

## Response to reviewer#1

We are very grateful for the reviewer's insightful comments, which are helpful and valuable for greatly improving our manuscript. We have addressed all of the comments carefully as detailed below in our point-by-point responses. Our responses start with "R:".

### Specific comments:

1. Page 2: Please use  $a_{\text{CDOM}}(280)$  instead of  $a_{280}$ . The latter is often used for total absorption. Please change everywhere in the text.

R: All " $a_{280}$ " in the manuscript have been changed to " $a_{\text{CDOM}}(280)$ ".

2. Page 3: from the in-situ microbial processes (autochthonous) (Anesio et al., 2009) -> from in-situ processes (autochthonous) such as microbial activity (Anesio et al., 2009).

R: Changed as suggested in lines 16-17, page 3.

3. Page 5: methods of characterizing -> methods for characterizing.

R: Changed as suggested in line 19, page 5.

4. Page 6: the samples were subjected -> the samples were characterized.

R: This sentence has been changed in lines 18-22, page 6, as follows: "In this study, for the first time, with the aim of presenting a comprehensive understanding of CDOM in seasonal snow across northwestern China, UV-vis absorption, fluorescence, and chemical analyses were applied to investigate the abundances, optical properties, and potential sources of CDOM as well as their spatial distributions."

5. Page 7: the spatial variations of CDOM optical properties

Only the spatial variability of CDOM was studied? What about FDOM that was previously discussed?

R: This sentence has been changed in lines 5-8, page 7, as follows:

“Based on Pu et al. (2017), these sites were separated into five regions by their geographical distribution to investigate the spatial variations of light absorption and fluorescence properties, as well as the potential sources of CDOM.”

6. Page 8: Although the previous studies -> Although previous studies.

R: Changed as suggested in line 6, page 8.

7. Page 9: **After PARAFAC analysis**, the EEMs can be decomposed into several components with clear chemical interpretations.

This sentence is misleading. The PARAFAC analysis is used to do the decomposition.

R: This sentence has been changed in lines 21-22, page 9, as follows:

“PARAFAC analysis can decompose the EEMs into several components with clear chemical interpretations.”

8. Page 11: was determined both by a linear fit and an exponential fit.

Why have you used two fitting approaches?

R: This approach is consistent with Helms et al. (2008), who first introduced  $S_{275-295}$  into the CDOM research field. They calculated  $S_{275-295}$  using both log-transform linear method and exponential method, and the variation between these methods was less than 1%. Our results showed that this variation was approximately 3% on average. However, for some samples with very low  $a_{CDOM}(280)$ , the differences of  $S_{275-295}$  calculated by linear and exponential regressions were larger than 10%. It might be explained by the higher uncertainties of absorption measurements for samples with low CDOM abundances. Therefore, such values were removed and not considered into further analysis. As discussed above, we used two regression methods for comparing with previous studies and distinguishing the values with high uncertainties.

9. Page: 12: Finally, linear fit was adopted due to the higher fitting coefficients.

(1) This is not a valid reason to choose the linear approach.

(2) Also, do not talk about the non-linear method (and the equation of it) if you are not using it in the paper.

R: (1) Because regressions were conducted in a narrow wavelength band (275-295 nm), the data points were much fewer than the whole measured wavelengths. As a result, it could lead to a better performance of linear regression than exponential regression, which was proved by the higher  $R^2$  of linear method. In addition, the linear regression of log-transferred absorption spectrum has also been frequently used to calculate  $S_{275-295}$  in previous studies (Fichot and Benner, 2012; Helms et al., 2008; Yang et al., 2013). Therefore, we chose the linear regression here. The corresponding description has been changed in line 19, page 11 to line 1, page 12, as follows:

“Linear regression has been frequently used to calculate  $S_{275-295}$  (Fichot and Benner, 2012; Helms et al., 2008; Yang et al., 2013), and in this study, showed higher  $R^2$  values than exponential regression. Therefore, the results of linear regression were adopted here.”

(2) The equation of exponential regression has been removed. As mentioned in our response to comment 8, we noted that comparing the results of two methods was helpful to distinguish the values with high uncertainties, hence we suggested that the description of exponential regression could be retained in the manuscript if the reviewer also agreed.

10. Page 14: Finally, the unweighted average method was chosen due to the highest correlation coefficients.

It is a bit strange to select an approach just because it gives the highest correlation.

R: The cophenetic correlation coefficient is a criterion for assessing the efficiency of clustering methods (Saracli et al., 2013). A higher cophenetic correlation coefficient indicates a better clustering method. The sentence in this paragraph has been changed in lines 1-5, page 14, as follows:

“Before determining the clustering method, the cophenetic correlation coefficients, criteria for assessing the efficiency of clustering methods (Saracli et al., 2013), for the cluster trees created by different methods were calculated, including unweighted

average, weighted average, centroid, farthest neighbor, shortest neighbor, weighted center of mass and Ward's methods.”

11. Page 16: which shows the similar values

should be “which shows ~~the~~ similar values”. There are many small errors like this in the manuscript. A careful revision of English writing should be done.

R: Changed as suggested in lines 13-14, page 16. We have carefully reviewed the manuscript to minimize the grammar errors.

12. Page 27: As presented by Doherty et al. (2013), the mixing ratio of BC in Barrow snow ranged from 10-30 ng g<sup>-1</sup>. Hence, the absorption of CDOM in Alaskan snow can be safely ignored, but this does not appear reasonable for some areas across northwestern China.

I do not understand. What is the relational for saying that because BC ranged ~~from~~ between 10-30 ng, CDOM in the Alaskan can be safely ignored?

R: Sorry for the misleading. We have combined this sentence and the last sentence together to improve the quality of this manuscript. The description has been changed in lines 7-10, page 28, as follows:

“As presented by Doherty et al. (2013), the mixing ratio of BC in Barrow snow ranged between 10-30 ng g<sup>-1</sup>, however, the equivalent BC mixing ratio of CDOM absorption was only 0.14 ng g<sup>-1</sup> at 400 nm and 0.07 ng g<sup>-1</sup> at 550 nm (Dang and Hegg, 2014).”

13. Figure 11:

(1) Only one sentence is presenting the result of this figure on page 27. Can you discuss that?

(2) Why have you specifically chosen 400 and 500 nm?

(3) What could possibly explain the observed differences among sites?

R: (1) We have added more detailed discussion of Fig.11 in Sec. 3.4, as follows:

“Most of these sites were assigned to cluster A, except sites 60, 69, and 84. As discussed in Sec. 2.2.2, sites of cluster A exhibited high values of %C1, indicating CDOM mainly

originated from soil dust. At sites 50, 52, and 79, the light absorptions of CDOM and BC were roughly equal at 400 nm. It was not only due to the high abundances of CDOM, but also the relatively low BC mixing ratios in snow (approximately  $30 \text{ ng g}^{-1}$ , Pu et al., 2017). Sites 60, 69, and 84, where the fluorescence intensities were dominated by C2, were the only three sites assigned to cluster C. Biomass burning and anthropogenic pollution (e.g., fossil fuel combustion) are both major sources of fluorophore C2 and BC. Therefore, the BC mixing ratios were approximately  $300 \text{ ng g}^{-1}$  at these sites (Pu et al., 2017), leading to quite low ratios of light absorption due to CDOM and BC (approximately 0.03 on average at 400 nm). At other sites, this value was typically in the range of 0.1 to 0.4.”

(2) In this section, we focused on the influence of CDOM on snow radiation budget rather than snow photochemistry processes which are active within the ultraviolet (UV) wavelengths. The solar radiation in the UV wavelengths is much lower than that in the visible wavelengths (Fig. 4 of Wang et al., 2013), and the observation of snow albedo usually starts from 400 nm (Wang et al., 2017), hence, the absorption at 400 nm were selected. To reflect the wavelength dependences of absorptions for BC and CDOM, we also chose the absorption at 500 nm for comparison, where also the peak value of solar radiation appears.

(3) Please see our response to comment 13(1).

14. Table 1: Why some observations have a value for  $a_{280}$  but not for the spectral slope?

R: As mentioned in lines 1-2, page 12 in the revised manuscript, we removed the  $S_{275-295}$  values if the difference in  $S_{275-295}$  between linear and exponential methods was higher than 10%. Please see details in our response to comment 8.

15. The authors use “Fig.” and “Figure”. Please uniformize in the manuscript.

R: We have carefully checked the whole manuscript. According to the Author’s Guide of The Cryosphere, we used “Figure” when it appeared at the beginning of a sentence, and the abbreviation “Fig.” was used in the other situations.

## References

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## Response to reviewer#2

We are very grateful for the reviewer's critical comments, which have helped us improve the paper quality substantially. We have addressed all of the comments carefully as detailed below in our point-by-point responses. Our responses start with "R:".

1. P4L16: "approximately half of the light absorption at 280 nm and above was responsible for unknown chromophores, probably organics" should be "approximately half of the light absorption at 280 nm and above was due to unknown chromophores, probably organics"?

R: Changed as suggested in line 16, page 4.

2. P8L16: "However, various types of DOM in previous studies were shown that their optical properties (light absorption and fluorescence) were not affected significantly by frozen effect, such as ocean water, pore water, spring and cave water" ; do the authors mean: "However, previous studies have shown that the optical properties (light absorption and fluorescence) of certain types of DOM were not affected significantly by freezing, such as in ocean water, pore water, spring and cave water"?

R: Changed as suggested in lines 16-19, page 8.

3. P8L22: this last paragraph explains how freeze-thaw can affect CDOM optical properties, although the amplitude of that effect is uncertain. Yet, the authors have collected snow, which is initially frozen. So, unless the samples underwent freeze-thaw cycles during transport from the field to the lab, it seems unlikely that the mentioned effect plays a role here. maybe the authors might want to add a sentence at the end of this paragraph making this clear.

R: Actually, due to the limited space in our vehicle, we had to melt and filtrate the snow samples during the campaign for easy storage. Therefore, we added this paragraph into the manuscript to evaluate the potential influence of freeze-thaw process on optical properties of snow CDOM. According to previous studies, the freeze-thaw process may

influence the relative contributions of PARAFAC components slightly, and the effects on  $a_{\text{CDOM}}(280)$  and fluorescence indices can be neglected. As discussed in Sec. 3.2.2, if we took the biases due to freezing into consideration, the percentages of PARAFAC components did not change obviously in our study.



Response to editor

Dear Authors

Thank you for your much improved revised version. The reviewers noted a significant improvement in your paper. Some minor changes are still recommended but I foresee that your paper can be accepted once you take these into account. Please address the recommended changes and as usual provide a version in track changes mode. If you provide a revised version within 2 weeks, I will be able to take a final decision before the end-of-year holidays.

Best regards

Florent Domine

We are deeply grateful for the editor's help during the review process. We have carefully revised the manuscript according to the reviewers' comments. If the editor agreed, we would like to add two authors who made contributions to the revision of this manuscript. Jiecan Cui helped to replot several figures, and Yubin Zhou contributed to modify the language.

# The optical characteristics and sources of chromophoric dissolved organic matter (CDOM) in seasonal snow of northwestern China

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## Abstract.

Chromophoric dissolved organic matter (CDOM) plays an important role in the global carbon cycle and energy budget, but is rarely studied in seasonal snow. A field campaign was conducted across northwestern China from January to February 2012, and surface seasonal snow samples were collected at 39 sites in Xinjiang and Qinghai provinces. Absorption and fluorescence spectroscopies along with chemical analysis were used to investigate the optical characteristics and potential sources of CDOM in snow. The abundance of CDOM (shown as the absorption coefficient at 280 nm,  $a_{280}$ ) and the spectral slope from 275 to 295 nm ( $S_{275-295}$ ) ranged from 0.15-10.57 m<sup>-1</sup> and 0.0129-0.0389 nm<sup>-1</sup>, respectively. The highest average  $a_{280}$  (2.30±0.52 m<sup>-1</sup>) was found in Qinghai, and the lowest average  $S_{275-295}$  (0.0188±0.0015 nm<sup>-1</sup>) indicated that the snow CDOM in this region had strongly terrestrial characteristic. Relatively low regional averages of  $a_{280}$  were found at sites located to the north of the Tianshan Mountains and northwestern Xinjiang along the border of China (0.93±0.68 m<sup>-1</sup> and 0.80±0.62 m<sup>-1</sup>, respectively). Parallel factor (PARAFAC) analysis identified three types of fluorophores that were attributed to two humic-like substances (HULIS, C1 and C2) and one protein-like material (C3). C1 was mainly from soil HULIS, C3 was a type of autochthonously labile CDOM, while the potential sources of C2 were complex—~~and included,~~ including soil, microbial ~~activities~~ activity, anthropogenic pollution, and biomass burning. Furthermore, the regional variations of sources for CDOM in snow were assessed by ~~the~~ analyses of chemical species (e.g., soluble ions), fluorescent components and air mass backward

trajectories combined with ~~the~~ satellite active fire locations.

## 1 Introduction

Dissolved organic matter (DOM) is widely distributed in natural aquatic ecosystems and plays a key role in the global carbon cycle (Massicotte et al., 2017). Chromophoric  
5 dissolved organic matter (CDOM), widely known as the light-absorbing constituent of DOM, can absorb light from ultraviolet to visible (UV-vis) wavelengths (Bricaud et al., 1981). ~~Due~~Owing to its light-absorbing properties, CDOM is important in biological processes (Seekell et al., 2015; Thrane et al., 2014), photochemical processes (Helms et al., 2013; Vaehaetalo and Wetzel, 2004), and the energy budget (Hill and Zimmerman,  
10 2016; Pegau, 2002) in natural water bodies.

Compared to the aquatic environments, there were only limited studies evaluating DOM in the cryosphere. Whereas the global glacier ecosystem is a large organic carbon pool and exports approximately  $1.04 \pm 0.18 \text{ TgC yr}^{-1}$  of dissolved organic carbon (~~DOC~~)  
into freshwater and marine environments (Hood et al., 2015). In addition, the glacier-  
15 derived DOM shows high bioavailability, and can be a source of labile organic matter for ~~the~~ downstream ecosystems (Hood et al., 2009; Lawson et al., 2014; Singer et al., 2012). The DOM in snow and ice originates from ~~the~~ in-situ ~~microbial~~ processes (autochthonous) such as microbial activity (Anesio et al., 2009), as well as is imported from the surrounding terrestrial environments (allochthonous), including soil,  
20 vegetations (Bhatia et al., 2010), and anthropogenic ~~activities~~activity (Stubbins et al., 2012).

Snowfall is an important carbon and nutrient input for land ecosystems (Mladenov et al., 2012) and a crucial freshwater reservoir (Jones, 1999). Besides, snowpack is also an active field for photochemical (Beine et al., 2011; Domine et al., 2013) and biological processes (Liu et al., 2009; Lutz et al., 2016). Unlike the aquatic environments, high surface albedo is the most obvious physical property of snow (IPCC, 2013). Once light-absorbing impurities are deposited on ~~the~~ snow surface, the albedo can be significantly reduced, and the regional and global climate are further affected (Hadley and Kirchstetter, 2012). Several field campaigns covering the Arctic, Russia, North America and northern China have been conducted to measure insoluble light-absorbing particles (ILAPs) in snow, for instance, black carbon (BC), insoluble organic carbon (ISOC) and mineral dust (MD) (Doherty et al., 2010, 2014, 2015; Huang et al., 2011; Pu et al., 2017; Wang et al., 2013, 2015, 2017; Warren and Wiscombe, 1980; Ye et al., 2012; Y. Zhou et al., 2017). However, these studies neglected CDOM, which is rarely studied in snow but has been proved as an effective light absorber whether in the atmosphere (i.e., brown carbon, BrC) (Hecobian et al., 2010) or water bodies (Bricaud et al., 1981). To constrain the photochemistry of snow soluble chromophores, Anastasio and Robles (2007) first quantified the light absorption of dissolved chromophores in melted snow samples from the Arctic and Antarctica. They found that in addition to  $\text{NO}_3^-$  and  $\text{H}_2\text{O}_2$ , approximately half of the light absorption at 280 nm and above was ~~responsible for due~~ to unknown chromophores, probably organics. After that, Beine et al. (2011) analyzed more than 500 snow samples in Alaska. They exhibited that the contributions of  $\text{H}_2\text{O}_2$  and  $\text{NO}_3^-$  to the total absorption within 300-450 nm were slight (combined < 9%);

while humic-like substances (HULIS), which is a type of macromolecular organic substances defined for aerosol with certain similar chemical properties to terrestrial and aquatic humic and fulvic substances (Graber and Rudich, 2006), and unknown chromophores each accounted for approximately half of the total absorption. Recently, several studies have started to focus on the optical properties and radiative forcing of CDOM in glaciers on the Tibetan Plateau. Yan et al. (2016) measured the mass absorption cross section (MAC) of CDOM in snow ( $1.4 \pm 0.4 \text{ m}^2 \text{ g}^{-1}$  on average at 365 nm) at Laohugou glacier, northern Tibetan Plateau, and further calculated the radiative forcing of CDOM which accounted for approximately 10% relative to that of BC. Niu et al. (2018) showed a quite high MAC value of CDOM ( $6.31 \pm 0.34 \text{ m}^2 \text{ g}^{-1}$  on average at 365 nm) for snow and ice samples collected on Mt. Yulong, southeastern Tibetan Plateau. Moreover, it is surprising that the light absorption of CDOM within 330 to 400 nm was approximately 4 times higher than that of BC, although with high uncertainty. In above studies, ~~the~~ CDOM showed significant effects on the energy budget of surface snow and ice on glaciers. Until now, the study of CDOM in snow and ice is still in its infancy, and much more work is imperative to improve our understanding of them. In northern China, ~~the~~ snowpack is affected by more anthropogenic activities or sunlight than those at higher elevation or latitude, thus the effects of CDOM may be more remarkable. Therefore, we conducted a large field campaign to investigate the CDOM in seasonal snow of northwestern China from January to February 2012.

UV-vis absorption and fluorescence spectroscopies are both rapid and effective

methods ~~effor~~ characterizing the optical properties and sources of CDOM. The absorption coefficient at a certain wavelength within the UV band, for instance, 254 nm, 280 nm or 350 nm (Spencer et al., 2012; Zhang et al., 2010, 2011), usually serves as an indicator of CDOM abundance. The absorption spectrum of CDOM decreases

5 approximately exponentially with increasing wavelength (Helms et al., 2008), and is usually described by the spectral slope (S) (Twardowski et al., 2004). Helms et al. (2008) used the spectral slope between 275 and 295 nm ( $S_{275-295}$ ) to investigate the molecular weight and sources of CDOM (terrestrial or marine origin), i.e., lower  $S_{275-295}$  values correspond to ~~terrestrial and CDOM with~~ higher molecular weight ~~CDOM and more~~

10 obviously terrestrial characteristic. ~~The fluorescence~~ Fluorescence excitation-emission matrix (EEM) has been widely used to identify the sources and compositions (humic-like or protein-like) of fluorescent DOM (FDOM) in natural waterbodies (Birdwell and Engel, 2010; Coble, 1996; Zhao et al., 2016), rainwater (Y. Q. Zhou et al., 2017), fog water (Birdwell and Valsaraj, 2010) and aerosols (Duarte et al., 2004; Lee et al., 2013;

15 Mladenov et al., 2011). To precisely extract the useful information from the large dataset of EEMs, Bro (1997) successfully applied parallel factor (PARAFAC) analysis to decompose ~~the~~ EEMs into several independent fluorescent components. Due to the great advantages of PARAFAC analysis in interpreting the results of EEMs, this has been a “mainstream” approach in recent natural CDOM studies (Murphy et al., 2013).

20 However, the application of EEM combined with PARAFAC analysis in the cryosphere is scarce. Therefore, we try to employ it to characterize the CDOM in snow.

In this study, for the first time, with the aim of presenting a comprehensive

understanding of CDOM in seasonal snow across northwestern China, ~~the samples were subjected to~~ UV-vis absorption, fluorescence, and chemical analyses were applied to investigate the abundances, optical properties, and potential sources of CDOM as well as their spatial distributions.

## 5    **2 Material and methods**

### **2.1 Sample collection**

During January to February 2012, snow samples were collected at 7 sites in Qinghai and 32 sites in Xinjiang, which are located in northwestern China. The distribution of sample sites, which are numbered chronologically, is shown in Fig. 1. Based on Pu et al. (2017), these sites were separated into five regions by their geographical distribution to investigate the spatial variations of ~~CDOM-optical~~ light absorption and fluorescence properties ~~and~~, as well as the potential sources of CDOM. Region 1 is in the southeastern part of Qinghai with high altitude, and other regions ~~were~~ are in Xinjiang. Region 2 is along the Tianshan Mountains; region 3 is located to the north of the Tianshan Mountains and close to the industrial city belt in central Xinjiang. Regions 4 and 5 are in ~~the~~ northwestern and northeastern Xinjiang, respectively, and both along the border of China.

The sample sites were chosen to be upwind and far enough away from roads, railways, cities and villages to minimize the effects of local pollution. Hence, the collected samples can be representative for a wide range of areas. Pictures of several sample sites are shown in Fig. 2. Snow samples were collected every 5 cm from top to bottom at



each site, ~~and if~~. If there was a melt layer or fresh snow on the top layer, such a sample was collected individually. A pair of two adjacent vertical profiles of snow were gathered (“left” and “right”) for assessing the variability of the same snowpack and to enhance the accuracy of the measurements. During this campaign, 13 fresh snow samples that had fallen during the sampling time were collected. In addition, at some sites, the snow was thin and patchy and the wind was strong; hence, these samples were gathered from snow drifts, and potentially influenced by the deposition of local soil dust (Ye et al., 2012). More details on the sampling methods have been reported previously (Doherty et al., 2010; Wang et al., 2013; Ye et al., 2012).

After being returned to the laboratory in Lanzhou University, all the samples were stored in a freezer at -20°C or lower for subsequent ~~analysis~~analyses. Although ~~these~~some previous studies indicated that the freeze-thaw process may lead to biases of the optical properties for DOM samples. For instance, Fellman et al. (2008) reported that there was a decrease of specific ultraviolet absorbance (SUVA) for stream water DOM after frozen, with a median of approximately 8%. A study of peatland ~~DOM~~DOM found that the change of light absorption at 254 nm after freeze and thaw was less than 5% in median (Peacock et al., 2015). Thieme et al. (2016) assessed the changes of fluorescence properties for several types of DOM samples. The results showed the decreased relative percentages of terrestrial humic-like fluorophores (-3% on average) and humification index (HIX, -2% on average), and the increased percentage of fluvic-like fluorophore (+6% on average). However, ~~various types of DOM in previous~~other studies ~~were~~have also shown that ~~their~~the optical properties (light absorption and

fluorescence) of certain types of DOM were not affected significantly by ~~frozen~~  
~~effect~~freezing, such as those in ocean water, pore water, spring and cave water (Birdwell  
and Engel, 2010; Del Castillo and Coble, 2000; Otero et al., 2007; Yamashita et al.,  
2010). As discussed above, the freeze-thaw process may influence the relative  
5 contributions of PARAFAC components slightly, and the effects on ~~a<sub>280</sub>~~a<sub>CDOM</sub>(280)  
and fluorescence indices can be neglected.

## 2.2 Fluorescence measurement

The snow samples were firstly melted under ~~the~~ room temperature. Then, the snow  
water samples were filtrated using 0.22 µm PTFE syringe filters (Jinteng, Tianjin,  
10 China), and stored in prebaked glass vials (450 °C for 4 h) at 4 °C in a freezer. All the  
samples were measured for UV-~~Vis~~vis and fluorescence spectroscopies within 24 hours  
after filtration. The ultrapure water (18.2 MΩ·cm) filtrated by the PTFE syringe filters  
exhibited no clear fluorescence signal.

The EEMs (n = 78) of surface snow samples were measured by an Aqualog  
15 spectrofluorometer system (Horiba Scientific, NJ, USA) in a 1 cm quartz cell. The  
scanning ranges were 240 to 600 nm in 5 nm intervals for excitation and 250 to 825 nm  
in 4.65 nm (8 pixels) intervals for emission, with the integrating time of 5 s. An  
ultrapure water blank was subtracted to remove the water Raman scatter peaks.

The inner filter effect (IFE) of EEM was corrected using the method shown in  
20 Kothawala et al. (2013). The fluorescence intensities were calibrated by the Raman  
peak of ~~the~~ ultrapure water reference at a 350 nm excitation wavelength following the

method presented by Lawaetz and Stedmon (2009). The Rayleigh scatter peaks of EEMs were addressed by the EEMscat MATLAB toolbox (version 3) using an interpolation algorithm (Bahram et al., 2006).

PARAFAC is a multi-way method for modeling the data with three- or higher-order arrays (Murphy et al., 2013). For ~~the~~ EEMs, the three dimensions are samples, excitation and emission wavelengths. ~~After~~ PARAFAC analysis, can decompose the EEMs ~~can be decomposed~~ into several components with clear chemical interpretations.

The details about the theory of PARAFAC analysis can be found in the Supplement. In this study, ~~the~~ PARAFAC analysis was performed by the DOMFluor toolbox (version

1.7) in MATLAB (Stedmon and Bro, 2008). In addition, because the emission signals were mainly in the range of 250-650 nm, those at longer wavelengths were weak and more likely to be noises, hence, the emission wavelengths longer than 650 nm were not considered into the model. According to the analysis of residual error, split-half method and visual inspection, the 3-component PARAFAC model was selected. The residual error decreased distinctly when the component number increased from 2 to 3 and from 4 to 5 (Fig. S1). Combined with the split-half analysis for 2- to 7-component models, only 2- and 3-component models were validated with the “S<sub>4</sub>C<sub>4</sub>T<sub>2</sub>” split scheme (Murphy et al., 2013). Therefore, the 3-component model was chosen here. The fluorescence intensity of each fluorescent component was expressed as  $F_{\max}$  in Raman unit (RU) (Stedmon and Markager, 2005b). The relative contributions of intensityintensities for three components to the total fluorescence are given as %C1-%C3 hereinafter. In addition, three fluorescence-derived indices are widely

used to identify the potential sources of CDOM. Zsolnay et al. (1999) presented ~~a~~ HIX to describe the relative humification of DOM. The fluorescence index (FI) is used to identify the sources of DOM from terrestrial or microbial origins (McKnight et al., 2001), and the biological index (BIX) can be an indicator of autochthonous productivity  
 5 (Huguet et al., 2009). These three indices are calculated by the following formulas:

$$FI = I (Ex = 370, Em = 450) / I (Ex = 370, Em = 499), \quad (1)$$

$$BIX = I (Ex = 310, Em = 379) / I (Ex = 310, Em = 430), \quad (2)$$

$$HIX = I (Ex = 255, Em = 434-480) / I (Ex = 255, Em = 300-345), \quad (3)$$

where I is the fluorescence intensity, Ex and Em are short for the excitation and  
 10 emission wavelengths, respectively. We note that the wavelengths used in the calculation were changed slightly (1 nm or less) due to different instruments.

### 2.3 UV-vis absorption measurement

The UV-vis absorption spectra (n = 78) of snow samples were derived from 240 to 600 nm in 5 nm intervals while the fluorescence measurements were conducted by an  
 15 Aqualog spectrofluorometer system (~~Horiba Scientific, NJ, USA~~), and an ultrapure water blank was used as a reference. The absorbance of CDOM was assumed to be zero above 550 nm, and the average absorbance between 550-600 nm was subtracted from the whole spectrum to correct the baseline shifts and scattering effects of the measurement. The absorbances of ~~the~~ samples were converted to absorption  
 20 coefficients using the following equation:

$$\text{—}a_{CDOM}(\lambda) = \ln(10) \cdot A(\lambda) / L, \quad \text{—} \quad (4)$$

where A is the absorbance ~~of the sample~~,  $\lambda$  is the wavelength, L is the path length of cuvette (0.01 m), and ~~aa~~ $a_{\text{CDOM}}$  is the absorption coefficient ( $\text{m}^{-1}$ ). The abundance of CDOM is presented by the absorption coefficient at 280 nm ( ~~$a_{280}$~~  $a_{\text{CDOM}}(280)$ ) (Zhang et al., 2010). The spectral slope between 275-295 nm ( $S_{275-295}$ ) was determined both by

5 a log-transform linear fitregression and an exponential fit. ~~The exponential fit was performed as Eq. (5) (Twardowski et al., 2004):~~

$$\text{-----} a(\lambda) = a(\lambda_r) e^{-S(\lambda - \lambda_r)}, \text{-----} (5)$$

~~where the  $a(\lambda_r)$  is the absorption coefficient at a reference wavelength  $\lambda_r$ , S is the spectra slope regression.~~ The variation of these two fitting methods was approximately

10 3% on average. ~~Finally,~~ Linear regression has been frequently used to calculate  $S_{275-295}$  (Fichot and Benner, 2012; Helms et al., 2008; Yang et al., 2013), and in this study, showed higher  $R^2$  values than exponential regression. Therefore, the results of linear fit was regression were adopted ~~due to the higher fitting coefficients~~here. Additionally, if

the difference in  $S_{275-295}$  between the linear and exponential methods was higher than

15 10%, indicating a high uncertainty for absorption measurement, such data were removed. The absorption Ångström exponent (AAE) is used to describe the wavelength dependence of light absorption for aerosol (Bond, 2001), which ~~was~~has also been

applied to characterize the ILAPs and CDOM in snow and ice (Doherty et al., 2010; Niu et al., 2018; Wang et al., 2013; Yan et al., 2016). The AAEs were calculated using

20 power-law fitregression in the wavelength range of 240 to 550 nm, as follows:

$$a(\lambda)a_{\text{CDOM}}(\lambda) = K \cdot \lambda^{-\text{AAE}}, \text{-----} (6) \underline{(5)}$$

where ~~a is the absorption coefficient ( $\text{m}^{-1}$ )~~, K is a constant ~~and  $\lambda$  is the wavelength~~

(~~from 240~~related to ~~550 nm~~).DOM concentration. The  $R^2$  of all ~~the fits~~regressions

( $S_{275-295}$  and AAE) were higher than 0.9 and most of them were higher than 0.95.

Because the light absorption within the visible wavelengths of some samples were below the detection limit of the spectrometer, 19 of 39 samples were available for the  
5 calculation of AAE.

Note that the “left” samples of sites 51b and 58, which showed abnormal absorption and fluorescence spectra compared to other samples, were supposed to be contaminated, and thereby these two samples were not used in the absorption and fluorescence analyses.

## 10 2.4 Soluble ions

The major soluble ions of surface snow water samples were analyzed with an ion chromatograph (Dionex, Sunnyvale, CA, USA) using an AS11 column for the anions  $SO_4^{2-}$ ,  $NO_3^-$ ,  $Cl^-$ , and  $F^-$  and a CS12 column for the cations  $Na^+$ ,  $K^+$ ,  $Ca^{2+}$ ,  $Mg^{2+}$ , and  $NH_4^+$ . The soluble ions showed no obvious differences between filtered and

15 unfiltered samples (Pu et al., 2017). According to Pio et al. (2007), the  $K^+$  can be separated into three fractions: sea salt (ss), dust and others (the fraction not related to sea salt and mineral dust, nss-ndust). The nss-ndust- $K^+$  is a good ~~maker~~marker for biomass burning (Pio et al., 2007). The  $Ca^{2+}$  concentrations of our samples were mostly  
20 ~~larger~~higher than that of  $Na^+$ , leading to much larger mass ratios of  $Ca^{2+}/Na^+$  than that in sea water (0.038) (Pio et al., 2007). Therefore,  $Ca^{2+}$  is dominated by the dust fraction and not corrected to nss- $Ca^{2+}$  in this study. nss-ndust- $K^+$  is calculated using

the following formulas (Pio et al., 2007):

$$nss-ndust-K^+ = K^+ - ss-K^+ - dust-K^+, \quad (76)$$

$$ss-K^+ = 0.038 \times ss-Na^+, \quad (87)$$

$$ss-Na^+ = Na^+ - 0.14 \times Ca^{2+}, \quad (98)$$

$$5 \quad dust-K^+ = 0.028 \times Ca^{2+}. \quad (109)$$

In Eq. (87), 0.038 is the mass ratio of  $K^+/Na^+$  in the sea water (Pio et al., 2007). In Eq. (98), the lowest mass ratio of  $Na^+/Ca^{2+}$  of our samples (0.14) is used to evaluate the dust fraction of  $Na^+$ . Similarly, the lowest mass ratio of  $K^+/Ca^{2+}$  (0.028) is used in Eq. (109) to calculate the dust fraction of  $K^+$ .

## 10 2.5 Hierarchical cluster analysis

A hierarchical cluster analysis was used to classify the samples based on the relative abundances of three PARAFAC components. Euclidean distance was used to estimate the distances between samples. Before determining the clustering method, the cophenetic correlation coefficients, criteria for assessing the efficiency of clustering methods (Saracli et al., 2013), for the cluster trees created by different methods were calculated, including unweighted average, weighted average, centroid, farthest neighbor, shortest neighbor, weighted center of mass and Ward's methods. Finally, the unweighted average method was chosen due to the highest cophenetic correlation coefficients. A total of four clusters were determined and labeled as clusters A-D.

## 20 2.6 Air mass backward trajectories and active fire data

Air mass backward trajectory has been widely used to identify the sources of air

pollution (Stein et al., 2015), and also successfully applied ~~teinto~~ the studies of impurities in snow (Hegg et al., 2010; Wang et al., 2015; Zhang et al., 2013). In this study, 72-h air mass backward trajectories were conducted by the HYbrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) model (version 4, <http://ready.arl.noaa.gov/HYSPLIT.php>). The model was run at 500 m above ground level four times a day for a period of 30 days preceding the sampling date at a given site. Combined with ~~the~~ satellite fire location map, the backward trajectories pass through ~~the~~ fires can be identified as the sources of biomass burning particles to the receptor sites (Antony et al., 2014; Hegg et al., 2010; Zhang et al., 2013). We used the active fire data from the Moderate Resolution Imaging Spectroradiometer (MODIS) Collection 6 (MCD14DL) and the Visible Infrared Imaging Radiometer Suite (VIIRS) (VNP14IMGTDL\_NRT) to capture potential fire location distributions. The data are available online: <http://earthdata.nasa.gov/firms>.

### 3 Results and discussion

#### 3.1 The absorption characteristics of CDOM ( ~~$a_{280}$~~ $a_{CDOM}(280)$ , $S_{275-295}$ and AAE)

The distributions of  ~~$a_{280}$~~  $a_{CDOM}(280)$  and  $S_{275-295}$  are shown in Fig. 3, and the corresponding values are summarized in Table 1.  ~~$a_{280}$~~  $a_{CDOM}(280)$  ranged widely from 0.15 to 10.57 m<sup>-1</sup> with an average of 1.69±1.80 m<sup>-1</sup>. The highest value appeared at site 67 (10.57 m<sup>-1</sup>), followed by sites 53, 79<sub>2</sub> and 47 (5.25 m<sup>-1</sup>, 3.13 m<sup>-1</sup><sub>2</sub> and 3.11 m<sup>-1</sup>, respectively). Most of these samples were collected from ~~the~~ snow drifts. These values were higher than the  ~~$a_{280}$~~  $a_{CDOM}(280)$  of CDOM in snow, ice<sub>2</sub> and cryoconite-~~CDOM~~



on the Tibetan Plateau (typically lower than  $2.0 \text{ m}^{-1}$ ) (Feng et al., 2016, 2017). The lowest value was found at site 66 ( $0.15 \text{ m}^{-1}$ ), followed by sites 70, 82, 73, and 83 ( $0.21 \text{ m}^{-1}$ ,  $0.23 \text{ m}^{-1}$ ,  $0.30 \text{ m}^{-1}$ , and  $0.31 \text{ m}^{-1}$ , respectively), and these values were ~~compared~~ to comparable with the absorption of soluble light-absorbing species in Alaskan snow with typical values of  $0.1\text{-}0.15 \text{ m}^{-1}$  at  $250 \text{ nm}$  (Beine et al., 2011). Some of these samples comprised freshly fallen snow and some were collected at remote sites that were far from pollution sources (Pu et al., 2017). The values of  $S_{275-295}$  ranged from  $0.0129$  to  $0.0389 \text{ nm}^{-1}$  with an average of  $0.0243 \pm 0.0073 \text{ nm}^{-1}$ .  $S_{275-295}$  is never reported in the terrestrial snow and ice samples before, but is widely measured in the aquatic environments. For example, Hansen et al. (2016) summarized the  $S_{275-295}$  for oceanic and terrestrial water systems, the values range of  $0.020\text{-}0.030 \text{ nm}^{-1}$  for ocean,  $0.010\text{-}0.020 \text{ nm}^{-1}$  for coastal water, and  $0.012\text{-}0.023 \text{ nm}^{-1}$  for ~~terrestrial systems, rivers and wetlands~~. The  $S_{275-295}$  in this study covered the typical values in different types of natural water bodies, indicating complex compositions and sources of CDOM in seasonal snow across northwestern China. The AAEs of 19 CDOM samples are also shown in Table 1, which ranged from  $4.41\text{-}8.91$  with an average of  $5.55 \pm 1.11$ . This value is comparable with the average AAE of HULIS extracted from Alaskan snow ( $6.11$ , from  $300$  to  $550 \text{ nm}$ ) (Voisin et al., 2012).

The detailed results of each region are discussed below. Region 1 (sites 47-52) is located in the eastern Tibetan Plateau, which is typically higher than  $4000 \text{ m}$  above sea level. In this region, the snowpack was usually patchy and thin (Fig. 2a). During windy time, local soil can be blown and deposited on the snow surface, which had been

observed by previous studies (Pu et al., 2017; Ye et al., 2012). Moreover, the filters for samples in this region were in yellow color due to high loading of soil dust. The average  $a_{280}a_{CDOM}(280)$  was highest among all five regions ( $2.30 \pm 0.52 \text{ m}^{-1}$ ), and the  $S_{275-295}$  fell in the range of  $0.0170\text{--}0.0212 \text{ nm}^{-1}$  ( $0.0188 \pm 0.0015 \text{ nm}^{-1}$  on average), which shows the similar values of leaching for permafrost on the Tibetan Plateau (Wang et al., 2018).

In region 2 (sites 53-59, 61, and 79), snow at some sites was patchy (e.g., sites 53, 57, 61, and 79, Fig. 2b)) and some sites were farmland (e.g., sites 55 and 56, Fig. 2c), which all can be influenced by local soil due to strong wind or agriculture activities. The  $a_{280}a_{CDOM}(280)$  values of these sites were in the range of  $1.66\text{--}5.25 \text{ m}^{-1}$ . Several sites in this region showed lower  $a_{280}a_{CDOM}(280)$  ( $0.41\text{--}0.54 \text{ m}^{-1}$ , e.g., sites 54, 58, and 59), might resulting from new fallen snow or long distances from human activities (high altitude). Overall, region 2 showed a high average  $a_{280}a_{CDOM}(280)$  ( $2.00 \pm 1.50 \text{ m}^{-1}$ ), and the average  $S_{275-295}$  was  $0.0229 \pm 0.0073 \text{ nm}^{-1}$ .

Region 3 (sites 60, 62, 63 and 80-84) is the most developed part of Xinjiang, and major industrial cities are located here (e.g., Urumqi, Shihezi, Kuytun and Karamay). Therefore, human activities may dominate the contribution of snow CDOM in snow in this region. However, the  $a_{280}a_{CDOM}(280)$  values were mostly less than  $1.0 \text{ m}^{-1}$  except at sites 60 and 84, and the with a low average value was low (of  $0.93 \pm 0.68 \text{ m}^{-1}$ ). Because samples of these sites were almost new fallen snow, the deposition of pollutants to the snowpack can be quite slight. Sites 60 and 84 were both close to industrial cities (Fig. 1 in Pu et al. (2017)), and the locally anthropogenic pollutants may be responsible for the high  $a_{280}a_{CDOM}(280)$  ( $2.39 \text{ m}^{-1}$  and  $1.65 \text{ m}^{-1}$ , respectively).

The average  $S_{275-295}$  was  $0.0218 \pm 0.0057 \text{ nm}^{-1}$  in this region.

In region 4 (sites 64-71), the maximal and minimal  $a_{280}a_{\text{CDOM}}(280)$  values of the entire campaign,  $10.57 \text{ m}^{-1}$  (site 67, snow drift, Fig. 2d) and  $0.15 \text{ m}^{-1}$  (site 66, new snow), were found here. Generally, the  $a_{280}a_{\text{CDOM}}(280)$  was in the range of  $0.5\text{-}2.0 \text{ m}^{-1}$  with an average of  $0.80 \pm 0.62 \text{ m}^{-1}$  (excluded site 67), which was the lowest compared to the values in the other regions. The mean value of  $S_{275-295}$  ( $0.0255 \pm 0.0060 \text{ nm}^{-1}$ ) was higher than that those in regions 1-3.

In region 5 (sites 72-78), the average value of  $a_{280}a_{\text{CDOM}}(280)$  was  $1.17 \pm 0.63 \text{ m}^{-1}$ , which was intermediate among five regions. The  $S_{275-295}$  was typically higher than  $0.0300 \text{ nm}^{-1}$  with an average of  $0.0324 \pm 0.0060 \text{ nm}^{-1}$ . This value was highest among five regions.

## 3.2 The fluorescence characteristics of CDOM

### 3.2.1 PARAFAC components

The EEMs of snow samples were analyzed by PARAFAC model, and three fluorescent components (C1-C3) were identified (Fig. 4). The corresponding excitation and emission loading spectra of each component is shown in the Supplement as Fig. S2. The excitation/emission (Ex/Em) wavelengths of each component's fluorescence peaks are summarized in Table 2.

C1 showed a primary peak at  $<240/453 \text{ nm}$  for Ex/Em, which was similar to the component 1 reported by Stedmon and Markager (2005b) (Ex/Em =  $<250/448$ ). This kind of fluorophore absorbs light mainly in the UVC band and shows a broad emission

peak, which is usually identified as terrestrial FDOM (Stedmon et al., 2003). The appearance of a secondary peak at longer excitation wavelength (Ex/Em = 305/453 nm) may indicate that C1 is more aromatic and has higher molecular weight (Coble et al., 1998). C1 also resembled another terrestrial fluorophore, namely, component 4 in Stedmon and Markager (2005b) (Ex/Em = <250(360)/440), which has been widely found in nature fresh water environments and even water-extracted organic matter in aerosols (Chen et al., 2016; Mladenov et al., 2011; Zhang et al., 2009; Zhao et al., 2016).

C2 had a primary (secondary) peak at <240(300)/393 nm (Ex/Em), which was first measured in the oceanic system by Coble (1996). Subsequently, Stedmon et al. (2003) found a similar fluorophore (component 4 therein) in a terrestrially dominated estuary region. The following studies suggested that the C2-like component is also linked to microbial activity and phytoplankton degradation in natural aquatic systems (Yamashita et al., 2008; Zhang et al., 2009) or DOM in wastewater from anthropogenic sources (Stedmon and Markager, 2005b).

C3 is a typical fluorophore that is categorized as tyrosine-like FDOM and that exhibits Ex/Em pairs of <240(270)/315 nm. C3 reflects autochthonously labile DOM produced by biological processes (Stedmon et al., 2003) and has been commonly reported in previous studies of natural water bodies and the water extraction of aerosols (Chen et al., 2016; Murphy et al., 2008; Stedmon and Markager, 2005a).

### 3.2.2 Regional variation in PARAFAC components

Figure 5 shows the variations of three fluorescent components among regions,

including ~~the~~ intensities and ~~the~~ relative contributions. Overall, C2 was the most intense fluorophore and accounted for 42% on average of the total fluorescence intensity of all samples, followed by C3 (38% on average) and C1 (20% on average). Compared to glacial snow and ice samples, which were dominated by protein-like substances (Dubnick et al., 2010; Feng et al., 2016), the seasonal snow samples in this study showed fewer microbial ~~characteristics~~. characteristic. According to Thieme et al. (2016), although we might underestimate ~~the~~ %C1 (approximately -3%) and overestimate ~~the~~ %C2 (approximately +6%) due to the preservation artifacts, it only slightly changes the results showed here.

In Qinghai (region 1), the most obvious feature was that C1 accounted for approximately 35% of the total fluorescence intensity on average. This value was significantly higher than that of the other regions. In contrast, %C3 in region 1 was quite low (24% on average). This result was mainly due to the high  $F_{\max}(\text{C1})$  in region 1 since the regional variation of  $F_{\max}(\text{C3})$  was slight (Fig. 5).

In Xinjiang (regions 2-5), %C1 varied by region, while %C2 and %C3 were roughly equal. In region 2, %C1 was also high (25% on average). However, %C1 showed the lowest value (9% on average) in region 3, where most of the samples were new fallen snow (7 of 8 sites). The great difference between %C1 and %C2 in this region indicated different sources of these two humic-like components. In regions 4 and 5, %C1 were nearly double of that in region 3 (both were approximately ~~17%~~ % on average).

At sites 54 and 82, the relative abundance of C3 exceeded ~~70%~~ This value%, which was approximately twofold higher than the average of the whole dataset (38%). This

result can be explained by two possible reasons, (1) lower inputs of C1 and C2, and (2) greater biological activities were available in the snowpack at these sites. We found lichens near these two sites (Fig. S3), providing evidence for the latter reason.

At site 67, the fluorescence intensities were highest among all samples (0.30 ~~RU~~, 0.39 ~~RU~~, and 0.38 RU for C1, C2, and C3, respectively), especially for C3. The average  $F_{\max}(\text{C3})$  was 0.10 RU (~~for the whole dataset~~ excluded site 67), with a low standard deviation of 0.02 RU, and this value was approximately one-fourth of that at site 67. Therefore, rather than owing to microbial activity alone, the extremely high  $F_{\max}(\text{C3})$  of site 67 may be due to other sources, for instance, some organic compounds released from diesel combustion may show similar spectra (Mladenov et al., 2011).

To assess the similarities and differences between samples, a hierarchical cluster analysis based on the relative intensities of fluorescent components was conducted (Fig. 6). The snow samples were separated into four clusters (clusters A-D) (Fig. S4). Samples classified into clusters A and B were dominant. The high %C1, which was 34% on average, was the most remarkable feature of cluster A and led to a low %C3 (26% on average). All samples in region 1 and most samples in region 2 were assigned to cluster A. For cluster B, %C1 was low (13% on average), and %C3 (47% on average) was slightly higher than %C2 (40% on average). For sites in northern Xinjiang (regions 4 and 5), most samples were classified into cluster B. The samples assigned to cluster C, including those of sites 60, 62, 69, 72, 76 and 84, showed dominant ~~contributions~~contribution of C2 (57% on average). Half of these samples were found in region 3, and the others were dispersed in regions 4 and 5. Cluster D contained only

two samples from sites 54 and 82. The difference between cluster D and the others was an extremely high contribution of protein-like component C3 (73% on average), which indicated the high bioavailability of snow CDOM.

### 3.2.3 Fluorescence-derived indices

5 The regional variations of three established fluorescence-derived indices are shown in Fig. 7 and the values of each site are listed in Table 1. The HIX ~~of samples in this study~~values fell into the range of 0.16-3.20 with an average of  $1.21 \pm 0.78$ . The highest average HIX appeared in region 1 ( $2.21 \pm 0.42$ ), demonstrating high degree of humification of snow CDOM. The lowest ~~average HIX value~~ was found in region 3  
10 ( $0.62 \pm 0.37$  on average), which suggests that the CDOM was fresh. This finding is easily explained by the fact that nearly all of snow samples in this region were new fallen snow. Compared to ~~the HIX of~~ other types of samples (Table 3), the HIX of snow CDOM across northwestern China was higher than that of spring water (Birdwell and Engel, 2010); comparable to those of cryoconite in glaciers from the Tibetan Plateau  
15 (Feng et al., 2016), inland lakes (Zhang et al., 2010) and north Pacific Ocean water (Helms et al., 2013); and was lower than those of cave water (Birdwell and Engel, 2010), estuarine water (Huguet et al., 2009), fog water (Birdwell and Valsaraj, 2010), groundwater (Huang et al., 2015), water extraction of alpine aerosol (Xie et al., 2016) and urban aerosol (Mladenov et al., 2011).

20 According to McKnight et al. (2001) and Huguet et al. (2009), the values of  $FI > 1.9$  or  $BIX > 1.0$  indicate microbially derived DOM. The BIX and FI for the snow samples

were typically below 1.0 and 1.9, respectively, implying unremarkably autochthonous ~~characteristics-characteristic~~. The regional distributions of BIX and FI corresponded with that of HIX. The samples with highest average BIX and FI were in region 3 ( $0.93 \pm 0.25$  and  $1.60 \pm 0.15$ , respectively), and the samples in region 1 exhibited the lowest average values ( $0.49 \pm 0.05$  and  $1.29 \pm 0.05$ , respectively). The BIX and FI of different types of samples changed little, ~~and~~while the only exception was the FI of cryoconite in glaciers from the Tibetan Plateau (Feng et al., 2016), which was approximately twice as high as those of the other samples.

### 3.3 Source attribution of CDOM

#### 3.3.1 Source identification of PARAFAC components

~~In Qinghai~~, As mentioned in Sec. 3.1, the snow packs in Qinghai were strongly influenced by ~~the~~ local soil dust, which was confirmed by the lowest average  $S_{275-295}$ , leading to a high %C1 ~~(35% on average)~~. This result implied that the terrestrial fluorophore C1 was mainly from the soil HULIS, and ~~confirmed~~demonstrated the invariably terrestrial source of the C1-like fluorophores, regardless of whether in the natural water bodies, aerosol water extraction or snow.

Correlation analyses were conducted to assess the potential sources of C2. The mutual relationships between PARAFAC components were shown in Fig. 8. The  $F_{\max}(\text{C3})$  of site 67 ~~werewas~~ much higher than ~~thosethat~~ of any other sample (shown as red markers in Fig. 8), which can strongly influence the results of ~~the~~ correlation analysis. When excluding the data of site 67, the  $R^2$  between  $F_{\max}(\text{C1})$  and  $F_{\max}(\text{C3})$



fell from 0.316 to 0.082, and the linear relationship became nonsignificant (Fig. 8b).

Therefore, we used the dataset that excludes site 67 ~~in~~into the analysis, and the results

are shown below.  $F_{\max}$  (C1) and  $F_{\max}$  (C2) ~~exhibited a significant and positive correlation~~were linearly correlated with each other ( $R^2 = 0.332$ ,  $p < 0.001$ ); however,

5 ~~this~~the  $R^2$  value was much lower than those in previous studies of natural water, for

instance,  $R^2 = 0.63$  for inland lakes (Zhao et al., 2016) and  $R^2 = 0.88$  for inland rivers (Zhang et al., 2011). This result indicated that soil dust only partly accounted for the

source of C2. Meanwhile, a significant linear relationship ( $R^2 = 0.364$ ,  $p < 0.001$ ) was found between  $F_{\max}$ (C2) and  $F_{\max}$ (C3), which implied a potential microbial source for

10 C2, ~~and was~~ consistent with the finding of Yamashita et al. (2008). Not surprisingly,

$F_{\max}$  (C1) and  $F_{\max}$  (C3) showed no significant correlation ( $R^2 = 0.082$ ,  $p > 0.05$ ).

Furthermore, the correlation coefficients ~~between~~of  $F_{\max}$  and three major ions were calculated. The results are shown in Table 4.  $F_{\max}$ (C2) showed significant and positive

correlations with ~~three~~these ions ( $p < 0.001$ ). The secondary ions  $\text{SO}_4^{2-}$  and  $\text{NO}_3^-$  are

15 commonly considered as the markers of anthropogenic emissions from the burning of

fossil fuel, such as oil and coal (Doherty et al., 2014; Oh et al., 2011; Pu et al., 2017),

and  $\text{nss-ndust-K}^+$  is a good tracer of biomass burning (Pio et al., 2007). Therefore, C2

may also originate from anthropogenic pollution and biomass burning. Overall, there

are four potential sources of snow CDOM in our study, since the contribution of

20 microbial-derived C3 to ~~a<sub>280</sub>~~ $a_{\text{CDOM}}(280)$  was relatively low compared to C1 and C2

(Fig. S5), three major sources were identified, i.e., soil dust, biomass burning and

anthropogenic pollution.

The ratios of intensities for PARAFAC components can be a useful tool to trace the CDOM sources (Murphy et al., 2008). In this study, the ratio of  $F_{\max}(\text{C2})$  and  $F_{\max}(\text{C1})$  was applied to assess the relative contributions of soil and non-soil (i.e., biomass burning and anthropogenic pollution) sources for snow CDOM (Fig. 9a). An analysis of variations (ANOVA) was used to test the differences among regions. Regions 1 and 2 showed low ratios of  $F_{\max}(\text{C2})$  and  $F_{\max}(\text{C1})$  ( $1.20 \pm 0.14$  and  $1.76 \pm 0.82$  on average, respectively), indicating the strong influence from local soil dust. The values of  $F_{\max}(\text{C2})/F_{\max}(\text{C1})$  for regions 3, 4, and 5 were significantly higher (ANOVA,  $p < 0.05$ ) with averages of  $5.57 \pm 2.26$ ,  $3.17 \pm 1.47$ , and  $3.02 \pm 1.22$ , respectively. This result ~~implies~~implied that the sources of snow CDOM in these regions were different from those in regions 1 and 2, and mainly from ~~the~~ non-soil sources.

### 3.3.2 Regional variations

The regional variations of CDOM sources are discussed below using analyses of absorption and fluorescence characteristics, chemical species, and air mass backward trajectories. In addition, the sources of CDOM in snow are also compared with those of particulate light absorption of ILAPs.

In Qinghai (region 1), the lowest regional average and slight variation of  $S_{275-295}$  indicated the dominant contribution of terrestrial sources for snow CDOM (e.g., local soil dust) (Fichot and Benner, 2012; Helms et al., 2008). This result ~~is~~was also verified by the fluorescence properties (highest HIX and %C1, lowest  $F_{\max}(\text{C2})/F_{\max}(\text{C1})$ ). Although some of trajectories to site 47 passed through the active fires (Fig. 10a),

compared to ~~the~~ strongly local soil input, the influence of long-range transportation of biomass burning aerosol was much fewer. Combined with the low value of  $(\text{SO}_4^{2-} + \text{NO}_3^-)/\text{nss-ndust-K}^+$  (Fig. 9b), the CDOM produced by anthropogenic pollution ~~is~~was negligible ~~in region 1~~. Above all, ~~the~~ soil dust is clearly the primary source ~~of~~

5 ~~CDOM~~in region 1.

In region 2, the high ~~relative intensities of average %C1~~ and low ~~values of average~~  $F_{\max}(\text{C2})/F_{\max}(\text{C1})$  indicated that the contribution of soil dust to snow CDOM was also remarkable. Along the paths of ~~the~~ air masses to site 55 (Fig. 10b), very few trajectories encountered the fires. Additionally, the average of  $(\text{SO}_4^{2-} + \text{NO}_3^-)/\text{nss-ndust-K}^+$  was also  
10 low, which showed an insignificant role of anthropogenic pollution. Therefore, in region 2, a major source of soil is reasonable.

In region 3, the ~~extremely high~~ averages of  $F_{\max}(\text{C2})/F_{\max}(\text{C1})$  and  $(\text{SO}_4^{2-} + \text{NO}_3^-)/\text{nss-ndust-K}^+$ , ~~which~~ were significantly higher than those in other regions (ANOVA,  $p < 0.05$ ), ~~implied~~implying a strong influence of anthropogenic pollution. The

15 mass ratio of  $\text{Cl}^-$  and  $\text{Na}^+$  (2.48, Fig. S6) was approximately 2 times higher than that in sea water (1.18, Hara et al., 2004), which indicated that  $\text{Cl}^-$  might originate from other sources in addition to sea salt, such as coal combustion (H. L. Wang et al., 2008; Y. Wang et al., 2006), while the values in other regions were comparable to 1.18. This result, again, confirmed that the CDOM from pollution was dominant in region 3 but  
20 inapparent in other regions. The backward trajectories also showed consistent results (Fig. 10c). Most of the trajectories to site 84 came from the northwest and passed through the cities with heavy industry (e.g., Karamay and Shihezi). Therefore, ~~the~~ air

pollutants can be transported to the sample area and deposited on ~~the~~ surface snow.

In regions 4 and 5, the non-soil sources of snow CDOM were predominant due to the high regional averages of  $F_{\max}(C2)/F_{\max}(C1)$ . In region 4, many of air masses, which originated from the central Asia (west), Siberia (north) and central Xinjiang (south),  
5 passed through the active fires, and strongly influenced this region (Fig. 10d). The ratios of  $(SO_4^{2-}+NO_3^-)$  and  $nss-ndust-K^+$  were significantly lower than those in region 3 (ANOVA,  $p<0.05$ ), indicating a major source of biomass burning. Coincidentally, in region 5, the value of  $(SO_4^{2-}+NO_3^-)/nss-ndust-K^+$  was comparable with that of region 4, which suggested CDOM from biomass burning rather than pollution. The respectable  
10 amount of air mass encountered ~~the~~ fires (Fig. 10e) can explain this finding. Furthermore, the low mass ratios of  $Cl^-$  and  $Na^+$  in regionregions 4 and 5 also implied the slight influence of anthropogenic pollution. Overall, biomass burning is the dominant source both in regions 4 and 5.

Pu et al. (2017) used a positive matrix factorization (PMF) model to  
15 ~~identified~~identify the sources of particulate light absorption of ILAPs (denoted as  $C_{BC}^{\max}$ ) in snow during the same field campaign. The comparison of  $C_{BC}^{\max}$  and  ~~$a_{280}$~~  $a_{CDOM}(280)$  among regions is shown in Fig. S7. In regions 1, 2, and 5, there were no significant correlation between  $C_{BC}^{\max}$  and  ~~$a_{280}$~~  $a_{CDOM}(280)$ , which indicated the entirely different sources of CDOM and particulate absorption of ILAPs. As reported  
20 by Pu et al. (2017), the major sources of  $C_{BC}^{\max}$  were biomass burning in regions 1-2 and industrial pollution in region 5; while those of CDOM in this study were soil dust and biomass burning, respectively. Robust linear correlations were found in regions 3

and 4 ( $R^2 = 0.95$  and  $0.75$ , respectively), which implied high consistency for sources of CDOM and particulate absorption of ILAPs (i.e., anthropogenic pollution and biomass burning, respectively).

### 3.4 Comparing the light absorption by CDOM and BC

5 Figure 11 shows the relative contributions of CDOM and BC to light absorption. As mentioned above, light absorption within visible wavelengths was available for 19 samples. The BC concentrations in surface snow were obtained from Pu et al. (2017), and the MAC ~~at 550 nm~~ and ~~the~~ AAE of BC used in the calculation were  $6.3 \text{ m}^2 \text{ g}^{-1}$  (550 nm) and 1.1, respectively (Pu et al., 2017).

10 ~~The~~ Most of these sites were assigned to cluster A, except sites 60, 69, and 84. As discussed in Sec. 2.2.2, sites of cluster A exhibited high values of %C1, indicating CDOM mainly originated from soil dust. At sites 50, 52, and 79, the light absorptions of CDOM and BC were roughly equal at 400 nm. It was not only due to the high abundances of CDOM, but also the relatively low BC mixing ratios in snow

15 (approximately  $30 \text{ ng g}^{-1}$ , Pu et al., 2017). Sites 60, 69, and 84, where the fluorescence intensities were dominated by C2, were the only three sites assigned to cluster C. Biomass burning and anthropogenic pollution (e.g., fossil fuel combustion) are both major sources of fluorophore C2 and BC. Therefore, the BC mixing ratios were approximately  $300 \text{ ng g}^{-1}$  at these sites (Pu et al., 2017), leading to quite low ratios of

20 light absorption due to CDOM and BC (approximately 0.03 on average at 400 nm). At other sites, this value was typically in the range of 0.1 to 0.4. In summary, the light

absorption of CDOM was 0.02-1.17 ( $0.34 \pm 0.34$  on average) times of that for BC at 400 nm. At 500 nm, this value decreased quickly to  $0.10 \pm 0.11$  ~~on average and ranged from 0.01-0.40~~ due to the stronger wavelength dependence of CDOM absorption. This finding is quite different from the results for ~~Alaskan snow. Dang and Hegg (2014)~~ converted the CDOM absorption in snows snow samples collected at Barrow, Alaska, ~~into equivalent BC mixing ratios of  $0.14 \text{ ng g}^{-1}$  at 400 nm and  $0.07 \text{ ng g}^{-1}$  at 550 nm.~~ As presented by Doherty et al. (2013), the mixing ratio of BC in Barrow snow ranged ~~from between~~ 10-30  $\text{ng g}^{-1}$ , however, the equivalent BC mixing ratio of CDOM absorption was only  $0.14 \text{ ng g}^{-1}$  at 400 nm and  $0.07 \text{ ng g}^{-1}$  at 550 nm (Dang and Hegg, 2014). Hence, the absorption of CDOM in Alaskan snow can be safely ignored, but this does not appear reasonable for some areas across northwestern China.

Previous studies on impurities in seasonal snow have focused on insoluble particles (e.g., BC,  $\text{ISOC}_2$  and dust) (Doherty et al., 2010, 2014; Pu et al., 2017; Wang et al., 2013). The above discussion indicates that in some specific areas of northwestern China, the absorption of CDOM in snow was remarkable. ~~What is~~ In addition to the common features ~~results of such sites? Most of them were classified into cluster A (16 of 19 sites).~~ analysis, we summarized several absorption- and fluorescence-related indices of these sites. The average  $S_{275-295}$  ( $0.0187 \pm 0.0022$ ) of these 19 sites was ~~the~~ lowest compared to the averages of regions 1-5. The averages of BIX ( $0.60 \pm 0.20$ ), FI ( $1.31 \pm 0.09$ ), and  $F_{\max}(\text{C2})/F_{\max}(\text{C1})$  ( $1.66 \pm 1.03$ ) were lower than those of region 2, in which the influence of local soil dust was obvious. Besides, the averages of HIX ( $1.87 \pm 0.57$ ) and %C1 (30%) were higher than those of region 2. These results indicated that the

CDOM of these sites was undoubtedly from terrestrial origins (e.g., wind-blown soil dust). Hence, we suggest that the absorption by CDOM in the snowpack, which is heavily affected by soil, cannot be ignored.

#### 4 Conclusions

5 Seasonal snow samples were collected across northwestern China from January to February 2012. The  $a_{280}^{\text{CDOM}}(280)$  and  $S_{275-295}$  of snow CDOM ranged from 0.15-10.57  $\text{m}^{-1}$  and 0.0129-0.0389  $\text{nm}^{-1}$ , respectively. The average value of  $a_{280}^{\text{CDOM}}(280)$  (1.69 $\pm$ 1.80  $\text{m}^{-1}$ ) was approximately 10 times higher than that in Alaska (Beine et al., 2011). Samples in Qinghai (region 1) exhibited the highest average  $a_{280}^{\text{CDOM}}(280)$  (2.30 $\pm$ 0.52  $\text{m}^{-1}$ ) and the lowest average  $S_{275-295}$  (0.0188 $\pm$ 0.0015  $\text{nm}^{-1}$ ) resulting from the strong influence of local soil dust. ~~Low~~Lower average  $a_{280}^{\text{CDOM}}(280)$  appeared in central Xinjiang (region 3, 0.93 $\pm$ 0.68  $\text{m}^{-1}$ ), where almost all the samples were collected from new fallen snow, and northwestern Xinjiang (region 4, 0.80 $\pm$ 0.62  $\text{m}^{-1}$  when excluded site 67) which was far from industrial areas. In the Tianshan Mountains (region 2) and northeastern Xinjiang (region 5), the average values of  $a_{280}^{\text{CDOM}}(280)$  were 2.00 $\pm$ 1.50  $\text{m}^{-1}$  and 1.17 $\pm$ 0.63  $\text{m}^{-1}$ , respectively. For all sites in Qinghai and some of ~~the~~ sites in Xinjiang (19 of 39 sites), the light absorption of CDOM cannot be neglected and even was remarkable (0.34 $\pm$ 0.34 times relative to BC at 400 nm on average) due to the high contribution of CDOM from soil dust ~~to snow CDOM~~. Hence, we suggest that the CDOM absorption in ~~the~~ visible wavelengths at such sites should be taken into consideration in future studies.

Based on PARAFAC analysis, two humic-like fluorophores (C1 and C2) and one protein-like fluorophore (C3) were identified. In Qinghai (~~region 1~~), %C1 (35% on average) was much higher than those of the other regions, ~~and besides~~, the highest HIX, lowest BIX and FI were also found. In Xinjiang (regions 2-5), %C1 varied among regions. In region 2, C1 accounted for approximately 25% to the total fluorescence, followed by regions 4 and 5 (both ~~were~~ 17% on average). In region 3, ~~the~~ C1 contribution was lowest (9% on average), and the values of fluorescence-derived indices also showed the consistent results (the lowest HIX, highest BIX and FI). A hierarchical cluster analysis was used to classify samples into four clusters (A-D) based on the relative intensities of three fluorescent components. All samples in region 1 and most samples in region 2 were assigned to cluster A (a high contribution of C1). The ~~number~~numbers of samples assigned to cluster B (roughly equal contributions of C2 and C3) and cluster C (a dominant contribution of C2) were nearly even in region 3. For regions 4 and 5, most samples were classified into cluster B. Only two samples were assigned to cluster D due to the dominant contribution of C3.

According to the correlation analysis between  $F_{\max}(\text{C2})$  and three major ions ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{nss-ndust-K}^+$ ), as well as the mutual relationships among ~~the three~~ fluorescent components, C2 exhibited potential sources of soil, microbial activity, anthropogenic pollution, and biomass burning. Furthermore, the regional distribution of CDOM sources was assessed by using variations of  $F_{\max}(\text{C2})/F_{\max}(\text{C1})$ ,  $(\text{SO}_4^{2-} + \text{NO}_3^-)/\text{nss-ndust-K}^+$ ,  $\text{Cl}^-/\text{Na}^+$ ,  ~~$F_{\max}(\text{C2})/F_{\max}(\text{C1})$~~  and air mass backward trajectory analysis. The major sources ~~for the five regions~~ were soil dust ~~in for~~ regions



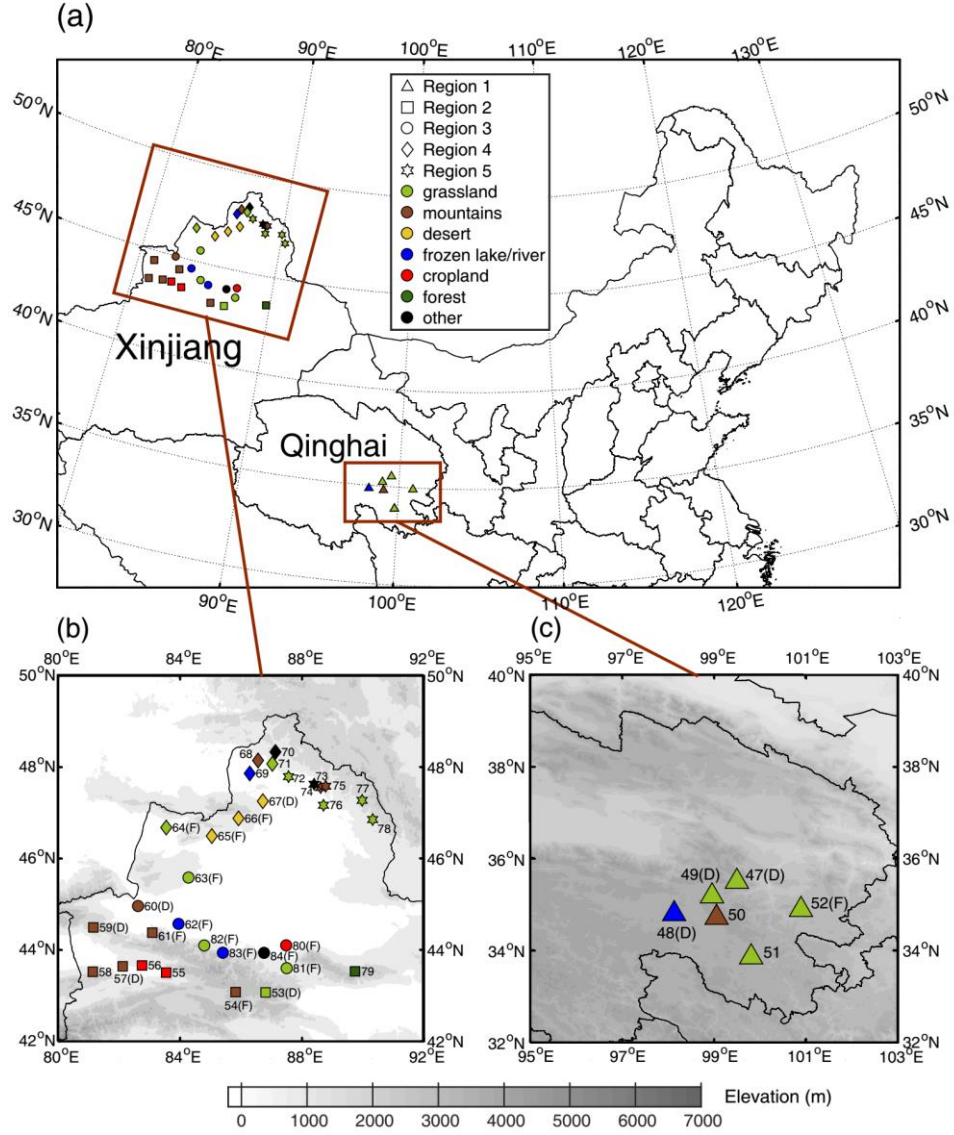
1-2, anthropogenic pollution ~~in~~for region 3, and biomass burning ~~in~~for regions 4-5.

This study investigated the optical characteristics and potential sources of CDOM in seasonal snow across northwestern China. Future studies should focus on the molecular characteristics of snow CDOM and ~~its~~the relationship with the optical properties, which is of great importance to the energy budget of snowpack and the global carbon cycle.

*Data availability.* All datasets and codes used to produce this study can be obtained by contacting Xin Wang (wxin@lzu.edu.cn). The elevation data used in this study are available at <http://rda.ucar.edu/datasets/ds759.3/#!access>.

*Competing interests.* The authors declare that they have no conflict of interest.

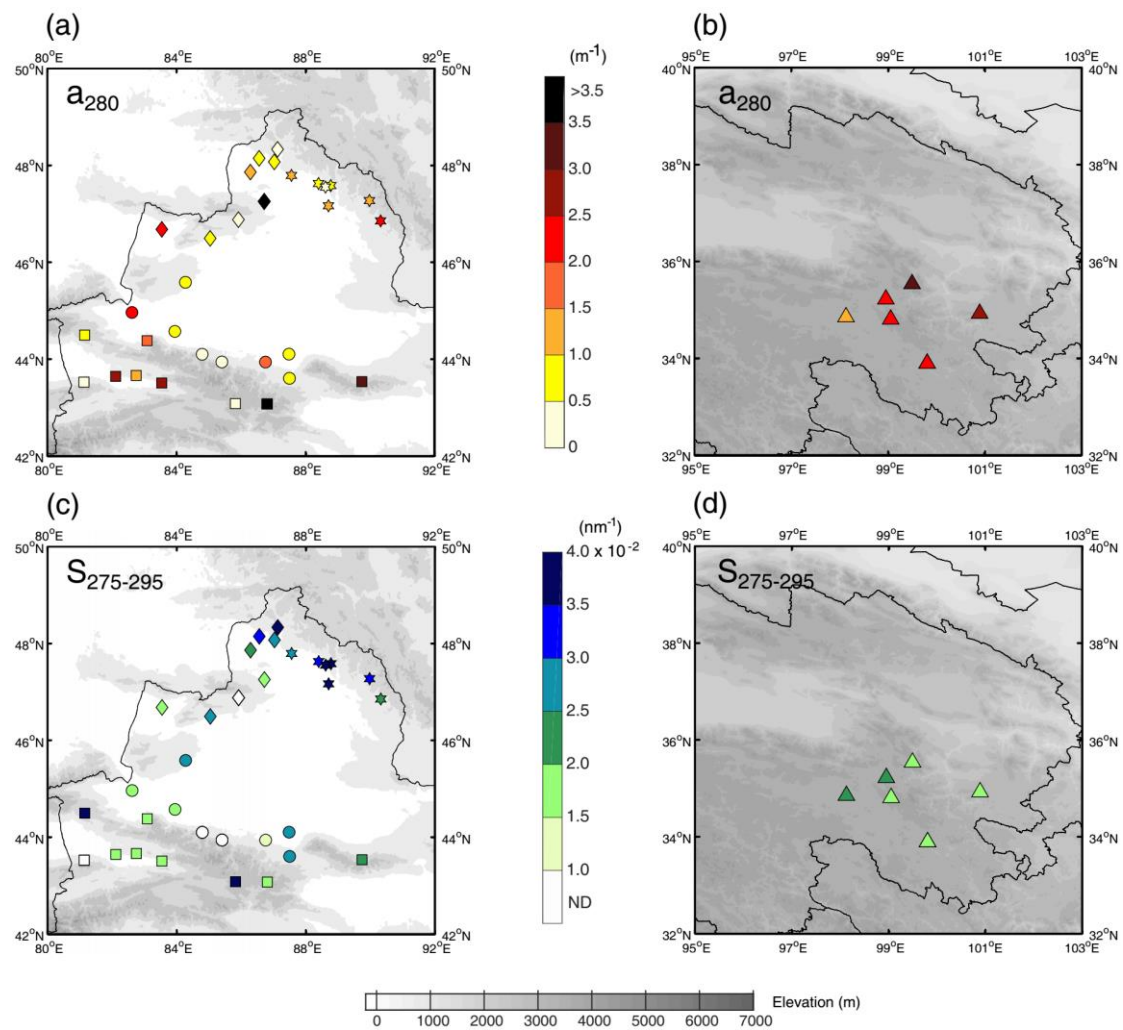
10 **Acknowledgements.** This research was supported by the Foundation for Innovative Research Groups of the National Natural Science Foundation of China (41521004), the National Natural Science Foundation of China (41522505 and 41775144), and the Fundamental Research Funds for the Central Universities (lzujbky-2018-k02). We thank Jinsen Shi of Lanzhou University, Hao Ye of Texas A&M University, and Rudong  
15 Zhang of Nanjing University for their assistance in field sampling.

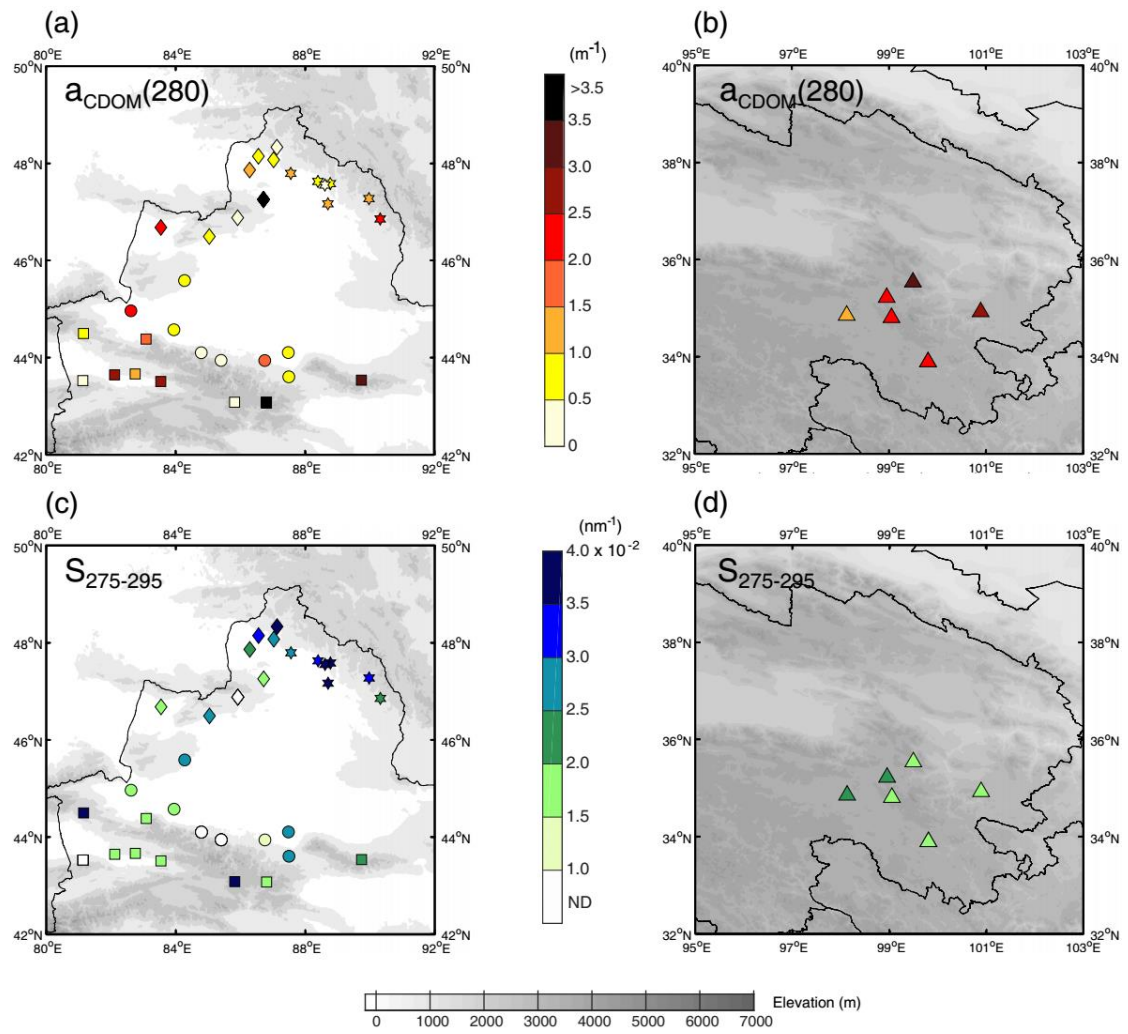


**Figure 1.** (a) The location of study area and sample site distribution across northwestern China. The site numbers and regional groupings are shown in panel (b) for Xinjiang and (c) for Qinghai. Sample areas are divided into five regions indicated by different symbol shapes, and the land cover types of sample sites are represented in different colors, as shown in the legend in panel (a). The “D” indicates that the sample was collected from a snow drift, and the “F” indicates that the ~~surface~~ sample was fresh snow. The elevation is shown in the contour plot.

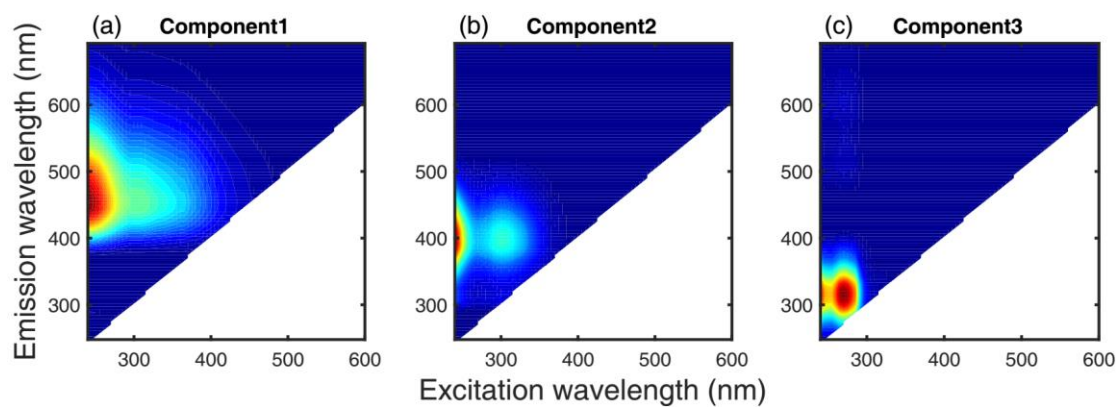


**Figure 2.** Pictures of typical sample sites.



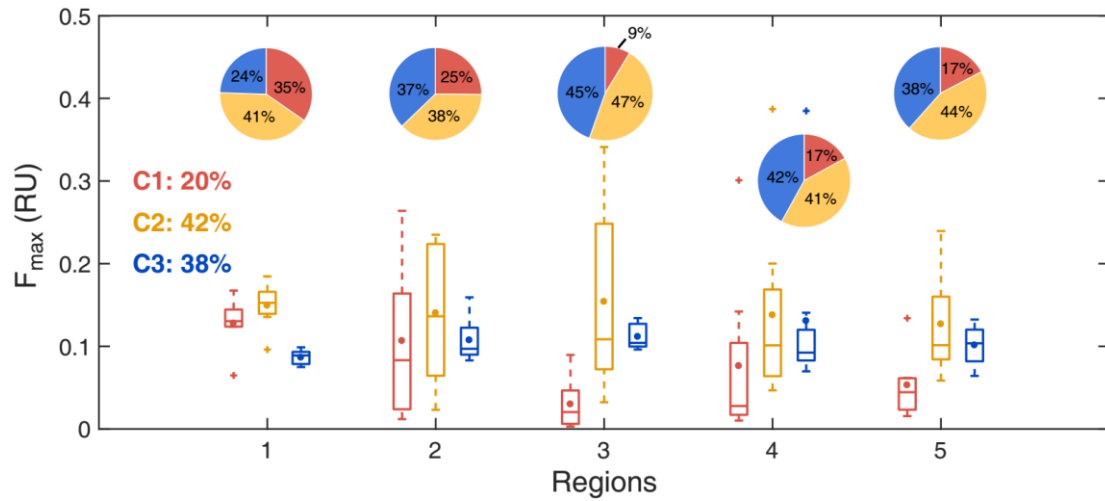


**Figure 3.**  $a_{\text{CDOM}}(280)$  and  $S_{275-295}$  for sites in (a, c) Xinjiang and (b, d) Qinghai, respectively. The five regions are indicated by different symbols (same as Fig. 1).

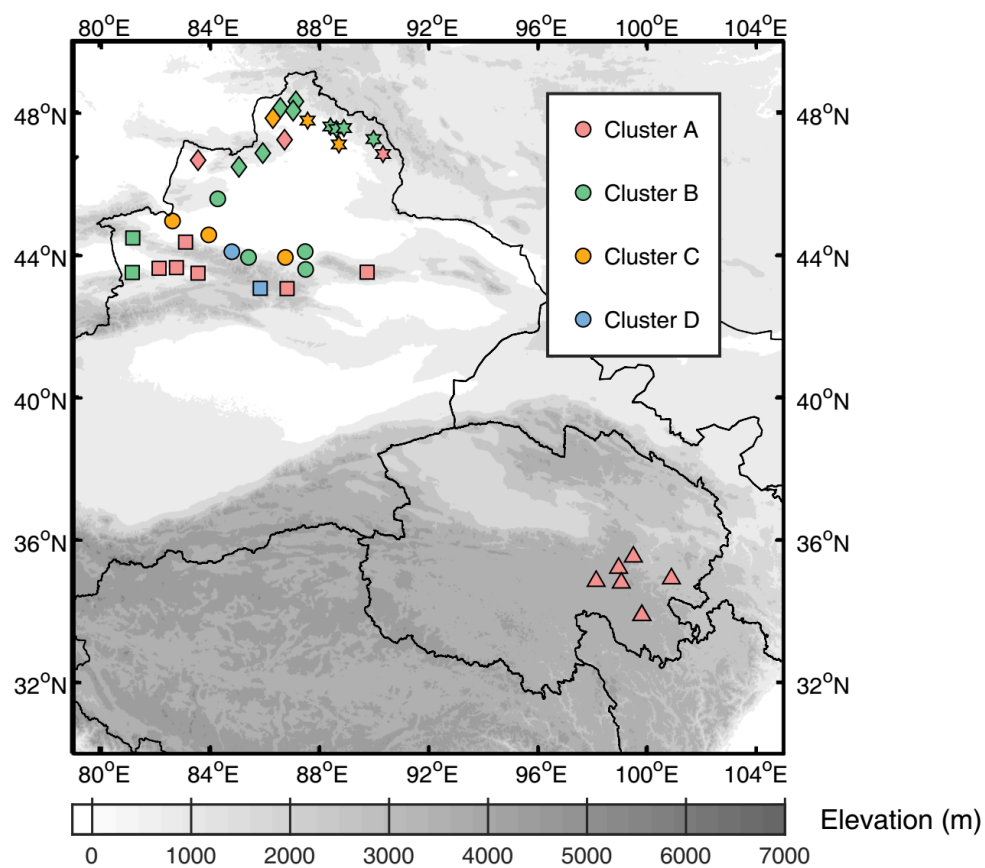


**Figure 4.** The fluorescent components identified by ~~the~~ PARAFAC analysis.



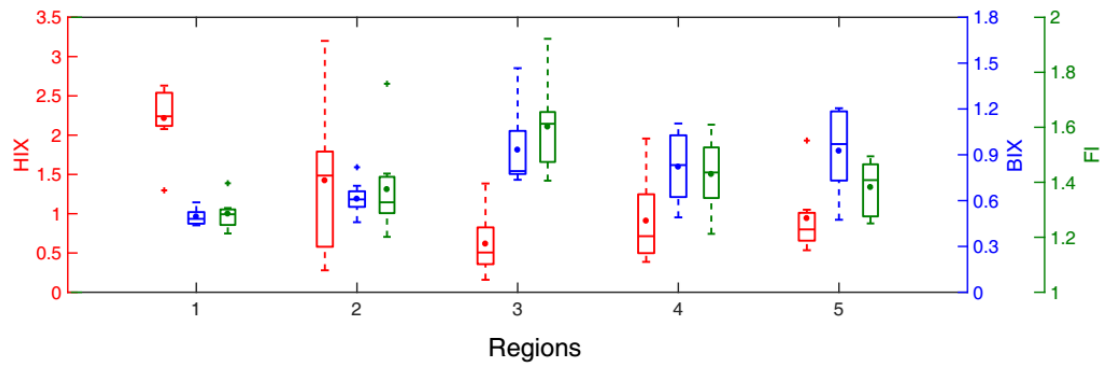


**Figure 5.** Variations of the fluorescent components among regions. The boxplots show the intensities regional average  $F_{\max}$  of different components. The boxes denote the 25<sup>th</sup> and 75<sup>th</sup> quantiles, and the horizontal lines represent the 50<sup>th</sup> quantiles (medians), the averages are shown as dots; the whiskers denote the maximum and minimum data within 1.5 times of interquartile range, and the datapoints out of this range are marked as “+”. The pie charts show the average relative contributions of three components in each region. C1, C2, and C3 are represented in red, yellow, and blue, respectively, both for the boxplots and pie charts. The percentages on the left of the panel are the averages of %C1-%C3 for the whole dataset.



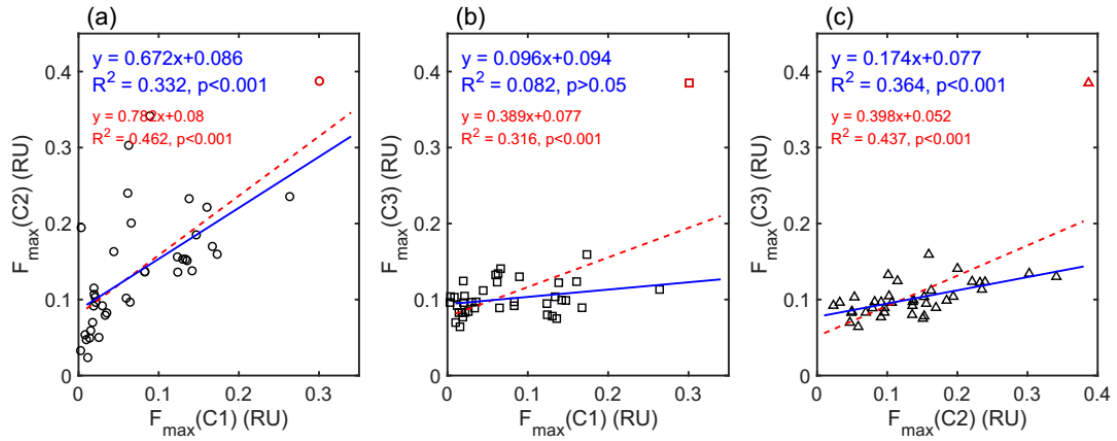
**Figure 6.** Hierarchical cluster analysis based on the relative intensities contributions of fluorescent components.



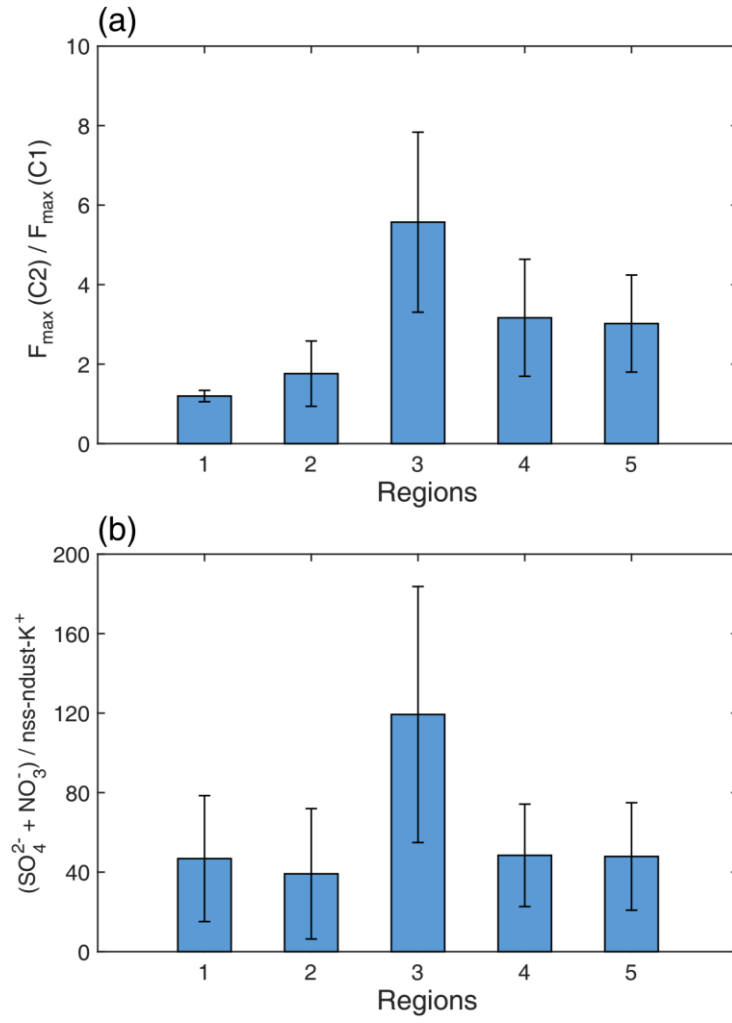


**Figure 7.** Variations of HIX (shown in red), BIX (shown in blue), and FI (shown in green) of surface snow samples among regions. The meaning of each part of box is same as that in Fig. 5.

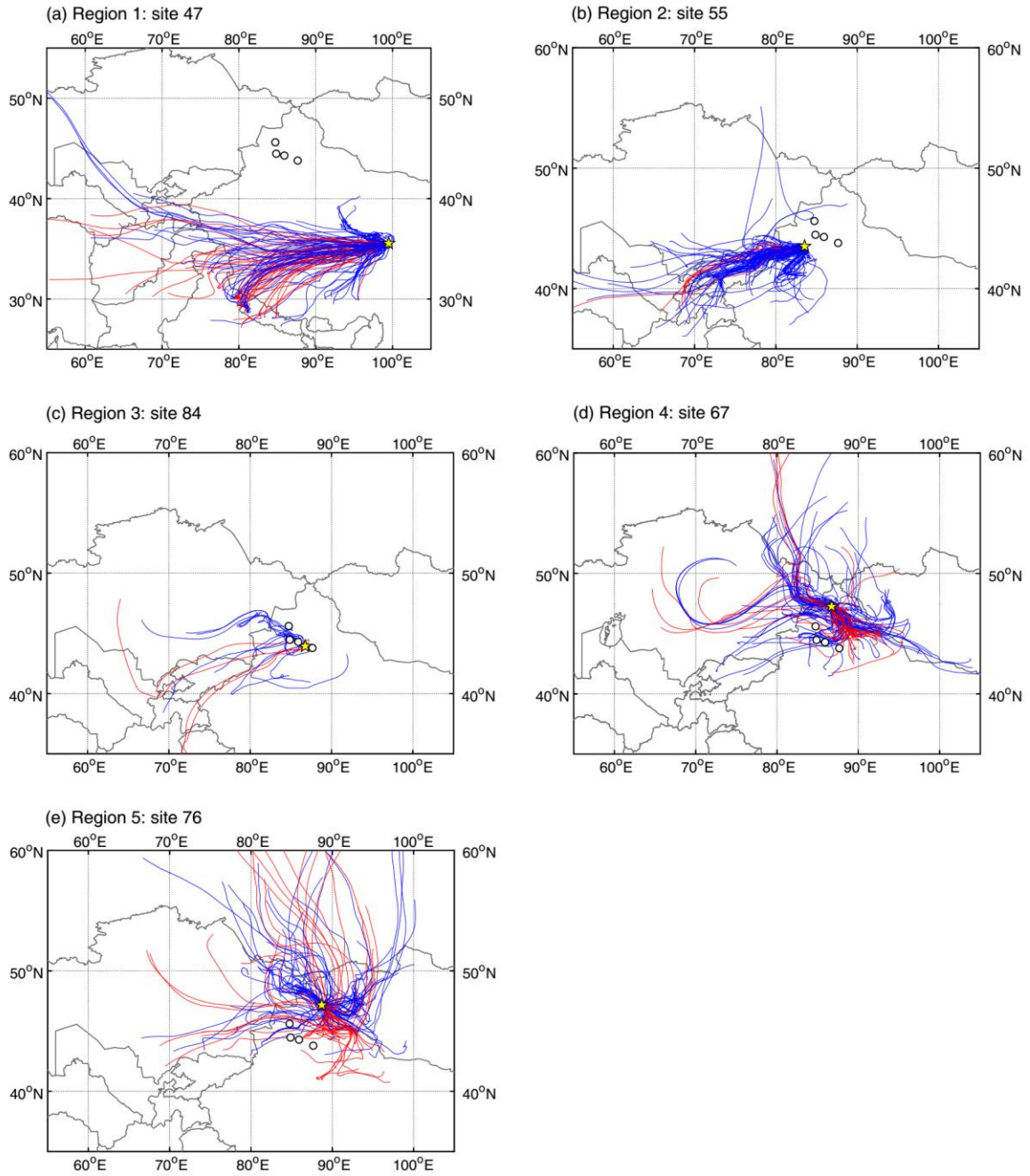
5



**Figure 8.** The linear relationships between intensities of (a) C1 and C2, (b) C1 and C3, (c) C2 and C3. The red dashed lines show the ~~fits~~ of the entire dataset, and the blue solid lines show the ~~fits~~ of data excluded site 67 (shown as markers in red). The corresponding fitting parameters are exhibited in the same color, ~~including the equations, correlation coefficients and p-values.~~



**Figure 9.** The regional averages of the ratios for (a)  $F_{\max}(C2)$  and  $F_{\max}(C1)$ , (b)  $(SO_4^{2-} + NO_3^-)$  and  $nss-ndust-K^+$ .



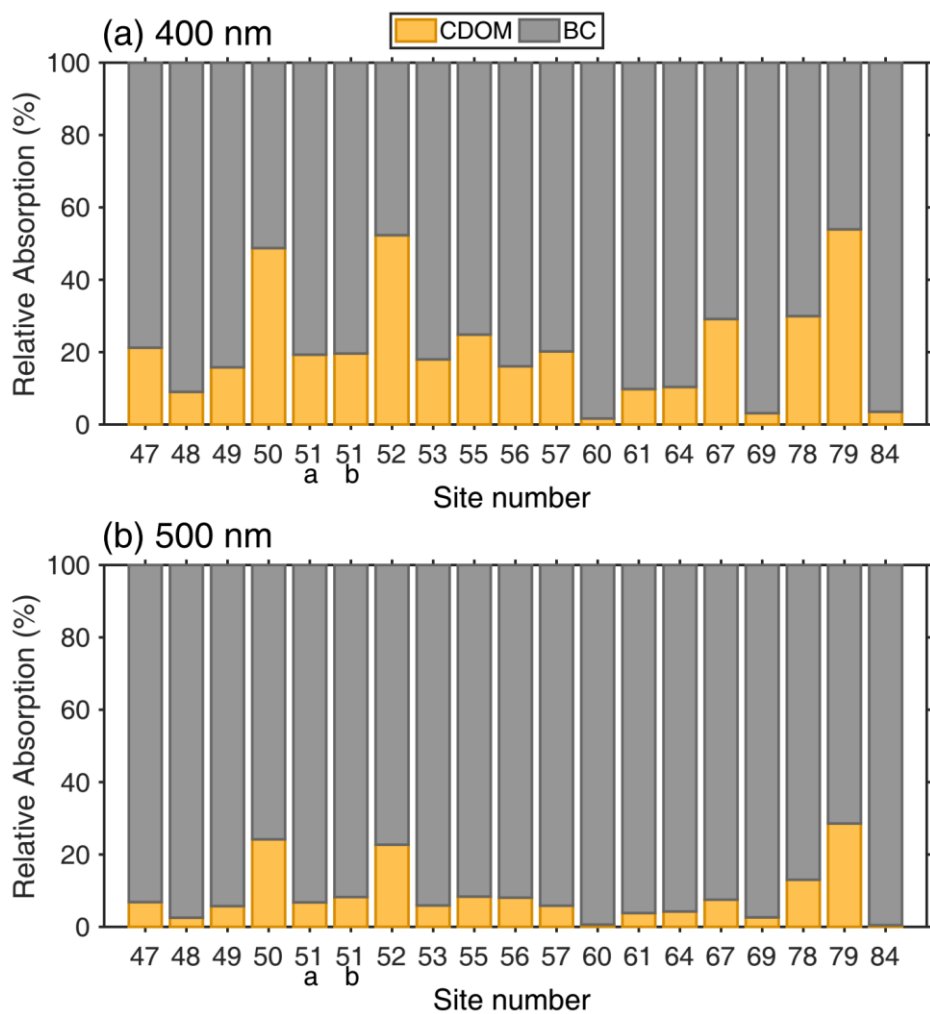
**Figure 10.** 72-h air mass backward trajectories at 500 m above ground level with the initial positions at representative sites (shown as yellow pentagrams) in each region.

Trajectories were calculated four times per day for a period of 30 days preceding the sampling date at a given site by HYSPLIT (version 4, NOAA) except for panel (c).

Since the snow was fresh at site 84, the trajectories were derived for 5 days preceding the sampling date. The red lines show the ~~airmasses~~air masses passed through the active

fires before reaching the receptor sites, and the blue lines are those did not pass the fires.

The white dots represent the typical industrial cities in Xinjiang, i.e., Karamay, Kuytun, Shihezi and Urumqi from west to east.



**Figure 11.** The relative absorption contributions of CDOM (yellow bar) and BC (gray bar) at (a) 400 nm and (b) 500 nm.

**Table 1.** Statistics on absorption and fluorescence parameters for ~~surface~~-snow CDOM

at each site. Note: N. A. for no data.

Site	Lat. (N)	Lon. (E)	<del><math>a_{280}</math></del> $a_{\text{CDOM}}(280)$ ( $\text{m}^{-1}$ )	$S_{275-295}$ ( $\text{nm}^{-1}$ )	AAE	HIX	BIX	FI
47	35.54	99.49	3.11	0.0174	4.66	2.24	0.51	1.31
48	34.85	98.13	1.32	0.0212	5.12	1.30	0.59	1.40
49	35.22	98.95	2.14	0.0206	5.20	2.24	0.47	1.29
50	34.80	99.05	2.38	0.0194	4.91	2.08	0.48	1.27
51a	33.89	99.80	2.44	0.0183	4.87	2.44	0.44	1.21
51b	33.89	99.80	2.02	0.0175	4.91	2.57	0.44	1.28
52	34.92	100.89	2.71	0.0170	4.63	2.63	0.53	1.23
53	43.07	86.81	5.25	0.0178	4.53	3.20	0.48	1.25
54	43.08	85.82	0.41	0.0350	N.A.	0.28	0.82	1.76
55	43.51	83.54	2.52	0.0178	5.13	1.90	0.61	1.30
56	43.66	82.75	1.38	0.0192	5.77	1.39	0.61	1.31
57	43.64	82.11	2.66	0.0168	5.31	1.75	0.59	1.33
58	43.52	81.13	0.42	N.A.	N.A.	0.54	0.70	1.42
59	44.49	81.15	0.54	0.0357	N.A.	0.59	0.65	1.43
60	44.96	82.63	2.39	0.0174	8.91	1.38	1.24	1.43
61	44.38	83.09	1.66	0.0189	6.06	1.49	0.59	1.36
62	44.57	83.96	0.80	0.0194	N.A.	0.52	1.47	1.63
63	45.58	84.29	0.81	0.0264	N.A.	0.71	0.78	1.41
64	46.68	83.54	2.01	0.0194	5.54	1.96	0.49	1.21
65	46.49	85.04	0.64	0.0291	N.A.	0.77	0.82	1.39
66	46.88	85.92	0.15	N.A.	N.A.	0.39	0.84	1.61
67	47.26	86.71	10.57	0.0169	4.41	1.47	0.63	1.30
68	48.15	86.56	0.57	0.0301	N.A.	0.59	1.10	1.54
69	47.86	86.29	1.41	0.0221	7.70	1.02	1.04	1.48
70	48.33	87.13	0.21	0.0351	N.A.	0.41	0.62	1.39
71	48.07	87.03	0.61	0.0255	N.A.	0.66	1.01	1.52
72	47.79	87.56	1.49	0.0259	N.A.	1.05	1.20	1.41
73	47.55	88.61	0.30	0.0381	N.A.	0.54	1.12	1.45
74	47.63	88.40	0.55	0.0337	N.A.	0.63	1.20	1.49
75	47.58	88.78	0.81	0.0376	N.A.	0.74	0.79	1.34
76	47.17	88.70	1.21	0.0389	N.A.	0.89	0.97	1.47
77	47.27	89.97	1.50	0.0301	N.A.	0.80	0.71	1.25
78	46.85	90.32	2.32	0.0221	5.52	1.93	0.48	1.25
79	43.53	89.74	3.13	0.0221	5.52	1.65	0.46	1.20
80	44.10	87.49	0.54	0.0292	N.A.	0.49	0.74	1.66
81	43.60	87.51	0.72	0.0255	N.A.	0.45	0.80	1.65
82	44.09	84.80	0.23	N.A.	N.A.	0.16	0.88	1.92
83	43.93	85.41	0.31	N.A.	N.A.	0.27	0.77	1.60
84	43.93	86.76	1.65	0.0129	6.66	0.95	0.79	1.52

**Table 2.** ~~Description~~Descriptions of the ~~three~~-PARAFAC components. The secondary peaks are shown in brackets.

Component number	Excitation maximal wavelength (nm)	Emission maximal wavelength (nm)	Descriptions	References
C1	<240 (305)	453	Terrestrial humic-like substances	Stedmon and Markager, 2005b; Stedmon et al., 2003
C2	<240 (300)	393	Microbial, anthropogenic or terrestrial humic-like substances	Murphy et al., 2011; Zhang et al., 2010
C3	<240 (270)	315	Tyrosine-like fluorophore	Yu et al., 2015



**Table 3.** The fluorescence-derived indices in this study, and summary of ~~that from those~~ in natural water and water extraction of ~~aerosol~~aerosols reported by other studies with average values ~~for some studies are~~ shown in brackets.

Study area	Sample type	HIX	BIX	FI	References
Northwestern China	Seasonal snow	0.16-3.20 (1.21)	0.44-1.47 (0.76)	1.20-1.92 (1.42)	This study
Tibetan Plateau	Cryoconite in glaciers	1.11-1.37 (1.27)	0.65-0.93 (0.80)	3.12-3.44 (3.24)	Feng et al., 2016
Yungui Plateau, China	Inland lakes	0.23-6.00 (1.57)	0.60-1.54 (0.93)	1.14-1.80 (1.37)	Zhang et al., 2010
Frasassi Caves, Italy	Cave water	1.79-3.28 (2.32)	0.80-1.12 (0.95)	~1. <del>880</del>	Birdwell and Engel, 2010
Springs in USA	Spring water	0.36-1.21 (0.76)	0.64-1.13 (0.87)	1.92-2.28 (2.09)	Birdwell and Engel, 2010
Gironde Estuary, France	Estuary	~4-17	0.6-0.8	1.14-1.22	Huguet et al., 2009
North Pacific Ocean	Ocean water	0.92-1.80 (1.49)	0. <del>8887</del> -1.38 (1. <del>000</del> )	1.54-1.77 (1.66)	Helms et al., 2013
Tai Mountain, China	Fog water	3.23-6.79 (4.8)	0.64-1.02 (0.87)	1.42-1.83 (1.63)	Birdwell and Valsaraj, 2010
Jiangnan Plain, China	Ground water	2.71-7.49 ( <del>5.26</del> )	0.88-0.97 ( <del>0.94</del> )	-	Huang et al., 2015
Colorado, USA	Aerosol in alpine sites	0.72-4.75 (2.42)	0.54-0.75 (0.65)	1.18-1.57 (1. <del>440</del> )	Xie et al., 2016
Granada, Spain	Urban aerosol	2.79-4.89 ( <del>3.83</del> )	-	1.48-1.64 ( <del>1.55</del> )	Mladenov et al., 2011

**Table 4.** Pearson's correlation coefficients (r) of major ions and  $F_{\max}$  for fluorescent components when excluding the data from site 67; the results for the entire dataset are shown in parentheses. Note: \* denotes  $p < 0.001$ .

	$\text{SO}_4^{2-}$	$\text{NO}_3^-$	$\text{nss-ndust-K}^+$
$F_{\max}(\text{C1})$	0.01 (0.14)	-0.10 (-0.04)	0.23 (0.48)
$F_{\max}(\text{C2})$	0.70* (0.72)	0.60* (0.57)	0.57* (0.69)
$F_{\max}(\text{C3})$	0.44 (0.42)	0.34 (0.23)	0.29 (0.68)

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