

## Potential faster Arctic sea ice retreat triggered by snowflakes' greenhouse effect

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**Abstract.** Recent Arctic sea ice retreat has been quicker than in most general circulation model (GCM) simulations. ~~Natural factors~~Internal variability may have amplified ~~this~~the observed retreat in recent years, but reliable attribution and projection requires accurate representation of relevant physics. Most current GCMs don't fully represent falling ice radiative effects (FIRE), and here we show that the small set of Coupled Model Intercomparison Project, phase 5 (CMIP5) models that include FIRE tend to show faster observed retreat. We investigate this using controlled simulations with the CESM1-CAM5 model. Under 1pctCO2 simulations, including FIRE results in the first occurrence of an "ice free" Arctic (monthly mean extent <  $1 \times 10^6$  km<sup>2</sup>) at 550 ppm CO<sub>2</sub>, compared with 680 ppm otherwise. Over 60—90 °N oceans, snowflakes reduce downward surface shortwave radiation -and increase downward surface longwave radiation, improving agreement with the satellite-based CERES-EBAF surface dataset. We propose that snowflakes' equivalent greenhouse effect ~~results in fewer safe spaces in~~reduces the mean sea ice thickness ~~which sea ice can thicken during winter~~, resulting in a thinner pack whose retreat is more easily triggered by global warming. This is supported by the CESM1-CAM5 surface fluxes ~~controlled~~

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~~CESM1-CAM5 simulations, and a reduced initial thickness in perennial sea ice regions by approximately 0.3 m when FIRE are included. But~~ this explanation does not apply across the CMIP5 ensemble where ~~inter-model variation in the simulation of~~ other processes ~~can likely~~ dominates. Regardless, we show that FIRE can substantially change Arctic sea ice projections and propose that better including falling ice radiative effects in models is a high priority.

## 1 Introduction

The Arctic region is undergoing pronounced change, becoming warmer and wetter (Boisvert and Stroeve, 2015) while its land ice melts (Jacob et al., 2012; Kjeldsen et al., 2015) and spring arrives weeks earlier than in the 1990s (Post et al., 2018). Communities in the region may have to adapt to changing hunting seasons (Rolph et al., 2018), loss of coast that was previously protected by sea ice (Overeem et al., 2011) and ~~the~~ surface destabilisation due to permafrost melt (Shiklomanov et al., 2017).

In particular, Arctic sea ice retreat potentially opens area for resource extraction or transport routes (Smith and Stephenson, 2013) and has national security implications for neighbouring states. Physically, sea ice affects both top-of-atmosphere and surface heat fluxes. In winter it insulates the ocean, restricting the leakage of heat to space via infrared cooling, and in summer it predominantly reflects sunlight and cools the surface (Tietsche et al., 2011). ~~From a surface perspective Throughout the year,~~ it restricts evaporation and therefore affects the hydrological cycle (Bintanja and Selten, 2014). It has been proposed that reduced sea-ice extent may further smooth the latitudinal temperature gradient, thus weakening the high latitude jets and making it easier to shift into a “wavy” pattern, which is associated with long-lived extreme events at mid latitudes (Francis and Vavrus, 2012). However, these proposed impacts at lower latitudes are currently speculative and disputed (Cohen et al., 2014).

The recent rapid Arctic sea ice retreat included extreme minima in 2007 and 2012 which received particular attention. Regarding the 2007 minimum, a reduction in cloudiness during the melt season relative to previous years was shown to change surface energy balance by enough to thin sea ice by up to 0.3 m over three months (Kay et al., 2008).

~~Natural atmospheric~~ Atmosphere and ocean dynamics may also ~~contribute by exporting~~ ice to lower latitudes. For example, stronger circulation associated with the Arctic Oscillation can increase the total area of new, thin ice but transport the thicker ice away from the coldest regions and leave it vulnerable to summer melting (Rigor et al., 2002), ~~which tends to increase extent in winter but ultimately reduce it in summer~~. For example, sSurface pressure observations have been used to infer contributions to summer sea ice reduction due to anomalously high ice export through the Pacific sector in 2007 (Zhang et al., 2008) and the Fram Strait in 2012 (Smedsrud et al., 2017).

~~From analyses of subsets of climate models in the~~ Based on CMIP5 output (Climate Model Intercomparison Project, phase 5 ~~(CMIP5; Taylor et al. (2012))~~), the observed extreme low events and general retreating trend have been attributed to a combination of melt driven by global warming along with a likely natural component internal variability, such as extreme cloud anomalies affecting surface radiation (Kay et al., 2011) and from 1990 through the early 2000s, potentially wind-driven factors (Rigor and Wallace, 2004). One recent study suggested an equally important role for anthropogenic warming and natural variability for the extreme 2012 loss (Kirchmeier-Young et al., 2017).

Reliable attribution requires the ability to quantify physical processes and relevant responses to each forcing. A better understanding of the processes that are responsible for sea ice retreat will help to reduce uncertainties in future projections. Accurate future projections are ~~also~~ necessary for informed decisions with the changing Arctic, such as by investors or insurance companies who may wish to assess the risk associated with proposals for future shipping routes. A common criterion is determining if and when a seasonally “ice free” Arctic will occur, arbitrarily defined as when sea ice extent falls below  $1 \times 10^6$  km<sup>2</sup>. At this point the remaining ice would cluster around islands and coasts, leaving the basin largely open. Climate models are crucial tools to inform projections but their Arctic response varies widely (Massonnet et al., 2012; Stroeve et al., 2012). The time at which the Arctic is likely to become “ice free” under high emissions radiative forcing in CMIP5, for example, ranged from 2041—2060 in Massonnet et al. (2012) while Stroeve et al. (2012) only stated that “a seasonally ice-free Arctic Ocean within the next few decades is a distinct possibility”.

Observed Summer-summer retreat has been faster than the average CMIP5 model simulation, ~~implying a large naturally forced component to recent extremes. However, and~~ if the CMIP5 models do not adequately include factors that influence ~~the forced response~~ sea ice retreat then their projections will be biased. We have previously shown that the majority of CMIP5 models do not properly account for atmospheric ice in their radiation codes. While they include suspended ice, falling ice is excluded and this causes region-dependent biases in the surface energy budget that, for example, tends to result in a larger mean Antarctic sea ice extent (Li et al., 2017).

Here we focus on sea ice extent changes and the surface energy budget over oceans from 60—90 °N. In the simplest terms, falling ice should produce a year round increase in downward surface longwave radiation (LW<sub>↓</sub>) and a decrease in downward surface shortwave radiation (SW<sub>↓</sub>), which will be greatest in local summer. Li et al. (2017) showed that in the Antarctic this results in a dampened annual cycle with the increased wintertime ~~longwave-LW<sub>↓</sub>~~ restricting maximum sea ice extent, which then results in a lower albedo when the sun rises again. This lower albedo counteracts somewhat the reduction in sunlight arriving at the surface due to reflection by snowflakes.

With regards to the Arctic, we expect a somewhat different ~~expression-response~~ due to (1) wintertime maximum extent being restricted by continental boundaries and boundaries with warm ocean currents, (2) generally thicker sea ice (Kurtz and Markus, 2012; Kwok and Cunningham, 2008) and (3) faster local warming under the early part of CO<sub>2</sub>-driven heating.

It is therefore possible that increased ~~winter longwave-LW<sub>↓</sub>~~ from FIRE may not have a substantial effect on winter sea ice extent, but may restrict its thickness. This should ~~manifest later as a~~ favour faster retreat in sea ice cover, both during a typical summer melt season and during long-term warming. However, if the maximum wintertime extent is not strongly affected then the albedo will begin the melt season at a similar level regardless of FIRE, and ~~therefore there will be no offset for the stronger expected downward shortwave. This will mean that~~ a non-FIRE simulation should ~~experience more~~ have a stronger local sea ice albedo feedback due to its stronger SW<sub>↓</sub> incident sunlight. ~~These~~ The SW<sub>↓</sub> and LW<sub>↓</sub> effects from

including FIRE should oppose each other and it is not necessarily obvious whether one factor ~~should will~~ dominate.

From the Antarctic sea ice results of Li et al. (2017), we suspect that the longwave effect is more important for the mean state. Our hypothesis is that FIRE increases year round LW<sub>↓</sub> and results in a thinner sea ice cover on average. It is then easier to melt this pack as temperatures warm and our hypothesis is related to the recent findings of Massonnet et al. (2018) who also describe several relevant physical processes. They found that across CMIP5 models, sea ice retreat is correlated with parameters representing seasonal growth and retreat. –They considered differences between the level of sophistication of the sea ice components of the CMIP5 models and found that the background thickness was more strongly related to sea ice retreat than model sophistication. This sensitivity of sea ice retreat to initial thickness supports our hypothesis although we focus on an atmospheric driver of changes in the initial mean state of thickness, namely FIRE.

As well as changes in the mean state which could affect retreat through the initial pack's robustness, it is also possible that local fluxes could vary in different ways under warming. For example, in a simulation where FIRE ~~are is~~ included, warming could raise the atmospheric melting layer during summer, leading to a reduction in ~~the total ice snow~~ water path (~~TIWP~~) in favour of ~~liquid water rainfall, which is not included in the radiation code. which has a smaller radiative effect.~~ The direct ~~effect consequence~~ of this would be to reduce the trend in ~~LW<sub>↓</sub> downward longwave~~ and increase the trend in ~~SW<sub>↓</sub> downward shortwave~~, relative to a simulation where FIRE ~~are is~~ excluded. This ignores further coupling to atmospheric conditions that could similarly affect feedbacks.

Here we investigate the importance of FIRE using both standard CMIP5 output along with ~~controlled~~ simulations with a CMIP5 era climate model, the National Center for Atmospheric Research-Department of Energy (NCAR-DOE) Coupled Earth System Model version 1 with the Coupled Atmosphere Model version 5 (CESM1-CAM5). We refer to these as our “controlled” simulations to emphasise that we controlled the inclusion of FIRE and to distinguish them from other studies’ CESM1 simulations.

Our two main aims are to determine whether FIRE substantially change simulated Arctic sea ice and, more specifically, to test our hypothesis that FIRE tends to reduce mean initial sea ice thickness and thereby leave it more vulnerable to retreat under warming.

CMIP5 output will be used to determine whether differences in simulated sea ice can be detected between

5 FIRE and non-FIRE models across the ensemble, and if so whether the changes can be linked to radiative heat fluxes in a way consistent with our expectations from FIRE.

The CMIP5 models have many differences that may affect sea ice extent, most obviously in their sea ice components. The sea ice albedo schemes for example vary in their sophistication and treatment of snow on ice, melt bonds and response to temperature. The resultant inter-model spread in local albedo feedback

10 does not appear to explain much of the inter-model variance in long-term retreat (Koenigk et al., 2014), but modelled sea ice albedo does correlate with the amplitude of the annual cycle sea extent (Karlsson and Svensson, 2013). As described in Massonnet et al. (2018), any process that affects the baseline

thickness may be related to future retreat, and this includes ocean eddy heat flux (Horvat and Tziperman, 2018) and cloud schemes that affect surface radiation and temperature change. For example in CESM1-

15 CAM5.1, matching the the observed prevalence of mixed phase clouds at low temperatures (Cesana et al., 2012, 2015) results in approximately 1 °C more warming under CO<sub>2</sub> doubling (Tan et al., 2016). Such

a large increase in warming would be expected to also change projected sea ice extent. Differences in sea ice, ocean and atmosphere schemes may drive changes that confound detection of FIRE-driven sea ice effects across the CMIP5 ensemble so our analysis of controlled CESM1-CAM5 simulations in which the

20 only difference is the inclusion of FIRE allows a direct comparison. In these simulations our analysis ignores coupled dynamical responses in favour of studying the surface radiative flux terms that provide a direct test of our hypothesis. ~~We ignore coupled dynamic responses in favour of studying the direct surface radiative flux terms to simplify the analysis.~~

The paper is structured as follows: Section 2 lists the data and methodology, Section 3 reports on the

25 simulated and& observed sea ice changes, Section 4 looks at the simulated &-and observed surface radiative fluxes, Section 5 synthesises and discusses the results and their limitations, and Section 6 concludes.

## 2 Methods and Data

### 2.1 CMIP5 and CESM1-CAM5 Simulations

We use outputs from the CMIP5 archive (Taylor et al., 2012) and select models ~~who have~~that provide all surface energy balance terms plus the fields necessary to calculate sea ice extent for ~~each of the~~ preindustrial control (piControl), historical and Representative Concentration Pathway 8.5 (RCP8.5, Riahi et al. (2011)) scenarios. The historical scenarios run through 2005, after which we append the RCP8.5 output. This is a scenario of very high radiative forcing, which we select to better identify forced response over internal variability, and we make no judgment about the probability that this forcing will occur. For each model we select the first simulation in each case, r1i1p1 in CMIP5 nomenclature, which results in 25 simulations.

We split these into two sub-ensembles depending on whether FIRE ~~are~~is allowed: those including snow radiative effects (CMIP5-SoN,  $N = 7$ ) and those in which ~~there are no falling~~ snow radiative effects are not considered (CMIP5-NoS,  $N = 18$ ). ~~These All models~~ are listed in Supplementary Table 1.

For CESM1-CAM5 we use previously published historical simulations (Li et al., 2014), which are run on a spatial resolution close to a  $1 \times 1^\circ$  latitude-longitude grid and follow the CMIP5 historical protocol. CAM5 is one of the few atmospheric models that allows snow radiative interactions, and it does this thanks to a two-moment ~~cloud scheme with diagnostic treatment of rain and~~ snow. Falling snow mass and the crystal number concentration is diagnosed at each model level and time step, and is related to an effective radius as detailed in Section 2 of Morrison and Gettelman (2008). The profile of snow mass and effective radius is then related to radiative properties using precomputed lookup tables based on an assumed ice habit mixture as described in Section 2.5 of Gettelman et al. (2010) (Gettelman et al., 2010; Morrison and Gettelman, 2008). This scheme only represents the stratiform component of falling ice and not that in convective towers, but the majority of Arctic snowfall will be included. With This-this scheme ~~allows~~ snow radiative effects can~~to~~ be allowed (CESM1-SoN) or disallowed (CESM1-NoS), and the inclusion or exclusion of FIRE is the only difference between the SoN and NoS simulations. The radiative effects of rain are not included in any of the CESM1-CAM5 simulations, but this is unlikely to be an issue for much of the Arctic. Even ignoring the differences in how rain and snow affect radiation, CloudSat



radar-based products report that Arctic precipitation frequency and amount is dominated by snow (Behrangi et al., 2016).

The strength of FIRE and the simulated response of other properties to FIRE depend on the frequency as well as the intensity of snowfall. This is accounted for in the model as radiative transfer is calculated at each model time step even though outputs are only provided monthly. Note that the CESM1-SoN and CESM1-NoS simulations are independent so will have different amounts and patterns of snowfall, and that by including FIRE there can be coupled changes in heating rates, circulation and precipitation (Chen et al., 2018). We later use the SoN-NoS surface radiative flux differences because these include the full coupled changes due to FIRE and are the properties most directly relevant for sea ice changes.

Unfortunately, output is not available for any RCP, which forces observational comparisons to end in 2005. To estimate the how first sea ice extent changes under greater forcing response, we use output from available the 1pctCO<sub>2</sub> output simulations following the CMIP5 1pctCO<sub>2</sub> protocol, in which is a simulation in which atmospheric CO<sub>2</sub> increases at 1 % yr<sup>-1</sup> for 140 years from an initial value near 280 ppm. Radiative forcing definitions estimates differ, but typical values for quadrupled CO<sub>2</sub> are 5.3—8.6 W m<sup>-2</sup> (Forster et al., 2013), meaning that total forcing is similar to the historical-RCP8.5 series used for CMIP5. We use output for from fully coupled CESM1-SoN and for CESM1-NoS runs following the historical and 1pctCO<sub>2</sub> simulations.

## 2.2 Sea Ice Extent

Sea ice extent (SIE) is defined as the area of ocean with sea ice concentration (sic) greater than 15 %.

This was originally developed for satellite-based passive microwave products to be a robust identifier of ice edges when compared against aircraft observations (Cavalieri et al., 1991). This aids threshold means retrieved sea ice edges are less sensitive with robustness of the retrieval to changing weather conditions or melt ponds on the ice which may interfere with the observed brightness temperatures. For observations we use the National Snow and Ice Data Center (NSIDC) monthly series of total sea ice extent (Fetterer et al., 2017) which is calculated from gridded data on a nominal 25 km grid. We use the complete years that were available as of analysis time: 1979—2017.



The standard CMIP5 output is the sea ice concentration within an ocean grid cell, and we calculate sea ice extent following a previously published method (Kirchmeier-Young et al., 2017), by reporting the total area of all of the model's native ocean grid cells with sic > 15 % (see Supplementary Figure 1 for verification of this calculation). This is not a fully consistent comparison due to differences in grid cell sizes and as observations may underestimate sea ice concentration in the presence of substantial melt ponds. Here we assume that these factors have little effect on the large-scale changes under study.

To represent the magnitude of changes in SIE we apply optimised least squares (OLS) to each calendar month's time series separately (e.g. all Januaries for 1979—2005) assuming Gaussian white noise and report both trend estimates and their associated errors. We justify this based on analysis of the detrended residuals of the NSIDC dataset applied to 1979—2005 and 1979—2017. While some months reject white noise at  $p < 0.05$  according to the Ljung-Box test applied for lag-1 autocorrelation, these results are not robust since no calendar month rejects white noise over both periods. No month shows residuals that are significantly different from normality according to the Kolmogorov-Smirnov test: see Supplementary Table 2 for summary of Pearson's  $r$ , Ljung-Box  $p$  and Kolmogorov-Smirnov  $p$ .

## **2.3 Sea Ice Thickness**

Given that our hypothesis is that FIRE drives changes in the initial mean sea ice thickness, we also compare the CESM1-SoN and CESM1-NoS sea ice thickness in the 1pctCO2 simulations. Regional average sea ice thickness is calculated by appropriately area weighting the ice covered area of each grid cell included in the region. For a consistent comparison we select all grid cells where both simulations have greater than 80 % mean sea ice concentration for all calendar months averaged over years 1—20 and 21—40 of their 1pctCO2 simulations. The selected region changes between each period, and a static region poleward of 80 °N as in Massonnet et al. (2018) is also shown. The 80 % concentration threshold means the areas are consistently ice covered and includes about five times as much area as using a 90 % threshold, so our thicknesses are more representative than using a stricter cut off (Supplementary Figure 2). The mean thickness in each region is calculated for each calendar month and our hypothesis is

supported if the CESM1-SoN mean thickness is greater than the CESM1-NoS mean thickness in this region.

## **2.43 Surface Energy Budget**

We use  $1^\circ \times 1^\circ$  monthly estimates of surface fluxes from the Clouds and the Earth's Radiant Energy System  
5 Energy Balanced and Filled-Surface (CERES-EBAF Surface, [Kato et al. \(2013\)](#)) product, for which we have complete years for 2001—2015. ~~This CERES-EBAF Surface~~ combines satellite data with a radiative transfer model to ~~estimate~~ calculate surface fluxes and is estimated to have a monthly root mean square error of  $\pm 11 \text{ W m}^{-2}$  in each surface radiative flux term over oceans (Kato et al., 2012).

CESM1-CAM5 output is provided monthly at  $1^\circ \times 1^\circ$  and for all CMIP5 models, we use previously  
10 ~~gridded~~ interpolated  $2.5^\circ \times 2.5^\circ$  monthly data. Fluxes are calculated by taking the area-weighted average of values in each grid cell after scaling by the ocean fraction (total ocean fraction, including sea ice covered ocean). For CERES and CESM1-CAM5 we use the CESM1-CAM5 gridland sea mask, and for all CMIP5 models we use a consistent ~~map~~ fractional land sea mask built from the  $0.125^\circ \times 0.125^\circ$  European Center for Medium Range Weather Forecasts European Reanalysis-Interim (ECMWF ERA-  
15 Interim) land mask. For comparison of the mean state fluxes between CERES and our controlled historical CESM1-CAM5 simulations we only have ~~4~~ 5 complete years of overlap, 2001—2005 inclusive.

We consider the difference CESM1-CAM5 minus CERES but since our simulations are coupled, internal variability could increase the apparent model-observation discrepancy. As an estimate of the magnitude of internal variability on our 5-year averaged fluxes, we detrend the model output over 1981—2005, and  
20 the CERES output over 2001—2015 then slice these into non-overlapping 5 year periods. The standard deviation is calculated for the modelled and observation-based samples and then these are added in quadrature to provide a value for the CESM1-CAM5 minus CERES difference.~~We therefore show the results for these 4 years with error bars estimated by slicing both CERES and CESM1-CAM5 post 1979 data into non-overlapping 4 year periods and taking the standard deviation of these samples. For~~  
25 ~~differences these standard deviations are added in quadrature and reported as an estimate of the uncertainty.~~ This estimate only represents the effect of internal variability due to our use of a short time

period, and may be biased if the variance in these terms changed greatly from 1979—2015. Given the brevity of the available data record we consider this simple approach to be adequate.

### 3 Observed and Simulated Sea Ice Extent and Thickness Results.

#### 3.1 Sea Ice Extent

- 5 Figure 1~~Figure 1~~ shows the March and September post-1979 ~~changes in~~ SIE in NSIDC observations and CMIP5 simulations. These are the months of maximum and minimum SIE (all months are shown in Supplementary Figure 32). Figure 1~~Figure 1(b)~~~~The upper right panel~~ shows that observed September retreat approaches the lower 10<sup>th</sup> percentile of the CMIP5 ensemble. When plotted using anomalies, the retreat falls outside the model range (see Supplementary Figures 34 for absolute anomalies,
- 10 Supplementary Figure —45 for relative anomalies). In Figure 1~~Figure 1(c,d)~~ the results are split into CMIP5-SoN and CMIP5-NoS sub-ensembles~~The bottom panels of this figure show that the CMIP5-SoN sub-ensemble generally agrees better with the faster observed retreat, with Figure 1~~~~Figure 1(d)~~ showing that CMIP5-SoN better captures the observed September retreat over 1979—2017. The median CMIP5-SoN trend is more negative than that of CMIP5-
- 15 NoS from June through October, in better agreement with observations (Supplementary Figure 6). In March, trends are similar but CMIP5-SoN shows greater extent, which is the opposite of expectations if wintertime LW~~longwave~~—from FIRE were the main cause of differences. However, inter-model differences in parameterisations and calculation methods for clouds, the atmosphere, oceans and sea ice can change the mean state, so to isolate FIRE we present the controlled CESM1-CAM5 simulations in
- 20 Figure 2~~Figure 2.~~ CESM1-CAM5 captures theOver 1979—2005 there is a smaller mean extent well with a smaller discrepancy between CESM1-CAM5 and observations for monthly mean extent versus observations throughout the year when including FIRE (full see annual cycles in Supplementary Figures 7). R5—6). Historical retreat during the same period is also faster in CESM1-SoN than in CESM1-NoS: for
- 25 September the SoN minus NoS series is significant at 2.61 $\sigma$  (white noise p = 0.01, see Supplementary Figure 8). The CESM1-SoN September retreat is faster than in reality over 1979—2005, but not

~~significantly so ( $p = 0.06$ ), but only significantly so if white noise is assumed~~ Real world Arctic sea ice retreated more rapidly after 2005, but we do not have the output to determine whether this means that CESM1-SoN would then show better agreement. ( $t = 2.39, p = 0.012$ ), whereas after accounting for lag-1 autocorrelation above 0.4 the difference is insignificant ( $t = 1.51, p = 0.073$ ). Neither show significant differences relative to NSIDC observations over 1979–2005 although the 1979–2017 trend is detectably faster than the CESM1-NoS changes through 2005. The bottom panels show that inclusion of FIRE results in a much faster September retreat beginning around year 40 of the simulation in the 1pctCO<sub>2</sub> simulation. For increased warming we must turn to the 1pctCO<sub>2</sub> output, and [Figure 2\(d\)](#) shows accelerated retreat in CESM1-SoN following year 40, corresponding to CO<sub>2</sub> levels of 416 ppm, a value that current trends suggest will occur in the 2020s.

To allow easier interpretation, we take overlapping decadal averages of mean SIE and the number of years within that decade with  $SIE < 1 \times 10^6 \text{ km}^2$ , and plot these as a function of atmospheric CO<sub>2</sub> concentration (assuming year 0 is approximately 280 ppm) in [Figure 3](#). Below the 2017 atmospheric CO<sub>2</sub> concentration, [Figure 3\(a\)](#) shows there are only small differences in decadal mean September SIE, but for concentrations higher than this the Arctic sea ice retreats far more rapidly under global warming when FIRE is included. Note that these simulations exclude non-CO<sub>2</sub> forcings such as aerosol, which are present in reality. In the CESM1-SoN simulation, [Figure 3\(b\)](#) shows that the majority of years are classified as ice free once atmospheric CO<sub>2</sub> passes 550 ppm, compared with 680 ppm in the CESM1-NoS simulation. In a naïve sense (i.e. assuming approximately constant airborne fraction as occurs for these decades in some 1pctCO<sub>2</sub> simulations, e.g. Matthews et al. (2009)) this implies a difference of almost 100 % in cumulative future anthropogenic CO<sub>2</sub> emissions before the Arctic commonly becomes ice free if these CESM1-CAM5 1pctCO<sub>2</sub> simulations are representative of the real world. Figure 3 shows that the potential magnitude-impact of FIRE on Arctic sea ice retreat is large, but we do not argue that this necessarily means a more rapid collapse of that Arctic sea ice will necessarily collapse more rapidly than indicated by CMIP5 in reality. Firstly, CESM1-CAM5 may have compensating biases due to other processes and secondly the disappearance of ice under transient CO<sub>2</sub>-driven warming

may not correspond to reality where a mixture of radiative forcing agents is changing. Some of these, such as aerosols, may drive stronger seasonal, regional, and dynamic responses than well-mixed greenhouse gases like CO<sub>2</sub> (Hansen et al., 1997).

5 A further consideration is that internal variability can change when an ice free state occurs. Under RCP8.5 the CESM1 large ensemble of 40 runs (Kay et al., 2015) shows a 14-year range between members when ice free is defined based on the five-year average (Jahn et al., 2016). The CESM1-SoN to CESM1-NoS 1pctCO2 difference by this criterion is 20 years so our conclusion that FIRE drives faster retreat is likely robust to internal variability.

10 These simulations show that falling ice radiative effects could lead to much greater Arctic sea ice retreat when the system is forced under global warming and support the inclusion of FIRE in future modelling efforts. Next, we investigate whether the surface radiative energy balance allows us to identify candidate physical processes that explain these changes, and whether the processes identified using CESM1-CAM5 can be detected across the CMIP5 ensemble.

### 3.2 Sea Ice Thickness in CESM1-SoN and CESM1-NoS

15 Figure 4(a,d) outline the regions within which thickness is calculated for years 1—20 and 21—40, and the annual cycles of mean thickness for each period and simulation are shown in Figure 4(b,c,e,f). Consistent with our hypothesis, the CESM1-SoN ice pack starts off thinner than that of CESM1-NoS. Over the Arctic interior the pack tends to be 20—30 cm thinner throughout the year. The remaining perennial >80 % sea ice concentration region for years 21—40 in Figure 4(e) shows a  
20 1.4 m difference.

## **4 Observed and Simulated Surface Radiative Fluxes**

### **4.1 CESM1-CAM5 Controlled Simulations**

In Section 1 we discussed the expected direct effects of FIRE on surface longwave (LW) and shortwave (SW) radiative fluxes and how these might be related to SIE. We begin our analysis with the downward  
25 fluxes at the surface, LW<sub>↓</sub> and SW<sub>↓</sub> in CESM1-CAM5 compared with CERES-EBAF Surface

observations during their overlap period of 2001—2005. Uncertainties are based on the standard deviation of non-overlapping ~~four~~five-year periods from the rest of their records as described in Section 2. The CESM1-CAM5 minus CERES-EBAF Surface flux differences over 60—90 °N oceans are displayed in Figure 5~~Figure 4~~ for each calendar month. As expected, inclusion of FIRE results in increased  $LW_{\downarrow}$  and decreased  $SW_{\downarrow}$ , resulting in better agreement with the observation-based CERES data. Figure 5~~Figure 4(a)~~ shows that the  $LW_{\downarrow}$  difference is greatest in winter, when the  $SW_{\downarrow}$  is negligible due to the lack of available sunlight. The SoN-NoS difference in  $SW_{\downarrow}$  is greater than in  $LW_{\downarrow}$  during summer, but only marginally so, and the annual average  $LW_{\downarrow}$  difference is greater. From Figure 5~~Figure 4(b)~~, the net absorbed surface SW radiation shows relatively small SoN-NoS differences because while FIRE reduces  $SW_{\downarrow}$ , it also reduces SIE and so lowers the mean albedo. The net absorbed surface longwave radiation is consistently greater in SoN, explaining the majority of the remaining difference in net radiation in Figure 5~~Figure 4(c)~~. Absorbed longwave dominates, but CESM1-SoN's lower SIE results in a lower albedo that more than offsets the reduced  $SW_{\downarrow}$  such that absorbed SW is also higher when including FIRE. The annual average downward longwave~~annual average~~  $LW_{\downarrow}$  is  $11 \text{ W m}^{-2}$  higher ~~on average~~ when including FIRE, which will increase mean ice temperature and increase heat input, resulting in a thinner pack that is more vulnerable to warming.

It is also possible that local radiative feedbacks could be different when including or excluding FIRE. This would manifest as a change in the SoN minus NoS flux differences ~~over~~in time and for this we switch back to analysis of the 1pctCO2 simulations. is tested in Figure 6~~Figure 6~~Figure 5, which includes the 1pctCO2  $SW_{\downarrow}$  and  $LW_{\downarrow}$  differences for each season: December-January-February (DJF), March-April-May (MAM), June-July-August (JJA) and September-October-November (SON). Long-term ~~changes~~ trends are estimated by multiplying the OLS trend gradient by the length of the period, and the only significant ( $p < 0.05$ ) ~~changes-trend~~ occurs in SON, where there is a decrease in the radiative flux difference between the two simulations.

However, the SoN minus NoS  $LW_{\downarrow}$  trend is insignificantly positive during the first 70 years ( $+0.08 \pm 0.09 \text{ W m}^{-2} \text{ yr}^{-1}$ ,  $\pm 2\sigma$  error in OLS trend), so ~~this the full-period~~  $LW_{\downarrow}$  trend~~change~~ is not responsible for driving the faster disappearance of sea ice in CESM1-SoN which has largely occurred by year 70 (as shown by

[Figure 2\(d\) for September](#)). Instead, the difference appears related to differences in the relative effects of FIRE between icy and ice-free states. During the first 40 years when the simulations both have a healthy Arctic ice cover the ~~median-mean~~ SON difference in  $LW_{\downarrow}$  is  $11.62 \pm 11.1 \text{ W m}^{-2}$  (~~6.4—16.2 standard deviations~~  $9 \text{ W m}^{-2}$ , henceforth bracketed values are 14—86 % range) whereas for the final 40 years where both simulations are ice free during September, the difference is  $7.16.8 \pm 6.6$  (~~4.9—10.2~~)  $\text{W m}^{-2}$ . ~~This difference could be related to Some combination of changes in cloud properties or precipitation phase in response to sea ice cover, such as the transition from snow to rain under warming, likely explain this difference; the CESM1-SoN simulation initially has a smaller sea ice extent but by the end of the simulation both CESM1-SoN and CESM1-NoS are largely ice free.:~~

10 Taken together, the energy budget analysis of CESM1-CAM5 1pctCO2 simulations indicates that differences in flux trends due to FIRE do not drive the faster observed retreat, but instead the effect of stronger year-round  $LW_{\downarrow}$  in the initial state is the most important radiative contribution. This supports our argument that the effective greenhouse effect from snowflakes results in a thinner pack whose retreat is more easily triggered by warming. This snowflake greenhouse effect is present year round and throughout

15 the entire Arctic basin, leaving no safe spaces where the ice can fully recover.

## 4.2 CMIP5 Ensemble Results

The CESM1-CAM5 results show that snow radiative effects can substantially change simulated Arctic sea ice retreat under warming, which is consistent with the generally earlier disappearance of sea ice seen under historical-RCP8.5 simulations for the CMIP5-SoN sub-ensemble, compared with the CMIP5-NoS ensemble. To investigate this, we consider the CMIP5 1979—2005 mean annual cycle and the 2006—2035 linear regression trends for each calendar month for a variety of properties in [Figure 7](#)~~Figure-6~~. Each simulation's line is coloured according to whether it includes FIRE (SoN, blue) or excludes FIRE (NoS, red).

The mean state period is the overlap between NSIDC passive microwave sea ice extent data and the

25 historical simulations, and the trend period covers 30 years in which [Figure 1](#)~~Figure-4~~ shows an apparent notable divergence in SIE between the CMIP5-SoN and CMIP5-NoS sub-ensembles.



Inspection of ~~Figure 7~~[Figure 6](#) shows no clear support across the CMIP5 ensemble for the hypothesis we developed using the controlled CESM1-CAM5 simulations. In fact, ~~Figure 7~~[Figure 6\(d\)](#) shows that two models that include FIRE show substantially more summertime  $SW_{\downarrow}$  ~~(e.g.  $45 \text{ W m}^{-2}$  more than the median of all other CMIP5 models)~~, which is the opposite of the direct effects we hypothesise are related to FIRE.

- 5 These ~~are the~~ models [are](#) GISS-E2-H and GISS-E2-R, whose CMIP5 versions greatly underestimated mean Ice Water Path (IWP) poleward of 60—90 °N (Stanfield et al., 2014). This illustrates how other differences aside from FIRE may well have compensating effects, showing that FIRE alone is insufficient to explain differences in Arctic sea ice retreat among models.

## 5 Discussion and Conclusions

- 10 The apparent agreement in September sea ice retreat between CMIP5-SoN and CESM1-SoN seen in [Figure 1](#)~~Figure 1~~ and [Figure 2](#)~~Figure 2~~ appears supportive of a major role for falling ice radiative effects in reinforcing Arctic sea ice retreat. ~~However, analysis of the surface energy budget terms allowed us to identify a plausible physical mechanism in CESM1-CAM5, but revealed that~~However, the CMIP5 result was ~~fortuitous and~~ largely due to extremely early ice disappearance in the GISS-E2 models which
- 15 accounted for two out of seven of the sub-ensemble members. These models have been shown to drastically underestimate total ice water path, resulting in too much ~~surface shortwave radiation~~ $SW_{\downarrow}$  during summer and therefore likely a very strong surface albedo feedback. [As detailed in Section 1, simulated sea ice is affected by many model design factors including the sea ice albedo scheme, ocean eddy heat transport and cloud simulations. Therefore, the](#)
- 20 ~~The~~CMIP5 cross comparison simply shows that Arctic sea ice projections are at least as sensitive to other factors as to the inclusion or exclusion of FIRE [and the faster September retreat of CMIP5-SoN in Figure 1](#)~~Figure 1~~ is likely due to the full combination of properties in these models and not directly due to FIRE. Nevertheless, the controlled CESM1-CAM5 simulations demonstrate that the inclusion of FIRE in this model results in a thinner sea ice pack and a faster retreat in extent over both 1979—2005 and in 1pctCO<sub>2</sub> simulations. The difference between CESM1-SoN and CESM1-NoS in 1pctCO<sub>2</sub> is larger than the range
- 25

of values due to internal variability spanned by the CESM1 large ensemble so we conclude that we have detected a FIRE-driven difference in modelled Arctic sea ice retreat.

~~One example is the prevalence of mixed phase clouds with temperature: measurements with the lidar on the Cloud Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) satellite show that supercooled liquid occurs at much lower temperatures than simulated in many models (Cesana et al., 2012, 2015). Most CMIP5 models display a strong negative shortwave cloud feedback at mid-to-high latitudes, some of which is related to melting of ice clouds into mixed phase clouds, which are more effective reflectors of sunlight. The strength of this cooling feedback depends on the initial state, because one in which liquid clouds are already common means there will be less melting in future and therefore a weaker feedback. Controlled CESM1-CAM5 simulations shows differences in equilibrium climate sensitivity of greater than 1 °C per doubling of CO<sub>2</sub> when changing parameters that control these clouds (Tan et al., 2016). Such a large increase in warming would be expected to also change projected sea ice extent.~~

~~Furthermore, While~~ we did not explore any dynamic changes in response to the inclusion of FIRE. The magnitude of the radiative effects are a credible candidate for explaining major differences in sea ice extent, with 11 W m<sup>-2</sup> of downward longwave radiation over a year being sufficient to melt ~1 metre of ice ~~over a year annually following a simple energy budget equation and~~ assuming that all of the heat goes into the ice (Kay et al., 2008). In reality this thinning is reduced by negative feedbacks, and Figure 4~~Figure 4(b,c) show that in CESM1-CAM5 the net result is a thinning of approximately 30 cm of the interior ice pack. For a mean state case this thinning is nonsensical since the ice warms and leaks some of this heat through increased longwave radiation, but it is~~ consistent with our hypothesis ~~of a substantial role for that~~ FIRE thins the in Arctic ice pack and preconditions it for more rapid melt. Nevertheless, changes in dynamics that affect patterns of cloudiness, ice transport or ocean heat transport could reinforce or counteract our proposed changes and we have not investigated these.

In conclusion, we do not argue that the exclusion of FIRE in current models necessarily means that Arctic sea ice will retreat faster than simulated by the average CMIP5 model. CESM1-CAM5 might show a stronger sea ice response to FIRE than other models or, following inclusion of FIRE that, modellers might

~~tune Inclusion of these effects followed by tuning other processes in a way which may lead to~~  
 counteract~~ing processes~~FIRE-driven sea ice changes. Or a model may have a stronger summertime  
 albedo feedback than longwave radiation-driven thinning effect, and show slower retreat once FIRE are  
 included. However, our controlled experiments show a strong sensitivity of sea ice projections to FIRE  
 5 in at least one model, ~~with Figure 2~~Figure 2(d) showing with September Arctic sea ice retreat being  
 approximately twice as fast once atmospheric CO<sub>2</sub> concentrations are above 2017 levels under 1 % yr<sup>-1</sup>  
CO<sub>2</sub> growth. Given that the snow radiative effect exists in reality, we encourage other modelling groups  
 to include them in future cloud schemes to increase confidence in Arctic sea ice projections.

10

*Data availability.* The NSIDC  
[\[ftp://sidads.colorado.edu/DATASETS/NOAA/G02135/north/monthly/data/\]](ftp://sidads.colorado.edu/DATASETS/NOAA/G02135/north/monthly/data/), CERES-EBAF  
[\[https://ceres.larc.nasa.gov/order\\_data.php\]](https://ceres.larc.nasa.gov/order_data.php) and CMIP5 data  
 15 [\[https://cmip.llnl.gov/cmip5/data\\_portal.html\]](https://cmip.llnl.gov/cmip5/data_portal.html) are available from public archives. The time series of  
 CMIP5 and CESM1 sea ice and radiative fluxes are appended as supplementary information.

*Author contributions.* JLL led the research and performed ~~CMIP5 output & the~~ CESM1 sensitivity output  
 processing ~~& and~~ analysis. WLL conducted CESM1 model sensitivity runs. MR performed the CMIP5  
 20 processing ~~& analysis~~ and the time series analysis. YHW, ~~&~~ YLH ~~& and~~ JYY provided discussion ~~& and~~  
 editing. EF, ~~&~~ JJ ~~& and~~ GS supported and offered comments ~~/ and~~ suggestions to the study. YL quality  
 controlled the data.

*Competing interests.* The authors declare no competing interests.

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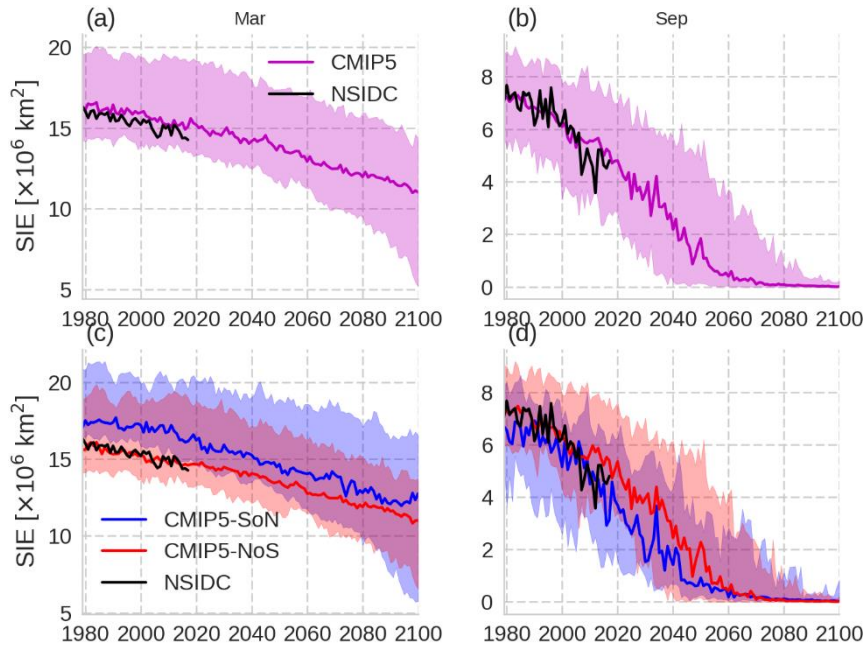
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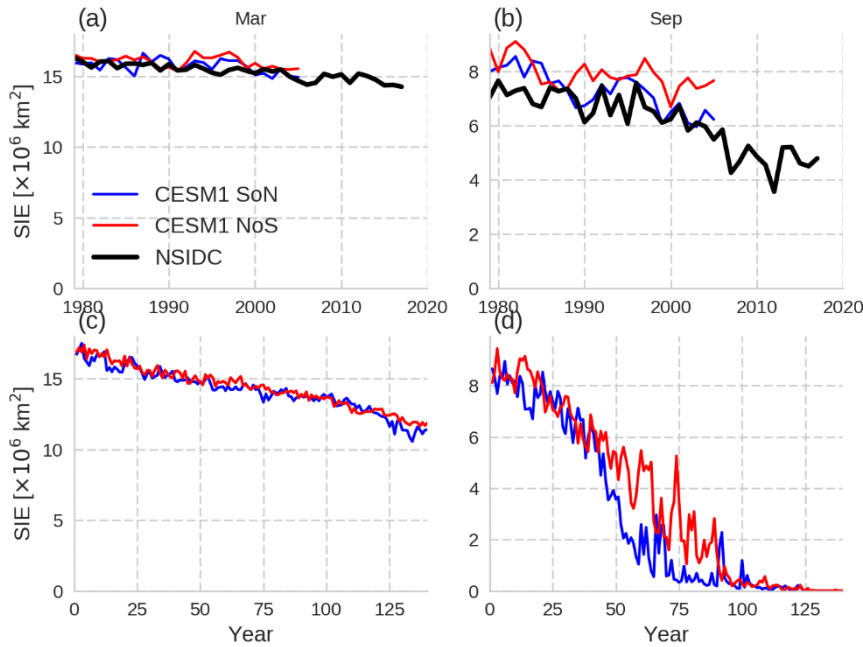
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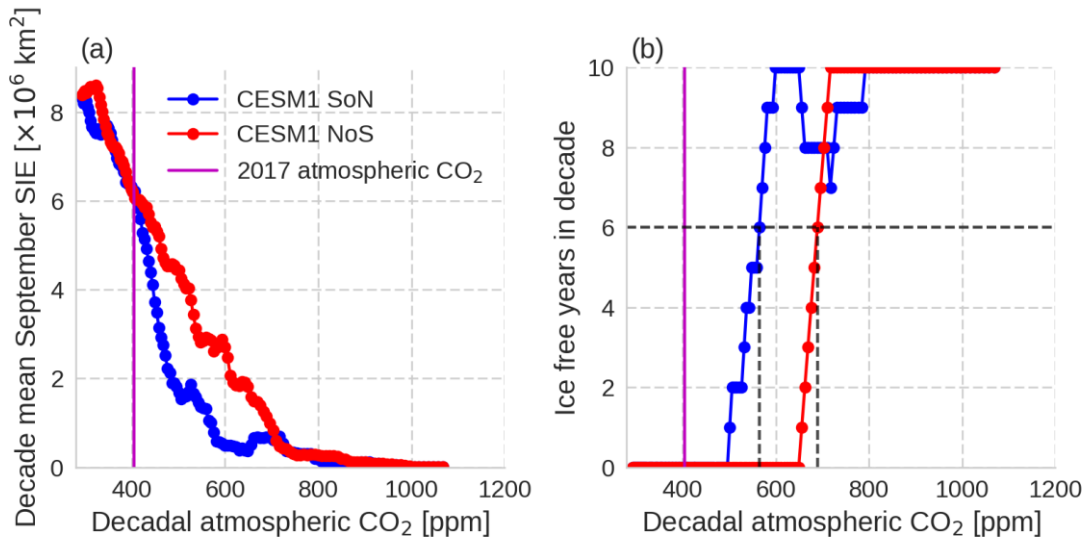
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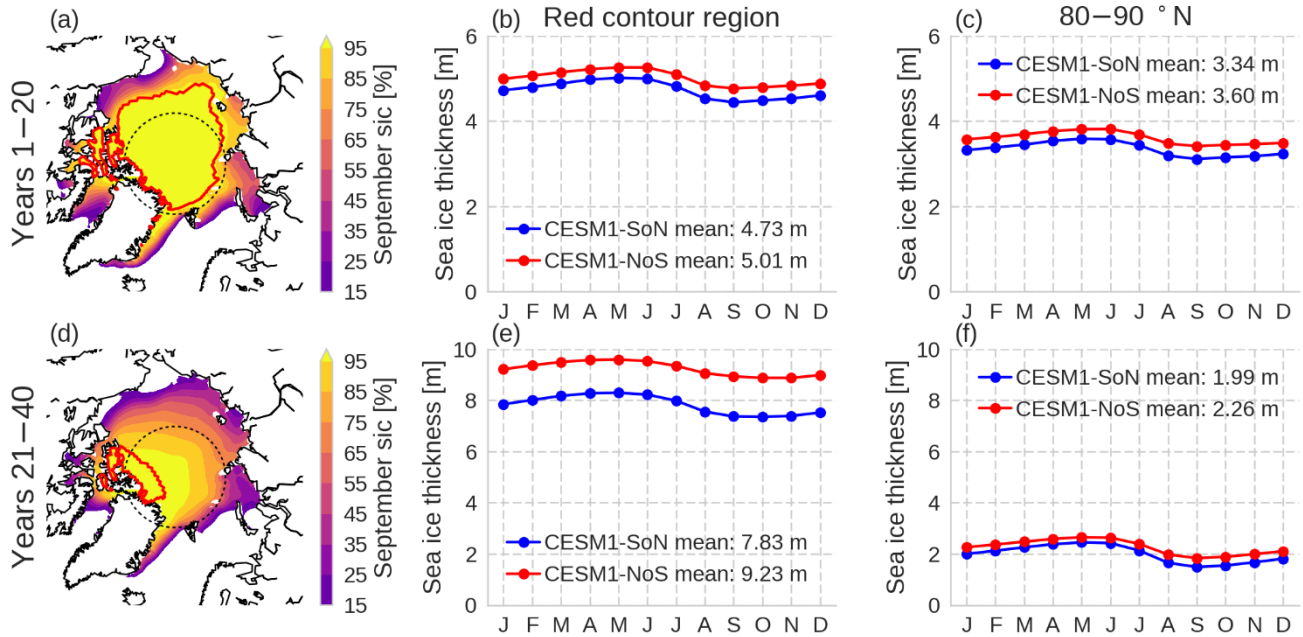
**Figure 1: Arctic sea ice extent during March (left) and September (right) in NSIDC observations (black) and CMIP5 climate models (line is ensemble median, shaded 10—90 % range). (a) full ensemble in March, (b) full ensemble in September, (c) CMIP5 split into sub-ensembles of models with FIRE (CMIP5-SoN) and those without (CMIP5-NoS) in March and (d) SoN and NoS in September. The upper row shows the full CMIP5 ensemble. The bottom row shows the ensemble split into those including snow radiative effects (blue) and those excluding snow radiative effects (red).**



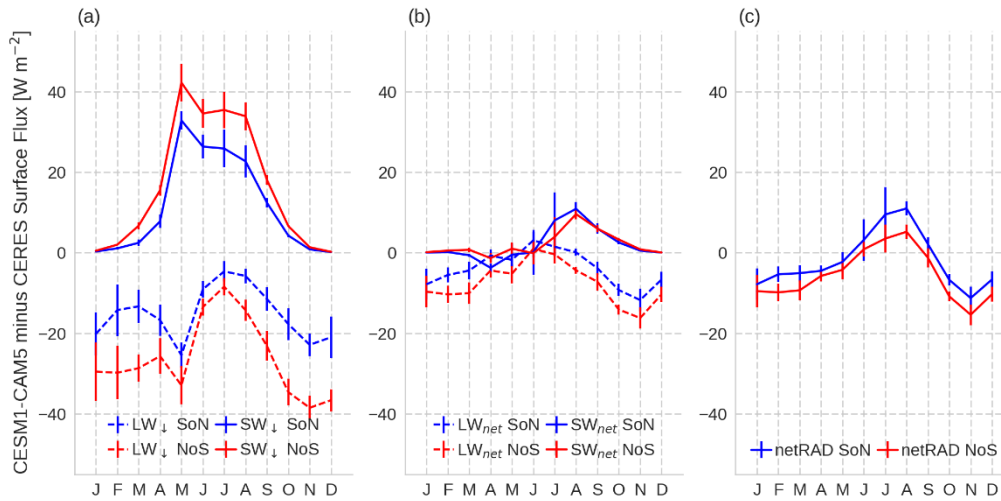
**Figure 2: Observed (black) CESM1-CAM5 simulated Arctic sea ice extent in (a) March in historical, (b) September in historical, (c) March in 1pctCO2 and (d) September in 1pctCO2. Blue lines are with snow radiative effects (SoN) and red without (NoS). The upper panels are historical, the lower panels from 1pctCO2.**



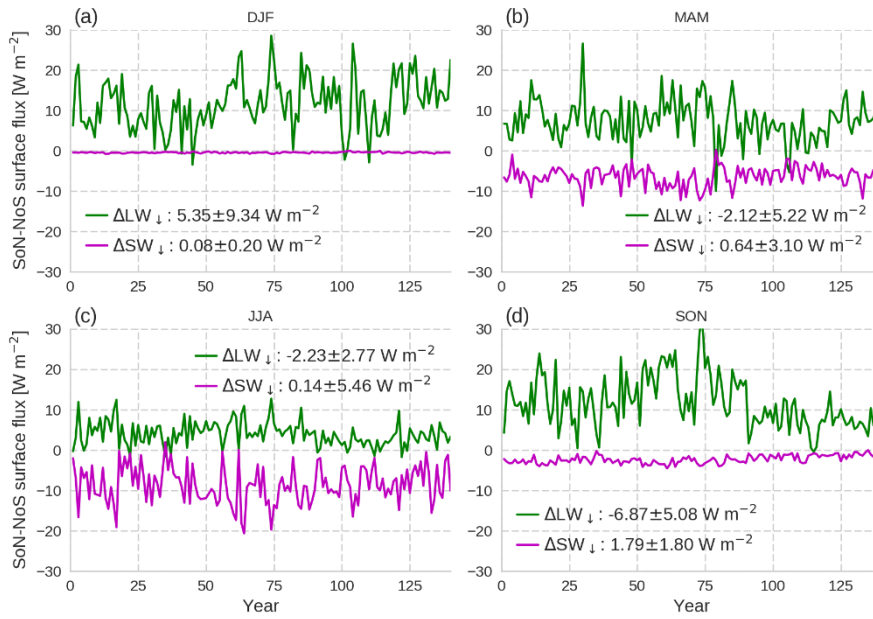
**Figure 3: Changes in September Arctic sea ice under 1 % yr<sup>-1</sup> CO<sub>2</sub> increases for CESM1 SoN (blue) and CESM1 NoS (red) as a function of decade-mean atmospheric CO<sub>2</sub>. Left (a) shows the decadal mean sea ice extent and (b) right shows the number of years within that decade for which SIE <  $1 \times 10^6 \text{ km}^2$ , commonly taken as representative of an ice-free Arctic Ocean basin. The atmospheric CO<sub>2</sub> concentration in 2017 is shown as a vertical black-magenta line in each case, but any comparisons must be carefully made since the real world includes changes in non-CO<sub>2</sub> forcing and the dashed lines in (b) identify the decade-mean atmospheric CO<sub>2</sub> level at which the majority of simulated years have SIE <  $1 \times 10^6 \text{ km}^2$ .**



**Figure 4:** (a) CESM1-SoN September mean sea ice concentration over years 1—20 in 1pct CO<sub>2</sub>, the black dashed line is 80 °N and the red contour encloses the region within which the mean sea ice concentration exceeds 80 % in all calendar months for both CESM1-SoN and CESM1-NoS. (b) mean thickness within red contour for years 1—20, (c) mean thickness poleward of 80 °N for years 1—20. (d—f) like (a—c) but for years 21—40.

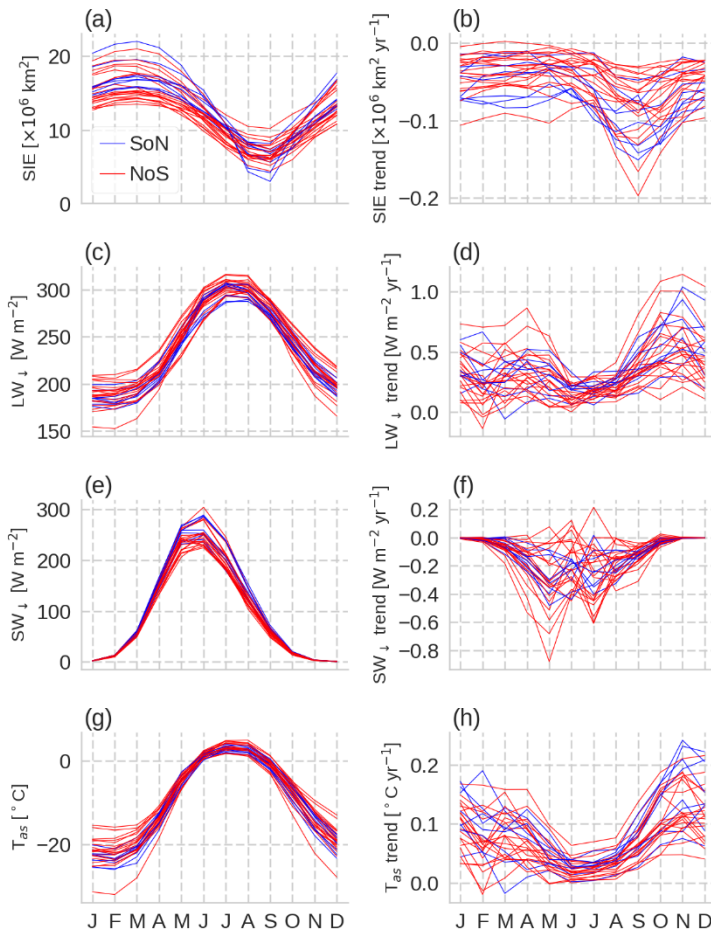


**Figure 5: CESM1 minus CERES 60—90 °N ocean differences in mean surface fluxes for each calendar month over 2001—2005. The differences are shown for both using CESM1-SoN (blue) and CESM1-NoS (red) are both shown, and error bars are estimates of internal variability only, based on standard deviations of non-overlapping 5-year periods in each series after detrending the annual data estimates of uncertainty due to internal variability from selecting the four year overlap period, based on the spread compared with other four-year periods in both CERES (post-2005) and CESM1 (pre-2001). Left panel: (a) differences in downward longwave (dashed) and downward shortwave (solid). Centre panel: (b) difference in net longwave (dashed, positive downward) and net shortwave (solid). Right panel: (c) net downward radiation sum. All values are defined such that positive indicates a case where the model value shows greater net downward flux than the CERES value.**



**Figure 6: 1pctCO2 CESM1-SoN minus CESM1-NoS season differences in downward surface fluxes over 60—90 °N oceans. The legend reports the estimate of the 140-year change in this difference by multiplying the linear regression trend coefficient by 140, with  $\pm 2\sigma$  uncertainties. (a) December-January-February, (b) March-April-May, (c) June-July-August and (d) September-October-November.**





**Figure 7: Output over 60—90 °N oceans from individual CMIP5 historical-RCP8.5 simulations according to whether the simulation includes FIRE (blue) or excludes them (red). Left panels show annual cycles of mean properties from 1979—2005 and right panels show the trend for each calendar month over 2006—2035. (a—b) ~~From top to bottom the properties are:~~ sea ice extent ~~(poleward of 30°)~~, (c—d) downward longwave radiation at the surface, (e—f) downward shortwave radiation at the surface and (g—h) near-surface air temperature.**