

1 **The physical properties of coarse soil fragment and their**  
2 **effects on permafrost dynamics: A case study on the**  
3 **central Qinghai-Tibetan Plateau**

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27 **Abstract.** Soils on the Qinghai-Tibetan Plateau (QTP) have distinct physical properties from  
28 agricultural soils due to weak weathering and strong erosion. These properties might affect  
29 permafrost dynamics. However, few studies have investigated both quantitatively. In this  
30 study, we selected a permafrost site on the central region of the QTP and excavated soil  
31 samples down to 200 cm. We measured soil porosity, thermal conductivity, saturated  
32 hydraulic conductivity and matric potential in the laboratory. Finally, we ran a simulation  
33 model replacing default sand or loam parameters with different combinations of these  
34 measured parameters. Results showed that coarse soil fragment content (diameter >2 mm)  
35 was ~55% on average in soil profile; soil porosity was less than 0.3; saturated hydraulic  
36 conductivity ranged from 0.004-0.03 mm s<sup>-1</sup>; saturated matric potential ranged from -14 to -

1 604 mm. When default sand or loam parameters were substituted with these measured values,  
2 the model errors of soil temperature, soil liquid water content, active layer depth and  
3 permafrost lower boundary were reduced. The root mean squared errors of active layer depths  
4 simulated using measured parameters, and the default sand and loam parameters were about  
5 0.28, 1.06, 1.83 m, respectively. Among these measured parameters, porosities, which were  
6 much smaller than soil textures used in land surface models, played a dominant role in  
7 reducing model errors. We also demonstrated that soil water dynamic processes should be  
8 considered, rather than using static properties under frozen and unfrozen soil states as in most  
9 permafrost models. We concluded that it is necessary to consider the distinct physical  
10 properties of soil and water dynamics on the QTP when simulating dynamics of permafrost. It  
11 is important to develop methods for systematic measuring physical properties of coarse soil  
12 fragment and to develop a spatial dataset for porosity because of its importance in simulating  
13 permafrost dynamics in this region.

14 **Key words:** Terrestrial Ecosystem Model; Active Layer; Sensitivity Test; Soil Temperature;  
15 Soil Water Content; Coarse soil fragment

## 16 1 Introduction

17 Permafrost covers 25% of the earth surface. Degradation of permafrost has been reported  
18 extensively in Alaska, Siberia and the Qinghai-Tibetan Plateau (QTP; Boike et al., 2013;  
19 Jorgenson et al., 2006; Wu and Zhang, 2010). It has global impacts by releasing large  
20 quantities of soil carbon previously preserved in a frozen state and enhancing concentrations  
21 of atmospheric greenhouse gases, which will promote further atmospheric warming and  
22 degradation of permafrost (Anisimov, 2007; McGuire et al., 2009). Permafrost dynamics also  
23 have local to regional impacts on ecosystems by altering soil thermal and hydrological  
24 regimes (Salmon et al., 2015; Wang et al., 2008; Wright et al., 2009; Ye et al., 2009; Yi et al.,  
25 2014a). In addition, degradation of permafrost affects infrastructure, e.g. QTP railways and  
26 roads (Wu et al., 2004), and the Trans-Alaska Pipeline System in Alaska (Nelson et al., 2001).  
27 Therefore, it is critical to develop mitigation and adaptation strategies in permafrost regions  
28 for ongoing climate change. Accurate projection of the degree of permafrost degradation is a  
29 prerequisite for developing these strategies.

30 Significant effort has been made to improve modeling accuracy and efficiency of  
31 permafrost dynamics along two primary lines of inquiry. One is to create suitable freezing and

1 thawing algorithms for different applications, including land surface models (Chen et al.,  
2 2015; Oleson et al., 2010; Wang et al., 2017), permafrost models (Goodrich, 1978; Langer et  
3 al., 2013; Qin et al., 2017) and other related models (Fox, 1992; Woo et al., 2004). The other  
4 line of inquiry is focused on schemes of soil physical properties (Chen et al., 2012; Zhang et  
5 al., 2011), which play a critical role in permafrost dynamics. For example, thermal diffusivity  
6 (thermal conductivity/heat capacity) directly determines how quickly energy can be  
7 conducted into and out of permafrost from the top and from the bottom of the permafrost  
8 horizon. Porosity determines the maximum amount of water that can be contained in a soil  
9 layer, and hydraulic properties determine the exchange of soil water between soil layers. The  
10 amount of water then affects not only soil thermal properties, but also determines the large  
11 amount of latent heat loss/gain for freezing/thawing. On the QTP, soil is coarse due to weak  
12 weathering and strong erosion (Arocena et al., 2012). Soils with gravel content (particle  
13 diameter >2 mm) has been reported in several studies (Wang et al., 2011; Wu et al., 2016;  
14 Yang et al., 2009; Qin et al., 2015; Chen et al., 2017; Du et al., 2017). These gravelly soil  
15 properties are different from those used in current modeling studies (Wang et al., 2013). For  
16 example, Soil properties in Community Land Model are calculated from fractions of sand, silt  
17 and clay based on measurements of agriculture soils (Oleson et al., 2010). However, those of  
18 gravelly soil on the QTP and their effects on permafrost dynamics are under studied (Pan et  
19 al., 2017).

20 In this study we investigated the characteristics of soil physical properties at a site on the  
21 central QTP and its effects on permafrost dynamics. We first measured soil physical properties  
22 of excavated soil samples in laboratory. We then conducted sensitivity analyses with an  
23 ecosystem model by substituting the default soil physical properties by those that we  
24 measured. We aimed to emphasize the effects of gravel content on soil physical properties and  
25 on permafrost dynamics. It is not our purpose to develop general schemes of soil physical  
26 properties for using in modeling studies on the QTP.

## 27 **2 Methods**

### 28 **2.1 Site description**

29 The site (34°49'46.2" N, 92°55'56.58" E, 4,628ma.s.l.) is located in the Beiluhe basin. This  
30 basin is in the continuous permafrost region of the central QTP (Figure 1a, Zou et al. 2017).  
31 Based on the soil map of Li et al. (2015), soil of this region belongs to Gelisols and  
32 Inceptisols, which occupy 34% and 28% of the total area of permafrost region of the QTP,

1 respectively. Land surface types include alpine meadow, alpine steppe, barren surface and  
2 thermokarst lakes (Figure 1b; Lin et al., 2011).

3 The site is on top of upland plain landforms, which are formed with fluvial and deluvial  
4 sediments. The surficial sediments are dominated by fine to gravelly sands and stones (Figure  
5 2; Yin et al., 2017). Soil of this site belongs to Inceptisols (Dr. Li, Wangping, personal  
6 communication). Mudstone is common beneath soil. The plant community type is mainly  
7 alpine meadow which is dominated by monocotyledonous species, primarily Poaceae and  
8 Cyperaceae. The dominant species are *Kobresia pygmaea*, accompanied *Elymus nutans*,  
9 *Carex moorcroftii*, *Oxytropis pusilla*, *Tibetia himalaica*, *Leontopodium nanum* and *Androsace*  
10 *tapete* (Figure 2c-e).

11 A weather station was set up in 2002 (Figure 2a). Air temperature and relative humidity  
12 (2.2m, HMP45C-L11 /L36, Campbell Scientific Inc.), solar radiation (MS-102, EKO),  
13 precipitation (QMR102, Vaisala Company) were measured. Soil temperatures were measured  
14 at depths of 5, 10, 20, 40, 80 and 160 cm using PT-100 (EKO); soil moistures were measured  
15 at depths of 20, 40, 80 and 160 cm using CS616-L50 (EKO). CR3000 data logger (Campbell  
16 Scientific Inc., USA) was used to store these data at an interval of 30 minutes. These halfhour  
17 values were averaged or summed (e.g. precipitation) into monthly values for model driving  
18 and validation. Based on measurements, multi-year mean annual air temperature, precipitation,  
19 downward solar radiation and relative humidity were -3.61 °C, 365.7 mm, 206.3 W/m<sup>2</sup> and  
20 51.1%, respectively (Figure 3). The multi-year mean summer (June to August)/winter  
21 (December to February) air temperature and precipitation were 5.27/-12.44 °C and 248.3/5.3  
22 mm, respectively. The multi-year mean annual, summer, winter soil temperature at 40/80 cm  
23 were 0.17/0.11, 6.65/4.32 and -7.15/-4.86 °C, respectively.

24 A borehole was drilled in 2002. Temperature thermistors made by the State Key  
25 Laboratory of Frozen Soil Engineering, Chinese Academy of Sciences were installed at  
26 depths between 0.5 m and 10 m with interval of 0.5 m; at depths between 12 m and 30 m with  
27 interval of 2 m; at depths between 34 m and 50 m with interval of 4 m; and at 55 and 60 m.  
28 Temperature accuracy of this type of thermistor is 0.05 °C (Wu et al., 2016). The  
29 temperatures were recorded on the 5th and 20th days of each month using CR3000 data  
30 logger (Campbell Scientific Inc., USA). Based on measurement, active layer depth is ~3.3 m  
31 and the lower boundary of permafrost is at a depth of ~20 m. The multi-year mean ground  
32 temperatures at 0.5, 12, and 60 m are about -0.52, -0.29 and 1.81 °C, respectively.

33

## 1 2.2 Soil sampling and measurement

2 Permafrost dynamics are affected by atmosphere, vegetation and soil textures, therefore, we  
3 excavated soil close to weather station and borehole (Figure 2a) down to 2 m (Figure 2b) in  
4 August 2014. We used cut rings (10 cm diameter, 6.37 cm height and 500 cm<sup>3</sup>) to take soil  
5 samples at depth ranges of 0-10, 10-20, 20-30, 40-50, 70-80, 110-120, 150-160, and 190-200  
6 cm. Three replicates were sampled from the top of each depth range and sealed for analysis in  
7 the laboratory. Above 120 cm in the soil pit, coarse soil material was small enough to be fitted  
8 in cut rings. Below 150 cm, there exists weathered mudstone, which could also be sampled with  
9 our cut rings. Based on the excavated soil pit and measured soil temperature, this site belongs to  
10 Inceptisols with suborder of Gelept (soil taxonomy, ST, Soil Survey Staff, 2014). The soil pit  
11 consists of A horizon (~20 cm), Bw horizon (~20-80 cm) and C material dominated by  
12 fractured bedrock.

13 We used the KD2 Pro (Decagon, US) to measure thermal conductivity of soil samples. The  
14 steps were: 1) soil samples were dried in oven and weighed (0.001g precision) to calculate bulk  
15 density; 2) soil samples were exposed to a constant temperature (20°C) over 24 h, a certain  
16 volume of water was injected into the soil samples, and the KD2 was used to measure the  
17 thermal conductivity of the soil samples; 3) samples and the KD2 probe were then put into a  
18 refrigerator (0~26°C) at -15°C over 12 h, thermal conductivity was then measured; 4) Steps 2  
19 and 3 were repeated at different levels of soil volumetric water content until soil samples were  
20 about to be saturated. 5) Finally, soil samples were immersed into water over 24 h and weighed  
21 to calculate porosity; and the saturated unfrozen and frozen thermal conductivity were then  
22 measured, accordingly. The bulk density (BD), porosity (PORO) and volumetric water content  
23 (VWC) were calculated with the following equations.

$$24 \quad BD = \frac{W_{dry} - W_{cr}}{V_{cr}} \quad (1)$$

$$25 \quad PORO = \frac{W_{sat} - W_{dry}}{V_{cr}} / \rho \quad (2)$$

$$26 \quad VWC = \frac{W_{all} - W_{dry}}{V_{cr}} / \rho \quad (3)$$

27 Where  $W_{dry}$ ,  $W_{sat}$ ,  $W_{all}$ ,  $W_{cr}$  are weight of over dried sample, saturated sample, sample with  
28 some water with cut ring, and empty cut ring (g), respectively.  $\rho$  is density of water (1  
29 g/cm<sup>3</sup>). We used pressure membrane instruments (1500F1, Soilmoisture Equipment Corp, US)  
30 to measure matric potential of soil samples (Azam et al., 2014; Wang et al., 2007). In this study,

1 we used both 15 bar and 5 bar pressure chamber. Pressure values were set at 0, 10, 20, 40, 60,  
2 80, 100, 150, 200, 300, and 400 kpa. It usually took 3-4 days to finish one measurement at one  
3 pressure level. We used soil permeability meter (TST-70, China) to measure saturated hydraulic  
4 conductivity of soil samples (Gwenzi et al., 2011). Finally, soil samples were sieved through  
5 meshes with diameters of 2.0 mm, and soil particle size distribution was determined with a  
6 Malvern laser diffraction analyzer (Malvern-2000, Instruments Inc, Worcestershire, UK).

## 7 **2.3 Model description**

8 The model used in this study is dynamic organic soil version of Terrestrial Ecosystem Model  
9 (DOS-TEM). Models of TEM family simulate the carbon and nitrogen pools of vegetation  
10 and soil, and their fluxes among atmosphere, vegetation and soil (McGuire et al., 1992). They  
11 have been widely used in studies of cold region ecosystems (e.g. McGuire et al., 2000; Yuan  
12 et al., 2012; Zhuang et al., 2004; 2010). The DOS-TEM consists of four modules, these being  
13 the environmental, ecological, fire disturbance, and dynamic organic soil modules (Yi et al.,  
14 2010). The environmental module operates on a daily time interval using mean daily air  
15 temperature, surface solar radiation, precipitation, and vapor pressure, which are downscaled  
16 from monthly input data (Yi et al., 2009b). The module takes into account radiation and water  
17 fluxes among the atmosphere, canopy, snow pack, and soil.

### 18 **2.3.1 Implementation of soil thermal processes**

19 Earlier versions of TEM did not simulate soil temperature (McGuire et al., 1992). Zhuang et  
20 al. (2001) incorporated Goodrich permafrost model into TEM. Yi et al. (2009a) incorporated a  
21 two-directional Stefan algorithm to simulate soil freezing and thawing for complex soil  
22 situation with changes of organic soil and moisture. Soil temperatures of all soil layers in the  
23 DOS-TEM are updated daily. Phase change is calculated first before heat conduction. A two-  
24 directional Stefan algorithm is used to predict the depths of freezing or thawing fronts within  
25 the soil (Woo et al., 2004). It first simulates the depth of the front in the soil column from the  
26 top downward, using soil surface temperature as the driving temperature. It then simulates the  
27 front from the bottom upward using the soil temperature at a specified depth beneath a front  
28 as the driving temperature (bottom-up forcing). The latent heat used for phase change is  
29 recorded for each soil layer. If a layer contains  $n$  freezing or thawing fronts, this layer is then  
30 explicitly divided into  $n+1$  soil layers. All soil layers are grouped into 3 parts: 1) the soil layers

1 above the uppermost freezing or thawing front; 2) ~~the soil layers~~ below the lowermost  
 2 freezing or thawing front; and 3) ~~the soil layers~~ between the uppermost and lowermost fronts.  
 3 Soil temperatures are then updated by solving finite difference equations of each part with  
 4 ~~phase change latent heat~~ as energy source or sink (Yi et al., 2014a). Soil surface temperature,  
 5 which is used as a boundary condition, is calculated using **daily** air maximum, air minimum,  
 6 radiation, and leaf area index (Yi et al., 2013).

7 The version of the DOS-TEM in this study uses the Côté and **Konard** (2005) scheme to  
 8 calculate thermal conductivity (Yi et al., 2013; Pan et al., 2017), which is also used by other  
 9 studies on the QTP (e.g. Chen et al., 2012, Luo et al., 2009).

$$10 \quad \lambda = \begin{cases} k_e \lambda_{sat} + (1 - k_e) \lambda_{dry} & s > 10^{-5} \\ \lambda_{dry} & s \leq 10^{-5} \end{cases} \quad (4)$$

11 where  $\lambda$ ,  $\lambda_{sat}$ ,  $\lambda_{dry}$  are soil thermal conductivity, saturated soil thermal conductivity, and dry  
 12 soil thermal conductivity ( $\text{W m}^{-1} \text{K}^{-1}$ ), respectively.  $k_e$  is Kersten number (Côté and Konrad,  
 13 2005).

$$14 \quad \lambda_{dry} = \chi 10^{-\eta \phi} \quad (5)$$

15 where  $\chi$  ( $\text{W m}^{-1} \text{K}^{-1}$ ) and  $\eta$  (no unit) are parameters accounting for particle shape effects,  
 16 which are specified for gravel, fine mineral and organic soil (Côté and Konard, 2005).  $\phi$  is  
 17 porosity.

$$18 \quad \lambda_{sat} = \begin{cases} \lambda_s^{1-\phi} \lambda_{liq}^\phi & T \leq T_f \\ \lambda_s^{1-\phi} \lambda_{ice}^\phi & T > T_f \end{cases} \quad (6)$$

19 where  $\lambda_{liq}$ ,  $\lambda_{ice}$ ,  $\lambda_s$  are thermal conductivity of liquid water, ice and **solid** ( $\text{W m}^{-1} \text{K}^{-1}$ ), which  
 20 are all constant values.  $T$  and  $T_f$  are temperature of soil and freezing point temperature of soil  
 21 ( $^\circ\text{C}$ ), **respectively**.

### 22 **2.3.2 Implementation of soil hydrological processes**

23 Surface runoff, infiltration, and water redistribution among soil layers are simulated in a  
 24 similar way as Community Land Model 4 (Oleson et al 2010). Soil matric potential ( $\Psi$ )  
 25 determines the direction of water movement. ~~And~~ hydraulic conductivity describes the ease  
 26 with which water can move through ~~soil pore~~.

$$27 \quad \Psi = \Psi_{sat} \left( \frac{\theta_{liq}}{\phi} \right)^{-B} \quad (7)$$

1 where  $\Psi_{sat}$  is saturated soil matric potential (mm H<sub>2</sub>O, hereafter mm),  $\theta_{liq}$  is volumetric  
2 liquid water content (m<sup>3</sup> m<sup>-3</sup>), and B is pore size distribution parameter.

$$3 \quad K = K_{sat} \left( \frac{\theta_{liq}}{\phi} \right)^{2B+3} \quad (8)$$

4 ~~where K is soil hydraulic conductivity, and  $K_{sat}$  is saturated soil hydraulic conductivity (mm s<sup>-1</sup>).~~

6 Several important features relating to permafrost have been considered in the DOS-TEM  
7 (see Yi et al., 2014b), e.g., runoff from perched saturated zone and exchanges of water  
8 between soil and a water reservoir. Runoff from the perched saturated zone above the  
9 permafrost is implemented following Swenson et al. (2013).

$$10 \quad Q_{perch} = \alpha k_p (z_{frost} - z_{perched}) \sin\left(\frac{\theta}{180} \pi\right) \quad (9)$$

11 Where  $\alpha$  is an adjustable parameter (0.6 m<sup>-1</sup>),  $K_p$  is the mean saturated hydraulic conductivity  
12 within perched saturated zone (mm s<sup>-1</sup>),  $z_{frost}$  and  $z_{perched}$  are the depths to permafrost table and  
13 perched water table (m), respectively, and  $\theta$  is slope (°).

14 The DOS-TEM has been verified against the Neumann Equation for water, mineral and  
15 organic soil under an idealized condition (Yi et al., 2014b), and validated against field  
16 measurements for various locations in Alaska, the Arctic, and the QTP (Yi et al., 2009b, Yi et  
17 al., 2013, Yi et al., 2014a).

## 18 **2.4 Model inputs and initialization**

19 We used the measured air temperature, downward radiation, precipitation and humidity  
20 (monthly) as input to drive the DOS-TEM. Leaf area index, one-sided green leaf area per unit  
21 ground surface area, was specified to be 0.6 m<sup>2</sup>m<sup>-2</sup> in July and August, 0.1 m<sup>2</sup>m<sup>-2</sup> in April and  
22 October, 0 m<sup>2</sup>m<sup>-2</sup> between November and March, and interpolated linearly in other months. It  
23 is used in the DOS-TEM to calculate ground surface temperature in combination with other  
24 meteorological variables (Yi et al., 2013). Its value is unchanged within each month.

25 Soil temperature and moisture were initialized at -1 °C and saturation. The temperature  
26 gradient at the bottom of bedrock was set to be 0.06 °C cm<sup>-1</sup> based on borehole observations.  
27 Volumetric unfrozen liquid water in winter was set to be 0.1 based on observations. Multi-  
28 year mean (2003-2012) monthly driving data were used for spin up for 100 yr. In this way,



1 proper initial values of soil moisture, temperature and rock temperature of each layer can be  
2 generated for the beginning of 2003. Finally, monthly driving data were used to drive DOS-  
3 TEM over the period of 2003-2012.

## 4 2.5 Sensitivity analyses

5 The soil textures on the QTP mainly consist of loam, sand and gravel (Wu and Nan, 2016).  
6 We used sand and loam in whole soil profile uniformly to represent coarse and fine soil  
7 textures, respectively. The parameters of coarse soil textures are not considered in most of the  
8 modeling studies (e.g. Oleson et al., 2010). Therefore, we used our measured parameters to  
9 substitute the parameters of sand and loam to investigate the effects of soil parameters on  
10 permafrost dynamics. We first ran the DOS-TEM using the default porosity, soil thermal  
11 conductivity (Equation 4), hydraulic conductivity (Equation 8) and matric potential schemes  
12 of these two soil textures (Equation 7). The default parameters  $\Phi$ ,  $\Psi_{\text{sat}}$ ,  $K_{\text{sat}}$  and B were  
13 calculated based on soil texture used in Community Land Model (Equation 7 and 8; Oleson et  
14 al., 2010). We then substituted the default values of  $\Phi$ ,  $\Psi_{\text{sat}}$ ,  $K_{\text{sat}}$  and B based on laboratory  
15 measurements and calibration. Saturated matric potential and B were fitted with measured  
16 matric potential data using Isqcurvefit tools of Matlab. We did not calibrate soil thermal  
17 conductivity to retrieve parameters of Equation 5 and 6. Instead, we interpolated measured  
18 thermal conductivity over a range of the degree of saturation (0 to 1), which was used as a  
19 lookup table by the DOS-TEM. Therefore, our sensitivity analyses considered a set of 4  
20 factors, i.e. porosity, matric potential ( $\Psi_{\text{sat}}$  and B), hydraulic conductivity ( $K_{\text{sat}}$  and B) and  
21 thermal conductivity. We also analyzed 3 different slopes (0, 5 and 10°) and 3 different soil  
22 thicknesses (3.25, 4.25 and 5.25 m) above 56 m of bed rock. There are 11 soil layers with the  
23 top 9 layers being 0.05, 0.1, 0.1, 0.2, 0.2, 0.2, 0.3, 0.3 and 0.3 m thick. The thicknesses of the  
24 bottom 2 soil layers are 0.5 and 1 m, 0.5 and 2 m, and 1.5 and 2 m for the 3.25, 4.25 and 5.25  
25 m cases, respectively. There are 6 rock layers with thicknesses of 2, 2, 4, 8, 16 and 20 m.  
26 Since the site is on the top of upland plain landforms, we did not further test the effects of  
27 aspect on radiation on ground surface. We considered the effects of slope on surface runoff. In  
28 summary, our sensitivity analyses with the DOS-TEM involved 288 different combinations of  
29 parameter values.

30 We did not measure the heat capacity. The maximum and minimum heat capacities of mineral  
31 soil types considered in land surface model are 2.355 and 2.136 MJ m<sup>-3</sup>, respectively. The

1 relative difference is less than 10%. Therefore, in this study, we did not make sensitivity tests  
2 using thermal diffusivity (the ratio between thermal conductivity and heat capacity).

### 3 3 Results

#### 4 3.1 Soil physical properties


##### 5 3.1.1 Soil porosity, particle size and bulk density

6 The mean weight ~~fraction of gravel~~ (particle size diameter > 2 mm) of different soil layers  
7 ranged from 0.38 to 0.65 with a mean of 0.55 ~~(Table 1)~~. According to the USDA  
8 classification system (clay (<2  $\mu$  m), silt (2–50  $\mu$  m, in this study 2–63  $\mu$  m) and sand (50  $\mu$   
9 m–2.0 mm, in this study 63  $\mu$  m–2.0 mm)), the major soil texture of this site was loamy sand,  
10 with the exception of sandy loam at depth of 20–30 cm ~~(Table 1)~~. The default porosities of  
11 sand and loam were 37.3% and 43.5%, respectively. The ~~mean~~ porosity of samples in 2 m  
12 depth ranged from 21% to 30% with a mean of 27%. ~~The mean~~ bulk density ranged from 1.61  
13 to 1.86 g cm<sup>-3</sup> with a mean of 1.74 g cm<sup>-3</sup>. No significant relationships were found among soil  
14 porosity, bulk density and the ~~fraction of gravel~~.

##### 15 3.1.2 Thermal conductivity

16 ~~The mean~~ unfrozen dry soil thermal conductivity of different soil layers ranged from 0.24 to  
17 0.40 W m<sup>-1</sup> K<sup>-1</sup> with a mean of 0.36 W m<sup>-1</sup> K<sup>-1</sup> ~~(Table 2)~~. ~~The mean~~ frozen dry soil thermal  
18 conductivity ranged from 0.25 to 0.41 W m<sup>-1</sup> K<sup>-1</sup> with a mean of 0.35 W m<sup>-1</sup> K<sup>-1</sup>. The  
19 difference of dry thermal conductivity between frozen and unfrozen states was small. The  
20 ~~mean~~ unfrozen saturated soil thermal conductivity of different soil layers ranged from 2.15 to  
21 2.74 W m<sup>-1</sup> K<sup>-1</sup> with a mean of 2.48 W m<sup>-1</sup> K<sup>-1</sup> ~~(Table 2)~~. ~~The mean~~ frozen saturated soil  
22 thermal conductivity ranged from 3.06 to 3.72 W m<sup>-1</sup> K<sup>-1</sup> with a mean of 3.33 W m<sup>-1</sup> K<sup>-1</sup>. The  
23 difference of saturated thermal conductivity between frozen and unfrozen states was about  
24 0.85 W m<sup>-1</sup> K<sup>-1</sup>. There existed a **threshold of soil wetness**, below which frozen soil thermal  
25 conductivity was slightly smaller than unfrozen soil (Figure 4a).

26 ~~The default dry frozen and unfrozen thermal conductivities using Côté and Konard (2005)~~  
27 ~~scheme of~~ sand and loam were about 0.42 and 0.24 W m<sup>-1</sup> K<sup>-1</sup>, respectively. The saturated  
28 frozen and unfrozen thermal conductivities of sand were 3.11 and 1.90 W m<sup>-1</sup> K<sup>-1</sup>,

1 respectively. Those of loam were about 2.36 and 1.33 W m<sup>-1</sup> K<sup>-1</sup>, respectively (~~Figure 4b~~).  
2  The default dry frozen and unfrozen thermal conductivities using Farouki scheme of sand and  
3 loam were about 0.97 and 0.63 W m<sup>-1</sup> K<sup>-1</sup>, respectively. The saturated frozen and unfrozen  
4 thermal conductivities of sand were 5.21 and 3.18 W m<sup>-1</sup> K<sup>-1</sup>, respectively. Those of loam  
5 were about 4.49 and 2.52 W m<sup>-1</sup> K<sup>-1</sup>, respectively (~~Figure 4c~~).

### 6 **3.1.3 Saturated hydraulic conductivity**

7 The mean saturated hydraulic conductivity of soil layers ranged from 0.0036 to 0.0315 mm s<sup>-1</sup>.  
8 The maximum saturated hydraulic conductivity was about 8.7 times larger than the minimum  
9 (~~Table 3~~). The saturated hydraulic conductivity tended to be larger with increasing proportion  
10 of coarse fragment in the soil samples (Figure 5a), and was about 0.03-0.06 mm s<sup>-1</sup> for some  
11 samples with coarse fragment greater than 70%. The default saturated hydraulic  
12 conductivities of sand and loam were 0.024 and 0.0042 mm s<sup>-1</sup>, respectively.

### 13 **3.1.4 Matric potential**

14 The correlation coefficients between calculated and fitted matric potential were all greater  
15 than 0.96. The mean absolute value of saturated matric potential of soil layers ranged from  
16 **27.02** to 603.7 mm, and those of B ranged from 5.22 to ~~1.89~~ (Table 3 and ~~Figure 5b~~). The  
17 default absolute value of saturated matric potential of sand and loam were 47.29 and 207.34  
18 mm, respectively, and the B values 3.39 and 5.77, respectively.

## 19 **3.2 Comparisons between simulations using default vs. measured parameters**

### 20 **3.2.1 Soil temperature**

21 The mean root mean squared errors (RMSEs) between monthly measured soil temperatures  
22 and model runs with measured parameters using different combination of soil thicknesses  
23 (3.25, 4.25 and 5.25 m) and slopes (0, 5 and 10°) were about 1.07 °C at 20 cm (Figure 6c).  
24 The mean RMSEs for all model runs with default sand and loam parameters were about 0.97  
25 and 1.18 °C, respectively. For other soil layers, the RMSEs of model runs with measured  
26 parameters were much smaller than those with default sand and loam parameters (Figure 6d-1).  
27 The simulated soil temperatures using default sand and loam parameters were all lower than  
28 measured ones in summer at 100 and 200 cm; and in winter at 400 cm. The RMSEs can be as  
29 large as 2.53 °C (Figure 6e).

1 The standard deviations of soil temperatures among different slopes and soil thicknesses  
2 using measured parameters were larger than those using the default parameters (Figure 6); and  
3 they increased from 0.40 °C at 100 cm to 0.61 °C at 200 cm (Figure 6f and i). The standard  
4 deviations using default loam parameters were smaller (<0.15 °C at all depths) than those  
5 using default sand parameters.

### 6 **3.2.2 Soil liquid water**

7 The mean RMSEs between monthly measured liquid soil volumetric water content (VWC)  
8 and model simulations with measured parameters ranged from 0.03 to 0.09, which were  
9 smaller than RMSEs for sand and loam parameters (Figure 7). The model simulations for  
10 loam parameters have larger RMSEs than those for sand parameters. VWCs were always  
11 overestimated in warm seasons at depths of 10, 40 and 80 cm. VWCs were underestimated at  
12 a depth of 160 cm, where the simulated soil was frozen. All model simulations overestimated  
13 VWC at 40 cm, where the maximum measured VWCs were about 0.1 (Figure 7d-f).

14 The standard deviations of VWC among different slopes and soil thicknesses using sand  
15 parameters were about 0.077, which were larger than those using measured parameters  
16 (~0.062). The standard deviations of VWC using loam parameters (<0.032) were less than  
17 those using measured parameters.

### 18 **3.2.3 Active layer depth (ALD)**

19 The mean RMSEs between measured ALDs (derived from linear interpolation of soil  
20 temperatures) and modelled ALDs (simulated explicitly) were about 1.06, 1.72 and 0.28 m for  
21 model runs with sand, loam and measured parameters (Figure 8a). The mean standard  
22 deviations were about 0.088, 0.026 and 0.28 m. All simulations using sand and loam  
23 parameters underestimated ALDs.

### 24 **3.2.4 Permafrost lower boundary (PLB)**

25 The mean RMSEs between measured PLBs (derived from linear interpolation of temperatures)  
26 and modelled PLBs (derived from linear interpolation of simulated bed rock temperatures)  
27 were about 10.25, 10.23 and 6.71 m for model runs with sand, loam and measured parameters  
28 (Figure 6b). The mean standard deviations were about 1.89, 1.51 and 6.62 m. All simulations  
29 using sand and loam parameters overestimated PLBs.

### 1 **3.3 Model sensitivity analyses**

2 Deep soil layers used in models are usually specified as being thick. For example, a 1 m thick  
3 soil layer was used in our simulations starting around 3 m soil depth. Soil temperatures at this  
4 depth are usually close to 0°C. Therefore, the RMSEs of deep soil layers were small and did  
5 not facilitate evaluation of model sensitivities. In the following subsections, we used 20 and  
6 100 cm soil temperatures, ALDs and PLBs for sensitivity analysis.

#### 7 **3.3.1 Effects of single parameter sensitivity analyses**

##### 8 **Porosity**

9 Replacing default sand or loam porosity with measured porosities changed mean RMSEs of  
10 soil temperatures (model runs with 3 different slopes and 3 different soil thicknesses at 2  
11 different soil depths) from 1.18 or 1.84 °C to 1.25 or 1.09 °C, respectively (Figure 9 and 10).  
12 Mean RMSEs of ALD were reduced from 1.06 or 1.72 m to 0.22 or 0.85 m, respectively.  
13 Mean RMSEs of PLB were changed from 10.26 or 10.24 m to 6.61 or 10.97 m. Mean  
14 RMSEs of VWC were reduced from 0.074 or 0.14 to 0.06 or 0.062 when measured porosities  
15 were used for replacing default sand or loam porosity, respectively (Figure 11 and 12).

##### 16 **Thermal conductivity**

17 Replacing default sand or loam thermal conductivity with measured thermal conductivity  
18 reduced mean RMSEs of soil temperatures from 1.18 or 1.84°C to 1.02 or 1.15°C,  
19 respectively (Figure 9 and 10). Mean RMSEs of ALD were reduced from 1.06 or 1.72 m to  
20 0.56 or 1.04 m, respectively. Mean RMSEs of PLB were changed from 10.26 or 10.24 m to  
21 4.18 or 1.27 m, respectively. Mean RMSEs of VWC changed very slightly (Figure 11 and 12).

##### 22 **Hydraulic conductivity/Matric potential**

23 Replacing default sand or loam hydraulic conductivity with measured parameters had very  
24 small effects on mean RMSEs of soil temperatures and ALDs (Figure 9 and 10). The same  
25 was true for matric potential. When hydraulic conductivity of default sand or loam was  
26 substituted, mean RMSEs of PLB ~~were~~ decreased or increased, ~~however,~~ when matric  
27 potential was substituted, mean RMSEs of PLBs ~~were~~ increased or decreased, respectively.  
28 When hydraulic conductivity or matric potential parameters were substituted in default sand  
29 or loam parameters, mean RMSEs of VWC changed slightly (Figure 11 and 12).

### 1 **3.3.2 Effects of combined parameters**

2 We compared model simulations with different combinations of measured parameters  
3 (porosity, thermal conductivity, hydraulic conductivity and matric potential) ~~with~~ those with  
4 one substituted measured parameter. We ranked those model runs with less RMSEs than ~~any~~  
5 of ~~model runs with one substituted measured parameter~~ (Table 4 and 5). We didn't consider  
6 the 10 cm soil temperature, which were similar among all model runs.

7 For sand, model simulations with porosity and thermal conductivity or hydraulic  
8 conductivity substituted had 4 outcomes with lower RMSEs (Table 4 and Figures 9 and 11).  
9 Only 2 out of 7 outcomes had lower RMSEs with all 4 parameters ~~were~~ substituted. Among  
10 all the 18 cases with RMSEs less than the individual "best" RMSE, porosity was included 18  
11 times, followed by **thermal conductivity and hydraulic conductivity with 10** times.

12 For loam, model simulations with porosity and thermal conductivity substituted had 5  
13 outcomes with lower RMSEs (Table 5 and Figures 10 and 12). Among all the 27 cases with  
14 RMSEs less than the individual "best" RMSE, porosity was included 27 times, followed by  
15 thermal conductivity with 16 times and matric potential with 14 times.

### 16 **3.3.3 Effects of slope and soil thickness**

17 Changes of slope alone had small effects on simulated soil temperatures and ALDs (Figures 9  
18 and 10). An increase of slope generally reduced RMSEs of VWCs (Figures 11 and 12). Model  
19 simulations with porosity substituted had smaller difference ~~of~~ VWC RMSE between  
20 different cases of slopes. For example, the mean RMSEs of model simulations with slope of  
21  $0^\circ$  or  $5^\circ$  and ~~porosity substituted in default~~ sand parameters were 0.078 or 0.048, respectively.  
22 While those with porosity not substituted were 0.141 or 0.055, respectively. Similarly, the  
23 mean RMSEs of model simulations using default loam parameters with porosity substituted  
24 were 0.08 or 0.05 for slope of  $0^\circ$  or  $5^\circ$ , respectively. The mean RMSEs were 0.18 or 0.1 with  
25 porosity not substituted, respectively. For a further increase of slope to  $10^\circ$ , changes of  
26 RMSEs of VWCs at depths of 10-160 cm were small.

27 Soil thickness had small effects on 20 and 100 cm soil temperatures and 10-160 cm VWCs,  
28 and it had prominent effects on PLB for a few cases only with a slope of  $10^\circ$  (Figures 9 and  
29 10).

## 1 **4 Discussion**

### 2 **4.1 Characteristics of soil physical properties**

3 Although the effects of coarse fragment soil on permafrost dynamics have been considered in  
4 a few modelling studies, the thermal and hydraulic properties of coarse fragment soil were  
5 calculated without validation or calibration (Pan et al., 2017; Wu et al., 2018). To our  
6 knowledge, this is the first study measuring physical properties of coarse fragment soil  
7 samples from permafrost region of the QTP.

8 The weight fraction of coarse fragment (diameter > 2mm, including gravel) in the soil  
9 samples we analysed was greater than 55% on average. While the typical soil types  
10 considered in land surface models and other models usually have much smaller diameter. For  
11 comparison, the fractions of gravel considered in Pan et al. (2017) ranges from 5% to 33%  
12 and from 10% to 28% for the Madoi and Naqu sites, respectively. The Beiluhe site and the  
13 aforementioned sites are located in regions with Gelisols and Inceptisols, which occupy ~62%  
14 of the permafrost regions of the QTP (Li et al., 2015). It is possible that coarse fragment soil  
15 commonly exists on the QTP. The dataset of Wu and Nan (2016) indicated that gravel content  
16 widely exists on the middle and west part of the QTP. The saturated hydraulic conductivity  
17 and matric potential of soil samples measured in this study were more similar to sand than to  
18 loam (see Section 3.1). It is consistent with the study of Wang et al. (2013) that coarse soil  
19 material has poor water holding capability.

20 The measured thermal conductivities of saturated soil samples were relatively close to  
21 those estimated by the Côté and Konard (2005) scheme. But they were much less than those  
22 estimated by the Farouki scheme (Figure 4). Several other studies also found that Farouki  
23 scheme overestimated soil thermal conductivity (Chen et al. 2012; Luo et al., 2009).

24 One important finding of this study is the relatively small value of porosity. The measured  
25 porosity ranged from 0.206 to 0.302, which is less than those of soil types considered in land  
26 surface models. For example, the porosities of mineral soil types considered in Community  
27 Land Model range from 0.37 to 0.48 (Oleson et al., 2010). Porosity determines the maximum  
28 water stored in a soil layer, and affects soil thermal conductivity, hydraulic conductivity and  
29 matric potential (Equation 5-8). It plays a more important role than other parameters in  
30 simulated soil thermal and hydrological dynamics (Table 4 and 5; Figure 9-12). It is  
31 noteworthy that it is easy and efficient to measure porosity.

## 1 **4.2 Effects of soil water on permafrost dynamics**

2 Soil water not only affects soil thermal properties, e.g. thermal conductivity and heat capacity,  
3 but also affects the amount of latent heat lost or gained, for freezing or thawing, respectively  
4 (Goodrich, 1978; Farouki, 1986). Soil water is determined by infiltration, evapotranspiration,  
5 water movement among soil layers, subsurface runoff and exchange with a water reservoir.  
6 Therefore, processes or parameters that affect soil water dynamics will also affect permafrost  
7 dynamics. This study quantitatively assessed the effects of soil water on permafrost dynamics.  
8 For example, when default loam parameters with high porosity and low saturated hydraulic  
9 conductivity were used, soil layers were almost saturated (Figure 7). The simulated ALDs  
10 were about 1.58 m, which was less than half of measured ALDs (Figure 8a). When the slope  
11 was 0°, subsurface runoff didn't happen in saturated zone above the bottom of the active layer.  
12 The simulated soil water content was generally higher in the active layer. However, when the  
13 slope was 5°, the simulated soil water content was less and the RMSE was smaller (Figure 11  
14 and 12). These patterns were especially obvious when both porosity and saturated hydraulic  
15 conductivity were large (Equation 9; Figure 11 and 12). Other studies have also emphasized  
16 the importance of subsurface runoff above the bottom of the active layer (Frey and  
17 McClelland, 2009; Walvoord and Striegl, 2007). The effects of soil water content on soil  
18 thermal dynamics increased with soil and rock depth (Figure 9 and 10). The biggest effects  
19 were on PLB, which became manifest during long-term spinup procedures.

20 Land surface models generally represent soil water dynamics (e.g. Chen et al., 2015;  
21 Oleson et al., 2010; Wang et al., 2017). However the thermal processes in permafrost models  
22 usually use specified thermal properties, which were static during model simulations (Li et al.,  
23 2009; Nan et al., 2005; Qin et al., 2017; Zou et al., 2017). As shown in this study, when  
24 permafrost degraded, the thermal and hydrological regimes of soil also changed. It is critical  
25 to simulate soil water dynamics to properly project permafrost dynamics in the future.

## 26 **4.3 Limitations and Outlook**

### 27 **4.3.1 Sampling and laboratory measurement**

28 We used cut rings with 10 cm diameter to take soil samples. There are weathered mudstones  
29 in our study site, which can be sampled in cut rings. However, it is very likely that there are



1 ~~soil samples with~~ much bigger coarse soil fragment. Therefore, larger containers should be  
2 used to take samples for further laboratory analysis in the future.

3 During our laboratory work, we found two phenomena. First, we originally used the QL-  
4 30 thermophysical instrument to measure thermal conductivity. It worked properly under  
5 unfrozen condition. However, when frozen, surface of soil samples was uneven due to frost  
6 heave. ~~The contact between plate of QL-30 and soil sample surface was not ideal.~~ The  
7 measured frozen thermal conductivities were smaller than unfrozen thermal conductivity even  
8 for the case of saturation, which were definitely wrong. The second phenomenon was that  
9 there seems to be a threshold of soil wetness, below which unfrozen soil thermal conductivity  
10 is greater than frozen soil thermal conductivity (Figure 4a). This pattern was somewhat  
11 exhibited in estimates of the Côté and Konard (2005) scheme, but not in the estimates of the  
12 Farouki scheme (Figure 4c). More measurements using instruments with higher accuracy  
13 should be made in the future.

#### 14 **4.3.2 Model simulation**

15 Although the DOS-TEM using measured parameters provided satisfactory results, there are  
16 some aspects requiring further improvement in the future. For example, the measured soil  
17 moistures at 40 cm depth were less than  $0.1 \text{ m}^3/\text{m}^3$ . However, the simulated soil moistures  
18 were always much greater (Figure 7f). There were spikes of measured soil moistures at 80 and  
19 160 cm depths, which were not presented in simulation (Figure 7 i and l). In the DOS-TEM,  
20 the unfrozen soil water content, or supercold water, was prescribed to be  $0.1 \text{ m}^3/\text{m}^3$ . When  
21 soil is freezing, if soil liquid water content is less than this value, no phase change will happen  
22 (Figure 7k). ~~It is ideal to~~ simulate the dynamics of unfrozen soil water content (Romanovsky  
23 and Osterkamp, 2000).

24 Field studies have shown that coarse soil fragment content in root zone affects vegetation  
25 growth (Qin et al., 2015), which affects ground surface temperature (Yi et al., 2013). In the  
26 current study, we used specified leaf area index. The fractions of coarse fragment content in  
27 soil are also dynamic. For example, Chen et al. (2017) found that plateau pika excavated  
28 subsurface soil with gravel on to surface. Fine soil particles were carried away by wind and  
29 water erosion, which resulted in gravel remaining at the surface. Our ongoing research is  
30 working towards representing the coupling of vegetation growth, small mammal disturbances,  
31 and soil erosion on permafrost dynamics of the QTP in the future.

### 1 4.3.3 Regional applications

2 Soil texture plays an important role in permafrost dynamics (Figure 8). However, the  
3 dominant soil texture on the QTP from Wu and Nan (2016) is loam, sand and gravel. The  
4 specification of loam in simulations results in estimates of ALD that are much smaller than  
5 measurements (Yi et al., 2014a). To properly simulate the distribution and dynamics of  
6 permafrost on the QTP under climate change scenarios, it is important to develop proper  
7 schemes of soil physical properties in relation to coarse fragment content (including gravel)  
8 and to develop regional datasets of soil texture for input.

9 Coarse fragment content affects soil physical properties. For example, soil porosity and  
10 saturated hydraulic conductivity are determined by the fraction of gravel, diameter and degree  
11 of mixture (Zhang et al., 2011). Organic soil carbon content in mineral soil on the QTP affects  
12 soil porosity and thermal conductivity (Chen et al., 2012). Alpine swamp meadow, alpine  
13 meadow, alpine steppe and alpine desert are the major vegetation types on the QTP (Wang et  
14 al., 2016; see also Figure 1b). Alpine swamp meadow and alpine meadow usually contain fine  
15 soil particles and high organic carbon density; while the other two types usually contain  
16 coarse soil particle and low organic carbon density (Qin et al., 2015). More laboratory work is  
17 needed to develop proper schemes for representing mixed soil with fine mineral, coarse  
18 fragment (including gravel) and organic carbon in permafrost models. It is the first priority to  
19 develop schemes that make use of porosity data sets, due to its importance and simplicity of  
20 measurement.

21 The development of a spatially explicit dataset of soil texture is also required for regional  
22 applications of projecting permafrost changes on the QTP. One way is to collect relevant data  
23 through extensive field campaigns (e.g., Li et al., 2015). Currently, gravelly soil has only been  
24 mentioned in scientific literature on the QTP (Chen et al., 2015; Wang et al., 2011; Yang et al.,  
25 2009). Only recently, an preliminary dataset considering gravel has been created (Wu and  
26 Nan, 2016). Ground penetrating radar is a feasible tool to retrieve soil thickness above coarse  
27 soil fragment layer (Han et al., 2016). Unmanned aerial vehicles has been used recently (Yi,  
28 2017), and coarse soil fragment on the ground surface can be identified easily in aerial photos  
29 (Chen et al., 2017). In combination with ancillary datasets, e.g. geomorphology, topography,  
30 vegetation, it is possible to improve the accuracy of spatial datasets of soil texture on the QTP  
31 (Li et al., 2015; Wu et al., 2016). Another way is to retrieve soil physical properties using data

1 assimilation technology, e.g., Yang et al. (2016) assimilated porosity using a land surface  
2 model and microwave data.

### 3 **5 Conclusions**

4 In this study, we excavated soil samples from a permafrost site on the central QTP and  
5 measured soil physical properties in laboratory. Coarse soil fragment content was common in  
6 the soil profile and porosity was much smaller than the typical soil types used in land surface  
7 models. We then performed sensitivity analysis of these parameters on soil thermal and  
8 hydrological processes within a terrestrial ecosystem model. When default sand or loam  
9 parameters were substituted with measured soil properties, the model errors of soil  
10 temperature, soil liquid water content, active layer depth and permafrost low boundary were  
11 generally reduced. Sensitivity analyses showed that porosity played a more important role in  
12 reducing model errors than other soil properties examined. Though it is unclear how  
13 representative this soil is in the QTP, it is clear that soil physical properties specific to the  
14 QTP should be used to properly project permafrost dynamics into the future.

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- 29

1 **Table 1.** The mean (standard deviation) of measured soil bulk density, porosity, and particle  
 2 size diameter fractions (>2 mm means the weight fraction between soil particles greater than  
 3 2 mm and total soil sample; while other fraction means the ratio between soil sample weight  
 4 of a size range and the weight of particles < 2mm) and soil texture (based on USDA  
 5 classification) of different layers based on soil samples in this study.

Layer (cm)	Bulk density (g cm <sup>-3</sup> )	Porosity (%)	Fractions of particle in each diameter range				Texture
			>2 mm	<2 μ m	2-63 μ m	>63 μ m	
0—10	1.74 (0.21)	28.4 (0.03)	0.38 (0.07)	0.05 (0.02)	0.18 (0.04)	0.77 (0.07)	Loamy sand
10—20	1.81 (0.11)	27.7 (0.02)	0.52 (0.14)	0.07 (0.05)	0.20 (0.05)	0.72 (0.11)	Loamy sand
20—30	1.86 (0.32)	30.2 (0.05)	0.55 (0.17)	0.07 (0.01)	0.24 (0.08)	0.69 (0.09)	Sandy loam
40—50	1.61 (0.23)	29.6 (0.02)	0.55 (0.19)	0.04 (0.02)	0.26 (0.11)	0.70 (0.13)	Loamy sand
70—80	1.62 (0.20)	20.6 (0.11)	0.65 (0.16)	0.04 (0.02)	0.25 (0.07)	0.71 (0.09)	Loamy sand
110—120	1.75 (0.09)	27.7 (0.01)	0.63 (0.05)	0.03 (0.02)	0.19 (0.08)	0.79 (0.09)	Loamy sand
150—160	1.70 (0.15)	26.3 (0.02)	0.63 (0.09)	0.02 (0.01)	0.13 (0.03)	0.85 (0.04)	Loamy sand
190—200	1.81 (0.09)	27.1 (0.02)	0.50 (0.19)	0.05 (0.05)	0.24 (0.14)	0.71 (0.19)	Loam y sand

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1 **Table 2.** The mean (standard deviation) of the measured frozen and unfrozen dry and  
 2 saturated soil thermal conductivity ( $\text{W m}^{-1} \text{K}^{-1}$ ) of different soil layers.

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Layer (cm)	Dry		Saturated	
	Unfrozen	Frozen	Unfrozen	Frozen
0-10	0.238 (0.09)	0.414 (0.09)	2.322 (0.17)	3.122 (0.48)
10~20	0.340 (0.04)	0.365 (0.23)	2.147 (0.47)	3.193 (0.55)
20-30	0.395 (0.07)	0.420 (0.11)	2.743 (0.38)	3.059 (0.29)
40-50	0.346 (0.00)	0.388 (0.14)	2.539 (0.30)	3.184 (0.33)
70-80	0.340 (0.03)	0.289 (0.12)	2.589 (0.16)	3.362 (0.38)
110-120	0.400 (0.06)	0.271 (0.07)	2.616 (0.11)	3.721 (0.05)
150-160	0.401 (0.01)	0.248 (0.07)	2.246 (0.19)	3.647 (0.48)
190-200	0.399 (0.26)	0.392 (0.14)	2.609 (0.12)	3.329 (0.19)

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1 **Table 3.** The mean (standard deviation) of measured saturated hydraulic conductivity ( $K_{sat}$ ;  
 2 mm s<sup>-1</sup>) and fitted absolute value of saturated matric potential ( $\Psi_{sat}$ ; mm), fitted pore size  
 3 distribution parameter (B) and the correlation coefficients ( $R^2$ ) between calculated matric  
 4 potential using fitted equations and measured.

5

Layer (cm)	$K_{sat}$	Matric potential		
		$\Psi_{sat}$	B	$R^2$
0-10	0.0285 (0.0274)	49.14	4.03	0.991
10~20	0.0056 (0.0036)	70.66	4.49	0.996
20-30	0.0047 (0.0027)	27.02	5.22	0.994
40-50	0.0078 (0.0043)	143.4	3.59	0.994
70-80	0.0072 (0.0054)	179.6	3.22	0.993
110-120	0.0315 (0.0054)	603.7	1.89	0.969
150-160	0.0053 (0.0028)	49.17	2.97	0.993
190-200	0.0036 (0.0023)	14.47	4.565	0.989

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1 **Table 4.** Model performance of substituting default sand parameters with measured porosity  
 2 (I), thermal conductivity (II), hydraulic conductivity (III) and matric potential (IV) .

	Best	I+II	I+	I+	II+	II+	III+	I+	I+	I+	II	All
			III	IV	III	IV	IV	II+	II+	III	+III	
								III	IV	+IV	+IV	
100 cm ST	II											
ALD	I		1									
PLB	II	1	2									
10 cm SM	I	7	2	4				1	5	6		3
40 cm SM	I											
80 cm SM	I	7	1	4				2	6	5		3
<b>160 cm</b> <b>SM</b>	I	1										

3 **Note:** Best column showed the model simulations (individual parameter substitution) with the  
 4 smallest root mean squared error (RMSE) for 100 cm soil temperature (ST, °C), active layer  
 5 depth (ALD, m), permafrost low boundary (PLB, m), 10, 40, 80 and 160 cm soil liquid water  
 6 content (SM, -); Number indicated the combination of parameters (+) had smaller RMSE  
 7 than the best model run using individual parameter substitution. All indicated the combination  
 8 of all 4 parameters. The smallest number indicated the smallest RMSE.

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1 **Table 5** Model performance of substituting default loam parameters with measured porosity  
 2 (I), thermal conductivity (II), hydraulic conductivity (III) and matric potential (IV) .

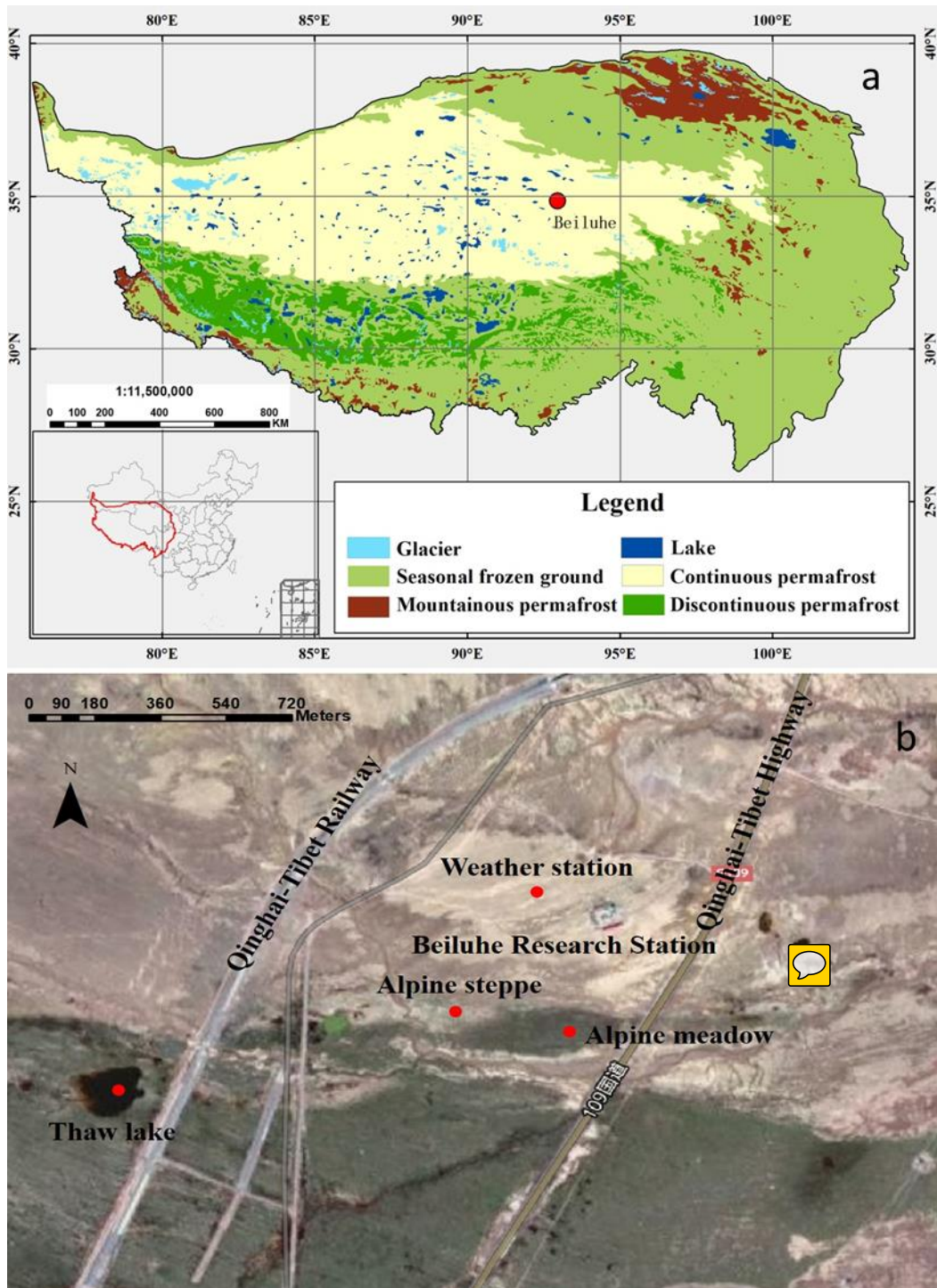
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	Best	I+	I+	I+	II+	II+	III+	I+	I+	I+	II	All
		II	III	IV	III	IV	IV	II+	II+	III	+III	
								III	IV	+IV	+IV	
100 cm ST	I	1		2					3			
ALD	I	3	5					1	2	6		4
PLB	II											
10 cm SM	I	7	6	1				5	2	4		3
40 cm SM	I	5	7	1				6	3	4		2
80 cm SM	I											
160 cm SM	I	1	3					2				

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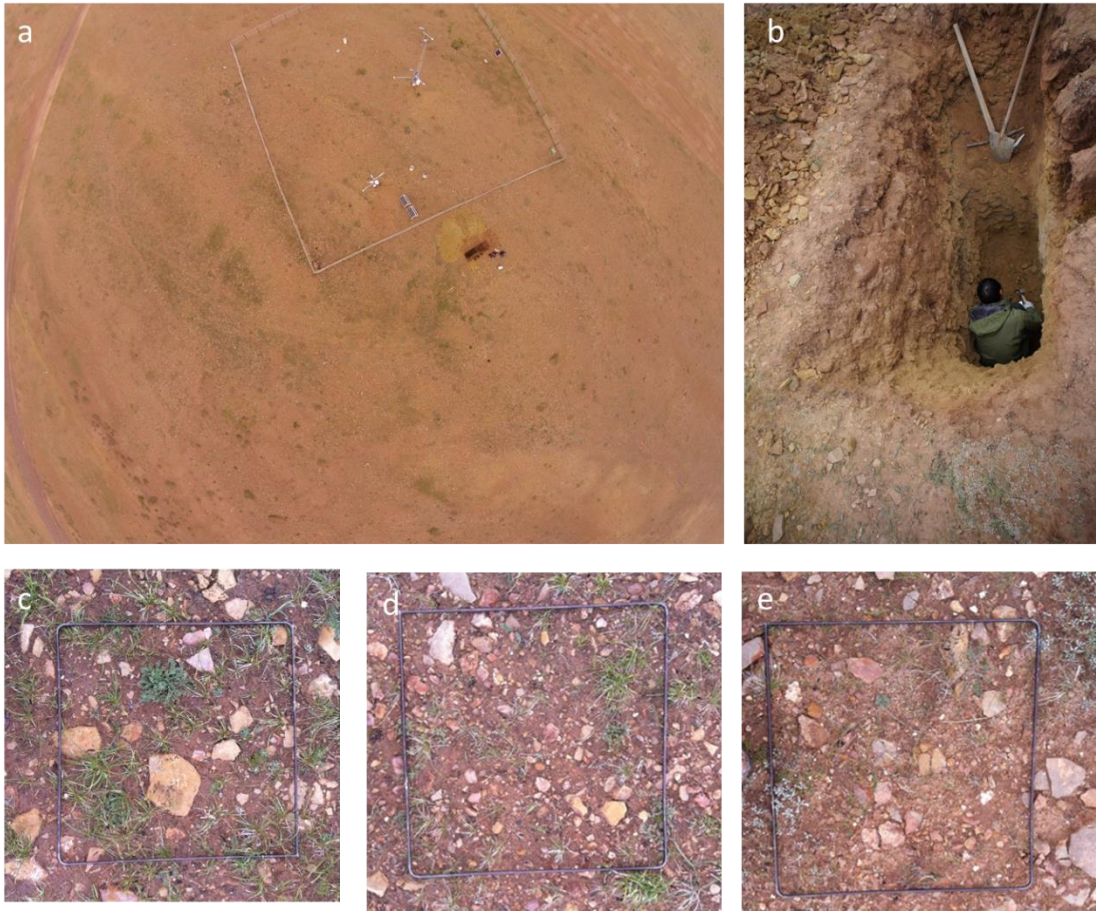
5 **Note:** Best column showed the model simulations (individual parameter substitution) with the  
 6 smallest root mean squared error (RMSE) for 100 cm soil temperature (ST, °C), active layer  
 7 depth (ALD, m), permafrost low boundary (PLB, m), 10, 40, 80 and 160 cm soil liquid water  
 8 content (SM, -); Number indicated the combination of parameters (+) had smaller RMSE  
 9 than the best model run using individual parameter substitution. All indicated the combination  
 10 of all 4 parameters. The smallest number indicated the smallest RMSE.

1 **Figure 1. a)** The location of Beiluhe permafrost station on the Qinghai-Tibetan Plateau; **b)**  
2 the googlemap of the weather station and the surrounding environment.



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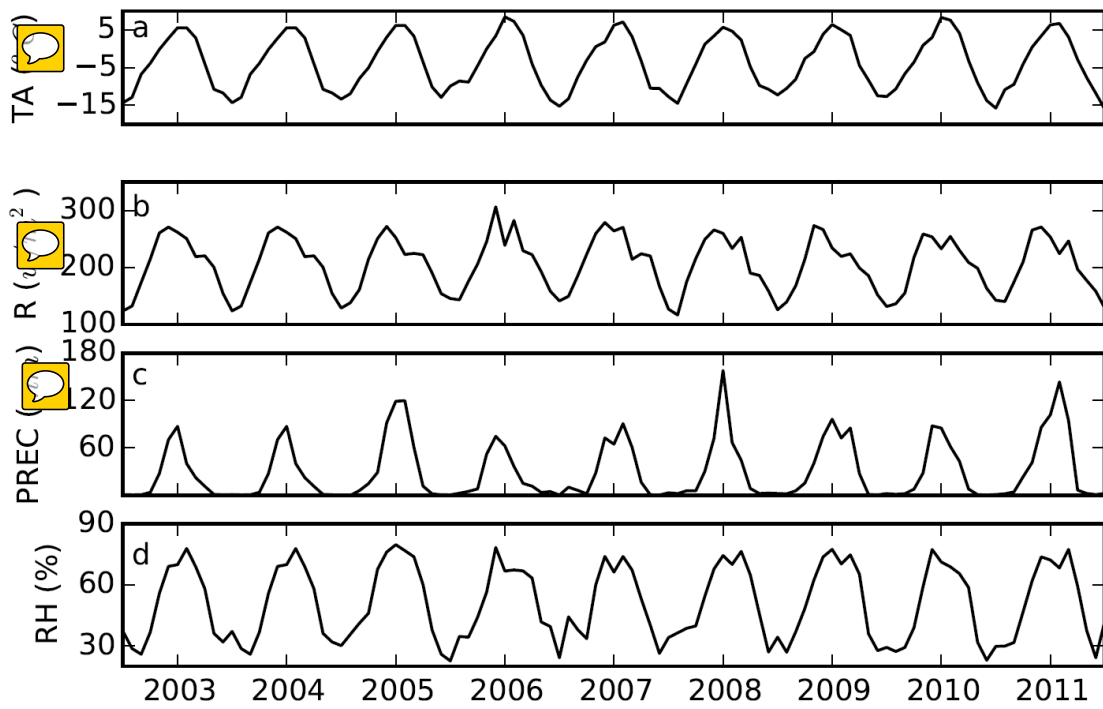
1 Figure 2. a) the aerial view of the weather station and the excavated soil pit; the borehole is  
2 located in the lower left corner of white fence; b) the detailed view of the excavated soil pit;  
3 and c)-e) examples of vegetation, gravel and stones (iron frame is about 0.5 m×0.5 m).



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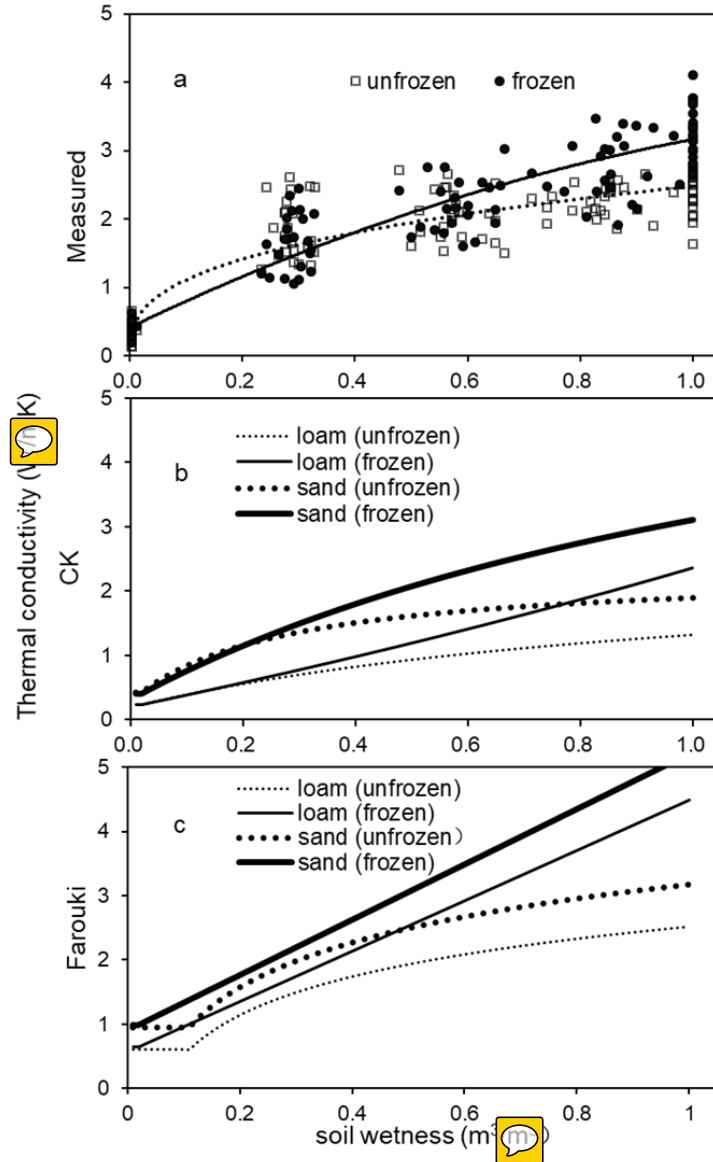


1 Figure 3. a) air temperature (TA, °C); b) downward solar radiation (R, w/m<sup>2</sup>); c) precipitation  
2 (PREC, mm) and d) relative humidity (RH, %) measured on Beiluhe weather station on the  
3 Qinghai-Tibet Plateau from 2003 to 2011.



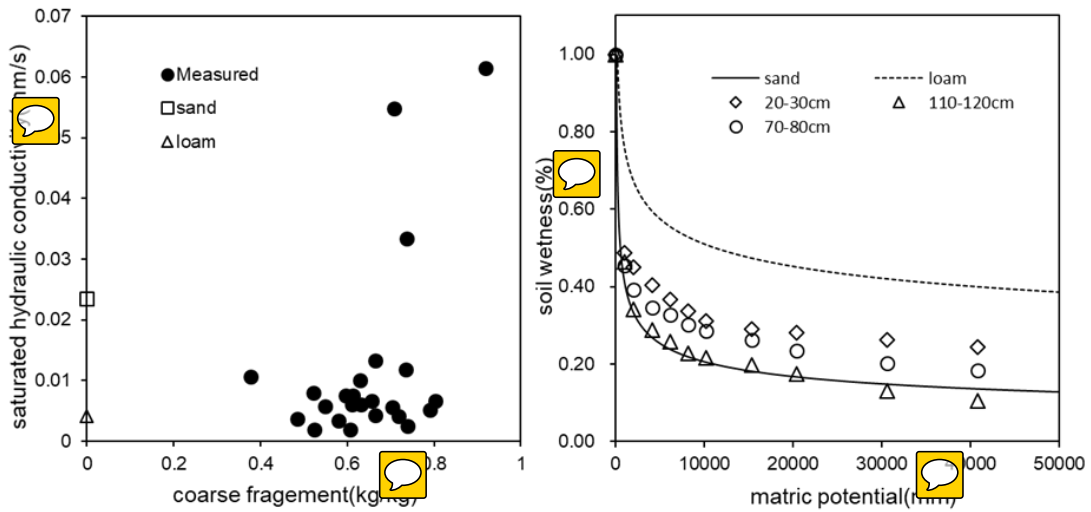
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1 **Figure 4.** The relationship between soil wetness (solid and dotted lines represent frozen and  
 2 unfrozen cases) and soil thermal conductivity ( $W/mK$ ) from **a)** measured values (Measured;  
 3 dots and empty diamonds represent measured frozen and unfrozen soil thermal conductivities,  
 4 respectively), **b)** using the Côté and Konard (2005) scheme (CK); and **c)** using the Farouki  
 5 (1986) scheme (Farouki). Thick and thin lines represent relationships for sand and loam,  
 6 respectively.



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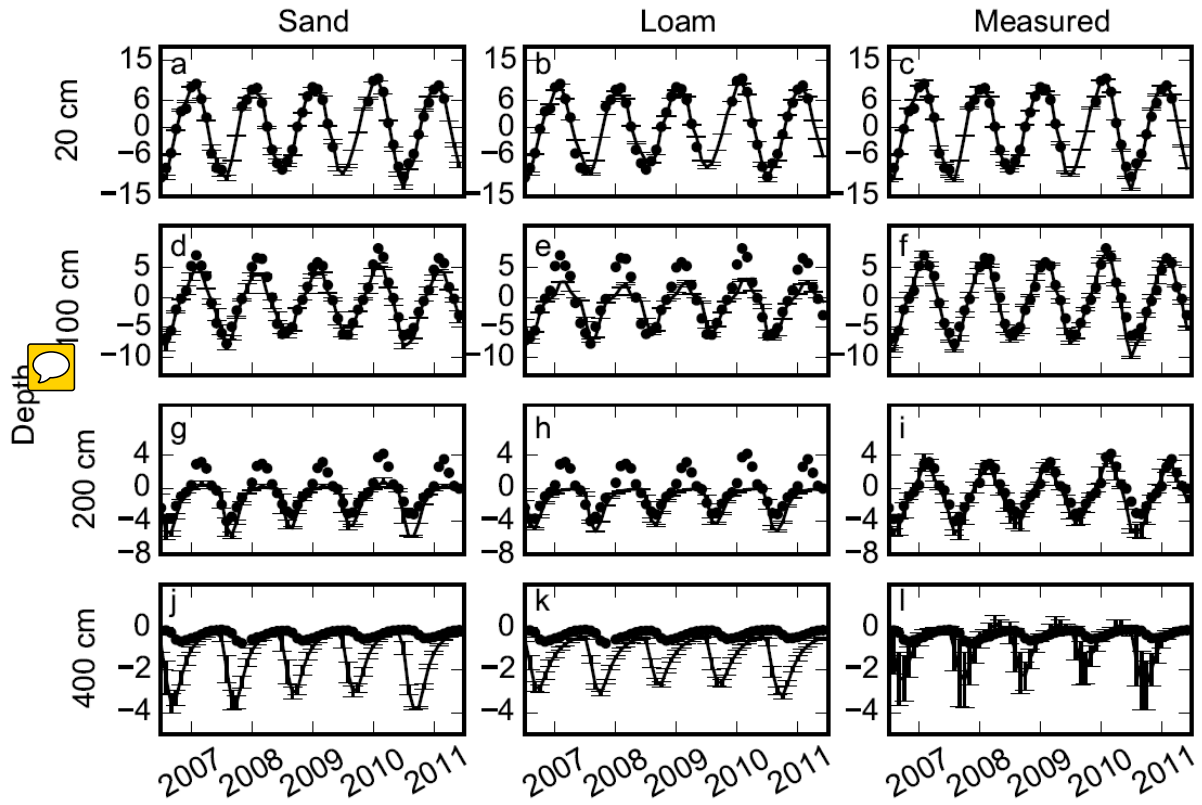
1 **Figure 5. a)** the relationship between saturated hydraulic conductivity ( $\text{mm s}^{-1}$ ) and coarse  
 2 fragment fraction (Solid dots represent measured value; empty circle and empty triangle  
 3 represent the corresponding values of sand and loam used in Community Land Model,  
 4 respectively); **b)** the relationship between soil wetness (lines) and absolute value of matric  
 5 potential ( $\text{mm H}_2\text{O}$ ) at three representative depths. Solid and dashed lines represent default  
 6 values of sand and loam, respectively (Oleson et al., 2010).



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1 **Figure 6.** Comparisons of soil temperatures simulated using default parameters of sand, loam,  
2 and measured parameters (lines) with measured soil temperatures (dots) at 20, 100, 200 and  
3 400 cm depths. Error bars showed the standard deviation calculated based on 9 simulations  
4 with 3 different slopes and 3 different soil thicknesses.

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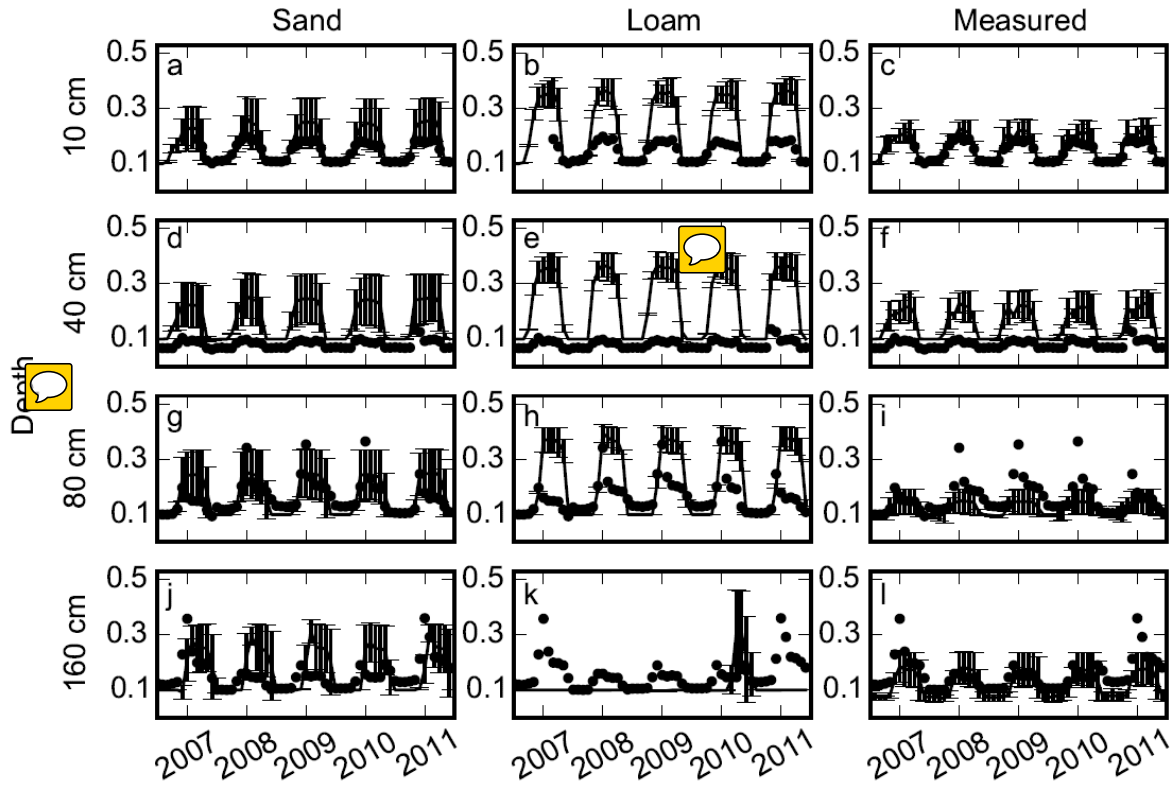


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1 **Figure 7.** Comparisons of soil volumetric liquid water content simulated using default  
 2 parameters sand, default loam, and measured parameters (lines) with measured soil moistures  
 3 (dots) at 10, 40, 80 and 160 cm depths. Error bars showed the standard deviation calculated  
 4 based on 9 simulations with 3 different slopes and 3 different soil thicknesses.

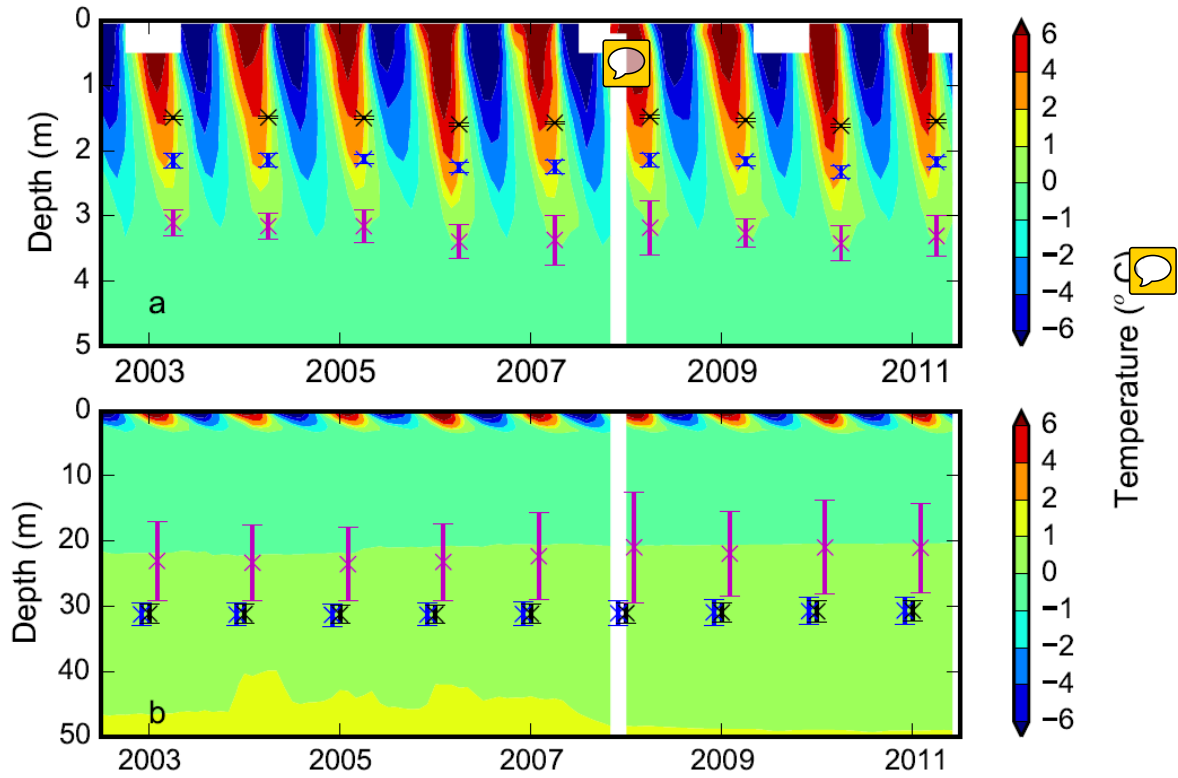


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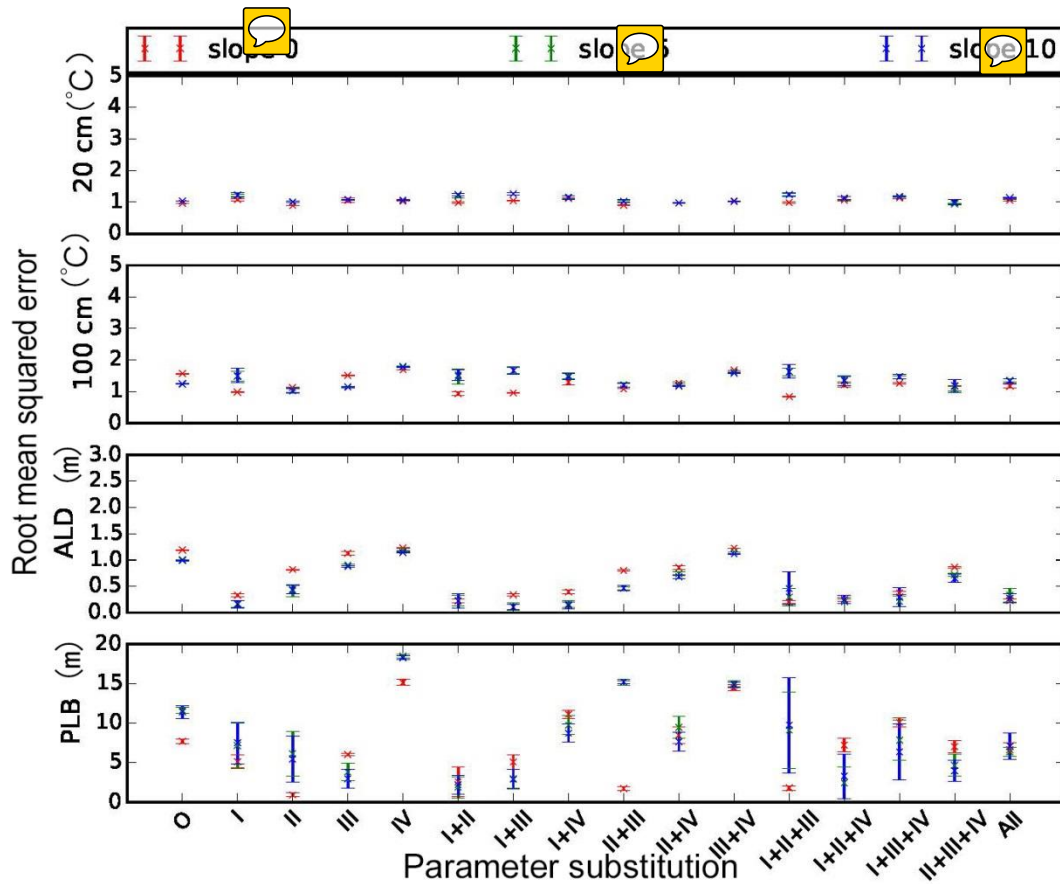
1 **Figure 8. a)** Contours of measured soil temperature ( $^{\circ}\text{C}$ ) from borehole measurements down  
2 to 5 m and simulated active layer depth over the period of 2003-2011; and **b)** same as a) but  
3 down to 50 m and for simulated permafrost low boundary. Black, blue and magenta represent  
4 simulations with loam, sand and measured parameters, respectively. Error bars show the  
5 standard deviation calculated based on 9 simulations with 3 different slopes and 3 different  
6 soil thicknesses.



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1 **Figure 9.** Root mean squared errors between measurements and model simulations (with  
 2 different combinations of measured porosity (I), thermal conductivity (II), hydraulic  
 3 conductivity (III) and matric potential (IV) of default sand parameters) for a) 20 and b) 100  
 4 cm soil temperatures ( $^{\circ}\text{C}$ ), c) active layer depth (ALD, m) and d) permafrost low boundary  
 5 (PLB, m). O and All represent model runs without substitution of default parameters and with  
 6 all 4 parameters substituted, respectively. Mean and standard deviation of model simulations  
 7 with 3 different soil thicknesses at each slope (~~slope 0:  $0^{\circ}$~~ ; ~~slope 5:  $5^{\circ}$~~ ; ~~slope 10:  $10^{\circ}$~~ ) are  
 8 shown.

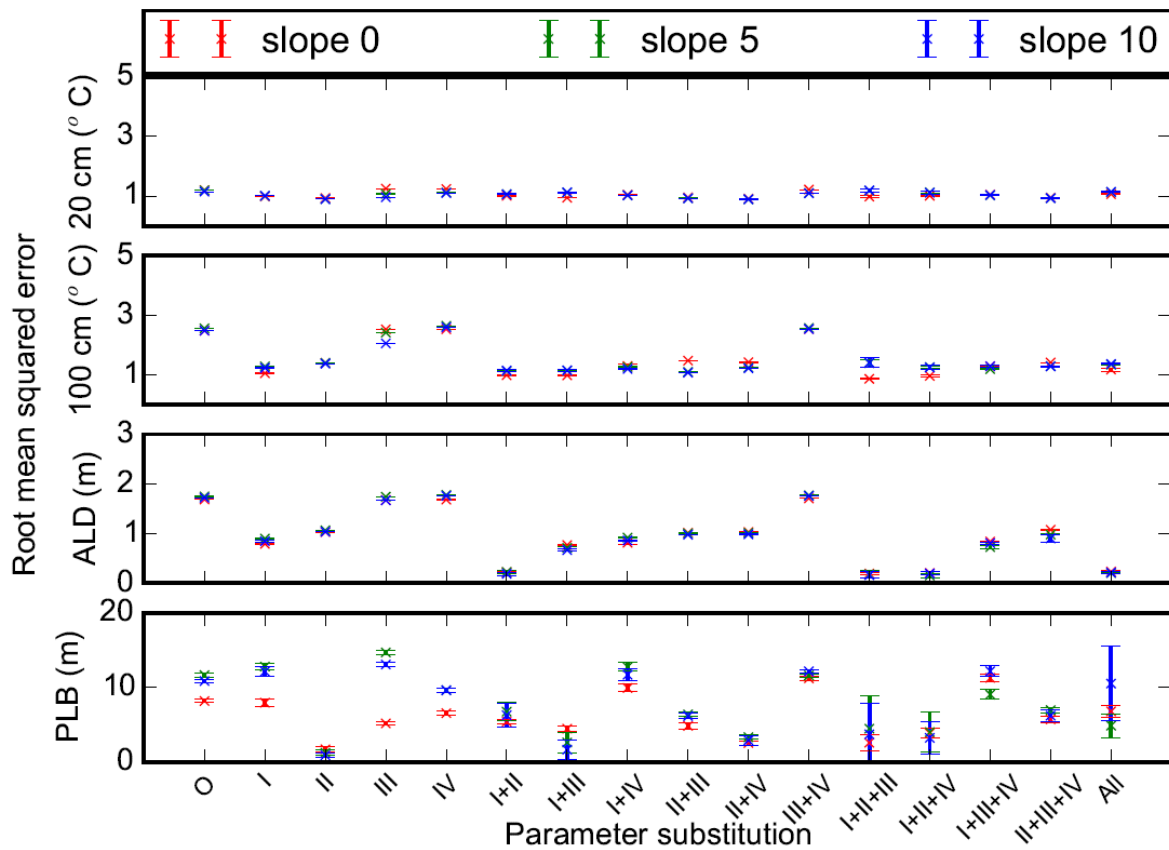
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1 **Figure 10.** Root mean squared errors between measurements and model simulations (with  
 2 different combinations of measured porosity (I), thermal conductivity (II), hydraulic  
 3 conductivity (III) and matric potential (IV) of default loam parameters) for a) 20 and b) 100  
 4 cm soil temperatures ( $^{\circ}\text{C}$ ), c) active layer depth (ALD, m) and d) permafrost low boundary  
 5 (PLB, m). O and All represent model runs without substitution of default parameters and with  
 6 all 4 parameters substituted, respectively. Mean and standard deviation of model simulations  
 7 with 3 different soil thicknesses at each slope (slope 0:  $0^{\circ}$ ; slope 5:  $5^{\circ}$ ; slope 10:  $10^{\circ}$ ) are  
 8 shown.



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1 **Figure 11.** Root mean squared errors between measurements and model simulations (with  
2 different combinations of measured porosity (I), thermal conductivity (II), hydraulic  
3 conductivity (III) and matric potential (IV) of default sand parameters) for a) 10 cm, b) 40 cm,  
4 c) 80 cm and d) 160 cm soil volumetric liquid water content. O and All represent model runs  
5 without substitution of default parameters and with all 4 parameters substituted, respectively.  
6 Mean and standard deviation of model simulations with 3 different soil thicknesses at each  
7 slope (slope 0: 0°; slope 5: 5°; slope 10: 10°) are shown.

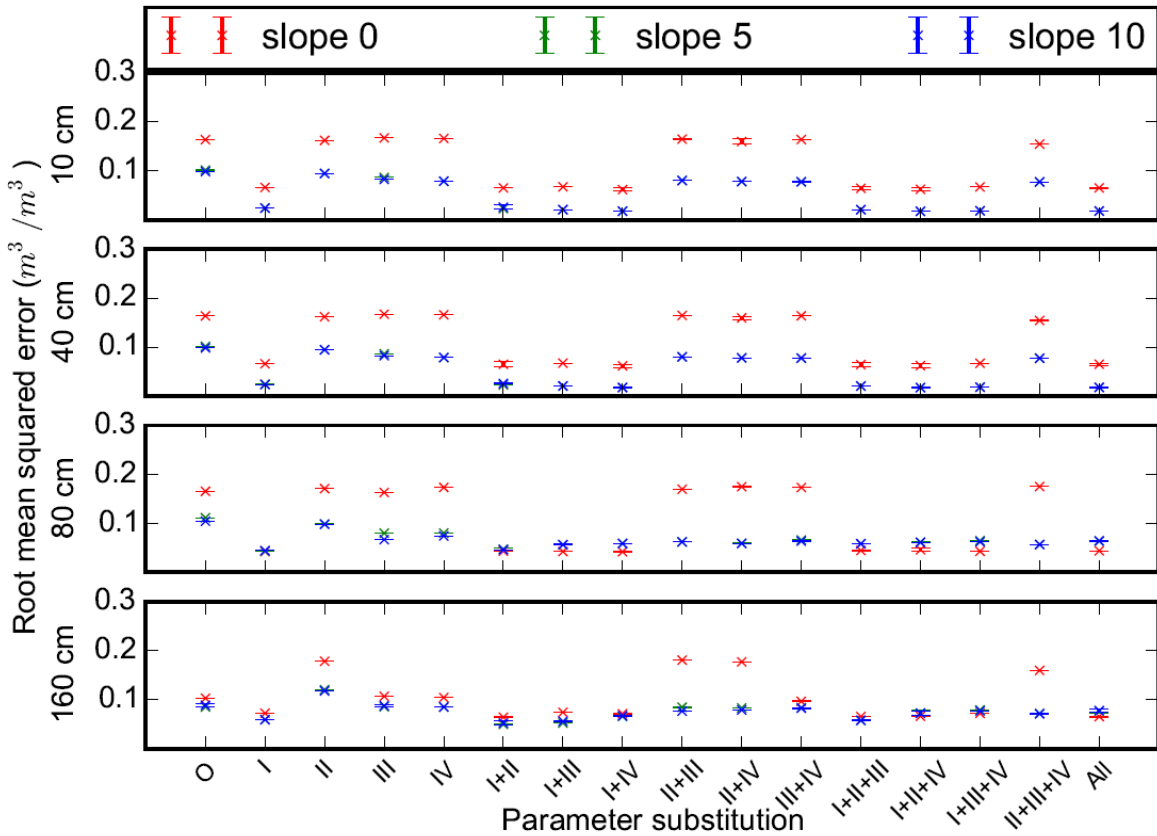
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1 **Figure 12.** Root mean squared errors between measurements and model simulations (with  
 2 different combinations of measured porosity (I), thermal conductivity (II), hydraulic  
 3 conductivity (III) and matric potential (IV) of default loam parameters) for a) 10 cm, b) 40 cm,  
 4 c) 80 cm and d) 160 cm soil volumetric liquid water content. O and All represent model runs  
 5 without substitution of default parameters and with all 4 parameters substituted, respectively.  
 6 Mean and standard deviation of model simulations with 3 different soil thicknesses at each  
 7 slope (slope 0: 0°; slope 5: 5°; slope 10: 10°) are shown.



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