We thank the referees and colleagues for their time and energy to review our manuscript. Please find below our responses to the points and comments raised by the reviewers and peers and the changes in the manuscript.

# Reviewer #1 (RC1):

This paper sets out to challenge the hypothesis that an increase in industrially produced black carbon (refractory black carbon; rBC) is responsible for glacier retreat in the Alps during the latter half of the 20th century due to a decreasing albedo feedback mechanism. The authors use data acquired from 2 new ice cores from the Colle Gnifetti and to derive the timing of an increase in rBC deposition above preindustrial levels and compare it to the timing and rates of glacial retreat, finding that most of the glacier retreat in the Alps had occurred prior to the onset of higher rBC. Another notable finding of the study is a discrepancy in modelled and reported rBC emissions and what is actually reported in the ice cores.

I think that this is an excellent manuscript. The authors do a great job in tying their observations from a single site to observations from other rBC records in the region as a validation of the regional scope of their conclusions and using a multi-proxy approach to documenting potential rBC source. The time of emergence analysis is novel for this application and was useful for deriving the emergence of "industrial" rBC as an aerosol. I thought that Figure 7 was particularly effective because it showed how glacier advance/retreat was functioning independently of rBC concentration where glaciers retreated when rBC concentration is high and advance when it is low.

Minor editorial comments are as follows:

Abstract, line 19: should be "The basis. . ." Pg 1, line 7: why "already"? Pg 1, line 8: should it be "cloud forming processes"? Pg 1, line 26: "hampering to attribute" is awkward., Pg 7, line 9: missing a period Pg 11, line 26: "forced by the latter" is awkward Pg 12, line 8: "documentaries"? Pg 13, line11: delete "towards" Pg 14, line 13: maybe change "Much understanding" to "Much of the understanding"?

We kindly acknowledge this positive and constructive evaluation. We carefully considered all the suggested technical corrections and revised the manuscript accordingly.

Pg 4, line 18: why is it summer biased?

Due to preferential wind erosion of winter snow as stated in line 15 and in the cited references

# Short Comment by T. H. Painter, M. G. Flanner, G. Kaser, B. Marzeion, R. A. VanCuren, and W. Abdalatiet al (SC1):

We are quite pleased to see testing of the hypothesis presented in our 2013 paper End of the Little Ice Age in the Alps forced by industrial black carbon. However, the paper submitted here to The Cryosphere Discussions (Sigl et al, hereafter SCD for Sigl Cryosphere Discussion) has a host of logical and interpretive errors that prevent its conclusion that it refutes the hypothesis in Painter et al 2013, let alone robustly. We describe these errors in the following three categories: (1) glaciology, (2) ice core interpretation, and (3) radiative transfer.

We acknowledge the comments by the authors of Painter et al., 2013; PNAS (hereafter PP13) and, in the following, address them point-by-point. Our main conclusions stand untouched.

1) At the time when BC deposition values from multiple state-of-the-art ice-core reconstructions exceeded its natural variability, the vast majority of glacier length reductions had already occurred.

2) During 1910-1920, when industrial BC deposition reached peak values exceeding three times values from 1875-1885 AD, many glaciers in the Alps were advancing.

Both these observations are inconsistent with the hypothesis that industrial BC forced the end of the Little Ice Age in the Alps. The end of the Little Ice Age, we argue, was primarily due to the absence of the radiative forcing agents that produced the Little Ice Age in the first place (Miller et al., 2012; Schurer et al., 2014) – clusters of large volcanic eruptions and solar minima, both more abundant between 1600-1840 AD compared to the long-term mean (Toohey and Sigl, 2017; Usoskin, 2017).

# (1) Glaciological

The importance and magnitude of the post-1865 retreat of Alpine glaciers is not that they retreat from the LIA high stand of the early 19th century but instead that they retreat to lengths not observed in the previous several hundred years.

The Little Ice Age is generally considered as spanning 1300-1870 (Grove, 2004). It is exactly because of this excursion that we used the glacier length records that we did in Painter et al (2013), which reached back hundreds of years. The specific statement in SCD, "can thus be understood as a delayed rebound back to their positions they had before the radiative perturbed time period 1800-1840 AD" (p12, lines 20-21) is inconsistent with the glacier records from the Alps going back to the 1600s. Such an abrupt Alps-wide excursion from multi-centennial glacier length equilibrium range requires a likewise abrupt and marked perturbation to energy or mass balance (Huybrechts et al., 1989; Kerschner, 1997) or potentially an intensification of subannual climate variability (Farinotti, 2013). Such do not exist in the observational record nor the HISTALP reconstruction.

We argue that the glaciers were not within their equilibrium range, neither from 1800-1840 nor from 1600-1840 due to the repeated radiative perturbations

during the late LIA. The glacier length records Mer de Glace and Bossons provide both: 1) a long-term perspective extending before 1600 AD and 2) highresolution observations since the mid-1850s that allow to identify precisely the timing of increased glacier retreat rates (Nussbaumer and Zumbühl, 2012; Zumbühl et al., 2008). Glacier lengths of Bossons were equal to that of 1870 in 1580, 1700, 1730 and 1760 AD (see Figure below). In 1742 AD the length was less than at any time between 1880-1930 AD. The glacier length positions of Mer de Glace during the late 16<sup>th</sup> century had not been reached before 1878 AD. The 16<sup>th</sup> and 18<sup>th</sup> century are characterized by lower volcanic activity, whereas the early 17<sup>th</sup> and early 19<sup>th</sup> century experienced strong volcanic perturbations. Mean Stratospheric Aerosol Optical Depth for the time window 1600-1840 AD was 40% larger than during the entire Common Era (Sigl & Toohey, 2017). Longterm glacier length records (e.g. from Great Aletsch and Gorner Glacier; (Holzhauser et al., 2005)) clearly show that glacier lengths less than in the 1880 AD have frequently occurred throughout the Common Era (e.g., in the 16<sup>th</sup> and 14<sup>th</sup> century and during most of the 'Medieval Quiet Period' and 'Roman Quiet Period', without the presence of industrial BC. See also review paper by Solomina et al. (2016).

This aspect is now considered in the "Discussion" section of the revised manuscript, where the following sentence was added: "*The stratospheric aerosol burden for the time window 1600-1840 AD was 40% larger than during the entire Common Era (Sigl & Toohey, 2017) with volcanic eruptions frequently forcing cold spells and glacier advances (e.g., in 1600s, 1640s, 1820s, 1840s) in the Alps (Fig. 9) and elsewhere (Solomina et al., 2016).*"



Figure 9: Cumulative length changes of four Alpine glaciers (Nussbaumer & Zumbühl 2012), tree-ring reconstructed Alpine summer temperatures (Büntgen et al., 2011), minima in solar activity (Usoskin 2017) and volcanic aerosol forcing (Revell et al., 2017; Toohey and Sigl, 2017) from 1500 to 1950 AD. Grey shading marks time periods with increased volcanic aerosol forcing.

The excursion from the envelope of lengths did not happen until approximately 1870-1875, consistent with their interpretation of when BC emissions emerged above pre-industrial.

It appears the authors here suggest that the Alpine glacier retreat (accelerating in the 1860s, based on high-resolution glacier length records) was well within the range of natural variability until 1875 AD (keeping in mind that climate was generally very cold since 1600 AD). We agree. The glacier retreat until 1875, however, makes up over 80% of the entire 1850-1900 length reduction (see Table below) visualizing the "End of the Little Ice Age" (PP13). For the remaining 19<sup>th</sup> century we stated: "Only after 1870 AD, when BC emissions started to strongly increase, snow-albedo impurity effects may have potentially contributed to the glacier length reductions, although the magnitude of such a feedback must be considered small given that glaciers were advancing during the coal-burning era of peak air pollution with BC in Central Europe (1910-1920)"; p.14, 1.9).

In the revised manuscript, following suggestions of Reviewer #2 we changed the title to "*No leading* role for industrial black carbon in forcing 19<sup>th</sup> century glacier retreat in the Alps".

*Table: Glacier length variability, and BC concentrations in the Alps (Colle Gnifetti) and Greenland (4 ice-core stack) during the mid-19th to early 20th century.* 

Year	BCAlps	BCGreenland	Bossons	Mer de	0.	U.
	(ng/g)	(ng/g)	(m)	Glace	Grindelwald	Grindelwald
				(m)	(m)	(m)
1850	2.5	1.4	-200	-149	-63	-72
1875	4.1	1.6	-682	-1099	-696	-780
1900	4.9	3.4	-527	-1261	-734	-950
1915	10.8	5.1	-549	-1419	-777	-1070

\*ice core concentrations are 10-yr means (e.g., 1846-1855 AD)

Note that in Painter et al (2013), we state on p2, first paragraph "As indicated by Huybrechts et al. (10) and many others, our best understanding of changes in temperature and precipitation in the 19th century indicates that there was no regional climatic anomaly coincident with the coherent retreat of glaciers in the Alps near 1865". Moreover, in our Figure 1 (Painter et al 2013), the vertical dashed line at 1875 indicates the unambiguous excursion in lengths from the previous several hundred years (Figure 1 below). We understand the appeal of the higher temporal resolution glacier length records but without the records back into several earlier centuries, one cannot address the driver of that excursion and the relevant scientific question.

Using the glacier records going back several hundreds of years the dominant role of volcanic eruptions in driving summer temperature variability and thus glacier excursions becomes even more evident. Our new Figure 9 shows that all major glacier advances since 1600 AD (e.g., in 1600s, 1640s, 1820s and 1850s) followed closely clusters of large stratospheric eruptions which has also been pointed out in several other recent studies (Lüthi, 2014; Solomina et al., 2016). The Huybrechts study from 1989 was long before the "*instrumental warm bias*"

entered the scientific discussion (Böhm et al., 2010; Frank et al., 2007) and also dates back to two years prior to the eruption of Pinatubo 1991 when the global-scale cooling impact of volcanic eruptions was demonstrated (Robock and Mao, 1995).

An additional issue with the current document is that SCD do not address the explicit treatment of glacier mass balance in our paper. With the temperature and precipitation from HISTALP, the glacier mass balance model matches well the record of the Hintereisferner across the last  $\sim 60$  years. However, the excursion in glacier length cannot be resolved without contribution of some additional forcing, as Huybrechts et al (1989) put it, "Forcing the mass balance history [with summer and mean annual temperature anomalies] brought to light, that, in particular, the observed glacier retreat since about 1850 is not fully understood. This result and the improved model simulations that could be obtained while assuming an additional negative mass balance perturbation during roughly the last 150 years, seems to point to additional features affecting the glacier's mass balance that are not captured well in the ambient climatic records.

SCD seem to allude to some contribution from the temperatures from Büntgen et al. (2006; 2011) as being suggestive of the rising temperatures sufficient to explain the post-1865 glacier length excursion. However, these reconstructions are inconsistent with the observational record, even after it is corrected for shading.

Since the work from Huybrechts et al. (1989), almost thirty years ago, a number of new studies have focused on the issue of an early 19<sup>th</sup> century instrumental warm bias producing an apparent cooling trend in the 19<sup>th</sup> century (see PP13 Fig. 2). Early instrumental station measurements prior to the 19<sup>th</sup> century were specifically prone to error and scarce in high-alpine environments. Correcting this bias and reconstructing early 19<sup>th</sup> century temperatures and precipitation rates in high-alpine regions thus remain areas of ongoing research (Böhm et al., 2010; Frank et al., 2007). Biases due to unshaded temperature readings are difficult to correct and likely result in an overall net warming influence on early station data (Böhm et al., 2010).

A recent multi-proxy reconstruction shows a mean 19<sup>th</sup> century JJA warming trend for Europe of 0.4°C (Luterbacher et al., 2016). The Alpine-wide tree-ring reconstruction from Büntgen et al., (2011) shows a 19<sup>th</sup> century warming trend of 1.5°C. With no apparent 19<sup>th</sup> century cooling trend in state-of-the-art Alpine climate reconstructions, there is no need to invoke additional feedbacks to produce glacier retreat. The fact that modelling with HISTALP data give reasonable agreement with observations during the past 60 years, does not mean that HISTALP data must be correct for the early 19<sup>th</sup> century (Böhm et al., 2010).

Finally, Lüthi (2014), by using a macroscopic model of glacier dynamics, derived a history of glacier equilibrium line altitude changes since 400 AD that strongly resembles reconstructions of Alpine summer temperatures. He concluded that "the glacier advances during the LIA, and the retreat after 1860, can thus be mainly attributed to temperature and volcanic radiative cooling." SCD cite Gabbi et al (2015) multiple times but miss the contradictory relevance of the central points of that paper compared to their paper from a glaciological, ice core, and radiative transfer standpoint. In particular, at the Clarindenfirn, across most of the 20th century BC has ~3X greater radiative forcing than dust, and summer radiative forcings from BC in snow were 13-16 W m-2. Gabbi et al consider this a lower limit, including this being a period of markedly lower BC emissions, deposition, and radiative forcing in snow than at the peaks of industrialization. Considering the SCD BC time series, present day radiative forcings should be relatively consistent with those in the 1880s, before the further rise in the late 1800s to that of the first decades of the 20<sup>th</sup> century. Indeed, they indicate "As a result, we obtain similar BC concentrations in the surface layer on average, and thus, a comparable impact of BC on glacier mass balance. The general agreement of our assessment with that of Painter et al. (2007) [our dust radiative forcing and melt paper] indicates the highly relevant role of BC in shaping changes in glacier mass balance over the last century."

We cite Gabbi et al (2015), because, in this study, the annual BC record from Fiescherhorn was for the first time reported. The Gabbi et al. study showed that in the 20th century, the peak period of the industrialization in Central Europe with the highest BC concentrations in the Fiescherhorn ice core, annual melt was amplified by maximal 19% due to the combined effect of BC and mineral dust. Thus, the effect must have been much lower in the mid-to late 19th century.

# (2) Ice Core Interpretation

In the context of ice core interpretation, this is the area of the authors' expertise. We in no way contest their analysis of the constituents that were found in the CG ice core.

The issue comes with the interpretation of the results. Interpretation of poorly mixed constituents (such as BC) in complex terrain with enormous relief must be treated with caution and, as such, semi-quantitatively. In particular, ice cores from locations with such intense wind scouring and complex wind fields (Figure 2) cannot be considered to be absolute in capturing all air that has passed over and onto the surface.

We acknowledge (and have acknowledged throughout the manuscript) the inherent limitations arising from transport and snow conservation on the mean aerosol concentrations on small temporal and spatial scales. Our main conclusions are, however, drawn from long-term trends ("emergence") that are observed at multiple different sites (two from the Alps, four from Greenland) using multiple different methods and proxies (e.g. BC, EC, Bi) for industrial pollution. The consistency of results across multiple sites indicates that local and site-specific deposition/preservation factors have not substantially altered the long-term signals of black carbon in these ice cores.

Moreover, their flow regimes are frequently disconnected from those in the ablation zones (as they were for the mid-19th century glaciers at 1500 to 2200 m elevation) where the increased net fluxes from impurities would have had their maximum impact on mass balance and glacier length. As such, unlike

determination of well-mixed gases in ice cores, these cores should be considered as suggestive of transport to mountain systems and offering a lower bound on deposition.

The glacier sites from which the ice-cores were drilled are almost certainly more frequently above the planetary boundary layer, but they are by no means decoupled from the lower elevations where the emissions take place. The diurnal cycle as well as the annual cycles of aerosol deposition resulting from convective transport have been tracked and analysed at Colle Gnifetti and Jungfraujoch over many years using remote sensing and high-resolution in-situ aerosol monitoring (Lugauer et al., 1998; Nyeki et al., 2000). Sulphate records from Colle Gnifetti also closely mirror emission estimates over the past 100 years (Engardt et al., 2017). Ice cores from Colle Gnifetti are, in fact, dated by counting the summer peaks of maximum aerosol concentrations for many hundred years back in time (Bohleber et al., 2018). Aerosols from mining emissions during Roman times have been detected in two ice cores as far away as Greenland (Hong et al., 1994; McConnell et al., 2018). In other words, if there were any significant industrial BC emission sources from Central Europe present during the 1850-60s, they would have left detectable traces in the two ice cores from the Alps as well as in the ice cores from Greenland.

Multiple sources suggest that BC emissions from industrialization on the European continent began to increase substantially in the 1850s with a quasimonotonic growth in emissions (Bond et al., 2007; Bond et al., 2013; Lavanchy et al., 1999).

This statement is hardly true. Bond et al. (2013) is a review paper. The Bond et al., (2007) paper discusses the development of a global emission inventory. Therein, Table 1 lists a single primary data source prior to 1923 AD – fossil fuel production and consumption estimates derived from Andres et al. (1999). We reviewed all these papers and we discuss in our manuscript, that there is scarcely any primary input data available from Central Europe and thus BC estimates from these inventories closely resemble energy consumption estimates taken from countries with more abundant data (i.e., the U.S.), a caveat already discussed by Bond et al. (2007).

Lavanchy (1999), in the first attempt to quantify BC and EC in Colle Gnifetti ice cores, defined the preindustrial as 1740-1889 AD (see Figure below) which is in close agreement with the best estimates given for Greenland for a "*rapid increase in* ~1888" (McConnell et al., 2007) and in many subsequent studies that we have cited in our manuscript.



Figure. Minimum and maximum as well as 95th, 75th, 50th, 25th, and 5th percentiles of BC and EC from Colle Gnifetti (from Lavanchy et al., 1999).

SCD argue that, because such reconstructions have substantial uncertainties, that the quasimonotonic increase must be erroneous and that the time series in the ice core more accurately represents actual emissions. This argument is posited with no argument to explain 100% excursions in BC emissions that are expressed in the ice core time series presented in SCD Figure 3a, when BC drops entirely (annual) or by ~50% (5 yr) from 1880 to 1890. Likewise, no explanation is given as to the steep drop from ~1920 and then the enormous climb beginning in 1930, coincident with the global depression.

The low concentrations in the 1890s may represent an artefact of the kind we summarized in the section "Ice-core drilling site": "On inter-annual timescales, the summer-biased and irregular snow deposition contributes to the observed variability of the proxy records, with occasionally preserved winter snowfall typically having low impurity concentrations". This is a site-specific characteristic resulting most likely from increased preservation of winter snow in the 1890s with strongly depleted d<sup>18</sup>O values and overall low impurity content that has been previously discussed for other ice cores from Colle Gnifetti (Wagenbach et al., 2012). On the basis of conservative aerosol proxies (such experiencing no major trends; e.g. dust, sea-salt) and the ratio of stable isotopes in water we can identify that this glacio-chemical anomaly is unique in the context of the record discussed here (starting in 1741 AD).

Low BC concentrations are centred on 1930 before BC starts to increase again in 1933. Similar trends have been previously described for lead and were explained with the Great Depression starting in 1929 and lasting into the 1930s (Gabrieli and Barbante, 2014).

We added the Wagenbach et al. reference describing that the 1890 AD period experienced anomalous high winter snow preservation at Colle Gnifetti.

The timing of these excursions is markedly out of phase with well understood historical excursions in continental European productivity. Regarding the non-

quantitation between the core and regional emissions, the interannual variation in the laser-incandescence (LI) data is quite large. SCD contends that the linear interpolation between years in the Bond et al emission estimates is not credible. Here, we show European emissions data for 2000-2015 (Figure 3), which span the largest economic variation in more than half a century. Note that PM2.5 emissions still had an average interannual variation of 3 percent. This suggests that the noise in the ice core BC concentrations is in transport variation year to year, not to first order emissions.

It is obvious that any measurement of a variable at a single given location experiences more variability than emissions integrated over all of Europe. There is some additional noise in the ice-core data due to inter-annual variability of transport, but the long-term trend is not affected as illustrated by the agreement between emission estimates and ice core data for sulphate (Engardt et al., 2017).

The burden needs to be put back to the authors to defend the "emergence" statistic. Indeed, the more noise, the later the lag in detection of any increase in LI-sensitive carbon. These thoughts are detailed in the four points below:

We have performed ToE analyses explicitly after removing forest fire related BC peaks during the pre-industrial in order to reduce the "noise" from nonindustrial sources. Performing BC on the original dataset identifies 1895 or 1906 depending on the choice of the method as "time-of-emergence" (Supplementary Fig. S7). We also repeated ToE analyses for the four ice cores from Greenland and identified "time-of-emergences" in the range of 1864 to 1878 AD (1886-1892 AD using the Bayesian changepoint method). No matter which proxy and parameters we used to infer industrial BC emissions we found no evidence that "*BC emissions increased dramatically after 1850*" (Painter et al., 2013) which is the key assumption in the PP13 hypothesis.

We now report the results of ToE analyses performed for Greenland ice cores and added a new Supplementary Figure S7.

1. The use of summer-only data assumes that summer aerosols at the Col represent the operative aerosol deposition impacting the whole glacier - the strong persistent inversion in the Alps in summer disconnects the atmosphere at the Col from the atmosphere over the transport and ablation zones of the valley glaciers (Figure 4), thus the Col record is not a quantitative analog of valley conditions, and there is no evidence presented that winter and spring deposition can be inferred from the summer data.

This photograph is neither representing typical conditions prevailing throughout summer nor is this claim above substantiated by any robust data. Only Colle Gnifetti has a summer bias, whereas the Fiescherhorn ice core contains snow from all seasons. Yet, both records show overall similar long-term trends with BC concentrations at pre-industrial levels until 1875 AD. As shown in the Figure below, every summer, BC and other aerosols from the lowlands get frequently deposited at sites as high as Colle Gnifetti (Lugauer et al., 1998). Unless one argued that the industrialization in the regions surrounding Colle Gnifetti produced emissions only during winter-spring, we don't see a pathway in which valley glaciers should have experienced a different long-term history of BC deposition than the sites where we extracted the ice cores.



Figure. Monthly median (symbol) and quartile values (whiskers) of the epiphaniometer signal monitoring aerosol particles at the Jungfraujoch and at the Colle Gnifetti (only medians) from April 1988 to September 1996. (Lugauer et al., 1998)

2. The laser incandescence (LI) method is highly sensitive to carbonaceous chain aggregate particles and is usually calibrated with a pure hydrocarbon combustion soot generator. The measurement is less sensitive to "brown carbon" and mixed-component aerosols such as produced by burning low quality coal or inefficient coal combustion (Sun et al., 2017). It may be that the record reflects evolving combustion technology as much or more so than emission strength.

We used both "elemental carbon" and "black carbon" each determined with different instrumentation and also other elemental coal burning proxies (e.g. Bi), but none of the measurements indicate significant increases before 1870. We cannot disentangle the relative importance of evolving combustion technology versus emission strengths since both parameters are largely unconstrained during the 19<sup>th</sup> century.

3. The large inter-annual variation in the LI data is inconsistent with interpreting it as a surrogate for regional or continental scale emissions. Data on modern fine particle emissions from 2000 to 2015 (Figure 3) show an average inter-annual variation of about 3%, even though this period includes the enormous economic fluctuations driven by the crisis of 2008. This suggests a large variability in the LI data due to meteorology. Hence, these data suggest noise is in transport variation year to year, not emissions.

We do not recommend to interpret interannual BC variability from a single icecore site as a quantitative reconstruction of large-scale emissions but notice that the absence of any significant increases of BC between 1850 AD and 1875 AD in virtual all ice cores in Greenland and the Alps are inconsistent with 1) the idea of linearly rising BC emissions in North America and Europe between 1850 and 1900; and 2) with the hypothesis of BC constituting the main driver of Alpine glacier recession on the assumption that "black carbon concentrations increased abruptly in the mid-19th century" (Painter et al., 2013)

4. The emergence test statistic is strongly influenced by the noise in the time series data - with detection of a trend delayed as noise increases. Since the noise in these data contains a large meteorological component, the inferred timing of the beginning of industrial impact in these data has a large and undiscussed time lag.

While there are limitations in any methodology to estimate break-points in timeseries depending on the noise and also other factors we have tried to estimate a lower (i.e. older) bound by "cleaning" the BC data for occasional forest fire peaks (to reduce the noise) and by including conservative age error estimates. Mean BC concentrations for the time period 1850-1875 AD are less than 20% elevated compared to the long-term preindustrial mean (1790-1850 AD) at Colle Gnifetti, Fiescherhorn and four different Greenland ice core sites, respectively.

The time of emergence results that we present are based on a distribution of results that assess the sensitivity of the ToE to methodological choices in the reference window length. We also further verify that similar late 19<sup>th</sup> Century changepoints are produced for the GC and Greenland ice core data using a Bayesian changepoint method (Ruggieri 2013).

Further, even assuming that deposition processes affect the BC preserved in the ice cores resulting in a "noisier" signal than for European-wide emissions (which we would expect), this "noise" would equally apply to the albedo effect of BC acting upon an individual glacier. The time when the concentration of BC in the ice core rose above the level of pre-industrial natural variability is thus a relevant measure for assessing when an unusual forcing by BC on the glacier could have become a plausible driver of glacier length changes.

Note also that in SCD Figure 5, the climb in BC in Greenland unambiguously occurs around 1850-1860.

In Figure 5, the unambiguous climb above background variability occurs only after 1870 AD. The period 1850-1860 was characterized by numerous forest fires (e.g. 1854, 1863, 1868, 1871) as can be proven for D4 using vanillic acid (VA) as a distinctive forest fire tracer (McConnell et al., 2007). For clarification, we repeated ToE analyses for all four individual ice cores: D4: 1878 [1865-1885; 5-95%], Summit2010: 1873 [1865-1878], NEEM: 1872 [1830-1882], TUNU: 1864 [1857-1869]. McConnell et al. (2007) give 1888 AD as their best estimate for industrial BC emergence at D4 which closely matches the dates determined

using the Bayesian changepoint method (1883-1893 AD, 5-95% range of all four ice cores).

We added a new figure Supplementary Fig. S7 showing the ToE analysis results for the D4 ice core – the only ice-core with annual dating accuracy, and a clear-cut proxy (vanillic acid) for forest-fire activity, respectively (McConnell et al., 2007) allowing discrimination of forest-fore BC.

# (3) Radiative Transfer

SCD do not address the magnitude of radiative forcing by BC that was present in the Alps at the time. For the claim of no role for BC in the retreat of European glaciers from the LIA to be valid, the radiative forcings in Painter et al (2013) estimated from the ice core BC would have to be overestimated by more than an order of magnitude. We think this is highly unlikely given the known increase in aerosol concentrations with decreasing altitude, as substantiated by SCD co-author Schwikowski (2004), among many others. From an energy balance perspective, we do not understand how SCD would explain away the 20-40 W m-2 seasonally-averaged radiative forcing by BC for April-June in the ablation zones? As described in Painter et al (2013), the melt magnitude associated with the 1880 radiative forcing of 10-20 W m-2 would have been 240-480 kg m-2 (0.6-1.2 m w.e.) and with the 1900 radiative forcing of 19-38 W m-2 would have been 450-890 kg m-2 (1.1-2.2 m w.e.). Equivalent changes in temperature to produce such radiative forcings in context of mass balance would have reached 3-4 K.

Basis of these calculations were 1) not reproducible BC concentration estimates from an earlier study (Thevenon et al., 2009) which used an analytical setup that has not been used by any other research group since, and 2) largely unconstrained estimates of altitudinal gradients between valley glaciers and ice-core glaciers in the order of a factor of 10-20. If these previous estimates (e.g.  $10-20 \text{ W/m}^2$ ; 0.6-1.2 m weq) were indeed realistic representations of the actual radiative forcing in 1880 AD, the radiative forcing from 1910-1920 AD (when industrial BC content was three times that of 1875-1885 AD) and the resulting glacier melt rates would have been extreme, but overall glaciers advanced during this time period.

SCD state that our study suffers from not including the radiative forcing by dust in snow (p3, lines 32-35+p4, 1-2). To a degree they are correct because we did not include the description of our coupled dust+BC radiative forcings. However, the BC radiative forcings that we report were in fact (BC+Dust)-(Dust Only). Moreover, we concluded from extensive analyses of these cores that dust deposition saw no trends during the period recorded in the ice cores. Likewise, SCD also found that mineral dust had no trends (p8, 30-32), "In agreement with other dust records from Colle Gnifetti (Bohleber et al., 2018; Wagenbach and Geis, 1989), we observe no enhanced mean (or frequency) of mineral dust deposition throughout the 19th century (Supplementary Figs. S1, S4). "Either way, we are puzzled that such would be highlighted as a 'suffering'. We agree that dust has no long-term trends in the 19<sup>th</sup> century but this had not been explicitly addressed in the PP13 paper. In hindsight, we consider this a minor issue in the PP13 paper.

## Summary

Again, we are enthused that our work has stimulated thought and testing of our hypothesis. However, based on the above comments, it appears to be clear that SCD does not refute the hypothesis. Ultimately, the core of the SCD paper is really to reconcile an issue with ice cores and emission scenarios, neither of which presently can be considered quantitatively robust in characterizing regional atmospheric conditions at the elevations of Alpine glacier ablation zones (today back to the high stand of the LIA). Instead, the ice cores can be considered as suggestive of BC deposition timing and magnitude but not as an absolute quantification. Likewise, to a lesser degree the paper also encounters the discrepancy between the temperature observations, HISTALP reconstructions, and the tree-ring derived temperature reconstruction of Büntgen et al (2012). Let's work as a community to resolve these particular discrepancies.

The last sentence excluded, we respectfully disagree with this interpretation. The basis of the BC forcing hypothesis was that increased glacier retreat rates were coinciding with abrupt increases of industrial BC since 1850 based on model experiments using ice-core records, whereas temperatures appeared to have shown a declining trend throughout the 19<sup>th</sup> century. Using new measurements, we demonstrated that glacier melt accelerated before industrial BC emissions started to rise and highlighted the role of natural glacier variations resulting from global-scale radiative forcing from volcanic eruptions between 1600 and 1840 AD. We agree that detection and attribution of individual drivers of climate and glacier variability requires a community effort but also more and better observations and proxies. With the data at hand we, however, cannot identify a leading role in industrial BC in ending the "Little Ice Age". Previous *'Little Ice Age'*-type events (e.g., the *Late Antique Little Ice Age*) also ended, eventually (Büntgen et al., 2016).

**References:** 

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(2017). Emission factors and light absorption properties of brown carbon from household coal combustion in China. Atmospheric Chemistry and Physics, 17, 4769-4780. doi:10.5194/acp-17-4769-2017

# Reviewer #2 (RC2):

This paper evaluates the hypothesis that black carbon deposition could play a dominant role in European glacier dynamics. The paper presents black carbon concentrations found in ice cores at Colle Gnifetti along with other tracers of different kinds of combustion. Authors examine the role of mineral dust in possible forcing; since it absorbs light, mineral dust can be a possible confounding factor. They also compare their measurements with those of other ice cores. Finally, they compare the trends of black carbon deposition with those of black carbon emission and point out discrepancies between measured tracers and bottom-up inventories. The work is supported by a careful treatment of timing and uncertainties to interpret the ice core measurements.

We kindly acknowledge this positive and constructive evaluation. We carefully considered the improvements suggested below and we have revised the manuscript accordingly.

Overall, this part of the paper is a quite thorough and welcome contribution to the discussion of black carbon (and other species) emissions and influence during the industrial era. I commend the authors on their careful work. The next part of the work- and the origin of the paper's title- compares the timing of glacial retreat with the timing of black carbon increase. These glaciers are frequently observed, making them good candidates for such an analysis. Authors identify retreat and advance periods and compare them with time-ofemergence of black carbon above pre-industrial periods, finding no relationship. Finally, on page 11, authors posit that volcanic forcing, and not change in albedo caused by black carbon deposition, is the cause of glacial retreat. The glaciers analyzed (four in a "glacier stack") begin to retreat before the increase in black carbon emissions, so it is unlikely that black carbon, alone, caused the current retreat. Despite this good point, this part of the work appears less supported by the evidence presented. An important question is on what time and spatial scales one respects a response between a forcing and a regional response. Attribution of climate response typically involves some kind of large-scale pattern matching, considering more factors than given here. One doesn't expect an increase in black carbon emission to correlate neatly with the glacier retreat- although certainly the fact that glaciers retreated first indicates that other causes are at work. If there were such neat correlations, we should have much less trouble identifying the causes of climate change, overall. So the following questions would have to be answered in order to confidently state the "No role for black carbon" as in the title: What other factors could contribute to glacial retreat; How much do they vary and on what temporal and spatial scales (i.e. what noise could confound the signal and must be averaged out); and then how much black carbon does contribute and whether it has a significant effect. The authors also considered volcanic forcing, which they suggest to be much more relevant than black carbon forcing, yet they did not provide any quantification of or data behind the volcanic forcing, but only some discussion. That quantification would be needed in order to make the statements in this paper with confidence.

We fully agree with the reviewer. We did not intend to perform a classical *Detection-and-Attribution* study delineating the changing contributions of natural and anthropogenic climate forcers on European glacier dynamics through time. With growing emissions of greenhouse gases and anthropogenic aerosols starting in the 19<sup>th</sup> century a monocausal relationship between glacier dynamics and any single forcing is inherently difficult to detect, let alone to quantify. However, there is also growing scientific evidence of the strong influence of natural forcing (e.g., from volcanic eruptions) on regional climate (temperature, precipitation) a fact that had been largely ignored by Painter et al., (2013) who argued in favour of an exclusive role of anthropogenic snow-albedo forcing from industrial soot.

To better reflect that we did not quantify relative contributions of forcing to glacier variability we changed the title to "*No leading* role for industrial black carbon in forcing 19<sup>th</sup> century glacier retreat in the Alps"

The four glaciers we used in our manuscript have mean "reaction times" of the glacier tongue to a climate perturbation of on average less than 10 years, whereas the "response time", the time required for a glacier to adjust from one "steady-state" to another, following a change in the mass balance may be two to three times longer (Nussbaumer & Zumbühl. 2012). However, the reaction to atmospheric conditions at the glacier front can also be more immediate in some situations, e.g. after runs of cool summers as becomes evident by maximum glacier advances in 1602, 1644, 1821 following "volcanic winters" with 5 years delay or less. To err on the side of caution, we have, in the interpretation of our "time-of-emergence" assessment, assumed that glacier fronts would have reacted immediately to increased rates of BC deposition.

In the revised manuscript, we put volcanic aerosol forcing from 1600-1840 AD in a long-term perspective. We quantified that Northern hemisphere (30-90°N) stratospheric aerosol optical depth (SAOD) was during this time 40% higher than the mean of the Common Era. Strong aerosol forcing culminated in a cluster of large eruptions during the early 19<sup>th</sup> century that was followed by Alpine glacier advances until the mid-19<sup>th</sup> century (new Fig. 9). New paleo-reanalyses covering the past 400 years confirm the large-magnitude, large-scale cooling impact of explosive eruptions Franke et al., (2017).



*Figure. Northern hemisphere temperature evolution over the past centuries (Franke et al., 2017)* 

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# No <u>leading</u> role for industrial black carbon in forcing 19<sup>th</sup> century glacier retreat in the Alps

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15 Abstract. Light absorbing aerosols in the atmosphere and cryosphere play an important role in the climate system. Their presence in ambient air and snow changes radiative properties of these media, thus contributing to increased atmospheric warming and snowmelt. High spatio-temporal variability of aerosol concentrations and a shortage of long-term observations contribute to large uncertainties in properly assigning the climate effects of aerosols through time.

Starting around 1860 AD, many glaciers in the European Alps began to retreat from their maximum mid-19<sup>th</sup> century terminus positions, thereby visualizing the end of the Little Ice Age in Europe. Radiative forcing by increasing deposition of industrial black carbon to snow has been suggested as the main driver of the abrupt glacier retreats in the Alps. <u>The Bb</u>asis for this hypothesis were model simulations using elemental carbon concentrations at low temporal resolution from two ice cores in the Alps.

Here we present sub-annually resolved, well-replicated concentration records of refractory black carbon (rBC; using soot

- 25 photometry) as well as distinctive tracers for mineral dust, biomass burning and industrial pollution from the Colle Gnifetti ice core in the Alps from 1741-2015 AD. These records allow precise assessment of a potential relation between the timing of observed acceleration of glacier melt in the mid-19<sup>th</sup> century with an increase of rBC deposition on the glacier caused by the industrialization of Western Europe. Our study reveals that in 1875 AD, the time when European rBC emission rates started to significantly increase, the majority of Alpine glaciers had already experienced more than 80% of their total 19<sup>th</sup>
- 30 century length reduction. Industrial BC emissions can, therefore, not been considered as the primary forcing for the rapid deglaciation at the end of the Little Ice Age in the Alps. BC records from the Alps and Greenland also reveal the limitations of bottom-up emission inventories to represent a realistic evolution of anthropogenic BC emissions since preindustrial times.

#### **1** Introduction

The role of aerosols in climate forcing (defined as perturbation of the Earth's energy balance relative to the preindustrial) is significant but poorly understood (Charlson et al., 1992). Aerosol emissions and their atmospheric burden vary in time and from region to region; some aerosols cause cooling while even co-emitted species can lead to simultaneous warming. This

5 results in large uncertainties of the ascribed radiative forcing terms to short-lived aerosols in contrast to greenhouse gas forcing (Bond et al., 2013; Dubovik et al., 2002).

Black carbon (BC) has a unique and important role in the climate system because it absorbs - already in minute amounts - solar radiation, influences cloud <u>forming</u> processes, and alters the melting of snow and ice via albedo feedbacks (Flanner et al., 2007; Hansen and Nazarenko, 2004). BC is defined as an incomplete combustion product from natural biomass burning

- 10 (e.g., forest fires) or anthropogenic biofuel and fossil-fuel burning. BC is insoluble, refractory, strongly absorbs visible light, and forms aggregates of small carbon spherules. Per unit mass, BC has the highest light absorption of all abundant aerosols in the atmosphere (Bond et al., 2013). Given that carbonaceous aerosols in the atmosphere present a continuum of varying physical and chemical properties, their quantification is strongly related to the analytical method used. A wide range of terminologies has developed in the scientific community to characterize BC and related carbonaceous aerosols, and we
- 15 follow the terminology recommendations recently put in place (Petzold et al., 2013). Refractory black carbon (rBC) will be used instead of black carbon for reporting concentrations derived from our laser-based incandescence method, while the general term black carbon (BC) is used for a qualitative description when referring to light-absorbing carbonaceous substances in atmospheric aerosol. If analyzed with a thermal optical method, BC is also referred to as elemental carbon (EC) (Currie et al., 2002).
- 20 While natural sources such as forest fires dominated the global BC burden in the preindustrial atmosphere, current emissions are largely driven by industrial, energy related sources (Bond et al., 2013). The modern burden is highest in heavily industrialized and populated regions including China, India, and Europe (Fig. 1). Trends in BC emissions estimated from bottom-up approaches (i.e., from fuel consumption data) suggest large changes during the industrial era (Bond et al., 2007; Lamarque et al., 2010), which were recently largely confirmed by continuous measurements of BC in Greenland ice cores
- 25 (Bauer et al., 2013; Koch et al., 2011; Lee et al., 2013b; McConnell et al., 2007). However, multiple source regions contribute with varying degree to the BC deposition over Greenland, hampering to attribute attribute attribute of the observed trends to individual emission source areas (Hirdman et al., 2010; Liu et al., 2011).

Together with mineral dust and other absorbing organic aerosols, BC deposited on snow and ice can lead to increased melt rates and changes in melt onset involving snow albedo feedbacks that significantly enhance initial radiative forcing (Flanner

30 et al., 2009; Hansen and Nazarenko, 2004). The best estimate for industrial era global forcing is +0.13 W m<sup>-2</sup>, but values for regions with seasonal snow cover (e.g., Arctic, European Alps, Tibetan Plateau) are much higher (Bond et al., 2013). Industrial BC deposition has been suggested to be responsible for observed Arctic warming in the 1940s and recent years (Flanner, 2013; Flanner et al., 2009; Quinn et al., 2008) but recent surface albedo decreases (i.e. darkening) of the Greenland

ice sheet occurred in the face of a widespread decrease in BC deposition based on multiple ice cores (Keegan et al., 2014; McConnell et al., 2007) suggesting a small role for light absorbing impurities in causing these changes (Polashenski et al., 2015). In the Himalaya the combined increased deposition of mineral dust and industrial black carbon was suggested to play a role in the observed glacier retreat during the past decades (Flanner and Zender, 2005; Kaspari et al., 2011; Lau et al.,

- 5 2010; Lee et al., 2013a). In contrast to climate effects from direct radiative forcing (Bond and Sun, 2005; Penner et al., 1998) and cloud effects (Haywood and Boucher, 2000; Lohmann and Feichter, 2005) that are short lived and effective only during the brief atmospheric lifetime of the aerosols (days to a week), BC-induced changes in the snow cover occur on a weeks-to-months timescale and are most pronounced during the spring and summer, when insolation and seasonal snowmelt reach a maximum (Flanner et al., 2009).
- 10 To quantify trends and magnitudes of climate forcing from BC in the atmosphere (direct and indirect effect) and cryosphere (snow-albedo-effect) climate-model simulations are widely used (Bond et al., 2013; Lamarque et al., 2013; Shindell et al., 2013). These rely strongly on energy-consumption based estimates of BC emissions which are highly uncertain (see Figure 8 in Bond et al., (2007)) and therefore need to be evaluated against independent ice-core based observations (Bauer et al., 2013; Lee et al., 2013b). Those comparisons allow identification of mismatches and can subsequently help to improve
- Mountain glaciers are retreating worldwide and are projected to further shrink with the expected increase in global surface temperatures due to increasing greenhouse gas concentrations (Mernild et al., 2013; Oerlemans, 2005; Zemp et al., 2006). While the currently observed mass loss is global in scale and attributed to anthropogenic greenhouse gas emissions, the onset of melting during the 19<sup>th</sup> century was asynchronous for many mountain regions (e.g., between Scandinavia and the Alps)

parameterization and model performance (Lamarque et al., 2013).

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- 20 (Imhof et al., 2012; Larsen et al., 2013). Observations place the start of the retreat in the western Alps from 1860 to 1865 after glaciers reached their maximum extent around 1850-1855 (Nussbaumer and Zumbühl, 2012; Zumbühl et al., 2008). The retreat was rapid and synchronous among different documented glaciers. By 1880, glacier tongues had retreated by several hundred meters in length (Nussbaumer and Zumbühl, 2012). Using early instrumental temperature and precipitation data, a combination of high spring temperatures and reduced autumn precipitation was suggested as the main drivers of the
- 25 observed glacier retreat (Steiner et al., 2008; Zumbühl et al., 2008). In an alternative hypothesis, early industrial BC deposited on snow and ice of Alpine glaciers was held responsible for the rapid melting, involving a snow-albedo feedback (Painter et al., 2013). This hypothesis built on model simulations to estimate snow albedo forcing from two ice-core based reconstructions of BC (i.e. EC) from Fiescherhorn glacier (Jenk et al., 2006) and Colle Gnifetti (Thevenon et al., 2009). Starting in the 1870s, both records show an initial 2-3-fold increase of BC concentrations rising from a mostly natural
- 30 background of 9 ng g<sup>-1</sup> to more than 20 ng g<sup>-1</sup>, before the highest values (37 ng g<sup>-1</sup>) were reached during the early 20<sup>th</sup> century.

The hypothesis formulated by Painter et al. (2013) suffered from three major limitations: (1) use of BC data obtained from different analytical methods with low temporal resolution and poor replication; (2) use of glacier length curves with low temporal resolution resulting in large uncertainties of the onset dates of the glacier retreats; (3) missing implementation of

contributions from other absorbing aerosols present in the snow (e.g., Saharan dust) (Oerlemans et al., 2009; Skiles et al., 2012) into the radiative transfer model. Here we set out to rigorously re-evaluate the hypothesis of industrial BC forcing of the *"End of the Little Ice Age in the Alps"* (Painter et al., 2013) using a new, precisely dated, high-resolution record of light absorbing aerosols (i.e., BC and mineral dust) in tandem with highest resolved available glacier length histories from four

5 glaciers in the Western Alps (Nussbaumer and Zumbühl, 2012). We quantify the time when industrial BC emerged from the preindustrial background relative to the observational history of terminus position of European glaciers and evaluate if these results were consistent with the hypothesis of a strong ice-albedo feedback (Painter et al., 2013) through increased ablation rates at the glaciers surface.

#### 2. Data and Methods

#### 10 **2.1 Ice core drilling site**

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Two 82 m long ice cores (CG03A, CG03B) from the European Alps, which are surrounded by highly-industrialized countries (e.g., Germany, Italy, France) representing some of the main emitters of 19<sup>th</sup> to 21<sup>st</sup> century fossil-fuel industrial BC (Bond et al., 2007) were drilled in 2003 a meter apart on Colle Gnifetti (Monte Rosa, 4450 m a.s.l., 45°55'55"N; 07°52'34"E, (Jenk et al., 2009)) (Fig. 1). The drill site location on a saddle minimizes effects of lateral ice flow, but leads to seasonally weighted atmospheric signals, due to preferential wind erosion of winter snow (Bohleber et al., 2013; Häberli et

- al., 1983; Oeschger, 1977; Schwikowski et al., 1999). Low annual net accumulation rates (0.45 m water equivalent yr<sup>-1</sup>) give access to an old age of the ice and allow to retrieve long-term proxy records covering most of the Holocene (Jenk et al., 2009; Konrad et al., 2013; Sigl et al., 2009). On inter-annual timescales, the summer-biased and irregular snow deposition contributes to the observed variability of the proxy records, with occasionally preserved winter snowfall (e.g., in ~ 1890 AD)
- 20 typically having low impurity concentrations (Wagenbach et al., 2012). These effects are minimized, however, at a (multi-) decadal resolution, at which these proxy records reflect remarkably well changes in the source strengths of the emissions and the resulting atmospheric burden of aerosols (Engardt et al., 2017; Fagerli et al., 2007). Additional firn/ice cores (CG08, CG15) were obtained from the same site at Colle Gnifetti in 2008 (Kirchgeorg et al., 2013) and in September 2015, respectively, to update the long-term record to the most recent past.
- 25 The Colle Gnifetti site has produced a number of impurity and pollution records, highlighting the strong impact of human activity on the atmospheric composition over Central Europe during the last decades and centuries, including records of sulphate and nitrate (Döscher et al., 1995; Schwikowski et al., 1999), ammonium (Döscher et al., 1996), carbonaceous aerosols (Lavanchy et al., 1999; Thevenon et al., 2009), trace elements such as lead, copper, cadmium, zinc, plutonium (Barbante et al., 2004; Gabrieli and Barbante, 2014; Gabrieli et al., 2011; Schwikowski et al., 2004) and organic pollutants
- 30 (Gabrieli et al., 2010; Kirchgeorg et al., 2013). Temporal variability of mineral dust including long-range transported dust from Africa was investigated by Bohleber et al., (2018), Gabbi et al., (2015), Gabrieli and Barbante, (2014), Wagenbach and Geis, (1989) and Wagenbach et al., (1996) using calcium, sulphate, iron and barium as mineral dust proxies.

#### 2.2 Analytical methods

The top 57.2 m of CG03B comprising 1635 discrete samples (cross section area 1.9 x 1.9 cm) were analyzed at the Paul Scherrer Institut (PSI) between May and July 2015 (Table 1) achieving sub-annual resolution since the preindustrial (i.e. 1741 AD). Concentrations of rBC were determined with a Single Particle Soot Photometer (*SP2*, Droplet Measurement

- 5 Technologies, (Schwarz et al., 2006)) and a jet (*APEX-Q*, Elemental Scientific Inc.) nebulizer to aerosolize the aqueous samples (Wendl et al., 2014). BC analysis was done at approximately 2 cm water equivalent depth resolution. Freshly cut samples stored in polypropylene vials were melted at room temperatures, sonicated for 25 minutes and measured immediately using an auto-sampler (*CETAC ASX-520*). Liquid sample flow rates typically varying within ±10% were measured routinely to ensure constant aerosolization efficiencies. Capillaries delivering samples to the nebulizer were rinsed
- 10 at least on a daily basis with 3% nitric acid for 10 minutes. For external calibration we used rBC standard solutions (*Aquadag*®, Acheson Inc., Wendl et al. 2014 for details) freshly prepared and directly analyzed at concentrations from 0.1 to 50 ng g<sup>-1</sup>. To demonstrate the reproducibility of the rBC measurements and the robustness of the method we performed 387 replication analyses using parallel ice-core sections that comprised 20% of the length of the original analyses. The upper 11.9 m of CG15, in total 276 samples, were similarly analyzed. Following rBC analyses, we determined the concentrations
- 15 of major ions (Na<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, K<sup>+</sup>, Ca<sup>2+</sup>, Mg<sup>2+</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>) using ion-chromatography (850 Professional IC, Metrohm). For the parallel ice core CG03A a wide range of additional elemental and chemical components of aerosols had been analyzed using ion-chromatography (IC, *Dionex*) and inductively coupled plasma mass spectrometry (ICPMS, *Agilent 7500*), enabling ice-core dating (Jenk et al., 2009) and detailed characterization of dust and pollution aerosols (Gabrieli and Barbante, 2014). Trace element analyses were performed at University of Venice using the ICPMS in continuous flow mode
- 20 achieving an effective sampling resolution of approximately 0.5 cm water equivalent (Gabrieli and Barbante, 2014). Here we present measurements of trace metals (i.e., bismuth, Bi), typically emitted by coal burning and other industrial processes (McConnell and Edwards, 2008) and of chemical tracers (i.e. calcium, Ca<sup>2+</sup>) typically enriched in mineral dust originating predominantly from the Saharan deserts and constituting a second potential source for light-adsorbing impurities present in Alpine glacier ice.

#### 25 **2.3 Ice core dating**

The CG03B ice core was dated against the chronology of the CG03A core (Jenk et al., 2009) using the major ion records obtained for both cores to align the records. In total 221 stratigraphic links were established between these two records between 1741 and 2003 AD which is close to the number of annual layers identified originally in CG03A. Linear interpolation was used to date the ice between the stratigraphic tie-points. Differences in the depths for common time

30 markers are found to be less than 13 cm at most (Supplementary Table S1). The chronology of CG03A (Supplementary Fig. S1; Table S1) was originally derived by annual-layer-counting predominantly using the NH<sub>4</sub><sup>+</sup> record and constrained by absolute age markers from volcanic eruptions, nuclear weapon testing, historic Saharan dust events and <sup>14</sup>C dating of

insoluble organic carbon in the deeper core sections (Jenk et al., 2009; Sigl et al., 2009; Uglietti et al., 2016). Previously identified volcanic horizons (i.e., Katmai 1912, Tambora 1815, Laki 1783) were corroborated in the new CG03B records using  $SO_4^{2-}$  concentrations together with the ratio of  $SO_4^{2-}/Ca^{2+}$ . Additional volcanic signatures (e.g., in 1809) potentially relating to a large eruption of unknown origin in 1809 AD were detected in CG03B but have not been used to further constrain the timescale. Constrained by historic events during the beginning and end of the 19<sup>th</sup> century, maximum age uncertainties are conservatively estimated to be ±5 years at most during the mid-19<sup>th</sup> century (Jenk et al., 2009).

#### 2.4 BC emission inventories

5

In the absence of direct BC measurements during the past hundreds of years, gridded emission inventories from bottom-up approaches, (e.g., Bond et al., (2007); Lamarque et al., (2010)), are widely used to estimate emissions and aerosol loading.

- 10 These are the final products of a wide range of estimates of activity (e.g., fuel consumption) combined with emission factors (e.g., grams of BC emitted per mass of fuel burned derived from controlled burning of fuel types under laboratory conditions) and therefore carry large uncertainties (Bond et al., 2013). While the general emission trends from these inventories could be confirmed through comparison to existing ice core reconstructions (Jenk et al., 2006; Junker and Liousse, 2008; Lavanchy et al., 1999), a more detailed evaluation was hampered by the relatively large error ranges inherent
- 15 in both these reconstruction approaches. For this study, we deploy the BC emission estimates from fossil-fuel and biofuel burning (available at 5 year resolution) from Bond et al. (2007) for 1) the OECD countries in Europe and 2) the mean of the grid cells 45-47°N and 6-9°E (available at 10 year resolution) encompassing both, our ice-core study site and the locations of various glacier length reconstructions in the Western Alps (see section 2.5; Fig. 1).

#### 2.5 High-resolution glacier length histories from Western Alps

- 20 Glacier fluctuations in the European Alps are among the best documented worldwide, since glaciers are situated in densely populated areas. Painter et al., (2013) used five glaciers from the Western and Eastern Alps to analyze the 19<sup>th</sup> century changes of their terminus positions, with Unterer Grindelwald glacier providing the densest observation frequency during the 19<sup>th</sup> century among these glaciers. For this study, we compile four glacier length reconstructions from the Western Alps (all situated in close proximity to the ice-core site) including Mer de Glace, Oberer Grindelwald and Unterer Grindelwald
- 25 glacier, and Bossons glacier (Nussbaumer and Zumbühl, 2012), the latter offering the highest observation density during the mid-to-late 19<sup>th</sup> century with annual data coverage between 1850 and 1899 AD of 78%. To analyze trends in glacier length variability relative to the increase of industrial black carbon deposition at Colle Gnifetti and Fiescherhorn (Jenk et al., 2006), we filled missing terminus position data by linear interpolation and constructed a stacked glacier length curve by averaging the terminus positions of all four glaciers.

#### 2.6 Time-of-emergence (ToE) analyses

To determine the timing when rBC concentrations exceeded their natural variability, suggesting an additional, industrial emission source, we performed a time-of-emergence (ToE) analysis on annually averaged rBC concentrations. The ToE is formally defined by Hawkins & Sutton (2012) as the mean time at which the signal of change emerges from the noise of

- 5 natural variability. We followed the methods of Abram et al., (2016) in defining the threshold of emergence value as the earliest occurrence where the signal-to-noise ratio exceeds the value 2 (industrial rBC signal is distinguishable from zero at a 95% confidence level). We consider the time period 1741 to 1840 AD as preindustrial reference period during which human emissions of light absorbing rBC in Central Europe was minimal, restricted to occasional forest fires and residential wood burning (henceforth summarized as biomass burning BB). To discriminate large rBC values caused by BB within the preindustrial period (1741-1850 AD) we employed a fire detection algorithm adapted from Fischer et al., (2015) and replaced the correspondent BC concentration values for detected "fire activity" years with their 11-year running medians (BC<sub>no BB</sub>). The ToE assessment was carried out varying the length of the pre-industrial reference period and the degree of smoothing (running mean) applied to the record to determine the underlying signal. Reference periods from 15 to 100 years
- 15 1840 AD) were used to determine the mean and  $+2\sigma$  level of natural variability. A running mean of the same length as used for the reference period was applied to determine the signal and ToE was assigned to the year when the signal first permanently exceeded the  $+2\sigma$  value of the reference period. This method results in a distribution of ToE estimates that reflect uncertainty based on methodological choices in defining the signal and noise values used to define ToE. We assume the uncertainty in ToE to be independent from the ice-core dating uncertainty, and use their root sum square as the total

in length were used beginning in 1741 (i.e. shortest reference period is 1741-1765 AD and longest reference period is 1741-

20 uncertainty estimate of the emergence of enhanced industrial BC emissions. ToE analysis was similarly applied to total rBC (without discriminating BB years) and to  $Ca^{2+}$  as a proxy for Saharan dust deposition, the latter having also high abundances of light-absorbing minerals such as hematite Fe<sub>2</sub>O<sub>3</sub> (Linke et al., 2006). To evaluate the sensitivity of the choice of detection method for ToE we also employed a Bayesian Change Point algorithm (Ruggieri, 2013) based on a linear regression model to determine the timing for a significant change point (equivalent to the emergence) within the rBC time series.

#### 25 3. Results

#### 3.1 BC and source tracer variability since 1741 AD

The synchronized records of CG03, CG08 and CG15 (Supplementary Fig. S2) provide a continuous record of long-term changes of rBC deposition at this site from the preindustrial (1741 AD) into the most recent past (2015 AD, Fig. 2). Deposition histories for major aerosol species are highly reproducible in the two parallel CG03 ice cores (Supplementary

30 Figs. S3, S4), indicating minimal adverse effects of snow drift and spatial variability of aerosol deposition present at these spatial scales. Measured rBC values at CG03B vary strongly on intra-annual timescales, with this variability being superimposed on longer-term trends. Replicate analyses performed at the end of the measurement campaign confirm that the

original measurements performed over 2 months are highly reproducible over a concentration range of almost three orders of magnitude (Fig. 2, Supplementary Fig. S5). Between 1975 and 2015 (N>12 samples per year) the highest values of >15 ng g<sup>-1</sup> (90%-tile) are typically recorded during the summer months reflecting increased deposition of aerosol species on the glacier during times when the planetary boundary layer reaches higher than the drilling site at 4450 m (Lugauer et al., 1998).

- 5 During the remaining season of snow accumulation, the ice-core site is situated within the free troposphere with rBC concentrations significantly lower with approximately 0.9 ng g<sup>-1</sup> (10%-tile). Due to common transport and deposition, co-variability with other species at intra-annual resolution is high, indicated by significant (p<0.001, one sided, N=696) and high *Pearson's* correlation coefficients against rBC using the raw data (e.g., Na<sup>+</sup>, R=0.35; NH<sub>4</sub><sup>+</sup>, R=0.63) during the most recent 40 years.
- 10 To analyze long-term rBC variability we calculate annual mean values by averaging all rBC values within the respective calendar year (Fig. 3). Excluding occasional rBC spikes (>4 ng g<sup>-1</sup>) the mean rBC concentration in the CG03 core during the preindustrial (i.e., 1741-1850 AD) was 2 ng g<sup>-1</sup>, followed by a small (2-fold) increase to approximately 4 ng g<sup>-1</sup> during the last two decades of the 19<sup>th</sup> century (1880-1899 AD). Maximum rBC concentrations of 10 ng g<sup>-1</sup> exceeding five times the preindustrial values are recorded between 1910-1920 and again 1933-1945, with a short decline between 1921-1932 (6 ng g<sup>-1</sup>)
- <sup>15</sup>), plausibly explained by a drop in industrial rBC emissions following the economic crisis between the two world wars. Since 1950, rBC concentrations remained elevated and only started to drop significantly after 2000 AD. Since then, concentrations vary around 5 ng g<sup>-1</sup>, still more than twice as high as during the preindustrial period. We summarize median rBC concentrations in Table 2 together with other relevant ice-core source tracers and their main emission sources for the preindustrial and for two periods labeled after their main fossil-fuel source as coal "*COAL*" (1901-1950) and petroleum
- 20 products "*PETROLEUM*" (1951-1993), respectively. 1993 AD marks the end of the time period for which continuous trace element analyses were performed.

In the preindustrial period, ammonium (R=0.75, p<0.0001) and nitrate (not shown) are strongly correlated with rBC indicating that BC was associated to (natural or anthropogenic) biomass burning emissions (Fig. 4; Supplementary Fig. S6). Sulphate ( $SO_4^{2-}$ ) concentrations started to rise in 1900 AD, with this timing well constrained by the Saharan dust event of

- 25 1901 AD (Oeschger, 1977; Wagenbach and Geis, 1989)). Throughout the *COAL* era  $SO_4^{2-}$  concentrations are strongly correlated with rBC, with this correlation becoming weaker during the *PETROLEUM* era. Heavy metals such as lead (Pb) and Bi show high relative enrichments comparable to rBC in particular from 1910 to 1950 AD indicating an increased association of BC with anthropogenic fossil-fuel emissions (e.g., coal burning) during that time (Table 2). None of the four discussed industrial pollution tracers (rBC,  $SO_4^{2-}$ , Pb, Bi) shows pronounced increases in concentrations starting in the mid-
- 30 19<sup>th</sup> century. In agreement with other dust records from Colle Gnifetti (Bohleber et al., 2018; Wagenbach and Geis, 1989), we observe no enhanced mean (or frequency) of mineral dust deposition throughout the 19<sup>th</sup> century (Supplementary Figs. S1, S4). Only during the past three decades (1975-2015 AD), does the dust activity appear to be anomalously high with respect to the long-term variability (Supplementary Fig. S6) which is thought to relate to increased drought conditions in the main dust source regions in Northern Africa (Moulin and Chiapello, 2006).

#### 3.2 Comparison to other ice-core BC records

Few other ice-core records contain precisely dated information about Central European industrial BC emissions for the 19<sup>th</sup> century (Fig. 3). Previous determinations of EC concentrations from the Colle Gnifetti ice core with various methods are characterized by coarse resolution and unknown reproducibility (Lavanchy et al., 1999; Thevenon et al., 2009). The

- 5 Fiescherhorn FH02 ice core obtained 70 km north of CG03 in the Bernese Alps (3900 m a.s.l., 46°33'03"N; 08°04'00"E) provides total EC concentrations from 1650-1940 AD at 5-10 year resolution (Fig. 3b) (Jenk et al., 2006) and analyses were recently completed at annual resolution until 2002 AD (Cao et al., 2013; Gabbi et al., 2015). The low-resolution EC record was used by Painter et al., (2013) as input for their model study on potential changes of 19<sup>th</sup> century snow-albedo. Despite the coarse resolution, the overall EC trend from Fiescherhorn ice core is closely reproduced by the new rBC record from
- 10 CG03 (R=0.71, p<0.005) (Fig. 3 c,d). Differences in absolute concentrations by approximately a factor of four can be understood to reflect a difference in elevation (FH02 is situated 500 m lower in elevation and closer to the emission sources) and a difference in the analytical methods employed, with thermo-optical methods resulting in consistently higher values compared to photometric determination of rBC (Currie et al., 2002; Lim et al., 2014). Nevertheless, the common three-step increase of concentrations starting in approximately 1875 AD, 1900 AD and 1940 AD, respectively, strongly supports our
- 15 interpretation that both ice cores capture a common signal of increased industrial BC emissions driven by technological and economic developments in Central Europe.

We also compare the non-BB rBC record (CG03 rBC<sub>no BB</sub>) to an equivalent stacked rBC<sub>no BB</sub> record obtained from four ice cores (Summit 2010, D4, NEEM-2011-S1, TUNU2013; Fig. 5) from Greenland (Keegan et al., 2014; McConnell et al., 2007; Mernild et al., 2015; Sigl et al., 2013; Sigl et al., 2015) acknowledging that these Greenland ice cores capture a

20 mixture of emissions from both Northern America and Europe (Bauer et al., 2013; Hirdman et al., 2010; Lamarque et al., 2013; McConnell et al., 2018). With high snow accumulation rates and analyzed at high-resolution, absolute dating uncertainties for these records are estimated to be better than ±1 year which provides us with another independent, high-precision age constraint for the onset of increased industrial BC emissions from Europe.

Whereas absolute concentrations are, depending on the specific industrial pollutant (BC, Bi), a factor of 2 to 4 lower in Greenland, the long-term trends are remarkably similar between the Greenland stack and CG03 (Fig. 4d, Fig 5). Overall,

- 25 Greenland, the long-term trends are remarkably similar between the Greenland stack and CG03 (Fig. 4d, Fig 5). Overall, concentrations of major industrial pollutants appeared to have increased earlier by roughly 10 years (in 1890 AD) in Greenland compared to the Alps, most prominently visible in bismuth. This delay is consistent with industrialization having accelerated earlier in North America (McConnell and Edwards, 2008) than in the major Central European countries (e.g., Germany, Italy, France). The maximum in industrial BC emissions were synchronous between Greenland and the Alps, both
- 30 peaking at approximately 1915 AD. Differences exist in the long-term trends of rBC since the early 20<sup>th</sup> century maximum, with Greenland values closely approaching preindustrial levels while remaining elevated in the Alps.

#### 3.3 Comparison with BC emission inventories

We compare our ice-core based deposition history with estimated emissions of BC from fossil-fuel and bio-fuel burning (Bond et al., 2007) which form the main input for simulating BC climate effects (direct, indirect aerosol, and ice-albedo forcing) on past climate (Flanner et al., 2007; Lamarque et al., 2013; Shindell et al., 2013). We notice that the general

- 5 structure of BC and EC from the two Alpine ice cores closely resembles estimated BC emissions for both OECD Europe and the Western Alpine region taken from the bottom-up inventory of Bond et al., (2007) (Fig. 6). Interpreting the ice-core longterm trends as proxies for atmospheric burden (or emissions, respectively) we identify three major differences between these datasets. First, the increase in BC to its early 20<sup>th</sup> century maximum as deduced from the ice cores occurred in two subsequent steps, whereas the emission inventory implies a more gradual increase throughout the 19<sup>th</sup> and early 20<sup>th</sup> century.
- 10 Second, the BC inventory emissions remain fairly steady at high levels from 1910 to 1950 AD with no decrease between the two world wars as in both Alpine ice cores, and to some degree also in the Greenland ice cores (Fig. 5). Third, the emission inventories suggest that BC emissions dropped significantly since the 1960s and reached for OECD Europe preindustrial levels by 1980, whereas BC ice-core concentrations remained clearly above their preindustrial values until the very recent past. Median concentrations from 1980 onwards are still 3-fold at CG03 and 1.5-fold at FH02 compared to pre-industrial
- 15 1741-1850 AD levels, respectively. Table 3 summarizes BC emission estimates based on inventories and mean ice-core rBC concentrations centered at 1850, 1915, 1975 and 2000 AD for CG03, FH02 and the-a Greenland ice-core stack, respectively.

### 3.4 The timing of industrial BC deposition and glacier lengths variations in Europe in the 19<sup>th</sup> century

- To test the plausibility of an ice-albedo effect to force (or at least contribute) to the glacier length reductions occurring during the 19<sup>th</sup> century we here examine the exact timing of industrial BC deposition at Colle Gnifetti and Fiescherhorn. For 20 the latter, we interpret the sharp increase in EC (Fig. 3) in the sample dated to 1875 to 1879 AD (Jenk et al., 2006). Assuming conservatively that the increase occurred at the start age of this discrete sample and considering a dating uncertainty of ±5 years provides us with a lower bound for the earliest occurrence of enhanced BC deposition of 1870 AD. ToE analysis for the CG03 rBC<sub>no BB</sub> record identifies the year 1875 AD [5-95% range: 1870-1882 AD] as the time of emergence of industrial BC deposition with a conservative dating uncertainty of ±5 years (Fig. 7, Table 4). Since ToE and 25 dating uncertainty are independent, we estimate the absolute uncertainty range in the timing of industrial BC deposition at CG03 as 1868-1884 AD (5-95% range). The Bayesian Change Point algorithm returns virtually the same result with the highest change point probability in 1876 AD (Table 4). The median timing of industrial BC deposition at the four Greenland ice-core sites is 1872 AD (ToE analysis) or 1891 AD (Bayesian Change Point), respectively, in good agreement with CG03. Using the precisely dated D4 ice core and vanillic acid to discriminate forest fire emissions, McConnell et al. (2007) gave
- 30 <u>1888 AD as their best estimate for industrial BC emergence, closely matching our best estimates for D4 employing ToE</u> analysis (1878 AD) and the Bayesian changepoint method (1891 AD), respectively (Supplementary Fig. S7)

The four high-resolution glacier length records indicate that in 1875 AD, these glaciers had already completed the majority of their total cumulative length reductions (i.e. maximum to minimum front position) of the second half of the 19<sup>th</sup> century. Bossons had experienced 100%, Oberer and Unterer Grindelwald 83% and 74%, respectively, and Mer de Glace 79%, of their cumulative length losses (Table 4; Fig. 6e), with differences likely explained by the different size and topography of the

5 individual glaciers (Lüthi, 2014). Consequently, the stacked record of all four glacier terminus position curves reveals that the highest annual mean glacier length reduction rates of >40 m yr<sup>-1</sup> occurred during the 1860s, when BC concentrations in both ice-cores were still indistinguishable from their natural background levels (Fig. 7). During time-of-emergence of industrial BC deposition in 1875 AD the stacked glacier record had experienced 83% [52-92%] of its entire cumulative glacier retreat from the maximum 1850s terminus positions. ToE analyses performed for total BC (ToE: 1890 AD) and for calcium (ToE: 1986 AD) are equally inconsistent with a mid-19<sup>th</sup> century emergence of the light-absorbing impurity content

10

#### 4. Discussion

#### 4.1 Alpine glacier fluctuations, industrial BC and post-volcanic cooling

on Alpine glaciers outside the range of natural variability (Supplementary Figs. <del>\$7</del>\$8, <del>\$8</del>\$9).

For the first time, we are able to examine a continuous, well-dated record of BC from the preindustrial into the most recent 15 present at sub-annual resolution (Fig. 2). Highly reproducible rBC measurements mirror the low-resolution EC record obtained from the nearby Fiescherhorn ice core (Fig. 3). We interpret this as evidence that these ice cores detect a common signal of the atmospheric BC burden since the preindustrial from anthropogenic emissions of BC by industrial and transport related activities. Other source tracers co-analysed with BC allow attribution of changes of the main emission sources to the observed trends (Fig. 4). During the preindustrial (1741-1850 AD) CG03 rBC concentrations were low with episodic spikes

- co-registered with ammonium attributed to anthropogenic or natural biomass burning sources. Only later in the 19th century 20 did concentrations of rBC and other industrial pollutants (e.g., Bi, Pb, SO<sub>4</sub><sup>2-</sup>) typically emitted by coal burning start to increase significantly, with 1875 AD identified as the best estimate and 1868 AD as a very conservative lower bound for the timing of the earliest emergence of these emissions from background variability. Greenland ice cores, which also capture emissions from Europe, are consistent with our finding that no major increase of BC and other typically co-emitted industrial
- 25 tracers (e.g. Bi) occurred before 1870 AD (Fig. 5). Mineral dust deposition at CG03 does not show relevant long-term trends during the 19<sup>th</sup> century.

The combined evidence strongly contradicts the key assumption of a synchroneity between glacier retreat and BC increase made previously (Painter et al., 2013) in apparent support of the hypothesis that industrial BC emissions have forced accelerated glacier melt through a snow-albedo feedback. With 82% [52%] of the glacier length reductions having already

taken place at the best [earliest] estimated time of emergence of industrial BC deposition, these are unlikely to have been 30 forced by the latter anthropogenic soot emissions (Fig. 7). The discrepancy in the temporal relation between our results and those of Painter et al., (2013) are in part explained by the low resolution in their deployed glacier length records in the 19<sup>th</sup> century (i.e., Rhône, Argentière), that tend to smooth the actual terminus position curves between 1850 and 1900 AD. As shown in Fig. 8, retreat rates of the terminus positions from high-resolution glacier observations were much stronger between 1850 and 1875 AD, than they were between 1875 and 1900 AD. Moreover, when industrial BC emissions reached their overall maximum values in the 1910-20s, indicated by ice-core BC concentrations exceeding five-times their

- 5 preindustrial values, Alpine glaciers showed no indications of further retreat, but were instead advancing again (Fig. 7, Fig. 8). Thus, other factors than changes in surface snow albedo appear to have dominated mass balance and glacier length variability of these European glaciers, of which temperature and seasonal precipitation distribution are widely considered the most important (Steiner et al., 2008; Zumbühl et al., 2008). The previously made claim (Painter et al., 2013; Vincent et al., 2005) that precipitation and temperature variability alone were insufficient to explain the observed glacier length variability.
- 10 has been recently challenged by Lüthi (2014) and Solomina et al., (2016), who demonstrated that on a regional to global scale summer temperatures are the most important parameters determining glacier mass balance variability. Previously underestimated due to an "early-instrumental warm bias" (Böhm et al., 2010; Frank et al., 2007) new surface air temperature (SAT) reconstructions based on early instrumental records, <u>historical documentary proxy evidencedocumentaries</u> and tree-rings now all give evidence that the early 19<sup>th</sup> century was exceptionally cold in Central Europe in a long-term context
- (Brohan et al., 2012; Büntgen et al., 2011; Luterbacher et al., 2016) (Fig. 9, Supplementary Fig. S10). A strong negative radiative forcing resulting from at least five large tropical eruptions between 1809 and 1835 (Sigl et al., 2015; Toohey and Sigl, 2017), in tandem with the Dalton solar minimum (Jungclaus et al., 2017; Usoskin, 2013) appeared to have forced the glaciers to strongly advance until the 1850s, in some cases probably far outside their range of typical long-term natural variability (Fig. 8). Similarly, later glacier advances (e.g. in 1890s and 1910s) followed other major volcanic eruptions including Krakatao (1883) and Katmai (1912).

A strong role of volcanic forcing is supported by a consistent strong coherence of glacier expansions following clusters of volcanic eruptions throughout the past 2,000 years (Le Roy et al., 2015; Solomina et al., 2016). <u>The stratospheric aerosol</u> burden for the time window 1600-1840 AD was 40% larger than during the entire Common Era (Sigl & Toohey, 2017) with volcanic eruptions frequently forcing cold spells and glacier advances (e.g., in 1600s, 1640s, 1820s, 1840s) in the Alps (Fig.

- 9) and elsewhere (Solomina et al., 2016). Increased summer precipitation during cool post-volcanic summers may have additionally contributed to a more positive mass balance (Raible et al., 2016; Wegmann et al., 2014) plausibly enforced by a positive albedo feedback loop resulting from increased snow cover in the Alps. The glaciers' initial and more or less synchronous retreat from the maximum terminus positions starting at 1860 can thus be understood as a delayed rebound back to their positions they had before the radiative perturbed time period 18001600-1840 AD (Fig. 9), and an additional decrease
- 30

of snow albedo from the deposition of BC is considered not to be needed to explain these observations (Lüthi, 2014). The specific extent to which early anthropogenic warming (Abram et al., 2016), changes in atmospheric modes (Swingedouw et al., 2017) including the Atlantic Multidecadal Oscillation (AMO) (Huss et al., 2010), or to some extent snow-albedo feedbacks from increasing light-absorbing aerosol deposition towards the end of the 19<sup>th</sup> century may have contributed to the overall glacier length variability in the European Alps throughout the 19<sup>th</sup> century remains difficult to determine. A leading

and initiating role of light-absorbing aerosols either from industrial BC following Painter et al., (2013) or from natural mineral dust emissions can, however, be rejected with high confidence, based on the alpine BC records.

#### 4.2 Constraints on Central European BC emissions

While the CG03 rBC time series reproduces well the general major emission trends from gridded BC emission inventories

- 5 (Fig. 6) it provides additional structure that is currently not captured by the BC inventories. This includes a stepwise increase of BC rather than linearly rising emissions; a short reduction of emissions between the two World Wars likely related to global economic depression (Gabrieli and Barbante, 2014; Schwikowski et al., 2004) and smaller reductions since the 1960s as opposed to the BC emission inventories. Similar to Europe, Greenland ice-core BC records also do not support the idea of a gradual increase in BC since 1850 AD but show a very rapid increase of emissions around 1890 AD, suggesting that the
- 10 emission inventories data before 1900 AD may be biased. This is plausible given the small number and incomplete nature of consumption and technology-related records contributing to these inventories during this time (Bond et al., 2007; Bond et al., 2004). Since many datasets (e.g., refinery outputs) during the 19<sup>th</sup> century were only available for the USA and with extrapolation backward in time applied often when specific data was unavailable (Bond et al., 2007) it is not surprising that the BC emission trend in Europe (and other regions) more or less closely follows that for North America prior to 1900 AD
- 15 (Bond et al., 2007; Lee et al., 2013b). The discrepancy between the inventory-estimated and the much lower ice-core indicated reduction of BC in CG03 since 1960s is striking. This mismatch suggests that the measures taken to reduce the release of BC into the atmosphere may not have been as efficient as the energy-consumption data suggests. This may hint towards-that the emission factors (e.g., BC emitted per fossil-fuel-unit burned) are frequently reported as too low in these inventories, which in the light of the Volkswagen emissions scandal revealed in 2015, seems at least a plausible scenario. A
- 20 comparable offset had been recently also noted between modelled emissions and CG03 nitrate and ammonium records between 1995 and 2015 (Engardt et al., 2017) suggesting that besides rBC also NO<sub>x</sub> and NH<sub>3</sub> emissions may not be adequately accounted for in present-day emission inventories. Greenland ice cores capturing mostly emissions from North America (where diesel engines play a minor role compared to Europe) in contrast to the alpine ice cores show a very pronounced decrease of BC during the second half of the 20<sup>th</sup> century to almost preindustrial values at present (Keegan et al.,
- 25 2014; McConnell et al., 2007). While providing more realistic estimates of carbonaceous particle emissions from gasoline and diesel engines remains an area of ongoing research (Gentner et al., 2012; Gentner et al., 2017; Platt et al., 2017) more records are certainly required from other suitable sites in Europe to elude the sources of this late 20<sup>th</sup> century mismatch. Further reducing uncertainties in ice-core BC records is of eminent importance in assessing the accuracy of emission inventories and of particular interest since all state-of-the-art coupled aerosol climate models use gridded BC emission
- 30 inventories as input parameters for their simulations (Lamarque et al., 2010; Lee et al., 2013b; Shindell et al., 2013).

#### 5. Conclusion

Industrial black carbon believed to be emitted in large quantities starting in the mid-19<sup>th</sup> century had been suggested as the key external forcing responsible for an accelerated melting of European glaciers through enhancing ice-albedo and subsequent ablation (Painter et al., 2013). We refuted this interpretation by presenting highly resolved, well replicated ice-

- 5 core measurements of refractory black carbon, mineral dust, and distinctive industrial pollution tracers from the Colle Gnifetti ice core in the Alps covering the past 270 years. The comprehensive suite of elemental and chemical species coanalyzed enabled to elucidate characteristic source profiles to disentangle industrial from biomass burning sources for BC. The precisely dated ice core allowed precise comparison of the timing of observed acceleration of glacier retreat in the mid-19<sup>th</sup> century with that of increased deposition of black carbon on the glaciers caused by the industrialization in Europe.
- 10 Reproducing closely the main structure of the Fiescherhorn EC record (Jenk et al., 2006), our study suggests that at the time when European rBC emission rates started to significantly increase (only after 1870) the majority of Alpine glaciers had already experienced more than 80% of their total 19<sup>th</sup> century length reduction. Industrial BC emissions can, therefore, not be considered as the primary forcing of the rapid deglaciation at the end of the Little Ice Age in the Alps. Much more plausible appears an alternative hypothesis in that glacier length changes throughout the past 2,000 years have been forced pre-
- 15 dominantly by summer temperatures reductions induced by sulphuric acid aerosol forcing from large volcanic eruptions. In this sense, the retreat from the volcanically forced maximum glacier terminus positions starting in the 1860s can be seen as a lagged response of the cryosphere after the volcanic induced cooling had reached its maximum following a sequence of major tropical eruptions in 1809, 1815, 1823, 1831 and 1835 AD. Only after 1870 AD, when BC emissions started to strongly increase, snow-albedo impurity effects may have potentially contributed to the glacier length reductions, although
- 20 the magnitude of such a feedback must be considered small given that glaciers were advancing during the coal-burning era of peak air pollution with BC in Central Europe (1910-1920).

Much <u>of the</u> understanding of future climate change is based on computer simulations, but models used to predict future climate must be evaluated against past climate for accuracy (Hansen et al., 2007; Lamarque et al., 2013). Aerosols in climate models are mostly evaluated with observations from recent years to a few decades, time periods during which mitigation

- 25 measures for air quality control were widely in place. Ice core records, possibly the only data sets to provide long-term historical information on aerosols, are therefore critical for model evaluations, especially during time periods of widespread air pollution in industrialized countries during the 19<sup>th</sup> century. Here we performed a first step towards this goal by developing a first continuous BC record from Central Europe covering the past 270 years that has the resolution, precision and reproducibility to serve in the future as a benchmark for climate models through dedicated model-data intercomparison
- 30 (Koch et al., 2011; Lee et al., 2013b). With aerosol deposition at this site understood to depend on shorter timescales (e.g. inter-annual-to-decadal) also on atmospheric transport efficiency and the spatial distribution and conservation of snowfall, incorporating more BC records from this site into a stacked composite is expected to enhance the signal (i.e., burden or emissions) with respect to the noise caused by atmospheric transport, spatially varying snow accumulation and preservation.

This should, therefore, be considered a main focus for future research together with developing comparable records from other suitable ice-core sites in the Alps.

Data availability: The CG03 ice-core data are available in the XXXX repository https://xxxxx.

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#### Author contribution:

15 Mi.S conceived this study, performed BC and ion analyses, developed age-models, analyzed data and wrote the paper; N.J.A performed ToE analyses; J.G. analyzed trace elements, D.O. helped processing ice cores and developed SP2 methodology, T.M.J. supervised the development of the SP2 method and led the 2015 CG ice-core drilling campaign, Ma. S. coordinated the project. Mi. S. led the manuscript writing with input from all coauthors.

#### 20 Competing interests:

The authors declare that they have no conflict of interest.

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## **Tables and Figures:**

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Table 1	<b>l:</b>	Ice cores,	parameters	and	l anal	ytical	methods
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Ice Core	Time (AD)	Depth	Analyses	Instrumentation
CG03A	1741-1993/2003	0-57m	Trace elements (Bi, Pb) / major ions	ICPMS, IC
CG03B	1741-2003	0-57m	Major ions, rBC	IC, SP2
CG08	1996-2008	0-10.5m	Major ions	IC
CG15	2001-2015	0-11.9m	Major ions, rBC	IC, SP2

Table 2: Median concentrations for selected chemical and elemental tracers from Colle Gnifetti during preindustrial (PI),

15 during time periods dominated by the fossil-fuel sources coal (COAL) and petroleum products (PETROLEUM).

	1741-1850	1901-1950	1951-1993				
	PI	COAL	PETROLEUM	COAL/PI	PETROLEUM/PI	Main sources	Main emitters
BC	1.9	7.2	6.6	3.7	3.4	cb, ind, t, bb, ff	Natural + anthropogenic
$\mathbf{NH_{4}^{+}}$	34	66	131	2.0	3.9	a, bb, ff, b	Natural + anthropogenic
$SO_4^{2-}$	80	284	679	3.6	8.5	ind, t, cb, d, vol	Natural + anthropogenic
Pb	0.11	0.55	1.32	5.1	12.3	t, ind, cb	Anthropogenic
Bi	1.2	4.4	3.6	3.7	3.0	cb, ind, t	Anthropogenic
NO <sub>3</sub> <sup>-</sup>	80	100	251	1.3	3.1	ind, t	Natural + anthropogenic
$Na^+$	17.1	17.3	18.9	1.0	1.1	ss, d	Natural
Ca <sup>2+</sup>	68	102	118	1.5	1.7	d	Natural

All concentrations are median concentrations in ng g<sup>-1</sup>, except Bi (pg g<sup>-1</sup>); calcium, sulphate, sodium and nitrate values are the mean from both ice cores CG03A and CG03B. BC is from CG03B, all others are from CG03A. cb = coal burning; ind = industrial; t = traffic; bb = biomass burning; ff = forest fires; a = agriculture; b = biogenic; ss = sea salt; d = mineral dust; vol = volcano.

**Table 3:** Estimated BC emissions from Bond et al., (2007) for OECD Europe and Western Alps (45-47°N, 6-9°E) with median concentrations of CG03 rBC and FH02 EC during Preindustrial (PI, 1840-1860), during peak coal burning (1910-1950), peak petroleum burning (1970-2000) and Present Day (PD, 1995-2005); numbers in brackets indicate the increase relative to PI (in %) (see Fig. 6).

	Preindustrial (PI)	"Peak Coal"	"Peak Petroleum"	Present Day (PD)
	1840-1860	1905-1925	1960-1980	1995-2005
Ice cores [ng g <sup>-1</sup> ]				
CG03	2.3	8.4 (+270%)	6.6 (+190%)	6.6 (+190%)
FH02*	9.9	34.8 (+250%)	21.0 (+110%)	14.1 (+43%)
Greenland Stack (N=4)	1.4	5.2 (+270%)	2.3 (+59%)	1.8 (+24%)
Emission inventory (Bond	l et al., 2007) [Gg yr <sup>-1</sup> ]			
OECD Europe	292	793 (+170%)	653 (+120%)	352 (+20%)
Western Alps	3.2	8.9 (+180%)	8.0 (+150%)	5.7 (+79%)
(45-47°N/6-9°E)				

5 based on EC analysis

Table 4: Alpine glacier lengths during the emergence of industrial BC deposition (see Fig. 7)

	Time-of-emergence	total 5-95% range (±5	Bayesian change point	total 5-95% range (±5
		yrs dating uncertainty)		yrs dating uncertainty)
Year	1875	[1868-1884]	1876	[1870-1881]
	% of total mid-19th cent	ury (i.e. 1850-1880 ) glacier ler	ngth reduction completed	
Bossons	100	[78-100]	100	[83-100]
Mer De Glace	79	[37-89]	84	[56-92]
O. Grindelwald	83	[53-89]	87	[62-90]
U. Grindelwald	74	[54-100]	76	[63-94]
Stack (N=4)	82	[52-92]	85	[63-91]
Median (N=4)	81	[54-94]	86	[62-93]

Table 5: Alpine glacier advances and volcanic eruption dates and resulting stratospheric aerosol properties (see Fig. 8)

Major glacier advance	Cumulative glacier	SAOD <sub>30-90°N</sub> rel. to 1900-2000	Major eruptions (SAOD <sub>30-90°N</sub> >0.02) [Rank
phases (AD)	length change (m)	AD (%)	among all eruptions in 1800-2000 AD]
1807-1820	+450	+320%	1809 [2]; 1815 [1]
1831-1854	+126	+58%	1831 [3]; 1835 [9]; 1846 [14]
1883-1893	+115	+109%	1883 [5]; 1890 [10]
1913-1924	+154	-20%	1902 [6]; 1907 [11]; 1912 [8]
		+143% (lag -10 years)	
1967-1982	+183	0%	1963 [12]; 1975 [15]; 1982 [4]



- 5 Figure 1: a) Colle Gnifetti (CG) and Fiescherhorn (FH) ice-core drilling sites, high resolution glacier length reconstructions from the Bernese Alps (O. Grindelwald, U. Grindelwald) and French Alps (Bossons, Mer de Glace). Dashed rectangle envelopes the six 1° x 1° grids used for the comparison of gridded black carbon (BC) emission estimates (Bond et al., 2007) with ice-core BC concentrations (Fig. 6); Source: Perconte (Based on SRTM-Data) [CC BY-SA 2.5 (https://creativecommons.org/licenses/by-sa/2.5)], via Wikimedia Commons b) drilling site of the new
- 10 CG15 ice-core at 4450 m asl (Source: M. Sigl); c) Alpine and Greenland ice-core drilling sites superimposed on present-day annual mean fossil fuel and biofuel BC emission estimates (adapted from Stohl (2006)).



Figure 2: Combined (CG03 and CG15) Colle Gnifetti rBC concentration record including the original analysis (black) and replicate samples from parallel core sections (red) between 1741-2015 AD.



Figure 3: a) Colle Gnifetti CG03 rBC concentrations and b) Fiescherhorn FH02 elemental carbon (EC) concentrations (Jenk et al., 2006; Cao et al. 2013; Gabbi et al. 2015); c) comparison of CG03 and FH02 ice cores
resampled to the FH02 sampling resolution of 5-10 years with d) linear fit and *Pearson's* correlation coefficient R=0.71, P<0.005 (adjusted for a reduced sample size owing to autocorrelation of the data sets) indicated.</li>



Figure 4: Colle Gnifetti rBC record (black) compared with a) ammonium (NH<sub>4</sub><sup>+</sup>), b) total sulphate (SO<sub>4</sub><sup>2-</sup>) and sulphate corrected for contributions from volcanic emissions and Saharan dust (dotted line), c) lead (Pb) and d) bismuth (Bi) together with rBC corrected for biomass burning contributions (BC<sub>no BB</sub>; grey dotted line). All records are 11-yr filtered. Marked are time periods dominated by preindustrial emissions (1741-1850 AD), coal burning (1901-1950 AD) and petroleum burning emissions (1951-1993 AD), respectively.



5 Summit2010 (Keegan et al., 2014; McConnell et al., 2007; Mernild et al., 2015; Sigl et al., 2013; Sigl et al., 2015) and

(orange) a stacked Bi record from the South Greenland ice cores (Chellman et al., 2017). Samples influenced by forest fire and biomass burning (BB) activity were replaced by the corresponding 21-year running median before smoothing the record with an 11-yr filter (thick blue line). BB activity detection is based on co-registered vanillic acid (for D4; McConnell et al., 2007) and on an outlier detection algorithm previously employed for discrimination of fire 10 activity and volcano detection in Greenland ice cores (Fischer et al., 2015; Sigl et al., 2013). b) Stacked rBCno BB record from Greenland and CG03<sub>no BB</sub> record. Mean rBC<sub>no BB</sub> concentrations and relative enrichment to Preindustrial (PI) are provided for specific age windows marked by red shading (summarized in Table 3) dominated by coalburning, petroleum-product burning and present day (PD) emissions.



Figure 6: a) CG03 rBC and FH02 EC concentrations and energy-consumption based BC emission estimates from fossil fuel and biofuel burning (Bond et al., 2007) for OECD Europe and b) for the grid cells 45-47°N and 6-9°E encompassing the locations of the ice-core drilling sites and the four glacier observation records in the Western Alps

5 (see Fig. 1). Mean rBC<sub>no BB</sub> concentrations and relative enrichment to Preindustrial (PI) are provided for specific age windows marked by red shading (summarized in Table 3) dominated by coal-burning, petroleum-product burning and present day (PD) emissions.



Figure 7: a) Annual CG03 non-biomass burning rBC concentrations (BC<sub>no BB</sub>, black) with 15-yr filtered trend; b) mean glacier length change rate (smoothed with a 11-yr filter) of the stacked (N=4) glacier length records from Bossons, Mer de Glace, Oberer Grindelwald and Unterer Grindelwald glaciers; c) normalized distribution of the

- 5 probabilities of the timing of emergence of industrial BC deposition assessed across 15-100-yr windows using time-ofemergence (ToE) analysis (Hawkins & Sutton 2012) and d) using a linear Bayesian change point algorithm (Ruggieri, 2013). The two lower panels give the values for the change points, showing the median [5-95% range], or in the case of the Bayesian change point method the mode [5-95%]. Dashed green line across panels a-d represent the median of the ToE analysis. The read area left of the intersect of the mean glacier length change rate curve (panel b) indicates
- 10 the completed cumulative length reduction since the 1850s maximum until ToE in 1875 and the 5-95% range taking also into account an absolute dating uncertainty of ±5 years; e) completed glacier length reductions since mid-1850s maximum for the four individual glaciers and the stack (black bars are for the year 1875) with 5-95% total uncertainty range.



Fig. 8: a) Cumulative glacier length changes for the four glaciers Bossons, Mer de Glace, Oberer (O-) Grindelwald and Unterer (U-) Grindelwald and their average (*Glacier Stack*; missing observations were filled using linear interpolation); b) mean glacier length change rate (smoothed with a 11-yr filter) of the *Glacier Stack* length record

- 5 indicating phases of average glacier advances (blue shading) and of glacier retreat (red shading), respectively; c) smoothed and annual resolution mean glacier length change rates of the *Glacier Stack* and equally resolved surface air temperature anomalies for the summer half year (SAT<sub>summer</sub>) from the Greater Alpine Region HISTALP station network (Böhm et al., 2010); d) as c) but with stratospheric aerosol optical depth (SAOD) at 550nm based on ice cores (1800-1850: Toohey & Sigl 2017) merged with the CMIP6 (version 2) reconstruction (1850-2000: Luo 2016, Eyring et
- 10 al. (2016)).



5 reconstructed Alpine summer (JJA) temperatures (Büntgen et al., 2011), minima in solar activity (Usoskin 2013), and volcanic aerosol forcing (Revell et al., 2017; Toohey and Sigl, 2017) from 1500 to 1950 AD. Grey shading marks time periods with increased volcanic aerosol forcing.