



1	Clouds damp the impacts of Polar sea ice loss
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15	Abstract
16	Clouds play an important role in the climate system through two main contrasting effects: (1)
17	cooling the Earth by reflecting part of incoming solar radiation to space; (2) warming the Earth by
18	reducing the loss of thermal energy to space. Recently, a significant amount of attention has been
19	given to the influence of clouds on the Arctic surface energy budget. Studies have argued that
20	clouds cover fraction is not respoding to reduced sea ice in summer. Taking a different perspective
21	in this work using CERES data and 32 CMIP5 climate models, we find that the shortwave cooling
22	effect of clouds strongly influences the surface energy budget response to changes in sea ice cover.
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The results illustrate that the cloud cooling effect operates in a counter-intuitive manner of the 23 polar seas: years with less sea ice and a larger net surface radiative flux are also those that show 24 25 an increase in sunlight reflected back to space by clouds. An increase in absorbed solar radiation when sea ice retreats (surface albedo change) explains $66 \pm 2\%$ of the observed signal. The 26 remaining $34 \pm 1\%$ are due to the increase in cloud cover/thickness. This interplay between clouds 27 28 and sea ice reduces by half the increase of net radiation at the surface that follows the sea-ice retreat, therefore damping the surface energy budget impact of polar sea ice loss. We further 29 highlight how this process is represented in some climate models. 30

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37 1. Introduction

Radiation from the sun is the primary energy source to the Earth system and is responsible for the 38 energy driving motions in the atmosphere and ocean, for the energy behind water phase changes, 39 and for the energy stored in fossil fuels. Only a fraction (Loeb et al., 2018) of the solar energy 40 arriving to the top of the Earth atmosphere (shortwave radiation, SW) is absorbed at the surface. 41 42 Some of it is reflected back to space by clouds and by the surface, while some is absorbed by the atmosphere. In parallel, the Earth's surface and atmosphere emit thermal energy back to space, 43 called outgoing longwave (LW) radiation, resulting in a loss of energy (Fig. 1). The balance 44 between these energy exchanges determine Earth's present and future climate. The change in this 45 balance is particularly important over the Arctic where summer sea ice is retreating at an 46 47 accelerated rate (Comiso et al., 2008), surface albedo is rapidly declining, and surface temperatures 48 are rising at a rate double that of the global average (Cohen et al., 2014; Graversen et al., 2008), impacting sub-polar ecosystems (Cheung et al., 2009; Post et al., 2013) and possibly mid-latitude 49 climate (Cohen et al., 2014). 50

51 Clouds play an important role in modifying the radiative energy flows that determine Earth's 52 climate. This is done both by increasing the amount of SW reflected back to space and by reducing the LW energy loss to space relative to clear skies (Fig 1). These cloud effects on Earth's radiation 53 54 budget can be gauged using the Cloud Radiative Effect (CRE), defined as the difference between the actual atmosphere and the same atmosphere minus the clouds (Charlock and Ramanathan, 55 56 1985). The different spectral components of this effect can be estimated from satellite 57 observations: the global average shortwave (SW) cloud radiative effect (SWcre) is negative since clouds reflect incoming solar radiation back to space resulting in a cooling effect. Alternatively, 58 59 the longwave cloud radiative effect (LWcre) is positive since clouds reduce the outgoing LW radiation to space generating a warming effect (Harrison et al., 1990; Loeb et al., 2018; 60 Ramanathan et al., 1989). 61

In this study, we use the Clouds and the Earth's Radiant Energy System (CERES) top-ofatmosphere (TOA) radiative flux dataset and 32 CMIP5 climate models to estimate the relationship

64 between the CRE and the Earth's surface radiation budget.

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Figure 1 Schematic representation of radiative energy flows in polar seas under total sky
conditions (a, c) and clear sky conditions (b, d) for two contrasting surface conditions: without sea
ice (a, b) and with sea ice (c, d).

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72 2. Methods and data

2.1 Cloud Radiative Effect: CRE is used as a metric to assess the radiative impact of clouds on
 the climate system, defined as the difference in net irradiance at TOA between total-sky and clear sky conditions. Using the CERES EBAF Ed4.0 (Loeb et al., 2018) flux measurements and CMIP5
 modeled flux, CRE is calculated by taking the difference between clear-sky and total-sky net
 irradiance flux at the TOA.

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$$SW_{cre}=SW_{total}-SW_{clear}$$
 (1)





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$$LW_{cre}=LW_{total}-LW_{clear}$$
 (2)

80 NET_{cre}=SW_{cre} + LW_{cre} (3)

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- 82 **2.2 Earth's surface radiative budget:** The net SW and LW flux at the surface (SW_{sfc} and LW_{sfc},
- respectively) is calculated as the difference between incoming SW_{down} (LW_{down}) and outgoing SW_{up} (LW_{up}) as shown in equations 4 (5).

85 $SW_{sfc}=SW_{down}-SW_{up}$ (4)

86 $LW_{sfc}=LW_{down}-LW_{up}$ (5)

87 $NET_{sfc}=SW_{sfc}+LW_{sfc}$ (6)

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2.3 CERES EBAF Ed4.0 Products: For all surface and TOA radiative flux quantities, we used
 the NASA CERES Energy Balanced and Filled (EBAF) monthly data set (CERES EBAF TOA Ed4.0), providing monthly, global fluxes on a 1-degree latitude by 1-degree longitude grid.

The CERES EBAF product is a standard source for estimating surface irradiance at the global scale (Loeb et al., 2018). In this study, we used CERES surface longwave (LW) and shortwave (SW) radiative fluxes to investigate the influence of clouds on the variability in the Arctic surface energy budget in conjunction with variations in sea ice. CERES EBAF-TOA-Surface products have demonstrated improved the accuracy of TOA-surface irradiance computations relative to other sources (e.g., meteorological reanalysis), and the errors/uncertainties between observed monthly mean irradiances and EBAF-TOA-Surface fluxes are small (Kato et al., 2013).

99 2.4 Sea ice concentration: Sea ice concentration (SIC) data are from the National Snow and Ice Data Center (NSIDC, http://nsidc.org/data/G02202). This data set provides a Climate Data Record 100 101 (CDR) of SIC from passive microwave data. It provides a consistent, daily and monthly time series of SIC from 09 July 1987 through the most recent processing for both the North and South Polar 102 regions (Peng et al., 2013; W. Meier, F. Fetterer, M. Savoie, S. Mallory, R. Duerr, 2017). The data 103 is on a 25 km x 25 km grid. We used the latest version (Version 3) of the SIC CDR created with a 104 105 new version of the input product, from Nimbus-7 SMMR and DMSP SSM/I-SSMIS Passive Microwave Data. 106

2.5 Polar seas: We defined polar seas as the seas where we observed monthly SIC larger than 10%
at least one month during 2001-2016 period. Polar seas extent is shown in Figure S1.

2.6 CMIP5 Models To reconstruct the historical CRE and surface energy budget and project their
 future changes, we used an ensemble of simulations conducted with 32 earth system models
 (models used are shown in Figure 3 and S3) contributing to the Coupled Model Intercomparison





112 Project Phase 5 (CMIP5) (Taylor et al., 2012). These model experiments provided: historical runs

(1850-2005) in which all external forcings are consistent with observations and future runs (2006 2100) using the RCP8.5 emission scenarios (Taylor et al., 2012). The comparison with the satellite

data is made over 2001-2016. To make this comparison, we merged historical runs 2001-2005

116 with RCP8.5 2006-2016.

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118 **3. Results and discussions**

119 3.1 Negative correlation patterns between cloud radiative effect and surface radiation on polar seas

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122 Given the known cloud influence on the surface radiative budget, a positive correlation between 123 TOA CRE and surface radiative budget is expected (the amount of absorbed radiation at the surface decreases with a more negative SWcre and a less positive LWcre). We find a positive correlation 124 125 between the net annual CRE (NETcre=SWcre+LWcre) and net annual surface radiative flux (NETsfc=SWsfc+LWsfc) over much of the global ocean between using the CERES TOA flux data 126 127 from 2001-2016. However, our analysis reveals the opposite pattern over the polar seas (defined 128 in section 2.5) where the correlation is negative over the Antarctic and partly negative over the 129 Arctic (Bering Strait, Hudson Bay, Barents Sea and the Canadian Archipelago; Fig. 2ab). We split the NETcre into SWcre and LWcre and explore their correlation with the NETsfc. We find that 130 the SWcre (Fig. 2cd) shows similar patterns of correlation as before (Fig. 2ab) but with a stronger 131 132 magnitude, while LWcre generally shows the opposite correlations (Fig. 2ef). This suggests that SW radiation fluxes are responsible for the sharp contrast between the polar regions and the rest 133 of the world. Indeed, SWsfc and SWcre (Fig. 2gh) show the sharpest and most significant contrast 134 between the polar regions and the rest of the world (Fig. S2 is similar to Fig. 2 but only significant 135 correlations at 95 confidence level are reported in blue and red colors). On average, climate models 136 137 are able to reproduce the spatial pattern of the observed SW correlation, but show a large intermodel spread concerning the spatial extent of the phenomena (Fig. 3 and S3). On the other hand, 138 139 several models completely fail at reproducing this fundamental correlation. Indeed, ACCESS1-3, 140 MIROC5, CanESM2 and CSIRO-Mk3-6-0 models shows negative correlation over Antarctic continent in contrast to observed positive correlation. Also, some models like IPSL-CM5B-LR, 141 GISS-E2-R and bcc-csm1-1 completely fail to reproduce the observed negative correlation over 142 the Southern Ocean. This suggests that these models contain misrepresentations of the 143 relationships between sea ice extent, cloud cover/thickness, and/or their influence on surface 144 radiative fluxes that could severely impact their projections. 145







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Figure 2 Correlation between TOA CRE and surface radiation over 2001-2016 from CERES
measurements for the Northern Hemisphere (aceg) and Southern Hemisphere (bdfh) sea. Positive
correlations (red color) indicate that years with less NETsfc coincide with years NETcre has a
stronger cooling effect and *vice versa*.







- 152 Figure 3 Correlation between SWcre and SWsfc shown by 32 CMIP5 earth system models and
- 153 CERES between 2001 and 2016 over the Southern Hemisphere.





154 **3.2 Effects of sea ice concentration change**

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We illustrate that the apparent paradox between NETcre and NETsfc found in Fig 2ab is caused by the factors contributing to the SW fluxes. This can be explained by: (I) On the one hand, if cloud properties stay constant and the sea ice (albedo) decreases, SWcre will become increasingly negative (cooling) while more of the incoming shortwave that reaches the surface will be absorbed (warming); (II) On the other hand, the relationship between cloud cover/thickness and sea ice could lead to cloudier Polar seas under melting sea ice (Abe et al., 2016; Liu et al., 2012) such that the SWcre cooling effect is enhanced concurrently with melting sea ice.

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164 Over the Antarctic seas, analysis of the year-to-year changes in surface downward SW radiation (SWdown) stratified in 2% SIC bins retrieved from satellite microwave radiometer measurements 165 166 shows an increase in SWdown with increased SIC and vice-versa (Fig. 4a). This suggests that years with higher SIC have fewer and/or thinner clouds (Liu et al., 2012) (Fig. 5), larger SWdown, 167 and also larger upward SW radiation (SWup) (Fig. 4b), due to the high sea ice albedo (Fig. S4). 168 As a consequence, these years also show a lower SWsfc (Fig. 4c) and thus are characterized by 169 surface cooling. Furthermore, fewer clouds implies a reduction of the cloud cooling effect (less 170 negative SWcre) as described above in process (II), this accounts for $34\% \pm 1\%$ of the total change 171 in SWcre, and as described in process (I) the increase in the surface albedo also makes SWcre less 172 negative and explains $66\% \pm 2\%$ of the observed change (Supplementary section 1 and Fig. 6). This 173 174 explains the observed negative correlation between SWcre and SWsfc over polar seas and the opposite observed change of SWcre and SWsfc (Fig 4cde). Similar results are found over the 175 Arctic Ocean with slightly different sensitivity (Fig. S5, S6). This difference is tied to differences 176 in sun angle/available sunlight, as Antarctic sea ice is concentrated at lower latitudes than Arctic 177 sea ice. 178

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Using the regression relationships derived from our composite analysis we can estimate the
magnitude of the cloud effect. For the Antarctic system, we use the numbers found in Figure 4e
where we find at the annual level, the relationship between NETsfc and SIC, and NETcre and SIC.

183 $\Delta NETsfc = (-36.61 \pm 0.72) \Delta SIC$ (1)

184 $\Delta NET cre = (47.03 \pm 1.01) \Delta SIC$ (2)

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186 In case of excluding the CRE, the Δ NETsfc would be equal to (-36.61-47.03) Δ SIC =-83.64 Δ SIC.

187 We estimate that the cloud changes in the Antarctic system are damping by 47.03/83.64=56% the 188 potential increase in the surface radiative flux (NETsfc) due to sea ice melting on the surface 189 radiative budget through the surface albedo decrease. The uncertainties of that number are 190 calculated by summing the uncertainties shown in equation (1) and (2) as follows: 191 (0.72+1.01)/83.64=2%.

Similarly, over the Arctic (Fig. S5), we estimate the cloud influence on the surface net radiative budget that covaries with sea ice loss is $47\pm3\%$.





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196 Figure 4 Annual changes in SW, LW and NET as function of SIC. Annual changes in SW (top), LW (middle) and NET (bottom) of radiative down (a), up (b), sfc=down-up (c) and cre (d) over 197 198 Antarctic sea as function of SIC change between two consecutive years y_{i+1} and y_i from 2001-2016 199 time period. The top triangles in (c top) refers to the increase (growing) in SIC while the blue color means a reduction (cooling) in SWsfc. Whereas, the top triangles in (d) refers to the increase in 200 SIC while the red color means an increase (decreasing the cooling role of clouds) in SWcre. Each 201 202 dot in column (e) represents the average of one parallel to the diagonal in (c) or (d) as described in 203 the Supplement section 2.







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Figure 5 Seasonal and annual changes in cloud cover fraction (CCF) and cloud optical depth (COD) over the Antarctic polar sea region as a function of SIC change between two consecutive years y_{i+1} and y_i from 2001-2016 time period. In order to use the same scale, COD has been multiplied by a factor 10. The top triangles in the two first columns refers to the increase (growing) in SIC while the blue color means a reduction in CCF or COD.







Figure 6 Seasonal and annual changes in SWcreAlb, SWcreCloud and SWcre over the Antarctic
 polar sea region as function of SIC change between two consecutive years y_{i+1} and y_i from 2001-

215 2016 time period. The analysis is based on observations from satellites data.





223 Altogether these results suggesting that polar sea ice and cloud covarying in a way that substantially reduces the overall impact of the sea ice loss. In fact, with melting of the sea ice the 224 cooling effects of clouds are enhanced. This effect in the polar climate system leads to a substantial 225 reduction (56±3% over the Antarctic and 47±3% over the Arctic) of the potential increase in 226 NETsfc in response to sea ice loss. Despite this mechanism, the sharp reduction in Arctic surface 227 228 albedo has been dominating the recent change in the surface radiative budget and led to a significant increase in NETsfc since 2001. These results demonstrate that the interannual 229 230 variability of polar surface radiative fluxes is currently controlled by variations in SIC and surface albedo, and that cloud effects only mitigate the effects but not invert the trends (i.e., a damping 231 effect). Our findings highlight the importance of processes that control sea ice albedo (i.e. sea ice 232 dynamics, snowfall, melt pond formation, and the deposition of black carbon), as the surface 233 albedo of the polar seas in regions of seasonal sea ice is crucial for the climate dynamics. 234

235 3.3 Sensitivity of the surface energy budget to variability of sea ice concentration

236 Our results are consistent with other recent studies (Taylor et al., 2015) that demonstrate a cloud cover fraction (CCF) response to reduced sea ice in fall/winter but not in summer (Figure 7a) over 237 238 the Arctic Ocean. The lack of a summer time cloud response to sea ice loss is explained by the prevailing air-sea temperature gradient in summer, where near surface air temperatures are 239 frequently warmer than the surface temperature. Surface temperatures in regions of sea ice melting 240 hover near freezing due to the phase change, whereas the atmospheric temperatures are not 241 242 constrained by the freezing/melting point. Despite reduced sea ice cover, strong increases in 243 surface evaporation (latent heat) are limited (Fig. 7mn), as also suggested by the small trends in surface evaporation rate derived from satellite-based estimates (Boisvert and Stroeve, 2015; Taylor 244 et al., 2018). We argue that the strong increase of SWcre under decreased sea ice observed during 245 summer is induced by larger values of cloud optical depth (Fig. 7a), which depends directly on the 246 liquid or ice water content. We also show that the relationships derived from our observation-247 248 driven analysis match the projected changes in the Arctic and Antarctic surface energy budget in the median CMIP5 model ensemble (Fig. 7). However, the large spread amongst climate models 249 250 indicates that there is still considerable uncertainty.

Analyzing the seasonal cycle of the sensitivity of the surface energy budget to SIC variability, we 251 found that SWsfc (SWcre) explains most of the observed changes in the NETsfc (NETcre) during 252 253 summer, while LWsfc plays a minor role (Fig. 7). In contrast, during winter LWsfc (LWcre) 254 explains most of the observed changes in the NETsfc (NETcre). In general, the median of the 32 CMIP5 (Taylor et al., 2012) climate models captures the observed sensitivity of the radiative 255 energy budget and cloud cover change to SIC but the spread between climate models is large, 256 257 especially for cloud cover fraction. We have to note here that, the numbers reported in Figure 7 258 are for 100% SIC loss, while the ones reported in the previous figures (Fig. 4, 5 and 6) are for 100% SIC gain and explains the opposite sign. 259

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Figure 7 Monthly change in different terms of the radiative energy balance, cloud optical depth 263 (COD) and cloud cover fraction (CCF) extrapolated from observation for an hypothetical 100% 264 265 decrease in SIC over the areas where we observed SIC change during the period 2001-2016. This change estimate came from the use of a linear interpolation of the change of different parts of 266 energy balance, COD and CCF as function of change in SIC coming from all possible 267 combinations of couples of consecutive years for a given month from 2001 to 2016 and for all grid 268 cells for which SIC is larger than zero in one of the two years. Observations are shown by solid 269 270 lines (the standard deviation of the slopes are also reported but are too small to be visible) while CMIP5 models are shown by boxplot and the box (are in same color as observations) represents 271 272 the first and third quartiles (whiskers indicate the 99% confidence interval and black markers show outliers). In order to use the same scale, COD has been multiplied by a factor 10. 273







276 3.4 Projections and uncertainties of cloud radiative effects on surface energy budget

In the future, under RCP8.5 scenario (a business as usual case) (Taylor et al., 2012), CMIP5 models 277 show an increase in SWsfc over the Arctic Ocean (Fig. 8a) coherent with the expected large 278 decrease in the SIC (Comiso et al., 2008; Serreze et al., 2007; Stroeve et al., 2007). This increase 279 in SWsfc happens despite the relatively large, concurrent and opposing change in cloud cooling 280 effect (SWcre). Future fluxes of LW (Fig. 8c) will likely play a minor but non-negligible role on 281 total energy budget by further increasing the surface net radiative fluxes, NETsfc (Fig. 8e), 282 damping the cooling effect of clouds NETcre. In addition, CMIP5 models shows clearly that by 283 2100, the magnitude of the decrease in NETcre is slightly lower that the increase in NETsfc (Fig. 284 8e) over Arctic Ocean. While the Antarctic polar sea region shows the opposite (Fig. 8f). This is 285 286 in line with the estimated dampening effect of clouds coming from CERES over 2001-2016 that is about $47\pm3\%$ in the Arctic and $56\pm2\%$ in the Antarctic. 287

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289 Large uncertainties remain on the decline rate of summer sea ice and the timing of the first occurrence of a sea ice-free Arctic summer (Arzel et al., 2006; Zhang and Walsh, 2006). The 290 reason behind the large spread between climate models is still debated (Holland et al., 2017; 291 Simmonds, 2015; Turner et al., 2013). In this study, we explored the mean annual Arctic and 292 Antarctic sea-ice extent trend coming from 32 CMIP5 models and find a high positive correlation 293 with the simulated trend in the SWdown (Figure 8gh). This analysis suggests that the models 294 295 showing a larger trend in cloud cover also show larger decreases in sea-ice extent and clearly demonstrate the strong coupling of these two variables. 296

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298 **4.** Conclusion

The manuscript deals with two important and controversial topics in climate science, namely the role of clouds and the fate of polar sea ice. The work is grounded in a long time series of robust satellite observations that allowed us to document an important damping effect in the polar cloudssea ice system. In addition, we show how 32 state-of-the-art climate models represent this feedback.

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305 Our data-driven analysis shows that polar sea-ice and clouds interplay in a way that substantially 306 reduces the overall impact of the sea ice loss. We found that when sea ice cover is reduced between two consecutive years that the cooling effect of clouds increased, damping the total change in the 307 net surface energy budget. The magnitude of this effect is important. Satellite data indicates that 308 309 the increased cloud cover/thickness correlates with sea ice melting, reducing by half the potential increase of net radiation at the surface. One-third of this half if induced by the direct change in 310 cloud cover/thickness. While 2/3rd of this effect is the result of changing surface albedo. This 311 finding challenges the classic view that minimizes the relationship between summer clouds and 312 sea ice concentration (Taylor et al., 2015), and demonstrates that less sea ice, even during summer, 313 314 leads to thicker clouds that reduces the fraction of solar energy reaching the surface.





In addition, we demonstrated that the models that shows larger trends in polar sea ice extent are the same that show smaller trends in surface incoming solar radiation (clouds). In order to understand current and future climate trajectories, model developments should aim to reduce uncertainties in the representation of polar cloud processes and their relationships with sea ice cover. The observation-driven findings reported in the manuscript could be instrumental for this scope.

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322 Future cloud changes and sea evolution represent major uncertainties in climate projections due to 323 the multiple and relevant pathways through which cloudiness and sea ice feed back on the Earth's 324 climate system (Solomon, S., D. Qin, M. Manning, Z. Chen, M. Marquis, K.B. Averyt, 2007). Our 325 evidence derived from Earth observations may substantially reduce the uncertainty on the covariation between polar clouds and the changing sea ice cover (Fig. 7), constrain future model 326 projections and ultimately improve the understanding of present and future polar climate. 327 Ultimately, our findings on the interplay between cloud and sea ice may support an improvement 328 in the model representation of the cloud-ice feedback, a mechanism that may affect the speed of 329 330 the polar sea ice retreat, which in turn has a broad impact on the climate system, on the Arctic environment and on potential economic activities in Arctic regions (Buixadé Farré et al., 2014). 331









Figure 8 Time series of the anomaly of the radiative flux over the period 1850-2100. Mean 333 modeled SWcre, LWcre and NETcre (blue) and surface SWsfc, LWsfc and NETsfc (orange) 334 anomalies over the 1850-2100 period under rcp8.5 scenario averaged over the Arctic sea. The solid 335 line shows the median, where the envelope represents the 25 and 75 percentile of the 32 CMIP5 336 models. The linear regression (grey solid line and its 68% (dark grey envelope) and 95% (light 337 grey envelope) confidence interval) between: the trend in SWdown and trend in sea ice extent (g 338 and h); of the 32 CMIP5 climate models shown by grey dots over 2001-2016. The observed trends 339 340 are shown by red colors where confidence interval refers to standard error of the trend.

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Acknowledgments: The authors acknowledge the use of Clouds and the Earth's Radiant Energy
System (CERES) satellite data version 4.0 from https://ceres.larc.nasa.gov/index.php, sea ice
concentration data from National Snow and Ice Data Center (NSIDC) http://nsidc.org/data/G02202, as
well as the modeling groups that contributed to the CMIP5 data archive at PCMDI
https://cmip.llnl.gov/cmip5/.

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- 351 Author Contributions: RA designed the study and performed the analysis. RA, AC, PCT and LGS
- contributed to the interpretation of the results. RA, PCT, AC and GD drafted the paper. All authors
 commented on the text.
- **354 Competing interests:** The authors declare no competing financial interests.
- Additional information: The programs used to generate all the results are made with Python.
 Analysis scripts are available by request to R. Alkama.
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