

# Clouds damp the radiative impacts of Polar sea ice loss

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## **Abstract**

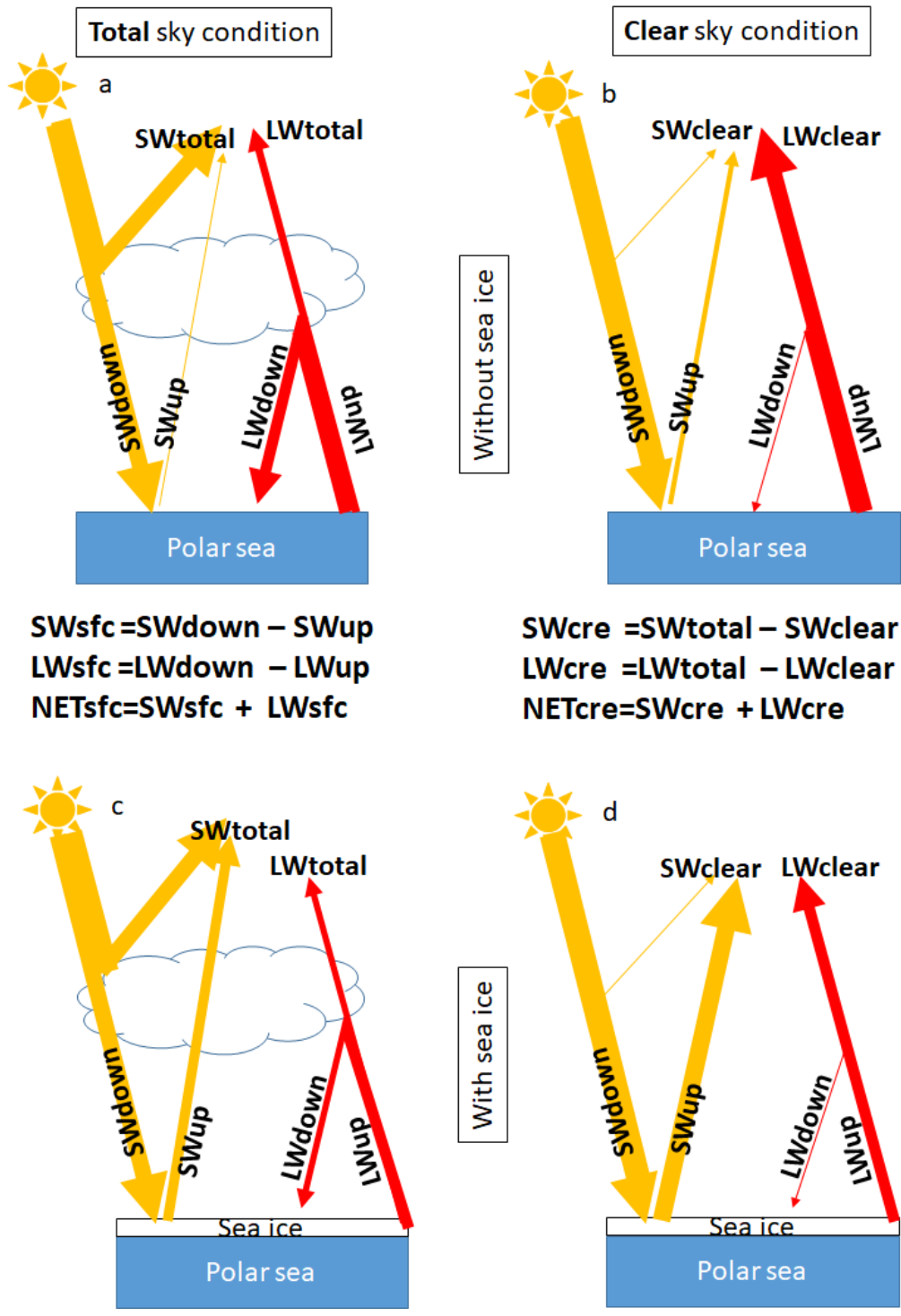
Clouds play an important role in the climate system: (1) cooling the Earth by reflecting incoming sunlight to space and (2) warming the Earth by reducing thermal energy loss to space. Cloud radiative effects are especially important in polar regions and have the potential to significantly alter the impact of sea ice decline on the surface radiation budget. Using CERES data and 32 CMIP5 climate models, we quantify the influence of polar clouds on the radiative impact of polar sea ice variability. Our results show that the cloud shortwave cooling effect strongly influences the impact of sea ice variability on the surface radiation budget and does so in a counter-intuitive manner over the polar seas: years with less sea ice and a larger net surface radiative flux show a more negative cloud radiative effect. Our results indicate that  $66 \pm 2\%$  of this change in the net cloud radiative effect is due to the reduction in surface albedo and the remaining  $34 \pm 1\%$  is due to an increase in cloud cover/optical thickness. The overall cloud radiative damping effect is  $56 \pm 2\%$  over the Antarctic and  $47 \pm 3\%$  over the Arctic. Thus, present-day cloud properties significantly reduce the net radiative impact of sea ice loss on the Arctic and Antarctic surface radiation budgets. As a result, climate models must accurately represent present-day polar cloud properties in order to capture the surface radiation budget impact of polar sea ice loss and thus the surface albedo feedback.

## 37 1. Introduction

38 Solar radiation is the primary energy source for the Earth system and provides the energy driving  
39 motions in the atmosphere and ocean, the energy behind water phase changes, and for the energy  
40 stored in fossil fuels. Only a fraction (Loeb et al., 2018) of the solar energy arriving to the top of  
41 the Earth atmosphere (shortwave radiation, SW) is absorbed at the surface. Some of it is reflected  
42 back to space by clouds and by the surface, while some is absorbed by the atmosphere. In parallel,  
43 the Earth's surface and atmosphere emit thermal energy back to space, called outgoing longwave  
44 (LW) radiation, resulting in a loss of energy (Fig. 1). The balance between these energy exchanges  
45 determines Earth's present and future climate. The change in this balance is particularly important  
46 over the Arctic where summer sea ice is retreating at an accelerated rate (Comiso et al., 2008),  
47 surface albedo is rapidly declining, and surface temperatures are rising at a rate double that of the  
48 global average (Cohen et al., 2014; Graversen et al., 2008), impacting sub-polar ecosystems  
49 (Cheung et al., 2009; Post et al., 2013) and possibly mid-latitude climate (Cohen et al., 2014;  
50 Cohen et al. 2019).

51 Clouds play an important role in modifying the radiative energy flows that determine Earth's  
52 climate. This is done both by increasing the amount of SW reflected back to space and by reducing  
53 the LW energy loss to space relative to clear skies (Fig. 1). These cloud effects on Earth's radiation  
54 budget can be gauged using the Cloud Radiative Effect (CRE), defined as the difference between  
55 the actual atmosphere and the same atmosphere without clouds (Charlock and Ramanathan, 1985).  
56 The different spectral components of this effect can be estimated from satellite observations: the  
57 global average SW cloud radiative effect (SWcre) is negative since clouds reflect incoming solar  
58 radiation back to space resulting in a cooling effect. On the other hand, the LW cloud radiative  
59 effect (LWcre) is positive since clouds reduce the outgoing LW radiation to space generating a  
60 warming effect (Harrison et al., 1990; Loeb et al., 2018; Ramanathan et al., 1989).

61 Cloud properties and their radiative effects exhibits significant uncertainty in the polar regions  
62 (e.g., Curry et al. 1996; Kay and Gettelman 2009; Boeke and Taylor 2016; Kato et al. 2018). For  
63 instance, climate models struggle to accurately simulate cloud cover, optical depth, and cloud  
64 phase (Cesana et al., 2012; Komurcu et al., 2014; Kay et al. 2016). An accurate representation of  
65 polar clouds is necessary because they strongly modulate radiative energy fluxes at the surface, in  
66 the atmosphere, and at the TOA influencing the evolution of the polar climate systems. In addition,  
67 polar cloud properties interact with other properties of the polar climate systems (e.g., sea ice) and  
68 influence how variability in these properties affects the surface energy budget (Qu and Hall 2006;  
69 Kay and L'Ecuyer 2013; Sledd and L'Ecuyer 2019). Moreover, Loeb et al. (2019) documented  
70 severe limitations in the representation of surface albedo variations and their impact on the  
71 observed radiation budget variability in reanalysis products, motivating the evaluation of radiation  
72 budget variability over the polar seas in climate models. In this study, we use the Clouds and the  
73 Earth's Radiant Energy System (CERES) top-of-atmosphere (TOA) and surface (SFC) radiative  
74 flux datasets and 32 Coupled Model Intercomparison Project (CMIP5) climate models to estimate  
75 the relationship between the CRE and the surface radiation budget in polar regions to improve our  
76 understanding of how clouds modulate the surface radiation budget.



77

78

79 **Figure 1** Schematic representation of radiative energy flows in the polar seas under total sky  
 80 conditions (a, c) and clear sky conditions (b, d) for two contrasting surface conditions: without sea  
 81 ice (a, b) and with sea ice (c, d). All fluxes are taken positive downwards.

82

## 83 2. Methods and data

84 **2.1 CERES EBAF Ed4.0 Products:** Surface and TOA radiative flux quantities are taken from the  
85 NASA CERES Energy Balanced and Filled (EBAF) monthly data set (CERES EBAF-TOA\_Ed4.0  
86 and CERES EBAF-SFC\_Ed4.0), providing monthly, global fluxes on a  $1^\circ \times 1^\circ$  latitude-longitude  
87 grid (Loeb et al., 2018; Kato et al. 2018). CERES surface LW and SW radiative fluxes are used to  
88 investigate the effect of clouds on the surface radiation budget response to sea ice variability over  
89 the polar seas. CERES SFC EBAF radiative fluxes have been evaluated through comparisons with  
90 46 buoys and 36 land sites across the globe, including the available high-quality sites in the Arctic.  
91 Uncertainty estimates for individual surface radiative flux terms in the polar regions range from  
92 12-16  $W m^{-2}$  ( $1\sigma$ ) at the monthly mean  $1^\circ \times 1^\circ$  gridded scale (Kato et al. 2018). CERES EBAF-  
93 TOA and SFC radiative fluxes show a much higher reliability than other sources (e.g.,  
94 meteorological reanalysis) and represent a key benchmark for evaluating the Arctic surface  
95 radiation budget (Christensen et al. 2016; Loeb et al. 2019; Duncan et al. 2020).

96 In addition to radiative fluxes, cloud cover fraction (CCF) and cloud optical depth (COD) data  
97 available from CERES EBAF data are used. Monthly mean CCF and COD data are derived from  
98 instantaneous cloud retrievals using the Moderate-resolution Imaging Spectroradiometer  
99 (MODIS) radiances (Trepte et al. 2019). Instantaneous retrievals are then spatially and  
100 temporally averaged onto the  $1^\circ \times 1^\circ$  monthly mean grid consistent with CERES EBAF.

101

102 **2.2 Cloud Radiative Effect:** CRE is used as a metric to assess the radiative impact of clouds on  
103 the climate system, defined as the difference in net irradiance at TOA between total-sky and clear-  
104 sky conditions. Using the CERES Energy Balanced And Filled (EBAF) Ed4.0 (Loeb et al., 2018)  
105 flux measurements and CMIP5 simulated fluxes, CRE is calculated by taking the difference  
106 between clear-sky and total-sky net irradiance flux at the TOA. All fluxes are taken as positive  
107 downwards.

$$108 \quad SW_{cre} = SW_{total} - SW_{clear} \quad (1)$$

$$109 \quad LW_{cre} = LW_{total} - LW_{clear} \quad (2)$$

$$110 \quad NET_{cre} = SW_{cre} + LW_{cre} \quad (3)$$

111

112 **2.3 Earth's surface radiative budget:** Surface radiative fluxes are taken from the CERES SFC  
113 EBAF Ed4.0 data set (Kato et al., 2018). The net SW and LW fluxes at the surface ( $SW_{sfc}$  and  
114  $LW_{sfc}$ , respectively) are calculated as the difference between the downwelling  $SW_{down}$  ( $LW_{down}$ )  
115 and upwelling  $SW_{up}$  ( $LW_{up}$ ) as shown in equations 4 (5).

$$116 \quad SW_{sfc} = SW_{down} - SW_{up} \quad (4)$$

$$117 \quad LW_{sfc} = LW_{down} - LW_{up} \quad (5)$$

118  $NET_{sfc} = SW_{sfc} + LW_{sfc}$  (6)

119 **2.4 Sea ice concentration:** Sea ice concentration (SIC) data are from the National Snow and Ice  
 120 Data Center (NSIDC, <http://nsidc.org/data/G02202>). This data set is a Climate Data Record (CDR)  
 121 of SIC from passive microwave data and provides a consistent, daily and monthly time series of  
 122 SIC from 09 July 1987 through the most recent processing for both the North and South Polar  
 123 regions (Peng et al., 2013; W. Meier, F. Fetterer, M. Savoie, S. Mallory, R. Duerr, 2017). The data  
 124 is provided on a 25 km x 25 km grid. We used the latest version (Version 3) of the SIC CDR  
 125 created with a new version of the input product, from Nimbus-7 SMMR and DMSP SSM/I-SSMIS  
 126 Passive Microwave Data.

127 **2.5 Polar seas:** We define the polar seas as ocean regions where the monthly SIC is larger than  
 128 10% at least one month during 2001-2016 period. Polar seas extent is shown in Figure S1.

129 **2.6 CMIP5 Models** To reconstruct the historical CRE and surface energy budget and project their  
 130 future changes, we used an ensemble of simulations conducted with 32 climate models (models  
 131 used are shown in Figure 3 and S3) contributing to the Coupled Model Intercomparison Project  
 132 Phase 5 (CMIP5) (Taylor et al., 2012). These model experiments provided: historical runs (1850-  
 133 2005) in which all external forcings are consistent with observations and future runs (2006-2100)  
 134 using the RCP8.5 emission scenarios (Taylor et al., 2012). The comparison with the satellite data  
 135 is made over 2001-2016 by merging historical runs 2001-2005 with RCP8.5 2006-2016.

136 **2.7 Estimation of the local variations in radiative flux, cloud cover, and cloud optical depth**  
 137 **concurrent with changes in sea ice concentration**

138 This study employs a novel method for quantifying the variations in radiative fluxes and cloud  
 139 properties with SIC. This methodology leverages inter-annual variability of sea ice cover to assess  
 140 these relationships. Figure 2 schematically shows the methodology based on the following steps.  
 141 We use SW as an example and apply the approach in the same way to other variables.

142 1)  $\Delta SW_j$  values are summarized in a schematized plot (Figure 2a) where each cell  $j$  in such plot  
 143 shows the average  $\Delta SW_m$  observed for all possible combinations of SIC at a grid box between two  
 144 consecutive observation years (year  $y_i$  and  $y_{i+1}$  from time period 2001-2016) displayed on the X  
 145 and Y axes, respectively. For the sake of clarity in Figure 2 the X and Y axes report SIC in intervals  
 146 of 10%, while in Figure 5, 6, 7, S5 and S6 the axes are discretized with 2% bins.

147 2) Because of the regular latitude/longitude grid used in the analysis, the area of the grid cells ( $a_m$ )  
 148 varies with the latitude. The energy signal ( $\Delta SW_j$ ) is therefore computed as an area weighted  
 149 average (Equation 7) where M is the number of grid cells that are used to compute cell  $j$  in the  
 150 schematised plot Fig 2a. Figure 2b shows the total area of all these grid cells as described by  
 151 Equation 8.

152 
$$\Delta SW_j = \frac{\sum_{m=1}^M a_m \Delta SW_m}{\sum_{m=1}^M a_m} \quad (7)$$

153  $A_j = \sum_{m=1}^M a_m$  (8)

154

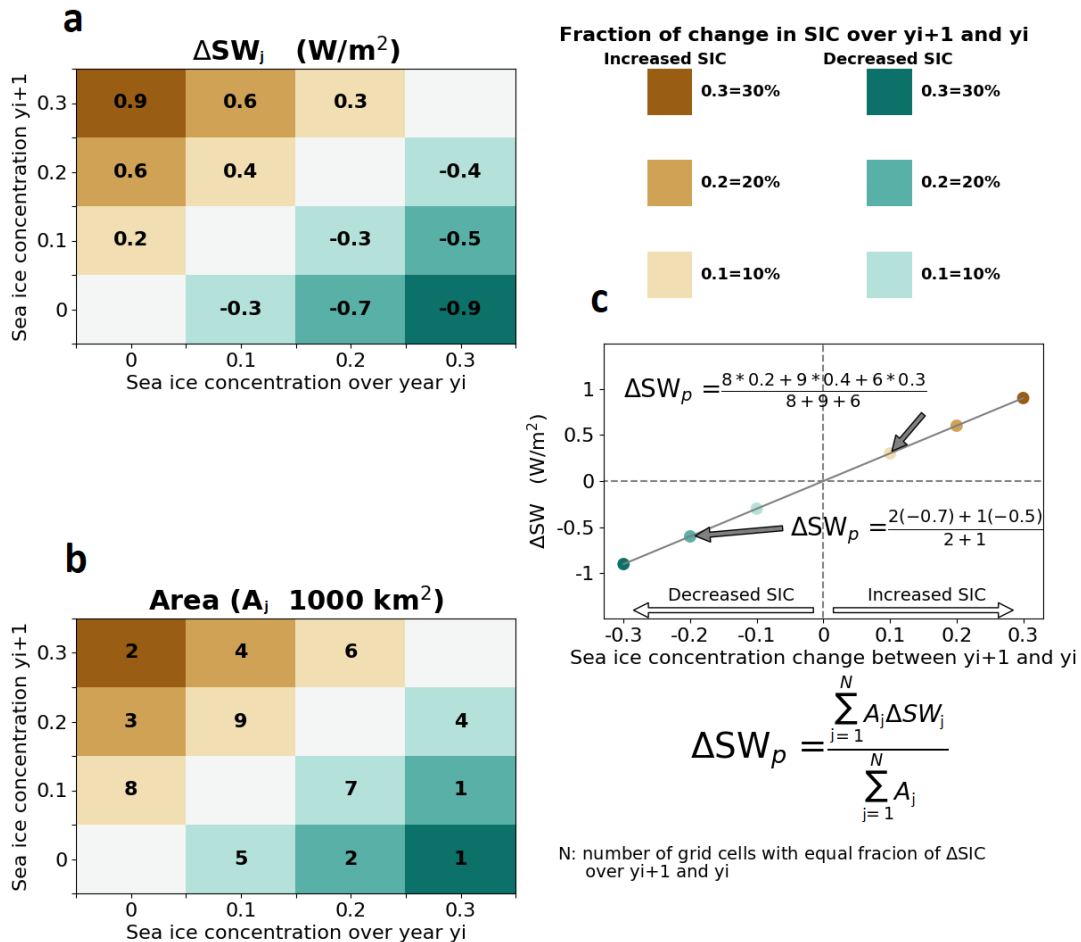
155 3) Calculation of the area weighted average ( $\Delta SW_p$ ) of the energy signal of all  $N$  cells with the  
 156 same fraction  $X$  of a change in SIC (shown with the same colour in Figure 2a) Equation 9.

157 
$$\Delta SW_p = \frac{\sum_{j=1}^N A_j \Delta SW_j}{\sum_{j=1}^N A_j}$$
 (9)

158  $\sum_{j=1}^N A_j$  is the total area of all grid cells with a particular SIC change.

159  $\Delta SW_p$  is the energy weighted average of all grid cells with a particular SIC change.

160



161

162 **Figure 2** Schematic representation of the methodology used to quantify the energy flux sensitivity  
 163 to changes in sea ice concentration as a linear regression between the percentage of sea ice  
 164 concentration and the variation in energy flux (right panel) using SW energy flux data and sea ice  
 165 concentration defined in the left panels.

166

167 The average energy signals ( $\Delta SW_p$ ) per class of sea ice concentration change are reported in a  
 168 scatterplot (Fig. 2 right panel) and used to estimate a regression line with zero intercept.

169 The slope  $S$  of this linear regression represents the local SW energy signal generated by the  
 170 complete sea ice melting of a  $1^\circ$  grid cell. The weighted root mean square error (WRMSE) of the  
 171 slope is estimated by Equation 10, where  $p$  represents one of the  $NP$  points in the scatterplot (Fig.  
 172 2 right panel) and  $X_p$  is the relative change in sea ice concentration in the range  $\pm 1$  (equivalent to  
 173  $\pm 100\%$  of sea ice cover change).

$$174 \quad WRMSE = \sqrt{\frac{\sum_{p=1}^{NP} A_p (\Delta SW_p - S X_p)^2}{\sum_{p=1}^{NP} A_p}}, \quad \text{where } A_p = \sum_{j=1}^N A_j \quad (10)$$

## 175 2.8 Diagnosis of contributions to SWcre

176 SWcre at the surface for the year  $y_i$  (Eq. 11) and year  $y_{i+1}$  (Eq. 12) is function of surface albedo  
 177  $\alpha$ , SWdown under clear sky conditions ( $SW \downarrow_{clr}$ ) and SWdown under total sky conditions  
 178 ( $SW \downarrow_{tot}$ ).

$$179 \quad SWcre_{y_i} = (1 - \alpha_{y_i})(SW \downarrow_{tot,y_i} - SW \downarrow_{clr,y_i}) \quad (11)$$

$$180 \quad SWcre_{y_{i+1}} = (1 - \alpha_{y_{i+1}})(SW \downarrow_{tot,y_{i+1}} - SW \downarrow_{clr,y_{i+1}}) \quad (12)$$

181

182 Using the first-order Taylor series expansion to (11) yields

$$183 \quad \Delta SWcre_{y_{i+1}-y_i} \cong$$

$$184 \quad (-\Delta \alpha_{y_{i+1}-y_i})(SW \downarrow_{tot,y_i} - SW \downarrow_{clr,y_i}) + (1 - \alpha_{y_i}) \Delta_{y_{i+1}-y_i} (SW \downarrow_{tot} - SW \downarrow_{clr}) \quad (13)$$

185

186 Where

$$187 \quad \Delta_{y_{i+1}-y_i} (SW \downarrow_{tot} - SW \downarrow_{clr}) \cong (SW \downarrow_{tot,y_{i+1}} - SW \downarrow_{clr,y_{i+1}}) - (SW \downarrow_{tot,y_i} - SW \downarrow_{clr,y_i}) \quad (14)$$

188

189 Separating the terms yields,

$$190 \quad \Delta SWcre_{Alb} \cong (-\Delta \alpha_{y_{i+1}-y_i})(SW \downarrow_{tot,y_i} - SW \downarrow_{clr,y_i}) \quad (15)$$

191 Where  $\Delta SWcre_{Alb}$  is the part of SWcre change that is induced by the change in surface albedo.

192

$$193 \quad \Delta SWcre_{cloud} \cong (1 - \alpha_{y_i}) \Delta_{y_{i+1}-y_i} (SW \downarrow_{tot} - SW \downarrow_{clr}) \quad (16)$$

194 Where  $\Delta SWcre_{cloud}$  is the part of SWcre change that is induced by the change in cloud cover and cloud  
 195 optical depth.

196  $\Delta SWcre_{y_{i+1}-y_i} \cong \Delta SWcre_{Alb} + \Delta SWcre_{cloud}$  (17).

197 The above equations are used in figure 7 and S5.

198

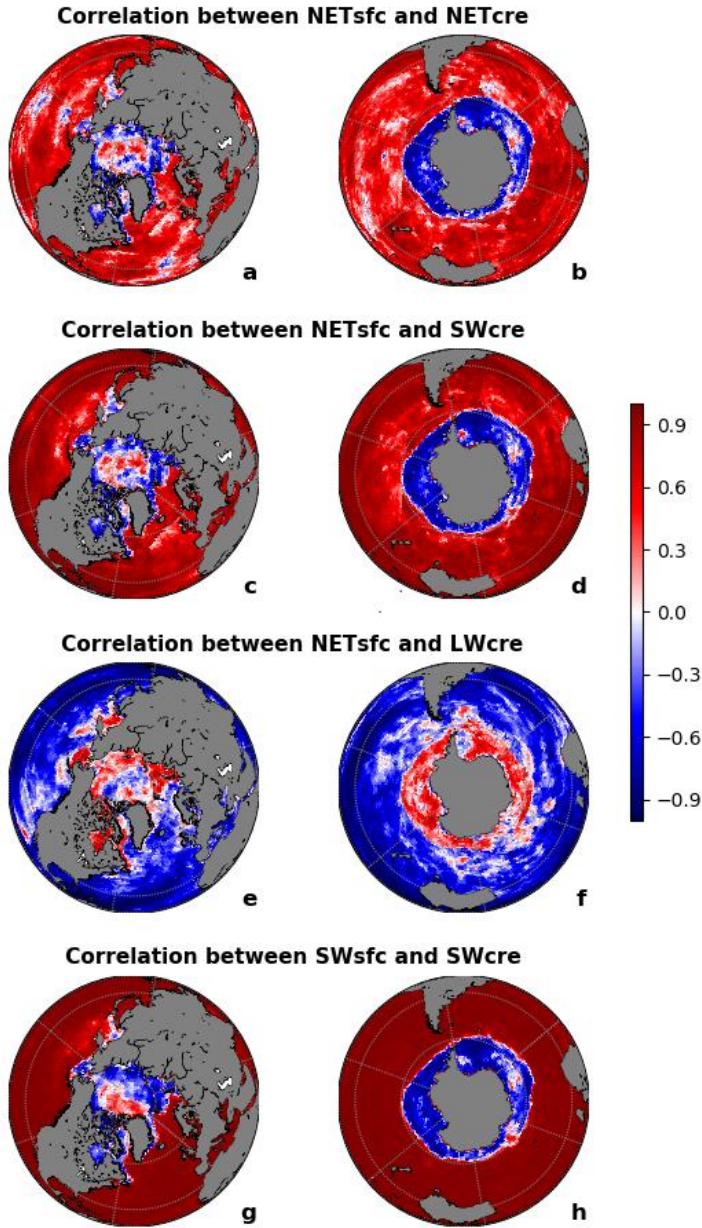
### 199 **3. Results and discussions**

#### 200 **3.1 Negative correlation patterns between cloud radiative effect and surface radiation on** 201 **polar seas**

202

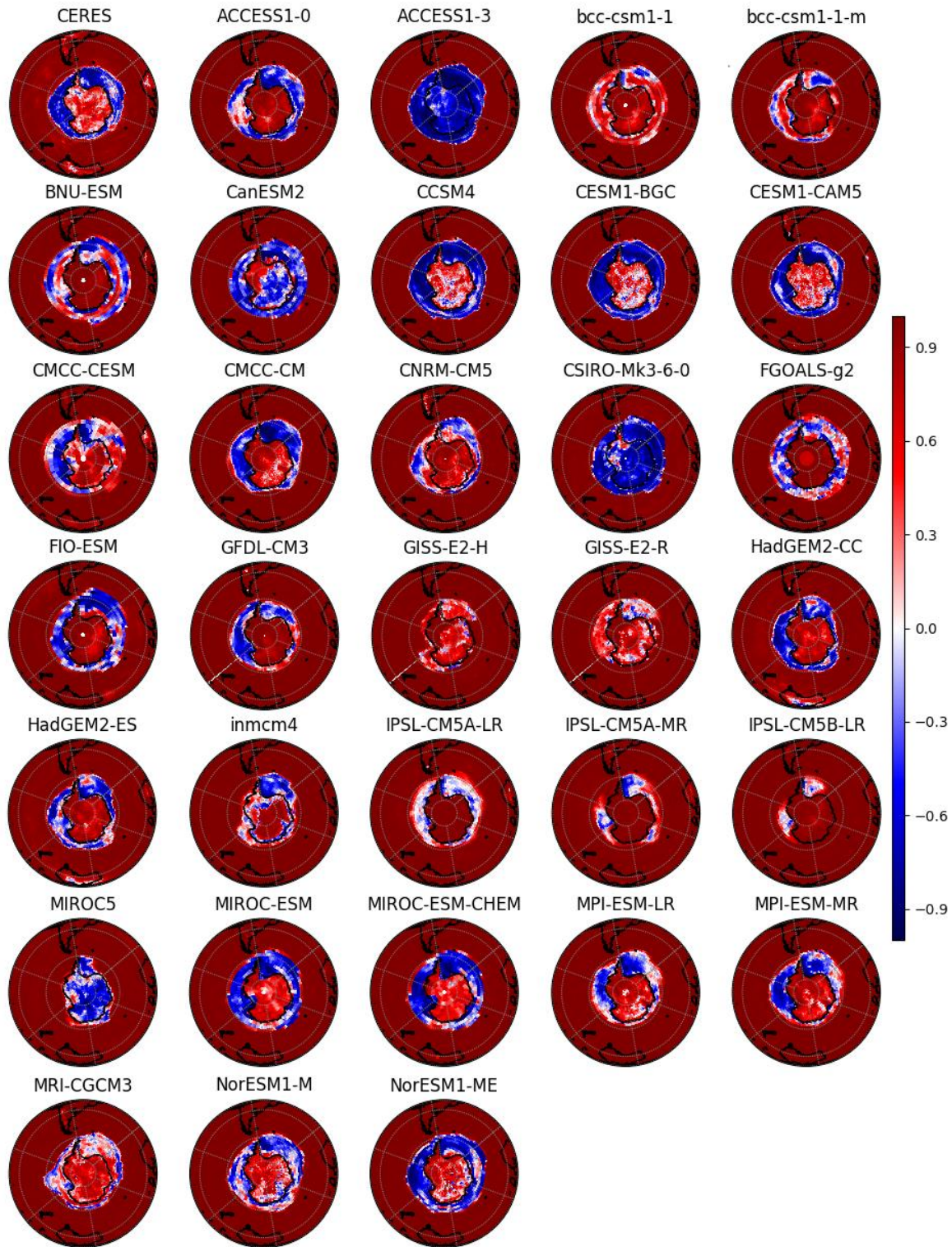
203 Given the known cloud influence on the surface radiative budget, a positive correlation between  
204 TOA CRE and surface radiative budget is expected (the amount of absorbed radiation at the surface  
205 decreases with a more negative SWcre and a less positive LWcre). Figure 3 illustrates a positive  
206 correlation between the annual mean NETcre and NETsfc over much of the global ocean using the  
207 CERES TOA flux data from 2001-2016. However, our analysis reveals the opposite pattern over  
208 the polar seas (defined in section 2.5) where the correlation is negative over the Antarctic and  
209 partly negative over the Arctic (Bering Strait, Hudson Bay, Barents Sea and the Canadian  
210 Archipelago; Fig. 3ab). Considering the SWcre and LWcre components, we find that the SWcre  
211 (Fig. 3cd) shows a similar pattern of correlation as the NETcre (Fig. 3ab) but with a stronger  
212 magnitude, while LWcre generally shows the opposite correlations (Fig. 3ef). This suggests that  
213 the factors influencing SWcre are responsible for the sharp contrast in the correlation found in the  
214 polar regions. Indeed, SWsfc and SWcre (Fig. 3gh) show the sharpest and most significant contrast  
215 between the polar regions and the rest of the world (Fig. S2 is similar to Fig. 3 but only significant  
216 correlations at the 95% confidence level are reported in blue and red colors). Overall, climate  
217 models are able to reproduce the spatial pattern of the observed SW correlation, but also show a  
218 large inter-model spread in the spatial extent of the phenomena (Fig. 4 and S3). On the other hand,  
219 several models completely fail to reproduce the correlation. ACCESS1-3, MIROC5, CanESM2  
220 and CSIRO-Mk3-6-0 models show negative correlation over Antarctic continent in contrast to  
221 observed positive correlation. Some models, like IPSL-CM5B-LR, GISS-E2-R and bcc-csm1-1,  
222 fail to reproduce the observed negative correlation over the Southern Ocean. This suggests that  
223 these models contain misrepresentations of the relationships SWcre and NETsfc likely resulting  
224 from errors in the relationships between sea ice, surface albedo, cloud cover/thickness, and their  
225 influence on surface radiative fluxes that could severely impact their projections. Moreover, Fig.  
226 4 demonstrates that simple correlations between NETsfc and the individual radiation budget terms  
227 represents a powerful metric for climate model evaluation allows for a quick check for realistic  
228 surface radiation budget variability in polar regions.





229

230 **Figure 3** Correlation between TOA CRE and surface radiation budget terms over 2001-2016 from  
 231 CERES measurements for the Northern Hemisphere (aceg) and Southern Hemisphere (bdfh) polar  
 232 sea. Positive correlations shown by the red color indicate that years with less NETsfc coincide  
 233 with years where NETcre has a stronger cooling effect and *vice versa*.



234

235 **Figure 4** Correlation between SWcre and SWsfc shown by 32 CMIP5 earth system models and  
 236 CERES between 2001 and 2016 over the Southern Hemisphere.

237

### 238 3.2 Effects of sea ice concentration change

239  
240 We illustrate that the apparent contradiction over the polar seas between NETcre and NETsfc  
241 found in Fig 3ab is caused by the factors contributing to the SW fluxes. This can be explained by:  
242 (I) SWcre can change even if cloud properties are held constant due to the changes in clear-sky  
243 radiation induced by changes in sea ice and surface albedo. When surface albedo is reduced, the  
244 surface absorbs more sunlight at the surface resulting in a greater SWtotal. At the same time,  
245 SWclear increases since the lower albedo allows a larger fraction of the extra downwelling SW at  
246 the surface to be absorbed (see Fig. 1). Therefore, SWcre becomes more negative even in the  
247 absence of cloud changes (a purely surface-related effect); (II) On the other hand, the relationship  
248 between cloud cover/thickness and sea ice could lead to cloudier Polar seas under melting sea ice  
249 (Abe et al., 2016; Liu et al., 2012) such that the SWcre decreases (increasing the amount of SW  
250 reflected back to space by clouds, see Fig. 1), thus the cloud cooling effect is enhanced  
251 concurrently with melting sea ice (a purely cloud-related effect). Both of these factors occur  
252 simultaneously.

253  
254 Over the Antarctic Ocean, analysis of the year-to-year changes in SWdown stratified in 2% SIC  
255 bins retrieved from satellite microwave radiometer measurements (see section 2.7) shows an  
256 increase in SWdown with increased SIC and *vice-versa* (Fig. 5a). This suggests that years with  
257 higher SIC also have fewer and/or thinner clouds (Liu et al., 2012) (Fig. 6), larger SWdown and  
258 also larger upward SW radiation (SWup) (Fig. 5b), due to higher surface albedo (Fig. S4).  
259 Consequently, these years show a more negative SWsfc (Fig. 5c) and thus are characterized by  
260 stronger surface cooling. Furthermore, fewer clouds implies a reduction of the cloud cooling effect  
261 (less negative SWcre) as described above in process (II), this accounts for  $(19.42 * 100)/56.59 =$   
262  $34 \pm 1\%$  (Fig. 7d bottom) of the total change in SWcre, and as described in process (I) the increase  
263 in the surface albedo also makes SWcre less negative and explains  $(37.17 * 100)/56.59 = 66 \pm$   
264  $2\%$  of the observed change (Fig. 7d bottom). Thus, the observed negative correlation between  
265 SWcre and SWsfc over the polar seas results from the larger effects of process (I) than (II). Similar  
266 results are found over the Arctic Ocean with slightly different sensitivity (Fig. S5, S6). This  
267 difference is tied to differences in sun angle/available sunlight, as Antarctic sea ice is concentrated  
268 at lower latitudes than Arctic sea ice.

269  
270 Using the regression relationships derived from our composite analysis, we estimate the magnitude  
271 of the cloud effect. For the Antarctic system, we use the numbers found in Figure 5e where we  
272 find the annual mean relationship between NETsfc (in W/m<sup>2</sup>) and SIC (fraction between 0 and 1),  
273 and NETcre (in W/m<sup>2</sup>) and SIC (fraction between 0 and 1).

$$274 \Delta \text{NETsfc} = (-36.61 \pm 0.72) \Delta \text{SIC} \quad (18)$$

$$275 \Delta \text{NETcre} = (47.03 \pm 1.01) \Delta \text{SIC} \quad (19)$$

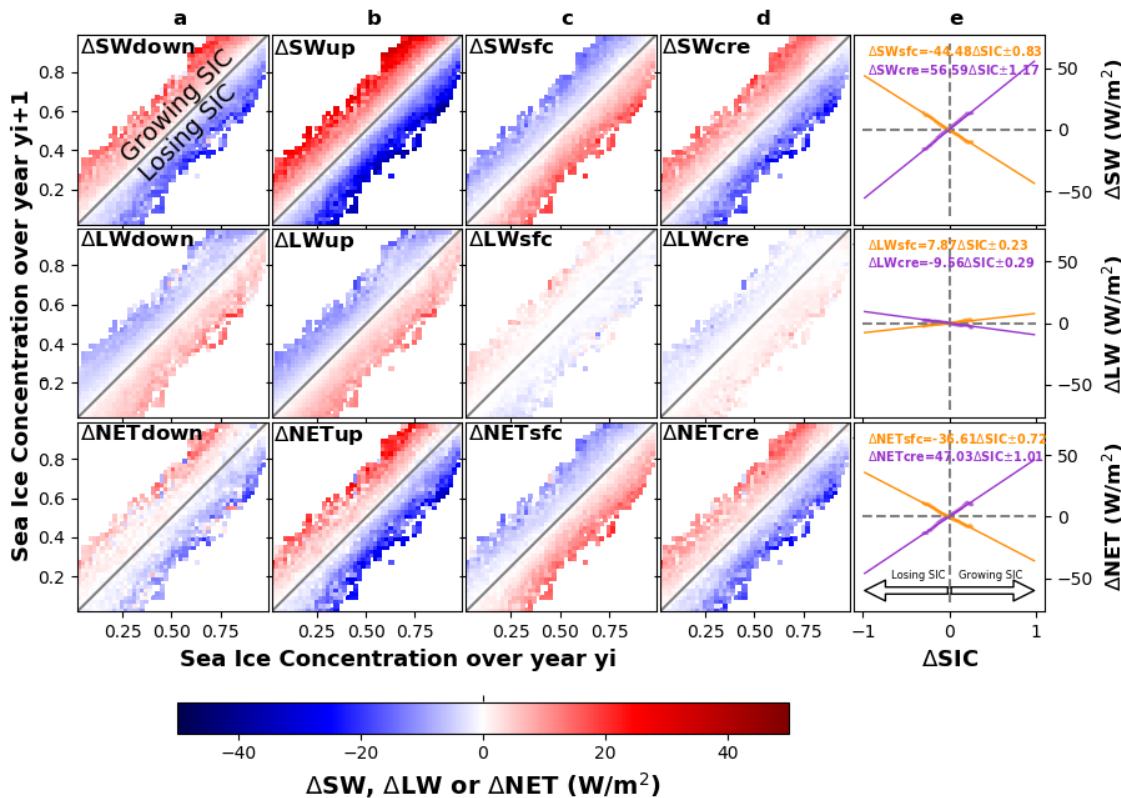
276  
277 When excluding the CRE, the  $\Delta \text{NETsfc}$  would be equal to  $(-36.61 - 47.03) \Delta \text{SIC} = -83.64 \Delta \text{SIC}$ .

278 We estimate that the existence of clouds and their property variations are damping the potential

279 increase in the NETsfc within the Antarctic system due to the surface albedo decrease from sea ice  
 280 melt by 56% (47.03/83.64). The uncertainty is calculated by summing the uncertainties shown in  
 281 equation (18) and (19) as follows:  $(0.72^2+1.01^2)^{1/2}/83.64=2\%$ .

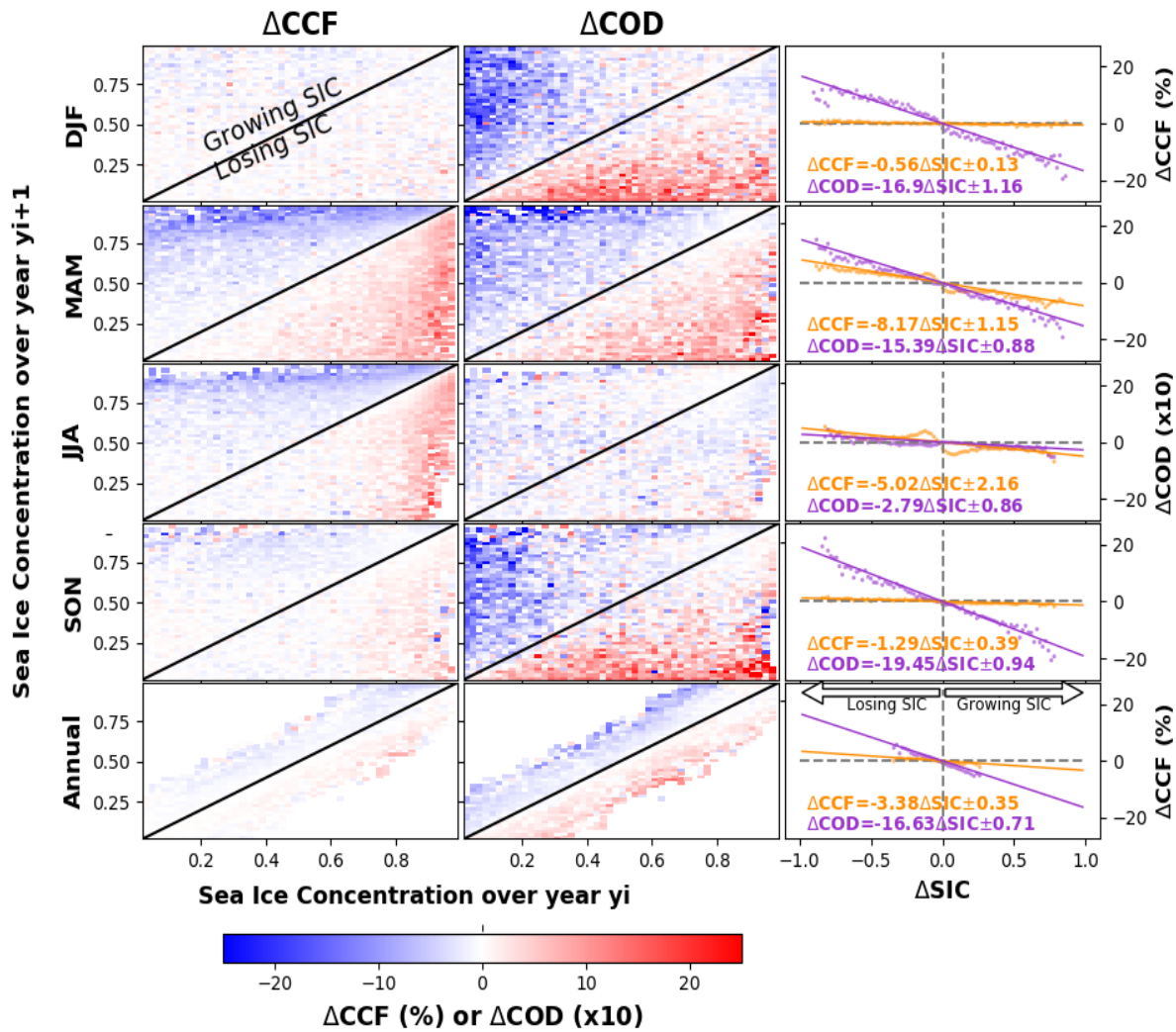
282 Similarly, over the Arctic (Fig. S5), we compute the cloud influence on the surface net radiative  
 283 budget that covaries with sea ice loss is  $47\pm 3\%$ , in agreement with the study of Sledd and L'Ecuyer  
 284 (2019).

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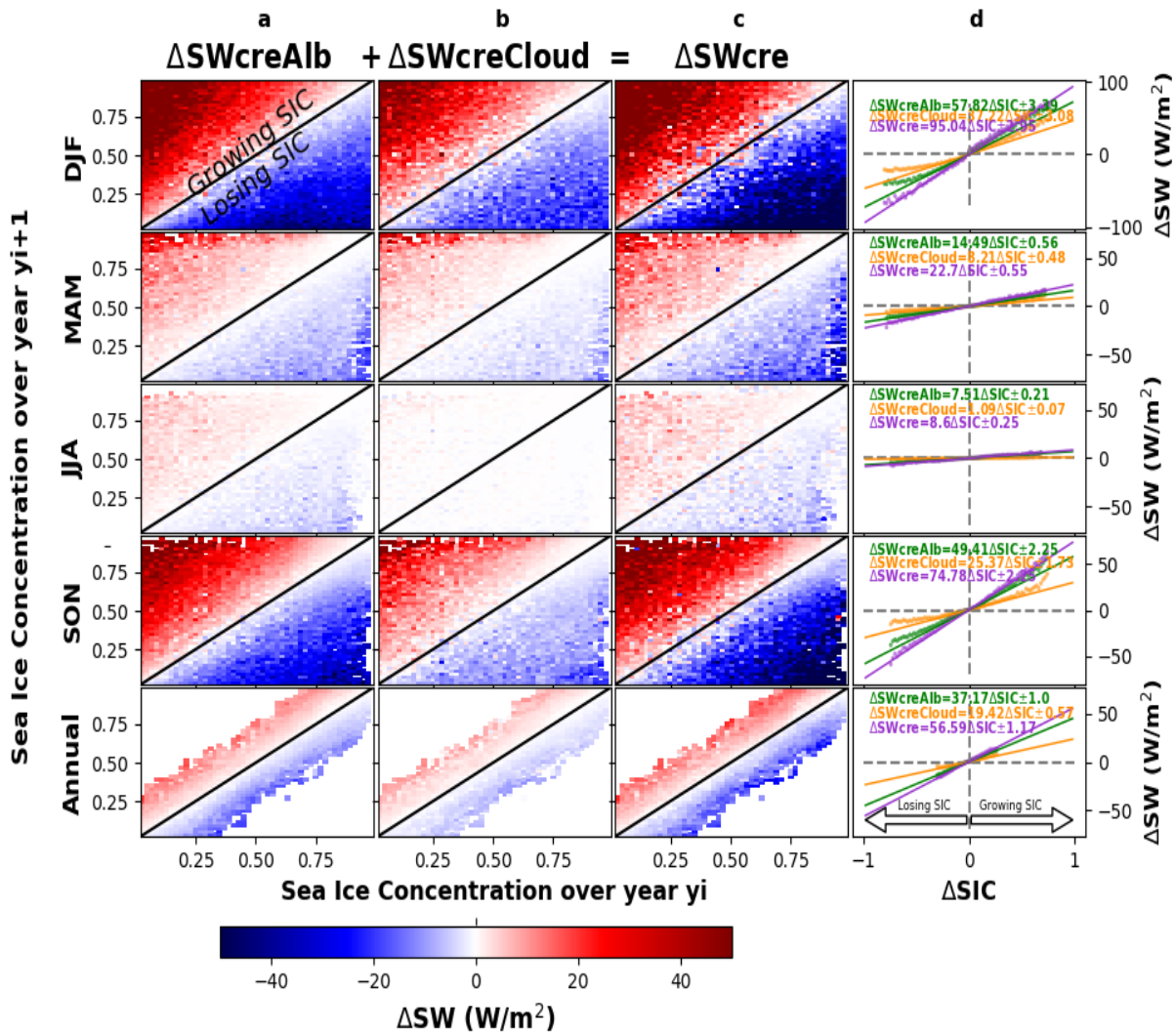


286  
 287 **Figure 5** Annual changes in SW, LW and NET as function of SIC. Annual changes in SW (top),  
 288 LW (middle) and NET (bottom) of radiative down (a), up (b), sfc=down-up (c) and cre (d) over  
 289 Antarctic Ocean as function of SIC change between two consecutive years  $y_{i+1}$  and  $y_i$  from 2001-  
 290 2016 time period. The top triangles in (c top) refers to the increase (growing) in SIC while the blue  
 291 color means a reduction (cooling) in SWsfc. Whereas, the top triangles in (d) refers to the increase  
 292 in SIC while the red color means an increase (decreasing the cooling role of clouds) in SWcre.  
 293 Each dot in column (e) represents the average of one parallel to the diagonal in (c) or (d) as  
 294 described in the Section 2.7.

295



296  
 297 **Figure 6** Seasonal and annual changes in cloud cover fraction (CCF) and cloud optical depth  
 298 (COD) over the Antarctic polar sea region as a function of SIC change between two consecutive  
 299 years  $y_{i+1}$  and  $y_i$  from 2001-2016 time period. In order to use the same scale, COD has been  
 300 multiplied by a factor 10. The top triangles in the two first columns refer to the increase (growing)  
 301 in SIC while the blue color means a reduction in CCF or COD.  
 302



303

304 **Figure 7** Seasonal and annual changes in SWcreAlb, SWcreCloud and SWcre over the Antarctic  
 305 polar sea region as function of SIC change between two consecutive years  $y_{i+1}$  and  $y_i$  from 2001-  
 306 2016 time period. The analysis is based on method described in section 2.7 and observations from  
 307 satellites data.

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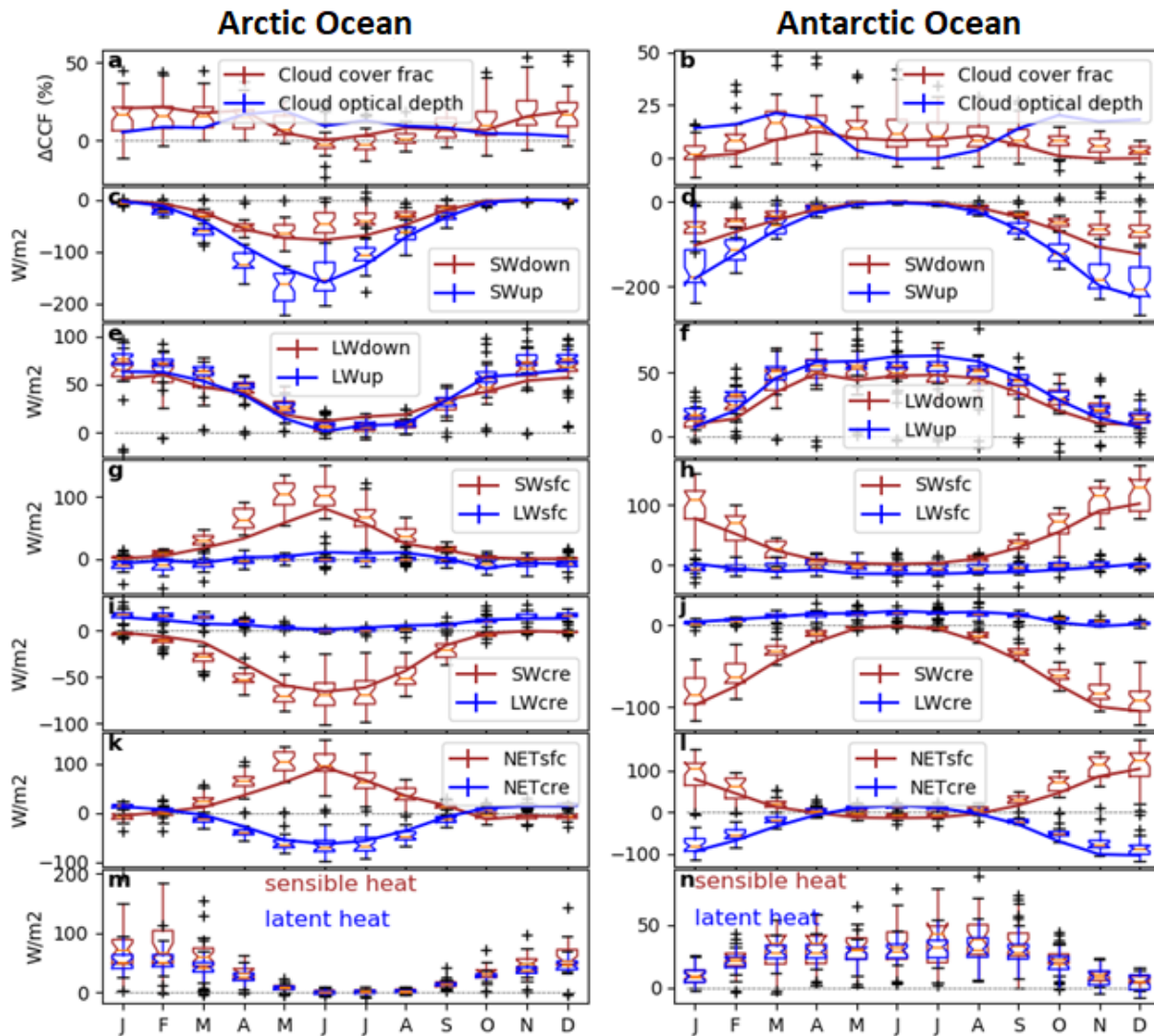
315 Altogether the results suggest clouds substantially reduce the impact of sea ice loss on the surface  
316 radiation budget and thus the observed sea ice albedo feedback. This effect in the polar climate  
317 system leads to a substantial reduction ( $56\pm 2\%$  over the Antarctic and  $47\pm 3\%$  over the Arctic) of  
318 the potential increase in NETsfc in response to sea ice loss. This magnitude is similar to a previous  
319 study (Qu and Hall 2006) showing across a climate model ensemble that clouds damped the TOA  
320 effect of land surface albedo variations by half. Sledd and L'Ecuyer (2019) also determined that  
321 the cloud damping effect (also referred to as cloud masking) of the TOA albedo variability results  
322 from Arctic sea ice changes was approximately half. Despite this mechanism, the sharp reduction  
323 in Arctic surface albedo has dominated the recent change in the surface radiative budget and has  
324 led to a significant increase in NETsfc since 2001 in the CERES data (Duncan et al. 2020). These  
325 results demonstrate that the trends in polar surface radiative fluxes are driven by reductions in SIC  
326 and surface albedo and that clouds have partly mitigated the trend (i.e., a damping effect). Our  
327 findings highlight the importance of processes that control sea ice albedo (i.e. sea ice dynamics,  
328 snowfall, melt pond formation, and the deposition of black carbon), as the surface albedo of the  
329 polar seas in regions of seasonal sea ice is crucial for the climate dynamics.

### 330 **3.3 Sensitivity of the surface energy budget to variability of sea ice concentration**

331 Our results are consistent with other recent studies (Taylor et al., 2015; Morrison et al. 2018) that  
332 demonstrate a CCF response to reduced sea ice in fall/winter but not in summer (Figure 8a) over  
333 the Arctic Ocean. The lack of a summer cloud response to sea ice loss is explained by the prevailing  
334 air-sea temperature gradient, where near surface air temperatures are frequently warmer than the  
335 surface temperature (Kay and Gettelman 2009). Surface temperatures in regions of sea ice melt  
336 hover near freezing due to the phase change, whereas the atmospheric temperatures are not  
337 constrained by the freezing/melting point. Despite reduced sea ice cover, increases in surface  
338 evaporation (latent heat) are limited (Fig. 8mn), as also suggested by the small trends in surface  
339 evaporation rate derived from satellite-based estimates (Boisvert and Stroeve, 2015; Taylor et al.,  
340 2018). We argue that the strong increase of SWcreCloud under decreased sea ice observed during  
341 summer is induced by larger values of COD (Fig. 8a), which depend on the liquid or ice water  
342 content. We also show that the relationships derived from our observation-driven analysis match  
343 the projected changes in the Arctic and Antarctic surface energy budget in the median CMIP5  
344 model ensemble (Fig. 8). However, we find a large spread amongst climate models that indicates  
345 considerable uncertainty.

346 Analyzing the seasonal cycle of the sensitivity of the surface energy budget to SIC variability, we  
347 found that SWsfc (SWcre) explains most of the observed changes in the NETsfc (NETcre) during  
348 summer, while LWsfc plays a minor role (Fig. 8). In contrast, during winter LWsfc (LWcre)  
349 explains most of the observed changes in the NETsfc (NETcre). In general, the median of the 32  
350 CMIP5 (Taylor et al., 2012) climate models captures the observed sensitivity of the radiative  
351 energy budget and cloud cover change to SIC but the spread between climate models is large,  
352 especially for CCF. We have to note here that, the numbers reported in Figure 8 are for 100% SIC  
353 loss, while the ones reported in the previous figures (Fig. 5, 6 and 7) are for 100% SIC gain,  
354 explaining the opposite sign.

355



357  
 358 **Figure 8** Monthly change in different terms of the radiative energy balance, cloud optical depth  
 359 (COD) and cloud cover fraction (CCF) extrapolated from observations for a hypothetical 100%  
 360 decrease in SIC over the areas where SIC change was observed during the period 2001-2016. This  
 361 estimate came from the use of a linear interpolation of the change of different parts of the energy  
 362 budget, COD and CCF as function of a change in SIC coming from all possible combinations of  
 363 couplets of consecutive years for a given month from 2001 to 2016 and for all grid cells for which  
 364 SIC is larger than zero in one of the two years (see section 2.7). CERES data are shown by solid  
 365 lines (the standard deviation of the slopes are also reported but are too small to be visible) while  
 366 CMIP5 models are shown by boxplot and the box (are in same color as observations) represents  
 367 the first and third quartiles (whiskers indicate the 99% confidence interval and black markers show  
 368 outliers). In order to use the same scale, COD has been multiplied by a factor 10.

369

370



### 371 **3.4 Projections and uncertainties of cloud radiative effects on surface energy budget**

372 Under the RCP8.5 scenario (“business as usual”; Taylor et al., 2012), CMIP5 models show an  
373 increase in SWsfc over the Arctic Ocean (Fig. 9a), consistent with the expected decrease in the  
374 SIC (Comiso et al., 2008; Serreze et al., 2007; Stroeve et al., 2007). This increase in SWsfc occurs  
375 despite the large, concurrent and opposing change in SWcre. Projections of LW flux changes (Fig.  
376 9c) are expected to play a small, but non-negligible role on total energy budget in summer by  
377 slightly increasing NETsfc (Fig. 9e). In addition, CMIP5 models indicate that by 2100 the  
378 magnitude of the NETcre decrease will be slightly smaller than the increase in NETsfc (Fig. 9e)  
379 over the Arctic Ocean; while, the Antarctic polar sea region shows the opposite (Fig. 9f). This is  
380 in line with the estimated damping effect of clouds coming from CERES over 2001-2016 that is  
381 about  $47\pm 3\%$  in the Arctic and  $56\pm 2\%$  in the Antarctic. The stronger cloud damping effect in the  
382 Antarctic region is indicated by the stronger negative change in NETcre in the Antarctic compared  
383 to the Arctic (Fig. 9ef).

384  
385 Large uncertainties remain in the rate of summer sea ice decline and the timing of the first sea ice-  
386 free Arctic summer (Arzel et al., 2006; Zhang and Walsh, 2006). The processes responsible for  
387 the large inter-model spread between climate models are still under scrutiny (Holland et al., 2017;  
388 Simmonds, 2015; Turner et al., 2013). However, recent studies reaffirm the important role of the  
389 sea ice albedo feedback and the associated increased upper Arctic Ocean heat content (Holland  
390 and Lundrum 2015; Boeke and Taylor 2018) as well as the contributions from temperature-related  
391 feedbacks (Pithan and Maruitsen 2014; Stuecker et al. 2018). Figure 9gh shows that the annual  
392 mean Arctic and Antarctic sea ice extent trend from 32 CMIP5 models possesses a large positive  
393 correlation with the simulated trend in the SWdown, in line with previous studies (Holland and  
394 Lundrum 2015). We note that from the 32 CMIP5 models tested, only a few show SWdown trends  
395 consistent with observed trends in SWdown and SIC over 2001-2016 (Figure 9gh). Understanding  
396 the factors responsible for this disagreement between model-simulated and observed trends in  
397 SWdown and SIC may provide insights into the processes responsible for the inter-model  
398 spread in Arctic climate change projections and are the subject of future work. We also find that  
399 the models with a larger trend in cloud cover also possess a larger decrease in sea ice extent,  
400 suggesting a stronger coupling between these two variables that may become stronger in the future.  
401 However, the direction of causality between the two variables is unclear and also requires further  
402 study.

403

### 404 **4. Conclusion**

405 The manuscript addresses two important climate science topics, namely the role of clouds and the  
406 fate of polar sea ice. The work is grounded in a long time series of robust satellite observations  
407 that allowed us to document an important damping effect in the polar cloud-sea ice system using  
408 a unique inter-annual approach. Our results agree with several previous works that approached the  
409 problem from a different perspective (Hartmann and Ceppi 2014; Sledd and L’Ecuyer 2019). In

410 addition, we show how 32 state-of-the-art climate models represent aspects of the surface radiation  
411 budget over the polar seas.

412

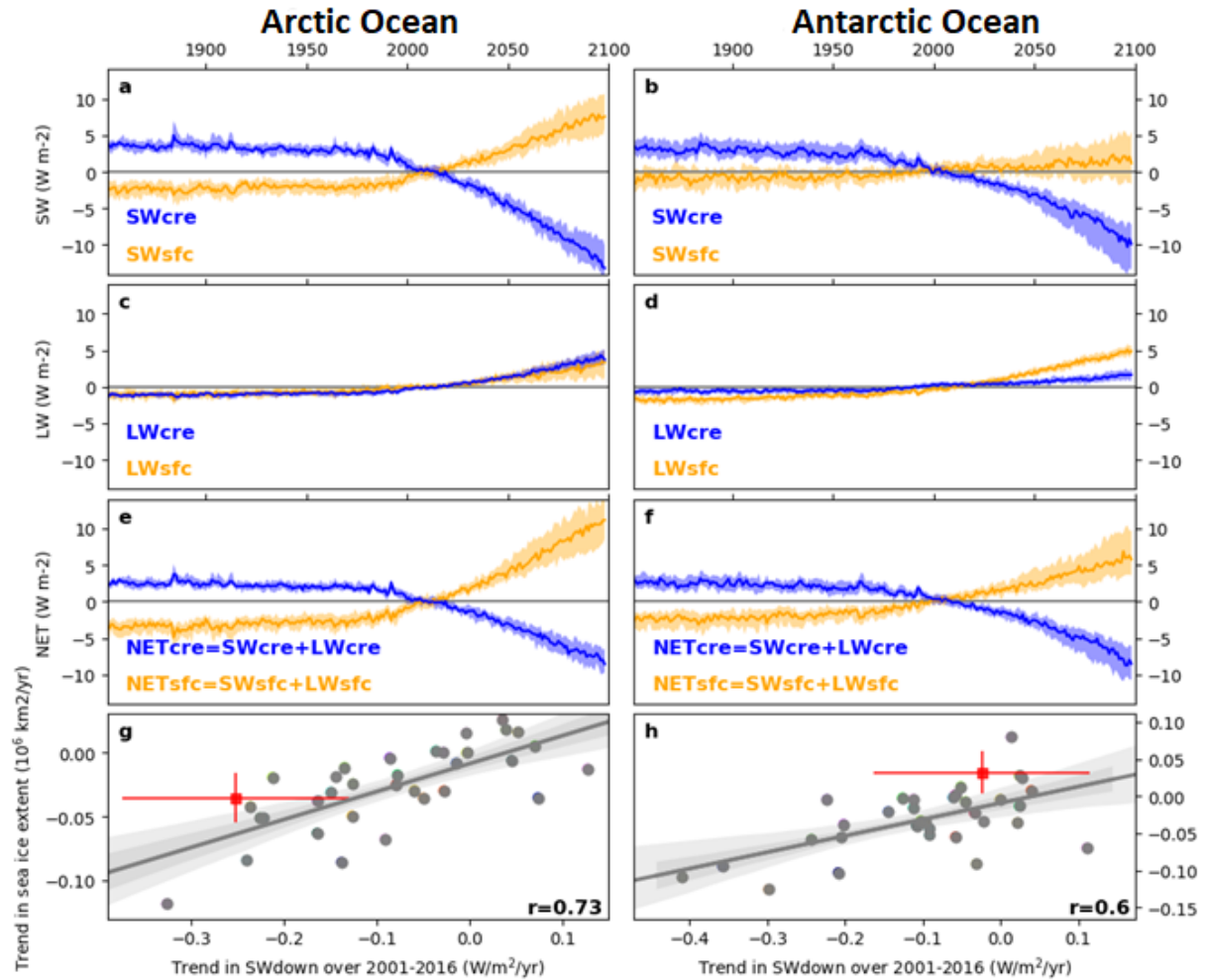
413 Our data-driven analysis shows that polar sea ice and clouds interplay in a way that substantially  
414 reduces the impact of the sea ice loss on the surface radiation budget. We found that when sea ice  
415 cover is reduced between two consecutive years, the cloud radiative effect becomes more negative,  
416 damping the total change in the net surface energy budget. The magnitude of this effect is  
417 important. Satellite data indicates that the more negative cloud radiative effect reduces the  
418 potential increase of net radiation at the surface by approximately half. One-third of this cloud  
419 radiative effect change is induced by the direct change in cloud cover/thickness, while two-thirds  
420 of this change results from the surface albedo change.

421

422 In addition, we demonstrated that the models that show larger trends in polar sea ice extent also  
423 show larger trends in surface net solar radiation. In order to understand current and future climate  
424 trajectories, model developments should aim at reducing uncertainties in the representation of  
425 polar cloud processes in order to improve the simulation of present-day cloud properties over the  
426 polar seas. Present-day Arctic and Antarctic cloud properties strongly influence the model  
427 simulated cloud damping effect on the radiative impacts of sea ice loss.

428

429 Future cloud changes and sea ice evolution represent major uncertainties in climate projections  
430 due to the multiple relevant pathways through which cloudiness and sea ice feed back on Earth's  
431 climate system (Solomon et al. 2007). Our evidence derived from Earth observations provides  
432 additional insight into the coupled radiative impacts of polar clouds and the changing sea ice cover  
433 (Fig. 8) that may provide a useful constraint on model projections and ultimately improve our  
434 understanding of present and future polar climate. On a practical level, our results demonstrate a  
435 simple correlation analysis between the net surface radiation budget and individual radiation  
436 budget terms that can be used to quickly evaluate climate models for realistic surface radiation  
437 budget variability in polar regions. Ultimately, our findings on the interplay between cloud and  
438 sea ice may support an improvement in the model representation of the cloud-ice interactions,  
439 mechanisms that may substantially affect the speed of the polar sea ice retreat, which in turn has a  
440 broad impact on the climate system, on the Arctic environment and on potential economic  
441 activities in the Arctic region (Buxadé Farré et al., 2014).



442

443 **Figure 9** Time series of the anomaly with respect to the whole period 1850-2100 of the radiative  
 444 flux. Mean modeled SWcre, LWcre and NETcre (blue) and surface SWsfc, LWsfc and NETsfc  
 445 (orange) anomalies over the 1850-2100 period under RCP8.5 scenario averaged over the Arctic  
 446 Ocean. The solid line shows the median, where the envelope represents the 25 and 75 percentile  
 447 of the 32 CMIP5 models. The linear regression (grey solid line and its 68% (dark grey envelope)  
 448 and 95% (light grey envelope) confidence interval) between: the trend in SWdown and trend in  
 449 sea ice extent (g and h); of the 32 CMIP5 climate models shown by grey dots over 2001-2016. The  
 450 observed trends are shown by red colors where confidence interval refers to standard error of the  
 451 trend.

452

453

454

455

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459 well as the modeling groups that contributed to the CMIP5 data archive at PCMDI  
460 <https://cmip.llnl.gov/cmip5/>.

461  
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