

1 **Reply to “Basal buoyancy and fast-moving glaciers: in defense of analytic force**
2 **balance” by C. J. van der Veen (2016)**

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6 **Abstract.** Two approaches to ice-sheet modeling are available. Analytical modeling is the
7 traditional approach (Van der Veen, 2016). It solves the force (momentum), mass, and
8 energy balances to obtain three-dimensional solutions over time, beginning with the
9 Navier-Stokes equations for the force balance. Geometrical modeling employs simple
10 geometry to solve the force and mass balance in one dimension along ice flow (Hughes,
11 2012a). It is useful primarily to provide the first-order physical basis of ice-sheet modeling
12 for students with little background in mathematics. The geometric approach uses changes
13 in ice-bed coupling along flow to calculate changes in ice elevation and thickness, using
14 floating fraction ϕ along a flowline or flowband, where $\phi = 0$ for sheet flow, $0 < \phi < 1$ for
15 stream flow, and $\phi = 1$ for shelf flow. An attempt is made to reconcile the two approaches.

16 **Introduction**

17 Cornelis “Kees” Van der Veen’s comparison of geometric and analytic approaches to the
18 force balance in glaciology in *The Cryosphere* (Van der Veen, 2016) is most welcome
19 because he takes seriously my geometrical approach to the longitudinal force balance
20 (Hughes et al., 2016). The geometric force balance is useful only for one-dimensional flow
21 along ice-sheet flowlines or flowbands of constant width. For two-dimensional flow in the
22 map plane, width becomes a variable and geometrical areas become geometrical volumes;
23 substantially increasing geometrical complexity with little advance in physical insight. The
24 analytic force balance is typically obtained by solving the Navier-Stokes equations, which
25 can be done in three dimensions and, when including the mass and energy balances,
26 becomes time-dependent. The geometrical approach has the advantage of being visual. It is
27 useful for vislally understanding the force balance by comparing the areas of right triangles
28 and rectangles (or parallelograms).

29 **Addressing Van der Veen (2016)**

30 My interest in the force balance for ice sheets spans four decades, beginning when I used
31 glacial geology to reconstruct former ice sheets from the bottom up based on the strength
32 of ice-bed coupling deduced from glacial geology, an approach that also produced the
33 concave surface of ice streams for the first time (Denton and Hughes, 1981, Chapters 5 and
34 6). I developed the geometric approach after observing the huge arcing transverse
35 crevasses at the head of Byrd Glacier, and realized it was actually pulling ice out of the East
36 Antarctic Ice Sheet (Hughes, 1992). Since then it has been a work in progress.

37 Referring to Hughes (2008), Van der Veen (2016) states on his page 1332 that I believe
38 lateral drag vanishes at the center of an ice stream. Lateral shear stress σ_{xy} vanishes, but
39 the lateral shear force does not. On one side, stress σ_{xy} acts on side area A_y and on the

40 other side stress $-\sigma_{xy}$ acts on side area $-A_y$, with A_y and $-A_y$ being vectors in opposite y
41 directions, so the shear force is always positive and opposes longitudinal gravitational
42 forcing.

43 Van der Veen (2016) states his Eq. (9) is similar to my Eq. (36) in Hughes (2003), but it
44 is not the same. We cannot readily translate term by term the geometric balance in the
45 conventional notation of the force balance. It is just the same equation that holds.

46 In the geometric force balance, the driving force is the area of a right triangle and all the
47 resisting forces are areas of triangles and a rectangle (or parallelogram) that fit into the
48 triangle so the driving and resisting forces are identical. All signs are positive in my Eq.
49 (36). His σ_f is my flotation stress, which doesn't appear in my 2003 paper. It appears in
50 Hughes (2012a) and in Hughes et al. (2016). Van der Veen (page 1333) states my σ_f is his
51 \tilde{R}_{xx} in his Eq. (1). It is not. My σ_f always requires basal water deep enough to uncouple ice
52 from the bed or to supersaturate basal till, see my Fig. 1. In ice streams, water height h_w
53 above the bed is the height to which basal water would rise in a borehole, including heights
54 far above sea level (Kamb, 2001). There is no such provision in Van der Veen (2016).

55 The proof that my σ_f is unique is found using my equations, reproduced in my Table 1
56 from Table 12.1 in Hughes (2012a). Substituting my equations for $\partial(\sigma_f h_l) / \partial x$, τ_o , and τ_s
57 expressed in terms of floating fraction ϕ into $P_l \alpha = \partial(\sigma_f h_l) / \partial x + \tau_o + 2\tau_s (h_l / w_l)$, my
58 equation for the force balance, gives $0 = 0$. In my geometric force balance, resisting forces
59 are represented by triangles and a rectangle (or parallelogram) that exactly fit inside a big
60 right triangle that represents my driving force, so the area of my big triangle is the same as
61 summed component areas from resisting forces within it, so $0 = 0$ *must* be obtained, see the
62 visual representation in my Fig. 2.

63 Referring to my Figure 3 (left), Figure 3 in Van der Veen (2016), line AF should be
64 parallel to line BE because they both show ice pressure increasing linearly with depth. Line
65 CE shows how water pressure increases linearly with depth, as is obvious at the calving
66 front. In my geometrical force balance, the longitudinal gravitational driving force is area
67 ADF of the big right triangle. Fitted inside ADF are a resisting flotation force given by area
68 BDE for floating ice fraction ϕ and a resisting drag force given by area ABEF for the
69 grounded ice fraction $1 - \phi$ in my Fig. 1. Inside BDE is area CDE for the resisting force from
70 water pressure and area BCE for the resisting force from the tensile strength of floating ice.
71 Inside area ABEF is the triangle above B for basal drag and the parallelogram below B for
72 side drag. Resistance from basal drag is the area of the triangle above B. Resistance from
73 side drag is the area of the parallelogram below B if lines BE and AF are made parallel. If BE
74 is made part of AF a rectangle would replace the parallelogram but the area would be
75 unchanged, see my Fig. 2. That's all there is to it. The only remaining task is to replace
76 forces with products of stresses and lengths (for areas having zero or constant widths
77 along x) upon which the stresses act along a flowline (no width) or a flowband (constant
78 width). My solution for the force balance is exact because forcing area ADF equals resisting
79 areas ABEF, BCE, and CDE inside ADF. All gravitational and resisting forces in the

80 longitudinal direction of ice flow are thereby included, with ABEF representing the force
81 from both basal and side drag.

82 Van der Veen (2016) correctly states his Eq. (16) represents my longitudinal
83 gravitational driving force, but then he states it “does not represent the gravitational
84 driving force” (page 1335). It does. The analytic and geometric approaches to the force
85 balance must be presented and understood each on their own terms. Attempts to mix the
86 two, as Van der Veen (2016) did, leads only to confusion.

87 Van der Veen (2016) states on his page 1335 that a longitudinal force balance along x
88 must be made over incremental distance Δx that shrinks to zero. My longitudinal force
89 balance along x *does*, see Hughes (2012a, Appendix G) and Hughes et al. (2016, page 10). I
90 subtract longitudinal force areas over distance Δx to get my longitudinal force balance Eq.
91 (22) in Hughes et al. (2016). However, Van der Veen (2016) is incorrect in stating a
92 longitudinal force balance *always* must be made over length Δx . At the calving front of an
93 ice shelf the balance is obtained right at the calving front where $\Delta x = 0$, as Robin (1958)
94 proved 59 years ago *geometrically*.

95 Van der Veen (2016) states his Fig. 4(a), reproduced in my Fig. 3 (right), should
96 represent my geometrical force balance because his area ADF equals his area APD. It
97 would, if he divided his area APD into my smaller areas of triangles and a rectangle shown
98 in my Figure 2, areas that resist gravitational forcing from his area ADF. He states that both
99 areas ADF and APD are “lithostatic stresses”. They are not. Area ADF is my gravitational
100 driving force and area APD is the sum of my resisting forces opposing the driving force, as
101 he shows by his horizontal arrows in his Fig. 4(a). There is no surface slope in his Fig. 4(a).
102 That condition applies to an unconfined linear ice shelf having constant thickness
103 (Weertman, 1957; Robin, 1958), in which case only my areas 3 and 4 in my Fig. 2 (bottom)
104 add to give his area APD, since there are no basal and side drag forces represented by my
105 areas 1 and 2. Raymond (1982) analyzed deformation near interior ice divides where the
106 surface slope is also zero.

107 In his Fig. 4(b), shown in my Fig. 3 (bottom), Van der Veen (2016) correctly shows the
108 geometrical force balance in my Fig. 2 (bottom) for a sloping ice surface above a horizontal
109 bed. From these figures we can both obtain the geometric longitudinal force balance over
110 incremental length Δx in analytic form when $\Delta x \rightarrow 0$. In my Fig. 2 (bottom), my big right
111 triangles at x and $x + \Delta x$ are gravitational driving forces that are respectively subdivided
112 into areas 1, 2, 3, 4 and areas 5, 6, 7, 8 that resist gravitational motion along x .

113 Resistance from my σ_w may be akin to bridging stresses across water-filled cavities
114 discussed by Van der Veen (2016). The existence of σ_w in the geometric force balance is
115 not readily apparent from analytic solutions of the Navier-Stokes equations, but Van der
116 Veen (2016) may have teased it out with his bridging stress, which forces him to add
117 resistance by including steep shear-stress gradients on each side of his cavities. He
118 maintains his cavities are small so these gradients average out to zero along an ice stream,
119 eliminating the need for my σ_w . They cannot average to zero if his cavities are water-filled
120 and get bigger and closer together downstream, as required to progressively uncouple ice

121 from the bed. Then cavities themselves have a size and distribution gradient. Figure 1,
 122 which is Figure 4 in Hughes et al. (2016), shows my concept of water-filled cavities in area
 123 $w_l \Delta x$ under an ice stream. We do not know which concept of cavities is correct.

124 **Concluding Remarks**

125 My geometrical force balance aims to teach the fundamentals of glaciology to students with
 126 an inadequate background in mathematics, usually students studying to be glacial
 127 geologists (Hughes, 2012a). My geometrical approach was designed to make maximum use
 128 of glacial geology in reconstructing former ice sheets from the bottom up (Hughes, 1998,
 129 Chapters 9 and 10; Fastook and Hughes, 2013) and in demonstrating how basal thermal
 130 conditions produce glacial geology under the Antarctic Ice Sheet today (Hughes, 1998,
 131 Chapter 3, Wilch and Hughes, 2000; Siegert, 2000). Previously I had spent more time
 132 teaching calculus than glaciology because the Navier-Stokes equations had to be integrated
 133 in the force balance. Everyone knows the area of a rectangle is base times height, and of a
 134 triangle is half that; yet knowing that delivers the same results as integrating the Navier-
 135 Stokes equations for linear sheet, stream, and shelf flow.

136 I developed the geometrical force balance over some decades, from Hughes (1992)
 137 through Hughes et al. (2016). My papers are a work in progress, see pages 201-202 of
 138 Hughes et al. (2016) regarding h_w , h_f , σ_w , and σ_f not included in earlier papers. To
 139 access my most recent thinking, see Hughes (2012a) and Hughes et al. (2016). All the
 140 earlier studies are flawed in various ways. The last ones may also have flaws I haven't
 141 detected. Some criticisms by Van der Veen (2016) are directed at my earlier flawed papers.

142 This response gives me an opportunity to correct three mistakes in Hughes (2012a)
 143 that will be apparent to careful readers. The first line in Equation (12.9) should be:

$$144 \quad \partial(\sigma_f h_l) / \partial x = \partial \left[\frac{1}{2} \rho_l g h_l^2 \phi^2 \right] / \partial x = P_l \phi (\phi \alpha_l + h_l \partial \phi / \partial x)$$

145 In the denominator of Equation (17.18), r should be replaced by $(a - r)$. The first line of
 146 Equation (22.18) should be:

$$147 \quad \Delta h_l^* / \Delta x = \phi^2 \left(\frac{\Delta h_l}{\Delta x} \right)_i + \left(\frac{h_l}{2} \right)_i \frac{\Delta \phi^2}{\Delta x} + \frac{(\tau_o)_i}{\rho_l g h_l^*} + \frac{2(\tau_s)_i}{\rho_l g w_l} = \frac{(\tau_o^*)_i}{\rho_l g h_l^*}$$

148 Equation (22.18) applies to sheet flow when $\phi = \partial \phi / \partial x = 0$ and τ_o^* increases resistance
 149 from basal drag τ_o by including side drag τ_s in flowbands having some side shear to allow
 150 for the possibility of thermal convection in the form of rolls under ice-stream tributaries
 151 (Hughes, 2012b). If $\phi > 0$ in tributaries supplying ice streams, and since tributaries are
 152 ubiquitous in the sheet-flow interior of the Antarctic Ice Sheet (Hughes, 2012b), side shear
 153 must be taken into account even for sheet flow because tributaries are flowbands that
 154 move faster than ice between these flowbands.

155 *Acknowledgements.* I thank Cornelis van der Veen for giving me the opportunity to further
156 explain my geometric force balance in relation to the standard analytic force balance. I
157 thank Editor Frank Pattyn for allowing my explanation to appear in *The Cryosphere*. I
158 especially thank the reviewers, including Van der Veen and Pattyn, who contributed to the
159 Interactive Discussion. Wording by Pattyn to highlight difficulties in trying to combine the
160 geometric and analytic force balances using equations that are similar but not identical has
161 been incorporated. As always, reviewers are worth their weight in gold.

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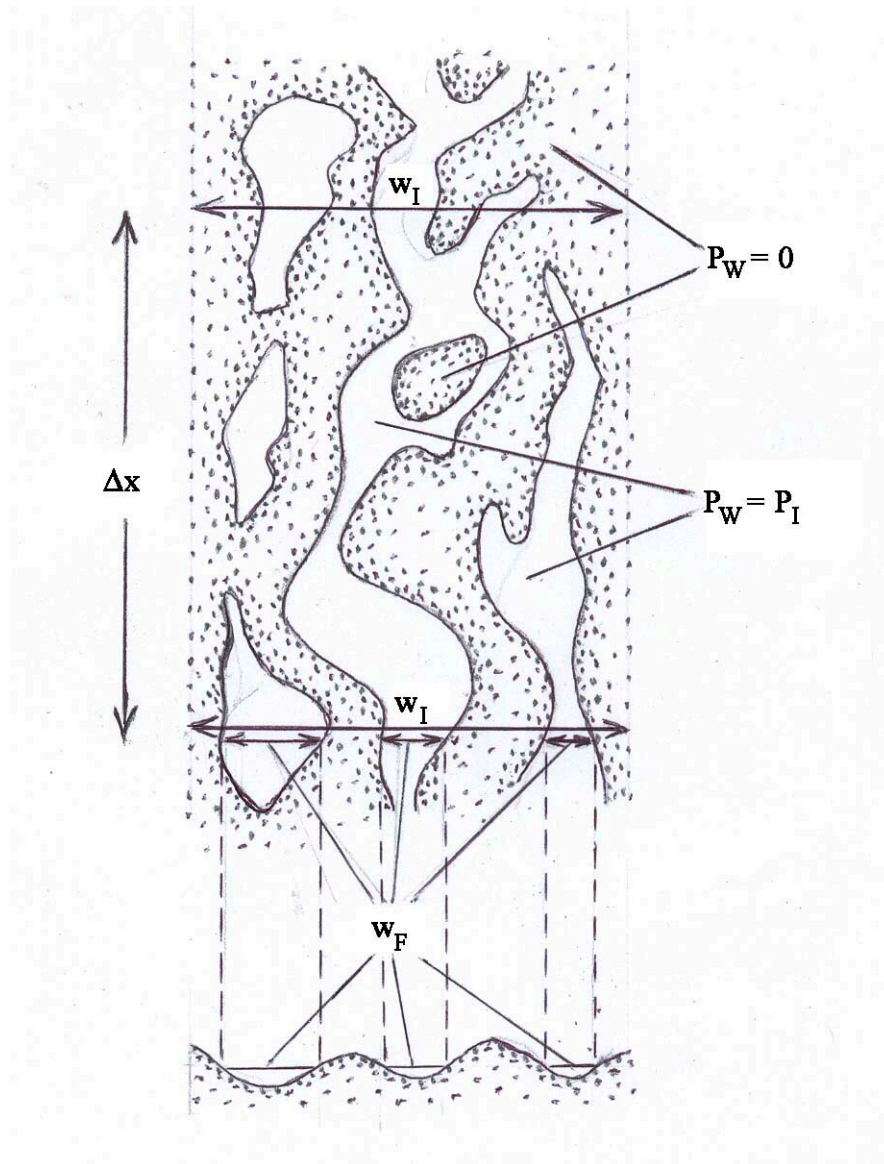
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191 **Table 1:** Resisting Stresses Linked to Floating Fraction $\phi = P_F/P_I$ of Ice and Gravitational
 192 Forces Numbered in Figure 2 for the Geometrical Force Balance.

Basal water pressure at x , from gravity force 3: $P_W = \rho_W g h_W$
Ice overburden pressure at x , from gravity force (1+2+3+4): $P_I = \rho_I g h_I$
Upslope tensile stress at x , from gravity force 4: $\sigma_T = \bar{P}_I (1 - \rho_I / \rho_W) \phi^2$
Downslope compressive stress at x due to $\bar{\tau}_O$ and $\bar{\tau}_S$ along x and σ_W at $x = 0$: $\sigma_C = \bar{P}_I - \sigma_T = \bar{P}_I - \bar{P}_I (1 - \rho_I / \rho_W) \phi^2$
Downslope water-pressure stress at x , from gravity force 3: $\sigma_W = \bar{P}_I (\rho_I / \rho_W) \phi^2$
Upslope flotation stress at x from gravity force (3+4): $\sigma_F = \sigma_T + \sigma_W = \bar{P}_I \phi^2$
Longitudinal force balance at x from gravity force [(5+6+7+8)-(1+2+3+4)]: $P_I \alpha = \partial(\sigma_F h_I) / \partial x + \tau_O + 2\tau_S (h_I / w_I)$
Flotation force gradient at x from gravity force [(7+8)-(3+4)]: $\partial(\sigma_F h_I) / \partial x = P_I \phi (\phi \alpha_I + h_I \partial \phi / \partial x)$
Basal shear stress at x from gravity force (5-1): $\tau_O = P_I (1 - \phi)^2 \alpha - P_I h_I (1 - \phi) \partial \phi / \partial x$
Side shear stress at x from gravity force (6-2): $\tau_S = P_I (w_I / h_I) \phi (1 - \phi) \alpha + \bar{P}_I w_I (1 - 2\phi) \partial \phi / \partial x$
Average downslope basal shear stress to x from gravity force 1: $\bar{\tau}_O = \bar{P}_I w_I h_I (1 - \phi)^2 / (w_I x + A_R)$
Average downslope side shear stress to x from gravity force 2: $\bar{\tau}_S = P_I w_I h_I \phi (1 - \phi) / (2\bar{h}_I x + 2L_S \bar{h}_S + C_R \bar{h}_R)$

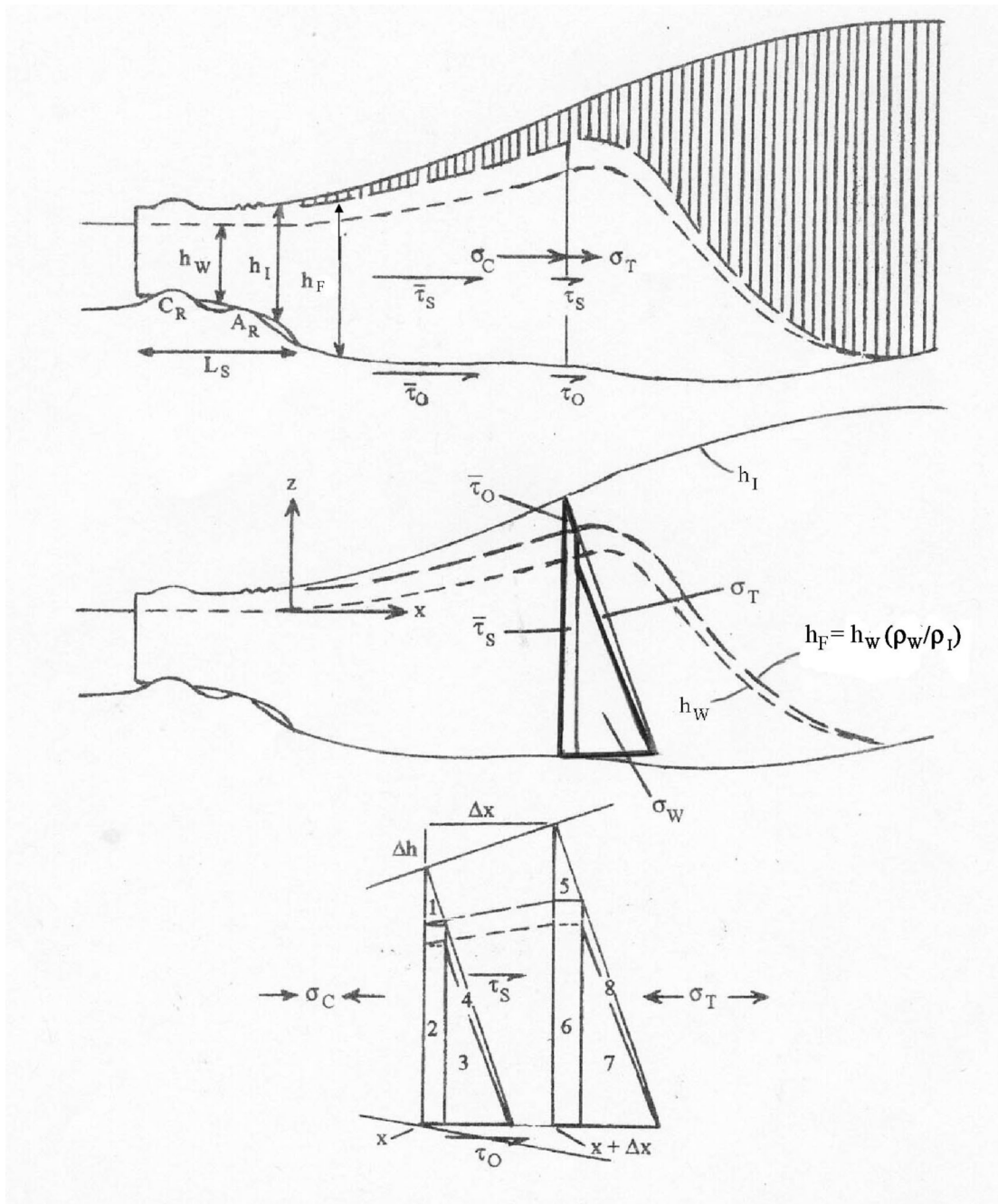
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195 Figure 1: Figure 4 from Hughes et al. (2016). Under an ice stream, basal ice is grounded in
 196 the shaded areas and floating in the unshaded areas (top) as seen in a transverse cross-
 197 section (bottom) for incremental basal area $w_I \Delta x$.

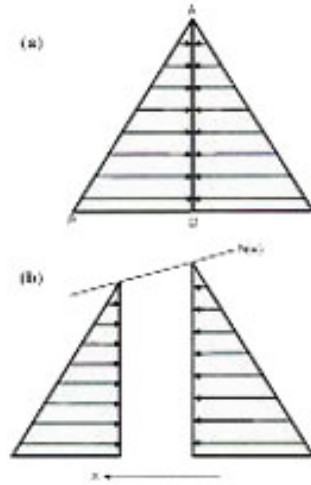
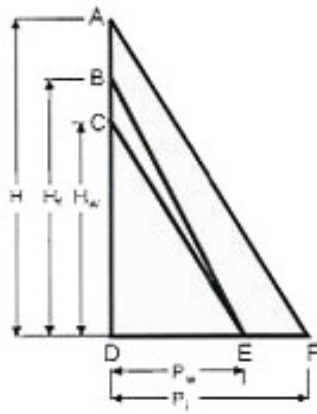
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200 Figure 2: Figure 5 from Hughes et al. (2016). Top: Stresses at x and downstream from x that
 201 resist gravitational forcing. The bed supports ice in the shaded area. Middle: The
 202 gravitational force inside the thick border is linked to σ_C which represents all
 203 downstream resistance to ice flow at point x . Bottom: Gravitational forces (geometrical
 204 areas 1 through 8) and resisting stresses along incremental downstream length Δx at
 205 point x .

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Figure 3: Figure 3 (left) and Figure 4 (right) from Van der Veen (2016).