shyok basins are threatened by the GLOFs hazard. Keeping in 29 view the seasonal growth of the potentially dangerous glacial lake of Hunza basin, a low 30 discharge of 3500 m^3 /s from potentially dangerous glacial lake can affect 40%, whereas, a moderate discharge of 5000 m³/s can affect 60% and a high discharge of 7000 m³/s can affect 31 32 80% of the Shimshal village habitat. In Shyok basin, a low discharge of 100 m^3/s from 33 potentially dangerous glacial lake can affect 20%, whereas, a moderate discharge of 300 m³/s 34 can affect 30% and a high discharge of 500 m³/s can affect 40% of the Barah village habitat. 35 The results of this study provide a platform for the establishment of an early warning and 36 monitoring system to minimize the impact of future GLOFs which has been a grey area. 37 Accurate and comprehensive simulation of potentially dangerous GLOFs is of utmost 38 importance for risk assessment. A digital repository of GLOFs hazard extents can enhance 39 the ability to inform policy makers on the vulnerability, risk mitigation and action/adaptation 40 measures.

41 Keywords: Anthropogenic, Disastrous; Geo-Morphology, Glacial Lake Outburst Flood.





42 1. INTRODUCTION

43 The alpine glaciers of the sub-continent region are a renewable natural freshwater storehouse that benefits hundreds of millions of people downstream (Shah and Kanth, 2013). 44 45 Owing to global warming acceleration, the glaciers of the mid-latitude region of Pakistan are 46 retreating since the second half of the 20th century (Das and Meher, 2019). On the retreating 47 glacier terminus, this phenomenon has accounted for the accumulation of many disastrous 48 glacial lakes. The damming by unstable moraines has caused several glacial lakes. The 49 disastrous GLOFs containing debris and a large quantity of turbulent water lead to the sudden 50 breaches of these unstable moraines which hold huge quantities of water (Bhambri et al., 51 2013).Glaciation and inter-glaciation are natural processes that have occurred several times 52 during the last 10,000 years. A situation that provides a large space for retaining melt water, 53 leading to the formation of moraine-dammed lakes (Che et al., 2014). Glacier-connected 54 lakes have likely accelerated the glacial retreat via thermal energy transmission and 55 contributed to over 15% of the area loss in their connected glaciers. On the other hand, 56 significant glacial retreats led to disconnections from their proglacial lakes, which appeared 57 to stabilize the lakes in the Himalayas. Continuous expansions in the lakes connected with 58 debris-covered glaciers, therefore, need additional attention due to their potential outbursts 59 (Nie et al., 2018). Glacier retreat is an indication of glacial lake formation. The glacial hazard 60 of GLOFs can cause loss of life, livestock, property, valuable forests, costly mountain 61 infrastructures, farmlands, and pasture resources. Damages to settlements and farmland can 62 take place at very great distances from the outburst source, for example, in Pakistan, a 63 damage occurred 1,300 Km from the outburst source (Gilany and Iqbal, 2019). Much of the 64 damage created during GLOF events is associated with the large amounts of debris that 65 accompany the floodwaters (Budhathoki et al., 2010). In the past 20 years, glaciers in the 66 Himalayas have retreated and thinned rapidly as a response to regional climate warming, 67 leading to the formation of new glacial lakes and the expansion of existing glacial lakes 68 (Kaushik et al., 2019). These areas are located in the border belt and the Eurasian plates, 69 where tectonic seismic activity is frequent and intense. Earthquakes have often compromised 70 the stability of mountain slopes, glaciers, and moraine dams, resulting in an imbalance in the 71 state of glacial lakes (Wang and Zhou, 2017). During recent decades there has been a rapid retreat of glaciers all over the world, new lakes are being formed, and the size of the existing 72 73 lakes attached to the glaciers is increasing. Another emerging hypothesis of more GLOF 74 events is the change in the pattern of rainfall (Khan et al., 2019, Harrison et al., 2018). A 75 historic glacial flood burst had a depth of around 30 m at the junction of Shimshal and Hunza, 76 (about 40 Km from the assumed position of the lake) and destroyed the village of Passu near 77 the Hunza river (Goudie, 1984). Glacier-fed lakes are dominant in both quantity and area and 78 exhibit an overall faster expansion trend compared to the non-glacier-fed lakes in the 79 Himalayas (Mir et al., 2018). Formation of glacial lake phenomenon has rapidly increased 80 owing to global warming. Glaciological characteristics of the ablation zone of Baltoro glacier 81 have changed in the recent past because of a host of factors (Mayer et al., 2006). The 82 diversity of glacial material is the prime reason for the diverse behavior and peculiar 83 dynamics of the glaciers. Heterogeneity in the Karakoram glacier surges is observed because





84 of the peculiar dynamics of the glaciers (Quincey et al., 2015). The glacier surges are 85 propagated coupled with glacial lakes. Glacier changes in the Karakoram region are mapped 86 temporally in order to observe the diverse behavior of the glaciers (Rankl et al., 2014). On 87 debris-covered glaciers, glacial lake formation is observed at a faster pace in alpine region of Pakistan (Hambrey et al., 2008, Raup et al., 2007). A conceptual analysis model of 88 89 supra-glacial lake formation on debris-covered glaciers is based on GPR (Ground 90 Penetrating Radar) (Mertes et al., 2017). The analysis has put forth the argument of increased 91 melting observed in glaciers of northern Pakistan. The risk factor increases exponentially 92 with the presence of supra-glacial lakes, the trend is observed through modeling and risk 93 assessment of GLOFs (Lala, 2018). The frequency of glacier-dammed lakes and outburst 94 floods in the Karambar valley of Hindukush-Karakoram has increased the risk to 95 infrastructure and living organisms in this region (Iturrizaga, 2005). The glacier surge is a 96 seasonal phenomenon owing to the extreme flow velocities resulting in the formation of a 97 dammed lake (Steiner et al., 2018). The balance in accumulation and ablation zones of a 98 glacier is very vital for its stability. A hydro-meteorological perspective on the anomaly of 99 glacier dynamics has originated the argument of heavy accumulation zones, thus disturbing 100 the mass balance (Bashir et al., 2017). The natural stability and behavior of the glacier are 101 very much dependent on slope, elevation, aspect, and geomorphology of the vicinity. Glacier 102 expansion is very much related to the elevation from mean sea level in the Karakoram region 103 (Hewitt, 1998). Himalayan glaciers are a focus of public and scientific debate. Prevailing 104 uncertainties are of major concern because some projections of their future have serious 105 implications for water resources. Most Himalayan glaciers are losing mass at rates similar to 106 glaciers elsewhere; except for emerging indications of stability or mass gain in the 107 Karakoram (Bolch et al., 2012). Rising global temperature is the major factor in the glacial 108 lake formation which is caused by the glacial retreat in mountainous regions. In the era from 109 1550 to 1850, the glaciers were quite in length in comparison with today. With the inception 110 of global warming, moraines formation adjacent to glaciers blocks the glacial lakes 111 (Bhutiyani, 1999). Since the Little Ice Age, it is said that the glaciers of the Himalaya have 112 experienced a retreat of approximately one kilometer in length. A situation leads to the 113 formation of moraine-dammed lakes with the provisioning of a large space for melt water 114 retention (Mool et al., 2001). The proximity analysis of the settlements with respect to glacial 115 lakes is very vital with respect to geospatial analysis and modeling of GLOFs hazard in 116 Hunza and Shyok basins of upper Indus basin. Nearly 35 devastating GLOF events have 117 occurred during the last 200 years in Gilgit Baltistan (Din et al., 2014). The frequency and the 118 intensity of GLOF events has risen over the past few years according to available records. 119 During the three decades the glacier cover been decreased an average of 10.1% which cause 120 many GLOF in the Hunza and Shyok (Ali et al., 2019). Also during the year (2008-2009) five 121 GLOF events took place in Hunza Valley (Kreutzmann et al., 2011). Study of the GLOF 122 Events shows that such an event has been associated with weather condition in terms of 123 temperature increase, precipitation and heat waves.

Keeping in view the fact that watersheds of Pakistan are covered by major glaciers, which are quite susceptible to disastrous outbreak / flooding hazards, the objectives of the study were (i) to map potentially dangerous glacial lakes in Hunza and Shyok basins and (ii)





to simulate the flood extents of the potentially dangerous glacial lakes using HEC-RASmodel and do damage assessment to the downstream settlements in these basins.

129 2. MATERIALS AND METHODS

130 2.1 Geomorphology

131 High mountains of Pakistan comprise the western end of 2,400 km long Himalayan 132 range and some parts in the Hindukush and Karakoram ranges. Northern areas spread over 133 72,496 km2 with a midst towering snow-clad peaks having heights varying from nearly 134 1,000 to over 8,000 meters above sea level. Of the 14 over 8,000 m peaks on earth, 4 occupy 135 an amphitheater at the head of Baltoro glacier in the Karakoram Range. These are: K-2 136 (Mount Godwin Austen) which is 8,611 m and is world second highest peak, Gasherbrum-I 137 (8,068 m), Broad Peak (8,047 m) and Gasherbrum II (8,035 m). In addition to these, there are 138 68 peaks over 7,000 m and hundreds which are over 6,000 m high. Generally, because of 139 their rugged topography and the rigors of the climate, the northern highlands and the 140 Himalayas to the east have been formidable barriers to movement into Pakistan throughout 141 history (Isserman et al., 2010).

142 2.2 Climate

143 Pakistan is basically a dry country of the warm temperate zone. The climate of the area 144 is transitional between that of central Asia and the monsoonal region of south Asia, which 145 varies considerably with latitude, altitude, aspect and local relief. There is not only high 146 spatial variability but temporal variability is quite high as well. Except for a small strip of 147 sub-tropical terrain in Punjab and the wet zone on the southern slopes of the Himalayan and 148 Karakoram mountain ranges, most of the country is arid or semi-arid steppe land. The 149 snowmelt run-off constitutes a substantial part of water resources of the rivers of Pakistan 150 (Singh et al., 2011). The Indus River, primarily supplied by glaciers in its upper reaches, and 151 subject to the least seasonal variation, still has a maximum flow more than fifty times its 152 minimum. Alpine glaciers contribute 50% of the Indus water flow. The Indus River is about 153 2,800 km long and 62% of its catchment lies in Pakistan (Singh et al., 2011). The swelling of 154 Indus and its tributaries is subjected to volumetric decrease of glaciers and if coupled with 155 heavy monsoonal rains, can cause floods during summer (Gilany and Iqbal, 2018).

156 2.3 Glaciated River Basins of Pakistan

157 For hydrological studies, Pakistan's northern area is divided into 10 major river basins
158 (Figure 1). Clockwise from west, these basins are of Swat River, Chitral River, Gilgit River,
159 Hunza River, Shigar River, Shyok River, Indus River, Shingo River, Astor River, and the
160 Jhelum River. Most of the snow and ice reserves are concentrated in the mountain ranges
161 lying in these basins (Gillani, 2014, Ashraf et al., 2015). These basins contain glaciated part,





- 162 which forms headwaters of the main Indus basin. The study area encompasses the Hunza and
- 163 Shyok basins of alpine glaciers of Pakistan (Ali and De Boer, 2007, Kääb et al., 2012,
- 164 Bookhagen and Burbank, 2010).





Figure 1. Glaciated River basins of northern Pakistan.

167 2.3.1 Hunza River Basin

168 The Hunza River basin actually forms the sub basin of the Gilgit River but due to its 169 considerable size and importance it is considered as a separate basin. The river drains the 170 Karakoram Mountains comprising of large glaciated area in the north (Ashraf et al., 2012). 171 The Karakoram highway linking Pakistan to China passes across this basin. Part of the road 172 runs along Hunza River and ends near Khunjerab Pass (Geerken and Bräker, 2017). The 173 tributaries joining the Hunza River are Chabursan, Khunjerab, Ghujerab, and Shunsha River. 174 The basin comprises of major valleys and hanging glaciers on the high Karakoram Range 175 (Figure 2). Karimabad, the capital of the Hunza valley, is stretched over miles and miles of 176 terraced fields and fruit orchards. It offers a panoramic view of the Rakaposhi, Ultar and





- 177 Balimo peaks. Gulmit is shining white and deeply crevassed just as you would expect a
- 178 glacier to look. Above this glacier to the left is the jagged line of the Passu and Batura peaks,
- 179 seven of which are over 7,500 m. Passu is the setting-off point for climbing expeditions up
- 180 the Batura, Passu, Kurk and Lupgar groups of peaks, and for trekking trips up the Shimshal
- 181 Valley and Batura Glacier (Singh, 2015, Kreutzmann, 2018).



184 2.3.2 Shyok River Basin

182 183

185 The Shyok River is bounded with Jammu and Kashmir disputed Territory in south, 186 China in northeast and Shigar and Indus River basins in the west. The elevation in the basin varies from more than 2,500 masl to more than 7,700 masl (ul Hassan et al., 2018). There are 187 372 glaciers which contribute to a vast glacier area of about 3,548 Km² (Figure 3). Though 188 189 the Valley glaciers are only 14% of the total number; they contribute more than 82% to the 190 glacier area. This high contribution is mainly due to larger area of the individual glaciers. 191 Some of the important valley glaciers include Siachen, Kondus, Bilafond, Chogolisa, 192 Ghandogoro and Masherbrum (Wolovick, 2016). The glacier area of the basin contributes to 193 about 892 km³ of the total ice reserves of the basin. Again, the major source of this huge ice 194 reserve is the valley glaciers which contribute more than 94%. Aspect wise the basin has 195 been divided into various ordinal directions. Glaciers are oriented towards NE (29%), NW 196 (24%) and E (18%) but are absent on the western aspects. The total area 33470 km² is 197 bounded by 75° to 77° E, 34° to 35° N (Ghosh, 2003, Pfeffer et al., 2014).







198 199

Figure 3. The glacier distribution in Shyok River basin.

200 2.4 **Dataset**

Landsat ETM+ Images of Hunza and Shyok basins, within the substantial time span from May to September, have been acquired from the USGS (United States Geological Survey) using the Earth Explorer interface (http://earthexplorer.usgs.gov/), Digital Elevation Model of Hunza and Shyok basins is used for obtaining the elevation, aspect, and slope of the glaciers hosting the glacial lakes. ASTER interpolated data at 15m is used for this purpose, Geomorphologic data of Hunza and Shyok basins of Pakistan acquired from the Geological Survey of Pakistan.

208 2.5 Methodology

The Study encompasses acquisition of Satellite Images, performance of geospatial analysis, and identification of GLOFs to assess the glacial hazard-prone areas. By utilizing height information obtained through DEMs, orientation and slope maps are formed (Nabi et al., 2018). The Landsat images of different time spans were downloaded and studied in detail for quality input. Capturing Digital Data of Glacial Lakes from Imagery Landsat images were used for identification of glacial lakes by applying the Normalized Difference Water Index (NDWI), taking advantage of the low water reflectance in the NIR band.

$$NDWI = \frac{NIR - Blue}{NIR + Blue}$$

Thereafter, mapping of Glacial Lakes of Shyok basin in contact with glaciers and upstream of settlements was carried out. In this connection, direct hydrological connection and lake dam type was determined. The lakes volume was calculated based on surface area. Finally, the simulation and modeling of potentially dangerous glacial lakes in HEC-RAS was conducted. The HEC-RAS model (Figure 4) contains several river analysis components for steady flow water surface profile computations and one and two-dimensional unsteady flow simulation including velocity and water surface depth analysis. The release of Version 5.0





- 223 introduced two-dimensional modeling of flow as well as sediment transfer modeling
- 224 capabilities. The program was developed by the US Department of Defense, Army Corps of
- 225 Engineers in order to manage the rivers, harbors, and other public works under their
- jurisdiction; it has found wide acceptance by many others since its public release in 1995
- 227 (Osti and Egashira, 2009).



228 229

Figure 4. HEC-RAS model workflow.

230 3. RESULTS AND DISCUSSION

231 The potentially dangerous glacial lakes which are concentrated at the headwaters of these 232 river basins can affect settlements, infrastructure, and agricultural fields situated in the 233 downstream river valley (Stäubli et al., 2018). Antecedent glaciers and glacial lakes 234 comprehensive and accurate knowledge are of utmost importance. The ability of 235 decision-makers on the adaption of risk mitigation measures and reduction in vulnerability 236 will be enhanced with a detailed digital data repository of glacial lakes and GLOFs 237 occurrences. This forms the basis for global warming studies and future climate change 238 research in Pakistan, as the irrigation network is primarily dependent on summer season 239 snowmelt (Mukhopadhyay and Khan, 2015).

240 3.1 GLOFs Hazard Assessment

241 By using Landsat-7 images, the study of glaciers and glacial lakes is carried out 242 coupled with field investigations of potentially dangerous GLOFs. Using remote sensing 243 satellite images, the monitoring of the glaciers as per created inventories and the impact 244 assessment of the GLOFs extent is done precisely. The accuracy is achieved with the remote 245 sensing data and techniques for evaluation of geophysical conditions of the terrain with the 246 help of satellite images. The ability and precision of the analysis performed is increased with 247 the multistage approach of field investigation coupled with remote sensing dataset. The 248 study involving glaciers and GLOFs becomes reliable once visual image interpretation 249 techniques are integrated with GIS analysis.





250 For this research, the identification of glaciers and glacial lakes has been done by 251 utilizing Landsat-7 ETM+ images. Landsat from an altitude of 705 km amsl covers an area of 252 183 km by 170 km. It is a sun-synchronous orbit imaging after every 16 days and obtains a 253 synoptic view at an inclination of 98.2 degrees. It carries the ETM+ sensor. The bandwidth 254 of TM and ETM+ are slightly different ranging from the blue to far infrared wavelength. For 255 feature identification, Landsat-7 band combinations and indices are utilized. The glacial 256 lakes can be easily identified in the band combination of RGB (Red-Green-Blue) (Pan-7-6b) 257 due to their better contrast with the surrounding features. In this FCC, the fresh snow and ice 258 of the glaciers appear in light to dark red color. In the image of the winter season, the glacial 259 lakes with a smooth texture and varying gray tone due to their semi-frozen ice surface are 260 easily identified (Figure 5(a-c)).



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Figure 5. Glacier ice covered with debris (a), snow (b) and glacial lake (c).

263 The identification/monitoring of glaciers and glacial lakes is done with integration of 264 remote sensing technique and GIS (Geographic Information System) data analysis. It played 265 a major role in decision making and application of rules of land cover types and features 266 discrimination in GIS analytical techniques, which enabled better presentation and 267 perspective views. DEM is utilized to create slope and aspect data sets of the study area. 268 Even though the glacial lake surfaces are flat and covered by snow, the glaciers ice and snow 269 ice create slope angles (Gilany and Iqbal, 2016). Antecedent, decision rules of integrated 270 GIS analysis is applied, that if the surface texture is smooth and the slope is not pronounced 271 then such areas are recognized as glacial lakes.

272 3.2 GLOFs Analysis of Hunza Basin

There are 110 glacial lakes covering an area of about 3.22 sq. Km out of which, 47 are major glacial lakes in this basin. Maximum of these are Supraglacial lakes (20) because in the basin there are large size glaciers. This type is followed by Valley lakes (17). The other





276 types of lakes are few in number and therefore contribute very little to the accumulative area 277 of the major lakes of the basin. In this basin maximum lake area (49%) is contributed by 278 Valley lakes followed by Supraglacial lakes (28%). Rest of the 23% lake area is collectively 279 contributed by Moraine dammed, Erosion and Blocked lakes. The largest End Moraine 280 dammed glacial lake has an area 0.12 sq. Km and is at a distance of 175 m from Passu Glacier 281 having an area of 62.9 sq. Km, length of 26 km and ice reserves of 10.89 km³. The high relief 282 and unstable deposits along the valley sides have made the slopes prone to mass movements. 283 The upper Hunza basin provides an ideal and easily accessible location for the study of 284 ice-dammed and mass movement-dammed lakes. The largest sized lake in this category has 285 0.38 sq. Km area and a length of 5000 m. It is oriented towards the North West and is 286 associated with the Khurdopin glacier. This potentially dangerous glacial lake is hazardous 287 to the settlement of Shimshal valley (Figure 6a).

3.3 HEC-RAS Model Simulation of Glacial Lake Outburst Flood Hazard Risk in Hunza Basin

The HEC-RAS model simulation is utilized for identification of GLOF hazard risk extents to Shimshal village in Hunza basin. Shimshal village is located in Gojal Tehsil of Hunza District, in the Gilgit–Baltistan region of Pakistan. It lies at an altitude of 3,100 m amsl and is the highest settlement in Hunza basin. It is a border village that connects the Gilgit-Baltistan with China. The total area of Shimshal is approx 3,800 km² and there are around 2000 inhabitants with a total of 250 houses. The input parameters for the HEC-RAS model are listed in table 1.

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 Table 1. HEC-RAS input parameters (Brunner, 2002).

Input Parameters	Value Assigned	Description	
DEM	30 m	Digital Elevation Model (ASTER) For slope angle, altitude, and curvature	
Inlet	Polyline	The area with specific release drawn in shapefile	
Global Parameters	Volume	Discharge volume (m ³ /s)	
	Intake Period	Time interval in mins (1,3 and 30)	
Energy Slope	0.1	Energy slope for distributing flow along boundary condition	
Domain Area Mesh	Perimeter	Domain area with specific perimeter bound around the area of interest drawn in shapefil	

298 3.3.1 Khurdopin Glacial lake Inlet and Shimshal Village Domain Area

First, the domain area surrounding the flow accumulation of stream flowing out of potentially dangerous glacial lake is drawn. The habitat of Shimshal village is included in the domain area to ascertain the damage extents to the settlements. The two-dimensional domain area is assigned the pixel value of 15x15m. Inlet to the domain area is drawn at the outflow of

303 potentially dangerous Khurdopin glacial lake (Figure 6 b).





- The peak seasonal discharge from potentially dangerous lake of Khurdopin glacier is calculated using the empirical formula of peak discharge (Costa, 1988).
- 306 $Qmax = 113(Vo \times 10^{-6})^{0.64}$ (1) 307 Where,
- 308 $Qmax = Peak Discharge (m^3/s)$
- 309 $Vo = Volume (m^3)$

Basing on the parameters (Table 2) of the potentially dangerous khurdopin glacial lake (Figure 6c), the peak seasonal discharge flow is calculated as 3500 m³/s, which can generate low flood damage extent to the settlements of Shimshal village (Table 2). The peak simulated scenario-1 discharge flow is calculated as 5000 m³/s, which can generate moderate flood damage extent the peak simulated scenario-2 discharge flow is calculated as 7000 m³/s, which can generate high flood damage extent to Shimshal village (Table 2).

Table 2. Parameters of potentially dangerous glacial lake to Shimshal village.				
Demonstrang	Peak Seasonal	Simulated Scenario-1	Simulated Scenario-2 Value	
rarameters	Value	Value		
Length	5000 m	5500 m	6000 m	
Depth	100 m	150 m	200 m	
Aspect	NW	NW	NW	
Area	$2464780 (m)^2$	$3081236 (m)^2$	$3652018 (m)^2$	
Volume	246478000 (m) ³	308123600 (m)^3	365201800 (m) ³	
Discharge	$3500 \text{ m}^3/\text{s}$	$5000 \text{ m}^3/\text{s}$	7000 m ³ /s	

317 3.3.2 HEC-RAS Simulated Hydrograph Max Depth, Max Velocity, and Max Water 318 Surface Elevation at X–Sec of Shimshal Village

Basing on a peak seasonal discharge of 3500 m^3 /s and data input interval of 10 mins, the two-dimensional hydrograph profiles are generated from HEC-RAS model. The max depth of flow hydrograph at Shimshal village x-sec is calculated as 40 m, which has generated a low flood to the settlements (Figure 6d). The max velocity of flow is calculated as 7 m/s (Figure 6e). The reference surface elevation is 3070 m amsl and the max water surface elevation at Shimshal village x-sec is 3110 m amsl (Figure 6f).

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Figure 6. (a) General area showing glacial lake to Shimshal village, (b) Glacial lake inlet and
Shimshal village domain area, (c) Potentially dangerous lake of seasonal low discharge, (d)
Depth profile at x-sec of Shimshal village, (e) Velocity profile at x-sec of Shimshal village,
(f) Water surface elevation profile at x-sec of Shimshal village.





338 3.3.3 HEC-RAS Output Parameters at Shimshal Village X-SEC (Low, Moderate

339 and High Discharge)

340 Basing on the simulated 2D hydrograph profiles generated from HEC-RAS model,

341 the output parameter values of potentially dangerous Khurdopin glacial lake at Shimshal

342 village x-sec generating low, moderate and high discharge are as shown in table 3.

Output Parameters	Peak Seasonal (Low Discharge)	Simulated Scenario-1 (Moderate Discharge)	Simulated Scenario-2 (High Discharge)	Description	
Flow Height	40 m	50 m	70 m	Flow height obtained during the course of GLOF	
Velocity Generated	7 m/s	8 m/s	10 m/s	The velocity of turbulent water of GLOF	
WSE	3110 m	3120 m	3140 m	Water Surface Elevation	
Damage Extent	950 m	1100 m	1250 m	The extent of damage by glacial lake outburst flood	

343 **Table 3.** HEC-RAS outputs at Shimshal village x-sec (low, moderate and high discharge).

Keeping in view the seasonal growth of potentially dangerous glacial lake in Hunza basin and simulated scenarios, the low discharge of 3500 m^3 /s from Khurdopin glacial lake can affect 40% of the Shimshal village habitat, the moderate discharge of 5000 m^3 /s from Khurdopin glacial lake can affect 60% of the Shimshal village habitat and the high discharge of 7000 m^3 /s from Khurdopin glacial lake can affect 80% of the Shimshal village habitat as shown below in figure 7(a-c).











357 3.4 GLOFs Analysis of Shyok Basin

This basin comprises of a glaciated area of about 3,548 km² out of a total area of 10.235 358 359 km^2 . The distribution of different types of the glacial lakes shows the pronounced hazard of 360 GLOFs. The Shyok basin's 2.7 sq. km area is covered with 66 glacial lakes. In this basin, 361 most of the glaciers are concentrated in the north-eastern part while the glacial lakes are 362 scattered over the south-western part (Hewitt, 1998). Most of the lakes (39%) are of erosion 363 type covering an area of approximately 0.5 sq. Km. Though the End Moraine and Valley 364 lakes are only twelve and eight in number, respectively, but they contribute about 40% and 365 30% of the lake area respectively. The Eight Valley lakes in the basin contribute more than 366 29% of the lake area. Most of these lakes are at varying distances from their associated 367 glaciers. Half of these eight lakes are closed type lakes. The largest Valley glacial lake is 368 associated with Siachen glacier and is oriented towards the north. It has 0.27 sq. km area and 369 a length of 670 m. The End Moraine lakes are twelve which contribute about 40% to the lake 370 area. The largest sized lake in this category has 0.21 sq. km area and a length of 800 meters. 371 It is oriented towards the north and is associated with the Siachen glacier. Potentially 372 dangerous lakes of this basin are hazardous to the settlements of Barah village (Figure 8).



373 374

Figure 8. Potentially dangerous glacial lakes to Barah village.

375 3.5 HEC-RAS Model Simulation of Glacial Lake Outburst Flood Hazard Risk in

376 Shyok Basin

The HEC-RAS model simulation is utilized for identification of GLOF hazard risk
extents to village Barah in Shyok basin. The input parameters for the HEC-RAS model are
listed in table 1.

380 3.5.1 Potentially Dangerous Glacial Lakes Inlets and Barah Village Domain Area

First, the domain area surrounding the flow accumulation of stream flowing out of both potentially dangerous glacial lakes are drawn. The habitat of Barah village is included in the domain area to ascertain the damage extents to the settlements. The two-dimensional





- domain area is assigned the pixel value of 15x15m. Inlets to the domain area are drawn at the
 outflow of potentially dangerous glacial lakes (Figure 9a). The expected peak seasonal
 discharge from potentially dangerous lakes of Barah village is calculated using the empirical
 formula of peak discharge (equation (1)).
- 388 Basing on the parameters of the potentially dangerous glacial lakes (Figure 9b), the
- 389 peak seasonal discharge flow is calculated as 100 m^3 /s (Table 4), which can generate low
- flood damage extents to the settlements of Barah village.
- 391

Parameters	Value (Left Lake)	Value (Right Lake)
Length	1300 m	1400 m
Depth	4 m	3 m
Aspect	N	NW
Area	$450,368.15 \text{ (m)}^2$	$517,112.16 \text{ (m)}^2$
Volume	$1,801472.60 \text{ (m)}^3$	$1,552236.48 \text{ (m)}^3$
Latitude	35°05'53.78"N	35°05'50.47"N
Longitude	76°14'15.55"E	76°15'19.36"E

Table 4. Parameters of potentially dangerous glacial lake to Barah village (peak seasonal).

393 3.5.2 HEC-RAS Simulated Hydrograph Max Depth, Max Velocity and Max Water 394 Surface Elevation at X–Sec of Barah Village

Basing on a peak seasonal discharge of 100 m³/s and data input interval of 10 mins, the two-dimensional hydrograph profiles are generated from HEC-RAS model. The max depth of flow hydrograph at Barah village x-sec is calculated as 25 m, which has generated a low flood to the settlements (Figure 9c). The max velocity of flow is calculated as 5 m/s (Figure 9d). The reference surface elevation is 2560 m amsl and the max water surface elevation at Barah village x-sec is 2585 m amsl (Figure 9e).









(e)

- 402 **Figure 9.** (a) Potentially dangerous glacial lakes to Barah village, (b) Glacial lakes inlets and
- 403 Barah village domain area, (c) Depth profile at x-sec of Barah village, (d) Velocity profile at
- 404 x-sec of Barah village, (e) Water surface elevation profile at x-sec of Barah village.





406 3.5.3 HEC-RAS Output Parameters at Barah Village X-SEC (Low, Moderate and

407 **High Discharge**)

408 Basing on the simulated two-dimensional hydrograph profile generated from

409 HEC-RAS model, the output parameters values of potentially dangerous glacial lakes having

410 peak seasonal discharge of $100 \text{ m}^3/\text{s}$ are obtained as shown in table 5.

			e v e ,		
Outpu	t ors	Peak Seasonal	Description		
1 al alliet	CI 5	(Low Discharge)			
Flow Hei	ght	25 m	Flow height obtained during the course of GLOF		
Velocit	v				
Generat	ed	5 m/s	The velocity of turbulent water of GLOF		
WSE		2585 m	Water Surface Elevation		
Damaga E	vtont	500 m	The extent of damage by glacial lake outburst		
Danage E	AUCIIL	500 III	flood		

411 **Table 5:** HEC-RAS output parameters at Barah village x-sec (low discharge of 100 m³/s).

412

The peak simulated scenarios discharge from potentially dangerous lakes of Barah village is calculated using the empirical formula of peak discharge (equation (1)).

415 Basing on the parameters of the potentially dangerous glacial lakes, the peak simulated 416 scenario-1 discharge flow is calculated as 300 m^3 /s (Table 6), which can generate moderate 417 flood damage extend to Barah village. The peak simulated scenario-2 discharge flow is 418 calculated as 500 m^3 /s (Table 6), which can generate high flood damage extents to Barah 419 village.

Table 6. Parameters of potentially dangerous glacial lake to Barah village (simulatedscenarios).

Parameters	Simulated Scenario-1 Value		Simulated Va	Scenario-2 lue
	Left Lake	Right Lake	Left Lake	Right Lake
Length	1400 m	1500 m	1500 m	1600 m
Depth	8 m	7 m	14 m	12 m
Aspect	Ν	NW	Ν	NW
Area	610,368.15 (m ²)	717,112.16 (m ²)	710,368.15 (m ²)	917,112.16 (m ²)
Volumo	4,882945.20	4,597978.04	9,945154.10	10,214228.38
volume	(m^3)	(m ³)	(m ³)	(m ³)

422 Basing on the simulated two-dimensional hydrograph profile generated from 423 HEC-RAS model, the output parameters values of potentially dangerous glacial lakes having 424 peak simulated scenario-1 discharge of 300 m³/s and peak simulated scenario-2 discharge of 425 500 m³/s are obtained as shown in table 7.





427

428 **Table 7.** HEC-RAS output parameters at Barah X-SEC (moderate and high discharge).

Output Parameters	Simulated Scenario-1 (Moderate Discharge)	Simulated Scenario-2 (High Discharge)	Description
Flow Height	30 m	35 m	Flow height obtained during the course of GLOF
Velocity Generated	6 m/s	7 m/s	The velocity of turbulent water of GLOF
WSE	2590 m	2595 m	Water Surface Elevation
Damage Extent	650 m	700 m	The extent of damage by glacial lake outburst flood

429 3.6 HEC-RAS Model Simulated GLOF Hazard Extents at Barah Village X-Sec

430 Keeping in view the seasonal growth of potentially dangerous glacial lakes of Shyok 431 basin and simulated scenarios, the low discharge of 100 m^3 /s from both lakes can affect 20% 432 of the Barah village habitat, the moderate discharge of 300 m³/s from both lakes can affect 433 30% of the Barah village habitat and the high discharge of 500 m³/s from both lakes can affect 434 40% of the Barah village habitat as shown below (Figure 10).





Figure 10. GLOF damage extents of Barah village habitat.







439 4. CONCLUSION

440 The GLOFs play a vital role in sedimentation and erosion in UIB of Pakistan. Their 441 significance cannot be denied especially which lies in very exceptional risk to the 442 infrastructure and human installations. The historically recorded floods gain height well 443 beyond peak discharge estimated values for the seasonal precipitation. The erosion capacity 444 and competence are immensely enhanced by the active dynamic character of the GLOFs. In 445 the context of erosion in these valleys and the sedimentation of the reservoirs in the 446 downstream area, the vital importance is of the GLOFs happening. The passage of this dam 447 burst involving turbulent floods has contributed in huge numbers of landslides which have 448 occurred in valley sides and on the terraces of Hunza and Shyok basins. Keeping in view the 449 seasonal growth of potentially dangerous Khurdopin glacial lake of Hunza basin and simulated scenarios, the low discharge of 3500 m³/s from glacial lake can affect 40% of the 450 Shimshal village habitat, the moderate discharge of 5000 m³/s from glacial lake can affect 451 452 60% of the Shimshal village habitat and the high discharge of 7000 m³/s from glacial lake 453 can affect 80% of the Shimshal village habitat. Keeping in view the seasonal growth of 454 potentially dangerous glacial lakes of Shyok basin and simulated scenarios, the low 455 discharge of 100 m^3/s from both lakes can affect 20% of the Barah village habitat, the moderate discharge of 300 m³/s from both lakes can affect 30% of the Barah village habitat 456 and the high discharge of 500 m^3 /s from both lakes can affect 40% of the Barah village 457 458 habitat. The Shyok basin and Hunza basin are prone to glacial lake outburst floods hazard based on the proximity of glacial lake with respect to infrastructure, geomorphology of 459 460 underneath surface, geo-cover of the vicinity, crevasses, ice melt, and anthropogenic 461 activities. Therefore, continuous monitoring through physical gauge stations and satellite 462 images is very vital of the streams nearing settlements of Shyok and Hunza basin. Knowing 463 the extent of damages beforehand can help in mitigating the impact of GLOF surges. 464 Antecedent, the most vital mitigation step to reduce flood risk is to gradually reduce the 465 volume of the glacial lake to decrease the dynamic peak surge of glacial lakes containing a huge volume of water. In order to protect the infrastructure in downstream areas against the 466 467 destructive/dynamic forces of surging GLOFs, pre-disaster mitigation measures must be 468 taken. An early warning and monitoring system should be placed in advance in order to 469 safeguard against such catastrophic events. While choosing the appropriate method or 470 starting any mitigation measure, precise evaluation involving detailed analysis studies of 471 lakes, mother glaciers, surrounding conditions, and damming materials are the foremost 472 requirements. The measures adopted must be such that those must not increase the risk of a 473 GLOF event during or after the placement of mitigation measures. At different stages of the 474 mitigation process i.e., during or after, the onsite monitoring gadgets at the mother glaciers, 475 the lake, the dam, and the surroundings are very vital.

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