

1 Snow driven uncertainty in CryoSat-2 derived Antarctic sea ice thickness - 2 insights from McMurdo Sound

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9 **Abstract.** Knowledge of the snow depth distribution on Antarctic sea ice is poor but is critical to
10 obtaining sea ice thickness from satellite altimetry measurements of freeboard. We examine the
11 usefulness of various snow products to provide snow depth information over Antarctic fast ice in
12 McMurdo Sound with a focus on a novel approach using a high-resolution numerical snow
13 accumulation model (SnowModel). We compare this model to results from ECMWF ERA-Interim
14 precipitation, EOS Aqua AMSR-E passive microwave snow depths and *in situ* measurements at the end
15 of the sea ice growth season in 2011. The fast ice was segmented into three areas by fastening date and
16 the onset of snow accumulation was calibrated to these dates. SnowModel captures the spatial snow
17 distribution gradient in McMurdo Sound and falls within 2 cm snow water equivalent (swe) of *in situ*
18 measurements across the entire study area. However, it exhibits deviations of 5 cm swe from these
19 measurements in the east where the effect of local topographic features has caused an overestimate of
20 snow depth in the model. AMSR-E provides swe values half that of SnowModel for the majority of the
21 sea ice growth season. The coarser resolution ERA-Interim, produces a very high mean swe value 20
22 cm higher than *in situ* measurements. These various snow datasets and *in situ* information are used to
23 infer sea ice thickness in combination with CryoSat-2 (CS-2) freeboard data. CS-2 is capable of
24 capturing the seasonal trend of sea ice freeboard growth but thickness results are highly dependent on
25 what interface the retracked CS-2 height is assumed to represent. Because of this ambiguity we vary
26 the proportion of ice and snow that represents freeboard – a mathematical alteration of the radar
27 penetration into the snow cover and assess this uncertainty in McMurdo Sound. The range in sea ice
28 thickness uncertainty within these bounds, as means of the entire growth season are 1.08 m, 4.94 m and
29 1.03 m for SnowModel, ERA-Interim and AMSR-E respectively. Using an interpolated *in situ* snow
30 dataset we find the best agreement between CS-2 derived and *in situ* thickness when this interface is
31 assumed to be 0.07 m below the snow surface.

32 1 Introduction

33 The knowledge of Antarctic sea ice extent, area, drift and roughness have been greatly
34 improved over the last forty years, principally supported by satellite remote sensing.
35 Nevertheless, many knowledge gaps remain which restrict our ability to better understand the
36 Antarctic sea ice system. A foremost concern is inadequate data for the snow depth distribution
37 on Antarctic sea ice (Pope et al., 2016) as the presence of snow has many important
38 implications for the sea ice cover (Massom et al., 2001, Wu et al., 1999, Fichfet and Maqueda,
39 1999). The thermal conductivity of snow is almost an order of magnitude less than sea ice
40 (Maykut and Untersteiner, 1971) and as snow accumulates, it reduces the conductive heat flux
41 from the ocean to the atmosphere, slowing growth rates, but also leads to thickening of the ice
42 cover through snow-ice formation (Maksym and Markus, 2008). Snow significantly increases
43 the albedo of the sea ice cover and in the austral spring and summer snow melt drives fresh
44 water input to the Southern Ocean (Massom et al., 2001). Perhaps most crucially from a satellite
45 observation perspective, our inability to accurately monitor its depth and distribution causes
46 large uncertainty when estimating sea ice thickness. Sea ice thickness measurements as inferred

47 via satellite freeboard estimates (Schwegmann et al., 2016, Kurtz and Markus, 2012, Giles et
48 al., 2008) currently present the the best opportunity to establish yet unpublished datasets on
49 decadal trends in Antarctic sea ice volume. Without improved snow depth measurements, it is
50 impossible to discern meaningful trends in Antarctic sea ice thickness. Errors are introduced to
51 thickness estimates via the snow cover for two principal reasons:

- 52 1. Snow depth information is inaccurate/not available and therefore the ratio of ice
53 and snow above the waterline is poorly quantified or unknown.
- 54 2. Uncertainty about what surface the retracking point on the radar waveform actually
55 represents between the ice freeboard and snow freeboard. This initial measurement
56 is commonly referred to as radar freeboard.

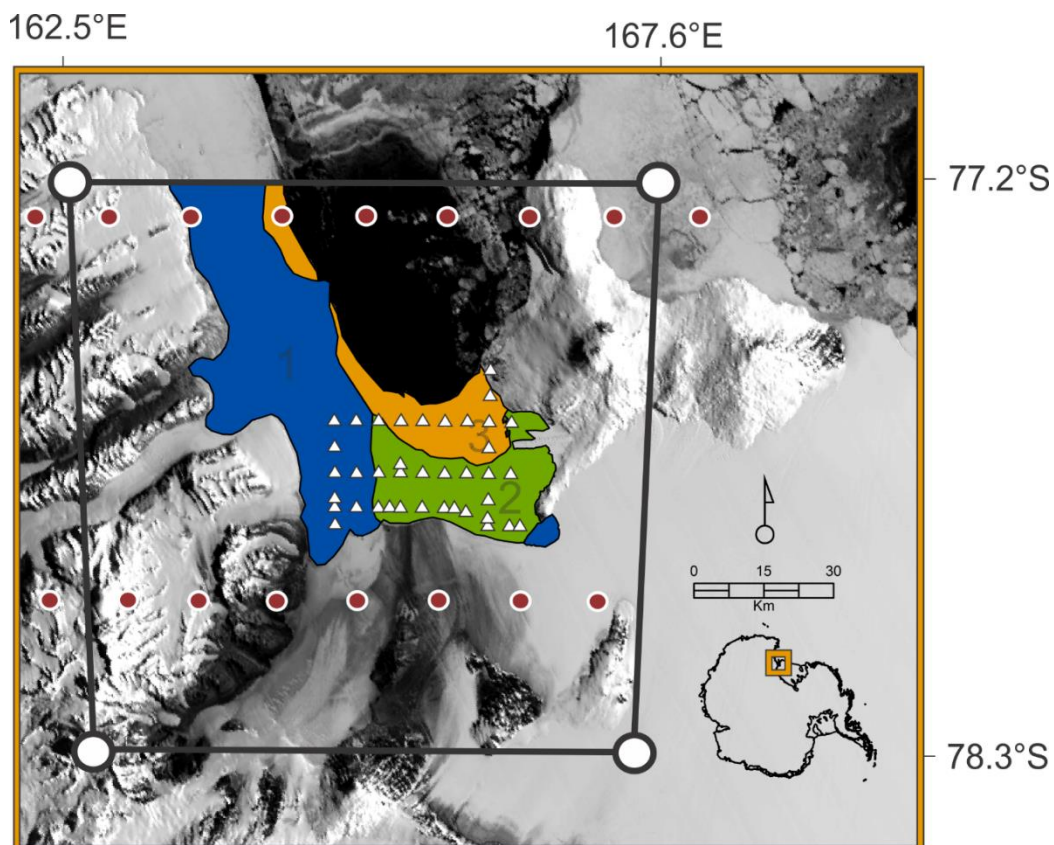
57 The uncertainty associated with these two factors has not been directly investigated using
58 satellite altimeter information over Antarctic sea ice. This work provides insights from a case
59 study region, McMurdo Sound Antarctica. Snow on Arctic sea ice has been investigated in
60 more detail and over a longer period than the Antarctic so climatologies can be produced
61 (Warren et al., 1999). These datasets in combination with satellite altimetry, and suitable
62 airborne investigations have permitted the completion of pan-Arctic thickness assessments
63 (Kurtz et al., 2014, Laxon et al., 2013, Kwok and Cunningham, 2008). The research community
64 lacks snow climatology information in the Southern Ocean, though dedicated basin-scale snow
65 depth assessments are available via passive microwave sensors (Markus and Cavalieri, 2006).
66 Continual improvements in our monitoring ability are key to support the current ESA satellite
67 altimeter missions, CryoSat-2 (CS-2) and Sentinel-3 and NASA's laser altimeter mission
68 ICESat-2. To date only AMSR-E passive microwave data have been used in combination with
69 altimetry to estimate sea ice thickness. The AMSR-E algorithm's accuracy is decreased by
70 rough sea ice and deep and complex snow (Kern and Ozsoy-Çiçek, 2016, Kern et al., 2011,
71 Worby et al., 2008b, Stroeve et al., 2006), both typical characteristics of the Antarctic sea ice
72 cover. Using laser altimetry, some investigators have assumed zero ice freeboard (Kurtz and
73 Markus, 2012), that is, the snow loading forces the ice surface to the waterline, negating the
74 need for snow depth data. Thickness estimates using this approach are likely biased low and
75 although this simplification provides valuable insights, it does not provide sea ice thickness at
76 the desired accuracy. This work is motivated by the necessity for a comprehensive
77 understanding of the usefulness of snow products in the Southern Ocean, and the need to
78 investigate new avenues for producing snow depth products over Antarctic sea ice. Here we
79 make use of a detailed *in situ* dataset to assess modelling and satellite approaches to construct
80 snow depth over the 2011 sea ice growth season. In a first attempt over Antarctic fast ice, using
81 a high-resolution snow accumulation model called SnowModel (Liston and Elder, 2006a) and
82 synthetic aperture radar imagery, we are able to establish when the sea ice fastens and
83 accumulate snow from those dates for three areas of fast ice in McMurdo Sound in the south-
84 western Ross Sea. The high-resolution model results are compared to snow products from two
85 other independent datasets, the first ERA-Interim (ERA-I) precipitation and the second satellite
86 passive microwave snow depth from AMSR-E. With these different snow depth datasets we
87 infer sea ice thickness via freeboard measurements from CS-2. The interaction of radar energy
88 with the snow pack is highly complex and here we take a simplified approach given the surface
89 height has already been established by the ESA retracking procedure. Given the uncertainty of
90 the position of the retracking point with reference to the height above sea level, we assume

91 different penetration depths into the snowpack by varying the proportion of ice and snow that
92 represents freeboard. We compare the inferred CS-2 thicknesses with *in situ* information.

93 2 Study area, field and satellite data

94 2.1 McMurdo Sound and field data

95 A detailed *in situ* sea ice measurement campaign was carried out in November 2011 on the fast
96 ice in McMurdo Sound (Fig. 1). This involved sea ice thickness, freeboard and snow
97 depth/snow density measurements at 39 sites. Freeboard was measured 5 times in a cross
98 profile at each site, once at the centre of the cross and once at the terminus of each line, as was
99 thickness. Mean snow depths for each *in situ* site represent 60 individual snow depth
100 measurements over that same cross-profile at 50 cm intervals. Snow density was measured at
101 18 sites, well distributed across the area, the mean of these sites is used for this analysis unless
102 stated otherwise. A full overview of the measurement procedure is provided in Price et al.
103 (2014). Additional *in situ* measurements of sea ice thickness are included in the analysis, two
104 measurements taken at one location in McMurdo Sound in July and November. Assuming a
105 constant growth rate between these measurements they are used in section 5 as a comparison
106 to CS-2 inferred sea ice growth rates. More detail on how the *in situ* thickness measurements
107 are used and how they should be interpreted is provided in section 5.



108
109 **Figure 1.** McMurdo Sound study area with each fastening area as identified by Envisat radar imagery:
110 area 1 – 01/04/2011 (Blue), area 2 – 29/04/2011 (Green), area 3 – 01/06/2011 (Orange) and SnowModel
111 domain bounded by the black box. Fastening areas are superimposed on a MODIS image acquired on
112 15 November at the time of maximum fast ice extent in 2011. The locations of 39 measurement sites
113 used to produce the *in situ* snow and sea ice statistics are shown as white triangles. The centre points of
114 each ERA-I 0.75° x 0.75° grid cell in the vicinity of the study area are displayed as red circles.

115 2.2 Envisat

116 The sea ice freeze-up provides a point from which snow can begin to accumulate on the sea ice
117 surface. Freeze-up could be identified using passive microwave information, but this data does
118 not provide the spatial resolution to segment the sea ice area appropriately for SnowModel's
119 200 m resolution. In McMurdo Sound during the freeze-up period, pack ice is generally
120 advected north out of the study area unless it fastens. In addition to floe movement, before
121 fastening occurs, snowfall is subject to uncertainty from flooding events and snow loss to leads,
122 influences on the eventual snow depth that we have no way of accurately monitoring. With the
123 resolution restriction in mind and these uncertainties, we have selected the sea ice fastening
124 date to begin snow accumulation. To identify the dates and the pattern in which the sea ice
125 fastens across the study area, we use a string of C-band Advanced Synthetic Aperture Radar
126 (ASAR) images from Envisat acquired in Wide Swath mode. We process these files using
127 GAMMA Software to produce ASAR imagery with a spatial resolution of 150 x 150 m. By
128 comparing motion and patterns between sequential images we are able to identify three areas
129 that fastened independently of one another. The first area of fast ice was established by 1 April
130 (area 1 – Fig. 1), by the end of April, a second area of fast ice had formed along the southern
131 extremity of the Sound (area 2 – Fig. 1), and by the beginning of June, a third area had fastened
132 (area 3 – Fig. 1). The largest gap in the Envisat image string is 8 days but no large gaps are
133 found around key fastening dates. The typical spacing is 1-2 days so we have confidence we
134 have reduced our error in the fastening date to less than 2 days. These three areas persisted for
135 the winter and when combined, made up the fast ice area present in late November when *in*
136 *situ* measurements were made.

137 2.3 AMSR-E

138 The EOS Aqua Advanced Microwave Scanning Radiometer (AMSR-E) was operational from
139 December 2002 until 4 October 2011. The snow depth product provided by NSIDC
140 (https://nsidc.org/data/AE_SI12/versions/3#) is provided at a 12.5 x 12.5 km² polar
141 stereographic projection and reported as a 5-day running mean, that mean inclusive of that day
142 and the prior 4 days. We remove data where ice concentrations are lower than 20%. Gridded
143 snow depth values are calculated using the spectral gradient ratio of the 18.7 and 36.5 GHz
144 vertical polarisation channels. For snow free sea ice the emissivity is similar for both
145 frequencies. Snow depth increases attenuation from scattering but is more pronounced at 36.5
146 GHz than at 18.7 GHz, resulting in higher brightness temperatures at 18.7 GHz (Comiso et al.,
147 2003, Markus and Cavalieri, 1998). Using coefficients derived from a linear regression of *in*
148 *situ* snow depth measurements on microwave data, and a 36.5-18.7 GHz ratio corrected for sea
149 ice concentration, snow depth can be estimated (Comiso et al., 2003). Snow depth retrievals
150 are restricted to dry snow only and to a depth of less than 50 cm. Variable snow properties
151 including snow grain size, snow density and liquid water content influence microwave
152 emissivity from the sea ice surface and the algorithm is reported to have a precision of 5 cm
153 (Comiso et al., 2003). Given the extreme southern latitude of the study area, snow conditions
154 throughout this study were very dry, supported by snow pit analysis on the sea ice in November
155 with no wet snow or lensing observed. AMSR-E cells are included in the analysis if over 50%
156 of the cell lies within the fast ice mask, and segmented into each fastening area by that same
157 criteria. 22 AMSR-E cells are used and due to the instrument failure in early October 2011,
158 data for the last two months of this investigation are unavailable.

159 2.4 CryoSat-2

160 CS-2 was launched in 2010 and houses a *Ku*-band radar altimeter (centre frequency 13.6 GHz).
161 The altimeter has an approximate footprint size of 380 m x 1560 m and samples along-track at
162 300 m intervals. The instrument has three modes and over the coastal Antarctic operates its
163 interferometric (SIN) mode. This mode uses both of the satellite's antennas to identify the
164 location of off-nadir returns accurately. This is not the dedicated sea ice mode, but it is still
165 suitable for sea ice freeboard retrieval (Price et al., 2015; Armitage and Davidson, 2014). In
166 section 5, to assess the usefulness of the evaluated snow products, we infer sea ice thickness
167 from CS-2 freeboard measurements.

168 The ESA L2 baseline C SIN mode (SIR_SIN_L2 – available at: [http://science-](http://science-pds.cryosat.esa.int/)
169 [pds.cryosat.esa.int/](http://science-pds.cryosat.esa.int/)) data set provides a retracked height for the surface over sea ice and this
170 initial measurement is termed radar freeboard. The processing closely follows that described
171 in Price et al. (2015), but to reduce noise, two modifications are made to achieve more detailed
172 scrutiny of the CS-2 height retrievals. The first is a more stringent exclusion of off-nadir
173 elevation retrievals, the threshold is halved from ± 750 m to ± 375 m; data located at greater
174 distances from nadir are discarded. The second is the rejection of freeboard measurements of
175 less than -0.24 m and greater than 0.74 m. Following Schwegmann et al (2016) the ± 0.24 m
176 accounts for speckle range noise in the CS-2 data and the + 0.5 m threshold additionally
177 incorporates an expected maximum sea ice freeboard of 0.5 m for fast ice in McMurdo Sound
178 (as measured *in situ* in 2011). Each CS-2 radar freeboard measurement is cross-referenced to
179 fastening areas 1, 2 and 3 and assigned a snow depth (T_s) value from the described snow
180 products. From the ESA retracked product there is currently no consensus on what surface the
181 radar freeboard represents over sea ice, the air-snow interface, the snow-ice interface or an
182 undefined interface between the two. Laboratory experiments (Beaven et al., 1995) and
183 comparisons of other radar altimeter systems with *in situ* measurements (Laxon et al., 2003)
184 suggest the snow-ice interface is detected. It is clear that the presence of snow influences the
185 CS-2 height retrieval, but precisely how, is dependent on the surface roughness (Kurtz et al.,
186 2014; Hendricks et al., 2010; Drinkwater, 1991), its depth (Kwok, 2014) and its dielectric
187 properties (Hallikainen et al., 1986). The mean depth of the dominant backscattering surface
188 measured using a surface based *Ku*-band radar over snow covered Antarctic sea ice was around
189 50% of the mean measured snow depth, and the snow-ice interface only dominated when
190 morphological features or flooding were absent (Willatt et al., 2010). Wingham et al. (2006)
191 indicate the snow-ice interface is represented by the ESA retracked height. No other
192 information is available about the assumptions made here, only that for diffuse echoes in SAR
193 processing, for baseline C, a new retracker was implemented (Bouffard, 2015). It is unclear
194 what the original retracking assumptions are for any retrieval mode and if any changes were
195 made to SIN mode for baseline C. A prior study of CS-2 waveform behaviour over the same
196 study area found ESA L2 freeboard to be located between the air-snow and snow-ice interface
197 (Price et al., 2015). Given this uncertainty we apply a simple methodology to discover the range
198 of thicknesses as inferred via this CS-2 data. We explore this possible range by changing the
199 amount of snow and ice assumed to represent the freeboard measurement in the thickness
200 equation. There is no physical change to the actual radar penetration, the inferred thickness is
201 simply altered mathematically using a varying penetration depth (Pd) into the snow pack.
202 Equation 1 assumes that the snow surface is detected, equation 2 that the sea ice surface is
203 detected and equation 3 that an arbitrary surface at varying Pd values into the snow pack (0.02

204 m, 0.05 m, 0.10 m, 0.15 m, 0.30 m and 0.50 m - or to the snow-ice interface, whichever criteria
 205 is met first) represents the retracking point. The radar freeboard is corrected when snow is
 206 present and penetration is assumed (i.e. $Pd > 0$) for the reduction of the speed of the radar wave
 207 through the snow pack following the procedure described in Kurtz et al (2014). We derive sea
 208 ice thickness (T_i) using the newly corrected freeboard (Fb) and the described equations;

209

$$210 \quad T_i = \frac{\rho_w}{\rho_w - \rho_i} Fb - \frac{\rho_w - \rho_s}{\rho_w - \rho_i} T_s \quad (1)$$

211

$$212 \quad T_i = \frac{\rho_w}{\rho_w - \rho_i} Fb + \frac{\rho_s}{\rho_w - \rho_i} T_s \quad (2)$$

213

$$214 \quad T_i = \frac{\rho_w}{\rho_w - \rho_i} Fb - \frac{\rho_w - \rho_s}{\rho_w - \rho_i} T_s + \frac{\rho_w}{\rho_w - \rho_i} Pd \quad (3)$$

215

216 where ρ_w (1027 kgm^{-3}), ρ_i (925 kgm^{-3}) and ρ_s (385 kgm^{-3}) are the densities of water, sea ice and
 217 snow respectively. ρ_w is informed by an unpublished time series of surface salinity
 218 measurements taken from October 2008 to October 2009 along the front of the McMurdo Ice
 219 Shelf. The range in ρ_w during this period is less than 1 kgm^{-3} . The ρ_i value used here is in the
 220 middle of the measured range in McMurdo Sound, the use of which is discussed in Price et al.
 221 (2014). ρ_s is the mean value taken from 18 of the 39 *in situ* sites where snow density was
 222 measured.

223 **3 Atmospheric models for snow accumulation**

224 **3.1 High resolution model**

225 SnowModel is a numerical modelling system with four main components: (1) MicroMet, a
 226 quasi-physically-based, high-resolution meteorological distribution model (Liston and Elder,
 227 2006b) (2) Enbal, a surface energy balance and snowmelt model (Liston et al., 1999) (3)
 228 SnowTran-3D, a wind driven snow redistribution routine (Liston et al., 2007, Liston and Sturm,
 229 1998) and (4) SnowPack, a multilayer snow depth and water-equivalent model (Liston and
 230 Sturm, 1998). The main objective of MicroMet is to provide seamless atmospheric forcing
 231 data, both temporally and spatially to the other SnowModel components. MicroMet is capable
 232 of downscaling the fundamental atmospheric forcing such as air temperature, relative humidity,
 233 wind speed, wind direction, incoming solar radiation, incoming longwave radiation, surface
 234 pressure, and precipitation. Other SnowModel submodels simulate surface energy balance, and
 235 moisture exchanges including snow melt, snow redistribution and sublimation. SnowModel
 236 also incorporates multilayer heat and mass-transfer processes within the snow (e.g. snow
 237 density evolution).

238 SnowModel is capable of initializing with both *in situ* and gridded model data and has been
 239 evaluated in many geographical locations including Greenland and Antarctica (Liston and
 240 Hiemstra, 2011; Liston and Hiemstra, 2008; Liston and Winther, 2005; Mernild et al., 2006).
 241 To the authors knowledge, and at the time of writing this is only the second application of
 242 SnowModel in a sea ice environment. Liston et al. (2018) applied SnowModel with an

243 additional component that accounted for snowdrifts and snow dunes, at very high spatial
244 resolution over Arctic sea ice with positive results.

245 SnowModel requires topography, land cover and various atmospheric forcing. The minimum
246 meteorological requirements of the model are near-surface air temperature, precipitation,
247 relative humidity, wind speed and direction data from Automatic Weather Stations (AWS)
248 and/or gridded numerical models. Determining the influence of wind and other atmospheric
249 forcing on snow distribution in a complex terrain requires the use of numerical atmospheric
250 models. Many studies have demonstrated that high-resolution models are vital for simulating
251 topographic and land-use impacts on wind, hydraulic jump and associated turbulence (Olafsson
252 and Agustsson, 2009; Agustsson and Olafsson, 2007). For this research, hourly atmospheric
253 forcing were generated by version 3.5 of the polar-optimized version of the Advanced Research
254 Weather Research and Forecasting Model (WRF-ARW; Skamarock et al., 2008) known as
255 Polar WRF (Bromwich et al., 2009) or PWRF (<http://polarmet.osu.edu/PWRF>) at 3 km
256 horizontal resolution.

257 The WRF-ARW (hereafter, WRF) is a state-of-the-art model that is equipped with a fully
258 compressible, Eulerian and nonhydrostatic dynamic core. This model uses Arakawa C-grid
259 staggering in the horizontal and utilises a mass terrain-following coordinate vertically. Several
260 physical parameterization schemes are available in WRF, and some of those used for this work
261 are described below. The WRF single-moment 6-class microphysics scheme (WSM6; (Hong
262 and Lim, 2006)) is a cloud microphysics scheme, which includes various water phases
263 including graupel. This likely improves precipitation and cloud related predictions at higher
264 spatial resolution. For radiation, the rapid radiative transfer model (RRTM;(Mlawer et al.,
265 1997)) and the empirically based Dudhia short-wave radiation scheme (Dudhia, 1989) are used
266 as the long and short wave radiation schemes, respectively. The Mellor–Yamada–Nakanishi–
267 Niino (MYNN; Nakanishi and Niino, 2006, Nakanishi and Niino, 2004, Nakanishi, 2001)
268 level-2.5 scheme is used to take into account subgrid-scale turbulent fluxes.

269 The Noah LSM (Chen and Dudhia, 2001) with four soil layers, which is able to handle sea-ice
270 and polar conditions through modifications described below was chosen as the land surface
271 model. Generally, mesoscale numerical models including WRF have simple representations
272 for sea ice thickness and snow depth on sea ice. This shortcoming leads to an outstanding error
273 in the simulation of the snow and mass balance in the polar regions. To address this issue,
274 PWRF improved the representation of heat fluxes through snow and ice in the Noah LSM.
275 Further, this version of PWRF modified sea ice and snow albedos and made it accessible to
276 define spatially varying sea ice thickness and snow depth on sea ice [for further detailed
277 information about PWRF see Hines et al. (2015)].

278 The models, PWRF and SnowModel are coupled in an off-line manner. This means that the
279 PWRF model ran for the entire study period first, then SnowModel initiated based on the
280 PWRF simulated atmospheric forcing and there is no feedback from SnowModel to the
281 atmospheric model. In order to increase the spatial resolution of the PWRF outputs, before
282 ingesting the atmospheric forcing to the SnowModel, PWRF gridded data are interpolated to a
283 new grid, and then corrected physically according to topography using the MicroMet
284 submodel. The spatial resolution of SnowModel is 200 m and its output is segmented into sea
285 ice fastening areas as indicated by the Envisat imagery (Fig. 1). Model outputs are reported as
286 hourly means beginning at 00:00 1st April 2011 and ending at 00:00 1st December 2011.

287 SnowModel outputs snow depth and swe. The model has a varying density over time. The swe
288 output is important as it allows comparison of the model to the other snow products which have
289 different density assumptions.

290 **3.2 Low resolution model**

291 ERA-I is a global atmospheric reanalysis product on a $0.75^\circ \times 0.75^\circ$ grid available from 1
292 January 1989 (Dee et al., 2011). Precipitation data (mm water equivalent) are available at three
293 hourly intervals and are converted to snow depth when required using the average snow density
294 of 385 kgm^{-3} measured *in situ* in 2011. Using splines we interpolate the coarse resolution ERA-
295 I grid and provide a 10×10 grid over the study area with a cell resolution of 12 km. The
296 reanalysis does not account for snow transport but with the interpolated grid we are able to
297 segment the model for sea ice fastening dates and begin snow accumulation at the correct time.
298 We average the three hourly outputs, the reported ERA-I data are daily averages for each
299 fastening area.

300 **4 Snow product evaluation**

301 When the three snow products are compared to one another, or to *in situ* measurements, all
302 snow depths are reduced to snow water equivalent (swe) via their respective densities to
303 remove any bias associated with varying density between snow datasets. SnowModel provides
304 a swe output via a time varying snow density during the model run, AMSR-E snow depths are
305 reduced to swe using average *in situ* measured snow density in November, and ERA-I
306 precipitation is provided as swe in its original format. The SnowModel evaluation is split into
307 three parts, firstly, an accumulation time-series is presented for each snow product segmented
308 by each fastening area, and this time series is the mean snow depth for each product within
309 each area (Fig. 2). Secondly, selected SnowModel grid cells are directly compared to spatially
310 coincident *in situ* measurement sites in November (Fig. 3) and thirdly, the SnowModel and
311 ERA-I distributions are plotted as maps at the end of the model run for spatial comparison (Fig.
312 4). The model swe values used for direct comparison to *in situ* measurements in Figures 3 and
313 4 are the mean at each site between 25th November and 1st December, the period over which *in*
314 *situ* measurements were made.

315 The SnowModel mean swe for all areas at the end of the simulation is 2 cm higher than *in situ*
316 swe mean. However, SnowModel clearly presents two very different snow accumulation
317 patterns, one in the west covering area 1 and one in the east covering areas 2 and 3. Mean swe
318 values in area 1 reach a maximum of 2 cm during the 8-month study period while in areas 2
319 and 3 they are in excess of 10 cm. This broad spatial distribution produced by SnowModel
320 compares well with *in situ* measurements and general observations in November 2011, which
321 recorded an increasing gradient in snow depth from west to east (Fig. 4). However, when each
322 fastening area is directly compared to *in situ* means for those areas, swe is underestimated in
323 area 1 ($2 \text{ cm} < \textit{in situ}$), slightly overestimated in area 3 ($1 \text{ cm} > \textit{in situ}$) and substantially
324 overestimated in area 2 ($5 \text{ cm} > \textit{in situ}$) (Fig. 2). Only modelled swe in area 3 falls within the
325 standard deviation of the *in situ* mean. In the east, snow depth increases are noted in mid-May,
326 mid-June, early-July, early and mid-August and late-September. The snow depth evolution in
327 the west of the Sound over area 1 follows a separate pattern with negligible increases in mid/late
328 April, mid-May, mid-July, late-September and early-November. When coincident pixels are
329 directly compared to *in situ* data with coincident pixels SnowModel overestimates swe in the
330 study area and therefore the model has better agreement with *in situ* maximum values ($r^2 =$

331 0.56) than with the mean ($r^2 = 0.53$) or minimum ($r^2 = 0.30$) values (Fig. 3). This general
332 overestimation is clearly visible in Figure 4a. Values in the eastern most section of the sea ice
333 cover in McMurdo Sound, adjacent to Ross Island are in the order of 20 to 35 cm swe. These
334 values are all larger than the highest *in situ* measured swe of 17.7 cm and for large areas, they
335 are over double the measured value. In the central area of the Sound, modelled swe decreases
336 in agreement with measured swe with 5 *in situ* sites agreeing within ± 0.5 cm of SnowModel
337 swe (Fig. 3 and Fig. 4a). The western region of sea ice in fastening area 1 has far less measured
338 snow. The model produces this well but values are too low. The extremes, where there is a lot
339 of snow and where there is very little snow both seem to be exaggerated by the model.

340 Unlike SnowModel or the *in situ* distribution in late November AMSR-E swe follows a similar
341 pattern over time in all fastening areas. For areas 2 and 3, May through June, AMSR-E and
342 SnowModel produce similar swe values, agreeing within 1.5 cm in areas 2 and 3. In area 1
343 AMSR-E swe fluctuates but is typically about 2.5-3 cm higher than SnowModel. As the growth
344 season progresses AMSR-E remains significantly lower than SnowModel swe in areas 2 and
345 3, by up to 10 cm. swe values are higher in area 2 than area 3 in agreement with SnowModel.
346 However, in area 1 swe values are four times larger than SnowModel. Most importantly, the
347 longitudinal swe gradient indicated by SnowModel and supported by *in situ* data is opposite
348 when measured using AMSR-E (i.e. swe is higher in the west than in the east for the duration
349 of the times series). As the AMSR-E instrument failed in early October, we are unable to
350 validate it with *in situ* measurements. ERA-I also produces a different snow distribution to
351 SnowModel and *in situ* data (Fig. 4b) with an area of lower swe values in the central area of
352 the fast ice and higher swe values over the western and eastern areas. The mean deviation over
353 the entire study area from *in situ* measurements is 20 cm swe. ERA-I swe values are over
354 double that of SnowModel for areas 2 and 3 and an order of magnitude higher for area 1 (Fig.
355 2). The ERA-I temporal snowfall pattern is the same between all areas and is similar to that
356 produced by Snow Model in areas 2 and 3.

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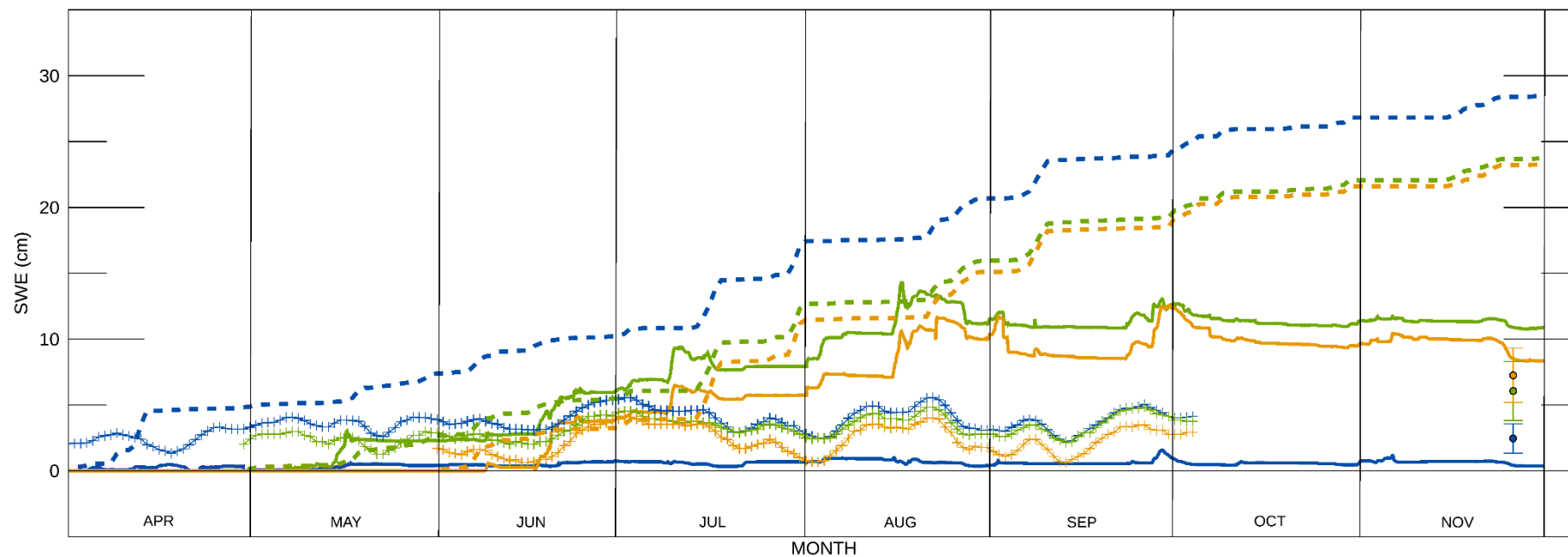
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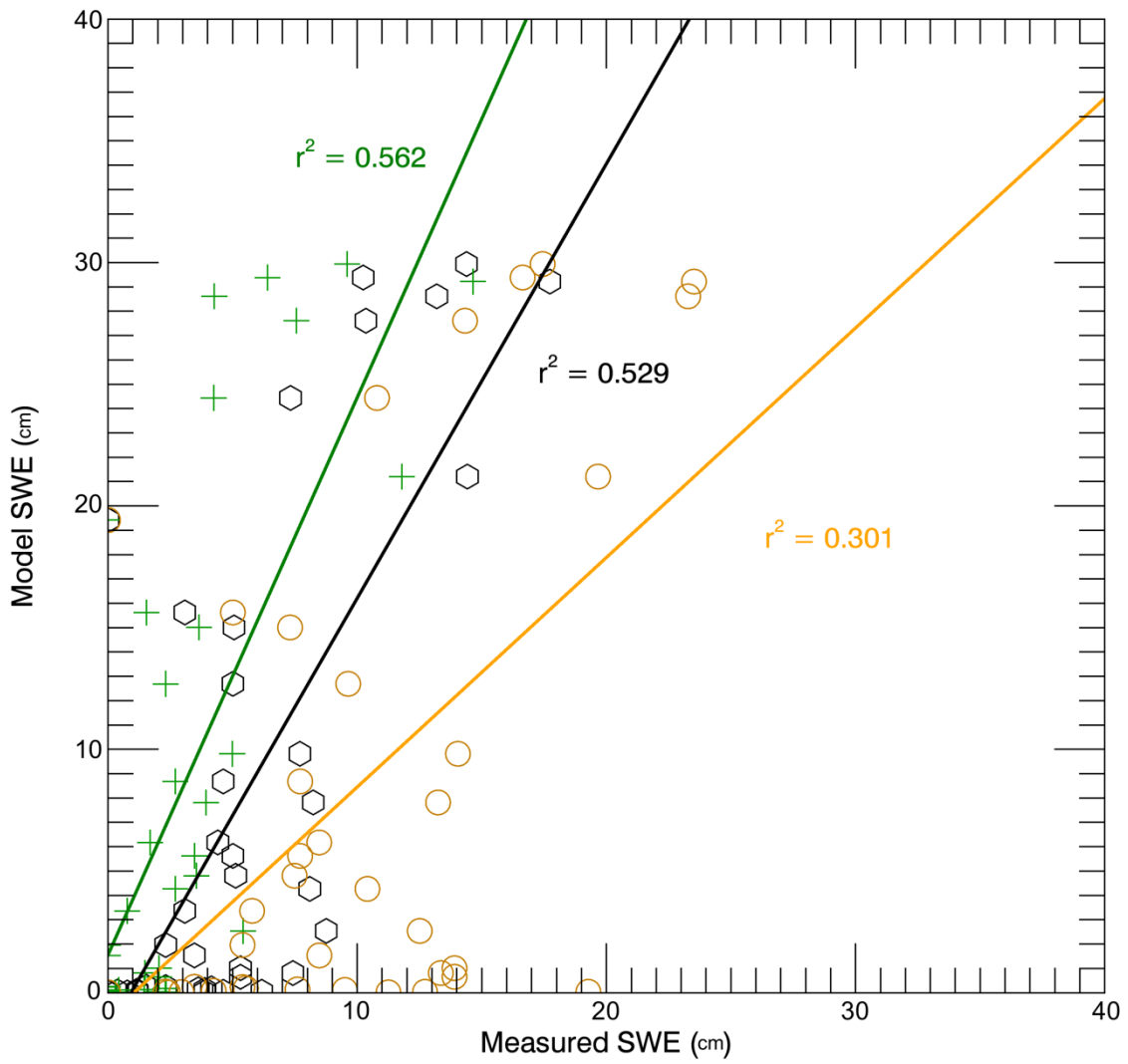


369

370 **Figure 2.** SnowModel hourly (solid lines), ERA-I daily (hashed lines) snow water equivalent (swe) accumulation and AMSR-E daily snow depth (crosses)
371 converted to swe for fastening areas 1 (blue), 2 (green) and 3 (orange). The mean *in situ* swe and standard deviations for each area are displayed as circles at
372 the end of November and colour coded to their respective fastening areas.

373

374



375

376 **Figure 3.** Mean (black), maximum (green) and minimum (orange) *in situ* measured snow water
 377 equivalent (swe) for each site against mean SnowModel swe at each coincident model cell for the *in*
 378 *situ* measurement period.

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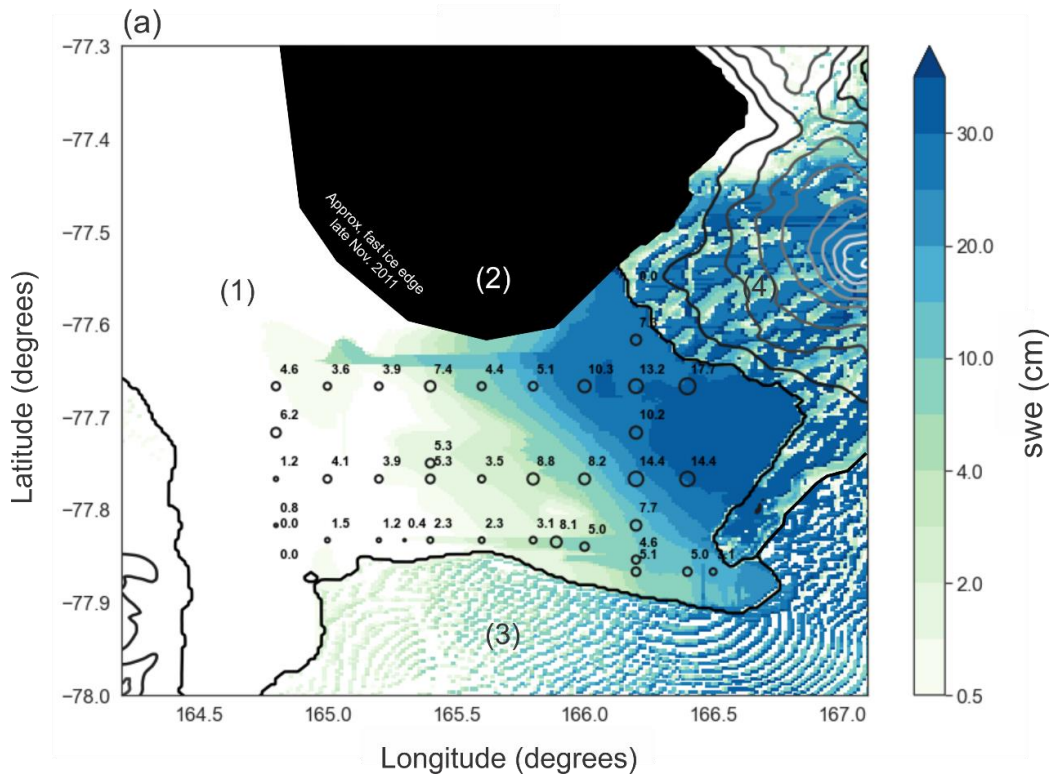
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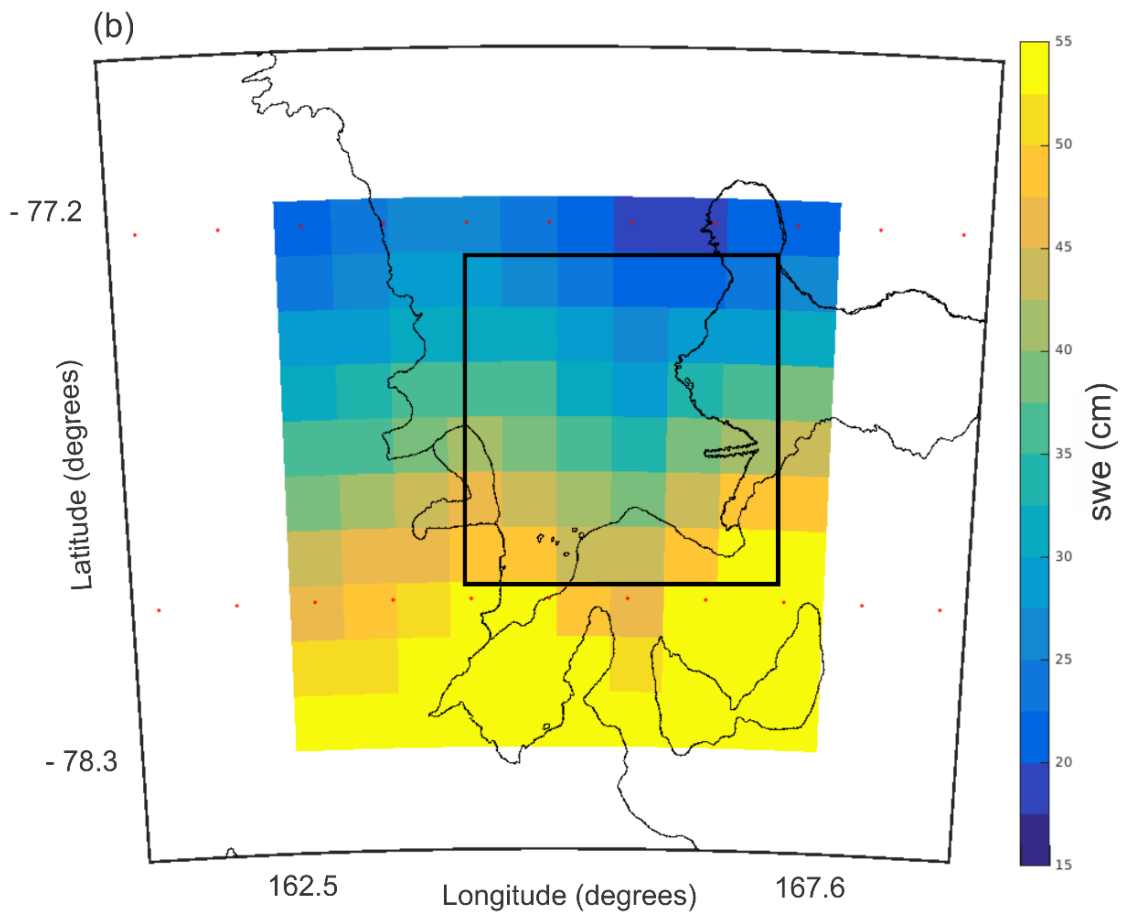
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389 **Figure 4.** (a) SnowModel swe distribution in McMurdo Sound, (1) fast ice, (2) open water/pack ice, (3)
 390 McMurdo Ice Shelf, (4) Ross Island. The model swe distribution is the mean of the simulation over the
 391 *in situ* measurement period (25th November-1st December). The *in situ* measurements were converted
 392 to swe via the density measured at each site, if no measurement was taken (21 sites) the average *in situ*
 393 snow density was used (385 kgm^{-3}). *In situ* measurement locations are shown as black circles and are
 394 the mean of the 60 snow measurements taken at each site. The circle sizes are weighted for swe to allow
 395 visualisation of the decreasing swe distribution from east to west. Elevation contours are spaced at 400
 396 m intervals; Mt Erebus (3,794 m) is the dominant topographic feature on Ross Island to the east of the
 397 fast ice. (b) The interpolated 10 x 10 ERA-I grid with 1st December accumulation total, the boundary
 398 of the SnowModel inset from (a) is shown as the black box. The ERA-I centre points of the original
 399 grid are displayed as red dots.

400 5 Sea ice thickness

401 In this section, we review the usefulness of the snow products by using them as inputs to
 402 equations 1-3 and infer sea ice thickness in McMurdo Sound through the growth season. Snow
 403 information, coincident in space and time for each CS-2 measurement is retrieved from the
 404 SnowModel and AMSR-E products as snow depth, while ERA-I swe is converted to snow
 405 depth using the mean *in situ* measured density.

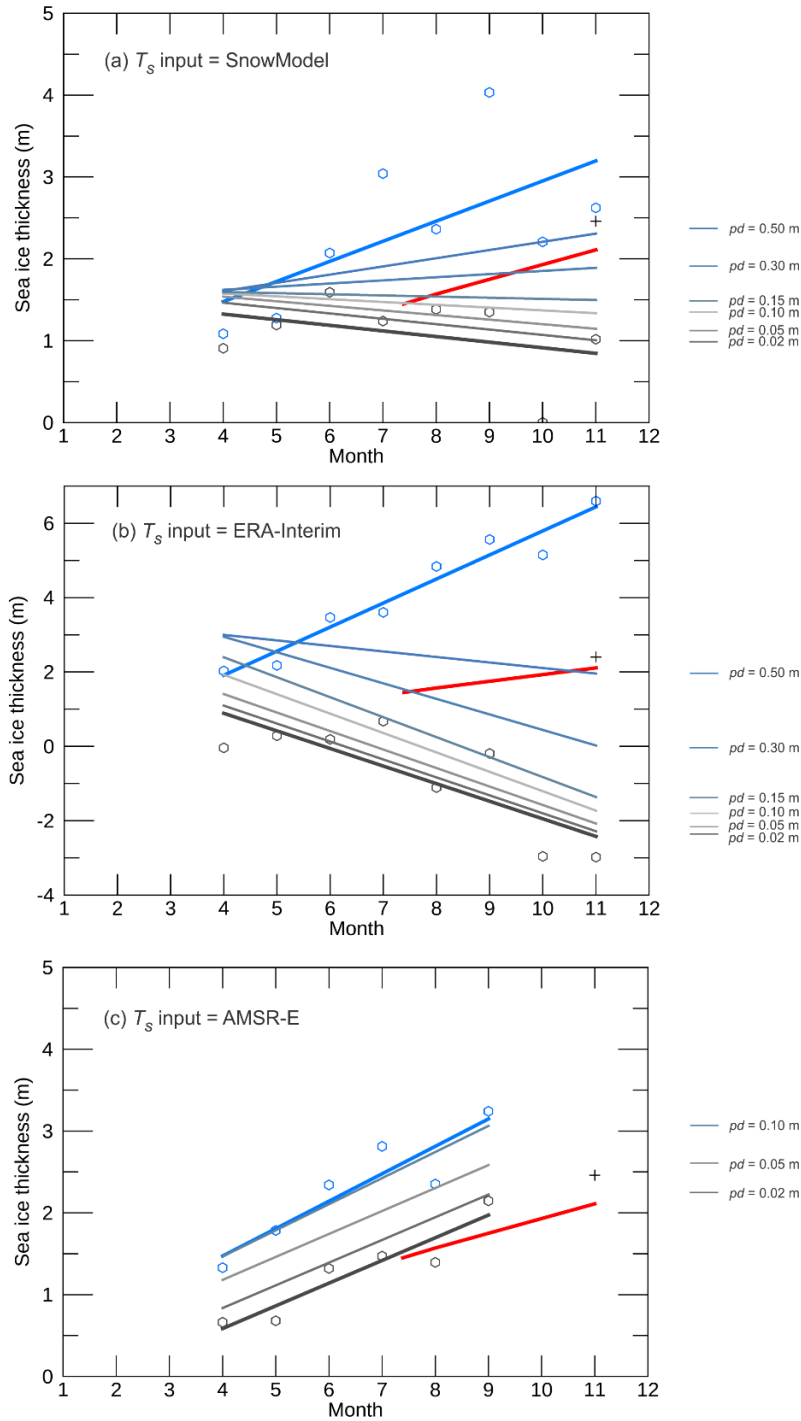
406 Sea ice thickness inferred from altimetry in McMurdo Sound will be influenced by the buoyant
 407 sub-ice platelet layer (Price et al., 2014). The *Fb* measurement used to infer thickness is
 408 representative of the solid sea ice and the layer of sub-ice platelets attached below. Therefore,
 409 comparisons to *in situ* thickness referenced in this work actually refer to the ‘mass-equivalent
 410 thickness’, that is, the resultant thickness taking account of both the solid sea ice and the sub-
 411 ice platelet layer (sub-ice platelet layer multiplied by the solid fraction). The only exception to
 412 this is the red line in Fig. 5 which is a linear fit between two measurements of consolidated sea
 413 ice thickness in July and November 2011 used here to show the sea ice thickness growth rate
 414 for comparison to CS-2 thickness trends.

415 From equations 1-3, sea ice thickness is highly sensitive to the snow-ice ratio for the measured
 416 freeboard. This results in a large range in sea ice thickness for all snow products through the
 417 growth season (Fig. 5). This range in inferred thickness is driven by the amount of snow
 418 produced by the models as Eq. 1 and Eq. 2 subtract and add the product of this value in their
 419 second terms respectively. As the snow depth increases, in some cases to higher values than
 420 the measured freeboard the *Pd* simply provides a correcting factor for this discrepancy. The
 421 AMSR-E derived thickness trend is not comparable to the model output trends as the last two
 422 months are missing. However, it is useful to highlight the importance of the snow-ice freeboard
 423 ratio. AMSR-E snow depths remain relatively stable for the duration of the study. Because of
 424 this, the ratio of ice to snow above the waterline remains very similar. In the case of the models,
 425 snow depths gradually increase and snow makes up an ever increasing proportion of mass
 426 above the waterline. If the air-snow interface (Eq. 1) is taken to represent *Fb* then the trend in
 427 sea ice thickness through the growth season is negative for SnowModel and ERA-I derived
 428 thicknesses and if the snow-ice interface (Eq. 2) is assumed the trend is too positive. The trends
 429 are more extreme for the ERA-I estimates simply because the snow loading is greater. The
 430 range in uncertainty between Eq. 1 and Eq. 2 derived thickness as means of available data for
 431 the entire growth season are 1.08 m, 4.94 m and 1.03 m for SnowModel, ERA-I and AMSR-E
 432 respectively. The mean CS-2 derived thickness values for November using Eq.1 and Eq. 2 are

433 1.02 m (-2.98 m) for SnowModel (ERA-I) and 2.62 m (6.59 m) for SnowModel (ERA-I)
434 respectively compared to an *in situ* thickness of 2.4 m. The trends that result in a November
435 thickness supported by the *in situ* measurements are those that assume penetration into the
436 snow cover, analogous with the retracked surface representing a surface between the air-snow
437 and snow ice interfaces. For thicknesses derived using the models to match *in situ* thickness
438 large Pd values of 0.5 m are required given the higher snow depth values. These values are
439 lower for AMSR-E as the snow loading is less.

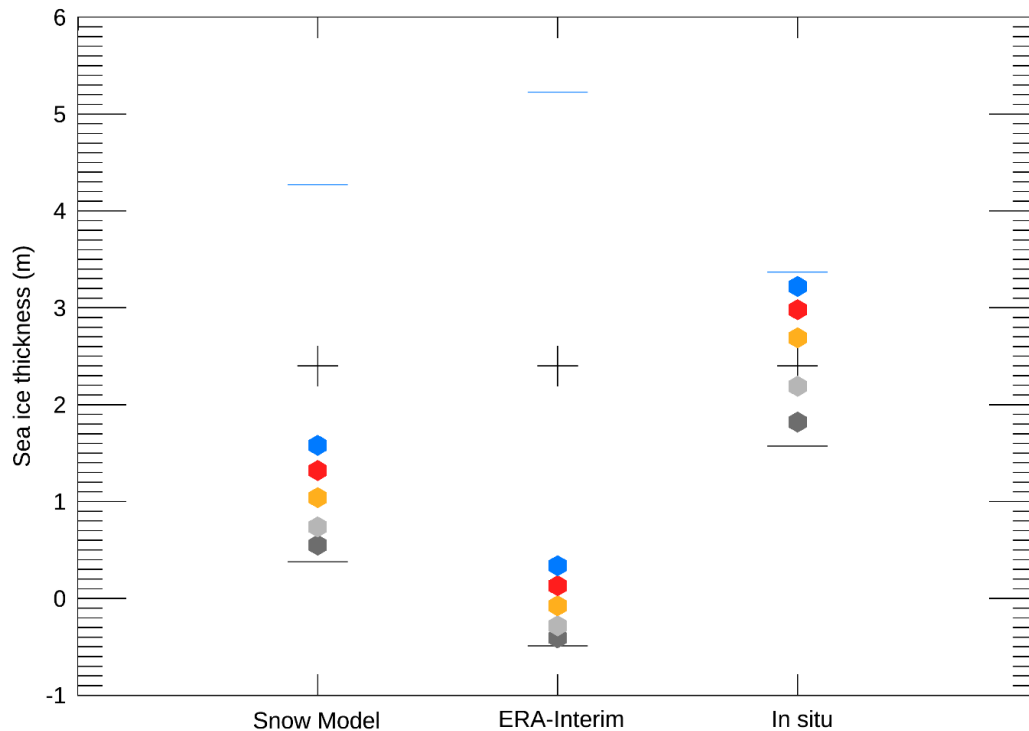
440 The differences in the snow depths from each model result make it difficult to constrain what
441 Pd value provides CS-2 thicknesses that agree best with measured thickness. To assess the
442 penetration uncertainty further we use interpolated *in situ* measurements for snow depth as
443 input to the sea ice thickness calculation. We reduce the CS-2 measurements used in this
444 comparison to the same area bounded by *in situ* measurements. The total range in estimated
445 sea ice thickness using interpolated *in situ* snow depth between equations 1 and 2 is 1.7 m. For
446 Pd values 0.02 m through 0.20 m the best agreement between *in situ* thickness and CS-2 derived
447 thickness is found between 0.05 and 0.10 m (Fig. 6 – third column, ‘In situ’). The CS-2
448 thickness is only 0.02 m thicker than *in situ* thickness for this particular dataset when $Pd = 0.07$
449 m. The range in SnowModel derived thickness between Eqs. 1 and 2 is nearly 4 m while the
450 range when using the ERA-I data set is very large at 5.7 m (Fig. 6). Again this large range in
451 thickness reflects the higher average snow depth produced by ERA-I. The deeper snow creates
452 a larger range of snow-to-ice ratios for freeboard.

453



454

455 **Figure 5.** Sea ice thickness trends derived by CS-2 freeboard measurements with snow data provided
 456 by (a) SnowModel, (b) ERA-I and (c) AMSR-E. Grey dots and bold linear fit are sea ice thickness
 457 calculated using equation 1, blue dots and bold linear fit using equation 2 and thin lines between them
 458 equation 3 with varying penetration factors (Pd). The red line shows sea ice thickness from *in situ*
 459 measurements of consolidated sea ice thickness with a tape measure taken in July and November in one
 460 location in the south of McMurdo Sound joined assuming a constant growth rate. The black plus sign
 461 is the mean ‘mass-equivalent thickness’ from all *in situ* measurements in November. This is slightly
 462 thicker than the end of season thickness indicated by the red line given it takes account of the influence
 463 of the sub-ice platelet layer. This black plus sign is what CS-2 thickness should be compared to (see
 464 text).



465

466 **Figure 6.** The range in CS-2 derived sea ice thickness in November using snow inputs from SnowModel
 467 and ERA-I compared to snow input from *in situ* interpolated snow depths. Thickness derived from
 468 equations 1 and 2 are shown with the grey and blue lines respectively and for equation 3 the dots are
 469 colour coded for different penetration depths (Pd); dark grey = 0.02 m, light grey = 0.05 m, orange =
 470 0.10 m, red = 0.15 m and blue = 0.20 m. Black plus signs show *in situ* ‘mass-equivalent thickness’. This
 471 comparison is produced from all CS-2 data height retrievals available over the *in situ* measurement area
 472 in November ($n = 279$).

473 6 Discussion

474 In this section, the performance of the snow depth retrieval methods and CS-2 thickness
 475 uncertainty is evaluated. We briefly discuss their future applicability to larger Antarctic sea ice
 476 areas.

477 Any method attempting to accumulate snow on sea ice requires the establishment of a starting
 478 date from which a sea ice surface is present. This approach used Envisat ASAR imagery and
 479 motion between scenes to identify when the sea ice fastened. Freezing may have started prior
 480 to the fastening date but the authors are unaware of any other method to monitor sea ice
 481 formation at the required spatial resolution for SnowModel. Sea ice could have begun to form
 482 slightly before this date, which, assuming a net gain in snow would result in an improvement
 483 in SnowModel’s performance in area 1, but increased separation between *in situ* validation and
 484 SnowModel in areas 2 and 3. ERA-I performance would be worse in all cases, AMSR-E would
 485 not be impacted as it is a real-time snow depth measurement. In larger open water areas,
 486 passive microwave sea ice concentration information could be used to establish the formation
 487 date. Detail would be lost via this method given the high (200 m) resolution of SnowModel
 488 against the coarser resolution passive microwave data. Early snow fall on more dynamic pack
 489 ice will also be subject to flooding, sea spray (both likely to result in snow-ice formation) and
 490 loss to leads. These uncertainties must all be considered in future work.

491 Modelled snow depths have been evaluated in previous work over Antarctic sea ice (Maksym
492 and Markus, 2008), but the study produced precipitation data while this assessment takes the
493 next step by using a model that accounts for surface transportation, a significant redistribution
494 mechanism in the Antarctic. Leonard and Maksym (2011) report that over half of precipitation
495 over the Southern Ocean could be lost to leads and the application of any model to construct
496 snow depth on sea ice in open sea areas will need to account for this. In coastal regions, local
497 topography will also play a key role, such is the case in McMurdo Sound where Ross Island
498 acts to encourage snow accumulation on the eastern portion of the sea ice cover. This was well
499 replicated in SnowModel although the overestimation of snow was driven by unrealistic values
500 in this area, the model likely accumulating too much snow due to this topographic barrier.
501 Smaller scale snow features such as snow drifts and snow dunes should also be accounted for
502 in future work, as applied in a recent study by Liston et al. (2018). These meter-scale features
503 will be important to capture, especially to support compatibility with smaller satellite altimeter
504 footprints, in particularly ICESat-2 (Markus et al., 2017). This work used fast ice to reduce the
505 uncertainty associated with pack ice and used available *in situ* data to validate the snow
506 products. To build on this approach, and make its application valuable in the Southern Ocean,
507 sea ice motion within the SnowModel domain must be incorporated.

508 We find the ERA-I mean swe to be 20 cm higher than mean *in situ* swe in McMurdo Sound.
509 In area 1 ERA-I swe is an order of magnitude higher than *in situ* swe, while in areas 2 and 3 it
510 is over double the value. These create very high, unrealistic snow depths which causes a large
511 range in CS-2 derived thickness using Eqs. 1-3. This is a very poor result and the product is
512 inadequate to infer sea ice thickness when combined with altimetry data. Of further interest is
513 that the clear longitudinal gradient in snow depth as indicated by SnowModel and measured *in*
514 *situ* (November only) is not produced by ERA-I, swe values are lower in the central fast ice
515 area and higher in the western and eastern areas. The performance of ECMWF reanalysis
516 products over the satellite period has been reported as good when compared to Antarctic coastal
517 stations (Bromwich and Fogt, 2004), but there is limited data available to assess the accuracy
518 of these data over Antarctic sea ice. ERA-I ranked best among five assessed models for its
519 depiction of interannual variability and overall change in precipitation, evaporation and total
520 precipitable water over the Southern Ocean (Nicolas and Bromwich, 2011). Maksym & Markus
521 (2008) used ERA-40 reanalysis for a snow assessment of the Antarctic sea ice pack but had
522 difficulties in evaluating its accuracy. A first step to improve reanalysis results will be to
523 incorporate snow redistribution (including snow loss to leads) and parameterisations for this
524 could be built from wind vectors provided by the same reanalysis data.

525 In general, when compared to SnowModel, AMSR-E underestimates snow depth in areas 2 and
526 3 (eastern Sound) and overestimates snow depth in area 1 (western Sound). The snow
527 distribution gradient from east to west is reversed in the AMSR-E dataset. Worby et al. (2008b)
528 report that AMSR-E snow depths were significantly lower than *in situ* measurements on sea
529 ice in the East Antarctic and that sea ice roughness is a major source of error using passive
530 microwave retrieval techniques. However, they also conclude that when compared to basin-
531 wide observations from ASPECT large differences of up to + 20 cm in the Weddell Sea and +
532 5-10 cm in the Ross Sea were noted in the AMSR-E snow depths. It is postulated that *in situ*
533 observations underestimated true mean snow thickness as surveys were limited to level ice
534 areas typically presenting thinner snow covers. More work is required to validate passive
535 microwave snow depth estimates over Antarctic sea ice. No detailed sea ice surface condition

536 survey was completed for this investigation, however from visual observations sea ice had
537 clearly been subjected to dynamics in the west, whereas ice was very level in the east. It is
538 possible that snow depth was underrepresented here by *in situ* measurements and that rougher
539 sea ice in the west affected the AMSR-E retrieval algorithm. Because of the failure of the
540 instrument, we are unable to compare AMSR-E snow depth directly to *in situ* measurements.

541 CS-2 has difficulty estimating freeboard over thin ice areas (Price et al., 2015, Ricker et al.,
542 2014, Wingham et al., 2006). Here, at the beginning of the growth season CS-2 generally
543 overestimates sea ice thickness with mean April values inferred using snow data from
544 SnowModel and ERA-I of around 1 m (with the exception of AMSR-E assuming the air-snow
545 interface is measured $T_i = 0.66$ m). Other investigations indicate that sea ice thickness in
546 McMurdo Sound in April is between 0.5-0.8 m (Frazer et al., 2018, Gough et al., 2012, Purdie
547 et al., 2006) . This represents a large obstacle to overcome for the application of CS-2 in the
548 Southern Ocean as the mean thickness of Antarctic sea ice is only 0.87 m as reported from
549 ship-based observations (Worby et al., 2008a). This supports the need for multisensor analysis,
550 perhaps using methods already employed in the Arctic (Ricker et al., 2017, Kaleschke et al.,
551 2012, Kwok et al., 1995). As discussed in section 2.4 assumptions must be made about what
552 surface the freeboard measurement represents. In general, using the two modelled snow
553 products (because trends from AMSR-E are incomplete), the thicknesses derived assuming the
554 air-snow interface is freeboard are too thin and those assuming the snow-ice interface is
555 freeboard are too thick, a simple consequence of the density dependent hydrostatic equilibrium
556 assumption. By using the interpolated *in situ* measured snow depth as the snow thickness input
557 to the thickness calculation, the error is minimised. With this, we find CS-2 thickness to
558 correlate best with *in situ* thickness if Pd values are between 0.05-0.10 m. This is supported by
559 other work in the study area (Price et al., 2015) who estimated the ESA elevation to be between
560 the air-snow and snow-ice interfaces when sea surface height error was ruled out via a manual
561 sea surface classification. Also recent work in the Arctic suggests that the height that represents
562 radar freeboard provided by the ESA Level 2 product is closer to the air-snow interface than
563 the snow-ice interface (King et al., 2018).

564 Having confidence in the results assumes that the sea surface height has been accurately
565 identified for each CS-2 track. Freeboard errors from automated sea surface height
566 identification were in the order of 0.05 m when compared to supervised procedures in the study
567 area (Price et al., 2015). To eliminate this uncertainty throughout the study period the sea
568 surface would need to be manually identified for each individual CS-2 track. This is not
569 practical for basin-scale assessments and confidence needs to be built in the sea surface height
570 identification algorithm. The modification of the sea surface height will apply a systematic
571 increase or decrease in freeboard making each thickness from each assumption thicker or
572 thinner. The freeboard measurements exhibit an unexpected decrease in October and
573 November and it is impossible to discern whether this is forced by a sea surface height that is
574 too high, or a change in the sea ice surface conditions that causes a decrease in the freeboard
575 measurement, an additional uncertainty. More detailed *in situ* investigations, with surface
576 roughness and snow characteristic statistics at the scale of the altimeter footprint are required
577 before a seasonally varying Pd can be applied with any confidence. As this analysis was
578 focused on the combination of independent snow products and CS-2 altimeter data, the range
579 in sea ice density has not been taken into account. We have confidence in the middle ground ρ_i

580 value used from previous work in McMurdo Sound (Price et al., 2014) but this is another source
581 of uncertainty for regional and basin-scale assessments.

582 **7 Conclusions**

583 This work has evaluated the ability of three independent techniques to provide snow depth on
584 fast ice in the coastal Antarctic. SnowModel accurately captures the *in situ* measured snow
585 distribution in November 2011 and produces a swe mean value that is 0.02 m above the mean
586 of *in situ* validation, but when sea ice is segmented by fastening date large deviations of up to
587 5 cm are present in the east where the model has overestimated snow depth. This accurately
588 captures the mechanism of snowfall and transport driven by the topography of Ross Island, but
589 the rates are higher than in reality. ERA-I swe is 20 cm higher than *in situ* measurements and
590 the gradient of the snow distribution produced by the analysis does not match that measured *in*
591 *situ*. A positive bias in accumulation should be expected from ERA-I as no snow redistribution
592 mechanism is included. Any future work making use of precipitation reanalysis over Antarctic
593 sea ice must include snow redistribution by wind, shown here by SnowModel to improve
594 results. AMSR-E snow depth information suffers from problems already documented in the
595 literature, and we find that its performance may have again been influenced by rough sea ice.
596 The snow distribution produced by AMSR-E was opposite to that provided by SnowModel and
597 measured *in situ* at the end of the growth season. We were unable to validate the instrument
598 due to its failure two months before the *in situ* data was collected. The uncertainty in the snow
599 depth estimates manifest themselves in the sea ice thickness estimates from CS-2. The range
600 in sea ice thickness uncertainty from the assumption that the snow surface or ice surface
601 represents freeboard, as means of the entire growth season are 1.08 m, 4.94 m and 1.03 m for
602 SnowModel, ERA-Interim and AMSR-E respectively. Using interpolated *in situ* snow
603 information, we find CS-2 freeboard measurements provided by the ESA retracker agree best
604 with *in situ* measured thickness if a dominant scattering horizon 0.07 m beneath the air-snow
605 interface is assumed, in agreement with recent literature. It is impossible to confidentially
606 constrain this number without reducing uncertainty in the established sea surface height from
607 which the freeboard is estimated. This work demonstrates the need to reduce the uncertainty
608 associated with the ambiguity of the altimeter radar freeboard measurement over Antarctic sea
609 ice. Sea ice in McMurdo Sound is atypical of Antarctic pack ice, so improved understanding
610 of the CS-2 freeboard measurement over varying snow and sea ice conditions in open water
611 areas will be critical to accurately provide sea ice thickness estimates for the Southern Ocean.

612 Here, we show that modelled snow information has the potential to produce a time series of
613 snow depth on Antarctic sea ice. However, major developments in modelling capability are
614 required before their snow products can provide useful information for use in combination with
615 altimetry data to provide Antarctic sea ice thickness. With improvements to redistribution
616 mechanisms and adequate representation of the effect of topographic features, atmospheric
617 models could be used as an alternative to contemporary passive microwave algorithms. Future
618 work should begin to assess the usefulness of SnowModel products over the larger pack ice
619 areas, and critically develop a method to (1) incorporate sea ice drift through the atmospheric
620 model domains, and (2) account for snow loss to leads. If these two influences can be
621 adequately incorporated, SnowModel could provide a valuable resource for snow and sea ice
622 thickness investigations over the wider Antarctic sea ice area, especially where snow depth is
623 high and passive microwave techniques are non-informative.

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