1	Measuring snow water equivalent from common offset GPR records through migration							
2	velocity analysis							
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23 Abstract

24 Many mountainous regions depend on seasonal snowfall for their water resources. 25 Current methods of predicting the availability of water resources rely on long-term 26 relationships between stream discharge and snow pack monitoring at isolated locations, which 27 are less reliable during abnormal snow years. Ground-penetrating-radar (GPR) has been shown 28 to be an effective tool for measuring snow water equivalent (SWE) because of the close 29 relationship between snow density and radar velocity. However, the standard methods of 30 measuring radar velocity can be time consuming. Here we apply a migration focusing method 31 originally developed for extracting velocity information from diffracted energy observed in 32 zero-offset seismic sections to the problem of estimating radar velocities in seasonal snow from 33 common-offset GPR data. Diffractions are isolated by plane-wave-destruction filtering and the 34 optimal migration velocity is chosen based on the varimax norm of the migrated image. We 35 then use the radar velocity to estimate snow density, depth, and SWE. The GPR-derived SWE 36 estimates are within 6% of manual SWE measurements when the GPR antenna is coupled to 37 the snow surface and 3-21% of the manual measurements when the antenna is mounted on the front of a snowmobile ~0.5 meters above the snow surface. 38

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## 45 **1. Introduction**

46 Many regions of the world are critically dependent on seasonal snowfall for their water 47 resources; accurate estimates of how much water is stored in mountain landscapes are 48 necessary to manage this resource. In the United States, a large network of SNOTEL sites 49 provide continuous information about snow depth, density, and snow water equivalent that are 50 used to make water availability predictions (Serreze et. al., 1999). While these sites provide 51 valuable information at a site, scaling these point measurements up for basin or grid scale 52 estimates can be challenging (Molotch and Bales, 2005). Currently, these data are used to 53 develop empirical relationships between SWE and nearby stream discharge. These predictions 54 are most accurate during average years and may be not reliable during abnormal years (Bales et 55 al., 2006), thus there is a need to develop new and reliable methods for estimating SWE at a 56 basin scale.

57 Several previous studies have demonstrated that Ground-Penetrating-Radar (GPR) can 58 be used to measure SWE (e.g. Bradford et al., 2009, Tiuri et al., 1984, Holbrook et al. 2016). 59 Tiuri et al. (1984) showed that at microwave frequencies, the real part of the dielectric constant 60 for dry snow, which governs the velocity, is almost completely determined by the bulk density 61 of snow. However, when liquid water is present, both the real and imaginary parts are needed 62 to determine the volumetric water content of the snow. The complex dielectric constant can 63 be measured by analyzing both the velocity and attenuation characteristics of the snow 64 (Bradford at al., 2009). In the simplest case of dry snow, bulk density can be estimated directly 65 from radar velocity. Snow depth can be measured from the two-way travel time of the radar

pulse between the snow surface and the ground surface and the velocity. SWE can then becalculated as the product of snow density and snow height.

68 Velocity measurements can be made from the surface in several ways. Common-69 midpoint gathers (CMP), where the distance between transmitting and receiving antennas is 70 steadily increased about a central location, provide highly accurate measurements; the two-71 way travel-time to subsurface reflectors is a function of offset and velocity. Collecting CMP's 72 requires separable antennas, and it can be time-consuming to both collect and process these 73 data. Common-offset antennas, where both the transmitting and receiving antennas are 74 housed in the same unit at a fixed offset, allow large amounts of data to be collected with 75 minimal effort. Measuring the velocity from common offset data can be achieved through 76 calibration from measured snow depths, modeling diffraction hyperbolae travel-times, or 77 migration focusing analysis.

78 In this paper, we apply the migration velocity analysis (MVA) presented by Fomel (2007) 79 to the problem of estimating radar velocities, and thus snow density and SWE, from 500 MHz 80 common-offset GPR images. After testing the method on a synthetic data set, we estimate 81 SWE from six field data sets. The first two data sets were collected by pulling the GPR along the 82 snow surface, and the remaining four were collected with the GPR antenna mounted on the 83 front of a snowmobile. To validate the method, we compare snow depth, density and SWE 84 estimates to measurements made in pits and probed depth observations along the profiles. 85 Since our primary goal is to develop a method for quick velocity estimations, we assume that 86 the snow we are measuring is dry. When this assumption is not valid, we validate the velocity

87 estimates by comparing predicted and measured snowdepths only. The GPR-derived estimates
88 agree with manual SWE measurements within the estimated uncertainties.

89 **2. Methods** 

90 GPR surveys utilize high-frequency, broadband electromagnetic signals. The signal is 91 generated at the transmitting antenna and propagates in three dimensions at velocity given by  $v = c/\sqrt{\kappa'}$ , where c is the speed of light in a vacuum and  $\kappa'$  is the real part of the dielectric 92 93 constant. Signal attenuation is frequency-dependent and can be approximated as  $\alpha \approx$  $\sqrt{\frac{\mu_0}{\kappa'}\frac{\kappa''}{2}}\omega$ , where  $\mu_0$  is the magnetic permeability of free space and  $\kappa''$  is the imaginary 94 95 component of the dielectric constant (Bradford, 2007). While both  $\kappa'$  and  $\kappa''$  are frequency dependent, within the typical frequency range utilized for GPR studies, only  $\kappa''$  exhibits strong 96 variations with frequency; in dry snow  $\kappa'' \approx 0$  (Bradford et al., 2009). 97 98 When a GPR signal encounters a boundary between subsurface materials with 99 contrasting dielectric constants, some of the energy is reflected back and recorded by a 100 receiving antenna. In this paper, we are specifically interested in targets that have lateral 101 dimensions that are less than the Fresnel zone. These objects scatter energy in all directions 102 and appear on the raw GPR image as hyperbolic events, called diffractions (Landa and Keydar, 103 1998), whose shape depends on the depth of the object and the velocity of the overlying media 104 (i.e. Claerbout, 1985). The velocity information contained in diffractions can be extracted by 105 fitting hyperbolic curves to the data or by migrating the image until the hyperbola is collapsed 106 to a point or "focus." The latter process is called migration velocity analysis (MVA). In this 107 paper, we follow an approach described by Fomel (2007) and develop a semi-automated MVA

108	program in Matlab for the purpose of measuring radar velocities in seasonal snow. The
109	processing flow consists of three steps: 1. Separate diffractions from reflections through
110	Plane-Wave-Destruction (PWD), 2. Migrate the filtered images at a range of potential
111	velocities, and 3. Use the varimax norm as a measure of diffraction focusing to pick velocities.
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113	2.1 Data Acquisition
114	2.1.1 GPR data
115	During February and March 2015, we collected GPR, snow density, and snow-depth data
116	in the Medicine Bow Mountains, SE Wyoming. The GPR data were acquired with a Mala pulse
117	radar system with a center frequencies of 500 MHz. The data were collected in two ways. In
118	one configuration (Lines 1 and 2), we mounted the GPR antenna in a plastic sled and pulled it
119	behind a skier. The unit was set to fire continuously at a rate of 20 traces per second and the
120	sample interval on each trace was 0.3223 ns. In the other configuration (Lines 3, 4, 5 and 6) the
121	antennas were mounted on an aluminum frame attached to the front of a Polaris RMK 600
122	snowmobile. The unit was set to fire at a rate of 100 traces per second and the sample interval
123	was 0.3181 ns. Mounting the GPR antenna in front of the snowmobile allows us to measure
124	undisturbed snow as well as providing a snow-surface reflection, which can be used to analyze
125	the attenuation properties of the snow (Bradford et al., 2009). In both cases, we kept track of
126	our position with a Trimble R8 GPS unit that recorded our location at 1-second intervals.
127	

# **2.1.2 Snow depth and density data**

129 To validate our snow density and velocity estimates from the GPR data, we manually 130 measured snow depth and densities (Table 1). On Lines 1, 2, 3 and 4 we dug snow pits and 131 located them with a handheld Trimble GPS unit. To measure snow densities, we used a 0.001 132 cubic meter, wedge-shaped snow sampler and a scale that is accurate within 5-10 grams. We 133 made snow density measurements at 10 cm intervals in the sidewall of the snow-pits starting 134 from the snow surface and continuing to the ground. Pit locations were chosen based on the 135 presence of diffractions near the snow/ground interface after viewing the GPR images in the 136 field. On lines 4, 5, and 6 we measured snow depth at regular intervals with a probe. 137 Probed depth measurements are subject to uncertainties due to uneven ground and 138 deviations in probe angle. We estimate our depth measurements to be accurate within +/-5139 cm. Snow density observations are subject to over and under sampling and we assign an 140 uncertainty of +/-5 g/cm<sup>3</sup>. We calculate the average density for each pit profile assigning each 141 snow density observation to a 10 (+/-1) cm column of snow and performing a weighted sum. 142 Propagating the uncertainties through the averaging process yields uncertainty estimates of 10-143 14 % of the averaged value, consistent with uncertainty estimates for snow pit density 144 measurements reported by Conger and McClung (2009). 145

# 146 **2.2 Pre-Processing the GPR data**

Prior to MVA we use MATGPR R3 (Tzanis, 2010) to apply several basic processing steps
to the GPR data including: 1. Reset trace to time-zero, 2. Trim time window, 3. Interpolate
traces to equal spacing using the GPS data, 4. Bandpass filter from 100 to 1000 MHz, 5. median
filter to remove antenna ringing, and 6. Scale the amplitudes by t<sup>2</sup>.

## 152 2.3 Plane-Wave-Destruction

153	Plane wave destruction (PWD) is a predictive filtering method designed to suppress								
154	events in a seismic or GPR record having	a particular dip (Claerbout, 1992; Fomel, 2002)	). The						
155	GPR image is modeled as the local superp	position of plane waves described by the different	ential						
156	equation (Fomel, 2002):								
157									
158	$\frac{dP}{dx} - \sigma \frac{dP}{dt} = 0$	(1)							
159									
1.00			~						

160 where P(x, t) is the wave-field and  $\sigma(x, t)$  is the local dip. Equation 1 provides the means for 161 predicting a trace in the GPR image from its neighbor as a function of local dip. Fomel's (2002) 162 three-point filter is derived from this equation:

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164 
$$C(\sigma) = \frac{\frac{(1+\sigma)(2+\sigma)}{12}}{\frac{(2+\sigma)(2-\sigma)}{6}} - \frac{\frac{(1-\sigma)(2-\sigma)}{12}}{\frac{(1-\sigma)(2-\sigma)}{6}} - \frac{\frac{(2+\sigma)(2-\sigma)}{6}}{\frac{(1-\sigma)(2-\sigma)}{12}} - \frac{\frac{(1+\sigma)(2+\sigma)}{12}}{12}$$
(2)

165

166 where  $\sigma$  is the local dip and the filtering is accomplished by convolving (2) with the GPR image. 167 The goal is to suppress continuous reflections that have small dips (such as snow layering and 168 the ground surface) compared to the steeply dipping diffraction limbs. 169 To estimate local dips, we make an initial guess  $\sigma_0$  for the dip and solve the set of

170 equations

172 
$$\begin{pmatrix} \boldsymbol{C}'(\sigma_0)\boldsymbol{d} \\ \varepsilon \boldsymbol{D} \end{pmatrix} \Delta \sigma = \begin{pmatrix} -\boldsymbol{C}(\sigma_0)\boldsymbol{d} \\ 0 \end{pmatrix}$$
(3)  
173

for  $\Delta \sigma$ . Here,  $C(\sigma)$  denotes the convolution of the filter with the data (d),  $C'(\sigma)$  is the derivative of the filter with respect to  $\sigma$  ( $C'(\sigma)d$  is a diagonal matrix), **D** is the gradient operator, and  $\varepsilon$  is a weighting parameter that controls the smoothness of the estimated dip field. Imposing smoothness constraints on the dip field estimate ensures stability in the solution and helps target the reflections in the image, since they generally show higher amplitudes and are more laterally continuous than the diffractions we seek to preserve. The estimated dip field is then used to filter the data.

#### 181 **2.4 Migration**

Migration is the process that moves reflected and diffracted energy in a seismic or GPR record to its true location in the subsurface (i.e. Claerbout, 1985). The quality of the migration process depends on the accuracy of the velocity estimate. When the correct migration velocity is chosen, diffraction hyperbolas will collapse to a compact "focus." With too low a velocity, the hyperbola will only be partially collapsed, while a velocity that is too high will cause the hyperbola to be mapped into a "smile".

For the MVA analysis, we migrate the entire image through a suite of velocities (0.19 to 0.29 m/ns in increments of 0.002 m/ns) using MATGPR's implementation of the Stolt algorithm (Stolt, 1955). The Stolt algorithm performs the migration in the frequency wave-number domain and is computationally efficient. To reduce computational time, we modified the code

to perform all the migrations in one function call so that the forward Fourier transform is onlyperformed once.

194

# 195 2.5 Velocity Picking

After PWD filtering and migrating the data through the suite of velocities, the next task is to use a focusing indicator to pick the image that is optimally focused. Following Fomel (2007), we use the varimax norm (V):

199

200 
$$V = \frac{N \sum_{i=1}^{N} s_i^4}{\left(\sum_{i=1}^{N} s_i^2\right)^2}$$
(4)

201

where *s<sub>i</sub>* is the amplitude of the *i*th sample and N is the number of samples included in the
calculation. V is a measure of the "simplicity" of a signal (Wiggins, 1978). Since the simplest
possible signal is a spike and the optimal migration velocity will map hyperbolas to the most
compact "focus", the maximum V value will correspond to the image migrated with the optimal
velocity.

To assess possible errors in the migration velocity analysis, we applied our workflow to a synthetic data set generated from diffractors of varying size. The Fresnel radius is given by  $R_f = \sqrt{\frac{z\lambda}{2}}$  (Sheriff, 1980) where z is depth and  $\lambda$  is the dominant wavelength. Figure 1 shows the effect of such an event on V. We created five synthetic diffractions with migration a migration velocity of 0.24 m/ns. The first four (Figure 1a) correspond to rectangular objects at 1 meter depth with horizontal dimensions 0.1, 0.2, 0.3 and 0.4 meters, and thickness of 0.03 m and the

213 fifth corresponds to a circular object with a radius of 0.4 meters (close to  $R_f$  for the 500 MHz 214 ricker wavelet used to generate the diffractions). The corresponding varimax curves for the 215 windows shown in Figure 1a are plotted in Figure 1b. The V curves are peaked at 0.24 m/ns for 216 all of the rectangular diffractors, with flatter (less well-resolved) peaks as the horizontal 217 dimension of the diffracting object increases, suggesting a larger uncertainty in the velocity 218 estimate. The peak V value for the circular diffractor is at 0.268 m/ns, indicating that curved 219 objects with lateral dimensions close to the size of the Fresnel zone may continue to focus at 220 velocities higher than their true velocity. Finally, Figure 1c shows the V curve for the entire 221 image, peaked at the correct velocity of 0.24 m/ns. This analysis suggests that the peak V value 222 will correspond to the correct velocity if the majority of the diffractions correspond to objects 223 much than  $R_f$ .

We choose to compute V in sliding windows that span the entire time section and have a user-defined width. Computing V in this way allows us to incorporate many diffraction events and maximize the likelihood that the bulk of the diffractions satisfy the point diffractor assumption. Moreover, sliding windows offer the potential to capture lateral variability in snow density.

After computing V for the entire data set, we choose the maximum V value in each window to get an estimate of the migration velocity. Noise in the filtered image, large diffracting objects, or a lack of diffractions may cause the peak of the Vnorm to correspond to an incorrect velocity. To reduce the influence of erroneous velocity picks, we smooth the picks in the lateral direction with a boxcar averaging filter the same width as the sliding window.

234 We use the shape of the upper portion of the V curve to estimate uncertainties in the 235 velocity pick. Comparing the Vnorm curves for synthetic diffractions as well as those from our 236 data, we find that Vnorm values that are greater than 95% of the peak value correspond to 237 migrated images that are indistinguishable to the human eye (Figure 2). We therefore obtain 238 upper and lower bounds on our velocity estimate by finding the minimum and maximum 239 velocities with Vnorm values equal to 95% of the maximum. We use the upper and lower 240 bounds on our velocity estimates to compute upper and lower bounds on all subsequent 241 calculations.

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# 243 **2.6 Dix Equation**

The migration velocity is the RMS velocity of all of the material between the GPR antenna and the diffractor. When the GPR antenna is in contact with the snow and the diffractor is located at the base of the snow, we interpret the migration velocity to be the average velocity of the snow across the width of the diffraction hyperbola. When the GPR unit is mounted on the front of the snowmobile, the signal must pass through the air between the antenna and the snow-surface so that the migration velocity is higher than that of the snow. To find the snow-velocity from these data, we use the Dix equation (Dix, 1955):

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252 
$$V_{snow} = \sqrt{\frac{V_{mig}^2 t_{soil} - V_{air}^2 t_{snow}}{t_{soil} - t_{snow}}}$$
(5)

where velocity subscripts refer to the migration velocity, the velocity in air, and the velocity
within the snowpack and time subscripts refer to the two-way travel-times of the snow surface
and soil surface reflections.

257 The Dix equation contains two important assumptions. First, the velocity of the snow 258 must be approximately constant over the width of the hyperbola and second, the half-width of 259 the hyperbola should be small compared to the depth of the diffractor ( $x \ll z$ ). The diffractions 260 in our data sets are approximately 4 to 5 meters wide; thus we assume that any lateral 261 variations in snow density occur on a larger scale than this. If the second assumption is not 262 valid, then the Dix velocity will be higher than the true velocity, resulting in a density estimate 263 that is too low. The snow depths in our data range from ~1-2 meters, which is comparable to 264 the half-width of the hyperbolas.

265 To determine the minimum snow depth that satisfies the x << z assumption, we traced 266 rays from point diffractors at depths ranging from 0 to 5 meters through a 0.23 m/ns snowpack, representing a snow density of 0.358 g/cm<sup>3</sup> (see section 2.7), with a 0.5 meter thick air layer 267 268 between the snow surface and the receiver positions (Figure 3). For each resulting travel-time 269 curve, we obtained nine different estimates of the migration velocity by performing a least-270 squares fit to the travel-time data and successively reducing the widths of the hyperbolas from 271 10 to 2 meters in 1 meter increments. Using the Dix equation, we obtained estimates of the 272 snow velocity as a function of diffractor depth and hyperbola width (Figure 4). The velocity 273 estimates made with the Dix equation approach the true velocity as the diffractor depth 274 increases and the hyperbola width decreases. For hyperbolas that are 4 to 5 meters wide (the 275 average width that we observe in our data), the Dix velocity is within 2 percent of the true

276 velocity when the diffractors are about 1.5 meters deep, 5 percent when the diffractors are 277 about 1 meter deep, and 10 percent or greater when the diffractors are 0.5 meters deep. We 278 conclude that the use of the Dix is justified for diffractors buried deeper than 1.5 meters 279 beneath the snow surface. 280 Although the results of this analysis are only valid for travel-time modeling, the x << z 281 assumption may be less severe for migration focusing analysis (see section 3.1). Diffraction 282 amplitudes decrease with increasing horizontal distance from the diffractor location, thus the 283 traces closest to the diffractor have the greatest contribution to the final image, suggesting that

when velocities are estimated from MVA (we test this with our synthetic data set in section3.1).

the Dix equation may give adequate results for diffractors that are less than 1.5 meters deep

To propagate our velocity uncertainty estimates through the Dix equation, we assign a travel-time uncertainty of 0.2 ns to our travel-time observations and use Eq. 5 along with our velocity uncertainty estimates to compute upper and lower bounds on the snow velocity.

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#### 291 **2.7 Estimating SWE**

To estimate SWE from the radar data, we need to know the depth of the snow and the snow density ( $SWE = z_{snow}\rho_{snow}$ ). The depth can be found by picking the two-way traveltime of the ground reflection and, if applicable, the snow-surface reflection and then using the velocity estimate to convert time to depth. Using Eq. 1, we convert radar velocity to dielectric constant ( $v = c/\sqrt{\kappa'}$ ) and estimate the density of dry snow with the empirical relationship (Tiuri et al., 1984):

$$\kappa'_{d} = 1 + 1.7\rho + 0.7\rho^{2},\tag{6}$$

300

299

301 where  $\kappa'_d$  is the dielectric constant and  $\rho$  is the density of dry snow.

302 In this paper, we are primarily concerned with measuring radar velocities and we 303 assume that our data measure the properties of dry snow. The real part of the dielectric 304 constant for water ( $\sim$ 80) is much larger than that of snow ( $\sim$ 1.5 - 2) and the imaginary part, 305 which describes the attenuation of the signal, is non-negligible (Bradford at al., 2009). The dry 306 snow assumption can be tested from the data by analyzing the attenuation properties of the 307 snowpack (Bradford et al., 2009). The attenuation coefficient for radar waves in water is 308 frequency-dependent (i.e. Turner and Siggins, 1994), with the higher frequencies attenuating 309 more rapidly that the lower frequencies because they go through more cycles per distance 310 traveled. When liquid water is present in the snow, the ground reflection will have a lower 311 mean frequency content than a reference event (the snow reflection for the snowmobile 312 collected data and the direct arrival for the skier-pulled data). To test the dry snow assumption, 313 we calculate the maximum local instantaneous frequency (Fomel, 2007) within a time window 314 surrounding the event of interest then average this value across all of the traces in the GPR 315 image. The standard deviation provides an estimate of the measurement uncertainty. We note 316 that at 500 MHz, a small shift in frequencies results in a non-negligible volumetric water 317 content.

318

320 **3. Data and Results** 

Snow depth, density and SWE estimates for all of our GPR profiles and pits are summarized in
Tables 1 and 2. Here we discuss the processing and describe results for a synthetic data set and
two representative field data sets.

324 **3.1 Synthetic test** 

As a first test on the reliability of migration focusing analysis for reconstructing radar velocities, we performed the analysis on a synthetic data set generated with REFLEX software. The synthetic data set was generated using a 500 MHz Kuepper wavelet sampled at 0.0332 ns and traces are 0.01 meters apart.

329 The synthetic model is 50 meters long and consists of a 0.5 meter thick layer of air 330 overlying a 0.24 m/ns layer of snow (corresponding to a density of 0.29 g/cc) with depths that 331 range from 0.5 to 5.7 meters. Beneath the snow is a 0.10 m/ns layer representative of soil. 332 Along the snow/soil interface there are 16 diffractors buried at depths ranging from 0.5 to 5.7 333 meters. The purpose of this data set (Figure 5a) was to test the performance of the Dix 334 equation on velocities estimated from the MVA analysis and, since the migration velocity 335 changes as a function of snow depth, to see if we can resolve lateral variations in velocity. After applying the PWD filter, the ground reflection was adequately suppressed (Figure 336 337 5b). We migrated the filtered image at 0.002 m/ns intervals from 0.18 to 0.28 m/n and 338 measure the optimal migration velocity for each diffractor by computing V in an 8-meter-wide 339 sliding window (Figure 5c). We use the Dix equation to convert the migration velocities to the 340 velocity of the snow layer (Figure 5d). The average of all snow velocity measurements is 0.241 341 m/ns with a standard deviation of 0.002 m/ns.

342 There is no systematic relationship between the velocities recovered and the depth of 343 the diffractor (Figure 5d). The shallowest diffractor was at ~0.5 m depth and the recovered 344 velocity was 0.232 m/ns. The greatest differences between recovered and true velocities were 345 for diffractors at depths of 0.5, 1.5, and 2.2 and 2.3 meters. Here the recovered velocities were 346 0.232, 0.247 0.247, and 0.247 m/ns. The shallowest observation underestimates the true 347 velocity, which is the opposite of the effect predicted by our travel-time modeling (Section 2.6, 348 Figure 4). The observations for diffractors between 1.5 and 2.3 meters all overestimate the true 349 velocity by approximately the same amount. We conclude that the Dix equation is appropriate 350 for snowdepths of 0.5 meters and greater.

Although the snow in this synthetic model has a constant velocity, the migration velocity changes as a function of the snow depth due to the changing proportions of air and snow in the total travel path. Where the snow is shallow, the velocities are highest and where the snow is deep, the velocities are low. That the method is capable of resolving lateral velocity variations in this synthetic example is evident in Figure 5c, where the picked velocities are negatively correlated with snowdepth.

#### 357 3.2 Ski-pulled GPR data

We collected two GPR profiles in the skier -pulled configuration on February 25, 2015, in below-freezing conditions. A representative line, Line 1 (Figure 6) is 74 meters long and shows an abundance of diffractions along the snow/ground interface, likely a result of small boulders, and a few isolated diffractions within the snowpack, most likely small trees, bushes or logs. After interpolation to equal spacing, trace spacing was 0.362 m. Since the antenna was coupled to the snow, we compare the average frequency of the direct wave to that of the soil reflection

to determine whether there is any liquid water present in the snowpack. The average
frequency of the direct arrival for every trace in the image along Line 1 is 410 MHz with a
standard deviation of 10 MHz and the average frequency of the soil reflection across the whole
line is 457 MHz with a standard deviation of 42 MHz. The soil reflection appears to have a
higher frequency content than the reference frequency, perhaps due to thin-layer "tuning"
effects. Since we do not observe a decrease in frequency with travel time, we infer that there
was no liquid water present in the snow on this day.

371 After the PWD filtering step we are left with many diffractions along the ground surface 372 and a few isolated events within the snowpack (Figure 6b). We compute V in 10-meter-wide 373 sliding windows and pick the velocity that corresponds to the peak value of V (Figure 5d, blue 374 line). After smoothing these picks (Figure 6d, red line) we obtain velocities between 0.237 and 375 0.276 m/ns, with an average uncertainty of 0.01 m/ns, corresponding to densities of 313 to 145  $kg/m^3$ . It is unlikely that the snow density is as low as 145 kg/m<sup>3</sup>, and the velocity 376 377 measurements that yield such unlikely results are confined to the region between x ~30 -55 378 meters. Either the diffractors along this part of the line are all too large to meet our point 379 diffractor assumption, or the noise levels in the image are higher than the signal. 380 Excluding the picks between x=30 and 55 meters, we estimate snow densities between 381 193 and 311 kg/m<sup>3</sup>, with an average density of 274 kg/m<sup>3</sup>. Notably, the low-density 382 estimates are from the part of the profile near x = 55 to 65 meters where a prominent set of 383 mid-snow diffractors exist. The two-way travel time to the tops of these diffractors is ~7.414 ns, 384 which at the observed migration velocity of 0.256 m/ns yields a depth estimate of ~0.95

meters. Thus, this snow density estimate of 193 kg/m<sup>3</sup> corresponds to the upper 0.95 meters of 385 386 snow. Estimated snow depths, densities and SWE along the entire profile are shown in Figure 7. 387 We measured snow density and depth in Pit 1 located at 68 meters along the Line 1 388 (Figure 7). The snow pit showed a depth of 1.33 meters and an average density of 300 +/- 40  $kg/m^3$  resulting in a SWE measurement of 0.40 +/- 0.07 meters. GPR derived estimates at the 389 pit location are: snow depth = 1.28 + -0.06 meters, density = 288 + -50 kg/m<sup>3</sup>, SWE = 0.37 + -390 391 0.07 meters. The average density of the upper 0.95 meters of snow in this pit is 190 kg/m^3 (Fig 392 S1), which is very close to the value estimated from the GPR data between x = 55 and x = 65393 meters.

394

#### 395 3.3 Snowmobile-Mounted GPR data

396 We collected four GPR profiles in the snowmobile-mounted configuration between Feb 397 25 and March 17, 2015. Here we discuss the processing of a representative profile, Line 4 398 (Figure 8), which was collected on the morning of March 11, 2015 in a flat meadow just south of 399 Wyoming State Highway 130. This line is 98 meters long and shows an abundance of 400 diffractions along the snow/ground interface (Figure 8). After interpolating to equal spacing, 401 the trace spacing was 0.024 m. 402 Migration velocities on this line range from 0.237 to 0.277 m/ns with an average 403 uncertainty of +/- 0.01 m/ns. The corresponding snow velocities are 0.207 and 0.268 m/ns. 404 Here, the exceptionally high velocities are confined to a region between x = 65 and x = 85 meter 405 where a number of diffractions from obviously large objects are present (Figure 7). If we 406 exclude velocity picks from this region, we get a maximum migration velocity of 0.266 m/ns and

a maximum snow velocity of 0.251 m/ns. Estimated snow depths range from 0.7 to 2.1 meters
with an average uncertainty of +/- 0.1 m. Estimated snow densities range from 228 to 532
kg/m<sup>3</sup> with an average uncertainty of +/- 50 kg/m<sup>3</sup>. Estimated SWE ranges from 0.25 to 0.71
meters with an average uncertainty of +/- 0.09 meters.

411 Snowpits 3 and 4 are located at 50 and 97 meters along the profile and showed average snow densities of 379 +/- 50 and 360 +/- 48 kg/m<sup>3</sup>; SWE values of 0.54 +/- 0.13and 0.64 +/-412 0.13 meters; snowdepth was 1.44 +/- 0.05 and 1.8 +/- 0.05 m respectively. The GPR-derived 413 414 depth, density and SWE estimates at 50 and 97 meters were 1.50 +/- 0.08 and 1.91 +/- 0.12 m; 389 +/- 92 and 394+/- 97 kg/m<sup>3</sup>,; and 0.53 +/- 0.09 and 0.70 +/- 0.13 m. GPR-derived estimates 415 416 for the whole profile are shown in Figure 9. We also measured 21 snow depths at 5 meter 417 intervals (Figure 9b) along this profile. The RMS error between observed and estimated depths 418 is 0.13 meters.

During data acquisition on Line 7, the air temperature was 5° C, raising the possibility of liquid water in the snow. The average frequency of the snow reflection for every trace in the image is 435 MHz with a standard deviation of 27 MHz and the average frequency of the soil reflection across the whole line is 464 MHz with a standard deviation of 38 MHz. Again, the frequency content of the soil reflection appears to be higher than the reference frequency. Within the uncertainty bounds there is no resolvable frequency change and we conclude that our dry snow assumption is valid.

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427 4. Discussion

428 The primary purpose of this study is to develop an efficient processing flow for 429 measuring GPR velocity and thus snow density SWE from common-offset data that requires a 430 minimum amount of human interpretation. Common-offset GRP data are fast and easy to 431 obtain, and velocity estimates can be made when diffractions are present. However, the 432 common methods of visually inspecting migrated images or fitting curves to diffraction 433 hyperbolas are time-consuming and subject to human error. The migration velocity analysis 434 described in this paper provides an efficient means for extracting velocity information from 435 large GPR data sets. Here we discuss the accuracy and efficiency of the method as well as the 436 level of automation.

437 To validate the method, we compared estimated snow densities, depths, and SWE to 438 observations made in four snow pits and to 86 probed snow depth measurements. The results 439 are summarized in Table 2 and in Figure 9. If we exclude the two obvious outliers (Figure 10a), 440 the RMS error for our depth predictions for the remaining 88 depth observations is 12% of the 441 mean snowdepth observation. The RMS error for snow density and SWE relative to the mean 442 observed values are 15% and 18%. Averaging the velocities across the entire line (Figure 10 red 443 crosses) reduce the difference between predicted and observed depth values to an RMS error 444 of 9%, suggesting that lateral variations in snow velocity are minimal. Averaging the velocities 445 across the entire line reduces the RMS errors for density and SWE to 8% and 10%, respectively. 446 The greatest potential for systematic error in this analysis is the presence diffracting 447 objects whose dimensions exceed the radius of the first Fresnel zone. The field data offer the 448 opportunity to evaluate the influence of diffractor size on velocity estimates. Line 1, for 449 example, shows four prominent diffractions between 50 and 70 meters. The Varimax norm has

450 a maximum value at 0.256 m/ns, which is the velocity that focuses the two leftmost diffractions 451 (Figure 6c). The diffractions on the right are clearly not focused because they are caused by an 452 object (most likely a log) with a radius greater than the first Fresnel zone. Because the leftmost 453 two have a higher amplitude then the others, they have the largest influence on the varimax 454 value. Thus, although there are clearly events in the field data that have the potential to give 455 erroneous results, our results suggest that reliable velocity estimates can be achieved so long as 456 the majority of the diffracted energy is related to objects that can be considered point 457 diffractors.

458 One of our main goals was to produce a processing flow that allows for the rapid 459 processing of common offset GPR data with minimal user interaction. The two most time 460 computationally expensive parts of the processes are the migrations and the varimax 461 calculations. As an example, on a 2016 MacBook Pro with a 2GHz processor, for the ~ 100-462 meter-long Line 4, performing 51 migrations takes approximately 5 minutes, the varimax 463 calculation takes about half as long, and the PWD filtering takes a few seconds. The most time-464 consuming part of the process is picking the arrival times of snow surface and ground surface reflections. 465

Although the processing flow is relatively efficient, it does require some user interaction. The PWD method of separating continuous reflectors from diffractions treats the GPR image as the superposition of locally planar waves. Estimating the slope of these waves from the image requires the solution of a regularized inverse problem and the smoothness of the slope-field depends on the choice of regularization parameter. This is the most subjective step of the process, as it may require several attempts to find the optimal smoothness

472 constraints to adequately suppress reflections in the GPR image. However, for our data the 473 majority of the diffractions are located along the ground surface and the internal structure of 474 the snowpack shows dips that closely parallel the ground reflection. A good first guess, and 475 often a good final guess, for the dip field can be computed by picking the arrival times of the 476 ground reflection. Because the ground reflection has to be interpreted to measure snow depth, 477 this strategy can significantly reduce the processing time for each data set. 478 The data presented in this paper contained an abundance of diffractions located near 479 the soil/ground interface allowing an average velocity for the entire snowpack to be obtained. 480 These events are likely due to small-scale variations in surface topography, rocks, and/or 481 vegetation along the ground surface, which may not be present in all environments. However, 482 we note that mountain watersheds free of vegetation, small undulations in surface topography, 483 and surface rocks are probably rare. Thus, the method may be useful in many regions where 484 seasonal snowpacks exist.

485

# 486 **5. Conclusions**

We applied the migration focusing analysis presented in Fomel (2007) to the problem of estimating SWE in seasonal snow. The method was most accurate for the case when the GPR was in contact with the snow, providing GPR-derived SWE estimates within 6 % of the manual observation. When the GPR was mounted on a snowmobile, the results were within 12-21% of the manual observations.

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501	at https://data.uwyo.edu.
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549 Figure Captions

Figure 1 a) Synthetic hyperbolas for 4 rectangular diffractors with lateral dimensions of 0.1, 0.2,
0.3 and 0.4 meters (from left to right) and a round diffractor with radius = 0.4 meters (far right.)
b) Varimax curve for windows depicted in a, V curve colors match the windows in a. V curves
for all four rectangular diffractors show peaks at 0.24 m/ns, while the round diffractor is peaked
at 0.268 m/ns. c) Varimax curve for the entire image showing a peak at the correct migration
velocity of v = 0.24 m/ns.

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Figure 2 Justification for uncertainty estimates. A synthetic hyperbola that is obviously
undermigrated (a), migrated at indistinguishable velocities (b-d), and obviously overmigrated
(e). f) The corresponding varimax curve for a-e showing a peak at the true migration velocity
(0.24 m/ns), the shaded area under the curve corresponds to velocities in b-d and represent
varimax values that are 95% of the maximum. Panels (g-l) show the same for a section of field
data extracted from Line 1.

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Figure 3 Raypaths and travel-times for point diffractors. a) 0.5 meters of air overlying a 230
m/ns snowpack with point diffractors buried at 0.5 meter intervals. b) two-way travel-times for
each of the diffractors showing the characteristic hyperbolic shape.

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Figure 4 Dix velocities for point diffractors as a function of depth for different hyperbola
widths. The true interval velocity is 0.230 m/ns (red line) and the Dix velocities are shown as

570 black lines. The red dashed line is at 0.234 m/ns, which is 2 percent greater than the true571 velocity.

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**b)** GPR data after PWD filtering **c)** diffractions migrated at the mean velocity (0.256 m/ns) for

the entire line d) Normalized varimax curves for sliding window 10 meters wide. Blue curve
shows the peak value for every curve, red line is smoothed with a box car averaging filter 10
meters wide.

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596	Figure 9 Line 1 Results. a) density, b) snow depth (black line) and SWE (blue line) estimates
597	from the GPR data, snow pit data are shown in red. Grayed out region corresponds to areas
598	where velocity picks are unreliable.
599	
600	Figure 10 Cross-plots of predicted data (horizontal axis) vs GPR estimates (vertical axis) for all
601	data. <b>a)</b> snowdepths, <b>b)</b> density, and <b>c)</b> SWE. Black crosses represent estimates using
602	automatically picked velocities and red crosses represent estimates using the mean velocity for

603 each GPR profile.

604 .Figures









640 Figure 4







646 Figure 6





648 Figure 7



653 Figure 8



656 Figure 9







664 Tables

# **Table 1. Snowpit summary**

				<u>Rho</u>	<u>Rho</u>			
	Pit Name	<u>Date</u>	<u>Depth (m)</u>	<u>(kg/m³)</u>	<u>SWE (m)</u>	GPR profiles		
	Pit 1	25-Feb-15	1.33 +/- 0.05	305 +/- 44	0.40 +/- 0.14	Line 1		
	Pit 2	26-Feb-15	1.56 +/-0.05	314 +/- 44	0.49 +/- 0.14	Lines 2 and 3		
	Pit 3	11-Mar-15	1.44 +/- 0.05	379 +/- 50	0.55 +/- 0.13	Line 4		
	Pit 4	11-Mar-15	1.80 +/- 0.05	360 +/-48	0.65 +/- 0.13	Line 4		
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				GPR Predictions at pit			Error Compared to Pit/Probe		
GPR Profile	<b>Collection</b>	<u>Acquisiton</u>	Pits/Probe	Depth Pred (m)	<u>Rho (kg/m<sup>3</sup>)</u>	<u>SWE (m)</u>	<u>Depth</u>	<u>Rho</u>	<u>SWE</u>
	Date	<u>Mode</u>							
Line 1	25-Feb-15	Ski	Pit 1	1.29 +/-0.06	288 +/- 50	0.37 +/- 0.07	2.6%	5.5%	8.0%
†Line 2	25-Feb-15	Ski	Pit 2	1.59 +/- 0.04	294+/-40	0.46+/- 0.03	0.1%	6.0%	6.0%
†Line 3	25-Feb-15	Snowmobile	Pit 2	1.10 +/- 0.05	354 +/-65	0.39 +/ 0.06	*30.0%	13%	*21%
Line 4	11-Mar-15	Snowmobile	Pit 3	1.50 +/- 0.08	389 +/-92	0.53+/- 0.09	6.0%	3%	2.0%
			Pit 4	1.91 +/- 0.12	394 +/- 97	0.69 +/- 0.13	6.0%	10%	6.%
			Probes				**RMSE = 0.13 m		
							(9%)		
†Line 5	17-Mar-15	Snowmobile	Probes				**RMSE = 0.38 m		
							(18%)		
†Line 6	17-Mar-15	Snowmobile	Probes				**RMSE = 0.19 m		
							(11%)		

 Table 2. Summary of GPR field data and comparison to manual measurements

\*Line 3 was located 1.5 meters off of Pit 2, disagreement between depth and SWE measurements at this site reflect lateral variations in snowdepth.

\*\*RMSE percentages are calculated relative to the mean observed depth along each profile

<sup>†</sup>Lines 2, 3, 5, and 6 are described in the supplementary materials.