Anonymous Referee #1

(1) Comments from Referees

a) The intention of the paper is entirely unclear. What is your scientific focus? What is the research gap you are going to fill?

b) You limit the study on describing the permafrost distribution in the Dachstein Massive. In this case, I am in doubt that the relevance of your study is high enough for an international journal as The Cryosphere

c) The quality of the manuscript is rather poor.

(2) Author's response

a) We clarified our scientific focus in all chapters and focused on the aims of this study: Detection, delimitation and characterization of permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area.

b) We have now pointed out much clearer that the abovementioned aims contribute to an overarching question which is of relevance for an international readership: how widespread glacier retreat will affect permafrost degradation and/or aggradation in alpine rock walls.

c) As mentioned above we reworked the content, checked language and removed irrelevant information (despite adding more desired information, the paper is now 600 words shorter).

(3) Author's changes in manuscript

We are grateful for the many valuable comments in the text. Due to the reviewer's suggestions, the paper has been thoroughly revised. Many passages were completely rewritten and considerably shortened in this process. Due to the extensive changes, it was no longer possible to track the individual changes.

- The Introduction chapter was thoroughly revised.
- One of the most important changes in the structure of the paper was to shift the information on glacier retreat from the results section to the Study Region chapter (even though own results contribute to this information). This means that glacier retreat is now treated as the basic prerequisite for the permafrost change.
- The Discussion section has been entirely restructured and rewritten. It now follows the structure (1) General distribution of permafrost; (2) Significance of the ERT results; (3) Aggradation or degradation of permafrost.
- The Conclusions were focused and shortened. This allowed us to reach much more concrete conclusions.

Introduction:

Climate change has a great impact on perennially frozen and glaciated high mountain regions (Haeberli and Hoelzle, 1995; Haeberli et al., 1997; Harris et al., 2001; Lieb et al., 2012). Glacier retreat (Paul et al., 2004; Zemp et al., 2006; Kellerer-Pirklbauer et al., 2008) is the visible evidence with a loss of estimated 50% of the original glacier volume in the European Alps between the end of the Little Ice Age around 1850 and 1975, 10% in 1975-2000 and further 10% in 2000-2009 (Haeberli et al., 2007, 2013, Magnin et al. 2017).

Invisible, but also measurable, are permafrost changes in the subsurface. Once glacier-covered rock surfaces with former temperatures around the melting point – conditioned by temperate glacier ice – become subjected to direct local atmospheric conditions after the ice melted. Depending on slope orientation and shading effects of these rock surfaces permafrost aggradation is possible at such sites after exposure. However, in case of cold and polythermal glaciers (with cold ice restricted to cold, high-

altitude parts of the glacier; Benn and Evans, 2010) permafrost might exist even below glacier-covered areas. In addition to that, cirque glaciers (and also those in our study area) are commonly separated from the headwall by a distinct crevasse (randkluft). Air can enter into this crevasse allowing a better coupling of the air and bedrock even below the glacier surfaces and more efficient cooling during the autumn season. Therefore, both a polythermal glacier and a glacier with a distinct randkluft might allow permafrost aggregation below the glacier surface.

Changes in ground thermal conditions, permafrost extent and hydrology are all sensitive processes to future climate change (Gobiet et al., 2014). A warming of about 0.5 to 0.8°C in the upper tens of meters of alpine permafrost between 2600 and 3400 m a.s.l at the European alps in the last century (Harris et al., 2003) effects in a vertical mean rise of the lower limit of permafrost by about 1m/year (Frauenfelder, 2005). This can potentially trigger rockwall instabilities (Wegmann et al., 1998; Sattler et al., 2011; Ravanel and Deline, 2011; Kellerer-Pirklbauer et al., 2012; Krautblatter et al., 2013; Draebing et al., 2017a, b). Therefore, acquiring knowledge on the permafrost distribution and freezing and thawing in the active layer (Supper et al., 2014) is important in high mountain areas particularly if infrastructure is potentially threatened (Kern et al. 2012). While ground surface temperature measurements in rockwalls (e.g. Matsuoka and Sakai, 1999; Gruber et al., 2003; Kellerer-Pirklbauer, 2017) can provide valuable point information on rock temperature and thermal conditions of permafrost, geophysical techniques enable the visualization of subsurface permafrost characteristics in 2D- or 3D-arrays. Several authors used electrical resistivity tomography (ERT) for permafrost investigations in sediments (e.g. Kneisel et al., 2008; Hauck, 2001; Hauck et al., 2003; Marescot et al., 2003; Laxton and Coates, 2011; Rödder and Kneisel, 2012; Stiegler et al., 2014). In contrast, in rockwalls comparable measurements are relatively scarce (e.g. Krautblatter and Hauck, 2007; Hartmeyer et al., 2012; Magnin et al., 2015, Draebing et al. 2017a, b) and for rockwalls close above the present glacier surfaces (Supper et al., 2014), ERT data are widely missing. Accordingly, the aims of this study are to detect, delimit and characterize permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area and thus, to contribute to the question how widespread glacier retreat will affect permafrost degradation and/or aggradation.

In reaction to your comment of misplaced sections I chapters we also rearranged many passages, for example, "Reconstruction of deglaciation" is now part of the study region:

2.2 Reconstruction of deglaciation

The Hallstadt Glacier and the Schladming Glacier have been subject to substantial mass loss and glacier surface lowering since the Little Ice Age/LIA (c.1850) and particularly in the last decades. Hallstatt Glacier lost about 50% of its area and 52% of its length, whereas Schladming Glacier melted down by 55% (area) and 48% (length). The retreat of the glaciers located at the Dachstein Massif since the LIA are well documented by Simony (1895), Moser (1997), Krobath and Lieb (2004), Helfricht (2009) or Fischer et al. (2015). New ice-free areas in the glacier forefield and the surrounding head walls afforded new touristic concepts and safety precautions over the years. A randkluft exists at several places in the study area (see Fig. 1) and is commonly visible during the ablation season. The total length of the mapped randkluft in the area depicted in Fig. 1 is 2841 m, which is about 14,9 % of the total glacier boundary in this map.

In addition to the abovementioned published glacier reconstructions, airborne photogrammetry, topographic maps, visual observations and field mapping were applied for the reconstruction of deglaciation at our measurement sites. To visualize the vertical changes of the glacier surface, digital terrain models (DTM) with a spatial resolution of 5 m were produced from published 1:25,000 maps

of the German-Austrian Alpine Society from 1915 and 2002 by digitizing the 10 m contour lines and generating DTMs using the ArcGIS 10.0 Topo-to-Raster function. The difference between both models showed the glacier retreat of 1915 to 2002. In addition, recent orthophotos from 2009 (provided by the Federal Government of Upper Austria) and data from the third Austrian glacier inventory (Fischer et al., 2015) enabled the mapping of the present glacier surface and thus, the estimation of glacier retreat from 1915 to 2009. The comparison of historic photographs from 1958 (Schneider, July 1958 from Österreichischer Alpenverein 1958) and own photographs from 2013-2015 gave further information about the vertical surface lowering (Fig. 2).



Figure 2: Comparison of the glacier surface at the foot of the Koppenkarstein in 2013 (photo Gitschthaler, 02-08-2013) and 1958 (photo Schneider, July 1958 from Österreichischer Alpenverein 1958). Note the obvious surface change at Hunerkogel. Note that the shooting location of both years is not exactly the same.

The ascertained horizontal recession and vertical surface lowering rates of the glacier area between 1915 and 2009 for the four measurements sites is shown in Table 2. For the MS-K the horizontal recession is about 20 m near the Austriascharte but only 5-10 m at the north face of the Koppenkarstein. The vertical loss there is about 15-20 m. Similar amounts of vertical decline were estimated for the area around the Hunerkogel (MS-H) (cf. Fig. 4), the horizontal recession amounts to 15-30 m. For MS-D the horizontal recession is about 20-50 m, vertically the glacier has lost 5-25 m with highest amounts in northwest exposition. Around the Gjaidstein (MS-G), maximum decline rates, both horizontal (up to 70 m) and vertical (15-35 m), were determined.

Table 2: Horizontal recession and vertical surface lowering rates of the glacier areas in the four sub-regions of interest (Fig.1) between 1915 and 2009

Measurement site	MS-K	MS-H	MS-D	MS-G
Horizontal decline [m]	5-20	15-30	20-50	20-70

Vertical	15-20	15-20	5-25	5-50
decline [m]	10 20	10 20	5 25	

Major revisions were done in the discussion chapter:

5.1 General distribution of permafrost

Almost all of the measured BTS and GST temperatures point to the existence of permafrost in the zones along the upper glacier margins which have been subject to glacier retreat since the LIA maximum. This is confirmed by all 2D-geoelectic profiles (save MS-G) that indicate permafrost at some meters depth. At all four study sites permafrost layers with resistivities higher than 30 kohm.m occurred. Highest resistivities were found at the MS-K north face, followed by the MS-D site, while at the MS-G and MS-H the resistivities were lower and the border to the permafrost layers are not so pronounced (Fig. 6). The GST results support this resistivity order, with deeper WEqT temperatures beneath -5 °C at MS-K and WEqT between -5 and -3°C at the three other sites.

Long **time (2004-2015)** GST measurements in permafrost at a nearby mountain (Hochreichart 2416 m a.s.l.) show a general increase of the mean annual ground temperature (Kellerer-Pirklbauer, 2016). The mean annual temperature of 2013 at the Hochreichart site was an average value for the entire 2004-2015 period suggesting that our ground temperature data of 2013 for the Dachstein might be regarded as typical not only for a single year but at least for a decadal time-scale.

The permafrost model by Boeckli et al. (2012 a, b), which includes explanatory variables like annual air temperatures, potential incoming solar radiation and precipitation, fits quite well with our own field work results (Fig. 5-7). According to the GST/BTS classification defining temperatures < -3 °C as permafrost areas (Haeberli, 1973), all of our sites should be affected by permafrost in favorable conditions (Böckli et al., 2012a). The situation at MS-H is of particular local interest because of the touristic infrastructure with a cable car station on the top. Although the Boeckli et al. (2012a) model assumes permafrost only in very favorable conditions.for this site, the results at H1 clearly point to permafrost which is also underpinned by the temperature measurements.

At MS-K, the thinnest active layer and the highest resistivities of the deeper subsurface were found in the approximate middle of the vertical profiles, corresponding with the 1850 glacier surface. This is particularly well visible at the K1 profile. The flattening of the rockwall at K3 in the elevation of the 1850 surface probably enabled accumulation of infiltrated moisture and the development of massive ice below the surface (-2 to -10m). Generally higher resistivities (>50 kohm.m) were found in the part of the rockwall above the 1850 margin which has been ice-free for more than 150 years. At the ERTsites near the present glacier surface (MS-K - K2, MS-D - D1, MS-G - G1 and MS-H - H1) which have been ice-free for a much shorter time, resistivities are lower and the active layer is thicker. At D1 it is difficult to determine the historically highest surface of the glacier extent, because the glacier surface at the Dirndl mountain is influenced by the mentioned blowout depression at the footslope of this mountain. The investigated part of the rockwall at D1 was probably ice free even before 1850 (Simony, 1884) and thus exposed to atmospheric conditions for much longer than K2, G1 and H1. The absence of insulating glacier ice could be the reason for the well-established ice layers at the left and in the middle of the profile beneath the active layer. However, between those two frozen parts thawing processes occur with resistivities between 10 and 20 kohm.m, mirrored by a wet and fractured rock surface in the field.

5.2 Significance of ERT data for permafrost detection

ERT permafrost investigations in bedrock may be error-prone because the resistivity contrast is small between ice, air and certain rock types, as all three nearly behave as an electrical insulator with very

high resistivities (Hauck and Kneisel, 2008). Furthermore, the resistivity values for subzero ground span a wide range from about 13 kohm.m to more than 30 kohm.m depending on the ice content (Hilbich et al., 2009). At all six ERT profile lines, areas with resistivities higher than 100 kohm.m up to 500 kohm.m (Table 1) were measured which, in all probability, represent frozen ground. These exceptionally high electrical resistivities could also be caused by air-filled cavities in the rock; due to karstification of the Dachstein limestone, the existence of caves can not be ruled out. However, the known caves usually occur in pronounced horizontal cave floors, and no cave mouths can be found at the elevation of the study sites. Furthermore, the geometrical distribution of high resistivities particularly at MS-K1 and the position of the resistivity anomalies beneath an active layer of +/- consistent depth make the interpretation as cavities extremely improbable. Furthermore, the interpretation is backed by GST and BTS temperatures.

The use of salt water and conductive grease at the drilled-in screws lowered contact resistances between electrodes and rock and provided satisfactory data quality in terms of RMS errors. The use of the DOI method showed, that mainly at high resistivity changes (between thawed and frozen layers) some areas with DOI > 0.2 occur and should be discussed with caution (Hilbich, 2009). However, these zones are in positions where they do not affect the general interpretation. On the whole, the DOI analyses showed that all ERT profiles are reliable. To exclude resistivity misinterpretations regarding frozen vs. unfrozen conditions, we performed resistivity measurements at a small scale geoelectric profile combined with temperature measurements at different depths. Krautblatter et al., (2010) performed systematic T/Res investigations in the laboratory and found a distinct resistivity increase with subzero temperatures. They determined 30 kohm.m as the threshold value from which on the rock (the very similar Wetterstein limestone) is very probably frozen. We were able to confirm these findings in a natural setting. The knowledge of the resistivity range of frozen and unfrozen rock at our sites puts the interpretation on a solid basis. The transition zone with a mixture of liquid water and ice with values between 13 and 30 kohm.m is characterized by the rapid increase of resistivity at the temperature change from positive to negative (starting around -0,5° C). During constant freezing and temperatures below -0.5 °C, values higher than 30 kohm.m. were measured.

5.3 Degradation or aggradation of permafrost?

Significant areas of the study region were affected by glacier recession and glacier surface lowering at the glacier forefield and the surrounding headwalls. The thermal regimes of surface ice and frozen ground can be interconnected and are influencing each other (Suter et al., 2001; Otto and Keuschnig, 2014). Our results prove the occurrence of permafrost in recently ice free rockwalls. An open question is if this permafrost has newly formed since glacier recession or if permafrost was already present under the ice?

At both vertical profiles MS-K1 and MS-K3 (Fig. 6) the largest area and highest resistivities of frozen rock is present near the 1850 glacier ice surface line. Frozen rock at 2 meters rock depth below the 1850 glacier surface level might (a) be due to permafrost aggradation due to the access of cold air since the beginning of glacier lowering. In this case, the glacier base should have been warm-based. (b) In case the glaciers in our study area are polythermal (i.e. of type d. on Fig. 2.6. in Benn & Evans, 2010), permafrost might exist under the cold-based areas of glacier ice. In this case, the active layer which developed since deglaciation would indicate current permafrost degradation. As the thermal conditions at the base of the Schladminger glacier are not yet known, this question cannot be definitively clarified and further research is needed. However, at profile MS-K1 the active layer thickness decreases from the lowest point of the profile upwards and reaches its minimum at the elevation of the 1850 line. This supports interpretation 'a' because the higher areas had more time for aggradation than the lower parts. If 'b' was right, we would expect the active layer to be thicker where the time span for permafrost meltdown was longer.

The higher parts of the vertical ERT profiles (MS-K1, MS-K3) have been presumable ice free at least since the onset of the Postglacial as judged from general glacier evolution during the Holocene in the Eastern Alps (Wirsig et al., 2016). Thus, permafrost in these areas results from significantly different

conditions than in the lower parts which have been ice free for a much shorter period of time; smaller, warmer permafrost layers with lower resistivities should be expected. This assumed pattern is realized at MS-K3 in an ideal way. However, the pattern is opposite at MS-K1 with much higher resistivity in the assumedly "younger" permafrost zone. The reason might be drier conditions above the 1850 line and the supply of meltwater below the line leading to more massive ice formations. According to Magnin et al. (2017), statements regarding permafrost degradation (or aggradation) can only be made in combination with a time period. The authors simulated the long-term temperature evolution at three sites with different topographical settings between 3160 and 4300 m in the Mont Blanc massif, from the Little Ice Age (LIA) steady-state conditions to 2100. The simulation model was evaluated with borehole temperature and ERT measurements. Magnin et al. (2017) conclude that permafrost degradation has been progressing since the LIA.

As further changes in the shallow subsurface might become apparent after some years of observation, repeated measurements might clarify the question of degradation or aggradation of permafrost.

We also compressed our conclusions:

6. Conclusions and Outlook

The used methods have proven their applicability for permafrost mapping and have delivered information on permafrost distribution around the upper margins of retreating glaciers in the Dachstein area. Permafrost was found in all investigated north facing rockwalls between 2600 and 2800 m a.s.l. that were subject to glacier retreat since the LIA maximum. Permafrost preservation (or even aggradation, see below), is thus possible in favourable cold conditions in north faces with MAAT below -2.5 °C (2013). Slightly less shadowed sites oriented northwest and northeast show degradation effects with very heterogeneous subsurface ERT tomograms with frozen and unfrozen parts. At the only west-facing site no permafrost could be confirmed.

The ERT data are of good quality. The resistivity calibration by using data of a small scale ERT profile line proved to be a helpful method to delimit frozen from unfrozen rock which may aid the interpretation also in other study regions. The ERT interpretation is backed by GST and BTS data. The existence of permafrost at the former ice-covered positions could be due to slow degradation of permafrost that already existed under polythermal glacier ice, or to aggradation of permafrost after glacier retreat. Some of the evidence points to aggradation which would be an important finding also for research in other study areas. However, without long-term observation this conclusion remains partly speculative.

To clarify the open questions of aggradation vs. degradation, repeated ERT and temperature measurements are necessary, together with temperature measurements at the glacier base to confirm warm-based or polythermal conditions.

Anonymous Referee #2

(1) Comments from Referees

a) The manuscript is quite complex displaying a large set of different methods, data and data analysis techniques. Especially in the results section the presentation gets complicated and lacks a clear structure.

b) For example, it remains unclear what additional information the temperature/resistivity calibration should provide in this study since the measured resistivity values are interpreted solely based on resistivity values without reference to bedrock temperature distribution. Another example is the reconstruction of the former glacier surface.

c) The discussion is not convincing, and here the authors again mix up various aspects. The entire discussion of permafrost aggradation/degradation is poor and could be much further elaborate. The authors conclude that permafrost is only found at the northern exposed slopes, but no other expositions have been investigated.

d) Overall, I think that the findings are of limited interest to a greater audience and have only local value to stakeholders active on that mountain.

(2) Author's response

a) We clarified our scientific focus in all chapters and focused on the aims of this study: Detection, delimitation and characterization of permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area. The Results section has been entirely restructured and rewritten.

b) We clarified the question about the additional value of the temperature/resistivity calibration – we are thankful for this important suggestion. The calibration enables us to verify if the measured high resistivities in fact represent frozen rock or not.

The reconstruction of the former glacier surface is now part of the chapter "study region" and builds the basic prerequisite for our methods and results.

c) The Discussion section has been entirely restructured and rewritten. It now follows the structure (1) General distribution of permafrost; (2) Significance of the ERT results; (3) Aggradation or degradation of permafrost. References to other expositions have been omitted. The Conclusions were focused and shortened which allowed us to reach much more concrete conclusions.

d) We have now pointed out much clearer that the aims mentioned in (a) contribute to an overarching question which is of relevance for an international readership: how widespread glacier retreat will affect permafrost degradation and/or aggradation in alpine rock walls.

(3) Author's changes in manuscript

Due to the reviewer's suggestions, the paper has been thoroughly revised. Many passages were completely rewritten and considerably shortened in this process. Due to the extensive changes, it was no longer possible to track the individual changes.

The revised introduction chapter:

Introduction:

Climate change has a great impact on perennially frozen and glaciated high mountain regions (Haeberli and Hoelzle, 1995; Haeberli et al., 1997; Harris et al., 2001; Lieb et al., 2012). Glacier retreat (Paul et al., 2004; Zemp et al., 2006; Kellerer-Pirklbauer et al., 2008) is the visible evidence with a loss of estimated 50% of the original glacier volume in the European Alps between the end of the Little Ice

Age around 1850 and 1975, 10% in 1975-2000 and further 10% in 2000-2009 (Haeberli et al., 2007, 2013, Magnin et al. 2017).

Invisible, but also measurable, are permafrost changes in the subsurface. Once glacier-covered rock surfaces with former temperatures around the melting point – conditioned by temperate glacier ice – become subjected to direct local atmospheric conditions after the ice melted. Depending on slope orientation and shading effects of these rock surfaces permafrost aggradation is possible at such sites after exposure. However, in case of cold and polythermal glaciers (with cold ice restricted to cold, high-altitude parts of the glacier; Benn and Evans, 2010) permafrost might exist even below glacier-covered areas. In addition to that, cirque glaciers (and also those in our study area) are commonly separated from the headwall by a distinct crevasse (randkluft). Air can enter into this crevasse allowing a better coupling of the air and bedrock even below the glacier surfaces and more efficient cooling during the autumn season. Therefore, both a polythermal glacier and a glacier with a distinct randkluft might allow permafrost aggregation below the glacier surface.

Changes in ground thermal conditions, permafrost extent and hydrology are all sensitive processes to future climate change (Gobiet et al., 2014). A warming of about 0.5 to 0.8°C in the upper tens of meters of alpine permafrost between 2600 and 3400 m a.s.l at the European alps in the last century (Harris et al., 2003) effects in a vertical mean rise of the lower limit of permafrost by about 1m/year (Frauenfelder, 2005). This can potentially trigger rockwall instabilities (Wegmann et al., 1998; Sattler et al., 2011; Ravanel and Deline, 2011; Kellerer-Pirklbauer et al., 2012; Krautblatter et al., 2013; Draebing et al., 2017a, b). Therefore, acquiring knowledge on the permafrost distribution and freezing and thawing in the active layer (Supper et al., 2014) is important in high mountain areas particularly if infrastructure is potentially threatened (Kern et al. 2012). While ground surface temperature measurements in rockwalls (e.g. Matsuoka and Sakai, 1999; Gruber et al., 2003; Kellerer-Pirklbauer, 2017) can provide valuable point information on rock temperature and thermal conditions of permafrost, geophysical techniques enable the visualization of subsurface permafrost characteristics in 2D- or 3D-arrays. Several authors used electrical resistivity tomography (ERT) for permafrost investigations in sediments (e.g. Kneisel et al., 2008; Hauck, 2001; Hauck et al., 2003; Marescot et al., 2003; Laxton and Coates, 2011; Rödder and Kneisel, 2012; Stiegler et al., 2014). In contrast, in rockwalls comparable measurements are relatively scarce (e.g. Krautblatter and Hauck, 2007; Hartmeyer et al., 2012; Magnin et al., 2015, Draebing et al. 2017a, b) and for rockwalls close above the present glacier surfaces (Supper et al., 2014), ERT data are widely missing. Accordingly, the aims of this study are to detect, delimit and characterize permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area and thus, to contribute to the question how widespread glacier retreat will affect permafrost degradation and/or aggradation.

"Reconstruction of deglaciation" is now part of the study region:

2.2 Reconstruction of deglaciation

The Hallstadt Glacier and the Schladming Glacier have been subject to substantial mass loss and glacier surface lowering since the Little Ice Age/LIA (c.1850) and particularly in the last decades. Hallstatt Glacier lost about 50% of its area and 52% of its length, whereas Schladming Glacier melted down by 55% (area) and 48% (length). The retreat of the glaciers located at the Dachstein Massif since the LIA are well documented by Simony (1895), Moser (1997), Krobath and Lieb (2004), Helfricht (2009) or Fischer et al. (2015). New ice-free areas in the glacier forefield and the surrounding head walls afforded new touristic concepts and safety precautions over the years. A randkluft exists at several places in the study area (see Fig. 1) and is commonly visible during the

ablation season. The total length of the mapped randkluft in the area depicted in Fig. 1 is 2841 m, which is about 14,9 % of the total glacier boundary in this map.

In addition to the abovementioned published glacier reconstructions, airborne photogrammetry, topographic maps, visual observations and field mapping were applied for the reconstruction of deglaciation at our measurement sites. To visualize the vertical changes of the glacier surface, digital terrain models (DTM) with a spatial resolution of 5 m were produced from published 1:25,000 maps of the German-Austrian Alpine Society from 1915 and 2002 by digitizing the 10 m contour lines and generating DTMs using the ArcGIS 10.0 Topo-to-Raster function. The difference between both models showed the glacier retreat of 1915 to 2002. In addition, recent orthophotos from 2009 (provided by the Federal Government of Upper Austria) and data from the third Austrian glacier inventory (Fischer et al., 2015) enabled the mapping of the present glacier surface and thus, the estimation of glacier retreat from 1915 to 2009. The comparison of historic photographs from 1958 (Schneider, July 1958 from Österreichischer Alpenverein 1958) and own photographs from 2013-2015 gave further information about the vertical surface lowering (Fig. 2).



Figure 2: Comparison of the glacier surface at the foot of the Koppenkarstein in 2013 (photo Gitschthaler, 02-08-2013) and 1958 (photo Schneider, July 1958 from Österreichischer Alpenverein 1958). Note the obvious surface change at Hunerkogel. Note that the shooting location of both years is not exactly the same.

The ascertained horizontal recession and vertical surface lowering rates of the glacier area between 1915 and 2009 for the four measurements sites is shown in Table 2. For the MS-K the horizontal recession is about 20 m near the Austriascharte but only 5-10 m at the north face of the Koppenkarstein. The vertical loss there is about 15-20 m. Similar amounts of vertical decline were estimated for the area around the Hunerkogel (MS-H) (cf. Fig. 4), the horizontal recession amounts to 15-30 m. For MS-D the horizontal recession is about 20-50 m, vertically the glacier has lost 5-25 m with highest amounts in northwest exposition. Around the Gjaidstein (MS-G), maximum decline rates, both horizontal (up to 70 m) and vertical (15-35 m), were determined.

Table 2: Horizontal recession and vertical surface lowering rates of the glacier areas in the four sub-regions of interest (Fig.1) between 1915 and 2009

Measurement site	MS-K	MS-H	MS-D	MS-G
Horizontal decline [m]	5-20	15-30	20-50	20-70
Vertical decline [m]	15-20	15-20	5-25	5-50

Major revisions were done in the discussion chapter:

5.1 General distribution of permafrost

Almost all of the measured BTS and GST temperatures point to the existence of permafrost in the zones along the upper glacier margins which have been subject to glacier retreat since the LIA maximum. This is confirmed by all 2D-geoelectic profiles (save MS-G) that indicate permafrost at some meters depth. At all four study sites permafrost layers with resistivities higher than 30 kohm.m occurred. Highest resistivities were found at the MS-K north face, followed by the MS-D site, while at the MS-G and MS-H the resistivities were lower and the border to the permafrost layers are not so pronounced (Fig. 6). The GST results support this resistivity order, with deeper WEqT temperatures beneath -5 °C at MS-K and WEqT between -5 and -3°C at the three other sites.

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The permafrost model by Boeckli et al. (2012 a, b), which includes explanatory variables like annual air temperatures, potential incoming solar radiation and precipitation, fits quite well with our own field work results (Fig. 5-7). According to the GST/BTS classification defining temperatures < -3 °C as permafrost areas (Haeberli, 1973), all of our sites should be affected by permafrost in favorable conditions (Böckli et al., 2012a). The situation at MS-H is of particular local interest because of the touristic infrastructure with a cable car station on the top. Although the Boeckli et al. (2012a) model assumes permafrost only in very favorable conditions.for this site, the results at H1 clearly point to permafrost which is also underpinned by the temperature measurements.

At MS-K, the thinnest active layer and the highest resistivities of the deeper subsurface were found in the approximate middle of the vertical profiles, corresponding with the 1850 glacier surface. This is particularly well visible at the K1 profile. The flattening of the rockwall at K3 in the elevation of the 1850 surface probably enabled accumulation of infiltrated moisture and the development of massive ice below the surface (-2 to -10m). Generally higher resistivities (>50 kohm.m) were found in the part of the rockwall above the 1850 margin which has been ice-free for more than 150 years. At the ERTsites near the present glacier surface (MS-K - K2, MS-D - D1, MS-G - G1 and MS-H - H1) which have been ice-free for a much shorter time, resistivities are lower and the active layer is thicker. At D1 it is difficult to determine the historically highest surface of the glacier extent, because the glacier surface at the Dirndl mountain is influenced by the mentioned blowout depression at the footslope of this mountain. The investigated part of the rockwall at D1 was probably ice free even before 1850 (Simony, 1884) and thus exposed to atmospheric conditions for much longer than K2, G1 and H1. The absence of insulating glacier ice could be the reason for the well-established ice layers at the left and in the middle of the profile beneath the active layer. However, between those two frozen parts thawing processes occur with resistivities between 10 and 20 kohm.m, mirrored by a wet and fractured rock surface in the field.

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ERT permafrost investigations in bedrock may be error-prone because the resistivity contrast is small between ice, air and certain rock types, as all three nearly behave as an electrical insulator with very high resistivities (Hauck and Kneisel, 2008). Furthermore, the resistivity values for subzero ground span a wide range from about 13 kohm.m to more than 30 kohm.m depending on the ice content (Hilbich et al., 2009). At all six ERT profile lines, areas with resistivities higher than 100 kohm.m up to 500 kohm.m (Table 1) were measured which, in all probability, represent frozen ground. These exceptionally high electrical resistivities could also be caused by air-filled cavities in the rock; due to karstification of the Dachstein limestone, the existence of caves can not be ruled out. However, the known caves usually occur in pronounced horizontal cave floors, and no cave mouths can be found at the elevation of the study sites. Furthermore, the geometrical distribution of high resistivities particularly at MS-K1 and the position of the resistivity anomalies beneath an active layer of +/- consistent depth make the interpretation as cavities extremely improbable. Furthermore, the interpretation is backed by GST and BTS temperatures.

The use of salt water and conductive grease at the drilled-in screws lowered contact resistances between electrodes and rock and provided satisfactory data quality in terms of RMS errors. The use of the DOI method showed, that mainly at high resistivity changes (between thawed and frozen layers) some areas with DOI > 0.2 occur and should be discussed with caution (Hilbich, 2009). However, these zones are in positions where they do not affect the general interpretation. On the whole, the DOI analyses showed that all ERT profiles are reliable. To exclude resistivity misinterpretations regarding frozen vs. unfrozen conditions, we performed resistivity measurements at a small scale geoelectric profile combined with temperature measurements at different depths. Krautblatter et al., (2010) performed systematic T/Res investigations in the laboratory and found a distinct resistivity increase with subzero temperatures. They determined 30 kohm.m as the threshold value from which on the rock (the very similar Wetterstein limestone) is very probably frozen. We were able to confirm these findings in a natural setting. The knowledge of the resistivity range of frozen and unfrozen rock at our sites puts the interpretation on a solid basis. The transition zone with a mixture of liquid water and ice with values between 13 and 30 kohm.m is characterized by the rapid increase of resistivity at the temperature change from positive to negative (starting around -0,5° C). During constant freezing and temperatures below -0.5 °C, values higher than 30 kohm.m. were measured.

5.3 Degradation or aggradation of permafrost?

Significant areas of the study region were affected by glacier recession and glacier surface lowering at the glacier forefield and the surrounding headwalls. The thermal regimes of surface ice and frozen ground can be interconnected and are influencing each other (Suter et al., 2001; Otto and Keuschnig, 2014). Our results prove the occurrence of permafrost in recently ice free rockwalls. An open question is if this permafrost has newly formed since glacier recession or if permafrost was already present under the ice?

At both vertical profiles MS-K1 and MS-K3 (Fig. 6) the largest area and highest resistivities of frozen rock is present near the 1850 glacier ice surface line. Frozen rock at 2 meters rock depth below the 1850 glacier surface level might (a) be due to permafrost aggradation due to the access of cold air since the beginning of glacier lowering. In this case, the glacier base should have been warm-based. (b) In case the glaciers in our study area are polythermal (i.e. of type d. on Fig. 2.6. in Benn & Evans, 2010), permafrost might exist under the cold-based areas of glacier ice. In this case, the active layer which developed since deglaciation would indicate current permafrost degradation. As the thermal conditions at the base of the Schladminger glacier are not yet known, this question cannot be definitively clarified and further research is needed. However, at profile MS-K1 the active layer thickness decreases from the lowest point of the profile upwards and reaches its minimum at the elevation of the 1850 line. This supports interpretation 'a' because the higher areas had more time

for aggradation than the lower parts. If 'b' was right, we would expect the active layer to be thicker where the time span for permafrost meltdown was longer.

The higher parts of the vertical ERT profiles (MS-K1, MS-K3) have been presumable ice free at least since the onset of the Postglacial as judged from general glacier evolution during the Holocene in the Eastern Alps (Wirsig et al., 2016). Thus, permafrost in these areas results from significantly different conditions than in the lower parts which have been ice free for a much shorter period of time; smaller, warmer permafrost layers with lower resistivities should be expected. This assumed pattern is realized at MS-K3 in an ideal way. However, the pattern is opposite at MS-K1 with much higher resistivity in the assumedly "younger" permafrost zone. The reason might be drier conditions above the 1850 line and the supply of meltwater below the line leading to more massive ice formations. According to Magnin et al. (2017), statements regarding permafrost degradation (or aggradation) can only be made in combination with a time period. The authors simulated the long-term temperature evolution at three sites with different topographical settings between 3160 and 4300 m in the Mont Blanc massif, from the Little Ice Age (LIA) steady-state conditions to 2100. The simulation model was evaluated with borehole temperature and ERT measurements. Magnin et al. (2017) conclude that permafrost degradation has been progressing since the LIA.

As further changes in the shallow subsurface might become apparent after some years of observation, repeated measurements might clarify the question of degradation or aggradation of permafrost.

We also compressed our conclusions:

6. Conclusions and Outlook

The used methods have proven their applicability for permafrost mapping and have delivered information on permafrost distribution around the upper margins of retreating glaciers in the Dachstein area. Permafrost was found in all investigated north facing rockwalls between 2600 and 2800 m a.s.l. that were subject to glacier retreat since the LIA maximum. Permafrost preservation (or even aggradation, see below), is thus possible in favourable cold conditions in north faces with MAAT below -2.5 °C (2013). Slightly less shadowed sites oriented northwest and northeast show degradation effects with very heterogeneous subsurface ERT tomograms with frozen and unfrozen parts. At the only west-facing site no permafrost could be confirmed.

The ERT data are of good quality. The resistivity calibration by using data of a small scale ERT profile line proved to be a helpful method to delimit frozen from unfrozen rock which may aid the interpretation also in other study regions. The ERT interpretation is backed by GST and BTS data. The existence of permafrost at the former ice-covered positions could be due to slow degradation of permafrost that already existed under polythermal glacier ice, or to aggradation of permafrost after glacier retreat. Some of the evidence points to aggradation which would be an important finding also for research in other study areas. However, without long-term observation this conclusion remains partly speculative.

To clarify the open questions of aggradation vs. degradation, repeated ERT and temperature measurements are necessary, together with temperature measurements at the glacier base to confirm warm-based or polythermal conditions.

Anonymous Referee #3

(1) Comments from Referees

a) The introduction gives a good general overview of the context of the present study. However, it fails to explain why the methodology of this study was selected and especially what alternatives could have been used.

b) The selection of the four measurement sites needs to be explained and justified in the text.

c) A large part of the discussion focuses on the comparison of the results of this study with the ones obtained by Boeckli et al. (2012) using a permafrost model. In order to follow it better and fully grasp the implications of this study it would be necessary in my opinion to include an additional figure showing the permafrost extent obtained by the model for the entire study region.

d) The discussion section presents and discusses the relation between the measured resistivities and the time since the deglaciation at the profile location. This is a very interesting point, which should be developed more in the manuscript.

e) However, highly resistive anomalies can also be due to the presence of large air-filled clefts.

f) This section (conclusion) needs to be carefully revised

g) Specific comment: P5 Figure 2: On the map, the glacier retreat from 1915 to 2009 is shown. It would be very interesting to have also this information on the pictures below. For the interpretation of the ERT and temperature measurements it is important to know where is located the glacier extent of 1915.

h) Specific comment: it is assumed that permafrost is currently degrading in rock walls... What is the basis of this statement?

i) Specific comment: One of the most important point of this study is that the measurements are done in a newly deglaciated area and this section provides information on the subject.

(2) Author's response

a) We clarified our scientific focus in all chapters and focused the aims of this study: Detection, delimitation and characterization of permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area and thus, to contribute to the question how widespread glacier retreat will affect permafrost degradation and/or aggradation. The reasons for our choice of methods have been substantiated.

b, c) The chapter "study region" was revised and now contains the suggested additional information.

d, e) The Discussion section has been entirely restructured and rewritten. It now follows the structure (1) General distribution of permafrost; (2) Significance of the ERT results; (3) Aggradation or degradation of permafrost. This allowed us to develop much more concrete conclusions. We gave additional reasons why we think that air-filled cavities are highly improbable.

f) The Conclusions were focused and shortened. This allowed us to reach much more concrete conclusions, particularly on the question of permafrost aggradation vs. degradation.

g) ####?

h) See response to comment (f).

i) We fully agree. As mentioned before, we clarified our focus and we have moved this point more to the centre of the discussion.

(3) Author's changes in manuscript

Due to the reviewer's suggestions, the paper has been thoroughly revised. Many passages were completely rewritten and considerably shortened in this process. Due to the extensive changes, it was no longer possible to track the individual changes.

The revised introduction chapter:

Introduction:

Climate change has a great impact on perennially frozen and glaciated high mountain regions (Haeberli and Hoelzle, 1995; Haeberli et al., 1997; Harris et al., 2001; Lieb et al., 2012). Glacier retreat (Paul et al., 2004; Zemp et al., 2006; Kellerer-Pirklbauer et al., 2008) is the visible evidence with a loss of estimated 50% of the original glacier volume in the European Alps between the end of the Little Ice Age around 1850 and 1975, 10% in 1975-2000 and further 10% in 2000-2009 (Haeberli et al., 2007, 2013, Magnin et al. 2017).

Invisible, but also measurable, are permafrost changes in the subsurface. Once glacier-covered rock surfaces with former temperatures around the melting point – conditioned by temperate glacier ice – become subjected to direct local atmospheric conditions after the ice melted. Depending on slope orientation and shading effects of these rock surfaces permafrost aggradation is possible at such sites after exposure. However, in case of cold and polythermal glaciers (with cold ice restricted to cold, high-altitude parts of the glacier; Benn and Evans, 2010) permafrost might exist even below glacier-covered areas. In addition to that, cirque glaciers (and also those in our study area) are commonly separated from the headwall by a distinct crevasse (randkluft). Air can enter into this crevasse allowing a better coupling of the air and bedrock even below the glacier surfaces and more efficient cooling during the autumn season. Therefore, both a polythermal glacier and a glacier with a distinct randkluft might allow permafrost aggregation below the glacier surface.

Changes in ground thermal conditions, permafrost extent and hydrology are all sensitive processes to future climate change (Gobiet et al., 2014). A warming of about 0.5 to 0.8°C in the upper tens of meters of alpine permafrost between 2600 and 3400 m a.s.l at the European alps in the last century (Harris et al., 2003) effects in a vertical mean rise of the lower limit of permafrost by about 1m/year (Frauenfelder, 2005). This can potentially trigger rockwall instabilities (Wegmann et al., 1998; Sattler et al., 2011; Ravanel and Deline, 2011; Kellerer-Pirklbauer et al., 2012; Krautblatter et al., 2013; Draebing et al., 2017a, b). Therefore, acquiring knowledge on the permafrost distribution and freezing and thawing in the active layer (Supper et al., 2014) is important in high mountain areas particularly if infrastructure is potentially threatened (Kern et al. 2012). While ground surface temperature measurements in rockwalls (e.g. Matsuoka and Sakai, 1999; Gruber et al., 2003; Kellerer-Pirklbauer, 2017) can provide valuable point information on rock temperature and thermal conditions of permafrost, geophysical techniques enable the visualization of subsurface permafrost characteristics in 2D- or 3D-arrays. Several authors used electrical resistivity tomography (ERT) for permafrost investigations in sediments (e.g. Kneisel et al., 2008; Hauck, 2001; Hauck et al., 2003; Marescot et al., 2003; Laxton and Coates, 2011; Rödder and Kneisel, 2012; Stiegler et al., 2014). In contrast, in rockwalls comparable measurements are relatively scarce (e.g. Krautblatter and Hauck, 2007; Hartmeyer et al., 2012; Magnin et al., 2015, Draebing et al. 2017a, b) and for rockwalls close above the present glacier surfaces (Supper et al., 2014), ERT data are widely missing. Accordingly, the aims of this study are to detect, delimit and characterize permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area and thus, to contribute to the question how widespread glacier retreat will affect permafrost degradation and/or aggradation.

"Reconstruction of deglaciation" is now part of the study region:

2.2 Reconstruction of deglaciation

The Hallstadt Glacier and the Schladming Glacier have been subject to substantial mass loss and glacier surface lowering since the Little Ice Age/LIA (c.1850) and particularly in the last decades. Hallstatt Glacier lost about 50% of its area and 52% of its length, whereas Schladming Glacier melted down by 55% (area) and 48% (length). The retreat of the glaciers located at the Dachstein Massif since the LIA are well documented by Simony (1895), Moser (1997), Krobath and Lieb (2004), Helfricht (2009) or Fischer et al. (2015). New ice-free areas in the glacier forefield and the surrounding head walls afforded new touristic concepts and safety precautions over the years. A randkluft exists at several places in the study area (see Fig. 1) and is commonly visible during the ablation season. The total length of the mapped randkluft in the area depicted in Fig. 1 is 2841 m, which is about 14,9 % of the total glacier boundary in this map.

In addition to the abovementioned published glacier reconstructions, airborne photogrammetry, topographic maps, visual observations and field mapping were applied for the reconstruction of deglaciation at our measurement sites. To visualize the vertical changes of the glacier surface, digital terrain models (DTM) with a spatial resolution of 5 m were produced from published 1:25,000 maps of the German-Austrian Alpine Society from 1915 and 2002 by digitizing the 10 m contour lines and generating DTMs using the ArcGIS 10.0 Topo-to-Raster function. The difference between both models showed the glacier retreat of 1915 to 2002. In addition, recent orthophotos from 2009 (provided by the Federal Government of Upper Austria) and data from the third Austrian glacier inventory (Fischer et al., 2015) enabled the mapping of the present glacier surface and thus, the estimation of glacier retreat from 1915 to 2009. The comparison of historic photographs from 1958 (Schneider, July 1958 from Österreichischer Alpenverein 1958) and own photographs from 2013-2015 gave further information about the vertical surface lowering (Fig. 2).



Figure 2: Comparison of the glacier surface at the foot of the Koppenkarstein in 2013 (photo Gitschthaler, 02-08-2013) and 1958 (photo Schneider, July 1958 from Österreichischer Alpenverein 1958). Note the obvious surface change at Hunerkogel. Note that the shooting location of both years is not exactly the same.

The ascertained horizontal recession and vertical surface lowering rates of the glacier area between 1915 and 2009 for the four measurements sites is shown in Table 2. For the MS-K the horizontal recession is about 20 m near the Austriascharte but only 5-10 m at the north face of the Koppenkarstein. The vertical loss there is about 15-20 m. Similar amounts of vertical decline were estimated for the area around the Hunerkogel (MS-H) (cf. Fig. 4), the horizontal recession amounts to 15-30 m. For MS-D the horizontal recession is about 20-50 m, vertically the glacier has lost 5-25 m with highest amounts in northwest exposition. Around the Gjaidstein (MS-G), maximum decline rates, both horizontal (up to 70 m) and vertical (15-35 m), were determined.

Table 2: Horizontal recession and vertical surface lowering rates of the glacier areas in the four sub-regions of interest (Fig.1) between 1915 and 2009

Measurement site	MS-K	MS-H	MS-D	MS-G
Horizontal decline [m]	5-20	15-30	20-50	20-70
Vertical decline [m]	15-20	15-20	5-25	5-50

Figure 5 has now the additional information of measurementt locations.:

4 Results

4.1 BTS and GST

The temperature curves from January to July 2013 display that the winter equilibrium temperature (WEqT), as an indicator for permafrost, could be measured at all sites, as well as the zero curtain, RD and MD (Figure 5). At the north exposed MS-K the mean WEqT of nine GST measurements is -3.9°C, the mean of the six BTS measurements is -5.0°C. At MS-H the WEqT (iB-H2) and BTS in northeast aspect is with -5.2°C resp. -5.6°C significantly lower than the measured values at the east exposed rockwall. There, the mean WEqT (iB-H1, iB-H3) is -3.1°C, the mean of the BTS values is even higher (-2.2°C, maximum value of all temperature measurements). At MS-D the mean WEqT of the two iButtons in northeast exposure is -3.6°C while the mean BTS, measured north exposed, is -4.2°C. For MS-G the results show some more fluctuation in temperature at the beginning of the year (iB-G1, February), probably because of less insulation due to a shallow snowpack. Mean WEqT of all GST measurements carried out at the foot of the west to northwest exposed slope is -4.3°C, the mean BTS is -4.5°C. The WEqT of the southeast exposed iB-G2 is more than 1 K higher (-2.9°C), which can be explained by much higher direct solar radiation.



Figure 5: GST measurements from January 2013 to July 2013 and measurement locations of the different techniques at the studied rockwalls including interpretation of results of the GST and BTS measurements. The position of the glacier surface (gs) during the maximum of the LIA is indicated at all four sites. Abbreviations: WEqT = winter equilibrium temperature; RD = basal-ripening date; MD = melt-out date; gs = glacier surface; PF = permafrost. Image data sources: Orthophoto by Province of Upper Austria 2013, terrestrial image of Niederes Dirndl by www.swisseduc.ch

Major revisions were done in the discussion chapter:

5.1 General distribution of permafrost

Almost all of the measured BTS and GST temperatures point to the existence of permafrost in the zones along the upper glacier margins which have been subject to glacier retreat since the LIA maximum. This is confirmed by all 2D-geoelectic profiles (save MS-G) that indicate permafrost at some meters depth. At all four study sites permafrost layers with resistivities higher than 30 kohm.m occurred. Highest resistivities were found at the MS-K north face, followed by the MS-D site, while at the MS-G and MS-H the resistivities were lower and the border to the permafrost layers are not so pronounced (Fig. 6). The GST results support this resistivity order, with deeper WEqT temperatures beneath -5 °C at MS-K and WEqT between -5 and -3°C at the three other sites.

Long time (2004-2015) GST measurements in permafrost at a nearby mountain (Hochreichart 2416 m a.s.l.) show a general increase of the mean annual ground temperature (Kellerer-Pirklbauer, 2016). The mean annual temperature of 2013 at the Hochreichart site was an average value for the entire 2004-2015 period suggesting that our ground temperature data of 2013 for the Dachstein might be regarded as typical not only for a single year but at least for a decadal time-scale.

The permafrost model by Boeckli et al. (2012 a, b), which includes explanatory variables like annual air temperatures, potential incoming solar radiation and precipitation, fits quite well with our own field work results (Fig. 5-7). According to the GST/BTS classification defining temperatures < -3 °C as permafrost areas (Haeberli, 1973), all of our sites should be affected by permafrost in favorable conditions (Böckli et al., 2012a). The situation at MS-H is of particular local interest because of the touristic infrastructure with a cable car station on the top. Although the Boeckli et al. (2012a) model assumes permafrost only in very favorable conditions.for this site, the results at H1 clearly point to permafrost which is also underpinned by the temperature measurements.

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To clarify the open questions of aggradation vs. degradation, repeated ERT and temperature measurements are necessary, together with temperature measurements at the glacier base to confirm warm-based or polythermal conditions.

Permafrost distribution and conditions at the headwalls of two receding glaciers (Schladminger and Hallstadt glaciers) in the Dachstein Massif, Northern Calcareous Alps, Austria

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- 10 Abstract. Permafrost distribution in rockwalls surrounding receding glaciers is an important factor for rock slope failure and rockwall retreat. The Northern Calcareous Alps of the Eastern European Alps form a geological and climatological transition zone between the Alpine Foreland and the Central Alps. Some of highest summits of this area are located in the Dachstein Massif (47°28'32"N, 13°36'23"E) in Austria reaching up to 2995 m a.s.l. Occurrence, thickness and thermal regime of permafrost at this partly glaciated mountain massif are scarcely known and related knowledge is primarily based on regional
- 15 modeling approaches. We applied a multi method approach with continuous ground surface and near-surface temperature monitoring, measurement of bottom temperature of the winter snow cover, electrical resistivity tomography/ ERT, airborne photogrammetry, topographic maps, visual observations and field mapping for permafrost assessment. Our research focused on steep rockwalls consisting of massive limestone above several receding glaciers exposed to different slope aspects at elevations between c.2600-2700 m a.s.l. We aimed to quantify distribution and conditions of bedrock permafrost particularly 20
- at the transition zone between the present glacier surface and the adjacent rockwalls.

Low ground temperature data suggest that permafrost is mainly found at cold, north exposed rockwalls. At southeast exposed rockwalls permafrost is only expected in very favourable cold conditions at shadowed higher elevations (2700 m a.s.l.). ERT measurements reveal high resistivities (>30.000 ohm.m) at \geq 1.5 m depth at north-exposed slopes (highest measured resistivity values > 100 kohm.m). Based on laboratory studies and additional measurements with small scale ERT, these values indicate

- 25 permafrost existence. Such permafrost bodies were found in the rockwalls at all measurement sites independent of investigated slope orientation. ERT data indicate large permafrost bodies at north exposed sites whereas discontinuous permafrost bodies prevail at northwest and northeast facing rockwalls. In summary, permafrost distribution and conditions around the headwalls of the glaciers of the Dachstein Massif is primarily restricted to the north exposed sector, whereas at the south exposed sector permafrost is restricted to the summit region.
- 30 Keywords: Dachstein, Eastern Alps, permafrost, electrical resistivity tomography, base temperature of the winter snow cover, ground surface temperature

1 Introduction

Climate change has a great impact on perennially frozen and glaciated high mountain regions (Haeberli and Hoelzle, 1995; Haeberli et al., 1997; Harris et al., 2001; Lieb et al., 2012). Glacier retreat (Paul et al., 2004; Zemp et al., 2006; Kellerer 35 Pirklbauer et al., 2008) is the visible evidence with a loss of estimated 50% of the original glacier volume in the European Alps between the end of the Little Ice Age around 1850 and 1975, c.10% in 1975 2000 and further 10% in 2000 2009 (Haeberli et al., 2007, 2013, Magnin et al. 2017).

Kommentiert [MR1]: AR1: c) The quality of the manuscript is rather poor

MR: c) We reworked the content, checked language and removed irrelevant information (despite adding more desired information, the paper is now 600 words shorter).

Kommentiert [MR2]: AR1: a) The intention of the paper is entirely unclear. What is your scientific focus? What is the research gap you are going to fill?

Rode: a) We clarified our scientific focus in all chapters and focused on the aims of this study: Detection, delimitation and characterization of permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area.

Kommentiert [MR3]: AR3: a) The introduction gives a good eral overview of the context of the present study. However, it fails to explain why the methodology of this study was selected and especially what alternatives could have been used

MR: a) We clarified our scientific focus in all chapters and focused the aims of this study: Detection, delimitation and characterization of permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area and thus, to contribute to the question how widespread glacier retreat will affect permafrost degradation and/or aggradation. The reasons for our choice of methods have been substantiated.

Invisible, but also measurable, are permafrost changes in the subsurface. Once glacier covered rock surfaces with former temperatures around the melting point conditioned by temperate glacier ice become subjected to direct local atmospheric conditions after the ice melted. Depending on slope orientation and shading effects of these rock surfaces permafrost aggradation is possible at such sites. However, in case of cold and polythermal glaciers (with cold ice restricted to cold, high-

5 altitude parts of the glacier; Benn and Evans, 2010) permafrost might exist even below glacier covered areas. In addition to that, cirque glaciers are commonly separated from the headwall by a distinct crevasse (randkluft) separating the moving glacier from its headwall rock). Such a randkluft exists at several places in the study area (see Fig. 1) and is commonly visible during the ablation season. The total length of the mapped randkluft in the area depicted in Fig. 1 is 2841 m, which is about 14,9 % of the total glacier boundary in this map. Air can enter into this crevasse allowing a better coupling of the air and bedrock even 10 below the glacier surfaces and more efficient cooling during the autumn season. Therefore, both a polythermal glacier and a

glacier with a distinct randkluft might allow permafrost aggregation below the glacier surface. A warming of about 0.5 to 0.8°C in the upper tens of meters of alpine permafrost between 2600 and 3400 m a.s.l at the European alps in the last century (Harris et al., 2003) affects the long term thermal behavior of rockwalls and rock glaciers. Since 1850 a vertical mean rise of the lower limit of permafrost by about 1m/year was calculated (Frauenfelder, 2005). Changes

- 15 in ground thermal conditions, permafrost extent and hydrology are all sensitive to predicted future climate change (Gobiet et al., 2014). Such changes have the potential to intensify rock breakdown and can be responsible for rockwall instabilities (Wegmann et al., 1998; Sattler et al., 2011; Ravanel and Deline, 2011; Kellerer Pirklbauer et al., 2012; Krautblatter et al., 2013; Draebing et al., 2017a, b). Furthermore, the presence of permafrost in the rock has been proven to intensify frost weathering with subsequent rock falls (Matsuoka and Murton, 2008; Krautblatter, et al., 2013).
- 20 Therefore, acquiring knowledge on the permafrost distribution and freezing and thawing in the active layer (Supper et al., 2014) is important in high mountain areas particularly if infrastructure is potentially threatened (Kern et al. 2012). Ground surface and near ground surface temperature measurements in rockwalls (e.g. Matsuoka and Sakai, 1999; Gruber et al., 2003; Kellerer Pirklbauer, 2017), as well as deep borehole data in bedrock (Harris et al., 2003), provide valuable point information on rock temperature and thermal conditions of permafrost. Geophysical techniques enable the detection, monitoring and
- 25 visualization of subsurface permafrost characteristics indirectly and non-invasively from the surface. Several authors used electrical resistivity tomography (ERT) for permafrost investigations in sediments (e.g. Kneisel et al., 2008; Hauck, 2001; Hauck et al., 2003; Marescot et al., 2003; Laxton and Coates, 2011; Rödder and Kneisel, 2012; Stiegler et al., 2014). In contrast, in rockwalls comparable measurements are relatively scarce (e.g. Krautblatter and Hauck, 2007; Hartmeyer et al., 2012; Magnin et al., 2015, Draebing et al. 2017a, b) and for rockwalls close above the present glacier surfaces (Supper et al., 2014).
- 30 ERT data are widely missing. Accordingly, the aims of this study are to detect, delimit and characterize permafrost in the rockwalls surrounding the Schladminger and Hallstatt glaciers in the Dachstein area. Both glaciers have been subject to substantial mass loss and glacier surface lowering in the last decades. However, the resulting question about permafrost aggradation or degradation around receding glaciers in a mid-latitude alpine environment can't be answered based on this one-time investigation. The aim of the study is to delineate the current distribution of permafrost in this area.

35 <u>1 Introduction</u>

Climate change has a great impact on perennially frozen and glaciated high mountain regions (Haeberli and Hoelzle, 1995; Haeberli et al., 1997; Harris et al., 2001; Lieb et al., 2012). Glacier retreat (Paul et al., 2004; Zemp et al., 2006; Kellerer-Pirklbauer et al., 2008) is the visible evidence with a loss of estimated 50% of the original glacier volume in the European

Alps between the end of the Little Ice Age around 1850 and 1975, 10% in 1975-2000 and further 10% in 2000-2009 (Haeberli et al., 2007, 2013, Magnin et al. 2017).

Invisible, but also measurable, are permafrost changes in the subsurface. Once glacier-covered rock surfaces with former temperatures around the melting point – conditioned by temperate glacier ice – become subjected to direct local atmospheric

- 5 conditions after the ice melted. Depending on slope orientation and shading effects of these rock surfaces permafrost aggradation is possible at such sites after exposure. However, in case of cold and polythermal glaciers (with cold ice restricted to cold, high-altitude parts of the glacier; Benn and Evans, 2010) permafrost might exist even below glacier-covered areas. In addition to that, circue glaciers (and also those in our study area) are commonly separated from the headwall by a distinct crevasse (randkluft). Air can enter into this crevasse allowing a better coupling of the air and bedrock even below the glacier
- 10 surfaces and more efficient cooling during the autumn season. Therefore, both a polythermal glacier and a glacier with a distinct randkluft might allow permafrost aggregation below the glacier surface.

Changes in ground thermal conditions, permafrost extent and hydrology are all sensitive to predicted future climate change (Gobiet et al., 2014). A warming of about 0.5 to 0.8°C in the upper tens of meters of alpine permafrost between 2600 and 3400 m a.s.l at the European alps in the last century (Harris et al., 2003) effects in a vertical mean rise of the lower limit of permafrost

- 15 by about 1m/year (Frauenfelder, 2005). This can potentially trigger rockwall instabilities (Wegmann et al., 1998; Sattler et al., 2011; Ravanel and Deline, 2011; Kellerer-Pirklbauer et al., 2012; Krautblatter et al., 2013; Draebing et al., 2017a, b). Therefore, acquiring knowledge on the permafrost distribution and freezing and thawing in the active layer (Supper et al., 2014) is important in high mountain areas particularly if infrastructure is potentially threatened (Kern et al. 2012). While ground surface temperature measurements in rockwalls (e.g. Matsuoka and Sakai, 1999; Gruber et al., 2003; Kellerer-
- 20 Pirklbauer, 2017) can provide valuable point information on rock temperature and thermal conditions of permafrost, geophysical techniques enable the visualization of subsurface permafrost characteristics in 2D- or 3D-arrays. Several authors used electrical resistivity tomography (ERT) for permafrost investigations in sediments (e.g. Kneisel et al., 2008; Hauck, 2001; Hauck et al., 2003; Marescot et al., 2003; Laxton and Coates, 2011; Rödder and Kneisel, 2012; Stiegler et al., 2014). In contrast, in rockwalls comparable measurements are relatively scarce (e.g. Krautblatter and Hauck, 2007; Hartmeyer et al., 2012;
- 25 Magnin et al., 2015, Draebing et al. 2017a, b) and for rockwalls close above the present glacier surfaces (Supper et al., 2014), ERT data are widely missing. Accordingly, the aims of this study are to detect, delimit and characterize permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area and thus, to contribute to the question how widespread glacier retreat will affect permafrost degradation and/or aggradation.

30 2 Study Area

The Dachstein Massif with its highest peak, the Hoher Dachstein (2995m a.s.l.) located at 47°28'32"N and 13°36'23"E, are a mountain range in the Northern Calcareous Alps in Austria covering an area of about 400 km² (Fig. 1). The study area is characterized by steep rockwalls (e.g. Dachstein south wall with 850 m altitude difference within a vertical distance of some hundred meters) towering relatively flat plateaus and summits, presently receding glaciers and extensive touristic infrastructure with cable cars, ski lifts and ski runs. The glaciers of the Dachstein Massif, in particular the Schladming Glacier (Fig. 1) is

35 with cable cars, ski lifts and ski runs. The glaciers of the Dachstein Massif, in particular the Schladming Glacier (Fig. 1) is intensively used for alpine skiing. The surrounding headwalls are also partly used by means of a military transmitting station, lift stations and public climbing routes. Kommentiert [MR4]: AR1: b) You limit the study on describing the permafrost distribution in the Dachstein Massive. In this case, I am in doubt that the relevance of your study is high enough for an international journal as The Cryosphere

MR: b) We have now pointed out much clearer that the abovementioned aims contribute to an overarching question which is of relevance for an international readership: how widespread glacier retreat will affect permafrost degradation and/or aggradation in alpine rock walls.

The prevailing rock type in the study area is the very compact Dachstein Limestone (GBA, 1982; Gasser et al., 2009). Our research focused on the lower parts of steep rockwalls at four different measurement sites (MS). These sites are located at the mountains Koppenkarstein (MS-K; summit elevation 2863 m a.s.l), Dirndln (MS-D; 2832 m a.s.l), Gjaidstein (MS-G; 2794 m a.s.l) and Hunerkogel (MS-H; 2687 m a.s.l) at elevations between 2600-2700 m a.s.l. (Fig. 1). These sites are located next to the Schladminger and Hallstatt glaciers.

The climatic conditions of the study area are dominated by west and northeast air flows. The main maximum of precipitation is during summer with a secondary maximum in winter. The Dachstein Massif is a climate divide with substantially lower precipitation at its south facing slopes compared to the north facing slopes at identical elevations (Wakonigg, 1978). Air temperature measurements at the surface of the Schladming Glacier next to the Hunerkogel at 2600 m a.s.l. showed annual average temperatures (MAAT) of -2.4°C from 2007-2016.

Glacier recession and surface lowering between the maximum glacier extent during the Little Ice Age/LIA (c.1850) and today changed the appearance of the landscape substantially. Hallstatt Glacier lost about 50% of its area and 52% of its length, whereas Schladming Glacier melted down by 55% (area) and 48% (length). The retreat of the glaciers located at the Dachstein Massif since the LIA are well documented by Simony (1895), Moser (1997), Krobath and Lieb (2004), Helfricht (2009) or
Fischer et al. (2015). New ice-free areas in the glacier forefield and the surrounding head walls afforded new touristic concepts and safety precautions over the years, as for instance new secure climbing routes at the north face of the Koppenkarstein.

Scientific activities in the study area considering permafrost and rock falls have been scarce. The MAAT of 2.4°C (2007-2016) at about 2600 m a.s.l. at the Dachstein massif indicates the possible presence of discontinuous permafrost in the study area (Humlum, 1998). The first evidence of the existence of permafrost in the study area was provided by bottom temperature

20 measurements of the snow cover (BTS) carried out by Schopper (1989) and Lieb and Schopper (1991) in the proglacial area of the Schladming glacier at 2300-2400 m a.s.l. According to these authors, the lower limit of discontinuous permafrost can be expected at this elevation. More recent simulations regarding the probability of permafrost existence in Austria (Ebohon and Schrott, 2009) or in the entire European Alps (Boeckli et al., 2012 a, b) revealed that permafrost existence in the study area is particularly likely at north exposed, higher elevated slopes as well as in the proglacial area of the Schladminger Glacier.

25 2 Study Area

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2.1 General Setting

The Dachstein Massif with its highest peak, the Hoher Dachstein (2995m a.s.l.) located at 47°28'32"N and 13°36'23"E, are a mountain range in the Northern Calcareous Alps in Austria covering an area of about 400 km² (Fig. 1). The study area is characterized by steep rockwalls (e.g. Dachstein south wall with 850 m altitude difference within a vertical distance of some hundred meters) towering relatively flat, glacier-covered plateaus and extensive touristic infrastructure with cable cars, ski lifts and ski runs. In particular the Schladming Glacier (Fig. 1) is intensively used for alpine skiing. The surrounding headwalls are

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5 that permafrost existence in the study area is particularly likely at north-exposed, higher elevated slopes as well as in the proglacial area of the Schladminger Glacier.

Our research focused on the lower parts of steep rockwalls at four different measurement sites (MS) at elevations between 2600-2700 m a.s.l. next to the Schladminger and Hallstatt glaciers (Fig. 1). The Koppenkarstein site (MS-K; summit elevation 2863 m a.s.l) was chosen due to the high probability of permafrost at this shaded position, the pronounced randkluft and the

10 well-documented, high amount of glacier surface lowering. The Dirndln site (MS-D) was selected because of a distinct blowout depression between the glacier and the mountain (Fig. 2). This causes snow-poor and ice-free conditions at the footslope of the mountain which probably reduced ice coverage even during the LIA extent of the glacier. The Gjaidstein site (MS-G) is slightly lower and oriented to the west which makes permafrost occurrence less probable. At Hunerkogel (MS-H) the cable car station is located which makes this site interesting in terms of endangered infrastructure. There are no sites oriented to the

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15 south as there is only a very small glacier and the probability of permafrost is much lower.



Figure 1: Location of the study area Dachstein Massif in Austria and an overview map depicting the four different measurement sites within the study area. Glacier recession between c.1850 (LIA maximum) and 2012 is indicated. Abbreviations: MS-D: measurement site Dirndln; MS-G: measurement site Gjaidstein; MS-H: measurement site Hunerkogel; MS-K: measurement site Koppenkarstein. Orthophoto in the background by Province of Upper Austria 2013.

2.2 Reconstruction of deglaciation

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The Hallstadt Glacier and the Schladming Glacier have been subject to substantial mass loss and glacier surface lowering since the Little Ice Age/LIA (c.1850) and particularly in the last decades. Hallstatt Glacier lost about 50% of its area and 52% of its length, whereas Schladming Glacier melted down by 55% (area) and 48% (length). The retreat of the glaciers located at the Dachstein Massif since the LIA are well documented by Simony (1895), Moser (1997), Krobath and Lieb (2004), Helfricht (2009) or Fischer et al. (2015). New ice-free areas in the glacier forefield and the surrounding head walls afforded new touristic Kommentiert [MR5]: AR3:b) The selection of the four measurement sites needs to be explained and justified in the text.

MR: b, c) The chapter "study region" was revised and now contains the suggested additional information.

concepts and safety precautions over the years. A randkluft exists at several places in the study area (see Fig. 1) and is commonly visible during the ablation season. The total length of the mapped randkluft in the area depicted in Fig. 1 is 2841 m, which is about 14.9 % of the total glacier boundary in this map.

In addition to the abovementioned published glacier reconstructions, airborne photogrammetry, topographic maps, visual observations and field mapping were applied for the reconstruction of deglaciation at our measurement sites. To visualize the vertical changes of the glacier surface, digital terrain models (DTM) with a spatial resolution of 5 m were produced from published 1:25,000 maps of the German-Austrian Alpine Society from 1915 and 2002 by digitizing the 10 m contour lines and generating DTMs using the ArcGIS 10.0 Topo-to-Raster function. The difference between both models showed the glacier retreat of 1915 to 2002. In addition, recent orthophotos from 2009 (provided by the Federal Government of Upper Austria)

10 and data from the third Austrian glacier inventory (Fischer et al., 2015) enabled the mapping of the present glacier surface and thus, the estimation of glacier retreat from 1915 to 2009. The comparison of historic photographs from 1958 (Schneider, July 1958 from Österreichischer Alpenverein 1958) and own photographs from 2013-2015 gave further information about the vertical surface lowering (Fig. 2).



15 Figure 2: Comparison of the glacier surface at the foot of the Koppenkarstein in 2013 (photo Gitschthaler, 02-08-2013) and 1958 (photo Schneider, July 1958 from Österreichischer Alpenverein 1958). Note the obvious surface change at Hunerkogel. Note that the shooting location of both years is not exactly the same.

The ascertained horizontal recession and vertical surface lowering rates of the glacier area between 1915 and 2009 for the four measurements sites is shown in Table 2. For the MS-K the horizontal recession is about 20 m near the Austriascharte but only
 5-10 m at the north face of the Koppenkarstein. The vertical loss there is about 15-20 m. Similar amounts of vertical decline were estimated for the area around the Hunerkogel (MS-H) (cf. Fig. 4), the horizontal recession amounts to 15-30 m. For MS-D the horizontal recession is about 20-50 m, vertically the glacier has lost 5-25 m with highest amounts in northwest exposition. Around the Gjaidstein (MS-G), maximum decline rates, both horizontal (up to 70 m) and vertical (15-35 m), were determined.
 Table 2: Horizontal recession and vertical surface lowering rates of the glacier areas in the four sub-regions of interest (Fig. 1) between 1915 and 2009

<u>Measurement site</u>	MS-K	MS-H	MS-D	MS-G
<u>Horizontal</u> decline [m]	<u>5-20</u>	<u>15-30</u>	<u>20-50</u>	<u>20-70</u>
<u>Vertical</u> <u>decline [m]</u>	<u>15-20</u>	<u>15-20</u>	<u>5-25</u>	<u>5-50</u>

3 Methods

- 5 A multidisciplinary approach was chosen to study the relationships between geology, glacier and permafrost in the study area. We used continuous ground surface temperature (GST) monitoring at the surface using miniature temperature datalogger, bottom temperature of the winter snow cover (BTS; Haeberli, 1973) and electrical resistivity tomography (ERT) profiling. The mentioned techniques have been used at all four measurement sites indicated in Fig. 1. Figure 2 shows all GST, BTS and ERT locations at the four measurement sites. Furthermore, a 7 m deep borehole was drilled into the north facing rockwall of 10 Koppenkarstein in 2014 (Fig. 2; MS-K). Due to fragmentary data related to technical problems and hence missing continuous summer temperature data series no results are presented (Schnepfleitner et al. 2015). In addition, airborne photogrammetry,
 - topographic maps, visual observations, field mapping and published glacier reconstructions (Simony, 1895; Moser, 1997; Krobath and Lieb, 2004; Helfricht, 2009; Fischer et al., 2015) were applied for the reconstruction of deglaciation.

3 Methods

15 We focused on the permafrost distribution in the areas of glacier retreat between 1915 and 2009 (Fig. 2). To this end, we followed a multidisciplinary approach including continuous ground surface temperature (GST) monitoring at the surface using miniature temperature datalogger, bottom temperature of the winter snow cover (BTS; Haeberli, 1973) and electrical resistivity tomography (ERT) profiling.



Figure 2: Measurement locations of the different techniques at the studied rockwalls including interpretation of results of the GST and BTS measurements. The position of the glacier surface (gs) during the maximum of the LIA is indicated at all four sites. For details regarding interpretation of GST and BTS values see further below. Abbreviations: gs = glacier surface; PF = permafrost. Image data sources: Orthophoto by Province of Upper Austria 2013, terrestrial image of Niederes Dirndl by <u>www.swissedue.ch</u>.



Figure 3: Measurement locations of the different techniques (BTS, GST, ERT) at the studied rockwalls. Data source: Orthophoto by Province of Upper Austria 2013

5 3.1 Base temperature of the winter snow cover (BTS)

BTS is based on the insulating properties of sufficiently thick snow cover (> 1 m), which prevents the ground surface from short-term periodical variations in air temperature (Haeberli, 1973, 1975). BTS is controlled by the heat flow of the subsurface and is distinctly lower above frozen ground. Haeberli (1973) defined temperatures < -3° C as permafrost areas, measurements between -2° C and -3° C as uncertainty range and temperatures > -2° C as non-permafrost areas. A self-constructed BTS thermocouple probe with a Pt100 (1/3 DIN class B) fixed to the bottom of a 3 m long steel rod (System KRONEIS, Vienna)

- 10 thermocouple probe with a Pt100 (1/3 DIN class B) fixed to the bottom of a 3 m long steel rod (System KRONEIS, Vienna) at the lower end of a 3 m carbon tube was used. Measurements were performed at each point until constant temperature was registered for at least 2 minutes. The accuracy of measurements depends on several factors like calibration of the temperature sensor or disturbance of the temperature field by the breakthrough of the snow field by the probe. A total of 13 BTS-points (at each point three measurements within an area of 2 m²; cf. Brenning et al., 2005) were determined at recently glacier free areas
- 15 based on the multitemporal analyses of published maps and orthophotos (Fig. 2) in 2600 2700 m a.s.l. In the time period around the measurement date (20-03 to 21-03-2013), the snow cover recorded by a weather station at the Hunerkogel (snowreporter, 2013) increased continuously from 1.5 m (01-12-2012) to 3.5 m (25-03-2013). During the 13 BTS measurements snow depths ranged from 2 to 3.5 m. As pointed out by Brenning et al. (2005), BTS has to be interpreted as a relative measure of ground thermal state and not strictly as a permafrost indicator.

3.2 Ground surface temperature (GST)

To avoid the restrictions of short BTS measurements, additional miniature temperature data loggers (iButtons, e.g. Gubler et al., 2011) were mounted at the bedrock surface. iButtons of type DS1922L, (Maxim Integrated) with a resolution of 0.5 °C and a measurement interval of 1 h were chosen. The sensors were placed in 20 very shallow boreholes with a depth of 2 cm (Fig. 2). Additional protection against moisture was provided by small plastic bags. Preliminary laboratory calibrations of the

5 (Fig. 2). Additional protection against moisture was provided by small plastic bags. Preliminary laboratory calibrations of the sensors did not show any effects of the used plastic bags. iButtons where placed at the measurement sites at the rock surface beneath the snow pack on 01-01-2013 and removed on 31-07-2013. Therefore, up to 7 months of data were available for analysis.

With GST it is possible to monitor the seasonal temperature fluctuations at the uppermost centimeters of the surface (e.g. Ishikawa, 2003). The winter equilibrium temperature (WEqT) describes temperature fluxes beneath the snow pack and is defined as the mean temperature of stable conditions during February and March. In case of strong temperature fluctuations – for instance related to atmospheric influence – during this period, the WEqT-approach is not applicable. As with BTS, strongly negative WEqT (< -3°C) are measured on frozen ground (permafrost areas) whereas on non-frozen bedrock the WEqT is usually close to 0°C or moderately negative. Another important parameter is the zero curtain period with temperatures around

15 0°C caused by the melting of the snow and isothermal conditions within the snow pack. The zero curtain period is framed by the basal-ripening date (RD), which describes the heating of the frozen ground by melting snow and the melt out date (MD), on which the snow cover is gone (Schmid et al., 2012).

3.3 ERT

For geophysical resistivity measurements, a constant current is applied into the ground through two 'current electrodes' and the resulting voltage differences at two 'potential electrodes' are measured (Knödel et al., 2005). From the current and voltage values, an apparent resistivity value is calculated. ERT is excellently suited for permafrost detection as frozen ground is generally characterised by high electrical resistivity (due to the lack of conducting liquid water) and a strong contrast to the unfrozen surrounding (Hauck and Kneisel, 2008; Schrott and Sass, 2008). To determine the true subsurface resistivity in different zones or layers, an 'inversion' of the measured apparent resistivity must be carried out; we used the Res2Dinv

- 25 software package by Loke (1999). A GeoTom-2D system (Geolog2000, Starnberg, Germany) with multicore cables was used. Depending upon the local topography, between 24 and 50 electrodes were used. The connection between the electrodes and the rock was established by stainless steel screws, 12 mm in diameter, which were driven into 12 mm wide shallow boreholes, 50 mm deep and the spacing between two electrodes was 2 m. Thus, the total extent of the survey lines was between 32 and 98 m. Salt water and metallic grease were used to improve electrical contacts. Figure 2 shows the positions of the ERT
- 30 measurements at rockwall MS-K, MS-H, MS-D and MS-G. The measurements were carried out by means of Wenner array which provides a particularly sound depth resolution in the central parts of the profile (Knödel et al., 2005; Loke, 1999). We used the robust inversion modelling process. The model discretization was set to use an extended model with an increase factor of model depth range of 1.5. Robust inversion delivered very good results in terms of low absolute error (maximum 15.5%). To assess the quality of the results the depth of investigation (DOI) method was used (Oldenburg and Li, 1999; Hilbich et al.,
- 35 2009; Stiegler et al., 2014). With this technique two inversions of the same data sets are carried out using equation (1), but with two different reference models with homogeneous resistivity values m₀₁ and m₀₂ (Hilbich et al., 2009). The first reference value (m₁) is usually calculated from the average of the logarithm of the observed apparent resistivity values. The second reference resistivity value (m₂) is usually set at 10 times this value. Model regions with DOI index values >0.2 are considered as unreliable (Hilbich et al., 2009). This empirical method determines the effective depth of investigation (Angelopoulos et al., 2013).

$$DOI(x, y) = \frac{m_1(x, z) - m_2(x, z)}{(m_{01} - m_{02})},$$

Inversion artefacts are often caused by high resistivities and high resistivity contrasts between frozen and unfrozen subsurfaces and can lead to misinterpretations of the inversion model tomograms. Applying synthetic modelling can be used to confirm the hypotheses drawn from the observed internal permafrost structure of the rockwall. By using the software Res2Dmod (Loke, 1999) simulated data of the expected apparent resistivities were calculated with the same measurement setup as in the field. 5

5 1999) simulated data of the expected apparent resistivities were calculated with the same measurement setup as in the field. 5 % Gaussian noise was added to the apparent resistivities to simulate field conditions (Hauck, 2001; Stiegler et al., 2014). The robust inverted synthetic model was compared to the real inverted data. The modelling process continued until both inverted data sets had similar tomograms. The final synthetic model was used as a possible representation of the subsurface (Hilbich et al., 2009; Stiegler et.al., 2014).

10 3.3.1 Resistivity category definition

To determine the thermal condition within the rockwall the resistivity values have to be grouped into different categories. In this study the results of a small-scale geoelectric monitoring station used for rock moisture and frost weathering research nearby to the ERT-profiles at the Koppenkarstein (Fig. 2, MS-K) were used to classify the resistivities. The same GeoTom-2D system with multicore cables for 68 electrodes was used. The connection to the rock was established by stainless steel

- 15 screws, 5 mm in diameter, which were driven into 4 mm boreholes, 1 cm deep and 6 cm apart. Thus, the total extent of the survey line was 4.08 m. Additional temperature sensors (Pt1000, Geoprecision) at 0, 2, 6, 12 and 18 cm depth gave simultaneous information about the temperature behaviour within the rock. The combined analysis of resistivity and temperature changes at different depths caused by freeze thaw events provides the necessary information to define the rock resistivity characteristics at different temperatures. The mean resistivities along the whole profile at 2, 6, 12 and 18 cm depth
- 20 were compared with the temperature results. Similar to the laboratory results of Krautblatter et al. (2010), a rapid increase in resistivity from 13 kohm.m to 30 kohm.m was observed in the temperature range between -0.5 and -1°C (Fig. 3). The unfrozen rock was characterized by resistivities of up to 13 kohm.m, the transition zone with still unfrozen layers ranged from 13-30 kohm.m and frozen rock had resistivities exceeding 30 kohm.m. Similar thresholds were used by Krautblatter et al. (2007) and Magnin et al. (2017).



Figure 3: Comparison of small scale ERT (left) and laboratory (right; Krautblatter et al. 2010) calibration measurements.

3.4 Deglaciation reconstruction

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To get more information about the vertical changes of the glacier surface and to visualize the ice free rockwalls, digital terrain models (DTM) with a spatial resolution of 5 m were produced from published 1:25,000 maps of the German Austrian Alpine

(1)

Society from 1915 and 2002. The two maps were scanned and the 10 m contour lines were digitized in ArcGIS 10.0 and DTMs were generated using the Topo to Raster function. The adequate quality of the calculated surfaces was confirmed by comparing the output data (contour lines of the calculated DTMs) with the input data (contour lines from the maps). The difference between both models finally showed the glacier retreat of 1915 to 2002. In addition, recent orthophotos from 2009 (provided by the Federal Government of Upper Austria) and data from the third Austrian glacier inventory (Fischer et al., 2015) enabled the mapping of the present glacier surface and so the estimation of the glacier retreat of 1915 to 2009. The comparison of historic photographs from 1958 (Schneider, July 1958 from Österreichischer Alpenverein 1958) and own photographs from 2013-2015 gave further information about the vertical surface lowering (Fig. 4). The poor quality and widespread existence of late-lying winter snow in some of the photographs hindered an exact comparison of all investigated rockwalls.



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Figure 4: Comparison of the glacier surface at the foot of the Koppenkarstein in 2013 (photo Gitschthaler, 02-08-2013) and 1958 (photo Schneider, July 1958 from Österreichischer Alpenverein 1958). Note the obvious surface change at Hunerkogel. Note that the shooting location of both years is not exactly the same.

4 Results

15 4.1 BTS and GST

Figure 5 shows the results of the GST measurements, carried out at the sites MS-K, MS-H, MS-D and MS-G. Measurement locations including additional BTS points are shown in Fig. 2. The temperature curves from January to July 2013 display that the WEqT, as an indicator for permafrost, could be measured at all sites, as well as the zero curtain, RD and MD. At the north exposed MS-K the mean WEqT of nine GST measurements is -3.9°C, the mean of the six BTS measurements is -5.0°C. At
20 MS-H the WEqT (iB-H2) and BTS in northeast aspect is with -5.2°C resp. -5.6°C significantly lower than the measured values at the east exposed rockwall. There, the mean WEqT (iB-H1, iB-H3) is -3.1°C, the mean of the BTS values is even higher (-2.2°C, maximum value of all temperature measurements). At MS-D the mean WEqT of the two iButtons in northeast exposure is -3.6°C while the mean BTS, measured north exposed, is -4.2°C. For MS-G the results show some more fluctuation in

Kommentiert [MR6]: AR2: a) The manuscript is quite complex displaying a large set of different methods, data and data analysis techniques. Especially in the results section the presentation gets complicated and lacks a clear structure.

MR: a) We clarified our scientific focus in all chapters and focused on the aims of this study: Detection, delimitation and characterization of permafrost in the rockwalls surrounding the retreating Schladminger and Hallstatt glaciers in the Dachstein area. The Results section has been entirely restructured and rewritten.

temperature at the beginning of the year (iB-G1, February), probably because of less insulation due to a shallow snowpack. The temperature values of iB-G1 but also of the adjacent BTS measurement at the south end of the ridge to Kleiner Gjaidstein are therefore lower than those of the surrounding measurements. Mean WEqT of all GST measurements carried out at the foot of the west to northwest exposed slope is -4.3°C, the mean BTS is -4.5°C. The WEqT of the southeast exposed iB-G2 is more than 1 K higher (-2.9°C), which can be explained by much higher direct solar radiation.



Figure 5: GST measurements from January 2013 to July 2013. Abbreviations: WEqT = winter equilibrium temperature; RD = basal-ripening date; MD = melt-out date.

10 4 Results

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4.1 BTS and GST

The temperature curves from January to July 2013 display that the winter equilibrium temperature (WEqT), as an indicator for permafrost, could be measured at all sites, as well as the zero curtain, RD and MD (Figure 5). At the north exposed MS-K the mean WEqT of nine GST measurements is -3.9°C, the mean of the six BTS measurements is -5.0°C. At MS-H the WEqT (iB-

- 15 H2) and BTS in northeast aspect is with -5.2°C resp. -5.6°C significantly lower than the measured values at the east exposed rockwall. There, the mean WEqT (iB-H1, iB-H3) is -3.1°C, the mean of the BTS values is even higher (-2.2°C, maximum value of all temperature measurements). At MS-D the mean WEqT of the two iButtons in northeast exposure is -3.6°C while the mean BTS, measured north exposed, is -4.2°C. For MS-G the results show some more fluctuation in temperature at the beginning of the year (iB-G1, February), probably because of less insulation due to a shallow snowpack. Mean WEqT of all
- 20 GST measurements carried out at the foot of the west to northwest exposed slope is -4.3°C, the mean BTS is -4.5°C. The WEqT of the southeast exposed iB-G2 is more than 1 K higher (-2.9°C), which can be explained by much higher direct solar radiation.



Figure 5: GST measurements from January 2013 to July 2013 and measurement locations of the different techniques at the studied rockwalls including interpretation of results of the GST and BTS measurements. The position of the glacier surface (gs) during the maximum of the LIA is indicated at all four sites. Abbreviations: WEqT = winter equilibrium temperature; RD = basal-ripening date; MD = melt-out date; gs = glacier surface; PF = permafrost. Image data sources: Orthophoto by Province of Upper Austria

2013, terrestrial image of Niederes Dirndl by www.swisseduc.ch

4.2 ERT

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Table 1 gives an overview of the 6 ERT profiles and their respective range of resistivities. The temperature/resistivity

10 classification from Fig. 3 constitutes the base for the interpretation of permafrost existence.

Kommentiert [MR7]: AR2: b) For example, it remains unclear what additional information the temperature/resistivity calibration should provide in this study since the measured resistivity values are interpreted solely based on resistivity values without reference to bedrock temperature distribution. Another example is the reconstruction of the former glacier surface.

MR: b) We clarified the question about the additional value of the temperature/resistivity calibration – we are thankful for this important suggestion. The calibration enables us to verify if the measured high resistivities in fact represent frozen rock or not. The reconstruction of the former glacier surface is now part of the chapter "study region" and builds the basic prerequisite for our methods and results.

The thermal resistivity classification from Fig. 3 constitutes the base for the interpretation of the ERT measurements at the four investigated rockwalls. Table 1 gives an overview of the 6 ERT profiles including interpreted permafrost existence.

Table 1: ERT profiles information. Abbreviation: PF = permafrost.

MS + date 05.0909.09. 2013	code	elevation [m a.s.l]	length [m]	alignment	resistivity [kohm.m]	PF
MS-K	ERT K1	2640 - 2680	48	vertical	7 - 500	yes
	ERT K2	2640	80	horizontal	4 - 330	yes
	ERT K3	2635 - 2700	92	vertical	5 - 300	yes
MS-H	ERT H1	2620	32	horizontal	9 - 160	yes
MS-D	ERT D1	2630	98	horizontal	7 - 300	yes
MS-G	ERT G1	2580	98	horizontal	4 - 300	yes

In Fig. 6 all ERT profiles use the same specific resistivity scaling delineating the three possible thermal conditions. At MS-D,

- 5 wide areas of high resistivities (> 30 kohm.m) are recognizable beneath 1.5 m depth. There are also two pronounced zones with resistivities of more than 100 kohm.m. At MS-G, layers with resistivities between 10 and 20 kohm.m are widespread below 1 m depth. Compared to MS-D the resistivities are lower and more heterogeneous with only two zones of resistivities above 30 kohm.m. At MS-H, only a short ERT profile was possible because of numerous lightning rods installed at the rockwall for the protection of the lift station. Nevertheless, an increase of resistivity is observable with rock depth; beneath 2 m depth
- 10 the resistivities are between 30 and 80 kohm.m. At the north face of MS-K three ERT profiles were installed, two of them in vertical settings. These two profiles cross the line where the glacier surface was located during the LIA maximum. The resistivity distribution at ERT profile line K3 with a length of 92 m and a penetration depth of almost 20 m shows higher resistivities (>30 kohm.m) in the upper part and lower resistivities in the lower part (10 30 kohm.m). In the center of the profile line below 2 m depth, resistivities of more than 100 kohm.m were observed. At profile line K1, higher mean resistivities
- 15 were measured. The part above the 1850 glacier surface shows resistivities of 30 50 kohm.m even at the surface, while below the 1850 line it is in the range between 5 20 kohm.m. Below 2-5 m rock depth a massive zone of very high resistivity (>100 kohm.m) is found. The horizontal profile K2 was measured just above the present glacier surface. Like at the other three horizontal profiles, resistivity steeply increases with depth. In the middle of the profile the surface appears to be frozen (>30 kohm.m) with resistivities increasing to >100 kohm.m at ca. 3-7 m depth. The DOI indexes prove the reliability of all data sets
 20 to depths of about 10-15 m (DOI mostly <0.2). The absolute error values of all inversions are between 6.5 % and 15.5 %.

In Fig. 6 all ERT profiles use the same specific resistivity scaling delineating the three possible thermal conditions. At MS D, high resistivities (> 30 kohm.m) are recognizable beneath 1.5 m depth. There are also two zones with resistivities of more than 100 kohm.m. At MS G also layers with resistivities above 30 kohm.m were detected below 1 m depth. Compared to MS D the resistivities are lower and more heterogeneous with only one zone of resistivities higher than 100 kohm.m near the east 25 end of the profile. At MS H, only a short ERT profile was possible because of numerous lightning rods installed at the rockwall

for the protection of the lift station. Nevertheless, an increase of resistivity is observable with rock depth; beneath 2 m depth the resistivities are between 30 and 80 kohm.m. At the north face of MS-K three ERT profiles were installed, two of them in vertical settings. These two profiles cross the line where the glacier surface was located during the LIA maximum. The

resistivity distribution at ERT profile line K3 with a length of 92 m and a penetration depth of almost 20 m shows higher resistivities (>30 kohm.m) at the upper part and lower resistivities at the lower part (10 — 30 kohm.m). In the center of the profile line below 2 m depth, resistivities of more than 100 kohm.m were observed. Generally higher resistivities (from 20 to > 100 kohm.m) were measured at ERT profile line K1. Like ERT profile lines D1 and G1 ERT profile K2 was measured just above the present glacier surface. Like at the other three horizontal profiles, an extreme increase of resistivity (from 10 to more than 30 kohm.m.) towards depth is shown. The DOI indexes prove the reliability of all data sets to depths of about 10–15 m with zones having a smaller DOI index than 0.2 (Fig.6). The absolute error values of all inversions are between 6.5 % and 15.5 $\frac{100}{50}$.



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Figure 6: ERT results at MS-D (measurement site Dirndln), MS-G (Gjaidstein), MS-K (Koppenkarstein) and MS-H (Hunerkogel). gs 1850 = glacier surface at c. 1850

Boxplot diagrams dividing the ERT profiles into 1 m depth sections are shown in Fig. 7. The profile MS-G is the only one with mean and medium resistivities below the 30 kohm.m threshold at all depths. In all other recorded profiles, mean values
of >30 kohm.m are reached below a certain depth, which is approx. 3 m at MS-D, MS-H and MS-K1, and 4-5 m at MS-K3. The profile MS-K2 is the only one with mean and median values of ca. 30 kohm.m even in the outermost layer. The resistivity increase at MS-K1 between 2 and 3 m is particularly pronunced; at ≥3 m, 100% of the values are above the 30 kohm.m are reached at MS-D, MS-K1 and MS-K2, while the mean is at around 60 kohm.m at MS-K3 and MS-H.

To interpret the ERT measurement, important statistical values are shown in Fig. 7. The ERT Profiles were divided into 1 m depth sections and the measured values were displayed in a box plot. Besides the profile ERT MS G, all other values of the recorded profiles are above 30 kohm.m. At the profile ERT MS D, values above 30 kohm.m were recorded at a depth of 1 to 2 m. Between 2 and 3 m, already 50% (Q2) of the data (also the mean) are above the 30 kohm.m limit. From a depth of 4 m, this limit reaches 70% (with increasing depth, this value still increases) of the measured data. Similar distributions, but with a smaller value range, can be found in profile ERT MS K3. The distribution of the measured data at the profiles ERT MS K1 and ERT MS K2, 50% of the values as well as the mean exceed the limit, already in the near surface areas. From a depth of >7m 90% of the values pass the limit. Based on this statistical analysis the existence of permafrost could not be confirmed at the measurement site ERT MS G. Although values above 30 kohm.m are reached, is the number of values ≥30 kohm.m too small for a significant statement. Overall, however, this evaluation confirms very well the results shown in Fig. 6.

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Figure 7: Boxplots of measured resistivity at depths from 0-8 m over the entire measuring profile. Value range for depth 1: $0 \le t_0 \le 1m$; 2: $1 \le t_0 \le 2m$ and so on.

4.3 Deglaciation reconstruction

Table 2 shows the ascertained horizontal recession and vertical surface lowering rates of the glacier area between 1915 and 2009 for the four measurements sites (see also Fig. 2). For the MS-K the horizontal recession is about 20 m near the Austriascharte but only 5-10 m at the north face of the Koppenkarstein. The vertical loss there is about 15-20 m. Similar amounts of vertical decline can be estimated for the area around the Hunerkogel (MS-H) (cf. Fig. 4), the horizontal recession there amount to 15-30 m (at the Hunerscharte about 20m). For the MS-D the horizontal recession is about 20-50 m, vertically the glacier has lost 5-25 m with highest amounts in northwest exposition. Around the Gjaidstein (MS-G) maximum decline rates, both horizontal and vertical, can be determined. The horizontal decrease of ice at this site is highest at the south and southeast exposed areas of the Schladminger Glacier (up to 70 m), the vertical loss there is about 15-35 m. The vertical decrease
10 west of the ridge from the peak of Gjaidstein to the Gjaidsteinsattel is up to 50 m, with highest losses northwest (up to 50 m) and west (up to 30 m) of the summit and lower values (only 5 m) getting closer to the Gjaidsteinsattel.

Table 2: Horizontal recession and vertical surface lowering rates of the glacier areas in the four sub-regions of interest (Fig. 1) between 1915 and 2009

Measurement site	MS-K	MS-H	MS-D	MS-G
Horizontal decline [m]	5-20	15-30	20-50	20-70
Vertical decline [m]	15-20	15-20	5-25	5-50

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5 Discussion

5.1 General distribution of permafrost

Almost all of the measured BTS and GST temperatures point to the existence of permafrost in the zones along the upper glacier margins which have been subject to glacier retreat since the LIA maximum. This is confirmed by all 2D-geoelectic profiles
 (save MS-G) that indicate permafrost at some meters depth. At all four study sites permafrost layers with resistivities higher than 30 kohm.m occurred. Highest resistivities were found at the MS-K north face, followed by the MS-D site, while at the MS-G and MS-H the resistivities were lower and the border to the permafrost layers are not so pronounced (Fig. 6). The GST results support this resistivity order, with deeper WEqT temperatures beneath -5 °C at MS-K and WEqT between -5 and -3°C at the three other sites.

- 25 Long time (2004-2015) GST measurements in permafrost at a nearby mountain (Hochreichart 2416 m a.s.l.) show a general increase of the mean annual ground temperature (Kellerer-Pirklbauer, 2016). The mean annual temperature of 2013 at the Hochreichart site was an average value for the entire 2004-2015 period suggesting that our ground temperature data of 2013 for the Dachstein might be regarded as typical not only for a single year but at least for a decadal time-scale.
- The permafrost model by Boeckli et al. (2012 a, b), which includes explanatory variables like annual air temperatures, potential
 incoming solar radiation and precipitation, fits quite well with our own field work results (Fig. 5-7). According to the GST/BTS classification defining temperatures < -3 °C as permafrost areas (Haeberli, 1973), all of our sites should be affected by permafrost in favorable conditions (Böckli et al., 2012a). The situation at MS-H is of particular local interest because of the touristic infrastructure with a cable car station on the top. Although the Boeckli et al. (2012a) model assumes permafrost only

in very favorable conditions for this site, the results at H1 clearly point to permafrost which is also underpinned by the temperature measurements.

At MS-K, the thinnest active layer and the highest resistivities of the deeper subsurface were found in the approximate middle of the vertical profiles, corresponding with the 1850 glacier surface. This is particularly well visible at the K1 profile. The

- 5 flattening of the rockwall at K3 in the elevation of the 1850 surface probably enabled accumulation of infiltrated moisture and the development of massive ice below the surface (-2 to -10m). Generally higher resistivities (>50 kohm.m) were found in the part of the rockwall above the 1850 margin which has been ice-free for more than 150 years. At the ERT-sites near the present glacier surface (MS-K - K2, MS-D - D1, MS-G - G1 and MS-H - H1) which have been ice-free for a much shorter time, resistivities are lower and the active layer is thicker.
- 10 At D1 it is difficult to determine the historically highest surface of the glacier extent, because the glacier surface at the Dirndl mountain is influenced by the mentioned blowout depression at the footslope of this mountain. The investigated part of the rockwall at D1 was probably ice free even before 1850 (Simony, 1884) and thus exposed to atmospheric conditions for much longer than K2, G1 and H1. The absence of insulating glacier ice could be the reason for the well-established ice layers at the left and in the middle of the profile beneath the active layer. However, between those two frozen parts thawing processes occur
 15 with resistivities between 10 and 20 kohm.m, mirrored by a wet and fractured rock surface in the field.

5.2 Significance of ERT data for permafrost detection

ERT permafrost investigations in bedrock may be error-prone because the resistivity contrast is small between ice, air and certain rock types, as all three nearly behave as an electrical insulator with very high resistivities (Hauck and Kneisel, 2008). Furthermore, the resistivity values for subzero ground span a wide range from about 13 kohm.m to more than 30 kohm.m

- 20 depending on the ice content (Hilbich et al., 2009). At all six ERT profile lines, areas with resistivities higher than 100 kohm.m up to 500 kohm.m (Table 1) were measured which, in all probability, represent frozen ground. These exceptionally high electrical resistivities could also be caused by air-filled cavities in the rock; due to karstification of the Dachstein limestone, the existence of caves can not be ruled out. However, the known caves usually occur in pronounced horizontal cave floors, and no cave mouths can be found at the elevation of the study sites. Furthermore, the geometrical distribution of high
- 25 resistivities particularly at MS-K1 and the position of the resistivity anomalies beneath an active layer of +/- consistent depth make the interpretation as cavities extremely improbable. Furthermore, the interpretation is backed by GST and BTS temperatures.
- 30 The use of salt water and conductive grease at the drilled-in screws lowered contact resistances between electrodes and rock and provided satisfactory data quality in terms of RMS errors. The use of the DOI method showed, that mainly at high resistivity changes (between thawed and frozen layers) some areas with DOI > 0.2 occur and should be discussed with caution (Hilbich, 2009). However, these zones are in positions where they do not affect the general interpretation. On the whole, the DOI analyses showed that all ERT profiles are reliable. To exclude resistivity misinterpretations regarding frozen vs. unfrozen 35 conditions, we performed resistivity measurements at a small scale geoelectric profile combined with temperature measurements at different depths. Krautblatter et al., (2010) performed systematic T/Res investigations in the laboratory and
- found a distinct resistivity increase with subzero temperatures. They determined 30 kohm.m as the threshold value from which on the rock (the very similar Wetterstein limestone) is very probably frozen. We were able to confirm these findings in a natural setting. The knowledge of the resistivity range of frozen and unfrozen rock *at our sites* puts the interpretation on a solid
- 40 basis. The transition zone with a mixture of liquid water and ice with values between 13 and 30 kohm.m is characterized by

the rapid increase of resistivity at the temperature change from positive to negative (starting around -0,5° C). During constant freezing and temperatures below -0.5 °C, values higher than 30 kohm.m. were measured.

5.3 Degradation or aggradation of permafrost?

- 5 Significant areas of the study region were affected by glacier recession and glacier surface lowering at the glacier forefield and the surrounding headwalls. The thermal regimes of surface ice and frozen ground can be interconnected and are influencing each other (Suter et al., 2001; Otto and Keuschnig, 2014). Our results prove the occurrence of permafrost in recently ice free rockwalls. An open question is if this permafrost has newly formed since glacier recession or if permafrost was already present under the ice?
- 10 At both vertical profiles MS-K1 and MS-K3 (Fig. 6) the largest area and highest resistivities of frozen rock is present near the 1850 glacier ice surface line. Frozen rock at some meters rock depth *below* the 1850 glacier surface level might (a) be due to permafrost aggradation due to the access of cold air since the beginning of glacier lowering. In this case, the glacier base should have been warm-based. (b) In case the glaciers in our study area are polythermal (i.e. of type d. on Fig. 2.6. in Benn & Evans, 2010), permafrost might exist under the cold-based areas of glacier ice. In this case, the active layer which developed
- 15 since deglaciation would indicate current permafrost degradation. As the thermal conditions at the base of the Schladminger glacier are not yet known, this question cannot be definitively clarified and further research is needed. However, at profile MS-K1 the active layer thickness decreases from the lowest point of the profile upwards and reaches its minimum at the elevation of the 1850 line. This supports interpretation 'a' because the higher areas had more time for aggradation than the lower parts. If 'b' was right, we would expect the active layer to be thicker where the time span for permafrost meltdown was longer.
- 20 The higher parts of the vertical ERT profiles have been presumable ice free at least since the onset of the Postglacial as judged from general glacier evolution during the Holocene in the Eastern Alps (Wirsig et al., 2016). Thus, permafrost in these areas results from significantly different conditions than in the lower parts which have been ice free for a much shorter period of time; smaller, warmer permafrost layers with lower resistivities should be expected. This assumed pattern is realized at MS-K3 in an ideal way. However, the pattern is opposite at MS-K1 with much higher resistivity in the assumedly "younger"
- 25 permafrost zone. The reason might be drier conditions above the 1850 line and the supply of meltwater below the line leading to more massive ice formations.

According to Magnin et al. (2017), statements regarding permafrost degradation (or aggradation) can only be made in combination with a time period. The authors simulated the long-term temperature evolution at three sites with different topographical settings between 3160 and 4300 m in the Mont Blanc massif, from the Little Ice Age (LIA) steady-state conditions to 2100. The simulation model was evaluated with borehole temperature and ERT measurements. Magnin et al.

As further changes in the shallow subsurface might become apparent after some years of observation, repeated measurements might clarify the question of degradation or aggradation of permafrost.

(2017) conclude that permafrost degradation has been progressing since the LIA.

35 6 Conclusions and Outlook

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The used methods have proven their applicability for permafrost mapping and have delivered information on permafrost distribution around the upper margins of retreating glaciers in the Dachstein area. Permafrost was found in all investigated

north facing rockwalls between 2600 and 2800 m a.s.l. that were subject to glacier retreat since the LIA maximum. Permafrost preservation (or even aggradation, see below), is thus possible in favourable cold conditions in north faces with MAAT below -2.5 °C (2013). Slightly less shadowed sites oriented northwest and northeast show degradation effects with very heterogeneous subsurface ERT tomograms with frozen and unfrozen parts. At the only west-facing site no permafrost could be confirmed.

5 The ERT data are of good quality. The resistivity calibration by using data of a small scale ERT profile line proved to be a helpful method to delimit frozen from unfrozen rock which may aid the interpretation also in other study regions. The ERT interpretation is backed by GST and BTS data.

The existence of permafrost at the former ice-covered positions could be due to slow degradation of permafrost that already existed under polythermal glacier ice, or to aggradation of permafrost after glacier retreat. Some of the evidence points to aggradation which would be an important finding also for research in other study areas. However, without long-term observation this conclusion remains partly speculative.

To clarify the open questions of aggradation vs. degradation, repeated ERT and temperature measurements are necessary, together with temperature measurements at the glacier base to confirm warm-based or polythermal conditions.

5 Discussion

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15 5.1 Permafrost distribution

The permafrost model by Boeckli et al. (2012 a, b), which includes explanatory variables like annual air temperatures, potential incoming solar radiation and precipitation, fits quite well with our own field work results (Fig. 5-7). According to the GST/BTS classification defining temperatures < -3 °C as permafrost areas, measurements between -2 °C and -3 °C as uncertainty range and temperatures > -2 °C as non-permafrost areas (Haeberli, 1973), almost all areas are affected by permafrost in cold and

- 20 permafrost in favorable conditions (Böckli et al., 2012a). Long time (2004 2015) GST measurements in permafrost at a nearby mountain (Hochreichart 2416 m a.s.l.) show a general increase of the mean annual ground temperature (Kellerer Pirklbauer, 2016). The mean annual temperature of 2013 at the Hochreichart site was an average value for the entire 2014-2015 period suggesting that our ground temperature data of 2013 for the Dachstein might be regarded as typical not only for a single year but at least for a decadal time-scale Especially at northerly oriented rockwalls, modelled and detected permafrost are in good
- 25 coincidence. At all four study sites permafrost layers with resistivities higher than 30 kohm.m occurred. Highest resistivities were found at the MS-K north face, followed by the MS-D site, while at the MS-G and MS-H the resistivities were lower and the border to the permafrost layers are not so pronounced (Fig. 6). The GST results support this resistivity order, with deeper WEqT temperatures beneath -5 °C at MS-K (near the borehole) and WEqT between -5 and -3 °C at the three other sites. At MS-K, the thinnest active layer and the highest resistivities of the deeper subsurface were found in the approximate middle of
- 30 the vertical profiles, corresponding with the 1850 glacier surface. This is particularly well visible at the K1 profile. The flattening of the rockwall at K3 in the elevation of the 1850 surface probably enabled accumulation of infiltrated moisture and the development of massive ice below the surface (2 to -10m). Generally higher resistivities (>50 kohm.m) were found in the part of the rockwall which has been ice-free during the last >150 years. At the ERT-sites near the present glacier surface (MS-K-K2, MS-D-D1, MS-G-G1 and MS-H-H1) which have been ice-free for a much shorter time, resistivities are lower and the active layer is thicker.

At D1 it is difficult to determine the historically highest surface of the glacier extent, because the glacier surface at the Dirndl mountain is highly influenced by wind causing snow poor and ice free conditions at the footslope of this mountain forming a distinct blowout depression between the glacier and the mountain (Fig. 2). The investigated part of the rockwall at D1 was

Kommentiert [MR8]: AR2: c) The discussion is not convincing, and here the authors again mix up various aspects. The entire discussion of permafrost aggradation/degradation is poor and could be much further elaborate. The authors conclude that permafrost is only found at the norther exposed slopes, but no other expositions have been investigated.

AR3: c) A large part of the discussion focuses on the comparison of the results of this study with the ones obtained by Boeckli et al. (2012) using a permafrost model. In order to follow it better and fully grasp the implications of this study it would be necessary in my opinion to include an additional figure showing the permafrost extent obtained by the model for the entire study region.

d) The discussion section presents and discusses the relation between the measured resistivities and the time since the deglaciation at the profile location. This is a very interesting point, which should be developed more in the manuscript.

MR: c) The Discussion section has been entirely restructured and rewritten. It now follows the structure (1) General distribution of permafrost; (2) Significance of the ERT results; (3) Aggradation or degradation of permafrost. References to other expositions have been omitted. The Conclusions were focused and shortened which allowed us to reach much more concrete conclusions.

probably ice free even before 1850 (Simony, 1884) and thus much longer exposed to atmospheric conditions than K2, G1 and H1. The absence of insulating glacier ice could be the reason for the well established ice layers at the left and in the middle of the profile beneath the active layer. However, between those two frozen parts thawing processes occur with resistivities between 10 and 20 kohm.m, mirrored by a wet and fractured rock surface in the field. The situation at MS H is of particular

- 5 interest because it is heavily used by touristic infrastructure with a cable car station on the top. Originally, it was not expected to detect permafrost at this site because of the rather small and lower elevated rock peak. Nevertheless, the results at H1 point to permafrost which is also underpinned by the temperature measurements at least at the north east exposed direction. However, at places like the Hunerkogel the Boeckli et al. (2012a) model assumes permafrost only in very favorable conditions. Our own results suggest that there is more permafrost than assumed which is important for further infrastructure projects.
- 10 Conversely, in south east exposed rockwalls at the Dirndl and at the Gjaidstein permafrost is probable only in very favorable conditions. The results broadly agree with Magnin et al. (2017) who have suggested that isolated permafrost bodies could exist down to 2800m at favorable S exposed sites while in N exposed locations, permafrost can also occur at significantly lower altitudes in the Montblane massif.

5.2 Significance of ERT data for permafrost detection

- 15 The use of salt water and conductive grease at the drilled in screws bypassed high contact resistances obtained between electrodes and ground and provided satisfactory data quality. ERT permafrost investigations in bedrock are always error prone. Whereas the resistivity contrast between ice and unfrozen water is huge, it is small between ice, air and certain rock types, as all three nearly behave as an electrical insulator with very high resistivities (Hauck and Kneisel, 2008). Furthermore, the resistivity values for subzero ground span a wide range from about 13 kohm.m to more than 30 kohm.m depending on the ice
- 20 content (Hilbich et al., 2009). To minimize the influence of possible air in cracks and fissures on resistivity interpretation synthetic modelling with precise ground surface layer properties was used. Used resistivities in modeling of 300 kohm.m as extreme values for ice/ mountain permafrost are not unusual (Hauck, 2001) and give a plausible idea of the subsurface of the rockwall at profile K3. Thus the similar result of the modelling process to the inverted ERT profile of K3 allows the allocation of the mentioned high resistivities to ice filled cracks and not to air filled voids. Similar pattern was found in the result of the
- 25 inverted ERT profile at K3, it can be assumed with a high significands, that cracks are filled with ice. At all six ERT profile lines, areas with resistivities higher than 100 kohm.m up to 500 kohm.m (Table 1) were measured which, in all probability, represent frozen ground. The usage of the DOI method showed, that mainly at high resistivity changes (between thawed and frozen layers) some areas with DOI > 0.2 were calculated and should be discussed with caution (Hilbich, 2009). On the whole, the DOI analyses showed that all ERT profiles are reliable and allow a detailed interpretation of the
- 30 different resistivities.

To exclude resistivity misinterpretations, temperature dependent resistivity determination by small scale geoelectric were performed (see Fig. 3). Laboratory calibration of temperature resistivity relationship have to imitate natural conditions and the resistivities have to be calculated under the assumption of half-space geometry with deviations of current flow from real half
space conditions (Krautblatter et al., 2010). The combined use of small scale ERT measurements and temperature sensors at different depths presented here gives — in contrast to lab approaches — the natural resistivity changes by freezing and thawing down to depths of about 50 cm. Micro cracks and fissures along the profile and zones of different moisture saturation represent the natural rock conditions and are included in the resistivity calibration. The transition zone with a mixture of liquid water and ice with values between 13 and 30 kohm.m is characterized by the rapid increase of resistivity from 5 kohm.m to 10 kohm.m at the temperature change from positive to negative (starting around -0,5° C). During constant freezing and

hat formatiert: Schriftfarbe: Automatisch

temperatures below -0.5 °C, values higher than 30 kohm.m. were measured. Krautblatter et al. (2010) also determined 30 kohm.m as an initial value for frozen Wetterstein limestone which is very similar to the Dachstein Limestone at the small scale ERT profile line (cf. Fig. 3).

5 5.3 Degradation or aggradation of permafrost?

Significant areas of the study region were affected by glacier recession and glacier surface lowering at the glacier forefield and the surrounding headwalls. The thermal regimes of surface ice and frozen ground can be interconnected and are influencing each other (Suter et al., 2001; Otto and Keuschnig, 2014). The occurrence of permafrost in recently ice free rockwalls is proven by our results. An open question is if this permafrost has newly formed since glacier recession or if permafrost was already present under the ice?

10 present under the ice?

At both vertical profiles K1 and K3 at MS-K (Fig. 6) the largest area and highest resistivities of frozen rock is present near the 1850 glacier ice surface line. Frozen rock at some meters rock depth *below* the 1850 glacier surface level might be due to permafrost aggradation due to the access of cold air since the beginning of glacier lowering. In this case, the glacier base should have been warm based. However, in case the glaciers in our study area are polythermal (i.e. of type d. on Fig. 2.6. in

15 Benn & Evans, 2010), permafrost might exist under the cold-based areas of glacier ice. In this case, the active layer which developed since deglaciation would indicate current permafrost degradation. As the thermal conditions at the base of the Schladminger glacier are not yet known, this question cannot be definitively clarified and further research is needed

According to Magnin et al. (2017), statements regarding permafrost degradation (or aggradation) can only be made in combination with a time period. The authors simulated the long term temperature evolution at three sites with different
 topographical settings between 3160 and 4300 m in the Mont Blanc massif, from the Little Ice Age (LIA) steady state conditions to 2100. The simulation model was evaluated with borehole temperature and ERT measurements. Magnin et al. (2017) conclude that permafrost degradation has been progressing since the LIA. Results of their simulations clearly suggest that climate change between the LIA termination and the 2010s, especially since the 1990s, have triggered a permafrost

- degradation in rockwalls, regardless of which period after the LIA is used for analysis. The higher parts of the vertical ERT profiles have been presumable ice free at least since the onset of the Postglacial as judged from general glacier evolution during the Holocene in the Eastern Alps (Wirsig et al., 2016). Thus, permafrost resulting from significantly different conditions than the lower parts. The lower parts of the rockwall have been ice free for a shorter period of time; hence the time of permafrost formation after the Holocene was shorter and resulted in smaller warmer permafrost layers in the rockwall and respective lower resistivities.
- 30 Based on the results of Magnin (et al., 2017) it can be assumed that an aggradation of permafrost after 1850 (with the exception of short cold periods) is rather unlikely and thus permafrost already existed under the glacier ice. However, it is assumed that permafrost is currently degrading in rockwalls. As further changes in the shallow subsurface might become apparent after some years of observation, repeated measurements might clarify the question of degradation or aggradation of permafrost.

35 6 Conclusions and Outlook

The used methods have proven their applicability for permafrost mapping. For the first time, map indication locations where permafrost is most likely absent or present based on point and profile data is available for the Dachstein area heavily used by

Kommentiert [MR9]: AR2: d) Overall, I think that the findings are of limited interest to a greater audience and have only local value to stakeholders active on that mountain.

MR: d) We have now pointed out much clearer that the aims mentioned in (a) contribute to an overarching question which is of relevance for an international readership: how widespread glacier retreat will affect permafrost degradation and/or aggradation in alpine rock walls.

AR3: f) This section (conclusion) needs to be carefully revised

MR: f) The Conclusions were focused and shortened. This allowed us to reach much more concrete conclusions, particularly on the question of permafrost aggradation vs. degradation.

tourism. Permafrost was mainly found at cold north exposed rockwalls. At south exposed rockwalls permafrost is expected at higher (2700 m a.s.l.) elevated and colder cold conditions only.

The ERT data are of high quality. The resistivity calibration by using data of a small scale ERT monitoring system proved to be a helpful method to delimit frozen from unfrozen rock.

5 Since an aggradation of permafrost after 1850 is rather unlikely, permafrost already existed under the glacier ice and is now degrading due to the direct complying to the atmosphere. It seems that local glacier related landforms like the blow-out depression located at the footslope of the Dirndl mountain ridge are favorable for permafrost.

Permafrost preservation, in very favourable cold conditions at shadowed higher elevations is still possible at Dachstein area with MAAT below -2.5 °C (2013), but already north, northwest as well as northeast exposed rockwalls show temperature related degradation effects with very heterogeneous (between frozen and unfrozen parts) subsurface ERT tomograms.

Continuous future permafrost monitoring is intended using data from the rather new permafrost borehole in the study area (Schnepfleitner et al., 2015). However, so far the borehole caused many problems and delivered only short data series. After solving this problems, we expect more quantitative information about active layer changes and possible subsurface temperature increase in the future.

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