Converting Snow Depth to Snow Water Equivalent Using 1 **Climatological Variables** 2

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19 Abstract. We present a simple method that allows snow depth measurements to be converted to snow water

20 equivalent (SWE) estimates. These estimates are useful to individuals interested in water resources, ecological

21 function, and avalanche forecasting. They can also be assimilated into models to help improve predictions of total

22 water volumes over large regions. The conversion of depth to SWE is particularly valuable since snow depth

23 measurements are far more numerous than costlier and more complex SWE measurements. Our model regresses

24 SWE against snow depth and climatological (30-year normal) values for mean annual precipitation (MAP) and mean

25 February temperature ($\bar{T}_{F_{mean}}$), producing a power-law relationship. Relying on climatological normals rather than

26 weather data for a given year allows our model to be applied at measurement sites lacking a weather station.

27 Separate equations are obtained for the accumulation and the ablation phases of the snowpack, which introduces

28 'day of water year' (DOY) as an additional variable. The model is validated against a large database of snow pillow

29 measurements and yields a bias in SWE of less than 0.5 mm and a root-mean-squared-error (RMSE) in SWE of

30 approximately 65 mm. When the errors are investigated on a station-by-station basis, the average RMSE is about 5%

31 of the MAP at each station. The model is additionally validated against a completely independent set of data from

32 the northeast United States. Finally, the results are compared with other models for bulk density that have varying

33 degrees of complexity and that were built in multiple geographic regions. The results show that the model described

34 in this paper has the best performance for the validation data set.

35 1 Introduction

36 In many parts of the world, snow plays a leading-order role in the hydrological cycle (USACE, 1956; Mote et al.,

- 37 2018). Accurate information about the spatial and temporal distribution of snow water equivalent (SWE) is useful to
- 38 many stakeholders (water resource planners, avalanche forecasters, aquatic ecologists, etc.), but can be time
- 39 consuming and expensive to obtain.
- 40

41 Snow pillows (Beaumont, 1965) are a well-established tool for measuring SWE at fixed locations. Figure 1 provides 42 a conceptual sketch of the variation of SWE with time over a typical water year. A comparatively long accumulation 43 phase is followed by a short ablation phase. While simple in operation, snow pillows are relatively large in size and 44 they need to be installed prior to the onset of the season's snowfall. This limits their ability to be rapidly or 45 opportunistically deployed. Additionally, snow pillow installations tend to require vehicular access, limiting their 46 locations to relatively simple topography. Finally, snow pillow sites are not representative of the lowest or highest 47 elevation bands within mountainous regions (Molotch and Bales, 2005). In the western United States (USA), the 48 Natural Resources Conservation Service (NRCS) operates a large network of Snow Telemetry (SNOTEL) sites, 49 featuring snow pillows. The NRCS also operates the smaller Soil Climate Analysis Network (SCAN) which 50 provides the only, and very limited, snow pillow SWE measurements in the eastern USA.

51

52 SWE can also be measured manually, using a snow coring device that measures the weight of a known volume of 53 snow to determine snow density (Church, 1933). These measurements are often one-off measurements, or in the 54 case of 'snow courses' they are repeated weekly or monthly at a given location. The simplicity and portability of 55 coring devices expand the range over which measurements can be collected, but it can be challenging to apply these 56 methods to deep snowpacks due to the length of standard coring devices. Note that there are numerous different 57 styles of coring devices, including the Adirondack sampler and the Mt. Rose / Federal sampler (Church and Marr, 58 1937).

59

60 There are a number of issues that affect the accuracy of both snow pillow and snow coring measurements. With

61 coring measurements, if the coring device is not carefully extracted, a portion of the core may fall out of the device.

62 Or, snow may become compressed in the coring device during insertion. These effects have led to varying

63 conclusions, with some studies (e.g., Sturm et al., 2010) showing a low SWE bias and other studies (e.g., Goodison,

64 1978) showing a high SWE bias. As noted by Johnson et al. (2015) a good rule of thumb is that coring devices are

65 accurate to around \pm 10%. Also, studies comparing different styles of snow samplers report statistically different

- 66 results, suggesting that SWE measurements are sensitive to the design of the specific coring device, such as the
- 67 presence of holes or slots, the device material, etc. (Beaumont and Work, 1963; Dixon and Boon, 2012). With snow
- 68 pillows, some studies (e.g., Goodison et al., 1981) note that ice bridging can lead to low biases in measured SWE,
- 69 with the snow surrounding the pillow partly supporting the snow over the pillow. Other studies (Johnson and Marks,
- 70 2004; Dressler et al., 2006; Johnson et al., 2015) note a more complex situation with SWE under-reported at times,

but over-reported at other times. Note that when snow pillow data are evaluated, they are most commonly compared
 to coring measurements at the same location.

73

74 All methods of measuring SWE are challenged by the fact that SWE is a depth-integrated property of a snowpack. 75 This is why the snowpack must be weighed, in the case of a snow pillow, or a core must be extracted from the 76 surface to the ground. This measurement complexity makes it difficult to obtain SWE information with the spatial 77 and temporal resolution desired for watershed-scale studies. Other snowpack properties, such as the depth h, are 78 much easier to measure. For example, using a graduated device such as a meterstick or an avalanche probe to 79 measure the depth takes only seconds. Automating depth measurements at a fixed location can easily be done using 80 low-cost ultrasonic devices (Goodison et al., 1984; Ryan et al., 2008). High-spatial-resolution measurements of 81 snowpack depth are commonly made with Light Detection and Ranging (LIDAR). One example of this is the 82 Airborne Snow Observatory program (ASO; Painter et al., 2016). The comparatively high expense of airborne 83 LIDAR surveys typical limits measurements geographically (to a few basins) and temporally (weekly to monthly

- 84 interval).
- 85

Given the relative ease in obtaining depth measurements, it is common to use *h* as a proxy for SWE. Figure 1 shows a conceptual sketch of the variation of SWE with *h* over a typical water year. Noting the arrows on the curve, we see that SWE is multi-valued for each *h*. This is due to the fact that the snowpack increases in density throughout the water year, producing a hysteresis loop in the curve. A large body of literature exists on the topic of how to convert *h* to SWE. It is beyond the scope of this paper to provide a full review of these 'bulk density equations,' where the density is given by $\rho_{\rm b} = SWE/h$. Instead, we refer readers to the useful comparative review by Avanzi et al. (2015).

- 92 Here, we prefer to discuss a limited number of previous studies that illustrate the spectrum of methodologies and
- 72 There, we preter to discuss a minicul number of previous studies that mustrate the spectrum of methodologies and
- $93 \qquad \text{complexities that can be used to determine } \rho_b \text{ or SWE}.$
- 94
- 95 Many studies express ρ_b as an increasing function (often linear) of *h*. In some cases (e.g., Lundberg et al., 2006) a
- 96 second equation is added where ρ_b attains a constant value when a threshold *h* is exceeded. A single linear equation
- 97 captures the process of densification of the snowpack during the accumulation phase, but performs poorly during the
- 98 ablation phase, where depths are decreasing but densities continue to increase or approach a constant value.
- 99 Other approaches choose to parameterize $\rho_{\rm b}$ in terms of time, rather than *h*. Pistocchi (2016) provides a single
- 100 equation while Mizukami and Perica (2008) provide two sets of equations, one set each for early and late season.
- 101 Each set contains four equations, each of which is applicable to a particular 'cluster' of stations. This clustering was
- 102 driven by observed densification characteristics and the resulting clusters are relatively spatially discontinuous.
- 103 Jonas et al. (2009) take the idea of region- (or cluster-) specific equations and extend it further to provide
- 104 coefficients that depend on time and elevation as well. They use a simple linear equation for $\rho_{\rm b}$ in terms of *h* and the
- 105 slope and intercept of the equation are given as monthly values, with three elevation bins for each month (36 pairs of
- 106 coefficients). There is an additional contribution to the intercept (or 'offset') which is region-specific (one of 7
- 107 regions).

109 These classifications, whether based on region, elevation, or season, are valuable since they acknowledge that all

110 snow is not equal. McKay and Findlay (1971) discuss the controls that climate and vegetation exert on snow density.

- and Sturm et al. (2010) address this directly by developing a snow density equation where the coefficients depend
- 112 upon the 'snow class' (5 classes). Sturm et al. (1995) explain the decision tree, based on temperature, precipitation,
- 113 and wind speed, that leads to the classification. The temperature metric is the 'cooling degree month' calculated
- 114 during winter months only. Similarly, only precipitation falling during winter months was used in the classification.
- 115 Finally, given the challenges in obtaining high quality, high-spatial-resolution wind information, vegetation
- 116 classification was used as a proxy. Using climatological values (rather than values for a given year), Sturm et al.
- 117 (1995) were able to develop a global map of snow classification.
- 118

119 There are many other formulations for snow density that increase in complexity and data requirements. Meloysund 120 et al. (2007) express $\rho_{\rm b}$ in terms of sub-daily measurements of relative humidity, wind characteristics, air pressure, 121 and rainfall, as well as h and estimates of solar exposure ('sun hours'). McCreight and Small (2014) use daily snow 122 depth measurements to develop their regression equation. They demonstrate improved performance over both Sturm 123 et al. (2010) and Jonas et al. (2009). However, a key difference between the McCreight and Small (2014) model and 124 the others listed above is that the former cannot be applied to a single snow depth measurement. Instead, it requires a 125 continuous time series of depth measurements at a fixed location. Further increases in complexity are found in 126 energy-balance snowpack models (SnowModel, Liston and Elder, 2006; VIC, Liang et al., 1994, DHSVM, 127 Wigmosta et al., 1994, others), many of which use multi-layer models to capture the vertical structure of the 128 snowpack. While the particular details vary, these models generally require high temporal-resolution time series of 129 many meteorological variables as input. 130 131 Despite the development of multi-layer energy-balance snow models, there is still a demonstrated need for bulk 132 density formulations and for vertically integrated data products like SWE. Pagano et al. (2009) review the 133 advantages and disadvantages of energy-balance models and statistical models and describe how the NRCS uses 134 SWE (from SNOTEL stations) and accumulated precipitation in their statistical models to make daily water supply

- 135 forecasts. If SWE information is desired at a location that does not have a SNOTEL station, and is not part of a
- 136 modeling effort, then bulk density equations and depth measurements are an excellent choice.
- 137

138 The present paper seeks to generalize the ideas of Mizukami and Perica (2008), Jonas et al. (2009), and Sturm et al.,

139 (2010). Specifically, our goal is to regress physical and environmental variables directly into the equations. In this

140 way, environmental variability is handled in a continuous fashion rather than in a discrete way (model coefficients

based on classes). The main motivation for this comes from evidence (e.g., Fig. 3 of Alford, 1967) that density can

142 vary significantly over short distances on a given day. Bulk density equations that rely solely on time completely

- miss this variability and equations that have coarse (model coefficients varying over either vertical bins or horizontal
- 144 grids) spatial resolution may not fully capture it either.

146	Our approach is most similar to Mizukami and Perica (2008), Jonas et al. (2009), and Sturm et al., (2010) in that a
147	minimum of information is needed for the calculations; we intentionally avoid approaches like Meloysund et al.
148	(2007) and McCreight and Small (2014). This is because our interests are in converting h measurements to SWE
149	estimates in areas lacking weather instrumentation. The following sections introduce the numerous data sets that
150	were used in this study, outline the regression model adopted, and assess the performance of the model.
151	2 Methods
152	
153	2.1 Data
154	
155	2.1.1 Snow Depth and Snow Water Equivalent
156	In this section, we list sources of 1970-present snow data utilized for this study (Table 1). With regards to snow
157	coring devices, we refer to them using the terminology preferred in the references describing the datasets.
158 159	2.1.1.1 USA NRCS Snow Telemetry and Soil Climate Analysis Networks
160	SNOTEL (Serreze et al., 1999; Dressler et al., 2006) and SCAN (Schaefer et al. 2007) stations in the contiguous
161	United States (CONUS) and Alaska typically record sub-daily observations of <i>h</i> , SWE, and a variety of weather
162	variables (Figure 2a-b). The periods of record are variable, but the vast majority of stations have a period of record
163	in excess of 30 years. For this study, data from all SNOTEL sites in CONUS and Alaska and northeast USA SCAN
164	sites were obtained with the exception of sites whose period of record data were unavailable online. Only stations
165	with both SWE and <i>h</i> data were retained.
166	
167	2.1.1.2 Canada (British Columbia) Snow Survey Data
168	Goodison et al. (1987) note that Canada has no national digital archive of snow observations from the many
169	independent agencies that collect snow data and that snow data are instead managed provincially. The quantity and
170	availability of the data vary considerably among the provinces. The Water Management Branch of the British
171	Columbia (BC) Ministry of the Environment manages a comparatively dense network of Automated Snow Weather
172	Stations (ASWS) that measure SWE, <i>h</i> , accumulated precipitation, and other weather variables (Figure 2a). For this
173	study, data from all British Columbia ASWS sites were initially obtained. As with the NRCS stations, only ASWS
174	stations with both SWE and <i>h</i> data were retained.
175	
176	2.1.1.3 Northeast USA Data
177	In addition to the data from the SCAN sites, snow data for this project from the northeast US come from two
178	networks and three research sites (Figure 2b). The Maine Cooperative Snow Survey (MCSS, 2018) network
179	includes h and SWE data collected by the Maine Geological Survey, the United States Geological Survey, and
180	numerous private contributors and contractors. MCSS snow data are collected using the Standard Federal or

181 Adirondack snow sampling tubes typically on a weekly to bi-weekly schedule throughout the winter and spring,

- 182 1951-present. The New York Snow Survey network data were obtained from the National Oceanic and Atmospheric
- 183 Administration's Northeast Regional Climate Center at Cornell University (NYSS, 2018). Similar to the MCSS,
- 184 NYSS data are collected using Standard Federal or Adirondack snow sampling tubes on weekly to bi-weekly
- 185 schedules, 1938-present.
- 186
- 187 The Sleepers River, Vermont Research Watershed in Danville, Vermont (Shanley and Chalmers, 1999) is a USGS
- 188 site that includes 15 stations with long-term weekly records of *h* and SWE collected using Adirondack snow tubes.
- 189 Most of the periods of record are 1981-present, with a few stations going back to the 1960s. The sites include
- 190 topographically flat openings in conifer stands, old fields with shrub and grass, a hayfield, a pasture, and openings in
- 191 mixed softwood-hardwood forests. The Hubbard Brook Experiment Forest (Campbell et al., 2010) has collected
- 192 weekly snow observations at the Station 2 rain gauge site, 1959-present. Measurement protocol collects ten samples
- 193 2 m apart along a 20 m transect in a hardwood forest opening about ¹/₄ hectare in size. At each sample location along
- 194 the transect, *h* and SWE are measured using a Mt. Rose snow tube and the ten samples are averaged for each
- 195 transect. Finally, the Thompson Farm Research site includes a mixed hardwood forest site and an open pasture site
- 196 (Burakowski et al. 2013; Burakowski et al. 2015). Daily (from 2011-2018), at each site, a snow core is extracted
- 197 with an aluminum tube and weighed (tube + snow) using a digital hanging scale. The net weight of the snow is
- 198 combined with the depth and the tube diameter to determine ρ_b , similar to a Federal or Adirondack sampler.
- 199

200 2.1.1.4 Chugach Mountains (Alaska) Data

In the spring of 2018, we conducted three weeks of fieldwork in the Chugach mountains in coastal Alaska, near the city of Valdez (Figure 2c-d). We measured *h* using an avalanche probe at 71 sites along elevational transects during March, April, and May. The elevational transects ranged between 250 and 1100 m (net change along transect) and were accessible by ski and snowshoe travel. At each site, we measured *h* in 8 locations within the surrounding 10 m^2 , resulting in a total of 550+ snow depth measurements. These 71 sites were scattered across 8 regions in order to

- 206 capture spatial gradients that exist in the Chugach mountains as the wetter, more-dense maritime snow near the coast
- 207 gradually changes to drier, less dense snow on the interior side.
- 208

209 2.1.1.5 Data Pre-Processing

- 210 Figure 3 demonstrates that it is not uncommon for automated snow depth measurements to become noisy or non-
- 211 physical, at times reporting large depths when there is no SWE reported. This is different from instances when
- 212 physically plausible, but very low densities might be reported; say in response to early season dry, light snowfalls. It
- 213 was therefore desirable to apply some objective, uniform procedure to each station's dataset in order to remove clear
- 214 outlier points, while minimizing the removal of valid data points. We recognize that there is no accepted
- 215 standardized method for cleaning bivariate SWE-*h* data sets. While Serreze et al. (1999) offer a procedure for
- 216 SNOTEL data in their appendix, it is relevant only for precipitation and SWE values, not h. Given the strong
- 217 correlation between *h* and SWE, we instead choose to use common outlier detection techniques for bivariate data.

- 218
- The Mahalanobis distance (MD; Maesschalck et al., 2000) quantifies how far a point lies from the mean of a bivariate distribution. The distances are in terms of the number of standard deviations along the respective principal component axes of the distribution. For highly correlated bivariate data, the MD can be qualitatively thought of as a measure of how far a given point deviates from an ellipse enclosing the bulk of the data. One problem is that the MD is based on the statistical properties of the bivariate data (mean, covariance) and these properties can be adversely affected by outlier values. Therefore, it has been suggested (e.g., Leys et al., 2018) that a 'robust' MD (RMD) be calculated. The RMD is essentially the MD calculated based on statistical properties of the distribution unaffected

by the outliers. This can be done using the Minimum Covariance Determinant (MCD) method as first introduced by

- 227 Rousseeuw (1984).
- 228

226

229 Once RMDs have been calculated for a bivariate data set, there is the question of how large an RMD must be in

230 order for the data point to be considered an outlier. For bivariate normal data, the distribution of the square of the

231 RMD is χ^2 (Gnanadesikan and Kettenring, 1972), with p (the dimension of the dataset) degrees of freedom. So, a

rule for identifying outliers could be implemented by selecting as a threshold some arbitrary quantile (say 0.99) of

233 χ_p^2 . For the current study, a threshold quantile of 0.999 was determined to be an appropriate compromise in terms of

- removing obviously outlier points, yet retaining physically plausible results.
- 235

A scatter plot of SWE vs. *h* for the source SNOTEL dataset from CONUS and AK reveals many non-physical

points, mostly when a very large *h* is reported for a very low SWE (Figure 4a). Approximately 0.7% of the original

238 data points were removed in the pre-processing described above, creating a more physically plausible scatter plot

- 239 (Figure 4b). Note that the outlier detection process was applied to each station individually. The distribution of 'day
- of year' (DOY) values of removed data points was broad, with a mean of 160 and a standard deviation of 65. Note
- that the *DOY* origin is 1 October. The same procedure was applied to the BC and northeast USA data sets as well
- 242 (not shown). Table 1 summarizes useful information about the numerous data sets described above and indicates the
- final number of data points retained for each. We acknowledge that our process inevitably removes some valid data
- points, but, as a small percentage of an already 0.7% removal rate, we judged this to be acceptable.
- 245

Table 1: Summary of information about the datasets used in this study. Datasets in bold font were used to construct the regression model. The numbers of stations and data points reflect the post-processed data.

Dataset Name	Dataset Type	Number of retained stations	Number and percentage of retained data points	Precision (h / SWE)
NRCS SNOTEL	Snow pillow (SWE), ultrasonic (<i>h</i>)	791	1,900,000 (99.3%)	(0.5 in / 0.1 in)
NRCS SCAN	Snow pillow (SWE), ultrasonic (<i>h</i>)	5	7094 (97.8%)	(0.5 in / 0.1 in)

British Columbia	Snow pillow (SWE),	31	61,000	(1 cm / 1 mm)
Snow Survey	ultrasonic (<i>h</i>)		(97.5%)	
Maine Geological	Adirondack or Federal	431	28,000	(0.5 in / 0.5 in)
Survey	sampler (SWE and <i>h</i>)		(99.3%)	
Hubbard Brook	Mount Rose sampler (SWE	1	704	(0.1 in / 0.1 in)
(Station 2), NH	and <i>h</i>)		(99.4%)	
Thompson Farm, NH	Snow core (SWE and <i>h</i>)	2	988	0.5 in / 0.5 in)
			(99.4%)	
Sleepers River, VT	Adirondack sampler	14	7214	(0.5 in / 0.5 in)
	_		(99.4%)	
New York Snow	Adirondack or Federal	523	44,614	(0.5 in / 0.5 in)
Survey	sampler (SWE and <i>h</i>)		(98.2%)	
Chugach Mountains,	Avalanche probe (<i>h</i>)	71	71	(1 cm)
AK			(100%)	

249 2.1.2 Climatological Variables

250 30-year climate normals at 800 m (nominal) resolution for CONUS and for the period 1981-2010 were obtained

from the PRISM website (Daly et al., 1994). PRISM normals for British Columbia (BC), Canada, were obtained

from the ClimateBC project (Wang et al., 2012), also for the 1981-2010 period. Finally, PRISM normals for Alaska

253 (AK) were obtained from the Integrated Resource Management Applications (IRMA) Portal run by the National

Park Service. The AK normals are for the 1971-2000 period and have a slightly coarser resolution (approximately

255 1.5 km). Figure 5 shows gridded maps of mean annual precipitation (*MAP*) and mean February Temperature (\bar{T}_F)

256 for these three climate products, plotted together. Other temperature products (max and min temperatures; other

257 months) were obtained as well, but are not shown.

258

259 2.2 Regression Model

In order to demonstrate the varying degrees of influence of explanatory variables, several regression models were constructed. In each case, the model was built by randomly selecting 50% of the paired SWE-*h* measurements from the aggregated CONUS, AK, and BC snow pillow datasets. The model was then validated by applying it to the remaining 50% of the dataset and comparing the modeled SWE to the observed SWE for those points. Additional validation was done with the northeast USA datasets (SCAN snow pillow and various snow coring datasets) which were completely left out of the model building process.

266

267 **2.2.1 One-Equation Model**

The simplest equation, and one that is supported by the strong correlation seen in the portions of Figure 3 when SWE is present, is one that expresses SWE as a function of *h*. A linear model is attractive in terms of simplicity, but this limits the snowpack to a constant density. An alternative is to express SWE as a power law, i.e.,

271

272 (1) $SWE = Ah^{a_1}$.

273

274 This equation can be log-transformed into

277

276 (2)
$$log_{10}(SWE) = log_{10}(A) + a_1 log_{10}(h)$$

which immediately allows for simple linear regression methods to be applied. With both *h* and SWE expressed in units of mm, the obtained coefficients are $(A, a_1) = (0.146, 1.102)$. Information on the performance of the model will be deferred until the results section.

281

282 2.2.2 Two-Equation Model

Recall from Figures 1 and 4 that there is a hysteresis loop in the SWE-*h* relationship. During the accumulation phase, snow densities are relatively low. During the ablation phase, the densities are relatively high. So, the same snowpack depth is associated with two different SWEs, depending upon the time of year. The regression equation given above does not resolve this difference. This can be addressed by developing two separate regression equations, one for the accumulation (*acc*) and one for the ablation (*abl*) phase. This approach takes the form

$$289 \qquad (3) \qquad SWE_{acc} = Ah^{a_1}; \quad DOY <$$

290

288

291 (4)
$$SWE_{abl} = Bh^{b_1}; DOY \ge DOY^*$$

292

where DOY is the number of days from the start of the water-year (October 1 is the origin), and DOY^* is the critical or dividing day-of-water-year separating the two phases. Put another way, DOY^* is the day of peak SWE.

295 Interannual variability results in a range of *DOY*^{*} for a given site. Additionally, some sites, particularly the SCAN

sites in the northeast USA, demonstrate multi-peak SWE profiles in some years. To reduce model complexity,

however, we investigated the use of a simple climatological (long term average) value of *DOY*^{*}. For each snow

pillow station, the average *DOY*^{*} was computed over the period of record of that station. Analysis of all of the

stations revealed that this average *DOY*^{*} was relatively well correlated with the climatological mean April maximum

300 temperature (the average of the daily maximums recorded in April; $R^2 = 0.7$). However, subsequent regression

analysis demonstrated that the SWE estimates were relatively insensitive to *DOY*^{*} and the best results were actually

302 obtained when DOY^* was uniformly set to 180 for all stations. Again, with both SWE and h in units of mm, the

303 regression coefficients turn out to be
$$(A, a_1) = (0.150, 1.082)$$
 and $(B, b_1) = (0.239, 1.069)$.

 DOY^*

304

305 As these two equations are discontinuous at DOY^* , they are blended smoothly together to produce the final two-306 equation model

307

308 (5)
$$SWE = SWE_{acc} \frac{1}{2} (1 - tanh[0.01\{DOY - DOY^*\}]) +$$

309
$$SWE_{abl} \frac{1}{2} (1 + tanh[0.01\{DOY - DOY^*\}])$$

311 The coefficient 0.01 in the tanh function controls the width of the blending window and was selected to minimize

312 313

314 2.2.3 Two-Equation Model with Climate Parameters

the root mean square error of the model estimates.

315 A final model was constructed by incorporating climatological variables. Again, the emphasis is this study is on 316 methods that can be implemented at locations lacking the time series of weather variables that might be available at 317 a weather or SNOTEL station. Climatological normals are unable to account for interannual variability, but they do 318 preserve the high spatial gradients in climate that can lead to spatial gradients in snowpack characteristics. Stepwise 319 linear regression was used to determine which variables to include in the regression. The initial list of potential 320 variables included was

321

322 (6)
$$SWE = f(h, z, MAP, \overline{T}_{J_{min}}, \overline{T}_{J_{mean}}, \overline{T}_{F_{min}}, \overline{T}_{F_{mean}}, \overline{T}_{F_{max}}, \overline{T}_{M_{min}}, \overline{T}_{M_{mean}}, \overline{T}_{M_{max}}, \overline{T}_{A_{min}}, \overline{T}_{A_{mean}}, \overline{T}_{A_{max}})$$
323

324 where z is the elevation (m), MAP is the mean annual precipitation (mm) and the temperatures (°C) represent the 325 mean of minimum, mean, and maximum daily values for the months January through April (J, F, M, A). For 326 example, $\bar{T}_{I_{min}}$ is the climatological normal of the average of the daily minimum temperatures observed in January. 327 In the stepwise regression, explanatory variables were accepted if they improved the adjusted R^2 value by 0.001. 328 The result of the regression yielded

329

330 (7)
$$SWE_{acc} = Ah^{a_1}MAP^{a_2} (\bar{T}_{F_{mean}} + 30)^{a_3}; DOY < DOY$$

331

333

332 (8)
$$SWE_{abl} = Bh^{b_1}MAP^{b_2}(\bar{T}_{F_{mean}} + 30)^{b_3}; DOY \ge DOY$$

334 or, in log-transformed format,

335

336

$$\begin{array}{ll} 336 \quad (9) \qquad log_{10}(SWE_{acc}) = log_{10}(A) + a_1 log_{10}(h) + \\ 337 \qquad \qquad a_2 log_{10}(MAP) + a_3 log_{10}(\bar{T}_{F_{mean}} + 30); \quad DOY < DOY^* \end{array}$$

338

 $log_{10}(SWE_{abl}) = log_{10}(B) + b_1 log_{10}(h) + b_2 log_{10}(h) + b_1 log_{10}(h) + b_2 log_{1$ 339 (10)

340
$$b_2 log_{10}(MAP) + b_3 log_{10}(\bar{T}_{F_{mean}} + 30); \quad DOY \ge DOY^*$$

341

342 indicating that only snow depth, mean annual precipitation and mean February temperature were relevant. Manual 343 tests of model construction with other variables included confirmed that Eqns. (7-8) yielded the best results. In the 344 above equations, note that an offset is added to the temperature in order to avoid taking the log of a negative 345 number. These two SWE estimates for the individual (acc and abl) phases of the snowpack are then blended with 346 Eqn. (5) to produce a single equation for SWE spanning the entire water year. The obtained regression coefficients

- 347 were $(A, a_1, a_2, a_3) = (0.0128, 1.070, 0.132, 0.506)$ and $(B, b_1, b_2, b_3) = (0.0271, 1.038, 0.201, 0.310)$. The
- 348 physical interpretation of these coefficients is straightforward. If a_1 and b_1 were equal to unity, then the density,
- 349 given by (SWE/*h*), would be a constant at a given location. Since they are greater than unity, they capture the effect
- 350 that snow density increases as depth increases. Turning to the coefficients on the climate variables, both a_2 and b_2
- 351 are greater than zero. So, for two locations with equal depth, equal temperature characteristics, but different
- 352 precipitation characteristics, the regression model predicts that the wetter location (larger *MAP*) will have a greater
- density. Finally, regarding temperature, both a_3 and b_3 are greater than zero. Therefore, for two locations with equal
- depth, equal precipitation characteristics, but different temperature characteristics, the regression model predicts that
- 355 the warmer location (larger $\overline{T}_{F_{mean}}$) will have a greater density. These trends are similar in concept to Sturm et al.
- 356 (2010), whose snow classes (based on climate classes) indicate which snow will densify more rapidly.

357 3 Results

- 358 A comparison of the three regression models (one-equation model, Eq. (2); two-equation model, Eqs. (3-5); multi-
- 359 variable two-equation model, Eqs. (5, 7-8)) is provided in Figure 6. The left column shows scatter plots of modeled
- 360 SWE to observed SWE for the validation data set with the 1:1 line shown in black. The right column shows
- 361 histograms of the model residuals. The vertical lines in the right column show the mean error, or model bias.
- 362 Visually, it is clear that the one-equation model performs relatively poorly with a large negative bias. This large
- 363 negative bias is partially overcome by the two-equation model (middle row, Figure 6). The cloud of points is closer
- to the 1:1 line and the vertical black line indicating the mean error is closer to zero. In the final row of Figure 6, we
- 365 see that the multi-variable two-equation model yields the best result by far. The residuals are now evenly distributed
- 366 with a negligible bias. Several metrics of performance for the three models, including R^2 (Pearson coefficient), bias,
- 367 and root-mean-square-error (RMSE), are provided in Table 2. Figure 7 shows the distribution of model residuals for
- the multi-variable two-equation model as a function of DOY.
- 369
- Table 2: Summary of performance metrics for the three regression models presented in Section 2.2.

Model	R ²	Bias (mm)	RMSE (mm)
One-equation	0.946	-19.5	102
Two-equation	0.962	-5.1	81
Multi-variable two-equation	0.972	-0.5	67

371

372 It is useful to also consider the model errors in a non-dimensional way. Therefore, an RMSE was computed at each 373 station location and normalized by the mean annual maximum SWE (SWE_{max}) at that location. Figure 8 shows the 374 probability density function of these normalized errors. The average RMSE is approximately 11% of SWE_{max} , with 375 most falling into the range of 5-25%. The spatial distribution of these normalized errors is shown in Figure 9. For 376 the SNOTEL stations, it appears there is a slight regional trend, in terms of stations in continental climates (northern 377 Rockies) having smaller relative errors than stations in maritime climates (Cascades). The British Columbia stations

- 378 also show higher relative errors.
- 379

380 3.1 Results for Snow Classes

381 A key objective of this study is to regress climatological information in a continuous rather than a discrete way. The

382 work by Sturm et al. (2010) therefore provides a valuable point of comparison. In that study, the authors developed

383 the following equation for density ρ_b

384

385 (11)
$$\rho_b = (\rho_{max} - \rho_0) [1 - e^{(-k_1 h - k_2 DOY)}] + \rho_0$$

386

387 where ρ_0 is the initial density, ρ_{max} is the maximum or 'final' density (end of water year), k_1 and k_2 are coefficients,

and DOY in this case begins on January 1. This means that their DOY for October 1 is -92. The coefficients vary
 with snow class and the values determined by Sturm et al. (2010) are shown in Table 3.

390

Snow Class	$ ho_{max}$	ρ ₀	k ₁	k ₂
Alpine	0.5975	0.2237	0.0012	0.0038
Maritime	0.5979	0.2578	0.0010	0.0038
Prairie	0.5941	0.2332	0.0016	0.0031
Tundra	0.3630	0.2425	0.0029	0.0049
Taiga	0.2170	0.2170	0.0000	0.0000

391 Table 3: Model parameters by snow class for Sturm et al. (2010).

392

393 To make a comparison, the snow class for each SNOTEL and British Columbia snow survey (Rows 1 and 3 of Table

394 1) site was determined using a 1-km snow class grid (Sturm et al., 2010). The aggregated dataset from these stations

395 was made up of 27% Alpine, 14% Maritime, 10% Prairie, 11% Tundra, and 38% Taiga data points. Equation (11)

396 was then used to estimate snow density (and then SWE) for every point in the validation dataset described in Section

397 2.2. Figure 10 compares the SWE estimates from the Sturm model and from the present multi-variable, two-equation

398 model (Equations 5, 7-8). The upper left panel of Figure 10 shows all of the data, and the remaining panels show the

399 results for each snow class. In all cases, the current model provides better estimates. Plots of the residuals by snow

400 class are provided in Figure 11, giving an indication of the bias of each model for each snow class. Summaries of the

401 model performance, broken out by snow class, are given in Table 4.

402

Table 4: Comparison of model performance by Sturm et al. (2010) and the present study.

Model	Sturm et al. (2010)		Multi-variable two-equation model			
Snow Class	R ²	Bias (mm)	RMSE (mm)	R ²	Bias (mm)	RMSE (mm)
All Data	0.928	-29.2	111	0.972	-0.5	67
Alpine	0.973	10.1	55	0.971	-0.3	55
Maritime	0.968	-16.8	109	0.970	-4.5	105
Prairie	0.967	18.7	56	0.965	-0.2	51
Tundra	0.956	-10.5	82	0.969	-6.1	67
Taiga	0.943	-80.0	151	0.971	2.4	62

404

405 **3.2** Comparison to Pistocchi (2016)

406	In order to provide one additional comparison, the simple model of Pistocchi (2016) was also applied to the
407	validation dataset. His model calculates the bulk density as
408	
409	(12) $\rho_b = \rho_0 + K(DOY + 61),$
410	
411	where ρ_0 has a value of 200 kg m ⁻³ and K has a value of 1 kg m ⁻³ . The DOY for this model has its origin at
412	November 1. Application of this model to the validation dataset yields a bias of 55 mm and an RMSE of 94 mm.
413	These results are comparable to the Sturm et al. (2010) model, with a larger bias but smaller RMSE.
414	
415	3.3 Results for Northeast USA
416	The regression equations in this study were developed using a large collection of SNOTEL sites in CONUS, AK,
417	and BC. The snow pillow sites are limited to locations west of approximately W 105° (Figure 2a). By design, the
418	data sets from the northeastern USA (Section 2.1.1.3) were left as an entirely independent validation set. These
419	northeastern sites are geographically distant from the training data sets, are subject to a very different climate, and
420	are generally at much lower elevations than the western sites, providing an interesting opportunity to test how robust
421	the present model is.
422	
423	Figure 12 graphically summarizes the datasets and the performance of the multi-variable two-equation model of the
424	current study. The RMSE values are comparable to those found for the western stations, but, given the
425	comparatively thinner snowpacks in the northeast, represent a larger relative error (Table 5). The bias of the model
426	is consistently positive, in contrast to the western stations where the bias was negligible.
427	
428	Table 5: Performance metrics for the multi-variable two-equation model applied to various northeastern USA

429 datasets.

Dataset Name	R ²	Bias (mm)	RMSE (mm)
Maine Geological Survey, ME	0.91	8.9	33.3
Hubbard Brook (Station 2), NH	0.63	18.9	64.2
Thompson Farm, NH	0.85	7.1	21.6
NRCS SCAN	0.87	-1.8	38.7
Sleepers River, VT	0.93	14.0	29.7
New York Snow Survey	0.93	13.8	31.2

430

431 4 Discussion

432 The results presented in this study show that the regression equation described by equations (5, 7-8) is an

433 improvement (lower bias and RMSE) over other widely used bulk density equations. The key advantage is that the

434 present method regresses in relevant physical parameters directly, rather than using discrete bins (for snow class,

435 elevation, month of year, etc.), each with its own set of model coefficients. The comparison (Figs. 10-11; Table 4) to

436 the model of Sturm et al. (2010) reveals a peculiar behavior of that model for the Taiga snow class, with a large

437 negative bias in the Sturm estimates. Inspection of the coefficients provided for that class (Table 3) shows that the 438 model simply predicts that $\rho_b = \rho_{max} = 0.217$ for all conditions.

439

440 When our multi-variable two-equation model, developed solely from western North American data, is applied to 441 northeast USA locations, it produces SWE estimates with smaller RSME values and larger biases than the western 442 stations. When comparing the SWE-h curves of the SNOTEL data (Figure 4b) to those of the east coast data sets 443 (left column; Figure 12), it is clear that the northeast data generally have more scatter. This is confirmed by 444 computing the correlation coefficients between SWE and h for each dataset. It is unclear if this disparity in 445 correlation is related to measurement methodology or is instead a 'signal to noise' issue. Comparing Figures 4 and 446 12 shows the considerable difference in snowpack depth between the western and northeastern data sets. When the 447 western dataset is filtered to include only measurement pairs where h < 1.5 m, the correlation coefficient is reduced 448 to a value consistent with the northeast datasets. This suggests that the performance of the current (or other) 449 regression model is not as good at shallow snowpack depths. This is also suggested upon examination of the time series of observed $\rho_b = SWE/h$ for a given season at a snow pillow site. Very early in the season, when the depths 450 451 are small, the density curve has a lot of variability. Later in the season, when depths are greater, the density curve 452 becomes much smoother. Very late in the season, when depths are low again, the density curve becomes highly

- 453 variable again.
- 454

455 Measurement precision and accuracy affect the construction and use of a regression model. Upon inspection of the 456 snow pillow data, it was observed that the precision of the depth measurements was approximately 25 mm and that 457 of the SWE measurements was approximately 2.5 mm. To test the sensitivity of the model coefficients to the 458 measurement precision, the depth values in the training dataset were randomly perturbed by +/- 25 mm and the SWE 459 values were randomly perturbed by +/- 2.5 mm and the regression coefficients were recomputed. This process was 460 repeated numerous times and the mean values of the perturbed coefficients were obtained. These adjusted 461 coefficients were then used to recompute the SWE values for the validation data set and the bias and RMSE were 462 found to be -10.5 mm and 72.7 mm. This represents a roughly 10% increase in RMSE, but a considerable increase in 463 bias magnitude (see Table 4 for the original values). This sensitivity of the regression analysis to measurement 464 precision underscores the need to have high-precision measurements for the training data set. Regarding accuracy, 465 random and systematic errors in the paired SWE - h data used to construct the regression model will lead to 466 uncertainties in SWE values predicted by the model. As noted in the introduction, snow pillow errors in SWE 467 estimates do not follow a simple pattern. Additionally, they are complicated by the fact that the errors are often 468 computed by comparing snow pillow data to coring data, which itself is subject to error. Lacking quantitative 469 information on the distribution of snow pillow errors, we are unable to quantify the uncertainty in the SWE 470 estimates.

471

472 Another important consideration has to do with the uncertainty of depth measurements that the model is applied to.

473 For context, one application of this study is to crowd-sourced, opportunistic snow depth measurements from

474 programs like the Community Snow Observations (CSO; Hill et al., 2018) project. In the CSO program,

- 475 backcountry recreational users submit depth measurements, typically taken with an avalanche probe, using a
- smartphone in the field. The measurements are then converted to SWE estimates which are assimilated into
- 477 snowpack models. These depth measurements are 'any time, any place' in contrast to repeated measurements from
- 478 the same location, like snow pillows or snow courses. Most avalanche probes have cm-scale graduated markings, so
- 479 measurement precision is not a major issue. A larger problem is the considerable variability in snowpack depth that
- 480 can exist over short (meter scale) distances. The variability of the Chugach avalanche probe measurements was
- 481 assessed by taking the standard deviation of 8 *h* measurements per site. The average of this standard deviation over
- 482 the sites was 22 cm and the average coefficient of variation (standard deviation normalized by the mean) over the
- 483 sites was 15%. This variability is a function of the surface roughness of the underlying terrain, and also a function of
- 484 wind redistribution of snow. Propagating this uncertainty through the regression equations yields a slightly higher
- 485 (16%) uncertainty in the SWE estimates. CSO participants can do three things to ensure that their recorded depth
- 486 measurements are as representative as possible. First, avoid measurements in areas of significant wind scour or
- 487 deposition. Second, avoid measurements in terrain likely to have significant surface roughness (rocks, fallen logs,
- 488 etc.). Third, take several measurements and average them.
- 489
- 490 Expansion of CSO measurements in areas lacking SWE measurements can increase our understanding of the
- 491 extreme spatial variability in snow distribution and the inherent uncertainties associated with modeling SWE in
- 492 these regions. It could also prove useful for estimating watershed-scale SWE in regions like the northeastern USA,
- 493 which is currently limited to five automated SCAN sites with historical SWE measurements for only the past two
- 494 decades. Additionally, historical snow depth measurements are more widely available in the Global Historical
- 495 Climatology Network (GHCN-Daily; Menne et al. 2012), with several records extending back to the late 1800s.
- 496 While many of the GHCN stations are confined to lower elevations with shallower snow depths, the broader
- 497 network of quality-controlled snow depth data paired with daily GHCN temperature and precipitation measurements
- 498 could potentially be used to reconstruct SWE in the eastern US given additional model development and refinement.

499 5 Conclusions

- 500 We have developed a new, easy to use method for converting snow depth measurements to snow water equivalent
- 501 estimates. The key difference between our approach and previous approaches is that we directly regress in
- 502 climatological variables in a continuous fashion, rather than a discrete one. Given the abundance of freely available
- 503 climatological norms, a depth measurement tagged with coordinates (latitude and longitude) and a time stamp is
- 504 easily and immediately converted into SWE.
- 505
- 506 We developed this model with data from paired SWE-*h* measurements from the western United States and British
- 507 Columbia. The model was tested against entirely independent data (primarily snow course; some snow pillow) from
- 508 the northeastern United States and was found to perform well, albeit with larger biases and root-mean-squared-
- 509 errors. The model was tested against other well-known regression equations and was found to perform better.
- 510

- 511 This model is not a replacement for more sophisticated snow models that evolve the snowpack based on high
- 512 frequency (e.g., daily or sub-daily) weather data inputs. The intended purpose of this model is to constrain SWE
- 513 estimates in circumstances where snow depth is known, but weather variables are not, a common issue in sparsely
- 514 instrumented areas in North America.

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519 7 Data Access

- 520 Numerous online datasets were used for this project and were obtained from the following locations:
- 521 1. NRCS Snow Telemetry: <u>https://www.wcc.nrcs.usda.gov/snow/SNOTEL-wedata.html</u>
- 522 2. NRCS Soil Climate Analysis Network: <u>https://www.wcc.nrcs.usda.gov/scan/</u>
- 523 3. British Columbia Automated Snow Weather Stations:
- 524 <u>https://www2.gov.bc.ca/gov/content/environment/air-land-water/water/water-science-data/water-data-</u>
 525 tools/snow-survey-data/automated-snow-weather-station-data
- 4. Maine Cooperative Snow Survey: <u>https://mgs-maine.opendata.arcgis.com/datasets/maine-snow-survey-data</u>
- 5. New York Snow Survey: http://www.nrcc.cornell.edu/regional/snowsurvey/snowsurvey.html
- 528 6. Sleepers River Research Watershed. Snow data not available online; request data from contact at:
 529 <u>https://nh.water.usgs.gov/project/sleepers/index.htm</u>
- 530 7. Hubbard Brook Experimental Forest: <u>https://hubbardbrook.org/d/hubbard-brook-data-catalog</u>
- 531 8. CONUS PRISM Data: <u>http://www.prism.oregonstate.edu/</u>
- 9. British Columbia PRISM Data: <u>http://climatebcdata.climatewna.com/</u>
- 533 10. Alaska PRISM Data: <u>https://irma.nps.gov/Portal/</u>

- 535 A Matlab function for calculating SWE based on the results is this paper has been made publicly available at Github
- 536 (https://github.com/communitysnowobs/snowdensity).

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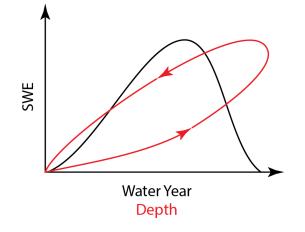
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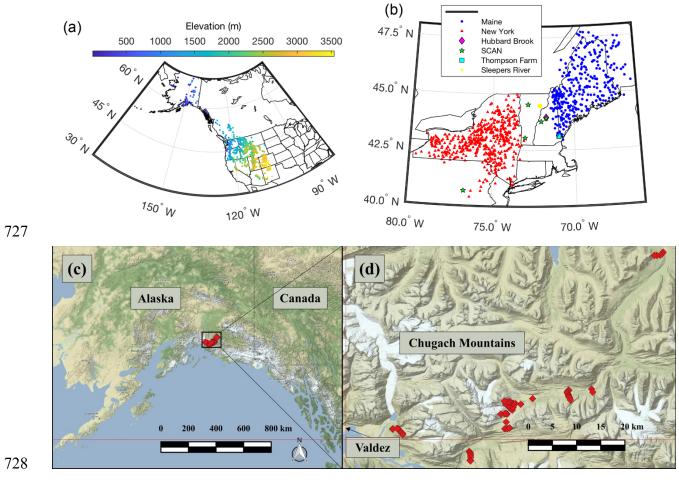
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- 719 Figure 1: Conceptual sketch of the evolution of snow water equivalent (SWE) over the course of a water year (black
- 720 line). Also shown is the evolution of SWE with snowpack depth over a water year (red line). Note the hysteresis
- 721 loop due to the densification of the snowpack.

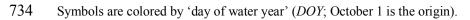


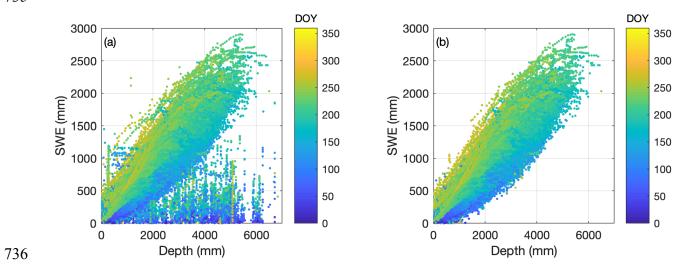
- Figure 2: Distribution of measurement locations used in this study. (a) Western USA and Canada station locations,
- with colors indicating station elevation in meters. (b) Northeast USA locations, with stations colored according to
 data source. (c, d) Measurement sites in the Chugach Mountains, southcentral Alaska.
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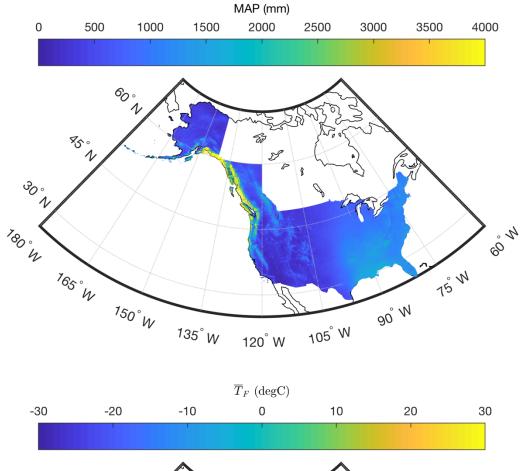
- 730 Figure 3: Sample time series of SWE and *h* from the Rex River (WA) SNOTEL station. Observations of *h* at times
 - Year
- 731 when SWE is zero are likely spurious.

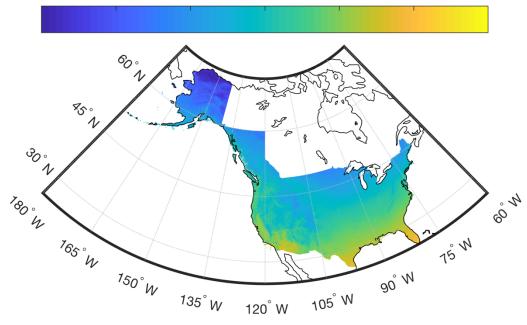
Figure 4: Scatter plot of SWE vs. *h* for the complete SNOTEL dataset before (a) and after (b) removing data points.



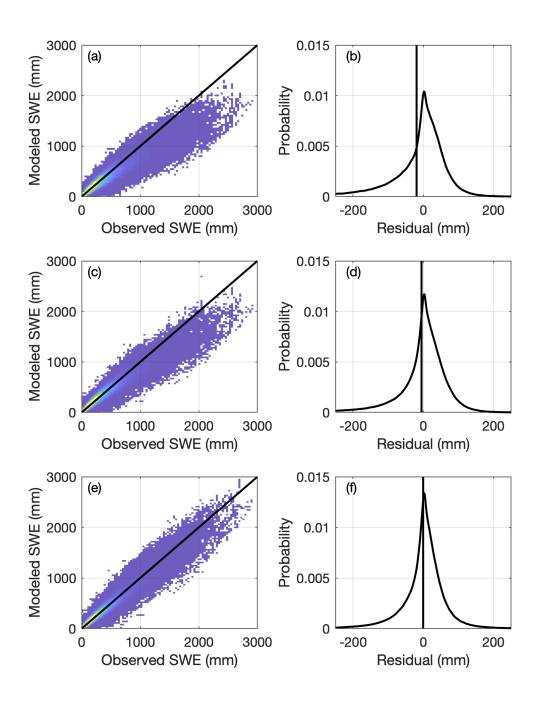


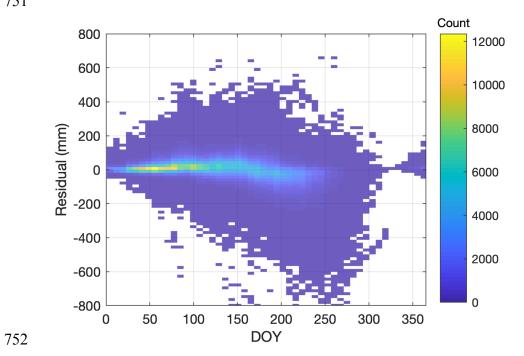
- Figure 5: Gridded maps of mean annual precipitation (*MAP*) and mean February temperature $(\bar{T}_{F_{mean}})$ for the study
- regions. Climate normals are from the PRISM data set (1981-2010 for CONUS and British Columbia; 1971-2000
- for Alaska).





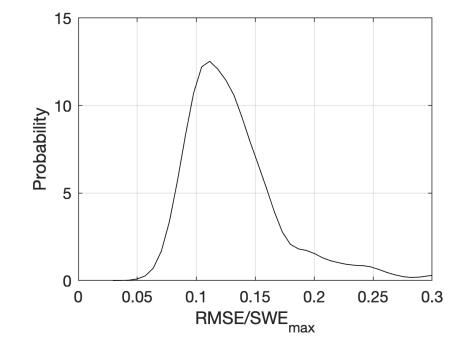
- Figure 6: Two-dimensional histograms (heat maps; left column) of modeled vs. observed SWE and probability
- density functions (right column) of the residuals for three simple models applied to the CONUS, AK, and BC snow
- pillow data. Warmer colors in the heat maps indicate greater density. The vertical lines in the right column indicate
- 746 the location of the mean residual, or bias. Top row (a-b): One-equation model (Section 2.2.1). Middle row (c-d):
- 747 Two-equation model (Section 2.2.2). Bottom row (e-f): Multi-variable two-equation model (Section 2.2.3).
- 748





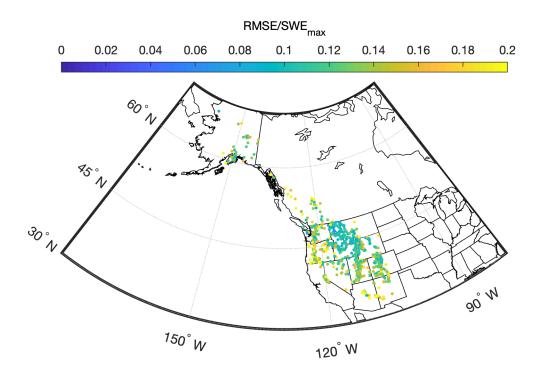
50 Figure 7: Heat map of SWE residuals as a function of *DOY*.

- 753 Figure 8: Probability density function of snow pillow station root-mean-square error (RMSE) normalized by station
- 754 mean annual maximum SWE.

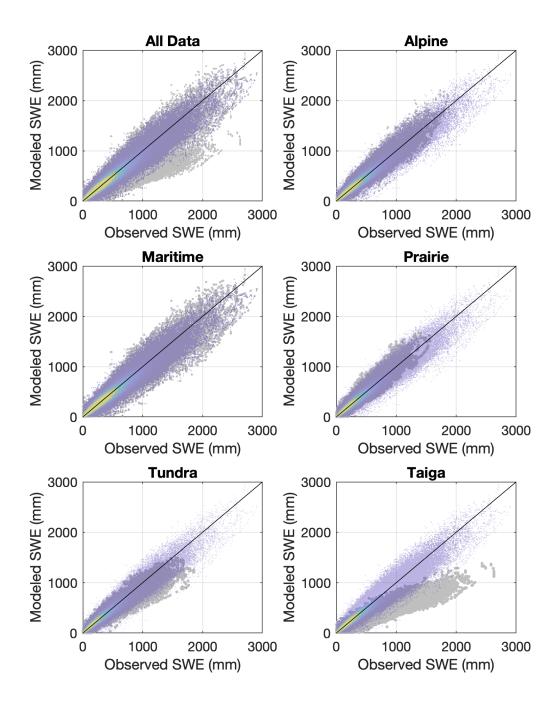


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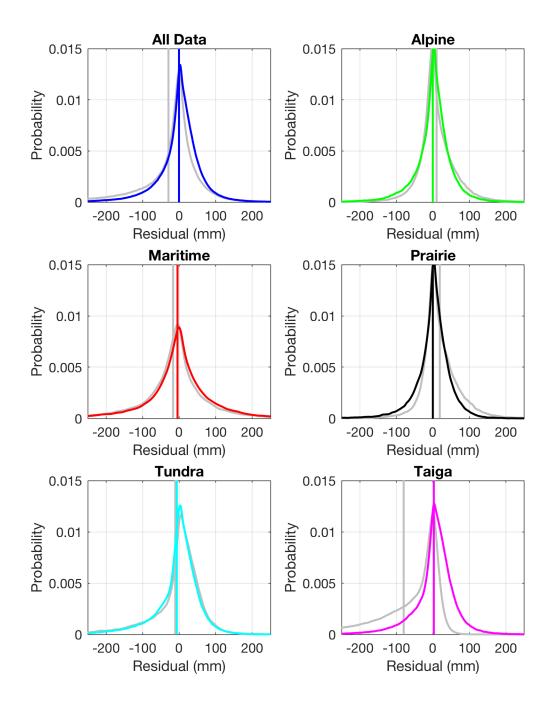
- 756 Figure 9: Spatial distribution of snow pillow station root-mean-square error (RMSE) normalized by station mean
- annual maximum SWE.
- 758



- Figure 10: Comparison of the multi-variable, two-equation model of the present study with the model of Sturm et al.
- 761 (2010). The subpanels show modeled SWE vs. observed SWE for all of the data binned together, as well as for the
- data broken out by the snow classes identified by Sturm et al. (1995). The gray symbols show the Sturm result and
- the transparent heat maps (warmer colors indicate greater density) show the current result. The models are being
- applied to the validation data set (50% of the aggregated snow pillow data for CONUS, AK, and BC).



- Figure 11: Comparison of the multi-variable, two-equation model of the present study with the model of Sturm et al.
- 767 (2010). The subpanels show probability density functions of the residuals of the model fits for all of the data binned
- together, as well as for the data broken out by the snow classes identified by Sturm et al. (1995). The gray lines
- show the Sturm result and the colored lines show the current result. The vertical lines show the mean error, or the
- model bias, for both the Sturm and the current result. The models are being applied to the validation data set (50% of
- the aggregated snow pillow data for CONUS, AK, and BC).



- Figure 12: Results from application of the multi-variable, two-equation model to numerous east coast datasets. The
- 174 left column shows the SWE-*h* data for each dataset. Note that the black symbols are points removed by the outlier
- detection procedure discussed in section 2.1.1.4. The remaining symbols are colored by DOY. The middle panel
- plots heat maps of the model estimates of SWE against the observations of SWE with the 1:1 line included. Warmer
- colors indicate higher densities. The right panel shows probability density functions of the model residuals, with the
- vertical line indicating the mean error, or bias. Individual rows correspond to individual data sets and are labeled.

