

1 **Comparison of modeled snow properties in Afghanistan, Pakistan, and Tajikistan**

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15 ABSTRACT: Ice and snowmelt feed the Indus and Amu Darya rivers, yet there are limited in situ
16 measurements of these resources. Previous work in the region has shown promise using snow
17 water equivalent (SWE) reconstruction, which requires no in situ measurements, but validation
18 has been a problem until recently when we were provided with daily manual snow depth
19 measurements from Afghanistan, Tajikistan, and Pakistan by the Aga Khan Agency for Habitat
20 (AKAH). For each station, accumulated precipitation and SWE were derived from snow depth
21 using the SNOWPACK model. High-resolution (500 m) reconstructed SWE estimates from the
22 ParBal model were then compared to the modeled SWE at the stations. The Alpine3D model was
23 then used to create spatial estimates at 25 km to compare with estimates from other snow models.
24 Additionally, the coupled SNOWPACK and Alpine3D system has the advantage of simulating
25 snow profiles, which provide stability information. Following previous work, the median number
26 of critical layers and percentage of facets across all of the pixels containing the AKAH stations
27 was computed. For SWE at the point scale, the reconstructed estimates showed a bias of -42 mm
28 (-19%) at the peak. For the coarser spatial SWE estimates, the various models showed a wide
29 range, with reconstruction being on the lower end. For stratigraphy, a heavily faceted snowpack is
30 observed in both years, but 2018, a dry year, according to most of the models, showed more critical
31 layers that persisted for a longer period.

32 1 INTRODUCTION

33 There are many parts of the world where little is known about the snowpack. This lack of
34 knowledge presents a challenge for water managers and for avalanche forecasters. Afghanistan is
35 particularly austere in this respect, as there have been no snow measurements available since the
36 early 1980s. This lack of information about the snowpack potentially creates a humanitarian crisis,
37 as snowmelt fed streams run dry in the fall without warning (USAID, 2008). Accurate historical
38 estimates of basin-wide snow water equivalent (SWE) are crucial for creating a baseline of
39 climatological conditions, which can then aid in predicting today’s SWE. For example,
40 climatological estimates of spatially-distributed SWE are the most important predictors in machine
41 learning statistical models for this region (Bair et al., 2018b).

42 To improve our knowledge about the snowpack in these areas, we have developed an approach
43 that requires no in situ measurements. Using satellite-based estimates of the fractional snow-
44 covered area (fSCA) and downscaled forcings in an energy balance model, we build up the
45 snowpack in reverse, from melt out to its peak, using a technique called SWE reconstruction
46 (Martinec and Rango, 1981). This technique has been shown to accurately estimate SWE in
47 mountain ranges across the world, including: the Sierra Nevada USA (Bair et al., 2016; Rittger et
48 al., 2016); the Rocky Mountains USA (Jepsen et al., 2012; Molotch, 2009); and the Andes of South
49 America (Cornwell et al., 2016)—all areas with relatively abundant independent ground validation
50 measurements. For the so called Third Pole of High Mountain Asia, and especially the
51 northwestern parts of this region, e.g. Afghanistan, Tajikistan, and Pakistan, ground-based
52 validation is challenging.

53 2 AGA KHAN AGENCY FOR HABITAT (AKAH) STATIONS

54 In 2017, we received daily manual snow depth and other meteorological measurements from
55 nearly 100 stations (Figure 1) in an operational avalanche network (Chabot and Kaba, 2016). These
56 stations are funded by the Aga Khan Agency for Habitat (AKAH) and are the first snowpack
57 measurements available, at least that we are aware of, in Afghanistan in nearly 40 years. Hence,
58 we refer to the region as the AKAH study region and the weather stations as the AKAH stations.
59 The AKAH stations contain manual daily snow depth (also called height of snow), height of new
60 (24-hr) snow, daily high and low air temperature, instantaneous wind speed/direction, rainfall, and
61 some text fields on weather and avalanche conditions. For mountainous areas, precipitation is the
62 most uncertain term in the water balance (Adam et al., 2006; Milly and Dunne, 2002) because it
63 exhibits high spatial variability and is difficult to measure with traditional gauges. Measuring snow
64 on the ground has many advantages compared to using precipitation gauges, which suffer from
65 undercatch, especially in the windy and treeless areas (Goodison et al., 1998; Kochendorfer et al.,
66 2017; Lehning et al., 2002a) typical of this part of the world. Likewise, a strength of the SWE
67 reconstruction technique is that it does not depend on precipitation measurements to build the
68 snowpack.

69 Additionally, many of the AKAH stations are at high altitudes, with 64 stations above 2500 m and
70 17 stations above 3000 m. Unfortunately, most of these stations are located in deep valleys, where
71 the villages are, rather than on exposed mountain sides or ridges and the daily resolution is too
72 coarse to use in a snow model without temporal interpolation. Additionally, many of the stations
73 are near glacierized areas which complicates spatially interpolated snow estimates, as some of the
74 snow is on top of ice. The area covered by glaciers in Figure 1 is 7.8%.

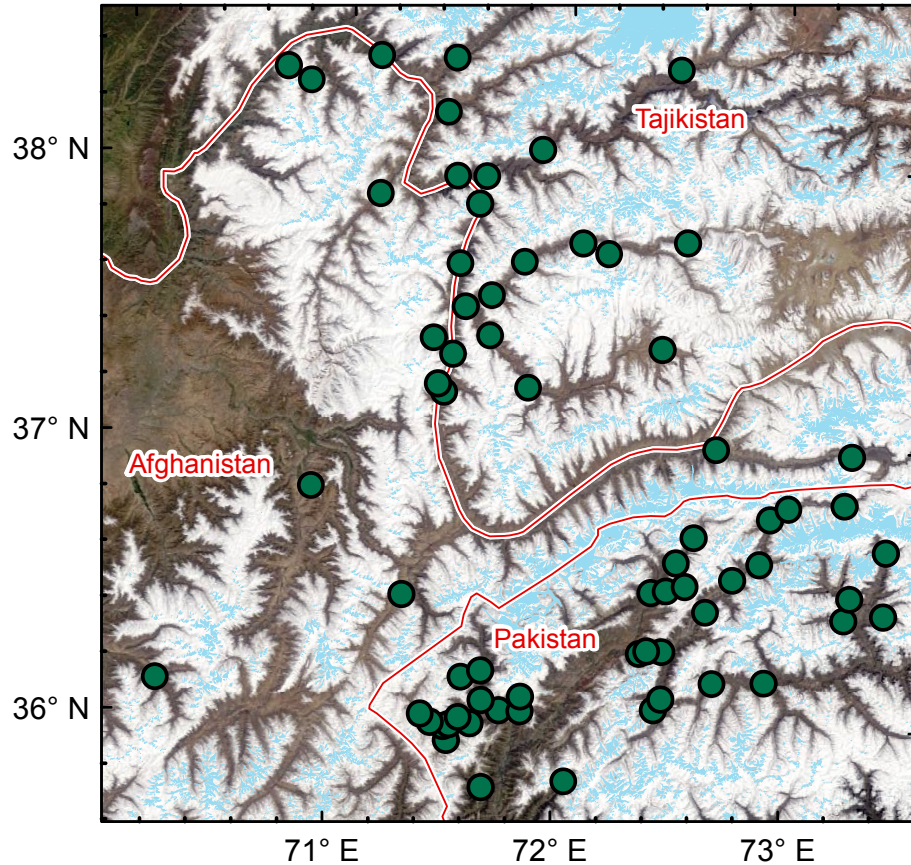


Figure 1 Study region with AKAH stations (green dots) overlaid on a MODIS true color image from 13 April 2018. Also shown are the country boundaries (red) and glacierized areas (light blue) from the Global Land Ice Measurement from Space dataset (Raup et al., 2007). All of the stations in Afghanistan and Tajikistan eventually flow into the Amu Darya River. All of the stations in Pakistan eventually flow into the Indus River.

75

76 Although there have been a large number of studies examining the glaciers of High Mountain Asia,
 77 there are fewer studies examining snowfall in High Mountain Asia, which is odd since
 78 hydrologically in this region, snow on land melt provides the vast majority of runoff compared to
 79 snow on ice and melting glacier ice (Armstrong et al., 2018). Many of these studies are focused on
 80 the region to the east of the AKAH study area shown in Figure 1. To our knowledge, there have
 81 been no studies on snowpack stratigraphy in the AKAH study area and we were unable to obtain
 82 any snow pit measurements from this area.

83 3 LITERATURE REVIEW

84 A few studies have specifically examined snowfall in larger regions that include some of the
 85 AKAH stations, mostly for stations in the southern basins that flow into the Indus River; that is all
 86 of the stations in Pakistan. The rest of the stations in Afghanistan and Tajikistan are in basins that
 87 flow into the Amu Darya River. The most comparable study (Shakoor and Ejaz, 2019) examines
 88 the Passu catchment in the Hunza River Basin, to the east of Figure 1. As in this study (Section
 89 5.1), Shakoor and Ejaz (2019) also use the SNOWPACK and Alpine3D models. Model parameters
 90 were calibrated using a single weather station, Urdukas at 3926 m elevation near the Baltoro glacier

91 (Ev-K2-CNR, 2014), with one year of precipitation measurements, using snow depth for
92 validation. The authors report overestimation of the measured snow depth at the calibration station,
93 even after questionable adjustments to the snow albedo and other model parameters. For example,
94 the snow and ice albedo is given as 0.20 to 0.30 (Table 3, Shakoor and Ejaz, 2019), which would
95 make it 0.10 to 0.20 lower than some of the lowest measured broadband albedo values for dirty
96 snow (Bair et al., 2019; Skiles and Painter, 2016). They attribute the overestimation to problems
97 with the precipitation measurements, common for high altitude stations. One problem with the
98 Urdukas station in particular is that the tipping bucket precipitation gauge is unheated, making it
99 unusable for measuring solid precipitation. Temperatures at this station were well below freezing
100 for the winter and most of the spring, which explains why no precipitation was recorded from
101 January until sometime in March during 2012, the calibration year.

102 Viste and Sorteberg (2015) study several gridded precipitation products throughout High Mountain
103 Asia, including the Indus River Basin. They report that while total precipitation was similar across
104 the products—including MERRA (Rienecker et al., 2011), APHRODITE (Yatagai et al., 2012),
105 TRMM (Huffman et al., 2007), and CRU (Harris et al., 2014)—the total snowfall varied by a factor
106 of 2 to 4. Smith and Bookhagen (2018) used 24 years (1987 to 2009) of satellite-based passive
107 microwave SWE estimates to examine trends throughout High Mountain Asia, including the Amu
108 Darya and Indus Basins. Their SWE estimates show most 25 km pixels in this region in the 50-
109 100 mm range for December through February, with a few over 100 mm in the Amu Darya (i.e.
110 all the AKAH stations in Afghanistan and Tajikistan) and none over 100 mm in the Indus (i.e. all
111 the AKAH stations in Pakistan), likely too low by an order of magnitude for some pixels given our
112 previous reconstructed SWE values and limited climate measurements in Afghanistan (Bair et al.,
113 2018b).

114 For the AKAH stations in Tajikistan, the most comprehensive snow measurements come from
115 Soviet snow surveys (mostly depth, but with some SWE and density measurements) that have been
116 digitized (Bedford and Tsarev, 2001). Most of these measurements begin in the late 1950s and end
117 around the fall of the Soviet Union, in either 1990 or 1992, making them useful for climatological
118 studies, but not for validation of modern satellite-based estimates.

119 The sole source of snow measurements in Afghanistan that were accessible to us was a table of
120 outdated WMO monthly climatological data from Kabul (el. 1791 m) and North Salang (el. 3366
121 m), showing the maximum monthly snow depth and the mean number of days with snow (Table 1
122 in Bair et al., 2018b). Again, these measurements are not useful to validate more modern snow
123 estimates.

124 There have been many other studies that have attempted to estimate basin-wide precipitation
125 (including snowfall) for larger areas that include the AKAH region, especially in the Indus. Several
126 climate studies of the Indus have focused on using lower elevation precipitation gauges, which are
127 then used to spatially interpolate basin-wide precipitation. Dahri et al. (2016) and Dahri et al.
128 (2018) have assembled perhaps the largest collection of climatological measurements covering the
129 AKAH region, mostly based on gauge measurements, as part of a study on the hydrometeorology
130 of the Indus Basin. Using undercatch corrections based on wind, often from reanalysis, they
131 increased precipitation estimates by 21% on average throughout the Indus Basin (Dahri et al.,
132 2018). For example, in the Gilgit sub-basin, they find an unadjusted precipitation estimate of 582
133 mm/year, adjusted to 787 mm/year, a 35% increase. Although some of the measurements are taken
134 from publicly available sources, as with most publications for this region, the comprehensive data
135 used are not publicly accessible.

136 A similar but less sophisticated approach was used by Lutz et al. (2014), who used a constant
137 increase of 17% across the APHRODITE precipitation dataset which covers all of High Mountain
138 Asia. Immerzeel et al. (2015) used glacier mass balance estimates with streamflow measurements
139 as validation to show that high-altitude precipitation in the upper Indus Basin is 2 to 10 × what is
140 shown using gridded precipitation products like APHRODITE. Bookhagen and Burbank (2010)
141 estimate that snowmelt contributes 66% of annual discharge to the Indus, and averages 424 mm
142 across the basin.

143 In summary, quite a few studies have produced varying precipitation and snowfall estimates for
144 the AKAH region, with no recent in situ snow measurements from Afghanistan or Tajikistan.

145 4 PREVIOUS WORK WITH AKAH SNOW MEASUREMENTS

146 Our previous work (Bair et al., 2018b) used a simple density model (Sturm et al., 2010) based on
147 snow climatology (Sturm et al., 1995) and day of year to model SWE from the manual snow depth
148 measurements. The density model itself has -12 to 26% bias in predicting SWE. When taking into
149 account geolocational uncertainty of the reconstructed SWE estimates and uncertainty in the
150 density model, errors are on the order of 11-13% Mean Absolute Error (MAE) and -2 to 4% bias,
151 depending on the date. However, we only examined one year of the AKAH station data (2017)
152 and the high uncertainty in the density model itself begs a more sophisticated approach.

153 From recent work (Bair et al., 2018a), we have shown that the SNOWPACK (Bartelt and Lehning,
154 2002; Lehning et al., 2002a; Lehning et al., 2002b) model is capable of accurate SWE prediction
155 when supplied only with snow depth for precipitation, as well as the other requisite forcings (i.e.
156 radiation, snow albedo, temperatures, and wind speed). Over a 5-year period using hourly in situ
157 measured energy balance forcings and a snow pillow for validation at a high elevation site in the
158 western US, the SNOWPACK modeled SWE showed a bias of -17 mm or 1% (Bair et al., 2018a).
159 Likewise, the success of the Airborne Snow Observatory (Painter et al., 2016) has demonstrated
160 that given accurate depth measurements, SWE can be well modeled.

161 5 METHODS

162 Our modeling approach consisted of: a) downscaling forcings in ParBal and reconstructing SWE;
163 b) combining the downscaled forcings for each AKAH station with temporally interpolated manual
164 snow measurements; c) running SNOWPACK for each of the AKAH stations with the downscaled
165 and interpolated measurements from a) and b); and d) running Alpine3D using the output from
166 SNOWPACK, notably the hourly precipitation. In addition to predicting SWE, the
167 SNOWPACK/Alpine3D coupled model also predicts stratigraphic parameters useful for avalanche
168 prediction, thereby giving us an idea of the layering and stability in this region. For comparison,
169 we also ran the NOAH-MP land surface model over the region with widely-used forcings. We also
170 compared spatial estimates of SWE from GLDAS-2. Methods are summarized in Table 1 and
171 explained below, with more detail provided in Appendix A.

172 5.1 SNOWPACK and Alpine3D

173 SNOWPACK and Alpine3D are freely available (<https://models.slf.ch>) point and spatially
174 distributed snow models, courtesy of the Swiss Federal Snow Institute. SNOWPACK is the older
175 of the two and uses finite elements to model all of the layers in a snowpack at a point.

Model	Point comparison?	Spatial comparison?	Version	Forcings	Output
ParBal	√	√	1.0	CERES 4a (radiation); GLDAS-2 (meteorological); MODSCAG/MODDRFS (snow surface properties)	Daily reconstructed SWE at 500 m; hourly downscaled forcings at 500 m, both for entire AKAH study area
SNOWPACK	√		3.5	AKAH station snow measurements; downscaled forcings from ParBal	Hourly SWE, precipitation, and other forcings for each AKAH station
Alpine3D		√	3.1	AKAH station output from SNOWPACK	Daily SWE at 25 km for entire AKAH study area
NOAH MP		√	3.6	MERRA-2	Daily SWE at 25 km for entire AKAH study area
GLDAS		√	NOAH 2.1	various	Daily SWE at 25 km for entire AKAH study area

176 *Table 1 Summary of models used. See Section 5 and Appendix A for an explanation of acronyms and further*
177 *details.*

178 SNOWPACK has shown promising results in both operational (e.g. Lehning et al., 1999;
179 Nishimura et al., 2005) and research applications (e.g. Bellaire et al., 2011; Hirashima et al., 2010).
180 Previous results with SNOWPACK (Bair et al., 2018a) show high model sensitivity to
181 precipitation, but only a 1% error in modeled SWE when using snow depth only (not total
182 precipitation) as a forcing. Thus, given reliable snow depth measurements at each AKAH station
183 (see Section 5.5), modeled SWE during the accumulation season is treated as having negligible
184 uncertainty. During the ablation season (after peak SWE), uncertainty is higher. Unlike during
185 snow accumulation events, SNOWPACK does not force its modeled snow ablation to match the
186 measured snow depth decreases. Uncertainty in SWE during the ablation season is then largely
187 dependent on radiative forcings (Marks and Dozier, 1992) and the broadband snow albedo (Bair
188 et al., 2019). Here, 5% uncertainty is used, based on the MAE from SWE reconstructions using
189 the same remotely-sensed forcings at a continental sub-alpine site (Bair et al., 2019). In the same
190 study, a small (3%) bias in SWE was also found, but this is likely due to shortcomings with the
191 reconstruction method and not applicable to SWE modeled with SNOWPACK. Thus, the small
192 bias was ignored. We acknowledge that these uncertainty estimates are themselves uncertain, e.g.

193 the reanalysis forcings could be especially poor for this region compared to those available in the
194 western US.

195 Alpine3D (Lehning et al., 2006) is essentially a spatially-distributed version of SNOWPACK with
196 a number of additional modules including: terrain-based radiation modeling, blowing snow, and
197 hydrologic modeling. Integral to Alpine3D is SNOWPACK, which is run for each pixel, as well
198 as the MeteoIO library (Bavay and Egger, 2014), which provides a large number of temporal and
199 spatial interpolation functions that can be used on forcings for Alpine3D and SNOWPACK.

200 *5.2 The Parallel Energy Balance Model*

201 The Parallel Energy Balance Model (ParBal) was created at UC-Santa Barbara and designed for
202 reconstruction of SWE. It is also publicly available
203 (<https://github.com/edwardbair/ParBal/releases/tag/v1.0>). Currently, ParBal is designed to use:
204 downscaled temperature, pressure, and humidity from version 2 of the Global or National Land
205 Data Assimilation System (GLDAS-2/NLDAS-2, Rodell et al., 2004; Xia et al., 2012); shortwave
206 and longwave radiation from edition 4a of the Clouds and the Earth's Radiant Energy System
207 (CERES, Rutan et al., 2015) SYN product; and time-spaced smoothed (Dozier et al., 2008; Rittger
208 et al., in press) snow surface properties from MODIS Snow Covered Area and Grain Size
209 (MODSCAG, Painter et al., 2009) and MODIS Dust and Radiative Forcing in Snow (MODDRFS,
210 Painter et al., 2012). ParBal is run hourly at 500 m spatial resolution and forcings are adjusted for
211 terrain and elevation. The main output is the residual energy balance term, which is assumed to go
212 into melt when positive during the ablation phase after cold content is overcome (Jepsen et al.,
213 2012). This residual melt term is then summed in reverse during periods of contiguous snow cover
214 and multiplied by the fSCA to spread the snow spatially. The errors in SWE from ParBal are
215 mostly from fSCA and the radiative forcings. Errors and details on ParBal are covered extensively
216 in Bair et al. (2016) and Rittger et al. (2016). In the supplement for Bair et al. (2018b), the errors
217 arising from using GLDAS-2 and CERES 4a (available worldwide but at coarser spatial resolution)
218 vs. NLDAS-2 are specifically evaluated. Using three years of basin-wide SWE estimated by the
219 Airborne Snow Observatory in the upper Tuolumne Basin, California USA, the MAE for ParBal
220 was 25 mm or 26% (Bair et al., 2018b).

221 *5.3 Global Data Assimilation System 2 (GLDAS-2)*

222 For comparison, we also include the SWE estimates from GLDAS-2 (Noah). SWE from GLDAS-
223 2 has been shown to be comparable to estimates from other reanalysis datasets, but negatively
224 biased by about 60% in comparison to higher spatial datasets with assimilation from snow station
225 measurements (Broxton et al., 2016).

226 *5.4 NOAA Multi-Parameterization (MP)*

227 The NOAA-MP v3.6 (Ek et al., 2003; Niu et al., 2011) land surface model, forced using MERRA-
228 2 (Gelaro et al., 2017), was used to simulate the hydrologic cycle over the study area and provide
229 SWE estimates for comparison with ParBal and the Alpine3D output. NOAA-MP was selected
230 due to its detailed representation of the snowpack relative to other land surface models. The model
231 subdivides the snowpack into up to three layers with associated liquid water storage and
232 melt/refreeze capability (Niu and Yang, 2004; Yang and Niu, 2003). It incorporates the exchange
233 of heat and moisture through the snowpack between the land surface and the atmosphere. In a

234 model intercomparison study using a 2 km spatial resolution regional climate model for forcings,
235 Chen et al. (2014) show that NOAA-MP modeled peak SWE at SNOTEL sites in Colorado, USA
236 with a -7% bias.

237 5.5 Use of AKAH station measurements

238 We modeled daily SWE at the AKAH stations during the 2017 and 2018 water years primarily
239 using the manually measured height of snow (HS), also called snow depth, combined with our
240 downscaled energy balance parameters (for downscaling methodology see Bair et al., 2018b; Bair
241 et al., 2016; Rittger et al., 2016). To our knowledge, no quality control was performed on the
242 AKAH station measurements before we received them. We choose the manual HS and new (24-
243 hr) snow (HN) as the only variables to use from the AKAH stations. The HS appeared to be the
244 most reliably measured, as that only requires reading a value from a master snow depth stake.
245 Apart from spurious drops or missing values (see below), the HS measurement appeared consistent
246 and believable at most of the stations, implying an accurate snow depth record. The HN was used
247 to correct a data entry problem in 2017 that we discuss below. The reliability of the other
248 measurements (instantaneous wind speed/direction, maximum/minimum temperature, and
249 rainfall) was questionable. For example, we were not provided with sensor or measurement
250 metadata, e.g. sensor make/model, measurement height, and whether or not the temperature sensor
251 was shielded from shortwave radiation. These other measurements taken daily were also of limited
252 value for interpolation to hourly values (see item 3 below). Thus, these other measurements were
253 not used.

254 The AKAH dataset had a number of shortcomings that we list here along with how we addressed
255 them.

- 256 1) Some of the stations recorded no snow at all, especially in the dry 2018 year, or had obvious
257 problems, such as weeks of missing measurements, so they were excluded. For 2017, 52 (54%)
258 of stations were used. For 2018, 41 (46%) stations were used.
- 259 2) There were spurious drops in the HS measurements. The drops were clearly cases of missing
260 values being filled with zeros. These measurements were manually flagged and converted to
261 null values for interpolation, see below.
- 262 3) The daily measurements had to be interpolated to hourly values. For the most part we used
263 linear interpolation, although this is not ideal during snow accumulation since it's almost never
264 the case that snowfall is uniform over a 24-hr period. This is a problem that affects the accuracy
265 of snow settlement estimated by SNOWPACK. There were two cases where other interpolation
266 methods were used. If there were several days of missing values, we used a nearest neighbor
267 interpolation to fill in the missing daily values, followed by a linear interpolation from daily to
268 hourly measurements such that we assumed all the new snow fell in a 24-hr period. The other
269 case was for days where the linear interpolation would yield a value below the minimum
270 threshold hard coded into SNOWPACK (0.5cm/hr) for the first accumulating snowfall on bare
271 ground. In this case, a previous neighbor interpolation was used in such a way that the entire
272 snowfall occurred in the last hr prior to the next day's measurement.
- 273 4) We found the AKAH stations suitable for snow on the ground measurements, but not for
274 rainfall or total (solid+liquid) precipitation. This was only an issue for the Alpine3D snow
275 modeling, as snow measurements were being extrapolated to higher elevations than the AKAH

276 stations (Section 6.2), thus at these higher elevations, snow accumulated earlier and melted
277 later than at the lower AKAH stations.

278 Given the near total lack of canopy cover in the region, we suspected substantial undercatch from
279 rain gauges. Using the wind speed, an undercatch correction would have been possible given more
280 information on the gauges (e.g. orifice opening diameter and whether or not a shield was present),
281 however this instrument metadata was not available to us. Likewise, we did not know if the gauges
282 were heated or not.

283 Further, the time period for recording measurements from the stations was not consistent. In WY
284 2017, measurements began being reported on 10 November 2016 and were reported until 24
285 November 2017. However, in WY 2018, measurements weren't reported until 1 December 2017
286 and no station measurements were reported past 1 April 2017. The reporting period likely covered
287 all the snowfall events, but not all the precipitation events.

288 To address the rainfall measurement and reporting issues, we used GLDAS NOAH v2.1 (Rodell
289 et al., 2004) rainfall + snowfall from the nearest grid cell (1/4° spatial / 3 hr temporal resolution)
290 to fill in precipitation prior to the first measurements in each water year, and after 4-1 for both
291 water years. We did not account for rain from 10 November 2016 to 1 April 2017 and from 1
292 December 2017 to 1 April 2018; instead we relied on the modeled precipitation from SNOWPACK
293 using snow depth. The AKAH station observations show that rain during this time period was rare.

294 5) A database problem prevented snow heights > 100 cm from being entered into the database for
295 a few days in 2017. This problem became apparent during February 2017, when the Nuristan
296 avalanches took place (United Nations, 2017), as that is the first time that most stations
297 recorded values > 100 cm. Values were shown as 100 cm on multiple days followed by values
298 > 100 cm. To address this issue, we flagged all the values equal to 100 cm prior to peak depth
299 in 2017, then marked those as null values. We then filled those null values using the cumulative
300 sum of new snow during that time.

301 *5.6 Analysis of modeled snow profiles*

302 For holistic measures of the snow profiles modeled in Alpine3D, we used two metrics from
303 Bellaire et al. (2018): 1) fraction of facets and 2) number of critical layers. Fraction of facets is the
304 height of all the layers containing faceted crystals, i.e. International Classification for Seasonal
305 Snow on the Ground primary codes FC, DH, and SH (Fierz et al., 2009), divided by the height of
306 the snowpack. The number of critical layers was computed using a threshold sum approach
307 (Schweizer and Jamieson, 2007) with modifications for simulated profiles (Monti et al., 2014
308 Table 1). In each profile, 6 different variables (grain size, difference in grain size, hardness,
309 difference in hardness, grain type, and depth) in the top meter of height (from the surface) were
310 checked against threshold values. Layers exceeding 5 or more thresholds were classified as critical.

311 The fraction of facets metric does not have a validation study, but faceted layers are a weak crystal
312 form and are responsible for 43% (Bair et al., 2012) to 67% (Schweizer and Jamieson, 2001) of
313 investigated avalanches. Layers classified as critical using the threshold approach above
314 corresponded to failure layers about ½ of the time (Monti et al., 2014) in Compression Tests
315 (Jamieson, 1999; van Herwijnen and Jamieson, 2007), an in situ snowpack stability test.

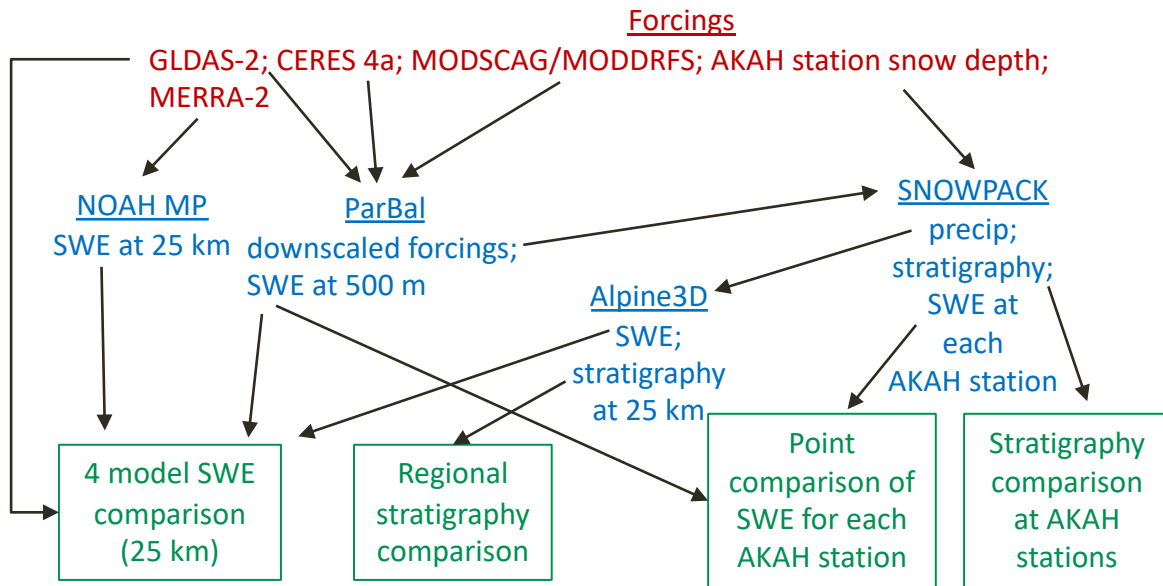
316 5.7 Spatial scale for comparisons

317 Because ParBal is the only model run at 500 m spatial resolution and all the other models were run
 318 at ~25 km, it is the only model appropriate for point comparisons, although point to area problems
 319 are still an issue. To address the geolocational uncertainty for the gridded MODIS products, which
 320 can be up to one ~500 m pixel (Tan et al., 2006; Xiaoxiong et al., 2005) and spatial variability of
 321 the snow, we used a 9-pixel neighborhood centered on each AKAH station and chose the best fit
 322 to the SNOWPACK modeled SWE. This approach has been used in previous work (Bair et al.,
 323 2018b; Rittger et al., 2016). We also include the high and low SWE values in that surrounding 9-
 324 pixel neighborhood to bound the uncertainty.

325 For all of the other model comparisons, we resampled all of the model output to a UTM (Zone
 326 43S) grid with 25 km pixels, close to the native resolution of the NOAH-MP and GLDAS2 grid
 327 used (0.25°). The ParBal output had to be significantly upscaled from 500 m to 25 km using
 328 Gaussian Pyramid reduction (Burt and Adelson, 1983) in steps with bilinear interpolation for the
 329 final step.

330 6 RESULTS AND DISCUSSION

331 The relationships between the components are summarized in Figure 2. The results discussed
 332 below are comparisons of: 1) SWE and 2) snow stratigraphy across a) all of the AKAH stations
 333 (points) and b) the entire study region.



334 Figure 2 Summary of relationships between the various components. Forcings are shown in red, models
 335 and selected outputs are shown in blue, and the comparisons discussed below are shown in green. The
 336 black arrows show the direction of inputs.

337 6.1 Point comparisons between SNOWPACK and reconstructed SWE

338 A first step for any SWE reconstruction comparison is to determine when the ablation season starts.
 339 This varies for different years and at different sites (e.g. Margulis et al., 2016). Using the

338 SNOWPACK modeled SWE, we can examine the peak SWE dates for both years for all of the
 339 AKAH stations (Figure 3ab). Peak SWE dates vary across the stations and years, but the median
 340 values between years are a week apart, 19 February 2017 and 26 February 2018. Thus, we use
 341 those dates for our comparisons.

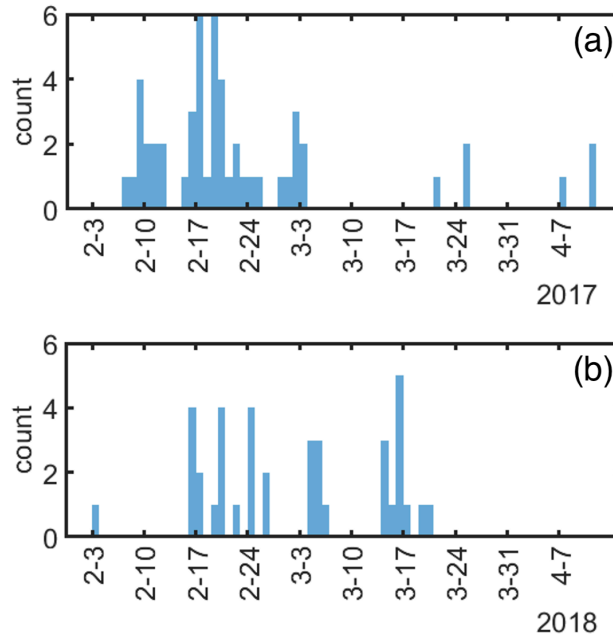


Figure 3 Peak SWE dates, modeled by SNOWPACK for 2017 (a) and 2018 (b) for each of the AKAH stations. The median peak SWE dates are 19 February 2017 and 26 February 2018. N=52 and 41 AKAH stations used for 2017 and 2018.

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343 To create a holistic comparison for all the stations across the ablation period, mean SWE values
 344 were computed and plotted for each day during the ablation season (Figure 4). For the
 345 reconstructed SWE on 19 February 2017, the bias is -77 mm (-28%). For the reconstructed SWE
 346 on 26 February 2018, the bias is -6 mm (-9%). Thus, together these biases average to -42 mm (-
 347 19%). The high/low values in the 9-pixel neighborhood show the wide spatial variation in SWE
 348 estimates, and are to be expected in these deep valley sites (Section 6.2). The increases in
 349 reconstructed SWE during the ablation season are caused due to differences in how melt is summed
 350 for any given pixel. In ParBal, melt is only summed during periods of contiguous snow cover. This
 351 means that if a pixel containing an AKAH station has no snow on it at some point during the
 352 ablation season, but then snow is detected, it causes an increase in the mean SWE. This is called
 353 an ephemeral snow event, i.e. snow that disappears and reappears. For a more in depth examination
 354 of the error at individual stations, a box plot is shown for the median peak SWE dates for both
 355 years (Figure 5). The median bias of the reconstructed SWE is -11 mm (-14%).

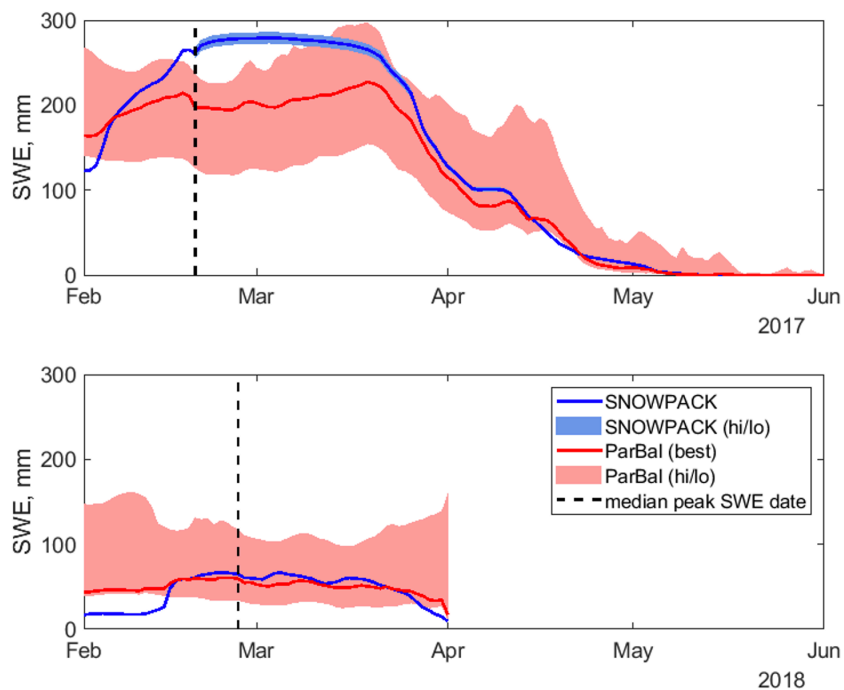


Figure 4 Mean SWE for 2017 (a) and 2018 (b) modeled at all of the AKAH stations using SNOWPACK (blue lines) compared to reconstructed SWE from ParBal using a best of 9-pixel approach (red lines). Also plotted is the median peak SWE date. The hi/lo bounds (filled areas) represent uncertainty. For ParBal, uncertainty is expressed as the range of values in the 9 pixel neighborhood. For SNOWPACK, uncertainty is 5% of the modeled SWE during the ablation season. See Sections 5.1 and 5.2 for details. The modeled SWE values end abruptly on 1 April 2018 because the AKAH stations stopped reporting due to drought conditions. The number of stations used is the same as in Figure 3.

356

357

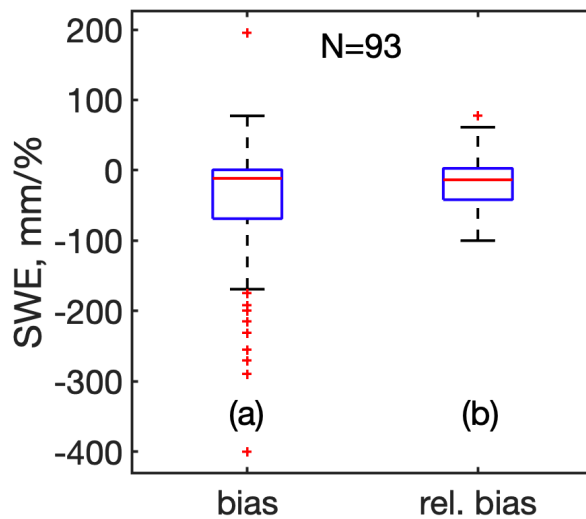


Figure 5 Bias (a) and relative bias (b) error for ParBal reconstructed SWE vs Alpine 3D modeled SWE at AKAH stations the median peak SWE date for both years, where bias here is ParBal SWE – Alpine 3D SWE.

358

359 6.2 Four model spatial comparisons

360 The AKAH stations are lower than the average elevation for the region. The average elevation of
 361 the AKAH stations is 2619 m (1735 to 3410 m). But when the 500 m DEM is upscaled to 25 km,
 362 the average elevation of the pixels containing the AKAH station is 3858 m with a range of 2517
 363 to 4764 m. This has two important implications: 1) much of the higher elevation snowfall is being
 364 extrapolated and 2) the higher elevation causes the peak SWE date to move forward in time. The
 365 median peak SWE dates for the (N=169) 25 km pixels encompassing the study area are 5 May
 366 2017 and 3 May 2017. Thus, we use the median of the two to compare our reconstructed SWE
 367 values (Figure 6ab, Figure 7a-d, and supplementary video).

368 Striking is the range between models. NOAH-MP has the highest peaks (562 mm in 2017 and 331
 369 mm in 2018), but is among the first to melt out. The reconstructed SWE from ParBal only shows
 370 minor variation between the 2017 peak (240 mm) and the 2018 peak (206 mm). ParBal and
 371 GLDAS-2 melt snow out latest in both years. This is especially true for ParBal in 2017, where the
 372 supplementary video shows that ParBal has snow cover over more pixels that persists for longer
 373 into the melt season, but is lower in SWE than the other models. The Alpine 3D model shows the
 374 second highest peak SWE in 2017 (469 mm), but the lowest peak (165 mm) in 2018. The
 375 comparatively higher values from NOAH-MP could result from relatively high precipitation
 376 estimates from its MERRA2 precipitation forcings. Similarly, Viste and Sorteberg (2015) report
 377 that MERRA (version 1) showed higher snowfall in the Indus Basin than any other reanalysis or
 378 observation-based forcings dataset.

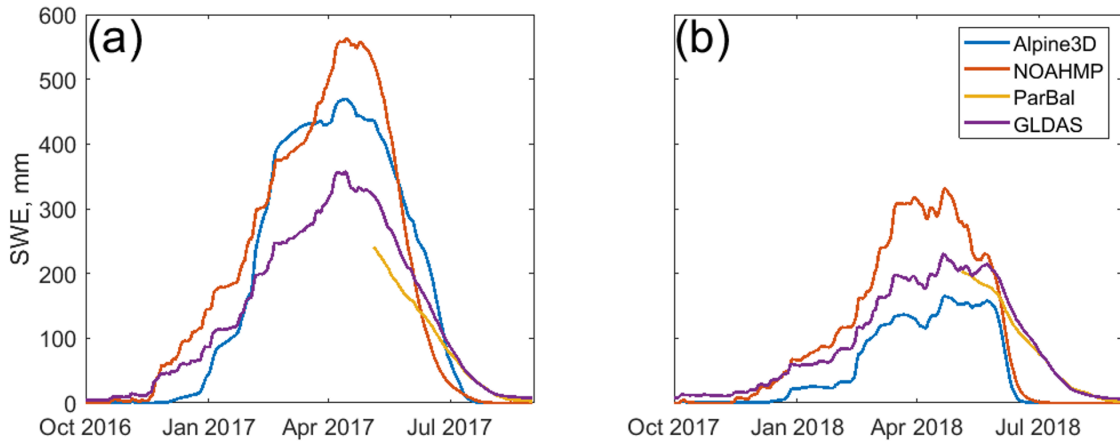


Figure 6 Time series of mean SWE for four snow models across the study area (13x13x25 km pixels) show in Figure 1 for 2017 (a) and 2018 (b). The reconstructed SWE from ParBal (yellow) goes back to 4 May, the median peak SWE date for both years, since reconstruction is only valid during the ablation season.

379

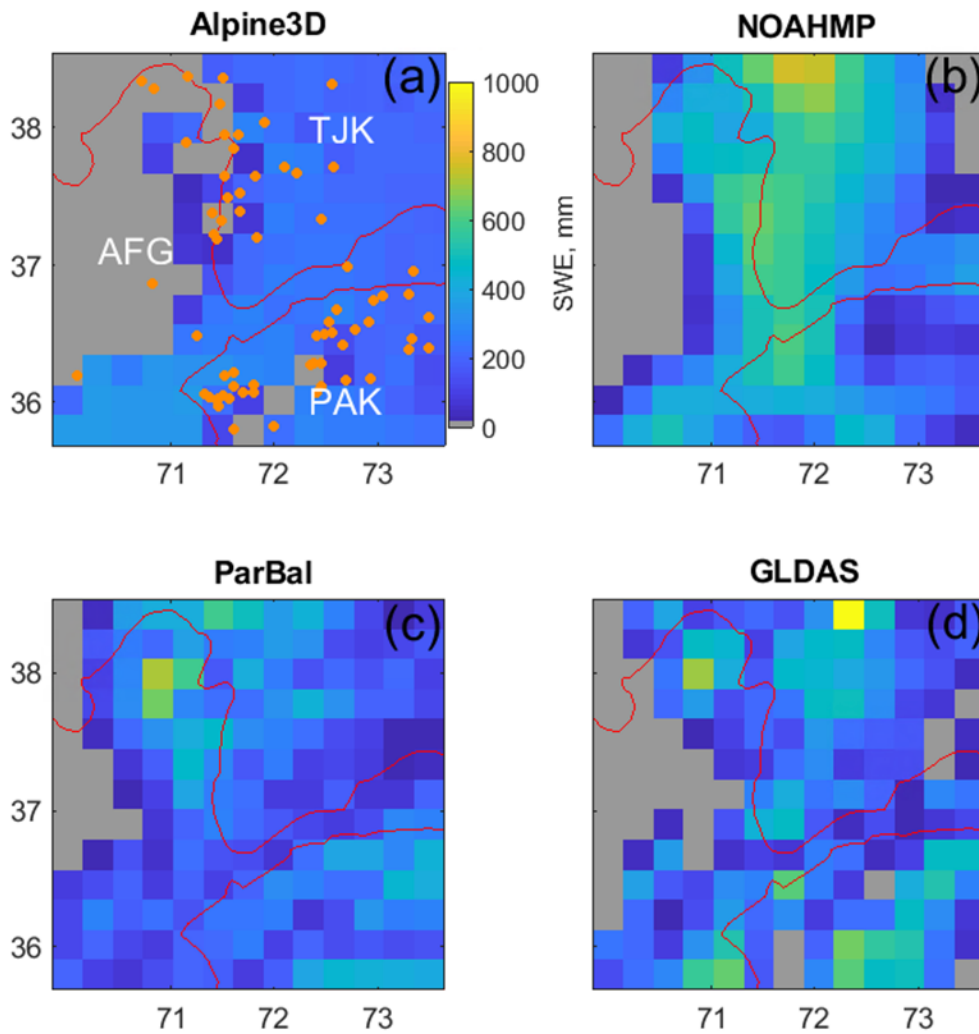


Figure 7 Four model (a-d) spatial comparison for the region on 4 May 2018. The white letters are: AFG–Afghanistan; TJK–Tajikistan; and PAK–Pakistan. Also shown in (a) are the locations of the AKAH stations (orange points). This is a frame from a video sequence available as supplementary material.

380
 381 Since Alpine3D is relying heavily on extrapolation of SWE, we suggest its mean SWE values
 382 plotted in Figure 6 could have higher uncertainty than some of the other models. For example, the
 383 Alpine3D pixels seem to melt out early compared to the other models, especially ParBal, which is
 384 the only model relying on satellite-based estimates of fSCA (see supplementary video). Thus,
 385 Alpine3D may be placing too little SWE in cold, high elevation areas that melt slowly. These
 386 problems are all indicative of stations that are located in valley bottoms and that only cover the
 387 lowest elevations across these 25 km pixels.

388

389 The ParBal results are confounding given that the agreement between the modeled SWE from
390 ParBal and SNOWPACK at individual AKAH stations (Figure 4ab) is much better for both 2017
391 and 2018.

392 For insight into potential biases in the modeled spatial SWE from ParBal, we carefully studied the
393 snow-covered area (SCA, not just for 2017 & 2018, but since 2001), the potential melt (i.e. the
394 melt if a pixel were 100% snow covered), and the melt from glacierized areas (light blue in Figure
395 1). We did not find any errors in the model, its parameters, or its forcings. Thus, it is possible that
396 the ParBal SWE is low-biased in 2017 for reasons that we could not discern, or that the other
397 models are high biased. Of note is that the 2017 & 2018 SCA (Figure 8 purple and orange)
398 is very similar for both years during the ablation period, especially at the end of the ablation season.

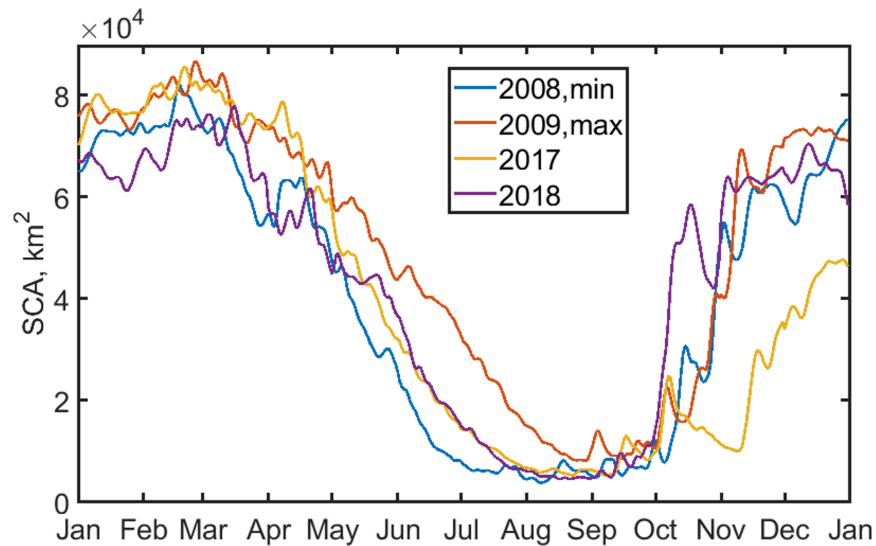


Figure 8 Time series of snow covered area from spatially and temporally interpolated MODSCAG (Rittger et al., in press), an input for ParBal, for four selected years across the region. Years 2008 and 2009 had the lowest and highest values on July 1 over the period of record from 2001 to 2018, while 2017 and 2018 comprise the AKAH station study period.

399 Since pixels do not contribute uniformly to melt, SCA alone cannot be used to predict SWE, but
400 in general years with less snow have lower SCA values towards the end of the ablation season.
401 Figure 8 shows that 2017 and 2018 were similar in terms of SCA from April through melt out.
402 Thus, the large difference between 2017 and 2018 for the AKAH station SWE, but small
403 differences in SCA and spatially-averaged reconstructed SWE, suggest that 2017 may have been
404 a larger snow year at the lower elevations where the AKAH stations are, but similar to 2018 at the
405 higher elevations.
406

407 6.3 Stratigraphy and stability

408 The simulated snow profiles from the AKAH stations (Figure 9ab) and the 25 km pixels containing
409 the AKAH stations (Figure 10ab) show very different snowpacks. Because of the induced increase
410 in elevation from scaling (e.g. from an average of 2619 m to 3858 m, Section 6.2), the 25 km pixels
411 show a deeper, but more faceted snowpack with critical layers that persist for a month or longer.
412 In 2017, for the median AKAH station values, the snowpack reaches a maximum of 76% facets

413 on January 21 (Figure 9a). In 2018, the snowpack reaches a maximum of 71% facets (Figure 9b).
 414 There were no critical layers simulated. In contrast, for the median values in the 25 km pixels for
 415 both years, the height of snow (HS) is approximately $2 \times$ that for the stations (Figure 10ab). The
 416 snowpack reaches a maximum of 94% facets in 2017, with one critical layer persisting for 35 days
 417 (Figure 10a). The snowpack in 2018 reaches 95% facets with 1 or 2 critical layers persisting for
 418 80 days (Figure 10b). During the Nuristan avalanches on 4 February to 7 February 2017 that killed
 419 over 100 people (United Nations, 2017), the AKAH stations show the largest 3-day snowfall of
 420 the study period (Figure 9a) and the results for the 25 km pixels show that large snowfall occurring
 421 on top of the only critical layer of the season (Figure 9b). That is a classic avalanche scenario, i.e.
 422 a large snowfall on a weak snowpack.

423 In lieu of any type of snow profile from this region, these profiles paint the best picture of the snow
 424 conditions available. A relatively stable snowpack seems to be present in the valleys, where the
 425 AKAH stations are located. But at the higher elevations, the simulated profiles show a more
 426 dangerous snowpack. This is especially serious considering these villages are in the runout zones
 427 of these unstable snowpacks. In some cases, several thousand meters of vertical relief loom above
 428 the villages. For example, Yarkhun Lasht (36.795N 73.022E, el. 3249 m) in Pakistan is flanked by
 429 6500 m peaks on both side of its valley.

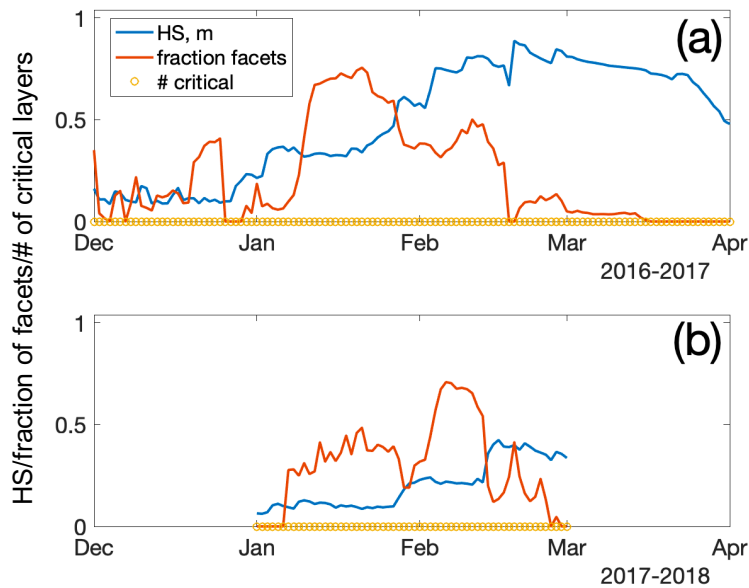


Figure 9 Stratigraphy summary of the AKAH stations for 2017 (a) and 2018 (b). Plotted are the median: height of snow (HS); fraction of the snowpack containing facets; and number of critical layers. The number of stations used to compute the medians varied due to snow coverage.

430

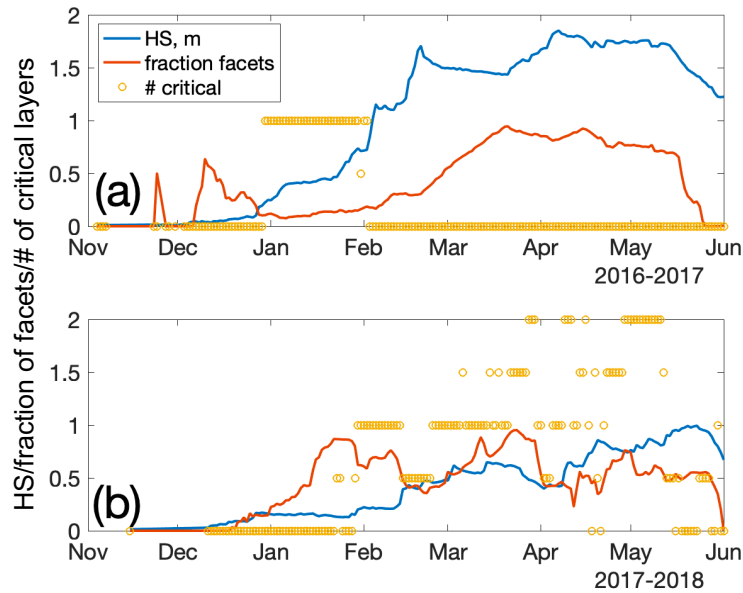


Figure 10 Stratigraphy summary of the (13x13) 25 km pixels containing AKAH stations for 2017 (a) and 2018 (b). Plotted are the median: height of snow (HS); fraction of the snowpack containing facets; and number of critical layers.

432

433 7 CONCLUSION

434 Knowledge of the snowpack in northwestern High Mountain Asia is poor. This area is subject to
 435 droughts and threatened by snow avalanches. Both problems can be aided by improved knowledge
 436 of the snowpack. Thanks to a novel operational avalanche observation network, there are now
 437 daily snow measurements at a number of operational weather stations in this austere region. In this
 438 study, two years of daily snow depth measurements from these stations were combined with
 439 downscaled reanalysis and remotely-sensed measurements to force a point and spatially distributed
 440 snow model. Compared to a previous effort (Bair et al., 2018b), this study represents a substantial
 441 improvement in SWE modeling for the region, and a first attempt to characterize region-wide snow
 442 stratigraphy. At the point scale, SWE estimates from a reconstruction technique that does not use
 443 precipitation or in situ measurements compared favorably. At the regional scale, four models
 444 showed a wide spread in both peak SWE and melt timing. For the models that rely on in situ
 445 precipitation measurements, a major challenge is spatial extrapolation, as many of the stations are
 446 located in deep valleys. Adding measurements from the mountains above would facilitate more
 447 realistic lapse rates, but these measurements do not currently exist, although they would be
 448 beneficial both for operational avalanche safety and for scientific studies.

449 In the regional comparison, SWE estimates from ParBal were on the low end, but given the model
 450 spread it is difficult to form a consensus estimate. We plan additional in situ validation at other
 451 sites in High Mountain Asia to continue to assess the performance of ParBal there.

452 The simulated profiles showed very different snowpacks. At the point scale at lower elevations in
 453 the valleys, profiles showed fewer facets and almost no critical layers, while at the regional scale

454 for higher elevations, the profiles showed heavily faceted snowpacks with critical layers that
455 persisted throughout the winter and spring.

456 8 CODE AND DATA AVAILABILITY

457 The code for ParBal is accessible at: <https://github.com/edwardbair/ParBal>

458 The code for MeteoIO, SNOWPACK, and Alpine3D are accessible at: <https://models.slf.ch/>

459 The code for NOAH-MP is accessible at: [https://ral.ucar.edu/solutions/products/noah-](https://ral.ucar.edu/solutions/products/noah-multiparameterization-land-surface-model-noah-mp-lsm)
460 [multiparameterization-land-surface-model-noah-mp-lsm](https://ral.ucar.edu/solutions/products/noah-multiparameterization-land-surface-model-noah-mp-lsm)

461 The GLDAS-2 and MERRA-2 forcings are accessible at: <https://disc.gsfc.nasa.gov/>

462 The reconstructed SWE and melt cubes are accessible at:
463 <ftp://ftp.snow.ucsb.edu/pub/org/snow/products/reconstruction/h23v05/500m/>

464 Unfortunately, the AKAH measurements are not publicly available due to security concerns.
465 Requests for the dataset should be made through The Aga Khan Agency for Habitat
466 (<https://www.akdn.org>).

467 **APPENDIX A Detailed model forcings and parameters**

468 *PARBAL*

469 ParBal was configured and forced as described in Bair et al. (2018b); Bair et al. (2016). The model
 470 time step was 1 hr. The DEM used was the ASTER GDEM version 2 at 1 arc sec (NASA JPL,
 471 2011), while the canopy type and fraction were taken from the Global Land Survey at 30 m (USGS,
 472 2009). The shortwave and longwave forcings were downscaled from the CERES SYN edition 4a
 473 1°/1 hr product (Rutan et al., 2015), while the air temperature, specific humidity, air pressure, and
 474 wind speeds were downscaled from the GLDAS NOAH version 2.1 0.25°/3 hr product (Cosgrove
 475 et al., 2003). Time-space smoothed (Dozier et al., 2008; Rittger et al., in press) fSCA and grain
 476 size from MODSCAG (Painter et al., 2009) was combined with the visible albedo degradation
 477 from dust in MODDRFS (Painter et al., 2012) to produce snow hourly snow albedo.

478 *NOAH-MP*

479 NOAH-MP v3.6 was run in retrospective mode within the NASA Land Information System (LIS)
 480 framework. A state vector ensemble (total 30 replicates) was generated by perturbing the forcings
 481 to account for the state uncertainty during forward propagation of the model. MERRA-2 (Gelaro
 482 et al., 2017) forcings were utilized with bilinear spatial and linear temporal interpolation. The
 483 model was run on an equidistant cylindrical grid with 0.25° spatial resolution and a 15 min model
 484 timestep. The spin-up time extended from May 2002 to May 2016 while the study period was from
 485 June 2016 to October 2018. The number of maximum layers in the snowpack was 3. Table A1
 486 provides details of the NOAH-MP scheme options selected. Further details regarding each scheme
 487 and relevant references can be found at: [https://ral.ucar.edu/solutions/products/noah-](https://ral.ucar.edu/solutions/products/noah-multiparameterization-land-surface-model-noah-mp-lsm)
 488 [multiparameterization-land-surface-model-noah-mp-lsm](https://ral.ucar.edu/solutions/products/noah-multiparameterization-land-surface-model-noah-mp-lsm).

Physical process/ parameter	Scheme used
Elevation data	SRTM Native
Landcover data	MODIS Native (IGBPNCEP)
Slope, Albedo and Greenness data	NCEP Native
Bottom temperature (lapse-rate correction)	ISLSCP1
Vegetation	dynamic
Canopy stomatal resistance	Ball-Berry
Runoff and groundwater	SIMGM
Surface layer drag coefficient	M-O (General Monin-Obukhov similarity theory)
Supercooled liquid water and frozen soil permeability	NY06
Radiation transfer	gap=F(3D;cosz)
Snow surface albedo	BATS (Biosphere-Atmosphere Transfer Scheme)

Rainfall and snowfall	Jordan91
Snow and soil temperature time	semi-implicit
Lower boundary of soil temperature	Noah

489 *Table A1 Noah-MP v3.6 physical parametrization scheme options utilized in this study.*

490 *SNOWPACK*

491 SNOWPACK v3.50 was run in research mode at a 15 min timestep with hourly outputs for each
492 of the AKAH stations. Hourly forcings were computed by combining temporally interpolated snow
493 depth from the AKAH manual measurements with: air temperature, incoming shortwave, reflected
494 shortwave, incoming longwave, wind speed, and relative humidity from the downscaled ParBal
495 outputs, as described in Section 5.2. SNOWPACK was only run for periods when measurements
496 from the AKAH stations were available, Nov/Dec to April/May, depending on the year.

497 Plots were assumed to be level, so forcings without terrain correction were applied except for
498 shading when the sun was below the local horizon, e.g. a mountain blocking the sun (Dozier and
499 Frew, 1990). The wind direction, which is not available in GLDAS-2, was fixed at the mean value
500 from the daily AKAH instantaneous values. The ground temperature was set as the minimum of
501 the air temperature or -1.5°C when snow cover was present.

502 Aside from setting required parameters and values for inputs and outputs, changes to default
503 parameters that affected model output are provided in Table A2:

Parameters	Value	Description
TS_DAYS_BETWEEN	0.014666 days	Output hourly values
PRECIP_RATES	FALSE	Output is provided a summed precipitation over the output timestep (1 hr)
SW_MODE	BOTH	Both incoming and reflected (incoming x albedo) are provided
HEIGHT_OF_METEO_VALUES	2 m	Height of meteorological measurements
HEIGHT_OF_WIND_VALUE	2 m	Height of wind measurements
ENFORCE_MEASURED_SNOW_HEIGHTS	TRUE	Precipitation is calculated using HS
ATMOSPHERIC_STABILITY	NEUTRAL	Neutral conditions are often present in moderate to high wind speeds for mountain terrain (Lehning et al., 2002a; Mitterer and Schweizer, 2013)
MEAS_INCOMING_LONGWAVE	TRUE	Default is to estimate emissivity of the air and incoming longwave from

		other measured parameters (FALSE). Here we provide longwave forcings (TRUE).
--	--	--

504 *Table A2 Model parameters for SNOWPACK*

505 *ALPINE3D*

506 Alpine3D version 3.10 was run using with the outputs produced by SNOWPACK as forcings for
507 each of the AKAH stations at 25 km resolution. The DEM and land cover (incorrectly labeled land
508 use in the Alpine3D documentation) data were upscaled from the ParBal data. Alpine3D was run
509 at an hourly timestep using hourly forcings, with daily outputs using the “enable-eb” switch. Other
510 switches were set to off, the defaults. The “enable-eb” switch computes the terrain radiation with
511 shading and terrain reflections (see Alpine 3D documentation at <https://models.slf.ch> for a
512 description).

513 To extend the length of the model runs, for each AKAH stations, GLDAS-2 precipitation was
514 appended to periods prior to the first AKAH observation for the year and after the last, as described
515 in Section 5.5.

516 The forcings were hourly: incoming shortwave, incoming longwave, air temperature, relative
517 humidity, wind speed, wind direction, reflected shortwave, accumulated precipitation, and ground
518 temperature.

519 Critical to Alpine3D are the interpolation methods from MeteIO to spatially distribute
520 precipitation and other forcings. We found the modeled SWE to be highly dependent on the spatial
521 interpolation of precipitation. Our initial approach was to explore local (i.e. with a given radius
522 from a station) and regional (i.e. all AKAH stations) lapse rates in the measured snow depth and
523 modeled precipitation from SNOWPACK. We found almost no correlation in many of the
524 measurements, not surprising given the complexity of the terrain and likely existence of
525 microclimates with substantial influence on precipitation. Without having a good validation source
526 for spatial precipitation (as is the case for all of High Mountain Asia), we selected an interpolation
527 method that yielded relatively smooth results, but showed increases in precipitation with elevation.

528 Ultimately, we decided to use an inverse distance weighting scheme with elevation detrending
529 (IDW_LAPSE) and a multilinear option. For this method, the input data are detrended, then the
530 residuals are spatially interpolated according to an inverse distance weighting scheme. The
531 detrending uses a multiple linear regression with northing, easting, and altitude. The linear
532 regression has an iterative method for removing outliers. Finally, values at each cell are retrended
533 using the multiple linear regression and added to the interpolated residuals.

534 A summary of the interpolation methods, all of which are defined in the MeteIO documentation
535 (Bavay and Egger, 2014), is given in Table A3.

Forcing	Spatial interpolation method	Description and notes
Air temperature	IDW_LAPSE	Inverse distance weighting with elevation detrending.

Accumulated precipitation	IDW_LAPSE with multilinear option set to TRUE	See notes above
Relative Humidity	LISTON_RH	See Liston and Elder (2006)
Precipitation phase	PPHASE	Simple splitting at 274.35K
Wind speed	IDW_LAPSE	See above
Incoming longwave radiation	AVG_LAPSE	Average filling with elevation lapse rate
Wind direction	CST	Constant, fixed at average value from AKAH station instantaneous measurements
Pressure	STD_PRESS	Standard atmospheric pressure with elevation

536 *Table A3 Spatial interpolation methods for Alpine3D*

537 The same parameters as in Table A2 for SNOWPACK were used in Alpine3D with changes shown
538 in Table A4. Other parameters were defaults.

Parameters	Value	Description
CALCULATION_STEP_LENGTH	60 min	1 hr model timestep
ENFORCE_MEASURED_SNOW_HEIGHTS	FALSE	Use accumulated precipitation estimate from SNOWPACK

539 *Table A4 Model parameter changes for Alpine3D from Table A2*

540 AUTHOR CONTRIBUTION

541 DC provided the AKAH dataset. JA ran the NOAH MP simulations. KR prepared the snow surface
542 properties dataset. EB processed the data and prepared the manuscript.

543 COMPETING INTERESTS

544 The authors declare that they have no conflicts of interest.

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549
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