**Reply to the RC: Inter-comparison and improvement of 2-stream shortwave radiative transfer models for unified treatment of cryospheric surfaces in ESMs** 

April 2019

We thank the Editor and two Reviewers for their insightful comments that have led to material improvements in the manuscript. To avoid confusion, we have replaced CICE with dEdd-AD to refer the radiative transfer core of sea-ice models; we have included one extra table (Table 1) to summarize the acronyms used in this work. We also changed the paper title from "Inter-comparison and improvement of 2-stream shortwave radiative transfer models for unified treatment of cryospheric surfaces in ESMs" to "Inter-comparison and improvement of two-stream shortwave radiative transfer models in ESMs for a unified treatment of cryospheric surfaces".

Please find our replies to the questions and suggestions raised by the Reviewers below.

### **Anonymous Referee #1**

This paper aims to unify the treatment of the optical properties of snow and ice in ESMs, because, historically, there have often been different albedo schemes in one and the same ESM, applied to seasonal snow, snow on sea ice, and snow on land ice masses like ice sheets. In particular, the authors focus on SNICAR (used for land ice and land masses), and Icepack/CICE (for sea ice). All radiative transfer schemes in ESMs are two-stream approximations. They assess the accuracy of the 2-stream approximations of different flavors against DISORT in a 16-stream configuration and consider this as the benchmark. I have few comments on the research itself. This is solid and has been carefully designed, and conducted. I do have critical remarks on the presentation of the results. They are surprisingly unclear in a few key parts, to the extent that I am unsure what the authors have actually done and what they haven't done.

The problem lies in the fact that there is (1) a correction for high SZA > 75 degrees, and (2) mention of a hybrid model SNICAR-AD. To me it is unclear whether these are the same thing or not. The high-SZA correction seems to be carried out on CICE rather than SNICAR. The authors suggest that a correction (equations 13 a and b) can be conducted for any 2-stream approximation, so also for SNICAR. So what is the situation after this paper? Do the authors now have 1 model for all snow and ice surfaces? Or did they present a correction for CICE only? Or also for SNICAR? And is this then SNICAR-AD? And what are the recommendation in section 8 about? Is this for future work? Or are these points that have been taken into account while a unified model framework was developed?

All in all, there are two possibilities: (1) either the authors have forgotten to mention that the correction for SZA > 75 degrees is actually called SNICAR-AD. In that case, Figure 12 needs different figure axis labels and the text needs to clarify that this correction is called SNICAR-AD in a few places. In this case, I would suggest to move section 8 forward between the current sections 5 and 6, so as to present first the requirements for a

unified model, and then the actual unified model. Or, (2) the correction for SZA > 75 degrees is not the same as SNICAR-AD, but rather an intermediate step in the unification of Icepack and SNICAR. In that case, the paper is incomplete. Results from the to-be-developed SNICAR-AD need to be incorporated here. If this would be paper 1 presenting the SZA-correction, and in a future paper 2 we will see SNICAR-AD fully developed, then I recommend that this paper is postponed to when SNICAR-AD is finished. I recommend to the editor to inquire with the authors which of these possible situations is the case, and then reach a decision.

**<u>Reply:</u>** We thank the Reviewer for their questions and suggestions. We have revised Sections 6 and 8 to address these concerns and to clarify our work.

First of all, SNICAR-AD is the unified treatment that we developed by merging SNICAR with dEdd-AD (note dEdd-AD was referred to as CICE in version 1, and is now called dEdd-AD in version 2 following the Reviewer's suggestion), and incorporating our correction for SZA > 75 degrees. We have finished the development of this scheme, and we are testing its performance using E3SM. To make this point clear, we added the following text in Section 8:

*Lines 870-882:* "We have merged these findings into a hybrid model SNICAR-AD, which is primarily composed of the radiative transfer scheme of dEdd-AD, 5-band snow/aerosol SSPs of SNICAR, and the parameterization to correct for snow albedo biases when solar zenith angle exceeds 75°. This hybrid model can be applied to snow on land, land ice, and sea ice to produce consistent shortwave radiative properties for snow-covered surfaces across the Earth system. With the evolving and further understanding of snow and aerosol physics and chemistry, the adoption of this hybrid model will obviate the effort to modify and maintain separate optical variable input files used for different model components.

SNICAR-AD is now implemented in both the sea-ice (MPAS-seaice) and land (ELM) components of E3SM. More simulations and analyses are underway to examine its impact on E3SM model performance and simulated climate. The results are however beyond the scope of this work and will be thoroughly discussed in a future paper."

Second, the high-SZA correction applies in principle to any two-stream algorithms, including SNICAR and dEdd-AD (i.e. CICE in version 1). In Section 6, we introduced the high-SZA correction using dEdd-AD (i.e. CICE in version 1) assuming dEdd-AD will be the radiative transfer core used for all snow-covered surface per discussed in Section 8. The Reviewer's concern is however crucial since the adjustment factor  $R_{75+}$  is essentially a ratio of the exact reflectance to the two-stream reflectance, which is algorithm-specific,

$$R_{75+} = \frac{\alpha_{16-DISORT}}{\alpha_{dEdd-AD}}$$

We have included more discussion to make this point clear:

*Lines 590-595:* "For solar zenith angles  $> 75^\circ$ , two-stream models underestimate snow albedo and overestimate solar absorption within snowpack, mostly in the top 2-cm of snow, and the differences among three two-stream models are small. In Section 5, we have shown that dEdd-AD produces the most accurate snow albedo in general, with anticipated wide application of dEdd-AD, we develop the following parameterization to adjust its low biases in computed near-IR direct albedo."

*Lines 631-661:* "When the solar zenith angle exceeds 75°, our model adjusts the computed direct near-IR albedo  $\alpha_{dEdd-AD}$  by the ratio  $R_{75+}$  following equations 12-14a and reduces direct near-IR absorption following equation 14b. If snow is divided into multiple layers, we assume all decreased near-IR absorption (2nd term on the right hand side, equation 14b) is confined within the top layer. This assumption is fairly accurate for the near-IR band, since most absorption occurs at the surface of snowpack (Figures 10 and 11). As discussed previously, this parameterization is developed based on albedo computed using dEdd-AD. For models that do not use dEdd-AD but SNICAR and 2SD, the same adjustment still applies given the small differences of near-IR direct albedo computed using two-stream models (Figure 11). For models that adopt other radiative transfer algorithms it is best for the developers to examine their model against a benchmark model such as 16-stream DISORT or two-stream models discussed in this work before applying this correction."

Thirdly, Section 8 summarizes the findings of this work, which are essentially the principles we follow to generate the merged model SNICAR-AD. We hope that the discussion and recommendations in this section are also useful to readers who are interested in improving their own snow radiative transfer schemes besides SNICAR or dEdd-AD. Some of the discussion includes future directions and features that are not yet included in SNICAR-AD, such as increasing the number of bands to match RRTMG. The revised text in section 8 reflects the above discussion.

### Referee #2 David Bailey (dbailey@ucar.edu)

I have read the manuscript: "Inter-comparison and improvement of 2-stream shortwave radiative transfer models for a unified treatment of cryospheric surfaces in ESMs" by Dang et al. Overall, the article is interesting and may be of interest to the readers of the Cryosphere. I believe it needs some substantial revision before it would be acceptable however. There are a lot of model acronyms thrown around here and the text should be expanded to help clarify in some sections. Also, I feel it is lacking a bit in motivation. I understand from the title, the idea is to unify the radiative transfer schemes for snow on land, ice sheets, and sea ice, but a bit more here would be good. For example: Is it easier to maintain? Is there performance benefits? Does the accurate simulation of surface albedo matter for climate given the small differences between the algorithms here? The text also needs some significant grammar checking.

**<u>Reply:</u>** Thank you for these suggestions and questions.

To help clarify these models, we add a new table (Table 1 in the revised manuscript) to summarize the acronyms and their corresponding references used in this work.

Yes. It is easier to maintain an ESM with the unified scheme than with distinct schemes in the land and sea-ice components. For example, CICE-based sea-ice models utilize hardcoded snow single-scattering properties that are not easy to update, and that yield different reflectance and heating than SNICAR-based properties. With this unified treatment, an Earth system model only needs to maintain and update a single input optical data file shared by both land and sea-ice components. As our evaluations show, the adoption of dEdd-AD radiative transfer core, SNICAR single-scattering properties, and the high solar zenith angle parameterization improves the modeled physics.

The accurate simulation of surface albedo matters despite the apparently small differences between the algorithms. For example, compared to dEdd-AD, SNICAR and 2SD overestimate the diffuse albedo of melting snow by 0.015 (Figure 6). In Greenland, the daily-averaged downward diffuse solar flux from May to September is 200W/m 2, and the mean cloud cover fraction is 80% (Figure 6, Dang et al., 2017). In this case, SNICAR and 2SD overestimate the reflected solar flux by 0.015 \* 200\* 0.8 ~ 2.4 W/m<sup>2</sup>, which is enough to melt 10 cm SWE over all of Greenland from May to September. dEdd-AD also remediates self-compensating spectral biases (where visible and Near-IR biases are of opposite signs) present in the other schemes. Those spectral biases do not affect the broadband fluxes like the diffuse biases, but they nevertheless degrade proper feedbacks between snow/ice reflectance and heating. To better evaluate these impacts globally, we are now performing coupled E3SM simulations. The results will be discussed in a following paper.

More discussion is included in Section 8 to clarify these points.

Lines 525-521: "These relatively small differences between algorithms may still yield

large impact on snowpack. For example, compared to dEdd-AD, SNICAR and 2SD overestimate the diffuse albedo by ~0.015 for melting snow (Figure 6). In Greenland, the daily averaged downward diffuse solar flux from May to September is 200 W/m<sup>2</sup>, and the averaged cloud cover fraction is 80% (Figure 6, Dang et al., 2017). In this case, SNICAR and 2SD overestimate the reflected solar flux by 2.4 W/m<sup>2</sup> per day – the amount of energy otherwise enough to melt 10 cm of snow water equivalent from May to September. dEdd-AD also remediates self-compensating spectral biases (where visible and Near-IR biases are of opposite signs) present in the other schemes. Those spectral biases do not affect the broadband fluxes like the diffuse biases, but they nevertheless degrade proper feedbacks between snow/ice reflectance and heating."

*Lines 870-882:* "We have merged these findings into a hybrid model SNICAR-AD, which is primarily composed of the radiative transfer scheme of dEdd-AD, 5-band snow/aerosol SSPs of SNICAR, and the parameterization to correct for snow albedo biases when solar zenith angle exceeds 75°. This hybrid model can be applied to snow on land, land ice, and sea ice to produce consistent shortwave radiative properties for snow-covered surfaces across the Earth system. With the evolving and further understanding of snow and aerosol physics and chemistry, the adoption of this hybrid model will obviate the effort to modify and maintain separate optical variable input files used for different model components.

SNICAR-AD is now implemented in both the sea-ice (MPAS-seaice) and land (ELM) components of E3SM. More simulations and analyses are underway to examine its impact on E3SM model performance and simulated climate. The results are however beyond the scope of this work and will be thoroughly discussed in a future paper"

Here are some more specific suggestions:

1. It is very confusing when the delta-Eddington radiative transfer scheme of Briegleb and Light (2007) is interchangeably referred to as CICE, Icepack, delta-Eddington, adding-doubling delta-Eddington, etc. I suggest you just refer to it as dEdd everywhere and clearly explain that you are talking about the default implementation of Briegleb and Light (2007) and not some modified version?

**<u>Reply:</u>** Thank you for this suggestion. We agree that using dEdd for sea-ice component is better than CICE since this is also the name of sea-ice radiative transfer scheme defined in Icepack/CICE/MPAS-seaice model namelist. The use of dEdd everywhere may, however, raise another confusion since SNICAR also adopts two-stream delta-Eddington approximation for snow visible optical properties, but with a different technique per discussed in Section 2. To distinguish the scheme of Briegleb and Light (2007) from what is implemented in SNICAR, we suggest referring to it as dEdd-AD, where AD is short for adding-doubling and corresponds to the AD in the name of the unified model SNICAR-AD. We have revised the related text, figures, and tables to reflect this change. We apply the default implementation of Briegleb and Light (2007) to snow in this work, which is stated in Section 2.2.

2. The first sentence of the abstract should say something more quantitative than "large

parts of the Earth".

**Reply:** Thank you. We have revised it as "mid and high latitudes of the Earth".

# 3. The first two paragraphs should mention melt ponds and meltwater in the snow. These are critical for the seasonal cycle evolution of albedo.

**<u>Reply:</u>** Agreed. We now discuss snow melt in the first paragraph.

*Lines 46-50:* "The accumulation, evolution, and depletion of snow cover modify the seasonal cycle of surface albedo globally. In particular, snow over sea ice absorbs more solar energy and begins to melt in the spring, which forms melt ponds that bring the seaice albedo to as low as 0.15 to further accelerate ice melt (Light et al., 2008, 2015)."

4. Line 116: "This method has carried into the sea-ice..." This is not proper usage. **Reply:** We have modified this sentence:

*Lines 127-130:* "dEdd-AD has been adopted by the sea-ice physics library Icepack (<u>https://github.com/CICE-Consortium/Icepack/wiki</u>), which is used by the Los Alamos Sea Ice Model CICE (Hunke et al., 2010) and Model for Prediction Across Scales Sea Ice MPAS-seaice (Turner et al., 2018)."

# 5. The discussion of large solar Zenith angles is an interesting part. I think some suggestions of ways to improve how the models do this is needed.

**<u>Reply:</u>** Thank you for the suggestion. We have modified section 6 to include more details on how to implement the adjustment when the solar zenith angles exceed 75 degrees: *Lines 631-661:* "When the solar zenith angle exceeds 75°, our model adjusts the computed direct near-IR albedo  $\alpha_{dEdd-AD}$  by the ratio  $R_{75+}$  following equations 12-14a and reduces direct near-IR absorption following equation 14b. If snow is divided into multiple layers, we assume all decreased near-IR absorption (2nd term on the right hand side, equation 14b) is confined within the top layer. This assumption is fairly accurate for the near-IR band, since most absorption occurs at the surface of snowpack (Figures 10 and 11). As discussed previously, this parameterization is developed based on albedo computed using dEdd-AD. For models that do not use dEdd-AD but SNICAR and 2SD, the same adjustment still applies given the small differences of near-IR direct albedo computed using two-stream models (Figure 11). For models that adopt other radiative transfer algorithms it is best for the developers to examine their model against a benchmark model such as 16-stream DISORT or two-stream models discussed in this work before applying this correction."

# 6. Some mention of how the methods handle aerosols (black carbon and dust) would be good. For example, see Holland et al. 2012:

### https://journals.ametsoc.org/doi/10.1175/JCLI-D-11-00078.1

**<u>Reply:</u>** Thank you for this suggestion and reference. Aerosol-in-snow/ice is definitely one of the topics we are interested in. Currently, we are performing fully coupled E3SM simulations to quantify the radiative effects of aerosols in the cryosphere with SNICAR-AD. The analysis of these modeled results will serve the same purpose as Holland et al., 2012. We anticipate some change in the radiative effects of aerosols, but the unified model SNICAR-AD does not change how the snow model treats aerosols. The discussion can be found towards the end of Section 8:

*Lines 843-857:* "Both dEdd-AD and SNICAR simulate the impact of light-absorbing particles (black carbon and dust) on snow and/or sea ice using self-consistent particle SSPs that follow the SNICAR convention (e.g., Flanner et al., 2007; Holland et al. 2012). These particles are assumed to be either internally or externally mixed with snow crystals; the combined SSPs of mixtures (e.g. Appendix A of Dang et al., 2015) are then used as inputs to the radiative transfer calculation. The adoption of dEdd-AD radiative transfer algorithm in SNICAR, and the implementation of SNICAR snow SSPs in dEdd-AD enables a consistent simulation of the radiative effects of light-absorbing particles in the cryosphere across ESM components."

# 7. In the caption for Figure 1, I think you should spell out SWNB2. You refer to Figure 1 in the text before you define the acronym.

**<u>Reply:</u>** Thank you for pointing out this error. We have modified the text in Section 4 such that the original Figure 1 is not referred until SWNB2 is properly defined in the text. Note that we have switched the order of original Figures 1 and 2 since the Figure 2 in previous manuscript was the first figure cited in the text.

# 8. Figures 3-5 feel like they have a bunch of empty space (light red). You could almost cut off the panel axes below angles of 50 degrees.

**Reply:** We would agree with the Reviewer if Figures 7-8 were not included in this paper. Figures 7-8 show the errors in reflected shortwave flux given albedo errors shown in Figures 3-4, while the errors in reflected flux varies with solar zenith angles. We prefer to keep the axes of Figures 3-5 as is since it is more straightforward for cross-comparison.

### 9. The caption for Figure 4 is not grammatically correct and should be expanded.

**<u>Reply:</u>** Thank you for pointing this out. We have revised and expanded the figure caption.

"Figure 4. The difference in direct snow albedo ( $\delta \alpha = \alpha_2 - \alpha_{16}$ ) computed using twostream models ( $\alpha_2$ ) and using 16-stream DISORT model ( $\alpha_{16}$ ), for various snow depths and solar zenith angles, with snow grain radius of 1000 µm."

# 10. The caption for Figure 5 is not correct. These panels are not the same as Figure 3. This caption should be expanded appropriately.

**<u>Reply:</u>** Thank you for pointing this out. We have revised and expanded the figure caption.

"Figure 5. The difference in direct snow albedo ( $\delta \alpha = \alpha_2 - \alpha_{16}$ ) computed using twostream models ( $\alpha_2$ ) and using 16-stream DISORT model ( $\alpha_{16}$ ), for various snow depths and snow grain radii, with solar zenith angle of 60°."

11. The caption for Figure 6 is not grammatically correct and should be expanded.

**<u>Reply:</u>** Thank you for pointing this out. We have revised and expanded the figure caption.

"Figure 6. The difference in diffuse snow albedo ( $\delta \alpha = \alpha_2 - \alpha_{16}$ ) computed using twostream models ( $\alpha_2$ ) and using 16-stream DISORT model ( $\alpha_{16}$ ), for various snow depths and snow grain radii, with solar zenith angle of 60° at the top of the atmosphere."

12. In general, I would prefer "two-stream" rather than "2-stream". **<u>Reply:</u>** We agree. We have replaced 2-stream with two-stream in the manuscript. Thank you for this suggestion.

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11 Abstract. Snow is an important climate regulator because it greatly increases the surface 12 albedo of large parts of the Earth. Earth System Models (ESMs) often adopt two-stream approximations with different radiative transfer techniques, the same snow therefore has 13 14 different solar radiative properties depending whether it is on land or on sea ice. Here we 15 inter-compare three two-stream algorithms widely used in snow models, improve their 16 predictions at large zenith angles, and introduce a hybrid model suitable for all 17 cryospheric surfaces in ESMs. The algorithms are those employed by the SNow ICe and 18 Aerosol Radiative (SNICAR) module used in land models, and by dEdd-AD used in 19 Icepack, the column physics used in the Los Alamos sea ice model CICE and MPAS-20 seaice, and a two-stream discrete ordinate (2SD) model. Compared with a 16-stream 21 benchmark model, the errors in snow visible albedo for a direct-incident beam from all 22 three two-stream models are small (<±0.005) and increase as snow shallows, especially 23 for aged snow. The errors in direct near-infrared (near-IR) albedo are small (<±0.005) for solar zenith angles  $\theta < 75^{\circ}$ , and increase as  $\theta$  increases. For diffuse incidence under 24 25 cloudy skies, dEdd-AD produces the most accurate snow albedo for both visible and 26 near-IR (<±0.0002) with the lowest underestimate (-0.01) for melting thin snow. 27 SNICAR performs similarly to dEdd-AD for visible albedos, with a slightly larger 28 underestimate (-0.02), while it overestimates the near-IR albedo by an order of magnitude 29 more (up to 0.04). 2SD overestimates both visible and near-IR albedo by up to 0.03. We 30 develop a new parameterization that adjusts the underestimated direct near-IR albedo and 31 overestimated direct near-IR heating persistent across all two-stream models for solar 32 zenith angles > 75°. These results are incorporated in a hybrid model SNICAR-AD, 33 which can now serve as a unified solar radiative transfer model for snow in ESM land,

34 land ice, and sea-ice components.

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## 39 1. Introduction40

41 Snow cover on land, land ice, and sea ice, modulates the surface energy balance of mid 42 and high latitudes of the Earth, principally because even a thin layer of snow can greatly 43 increase the surface albedo. Integrated over the solar spectrum, the broadband albedo of 44 opaque snow ranges from 0.7 - 0.9 (e.g., Wiscombe and Warren 1980; Dang et al., 45 2015). In contrast, the albedo of other natural surfaces is smaller: 0.2, 0.25, and 0.5-0.7 46 for damp soil, grassland, and bare multi-year sea ice, respectively (Perovich 1996; Liang 47 et al., 2002; Brandt et al., 2005; Bøggild et al., 2010). The accumulation, evolution, and 48 depletion of snow cover modify the seasonal cycle of surface albedo globally. In 49 particular, snow over sea ice absorbs more solar energy and begins to melt in the spring, 50 which forms melt ponds that bring the sea-ice albedo to as low as 0.15 to further 51 accelerate ice melt (Light et al., 2008, 2015). An accurate simulation of the shortwave 52 radiative properties of snowpack is therefore crucial for spectrally partitioning solar 53 energy and representing snow-albedo feedbacks across the Earth system. Unfortunately, 54 computational demands and coupling architectures often constrain representation of 55 snowpack radiative processes in Earth System Models (ESMs, please refer to Table 1 for 56 all acronyms used in this work) to relatively crude approximations such as two-stream 57 methods (Wiscombe and Warren, 1980, Toon et al., 1989). In this work, we inter-58 compare two-stream methods widely used in snow models and then introduce a new 59 parameterization that significantly reduces their snowpack reflectance and heating biases 60 at large zenith angles, to produce more realistic behavior in polar regions.

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62 Snow albedo is determined by many factors including the snow grain radius, the solar 63 zenith angle, cloud transmittance, light-absorbing particles, and the albedo of underlying 64 ground if snow is optically thin (Wiscombe and Warren, 1980; Warren and Wiscombe, 65 1980); it also varies strongly with wavelength since the ice absorption coefficient varies 66 by 7 orders of magnitudes across the solar spectrum (Warren and Brandt, 2008). At 67 visible wavelengths (0.2 - 0.7  $\mu$ m), ice is almost non-absorptive such that the absorption 68 of visible energy by snowpack is mostly due to the light-absorbing particles (e.g. black 69 carbon, organic carbon, mineral dust) that were incorporated during ice nucleation in 70 clouds, scavenged during precipitation, or slowly sedimented from the atmosphere by 71 gravity (Warren and Wiscombe, 1980, 1985; Doherty et al., 2010, 2014, 2016; Wang et 72 al., 2013; Dang and Hegg 2014). As snow becomes shallower, visible photons are more 73 likely to penetrate through snowpack and get absorbed by darker underlying ground. At 74 near-infrared (near-IR) wavelengths (0.7 – 5  $\mu$ m), ice is much more absorptive that the Deleted: large parts

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77 snow albedo is lower than the visible albedo. Larger ice crystals form a lower albedo 78 surface than smaller ice crystals hence aged snowpacks absorb more solar energy. 79 Photons incident at smaller solar zenith angles are more likely to penetrate deeper 80 vertically and be scattered in the snowpack until being absorbed by the ice/the underlying 81 ground/absorbing impurities, which also leads to a smaller snow albedo. To compute the 82 reflected solar flux, spectrally resolved albedo must be weighted by the incident solar 83 flux, which is mostly determined by solar zenith angle, cloud cover and transmittance. 84 and column water vapor. Modeling the solar properties of snowpacks must consider the

- 85 spectral signatures of these atmospheric properties.
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Several parameterizations have been developed to compute the snow solar properties without solving the radiative transfer equations and some are incorporated into ESMs or regional models. Marshall and Warren (1987) and Marshall (1989) parameterized snow albedo in both visible and near-IR bands as functions of snow grain size, solar zenith angle, cloud transmittance, snow depth, underlying surface albedo, and black carbon content. Marshall and Oglesby (1994) used this in an ESM. Gardner and Sharp (2010) parameterized the all-wave snow albedo with similar inputs. This was incorporated into

94 the regional climate model RACMO

95 (https://www.projects.science.uu.nl/iceclimate/models/racmo.php) to simulate snow 96 albedo in glaciered regions like Antarctica and Greenland (Munneke et al., 2011). Dang 97 et al., (2015) compute snow albedo as functions of snow grain radius, black carbon 98 content, and dust content for visible and near-IR bands and 14 narrower bands used in the 99 rapid radiative transfer model (RRTM, Mlawer and Clough, 1997). Their 100 parameterization can also be expanded to different solar zenith angles using the zenith 101 angle parameterization developed by Marshall and Warren (1987). Aoki et al., (2011) 102 developed a more complex model based on the offline snow albedo and a transmittance 103 look-up table. This can be applied to multilayer snowpack to compute the snow albedo 104 and the solar heating profiles as functions of snow grain size, black carbon and dust 105 content, snow temperature, and snowmelt water equivalent. These parameterizations are 106 often in the form of simplified polynomial equations, which are especially suitable to 107 long-term ESM simulations that require less time-consuming snow representations.

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More complex models that explicitly solve the multiple scattering radiative transfer equations have also been developed to compute snow solar properties. Flanner and Zender (2005) developed the SNow Ice and Aerosol Radiation model (SNICAR) that

112 utilizes two-stream approximations (Wiscombe and Warren 1980; Toon et al., 1989) to

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114 predict heating and reflectance for multi-layer snowpack. They implemented SNICAR in 115 the Community Land Model (CLM) to predict snow albedo and vertically-resolved solar 116 absorption for snow-covered surfaces. Before SNICAR, CLM prescribed snow albedo 117 and confined all solar absorption to the top snow layer (Flanner and Zender 2005). Over 118 the past decades, updates and new features have been added to SNICAR to consider more 119 processes such as black carbon/ice mixing states (Flanner et al., 2012) and snow grain 120 shape (He et al., 2018b). Concurrent with the development of SNICAR, Briegleb and 121 Light (2007) improved the treatment of sea-ice solar radiative calculations in Community 122 Climate System Model (CCSM). They implemented a different two-stream scheme with 123 delta-Eddington approximation and adding-doubling technique (hereafter, dEdd-AD) that 124 allows CCSM to compute bare/ponded/snow-covered sea ice albedo and solar absorption 125 profiles of multi-layer sea ice. Before these improvements, the sea-ice albedo was 126 computed based on surface temperature, snow thickness, and sea-ice thickness using 127 averaged sea ice and snow albedo, dEdd-AD has been adopted by the sea-ice physics 128 library Icepack (https://github.com/CICE-Consortium/Icepack/wiki), which is used by the 129 Los Almos Sea Ice Model CICE (Hunke et al., 2010) and Model for Prediction Across 130 Scales Sea Ice MPAS-seaice (Turner et al., 2018). CICE itself is used in numerous global 131 and regional models.

133 SNICAR and dEdd-AD solve the multiple scattering radiative transfer equations and 134 provide much improved solar radiative representations for the cryosphere, though their 135 separate development and implementation created an artificial divide for snow simulation. In ESMs that utilize both SNICAR and dEdd-AD, such as the Community 136 137 Earth System Model (CESM, http://www.cesm.ucar.edu/) and the Energy Exscale Earth System Model (E3SM, previously known as ACME, https://e3sm.org/), the solar 138 139 radiative properties of snow on land and snow on sea ice are computed separately via 140 SNICAR and dEdd-AD, As a result, the same snow in nature has different solar radiative 141 properties such as reflectance depending on which model represents it. These differences 142 are model artifacts that should be eliminated so that snow has consistent properties across 143 the Earth system. 144

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145 In this paper, we evaluate the accuracy and biases of three two-stream <u>models listed in</u> 146 Table 2, including the algorithms used in SNICAR and <u>dEdd-AD</u>, at representing

- 147 reflectance and heating. In Sections 2-4, we describe the radiative transfer algorithms and
- 148 calculations performed in this work. The results and model inter-comparisons are
- 149 discussed in Section 5. In Section 6, we introduce a parameterization to reduce the

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simulated albedo and heating bias for solar zenith angles larger than 75°. In Section 7, we
 summarize the major differences of algorithm implementations between SNICAR and
 dEdd-AD in ESMs. We use these results to develop and justify a unified surface
 shortwave radiative transfer method for all Earth system model components in the
 cryosphere, presented in Section 8.

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## 172 2. Radiative Transfer Model173

In this section, we summarize the three two-stream models and the benchmark DISORT model with 16-streams. These algorithms are well documented in papers by Toon et al., (1989), Briegleb and Light (2007), Jin and Stamnes (1994), and Stamnes et al. (1988). Readers interested in detailed mathematical derivations should refer to those papers. We only include their key equations to illustrate the difference among two-stream models for discussion purposes.

181 2.1 SNICAR in land models CLM and ELM

182 SNICAR is implemented as the default snow shortwave radiative transfer scheme in
 183 CLM and E3SM land model (ELM). It adopts the two-stream algorithms and the rapid
 184 solver developed by Toon et al., (1989) to compute the solar properties of multi-layer
 185 snowpacks. These two-stream algorithms are derived from the general equation of
 186 radiative transfer in a plane parallel media:

180

188 
$$\mu \frac{\partial I}{\partial \tau}(\tau,\mu,\Phi) = I(\tau,\mu,\Phi) - \frac{\varpi}{4\pi} \int_0^{2\pi} \int_{-1}^1 P(\mu,\mu',\phi,\phi') I(\tau,\mu',\Phi') d\mu' d\phi' - S(\tau,\mu,\Phi)$$
189
190
(1)

191 192

193 where  $\arccos(\mu)$  and  $\Phi$  are zenith angle and azimuth angle,  $\varpi$  is single-scattering albedo. 194 On the right-hand side, the three terms are intensity at optical depth  $\tau$ , internal source 195 term due to multiple scattering, and external source term S. For a purely external source 196 at solar wavelengths S is:

197

198 
$$S = \frac{\omega}{4} F_s P(\mu, -\mu_0, \phi, \phi_0) exp\left(\frac{-\tau}{\mu_0}\right)$$
 (2)

199

where  $\pi F_s$  is incident solar flux,  $\mu_0$  is the incident direction of the solar beam. Integrating equation (1) over azimuth and zenith angles yields the general solution of two-stream Deleted:

203 approximations (Meador and Weaver, 1980). The upward and downward fluxes at optical 204 depth  $\tau$  of layer *n* can be represented as:

205 206

### 207 $F_n^+ = k_{1n} \exp(\Lambda_n \tau) + \Gamma_n k_{2n} \exp(-\Lambda_n \tau) + C_n^+(\tau)$ (3a)

208 209  $F_n^- = \Gamma_n k_{1n} \exp(\Lambda_n \tau) + k_{2n} \exp(-\Lambda_n \tau) + C_n^-(\tau)$  (3b) 210

210 211

212 where  $\Lambda_n$ ,  $\Gamma_n$   $C_n$  are known coefficients determined by the two-stream method, incident 213 solar flux, and solar zenith angle; whereas  $k_{1n}$  and  $k_{2n}$  are unknown coefficients 214 determined by the boundary conditions. For an N-layer snowpack, the solutions for 215 upward and downward fluxes are coupled at layer interfaces to generate 2N equations 216 with 2N unknown coefficients k<sub>1n</sub> and k<sub>2n</sub>. Combining these equations linearly generates 217 a new set of equations with terms in tridiagonal form that enables the application of a fast 218 tri-diagonal matrix solver. With the solved coefficients, the upward and downward fluxes 219 are computed at different optical depths (Equations 3a and 3b) and eventually the 220 reflectance, transmittance, and absorption profiles of solar flux for any multilayer 221 snowpack.

222 223 SNICAR itself implements all three two-stream algorithms in Toon et al., (1989): 224 Eddington, Quadrature, and Hemispheric-mean. In practical simulations, it utilizes the 225 Eddington and Hemispheric-mean approximations to compute the visible and near-IR 226 snow properties, respectively (Flanner et al., 2007). In addition to their algorithms, SNICAR implements the Delta-transform of the fundamental input variables asymmetry 227 228 factor (g), single-scattering albedo ( $\varpi$ ), and optical depth ( $\tau$ ) to account for the strong 229 forward scattering in snow (Equations 2 (a)-(c), Wiscombe and Warren, 1980). 230

231 2.2. <u>dEdd-AD in sea ice models</u> Icepack, CICE, and MPAS-seaice

- 232 Icepack, CICE, and MPAS-seaice use the same <u>shortwave</u> radiative scheme dEdd-AD
- 233 developed and documented by Briegleb and Light (2007). Sea ice is divided into multiple
- layers to first compute the single-layer reflectance and transmittance using two-streamdelta-Eddington solutions to account for the multiple scattering of light within each layer
- 236 | (Equation set 50, Briegleb and Light, 2007), where the name "delta" implies dEdd-AD
- 237 implements the Delta-transform to account for the strong forward scattering of snow and

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sea ice (Equations 2 (a)-(c), Wiscombe and Warren, 1980). The <u>single-layer</u> direct albedo
and transmittance are computed by equations:

248

249 
$$R(\mu_{0,n}) = A_n \exp\left(\frac{-\tau}{\mu_{0,n}}\right) + B_n(\exp(\varepsilon_n\tau) - \exp(-\varepsilon_n\tau)) - K_n$$
(4a)  
250

251 
$$T(\mu_{0,n}) = E_n + H_n(exp(\varepsilon_n\tau) - exp(-\varepsilon_n\tau))exp\left(\frac{-\tau}{\mu_{0,n}}\right)$$
 (4b)  
252

253 where coefficients  $A_n$ ,  $B_n$ ,  $K_n$ ,  $E_n$ ,  $H_n$ , and  $\varepsilon_n$  are determined by the single-scattering albedo ( $\varpi$ ), asymmetry factor (g), optical depth ( $\tau$ ), and angle of the incident beam at 254 255 layer n ( $\mu_{0,n}$ ). Following the delta-Eddington assumption, simple formulas are available 256 for the single-layer reflectance and transmittance under both clear sky (direct flux, 257 equations 4a and 4b) and overcast sky (diffuse flux) conditions, however, the formula 258 derived by applying diffuse-flux upper boundary conditions sometimes yields negative 259 albedos (Wiscombe 1977). To avoid the unphysical values, diffuse reflectance  $\overline{R}$  and 260 transmittance  $\overline{T}$  of a single layer are computed by integrating the direct reflectance  $R(\mu)$ 261 and transmittance  $T(\mu)$  over the incident hemisphere assuming isotropic incidence: 262

263 
$$\bar{R} = 2 \int_0^1 \mu R(\mu) d\mu$$
 (5a)

265 
$$\bar{T} = 2 \int_0^1 \mu T(\mu) d\mu$$
 (5b)  
266

This is the same as the method proposed by Wiscombe and Warren (1980, their equation5). In practice, eight Gaussian angles are implemented to perform the integration forevery layer.

270

264

The <u>computed</u>, <u>single-layer</u> reflectance and transmittance of direct and diffuse components are then combined to account for the inter-layer scattering of light to compute the reflectance and transmission at every interface (Equation set 51, Briegleb and Light, 2007), and eventually the upward and downward fluxes (Equation set 52, Briegleb and Light, 2007). These upward and downward fluxes at each optical depth are then used to compute the column reflectance and transmittance, and the absorption profiles for any multilayered media, such as snowpacks on land and sea ice.

In nature, a large fraction of sea ice is covered by snow during winter. As snow meltsaway in late spring and summer, it exposes bare ice, and melt ponds form on the ice

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282 surface. Such variation of sea-ice surface types requires the shortwave radiative transfer 283 model to be flexible and capable of capturing the light refraction and reflection. Refractive boundaries exist where air (refractive index  $m_{re} = 1.0$ ), snow (assuming snow 284 285 as medium of air containing a collection of ice particles,  $m_{re} = 1.0$ ), pond (assuming pure 286 water,  $m_{re} = 1.33$ ), and ice (assuming pure ice,  $m_{re} = 1.31$ ) are present in the same sea-ice 287 column. The general solution of delta-Eddington, and the two-stream algorithms used in 288 SNICAR are not applicable to such non-uniformly refractive layered media. To include 289 the effects of refraction, Briegleb and Light (2007) modified the adding formula at the 290 refractive boundaries (i.e. interfaces between air/ice, snow/ice, air/pond). The reflectance 291 and transmittance of the adjacent layers above and below the refractive boundary are 292 combined with modifications to include the Fresnel reflection and refraction of direct and 293 diffuse fluxes (Section 4.1, Briegleb and Light, 2007). dEdd-AD can thus be applied to 294 any layered media with either uniform (e.g., snow on land) or non-uniform (e.g., snow on 295 sea ice) refractive indexes 296

In this paper, we <u>apply dEdd-AD to</u> snowpacks that can be treated as uniform refractive media such as the air/snowpack/land columns assumed in SNICAR for model evaluation. An ideal radiative treatment for snow should, however, keep the potential to include refraction for further applications to snow on sea ice or ice sheets. Therefore, besides these two widely used algorithms in Icepack and SNICAR, we evaluate a third algorithm (section 2.3) that can be applied to layered media with either uniform or non-uniform refractive indexes.

304

305 2.3. two-stream discrete-ordinate algorithm (2SD)

A refractive boundary also exists between the atmosphere and the ocean, and models have been developed to solve the radiative transfer problems in the atmosphere-ocean system using the discrete-ordinate technique (e.g. Jin and Stamnes, 1994; Lee and Liou, 2007). Similar to the two-stream algorithms of Toon et al., (1989) used in SNICAR, Jin and Stamnes (1994) also developed their algorithm from the general equation:

311

312 
$$\mu \frac{\partial I}{\partial \tau}(\tau,\mu) = I(\tau,\mu) - \frac{\varpi}{4\pi} \int_{-1}^{1} P(\tau,\mu,\mu') I(\tau,\mu') d\mu' - S(\tau,\mu)$$
(6)

Equation (6) is the azimuthally integrated version of equation (1). However, for vertically inhomogeneous media like the atmosphere-ocean or sea ice, the external source term  $S(\tau, \mu)$  is different. Specifically, for the medium of total optical depth  $\tau^a$  above the Author Deleted: This adding-doubling delta-Eddington method Author

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321 refractive interface, one must consider the contribution from the upward beam reflected

322 at the refractive boundary (second term on the right-hand side):

323

324 
$$S^{a}(\tau,\mu) = \frac{\varpi}{4\pi} F_{s} P(\tau,-\mu_{0},\mu) \exp\left(\frac{-\tau}{\mu_{0}}\right) + \frac{\varpi}{4\pi} F_{s} R(-\mu_{0},m) P(\tau,+\mu_{0},\mu) \exp\left(\frac{-(2\tau^{a}-\tau)}{\mu_{0}}\right)$$
325
326
(7)
327

where  $R(-\mu_0, m)$  is the Fresnel reflectance of radiation and *m* is the ratio of the refractive indices of the lower to the upper medium. For the medium below the refractive interface, one must account for the Fresnel transmittance  $T(-\mu_0, m)$  and modify the angle of beam travel in media b:

332

333 
$$S^{b}(\tau,\mu) = \frac{\varpi}{4\pi} \frac{\mu_{0}}{\mu_{0n}} F_{s}T(-\mu_{0},m)P(\tau,-\mu_{0},\mu) \exp\left(\frac{-\tau^{a}}{\mu_{0}}\right) \exp\left(\frac{-(\tau-\tau^{a})}{\mu_{0n}}\right)$$
(8)

334

335 where  $\mu_{0n}$  is the cosine zenith angle of refracted beam incident at angle  $\mu_0$  above the 336 refractive boundary, by Snell's law:

337

338 
$$\mu_{0n} = \sqrt{1 - (1 - \mu_0^2)/m^2}$$
 (9)  
339

For uniformly refractive media like snow on land, one can just set the refractive index  $m_{re}$ equal to 1 for every layer. In this case, the Fresnel reflectance  $R(-\mu_0, m)$  is 0 in equation (7), the Fresenal transmittance  $T(-\mu_0, m)$  is 1 in equation (8), and  $\mu_{0n}$  equals to  $\mu_0$ : the two source terms  $S^a(\tau, \mu)$  and  $S^b(\tau, \mu)$  become the same and equal to the source term of homogenous media given in equation (2).

345

For two-stream approximations of this method, analytical solutions of upward and downward fluxes are coupled at each layer interface to generate 2N equations with 2N unknown coefficients for any N-layer stratified column. The solutions of two-stream algorithms and boundary conditions for homogenous media are well documented (Sections 8.4 and 8.10 of Thomas and Stamnes, 1999). Despite the extra source terms, these 2N equations can also be organized into a tridiagonal matrix similar to the method of Toon et al. (1989) used in SNICAR. Flexibility and speed therefore make this two-

353 stream discrete-ordinate algorithm (hereafter, 2SD) a potentially good candidate for long-

term Earth system modeling. In this work, we only apply 2SD to snowpack and note that

355 it can be applied to any uniformly or non-uniformly refractive media like snow on land or

356 sea ice, with the Delta-transform implemented to fundamental optical variables

- 357 (Equations 2 (a)-(c), Wiscombe and Warren, 1980).
- 358

#### 359 2.4 16-stream DISORT

360 Besides the mathematical technique, the accuracy and speed of radiative transfer 361 algorithms depend on the number of angles used for flux estimation in the upward and 362 downward hemispheres. SNICAR, dEdd-AD, and 2SD use one angle to represent upward 363 flux and one angle to represent downward flux, hence they are named two-stream 364 algorithm. Lee and Liou (2007) use two upward and two downward streams. Jin and 365 Stamnes (1994) documented the solutions for any even number of streams. The 366 computational efficiency of these models is lower than that of two-stream models while 367 their accuracy is better. To quantify the accuracy of the three two-stream algorithms for 368 snow shortwave simulations, we use the 16-stream DIScrete-Odinate Radiative Transfer 369 model (DISORT) as the benchmark model (http://lllab.phy.stevens.edu/disort/) (Stamnes 370 et al., 1988).

371

#### 372 **3. Input for radiative transfer models**

373 In this work, we focus on the performance of two-stream algorithms for pure snow 374 simulations. The inputs for these three models are the same: single-scattering properties 375 (SSPs, i.e. single-scattering albedo  $\varpi$ , asymmetry factor *g*, extinction coefficient  $\sigma_{ext}$ ) of 376 snow determined by snow grain radius r, snow depth, solar zenith angle  $\theta$ , solar incident 377 flux, and the albedo of underlying ground (assuming Lambertian reflectance of 0.25 for 378 all wavelengths). A Delta-transform is applied to fundamental input optical variables for 379 all simulations (Equations 2 (a)-(c), Wiscombe and Warren, 1980).

380

381 In snow, photon scattering occurs at the air-ice interface, and the absorption of photons 382 occurs within the ice crystal. The most important factor that determines snow shortwave 383 properties is the ratio of total surface area to total mass of snow grains, aka "the specific 384 surface area" (e.g. Matzl and Schneebeli, 2006, 2010). The specific surface area ( $\beta$ ) can 385 be converted to a radiatively effective snow grain radius r:

 $387 \quad \beta = 3 / (r \, \varrho_{\text{\tiny loc}})$ 

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(10)

where  $\rho_{ice}$  is the density of pure ice, 917 kg m<sup>-3</sup>. Assuming the grains are spherical, the 393 394 SSPs of snow can thus be computed using Mie theory (Wiscombe, 1980) and ice optical 395 constants (Warren and Brandt, 2008). In nature, snow grains are not spherical, and many 396 studies have been carried out to quantify the accuracy of such spherical representations 397 (Grenfell and Warren, 1999; Neshyba et al., 2003; Grenfell et al., 2005). In recent years, 398 more research has been done to evaluate the impact of grain shape on snow shortwave 399 properties (Dang et al., 2016; He et al., 2017, 2018ab), and they show that non-spherical 400 snow grain shapes mainly alter the asymmetry factor. Dang et al., (2016) also point out 401 that the solar properties of a snowpack consisting of non-spherical ice grains can be 402 mimicked by a snowpack consisting of spherical grains with a smaller grain size by 403 factors up to 2.4. In this work, we still assume the snow grains are spherical, and this 404 assumption does not qualitatively alter our evaluation of the radiative transfer algorithms.

405

The input SSPs of snow grains are computed using Mie theory at a\_fine spectral 406 407 resolution for a wide range of ice effective radius r from 10 to 3000  $\mu$ m that covers the 408 possible range of grain radius for snow on Earth (Flanner et al., 2007). The same spectral 409 SSPs were also used to derive the band-averaged SSPs of snow used in SNICAR. Note

- 410 Briegleb and Light (2007) refer to SSPs as inherent optical properties.
- 411

#### 412 4. Solar spectra used for the spectral integrations

413 In climate modeling, snow albedo computation at a fine spectral resolution is expensive 414 and unnecessary. Instead of computing spectrally resolved snow albedo as shown in Figure 1, wider-band solar properties are more practical. For example, CESM and E3SM 415 416 aggregate the narrow RRTMG bands used for the atmospheric radiative transfer 417 simulation into visible (0.2 - 0.7 µm) and near-IR (0.7 - 5 µm) bands. The land model and 418 sea-ice model thus receive visible and near-IR fluxes as the upper boundary condition, 419 and return the corresponding visible and near-IR albedos to atmosphere model. In 420 practice, these bands are also partitioned into direct and diffuse components. Therefore, a 421 practical two-stream algorithm should be able to simulate the direct visible, diffuse 422 visible, direct near-IR and diffuse near-IR albedos and absorptions of snow accurately.

423

424 The band albedo  $\alpha$  is an irradiance-weighted average of the spectral albedo  $\alpha(\lambda)$ :

$$\begin{array}{l}
425 \\
426 \\
427 \\
428
\end{array} \qquad \alpha = \frac{\int_{\lambda_1}^{\lambda_2} \alpha(\lambda) F(\lambda) d\lambda}{\int_{\lambda_1}^{\lambda_2} F(\lambda) d\lambda} \\
427 \\
428
\end{array} \tag{11}$$

429 In this work, we use the spectral irradiance  $F(\lambda)$  generated by the atmospheric DISORT-

based Shortwave Narrowband Model (SWNB2) (Zender et al., 1997; Zender, 1999) for
typical clear-sky and cloudy-sky conditions of mid-latitude winter as shown in Figure
2(a). The total clear-sky down-welling surface flux at different solar zenith angles are
also given in Figure 2(b).

434 435

#### 436 5. Model Evaluation

437 5.1 Spectral albedo and reflected solar flux

438 The spectral reflectance of pure deep snow computed using two-stream models and 16-439 stream DISORT are shown in Figure 1. The snow grain radius is 100 µm - a typical grain 440 size for fresh new snow. For clear sky with direct beam source (left column), all three 441 two-stream models show good accuracy at visible wavelengths  $(0.3 - 0.7 \mu m)$ , and within 442 this band, the snow albedo is large and close to 1. As wavelength increases, the albedo 443 diminishes in the near-IR band. two-stream models overestimate snow albedo at these 444 wavelengths, with maximum biases of 0.013 (SNICAR and dEdd-AD) and 0.023 (2SD) 445 within wavelength 1 - 1.7 µm. For cloudy-sky cases with diffuse upper boundary 446 conditions, <u>dEdd-AD</u> reproduces the snow albedo at all wavelengths with the smallest 447 absolute error (< 0.005), SNICAR and 2SD both overestimate the snow albedo with 448 maximum biases > 0.04 between 1.1-1.4  $\mu$ m.

449

In both sky conditions, the errors of snow albedo are larger at near-IR wavelengths
ranging from 1.0-1.7 μm, while the solar incident flux peaks at 0.5 μm then decrease as
wavelength increases. The largest error in reflected flux is within the 0.7-1.5 μm band for

453 SNICAR and 2SD, as shown in the 3<sup>rd</sup> row of Figure 1. dEdd-AD overestimate the direct

454 snow albedo mostly at wavelengths larger than 1.5 μm where the error in reflected flux is
455 almost negligible.

456

457 5.2 Broadband albedo and reflected solar flux

458 Integrated over the visible and near-IR wavelengths, the error in band albedos computed

- using two-stream models for different cases are shown in Figure 3-6.
- 460

461 Figure 3 shows the error in direct band albedo for fixed snow grain radius of 100 µm with

462 different snow depth and solar zenith angles. As introduced in Section 2, SNICAR and

463 dEdd-AD both use delta-Eddington method to compute the visible albedo. They

464 overestimate the visible albedo for solar zenith angles smaller than  $50^{\circ}$  by up to 0.005,

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- 472 and underestimate it for solar zenith angles larger than 50° by up to -0.01. 2SD produces
- 473 similar results for the visible band but at a larger solar zenith angle threshold of 75°. In
- 474 the near-IR band, SNICAR and 2SD overestimate the snow albedo for solar zenith angles
- 475 smaller than 70°, beyond this, the error in albedo increases by up to -0.1 as solar zenith
- 476 | angle increases. dEdd-AD produces a similar error pattern with a smaller solar zenith
- 477 angle threshold at 60°. As snow ages, its average grain size increases. For typical old
- 478 melting snow of grain radius 1000 μm (Figure 4), two-stream models produce similar
- 479 errors of direct albedo in all bands. For snow consisting of smaller grain size, two-stream
- 480 models produce larger errors for visible albedo. Integrating over the entire solar band, the
- 481 three two-stream models evaluated show similar error patterns for direct albedo.
- 482
- For a fixed solar zenith angle of 60°, the error of direct albedo for different snow depth and snow grain radii are shown in Figure 5. SNICAR and <u>dEdd-AD</u> underestimate the visible albedo in most scenarios, while 2SD overestimates the visible albedo for a larger range of grain radius and snow depth. All three two-stream models tend to overestimate
- 487 the near-IR albedo except for shallow snow with large grain radius; the error of 2SD i
- 488 | one order of magnitude larger than that of SNICAR and dEdd-AD.
- 489

490 Figure 6 is similar to Figure 5, but shows the diffuse snow albedo. In the visible band 491 SNICAR and <u>dEdd-AD</u> generate similar errors in that they both underestimate the albed 492 as snow grain size increases and snow depth decreases. 2SD overestimates the albed 493 with a maximum error of around 0.015. In the near-IR, two-stream models tend t 494 overestimate snow albedo, while the magnitude of biases produced by SNICAR and 2SI 495 are one order larger than that of dEdd-AD with the maximum error of 0.035 generated b 496 SNICAR. As a result, the all-wave diffuse albedos computed using dEdd-AD are mor 497 accurate than those computed using SNICAR and 2SD.

499 Figures 7, 8 and 9 show the errors in reflected shortwave flux caused by snow albed 500 errors seen in Figures 3, 4, and 6. In general, two-stream models produce larger errors in 501 reflected direct near-IR flux (Figure 7 and 8), especially with the 2SD model: th maximum overestimate of reflected near-IR flux is 6-8 Wm<sup>-2</sup> for deep melting snow wit 502 503 solar zenith angle  $< 30^{\circ}$ . Errors in reflected direct visible flux are smaller (mostly within 504  $\pm 1$  Wm<sup>-2</sup>) for all models in most scenarios, and become larger (mostly within  $\pm 3$  Wm<sup>-2</sup>) a 505 snow grain size increases to 1000 µm if computed using 2SD. As shown in Figure 9, for 506 diffuse flux with solar zenith angle of 60° at TOA, SNICAR and dEdd-AD generate

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small errors in reflected visible flux (mostly within ±1 Wm<sup>-2</sup>), while 2SD always 514 overestimates reflected visible flux by up to 5 Wm<sup>-2</sup>. In the near-IR, SNICAR and 2SD 515 overestimate reflected flux by as much as 10-12 Wm<sup>-2</sup>; the error in reflected near-IR flux 516 produced by dEdd-AD is much smaller, mostly within  $\pm 1 \text{ Wm}^{-2}$ . 517

518

542

519 In general, dEdd-AD produces the most accurate albedo and thus reflected flux for both 520 direct and diffuse components. SNICAR is similar to dEdd-AD for its accuracy of direct 521 albedo and flux, yet generates large error for the diffuse component. 2SD tends to 522 overestimate snow albedo and reflected flux in both direct and diffuse components and 523 shows the largest errors among three two-stream models. These relatively small 524 differences between algorithms may still yield large impact on snowpack. For example, 525 compared to dEdd-AD, SNICAR and 2SD overestimate the diffuse albedo by ~0.015 for 526 melting snow (Figure 6). In Greenland, the daily averaged downward diffuse solar flux from May to September is 200 W/m<sup>2</sup>, and the averaged cloud cover fraction is 80% 527 (Figure 6, Dang et al., 2017). In this case, SNICAR and 2SD overestimate the reflected 528 529 solar flux by 2.4 W/m<sup>2</sup> per day – the amount of energy otherwise enough to melt 10 cm of 530 snow water equivalent from May to September. dEdd-AD also remediates self-531 compensating spectral biases (where visible and Near-IR biases are of opposite signs) 532 present in the other schemes. Those spectral biases do not affect the broadband fluxes like the diffuse biases, but they nevertheless degrade proper feedbacks between snow/ice 533 534 reflectance and heating." 535 536 5.3 Band absorption of solar flux 537 Figure 10 shows absorption profiles of shortwave flux computed using the 16-stream DISORT model, with errors in absorbed fractional solar flux computed using two-stream 538 539 models. The snowpack is 10-cm deep and is divided into 5 layers, each 2-cm thick. The

540 snow grain radius is set to 100 µm. The figure shows fractional absorption for snow

layers 1-4 and the underlying ground with an albedo of 0.25. 541

As shown in the first column of Figure 10, for new snow with a radius of 100 µm, most 543 544 solar absorption occurs in the top 2-cm snow layer, where roughly 10% and 15% of diffuse and direct near-IR flux are absorbed and dominate the solar absorption within the 545

- 546 snowpack. In the second layer (2-4 cm), the absorption of solar flux is less than 1% and
- 547 gradually decreases within the interior layers. The underlying ground absorbs roughly 2%

548 of solar flux, mostly visible flux that penetrates the snowpack more efficiently. As snow

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Deleted: Note that the final errors of snow albedo and reflected solar flux are the weighted sum of direct and diffuse components, and their weights are largely determined by cloud cover fraction (e.g. Figure 6, Dang et al., 2017), which we do not address explicitly in this paper.

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comparing to ro-stream Disorci, two-stream models underestimate (overestimate) the
 column solar absorptions for new (old) snow, especially for the surface snow layer and
 the underground. Overall, dEdd-AD gives the most accurate absorption profiles among
 the three two-stream models, especially for new snow.

573

## 5746. Correction for direct albedo for large solar zenith angles575

576 It has been pointed out in previous studies that the two-stream approximations become 577 poor as solar zenith angle approaches 90° (e.g. Wiscombe 1977, Warren 1982). As shown 578 in Figures 3 and 4, all three two-stream models underestimate the direct snow albedo for large solar zenith angles. In the visible band, when the snow grain size is small, the error 579 580 in direct albedo is almost negligible (Figure 3); while as snow ages and snow grains 581 become larger, the error increases yet remains low if the snow is deep (Figure 4). In the 582 near-IR, the biases of albedo are also larger for larger snow grain radii. For a given snow 583 size, the magnitudes of such biases are almost independent of snow depth, and mainly determined by the solar zenith angle. In general, the errors of all-wave direct albedo are 584 585 mostly contributed by the errors of near-IR albedo, especially for optically thick 586 snowpacks (i.e., semi-infinite), because the errors of direct albedo in the visible are 587 negligible compared with those in the near-IR. To improve the performance of two-588 stream algorithms, we develop a parameterization that corrects the underestimated near-589 IR snow albedo at large zenith angles.

590

Figure 11 shows the direct near-IR albedo and fractional absorption of 2-meter thick
snowpacks consisting of grains with radius 100 µm and 1000 µm, computed using twostream algorithms and 16-stream DISORT. For solar zenith angles > 75°, two-stream
models underestimate snow albedo and overestimate solar absorption within snowpack,
mostly in the top 2-cm of snow, and the differences among three two-stream models are
small. In Section 5, we have shown that dEdd-AD produces the most accurate snow

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603	albedo in general, with anticipated wide application of dEdd-AD, we develop the
604	following parameterization to adjust its low biases in computed near-IR direct albedo.
605	
606	We define and compute $R_{75+}$ as the ratio of direct semi-infinite near-IR albedo computed
607	using 16-stream DISORT ( $\alpha_{16-DISORT}$ ) to that computed using <u>dEdd-AD</u> ( $\alpha_{dEdd-AD}$ ). This
608	ratio is shown in Figure 11 (c) and can be parameterized as a function of snow grain
609	radius (r, unit in meter) and the cosine of incident solar zenith angle ( $\mu_0$ ), as shown in
610	Figure 11(c):
611	
612	$R_{75+} = \frac{\alpha_{16-DISORT}}{\alpha_{dEdd-ADr}} = c_1(\mu_0) log_{10}(r) + c_0(\mu_0) $ (12)
613	$\alpha_{dEdd-AD_{f}}$
614	where coefficients $c_1$ and $c_0$ are polynomial functions of $\mu_0$ , as shown in Figure 11(d):
615	
616	$c_1(\mu_0) = 1.304\mu_0^2 - 0.631\mu_0 + 0.086 \tag{13a}$
617	$c_0(\mu_0) = 6.807\mu_0^2 - 3.338\mu_0 + 1.467 \tag{13b}$
618	
619	Since two-stream models always underestimate snow albedo, $R_{75+}$ always exceeds 1
620	(Figure 11c). We can then adjust the direct near-IR snow albedo ( $\alpha_{dEdd-AD}$ ) and direct
621	near-IR solar absorption ( $Fabs_{dEdd-AD}$ ) by snow computed using <u>dEdd-AD</u> with ratio
622	<u>R</u> <sub>75+4</sub> :
623	
624	$\alpha_{dEdd-AD_{\mathbf{Y}}}^{adjust} = R_{75+}\alpha_{dEdd-AD_{\mathbf{Y}}} \tag{14a}$
625	$u_{dEdd-AD_{\mathbf{v}}} = h_{75+}u_{dEdd-AD_{\mathbf{v}}} $
i	E l'adjust E l'All
626	$Fabs_{dEdd-AD_{r}}^{adjust} = Fabs_{dEdd-AD_{r}} - (R_{75+} - 1) \alpha_{dEdd-AD_{r}} F_{nir} $ (14b)
627	
628	where $F_{nir}$ is the direct near-IR flux. This adjustment reduces the error of near-IR albedo
629 620	from negative 2-10% to within $\pm 0.5\%$ for solar zenith angles larger than 75°, and for
530 531	grain radii ranging from 30-1500 $\mu$ m (Figure 12). Errors in broadband direct albedo are therefore also reduced to < 0.01. The direct near-IR flux absorbed by the snowpack
531 532	decreases after applying this adjustment.
633	decreases area apprying ans adjustment.
634	When the solar zenith angle exceeds 75°, our model adjusts the computed direct near-IR
635	albedo $\alpha$ dEdd-AD by the ratio $R75+$ following equations 12-14a and reduces direct near-
	and the provide th

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651 IR absorption following equation 14b, Jf snow is divided into multiple layers, we assume all decreased near-IR absorption (2<sup>nd</sup> term on the right-hand side, equation 14b) is 652 653 confined within the top layer. This assumption is fairly accurate for the near-IR band, 654 since most absorption occurs at the surface of snowpack (Figures 10 and 11). As 655 discussed previously, this parameterization is developed based on albedo computed using 656 dEdd-AD. For models that do not use dEdd-AD but SNICAR and 2SD, the same 657 adjustment still applies given the small differences of near-IR direct albedo computed 658 using two-stream models (Figure 11). For models that adopt other radiative transfer 659 algorithms it is best for the developers to examine their model against a benchmark 660 model such as 16-stream DISORT or two-stream models discussed in this work before 661 applying this correction. 662

It is important to note that although the errors of direct near-IR albedos are large for large solar zenith angles, the absolute error in reflected shortwave flux is small (Figures 7 and 8) as the down-welling solar flux reaches snowpack decreases as solar zenith angle increases (Figures 1(b)). However, such small biases in flux can be important to high latitudes where the solar zenith angle remains large for many days in late winter and early spring.

### 669

## 670 **7. Implementation of snow radiative transfer model in Earth system models**

ESMs often use broader band-averaged SSPs of snow and aerosols for computational
efficiency, rather than using brute-force integration of spectral solar properties across
narrower bands (per equation 11). Besides using different radiative transfer
approximations, SNICAR and <u>dEdd-AD</u> also adopt different methods to derive the bandaveraged SSPs of snow for different band schemes.

677

In SNICAR, snow solar properties are computed for 5 bands: one visible band  $(0.3 - 0.7\mu m)$ , and four near-IR bands  $(0.7 - 1 \ \mu m, 1 - 1.2 \ \mu m, 1.2 - 1.5 \ \mu m, and <math>1.5 - 5 \ \mu m)$ . The solar properties of four subdivided near-IR bands are combined by fixed ratios to compute the direct/diffuse near-IR snow properties. These two sets of ratios are derived offline based on the incident solar spectra of typical of mid-latitude winter for clear and cloudy-sky conditions clear sky and cloudy sky, respectively (Figure 1(a)).

The band-averaged SSPs of snow grains are computed following the ChandrasekharMean approach (Thomas and Stamnes, 1999, their Equation 9.27; Flanner et al., 2007).

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698 Specifically, spectral SSPs of snow grains are weighted into bands according to surface

699 incident solar flux typical of mid-latitude winter for clear and cloudy sky conditions. In 700 addition, the single-scattering albedo  $\overline{\omega}(\lambda)$  of ice grains are also weighted by the 701 hemispheric albedo  $\alpha(\lambda)$  of an optically thick snowpack:

702

703 
$$\varpi(\bar{\lambda}) = \frac{\int_{\lambda_1}^{\lambda_2} \varpi(\lambda) F(\lambda) \alpha(\lambda) d\lambda}{\int_{\lambda_1}^{\lambda_2} F(\lambda) \alpha(\lambda) d\lambda}$$
(15a)

704 
$$g(\bar{\lambda}) = \frac{\int_{\lambda_1}^{\lambda_2} g(\lambda)F(\lambda)d\lambda}{\int_{\lambda_1}^{\lambda_2} F(\lambda)\alpha(\lambda)d\lambda}$$
(15b)

705 
$$\sigma_{ext}(\bar{\lambda}) = \frac{\int_{\lambda_1}^{\lambda_2} \sigma_{ext}(\lambda)F(\lambda)d\lambda}{\int_{\lambda_1}^{\lambda_2}F(\lambda)\alpha(\lambda)d\lambda}$$
(15c)

706

707 Two sets of snow band-averaged SSPs are generated for all grain radii, suitable for direct 708 and diffuse light, respectively. For each modeling step and band, SNICAR is called twice 709 to compute the direct and diffuse snow solar properties. 710 711 In <u>dEdd-AD</u>, the snow-covered sea ice properties are computed for 3 bands: one visible 712 band (0.3 – 07  $\mu$ m), and two near-IR bands (0.7 – 1.19  $\mu$ m and 1.19 – 5  $\mu$ m). The solar proprieties of these two near-IR bands are combined using ratios w<sub>nir1</sub> and w<sub>nir2</sub> for 0.7-1 713 714 .19  $\mu$ m and 1.19-5  $\mu$ m, depending on the fraction of direct near-IR flux  $f_{nidr}$ : 715 716  $w_{nir1} = 0.67 + 0.11 * (1 - f_{nidr})$ (16a) 717  $w_{nir2} = 1 - w_{nir1}$ (16b) 718 719 The band SSPs of snow are derived by integrating the spectral SSPs and the spectral 720 surface solar irradiance measured in the Arctic under mostly clear sky. 721  $\varpi(\overline{\lambda}) = \int_{\lambda_1}^{\lambda_2} \varpi(\lambda) F(\lambda) d\lambda$ 722 (17a)  $g(\bar{\lambda}) = \int_{\lambda_1}^{\lambda_2} g(\lambda) F(\lambda) d\lambda$ 723 (17b)  $\sigma_{ext}(\bar{\lambda}) = \int_{\lambda_1}^{\lambda_2} \sigma_{ext}(\lambda) F(\lambda) d\lambda$ 724 (17c) 725

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127 In addition, the band-averaged single-scattering albedo  $\overline{\omega}(\overline{\lambda})$  is also increased to  $\overline{\omega}(\overline{\lambda})'$ 128 until the band albedo computed using averaged SSPs matches the band albedo  $\overline{\alpha}$  within 129 0.0001, where  $\overline{\alpha}$  is:

730

731 
$$\overline{\alpha} = \int_{\lambda_1}^{\lambda_2} \alpha(\lambda) F(\lambda) d\lambda$$

732

733  $\left| \frac{dEdd-AD}{dEdd-AD} \right|$  adopts this single set of band SSPs for both direct and diffuse computations. In 734 practice, the physical snow grain radius *r* is adjusted to a radiatively equivalent radius  $r_{eqv}$ 735 based on the fraction of direct flux in the near-IR band  $(f_{nidr})$ :

737 
$$r_{eqv} = (f_{nidr} + 0.8(1 - f_{nidr}))r$$
 (19)

738

This  $r_{eqv}$  and the corresponding snow SSPs are then used in the radiative transfer calculation. The computed direct and diffuse solar properties alone are less accurate, while the combined all-sky broadband solar properties agree with SNICAR (Briegleb and Light, 2007). As a result, for each modeling step and band, <u>dEdd-AD</u> radiative transfer subroutine is called only once to compute both the direct and diffuse snow solar properties simultaneously.

746 SNICAR and <u>dEdd-AD</u> also use different approaches to avoid numerical singularities. In 747 SNICAR, singularities occur when the denominator of term  $C_n^{\pm}$  in equation (3) equals to zero (i.e.,  $\gamma^2 - 1/\mu_0^2 = 0$ ), where  $\gamma$  is determined by the approximation method and SSPs 748 749 of snow, and  $\mu_0$  is the cosine of the solar zenith angle (Equations 23 and 24, Toon et al., 1989). When such a singularity is detected, SNICAR will shift  $\mu_0$  by + 0.02 or -0.02 to 750 obtain physically realistic radiative properties. In the <u>dEdd-AD</u> algorithm, singularities 751 752 arise only when  $\mu_0 = 0$  (Equation 4). Therefore, in practice, for  $\mu_0 < 0.01$ , <u>dEdd-AD</u> 753 computes the sea-ice solar properties for  $\mu_0 = 0.01$  to avoid unphysical results. 754 755 8. Discussion: a unified radiative transfer model for snow, sea ice, and land ice. 756

757
758 Based on the inter-comparison of three two-stream algorithms and their implementations
759 in ESMs, we formulated the following surface shortwave radiative transfer

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(18)

recommendations for an accurate, fast, and consistent treatment for snow on land, land

766 ice, and sea ice in ESMs:

767

First, the two-stream delta-Eddington adding-doubling algorithm by Briegleb and Light (2007) is unsurpassed as a radiative transfer core. The evaluation in Section 5 shows that

this algorithm produces the least error for snow albedo and solar absorption within

771 | snowpack, especially under overcast skies. This algorithm applies well to both uniformly

refractive media such as snow on land, and to non-uniformly refractive media, such as

773 bare/snow-covered/ponded sea ice and bare/snow-covered land ice. Numerical

774 singularities occur only rarely (when  $\mu_0 = 0$ ) and are easily avoided in model

775 | implementations. Among the three two-stream algorithms discussed here, <u>dEdd-AD</u> is

776 also the most efficient one as it takes only  $\sim 2/3$  of the time of SNICAR and 2SD to

777 778

Second, any two-stream cryospheric radiative transfer model can incorporate the parameterization described in Section 6 to adjust the low bias of direct near-IR snow albedo and high bias of direct near-IR solar absorption in snow, for solar zenith angles larger than 75°. These biases are persistent across all two-stream algorithms discussed in this work, and should be corrected for snow-covered surfaces. Alternatively, adopting a 4-stream approximation would reduce or eliminate such biases, though at considerable

785 expense in computational efficiency.

compute solar properties of multi-layer snowpacks.

786

787 Third, a cryospheric radiative transfer model should prefer physically based 788 parameterizations that are extensible and convergent (e.g., with increasing spectral 789 resolution) for the band-averaged SSPs and size distribution of snow. Although the 790 treatments used in SNICAR and dEdd-AD are both practical since they both reproduce 791 the narrowband solar properties with carefully derived band-averaged inputs as discussed 792 in Section 7, the snow treatment used in SNICAR is more physically based and 793 reproducible since it does not rely on subjective adjustment and empirical coefficients as 794 used in <u>dEdd-AD</u>. Specifically, the empirical adjustment to snow grain radius 795 implemented in <u>dEdd-AD</u> may not always produce compensating errors. For example, in 796 snow containing light-absorbing impurities such adjustment may also lead to biases in 797 aerosol absorption since the albedo reduction caused by light-absorbing particles does not 798 linearly depend on snow grain radius (Dang et al., 2015). For further model development 799 incorporating non-spherical snow grain shapes (Dang et al., 2016; He et al., 2018ab), 800 such adjustment on grain radius may fail as well. Moreover, SNICAR computes the snow Deleted: y

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806 properties for four near-IR bands, which helps capture the spectral variation of albedo

(Figure 1) and therefore better represents near-IR solar properties. It is also worth noting that unlike the radiative core of <u>dEdd-AD</u>, SNICAR is actively maintained with numerous modifications and updates in the past decade (e.g. Flanner et al., 2012; He et al., 2018b). Snow radiative treatments that follow SNICAR conventions for SSPs may take advantage of these updates. Note that any radiative core that follows SNICAR SSP conventions must be called twice to compute diffuse and direct solar properties, respectively.

814

832

815 Fourth, a surface cryospheric radiative transfer model should flexibly accommodate 816 coupled simulations with distinct atmospheric and surface spectral grids. Both the 5-band 817 scheme used in SNICAR and the 3-band scheme used in dEdd-AD separate the visible 818 from near-IR spectrum at 0.7 µm. This boundary aligns with the Community 819 Atmospheric Model's original radiation bands (CAM; Neale et al., 2012), though not 820 with the widely used Rapid Radiative Transfer Model (RRTMG; Iacono et al., 2008) 821 which places 0.7 µm squarely in the middle of a spectral band. A mismatch in spectral 822 boundaries between atmospheric and surface radiative transfer schemes can require an 823 ESM to unphysically apportion energy from the straddled spectral bin when coupling 824 fluxes between surface and atmosphere. The spectral grids of surface and atmosphere 825 radiation need not be identical so long as the coarser grid shares spectral boundaries with 826 the finer grid. In practice maintaining a portable cryospheric radiative module such as 827 SNICAR requires a complex offline toolchain (Mie solver, spectral refractive indices for 828 air, water, ice, and aerosols, spectral solar insolation for clear and cloudy skies) to 829 compute, integrate, and rebin SSPs. Aligned spectral boundaries between surface and 830 atmospheric would simplify the development of efficient and accurate radiative transfer 831 for the coupled Earth system.

833 Last, it is important to note that, although we only examine the performance of the dEdd-834 AD for pure snow in this work, this algorithm can be applied to the surface solar calculation of all cryospheric components with or without light-absorbing particles 835 836 present. First, Briegleb and Light (2007) proved its accuracy for simulating ponded/bare 837 sea-ice solar properties against observations and a Monte Carlo radiation model. Second, 838 In CESM and E3SM, the radiative transfer simulation of snow on land ice is carried out 839 by SNICAR with prescribed land ice albedo. Adopting dEdd-AD radiative core in 840 SNICAR will permit these ESMs to couple the snow and land ice as a non-uniformly 841 refractive column for more accurate solar computations since bare/snow-covered/ponded Author Deleted: Figure 2 Author Deleted: CICE

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850 land ice is physically similar to bare/snow-covered/ponded sea ice, and the latter is already treated well by dEdd-AD radiative transfer core. Third, adding light-absorbing 851 852 particles in snow will not change our results qualitatively. Both dEdd-AD and SNICAR 853 simulate the impact of light-absorbing particles (black carbon and dust) on snow and/or 854 sea ice using self-consistent particle SSPs that follow the SNICAR convention (e.g., 855 Flanner et al., 2007; Holland et al. 2012). These particles are assumed to be either 856 internally or externally mixed with snow crystals; the combined SSPs of mixtures (e.g. 857 Appendix A of Dang et al., 2015) are then used as the inputs for radiative transfer 858 calculation. The adoption of dEdd-AD radiative transfer algorithm in SNICAR, and the 859 implementation of SNICAR snow SSPs in dEdd-AD enables a consistent simulation of the radiative effects of light-absorbing particles in the cryosphere across ESM 860 861 components. 862 863 In summary, this inter-comparison and evaluation has shown multiple ways that the solar 864 properties of cryospheric surfaces can be improved in the current generation of ESMs. 865 We have merged these findings into a hybrid model SNICAR-AD, which is primarily 866 composed of the radiative transfer scheme of dEdd-AD, 5-band snow/aerosol SSPs of 867 SNICAR, and the parameterization to correct for snow albedo biases when solar zenith 868 angle exceeds 75°. This hybrid model can be applied to snow on land, land ice, and sea 869 ice to produce consistent shortwave radiative properties for snow-covered surfaces across 870 the Earth system. With the evolving and further understanding of snow and aerosol physics and chemistry, the adoption of this hybrid model will obviate the effort to modify 871 872 and maintain separate optical variable input files used for different model components. 873 874 SNICAR-AD is now implemented in both the sea-ice (MPAS-seaice) and land (ELM) 875 components of E3SM. More simulations and analyses are underway to examine its 876 impact on E3SM model performance and simulated climate. The results are however 877 beyond the scope of this work and will be thoroughly discussed in a future paper,

#### 878 9. Conclusions

In this work, we aim to improve and unify the solar radiative transfer calculations for snow on land and snow on sea ice in ESMs by evaluating the following two-stream
radiative transfer algorithms: the two-stream delta-Eddington adding-doubling algorithm
<u>dEdd-AD</u> implemented in sea-ice model Icepack/CICE/MPAS-seaice, the two-stream
delta-Eddington and two-stream delta-Hemispheric-Mean algorithms implemented in
snow model SNICAR, and a two-stream delta-Discrete-Ordinate algorithm. Among these
three models, the <u>dEdd-AD</u> produces the most accurate snow albedo and solar absorption

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recommendations in a hybrid model SNICAR-AD, implemented in MPAS-seaice and E3SM Land Model (ELM), to examine the response of climate to this improved and unified cryospheric surface radiation treatment in future E3SM studies.

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**Deleted:** two-stream delta-Eddington adding-doubling algorithm

- 903 (Section 5). All two-stream models underestimate near-IR snow albedo and overestimate
- 904 near-IR absorption when solar zenith angles are larger than 75°, which can be adjusted by

905 a parameterization we developed (Section 6). We compared the implementations of

906 | radiative transfer cores in SNICAR and dEdd-AD (Section 7) and recommended a

907 consistent and hybrid shortwave radiative model SNICAR-AD for snow-covered surfaces

908 across ESMs (Section 8). Improved treatment of surface cryospheric radiative properties

909 in the thermal infrared has recently been shown to remediate significant climate

- 910 simulation biases in Polar Regions (Huang et al., 2018). It is hoped that adoption of
- 911 improved and consistent treatments of solar radiative properties for snow-covered
- 912 | surfaces as described in this study will further remediate simulation biases in snow-
- 913 <u>covered regions.</u>
- 914

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920 Data availability. The data and models are available upon request to Cheng Dang

#### 921 | (cdang5@uci.edu). SNICAR and dEdd-AD radiative transfer core can be found at

- 922 https://github.com/E3SM-Project/E3SM.
- 923
- 924 **Competing interests.** The authors declare that they have no conflict of interest. 925

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Figure 1. The spectral albedo of pure snow computed using 16-stream DISORT, SNICAR, <u>dEdd-AD</u>, and 2SD models, for clear-sky (direct beam at solar zenith angle 1117

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1119 60°) and cloudy-sky conditions in the left and right panels, respectively. The top panels

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show spectral albedo. The middle panels show the difference ( $\delta \alpha = \alpha_2 - \alpha_{10}$ ) in spectral albedos computed using the two-stream model ( $\alpha_2$ ) and 16-stream DISORT ( $\alpha_{10}$ ). The bottom panels show the difference of reflected spectral flux given  $\delta \alpha$ . The snowpack is 1122

1123 set to semi-infinite deep with grain radius of  $100 \,\mu m$ .



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1139 Figure 3. The difference in direct snow albedo ( $\delta \alpha = \alpha_2 - \alpha_{10}$ ) computed using two-stream

models ( $\alpha_i$ ) and using 16-stream DISORT model ( $\alpha_{in}$ ), for various snow depths and solar zenith angles, with snow grain radius of 100 µm. From the top to the bottom rows are 1140

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1142 results of two-stream models SNICAR, dEdd-AD, and 2SD. From the left to the right

1143 columns are albedo differences of all-wave, visible, near-IR bands.

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- Figure 4. The difference in direct snow albedo ( $\delta \alpha = \alpha_2 \alpha_{10}$ ) computed using two-stream models ( $\alpha_2$ ) and using 16-stream DISORT model ( $\alpha_{10}$ ), for various snow depths and solar zenith angles, with snow grain radius of 1000 µm. 1148
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Figure 5. The difference in direct snow albedo ( $\delta \alpha = \alpha_c - \alpha_{s}$ ) computed using two-stream models ( $\alpha_c$ ) and using 16-stream DISORT model ( $\alpha_{s}$ ), for various snow depths and snow grain radii, with solar zenith angle of 60°,





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Figure 6. The difference in diffuse snow albedo ( $\delta \alpha = \alpha_a - \alpha_{ab}$ ) computed using two-stream models ( $\alpha_a$ ) and using 16-stream DISORT model ( $\alpha_{ab}$ ), for various snow depths and snow grain radii, with solar zenith angle of 60° at the top of the atmosphere. 1166

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1173 Figure 7. Error in reflected direct solar flux given albedo errors shown in Figure 3.



1176 Figure 8. Error in reflected direct solar flux given albedo errors shown in Figure 4.



1180 Figure 9. Error in reflected diffuse solar flux given albedo errors shown in Figure 6.

1184 Figure 10. Comparison of light-absorption profiles derived from two-stream models and

1185 | 16-stream DISORT. The left-most column shows fractional band absorptions computed using 16-stream DISORT. The right three panels show the errors of all-wave, visible, and

have the fractional absorptions calculated using two-stream models. The top and bottom panels are for clear-sky and cloudy-sky conditions (solar zenith angle of  $60^{\circ}$ ), respectively. The snowpack is 10 cm deep and is divided evenly into five 2-cm thick layers, for new snow (r = 100 µm) and old snow (r = 1000 µm). The layers 1-4 represent the top four snow layers (top 8 cm), and layer 5 represents underlying ground with albedo of 0.25.



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1196 1197 Figure 11. (a) Direct near-IR snow albedo and (b) near-IR fractional absorption by top 2-1198 cm snow of a 2-m thick snowpack, for solar zenith angles larger than 70° and snow grain 1199 radii of 100  $\mu$ m and 1000  $\mu$ m. (c) The ratios of near-IR albedo computed using CICE to 1200 that computed using 16-stream DISORT for different solar zenith angles. These ratios are 1201 parameterized as linear functions of the logarithmic of snow grain radius. The slopes and 1202 y-intercepts are shown in (d). The black dashed curves in figures (c) and (d) are fitting 1203 values computed using parameterization discussed in Section 5.



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- Figure 12. Error in semi-infinite snow albedo computed using <u>dEdd-AD</u> before (top row) and after (bottom row) incorporating corrections for near-IR albedo, for different solar zenith angles and snow grain radii.



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Table 1. Acronyms used in this paper and their references.

## 

ESM/ESMs	Earth System Models		
E3SM	Energy Exscale Earth System Model	Global climate model, previously know as ACME, <u>https://e3sm.org/</u>	
CESM	Community Earth System Model	Global climate model, http://www.cesm.ucar.edu/	
CCSM	Community Climate System Model	Global climate model, http://www.cesm.ucar.edu/models/ccsm 4.0/	
RACMO	Regional Atmospheric Climate Model	Regional model, https://www.projects.science.uu.nl/icecli mate/models/racmo.php	
CAM	Community Atmospheric Model	Atmospheric model, Neale et al., 2012	
ELM	E3SM land model	Land model of E3SM, https://e3sm.org/model/e3sm-model- description/v1-description/	
CLM	Community land model	Land model of CESM, http://www.cesm.ucar.edu/models/clm/	
MPAS-seaice	Model for Prediction Across Scales Sea Ice	Sea-ice model of E3SM, Turner et al., 2018	
CICE	Los Almos Sea Ice Model	Sea-ice model of CESM, Hunke et al., 2010	
RRTM	Rapid Radiative Transfer Model	Standalone column radiative transfer model, Mlawer and Clough, 1997, http://rtweb.aer.com/rrtm_frame.html	
RRTMG	Rapid Radiative Transfer Model for GCM components	Modified RRTM for GCM application, Iacono et al., 2008, http://rtweb.aer.com/rrtm_frame.html	
DISORT	DIScrete-Odinate Radiative Transfer model	Standalone column radiative transfer model, http://lllab.phy.stevens.edu/disort/, Stamnes et al., 1988	
SWNB2	Shortwave Narrowband Model	Standalone column radiative transfer model, Zender et al., 1997; Zender, 1999	
SNICAR	SNow ICe and Aerosol Radiative module	Snow module used in ELM and CLM, Flanner and Zender, 2005; Toon et al., 1989	

dEdd-AD	Two-stream delta-Eddington Adding- Doubling radiative transfer algorithm	Sea-ice radiative transfer core in MPAS- seaice and CICE, Briegleb and Light, 2007	
2SD	Two-Stream Discrete ordinate radiative transfer algorithm	Radiative transfer algorithm tested in this work, Jin and Stamnes, 1994	
SNICAR-AD	SNICAR – Adding Doubling	Hybrid snow/sea-ice radiative transfer model, Section 8	
SSP/SSPs	Single-Scattering Properties	Single-scattering albedo $\varpi$ , asymmetry factor <i>g</i> , extinction coefficient $\sigma_{ext}$	
near-IR	Near Infrared band	Wavelengths of 0.7 - 5 µm	

Table 2. Two-stream radiative transfer algorithms evaluated in this work, including algorithms that are currently implemented in Earth System Model CESM and E3SM.

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ESM Component	Land	Sea Ice	
Model	SNICAR	<u>dEdd-AD</u>	2SD
Radiative transfer approximation	two-stream δ-Eddington (visible) δ-Hemispheric-mean (near-IR)	two-stream δ-Eddington	two-stream δ-Discrete-ordinate
Treatment for multi-layered media	matrix inversion	adding-doubling	matrix inversion
Fresnel reflection/refraction	no	yes	yes
Number of bands implemented in ESMs	5 bands (1 visible, 4 near-IR)	3 bands (1 visible, 2 near-IR)	
Applies to	snow	bare/ponded/snow- covered sea ice, and snow	bare/ponded/snow- covered sea ice, and snow

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