

1 **The surface energy balance in a cold-arid permafrost environment, Ladakh**
2 **Himalaya, India**

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7 Studies, Carleton University, Ottawa, Canada

8 **Abstract**

9 The cold-arid trans-Himalayan region comprises significant areas underlain by permafrost,
10 While the information on the permafrost characteristics and extent started emerging, the
11 governing energy regimes of this cryosphere region is of particular interest. This paper presents
12 the results of Surface Energy Balance (SEB) study carried out in the upper Ganglass catchment
13 in the Ladakh region of India, which feed directly to the River Indus. The point SEB is
14 estimated using the one-dimensional mode of GEOtop model from 1 September 2015 to 31
15 August 2017 at 4727 m a.s.l elevation. The model is evaluated using field monitored snow
16 depth variations (accumulation and melting), outgoing longwave radiation and one-year near-
17 surface ground temperatures and showed good agreement with the respective simulated values.
18 For the study period, the surface energy balance characteristics of the study site show that the
19 net radiation (29.7 W m⁻²) was the major component, followed by sensible heat flux (-15.6 W
20 m⁻²), latent heat flux (-11.2 W m⁻²), and the ground heat flux was equal to -0.5 W m⁻². During
21 both the years, the latent heat flux was highest in summer and lowest in winter, whereas the
22 sensible heat flux was highest in post-winter and gradually decreased towards the pre-winter
23 season. During the study period, snow cover builds up in the catchment initiated by the last
24 week of December facilitating the ground cooling by almost three months (October to

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- Deleted:** The study site have air temperature range of - 23.7 to 18.1 °C with a mean annual average temperature (MAAT) of -2.5°C and ground surface temperature range of -9.8 to 19.1 °C.
- Deleted:** with mean annual value of 28.9 W m⁻²
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- Deleted:** The partitioning of energy balance components during the study period show that 47% of R_n was converted into H, 44% into LE, 1% into G and 7% for melting of seasonal snow.
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- Deleted:** Both the study years experienced distinctly different, low and high snow regime. Key differences due to this snow regime change in surface energy balance characteristics were observed during peak summer (July-August). The latent heat flux was higher (lower) during this period with 39 W m⁻² (11 W m⁻²) during high (low) snow years. Study also show that the sensible heat flux during the early summer season (May, June) of the high (low) snow was much smaller (higher) -3.4 W m⁻² (36.1 W m⁻²).

58 December) of sub-zero temperatures up to -20 °C providing a favourable environment for
59 permafrost. It is observed that the Ladakh region have a very low relative humidity in the range
60 of 43% as compared to e.g., ~70% in the Alps facilitating lower incoming longwave radiation
61 and strongly negative net longwave radiation averaging ~ -90 W m⁻² compared to -40 W m⁻²
62 in the Alps. Hence, the high elevation cold-arid region land surfaces could be overall colder
63 than the locations with more RH such as the Alps. Further, it is apprehended that high incoming
64 shortwave radiation in the region during summer months may be facilitating enhanced cooling
65 of wet valley bottom surfaces as a result of stronger evaporation.

66 **Keywords:** Cold-arid, Cryosphere, GEOTop, Himalaya, Leh, Permafrost, Surface Energy
67 Balance,

68 1 Introduction

69 The Himalayan cryosphere is essential for sustaining the flows in the major rivers originating
70 from the region (Bolch et al., 2012, 2019; Hock et al., 2019; Immerzeel et al., 2012; Kaser et
71 al., 2010; Lutz et al., 2014; Pritchard, 2019). These rivers flow through the most populous
72 regions of the world (Pritchard, 2019) and insight on the processes driving the change is critical
73 for evaluating the future trajectory of water resources of the area, ranging from small headwater
74 catchments to large river systems (Lutz et al., 2014). It is hard to propose a uniform framework
75 for the downstream response of these rivers as they originate and flow through various glacio-
76 hydrological regimes of the Himalaya (Kaser et al., 2010; Thayyen and Gergan, 2010). Lack
77 of understanding of multiple processes driving the cryospheric response of the region is
78 limiting our ability to anticipate the subsequent changes and their impacts correctly. This has
79 been highlighted by the recent studies which suggested the occurrence of higher precipitation
80 in the accumulation zones of the glaciers than previously known (Bhutiyani, 1999; Immerzeel
81 et al., 2015; Thayyen, 2020).

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90 The sensitivity of mountain permafrost to climate change (Haeberli et al., 2010) leads to
91 changes in permafrost conditions such as an increase in active layer thickness that eventually
92 affect the ground stability (Gruber and Haeberli, 2007; Salzmann et al., 2007), trigger debris
93 flows and rockfalls (Gruber et al., 2004; Gruber and Haeberli, 2007; Harris et al., 2001),
94 hydrological changes (Woo et al., 2008), run-off patterns (Gao et al., 2018; Wang et al., 2017),
95 water quality (Roberts et al., 2017), greenhouse gas emissions (Mu et al., 2018), alpine
96 ecosystem changes (Wang et al., 2006), and unique construction requirements to negate the
97 effects caused by ground-ice degradation (Bommer et al., 2010). These strongly affect the
98 mountain communities and indicate the relevance of mountain permafrost on human
99 livelihoods. Field observations suggest that ground-ice melt may be a critical water source in
100 dry summer years in the cold-arid regions of Ladakh (Thayyen, 2015).

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101 The energy balance at the earth's surface drives the spatio-temporal variability of ground
102 temperature (Oke, 2002; Sellers, 1965; Westermann et al., 2009). It is linked to the atmospheric
103 boundary layer, and location-dependent transfer mechanisms between land and the overlying
104 atmosphere (Endrizzi, 2007; Martin and Lejeune, 1998; McBean and Miyake, 1972). The
105 surface energy balance (SEB) in cold regions additionally depends on the seasonal snow cover,
106 vegetation and moisture availability in the soil (Lunardini, 1981) and (semi-) arid areas exhibit
107 their typical characteristics (Xia, 2010).

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108 The role of permafrost is a key unknown variable in the Himalaya, especially in headwater
109 catchments of the Indus basin. However, one can notice that the none of excellent studies about
110 Himalayan cryosphere (e.g., Immerzeel et al., 2010; Lutz et al., 2014) discuss permafrost and
111 its role in regional climate and Hydrology. And this is our prime motivation to take up the
112 permafrost studies in the region. Recent studies have signalled significant permafrost area in
113 the cold-arid upper Indus basin areas covering Ladakh (Wani et al., 2020). This study suggests
114 the permafrost area in a small (15.4 km²) catchment in the Ladakh region is 22 times of the

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122 glacier area. More coarse assessment in the Hindu Kush Himalaya (HKH) region suggests that
 123 the permafrost area extends up to 1 million km², which roughly translate into 14 times the area
 124 of glacier ~~cover~~ of the region (Gruber et al., 2017). Except for Bhutan, the expected permafrost
 125 areas in all other countries is larger than the glacier area. With ~~two~~-thirds of the HKH underlain
 126 by permafrost, China has by far the largest ~~estimated~~ share (906x10³ km²) followed by India
 127 (40.1x10³ km²), Pakistan (26.6x10³ km²), Afghanistan (17.5x10³ km²), Nepal (11.1x10³ km²),
 128 Bhutan (1.2x10³ km²) and Myanmar (0.1x10³ km²) (cf. Table 1, Gruber et al., 2017). The
 129 mapping of rock glaciers using remote sensing suggested that the discontinuous permafrost in
 130 the HKH region can be found between 3500 m a.s.l. in Northern Afghanistan to 5500 m a.s.l.
 131 on the Tibetan Plateau (Schmid et al., 2015). Recently, Pandey (2019) published ~~a~~ remote
 132 sensing based rock glacier inventory of Himachal Himalaya ~~and~~ reports that the discontinuous
 133 permafrost can be found within an elevation range of ~~3000–5500~~ m a.s.l. Another rock glacier
 134 inventory ~~from IHR~~ suggests that ~~the~~ elevations above ~~4600~~ m a.s.l. are suitable for the
 135 occurrence of permafrost (Baral et al., 2019). Similarly, ~~an~~ initial localised estimate of 420 km²
 136 of permafrost is suggested in the Kullu district of Himachal Pradesh, India (Allen et al., 2016).
 137 The cold-arid region of Ladakh has reported sporadic occurrence of permafrost and associated
 138 landforms (Gruber et al., 2017; Wani et al., 2020) with ~~the~~ sorted patterned ground and other
 139 periglacial landforms such as ice-cored moraines. Previous studies of permafrost in the Ladakh
 140 region are from the Tso Kar basin (Rastogi and Narayan, 1999; Wünnemann et al., 2008), and
 141 ~~the~~ Changla region (Ali et al., 2018).
 142 ~~The~~ SEB characteristics of different permafrost regions have been studied. e.g., the North
 143 American Arctic (Eugster et al., 2000; Lynch et al., 1999; Ohmura, 1982, 1984), European
 144 Arctic (Lloyd et al., 2001; Westermann et al., 2009), Tibetan Plateau (Gu et al., 2015; Hu et
 145 al., 2019; Yao et al., 2008, 2011, 2020), European Alps (Mittaz et al., 2000) or Siberia (Boike
 146 et al., 2008; Kodama et al., 2007; Langer et al., 2011a, 2011b). However, SEB studies of IHR

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176 are limited, for example, the energy balance studies on glaciers by Azam et al., (2014) and
177 Singh et al., (2020). The SEB also has a significant influence on regional and local climate
178 (Eugster et al., 2000). During summer months, the permafrost creates a heat sink, which
179 reduces the skin temperature, and therefore heat transfer to the atmosphere is also reduced
180 (Eugster et al., 2000). This highlight that the knowledge of frozen ground and associated energy
181 regimes are a critical knowledge gap in our understanding of the Himalayan cryospheric
182 systems, especially in the Upper Indus Basin.

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183 The goal of this manuscript is to improve the understanding of permafrost in cold-arid UIB
184 areas and to advance our ability to analyse and simulate the characteristics of permafrost there.
185 This can guide the application of available models in the Ladakh region which are calibrated
186 (Boeckli et al., 2012) or validated (Cao et al., 2019; Fiddes et al., 2015) elsewhere.
187 Furthermore, it can help to interpret differences in surface offset observed in Ladakh (Wani et
188 al., 2020) and other permafrost areas (Boeckli et al., 2012; Hasler et al., 2015; PERMOS, 2019).
189 Our working hypothesis is that the surface offset for particular terrain types in the UIB differs
190 from what is known in other areas, driven by aridity and high elevation. We aim to improve
191 the understanding of the SEB and its relationship with the ground temperature by working on
192 three objectives: (1) Quantifying the SEB at South Pullu, as an exemplar for permafrost areas
193 in the UIB. (2) Understand the pronounced seasonal and inter-annual variation of snowpack
194 and GST, as these are intermediate phenomena between the SEB and permafrost. (3)
195 Understanding key differences with other permafrost areas that have SEB observations.

Deleted: This study present SEB analysis of a permafrost environment in the cold-arid trans-Himalaya, where a recent study have identified significant permafrost cover (Wani et al., 2019). With this, we aim to provide a foundation for better understanding the micro-climatological drivers affecting permafrost distribution and temperature regimes in the area, to build hypotheses about similarities and major differences with other, better-investigated permafrost areas. This is important to guide the application of models calibrated (Boeckli et al., 2012) or tested (Cao et al., 2019; Fiddes et al., 2015) elsewhere for further investigations in the Ladakh region, where only little data on ground temperatures and permafrost are currently available. It will also help to interpret differences in the relationships of air and shallow ground temperatures (surface offset) observed in Ladakh (Wani et al., 2019) and other permafrost areas (Boeckli et al., 2012; Hasler et al., 2015; PERMOS, 2019). ¶ The specific objectives of this study are to (a) quantify the point Surface Energy Balance (SEB) and its components in a cold-arid Himalayan permafrost environment, (b) evaluate the quality of SEB assessment by modelling snow depth and near-surface ground temperature variations and compare with the field observations (c) understand the role of winter snowpack characteristics (timing, thickness and duration) and its effect on ground surface temperature, and (d) compare the SEB regime of cold-arid Himalaya with other better investigated permafrost regions of the world.

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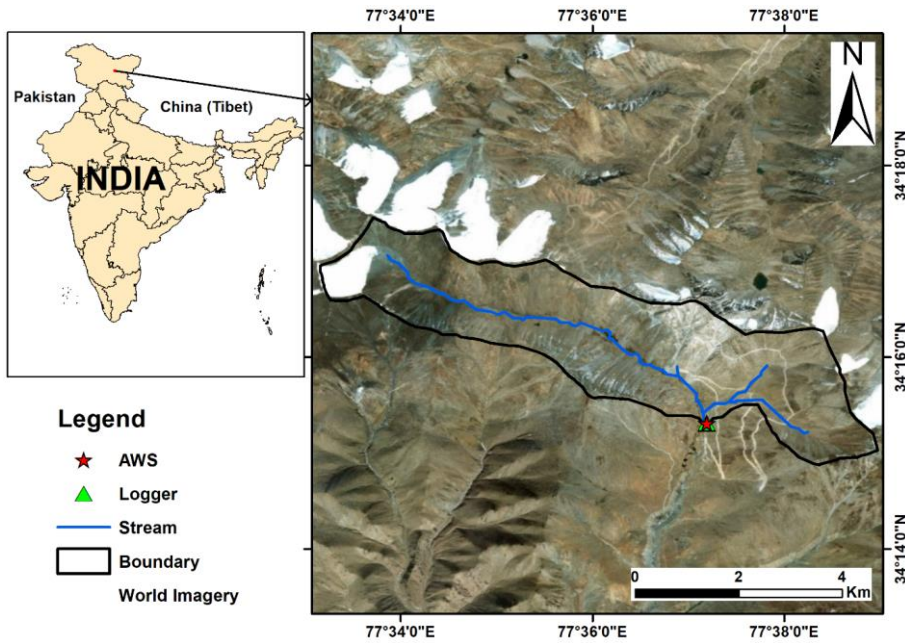
196 2 Study area and data

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197 2.1 Study area

198 The present study is carried out at South-Pullu (34.25°N, 77.62°E, 4727 m a.s.l.) in the upper
199 Ganglass catchment (34.25°N to 34.30°N and 77.50°E to 77.65°E), Leh, Ladakh (Figure 1).
200 Ladakh is a Union territory of India and has a unique climate, hydrology and landforms. Leh

237 is the district headquarter, where long-term climate data is available (Bhutiyani et al., 2007).
238 Long-term mean precipitation of Leh (1908–2017, 3526 m a.s.l.) is 115 mm (Lone et al., 2019;
239 Thayyen et al., 2013) and the daily minimum and maximum temperatures during the period
240 (2010 to 2012) range between -23.4 to 33.8 °C (Thayyen and Dimri, 2014). The spatial area of
241 the catchment is 15.4 km² and extends from 4700 m to 5700 m a.s.l. A small cirque glacier
242 called as Phuche glacier with an area of 0.62 km² occupies the higher elevations of the
243 catchment. A single stream flows through the valley of the catchment originating from Phuche
244 glacier. This stream flows intermittently with most of the flow from May to October.
245 The catchment lies in the Ladakh mountain range and is part of the main Indus river basin.
246 Geologically, the study catchment is part of the Ladakh batholith (Thakur, 1981). The study
247 catchment also consists of steep mountain slopes with the valley bottom filled with glacio-
248 fluvial deposits. Other sporadic landforms found in the catchment include patterned ground,
249 boulder fields, peatlands, high elevation wetlands and a small lake. Many of these landforms
250 point towards intense frost action in the area.



251
 252 Figure 1 Location of the study site in the upper Ganglass catchment. (Base image sources on
 253 the right panel: © Esri, DigitalGlobe, GeoEye, Earthstar Geographic's, CNES/Airbus DS,
 254 USDA, USGS, AEX, Getmapping, Aerogrid, IGN, IGP, swisstopo, and the GIS User
 255 Community).

256 2.2 Meteorological data used

257 The automatic weather station (AWS) in the catchment is located at an elevation of 4727 m
 258 a.s.l. at South-Pullu (Figure 1). It is located in the wide deglaciaded valley trending southeast.
 259 The site has a local slope angle of 15°, and the soil is sparsely vegetated. Weather data has been
 260 collected by a Sutron automatic weather station from 1 September 2015 to 31 August 2017.
 261 The study years 1 September 2015 to 31 August 2016 and 1 September 2016 to 31 August
 262 2017 hereafter in the text will be designated as 2015-16 and 2016-17 respectively. The
 263 variables measured include air temperature, relative humidity, wind speed and direction,
 264 incoming and outgoing shortwave and longwave radiation and snow depth (Table 1). The snow

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265 depth is measured using a Campbell SR50 sonic ranging sensor with a nominal accuracy of ±1
266 cm (Table 1). To reduce the noise of the measured snow depth, a six-hour moving average is
267 applied. Near-surface ground temperature (GST) is measured at a depth of 0.1 m near the AWS
268 using miniature temperature data logger (MTD) manufactured by GeoPrecision GmbH,
269 Germany. GST data was available only from 1 September 2016 to 31 August 2017 and is used
270 for model evaluation, only. All the four solar radiation components, i.e., incoming shortwave
271 (SW_{in}), outgoing shortwave (SW_{out}), incoming longwave (LW_{in}) and outgoing longwave
272 (LW_{out}) radiation were measured. Before using these data in the SEB calculations, necessary
273 corrections were applied (Nicholson et al., 2013; Oerlemans and Klok, 2002): (a) all the values
274 of $SW_{in} < 5 \text{ Wm}^{-2}$ are set to zero, (b) when $SW_{out} > SW_{in}$ (3 % of data understudy), it indicates
275 that the upward-looking sensor was covered with snow (Oerlemans and Klok, 2002). The SW_{out}
276 can be higher than SW_{in} at high elevation sites such as this one due to high solar zenith angle
277 during the morning and evening hours (Nicholson et al., 2013). In such cases, SW_{in} was
278 corrected by SW_{out} divided by the accumulated albedo, calculated by the ratio of measured
279 SW_{out} and measured SW_{in} for a 24h period (van den Broeke et al., 2004).

Deleted: The analysis of data was performed using R (R Core Team, 2016; Wickham, 2016, 2017; Wickham and Francois, 2016; Wilke, 2019). T

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296 Table 1 Technical parameters of different sensors at South-Pullu (4727 m a.s.l.) in the upper
 297 Ganglass catchment, Leh. (MF: model forcing, ME: model evaluation).

<u>Variable</u>	<u>Units</u>	<u>Sensor</u>	<u>Stated accuracy</u>	<u>Height (m)</u>	<u>Use</u>
<u>Air temperature</u>	<u>(°C)</u>	<u>Rotronics-5600-0316-1</u>	<u>±0.2 °C</u>	<u>2.2</u>	<u>MF</u>
<u>Relative humidity</u>	<u>(%)</u>	<u>Rotronics-5600-0316-1</u>	<u>±1.5%</u>	<u>2.2</u>	<u>MF</u>
<u>Wind speed</u>	<u>(m s⁻¹)</u>	<u>RM Young 05103-45</u>	<u>±0.3 ms⁻¹</u>	<u>10</u>	<u>MF</u>
<u>Wind direction</u>	<u>(°)</u>	<u>RM Young 05103-45</u>	<u>±0.3°</u>	<u>10</u>	<u>MF</u>
<u>Incoming shortwave radiation</u>	<u>(W m⁻²)</u>	<u>Kipp and Zonen (CMP6) (285 to 2800nm)</u>	<u>±10%</u>	<u>4.6</u>	<u>MF</u>
<u>Outgoing shortwave radiation</u>	<u>(W m⁻²)</u>	<u>Kipp and Zonen (CMP6) (285 to 2800nm)</u>	<u>±10%</u>	<u>4.6</u>	<u>MF</u>
<u>Incoming longwave radiation</u>	<u>(W m⁻²)</u>	<u>Kipp and Zonen (CGR3) (4500 to 42000nm)</u>	<u>±10%</u>	<u>4.3</u>	<u>MF</u>
<u>Outgoing longwave radiation</u>	<u>(W m⁻²)</u>	<u>Kipp and Zonen (CGR3) (4500 to 42000nm)</u>	<u>±10%</u>	<u>4.3</u>	<u>ME</u>
<u>Snow depth</u>	<u>(m)</u>	<u>Campbell SR-50</u>	<u>±1cm</u>	<u>3.44</u>	<u>ME</u>
<u>Data logger</u>	<u>=</u>	<u>Sutron 9210-0000-2B</u>	<u>=</u>	<u>=</u>	<u>=</u>
<u>Near-surface ground temperature</u>	<u>(°C)</u>	<u>PT1000 in stainless steel cap (by GeoPrecision GmbH, Germany)</u>	<u>±0.1 °C</u>	<u>-0.1</u>	<u>ME</u>

298
 299 **3 Methods**

300 **3.1 Estimation of precipitation from snow height**

301 In high elevation and remote sites, the snowfall measurement is a difficult task with an under
 302 catch of 20–50% (Rasmussen et al., 2012; Yang et al., 1999). At the South Pullu station, daily
 303 precipitation including snow was measured using a non-recording rain gauge. In this high
 304 elevation area, an under catch of 23% of snowfall was reported earlier (Thayyen et al., 2015)
 305 [Unpublished work]. Here, we had the time resolution problem between total measured
 306 precipitation and other meteorological forcing's including SR50 snow depth (hourly and
 307 recorded by automatic weather station). Therefore, to match the temporal resolution of
 308 precipitation data with other meteorological forcing's, we adopted the method proposed by
 309 Mair et al. (2016), called Estimating SOLid and Liquid Precipitation (ESOLIP). This method

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 The present study is carried out at South-Pullu (34.25°N, 77.62°E, 4727 m a.s.l.) in the upper Ganglass catchment (34.25°N to 34.30°N and 77.50°E to 77.65°E), Leh, Ladakh (Figure 1). Ladakh is a Union territory of India and has a unique climate, hydrology and landforms. Leh is the district headquarter, where long-term climate data is available (Bhutiyan et al., 2007). Long-term mean precipitation of Leh (1908–2017, 3526 m a.s.l.) is 115 mm (Lone et al., 2019; Thayyen et al., 2013) and the daily minimum and maximum temperatures during the period (2010 to 2012) range between -23.4 to 33.8 °C (Thayyen and Dimri, 2014). Due to scarce precipitation and warm summers, this part of the trans-Himalaya is classified as a cold-arid region characterised by strong land-atmosphere interactions, rarefied atmosphere and strong incoming solar radiation. The spatial area of the catchment is 15.4 km² and extends from 4700 m to 5700 m a.s.l. A small cirque glacier called as Phuche glacier with an area of 0.62 km² occupies the higher elevations of the catchment. A single stream flows through the valley of the catchment originating from Phuche glacier. This stream flows intermittently with most of the flow from May to October. ¶

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Deleted: (ESOLIP approach)

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456 makes use of snow depth and meteorological observations to estimate the sub-daily solid
 457 precipitation in terms of snow water equivalent (SWE). In ESOLIP, we considered liquid
 458 precipitation daily only.

460 The ESOLIP method consists of following steps: (a) filtering of precipitation readings: simple
 461 criteria based on relative humidity (RH) and global shortwave radiation was used such as, for
 462 an actual precipitation event, the $RH > 50\%$ and $S_{win} < 400 \text{ W m}^{-2}$, (b) precipitation type
 463 determination: wet bulb temperature (T_w) is used to differentiate between rain and snow such
 464 as if $T_w < 1$ (SWE estimation) and if $T_w \geq 1$ (rain). The T_w is estimated by solving the
 465 psychrometric formula implicitly: $e = E(T_w) - \gamma(T_a - T_w)$. T_a is the air temperature, and e
 466 (hPa) is the vapour pressure in the air. E (hPa) is the saturation vapour pressure, and γ (hPa K⁻¹)
 467 is the psychrometer constant depending on air pressure, (c) estimation of density: the fresh
 468 snow density (ρ) was estimated based on air temperature (T_a) and wind speed (u) as below
 469 (Jordan et al., 1999);

$$\rho = 500 * [1 - 0.951 * \exp(-1.4 * (278.15 - T_a)^{-1.15} - 0.008u_{10}^{1.7})], \quad (1)$$

471 For $260.15 < T_a \leq 275.65 \text{ K}$

$$\rho = 500 * [1 - 0.904 * \exp(-0.008u_{10}^{1.7})], \quad (2)$$

473 For $T_a \leq 260.15 \text{ K}$

474 and (d) estimation of SWE ($SWE = h * \rho$): to estimate the SWE of single snowfall events using
 475 snow depth measurements, and identification of the snow height increments of the single
 476 snowfall events and an accurate estimate of the snow density are necessary.

477 3.2 Modelling of point surface energy balance

478 In this study, the open-source model GEOTop version 2.0 (hereafter GEOTop) (Endrizzi et al.,
 479 2014; Rigon et al., 2006) was used for the modelling of point surface energy balance including

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483 the evolution of the snow depth and the transfer of heat and water in snow and soil. GEOTop
 484 represents the combined ground heat and water balance, the exchange of energy with the
 485 atmosphere by taking into consideration the radiative and turbulent heat fluxes. The model has
 486 a multi-layer snowpack and solves the energy and water balance of the snow cover and soil
 487 including the highly non-linear interactions between the water and energy balance during soil
 488 freezing and thawing (Dall'Amico et al., 2011). It can be applied in complex terrain and makes
 489 it possible to account for topographical and other environmental variability (Fiddes et al., 2015;
 490 Gubler et al., 2013).
 491 Previous studies have successfully applied GEOTop in mountain regions, e.g., simulating snow
 492 depth and ground temperature (Endrizzi et al., 2014), snow cover mapping (Dall'Amico et al.,
 493 2011b, 2018; Engel et al., 2017; Zanotti et al., 2004), ecohydrological processes (Bertoldi et
 494 al., 2010; Chiesa et al., 2014), modelling of ground temperature in complex topography (Fiddes
 495 and Gruber, 2012), water and energy fluxes (Hingerl et al., 2016; Rigon et al., 2006; Soltani et
 496 al., 2019), evapotranspiration (Mauder et al., 2018), permafrost distribution (Fiddes et al.,
 497 2015) or modelling ground temperatures (Bertoldi et al., 2010; Gubler et al., 2013).
 498 Generally, the surface energy balance (SEB) (Eq. 3) is written as a combination of net radiation
 499 (R_n), sensible (H) and latent heat (LE) flux and heat conduction into the ground or to the snow
 500 (G) and must balance at all times (Oke, 2002):

$$R_n + H + LE + G - F_{surf} = 0 \quad (3)$$

503 where F_{surf} is the resulting latent heat flux in the snowpack due to melting or freezing, the sign
 504 convention adopted in this study is as, the energy fluxes towards the surface are positive, and
 505 negative if directed away from the surface (Mölg, 2004). During the summertime, when
 506 conditions for snow melting are prevailing at the ground surface, the F_{surf} is negative (loss from
 507 the system) as a result of energy available for melting snow and warming the ground under

Deleted: is a physically-based fully distributed model for modelling of water and energy balances at and below the soil surface. It

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Deleted: Furthermore, the temporal evolution of snow depth and its effect on soil temperature are simulated. The GEOTop also simulates the

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Deleted: The model solves Richard's equation in three or one dimensions, and the heat equation in one dimension (1D) (Endrizzi et al., 2014).

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Deleted: The model takes into account the effects of complex topography in the estimation of radiation components (Endrizzi et al., 2014), such as (i) the incoming solar radiation is partitioned into direct and diffuse components according to Erbs et al. (1982), (ii) taking into account the solar incidence angle and shadowing of direct incoming solar radiation by topography, (iii) the effects of topography on diffuse radiation coming on the surrounding terrain (Iqbal, 1983).

Deleted: The model can be operated in two configurations, either in pointwise (1D) or distributed mode (2D) and the processes of interest can be controlled through parameters (Endrizzi et al., 2014).

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Deleted: (Dall'Amico et al., 2018; Dall'Amico et al., 2011; Zanotti et al., 2004)

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Deleted: In this study, only the energy fluxes over the snow cover and the ground surface in one-dimensional (1D) mode of GEOTop are used. ¶

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545 snow free conditions. The positive F_{surf} (gain to the system) during summertime is the energy
 546 released to refreeze the water and represents the freezing flux.
 547 In the cold regions, the SEB is a complex function of solar radiation, seasonal snow cover,
 548 vegetation, near-surface moisture content, and atmospheric temperature (Lunardini, 1981).
 549 Based on the in-situ available data, the calculation of SEB components like H, LE and G_s is
 550 difficult. For example, in the calculation of turbulent heat fluxes (H and LE), the wind speed
 551 and temperature measurements near the ground surface are required at two heights, which are
 552 generally not available. Therefore, parameterisation method like bulk aerodynamic method is
 553 used which is valid under statically neutral conditions in the surface layer (Stull, 1988). Hence,
 554 application of a tested model like GEOtop (Endrizzi et al., 2014; Rigon et al., 2006) is a good
 555 alternative for the estimation of these fluxes. However, in the GEOtop (Endrizzi et al., 2014),
 556 the general equation of SEB (Eq. 3) is linked with the water balance and is written as (Eq. 4).
 557 In GEOtop, the surface heat flux (F_{surf}) is the energy available for exchange and is given by
 558 the sum of net shortwave (SW_n) and net longwave (LW_n) radiations and turbulent heat fluxes,
 559 i.e. sensible (H) and latent heat flux (LE). The surface heat flux equation (Eq. 4):

$$F_{surf}(T_s) = SW_n + LW_n(T_s) + H(T_s) + LE(T_s, \theta_w) \quad (4)$$

562 where T_s , the temperature of the surface, is an unknown in the equation, SW_n is the shortwave
 563 radiation, LW_n is the net longwave radiation. The F_{surf} is a function of the T_s . Other terms in
 564 Eq. 4 which are a function of T_s include LW_n , H and LE. In addition, the LE also depends on
 565 the soil moisture at the surface (θ_w), linking the SEB and water balance equations. The
 566 equations and the key elements of GEOtop are explained in Endrizzi et al. (2014), and here,
 567 only a brief description of the equations that are of interest in this study is given. The SW_n in
 568 Eq. 4 is equal to the difference between the incoming solar radiation (SW_{in}) coming from the
 569 atmosphere and the reflected shortwave radiation (SW_{out}) (Oke, 2002).

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Deleted: In Eq. 4, the sum of SW_n and LW_n is equal to the net radiation (R_n) (Oke, 2002). The sign convention adopted is as, energy is considered as gain for the surface or system, if R_n is positive and negative for H and LE. Conversely, energy

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613 Also, LW_n in Eq. 4 is equal to the difference between the incoming longwave radiation (LW_{in})
614 coming from the atmosphere and the outgoing longwave radiation (LW_{out}) radiated by the
615 surface (Oke, 2002).

617 The LW_{out} radiated by the surface is also estimated using the Stefan-Boltzmann law (Eq. 5),
618 as below:

$$LW_{out} = \epsilon_s \cdot \sigma \cdot T_s^4 \quad (5)$$

621 where T_s is the surface temperature (K) and ϵ_s is the surface emissivity.

622 The turbulent fluxes (H and LE) are driven by the gradients of temperature and specific
623 humidity between the air and the surface, and due to turbulence caused by winds as primary
624 transfer mechanism in the boundary layer (Endrizzi, 2007). GEOTop estimates the turbulent
625 heat fluxes H (Eq. 6) and LE (Eq. 7) using the flux-gradient relationship (Brutsaert, 1975;
626 Garratt, 1994) as below:

$$H = \rho_a c_p w_s \frac{T_a - T_s}{r_a} \quad (6)$$

$$LE = \beta_{YP} L_e \rho_a c_p w_s \frac{Q_a - \alpha_{YP} Q_s^*}{r_a} \quad (7)$$

629 where ρ_a is the air density (kg m^{-3}), w_s is the wind speed (m s^{-1}), c_p the specific heat at constant
630 pressure ($\text{J kg}^{-1} \text{K}^{-1}$), L_e the specific heat of vaporisation (J kg^{-1}), Q_a and Q_s^* are the specific
631 humidity of the air (kg kg^{-1}) and saturated specific humidity at the surface (kg kg^{-1})
632 respectively, and r_a is the aerodynamic resistance (-). The aerodynamic resistance is obtained
633 applying the Monin–Obukhov similarity theory (Monin and Obukhov, 1954), which requires
634 that values of wind speed, air temperature and specific humidity are available at least at two
635 different heights above the surface. But the values of these variables are generally measured at
636 standard height above the surface and can be used for near surface with following assumptions:

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649 (a) the air temperature is equal to the ground surface temperature; however, this assumption
 650 leads to the boundary condition nonlinearity, (b) the specific humidity is equal to $\alpha_{YP} Q_s^*$, and
 651 (c) wind speed is equal to zero.

652 The β_{YP} and α_{YP} are the coefficients (Eq. 8 and 9) that take into account the soil resistance to
 653 evaporation, and only depend on the liquid water pressure close to the soil surface. They are
 654 calculated according to the parameterisation of Ye and Pielke (1993), which considers
 655 evaporation as the sum of the proper evaporation from the surface and diffusion of water vapour
 656 in soil pores at greater depths;

$$\beta_{YP} = \chi_p(g) - \frac{[\chi_p(g) - \theta_g]}{1 + \frac{\chi_p(1) - \theta(1)r_a}{\chi_p(g) - \theta_g r_d}} \quad (8)$$

$$\alpha_{YP} = \frac{1}{\beta_{YP}} \left[\theta_g + \frac{\chi_p(1) - \theta(1)r_a}{1 + \frac{\chi_p(1) - \theta(1)r_a}{\chi_p(g) - \theta_g r_d}} h_s(\theta_1) \frac{q(T_{S1})^{sat}}{q(T_g)^{sat}} \right] \quad (9)$$

661 q^{sat} is the specific humidity in the saturated condition, the subscripts g and 1 in above two
 662 equations refer to the ground surface and a thin layer next to the ground surface, respectively.
 663 θ is the volumetric water content of the soil, χ_p is the volumetric fraction of soil pores, h_s is
 664 the relative humidity in the pores, T_g is the temperature at the ground surface, r_d is the soil
 665 resistance to water vapour diffusion.

666 3.2.1 The heat equation and snow depth

667 The equation (Eq. 10) representing the energy balance in a soil volume subject to phase change
 668 in GEOTop is given below (Endrizzi et al., 2014);

$$\frac{\partial U^{ph}}{\partial t} + \nabla \cdot \mathbf{G} + S_{en} - \rho_w [L_f + c_w(T - T_{ref})] S_w = 0 \quad (10)$$

670 where U^{ph} is the volumetric internal energy of soil ($J m^{-3}$) subject to phase change, t (s) time,
 671 $\nabla \cdot$ the divergence operator, G the heat conduction flux ($W m^{-2}$), S_{en} is the energy sink term

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Deleted: The input meteorological data required for running the 1D GEOTop model include time series of precipitation, air temperature, relative humidity, wind speed, wind direction and solar radiation components and the description of the topography (slope angle, elevation, aspect angle, and sky view factor) for the simulation point. Also, the latitude and longitude of the study area have to be defined to allow the model to calculate the solar zenith angle, which is important for shadowing estimations.¶

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694 (W m^{-3}), S_w is the mass sink term (s^{-1}), L_f (J kg^{-1}) the latent heat of fusion, ρ_w the density of
 695 liquid water in soil (kg m^{-3}), c_w is the specific thermal capacity of water ($\text{J kg}^{-1} \text{K}^{-1}$), T ($^{\circ}\text{C}$)
 696 the soil temperature and T_{ref} ($^{\circ}\text{C}$) the reference temperature at which the internal energy is
 697 calculated. If G is written according to Fourier's law, the Eq. 10 becomes:

$$\frac{\partial U^{bh}}{\partial t} + \nabla \cdot (\lambda_T \nabla T) + S_{en} - \rho_w [L_f + c_w (T - T_{ref})] S_w = 0 \quad (11)$$

698
 699 where λ_T is the thermal conductivity ($\text{W m}^{-1} \text{K}^{-1}$). The λ_T being a non-linear function of
 700 temperature, because the proportion of liquid water and ice contents depends on temperature.
 701 For the calculation of λ_T , the GEOtop uses the method proposed by Cosenza et al. (2003). The
 702 detailed description of the heat conduction equation used in GEOtop can be found in Endrizzi
 703 et al. (2014).

704 The snow cover buffers the energy exchange between the soil and atmosphere and critically
 705 influences the soil thermal regime (Endrizzi et al., 2014). GEOtop includes a multi-layer,
 706 energy-based, Eulerian snow modelling approach. In GEOtop, the equations for snow
 707 modelling are similar to the ones used for the soil matrix (Endrizzi et al., 2014). The
 708 discretisation of snow in GEOtop is done to describe the thermal gradients which are finer near
 709 the surface (with the atmosphere) and at the bottom (with soil). In GEOtop, the effective
 710 thermal conductivity at the interface of snow and ground is calculated similarly as in between
 711 different soil layers using the method of Cosenza et al. (2003). In GEOtop, the fresh snow
 712 density is computed using the Jordan et al. (1999) formula, which is based on air temperature
 713 and wind speed. More details about the snow metamorphism compaction rates and the snow
 714 discretisation in GEOtop can be found in the appendix D2 and D3, respectively of (Endrizzi
 715 et al., 2014).

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720 **3.2.2 Model setup and forcing's**
 721 The 1D GEOTop simulation was carried out at South-Pullu (Figure 1). The soil column is 10 m
 722 deep and is discretised into 19 layers, with thickness increasing from the surface to the deeper
 723 layers. The top 8 layers close to the ground surface were resolved with thicknesses ranging
 724 from 0.1 to 1 m, because of the higher temperature and water pressure gradients near the surface
 725 (Endrizzi et al., 2014), while the lowest layer is 4.0 m thick.

726 The snowpack is discretised in 10 layers, which are finer at the top at the interface with the
 727 atmosphere and the bottom with the soil.

728 The model was initialised at a uniform soil temperature of -0.5 °C and spun up by repeatedly
 729 modelling the soil temperature down to 1 m (2 years*25 times), and then using the modelled
 730 soil temperatures as an initial condition to repeatedly simulate soil temperature down to 10 m

731 (2 years *25 times), (c.f., Fiddes et al., 2015; Gubler et al., 2013; Pogliotti, 2011). Preliminary
 732 tests show that the minimum number of repetitions required to bring the soil column to
 733 equilibrium was 25 (Figure S1). The values of all the input parameters used is given in
 734 Appendix (Table A1 to A4) in the supplementary material.

735 The input meteorological data required for running the 1D GEOTop model include time series
 736 of precipitation, air temperature, relative humidity, wind speed, wind direction and solar
 737 radiation components and the description of the site (slope angle, elevation, aspect angle, and
 738 sky view factor) for the simulation point. The model was run at an hourly time step
 739 corresponding to the measurement time step of the meteorological data.

740 3.3 Model performance evaluation

741 While the accuracy of simulated energy fluxes cannot be quantified, the quality of GEOTop
 742 simulations is evaluated based on proxy variables such as snow depth, GST and the LW_{out}.

743 These variables were chosen because they have not been used to drive the model, and they
 744 represent different physical processes affected by surface energy balance. For example, (a) the

Deleted: <#>Modelling of snow depth¶
 <#>The snow cover buffers the energy exchange between the soil and atmosphere and critically influences the soil thermal regime. In GEOTop, the equations for snow modelling are similar to the ones used for the soil matrix (Endrizzi et al., 2014). The snow processes are solved in a particular order such as (i) solving the heat equation for snow, (ii) metamorphism of the snowpack, (iii) water percolation in the snow and (iv) accumulation due to snow precipitation (Endrizzi et al., 2014). ¶

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Deleted: At the top and bottom regions of the snowpack, the vertical gradients are high because of the interactions with the atmosphere at top and the soil at the bottom (Endrizzi et al., 2014).

Deleted: . The soil column in the model is 10 m deep. The model is initialised by

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Deleted: and finally simulating soil temperatures down to 10 m depth. This initialisation technique has been successfully applied in earlier work

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Deleted: In this study, the data recorded by the AWS was used as model forcing, and the forcing data consist of hourly air temperature, wind speed and direction, and global incoming shortwave radiation. The ESOLIP estimated hourly precipitation was also used as forcing to the model.

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785 melt-out date of the snow depth is a good indicator showing how good the surface mass and
786 energy balance is simulated, and (b) the GST is the result of all the processes occurring at the
787 ground surface such as radiation, turbulence, latent and sensible heat fluxes (Gubler, 2013),
788 and (c) LW_{out} which is governed by the temperature and emissivity at the surface and the Eq.
789 3 is solved in terms of skin temperature. Therefore, the LW_{out} is used as a proxy for the
790 evaluation of SEB.

791 Model performance is evaluated based on the measured and the simulated time series (Gubler
792 et al., 2012). Typically, a variety of statistical measures are used to assess the model
793 performance because no single measure encloses all aspects of interest. In this study also, R^2
794 (Carslaw and Ropkins, 2012), mean bias difference (MBD) and the root mean square difference
795 (RMSD) (Badescu et al., 2012; Gubler et al., 2012; Gueymard, 2012), MB and RMSE (Gupta
796 et al., 1999), and NSE (Nash and Sutcliffe, 1970) were used (Eq. S1 to S6).

802 **4 Results**

803 **4.1 Model evaluation**

804 In this section, the capability of GEOTop to reproduce the proxy variables is evaluated. The
805 model was evaluated based on snow depth, one-year GST and the LW_{out} . In this study, the
806 simulation results are based on the standard model parameters obtained from the literature
807 (Table 2 and 3, Gubler et al., 2013) and were not improved by trial and error and the same
808 simulation results are used for model evaluation.

809 **4.1.1 Evaluation of snowpack**

810 Snow depth variations simulated by GEOTop are compared with observations from 1
811 September 2015 to 31 August 2017 (Figure 2). The model captures the peaks, start and melt-
812 out dates of the snowpack, as well as overall fluctuations ($R^2 = 0.98$, $RMSE = 59.5$ mm, $MB =$
813 16.7 mm, $NSE = 0.91$, $Instrument\ error = \pm 10$ mm) (Figure S2). The maximum standing snow
814 height (h) simulated by the GEOTop was 1219 mm in comparison to the 1020 mm measured in

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Deleted: a) radiation components, and (b) GST and the snow depth as described below.

Deleted: <#>Performance statistics for evaluation of radiation components¶
<#>For the evaluation of radiation components, we prefer the statistics mean bias difference (MBD) and the root mean square difference (RMSD) (Badescu et al., 2012; Gubler et al., 2012; Gueymard, 2012). These statistics indicate model prediction accuracy (Stow et al., 2003). The MBD (Eq. 12) is a simple and familiar measure that neglects the magnitude of the errors (i.e. positive errors can compensate for negative ones) (Gubler et al., 2012);¶

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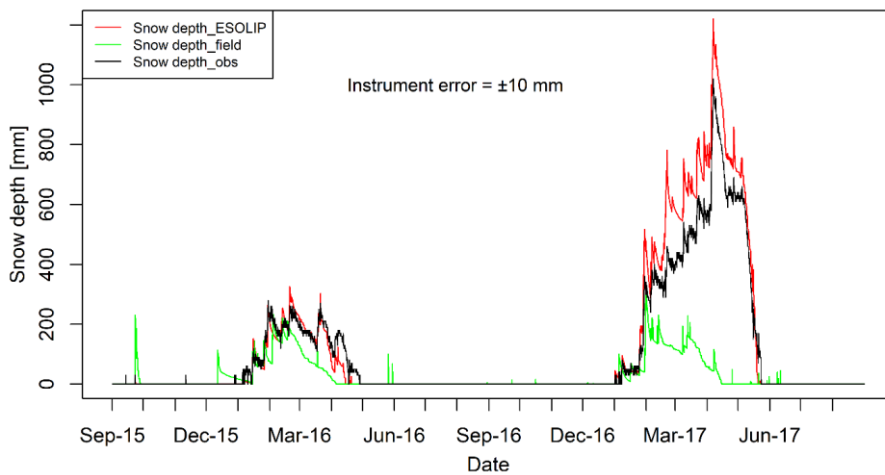
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834 the field. In the low snow year, the maximum simulated h was 326 mm in comparison to the
835 280 mm measured in the field. During the melting period of the low and high snow years, the
836 snow depth was slightly under-estimated. However, during the accumulation period of high
837 snow year (2016-17), the h was rather overestimated by the model.
838 Furthermore, the performance of the ESOLIP estimated precipitation was evaluated against a
839 controlled run with precipitation data measured in the field (Figure 2). ESOLIP is the superior
840 approach for precipitation estimation, where snow depth and necessary meteorological
841 measurements are available.

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842
843 Figure 2 Comparison of hourly observed and GEOtop simulated snow depth at South-Pullu
844 (4727 m a.s.l.) from 1 September 2015 to 31 August 2017. The black line denotes the snow
845 depth measured in the field by SR50 sensor. The red (Snow depth_ESOLIP) and green (Snow
846 depth_field) lines in the plot indicate the GEOtop simulated snow depth based on ESOLIP
847 estimated precipitation and precipitation measured in the field, respectively.

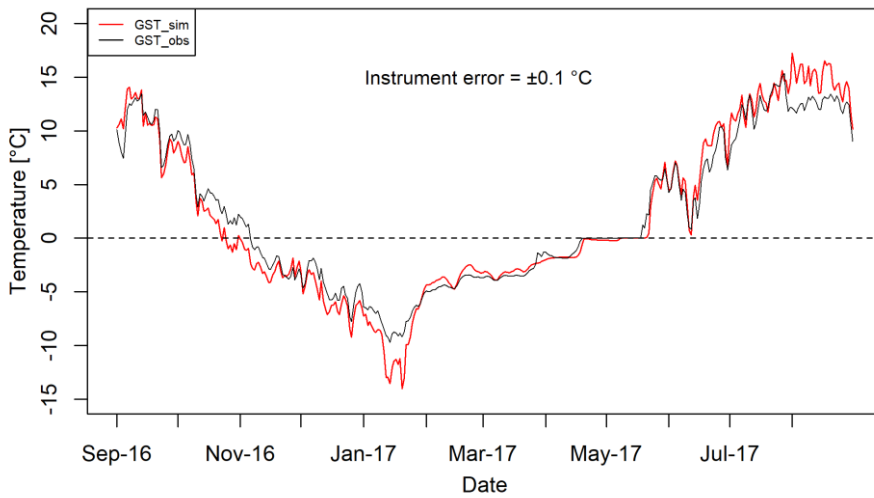
848 4.1.2 Evaluation of near-surface ground temperatures (GST)

849 GST is simulated (GST sim) on an hourly basis and compared with the observed values
850 (GST_obs) near the AWS, available from 1 September 2016 to 31 August 2017 (Figure 3). The

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851 results show a reasonably good linear agreement between the simulated and observed GSTs
 852 (Figure S3, $R^2 = 0.97$, MB = -0.11 °C, RMSE = 1.63 °C, NSE = 0.95, Instrument error = ± 0.1
 853 °C). The model estimated the dampening of soil temperature fluctuations by the snowpack and
 854 the zero-curtain period at the end of melt-out of the snowpack reasonably well.

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855
 856 Figure 3 Comparison of daily mean observed (GST_obs, °C) and GEOTop simulated near-
 857 surface ground temperature (GST_sim, °C) at South-Pullu (4727 m a.s.l.) from 1 September
 858 2016 to 31 August 2017.

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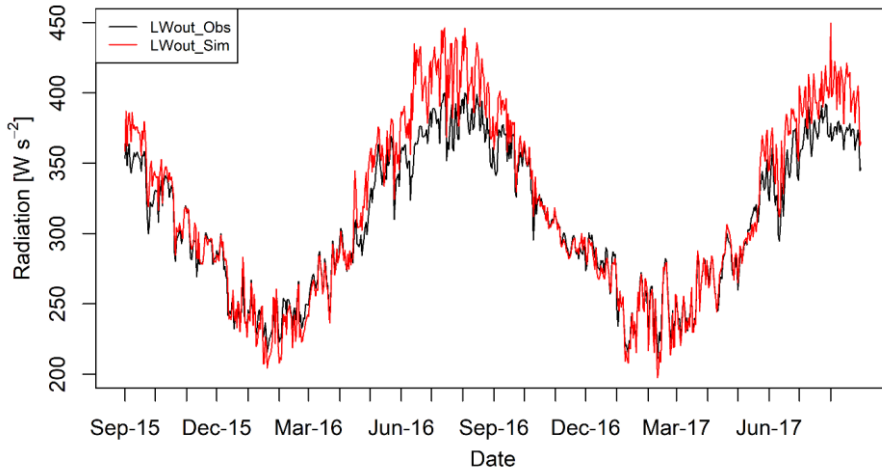
859 **4.1.3 Evaluation of outgoing longwave radiation**

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860 Modelled LW_{out} is evaluated with the observed measurements and a comparison of daily mean
 861 observed, and simulated LW_{out} is shown in Figure 4. The daily mean LW_{out} matches very well
 862 with the observed data, except during summer months when the simulated LW_{out} was slightly
 863 overestimated than the observed values. The hourly LW_{out} shows a good linear relationship
 864 (Figure S4, $R^2 = 0.93$, NSE = 0.73) but the GEOTop slightly overestimates the LW_{out} (MBD =
 865 3 %) with RMSD value of 10 % (Instrument error = $\pm 10\%$).

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868 Based on the evaluation of LW_{out} , the GEOtop can simulate the surface temperature at the point
 869 scale; therefore, we believe that it can reasonably calculate the SEB components.



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870
 871 Figure 4 Comparison of daily mean observed outgoing longwave radiation (LW_{out_obs}) and
 872 GEOtop simulated (LW_{out_sim}) at South-Pullu (4727 m a.s.l.) from 1 September 2015 to 31
 873 August 2017. The instrument error for the Kipp and Zonen (CGR3) (4500 to 42000nm)
 874 radiometer is $\pm 10\%$.

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875 **4.2 Meteorological characteristics**

876 The range of the meteorological variables measured at South-Pullu (4727 m a.s.l.) study site is
 877 given in Table 2 to provide an overview of the prevailing weather in the study region. The daily
 878 mean air temperature (T_a) throughout the study period varies between -19.5 to 13.1 °C with a
 879 mean annual average temperature (MAAT) of -2.5 °C (Figure 5A). The T_a shows significant
 880 seasonal variations and instantaneous hourly temperature at the study site range between -23.7
 881 °C in January and 18.1 °C in July. During the two-year study period, sub-zero mean monthly
 882 temperature prevailed for seven months from October to April in both the years (2015-16 and
 883 2016-17). The monthly mean T_a during pre-winter months (September to December) of 2015-
 884 16 and 2016-17 was -4.6 and -2.7 °C respectively. During the core winter months (January to

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890 February) of 2015-16 and 2016-17, the respective monthly mean T_a was -13.1 and -13.7 °C,
891 for post-winter months (March and April), mean monthly T_a was -5.8 and -8 °C, respectively.
892 For summer months (May to August), the respective monthly mean T_a was 6.6 and 5.5 °C. A
893 sudden change in the mean monthly T_a characterises the onset of a new season, and the most
894 evident inter-season change was found between the winter and summer with a difference of
895 about 16 °C during both the years.

896 The mean daily GST recorded by the logger near the AWS available for one year (1 September
897 2016 to 31 August 2017) is also plotted along with air temperature (Figure 5A). The mean daily
898 GST ranges from -9.7 to 15.4 °C with mean annual GST of 2.1 °C. The instantaneous hourly
899 GST at the study site range between -10.7 °C in December and 20.2 °C in July. The GST
900 followed the pattern of air temperature, but during winter, the snow cover dampened the
901 pattern. The GST was higher than the T_a except for a short period during snowmelt. The snow
902 depth shown in Figure 5A is described in sub-section 4.3.

903 Mean relative humidity (RH) was equal to 43% during the study period (Figure 5B). The daily
904 average wind speed (u) ranges between 0.6 (29 January 2017) to 7.1 m s⁻¹ (6 April 2017) with
905 a mean wind speed of 3.1 m s⁻¹ (Figure 5C). The instantaneous hourly u was plotted as a
906 function of wind direction (WD) (Figure 55) for the study period which shows that there is a
907 persistent dominance of katabatic and anabatic winds at the study site, which is typical of a
908 mountain environment. The average WD during the study period was southeast (148°) (Figure
909 5D).

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Deleted: The instantaneous hourly values of RH at the study site range between 3% (1 October 2016) and 100% (22 September 2015). The daily mean RH greater than 50% and 80% was recorded on 224 and 18 days respectively. The average RH during the pre-winter months (September to December) of the 2015-16 year was greater (39%) in comparison to RH (28%) recorded during pre-winter months of the 2016-17 year. However, during core winter months (January to February) of the 2015-16 year was smaller (42%) in comparison to the RH (53%) recorded during core winter months of the 2016-17 year. The average RH during the summer months (May to August) of the 2015-16 year was smaller (45%) in comparison to RH (51%) recorded during summer months of the 2016-17 year. Furthermore, for the low snow year (2015-16), the annual mean RH was 42.7%, and for the high snow year (2016-17) the annual mean RH was 44%. ¶

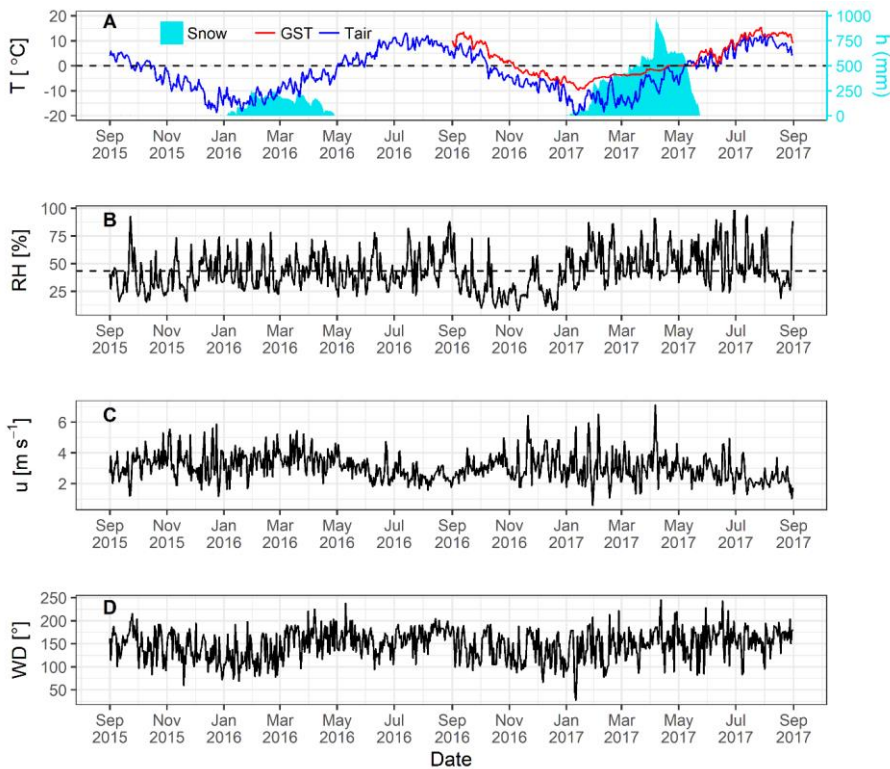
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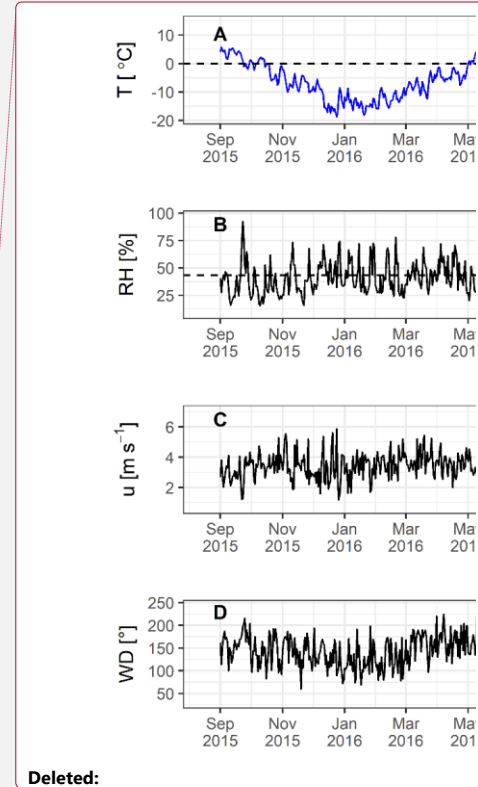
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944 Figure 5 Daily mean values of observed (A) air temperature (blue) and one-year GST (red) (T_a ,
 945 °C), snow depth (mm) on the secondary axis; (B) relative humidity (RH_a , %) with a dashed line
 946 as mean RH; (C) wind speed (u_a , $m s^{-1}$); and (D) wind direction (WD_a , °); at South-Pullu (4727
 947 m a.s.l.) in the upper Ganglass catchment, Leh from 1 September 2015 to 31 August 2017.

948 The daily measured total precipitation at the study site equals 97.8 and 153.4 mm w.e. during
 949 the years 2015–16 and 2016–17 respectively. After adding 23% under catch (Thayyen et al.,
 950 2015) [unpublished work] to the total snow measurements, the total precipitation amount equal
 951 to 120.3 and 190.6 mm w.e. for the years 2015–16 and 2016–17 respectively. During the study
 952 period, the observed highest single-day precipitation was 20 mm w.e. recorded on 23
 953 September 2015 and the total number of precipitation days were limited to 63. The snowfall



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957 occurs mostly during the winter period (December to March) with some years witnessing
958 extended intermittent snowfall till mid-June, as experienced in this study during the year 2016-
959 17.

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960 The precipitation estimated by the ESOLIP approach at the study site equals 92.2 and 292.5
961 mm w.e. during the years 2015–16 and 2016–17 respectively. The comparison between
962 observed precipitation (mm w.e.) and the one estimated by the ESOLIP approach is given in
963 (Table *S1*). In Table *S1*, the difference between the observed precipitation (mm w.e.) and the
964 one estimated by the ESOLIP approach is mainly due to the under-catch of winter snow
965 recorded by the Ordinary Rain Gauge.

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966 4.3 Observed radiation components and snow depth

967 The observed daily mean variability of different components of radiation, albedo and snow
968 depth from 1 September 2015 to 31 August 2017 at South-Pullu (4727 m a.s.l.) is shown in
969 Figure 6. Daily mean SW_{in} varies between 24 and 378 $W m^{-2}$ (Table 2). Highest hourly
970 instantaneous short wave radiation recorded during the study period was 1358 $W m^{-2}$. Such
971 high values of SW_{in} are typical of a high elevation arid-catchment (e.g., MacDonell et al.,
972 2013). Persistent snow cover during the peak winter period for both the years extending from
973 January to March resulted in a strong reflection of SW_{in} radiation (Figure 6A). During most of
974 the non-snow period, mean daily SW_{out} radiation (Figure 6A) remain more or less stable below
975 100 $W m^{-2}$. Daily mean SW_{out} varies between 2.4 and 262.6 $W m^{-2}$ with a mean value of 83.3
976 $W m^{-2}$ (Table 2). The daily mean LW_{in} shows high variations and ranges between 109 and 345
977 $W m^{-2}$ with an average of 220 $W m^{-2}$ (Figure 3B, Table 2). Whereas LW_{out} was relatively stable
978 and varied between 211 and 400 $W m^{-2}$ with an average of 308 $W m^{-2}$ (Figure 6B, Table 2).
979 The LW_{out} shows higher daily fluctuations during the summer months as compared to the core
980 winter months. The daily mean SW_n during the study period ranges between 2.5 and 319 $W m$
981 $^{-2}$ with a mean value of 127 $W m^{-2}$. The SW_n follows the pattern of SW_{in} and for both the years.

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988 ~~during the wintertime, the SW_n was close to zero due to the high reflectivity of snow~~ (Figure
 989 3C). The daily mean LW_n varies between -163 and 17 $W\ m^{-2}$. The LW_n does not show any
 990 seasonality and remain more or less constant with a mean value of -88 $W\ m^{-2}$ (Figure ~~6C~~). The
 991 mean daily observed R_n ranges from -80.5 to 227.1 $W\ m^{-2}$ with a mean of 39.4 $W\ m^{-2}$ (Table
 992 2). During both the years 2015–16 and 2016–17, the R_n was high in summer and autumn but
 993 low in winter and spring. ~~From January to early April (2015–16) and January to early May~~
 994 ~~(2016–17), when the surface was covered with seasonal snow,~~ the R_n rapidly declined to low
 995 values, or even became negative (Figure ~~6D~~). Albedo (α) is calculated as the ratio of ~~daily~~
 996 ~~mean~~ SW_{out} to ~~daily mean~~ SW_{in} . ~~The α is~~ of particular importance in the SEB and in the Earth's
 997 radiation balance that dictates the rate of heating of the land surface under different
 998 environmental conditions (Strugnell and Lucht, 2001). The daily mean observed α at the study
 999 site ranges from 0.~~04~~ to 0.~~95~~, with a ~~daily~~ mean value of 0.~~43~~ (Table 2). ~~However, the value of~~
 1000 ~~broadband albedo is not greater than 0.85~~ (Roesch et al., 2002), ~~and the maximum value (0.95)~~
 1001 ~~recorded at the study site might be due to the instrumental error.~~ The daily mean α was low in
 1002 summer and high in winter and increased significantly when the ground surface was covered
 1003 with snow (Figure ~~6E~~).
 1004 Both the years (2015–16 and 2016–17) experienced contrasting snow cover characteristics
 1005 during the study period (Figure ~~6F~~). The year 2015-16 experienced low snow as compared to
 1006 2016-17. During the 2015-16 year, the snowpack had a maximum depth of 258 mm on 30
 1007 January 2016, whereas, during the 2016-17 year, the maximum was 991 mm on 07 April 2017.
 1008 The snow cover duration was 120 days during low snow year (2015-16) and 142 days during
 1009 the high snow year (2016–17). The site became snow-free on 27 April in 2016 and on 23 May
 1010 in 2017. Higher elevations of the catchment become snow-free around 15 July in 2016 while
 1011 the snow cover at glacier elevations persisted till 22 August in 2017. For both the year's snow

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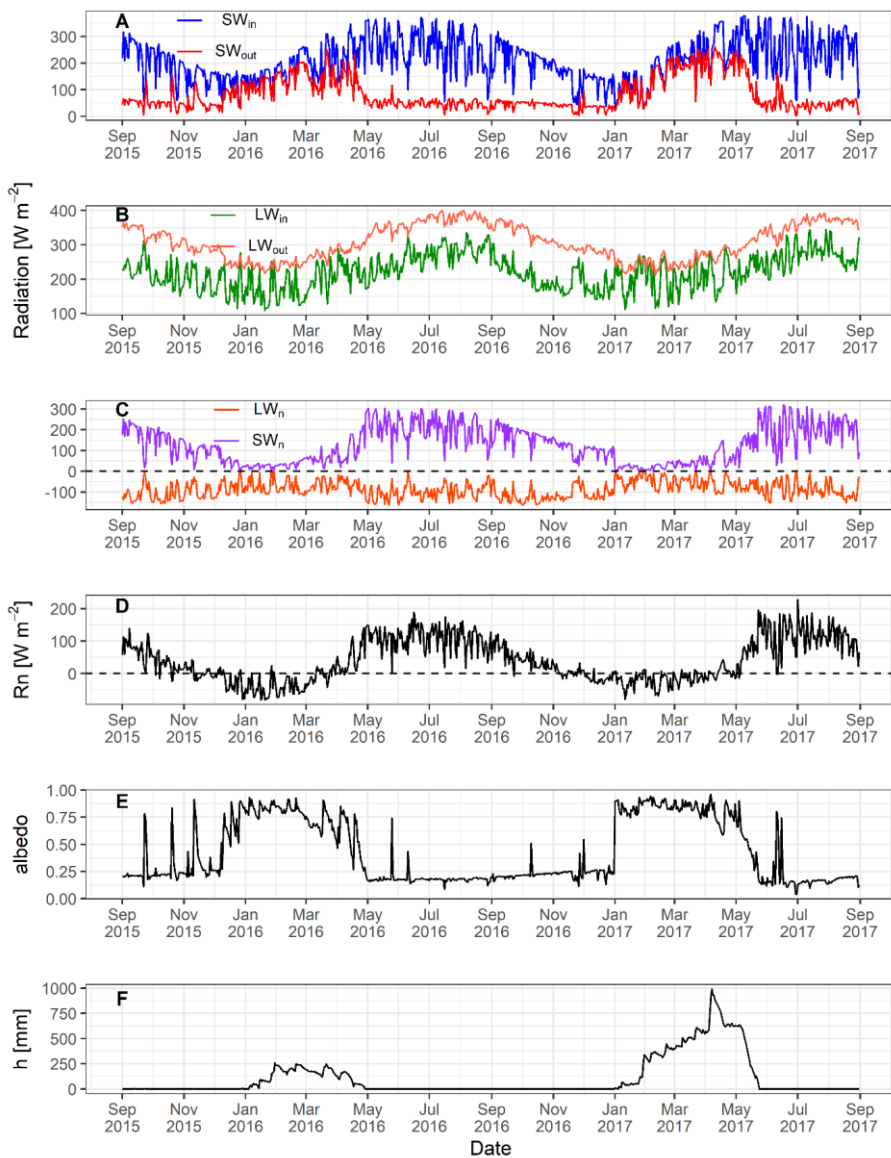
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1028 cover at lower elevations initiated by the end of December and the catchment experienced sub-
 1029 zero mean monthly temperatures since October.
 1030 Table 2 Two year range of observed daily mean radiation components (SW_{in} , SW_{out} , LW_{in} and
 1031 LW_{out} , SW_n , LW_n), surface albedo (α), net shortwave and longwave radiation (SW_n and LW_n),
 1032 air temperature (T_a), wind speed (u), relative humidity (RH), precipitation (P), and snow depth
 1033 (h) for the study period (1 September 2015 to 31 August 2017) at South-Pullu (4727 m a.s.l.).

Variable	Units	Min.	Max.	Mean
SW_{in}	$W m^{-2}$	24.1	377.8	210.4
SW_{out}	$W m^{-2}$	(-2.4)	(-262.6)	(-83.4)
α	-	0.04	0.95	0.43
LW_{in}	$W m^{-2}$	109.0	344.7	220.4
LW_{out}	$W m^{-2}$	(-211.3)	(-400.0)	(-308.0)
SW_n	$W m^{-2}$	2.5	318.7	127.0
LW_n	$W m^{-2}$	-163	17.1	-87.6
T_a	$^{\circ}C$	-19.5	13.1	-2.5
u	$m s^{-1}$	0.6	7.1	3.1
RH	%	8	98	43.3
P	mm w.e.	0	24.6	3
h	mm	0	991	3

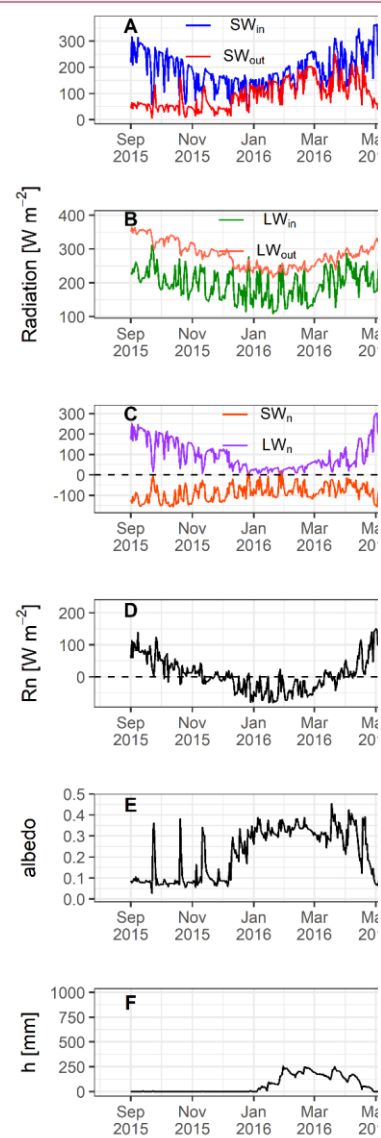
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1073 Figure 6 Observed daily mean values of (A) incoming (SW_{in}) and outgoing (SW_{out}) shortwave
 1074 radiation, (B) incoming (LW_{in}) and outgoing longwave (LW_{out}) radiation, (C) net shortwave
 1075 (SW_n) and longwave radiation (LW_n), and (D) net radiation (R_n), (E) surface albedo and (F)
 1076 snow depth (h , mm) at South-Pullu (4727 m a.s.l.) from 1 September 2015 to 31 August 2017.



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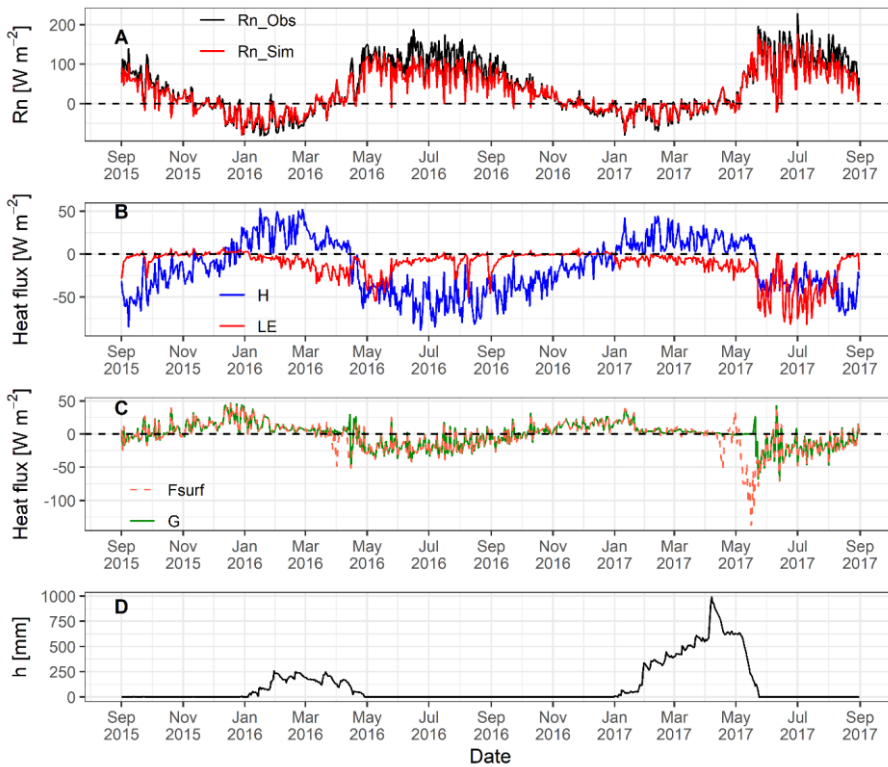
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1079 **4.4 Modelled surface energy balance**

1080 The mean daily variability of modelled surface energy balance (SEB) components is shown in
1081 Figure 7. The average daily simulated R_n ranges between -78.9 to 175.6 $W m^{-2}$ with a mean
1082 value of 29.7 $W m^{-2}$. The R_n shows the seasonal variability and decreases as the ground surface
1083 gets covered by seasonal snow cover during wintertime, and increases as the ground surface
1084 become snow-free (Figure 7A). From December to March of both the years (2015-16 and 2016-
1085 17), R_n decreases and is negative during snow accumulation and remains close to zero during
1086 the melting time. For the rest of the time, R_n remains positive. The simulated R_n matches the
1087 observed R_n (Figure 7A), which shows that the LW_{put} was estimated very well by the model.
1088 The daily mean H ranges between -88.6 to 53 $W m^{-2}$ with a mean value of -15.6 $W m^{-2}$. The H
1089 is positive from January to April (2015-16) and January to June (2016-17) due to the presence
1090 of seasonal snow cover (Figure 7B). Rest of the period H remain negative and larger (~ 35 W
1091 m^{-2}) for most of the time. The seasonal variation in H points to a broader temperature gradient
1092 in summer than in winter. The daily mean LE ranges between -81.4 to 7.6 $W m^{-2}$ with a mean
1093 value of -11.2 $W m^{-2}$. During the snow-free freezing period (October to December) of both the
1094 years, the LE increases (from negative to zero) due to the freezing of moisture content in the
1095 soil and also fluctuates close to zero. Furthermore, when the seasonal snow is on the ground,
1096 the LE is negative, indicating sublimation and keeps increasing (more negative) after snowmelt
1097 indicating evaporation is taking place.
1098 The heat conduction into the ground G remains relatively a smaller component in the SEB
1099 (Figure 7C). The mean daily G ranges between -70.9 to 46.3 $W m^{-2}$ with a mean value of -0.5
1100 $W m^{-2}$. The sign of the G , which shifted from negative during summer to positive during winter,
1101 is a function of the annual energy cycle. The heat flux available at the surface for melting (F_{surf})
1102 ranges between -137 to 46.3 $W m^{-2}$ with a mean value of -2.8 $W m^{-2}$ (Table 3). During the
1103 summer, when snow melting conditions were prevailing, the F_{surf} turns negative as a result of

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1143 energy available for melt (Figure 7C). The positive F_{surf} during summertime (when melting
 1144 conditions are prevailing at the surface) is the energy used to refreeze the meltwater and
 1145 represents the freezing heat flux.



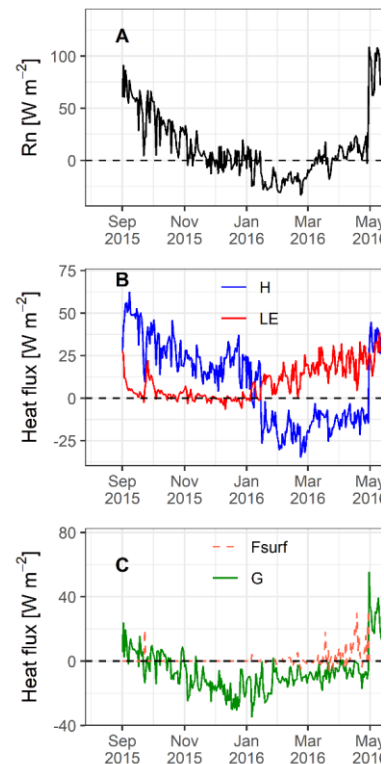
1146
 1147 Figure 7 GEOtop simulated daily mean values of surface energy balance components (A)
 1148 observed and simulated net radiation (R_n), (B) sensible (H) and latent (LE) heat flux, (C)
 1149 ground heat flux (G) and surface heat flux (F_{surf}) and (D) snow depth (h) at South-Pullu (4727
 1150 m a.s.l.) from 1 September 2015 to 31 August 2017.

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1158 Table 3 Mean daily range of GEOTop simulated SEB ($W m^{-2}$) components for the study period
 1159 (1 September 2015 to 31 August 2017) at South-Pullu (4727 m a.s.l.).

Variable	Min.	Max.	Mean
R_n	-78.9	175.6	29.7
H	-88.6	53.0	-15.6
LE	-81.4	7.6	-11.2
G	-70.9	46.3	-0.5
F_{surf}	-137.0	46.3	-2.8

1160
 1161 The average season diurnal variation of modelled SEB components (R_n , LE, H and G) for the
 1162 2015–16 and 2016–17 years are shown in Supplementary Figures S6 and S7, respectively. The
 1163 seasons chosen were pre-winter (Sep to Dec), winter (Jan to Apr), post-winter (May-Jun), and
 1164 summer (Jul to Aug).
 1165 In the 2015–16 year (Figure S6), the amplitude of R_n and the G during pre-winter, post-winter
 1166 and summer season were the largest and smallest in winter. The G peaks earlier than those of
 1167 the LE and H during the pre-winter, post-winter and summer season. The LE and H show strong
 1168 seasonal characteristics such as (a) during the pre-winter season, the magnitude of diurnal
 1169 variation of H was greater than LE depicting lesser soil moisture content because of freezing
 1170 conditions at that time, (b) during the winter season, the amplitude of LE was slightly greater
 1171 (sublimation process) than H, (c) during the post-winter, the amplitude of H was greater than
 1172 LE and, (d) during the summer season, again the amplitude of H was greater than LE, which is
 1173 similar to that of the pattern seen during the pre-winter season. In the 2015-16 year, the
 1174 amplitude of LE in comparison to H was smaller in summer season due to the lesser
 1175 precipitation and lesser moisture availability. The R_n and G increased rapidly after the sunrise
 1176 and changed the direction during pre-winter, post-winter and summer seasons. After sunset,
 1177 the R_n and G again change sign rapidly, but the LE and H gradually decreased to lower values.

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- Deleted: Clear sky days like 14 December 2015 (pre-winter), 18 February 2016 (winter), 30 April 2016 (post-winter), and 31 August 2016 (summer) were selected for the 2015–16 year (Figure S3). Similarly, for the 2016–17 year, the following representative days were selected: 14 December 2016, 23 February 2017, 28 April 2017, and 17 August 2017 (Figure S4). During the representative days of both the years, the major difference was the changing amplitude of the energy fluxes.
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1218 The LE and H in the morning increased 1 to 2 hours after the R_n during pre-, post-winter and
1219 summer season.

1220 In the 2016–17 year (Figure S7), the pre-winter, winter and summer were the same as that of
1221 the 2015–16 year except for the amplitude of LE in was larger in summer season due to the
1222 more precipitation and more moisture availability. However, during the winter and post-winter
1223 season of the 2016–17 year, the main difference in diurnal changes was found because of the
1224 extended snow cover till May during that year. The amplitude of R_n , LE, H and G were smaller
1225 compared to the 2015-16 year.

1226 During the study period, the proportional contribution shows that the net radiation component
1227 dominates (80%) the SEB followed by H (9%) and LE fluxes (5%). The G was limited to 5%
1228 of the total flux, and 1% was used for melting the seasonal snow. The proportional contribution
1229 of each flux percentages of the energy fluxes was calculated by following the approach of
1230 Zhang et al. (2013). The mean monthly modelled SEB components for both the years are given
1231 in Table S2.

1232 Furthermore, during the study period, the partitioning of energy balance shows that 52% (-15.6
1233 $W m^{-2}$) of R_n (29.7 $W m^{-2}$) was converted into H, 38% (-11.2 $W m^{-2}$) into LE, 1% (-0.5 $W m$
1234 $^{-2}$) into G and 9% (-2.8 $W m^{-2}$) for melting of seasonal snow. The partitioning was calculated
1235 by taking the mean annual average of each of the individual SEB components (LE, H and G)
1236 and then divide these respective averages with the mean annual average of R_n . However, a
1237 distinct variation of energy flux is observed during the month of May-June, when one of the
1238 years (2016-17) experienced extended snow.

1239 4.5 Comparison of seasonal distinction of SEB during low and high snow years

1240 A seasonal distinction of observed radiation (SW_{in} , LW_{in} , SW_{out} , LW_{out} , SW_n , LW_n) and
1241 modelled SEB components (R_n , LE, H, G and F_{surf}) for the low and high snow years of the

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1252 study period is analysed (Table 4). The seasons were defined as winter (Sep-April) and summer
 1253 (May-Aug) (Table 4). These seasons were further divided into two sub-seasons each such as
 1254 early winter (Sep, Oct, Nov and Dec) and peak winter with snow (Jan, Feb, Mar and Apr).
 1255 Similarly, the summer season was divided into two sub-seasons called early summer (May and
 1256 June; some years with extended snow) and peak summer (July and August).

1257 Table 4: Mean seasonal values of observed radiation and modelled surface energy balance
 1258 components.

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SEB Components [W m ⁻²]	2015-16				2016-17			
	Winter (Sep to Apr)		Summer (May to Aug)		Winter (Sep to Apr)		Summer (May to Aug)	
	Sep to Dec (Non-Snow)	Jan to Apr (Snow)	May to Jun (Non-Snow)	Jul-Aug (Peak Summer)	Sep to Dec (Non-Snow)	Jan to Apr (Snow)	May to Jun (Extended Snow)	Jul-Aug (Peak Summer)
SW_{in}	177.7	196.0	271.3	245.8	179.2	192.1	262.9	245.8
LW_{in}	203.0	190.5	244.5	286.5	198.0	202.5	245.9	286.5
SW_{out}	57.5	135.4	49.9	44.3	41.0	156.4	86.7	44.3
LW_{out}	310.3	259.5	379.1	412.4	317.9	251.9	337.9	412.4
SW_n	120.2	60.5	221.4	201.5	138.3	35.7	176.2	201.5
LW_n	-107.2	-69.0	-134.5	-125.9	-119.9	-49.4	-92.0	-125.9
R_n	12.9	-8.5	86.9	75.6	18.4	-13.7	84.2	75.6
L_E	-1.2	-11.5	-18.9	-7.5	-1.1	-7.7	-33.1	-7.5
H	-21.7	15.7	-47.6	-54.0	-24.3	16.1	-15.9	-54.0
G	10.0	6.8	-20.3	-14.1	7.0	6.2	-14.6	-14.1
F_{surf}	0.1	2.5	0.0	0.1	0.0	0.9	20.6	0.1

1259

1260 The mean seasonal variability of energy fluxes during these four major seasons is shown in
 1261 Table 4. The mean seasonal SW_{in} were comparable for all the seasons, whereas, SW_{out} was
 1262 significantly higher (86.7 W m⁻²) during early summer season of 2016-17 period on account
 1263 of extended snow cover as compared to the preceding low snow year (49.9 W m⁻²). Similarly,
 1264 LW_{in} show comparable seasonal values during the observation period and LW_{out} show a major
 1265 difference during the early summer season with extended snow in 2016-17 reducing LW_{out}
 1266 (337.9 W m⁻²) as compared to corresponding period in 2015-16 (379.1 W m⁻²).
 1267 Both the years observed comparable SW_n during the early winter period. However, during the
 1268 peak snow season of the 2016-17 year, the SW_n was comparatively smaller (35.7 W m⁻²) as

1309 compared to 2015-16 (60.5 W m^{-2}). Similarly, comparable SW_n during the peak summer
1310 season of both the years is contrasted by lower SW_n (176.2 W m^{-2}) of early summer period of
1311 2017 as compared to 221.4 W m^{-2} in 2016 on account of extended snow cover. The same trend
1312 is recorded for LW_n as well with a lower value during the extended snow (-92 W m^{-2}) in 2017,
1313 as compared to 2016 (-134.5 W m^{-2}). Seasonal variations in R_n followed the pattern of SW_n .
1314 Both the year's observed comparable R_n during the early snow-free winter period. However,
1315 the R_n was comparatively lower (-13.7 W m^{-2}) during the peak snow season of 2016-17 as
1316 compared to 2015-16 (-8.5 W m^{-2}). However, most significant difference of R_n is observed
1317 during early summer (May-June) and peak summer (Jul-Aug) of 2016 and 2017, respectively.
1318 Both the years observed comparable LE flux during the winter season. A key difference in LE
1319 flux is observed during extended snow and peak summer sub-season of 2016 and 2017. In the
1320 peak summer sub-season of 2016-17, the LE was higher (-31.5 W m^{-2}) as compared to the
1321 2015-16 (-7.5 W m^{-2}). The reason behind this is due to the lesser amount of soil water content
1322 availability for evaporation during 2015-16 in comparison to high snow year 2016-17. The
1323 comparatively larger LE during the snow sub-season of both the years shows that sublimation
1324 is a key factor in the region. The H flux was comparable during the winter season of both the
1325 years. During the peak summer sub-season of the 2015-16 year, the H was slightly larger (-54
1326 W m^{-2}) as compared to 2016-17 (-40 W m^{-2}). The critical difference in H flux was observed
1327 during the extended snow sub-season of the 2016-17 year when H was much smaller (-15.9 W
1328 m^{-2}) compared to 2015-16 (-47.6 W m^{-2}) owing to the extended snow cover during the 2016-
1329 17 year.

1330 During the winter season of both the years, the G was positive and changed the sign to negative
1331 during the summer season. Overall, G is comparatively a smaller component. The mean
1332 seasonal F_{surf} was almost equal to zero during all the seasons except during the snow sub-season
1333 of both the years and extended snow sub-season of the 2016-17 year. The F_{surf} (heat flux

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- Deleted: ²... Similarly, comparable SW_n was observed during the peak summer sub-season of both the years is contrasted by . Key difference is observed during early summer season (May-June) of 2016 and 2017. 2015-16 was a low snow year with early snow melt out and 2016-17 was a high snow year with extended snow cover and late snow melt out. The... lower SW_n (176.2 W m^{-2}) of early summer period of 2017 as compared to 221.4 W m^{-2} in 2016 on account of extended snow cover. was correspondingly smaller ($109.6176.2 \text{ W m}^{-2}$) during the 2017 as compared to 2016.... The LW_n shows less seasonal variability. Both the years observed comparable LW_n during the winter season. However, the ...he same trend is recorded for LW_n as well with a was comparatively smaller
- Deleted: 61.7
- Deleted: and peak summer ($-91.6122.3 \text{ W m}^{-2}$) sub-season of
- Deleted: the
- Deleted: 2016-17...as compared to the May-June
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- Deleted: and peak summer ($-1025.96 \text{ W m}^{-2}$) sub-seasons of 2015-16. The
- Deleted: (given by the sum of SW_n and LW_n) ...followed the pattern of SW_n . Both the year's observed comparable R_n during the early snow
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- Deleted: the ...016-17 as compared to 2015-16 ($-4...9 \text{ W m}^{-2}$). However, most significant Key
- Deleted: The critical
- Deleted: The R_n of early summer period was correspondingly larger (80.1 W m^{-2}) during the 2016 as compared to 2017 (47.9). However, an opposite pattern was observed during the peak summer sub-season.
- Deleted: The LE flux shows seasonal variability for both the years.
- Deleted: and May-June sub-season... Key ... key difference in LE flux is observed during extended snow and peak summer sub-season (Jul-Aug) ...f 2016 and 2017. In the peak summer sub-season of 2016-17, the LE was higher ($-39.31.5 \text{ W m}^{-2}$) as compared to the 2015-16 ($11 \dots 7.5 \text{ W m}^{-2}$). The reason behind this is due to the lesser amount of soil water content availability for evaporation during the
- Deleted: taking place... key factor in the region. The H flux also shows the seasonal variability.
- Deleted: summer ...eak summer sub-season of the 2015-16 year, the H was slightly larger ($4.1...54 \text{ W m}^{-2}$) as compared to 2016-17 ($26.8...40 \text{ W m}^{-2}$). The key ...ritical difference in H flux was observed during the extended snow sub-season of the 2016-17 year,...when H was much smaller ($-3.4...5.9 \text{ W m}^{-2}$) compared to 2015-16 (36.1)
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1453 available for melt) was much higher (20.6 W m^{-2}) during the extended snow sub-season of the
 1454 2016-17 year. From the inter-year seasonal comparison, it was found that the extended snow
 1455 sub-season of the 2016-17 (high snow year) forced, significant differences in energy fluxes
 1456 between the years.

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1457 5 Discussion

1458 5.1 A distinction of SEB variations during low and high snow years

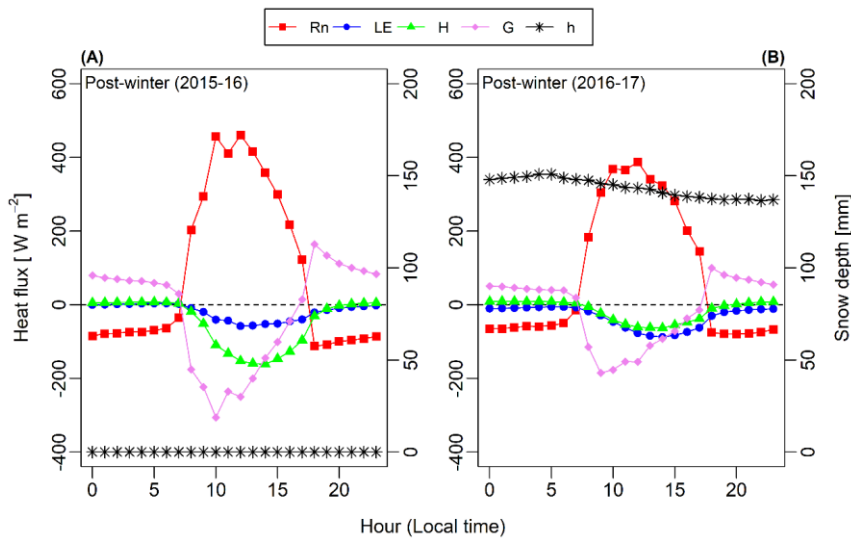
1459 Realistic reproduction of seasonal and inter-annual variations in snow depth during the low
 1460 (2015–16) and high snow (2016–17) years points towards the credible simulation of the SEB
 1461 during the study period. We further investigated the response of SEB components during these
 1462 years with contrasting snow cover for a better understanding of the critical periods of
 1463 meteorological forcing and its characteristics.

Deleted: <#>Model evaluation¶
 <#>In this section, the capability of the 1D GEOTop model to reproduce the point-scale SEB is evaluated. The model was evaluated based on observed radiation components, snow depth and one-year GST. In this study, the simulation results are based on the standard model parameters obtained from the literature (Table 2 and 3, Gubler et al., 2013) and were not improved by trial and error and the same simulation results are used for model evaluation.¶
 <#>Evaluation of radiation components¶
 <#>The first step in our model evaluation was to test the radiation components estimated by the model. The comparison of two-year hourly simulated radiations components SW_{in} , SW_{out} , LW_{in} and LW_{out} against the field observation are shown in Figure 5. The observed and GEOTop estimated SW_{in} shows a strong linear relationship ($R^2 = 0.95$) and was slightly underestimated (MBD = -5 %) with a high RMSD value of 37 % (Figure 5A). The GEOTop simulated SW_{in} fulfils the criteria of $-5\% \leq MBD \leq 5\%$ set by Badescu et al. (2012) for estimation of global SW_{in} for the Iqbal (1983) model, but the criteria of $RMSD \leq 15\%$ is not fulfilled. The SW_{out} also shows good linear relationship ($R^2 = 0.84$) but it is slightly underestimated (MBD = -1 %) with high RMSD value of 76 % (Figure 5B). The LW_{in} does not show a good linear relationship ($R^2 = 0.67$) and was slightly overestimated (MBD = 3 %) with RMSD value of 15 % (Figure 5C). The LW_{out} shows a good linear relationship ($R^2 = 0.91$) but the GEOTop slightly overestimates the LW_{out} (MBD = 2 %) with RMSD value of 8 % (Figure 5D).¶

1464 To understand the critical periods of meteorological forcing and its effect on modelled SEB
 1465 fluxes, we will discuss the diurnal variation of modelled SEB only for one season, i.e., early
 1466 summer season, which showed, significant differences in the amplitude of energy fluxes
 1467 (Figure 8). During the early winter, peak winter and peak summer seasons (Figure S6, S7), the
 1468 diurnal variations of the SEB fluxes for the 2015-16 year were more or less similar in
 1469 comparison to, the 2016-17 year. However, during the early summer season of both the years
 1470 (Figure 8), the SEB fluxes show different diurnal characteristics. During early summer season
 1471 of the 2016–17 year, the main difference in diurnal changes was found because of the extended
 1472 snow cover till May during that year. For the 2016–17 year, the amplitude of R_n was slightly
 1473 larger, whereas, all other components (LE, H and G) were of almost zero amplitude (Figure
 1474 8B). The smaller amplitude of LE, H and G is due to the smaller input (solar radiation) and the
 1475 extended seasonal snow on the ground. Therefore, we can say that the different SEB
 1476 characteristics during these two years' is in response to the forcing of precipitation via snowfall.

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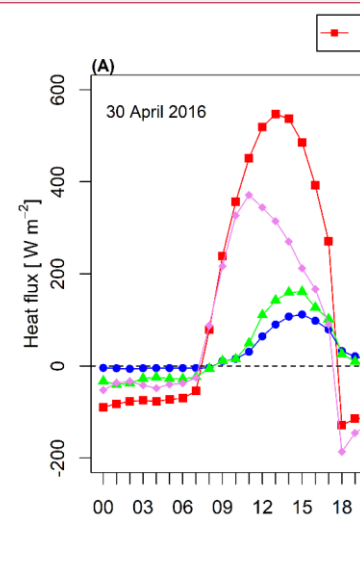


1563
 1564 Figure 8 The diurnal change of GEOtop modelled seasonal surface energy fluxes for (A) early
 1565 summer 2015-16, and (B) early summer 2016-17, at South-Pullu (4727 m a.s.l.), in the upper
 1566 Ganglass catchment, Leh. The seasonal snow depth is plotted on the secondary axis.

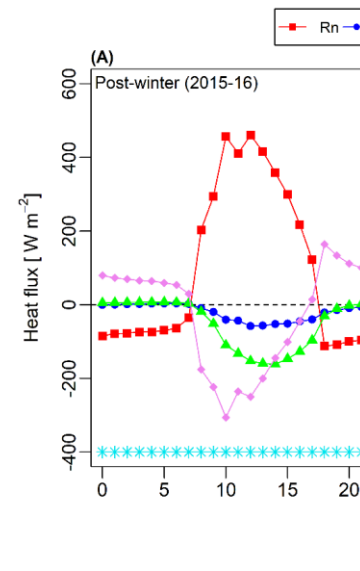
1567 5.2 Impact of freezing and thawing process on surface energy fluxes

1568 To understand the impact of freeze/thaw processes on surface energy fluxes, the variability of
 1569 SEB components is discussed here (Figure 9). The aim is to make the study site as an exemplar
 1570 of SEB processes for the seasonal frozen ground and permafrost in the cold-arid Indian
 1571 Himalayan Region. Comparatively, the R_n was higher at the study site due to the higher
 1572 elevation, aridity and sparse vegetation.

1573 The freeze and thaw processes in the ground are complex and involve several physical and
 1574 chemical changes which include energy exchange, phase change, etc. (Chen et al., 2014; Hu et
 1575 al., 2019). These processes amplify the interaction of fluxes between soil and atmosphere
 1576 (Chen et al., 2014). In Figure 9D, during the seasonal freezing phase from September to
 1577 December, the simulated mean monthly G starts to decrease and begins to change the sign from



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1748 negative to positive due to the transfer of flux from soil to the atmosphere. However, during
 1749 summers, the permafrost and the seasonally frozen soil act as a heat sink, because the thawing
 1750 processes require a considerable amount of heat that is absorbed from the atmosphere to the
 1751 soil (Eugster et al., 2000; Gu et al., 2015). In Figure 9D, during the thawing phase from April
 1752 to July, the simulated mean monthly G starts to increase and changes sign due to the transfer
 1753 of flux from the atmosphere to the soil. This pattern is consistent with the studies on permafrost
 1754 areas from the Tibetan Plateau (Chen et al., 2014; Hu et al., 2019; Zhao et al., 2000). In both
 1755 low and high snow years (Figure 9B and 9C), the mean monthly estimated H and LE heat
 1756 fluxes show prominent seasonal characteristics, such as the latent heat flux was highest in
 1757 summer and lowest in winter. In contrast, the sensible heat flux was highest in early summer
 1758 and gradually decreased towards the pre-winter season. Similar kind of variability in the LE
 1759 and H is also reported from the seasonally frozen ground and permafrost regions of the Tibetan
 1760 plateau (Gu et al., 2015; Yao et al., 2011, 2020).
 1761 Furthermore, in Figure 9C, during the peak summer months (June to August), the H tends to
 1762 decrease or became relatively stable. This is mostly due to the thawing in the seasonally frozen
 1763 ground resulting in a sensible heat sink (Eugster et al., 2000).
 1764 In the Tibetan Plateau, the main reasons for the seasonal variability of the turbulent fluxes are
 1765 due to the Asian monsoon and the freezing and thawing processes of the active layer (Yao et
 1766 al., 2011), however, in our study site, the monsoon precipitation is not a dominant factor.
 1767 Therefore, freeze/thaw processes are the key factor regulating the turbulent heat fluxes during
 1768 summers.

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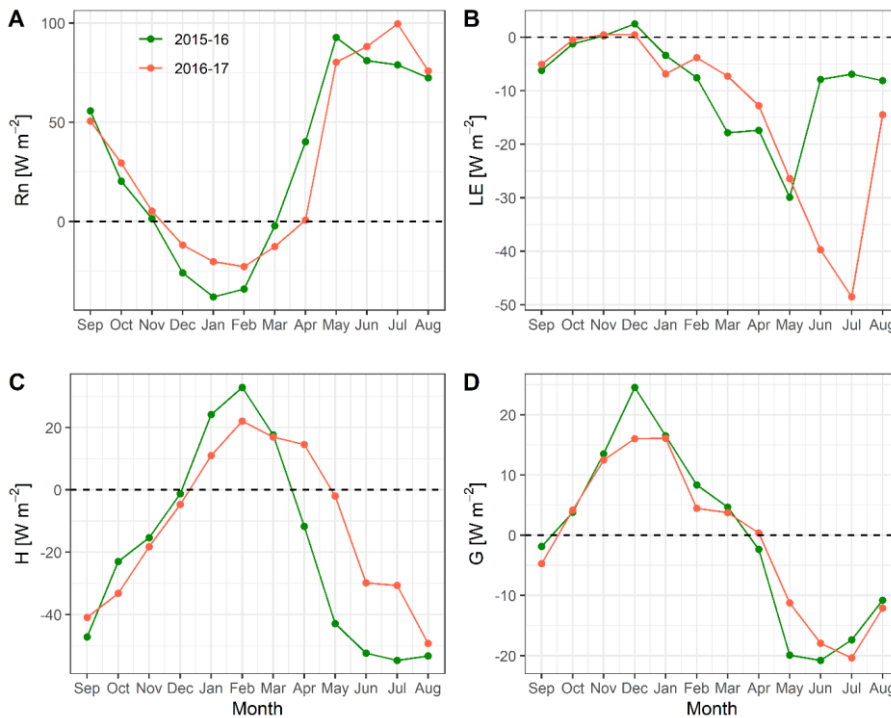
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1776
1777 **Figure 9: Comparison of estimated mean monthly surface energy balance components ($W m^{-2}$) (A) R_n , (B) LE, (C) H, and (D) G for the low (2015-16) and high (2016-17) snow years, at**
1778 **South-Pullu (4727 m a.s.l.),**
1779

1780 5.3 Comparison with other environments

1781 In this section, the observed radiation and estimated SEB components from our cold-arid
1782 catchment in Ladakh, India are compared with other cryospheric systems, globally (Table 5).

1783 Although aiming to represent differing permafrost environments, this comparison also includes
1784 SEB studies on glaciers for lack of additional data. In most of the studies referred here, the
1785 radiation components are measured, and the turbulent (H and LE) and ground (G) heat fluxes
1786 are modelled.

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Deleted: In both low and high snow years (Figure 9E, F), the mean monthly H and LE heat fluxes show a seasonal characteristic, such as the H was higher than the LE from September to December for both the years. But for the low snow year, the H was lower than the LE from January to April and after that from May to August again the H was higher than LE. In the high snow year, the LE was higher than the H from January to July and was positive pointing towards the sublimation (January to May) and evaporation processes (June to August).¶

In early October, the LE began to weaken up to the December for both the years as the seasonally frozen ground began to freeze. Therefore, the seasonal freezing/thawing of the ground affect the LE causing its rapid decrease/increase. Early in October/November, when the seasonally frozen ground began to freeze, the H did not show any significant variability. However, during summer (from April onwards in 2015–16 and from May onwards in 2016–17), after the snowmelt, the H increases significantly. Similar kind of variability in the LE and H is also reported from the seasonally frozen ground and permafrost regions of the Tibetan plateau (Gu et al., 2015; Yao et al., 2011, 2020).

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Deleted: <#>Influence of snow cover on near-surface ground temperature¶

<#>The interactions between snow cover and near-surface ground temperature (GST) are complex. Snow cover affects the ground thermal regime by altering the surface energy balance due to its unique characteristics such as, (a) high albedo, (b) high absorptivity, (c) low thermal conductivity, and (d) high latent heat due to snowmelt that is a heat sink (Goodrich, 1982; Gruber, 2005; Zhang, 2005). In comparison to other natural ground surface materials, the low thermal conductivity allows the snow cover to act as an insulator between the atmosphere and the ground. To analyse the effects of snow cover on GST, we plotted the relationship between observed snow depth and GST during the seasonal snow period from 1 January to 23 April 2017 at South-Pullu (4727 m a.s.l.) (Figure 10). For the shallow snow depth, the GST was smaller, and as the depth of snowpack starts increasing, the GST also starts increasing towards 0 °C. The GST varied from -10 °C to about -2 °C under 40 and 900 mm of snow, respectively. During the low snow year, the modelled snow depth show no or small insulating effect on GST (Figure S7). However, during the high snow year, the variations of GST are dampened with increasing snow depth. Furthermore, during the high snow year, only the modelled snow depth greater than 350 mm shows an insulating effect on GST.¶

<#>The timing of snow cover start and its duration has a non-linear influence on the ground surface temperatures (Bartlett et al., 2004). In the early winter, a thin snow cover can cool the ground, whereas a thick snow cover insulates the ground from cold air temperature variations (Keller and Gubler, 1993). During both the years, the snowfall in the catchment occurred by the last week of December facilitating the ground cooling by almost three months (October to December) of sub-zero temperatures up to -20 °C. This could be a key factor in controlling the thermal regime of permafrost in the area. Extended snow cover during the high snow year insulates the ground from

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1899 Based on the comparison, the SW_{in} at the study site is comparable with Tibetan plateau (Mölg
1900 et al., 2012; Zhang et al., 2013; Zhu et al., 2015) and significantly much higher than the values
1901 reported from other studies such as the Alps (Oerlemans and Klok, 2002; Stocker-Mittaz, 2002).
1902 The LW_{in} at the study site was comparable with values observed at Tibetan Plateau (Zhang et al.,
1903 2013; Zhu et al., 2015) and smaller than the other studies except for Antarctica. At the study
1904 site, the SW_n was the largest source of energy and LW_n the most considerable energy loss and
1905 strongly negative, and both were higher than those reported in other studies (Table 5).
1906 However, the Andes were an exception (Favier, 2004; Pellicciotti et al., 2008).
1907 The different surface albedo (α) values help to distinguish the surface characteristics. The mean
1908 α for all the sites (Table 5) where radiation balance is measured either on bedrock or tundra
1909 vegetation was smaller than those measured over firn or ice during summer with few
1910 exceptions. Albedo ranges for glacier ice from 0.5 to 0.7 and for tundra/bedrock from 0.25 to
1911 0.54. Comparison of RH for the study period shows that the mean measured RH (43 %) was
1912 much smaller than other regions except in the semi-arid Andes (Pellicciotti et al., 2008), where
1913 the RH was comparable. Furthermore, the mean annual precipitation in this study was also
1914 lower than in the other areas compared.
1915 Based on the comparison of measured radiation and meteorological variables with other, better-
1916 investigated regions of the world (Table 5), it was observed that our study area is unique in
1917 terms of lower RH (43% compared to ~70% in the Alps) and cloudiness, leading to (a) Reduced
1918 LW_{in} and strongly negative LW_n (~90 W m⁻² on average, much more than in the Alps). Hence,
1919 the high elevation cold-arid region land surfaces could be overall colder than the locations with
1920 more RH. (b) Increased SW_{in} . This will mean that sun-exposed slopes will receive more
1921 radiation and shaded ones less (less diffuse radiation) than in comparable areas, and (c)
1922 Increased cooling by stronger evaporation in wet places such as meadows. Therefore, the warm
1923 sun-exposed dry areas and colder wet places could lead to significant spatial inhomogeneity in

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The mean SW_{in} measured (210 W m⁻²) in this study site was comparable with values reported from the Tibetan Plateau (200 W m⁻²) but lower than the Andes (239 W m⁻²) and significantly higher than the values reported from other studies such as the Alps (136 W m⁻²).

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Deleted: The mean LW_{in} (220.4 W m⁻²) observed at Leh station is comparable with values observed at Tibetan Plateau (221 W m⁻²) and smaller than the other studies except for Antarctica (184.1 W m⁻²). In the present study, the mean SW_n is the largest source of energy gain (127 W m⁻²) and LW_n the largest energy loss and strongly negative (-87.6 W m⁻²) and both were higher than in other studies. However, the SW_n (127 W m⁻²) observed at Leh station was comparable with the values observed in the tropical Andes (123 W m⁻²). T

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1950 ~~permafrost distribution. Further, it is apprehended that high incoming shortwave radiation over~~
1951 ~~moist high elevation surfaces may be facilitating enhanced cooling of as a result of stronger~~
1952 ~~evaporation.~~

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1957 Table 5: Comparison of mean annual observed radiation and estimated SEB components and meteorological variables with different regions of
1958 the world. (SW_{in} = Incoming shortwave radiation, SW_{out} = Outgoing shortwave radiation, albedo = α , LW_{in} = Incoming longwave radiation, LW_{out}
1959 = Outgoing longwave radiation, SW_n = Net shortwave radiation, LW_n = Net longwave radiation, RH = Relative humidity, R_n = Net radiation, LE
1960 = Latent heat flux, H = Sensible heat flux, G = Ground heat flux, SEB = energy available at surface, MAAT = Mean annual air temperature, P =
1961 Precipitation, NA = Not available). The LE, H, and G are the modelled values. All the radiation components and heat fluxes are in units of $W m^{-2}$.
1962 ².

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Variable	Leh	Tibetan Plateau	Swiss Alps		Tropical Andes	Semi-arid Andes	New Zealand (Alps)	Canada	Sub-Arctic	Greenland	High Arctic (Norway)				Antarctic	
SW_{in}	210.4	230	136	149	239	344	140	136	101.3	110	79.5	122	78	108	124	94.2
SW_{out}	-83.4	-157	-72	-74	-116	-106	-93	-94	-25.7	-70	-39.5	-38	-42	-70	-79.7	-52.0
α (-)	0.40	0.68	0.53	0.5	0.49	0.3	0.66	0.69	0.25	0.64	0.50	0.31	0.54	0.65	0.64	0.55
LW_{in}	220.4	221	NA	260	272	252	278	248	310	246	263.7	261	254	272	NA	184.1
LW_{out}	-308.0	-277	NA	-308	-311	306	-305	-278	-349.8	-281	-299.0	-300	-286	-292	NA	-233.2
SW_n	127.0	73	64	75	123	238	48	42	75.6	40	40.0	84	36	38	44.3	42.2
LW_n	-87.6	-56	-36	-48	-39	-54	-27	-30	-39.8	-36	-35.3	-39	-32	-20	-49.2	-49.1
RH (%)	43.3	59	64	59	81	42	78	71	~75	75	74.8	83	74	77.9	50.8	69.4
R_n	39.4	17	28	27	84	184	21	12	37.1	4	4.78	45	4	18	-4.9	-6.9

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LE	<u>-11.2</u>	-11	6	-1	-27	<u>-19</u>	1	-15	NA	NA	NA	NA	6.8	1	-62.1	-5.4	Deleted: 12.8
H	<u>-15.6</u>	13	36	-3	21	<u>56</u>	30	-5	2.9	NA	NA	-34.2	-6.9	15	28	12.7	Deleted: 13.
G	<u>-0.5</u>	2	3	-2	NA	<u>3</u>	2	0.5	1.9	NA	NA	-3.5	~0.5	3	-0.12	0.2	Deleted: 4
MAAT (°C)	-2.5	-6.3	2.1	-1.1	0.3	<u>NA</u>	1.2	-4.2	6	-5.45	-2.86	-3.4	-5.4	-1.9	-10.2	-18.8	
P (mm)	114	1250	NA	NA	970	<u>NA</u>	NA	NA	369	NA	581.2	800	NA	NA	NA	NA	
Time period	Sep 2015 to Aug 2017	Aug 2010 to Jul 2012	Jan to Dec 2000	Feb 1997 to Jan 1998	Mar 2002 to Mar 2003	<u>11 Dec 2005-12 Feb 2006</u>	Oct 2010 to Sep 2012	2002-2013	Jan to Dec 2013	Aug 2003 to Aug 2007	Jan 2015 to Dec 2015	Jan to Dec 2000	Mar 2008 to Mar 2009	Sep 2001 to Sep 2006	Mar 2007 to Jan 2013	Apr 1988 to Mar 1989	
Surface type	Bedrock/debris	Glacier ice	Glacier ice	Bedrock/debris	Glacier ice	<u>Glacier ice</u>	Glacier ice	Glacier ice	Peatland	Glacier ice	Tundra vegetation	Bedrock/debris	Tundra vegetation	Glacier ice	Ice sheet	Ice sheet	
Location	Cold-arid, Ladakh	Zhadang Glacier, Tibetan Plateau	Morteratschgletscher glacier, Switzerland	Murtèl-Corvatsch rock glacier,	Antizana glacier 15, Ecuador	<u>Juncal Norte Glacier, central Chile</u>	Brewster Glacier, New Zealand	Haig Glacier, Canadian rocky mountains	Peatland complex Stordalen, Sweden	west Greenland ice sheet	Bayelva, Spitsbergen, Norway	Juvvasshøe, southern Norway	Svalbard, Norway	Storbreen glacier, Norway	Schirmacher Oasis, Antarctica	Dronning Maud Land, Antarctica	
Elevation (m)	4727	5665	2100	2700	4890	<u>3127</u>	1760	2665	380	490	25	1894	25	1570	142	1150	

Source	Latitude
This Study	34.255° N
(Zhu et al., 2015)	30.476° N
(Oerlemans and Klok, 2002)	46.400° N
(Stocker-Mittaz, 2002)	46.433° N
(Favier, 2004)	0.467° S
(Pelliccioni et al., 2008)	<u>32.99056° S</u>
(Cullen and Conway, 2015)	44.084° S
(Marshall, 2014)	50.717° N
(Stiegler et al., 2016)	68.349° N
(van den Broeke et al., 2008)	67.100° N
(Boike et al., 2018)	78.551° N
(Isaksen et al., 2003)	61.676° N
(Westermann et al., 2009)	78.917° N
(Giesen et al., 2009)	61.600° N
(Ganju and Gusain, 2017)	70.733° S
(Bintanja et al., 1997)	74.481° S

1968 **6 Conclusion**

1969 In the high-elevation, cold-arid regions of Ladakh significant areas of permafrost occurrence
1970 are highly likely (Wani et al., 2020) and large areas experience deep seasonal freeze-thaw. The
1971 present study is aimed at providing first insight on the surface energy balance characteristics
1972 of this permafrost environment.

1973 For the period under study, the surface energy balance characteristics of the cold-arid site in
1974 the Indian Himalayan region show that the net radiation was the major component with a mean
1975 value of 29.7 W m⁻², followed by sensible heat flux (-15.6 W m⁻²) and latent heat flux (-11.2
1976 W m⁻²), and the mean ground heat flux was equal to -0.5 W m⁻². During the study period, the
1977 partitioning of surface energy balance shows that 52% of R_n was converted into H, 38% into
1978 LE, 1% into G and 9% for melting of seasonal snow.

1979 Among the two observation years, one was a low snow year and the another was high and
1980 during these low and high snow years, the energy utilised for melting seasonal snow was 4%
1981 and 14% of R_n, respectively. During both the years, the latent heat flux was highest in summer
1982 and lowest in winter, whereas the sensible heat flux was highest in post-winter and gradually
1983 decreased towards the pre-winter season. For both low and high years, the snowfall in the
1984 catchment occurred by the last week of December facilitating the ground cooling by almost
1985 three months (October to December) of sub-zero temperatures up to -20 °C. The extended snow
1986 cover during the high snow year also insulates the ground from warmer temperature until May.

1987 Therefore, the late occurrence of snow and extended snow cover could be the critical factors
1988 in controlling the thermal regime of permafrost in the area.

1989 A comparison of observed radiation and meteorological variables with other regions of the
1990 world show that the study site/region at Ladakh have a very low relative humidity (RH) in the
1991 range of 43% compared to e.g. ~70% in the Alps. Therefore, rarefied and dry atmosphere of
1992 the cold-arid Himalaya could be impacting the energy regime in multiple ways; (a) this results

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2059 in the reduced amount of incoming longwave radiation and strongly negative net longwave
2060 radiation, in the range of -90 W m^{-2} compared to -40 W m^{-2} in the Alps and therefore, leading
2061 to colder land surfaces as compared to the other mountain environment with higher RH_{\downarrow} (b)
2062 higher global shortwave radiation leads to more radiation received by sun-exposed slopes than
2063 shaded ones in comparable areas and wet places such as meadows, etc. experience increased
2064 cooling as a result of stronger evaporation. However, sun-exposed dry areas could be warmer,
2065 leading to significant spatial inhomogeneity in permafrost distribution. The current study gives
2066 a first-order overview of the surface energy balance from the cold-arid Himalaya in the context
2067 of permafrost processes, and we hope this will encourage similar studies at other locations in
2068 the region, which would significantly improve the understanding of the climate from the region.
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2079 insight into the use of GEOtop permafrost spin up scheme by Joel Fiddes is highly
2080 acknowledged. We acknowledge the developers of GEOtop, for keeping the software open-
2081 source and free. The source code of the GEOtop model 2.0 (Endrizzzi et al., 2014) used is freely
2082 available at <https://github.com/geotopmodel/geotop/tree/se27xx>. The analysis of data was
2083 performed using R (R Core Team, 2016; Wickham, 2016, 2017; Wickham and Francois, 2016;
2084 Wilke, 2019).

2085 **Conflicts of interest**

2086 The author(s) declare(s) that there is no conflict of interest.

2087 **Author contributions**

2088 JMW participated in data collection in the field, carried out the data analysis and processing,
2089 run the GEOtop model and prepared the manuscript. RJT conceived the study, arranged field
2090 instruments, organised fieldwork for instrumentation and data collection, contributed to the
2091 data analysis and manuscript preparation. CSPO assisted in data analysis and manuscript
2092 preparation. SG assisted in setting up GEOtop model, analysis of results and manuscript
2093 preparation.

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